



VOTE-COUNTING MINUTES SEEKING SHAREHOLDERS' OPINIONS BY WRITTEN BALLOT HO CHI MINH CITY SECURITIES CORPORATION

- Law on Enterprises No.59/2020/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and the documents guiding the implementation of Law on Enterprise;
- Law on Securities No.54/2019/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and some documents guiding the implementation of Securities Law;
 - HSC's Corporate Charter according to AGM Resolution No.01/2022/NQ-ĐHĐCĐ dated 08 August 2022;
 - Resolution 21/2024/NQ-HĐQT dated 30 May 2024 on plan of seeking shareholders' opinions by written ballot of the Board of Directors of Ho Chi Minh City Securities Corporation;
 - Proposal No. 10/2024/TT-HĐQT dated 18 June 2024 on removal and election of additional member of the Board of Supervisors for the term V (2021 – 2025) of the Board of Directors of Ho Chi Minh City Securities Corporation;
 - Results of checking and counting written ballots dated 28 June 2024.

Today, at 01:00 pm on 28 June 2024 at level 6, AB Tower, 76A Le Lai, District 1 Ho Chi Minh City, the Vote-Counting Committee of Ho Chi Minh City Securities Corporation ("the Company/HSC") checked and counted shareholders' written ballots with the following contents and results:

I. Company profile

Company's name : **HO CHI MINH CITY SECURITIES CORPORATION (HSC)**
Securities symbol : **HCM**
Head office : Level 2,5,6,7,11 & 12, AB Tower, 76A Le Lai Street, Ben Thanh Ward, District 1, Ho Chi Minh City
Tel : (+84 28) 3823 3299 Fax: (+84 28) 3823 3301
Website : www.hsc.com.vn

Business License No. 11/GPHĐKD dated 29 April 2003 and Amended License No. 41/GPĐC – UBCK dated 10 June 2024 issued by the State Securities Commission of Vietnam.

II. Purpose and contents

1. Purpose

The Board of Directors of Ho Chi Minh City Securities Corporation organized to seek shareholders' opinions by written ballot for the approval of removal and election of one (01) additional member of the Board of Supervisors.

2. Contents

Content 1: Removal of Mr. Pham Nghiem Xuan Bac from position as member of the Board of Supervisors, effective date from 28 June 2024

Content 2: Approval of Ms. Nguyen Thi Xuan Dung as candidate of the Board of Supervisors and Ms. Nguyen Thi Xuan Dung elected as member of the BOS for the remaining period of the term V (2021 – 2025), effective date from 28 June 2024

III. Time and Venue

Time : At 01:00 pm on 28 June 2024

Venue : Level 6, AB Tower, 76A Le Lai Street, Ben Thanh Ward, District 1, Ho Chi Minh City

IV. Participants

1. The Vote – Counting Committee

- Mr. Tong Cong Cuong Managing Director – Chief Risk Officer – Head of Committee
- Ms. Nguyen Thi My Linh Manager – Head of Risk Management – Member
- Ms. Than Thi Thu Dung Corporate Governance Officer – Member

2. The Vote-Counting Supervisor

- Ms. Pham Le Thu Minh Shareholders' nominee

V. Principles and results of vote-counting

1. Principles of vote-counting

- The number of treasury shares of the Company is 840,418 shares having no voting rights, accounting for 0.12% of total number of shares of the Company.
- The invalid written ballots will not be counted in the voting process.

2. The written ballots

- Total number of shares of the Company is 704,811,532 shares.
- Total number of voting shares of the Company is 703,971,114 shares (after subtracting the number of treasury shares of the Company)
- Total number of shareholders who have been sent written ballots were 31,042 shareholders according to the list of shareholders on the record date of 12 June 2024 for exercising the right to seek shareholders' opinions by written ballot, representing 703,971,114 shares of the Company, equivalent 703,971,114 voting shares, accounting for 100% of total number of voting shares of the Company.
- Total number of shareholders who have returned filled written ballots to the Company were 78 shareholders, representing 390,485,380 shares of the Company, equivalent 390,485,380 voting shares, accounting for 55.4689% of total number of voting shares of the Company.

In which:

- Total number of valid filled written ballots were 77 ballots, representing 390,484,225 shares of the Company, equivalent 390,484,225 voting shares, accounting for 55.4688% of total number of voting shares of the Company.
- Total number of invalid filled written ballots were 01 ballots, representing 1,155 shares of the Company, equivalent 1,155 voting shares, accounting for 0.0001% of total number of voting shares of the Company.
- Total number of filled written ballots have been returned to the Company until to 12 pm dated 28 June 2024 in the following methods:
 - Total number of filled written ballots mailed directly were 42 ballots.
 - Total number of filled written ballots returned by post were 20 ballots.
 - Total number of filled written ballots sent via email were 16 ballots.



- Total number of shareholders who have not returned filled written ballots were 30,964 shareholders, representing 313,485,734 shares of the Company, equivalent 313,485,734 voting shares, accounting for 44.5311% of total number of voting shares of the Company.

3. Voting results

Content 1: Removal of Mr. Pham Nghiem Xuan Bac from position as member of the Board of Supervisors, effective date from 28 June 2024

| Voting opinions | Total number of voting shares | Percentage |
|-----------------|-------------------------------|------------|
| For | 390,484,125 | 55.47% |
| Against | 0 | 0% |
| Abstention | 100 | 0.00001% |

Conclusion: The General Meeting of Shareholders approved the removal of Mr. Pham Nghiem Xuan Bac from position as member of the Board of Supervisors, effective date from 28 June 2024 with votes in favor of 55.47% of total number of voting shares of the Company.

Content 2: Approval of Ms. Nguyen Thi Xuan Dung as candidate of the Board of Supervisors and Ms. Nguyen Thi Xuan Dung elected as member of the the Board of Supervisors for the remaining period of the term V (2021 – 2025), effective date from 28 June 2024

Approval of Ms. Nguyen Thi Xuan Dung as candidate of the Board of Supervisors for the remaining period of the term V (2021 – 2025)

| Voting opinions | Total number of voting shares | Percentage |
|-----------------|-------------------------------|------------|
| For | 390,484,125 | 55.47% |
| Against | 0 | 0% |
| Abstention | 100 | 0.00001% |

Conclusion: The General Meeting of Shareholders approved Ms. Nguyen Thi Xuan Dung as a candidate of the Board of Supervisors for the remaining period of the term V (2021 – 2025) with votes in favor of 55.47% of total number of voting shares of the Company.

Election of Ms. Nguyen Thi Xuan Dung as member of the the Board of Supervisors for the remaining period of the term V (2021 – 2025), effective date from 28 June 2024

- Election principles: Based on Clause 4 Article 41 of HSC's Corporate Charter, voting to elect member of the Board of Supervisors must be done by cumulative voting, whereby each shareholder shall have the total number of votes corresponding to the total number of shares owned multiplied by the number of members of the Board of Supervisors to be elected and such shareholder may cumulate all or a part of its total votes in favor of one or more candidates.
- Election methods: By seeking shareholders' opinions in the form of written ballot
- Election result:

| No. | Full name | Nomination position | Total number of votes | Percentage | Result |
|-----|----------------------|------------------------------------|-----------------------|------------|---------|
| 1 | Nguyen Thi Xuan Dung | Member The Board of Supervisors | 389,103,112 | 55.27% | Elected |

Conclusion: The General Meeting of Shareholders has conducted the additional election, and Ms. Nguyen Thi Xuan Dung has been elected as member of the Board of Supervisors for the remaining period of the term V (2021 – 2025), effective date from 28 June 2024.

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VI. Contents to be approved

Based on Law on Enterprises, the Company's Charter and the voting results of Clause V.3 of this Vote-Counting Minutes, the General Meeting of Shareholders approved the following contents:

1. Approval of removal of Mr. Pham Nghiem Xuan Bac from position as member of the Board of Supervisors, effective date from 28 June 2024 with votes in favor of 55.47%% of total number of voting shares of the Company.
2. Approval of Ms. Nguyen Thi Xuan Dung as a candidate of the Board of Supervisors for the remaining period of the term V (2021 – 2025) with votes in favor of 55.47% of total number of voting shares of the Company.
3. Approval of Ms. Nguyen Thi Xuan Dung as member of the Board of Supervisors for the remaining period of the term V (2021 – 2025), effective date from 28 June 2024.

The Vote – Counting Minutes was made at 02:15 pm on 28 June 2024. The Board of Directors, the Vote-Counting Committee and the Vote-Counting Supervisor committed that the vote-counting process was truthful and accurate.

The Vote – Counting Minutes will be attached Resolution of the General Meeting of Shareholders by written ballot of Ho Chi Minh City Securities Corporation.

**FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN**



JOHAN NYVENE



THE VOTE-COUNTING COMMITTEE

MEMBER



THAN THI THU DUNG

HEAD



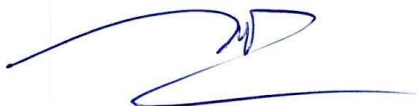
TONG CONG CUONG

MEMBER



NGUYEN THI MY LINH

THE VOTE-COUNTING SUPERVISOR



PHAM LE THU MINH