



# UCOMPLIANCE NEWSLETTER

## MARCH 2021

### IN THE NEWS

#### INSIDE THIS ISSUE

1. IN THE NEWS
2. COMPLIANCE MVP
3. DI COUNCIL UPDATES
4. TIP OF THE MONTH
5. MARCH MADNESS/  
SPORTS WAGERING
6. DI COUNCIL UPDATES
7. REPORTING NCAA  
VIOLATIONS
8. STUDENT-MANAGERS
9. VOLUNTEER COACHES
10. OUTSIDE  
COMPETITION
11. RECRUITING  
CALENDARS
12. COMPLIANCE STAFF

#### Upcoming NCAA Supreme Court Case

On February 1, 2021, the NCAA filed their U.S. Supreme Court brief and released the following statement.

“Today, we asked the U.S. Supreme Court to reaffirm that the NCAA has ample latitude to govern college sports. As outlined in our brief, the lower court ruling distorts federal antitrust law and, in the process, wrongly redefines amateurism and undermines the NCAA’s supervision of college athletics. The ruling also encourages judicial micromanagement and invites never-ending litigation as the NCAA seeks to improve the college athletic experience. In short, the lower court ruling greatly blurs the line between college and professional sports.

The NCAA and its member schools are committed to defending the rules that govern college sports – the same rules that create an environment where hundreds of thousands of student-athletes can receive the life-long benefits of a college education and compete at the highest levels of their sport. We look forward to continuing to make our case before the Court.”

#### **Why it’s important the Supreme Court agreed to review this case -**

Currently, Florida’s name, image and likeness law takes effect on July 1, 2021. That also leaves a month before the NCAA’s proposed name, image and likeness legislation would go into effect (if approved by the DI Council). In the interim, there would be chaos. The Florida law is much more expansive than anything proposed by the NCAA. The NCAA is hoping for “preemption” -- intervention by the federal government over state laws. Without federal intervention, schools will be put in a situation where they can’t comply with the NCAA rules without breaking a state law and they can’t comply with a state law without breaking NCAA rules.”

*The expected date of hearing the case in the SCOTUS is the end of March/early April.*

## COMPLIANCE MVP



**This month’s Compliance MVP comes to us from the strength and conditioning staff. We would like to thank Caitlin Smith. We appreciate all you do to support the Compliance Office!**



## NCAA DI Council Extended the Recruiting Dead Period Through May 31, 2021

- No in-person (on or off-campus) contact with prospective student-athletes. **This includes no in-person contact with NLI Signees!**
  - *For example, if a prospect is on-campus touring campus, UM coaches and athletic department staff may not have contact with the prospective student-athlete or their friends and family.*
- Coaches may not evaluate prospects (on or off-campus).
- No prospect camps or clinics.
- No official or unofficial visits.
- No speeches at banquets for which prospects are in attendance (virtual or in-person).



## Compliance Tip of the Month

### **No Class Time Missed for Practice Activities**

No class time may be missed at any time (e.g., regular academic term, summer term) for countable or required athletic activities (i.e., practice, community service events) except when a team is traveling to an away-from-home contest and the practice is in conjunction with the contest.



## Sports Wagering Reminder - March Madness

With the NCAA Basketball Tournaments on the horizon, this is your friendly reminder that NCAA rules prohibit athletic department staff members and student-athletes from participating in gambling or sports wagering activities at any level in relation to sports sponsored by the NCAA.

The following individuals may not knowingly participate in sports wagering activities or provide information to individuals involved in or associated with any type of sports wagering activities concerning intercollegiate, amateur, or professional athletics competition:

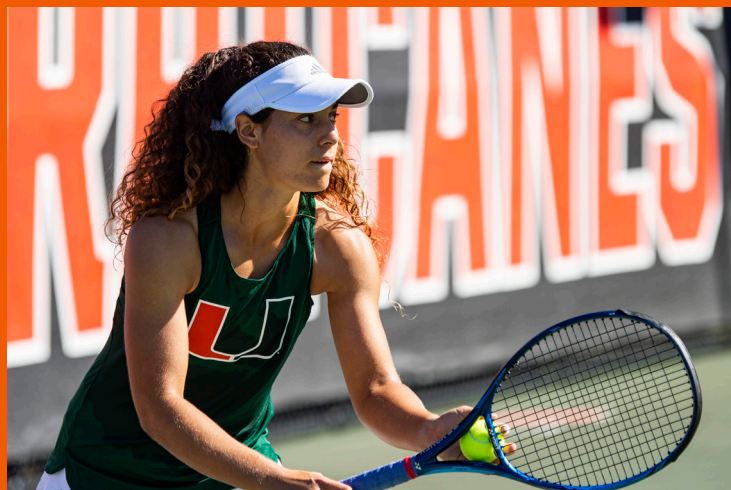
- Staff members of an institution's athletics department;
- Non-athletics department staff members who have responsibilities within or over the athletics department; and
- Student-Athletes.

A wager is any agreement in which an individual or entity agrees to give up an item of value in exchange for the possibility of gaining another item of value. Bylaw 10.02.2.

- Any wager, no matter the value, is a violation (i.e. \$1, \$5, \$10, wearing a t-shirt of an opposing team, paying for a meal).
- Sports wagering includes placing, accepting, or soliciting a wager of any type with any individual or organization on any intercollegiate, amateur, or professional team contest.

Examples of sports wagering include, but are not limited to:

- The use of a bookmaker or parlay card;
- Internet sports wagering;
- Auctions in which bids are placed on teams, individuals, or contests; and
- Pools or fantasy leagues in which an entry fee is required and there is an opportunity to win a prize. Bylaw 10.02.1



## Reporting Violations



All known or potential rules violations must be disclosed to either Craig Anderson or Blake James. If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.



## Student-Managers

A student-manager is an individual who preforms traditional managerial duties (i.e., equipment management, laundry, hydration).

### Managers MUST:

- Be enrolled at UM in a full-time program of study.
- Complete the required compliance paperwork and attend a Manger Compliance Rules Education meeting.
- Be cleared by the Compliance Office prior to participating in ANY managerial duties.

### Managers MAY:

- Participate in limited on-court or on-field activities (i.e., rebound).
- Preform managerial duties.
- Participate in walk-throughs.

### Mangers may NOT:

- Act as a practice player (exception during the 2020-21 academic year exists for basketball managers ONLY).
- Provide technical or tactical instruction to student-athletes (i.e., may not breakdown film with student-athletes).
- Provide extra benefits to student-Athletes.
- Assist student-athletes on homework assignments or work on group projects together.

## Volunteer Coaches

Like countable coaches, volunteer coaches may provide technical and tactical instruction to student-athletes and may make and assist in making tactical decisions relating to their sport during practice and competition. But NCAA legislation limits the benefits volunteer coaches may receive and how they interact with prospects

### UM may provide the following to volunteer coaches:

- Two complimentary admissions to contests in their sports.
- One complimentary admission to contests in other UM sports in connection with official or unofficial visits.
- Meals incidental to team activities and during prospects' official and unofficial visits.
- Compensation for working UM camps or clinics.
- UM apparel incidental to coaching duties.
- Reimbursement for parking associated with practice or competition.

**UM may not provide any benefit not listed above to a volunteer coach. For example, volunteer coaches may not receive:**

- Any compensation other than that provided for camp-related employment.
- A cell phone or computer.
- Discounted or free tickets to UM sporting events (outside of comp admission to contests in connection with offiical or unoffiical visits),



## Outside Team Competition

Student-athletes may not compete as a member of an outside team during the academic year or while their respective team is in-season.

*Contact the Compliance Office for a list of exceptions (i.e., Olympic team competition).*

## Institutional Vacation Periods

A student-athlete may compete outside their sport's declared playing and practice season as a member of an outside team in any non-collegiate, amateur competition during any official vacation period.

## Representing UM Athletics in Outside Individual Competition

Competing for UM in an outside individual competition must be counted toward individual NCAA competition limits, but allows UM to provide travel expenses and coaching. Student-athletes may also use UM-issued uniforms.

## Prizes & Awards While Representing UM in Individual Competition

Student-athletes may not receive anything for their finish when representing UM in an outside individual competition.

For example, student-athletes may not accept any prize money, even if it does not exceed actual and necessary expenses.

*Why not? Because UM will have covered the student-athlete's competition expenses and therefore, the student-athlete's actual and necessary expenses = \$0.*

## Outside Individual Competition

Subject to the conditions below, a student-athlete may participate in outside competition as an individual (unattached from any outside team) at any time.

## Competing Unattached

What does it mean to compete unattached? A student-athlete competes unattached when the following conditions are met:

- Represent themselves and not a club, team, or other organization;
- Pay all their own expenses, including all travel and competition expenses (i.e., they only receive expenses from their parents, legal guardians, or event sponsors);
- Do not receive any coaching or instruction from UM staff members; and
- Do not wear UM-issued uniforms or apparel still used by UM in competition.

Is it permissible to compete unattached in outside competition as an individual during the academic year or while a student-athlete's sport is in-season?

- Yes, a student-athlete may compete as an unattached individual (not representing an outside team, organization, etc.) in outside competition at any time.

## Prizes & Awards While Competing as an Individual/Unattached

Student-athletes may accept prize money if it does not exceed the student-athletes' "actual and necessary expenses" (this term is limited in scope and defined in the NCAA manual) and is provided by the event sponsor.

**The Compliance Office must approve any outside prizes or awards prior to any student-athlete accepting such awards.**

## The ONE Thing Student-Athletes Must Remember When Participating in Outside Competition

Because mistakes in connection with individual competition carry serious consequences (e.g., using a season of competition or violating amateurism rules), **student-athletes must fill out the Outside Competition Approval form and receive Compliance approval before participating in outside competition (as in individual or on an outside team).**



# RECRUITING CALENDARS

## MARCH 2021

### BASEBALL

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### MEN'S BASKETBALL

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### WOMEN'S BASKETBALL

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### FOOTBALL

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### SOCCER

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### TRACK & FIELD/CROSS COUNTRY

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### VOLLEYBALL

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### ALL OTHER SPORTS

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

### CALENDAR KEY

CONTACT PERIOD	RECRUITING PERIOD (MEN'S BASKETBALL ONLY)
QUIET PERIOD	EVALUATION PERIOD
RECRUITING SHUTDOWN (WBB ONLY)	DEAD PERIOD

## COMPLIANCE STAFF



Craig Anderson  
Sr. Assoc. AD  
Compliance



Dan Raben  
Assistant AD  
Eligibility



Sarah Quintana  
Director  
Compliance



Caitlyn Francis  
Assoc. Director  
Rules Education



Colin Shaffer  
Asst. Director  
Monitoring