

UCOMPLIANCE NEWSLETTER

2021
AUGUST

IN THE NEWS & MAJOR INFRACTIONS

Texas Christian University

Violation Summary

A former TCU men's basketball assistant coach violated NCAA ethical conduct rules when he accepted a \$6,000 payment from a business management company in exchange for agreeing to influence student-athletes to use the company's services. The assistant coach also provided false or misleading information about his actions and failed to cooperate with the school's investigation. The conduct at issue in this case was related to a broader scheme that involved money and influence at the intersection of college and professional basketball. The scheme resulted in the arrest and prosecution of multiple individuals — including college basketball coaches — on conspiracy and bribery charges, and it led to significant NCAA reforms.

Penalties

Three years of probation. A \$5,000 fine plus 1% of the men's basketball program budget (self-imposed by the university). A five-year show-cause order for the former assistant coach. During that period, any NCAA member school employing him must restrict him from any athletically related duties unless it shows cause why the restrictions should not apply.

Full case details available [HERE!](#)

COMPLIANCE MVP

TIM BROGDON

This month's Compliance MVP comes to us from the Communications Office.

The Compliance Office would like to thank Associate AD for Digital Strategy, Tim Brodgon, for all he does to help support the Compliance Office.

We appreciate U!



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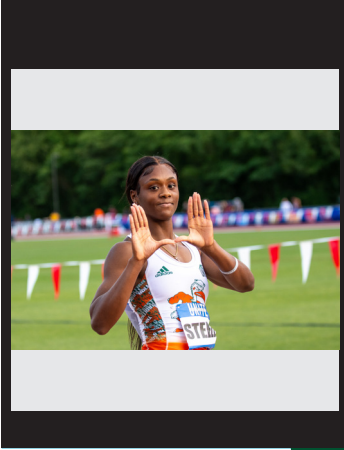
**ALL STAFF
TO-DO LIST
REQUIRED!**

Have you completed the outside income disclosure form and annual attestation of compliance? Available [HERE](#)

If you did not take the NCAA Exam, have you completed the internal compliance exam? **LINKS SENT OUT VIA EMAIL!**

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REPORTING KNOWN OR POTENTIAL NCAA, ACC, & UM RULES VIOLATIONS

All known or potential rules violations must be disclosed to either Craig Anderson or Blake James.

If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.

SHARED RESPONSIBILITY & INSTITUTIONAL CONTROL

All individuals performing work for the University of Miami, regardless of full-time, part-time, or volunteer status, are considered institutional staff members.

Institutional staff members are obligated to comply with NCAA, ACC, University and Athletics Department rules and regulations.

This obligation includes a shared responsibility to act ethically; a responsibility of not only self-accountability but also holding each other accountable for the high standards associated with honesty and sportsmanship.

As a University of Miami employee, we all share the responsibility of reporting unethical conduct and NCAA rules violations to ensure our NCAA eligibility as a Division I member-institution.

HEAD COACH RESPONSIBILITY

Head coaches are presumed to be responsible for the actions of all assistant coaches and staff who report, directly or indirectly, to the head coach. Further, the head coach is required to promote an atmosphere of compliance within his/her program and he/she shall monitor the activities of his/her entire staff.

DID YOU KNOW?

Even LEVEL III Violations can result in head coach suspension if the NCAA finds that the head coach failed to promote an atmosphere of compliance.

This includes responsibility for support staff such as SIDs, equipment managers, strength and conditioning coaches, trainers, student-workers and academic advisors, as well as volunteers. Pursuant to this requirement, head coaches must promote an atmosphere of compliance within their programs and should document all compliance related communication and monitoring efforts [NCAA Bylaw 11.1.1.1].

TIP OF THE MONTH

At the start of each academic year or preseason practice, whichever occurs earlier, each student-athlete must be cleared medically, at-

tend a compliance meeting, and complete the required NCAA & UM compliance forms prior to taking part in athletic activities. A student-athlete is not considered cleared to participate in athletic activities until the

coaching staff has received written approval for the student-athlete to participate and receive equipment/gear. **Approval is sent out via email by the Compliance Office.**

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NIL EDUCATIONAL POSTERS

STUDENT-ATHLETE
NIL POSTER
AVAILABLE [HERE](#)

BOOSTER NIL
POSTER AVAILABLE
[HERE](#)

COUNTABLE ATHLETICALLY RELATED ACTIVITIES

	DAILY LIMITS	WEEKLY LIMITS	REQUIRED DAYS OFF
IN-SEASON	4 Hours	20 Hours	1 Per Week
OUT OF SEASON <i>sports other than football</i>	4 Hours	8 Hours of strength & conditioning activities; up to 4 of the 8 hours can be used for skill-related instruction.	2 Per Week
OUT OF SEASON <i>football</i>	4 Hours	8 Hours of strength & conditioning activities; up to 2 of the 8 hours can be used for film review.	2 Per Week
Vacation Period	Unlimited if in-season & prohibited if out-of-season. In-season sports must be provided with one day off per week when in-season.		

CARA REMINDERS

PRESEASON & VACATION PERIODS

Daily and weekly time limitations do not apply to pre-season practices occurring before the first day of classes or the first scheduled contest, whichever is earlier. All sports but football must take a day off during preseason and vacation periods.

**ALL CARA & RARA
MUST BE ADDED TO A
STUDENT-ATHLETE'S
TEAMWORKS
CALENDAR!**

AFTER COMPETITION

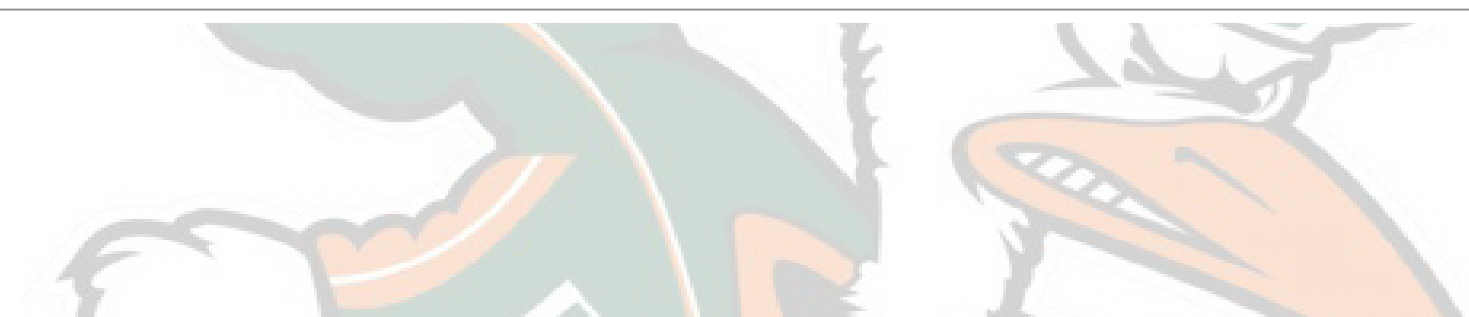
CARA is prohibited after competition, even during vacation periods. For example, it is impermissible to make student-athletes participate in a punishment run after competition.

8-HOUR OVERNIGHT PERIOD

RARA and CARA is prohibited for an 8-hour period between 9:00 PM and 6:00 AM. An exception exists for a promotional practice (e.g., first practice of the season). Check with Compliance for other exceptions.

CARA LOGS

A sport must begin recording CARA the first day of class (Aug 23), or the first day of preseason, whichever is earlier.



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RECRUITING CALENDARS

Baseball

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

M. Basketball

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

W. Basketball

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Football

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Cross Country/Track

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

Volleyball

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
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29	30	31				

All Other Sports

AUG 2021

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
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QUIET PERIOD
DEAD PERIOD
EVALUATION PERIOD
CONTACT PERIOD
RECRUITING SHUTDOWN

QUIET PERIOD

A quiet period is that period of time when it is permissible to make in-person recruiting contacts only on the member institution's campus. No in-person, off-campus recruiting contacts or evaluations may be made during the quiet period.

DEAD PERIOD

A dead period is that period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the member institution's campus or to permit official or unofficial visits by prospective student-athletes to the institution's campus.

EVALUATION PERIOD

An evaluation period is that period of time when it is permissible for authorized athletics department staff members to be involved in off-campus activities designed to assess the academic qualifications and playing ability of prospective student-athletes. No in-person, off-campus recruiting contacts shall be made with the prospective student-athlete during an evaluation period.

CONTACT PERIOD

A contact period is that period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.

RECRUITING SHUTDOWN

No form of recruiting is permitted.

RECRUITING PERIOD

Authorized athletics department staff may make in-person, off-campus recruiting contacts and evaluations.



Craig Anderson
Sr. Assoc. AD
Compliance



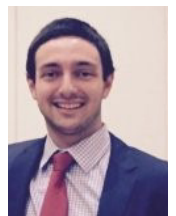
Dan Raben
Associate AD
Eligibility



Sarah Quintana
Director
Compliance



Caitlyn Francis
Assoc. Director
Rules Education



Colin Shaffer
Asst. Director
Monitoring