

UCOMPLIANCE NEWSLETTER

2021
SEPTEMBER

IN THE NEWS & MAJOR INFRACTIONS

Texas A & M University

Violation Summary

The university, a men's basketball assistant coach, the men's basketball head coach and NCAA enforcement staff agreed that the program violated multiple NCAA recruiting rules. Specifically, the head coach had impermissible contact with a prospect during an evaluation period. The assistant coach violated NCAA rules when he observed a prospect participating in an open gym during an unofficial visit and later conducted two 45-minute tryouts with that prospect, during which he provided coaching instruction. The university, assistant coach and enforcement staff also agreed the assistant coach violated multiple NCAA rules when he impermissibly conducted approximately 24 supervised, off-campus workouts with a prospect and several men's basketball student-athletes. The workouts were prohibited due to the COVID-19 pandemic. On several occasions, the assistant coach arranged for noncoaching staff members to supervise and conduct the workouts, exceeding permissible limits for countable coaches. Additionally, the university, head coach and enforcement staff agreed the head coach allowed six noncoaching staff members to participate in on-court activities and engage in skills instruction, exceeding NCAA countable coaches by three.

Penalties

Two years of probation. •A \$5,000 fine. •A reduction in men's basketball official visits for the 2021-22 academic year by five. •A suspension of unofficial visits during the first three Southeastern Conference men's basketball games during the 2021-22 academic year. •A reduction in men's basketball recruiting days by 5% (7 days out of 130) during the 2021-22 academic year. •A two-game suspension (during the regular season) for the head coach at Texas A&M or any school that employs him. •A suspension of the assistant coach, self-imposed by the university, June 2020 through the end of the 2020-21 basketball season. During that suspension, the program operated with one fewer assistant coach than allowed under NCAA rules.

Full case details available [HERE!](#)

COMPLIANCE MVP

RAY LOPEZ

This month's Compliance MVP comes to us from the IT Office.

The Compliance Office would like to thank IT Manager, Ray Lopez, for all he does to help support the Compliance Office.

We appreciate U!



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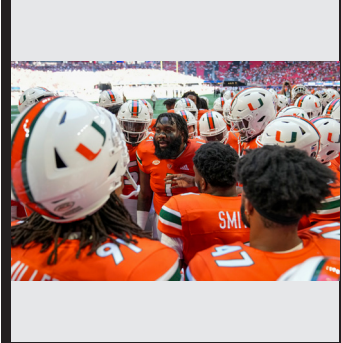
**ALL STAFF
TO-DO LIST
REQUIRED!**

Have you completed the outside income disclosure form and annual attestation of compliance? Available [HERE](#)

If you did not take the NCAA Exam, have you completed the internal compliance exam? **LINKS SENT OUT VIA EMAIL!**

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REPORTING KNOWN OR POTENTIAL NCAA, ACC, & UM RULES VIOLATIONS

All known or potential rules violations must be disclosed to either Craig Anderson or Blake James.

If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.

THE RECRUITING PERIODS

DEAD PERIOD

A dead period is a period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the institution's campus or to permit official or unofficial visits by prospective student-athletes to the institution's campus. It remains permissible, however, for an institutional staff member to write or telephone a prospective student-athlete during a dead period.

QUIET PERIOD

A quiet period is a period of time when it is permissible to make in-person recruiting contacts only on the institution's campus. No in-person, off-campus recruiting contacts or evaluations may be made during the quiet period.

EVALUATION PERIOD

An evaluation period is a period of time when it is permissible for authorized athletics department staff members to be involved in off-campus activities designed to assess the academic qualifications and playing ability of prospective student-athletes. No in-person, off-campus recruiting contacts shall be made with the prospective student-athlete during an evaluation period.

CONTACT/RECRUITING PERIOD

A contact period is a period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.

RECRUITING SHUTDOWN (WBB ONLY)

A recruiting shutdown is a period of time when no form of recruiting (e.g., contacts, evaluations, official or unofficial visits, correspondence or making or receiving telephone calls) is permissible.



TIP OF THE MONTH

Pre-enrollment Information

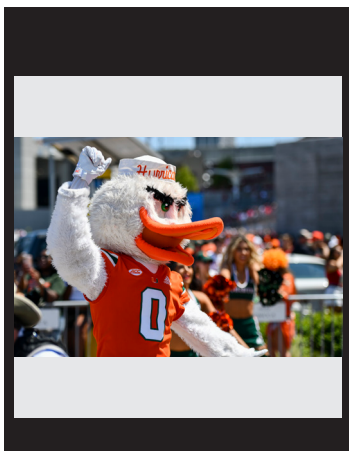
UM may send any necessary pre-enrollment information relating to orientation, conditioning, academics

and practice activities via electronic correspondence or in a video format (video play-book) to a PSA, provided he or she has signed an NLI or GIA.

Prior to sending pre-enrollment information to a PSA please clear it with the Compliance Office.

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RECRUITING MATERIALS FIRST PERMISSIBLE DATES

After the first permissible date noted below for each sport, coaches and athletic department staff may permissibly send prospective student-athletes recruiting materials via general & electronic correspondence.

<u>Sport</u>	<u>First Permissible Date To Send Recruiting Materials</u>
Baseball	September 1 of PSA's junior year in high school
W. Basketball	September 1 of PSA's junior year in high school
M. Basketball	June 15 after PSA's sophomore year in high school
Football	September 1 of PSA's junior year in high school
All Other Sports	June 15 after PSA's sophomore year in high school

RULES GOVERNING RECRUITING MATERIALS

General & Electronic Correspondence

Examples of general and electronic correspondence include but are not limited too: Printed Materials; E-mail; Texts; Direct Messages

- May be provided in-person, during campus visits, sent by mail, or electronic correspondence.
- Printed materials may not exceed 8 1/2 by 11 inches when opened in full.
- Envelopes may not exceed 9 by 12 inches
- No content or design restrictions.

General correspondence may not be bound. This includes official/unofficial visit itineraries! And remember, electronic correspondence must be private between the sender and the recipient (no group messages with multiple unsigned PSAs.

Photos Taken During Campus Visits

- May be sent to the PSA via private electronic correspondence after first permissible date.
- Must be taken in private athletic facility not in view of the general public.
- Equipment/gear may not be personalized (i.e., no name on jersey).
- Other prospects may be present in the photo.
- No game day simulations.

Video/Audio Materials

- May not be personalized to include PSA's name, picture, or likeness.
- Must be created in-house (no entities outside of UM).
- May only be provided via electronic correspondence to PSA's old enough to receive such correspondence.
- May use scoreboard or video board (in private facility closed to general public) to show non-personalized recruiting video to PSA's during campus visit.

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PUBLICITY & SOCIAL MEDIA

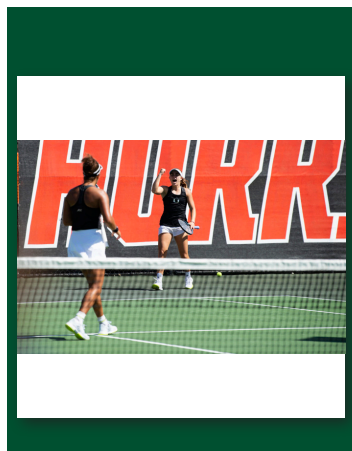
Rules Governing Publicity of Prospects & Social Media Interactions

The institution is prohibited from publicizing a prospective student-athlete or making comments about the prospect's ability, potential contribution to the institution's team or the likelihood the prospect may sign or commit to the institution.

Publicity of a Prospect's Visit: Coaches, staff members and student-athletes are not permitted to publicize (or arrange for any publicity of) a prospect's visit to the University's campus. Additionally, a prospect may not participate in team activities that would make the public or media aware of the prospect's visit to the University of Miami (e.g., running out of the tunnel with the team, on-field pregame celebrations).

Introduction of a Prospect: The University of Miami shall not introduce a visiting prospect at a function (e.g., banquet, athletic contest) that is attended by media representatives or open to the general public.

Publicity After Commitment: There are no restrictions on publicity related to a prospect after he/she has signed a National Letter of Intent or the University's written offer of admission and/or financial aid or after the University of Miami has received his/her financial deposit in response to its offer of admission.



Actions That Indicate Approval of Content on Social Media

An athletics department staff member may take actions (e.g., "like," "favorite," re-publish, etc.) on social media platforms that indicate approval of content on social media platforms that was generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

Athletic Department Staff Members MAY

Friend/follow a prospective student-athlete (PSA) at any time. May not have communication with a PSA on Social Media until the PSA has signed with the institution.

Like, favorite, or re-tweet/share a posts originated by a PSA's social media profile, as long as the post does not reference a recruiting or scouting service.

Like, favorite, or re-tweet/share athletically related information posted by a PSA's team, coach, or high school facility.

When it comes to social media interactions with PSA's "CLICK, DON'T TYPE!"

Like, favorite, or re-post a media outlet, a post on the PSA's social media account, or a post by a PSA's coach relating to a PSA on a visit.

Athletic Department Staff Members may NOT

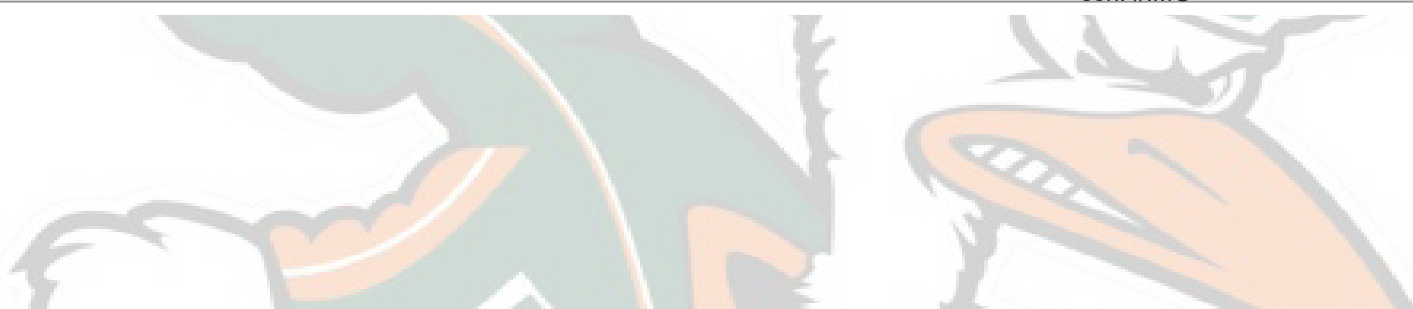
Publicly reference the name, nickname, or other identify info of a PSA other than to confirm recruitment.

Publicly comment on a PSA's social media profile or on a PSA's social media post.

Tag or mention a PSA's social media profile in a post on the staff member's account.

Like, favorite, or repost information posted by or in reference to a recruiting or scouting service.

Post a generic message on their social media account like "Good Luck, IMG during the 2021 season! #IMG"



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RECRUITING CALENDARS

Baseball

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

M. Basketball

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

W. Basketball

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Football

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Cross Country/Track

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

Volleyball

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

All Other Sports

SEP

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
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QUIET PERIOD
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EVALUATION PERIOD
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RECRUITING SHUTDOWN

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RECRUITING SHUTDOWN

No form of recruiting is permitted.

RECRUITING PERIOD

Authorized athletics department staff may make in-person, off-campus recruiting contacts and evaluations.



Craig Anderson
Sr. Assoc. AD
Compliance



Dan Raben
Associate AD
Eligibility



Sarah Quintana
Director
Compliance



Caitlyn Francis
Assoc. Director
Rules Education



Colin Shaffer
Asst. Director
Monitoring