

UCOMPLIANCE NEWSLETTER

2021
OCTOBER

IN THE NEWS

NCAA Constitution Committee

The NCAA Constitution Committee was created when the Board of Governors announced its historic decision to convene a special convention to propose dramatic changes to the NCAA constitution and reimagine aspects of college sports so the Association can more effectively meet the needs of current and future college athletes.

The NCAA Constitution Committee will identify the core principles that define college sports. Members will propose a new governance model that allows for quicker change without sacrificing broader values, which must be reaffirmed or redefined. The NCAA Constitution Committee was created when the Board of Governors announced its historic decision to convene a special convention to propose dramatic changes to the NCAA constitution and reimagine aspects of college sports so the Association can more effectively meet the needs of current and future college athletes.

The Constitution Committee will begin its work immediately. In November, the committee will submit for membership feedback a working draft of its proposals. The special constitutional convention will convene no later than Nov. 15. The final proposals will be provided to the NCAA Board of Governors by Dec. 15 and scheduled for votes in January by the full membership at the NCAA Convention.

COMPLIANCE MVP

CAITLYN FRANCIS

This month's Compliance MVP comes to us from our very own office!

Caitlyn has recently accepted a job at Stanford University. We are excited for her and this new opportunity.

Caitlyn, we appreciate you and wish you all the best!



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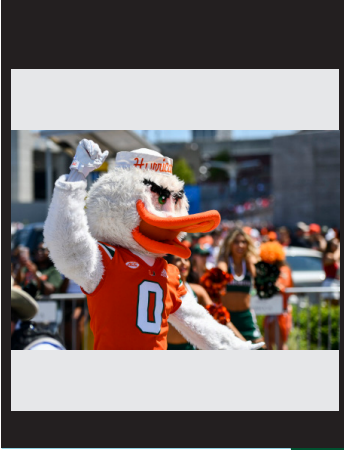
NEW HIRES
COMPLIANCE ORIENTATION
REQUIRED!

Have you recently hired an individual into a part-time, full-time, volunteer, intern, or casual worker position?

If so, please reach out to Colin Shaffer in the Compliance Office to schedule a New Hire Compliance Orientation!

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REPORTING KNOWN OR POTENTIAL NCAA, ACC, & UM RULES VIOLATIONS

All known or potential rules violations must be disclosed to either Craig Anderson or Blake James.

If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.

REPRESENTATIVE OF THE INSTITUTION'S ATHLETICS INTERESTS

THE NCAA DEFINES A REPRESENTATIVE OF ATHLETICS INTEREST OR "BOOSTER" AS AN INDIVIDUAL, CORPORATE ENTITY, OR OTHER ORGANIZATION:

- Who has been a member of ANY organization that supports University of Miami athletics;
- Has been involved in promoting University of Miami Athletics;
- Has made financial donations to UM Athletics or to any UM Athletics booster organization;
- Has assisted or have been asked to assist in the recruitment of prospective student-athlete (which is not permitted);
- Has provided benefits (e.g., summer jobs or occasional meals) to enrolled student-athletes;
- Has been a season ticket holder; or
- Is a former University of Miami student-athlete.

ONCE A BOOSTER, ALWAYS A BOOSTER!

RULES GOVERNING BOOSTER INTERACTIONS

BOOSTERS MAY

- Attend an athletics contest where prospects are competing as long as no recruiting contact is made with any prospects or their family members.
- Notify or forward information to appropriate members of UM coaching staff about a prospect in their area.
- Accept calls initiated by prospects that are not related to UM Athletics.
- Offer a student-athlete employment provided certain restrictions are met and there is written permission from the athletic department PRIOR to beginning work.
- Act as a mentor to student-athletes or provide guidance (requires Compliance approval).
- Provide compensation to a student-athlete in conjunction with a permissible NIL activity.

BOOSTERS MAY NOT

- Recruit on behalf of UM Athletics. This means no contacting a prospect or their family for recruiting purposes.
- Provide a student-athlete, their parents, relatives, or friends with money, gifts, loan, or anything other benefit not permissible under NCAA rules.
- Have in-person contact with a prospect or his/her parents while on-campus for an official or unofficial visit.
**Exception exists for former UM student-athletes, It is permissible for a former student-athlete to have contact with a recruit and their family either on-campus, or at a facility regularly used for practice and competition (i.e., Hardrock).*
- Provide a student-athlete with the use of a car.
- Assist a sports agent in contacting our student-athletes.
- Arrange for free or reduced cost housing for a student-athlete.
- Pay a recruit or their family to secure their enrollment at UM.

**This list is not all-encompassing always
Ask Before you Act!**

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WHAT IS AN IMPERMISSIBLE (AKA “EXTRA”) BENEFIT?

AN EXTRA BENEFIT IS ANY SPECIAL ARRANGEMENT BY AN INSTITUTIONAL EMPLOYEE, OR A REPRESENTATIVE OF THE INSTITUTION’S ATHLETICS INTERESTS (BOOSTER), THAT’S PROVIDED TO A STUDENT-ATHLETE OR A PROSPECTIVE STUDENT-ATHLETE OR THEIR FRIENDS AND FAMILY.

IF THE BENEFIT IS NOT GENERALLY AVAILABLE TO THE UM STUDENT-BODY THEN IT IS MOST LIKELY AN EXTRA BENEFIT!

| EXTRA BENEFIT EXAMPLES (INCLUDE BUT ARE NOT LIMITED TO) | WHAT HAPPENS IF A STUDENT-ATHLETE RECEIVES AN EXTRA BENEFIT? |
|---|---|
| <p>MONEY, LOANS, BOND, OR CO-SIGNING OF LOANS</p> <p>FREE OR DISCOUNTED TRANSPORTATION (USE OF A CAR, BOAT, AIRLINE TICKETS, BUS TICKETS, ETC.)</p> <p>FREE OR DISCOUNTED STORAGE</p> <p>FREE OR DISCOUNTED MERCHANDISE (CLOTHES, VIDEO GAMES, ETC.)</p> <p>FREE OR DISCOUNTED ENTERTAINMENT (SPORTING EVENTS, MOVIES, CONCERTS, NIGHTCLUBS, ETC.)</p> <p>FREE OR DISCOUNTED LODGING (HOTEL ROOMS, STAYING AT APARTMENT/HOUSE)</p> <p>FREE OR DISCOUNTED MEALS</p> <p>FREE OR DISCOUNTED MERCHANDISE BECAUSE OF STATUS</p> <p>FREE USE OF A CREDIT CARD, CALLING CARD, ETC.</p> | <p>AS SOON AS A STUDENT-ATHLETE (OR A STUDENT-ATHLETE’S FRIENDS OR FAMILY MEMBERS) RECEIVES A BENEFIT NOT PERMITTED UNDER NCAA RULES, THE STUDENT-ATHLETE IS INELIGIBLE FOR COMPETITION.</p> <p>THE STUDENT-ATHLETE SHOULD NOTIFY THE COMPLIANCE OFFICE RIGHT AWAY, WE ARE HERE TO HELP OUR STUDENT-ATHLETES MAINTAIN THEIR COLLEGIATE ELIGIBILITY.</p> <p>REMEMBER, IF YOU’RE NOT SURE IF SOMETHING CONSTITUTES AN EXTRA BENEFIT ALWAYS ASK BEFORE YOU ACT!</p> <p>PERMISSIBLE NIL ACTIVITIES ARE NOT CONSIDERED AN EXTRA BENEFIT BUT REMEMBER, STUDENT-ATHLETES CANNOT RECEIVE SOMETHING FOR DOING NOTHING!</p> |

TIP OF THE MONTH

SPEAKING ENGAGEMENTS

Coaches and athletic department staff still cannot participate in virtual speaking engagements involv-

ing prospective student-athletes. Please be sure to clear any outside speaking engagement with the Compliance Office.



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RECRUITING CALENDARS

Baseball

OCT

| S | M | T | W | T | F | S |
|----|----|----|----|----|----|----|
| | | | | | 1 | 2 |
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| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

M. Basketball

OCT

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| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

W. Basketball

OCT

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Football

OCT

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Cross Country/Track

OCT

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Volleyball

OCT

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All Other Sports

OCT

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| 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | | | | | | |

QUIET PERIOD

DEAD PERIOD

EVALUATION PERIOD

CONTACT PERIOD

RECRUITING SHUTDOWN

QUIET PERIOD

A quiet period is that period of time when it is permissible to make in-person recruiting contacts only on the member institution's campus. No in-person, off-campus recruiting contacts or evaluations may be made during the quiet period.

DEAD PERIOD

A dead period is that period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the member institution's campus or to permit official or unofficial visits by prospective student-athletes to the institution's campus.

EVALUATION PERIOD

An evaluation period is that period of time when it is permissible for authorized athletics department staff members to be involved in off-campus activities designed to assess the academic qualifications and playing ability of prospective student-athletes. No in-person, off-campus recruiting contacts shall be made with the prospective student-athlete during an evaluation period.

CONTACT PERIOD

A contact period is that period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.

RECRUITING SHUTDOWN

No form of recruiting is permitted.

RECRUITING PERIOD

Authorized athletics department staff may make in-person, off-campus recruiting contacts and evaluations.



Craig Anderson
Sr. Assoc. AD
Compliance



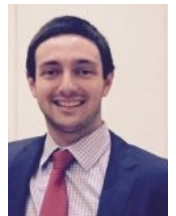
Dan Raben
Associate AD
Compliance



Sarah Quintana
Director
Compliance



Caitlyn Francis
Assoc. Director
Rules Education



Colin Shaffer
Asst. Director
Monitoring