



**MIAMI HURRICANES
UCOMPLIANCE**

COMPLIANCE EDUCATION SUMMARY





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RULES EDUCATION SUMMARY
ACADEMIC YEAR 2021-22

Booster/Cross Campus Rules Education Sessions

Date Between August 1, 2021 - July 31, 2022

Admissions	11/11/2021
Advancement	9/30/2020
Alumni/Development Association	4/12/2022
Academic Resource Center	3/4/2022
Baseball Ushers	Ongoing at Start of Season
Bookstore	emailed 10/5
BOT Visiting Committee	9/30/2021
Deans	12/3/2021
Faculty Senate	9/29/2021
Financial Aid Office	11/3/2021
President Annual Review	9/9/2021
President's Council	10/28/2021
Professors Living in Dorms	Did not Meet in 21-22
Resident Advisors	Ongoing with Housing
Student Accounts	emailed 10/5
Game Day Staff	8/18/2021
University Communications	1/14/2022
Writing Center	emailed 10/5

Booster Rules Education Sessions

August 2021 - July 2022

Booster Team Travel

Booster Brochure & NIL Handout Distribution

Football Suites

Gave to Mike K (8/5)

Men's Basketball Suites

Gave to Mike K (8/5)

Hurricane Club

Gave to Fonzi (8/5)

Season Ticket Holders FB

Sent to Holdyn and included as link for all tickets (8/1)

Season Ticket Holders MBB

Sent to Holdyn and included as link for all tickets (8/1)

Season Ticket Holder WBB

Sent to Holdyn and included as link for all tickets (8/1)

Season Ticket Holders MBA

Sent to Holdyn and included as link for all tickets (8/1)

Golden Canes

Gave to Fonzi (8/5)

Lounges & Watsco

Gave to Mike K (8/5)

President & Provost Suites

Gave to Mike K (8/5)

All Ticket Mailings

Sent to Holdyn and included as link for all tickets (8/1)

M Club/Athletic Alumni

Sent out via email by Jessica Green (8/6)

Bowl Game Rules Education

NA

Fomer Student-Athlete

As Needed

Apartment Complexs, Landlords, Local Businesses

Mailed 12/17/2021

Parents of Student-Athletes

Ongoing

MISC

Provided Diana Martinez and Deborah Garcia (Division of Development and Alumni Relations) with booster brochures & NIL Handout for guests of the president and provost suites. (9/10)

MISC

Provided Grier Clark (the Development Associate supporting the College of Engineering) with booster brochures for their football suite and to send out with their guest tickets. (9/8)

Athletic Department Staff Rules Education Sessions

	Fall 2021	Spring 2022	Summer 2022
Administrative Assistants	10/5/2021		<p>All Athletic Department Staff are required to complete the Attestation of Compliance, Outside Income, and Compliance 101. These documents are available via a Teamworks link where staff complete the required paperwork. The Compliance 101 Form includes information on recruiting, extra benefits, boosters, academic misconduct, sports gambling, time management, reporting violations, noncoaching staff vs. coaching staff, NIL and other topics.</p>
All Staff Meetings	8/30/2021	2/7/2022	
Development/Hurricane Club/Alumni Relations		4/5/2022	
Hurricane Global Partnerships		4/5/2022	
Business Office (IT included)	10/5/2021	3/23/2022	
Communications	10/14/2021	4/11/2022	
Digital Strategy	10/14/2021	4/11/2022	
Video Productions	10/14/2021	4/11/2022	
Marketing	10/15/2021	5/9/2022	
Equipment Room	10/7/2021	emailed 2/15/22	
Facilities & Operations	10/7/2021	4/20/2022	
Ticket Operations & Sales	10/15/2021	5/9/2022	
Human Resources			
Sports Medicine	10/11/2021	emailed 2/15/22	
OS Strength & Conditioning	10/13/2021	5/23/2022	
FB Strength & Conditioning	10/13/2021	5/23/2022	
Student-Athlete Development	10/26/2021	3/16/2022	
Tutors/Mentors	8/26/2021, 8/27/2021	1/28/2022	
Managers	8/26/2021	Ongoing	
Mascots	8/27/2021	5/9/2022	
Miscellaneous	New Hire Orientations - weekly.		

Compliance Newsletters & Mailings

	August	September	October	November	December	January	February	March	April	May	June	July
Compliance Newsletters	1	1	1	1	1	1	1	1	1	1		
Frequency: First week of each month	In the news	In the news	In the news	In the news	In the news/modernization track	In the news	In the news	In the News	In the News	In the News		
Contacts: Entire athletic department	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP	Compliance MVP		
	Staff To-Do	The Recruiting Periods	Staff To-Do	Modernization update	Rules Interpretations	Camps and Clinics	Impermissible Extra Benefits and NIL	Spring Break CARA	Final Exam/Summer CARA Reminders	Summer Workouts and Voluntary Activities		
	Shared Responsibility	First Permissible Dates	Booster Defined	National Letter of Intent	Outside Competition	NLI Signee Facility Usage	Division I Council Update	Sports Wagering Reminders - March Madness	NLI Signee Facility Usage	Recruiting Questionnaires		
	Institutional Control	Recruiting Materials	Reporting Violations	*Signing Periods, Eligibility, Agreement, Recruiting Ban, NLI Penalty, Signing	Participation Awards	Midyear Enrollees	Sports Wagering Reminders	Employment of PSAs - NCAA Interp 2/22/22	Camps and Clinics	First Permissible Dates		
	HC Responsibility	Reporting Violations	Extra Benefits	Publicity Prior to Commitment	Winter Vacation Period CARA	Reporting Violations/Trivia	reporting violations/trivia	Student Managers	Outside Competition	Housing Reminders		
	Reporting Violations	Tip of the Week	Tip of the Month	Publicity After Commitment	*Safety Exception, Individual Sport Exception	Blanket waiver extension for permissible callers	recruiting calendars	Volunteer Coaches	Student-athlete employment	Reporting Violations/Trivia - Comp. Tickets		
	Tip of the Week	General & Electronic Correspondence	Recruiting Calendars	Thanksgiving Break - Vacation Period CARA	Tip of the Month - Don't Bet On It!	Tip of the Month - Agents	Tip of the Month - Vehicle Registrations	Reporting Violations/Trivia - vacation CARA	Reporting Violations/Trivia - non-coaching staff	Tip of the Month - SA selling/exchanging awards		
	What is CARA?	Publicity & Social Media	Compliance Office Staff	Tip of the Month - transfers	Reporting Violations/Trivia	Recruiting Calendars	Compliance Staff	Tip of the Month - Transfer Portal Module	Tip of the Month - SA retention of apparel/equipment	Recruiting Calendars		
	CARA Limitations	Recruiting Calendars		Reporting Violations/Trivia	Recruiting Calendars	Compliance Staff		Recruiting Calendars	Recruiting Calendars	Compliance Staff		
	Recruiting Calendars	Compliance Staff		Recruiting Calendars	Compliance Staff			Compliance Staff - Welcome Paige!	Compliance Staff			
	Compliance Staff			Compliance Staff								

	August	September	October	November	December	January	February	March	April	May	June	July
Weekly Compliance Item	0	4 items	2 item	2 item	4 items	3 items	3 items	4 items	5 items	4 items	3 items	
Frequency: First week of each month		Sports Wagering (9/9)	Fall Recess CARA (10/4) & (10/13)	extra benefits (11/1)	Out-of-season CARA (12/2/21)	Team Travel/Meals (1/14/22)	Don't Bet On It (2/9/22)	Don't Bet On It (3/4/22)	Camps and Clinics Language (4/1/22)	Don't Bet On It (5/6/22)	Tapback Reactions (6/3/22)	NIL Reminders (7/1/22)
Contacts: Entire athletic department		Complimentary Admissions (9/17)	Practice Prohibited After Competition (10/13)	Thanksgiving CARA/RARA (11/18)	outside trainers (12/9/21)	Institutional Control & Shared Responsibility (1/21/22)	Written Offers of Aid (2/18/22)	Correspondence and Interactions with PSAs (3/11/22)	Noncoaching Staff Members (4/8/22)	NCAA Guidance on NIL (5/11/22)	First Permissible Dates for Recruiting (6/10/22)	
		Required Day Off for Civic Engagement (9/20)			Modernization Update (12/16/21)	Student-athlete employment (1/28/22)	Boosters (2/25/22)	NIL Reminders (3/18/22)	Awards (4/15/22)	Gameday Simulations (5/20/22)	SA Employment (6/17/22)	
		Student-Athlete Employment (9/22)			Don't Bet On It (12/20/21)			On-Campus Recruiting Contacts (3/25/22)	Final Exam Blackout Period (4/22/22)	Comp Tickets (SA and PSA) (5/27/22)		
		Representative of the Institution's Athletics Interest (9/28/21)							Recruiting Periods (4/29/22)			

	August	September	October	November	December	January	February	March	April	May	June	July
Dead Period Reminders	0	0	0	2	4	3	1	2	2	2	5	
Frequency: One week prior to each sports dead period.				All sports but football 11/8-11/11 (11/5)	Football 12/13-1/6 (12/10/21)	Baseball 1/6-1/9 (1/3/22)	Track and Field	MBB Dead Period (3/28/22)	MBB Dead Period (4/7/22)	MBB Dead Period (5/16/22)	Track Dead Period (6/2/22)	
Contacts: Coaches, sport-specific staff, sport admin, facilities, Reina, Mercedes, Compliance Staff				Track & CC 11/20 (11/5)	Soccer 12/15-1/5 (12/10/21)	Football 1/10/1/13 (12/10/21)		WBB Dead Period (3/28/22)	WBB Dead Period (4/7/22)	MBA Dead Period (5/27/22)	MBA Dead Period (6/15/22)	
					Track & CC 12/13-1/1 (12/10/21)	Football 1/31-2/28 (1/26/22)					FB Dead Period (6/22/22)	
					Basketballs 12/24-12/26 (12/20/21)						MBA Dead Period (6/29/22)	
											MBA Dead Period (6/30/22)	
Life @ The U Newsletter	1	1	1	1	1	1	1	1	1	1	1	
Frequency: First week of each month. Contact: Brittney Garcia (b.bomnin@miami.edu)	Intro to Compliance (8/2)	Complimentary Admissions (9/8)	Online Communication (10/1)	NLI Signing Period (11/1)	SA Promotions/NIL (12/6/21)	Recruiting Periods (1/14/22)	Extra Benefits (2/1/22)	Booster Definition (3/14/22)	SA Employment (4/7/22)	Summer Reminders (5/16/22)	Recruiting Reminders (6/7/22)	
Hurricane Sports E-magazine	1	1	1	1	1	1	1	1	1	1	1	
Frequency: First week of each month. Contact: Christina Chirinos (cchirinos@miami.edu)	Intro to Compliance (8/2)	Complimentary Admissions (9/8)	Online Communication (10/1)	NLI Signing Period (11/1)	SA Promotions/NIL (12/6/21)	Recruiting Periods (1/14/22)	Extra Benefits (2/1/22)	Sports Wagering (3/14/22)	Booster Definition (4/20/22)	Summer Reminders (5/16/22)	Recruiting Reminders (6/7/22)	
Gambling Reminders	0	1	0	0	2	0	3	2	1	1		
Frequency: Each October Definition of Sports Wagering. Prior to popular collegiate & pro sporting events.		Sports Wagering (9/9)			Bowl Game Reminder (12/20/21)		Winter Olympics for NCAA Sponsored Sports (2/2/22 - twitter)	Don't Bet On It - March Madness (3/4/22)	SA Gambling Reminder (4/15/22)	Don't Bet On It (5/6/22)		
Contacts: Entire athletic department & student-athletes (all sports)					Newsletter (12/2/21)		Super Bowl (2/9/22 twitter; TOTW 2/9/22) Newsletter	Newsletter (3/10/22)				

Compliance Calls & Meetings

	August	September	October	November	December	January	February	March	April	May	June	July
ACC		9/7/2021	10/12/2021	11/9/2021	12/7/2021	1/25/2022	2/22/2022	3/29/2022	4/19/2022			
Regional Rules												
NACDA Convention											6/26-29/22	



**MIAMI HURRICANES
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RULES EDUCATION PLAN
ACADEMIC YEAR 2022-23



University of Miami Compliance Rules Education Plan

Purpose: To foster a cohesive educational program that will establish a culture of compliance within the Athletic Department and University of Miami communities. Further, to provide guidelines in determining the most efficient and effective means of communicating educational topics to various groups on- and off-campus. Each training listed below will be documented and tracked.

1. All Staff Meetings

- a. Audience: All athletic department staff.
- b. Purpose: To communicate expectations of the President and Director of Athletics.
- c. Scope: Institutional responsibility. Establish clear expectations regarding NCAA compliance, reporting violations, and general awareness.
- d. Frequency: Once per semester.
- e. Medium: In-person meetings.

2. Head Coaches' Meetings

- a. Audience: Head coaches, sport administrators, other members of department as necessary.
- b. Purpose: To communicate expectations of the President and Director of Athletics
- c. Scope: Institutional responsibility. Establish clear expectations regarding NCAA compliance, reporting violations, and general awareness.
- d. Frequency: Once per semester at a minimum.
- e. Medium: In-person meetings.

3. Sport Specific Meetings

- a. Audience: Coaches, operations, sport administrators (optional), and other sport-specific athletic department staff.
- b. Purpose: To provide comprehensive rules education to coaches, administrators and sport specific staff (i.e., director of operations, video coordinator, etc.) with the opportunity to ask questions.
- c. Scope: Bylaw specific education that includes, legislation, scenarios, reminders, and new legislation in all pertinent areas. Numerous topics within each bylaw will be covered.
- d. Frequency: Monthly.
- e. Medium: In-person meetings (written handouts and discussion).

4. Athletic Department Education

- a. Audience: Departments within Athletics (Athletic Training, Business Operations, Communications, Equipment Operations, Events and Facilities, Hurricane Global Partnerships, Sales/Marketing, Strength & Conditioning, Nutrition Services, Sports Video, Student-Athlete Development, Ticket Operations, Human Resources, Development, Administrative Assistants).



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- b. Purpose: To provide comprehensive rules education relating to specific departments and to educate athletics department staff on reporting NCAA violations.
- c. Scope:
 - i. In-person meetings – Presentation focused on detailed reminders, scenarios and new developments/legislation tailored to each department’s responsibilities.
 - ii. Compliance 101 – each year, all non-coaching athletic department staff must review and sign off on the Compliance 101 packet.
 - iii. Rules interpretations – the compliance office regularly provides rules interpretations based on requests from staff members. It is the policy of the athletic department that the Compliance Office responds in writing to questions regarding NCAA rules.
- d. Frequency:
 - i. In-person meetings – once per semester.
 - ii. Compliance 101 – annually (August).
 - iii. Rules Interpretations – ongoing.
- e. Medium: In-person meetings (PowerPoint presentations), email.

5. Compliance Newsletter

- a. Audience: Athletic Department staff.
- b. Purpose: To provide pertinent reminders and legislative updates specific to a week or month within a given semester.
- c. Scope: Short reminders pertaining to annual reminders, specific events, or certain time periods. Several topics from numerous bylaws.
- d. Frequency: Monthly.
- e. Medium: PDF/email
- f. Other Mailings/Contributions:
 - i. Hurricane E-Magazine (monthly – athletic department distribution list); Life @ The U (monthly – campus community); Tip of The Week (weekly – athletic department staff)

6. Student-Athlete Education

- a. Audience: University of Miami student-athletes.
- b. Purpose: To provide education regarding NCAA rules, legislative updates, and reminders.
- c. Scope:
 - i. Beginning of Year Meetings – Compliance meets with all student-athletes prior to being cleared for participation in athletic activities. Student-athletes are also required to complete required NCAA and Compliance Forms.
 - ii. End of Year Meetings – Compliance meets with all returning/continuing student-athletes prior to the end of the school year.



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- iii. Rules Education Reminders – Student-athletes receive monthly education emails on a variety of topics.
 - iv. Remedial Rules Education – student-athletes receive remedial rules education as necessary.
 - v. Name, Image, and Likeness – Institution is required under state law to provide 5 hours of education each year to students in their first and third year of enrollment. Compliance, in conjunction with outside partners (including Altius Sports Partners) provides this education to all student-athletes each year.
- d. Frequency:
- i. Beginning of Year Meetings – Once in fall semester for each team. Teams with Summer Athletic Activities also receive a meeting at the start of the Summer Term.
 - ii. End of Year Meetings – Once in spring semester prior to end of academic year.
 - iii. Rules Education Reminders – Monthly and as needed.
 - iv. Remedial Rules Education – As needed.
 - v. Name, Image, and Likeness – Ongoing.
- e. Medium: In-person meetings (PowerPoint presentations), Teamworks, email.

7. Student-Athlete Parent Education

- a. Audience: Parents of current student-athletes.
- b. Purpose: To expand rules education efforts to include parents of current student-athletes.
- c. Scope: 1. Game day reminders – provide parents of football student-athletes with game day specific reminders relating to complimentary admissions, the clear bag policy, and other relevant topics; and 2. Parent Presentation – overview of pertinent compliance rules (i.e., extra benefits, promotional activities).
- d. Frequency: 1. Game day reminders – prior to each home game or as necessary; 2. Parent presentation – once per semester.
- e. Medium: E-mail, AdobeSpark.

8. Student-Athlete Advisory Council (SAAC)

- a. Audience: Student-Athletes.
- b. Purpose: To provide annual reminders relating to all areas of legislation.
- c. Scope: Proposed and adopted legislation. Other pertinent topics as necessary.
- d. Frequency: Once per semester.
- e. Medium: In-person meeting.

9. Campus Partners

- a. Audience: Departments outside Athletics (Admissions, University Advancement, Academic Resource Center, Baseball Ushers, Bookstore, BOT Visiting Committee, Deans, Faculty Senate, Financial Aid Office,



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Professors Living in Dorms, Resident Advisors, U Communications, Writing Center).

- b. Purpose: To provide annual rules education to key cross-campus constituents.
- c. Scope: Overview of basic compliance rules pertaining to each department. Educate cross-campus departments on who to report rules violations to within Athletics.
- d. Frequency: Annually.
- e. Medium: In-person meetings (PowerPoint Presentations).

10. Leadership Education

- a. Audience: Campus Leadership (Board of Trustees, Faculty Senate, President's Leadership Team).
- b. Purpose: To provide rules education relating to NCAA Compliance to campus leadership.
- c. Scope: Overview of pertinent compliance rules and relevant topics.
- d. Frequency: Annually.
- e. Medium: In-person meetings (PowerPoint Presentations).

11. Booster Education

- a. Audience: Representatives of Athletics Interest.
- b. Purpose: To provide education to donors, season ticket holders, alumni and friends of University of Miami Athletics.
- c. Scope: 1. Game suites – NCAA rules education (booster brochure) is provided in baseball, basketball, and football suites during home contests; 2. Season ticket mailings – the compliance booster brochure is provided in football season ticket mailings; 4. Hurricane Sports (website) – NCAA rules education is provided through an interactive booster presentation available to boosters and friends of UM Athletics. There is also a specific NIL website with education for boosters; and 5. Meetings – upon request, a representative of the UM Compliance Office will attend booster/alumni functions in order to provide NCAA rules overviews and discussions as it relates to booster knowledge of NCAA student-athlete eligibility and the NCAA recruiting process.
- d. Frequency: Ongoing. The booster brochure is annually mailed out with season tickets and to local businesses/apartment complexes. The booster brochure is placed in suites for all home baseball, basketball, and football games.
- e. Medium: Season ticket booklets, mail, athletics department website.

12. Name, Image, and Likeness (NIL)

- a. Audience: Student-Athletes, Athletic Dept Staff, Campus Community, Boosters, Agents, Local Businesses.
- b. Purpose: To provide rules education relating to Name, Image and Likeness rules.
- c. Scope: Florida NIL Law and athletic department specific NIL policies and procedures. Reminders of where NCAA legislation/Interim Policy applies.
- d. Frequency: On-going.
- e. Medium: In-person meetings, virtual education, tip sheets, Altius Sports Partners.



13. Annual Review

- a. Audience: President, Presidents Leadership Team, FAR, Board of Trustees, Faculty Athletics Committee, Legal Counsel, Vice President for Compliance.
- b. Purpose: To provide a summary of all violations, an overview of all education provided during the previous academic year, and enhancements to compliance efforts.
- c. Scope: Comprehensive overview of the athletic department's compliance efforts during the previous academic year.
- d. Frequency: Yearly. Report is provided in July during the Presidential Review.
- e. Medium: In-person meeting.

14. Local Mailings

- a. Audience: Local Businesses and Apartment Complexes.
- b. Purpose: To provide rules education relating to NCAA Compliance.
- c. Scope: Impermissible benefits, shared responsibility, booster brochure.
- d. Frequency: Annually.
- e. Medium: Mail.

15. Social Media

- a. Audience: General Public.
- b. Purpose: To provide general and time-specific reminders to a broad range of people. To show support for the University of Miami Athletics, individuals, and the University at large.
- c. Scope: Very brief reminders pertaining to specific legislation, events, or groups.
- d. Frequency: Ongoing.
- e. Medium: Twitter/Social Media.