

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L99999MH1996PLC132983

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACI7397D

(ii) (a) Name of the company

IIFL SECURITIES LIMITED

(b) Registered office address

IIFL House, Sun Infotech Park, Road No.  
Plot No.B-23, Thane Industrial Area, Wagle Estate  
Thane  
Maharashtra  
400604

(c) \*e-mail ID of the company

secretarial@iifl.com

(d) \*Telephone number with STD code

02262727000

(e) Website

www.iiflsecurities.com

(iii) Date of Incorporation

21/03/1996

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024
2	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

30/06/2020

(b) Due date of AGM

30/09/2020

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	13
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	67

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

11

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IIFL FACILITIES SERVICES LIMIT	U70102MH2007PLC176401	Subsidiary	100

2	IIFL MANAGEMENT SERVICES L	U93000MH2000PLC129816	Subsidiary	100
3	IIFL INSURANCE BROKERS LIM	U66010MH2005PLC154486	Subsidiary	100
4	IIFL COMMODITIES LIMITED	U51100TN2004PLC077573	Subsidiary	100
5	IIFL SECURITIES SERVICES IFSC	U65929GJ2018PLC103546	Subsidiary	100
6	IIFL ASSET RECONSTRUCTION I	U74120MH2014PLC260200	Subsidiary	100
7	INDIA INFOLINE FOUNDATION	U80901MH2014NPL253380	Subsidiary	100
8	IIFL CAPITAL INC.		Subsidiary	100
9	SHREYANS FOUNDATIONS LLP		Subsidiary	99
10	MEENAKSHI TOWERS LLP		Subsidiary	99.5
11	IIFL WEALTH (UK) LIMITED		Subsidiary	100

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000,500	319,609,462	319,609,462	319,609,462
Total amount of equity shares (in Rupees)	1,000,001,000	639,218,924	639,218,924	639,218,924

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares				
Number of equity shares	500,000,500	319,609,462	319,609,462	319,609,462
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	1,000,001,000	639,218,924	639,218,924	639,218,924

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	18,718,281	187,182,810	187,182,810	
<b>Increase during the year</b>	319,609,462	639,218,924	639,218,924	9,159,250
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	375,000	750,000	750,000	9,159,250
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Shares issued pursuant to Composite Scheme of Arran	319,234,462	638,468,924	638,468,924	
<b>Decrease during the year</b>	18,718,281	187,182,810	187,182,810	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0

iii. Reduction of share capital	0	0	0	
iv. Others, specify	18,718,281	187,182,810	187,182,810	
Extinguished and cancelled in lieu of Composite Scheme				
<b>At the end of the year</b>	<b>319,609,462</b>	<b>639,218,924</b>	<b>639,218,924</b>	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
	0			
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
	0			
<b>At the end of the year</b>	<b>0</b>	<b>0</b>	<b>0</b>	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input style="width: 100%;" type="text"/>	
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 150px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 150px;" type="text"/>
Ledger Folio of Transferor		<input style="width: 100%;" type="text"/>	
Transferor's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input style="width: 100%;" type="text"/>	
Transferee's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 150px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 150px;" type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			756,368,158
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
<b>Total</b>			756,368,158

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

(i) Turnover

5,961,059,182

(ii) Net worth of the Company

6,497,084,952

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	89,423,714	27.98	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	5,719,500	1.79	0	



10.	Others	0	0	0	
	<b>Total</b>	95,143,214	29.77	0	0

**Total number of shareholders (promoters)**

8

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	49,347,003	15.44	0	
	(ii) Non-resident Indian (NRI)	20,062,787	6.28	0	
	(iii) Foreign national (other than NRI)	245,607	0.08	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	1,896	0	0	
6.	Foreign institutional investors	51,392,709	16.08	0	
7.	Mutual funds	2,273,628	0.71	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	90,638,347	28.36	0	
10.	Others (AIF,IEPF,Trusts, Clearing M	10,504,271	3.29	0	
	<b>Total</b>	224,466,248	70.24	0	0

**Total number of shareholders (other than promoters)**

42,244

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

42,252

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

65

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
ACADIAN EMERGING	DEUTSCHE BANK AG DB HOUSE, H			32,071	0.01
ACADIAN EMERGING	DEUTSCHE BANK AG, DB HOUSE H			96,970	0.03
ACADIAN EMERGING	DEUTSCHE BANK AG, DB HOUSE H			52,629	0.01
ACADIAN EMERGING	DEUTSCHE BANK AG, DB HOUSE H			129,029	0.04
ACADIAN SUSTAINABI	DEUTSCHE BANK AG, DB HOUSE H			3,772	0
ALASKA PERMANENT	DEUTSCHE BANK AG DB HOUSE, H			91,289	0.02
BANK MUSCAT INDIA	HSBC SECURITIES SERVICES 11TH F			12,598,222	3.94
BLACKROCK AQUILA E	DEUTSCHE BANK AG, DB HOUSE H			7,070	0
BNS ASIA LIMITED	HSBC SECURITIES SERVICES 11TH F			800	0
BUMA-UNIVERSAL-FO	JPMORGAN CHASE BANK N.A. IND			224,717	0.07
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			37,356	0.01
CITY OF NEW YORK GF	DEUTSCHE BANK AG DB HOUSE, H			419,187	0.13
DFA AUSTRALIA LIMIT	CITIBANK N.A. CUSTODY SERVICES			34,201	0.01
DFA INTERNATIONAL	STANDARD CHARTERED BANK SEC			12,997	0
DFA INTERNATIONAL	STANDARD CHARTERED BANK SEC			10,610	0
DGIA EMERGING MAR	DEUTSCHE BANK AG DB HOUSE, H			77	0
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			2,626,942	0.82
DIMENSIONAL FUNDS	DEUTSCHE BANK AG, DB HOUSE H			8,966	0
DOVETAIL INDIA FUNI	DBS BANK LTD GROUND FLOOR E			3,831	0
DOVETAIL INDIA FUNI	DBS BANK LIMITED, GROUND FLO			4,700	0
EMERGING MARKETS (	DEUTSCHE BANK AG, DB HOUSE H			89,174	0.02
EMERGING MARKETS (	DEUTSCHE BANK AG, DB HOUSE H			1,078,536	0.33
EMERGING MARKETS S	CITIBANK N.A. CUSTODY SERVICES			99,665	0.03
EMERGING MARKETS S	CITIBANK N.A. CUSTODY SERVICES			5,801	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE H			70,554	0.02

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	8	8
Members (other than promoters)	0	42,244
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	1	0	3.5	0
<b>B. Non-Promoter</b>	2	3	2	3	0.05	0.04
(i) Non-Independent	2	1	2	0	0.05	0
(ii) Independent	0	2	0	3	0	0.04
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	3	3	3	3.55	0.04

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
VENKATARAMAN RAJ.	00011919	Managing Director	11,184,432	
KRANTI SINHA	00001643	Director	115,000	27/07/2020
MOHAN RADHAKRISHN	00012070	Whole-time director	100,000	
NARENDRA DESHMAL	01984467	Whole-time director	58,000	
SHAMIK DAS SHARMA	07779526	Additional director	0	
REKHA GOPAL WARRIAR	08152356	Director	0	
ARINDAM CHANDA	AEGPC5082G	CEO	24,205	
RONAK DHIRAJLAL GAJRE	APUPG7416D	CFO	0	
ROSHAN BHANUPRAKASH	ATMPD1701G	Company Secretary	570	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

13

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
GEETA MATHUR	02139552	Director	08/05/2019	Cessation
REKHA GOPAL WARRIAR	08152356	Additional director	08/05/2019	Appointment
ANNIRUDDHA DANGE	02599853	Director	01/07/2019	Change in designation
JAYESH UPADHYAY	AAVPU1534C	Company Secretary	01/12/2019	Cessation
ROSHAN BHANUPRAKASH	ATMPD1701G	Company Secretary	01/12/2019	Appointment
NARENDRA DESHMAL	01984467	Director	13/05/2019	Change in designation
VENKATARAMAN RAJ.	00011919	Managing Director	13/05/2019	Appointment
BHAWANI JHANWAR	AFGPJ7039H	CFO	22/10/2019	Cessation
RONAK DHIRAJLAL GAJRE	APUPG7416D	CFO	22/10/2019	Appointment
ANNIRUDDHA DANGE	02599853	Director	27/11/2019	Cessation
SHAMIK DAS SHARMA	07779526	Additional director	14/01/2020	Appointment
REKHA WARRIAR	08152356	Director	17/05/2019	Appointment as Independent Director
VENKATARAMAN RAJ.	00011919	Additional director	13/05/2019	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/09/2019	38,862	86	33.48
Extra-Ordinary General Meeting	17/05/2019	8	6	99.99

### B. BOARD MEETINGS

\*Number of meetings held

10

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	10/04/2019	5	5	100
2	30/04/2019	5	4	80
3	08/05/2019	6	3	50
4	13/05/2019	6	3	50
5	06/06/2019	6	2	33.33
6	25/06/2019	6	5	83.33
7	08/08/2019	6	5	83.33
8	22/10/2019	6	5	83.33
9	29/01/2020	6	4	66.67
10	07/02/2020	6	5	83.33

### C. COMMITTEE MEETINGS

Number of meetings held

15

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	08/05/2019	3	2	66.67
2	Audit Committee	13/05/2019	3	2	66.67
3	Audit Committee	25/06/2019	3	3	100
4	Audit Committee	08/08/2019	3	3	100
5	Audit Committee	22/10/2019	3	3	100
6	Audit Committee	07/02/2020	3	2	66.67
7	Nomination and	08/05/2019	3	2	66.67
8	Nomination and	08/08/2019	3	2	66.67
9	Nomination and	22/10/2019	3	2	66.67
10	Nomination and	07/02/2020	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	VENKATARAMAN	6	6	100	1	1	100	Yes
2	KRANTI SINHA	10	9	90	12	12	100	Yes
3	MOHAN RADI	10	9	90	3	3	100	Yes
4	NARENDRA D	10	8	80	12	11	91.67	Yes
5	SHAMIK DAS	2	1	50	3	3	100	Yes
6	REKHA GOPA	8	5	62.5	10	9	90	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mohan Radhakrishn	Whole-Time Dire	13,928,612	0	0	578,190	14,506,802
2	Narendra Jain	Whole-Time Dire	6,128,746	0	0	0	6,128,746
3	Venkataraman Raja	Managing Direct	44,797,864	0	0	282,697	45,080,561
4	Aniruddha Dange	Whole-Time Dire	984,159	0	0	49,800	1,033,959
	Total		65,839,381	0	0	910,687	66,750,068

Number of CEO, CFO and Company secretary whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Bhawani Jhanwar	CFO	4,809,574	0	0	333,151	5,142,725
2	Roshan Dave	CS	658,327	0	0	29,294	687,621
3	Jayesh Upadhyay	CS	920,486	0	0	0	920,486
4	Ronak Gandhi	CFO	1,156,434	0	0	0	1,156,434
5	Arindam Chanda	CEO	12,079,164	0	0	0	12,079,164
	Total		19,623,985	0	0	362,445	19,986,430

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Aniruddha Dange	Non- Executive	0	0	0	0	0
2	Geeta Mathur	Independent Dir	0	0	0	30,000	30,000
3	Kranti Sinha	Independent Dir	0	1,000,000	0	615,000	1,615,000
4	Shamik Das Sharma	Independent Dir	0	250,000	0	150,000	400,000
5	Rekha Warriar	Independent Dir	0	916,667	0	420,000	1,336,667
	Total		0	2,166,667	0	1,215,000	3,381,667

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Nilesh G Shah

Whether associate or fellow

Associate  Fellow

Certificate of practice number

2631

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.



### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

RADHAKRI  
SHNAN  
MOHAN

DIN of the director

00012070

#### To be digitally signed by

ROSHA  
N DAVE

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

#### List of attachments

FPI details.pdf  
Committee meetings.pdf  
IIFL Securities - Certificate in the Form No

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**