

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015			
1.	Name of Listed Entity: IIFL Securities Limited		
2.	Scrip Code/Name of Scrip/Class of Security: 542773		
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
		Particulars	
			Yes* No*
1		Whether the Listed Entity has issued any partly paid up shares?	No
2		Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3		Whether the Listed Entity has any shares against which depository receipts are issued?	No
4		Whether the Listed Entity has any shares in locked-in?	No
5		Whether any shares held by promoters are pledge or otherwise encumbered?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.			
GENERATED ON :29/01/2021 NSDL : 22/01/2021 CDSL :22/01/2021			

Megha S.



IIFL Securities Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)				(X)	(XI)=	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	8	95143214	0	0	95143214	30.7418	95143214	0	95143214	30.7418	0	30.7418	0	0	0	0	95143214
(B)	Public	33165	214348118	0	0	214348118	69.2582	214348118	0	214348118	69.2582	0	69.2582	0	0	NA	NA	214071398
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	33173	309491332	0	0	309491332	100	309491332	0	309491332	100	0	100	0	0	0	0	309214612

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1 Indian																	
(a) Individuals / Hindu Undivided Family	6	89423714	0	0	89423714	28.8938	89423714	0	89423714	28.8938	0	28.8938	0	0	0	0	89423714
Nirmal Bhanwarlal Jain	1	46964282	0	0	46964282	15.1747	46964282	0	46964282	15.1747	0	15.1747	0	0	0	0	46964282
Madhu N Jain	1	12075000	0	0	12075000	3.9016	12075000	0	12075000	3.9016	0	3.9016	0	0	0	0	12075000
Venkataraman Rajamani	1	11184432	0	0	11184432	3.6138	11184432	0	11184432	3.6138	0	3.6138	0	0	0	0	11184432
Mansukhlal Jain	1	10000000	0	0	10000000	3.2311	10000000	0	10000000	3.2311	0	3.2311	0	0	0	0	10000000
Aditi Avinash Athavankar	1	9000000	0	0	9000000	2.908	9000000	0	9000000	2.908	0	2.908	0	0	0	0	9000000
Aditi Athavankar	1	200000	0	0	200000	0.0646	200000	0	200000	0.0646	0	0.0646	0	0	0	0	200000
(b) Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (Specify)	2	5719500	0	0	5719500	1.848	5719500	0	5719500	1.848	0	1.848	0	0	0	0	5719500
Persons Acting In Concert	2	5719500	0	0	5719500	1.848	5719500	0	5719500	1.848	0	1.848	0	0	0	0	5719500
Orpheus Trading Pvt Ltd	1	3019500	0	0	3019500	0.9756	3019500	0	3019500	0.9756	0	0.9756	0	0	0	0	3019500
Ardent Impex Pvt Ltd	1	2700000	0	0	2700000	0.8724	2700000	0	2700000	0.8724	0	0.8724	0	0	0	0	2700000
Sub Total (A)(1)	8	95143214	0	0	95143214	30.7418	95143214	0	95143214	30.7418	0	30.7418	0	0	0	0	95143214
2 Foreign																	
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	95143214	0	0	95143214	30.7418	95143214	0	95143214	30.7418	0	30.7418	0	0	0	0	95143214

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities	ding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: Y									Total
(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1 Institutions																	
(a) Mutual Fund	1	2088110	0	0	2088110	0.6747	2088110	0	2088110	0.6747	0	0	0	0	0	0	2088110
(b) Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds	3	10993536	0	0	10993536	3.5521	10993536	0	10993536	3.5521	0	0	0	0	0	0	10993536
Abakkus Growth Fund-1	1	6650000	0	0	6650000	2.1487	6650000	0	6650000	2.1487	0	0	0	0	0	0	6650000
Abakkus Emerging Opportunities Fund-1	1	4250000	0	0	4250000	1.3732	4250000	0	4250000	1.3732	0	0	0	0	0	0	4250000
(d) Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investor	46	49616796	0	0	49616796	16.0317	49616796	0	49616796	16.0317	0	0	0	0	0	0	49616796
Hwic Asia Fund Class A Shares	1	28362530	0	0	28362530	9.1642	28362530	0	28362530	9.1642	0	0	0	0	0	0	28362530
Bank Muscat India Fund	1	12598222	0	0	12598222	4.0706	12598222	0	12598222	4.0706	0	0	0	0	0	0	12598222
(f) Financial Institutions / Banks	1	1883	0	0	1883	0.0006	1883	0	1883	0.0006	0	0	0	0	0	0	1883
(g) Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)	51	62700325	0	0	62700325	20.2592	62700325	0	62700325	20.2592	0	0	0	0	0	0	62700325
Central Government/ State Government(s)/ President of India																	
Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 Non-Institutions																	
(a) Individuals			0	0													
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	31424	22592173	0	0	22592173	7.2998	22592173	0	22592173	7.2998	0	0	0	0	0	0	22541577
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	55	21584061	0	0	21584061	6.9741	21584061	0	21584061	6.9741	0	0	0	0	0	0	21584061
(b) NBFCs registered with RBI	2	1200	0	0	1200	0.0004	1200	0	1200	0.0004	0	0	0	0	0	0	1200
Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Any Other (Specify)	1633	107470359	0	0	107470359	34.7247	107470359	0	107470359	34.7247	0	0	0	0	0	0	107244235
IEPF	1	26244	0	0	26244	0.0085	26244	0	26244	0.0085	0	0	0	0	0	0	26244
Trusts	2	75084	0	0	75084	0.0242	75084	0	75084	0.0242	0	0	0	0	0	0	75084
Foreign Nationals	2	151757	0	0	151757	0.049	151757	0	151757	0.049	0	0	0	0	0	0	151757
Hindu Undivided Family	674	989561	0	0	989561	0.3197	989561	0	989561	0.3197	0	0	0	0	0	0	989561
Bodies Corporate	300	89138148	0	0	89138148	28.8015	89138148	0	89138148	28.8015	0	0	0	0	0	0	89137024
Fih Mauritius Investments Ltd	1	84641445	0	0	84641445	27.3486	84641445	0	84641445	27.3486	0	0	0	0	0	0	84641445
Non Resident Indians (Non Repat)	185	2717980	0	0	2717980	0.8782	2717980	0	2717980	0.8782	0	0	0	0	0	0	2717980
Non Resident Indians (Repat)	332	11742350	0	0	11742350	3.7941	11742350	0	11742350	3.7941	0	0	0	0	0	0	11517350
Parajia Bharat Himatlal	1	10000000	0	0	10000000	3.2311	10000000	0	10000000	3.2311	0	0	0	0	0	0	10000000
Foreign Portfolio Investor (Individual)	1	11410	0	0	11410	0.0037	11410	0	11410	0.0037	0	0	0	0	0	0	11410
Clearing Member	136	2617825	0	0	2617825	0.8458	2617825	0	2617825	0.8458	0	0	0	0	0	0	2617825
Sub Total (B)(3)	33114	151647793	0	0	151647793	48.999	151647793	0	151647793	48.999	0	0	0	0	0	0	151371073
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	33165	214348118	0	0	214348118	69.2582	214348118	0	214348118	69.2582	0	0	0	0	0	0	214071398

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	%
ARDENT IMPEX PVT LTD	0.8724
ORPHEUS TRADING PVT LTD	0.6525
ORPHEUS TRADING PVT LTD	0.3231

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table V - Statement showing details of the Significant Beneficial Owner

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of significant
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.98	-	-	No	No	06-06-2019
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.87	-	-	No	No	06-06-2019
3	Nirmal Jain, Madhu Jain, Bhavya Jain, Kalpita Jain and Harshita Jain collectively	Indian	Mansukhlal Jain and Harshita Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.23	-	-	No	No	06-06-2019

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

Megha S.

