

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: IIFL Finance Limited (Formerly known as IIFL Holdings Limited)
2.	Scrip Code/Name of Scrip/Class of Security: 532636/IIFL
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Shareholding Pattern as on December 31, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	8	93980336	0	0	93980336	29.40	93980336	0	93980336	29.40	0	29.40	0	0	0	0	93980336
(B)	Public	38676	225666606	0	0	225666606	70.60	225666606	0	225666606	70.60	0	70.60	0	0	NA	NA	225393815
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Total	38684	319646942	0	0	319646942	100.00	319646942	0	319646942	100.00	0	100.00	0	0	0	0	319374151

Shareholding Pattern as on December 31, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)	
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y	Total (IX)								
1	Indian																	
(a)	Individuals / Hindu Undivided Family	6	89161432	0	0	89161432	27.89	89161432	0	89161432	27.89	0	27.89	0	0	0	0	89161432
	Nirmal Bhanwarlal Jain	1	46902000	0	0	46902000	14.67	46902000	0	46902000	14.67	0	14.67	0	0	0	0	46902000
	Madhu N Jain	1	12075000	0	0	12075000	3.78	12075000	0	12075000	3.78	0	3.78	0	0	0	0	12075000
	Venkataraman Rajamani	1	10984432	0	0	10984432	3.44	10984432	0	10984432	3.44	0	3.44	0	0	0	0	10984432
	Aditi Avinash Athavankar (in her capacity as Trustee of Kalki Family Private Trust)	1	9000000	0	0	9000000	2.82	9000000	0	9000000	2.82	0	2.82	0	0	0	0	9000000
	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	1	10000000	0	0	10000000	3.13	10000000	0	10000000	3.13	0	3.13	0	0	0	0	10000000
	Aditi Athavankar	1	200000	0	0	200000	0.06	200000	0	200000	0.06	0	0.06	0	0	0	0	200000
(b)	Central Government / State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(d)	Any Other (Specify)	2	4818904	0	0	4818904	1.51	4818904	0	4818904	1.51	0	1.51	0	0	0	0	4818904
	Persons Acting in Concert	2	4818904	0	0	4818904	1.51	4818904	0	4818904	1.51	0	1.51	0	0	0	0	4818904
	Ardent Impex Pvt Ltd	1	3518904	0	0	3518904	1.10	3518904	0	3518904	1.10	0	1.10	0	0	0	0	3518904
	Orpheus Trading Pvt Ltd	1	1300000	0	0	1300000	0.41	1300000	0	1300000	0.41	0	0.41	0	0	0	0	1300000
	Sub Total (A)(1)	8	93980336	0	0	93980336	29.40	93980336	0	93980336	29.40	0	29.40	0	0	0	0	93980336
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Sub Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	93980336	0	0	93980336	29.40	93980336	0	93980336	29.40	0	29.40	0	0	0	0	93980336

Shareholding Pattern as on December 31, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
							No of Voting Rights					As a % of total Shares held(b) (XII)	As a % of total Shares held(b) (XIII)			
							Class eg: X	Class eg: y	Total (IX)							
1 Institutions																
(a) Mutual Fund	2	7290	0	0	7290	0.00	7290	0	7290	0.00	0	0	0	NA	NA	7290
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
(c) Alternate Investment Funds	3	1438117	0	0	1438117	0.45	1438117	0	1438117	0.45	0	0	0	NA	NA	1438117
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
(e) Foreign Portfolio Investor	100	78173864	0	0	78173864	24.46	78173864	0	78173864	24.46	0	0	0	NA	NA	78173864
Hwic Asia Fund Class A Shares*	1	28362530	0	0	28362530	8.87	28362530	0	28362530	8.87	0	0	0	NA	NA	28362530
WF Asian Reconnaissance Fund Limited	1	12831441	0	0	12831441	4.01	12831441	0	12831441	4.01	0	0	0	NA	NA	12831441
Bank Muscat India Fund	1	12598222	0	0	12598222	3.94	12598222	0	12598222	3.94	0	0	0	NA	NA	12598222
Vanguard Total International Stock Index Fund	1	3280637	0	0	3280637	1.03	3280637	0	3280637	1.03	0	0	0	NA	NA	3280637
Vanguard Emerging Markets Stock Index Fund, A Series Of Vanguard	1	3275236	0	0	3275236	1.02	3275236	0	3275236	1.02	0	0	0	NA	NA	3275236
(f) Financial Institutions / Banks	2	62598	0	0	62598	0.02	62598	0	62598	0.02	0	0	0	NA	NA	62598
(g) Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
(i) Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
Sub Total (B)(1)	107	79681869	0	0	79681869	24.93	79681869	0	79681869	24.93	0	0	0	NA	NA	79681869
2 Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
3 Non-Institutions																
(a) Individuals																
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	35657	13201059	0	0	13201059	4.13	13201059	0	13201059	4.13	0	0	0	NA	NA	13153268
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	34	18803846	0	0	18803846	5.88	18803846	0	18803846	5.88	0	0	0	NA	NA	18803846
(b) NBFCs registered with RBI	3	1201	0	0	1201	0.00	1201	0	1201	0.00	0	0	0	NA	NA	1201
(c) Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
(e) Any Other (Specify)	2875	113978631	0	0	113978631	35.66	113978631	0	113978631	35.66	0	0	0	NA	NA	113753631
IEPF	1	26244	0	0	26244	0.01	26244	0	26244	0.01	0	0	0	NA	NA	26244
Trusts	6	85667	0	0	85667	0.03	85667	0	85667	0.03	0	0	0	NA	NA	85667
Foreign Nationals	4	242557	0	0	242557	0.08	242557	0	242557	0.08	0	0	0	NA	NA	242557
Hindu Undivided Family	946	463111	0	0	463111	0.14	463111	0	463111	0.14	0	0	0	NA	NA	463111
Foreign Companies	1	84641445	0	0	84641445	26.48	84641445	0	84641445	26.48	0	0	0	NA	NA	84641445
Fih Mauritius Investments Ltd*	1	84641445	0	0	84641445	26.48	84641445	0	84641445	26.48	0	0	0	NA	NA	84641445
Non Resident Indians (Non Repat)	413	9494518	0	0	9494518	2.97	9494518	0	9494518	2.97	0	0	0	NA	NA	9494518
Parajia Bharat Himatlal	1	8746052	0	0	8746052	2.74	8746052	0	8746052	2.74	0	0	0	NA	NA	8746052
Non Resident Indians (Repat)	729	15892005	0	0	15892005	4.97	15892005	0	15892005	4.97	0	0	0	NA	NA	15667005
Parajia Bharat Himatlal	1	10000000	0	0	10000000	3.13	10000000	0	10000000	3.13	0	0	0	NA	NA	10000000
Satpal Khattar	1	5216528	0	0	5216528	1.63	5216528	0	5216528	1.63	0	0	0	NA	NA	5216528
Office Bearers	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	NA	0
Foreign Portfolio Investor (Individual)	1	55000	0	0	55000	0.02	55000	0	55000	0.02	0	0	0	NA	NA	55000
Clearing Member	77	153913	0	0	153913	0.05	153913	0	153913	0.05	0	0	0	NA	NA	153913
Bodles Corporate	697	2924171	0	0	2924171	0.91	2924171	0	2924171	0.91	0	0	0	NA	NA	2924171
Sub Total (B)(3)	38569	145984737	0	0	145984737	45.67	145984737	0	145984737	45.67	0	0	0	NA	NA	145711946
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	38676	225666606	0	0	225666606	70.60	225666606	0	225666606	70.60	0	0	0	NA	NA	225393815

Note: *Fih Mauritius Investments Ltd and Hwic Asia Fund (Class A Shares) ("Fairfax Shareholders") has given an undertaking to SEBI that the voting rights in IIFL Finance Limited shall be collectively restricted to 25% of the equity share capital of the Company.

Shareholding Pattern as on December 31, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0

Shareholding Pattern as on December 31, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Table V - Statement showing details of Significant Beneficial Owners (SBO)

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Dividend Rights %	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.41	-	-	No	No	14-03-2005
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	1.10	-	-	No	No	19-05-2006
3	Harshita Jain, Trustee of Nirmal Madhu Family Private Trust	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.13	-	-	No	No	18-01-2018
4	Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.13	-	-	No	No	18-01-2018

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.
 †This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

