

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: IIFL Finance Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532636/IIFL
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending September 30, 2022
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Shareholding Pattern as on September 30, 2022
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IIFL Finance Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	8	94547490	0	0	94547490	24.90	94547490	0	94547490	24.90	0	24.90	0	0	0	0	94547490
(B)	Public	73438	285216109	0	0	285216109	75.10	285216109	0	285216109	75.10	0	75.10	0	0	NA	NA	284966018
(C)	Non Promoter - Non Public				0				0		0	0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	NA	0
	Total	73446	379763599	0	0	379763599	100	379763599	0	379763599	100	0	100	0	0	0	0	379513508

Shareholding Pattern as on September 30, 2022

IIFL Finance Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type i.e. Promoter OR Promoter Group Entity (Except Promoter)	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities including full conversion of convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		6	89978586	0	0	89978586	23.69	89978586	0	89978586	23.69	0	23.69	0	0	0	89978586	
	Nirmal Bhanwarlal Jain	Promoters	1	47719154	0	0	47719154	12.57	47719154	0	47719154	12.57	0	12.57	0	0	0	47719154	
	Madhu N Jain	Promoters	1	12075000	0	0	12075000	3.18	12075000	0	12075000	3.18	0	3.18	0	0	0	12075000	
	Venkataraman Rajamani	Promoters	1	10984432	0	0	10984432	2.89	10984432	0	10984432	2.89	0	2.89	0	0	0	10984432	
	Harshita Jain and Mansukhlal Jain (in their capacity as Trustees of Nirmal Madhu Family Private Trust)	Promoters	1	10000000	0	0	10000000	2.63	10000000	0	10000000	2.63	0	2.63	0	0	0	10000000	
	Aditi Avinash Athavankar (in her capacity as Trustee of Kalki Family Private Trust)	Promoters	1	9000000	0	0	9000000	2.37	9000000	0	9000000	2.37	0	2.37	0	0	0	9000000	
	Aditi Athavankar	Promoters	1	200000	0	0	200000	0.05	200000	0	200000	0.05	0	0.05	0	0	0	200000	
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(d)	Any Other (Specify)		2	4568904	0	0	4568904	1.20	4568904	0	4568904	1.20	0	1.20	0	0	0	4568904	
	Persons Acting In Concert		2	4568904	0	0	4568904	1.20	4568904	0	4568904	1.20	0	1.20	0	0	0	4568904	
	Ardent Impex Pvt Ltd	Promoter Group Entity	1	3268904	0	0	3268904	0.86	3268904	0	3268904	0.86	0	0.86	0	0	0	3268904	
	Orpheus Trading Pvt Ltd	Promoter Group Entity	1	1300000	0	0	1300000	0.34	1300000	0	1300000	0.34	0	0.34	0	0	0	1300000	
	Sub Total (A)(1)		8	94547490	0	0	94547490	24.90	94547490	0	94547490	24.90	0	24.90	0	0	0	94547490	
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		8	94547490	0	0	94547490	24.90	94547490	0	94547490	24.90	0	24.90	0	0	0	94547490	

Shareholding Pattern as on September 30, 2022

IIFL Finance Limited
Table III – Statement showing shareholding pattern of the Public shareholder

Table III - Statement showing shareholding pattern of the Public shareholder																		
Category & Name of the shareholders		Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding g convertible securities (including Warrants)	Shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	Sub-categorization of shares		
								No of Voting Rights		Total as a % of Total Voting Rights						Shareholding(No. of shares) under		
								Class eg: X	Class eg: Y	Total						Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(I)		(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)	(XIII)	(XIV)	(XV)		
1 Institutions (Domestic)																0	0	0
(a)	Mutual Fund	6	1298971	0	0	1298971	0.34	1298971	0	1298971	0.34	0	0.34	0	0	NA	NA	1298971
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(c)	Alternate Investment Funds	8	5315188	0	0	5315188	1.40	5315188	0	5315188	1.40	0	1.40	0	0	NA	NA	5315188
(d)	Banks	2	34047	0	0	34047	0.01	34047	0	34047	0.01	0	0.01	0	0	NA	NA	34047
(e)	Insurance Companies	3	6304409	0	0	6304409	1.66	6304409	0	6304409	1.66	0	1.66	0	0	NA	NA	6304409
	Life Insurance Corporation Of India	1	4345332	0	0	4345332	1.14	4345332	0	4345332	1.14	0	1.14	0	0	NA	NA	4345332
(f)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(g)	Asset Reconstruction Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(h)	Sovereign Wealth Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(i)	NBFCs registered with RBI	2	1200	0	0	1200	0.00	1200	0	1200	0.00	0	0.00	0	0	NA	NA	1200
(j)	Other Financial Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(k)	Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Sub Total (B)(1)	21	12953815	0	0	12953815	3.41	12953815	0	12953815	3.41	0	3.41	0	0	NA	NA	12953815
2 Institutions (Foreign)																		
(a)	Foreign Direct Investment	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(b)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(c)	Sovereign Wealth Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(d)	Foreign Portfolio Investors Category I	2	79388	0	0	79388	0.02	79388	0	79388	0.02	0	0.02	0	0	NA	NA	79388
(e)	Foreign Portfolio Investors Category II	136	97031746	0	0	97031746	25.55	97031746	0	97031746	25.55	0	25.55	0	0	NA	NA	97031746
	Smallcap World Fund, Inc	1	23246993	0	0	23246993	6.12	23246993	0	23246993	6.12	0	6.12	0	0	NA	NA	23246993
	Bank Muscat India Fund	1	12598222	0	0	12598222	3.32	12598222	0	12598222	3.32	0	3.32	0	0	NA	NA	12598222
	Wf Asian Reconnaissance Fund Limited	1	10606934	0	0	10606934	2.79	10606934	0	10606934	2.79	0	2.79	0	0	NA	NA	10606934
	Nomura India Investment Fund Mother Fund	1	4474548	0	0	4474548	1.18	4474548	0	4474548	1.18	0	1.18	0	0	NA	NA	4474548
	Vanguard Emerging Markets Stock Index Fund, A Series Of Vanguard International Equity Index Funds	1	3874472	0	0	3874472	1.02	3874472	0	3874472	1.02	0	1.02	0	0	NA	NA	3874472
(f)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(g)	Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Sub Total (B)(2)	138	97111134	0	0	97111134	25.57	97111134	0	97111134	25.57	0	25.57	0	0	NA	NA	97111134
3 Central Government/ State Government(s)																		
(a)	Central Government / President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(b)	State Government / Governor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Sub Total (B)(3)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
4 Non-Institutions																		
(a)	Associate companies / Subsidiaries	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)	4	2898839	0	0	2898839	0.76	2898839	0	2898839	0.76	0	0.76	0	0	NA	NA	2898839
(c)	Key Managerial Personnel	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(f)	Investor Education and Protection Fund (IEPF)	1	45810	0	0	45810	0.01	45810	0	45810	0.01	0	0.01	0	0	NA	NA	45810
(g)	i. Resident individual holding nominal share capital up to Rs. 2 lakhs.	68724	29339044	0	0	29339044	7.73	29339044	0	29339044	7.73	0	7.73	0	0	NA	NA	29339044
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	44	14321940	0	0	14321940	3.77	14321940	0	14321940	3.77	0	3.77	0	0	NA	NA	14321940
(i)	Non Resident Indians (NRIs)	1608	28151139	0	0	28151139	7.41	28151139	0	28151139	7.41	0	7.41	0	0	NA	NA	27926139
	Parajala Bharat Himatli	1	19720000	0	0	19720000	5.19	19720000	0	19720000	5.19	0	5.19	0	0	NA	NA	19720000
	Satpal Khattar	1	5073486	0	0	5073486	1.34	5073486	0	5073486	1.34	0	1.34	0	0	NA	NA	5073486
(j)	Foreign Nationals	1	146000	0	0	146000	0.04	146000	0	146000	0.04	0	0.04	0	0	NA	NA	146000
(k)	Foreign Companies	1	84641445	0	0	84641445	22.29	84641445	0	84641445	22.29	0	22.29	0	0	NA	NA	84641445
	Fih Mauritius Investments Ltd	1	84641445	0	0	84641445	22.29	84641445	0	84641445	22.29	0	22.29	0	0	NA	NA	84641445
(l)	Bodies Corporate	1158	12643867	0	0	12643867	3.33	12643867	0	12643867	3.33	0	3.33	0	0	NA	NA	12643867
(m)	Any Other (Specify)	1738	2963076	0	0	2963076	0.78	2963076	0	2963076	0.78	0	0.78	0	0	NA	NA	2963076
	Trusts	5	78291	0	0	78291	0.02	78291	0	78291	0.02	0	0.02	0	0	NA	NA	78291
	Body Corp-Ltd Liability Partnership	138	1319322	0	0	1319322	0.35	1319322	0	1319322	0.35	0	0.35	0	0	NA	NA	1319322
	Hindu Undivided Family	1513	1383677	0	0	1383677	0.36	1383677	0	1383677	0.36	0	0.36	0	0	NA	NA	1383677
	Clearing Member	82	181786	0	0	181786	0.05	181786	0	181786	0.05	0	0.05	0	0	NA	NA	181786
	Sub Total (B)(4)	73279	175151160	0	0	175151160	46.12	175151160	0	175151160	46.12	0	46.12	0	0	NA	NA	174901069
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	73438	285216109	0	0	285216109	75.10	285216109	0	285216109	75.10	0	75.10	0	0	NA	NA	284966018

Shareholding Pattern as on September 30, 2022

IIFL Finance Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights						Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total	(IX)								
								(X)											
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a					(X)	(XI)=	(XII)	(XIII)		(XIV)		
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	

Shareholding Pattern as on September 30, 2022

IIFL Finance Limited

Table V - Statement showing details of Significant Beneficial Owners (SBO)

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of significant beneficial
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Dividend Rights %	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.34	-	-	No	No	14-03-2005
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.86	-	-	No	No	19-05-2006
3	Harshita Jain, Trustee of Nirmal Madhu Family Private Trust	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustees of Nirmal Madhu Family Private Trust)	Indian	2.63	-	-	No	No	18-01-2018
4	Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustees of Nirmal Madhu Family Private Trust)	Indian	2.63	-	-	No	No	18-01-2018

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Coloumn III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This coloumn shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

Shareholding Pattern as on September 30, 2022

Annexure B

Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date	100.00	52.29
As on the end of previous 1st quarter	100.00	53.37
As on the end of previous 2nd quarter	100.00	55.07
As on the end of previous 3rd quarter	100.00	55.52
As on the end of previous 4th quarter	100.00	59.11