

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: IIFL Securities Limited
2.	Scrip Code/Name of Scrip/Class of Security: 542773
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :22/02/2021 NSDL : 19/02/2021 CDSL :19/02/2021

*Megha S.*



**IIFL Securities Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	(ix)		(x)	(xi)	(xii)	(xiii)	(xiv)				
(A)	Promoter & Promoter Group	8	95143214	0	0	95143214	31.4071	95143214	0	95143214	31.4071	0	31.4071	0	0	0	0	95143214
(B)	Public	33408	207792116	0	0	207717116	68.5681	207792116	0	207717116	68.5681	0	68.5681	0	0	NA	NA	207515396
(C)	Non Promoter - Non Public														0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	33416	302935330	0	0	302935330	100	302935330	0	302935330	100	0	100	0	0	0	0	302658610

GENERATED ON :22/02/2021 NSDL : 19/02/2021 CDSL :19/02/2021

*Megha S.*



**TIPL Securities Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including	ing, as a % assuming conversion of convertible securities	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights						No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
							Class eg: X	Class eg: y	Total									
<b>1</b>																		
(i) Indian																		
(a) Individuals / Hindu Undivided Family	6	89423714	0	0	89423714	29.5191	89423714	0	89423714	29.5191	0	29.5191	0	0	0	0	0	89423714
Nirmal Bhanwarlal Jain	1	46964282	0	0	46964282	15.5031	46964282	0	46964282	15.5031	0	15.5031	0	0	0	0	0	46964282
Madhu N Jain	1	12075000	0	0	12075000	3.986	12075000	0	12075000	3.986	0	3.986	0	0	0	0	0	12075000
Venkataraman Rajamani	1	11184432	0	0	11184432	3.692	11184432	0	11184432	3.692	0	3.692	0	0	0	0	0	11184432
Mansukhlal Jain	1	10000000	0	0	10000000	3.301	10000000	0	10000000	3.301	0	3.301	0	0	0	0	0	10000000
Aditi Avinash Athavankar	1	9000000	0	0	9000000	2.9709	9000000	0	9000000	2.9709	0	2.9709	0	0	0	0	0	9000000
Aditi Athavankar	1	2000000	0	0	2000000	0.066	2000000	0	2000000	0.066	0	0.066	0	0	0	0	0	2000000
(b) Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (Specify)	2	5719500	0	0	5719500	1.888	5719500	0	5719500	1.888	0	1.888	0	0	0	0	0	5719500
Persons Acting In Concert	2	5719500	0	0	5719500	1.888	5719500	0	5719500	1.888	0	1.888	0	0	0	0	0	5719500
Orpheus Trading Pvt Ltd	1	3019500	0	0	3019500	0.9967	3019500	0	3019500	0.9967	0	0.9967	0	0	0	0	0	3019500
Arcident Inpsa Pvt Ltd	1	2700000	0	0	2700000	0.8913	2700000	0	2700000	0.8913	0	0.8913	0	0	0	0	0	2700000
Sub Total (A)(1)	8	95143214	0	0	95143214	31.4071	95143214	0	95143214	31.4071	0	31.4071	0	0	0	0	0	95143214
<b>2</b>																		
(a) Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	8	95143214	0	0	95143214	31.4071	95143214	0	95143214	31.4071	0	31.4071	0	0	0	0	0	95143214

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :22/02/2021

NSDL : 19/02/2021

CDSL : 19/02/2021

*Megha*



**IIFL Securities Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(II)	(IV)	(V)	(VI)	(VII) = (IV)+(VI)	(VIII) As a % of (A+B+C)	(IX)			(X)	(XI)	(XII)		(XIV)			
<b>1</b>	<b>Institutions</b>																
(a)	Mutual Fund	1	1818149	0	0	1818149	0.6002	1818149	0	0.6002	0	0	0	0	NA	1818149	
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Alternate Investment Funds	3	11093536	0	0	11093536	3.662	11093536	0	3.662	0	0	0	0	NA	11093536	
	Abakus Growth Fund-1	1	6750000	0	0	6750000	2.282	6750000	0	2.282	0	0	0	0	NA	6750000	
	Abakus Emerging Opportunities Fund-1	1	4250000	0	0	4250000	1.4029	4250000	0	1.4029	0	0	0	0	NA	4250000	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	Foreign Portfolio Investor	46	49586367	0	0	49586367	16.3686	49586367	0	16.3686	0	0	0	0	NA	49586367	
	Hwic Asia Fund Class A Shares	1	28362530	0	0	28362530	9.3626	28362530	0	9.3626	0	0	0	0	NA	28362530	
	Bank Muscat India Fund	1	12598222	0	0	12598222	4.1587	12598222	0	4.1587	0	0	0	0	NA	12598222	
(f)	Financial Institutions / Banks	1	1883	0	0	1883	0.0006	1883	0	0.0006	0	0	0	0	NA	1883	
(g)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(i)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Sub Total (B)(1)	51	62499935	0	0	62499935	20.6314	62499935	0	20.6314	0	0	0	0	NA	62499935	
	Central Government/ State Government(s)/ President of India																
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
<b>3</b>	<b>Non-Institutions</b>																
(a)	Individuals																
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	31704	22354802	0	0	22354802	7.3794	22354802	0	7.3794	0	0	0	0	NA	22304206	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	51	18547799	0	0	18547799	6.1227	18547799	0	6.1227	0	0	0	0	NA	18547799	
(b)	NBFCs registered with RBI	2	1200	0	0	1200	0.0004	1200	0	0.0004	0	0	0	0	NA	1200	
	Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Any Other (Specify)	1600	104388380	0	0	104388380	34.4589	104388380	0	34.4589	0	0	0	0	NA	104162256	
	IEPF	1	26244	0	0	26244	0.0087	26244	0	0.0087	0	0	0	0	NA	26244	
	Trusts	2	75084	0	0	75084	0.0248	75084	0	0.0248	0	0	0	0	NA	75084	
	Foreign Nationals	2	151757	0	0	151757	0.0501	151757	0	0.0501	0	0	0	0	NA	151757	
	Hindu Undivided Family	670	996154	0	0	996154	0.3288	996154	0	0.3288	0	0	0	0	NA	996154	
	Bodies Corporate	296	87527339	0	0	87527339	28.893	87527339	0	28.893	0	0	0	0	NA	87526215	
	Zih Mauritius Investments Ltd	1	84641445	0	0	84641445	27.9404	84641445	0	27.9404	0	0	0	0	NA	84641445	
	Non Resident Indians (Non Repat)	513	14552130	0	0	14552130	4.8037	14552130	0	4.8037	0	0	0	0	NA	14327130	
	Paraja Bharat Himatlal	1	10000000	0	0	10000000	3.301	10000000	0	3.301	0	0	0	0	NA	10000000	
	Foreign Portfolio Investor (Individual)	1	11410	0	0	11410	0.0038	11410	0	0.0038	0	0	0	0	NA	11410	
	Clearing Member	113	1048262	0	0	1048262	0.346	1048262	0	0.346	0	0	0	0	NA	1048262	
	Sub Total (B)(3)	33357	145292181	0	0	145292181	47.9614	145292181	0	47.9614	0	0	0	0	NA	145015461	
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	33408	207792116	0	0	207792116	68.5928	207792116	0	68.5928	0	0	0	0	NA	207515396	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	%
ARDENT IMPEX PVT LTD	0.8913
ORPHEUS TRADING PVT LTD	0.3301
ORPHEUS TRADING PVT LTD	0.6666

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :22/02/2021 NSDL : 19/02/2021 CDSL :19/02/2021

*Megha*



**TIPL Securities Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (VI) +	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including convertible securities) (X)	Shares Underlying full conversion of convertible securities (XI)=	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
									Class eg: X	No of Voting Rights				Total as a % of (A+B+C) (IX)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
										Class eg: Y	Class eg: Z								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :22/02/2021

NSDL : 19/02/2021

CDSL : 19/02/2021



**IIFL Securities Limited**
**Table V - Statement showing details of the Significant Beneficial Owner**

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	1.00	-	-	No	No	6/6/2019
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.89	-	-	No	No	6/6/2019
3	Nirmal Jain, Madhu Jain, Bhavya Jain, Kalpita Jain and Harshita Jain collectively	Indian	Mansukhlal Jain and Harshita Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Indian	3.30	-	-	No	No	6/6/2019

\*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

