

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: IIFL Finance Limited (Formerly known as IIFL Holdings Limited)
2.	Scrip Code/Name of Scrip/Class of Security: 532636
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Shareholding Pattern as on September 30, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	8	93161432	0	0	93161432	29.18	93161432	0	93161432	29.18	0	29.18	0	0	0	0	93161432
(B)	Public	39631	225998030	0	0	225998030	70.79	225998030	0	225998030	70.82	0	70.79	0	0	NA	NA	225725226
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	1	75000	0	0	75000	0.02	0	0	0	0	0	0.02	0	0	NA	NA	75000
	Total	39640	319234462	0	0	319234462	100.00	319159462	0	319159462	100.00	0	100.00	0	0	0	0	318961658

Shareholding Pattern as on September 30, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depositor y Receipts	Total nos. shares held	Sharehold- ing % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlyin g Outstanding convertible securities (including Warrants)	Sharehold- ing, as a % assuming full conversio n of convertibl e securities (as a percentag e of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)	(X)	(XI) =	(XII)	(XIII)	(XIV)					
1	Indian																		
(a)	Individuals / Hindu Undivided Family		6	89161432	0	0	89161432	27.93	89161432	0	89161432	27.93	0	27.93	0	0	0	0	89161432
	Nirmal Bhanwarlal Jain	ABRPJ235G	1	46902000	0	0	46902000	14.69	46902000	0	46902000	14.69	0	14.69	0	0	0	0	46902000
	Madhu N Jain	ABEPJ6034E	1	12075000	0	0	12075000	3.78	12075000	0	12075000	3.78	0	3.78	0	0	0	0	12075000
	Venkataraman Rajamani	ADHPR6633G	1	10984432	0	0	10984432	3.44	10984432	0	10984432	3.44	0	3.44	0	0	0	0	10984432
	Aditi Avinash Athavankar (In her capacity as Trustee of Kalki Family Private Trust)	AADTK0762E	1	9000000	0	0	9000000	2.82	9000000	0	9000000	2.82	0	2.82	0	0	0	0	9000000
	Harshita Jain and Mansukhlal Jain (In their capacity as Trustee of Nirmal Madhu Family Private Trust)	AACTN7896B	1	10000000	0	0	10000000	3.13	10000000	0	10000000	3.13	0	3.13	0	0	0	0	10000000
	Aditi Athavankar	AACPA0090J	1	200000	0	0	200000	0.06	200000	0	200000	0.06	0	0.06	0	0	0	0	200000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(d)	Any Other (Specify)		2	4000000	0	0	4000000	1.25	4000000	0	4000000	1.25	0	1.25	0	0	0	0	4000000
	Persons Acting In Concert		2	4000000	0	0	4000000	1.25	4000000	0	4000000	1.25	0	1.25	0	0	0	0	4000000
	Ardent Impex Pvt Ltd	AAECA7631G	1	2700000	0	0	2700000	0.85	2700000	0	2700000	0.85	0	0.85	0	0	0	0	2700000
	Orpheus Trading Pvt Ltd	AAACO7172N	1	1300000	0	0	1300000	0.41	1300000	0	1300000	0.41	0	0.41	0	0	0	0	1300000
	Sub Total (A)(1)		8	93161432	0	0	93161432	29.18	93161432	0	93161432	29.18	0	29.18	0	0	0	0	93161432
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		8	93161432	0	0	93161432	29.18	93161432	0	93161432	29.18	0	29.18	0	0	0	0	93161432

Shareholding Pattern as on September 30, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)
Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI) (VIII) As a	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b) (XII)	No. (a)		As a % of total Shares held(b) (XIII)
							Class eg: X	Class eg: Y	Total (IX)								
1	Institutions																
(a)	Mutual Fund		2	1193716	0	1193716	0.37	1193716	0	1193716	0.37	0	0.37	0	0	NA	1193716
(b)	Venture Capital Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
(c)	Alternate Investment Funds		4	1410474	0	1410474	0.44	1410474	0	1410474	0.44	0	0.44	0	0	NA	1410474
(d)	Foreign Venture Capital Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
(e)	Foreign Portfolio Investor		112	77567373	0	77567373	24.30	77567373	0	77567373	24.30	0	24.30	0	0	NA	77567373
	Hwlc Asia Fund Class A Shares	AAACQ4504G	1	28362530	0	28362530	8.88	28362530	0	28362530	8.88	0	8.88	0	0	NA	28362530
	Bank Muscat India Fund	AADC84040P	1	12598222	0	12598222	3.95	12598222	0	12598222	3.95	0	3.95	0	0	NA	12598222
	WF Aslan Reconnaissance Fund Limited	AABCW5771E	1	9515355	0	9515355	2.98	9515355	0	9515355	2.98	0	2.98	0	0	NA	9515355
	Vanguard Total International Stock Index Fund	AABTV0442N	1	3602841	0	3602841	1.13	3602841	0	3602841	1.13	0	1.13	0	0	NA	3602841
	Vanguard Emerging Markets Stock Index Fund, A Series Of Vanguard International Equity Index Funds	AAATY0918K	1	3596908	0	3596908	1.13	3596908	0	3596908	1.13	0	1.13	0	0	NA	3596908
(f)	Financial Institutions / Banks		2	81441	0	81441	0.03	81441	0	81441	0.03	0	0.03	0	0	NA	81441
(g)	Insurance Companies		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
(i)	Any Other (Specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
	Sub Total (B)(1)		120	80253004	0	80253004	25.14	80253004	0	80253004	25.14	0	25.14	0	0	NA	80253004
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
	Sub Total (B)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
3	Non-Institutions																
(a)	Individuals																
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		36463	13601174	0	13601174	4.26	13601174	0	13601174	4.26	0	4.26	0	0	NA	13553370
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		34	21068938	0	21068938	6.60	21068938	0	21068938	6.60	0	6.60	0	0	NA	21068938
(b)	NBFCs registered with RBI		3	1201	0	1201	0.00	1201	0	1201	0.00	0	0.00	0	0	NA	1201
(c)	Employee Trusts		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
(e)	Any Other (Specify)		3011	111073713	0	111073713	34.79	111073713	0	111073713	34.79	0	34.79	0	0	NA	110848713
	IEPF		1	26244	0	26244	0.01	26244	0	26244	0.01	0	0.01	0	0	NA	26244
	Trusts		7	10850	0	10850	0.00	10850	0	10850	0.00	0	0.00	0	0	NA	10850
	Foreign Nationals		4	242557	0	242557	0.08	242557	0	242557	0.08	0	0.08	0	0	NA	242557
	Hindu Undivided Family		966	455470	0	455470	0.14	455470	0	455470	0.14	0	0.14	0	0	NA	455470
	Foreign Companies		1	84641445	0	84641445	26.51	84641445	0	84641445	26.51	0	26.51	0	0	NA	84641445
	Fili Mauritius Investments Ltd	AACCF5236C	1	84641445	0	84641445	26.51	84641445	0	84641445	26.51	0	26.51	0	0	NA	84641445
	Non Resident Indians (Non Repat)		431	6001479	0	6001479	1.88	6001479	0	6001479	1.88	0	1.88	0	0	NA	6001479
	Parajia Bharat Himatal	AHMPP5003B	1	5200000	0	5200000	1.63	5200000	0	5200000	1.63	0	1.63	0	0	NA	5200000
	Non Resident Indians (Repat)		784	16970403	0	16970403	5.32	16970403	0	16970403	5.32	0	5.32	0	0	NA	16745403
	Parajia Bharat Himatal	AHMPP5003B	1	10000000	0	10000000	3.13	10000000	0	10000000	3.13	0	3.13	0	0	NA	10000000
	Satpal Khattar	ARCPK9611J	1	6216528	0	6216528	1.95	6216528	0	6216528	1.95	0	1.95	0	0	NA	6216528
	Office Bearers		1	72014	0	72014	0.02	72014	0	72014	0.02	0	0.02	0	0	NA	72014
	Foreign Portfolio Investor (Individual)		1	55000	0	55000	0.02	55000	0	55000	0.02	0	0.02	0	0	NA	55000
	Clearing Member		81	246751	0	246751	0.08	246751	0	246751	0.08	0	0.08	0	0	NA	246751
	Bodies Corporate		734	2351500	0	2351500	0.74	2351500	0	2351500	0.74	0	0.74	0	0	NA	2351500
	Sub Total (B)(3)		39511	145745026	0	145745026	45.65	145745026	0	145745026	45.65	0	45.65	0	0	NA	145472222
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		39631	225998030	0	225998030	70.79	225998030	0	225998030	70.79	0	70.79	0	0	NA	225725226

Shareholding Pattern as on September 30, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	75000	0	0	75000	0.02	0	0	0	0.00	0	0.02	0	0	NA	NA	75000
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	75000	0	0	75000	0.02	0	0	0	0.00	0	0.02	0	0	NA	NA	75000

Shareholding Pattern as on September 30, 2019



IIFL Finance Limited (Formerly known as IIFL Holdings Limited)

Table V - Statement showing details of Significant Beneficial Owners (SBO)

Sr. No	Details of SBO (I)			Details of Registered Owner (II)			Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of
	Name	PAN/Passport No. in case of a Foreign National	Nationality	Name	PAN/Passport No. in case of a Foreign National	Nationality	Whether by virtue of:					
							Shares %	Voting Rights %	Dividend Rights %	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	ABRPJ9235G	Indian	Orpheus Trading Private Limited	AAACO7172N	Indian	0.41	-	-	No	No	14-03-2005
2	Nirmal Jain and Madhu Jain collectively	ABRPJ9235G	Indian	Ardent Impex Private Limited	AAECA7631G	Indian	0.85	-	-	No	No	19-05-2006
3	Harshita Jain, Trustee of Nirmal Madhu Family Private Trust	AWGPJ4500N	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	AACTN7896B	Indian	3.13	-	-	No	No	18-01-2018
4	Mansukhlal Jain, Trustee of Nirmal Madhu Family Private Trust	AACPJ7089G	Indian	Harshita Jain and Mansukhlal Jain (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	AACTN7896B	Indian	3.13	-	-	No	No	18-01-2018

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Coloumn III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

