

# Avenue Global Advisors LLC

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This brochure supplement provides information about Roberto Lee (“Supervised Person”) that supplements the brochure of Avenue Global Advisors LLC (the “Adviser”). You should have received a copy of that brochure. Please contact Calvin R. Persaud, Chief Compliance Officer, at [Calvin.Persaud@avenueglobaladvisors.com](mailto:Calvin.Persaud@avenueglobaladvisors.com) or 631-229-6151 if you did not receive the Adviser’s brochure or if you have any questions about the contents of this supplement.

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**Part 2B of Form ADV: Supplement to Firm Brochure  
July 24, 2019**

**Roberto Lee**

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## **ITEM 2. Educational Background and Business Experience**

Roberto Lee, Chief Executive Officer of the Adviser, was born in 1979. Mr. Lee received a Bachelor of Business Administration Pontifícia Universidade Católica de São Paulo PUC/SP. Mr. Lee is a recognized entrepreneur in the online retail brokerage space in Brazil. Mr. Lee built his career by leading the development of securities broker-dealers focused on providing access to a wide range of financial products to individual investors. Over the last two decades, he has lead the launch, development and sale of major financial institutions in the securities industry in Brazil. Prior to joining the Adviser, Mr. Lee founded and was the Chief Executive Officer for Clear Corretora and Wintrade Investimentos and was Executive Director (Head of Technology and Marketing) of XP Investimentos, all of which are Brazilian broker-dealers involved in the Brazilian market.

## **ITEM 3. Disciplinary Information**

There are no legal or disciplinary events with respect to the Supervised Person listed above.

## **ITEM 4. Other Business Activities**

This Supervised Person is also the CEO of Avenue Securities LLC and Avenue Cash LLC. Both are owned by the same entity. Avenue Securities LLC provides execution services for the Adviser. Although this arrangement typically has the potential to create a conflict of interest, it does not in this case due to the nature and structure of the wrap fee, namely that only the wrap fee is charged to the Clients and no transaction fees are charged to the Clients. Avenue Cash, although not currently in operation, will be a facilitator to Avenue Securities LLC and the Adviser providing its customers with additional products such as debit cards and other investment products. Although this arrangement can create a potential conflict of interest, it does not in this case due to the nature and structure of the wrap fee. The Adviser, Avenue Securities LLC and Avenue Cash LLC have overlapping officers, personnel, share office space, and share certain expenses.

## **ITEM 5. Additional Compensation**

The Supervised Person listed above receives no economic benefit for providing advisory services from someone who is not a client.

## **ITEM 6. Supervision**

Calvin R. Persaud, the Chief Compliance Officer of the Adviser, supervises the Adviser's compliance program. The contact information for Calvin R. Persaud is [Calvin.Persaud@avenueglobaladvisors.com](mailto:Calvin.Persaud@avenueglobaladvisors.com) or 631-229-6151.

## **ITEM 7. Requirements for State-Registered Advisers.**

The Supervised Person is not required to register with a state and therefore has nothing to report or disclose in this section.