



<b>FACTS</b>		Rev. 01/2019
<b>Why?</b>	Financial companies choose how they share your personal information. U.S. Federal law gives consumers the right to limit some but not all sharing. U.S. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.	
<b>What?</b>	The types of personal information we collect and share depend on the product or service you have with us. This information can include: - Social Security number and government-issued ID number, or equivalent in other countries if you are a foreign individual - Information you provide on applications and other forms or in correspondence with us (e.g., name, physical address, email address, income, other household information) - Data regarding transactions made with us (e.g., account status and balances, transaction history, products/services purchased)	
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Avenue Securities LLC chooses to share; and whether you can limit this sharing.	
	<b>Reasons we can share your personal information</b>	<b>Does Avenue Securities LLC share?</b>
	<b>For our everyday business purposes--</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, report to credit bureaus, or as permitted by law	Yes
	<b>For our marketing purposes--</b> to offer our products and services to you	No
	<b>For joint marketing with other financial companies</b>	No
	<b>For our affiliates' everyday business purposes--</b> information about your transactions and experiences	No
	<b>For our affiliates' everyday business purposes--</b> information about your creditworthiness	Yes
	<b>For our affiliates to market to you</b>	Yes
	<b>For non-affiliates to market to you</b>	No
	<b>Can you limit this sharing?</b>	We don't share.
<b>To limit our sharing</b>	<ul style="list-style-type: none"> <li>To opt out, please email us at <a href="mailto:customer@avenue.us">customer@avenue.us</a>.</li> </ul> <p><b>Please note:</b> If you are a <i>new customer</i>, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer our customer</i>, we continue to share your information as described in this notice. However, you may contact us at any time to limit our sharing.</p>	
<b>Questions?</b>	<ul style="list-style-type: none"> <li>Email us at <a href="mailto:customer@avenue.us">customer@avenue.us</a>.</li> </ul>	



## Who we are

<b>Who is providing this notice?</b>	Avenue Securities LLC
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## What we do

<b>How does Avenue Securities LLC protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with U.S. Federal law. These measures include computer safeguards and secured files and buildings. We also maintain other physical, electronic, and procedural safeguards to protect this information and we limit access to information to those employees for whom access is appropriate.
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<b>How does Avenue Securities LLC collect my personal information?</b>	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>- Register yourself in the Avenue Securities LLC's electronic portal</li> <li>- Fill out applications or other forms, or correspond with us</li> <li>- Provide account information</li> <li>- Direct us to sell securities</li> <li>- Direct us to buy securities</li> </ul> We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
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<b>Why can't I limit all sharing?</b>	U.S. Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>- sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>- affiliates from using your information to market to you</li> <li>- sharing for non-affiliates to market to you</li> </ul> State laws and individual companies may give you additional rights to limit sharing.
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## Definitions

<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies.
<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies. - Non-affiliates we <i>share with</i> can include accountants, administrators, attorneys, auditors, brokers, government entities, and marketing providers.
<b>Joint marketing</b>	A formal agreement between non-affiliated financial companies that together market financial products or services to you. - <i>Avenue Securities LLC does not engage in joint marketing.</i>

## Changes to this Privacy Policy

This notice may be changed at any time, provided a notice of such change is given to you. Where such changes are made, we will update the "Rev." date at the top of this notice as needed and notify you by posting the revised notice on our website and mobile application and/or sending you the revised notice (or a link to the revised notice) via e-mail. Any changes to this notice become effective upon notification in a manner described above, subject to providing you with a reasonable opportunity to opt out of any disclosures as required by U.S. federal law.

## Acceptance and consent

By clicking at "I accept", you acknowledge and agree to the provisions of this notice and to receive notices in electronic format (such as through our website, mobile application, and/or email).