

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

**2019**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2019 or tax year beginning

, and ending

Name of foundation <b>CAPITAL CHARITIES, INC.</b>		A Employer identification number <b>35-6064137</b>
Number and street (or P O box number if mail is not delivered to street address) <b>3300 E FLORA PL</b>		B Telephone number <b>303-514-4487</b>
City or town, state or province, country, and ZIP or foreign postal code <b>DENVER, CO 80210</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <b>04</b>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>673,136.</b>		
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		

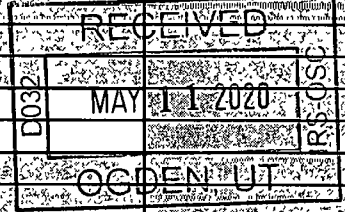
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		40,025.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		31.	31.		STATEMENT 1
4 Dividends and interest from securities		24,377.	24,377.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		8,836.			
b Gross sales price for all assets on line 6a		75,924.			
7 Capital gain net income (from Part IV, line 2)			8,836.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		73,269.	33,244.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,700.	850.		850.
c Other professional fees STMT 4		175.	175.		0.
17 Interest					
18 Taxes STMT 5		324.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		2,199.	1,025.		850.
25 Contributions, gifts, grants paid		60,050.			60,050.
26 Total expenses and disbursements. Add lines 24 and 25		62,249.	1,025.		60,900.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		11,020.			
b Net investment income (if negative, enter -0-)			32,219.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		5,413.	42,578.	42,578.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 7	127,229.	121,959.	313,685.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 8	322,542.	310,296.	316,873.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		455,184.	474,833.	673,136.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	<b>Total liabilities</b> (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>					
	and complete lines 24, 25, 29, and 30					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds		0.	0.	
27	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
28	Retained earnings, accumulated income, endowment, or other funds		455,184.	474,833.		
29	<b>Total net assets or fund balances</b>		455,184.	474,833.		
30	<b>Total liabilities and net assets/fund balances</b>		455,184.	474,833.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	455,184.
2	Enter amount from Part I, line 27a	2	11,020.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	8,629.
4	Add lines 1, 2, and 3	4	474,833.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 29	6	474,833.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a <b>MORGAN STANLEY - 0043</b>	<b>P</b>	<b>VARIOUS</b>	<b>04/23/19</b>
b <b>CAPITAL GAINS DIVIDENDS</b>			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a <b>70,177.</b>		<b>67,088.</b>	<b>3,089.</b>
b <b>5,747.</b>			<b>5,747.</b>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<b>3,089.</b>
b			<b>5,747.</b>
c			
d			
e			

2 Capital gain net income or (net capital loss) <span style="font-size: 2em;">}</span> <span style="font-size: 2em;">{</span> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<b>8,836.</b>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	<b>67,712.</b>	<b>640,780.</b>	<b>.105671</b>
2017	<b>83,876.</b>	<b>676,237.</b>	<b>.124033</b>
2016	<b>86,361.</b>	<b>646,369.</b>	<b>.133609</b>
2015	<b>59,792.</b>	<b>642,064.</b>	<b>.093125</b>
2014	<b>43,800.</b>	<b>631,299.</b>	<b>.069381</b>

2 Total of line 1, column (d)	2	<b>.525819</b>
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	<b>.105164</b>
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	<b>627,159.</b>
5 Multiply line 4 by line 3	5	<b>65,955.</b>
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	<b>322.</b>
7 Add lines 5 and 6	7	<b>66,277.</b>
8 Enter qualifying distributions from Part XII, line 4	8	<b>60,900.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

- 1a Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1% of Part I, line 27b
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
3 Add lines 1 and 2
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
6 Credits/Payments:
a 2019 estimated tax payments and 2018 overpayment credited to 2019
b Exempt foreign organizations - tax withheld at source
c Tax paid with application for extension of time to file (Form 8868)
d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax Refunded

Table with 2 columns: Line number, Amount. Rows 1-11 showing tax calculations and credits.

Part VII-A Statements Regarding Activities

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV
8a Enter the states to which the foundation reports or with which it is registered. See instructions.
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Table with 3 columns: Question number, Yes, No. Rows 1a-10 showing activity statements and responses.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16. Row 11: No. Row 12: No. Row 13: Yes. Row 14: JONATHAN LURIE, 303-514-4487, 3300 EAST FLORA PLACE, DENVER, CO, 80210. Row 15: 15, N/A. Row 16: No.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b. Row 1a: (1) No, (2) No, (3) No, (4) No, (5) No, (6) No. Row 1b: N/A. Row 1c: No. Row 2: (a) No, (b) No, (c) No. Row 3a: No. Row 3b: No. Row 4a: No. Row 4b: No.

**Part VII** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  Yes  No  
 Organizations relying on a current notice regarding disaster assistance, check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).  N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  
 N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  Yes  No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANE LEVINSOHN JEX 5090 WOOD DUCK COURT CARMEL, IN 46033	PRESIDENT, DIRECTOR 0.00	0.	0.	0.
JONATHAN LURIE 330 EAST FLORA PLACE DENVER, CO 80210	VICE PRESIDENT, DIRECTOR 0.75	0.	0.	0.
JEFFREY A. JEX 5090 WOOD DUCK COURT CARMEL, IN 46033	SECRETARY, DIRECTOR 0.00	0.	0.	0.
ALAN E. LEVINSOHN 12932 QUEENS TROOP CLOSE CARMEL, IN 46032	TREASURER, DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	612,714.
b	Average of monthly cash balances	1b	23,996.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	636,710.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	636,710.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,551.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	627,159.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	31,358.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,358.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	644.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	644.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	30,714.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	30,714.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	30,714.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	60,900.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	60,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	60,900.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				30,714.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	12,453.			
b From 2015	28,105.			
c From 2016	54,521.			
d From 2017	50,938.			
e From 2018	36,321.			
f Total of lines 3a through e	182,338.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 60,900.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				30,714.
e Remaining amount distributed out of corpus	30,186.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	212,524.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	12,453.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	200,071.			
10 Analysis of line 9:				
a Excess from 2015	28,105.			
b Excess from 2016	54,521.			
c Excess from 2017	50,938.			
d Excess from 2018	36,321.			
e Excess from 2019	30,186.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
- 
- b The form in which applications should be submitted and information and materials they should include:
- 
- c Any submission deadlines:
- 
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
-

**Part XV** Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE ATTACHED SCHEDULE OF RECIPIENTS, ADDRESSES, FOUNDATION STATUS, AND PURPOSE OF CONTRIBUTION				60,050.
<b>Total</b>			▶ <b>3a</b>	60,050.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			▶ <b>3b</b>	0.



Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' in column (c).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A' in column (a).

Sign Here: Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature: [Signature], Date: 5/2/20, Title: VICE PRESIDENT. May the IRS discuss this return with the preparer shown below? See instr. [X] Yes [ ] No

Paid Preparer Use Only: Print/Type preparer's name: MATTHEW W. UTTERBACK, CPA; Preparer's signature: MATTHEW W. UTTERB; Date: 02/28/20; Check [ ] if self-employed; PTIN: P00176537; Firm's name: SOMERSET CPAS, P.C.; Firm's EIN: 20-1717681; Firm's address: 3925 RIVER CROSSING PKWY INDIANAPOLIS, IN 46240; Phone no.: (317) 472-2200

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY - 0043	31.	31.	
TOTAL TO PART I, LINE 3	31.	31.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY - 0043	30,124.	5,747.	24,377.	24,377.	
TO PART I, LINE 4	30,124.	5,747.	24,377.	24,377.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	1,700.	850.		850.
TO FORM 990-PF, PG 1, LN 16B	1,700.	850.		850.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	175.	175.		0.
TO FORM 990-PF, PG 1, LN 16C	175.	175.		0.

## FORM 990-PF

## TAXES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UNITED STATES TREASURY	324.	0.		0.
TO FORM 990-PF, PG 1, LN 18	324.	0.		0.

## FORM 990-PF

## OTHER INCREASES IN NET ASSETS OR FUND BALANCES

## STATEMENT 6

DESCRIPTION	AMOUNT
PRIOR PERIOD ADJUSTMENT	3,297.
NONDIVIDEND DISTRIBUTIONS	5,332.
TOTAL TO FORM 990-PF, PART III, LINE 3	8,629.

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
500 SH. PUBLIC SERVICE ENTER. GRP., INC.	7,292.	29,525.
793 SH. SIMON PROPERTY GROUP INC.	19,340.	118,125.
759 SH. PEBBLEBTOOK HOTEL	14,948.	20,349.
1400 SH. ALTRIA GROUP INC.	32,005.	69,874.
1500 SH. AT&T INC.	40,264.	58,620.
280 SH. VERIZON COMMUNICATIONS	8,110.	17,192.
TOTAL TO FORM 990-PF, PART II, LINE 10B	121,959.	313,685.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
5253 SH. DNP SELECT INCOME FUND INC.	COST	50,281.	67,081.
3000 SH. DUFF & PHELPS GLB UTIL INC FD	COST	48,222.	45,600.
2000 SH. FIRST TR INTERM DUR PRF&INC FD	COST	49,674.	47,180.
2000 SH. YIELD SHARES HIGH INCOME	COST	38,577.	35,680.
1000 SH. ALERIAN MLP PF	COST	10,747.	8,500.
800 SH. BLACKROCK UTIL INF	COST	16,271.	17,848.
1000 SH. INVESCO CEF INCOME COMPOSITE	COST	23,546.	23,310.
900 SH. INVESCO EXCHANGE-TRADED FUND T	COST	21,781.	19,737.
2000 SH. CALAMOS GLOBAL DYNAMIC CEF	COST	16,937.	17,380.
1400 SH. EATON VANCE TAX MGD DIV EQU FD	COST	11,882.	12,292.
500 SH. ISHARES MORTGAGE REAL ESTATE C	COST	22,378.	22,265.
TOTAL TO FORM 990-PF, PART II, LINE 13		310,296.	316,873.



**Capital Charities, Inc. #356064137**

**2019 Form 990PF - Attachment to Part XV, Line 3**

Charity	Charity Address	Charity City	Charity St	Charity Zip	Fdn Status	Purpose	Amount
Desert Botanical Garden	1201 N Galvin Parkway	Phoenix	AZ	85008	Public	General	\$ 400.00
Friends of the Phoenix Public Library	1221 N Central Avenue	Phoenix	AZ	85004	Public	General	\$ 400.00
Partners in Health	888 Commonwealth Avenue, 3rd floor	Boston	MA	02215	Public	General	\$ 1,000.00
Humane Society of Boone County	5366 S Indianapolis Rd	Whitestown	IN	46075	Public	General	\$ 500.00
New Haven Reads	45 Bristol Street	New Haven	CT	06511	Public	General	\$ 1,000.00
Habitat for Humanity of Hamilton County	7998 Centerpoint Dr #100	Indianapolis	IN	46265	Public	General	\$ 400.00
Memorial Sloan-Kettering Cancer Center	1275 York Avenue	New York	NY	10065	Public	General	\$ 2,000.00
Montessori Academy of Colorado	2500 Curtis Street	Denver	CO	80205	Public	General	\$ 2,230.00
Sewall Child Development Center	940 Fillmore Street	Denver	CO	80206	Public	General	\$ 8,000.00
Rocky Mountain PBS	1089 Bannock St	Denver	CO	80204	Public	General	\$ 500.00
Team Rubicon	6171 Century Blvd, Suite 310	Los Angeles	CA	90045	Public	General	\$ 1,000.00
Freedom Service Dogs	7193 S Dillon Court	Englewood	CO	80112	Public	General	\$ 1,000.00
The Action Center	8755 W 14th Avenue	Lakewood	CO	80215	Public	General	\$ 1,000.00
CURE Childhood Cancer	1117 Perimeter Center West Suite N-402	Atlanta	GA	30338	Public	General	\$ 1,000.00
Helping Hand for Relief and Development	21199 Hilltop Street	Southfield	MI	48033	Public	General	\$ 1,270.00
Jewish Federation of Greater Indianapolis	6705 Hoover Rd	Indianapolis	IN	46260	Public	General	\$ 1,600.00
Planned Parenthood of Indiana	200 S Meridian Street, Suite 400	Indianapolis	IN	46225	Public	General	\$ 400.00
New Haven Reads	45 Bristol Street	New Haven	CT	06511	Public	General	\$ 1,000.00
Second Helpings	1121 Southeastern Avenue	Indianapolis	IN	46202	Public	General	\$ 1,000.00
New Haven Reads	45 Bristol Street	New Haven	CT	06511	Public	General	\$ 2,000.00
Grand Traverse Land Conservancy	3860 North Long Lake Road, Suite D	Traverse City	MI	49684	Public	General	\$ 1,000.00
Williams College Alumni Fund	75 Park Street	Williamstown	MA	01267	Public	General	\$ 2,000.00
Partners in Health	888 Commonwealth Avenue, 3rd floor	Boston	MA	02215	Public	General	\$ 1,000.00
Connecticut Cycling Advancement Program	353 Main Street	Middletown	CT	06457	Public	General	\$ 500.00
International Rescue Committee	122 East 42nd Street	New York	NY	10168	Public	General	\$ 500.00
Temple Kol Ami Annual Campaign	15030 N. 64th St	Scottsdale	AZ	85254	Public	General	\$ 1,000.00
Jewish Federation of Greater Phoenix	12701 N. Scottsdale Rd, Suite 201	Scottsdale	AZ	85254	Public	General	\$ 1,000.00
Arizona Jewish Historical Society	122 East Culver Street	Phoenix	AZ	85004	Public	General	\$ 600.00
Minkoff Center for Jewish Genetics	12701 N. Scottsdale Rd, Suite 201	Scottsdale	AZ	85254	Public	General	\$ 400.00
Friends of Arizona PBS/KAET	555 North Central Avenue, Suite 500	Phoenix	AZ	85004	Public	General	\$ 500.00
Friends of Public Radio Arizona/KJZZ	2323 W. 14th Street	Tempe	AZ	85281	Public	General	\$ 500.00
Arizona Theatre Company	455 North 3rd Street, Suite 350	Phoenix	AZ	85004	Public	General	\$ 500.00
Jewish Free Loan	3443 N Central Ave, #707	Phoenix	AZ	85012	Public	General	\$ 400.00
American Red Cross Grand Canyon Chapter	4747 North 22nd Street, Suite 100	Phoenix	AZ	85016	Public	General	\$ 400.00
Ballet Arizona	2835 E. Washington Street	Phoenix	AZ	85034	Public	General	\$ 400.00
Phoenix Art Museum	1625 North Central Avenue	Phoenix	AZ	85004	Public	General	\$ 300.00
Scottsdale Center for the Performing Arts	7380 East Second Street	Scottsdale	AZ	85251	Public	General	\$ 400.00
Desert Botanical Garden	1201 N Galvin Parkway	Phoenix	AZ	85008	Public	General	\$ 400.00
Hospice of the Valley	1510 E Flower Street	Phoenix	AZ	85014	Public	General	\$ 200.00
Heard Museum	2301 N Central Avenue	Phoenix	AZ	85004	Public	General	\$ 300.00

Jewish Family and Childrens Service	4747 N 7th Street, Suite 100	Phoenix	AZ	85014	Public	General	\$	300.00
Friends of the Phoenix Public Library	1221 N Central Avenue	Phoenix	AZ	85004	Public	General	\$	400.00
Indianapolis Great Pyrenees Rescue	1102 West 78th Street	Indianapolis	IN	46260	Public	General	\$	1,000.00
Second Helpings	1121 Southeastern Avenue	Indianapolis	IN	46202	Public	General	\$	500.00
The Patachou Foundation	4923 N College Avenue, Ste 25	Indianapolis	IN	46205	Public	General	\$	500.00
United Way of Central Indiana	2955 N Meridian St #300	Indianapolis	IN	46208	Public	General	\$	550.00
University of Iowa Center for Advancement REACH Fund	P.O. Box 4550	Iowa City	IA	52244	Public	General	\$	1,000.00
Colgate University	13 Oak Drive	Hamilton	NY	13346	Public	General	\$	1,000.00
Second Helpings	1121 Southeastern Avenue	Indianapolis	IN	46202	Public	General	\$	2,000.00
National Women's Law Center	11 Dupont Circle NW, Suite 800	Washington	DC	20036	Public	General	\$	400.00
Planned Parenthood	123 William Street, 10th Floor	New York	NY	10038	Public	General	\$	400.00
Guide Dogs of America	13445 Glenoaks Boulevard	Sylmar	CA	91342	Public	General	\$	4,000.00
Share our Selves	1550 Superior Avenue	Costa Mesa	CA	92627	Public	General	\$	8,000.00
							\$	<u>60,050.00</u>