

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

OMB No 1545-0052  
**2019**  
**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019**

Name of foundation FRED R & OPAL S WOOSLEY FOUNDATION INC		<b>A Employer identification number</b> 61-1104319
Number and street (or P O box number if mail is not delivered to street address) 239 S FIFTH ST STE 900	Room/suite	<b>B Telephone number</b> (see instructions) (502) 584-2134
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40202		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>7,139,786</u>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	52,833	52,833	52,833	
	<b>4</b> Dividends and interest from securities	99,110	99,110	99,110	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	262,368			
	<b>b</b> Gross sales price for all assets on line 6a	979,950			
	<b>7</b> Capital gain net income (from Part IV, line 2)		27,322		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	414,311	179,265	151,943		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)	2,872			2,872
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	39,081	39,081		
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)				
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings	103			103
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	21	21		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	42,077	39,102		2,975
	<b>25</b> Contributions, gifts, grants paid	634,000			634,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	676,077	39,102		636,975	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-261,766				
<b>b Net investment income</b> (if negative, enter -0-)		140,163			
<b>c Adjusted net income</b> (if negative, enter -0-)			151,943		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	92,485	40,024	40,024
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)	300,649	300,331	306,046
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	2,733,226	2,574,423	5,266,459
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	1,540,285	1,490,101	1,527,257
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	4,666,645	4,404,879	7,139,786	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	4,666,645	4,404,879	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	4,666,645	4,404,879		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	4,666,645	4,404,879		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	4,666,645
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-261,766
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	4,404,879
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	4,404,879

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	27,322
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	591,209	6,574,093	0.089930
2017	572,515	6,773,484	0.084523
2016	540,970	6,711,705	0.080601
2015	483,675	6,957,407	0.069519
2014	477,893	6,862,285	0.069641

<b>2</b> Total of line 1, column (d)	2	0.394214
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.078843
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	6,621,634
<b>5</b> Multiply line 4 by line 3	5	522,069
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	1,402
<b>7</b> Add lines 5 and 6	7	523,471
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	636,975

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total amount owed is 12,066.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, unrelated business income, and substantial contributors. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of KATHERINE A FORD Telephone no (502) 584-2134

Located at 239 S FIFTH ST SUITE 900 LOUISVILLE KY ZIP+4 40202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

<b>5a</b> During the year did the foundation pay or incur any amount to				<b>Yes</b>	<b>No</b>
<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.					<b>5b</b>
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>				
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>	
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>					<b>6b</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	
<b>b</b> If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?					<b>7b</b>
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/>	<b>Yes</b>	<input checked="" type="checkbox"/>	<b>No</b>	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	6,656,216
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	66,255
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	6,722,471
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	6,722,471
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	100,837
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	6,621,634
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	331,082

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	331,082
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5.	<b>2a</b>	1,402
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,402
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	329,680
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	329,680
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	329,680

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	636,975
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	636,975
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	1,402
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	635,573

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				329,680
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014. . . . .	138,547			
<b>b</b> From 2015. . . . .	141,358			
<b>c</b> From 2016. . . . .	210,413			
<b>d</b> From 2017. . . . .	242,929			
<b>e</b> From 2018. . . . .	277,810			
<b>f</b> Total of lines 3a through e. . . . .	1,011,057			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ _____ 636,975				
<b>a</b> Applied to 2018, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2019 distributable amount. . . . .				329,680
<b>e</b> Remaining amount distributed out of corpus	307,295			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,318,352			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	138,547			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .	1,179,805			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015. . . . .	141,358			
<b>b</b> Excess from 2016. . . . .	210,413			
<b>c</b> Excess from 2017. . . . .	242,929			
<b>d</b> Excess from 2018. . . . .	277,810			
<b>e</b> Excess from 2019. . . . .	307,295			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

FORK KLAPHEKE MEYER  
239 S FIFTH ST SUITE 900  
LOUISVILLE, KY 40202  
(502) 584-2134

**b** The form in which applications should be submitted and information and materials they should include

APPLICATIONS FOR CONTRIBUTIONS ARE SUBMITTED IN THE FORM OF A LETTER BY QUALIFYING CHARITIES THE LETTER OF SUBMISSION HAS NO PARTICULAR REQUIREMENTS OTHER THAN SIMPLY STATING THE PURPOSE OF THEIR ORGANIZATION AND A GENERAL IDEA OF HOW THE FUNDS WOULD BE USED

**c** Any submission deadlines

NOVEMBER 30TH

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE PRIMARILY FOR ASSISTANCE TO THE POOR AND ASSISTANCE FOR CHILDREN AND SCHOOL RELATED ACTIVITIES ALL SUBMISSIONS ARE GIVEN CONSIDERATION

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SEE ATTACHED SCHEDULE SEE ATTACHED SCHEDULE SEE ATTACHED SCHEDULE LOUISVILLE, KY 40202			VARIOUS	634,000
<b>Total . . . . . ▶ 3a</b>				634,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . . ▶ 3b</b>				



Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash.
(2) Other assets.
b Other transactions
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [ ] Yes [x] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Sign Here [Signature] 2020-08-17 [Title]
May the IRS discuss this return with the preparer shown below (see instr ) [x] Yes [ ] No

Paid Preparer Use Only section containing fields for Preparer's name, Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, and Firm's address.

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

<b>(a)</b> Name and address	Title, and average hours per week <b>(b)</b> devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	Expense account, <b>(e)</b> other allowances
KATHERINE A FORD 239 S FIFTH ST SUITE 900 LOUISVILLE, KY 40202	DIRECTOR 000 00	0	0	0
ARTHUR C PETER 45 MOCKINGBIRD VALLEY DR LOUISVILLE, KY 40207	DIRECTOR 000 00	0	0	0
BEVERLY S CLARK 2470 HINKLE LN LOUISVILLE, KY 40065	DIRECTOR 000 00	0	0	0
J MCFERRA BARR II PARTHENON LLC 9900 CORPORATE CAMPUS DR 2100 LOUISVILLE, KY 40223	DIRECTOR 000 00	0	0	0
DAVID A BELL 2000 WARRINGTON WAY STE 140 LOUISVILLE, KY 40222	DIRECTOR 000 00	0	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2019 Gain/Loss from Sale of Other Assets Schedule

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

### Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SHORT TERM SALES		PURCHASE			2,553	2,725			-172	
LONG TERM SALES		PURCHASE			950,075	714,857			235,218	

**TY 2019 Investments Corporate Bonds Schedule**

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	1,490,101	1,527,257



**TY 2019 Investments Corporate Stock Schedule**

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
EQUITIES	2,574,423	5,266,459

**TY 2019 Investments Government Obligations Schedule**

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

**US Government Securities - End  
of Year Book Value:**

100,255

**US Government Securities - End  
of Year Fair Market Value:**

100,160

**State & Local Government  
Securities - End of Year Book  
Value:**

200,076

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

205,886

**TY 2019 Legal Fees Schedule**

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL SERVICES	2,872			2,872

**TY 2019 Other Expenses Schedule**

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
FOREIGN CONVERSION EXPENSE	21	21		

**TY 2019 Other Professional Fees Schedule**

**Name:** FRED R & OPAL S WOOSLEY FOUNDATION  
INC

**EIN:** 61-1104319

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
HILLIARD LYONS TRUST ADMIN FEES	39,081	39,081		

WOOSLEY FOUNDATION INC  
PART XV - CONTRIBUTIONS PAID DURING THE YEAR  
EIN 61-1104319  
**2019**

NAME	FOUNDATION STATUS	PURPOSE OF CONTRIBUTION	AMOUNT
A PLACE TO SLEEP	PC	PROVIDES BEDS AND BEDDING FOR CHILDREN	9,000
ACTIVE HEROES	PC	MILITARY VETERAN SUICIDE & PTSD ASSISTANCE	15,000
ALS ASSOCIATION KY CHAPTER	PC	ALS RESEARCH, PATIENT SERVICES, AND ADVOCATION	5,000
AMERICAN PRINTING HOUSE FOR THE BLIND	PC	EDUCATION & ASSISTANCE FOR VISUALLY IMPAIRED	7,000
AMERICAN RED CROSS	PC	DISASTER RELIEF	5,000
ARCHDIOCESE OF LOUISVILLE	PC	CATHOLIC EDUCATION	5,000
BAPTIST HEALTH FOUNDATION	PC	COMMUNITY HEALTH IMPROVEMENT	5,000
BELLARMINE UNIVERSITY	PC	COLLEGE EDUCATION	15,000
BIG BROTHERS BIG SISTERS	PC	CHILD MENTORSHIP	10,000
BLESSINGS IN A BACKPACK	PC	FOOD FOR CHILDREN	20,000
BLUEGRASS CENTER FOR AUTISM	PC	EDUCATION FOR AUTISTIC CHILDREN	10,000
BOYS & GIRLS CLUB OF KENTUCKIANA	PC	AFTER SCHOOL PROGRAMS	7,000
BOYS & GIRLS HAVEN	PC	FOSTER CARE, INDEPENDENT LIVING AND COUNSELING FOR CHILDREN	8,000
CAROLE'S KITCHEN	PC	CHILDHOOD HUNGER	5,000
CARRIAGE HOUSE EDUCATIONAL SERVICES	PC	PRESCHOOL EDUCATION FOR SPECIAL NEEDS CHILDREN	5,000
CASA INC	PC	CHILD ADVOCACY	7,000
CASA - SHELBY COUNTY	PC	CHILD ADVOCACY	7,000
CATHOLIC EDUCATION FOUNDATION	PC	CATHOLIC EDUCATION	10,000
CENTER FOR COURAGEOUS KIDS	PC	CAMP PROGRAMS FOR SERIOUSLY ILL CHILDREN	5,000
COMMUNITY FOUNDATION OF LOUISVILLE	PC	SUPPORTS CHARITIES	25,000
CREASEY MAHAN NATURE PRESERVE	PC	NATURE PRESERVE TO ENRICH COMMUNITY THROUGH CONSERVATION AND EDUCATION	5,000
CYSTIC FIBROSIS FOUNDATION	PC	CURE & CONTROL OF CYSTIC FIBROSIS	10,000
DARE TO CARE	PC	FEED THE HUNGRY	6,000
DOWN SYNDROME OF LOUISVILLE INC	PC	PROVIDES SUPPORT, EDUCATION, AND ADVOCACY FOR INDIVIDUALS WITH DOWN SYNDROME	7,000
DRESS FOR SUCCESS	PC	ASSIST LOW-INCOME WOMEN	5,000

WOOSLEY FOUNDATION INC  
PART XV - CONTRIBUTIONS PAID DURING THE YEAR  
EIN 61-1104319  
**2019**

<b>NAME</b>	<b>FOUNDATION STATUS</b>	<b>PURPOSE OF CONTRIBUTION</b>	<b>AMOUNT</b>
FAMILY & CHILDREN'S PLACE	PC	ABUSE PREVENTION AND INTERVENTION	5,000
FEAT OF LOUISVILLE	PC	SUPPORTS AND FUNDS AUTISM PROGRAMS FOR FAMILIES	5,000
GILDA'S CLUB LOUISVILLE	PC	CANCER SUPPORT	20,000
GIRL SCOUTS OF KENTUCKIANA	PC	GIRL SCOUTS	3,000
HOLY CROSS HIGH SCHOOL	PC	CATHOLIC EDUCATION HOUSING & SUPPORT SERVICES FOR AT-RISK CHILDREN & FAMILIES	10,000
HOME OF THE INNOCENTS	PC	FAMILIES	25,000
HOSPARUS	PC	COUNSELING	10,000
HOUSE OF RUTH	PC	HOUSING & SUPPORT SERVICES FOR PEOPLE WITH HIV/AIDS	5,000
JUVENILE DIABETES RESEARCH FUND	PC	TYPE 1 DIABETES RESEARCH FUNDING AND ADVOCACY	10,000
KIDS ON THE BLOCK INC	PC	CHILDREN'S EDUCATION PROGRAMS IN SUPPORT OF CHILDREN & FAMILIES	3,000
LCCC	PC	EDUCATIONAL AND SPIRITUAL SERVICES	7,000
LIGHTHOUSE PROMISE INC	PC	SERVICES	7,000
LINCOLN HERITAGE COUNCIL BSA	PC	BOY SCOUTS	40,000
LITTLE SISTERS OF THE POOR	PC	SERVICE TO THE ELDERLY POOR	10,000
MEREDITH-DUNN SCHOOL	PC	SCHOOL FOR DISABLED CHILDREN	12,000
NATIONAL STEM CELL FOUNDATION	PC	STEM CELL RESEARCH FOR ADULTS AND CHILDREN	5,000
NATIVITY ACADEMY AT ST BONIFACE	PC	CATHOLIC EDUCATION	7,000
OPEN DOOR OF HOPE INC	PC	HOMELESS SHELTER	9,000
PAWS WITH PURPOSE	PC	PROVIDE SERVICE DOGS	15,000
PITT ACADEMY	PC	EDUCATION FOR SPECIAL NEEDS CHILDREN	10,000
PROJECT WARM	PC	ENERGY CONSERVATION SERVICES AND EDUCATION	5,000
RADIO EYE	PC	AUDIO INFORMATION FOR VISUALLY IMPAIRED	1,000
SALVATION ARMY	PC	ASSISTANCE TO THE NEEDY	5,000
SCARLET HOPE	PC	ASSISTANCE TO EXPLOITED WOMEN	7,000
SPALDING UNIVERSITY	PC	COLLEGE EDUCATION	1,000
SPECIAL OLYMPICS	PC	SPORTS TRAINING FOR DISABLED ADULTS	5,000
ST JOHN CENTER FOR HOMELESS	PC	HOMELESSNESS ASSISTANCE	2,000

WOOSLEY FOUNDATION INC  
 PART XV - CONTRIBUTIONS PAID DURING THE YEAR  
 EIN 61-1104319  
 2019

NAME	FOUNDATION STATUS	PURPOSE OF CONTRIBUTION	AMOUNT
ST JOSEPH CHILDREN'S HOME	PC	CHILD SERVICES & HOUSING	10,000
ST MARY'S CENTER	PC	DISABILITY SERVICES	5,000
SUMMIT ACADEMY	PC	SCHOOL FOR DISABLED CHILDREN	5,000
THE ARROW FUND	PC	ANIMAL RESCUE	3,000
THE CABBAGE PATCH	PC	CHILD MENTORSHIP & EDUCATION	20,000
THE HEALING PLACE	PC	ADDICTION RECOVERY SUPPORT	10,000
THE PRISONERS HOPE	PC	MENTORING FOR PRISONERS AND THEIR FAMILIES	9,000
THE QUEEN'S DAUGHTERS INC	PC	CATHOLIC CHARITY	15,000
UPSIDE THERAPEUTIC RIDING INC	PC	EQUINE THERAPY FOR HIGH NEEDS CHILDREN	15,000
USPIRITUS	PC	PSYCHIATRIC TREATMENT CENTER FOR ADOLESCENTS AND CHILDREN	5,000
VISUALLY IMPAIRED PRESCHOOL SVC	PC	ASSIST VISUALLY IMPAIRED CHILDREN	25,000
WINGS OF REFUGE INC	PC	CATHOLIC CHARITY	10,000
WORKWELL INDUSTRIES	PC	JOBS FOR DISABLED	10,000
YMCA CAMP PIOMINGO	PC	CHILD RECREATION	15,000
YMCA SAFE PLACE SERVICES	PC	YOUTH & TEEN HOMELESSNESS	15,000

**634,000**