

Form **990**
 Department of the Treasury
 Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public
 ▶ Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No 1545-0047
2015
Open to Public Inspection

A For the 2015 calendar year, or tax year beginning 10-01-2015, and ending 09-30-2016

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization Kentucky Science and Technology Corp Doing business as Number and street (or P O box if mail is not delivered to street address) Room/suite 200 West Vine Street No 420 City or town, state or province, country, and ZIP or foreign postal code Lexington, KY 40507 F Name and address of principal officer KRIS W KIMEL 200 WEST VINE STREET SUITE 420 LEXINGTON, KY 40507	D Employer identification number 61-1135362 E Telephone number (859) 233-3502 G Gross receipts \$ 17,575,448
I Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶
J Website: ▶ WWW.KSTC.COM		L Year of formation 1987 M State of legal domicile KY
K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		

Part I Summary

1	Briefly describe the organization's mission or most significant activities TO CREATE AN INNOVATION-DRIVEN, ENTREPRENEURIAL ECONOMY IN KENTUCKY				
2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets				
3	Number of voting members of the governing body (Part VI, line 1a)	3		15	
4	Number of independent voting members of the governing body (Part VI, line 1b)	4		15	
5	Total number of individuals employed in calendar year 2015 (Part V, line 2a)	5		52	
6	Total number of volunteers (estimate if necessary)	6		0	
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a		0	
b	Net unrelated business taxable income from Form 990-T, line 34	7b		0	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year		Current Year	
	9 Program service revenue (Part VIII, line 2g)	16,082,567		16,791,172	
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	348,064		293,146	
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	103,891		116,429	
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	487,590		374,701	
		17,022,112		17,575,448	
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	10,511,491		11,332,632	
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0		0	
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,627,231		3,528,858	
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0		0	
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 15,029				
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	2,896,058		2,529,960	
18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	17,034,780		17,391,450		
19 Revenue less expenses Subtract line 18 from line 12	-12,668		183,998		
Net Assets or Fund Balances		Beginning of Current Year		End of Year	
	20 Total assets (Part X, line 16)	22,521,745		20,183,687	
	21 Total liabilities (Part X, line 26)	21,412,445		18,890,389	
22 Net assets or fund balances Subtract line 21 from line 20	1,109,300		1,293,298		

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here	***** Signature of officer KRIS W KIMEL PRESIDENT Type or print name and title	2017-08-15 Date	
Paid Preparer Use Only	Print/Type preparer's name M Melinda Karns	Preparer's signature M Melinda Karns	Date 2017-08-10
	Firm's name ▶ Blue & Co LLC	Check <input type="checkbox"/> if self-employed PTIN P00743346	
	Firm's address ▶ 250 West Main Street Suite 2900 Lexington, KY 40507	Firm's EIN ▶ 35-1178661 Phone no (859) 253-1100	

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission

KENTUCKY SCIENCE AND TECHNOLOGY CORPORATION (KSTC) IS AN ENTREPRENEURIAL COMPANY DEDICATED TO ENHANCING THE CAPACITY OF PEOPLE, COMPANIES AND ORGANIZATIONS TO DEVELOP AND APPLY SCIENCE AND TECHNOLOGY AND COMPETE RESPONSIBLY IN THE GLOBAL MARKETPLACE KSTC'S STRATEGIES ARE TO, 1)DEVELOP A COMPREHENSIVE INNOVATION STRATEGY THAT BRINGS TOGETHER BUSINESS, GOVERNMENT AND EDUCATION AS FULL PARTNERS TO LEVERAGE THE BEST OPPORTUNITIES FOR KENTUCKY'S FUTURE 2)CONTRIBUTE TO THE CREATION OF ENTREPRENEURIAL COMPANIES, JOBS AND THE INTERNATIONAL COMPETITIVENESS OF KENTUCKY'S ECONOMY 3)PROMOTE TECHNOLOGY DEVELOPMENT AND TRANSFER AN INNOVATIVE MIND-SET CONDUCIVE TO ENTREPRENEURIAL DEVELOPMENT 4)DEVELOP A MORE SYSTEMATIC APPROACH BY WHICH ALL LEVELS OF EDUCATION (PRIMARY THROUGH UNIVERSITY) CONTRIBUTE TO KENTUCKY INNOVATIONS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses \$ 2,462,128 including grants of \$) (Revenue \$ 667,847)
See Additional Data

4b (Code) (Expenses \$ 3,796,007 including grants of \$ 10,616,558) (Revenue \$)
See Additional Data

4c (Code) (Expenses \$ 10,537,005 including grants of \$ 713,660) (Revenue \$)
See Additional Data

See Additional Data

4d Other program services (Describe in Schedule O)
(Expenses \$ 483,851 including grants of \$ 2,414) (Revenue \$)

4e Total program service expenses ▶ 17,278,991

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 1 through 20b regarding organizational activities, lobbying, endowments, and financial statements.

Part IV Checklist of Required Schedules (continued)

21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a		No
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question numbers (1a-14b), descriptions, and Yes/No columns. Includes sub-questions for various IRS forms and reporting requirements.

Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (15), 1b (15), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

Table with 2 columns: Question, Answer. Rows include 17 (States), 18 (Public inspection), 19 (Schedule O), 20 (Personnel).

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MR SAM BURCHETT vice chairman	1 00	X						0	0	0
(2) DR BLAINE FERRELL DIRECTOR	1 00	X						0	0	0
(3) MR ARNOLD GATHER DIRECTOR	1 00	X						0	0	0
(4) MR J RONALD GEOGHEGAN chairman	1 00	X						0	0	0
(5) MS JOANNA E HAAS DIRECTOR	1 00	X						0	0	0
(6) DR GARY COX DIRECTOR	1 00	X						0	0	0
(7) MR STEVE HUSZ DIRECTOR	1 00	X						0	0	0
(8) MS SHIELA MEDINA DIRECTOR	1 00	X						0	0	0
(9) DR JAMES MICKY JONES DIRECTOR	1 00	X						0	0	0
(10) DR JAMES R RAMSEY DIRECTOR	1 00	X						0	0	0
(11) MR MARK KRISTY DIRECTOR	1 00	X						0	0	0
(12) MR F T SAMUEL DIRECTOR	1 00	X						0	0	0
(13) DR BRUCE L WALCOTT DIRECTOR	1 00	X						0	0	0
(14) MS SUSAN WEISS secretary/treasurer	1 00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(15) DR ELI CAPILOUTO DIRECTOR	1 00	X					0	0	0	
(16) KRIS KIMEL PRESIDENT	45 00			X			244,772	0	24,043	
(17) JOANNE LANG EXECUTIVE vp	45 00			X			213,828	0	20,528	
(18) JOHN WEHRLE CHIEF FINANCIAL OFFICER	45 00			X			192,435	0	17,342	
(19) MAHENDRA JAIN VICE PRESIDENT	45 00			X			213,650	0	23,184	
(20) LAURIE DAUGHERTY VICE PRESIDENT	45 00			X			127,066	0	9,088	
(21) SEAN O'LEARY VICE PRESIDENT	45 00			X			121,090	0	20,804	
(22) Richard Johnson VICE PRESIDENT	45 00			X			143,806	0	29,028	
(23) Chnstopher Baker Chief information officer	45 00			X			100,079	0	12,663	
(24) J MITCHELL CREAGER Controller	45 00					X	100,984	0	17,059	
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							1,457,710	0	173,739	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 9

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 0

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns 1a _____					
	b	Membership dues 1b _____					
	c	Fundraising events 1c _____					
	d	Related organizations 1d _____					
	e	Government grants (contributions) 1e 16,553,062					
	f	All other contributions, gifts, grants, and similar amounts not included above 1f 238,110					
	g	Noncash contributions included in lines 1a-1f \$ _____					
	h	Total. Add lines 1a-1f ▶	16,791,172				
Program Service Revenue	2a	WORKSHOP & PROGRAM FEES _____ Business Code 900099	293,146	293,146			
	b	_____					
	c	_____					
	d	_____					
	e	_____					
	f	All other program service revenue _____					
	g	Total. Add lines 2a-2f ▶	293,146				
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶	116,429			116,429	
	4	Income from investment of tax-exempt bond proceeds ▶					
	5	Royalties ▶					
	6a	Gross rents	(i) Real				
			(ii) Personal				
			b Less rental expenses				
			c Rental income or (loss)				
	d	Net rental income or (loss) ▶					
	7a	Gross amount from sales of assets other than inventory	(i) Securities				
			(ii) Other				
			b Less cost or other basis and sales expenses				
			c Gain or (loss)				
	d	Net gain or (loss) ▶					
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18 a					
			b Less direct expenses b				
			c Net income or (loss) from fundraising events ▶				
	9a	Gross income from gaming activities See Part IV, line 19 a					
			b Less direct expenses b				
c Net income or (loss) from gaming activities ▶							
10a	Gross sales of inventory, less returns and allowances a						
		b Less cost of goods sold b					
		c Net income or (loss) from sales of inventory ▶					
Miscellaneous Revenue		Business Code					
11a	CONSULTING FEES _____ Business Code 900099		374,701	374,701			
		b _____					
		c _____					
		d All other revenue					
e	Total. Add lines 11a-11d ▶	374,701					
12	Total revenue. See Instructions ▶	17,575,448	667,847	0	116,429		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	11,332,632	11,332,632		
2	Grants and other assistance to domestic individuals. See Part IV, line 22				
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	1,286,970	1,264,081	22,889	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	1,629,029	1,590,700	28,973	9,356
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	111,087	108,737	1,976	374
9	Other employee benefits	308,803	302,219	5,492	1,092
10	Payroll taxes	192,969	188,834	3,432	703
11	Fees for services (non-employees)				
a	Management				
b	Legal	8,156	8,011	145	
c	Accounting	58,386	57,348	1,038	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	689,384	677,123	12,261	
12	Advertising and promotion	88,603	87,027	1,576	
13	Office expenses	45,601	44,762	811	28
14	Information technology				
15	Royalties				
16	Occupancy	134,000	131,617	2,383	
17	Travel	231,238	227,125	4,113	
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	199,768	196,215	3,553	
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	11,420	11,217	203	
23	Insurance	44,692	43,897	795	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	PARTICIPANT COSTS	400,457	400,457		
b	SCHOOL EQUIPMENT AND SUPPLIES	179,521	179,521		
c	TEACHER INCENTIVES	114,800	112,758	2,042	
d	DUES AND SUBSCRIPTIONS	112,522	110,521	2,001	
e	All other expenses	211,412	204,189	3,747	3,476
25	Total functional expenses. Add lines 1 through 24e	17,391,450	17,278,991	97,430	15,029
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A)		(B)
		Beginning of year		End of year
Assets	1 Cash—non-interest-bearing	48,491	1	33,645
	2 Savings and temporary cash investments	1,544,842	2	258,203
	3 Pledges and grants receivable, net	865,292	3	705,719
	4 Accounts receivable, net	49,264	4	23,186
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net	453,926	7	79,967
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	52,786	9	58,457
	10a Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	10a 204,059		
	b Less accumulated depreciation	10b 185,938	22,783	10c 18,121
	11 Investments—publicly traded securities	19,484,361	11	19,006,389
	12 Investments—other securities. See Part IV, line 11		12	
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11		15	
16 Total assets. Add lines 1 through 15 (must equal line 34)	22,521,745	16	20,183,687	
Liabilities	17 Accounts payable and accrued expenses	2,302,457	17	2,258,269
	18 Grants payable		18	
	19 Deferred revenue	12,659,728	19	10,131,205
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D	6,450,260	25	6,500,915
	26 Total liabilities. Add lines 17 through 25	21,412,445	26	18,890,389
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	878,699	27	1,092,467
	28 Temporarily restricted net assets	230,601	28	200,831
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	1,109,300	33	1,293,298	
34 Total liabilities and net assets/fund balances	22,521,745	34	20,183,687	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	17,575,448
2	Total expenses (must equal Part IX, column (A), line 25)	2	17,391,450
3	Revenue less expenses Subtract line 2 from line 1	3	183,998
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,109,300
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,293,298

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:

Software Version:

EIN: 61-1135362

Name: Kentucky Science and Technology Corp

Form 990, Part III, Line 4a

4a	(Code) (Expenses \$	2,462,128	including grants of \$) (Revenue \$	667,847)
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EDUCATION PROGRAMS-AdvanceKentucky is a program designed to fund training and incentives for Advanced Placement (AP) and Pre-AP courses in math, science, and English in Kentucky high schools. The program provides extensive training of teachers, identification and cultivation of lead teachers, and extended time on tasks for students and financial incentives based on academic results to expand the number of Kentucky students from across the state taking and excelling in the various math, science, and English AP exams. Acom Awards is funded by the Kentucky Council on Postsecondary Education to present awards to outstanding professors at Kentucky colleges and universities. Two \$5,000 awards were presented. One to a faculty member from a four-year institution and one to a faculty member from the Kentucky Community and Technical College System.

Form 990, Part III, Line 4b

4b (Code) (Expenses \$ 3,796,007 including grants of \$ 10,616,558) (Revenue \$)

Economic Development Programs Miscellaneous Workshops are for registration fees and contributions to specific workshops Generally, these are small, one-time workshops and the fees charged are minimal One such workshop was the "Building the Rural Business Incubator" workshop The Knowledge-Based Economy (KBE) Funds are a series of investment funds aimed at promoting and capitalizing early stage technology commercialization in the Commonwealth of Kentucky KBE is administered by KSTC and funded by the state through a contract with the Council on Postsecondary Education The funds are dedicated to increasing the number of high technology companies and products in Kentucky Components of this program include the Kentucky Enterprise Fund Program, the Rural Innovation Program, and the Kentucky Commercialization Program The Kentucky Innovation Network Program/Headquarters is administered by KSTC and funded by the state through a contract with the Kentucky Cabinet for Economic Development Office of Entrepreneurship The Kentucky Innovation Network Program is composed of a series of centers coordinated by a statewide headquarters, located at KSTC The Centers are envisioned as public/private catalysts that will provide business-building and related services to Kentucky entrepreneurs, faculty, and scientists across the state and to facilitate the innovation and commercialization process Kentucky Alternative Fuel & Renewable Energy Fund Program (AFRE) is funded by the Kentucky Cabinet for Economic Development Office of Entrepreneurship for KSTC to administer the Kentucky Alternative Fuel & Renewable Energy Fund Program The purpose of the program is to accelerate knowledge transfer and technological innovation, improve economic competitiveness and economic growth of Kentucky-based companies, and to support R & D activities that have a clear potential to lead to commercially successful products, processes, or services specifically in the area of alternative fuels or renewable energy The program also encourages partnerships and collaborative projects between private enterprises, Kentucky's universities, and research organizations in alternative fuels and renewable energy Governor's School for Entrepreneurs is funded by the Kentucky Cabinet for Economic Development, by and through the Office of Entrepreneurship It is an initiative to teach innovation and entrepreneurship to Kentucky high school students through the Governor's School for Entrepreneurs, KSTC is to develop, administer, and oversee Appalachian Angel Fund Formation is funded by the Appalachian Regional Commission providing funding for the formation of the Angel Investment Fund in Appalachian Kentucky to select target geography, identify investors, organize and launch a new Fund, and connect emerging enterprises to local sources of entrepreneurial support Idea State University is a business plan competition funded by the Kentucky Cabinet for Economic Development by and through the Office of Entrepreneurship to conduct a professional, high-quality event for student teams and advisors from Kentucky's public universities Competition awards and prizes are made to students and ventures on a competitive basis based on evaluations and decisions of expert judges

Form 990, Part III, Line 4c

4c (Code) (Expenses \$ 10,537,005 including grants of \$ 713,660) (Revenue \$)

Research and Development ProgramsThe Expenmental Program to Stimulate Competitive Research (EPSCoR) Administration from the Council on Postsecondary Education (CPE) represents state funds under the Kentucky Innovation Act (KIA) for the coordination and development of EPSCoR The Kentucky Science and Engineenng Foundation (KSEF) mission is to build science and engineering capacity and excellence by investing in basic and pre-commercial emerging research and development, technological innovation and transfer, and human resource development in Kentucky This project is administered by KSTC and funded by the Commonwealth of Kentucky through a contract with the Council on Postsecondary Education A major component of the foundation is the R & D Excellence Program Kentucky SBIR/STTR Matching Funds Program is funded by the Kentucky Cabinet for Economic Development Office of Entrepreneurship and awards matching funds to Kentucky-based firms that receive federal Small Business Innovation Research (SBIR) and Small Business Technology Transfer Research (STTR) program awards The program encourages growth of high-quality, advanced technology companies by increasing R & D intensity under Phase I to make Kentucky small businesses more competitive for Phase II funds, allowing continued technology and business development during Phase I and Phase II gap period, and advancing the prototype development and testing for early entry into the market place Federal and State Technology Program (FAST) is funded by the Small Business Administration and is designed to stimulate economic development among small high technology business through federally funded innovation and research and development programs like the Small Business Innovation Research (SBIR) and Small Business Technology Transfer Research (STTR) The funding also provides small technology firms with specialized services that will equip them to more effectively participate in the SBIR award process

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$ 483,851 including grants of \$ 2,414) (Revenue \$)

KENTUCKY SPACE PROGRAMSKENTUCKYSPACE IS A JOINT - ENTERPRISE INVOLVING PUBLIC ORGANIZATIONS, PRIVATE COMPANIES, COLLEGES, AND UNIVERSITIES IN THE STUDENT - DRIVEN DESIGN, BUILD, LAUNCH, AND ON-ORBIT OPERATIONS OF SMALL SATELLITES FOR INNOVATION AND LEARNING PURPOSES ITS MISSION IS TO PROVIDE STUDENTS WITH REAL-TIME LEARNING IN THE DYNAMICS OF SPACECRAFT DESIGN, CONSTRUCTION, TESTING, AND ON-ORBIT OPERATIONS AS A MEANS OF EXTENDING SCIENCE AND TECHNOLOGY EDUCATION, RESEARCH AND DEVELOPMENT, AND INNOVATION SPACE TANGO INSTITUTE PROVIDES BUSINESS ACCELERATOR-RELATED SERVICES, KNOWLEDGE AND NETWORKS TO HELP SUCCESSFULLY LAUNCH SPACE-ORIENTED BUSINESSES THRU AN INTENSE TWELVE-WEEK, ON-SITE ACCELERATOR PROGRAM FOR SELECTED START-UP COMPANIES PROVIDING THEM ACCESS TO A FULL TEAM OF SCIENTISTS, SPACE PROFESSIONALS, MENTORS AND FACILITIES, INCLUDING THE NASA AMES RESEARCH CENTER, THE KENNEDY SPACE CENTER, MOREHEAD STATE UNIVERSITY'S SPACE SCIENCE CENTER AND THE UNIVERSITY OF KENTUCKY'S SPACE SYSTEMS LAB THIS PLATFORM IS SPECIFICALLY DESIGNED TO HELP ENTREPRENEURS IN BUILDING PROFITABLE, SPACE-DRIVEN COMPANIES

SCHEDULE A (Form 990 or 990EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization Kentucky Science and Technology Corp

Employer identification number

61-1135362

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2 A school described in section 170(b)(1)(A)(ii).
3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii).
5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv).
6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi).
8 A community trust described in section 170(b)(1)(A)(vi).
9 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions...
10 An organization organized and operated exclusively to test for public safety.
11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations...
a Type I. A supporting organization operated, supervised, or controlled by its supported organization(s)...
b Type II. A supporting organization supervised or controlled in connection with its supported organization(s)...
c Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s)...
d Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated...
e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
f Enter the number of supported organizations.
g Provide the following information about the supported organization(s).

Table with 6 columns: (i) Name of supported organization, (ii) EIN, (iii) Type of organization, (iv) Is the organization listed in your governing document?, (v) Amount of monetary support, (vi) Amount of other support. Includes a Total row at the bottom.

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any unusual grants.)	17,683,915	17,803,090	15,182,649	16,082,567	16,791,172	83,543,393
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	17,683,915	17,803,090	15,182,649	16,082,567	16,791,172	83,543,393
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						83,543,393

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
7 Amounts from line 4	17,683,915	17,803,090	15,182,649	16,082,567	16,791,172	83,543,393
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources		12,608	9,806	103,891	116,429	242,734
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	83,534	76,843				160,377
11 Total support. Add lines 7 through 10						83,946,504

12 Gross receipts from related activities, etc. (see instructions) **12** 2,995,761

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))	14	99.520%
15 Public support percentage for 2014 Schedule A, Part II, line 14	15	99.550%

16a 33 1/3% support test—2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support test—2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

17a 10%-facts-and-circumstances test—2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

b 10%-facts-and-circumstances test—2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ►

Section C. Computation of Public Support Percentage

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2014 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2014 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

b 33 1/3% support tests—2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part II of Schedule L (Form 990).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

Part IV Supporting Organizations (continued)**Section B. Type I Supporting Organizations**

- 1** Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*
- 2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*

	Yes	No
1		
2		

Section C. Type II Supporting Organizations

- 1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*

	Yes	No
1		

Section D. All Type III Supporting Organizations

- 1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).*
- 3** By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.*

	Yes	No
1		
2		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

- 1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)
- a** The organization satisfied the Activities Test. Complete **line 2** below.
- b** The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c** The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).
- 2** Activities Test **Answer (a) and (b) below.**

- a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*
- b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*
- 3** Parent of Supported Organizations **Answer (a) and (b) below.**
- a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI.*
- b** Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard.*

	Yes	No
2a		
2b		
3a		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

	(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1	
2 Recoveries of prior-year distributions	2	
3 Other gross income (see instructions)	3	
4 Add lines 1 through 3	4	
5 Depreciation and depletion	5	
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7 Other expenses (see instructions)	7	
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount

	(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a Average monthly value of securities	1a	
b Average monthly cash balances	1b	
c Fair market value of other non-exempt-use assets	1c	
d Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI) _____		
2 Acquisition indebtedness applicable to non-exempt use assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6 Multiply line 5 by .035	6	
7 Recoveries of prior-year distributions	7	
8 Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

		Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7 Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) <input type="checkbox"/>		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2015			
a			
b			
c			
d From 2013. _____			
e From 2014. _____			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2015 from Section D, line 7 \$ _____			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2016. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b			
c Excess from 2013. _____			
d From 2014. _____			
e From 2015. _____			

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047
2015
Open to Public Inspection

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization
Kentucky Science and Technology Corp

Employer identification number
61-1135362

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? Yes No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? Yes No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a Total number of conservation easements	
b Total acreage restricted by conservation easements	
c Number of conservation easements on a certified historic structure included in (a)	
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4) (B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
a Public exhibition
b Scholarly research
c Preservation for future generations
d Loan or exchange programs
e Other

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?
b If "Yes," explain the arrangement in Part XIII and complete the following table
2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?
b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Table with 2 columns: Description, Amount. Rows: 1c Beginning balance (26,312,569), 1d Additions during the year (1,517,399), 1e Distributions during the year, 1f Ending balance (27,829,968)

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

Table with 6 columns: (a) Current year, (b) Prior year, (c) Two years back, (d) Three years back, (e) Four years back. Rows: 1a Beginning of year balance, b Contributions, c Net investment earnings, gains, and losses, d Grants or scholarships, e Other expenditures for facilities and programs, f Administrative expenses, g End of year balance

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
a Board designated or quasi-endowment
b Permanent endowment
c Temporarily restricted endowment
The percentages on lines 2a, 2b, and 2c should equal 100%

- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by
(i) unrelated organizations
(ii) related organizations
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?
4 Describe in Part XIII the intended uses of the organization's endowment funds

Table with 3 columns: Question, Yes, No. Rows: 3a(i), 3a(ii), 3b

Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Table with 5 columns: Description of property, (a) Cost or other basis (investment), (b) Cost or other basis (other), (c) Accumulated depreciation, (d) Book value. Rows: 1a Land, b Buildings, c Leasehold improvements, d Equipment, e Other, Total

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:

Software Version:

EIN: 61-1135362

Name: Kentucky Science and Technology Corp

Supplemental Information

Return Reference	Explanation
Part IV, Line 1b	The organization has invested grant funds in various organizations as part of its exempt mission. The investments are not reported on the balance sheet as any return on these invested grant funds would represent a liability of the organization back to the grant funding source, therefore creating a zero balance for the organization.

Supplemental Information

Return Reference	Explanation
Part X, Line 2	THE FASB ASC PRESCRIBES A RECOGNITION THRESHOLD AND MEASUREMENT ATTRIBUTE FOR HOW AN ENTITY SHOULD RECOGNIZE, MEASURE, PRESENT, AND DISCLOSE IN ITS FINANCIAL STATEMENTS UNCERTAIN TAX POSITIONS THAT THE ENTITY HAS TAKEN OR EXPECTS TO TAKE ON A TAX RETURN THE FASB ASC REQUIRES THAT THE FINANCIAL STATEMENTS REFLECT EXPECTED FUTURE TAX CONSEQUENCES OF SUCH POSITIONS PRESUMING THE TAXING AUTHORITIES' FULL KNOWLEDGE OF THE POSITION AND ALL RELEVANT FACTS, BUT WITHOUT CONSIDERING TIME VALUES THE FEDERAL INCOME TAX RETURNS OF THE ORGANIZATIONS FOR THE FISCAL YEARS ENDED SEPTEMBER 30, 2013, 2014, 2015 and 2016 ARE SUBJECT TO EXAMINATION BY THE INTERNAL REVENUE SERVICE, GENERALLY FOR THREE YEARS AFTER THEY ARE FILED HOWEVER, THE ORGANIZATIONS ARE NOT CURRENTLY UNDER AUDIT NOR HAVE THE ORGANIZATIONS BEEN CONTACTED BY ANY TAX JURISDICTIONS

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22. Attach to Form 990.

Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization Kentucky Science and Technology Corp

Employer identification number 61-1135362

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

Table with 8 columns: (a) Name and address of organization or government, (b) EIN, (c) IRC section if applicable, (d) Amount of cash grant, (e) Amount of non-cash assistance, (f) Method of valuation, (g) Description of non-cash assistance, (h) Purpose of grant or assistance. Row 1 contains 'See Additional Data Table'.

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table.
3 Enter total number of other organizations listed in the line 1 table.

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Part I, Line 2	<p>System of Accounting for Separate Tracking of All Grant Funds KSTC's system of accrual accounting maintains separate tracking of all grant funds received and related expenditures made by source and by designated program/project with each source Grant funding codes are used for all direct expenditures such as salaries and benefits which charged per actual costs incurred through employee monthly time allocation sheets Employee business expense reimbursement reports are used for business travel costs, purchase orders are used for materials and supplies, professional service agreements are used for independent contractors and other service providers and automated tracking of postage, copying, long distance phone/fax charges, etc is used to maintain a clear record of direct expenses incurred for each grant Monthly and/or quarterly actual vs budgeted receipts and expenditures analyses are submitted to virtually all grant funding sources KSTC uses a Simplified Allocation Method to allocate indirect corporate costs to grants because all of KSTC's major grants, programs and functions benefit from indirect activities to approximately the same degree and thus the results are reasonable and equitable KSTC's annual indirect rate is negotiated with its federal cognizant agency, the Nation Science Foundation Grant funds received are considered to be earned and reported in revenues of the applicable grant when expenses have been incurred in compliance with the specific restrictions of the grant agreement Expenses incurred for which grant funds have not been received are reported by funding source as grants receivable, while grant funds received but not yet earned are reported as unearned grant revenue by funding source Annual External Corporate Audit and Fiscal Integrity KSTC requires a full annual audit of its consolidated financial statements by an independent CPA firm to ensure that they are fairly presented in conformity with Government Auditing Standards and generally accepted accounting principles in the United States This audit also provides assurance of the proper level of internal control and effective accounting records related to our consolidated financial statements and major grant programs which include the NSF, US DoE, SBA, ARC, NIST, etc</p>

Additional Data

Software ID:
Software Version:
EIN: 61-1135362
Name: Kentucky Science and Technology Corp

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
3P Biotechnologies Inc 3205 Hidden Springs Lane Prospect, KY 40059			89,894				Economic Development / R&D
Advanced Energy Materials LLC 311 E Lee St Louisville, KY 40208	80-0417472		179,953				Economic Development / R&D
Advanced Genomic Technologies LLC 5100 US Hwy 42 Unit 433 Louisville, KY 402416052	26-2928622		148,697				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Amelgo LLC 632 Russell Street Covington, KY 41011	27-1870452		132,360				Economic Development / R&D
Angiomics Inc 1901 Nelson Miller Parkway Louisville, KY 40223			23,794				Economic Development / R&D
Apiq Semiconductor LLC PO Box 17719 Louisville, KY 402170719	46-1436178		2,600				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Asbury University One Macklem Dr Attn Mark Gill Wilmore, KY 40391			3,000				Economic Development / R&D
Ashland Community & Technical College 1400 College Dr Attn Jane Kitchen Ashland, KY 41101			750				Economic Development / R&D
Bauer Labs LLC 462 E High Street Ste 25 Lexington, KY 40507			45,916				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Bert Thin Films LLC 2306 Alta Ave Louisville, KY 40205	46-5698383		95,725				Economic Development / R&D
Biomedical Development Corp ASTeCC Bldg 145 Graham Ave Lexington, KY 40506			102,167				Economic Development / R&D
Central Region ICC WKU Small Business Accelerator 2413 Nashville Road Suite B8 Bowling Green, KY 42101			197,339				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Kentucky State Treasurer Cabinet for Economic Dev 300 W Broadway Frankfort, KY 40601			-668				Economic Development / R&D
CoulSense LLC 862 Ash Street Louisville, KY 40217			1,109				Economic Development / R&D
Directed Energy Inc 1500 Bull Lea Rd Rm 212 Lexington, KY 40511			94,562				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Earthineer LLC 1175 KY Highway 16 Glencoe, KY 41046	45-2043160		50,000				Economic Development / R&D
Eastern Kentucky University Attn T Howard Div of Accounting CPO 3A 521 Lancaster Avenue Richmond, KY 404753102			195,284				Economic Development / R&D
Lumenari (EIE Materials) 1501 Bull Lea Rd Ste 105 Lexington, KY 40511	46-2589534		474,246				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
EndoProtech Inc 1044 East Chestnut Street Louisville, KY 40204			115,101				Economic Development / R&D
Exatherm 145 Graham Ave A020A ASTeCC Bldg Lexington, KY 40506			54,017				Economic Development / R&D
Exscien Corp 201 East Jefferson St Suite 231 Louisville, KY 40202			332,303				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Elevation LLC 3 Audubon Plaza Dr Ste 240 Louisville, KY 40217			1,300				Economic Development / R&D
FasCure Therapeutics LLC 17018 Mallet Hill Dr Louisville, KY 40245	47-2273271		13,048				Economic Development / R&D
FreshFry 1302 Texas Ave Louisville, KY 40217	47-1813088		20,000				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Gen Nine Inc 201 E Jefferson Street Ste 100 Louisville, KY 40202			307,200				Economic Development / R&D
Gismo Therapeutics Inc A253 ASTECC BLDG University of Kentucky Lexington, KY 405060286	45-1135593		117,515				Economic Development / R&D
Greater Louisville Inc 614 West Main Street Suite 6000 Louisville, KY 40202			171,524				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Greater Owensboro Economic Development Corp PO Box 782 Owensboro, KY 42303			171,631				Economic Development / R&D
Heidi McKenzie PO Box 86 Farmers, KY 40319			500				Economic Development / R&D
Hera Testing Labs Inc 2277 Thunderstick Dr Ste 500 Lexington, KY 40505			510,252				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Hummingbird Nano Inc 1500 Bull Lea Rd Ste 009 Lexington, KY 40502			67,072				Economic Development / R&D
InfoBeyond Technology LLC 320 Whittington Parkway Ste 117 Louisville, KY 40222	26-2783072		3,300				Economic Development / R&D
Innovative Diagnostics Inc ASTeccc Building - A272 145 Graham Ave Lexington, KY 40506			13,250				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
International Networks Outcome Research (INOR)- Stoll 243 Alexandria Drive Suite 400 Lexington, KY 40503			-125,000				Economic Development / R&D
Inquiry Technologies LLC 440 Old Whitley Road Suite 103 London, KY 40741	90-0905484		14,289				Economic Development / R&D
Invenio Therapeutics Inc A262 ATeCC Bldg Lexington, KY 40506			43,448				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KCTCS 300 North Main Street Versailles, KY 40383			129,098				Economic Development / R&D
Kentucky Highlands Investment Corp PO Box 1738 London, KY 407431738			156,442				Economic Development / R&D
Kentucky Imaging Technologies LLC 1703 Golden Leaf Way Louisville, KY 40245	30-4942709		69,199				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LaGrange Scientific LLC 1002 Buckner Centre Dr Ste 3-B LaGrange, KY 40031			3,300				Economic Development / R&D
Liberate Medical 6400 Westwind Way Ste A Crestwood, KY 40014	46-2109685		68,582				Economic Development / R&D
Lindsey Wilson College Center for Entrepreneurship 210 Lindsey Wilson St Columbia, KY 42728			3,250				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Love Will Inc 498 Georgetown Street Lexington, KY 40508			150,750				Economic Development / R&D
MEMStim LLC 1441 A St James Ct Louisville, KY 40208	22-2668502		95,841				Economic Development / R&D
Mercury Data Systems Inc 1517 Bull Lea Rd Ste 150 Lexington, KY 40511	56-1885612		333,043				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Minerva Systems & Technologies LLC 145 Graham Ave A170 ASTeCC Bldg Lexington, KY 405060286	45-5187235		43,726				Economic Development / R&D
Morehead State University Attn Shana Savard Acct Budgeting 207 Howell McDowell Admin Bldg Morehead, KY 403511689			227,513				Economic Development / R&D
MosquitoMate Inc 341 Glendover Road Lexington, KY 40503			297,947				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Murray State University Grants Accountant Fin Attn Heather Macha 200 Sparks Hall Murray, KY 420713312			181,025				Economic Development / R&D
nanoRanch Environmental Systems LLC 450 South Freeway Ft Worth, TX 76104	47-1567676		79,147				Economic Development / R&D
Natural Enamel LLC 121 North Martin Luther King Blvd Unit 104 Lexington, KY 40507			3,300				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
nGimat LLC 5315 Peachtree Blvd Atlanta, GA 30341			329,676				Economic Development / R&D
Nicolalde R&D LLC 5654 Winchester Rd Lexington, KY 40509	27-3284995		47,919				Economic Development / R&D
Northern Kentucky University Office of Research Grants VC405 Attn Mary Ucci Highland Heights, KY 41099			1,500				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Noveratech LLC c/o University of Louisville 511 S Floyd Street MDR 316 Louisville, KY 40202	45-2750823		39,853				Economic Development / R&D
NX Development Corp 4350 Brownsboro Rd Ste 110 Louisville, KY 40207			100,000				Economic Development / R&D
Odorcept LLC 1064 Dry Ridge Road Versailles, KY 40383	28-8704388		141,410				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Okeanos Technologies Inc 2012 Callie Way Ste 201 Union, KY 41091	46-0621060		73,970				Economic Development / R&D
Onovative LLC 605 N Shore Dr Ste 203 Jeffersonville, IN 47130	36-4722742		250,000				Economic Development / R&D
Orb Technologies 259 Randk Avenue Lexington, KY 40508	24-6271770		16,878				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Owensboro Community & Technical College Attn Dr Scott Williams 4800 New Hartford Rd Owensboro, KY 42303			2,500				Economic Development / R&D
Parrish Ventures (dba Make Time) 260 Clay Ave Lexington, KY 40502	46-4801876		282,399				Economic Development / R&D
PGxL Technologies LLC 201 East Jefferson Street Ste 309 Louisville, KY 40202	26-1307593		101,250				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PowerTech Water LLC 1301 Selma Court Lexington, KY 40513	46-4486429		251,350				Economic Development / R&D
Pyrochem Catalyst Company 10361 Decimal Dr Louisville, KY 40299	27-2712434		132,972				Economic Development / R&D
Recycling Solutions Technology LLC PO Box 367 Allen, KY 41601	61-1369314		4,068				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RedLeaf Biologics Inc 1784 Delaney Ferry Rd Nicholasville, KY 403568729	47-3566956		53,998				Economic Development / R&D
Signal Solutions LLC 501 Ridge Rd Prospect, KY 40503	26-4165477		257,136				Economic Development / R&D
Sunstrand LLC 1401 Locust Street Louisville, KY 40206	47-1324021		262,415				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TIER1 Accelerated Health LLC 100 East Rivercenter Blvd Ste 100 Covington, KY 41011	61-1426022		3,000				Economic Development / R&D
TutorGen Inc 1037 S Fort Thomas Ave Fort Thomas, KY 41075	27-5122459		243,128				Economic Development / R&D
UHV Technologies Inc 1708 Jaggle Fox Way Lexington, KY 40511	51-0363751		274,728				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WKU Research Foundation Inc Attn Center for RD 2413 Nashville Road Ste B8 Bowling Green, KY 42101	61-1358086		56,682				Economic Development / R&D
WZ Biotech 1224 Raeford Lane Lexington, KY 40513	27-1080488		75,879				Economic Development / R&D
ParaTechs Corporation 1122 Oak Hill Dr Lexington, KY 405053322			29,322				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Rosellini Scientific 1708 Jaggie Fox Way Lexington, KY 40511			101,250				Economic Development / R&D
Schedule It LLC 2935 Dolphin Dr Ste 205 Elizabethtown, KY 42701			90,000				Economic Development / R&D
Science Tomorrow LLC Attn Jyoti Agrawal 145 Graham Ave A217 ASTECC Lexington, KY 405060286			286,314				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SCR Inc 620 S Third Street Louisville, KY 40202			49,730				Economic Development / R&D
Seikowave Inc 145 Graham Ave Lexington, KY 40506			100,000				Economic Development / R&D
Sequela 1002 Buckner Center 3 LaGrange, KY 400317794			164,712				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Smart Farm Systems 110 Hambrick Dr Nicholasville, KY 40356			150,000				Economic Development / R&D
Smith and Logsdon Inc 645 Bergman Street Louisville, KY 40203			3,300				Economic Development / R&D
Sullivan University 3101 Bardstown Rd Attn Angel Miller Miller Louisville, KY 40205			7,150				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SuperFanU Inc 946 Goss Ave Ste 3106 Louisville, KY 40217			100,000				Economic Development / R&D
Switcher Inc 1302 Clear Springs Trace Louisville, KY 40223			150,000				Economic Development / R&D
TherapyX Inc 2468 Grinstead Dr Louisville, KY 40204			133,625				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tri-County Economic Development 300 Buttermilk Pike Suite 332 Fort Mitchell, KY 41017			172,840				Economic Development / R&D
Trigg County Economic Dev Corp 5748 Hopkinsville Rd Attn Sharon Butts Cadiz, KY 42211			12,649				Economic Development / R&D
True Secure SCADA LLC 10415 West Highway 42 Goshen, KY 40026			98,293				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Twin Star TDS LLC 870 Corporate Dr Ste 403 Lexington, KY 40503			313,625				Economic Development / R&D
UK Research Foundation Attn Dan O Hair 308 Lucille Little Library Bldg College of Communicati Lexington, KY 40506			5,250				Economic Development / R&D
University of Kentucky PO Box 931113 Cleveland, OH 44193			1,764,587				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Louisville Research Graduate Programs Jouett Hall Belknap Campus Louisville, KY 40292			333,291				Economic Development / R&D
University of Pikeville 147 Sycamore Street Pikeville, KY 41501			168,452				Economic Development / R&D
Vindico Nanobio Technology Inc 350 New Street Lexington, KY 40507			32,100				Economic Development / R&D

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Vivorte LLC 1044 East Chestnut Street Louisville, KY 40204			100,000				Economic Development / R&D
Western Kentucky University 2413 Nashville Rd Ste B8 Bowling Green, KY 42101			1,192				Economic Development / R&D
Funds held under administration 200 West Vine St Lexington, KY 40507			-1,547,402				Economic Development / R&D

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
Kentucky Science and Technology Corp

Employer identification number
61-1135362

Part I Questions Regarding Compensation

	Yes	No								
<p>1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</p> <table border="0"> <tr> <td><input type="checkbox"/> First-class or charter travel</td> <td><input type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax indemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td> </tr> </table>	<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use	<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use									
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence									
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees									
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)									
<p>b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.</p>	1b									
<p>2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</p>	2									
<p>3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</p> <table border="0"> <tr> <td><input type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input type="checkbox"/> Independent compensation consultant</td> <td><input checked="" type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input checked="" type="checkbox"/> Form 990 of other organizations</td> <td><input checked="" type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>	<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract	<input type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study	<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee				
<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract									
<input type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study									
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee									
<p>4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:</p> <p>a Receive a severance payment or change-of-control payment?</p>	4a	No								
<p>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</p>	4b	No								
<p>c Participate in, or receive payment from, an equity-based compensation arrangement?</p> <p>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.</p>	4c	No								
<p>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</p>										
<p>5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</p> <p>a The organization?</p>	5a	No								
<p>b Any related organization?</p> <p>If "Yes," on line 5a or 5b, describe in Part III.</p>	5b	No								
<p>6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</p> <p>a The organization?</p>	6a	No								
<p>b Any related organization?</p> <p>If "Yes," on line 6a or 6b, describe in Part III.</p>	6b	No								
<p>7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.</p>	7	No								
<p>8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.</p>	8	No								
<p>9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</p>	9									

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 KRIS KIMELPRESIDENT	(i)	244,772 -----	0 -----	0 -----	9,734 -----	14,309 -----	268,815 -----	0 -----
	(ii)	0	0	0	0	0	0	0
2 JOANNE LANG EXECUTIVE vp	(i)	213,828 -----	0 -----	0 -----	8,553 -----	11,975 -----	234,356 -----	0 -----
	(ii)	0	0	0	0	0	0	0
3 JOHN WEHRLE CHIEF FINANCIAL OFFICER	(i)	192,435 -----	0 -----	0 -----	7,613 -----	9,729 -----	209,777 -----	0 -----
	(ii)	0	0	0	0	0	0	0
4 MAHENDRA JAIN VICE PRESIDENT	(i)	213,650 -----	0 -----	0 -----	8,461 -----	14,723 -----	236,834 -----	0 -----
	(ii)	0	0	0	0	0	0	0
5 Richard Johnson VICE PRESIDENT	(i)	143,806 -----	0 -----	0 -----	5,752 -----	23,276 -----	172,834 -----	0 -----
	(ii)	0	0	0	0	0	0	0

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
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**SCHEDULE O
(Form 990 or
990-EZ)**

Department of the
Treasury
Internal Revenue
Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2015

**Open to Public
Inspection**

Name of the organization
Kentucky Science and Technology Corp

Employer identification number

61-1135362

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 11	A COPY OF THE FORM 990 WAS PROVIDED TO THE MEMBERS OF THE GOVERNING BODY FOR REVIEW AND APPROVAL

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 12c	KSTC regularly and consistently monitors and enforces compliance with written internal and applicable external conflict of interest policies and reporting procedures. Conflict of Interest Issues for KSTC Staff are covered in KSTC's Policy & Procedures Manual. All employees sign a written acknowledgment confirming that they have read and understand these policies.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 15	COMPENSATION SURVEYS AND STUDIES ARE USED TO DETERMINE THE SALARIES OF THE ORGANIZATION'S CEO, EXECUTIVE DIRECTOR, TOP MANAGEMENT, AND ALL OTHER OFFICERS AND EMPLOYEES. FINAL APPROVAL OF COMPENSATION IS GIVEN BY THE BOARD OF DIRECTORS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section C, line 19	THE ORGANIZATION'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part XII, Line 2c	no change from prior years

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2015

Open to Public Inspection

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.

▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization
Kentucky Science and Technology Corp

Employer identification number

61-1135362

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) KENTUCKY SPACE LLC 200 WEST VINE STREET SUITE 420 LEXINGTON, KY 40507 61-1135362	PURSUING SPACE RELATED EDUCATION, RESEARCH AND DEVELOPMENT	KY	442,434	69,426	

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) INTELLIGENT CHANGE INITIATIVES 200 WEST VINE STREET SUITE 420 LEXINGTON, KY 40507 61-1304888	SUPPORT AND PROMOTE THE EXEMPT PURPOSES OF KSTC	KY	501(C)(3)	TYPE 1 SUPPORTING			No
(2) EXOMEDICINE INC 200 WEST VINE STREET SUITE 420 LEXINGTON, KY 40507 45-2998769	SUPPORT AND PROMOTE THE EXEMPT PURPOSES OF KSTC	KY	501(c)(3)	TYPE 1 SUPPORTING			No

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) SPACE TANGO VENTURES FUND I LLC 200 W VINE STREET SUITE 420 LEXINGTON, KY 40507 47-1386295	INVESTMENT IN SPACE-DRIVEN START UPS	KY	KENTUCKY SPACE LLC	RELATED				No		Yes		51 000 %

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
(1)Space Tango Inc PO BOX 1065 LEXINGTON, KY 40588 61-1336389	PROMOTE TECHNOLOGY AND DEVELOPMENT FOR NEW AND GROWING ENTERPRISES	KY		C	373,383	465,390	100 000 %		No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		No
1b		No
1c		No
1d		No
1e		No
1f		No
1g		No
1h		No
1i		No
1j		No
1k		No
1l		No
1m		No
1n		No
1o	Yes	
1p		No
1q		No
1r		No
1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) Intelligent Change Initiatives Inc	O	15,671	cost
(2) SPACE TANGO INC	O	125,737	cost

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
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