

## KEYNOTE PERSPECTIVE

# Carbon cycle studies based on the distribution of O<sub>2</sub> in air

By MICHAEL L. BENDER\* and MARK O. BATTLE, *Department of Geosciences, Princeton University, Princeton, New Jersey 08544, USA*

(Manuscript in final form 19 November 1997)

The distribution of O<sub>2</sub> in air, first studied by Keeling and Shertz (1992), is receiving increasing attention as an indicator of natural carbon fluxes and their anthropogenic perturbations. O<sub>2</sub> and CO<sub>2</sub> are (inversely) linked by photosynthesis, respiration, and combustion. However, their concentrations do not covary perfectly because they have different solubilities. Within the combined ocean–atmosphere system, about 98% of the CO<sub>2</sub> is in the ocean, while 99% of the O<sub>2</sub> is in the atmosphere. Because O<sub>2</sub> is partitioned almost quantitatively into air, atmospheric inventory changes accurately reflect O<sub>2</sub> fluxes of the carbon cycle at the hemispheric or global scale. Because air mixes rapidly, one can measure O<sub>2</sub> inventory changes and use them to accurately constrain large-scale carbon fluxes. In this paper, we briefly summarize the basis and status of efforts to measure the distribution of O<sub>2</sub> in air and its implications for the carbon cycle.

Changes in the air O<sub>2</sub> content are reported normalized to N<sub>2</sub> in units of per meg:

$$\delta(\text{O}_2/\text{N}_2) = \left[ \frac{(\text{O}_2/\text{N}_2)_{\text{sample}}}{(\text{O}_2/\text{N}_2)_{\text{reference}}} - 1 \right] \times 10^6$$

(per meg).

Normalization to N<sub>2</sub> eliminates variations associated with changes in humidity, CO<sub>2</sub> concentration, and other atmospheric changes unrelated to O<sub>2</sub> or CO<sub>2</sub> fluxes.

The O<sub>2</sub>/N<sub>2</sub> ratio of air then varies for the following reasons:

(1) *Combustion.* Combustion of fossil fuels consumes O<sub>2</sub>. The molar ratio of O<sub>2</sub> consumption to CO<sub>2</sub> production varies from about 1.1 for coal to 2 for methane, with an average weighted for modern fossil fuel utilization of about 1.38 (Keeling et al., 1996). During the 1990s, combustion of fossil fuel has been drawing down the O<sub>2</sub>/N<sub>2</sub> ratio of air by about 20 per meg yr<sup>−1</sup>.

(2) *Carbon sequestration by the land biosphere.* Net accumulation of organic carbon by the land biosphere links net O<sub>2</sub> production and its input to air. Biospheric accumulation can be positive (land biosphere is a sink of CO<sub>2</sub>) or negative (land biosphere is a CO<sub>2</sub> source).

(3) *The seasonal cycle of the land biosphere.* The land biosphere grows in spring and summer, sequestering CO<sub>2</sub> and producing O<sub>2</sub>. Respiration occurs throughout the year. It is more rapid in summer, when temperatures are warmest, but the biosphere is net autotrophic in summer and heterotrophic in winter. The resulting seasonal CO<sub>2</sub> variations are well documented by the long-term variations in the CO<sub>2</sub> concentration of air at Mauna Loa (Keeling et al., 1989), and other sites. The O<sub>2</sub>/N<sub>2</sub> ratio of air must vary in a complementary way, with a ΔO<sub>2</sub>/ΔCO<sub>2</sub> ratio of about −1.1 (Severinghaus, 1995).

(4) *The seasonal cycle of the ocean biosphere.* The annual evolution of upper ocean temperatures drives a seasonal cycle of productivity and air–sea O<sub>2</sub> fluxes which make a major contribution to the seasonal cycle of the O<sub>2</sub>/N<sub>2</sub> ratio of air (Keeling and Shertz, 1992; Keeling et al., 1993; Bender et al., 1996). O<sub>2</sub>/N<sub>2</sub> variations associated with the ocean biosphere are actually due to two independent pro-

\* Corresponding author.

cesses, net production and ventilation, which are coupled, albeit imperfectly, at all time scales.

(a) *Net production.* The illuminated upper ocean, which typically extends to 100 m depth, is a site of both photosynthesis and respiration, whereas the underlying dark ocean is a site of respiration only. Photosynthesis and respiration are almost perfectly in balance in the global ocean. Therefore, the upper ocean is a site of net  $O_2$  production, whereas the deep ocean is a site of net  $O_2$  consumption. Net  $O_2$  production in the upper ocean causes supersaturation of surface waters and the transfer of  $O_2$  to the atmosphere. In the tropics, this process continues throughout the year and leads to a small meridional gradient but little seasonality in the  $O_2/N_2$  ratio. In temperate to polar regions, net  $O_2$  production and its transfer to the atmosphere are highly seasonal. The seasonality in biology is a response to the temperature structure of the upper ocean. In wintertime, there is a deep, cool mixed layer which typically extends to 100 m or more in depth. The concentrations of limiting nutrients ( $NO_3^-$  and  $PO_4^{3-}$ ) are high but solar irradiance is low, and phytoplankton spend a large portion of their lives in the deeper part of the mixed layer. They cannot grow rapidly under these conditions. In spring, solar irradiance increases. Simultaneously, a warm water layer forms, typically extending to 30–50 m depth and floating on the colder water below. Under these conditions, phytoplankton thrive and bloom, resulting in net carbon production, mixed layer  $O_2$  supersaturation, and a flux of  $O_2$  to the atmosphere. Eventually, nutrients are drawn down and net production decreases, but it continues through the summer, perhaps supported by influx of nutrients from below driven by several independent mixing processes. The fate of the net carbon production is complex. Some remains as dissolved organic carbon (DOC) to be advected with the water and respired (or possibly photo-oxidized) over various timescales. Some remains as particulate organic C with the same fate. However, a large fraction of net production sinks into the dark ocean, where it is gradually oxidized as it settles through the water column. Respiration creates an  $O_2$  deficit in the deeper ocean, but has no immediate effect on the atmospheric  $O_2/N_2$  ratio.

(b) *Respiration and ventilation.* Respiration continuously consumes  $O_2$  and leaves the dark ocean everywhere undersaturated with  $O_2$ . The dark

ocean is reaerated by the process of ventilation. In winter, surface waters in temperate to polar regions cool. Their temperatures fall to the average values of waters in the ocean interior. These interior waters then mix to the surface. Colder (deeper) interior waters mix to the surface at higher latitudes, where wintertime surface temperatures are lowest. Atmospheric  $O_2$  dissolves into undersaturated waters and the  $O_2$  concentration of air falls, completing the seasonal cycle of air  $O_2/N_2$  linked to ocean biology. Over a sufficiently long time, ocean  $O_2$  uptake by ventilation must be almost exactly equal to the  $O_2$  flux to the atmosphere due to net production. The reason is that all organic carbon is oxidized in the oceans except for a very small amount which is buried in sediments. Over a period of years, however, annually averaged air–sea  $O_2$  fluxes may be unequal. The reason is that ventilation replaces  $O_2$  consumed, on average, years to decades earlier. Net production rates and ventilation rates may vary interannually, and there is no oceanographic process linking the rate of  $O_2$  export due to net production with  $O_2$  uptake during the same year. Thus oceanographic processes allow the possibility of natural interannual variations in  $O_2/N_2$  ratios. For example, net ocean production might be higher in years when strong spring winds bring a large flux of aerosol iron to the deep sea; ventilation might be more intense in years of strong winter winds. Such effects would cause imbalances in changes in the annually averaged atmospheric  $O_2$  inventory.

(c) *Ocean thermal effects.* The solubility of gases falls as temperature rises. For this reason, there is a flux of gas to the atmosphere in summertime and a return flux in wintertime. Because  $O_2$  is more soluble than  $N_2$ , the  $O_2/N_2$  ratio of air rises in summer and falls in winter.

Quantitatively, the processes which influence the  $O_2/N_2$  ratio of air produce the following component signals of the atmospheric  $O_2/N_2$  ratio, listed below, which add to give the observed variations in the  $O_2/N_2$  ratio of air. First, the combustion of fossil fuels causes a long-term decrease (of  $\sim 20$  per meg/yr in the 1990s) in the  $O_2/N_2$  ratio of air. Second, annually averaged  $CO_2$  uptake by the land biosphere attenuates the long-term decrease by  $O_2$  input to air. 1 Gt C/yr  $CO_2$  uptake attenuates the atmospheric  $O_2/N_2$  decrease by 2.5 per meg/yr. Third, the seasonal

cycle of the land biosphere causes a seasonal variation with maximum O<sub>2</sub>/N<sub>2</sub> in summer. O<sub>2</sub> changes 1.1 times as much as CO<sub>2</sub> and a 1 ppm change in O<sub>2</sub> corresponds to a change of 4.8 per meg in  $\delta(\text{O}_2/\text{N}_2)$ . Consequently the amplitude of the O<sub>2</sub>/N<sub>2</sub> change from the land biosphere in per meg is 5.3 times the amplitude of the CO<sub>2</sub> change in ppm. Fourth, the seasonal cycle of the ocean biosphere causes a sympathetic cycle in O<sub>2</sub>/N<sub>2</sub>. Net production of the ocean biosphere raises O<sub>2</sub>/N<sub>2</sub> in spring and summer, while ventilation lowers it in fall and winter. The amplitude depends on ocean fertility, and provides a measure of this term at the hemispheric scale. The observed amplitude is about 40 and 60 per meg in temperate and higher latitudes of the northern and southern hemispheres, respectively. Fifth, seasonal warming and cooling of the upper oceans transfers O<sub>2</sub> to the atmosphere in summer, with a return flux in winter. The amplitude of the seasonal cycle is about 12 per meg in the southern hemisphere and 8 per meg in the north (Keeling et al., 1993). Sixth, industrial activity, CO<sub>2</sub> uptake by the land biosphere, ocean production and ocean transport induce meridional gradients in annually averaged O<sub>2</sub>/N<sub>2</sub> ratios. Industrial activity, concentrated in the northern hemisphere, consumes O<sub>2</sub> there leading to an interhemispheric gradient with low O<sub>2</sub>/N<sub>2</sub> in the north. Based on O<sub>2</sub>/N<sub>2</sub> data, uptake of CO<sub>2</sub> by the land biosphere appears to be concentrated in the northern hemisphere (Keeling et al., 1996), and attenuates the meridional gradient due to combustion. In the ocean, O<sub>2</sub> input by net production is greater than ventilation at low latitudes, introducing a very small meridional gradient with a maximum at the equator. Of greater interest is the modest contribution to the interhemispheric gradient which results from formation of deep water in the North Atlantic and its partial ventilation in the southern ocean. This transport of O<sub>2</sub> must affect the interhemispheric gradient in the O<sub>2</sub>/N<sub>2</sub> ratio of air (Keeling and Peng, 1995).

Data on the O<sub>2</sub>/N<sub>2</sub> ratio and CO<sub>2</sub> concentration of air at Barrow, Alaska, and Cape Grim, Tasmania (Fig. 1) illustrate the points discussed above. The O<sub>2</sub>/N<sub>2</sub> ratio has fallen throughout the period of observation. The rate of decrease is slightly less than O<sub>2</sub> consumption by fossil

fuel combustion, and implies CO<sub>2</sub> uptake by the land biosphere of about 1 Gt C/yr since 1991.

The seasonal variability in the O<sub>2</sub>/N<sub>2</sub> ratio comes from ocean biology fluxes, ocean thermal fluxes, and land biosphere fluxes. One can use CO<sub>2</sub> variations to subtract the land biosphere contribution. One can estimate and subtract the thermal contribution by using data on seasonal changes in upper ocean heat storage (and assuming upper ocean gas saturation) to calculate air-sea fluxes of O<sub>2</sub> and N<sub>2</sub>. Distributing these fluxes through the atmosphere using a 3-D tracer transport model gives estimates of the seasonal thermal variations which can then be subtracted from observations to give the variability in O<sub>2</sub>/N<sub>2</sub> from ocean biology.

These variations from ocean biology have in turn been used to test ocean carbon cycle models and estimate ocean carbon fluxes based on ocean and atmosphere models of varying complexity (Keeling and Shertz, 1992; Keeling et al., 1993; Bender et al., 1996; Six and Maier-Reimer, 1996). The general approach involves using an ocean general circulation/carbon cycle model to calculate air-sea O<sub>2</sub> fluxes in time and space. A 3-D atmospheric tracer transport model is then used to calculate the resulting atmospheric O<sub>2</sub>/N<sub>2</sub> distribution, which is compared to observations. Several recent models, involving global rates of seasonal net carbon production around 12 Gt C/yr, predict O<sub>2</sub>/N<sub>2</sub> variations in good agreement with observations. 12 Gt C/yr is at the high end of the range of estimates of seasonal net carbon production derived from other approaches. Estimates are evolving as oceanic and atmospheric models improve.

A number of recent efforts are extending the scope of studies of the atmospheric O<sub>2</sub> cycle. Battle et al. (1996) extended the record of O<sub>2</sub>/N<sub>2</sub> variations back in time by studying air in the firn at South Pole. Firn is the porous layer of incompletely compacted snow which typically forms the top 70–100 m of an ice sheet. They measured the covariation of O<sub>2</sub>/N<sub>2</sub> with CO<sub>2</sub> in firn air and used it to estimate the rate of O<sub>2</sub>/N<sub>2</sub> decrease between 1977–1985. Langenfelds et al. (1997) determined the atmospheric O<sub>2</sub>/N<sub>2</sub> ratio in 1978 and 1987 by analyzing samples from the Cape Grim Air Archive. Both these studies were consistent with the synthesis in the 1992 IPCC report estimating that the land biosphere was

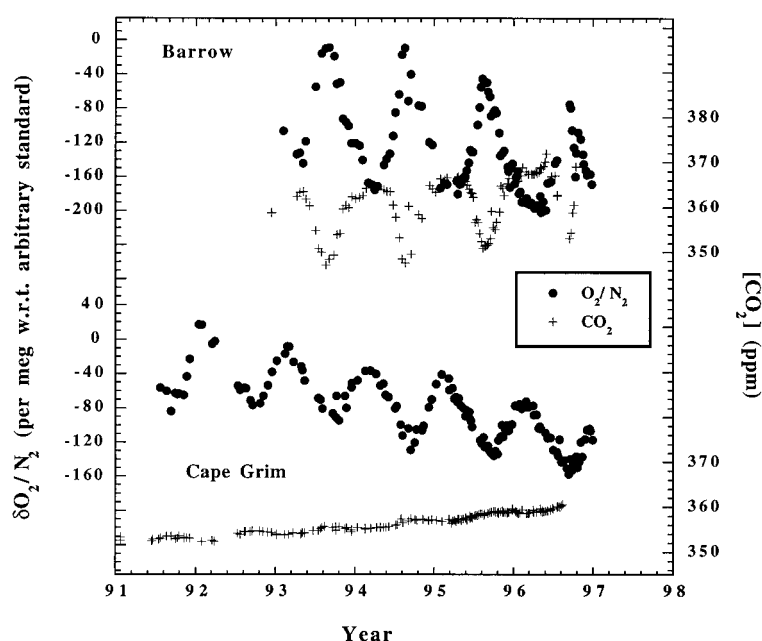


Fig. 1.  $O_2/N_2$  and  $CO_2$  values measured in flasks collected at Cape Grim, Tasmania and Point Barrow, Alaska.  $O_2/N_2$  values were measured at the University of Rhode Island.  $CO_2$  values were measured by NOAA/CMDL in Boulder, Colorado.

approximately in balance during the 1980's, with the ocean taking up about 2 Gt C/year. Keeling and collaborators at the Scripps Institution of Oceanography and our lab are routinely analyzing samples from almost 20 remote sampling sites; Langenfelds, Francey and collaborators, Division of Atmospheric Research, CSIRO Aspendale, are monitoring the  $O_2/N_2$  of Cape Grim air; and measurement programs are in preparation at several other institutions. The CSIRO group has an aircraft measurement program underway which will give critical data on variations of  $O_2/N_2$  ratios with elevation. Finally, analytical efforts currently underway may give new methods for measuring  $O_2/N_2$  ratios.

#### Acknowledgements

Taylor Ellis has been involved in nearly all the analytical work reported here. Our work has been a collaboration with Pieter Tans, CMDL, NOAA, Boulder. Ralph Keeling, Roger Francey, and David Lowe have made essential contributions to the development of sampling techniques, sample collection, and discussion of results. This work has been supported by the US National Science Foundation, US Environmental Protection Agency, the National Oceanic and Atmospheric Administration, and the National Institute of Global Environmental Change (US Department of Energy).

#### REFERENCES

- Battle, M., Bender, M., Sowers, T., Tans, P., Butler, J., Elkins, J., Ellis, J., Conway, T., Zhang, N., Lang, P. and Clarke, A. D. 1996. Atmospheric gas concentrations over the past century measured in air from firn at the South Pole. *Nature* **383**, 231–235.
- Bender, M. L., Tans, P. P., Ellis, J. T., Orchardo, J. and Habfast, K. 1994. High precision isotope ratio mass spectrometry method for measuring the  $O_2/N_2$  ratio of air. *Geochim. Cosmochim. Acta* **58**, 4751–4758.
- Bender, M. L., Ellis, J. T., Tans, P. P., Francey, R. J. and

- Lowe, D. 1996. Variability in the O<sub>2</sub>/N<sub>2</sub> ratio of southern hemisphere air, 1991–1994; implications for the carbon cycle. *Global Biogeochem. Cycles* **10**, 9–21.
- Keeling, C. D., Bacastow, R., Carter, A., Piper, S., Whorf, T., Heimann, M., Mook, W. and Roeloffzen, H. 1989. A three-dimensional model of atmospheric CO<sub>2</sub> transport based on observed winds. (1) Analysis of observational data, pp. 165–236. In: *Aspects of Climate Variability in the Pacific and Western Americas. Geophysical Monograph* **55**, D. H. Peterson (ed.).
- Keeling, R. F. and Shertz, S. R. 1992. Seasonal and interannual variations in atmospheric oxygen and implications for the global carbon cycle. *Nature* **358**, 723–727.
- Keeling, R. F., Najjar, R. P., Bender, M. L. and Tans, P. P. 1993. What atmospheric oxygen measurements can tell us about the global carbon cycle. *Global Biogeochem. Cycles* **7**, 37–67.
- Keeling, R. F. 1995. The atmospheric oxygen cycle: the oxygen isotopes of atmospheric CO<sub>2</sub> and O<sub>2</sub> and the O<sub>2</sub>/N<sub>2</sub> ratio. *Rev. Geophys. (Suppl.)*, 1253–1262.
- Keeling R. F. and Peng, T.-H. 1995. Transport of heat, CO<sub>2</sub> and O<sub>2</sub> by the Atlantic's thermohaline circulation. *Phil. Trans. R. Soc. Land* **B348**, 133–142.
- Keeling, R. F., Piper, S. C. and Heimann, M. 1996. Global and hemispheric CO<sub>2</sub> sinks deduced from changes in atmospheric O<sub>2</sub> concentration. *Nature* **381**, 218–221.
- Langenfelds, R. L., Francey, R. J., Steele, L. P., Battle, M., Keeling, R. F. and Budd, W. F. 1997. Determination of a 19-year O<sub>2</sub>/N<sub>2</sub> trend from the Cape Grim air archive. In: *Fifth International Carbon Dioxide Conference: extended abstracts, 1997: (Australia)*. Cairns, Qld.: Fifth International Carbon Dioxide Conference Committee. pp. 39–40.
- Severinghaus, J. P. 1995. *Studies of the terrestrial O<sub>2</sub> and carbon cycles in sand dune gases and in biosphere 2*. Doctoral Dissertation, Columbia University.
- Six, K. D. and Maier-Reimer, E. 1996. Effects of plankton dynamics on seasonal carbon fluxes in an ocean general circulation model. *Global Biogeochem. Cycles* **10**, 559–583.