

Measurements of particle number size distributions and optical properties in urban Shanghai during 2010 World Expo: relation to air mass history

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ABSTRACT

To investigate the air quality during 2010 World Expo, continuous measurements of particle number size distributions and optical parameters were performed at urban Shanghai from April to June 2010. Total particle number and volume concentrations in the size range 16–600 nm were $12\,700 \pm 6200 \text{ cm}^{-3}$ and $16 \pm 8 \mu\text{m}^3/\text{cm}^3$, respectively. Meanwhile, the optical parameters, particle light-scattering coefficient $b_{\text{sp},532\text{nm}}$ and absorption coefficient $b_{\text{ap},532\text{nm}}$ were $210 \pm 140 \text{ Mm}^{-1}$ and $26 \pm 20 \text{ Mm}^{-1}$, respectively. Strong correlation ($R=0.69$) was observed between $b_{\text{sp},532\text{nm}}$ and the number concentration of accumulation mode particles, especially in the specific size range 300–500 nm ($R=0.87$). Cluster analysis on air mass history was performed to explore the relationship between air mass origins and the optical as well as microphysical parameters. Back trajectories were classified into four major clusters. Air masses came from the west direction, in which continental aerosols were predominant, associating with the polluted cases during the summertime in Shanghai. In addition, the northern air mass showed the lower values of aerosol single scattering albedo $\omega_{0,532\text{nm}}$, indicating the presence of smaller light-absorbing particles originated from the North China Plain.

Keywords: particle number size distribution, aerosol optical properties, air mass history, cluster analysis, 2010 World Expo

1. Introduction

The impacts of aerosol particles on the Earth–atmosphere system, including climate change, air quality and human health, determine its highlight in atmospheric environmental research. Aerosol particles influence the global energy budget by changing the microphysical structure, lifetime and coverage of clouds indirectly (Lohmann and Feichter, 2005), as well as by scattering and absorption of solar radiation directly (Stier et al., 2007). The total indirect (cloud albedo effect, also referred to as first indirect effect) and direct aerosol radiative forcings were estimated to be $-0.7 [-1.1, +0.4] \text{ W/m}^2$ and $-0.5 [\pm 0.4] \text{ W/m}^2$, respectively (IPCC, 2007). To get a better understanding on the effect of aerosol particles to climate change, further knowledge of aerosol

optical properties including particle light scattering and absorption as well as single scattering albedo are required.

In addition, the size of aerosol particles is believed to be a key to understand the atmospheric aerosol evolution process (Kleinman et al., 2009). Dusek et al. (2006) pointed out that the aerosol size matters more than chemistry to influence the particles' ability acting as cloud condensation nuclei (CCN). Therefore, the knowledge of particle size distributions, including their temporal and spatial variability, is crucial in exploring their direct and indirect forcing quantifications. Over the past decade, a large number of long-term or short-intensive measurements of particle number size distributions have been conducted in diverse atmospheric environments, including the remote atmosphere, above and inside the forest, coastal and mountain areas as well as rural and polluted urban regions (Kulmala et al., 2004; Putaud et al., 2010; Asmi et al., 2011; Wang et al., 2013c). However, most of these observations were

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performed in the developed countries in Europe and North America. In China, the first long-term continuous measurements of particle number size distributions have been conducted in urban Beijing since March 2004 (Wehner et al., 2004; Wu et al., 2008; Wang et al., 2013d). Meanwhile, more results have been reported in marine environment (Lin et al., 2007), regional background (Shen et al., 2011; Wang et al., 2013b), high-altitude mountain site (Kivekas et al., 2009; Li et al., 2011; Guo et al., 2012), rural areas (Liu et al., 2008; Yue et al., 2010a; Wang et al., 2013e; Herrmann et al., 2014) and suburban regions (Gao et al., 2009, 2011).

Shanghai, with approximately over 20 million inhabitants (<http://www.stats-sh.gov.cn/>), is the commercial centre of China, and one of the megacities in the world. With the rapid economic growth and urbanisation, the air quality has deteriorated in the past decades. The visibility showed a clear decreasing trend, especially since the middle of the 1990s (Chang et al., 2009). The hourly average PM_{10} concentration was higher than $150 \mu\text{g}/\text{m}^3$ with the atmospheric visibility within 10 km during the summertime haze pollution event (Du et al., 2011). The annual average $\text{PM}_{2.5}$ concentration was $90 \mu\text{g}/\text{m}^3$ during 2005–2006 (Feng et al., 2009), which was 2.6 times higher than the new national ambient air quality standards for annual $\text{PM}_{2.5}$, with a secondary level of annual average $35 \mu\text{g}/\text{m}^3$ in China. The concentrations of PM_{10} and $\text{PM}_{2.5}$ exhibited the same seasonal variation, higher in winter and lower in summer. The carbonaceous species and secondary ions (sulphate, nitrate and ammonium) were the most abundant fine particles components, indicating the serious secondary particulate pollution in Shanghai (Ye et al., 2003; Wang et al., 2006). Most of these studies focus on the particle mass concentration and chemical composition; however, there is limited information on size distribution and optical property. Only one publication by Du et al. (2012) reported the particle number size distribution and formation event in the urban environments of Shanghai. Therefore, the comprehensive insight into the ambient particle behaviours is necessary.

To improve the air quality during 2010 World Expo, the Shanghai government had conducted a series of emission control measures before the event, including reducing coal burning emissions from power plants, strict regulations on vehicle emission standards, closing highly emitting factories, etc. Meanwhile, the neighbouring Jiangsu and Zhejiang provinces also implemented several control measures to decrease potential regional transport of air pollutants to Shanghai. In this study, we displayed the aerosol number size distribution and optical properties measurements during the intensive campaign from April to June, 2010. The size distribution data were analysed in conjunction with the optical properties, focusing on the

basic characteristics of fine particle pollution and their relationship with air mass origin.

2. Measurements

2.1. Location of sampling site

The intensive field campaign was performed between 15 April and 22 June, 2010. The sampling site was located in the eastern part of Shanghai, and approximately 8 km far to the northeast of World Expo Park. All the instruments were housed in the observatory, which was situated on the roof of a sixth floor building of Shanghai Pudong Environmental Monitoring Station (31.2267°N , 121.5382°E). The sampling inlets extended vertically from the laboratory window, which were ~ 25 m in height above the ground level. The surroundings of this site were mainly a residential and business area. Two major roads with heavy traffic at the west and south of the site were respectively 500 m away. There are no other significant local source emissions around. Hence, this site is assumed as representative for the Shanghai urban background aerosol.

2.2. Instrumentation

Number size distributions of atmospheric particles from 15 to 600 nm (mobility diameter) were measured by a SMPS (Scanning mobility particle sizer) system (TSI model 3936, TSI Inc., St. Paul, MN, USA). It consists of a long Differential Mobility Analyzer (DMA, TSI model 3081) transmitting only particles with a certain size, and a Condensational Particle Counter (CPC, TSI model 3022) for counting the particle number concentration. One inlet impactor with 0.071 cm diameter nozzle was installed ahead the whole system to remove big particles. The flow rate was 0.3 L/min for the sample air and 3.0 L/min for the sheath air. Particle number size distribution was taken every 5 minutes. The size-dependent diffusion losses in connecting tubes were corrected as compiled by Wiedensohler et al. (2012).

A Multi-angle Absorption Photometer (MAAP, model Carusso 5012, Thermo, Inc., Waltham, MA USA) was used to obtain the aerosol light absorption coefficient at 670 nm ($b_{\text{ap},670\text{nm}}$). The $b_{\text{ap},670\text{nm}}$ is determined from the simultaneous measurement of radiation passing through and scattered back from a particle-loaded filter. The measurement is operated at three detection angles to resolve the influence of light-scattering aerosol components on the angular distribution of the back scattered radiation (Petzold and Schönlinner, 2004). The flow rate of the MAAP was calibrated once per week. The uncertainty of MAAP at 99% confidence level has been estimated as 12% by Petzold and

Schönlinner (2004), and the minimum detection limit is lower than 100 ng/m³ at 2 minutes average.

Aerosol total light coefficient at a wavelength of 532 nm ($b_{sp,532nm}$) was measured by a commercial integrating nephelometer (M9003, Ecotech Pty Ltd. Knoxfield, Australia) (Heintzenberg and Charlson, 1996; Müller et al., 2011). Before the field campaign, calibration was carried out with filtered particle-free air and CO₂ as the low and high span gases, respectively. According to the manual, the lowest detectable limit is 0.4 Mm⁻¹.

Measurement of the SO₂ concentrations was carried out by using a commercial analyser (EC9850, Ecotech Pty Ltd. Knoxfield, Australia), and an automatic meteorology station was operated to measure meteorology parameters including temperature, relative humidity and pressure.

During the whole measurements, ambient aerosol particles were sample via a 3/4-inch stainless steel tubing with a PM₁₀ sampling head, and then split to SMPS and MAAP. Total suspended particles were collected by the nephelometer via a 1/4-inch stainless steel tubing. The relative humidity for each device was kept within 40% by using the silica gel diffusion dryer. The frequency for changing the dryer was about 1 week.

2.3. Data analysis

2.3.1. Optical parameters normalised. The original data obtained from MAAP is the mass concentration of black carbon (M_{BC}), which is calculated based on the assumption that the proportional relationship between M_{BC} and b_{ap} (Gundel et al., 1984):

$$b_{ap} = \alpha_{ap} \times M_{BC} \quad (1)$$

where α_{ap} is the mass absorption efficiency with a specific value (6.6 m²/g) recommended by the manufacturer. Hence, the output data M_{BC} could be converted to b_{ap} (670 nm) based on eq. (1).

Previous studies (Kirchstetter et al., 2004; Clarke et al., 2007) indicated that the wavelength dependence of b_{ap} is linked to particle size and composition. They reported that the absorption Ångström exponent varying from 0.7 to 1.1 for pollution aerosol, while increase to 2.1 for biomass burning plume. However, a basic hypothesis of the inverse wavelength 'Power Law' (Bergstrom et al., 2002; Collaud Coen et al., 2004) has been selected here. Accordingly, the absorption coefficient at 532 nm ($b_{ap,532nm}$) is retrieved from $b_{ap,670nm}$:

$$b_{ap,532nm} = b_{ap,670nm} \cdot (670/532) \quad (2)$$

Under the same wavelength, the aerosol single scattering albedo, $\omega_{0,532nm}$, is defined as the ratio between the aerosol

light scattering and the extinction coefficients (sum of the scattering and absorption):

$$\omega_{0,532nm} = b_{sp,532nm} / (b_{ap,532nm} + b_{sp,532nm}) \quad (3)$$

2.3.2. Mode fitting. The measured particle number size distributions were fitted and parameterised by a multiple log-normal distribution function (Seinfeld and Pandis, 2006):

$$\frac{dN}{d \log Dp} = \frac{N_i}{\sqrt{2\pi \log \sigma}} \exp \left[-\frac{(\log Dp - \log \overline{Dp}_i)^2}{2(\log \sigma)^2} \right] \quad (4)$$

Here, N_i and \overline{Dp}_i are the total number concentration and mean diameter of mode i , respectively. σ is the geometric mean standard deviation of the distribution. In this study, we applied an automatic algorithm for fitting every measured particle number size distribution (N : 19872) using MATLAB. The algorithm does not need a user decision for the initial input parameters, while it requires only the maximum number of possible modes. Detailed information could be found in Hussein et al. (2005). Figure 1 displayed one example of fitting result for mean particle number size distribution during the entire measurement period.

As a result, three modes were identified corresponding to the nucleation mode, Aitken mode and accumulation mode, respectively. The statistical analysis showed that the corresponding mean diameters were 21 nm, 56 nm and 186 nm, respectively, with the standard deviation of 6 nm, 21 nm and 69 nm. Hence, the diameter size ranges for the nucleation, Aitken and accumulation modes were chosen as 16 to 30 nm, 30 to 100 nm and 100 to 600 nm in this study.

2.3.3. Back trajectory analysis. To explore the influence of the air mass history and obtain a better understanding of regional atmospheric aerosol properties, the back trajectory analysis was performed using the HYbrid Single Particle Lagrangian Integrated Trajectory (HYSPPLIT4) model developed by NOAA/ARL to trace the history of air masses arriving at measurement site (Draxler and Rolph, 2012; Rolph, 2012). The meteorological input data used in the model was obtained from the NOAA ARL archives (<http://ready.arl.noaa.gov/archives.php>). In this study, 48 hours back trajectories were chosen, and four trajectories per day (00, 06, 12 and 18 at local time) were calculated.

The trajectories terminated on a height of 500 m above ground level. In addition, a hierarchical clustering algorithm was applied to group a total of 284 backward trajectories

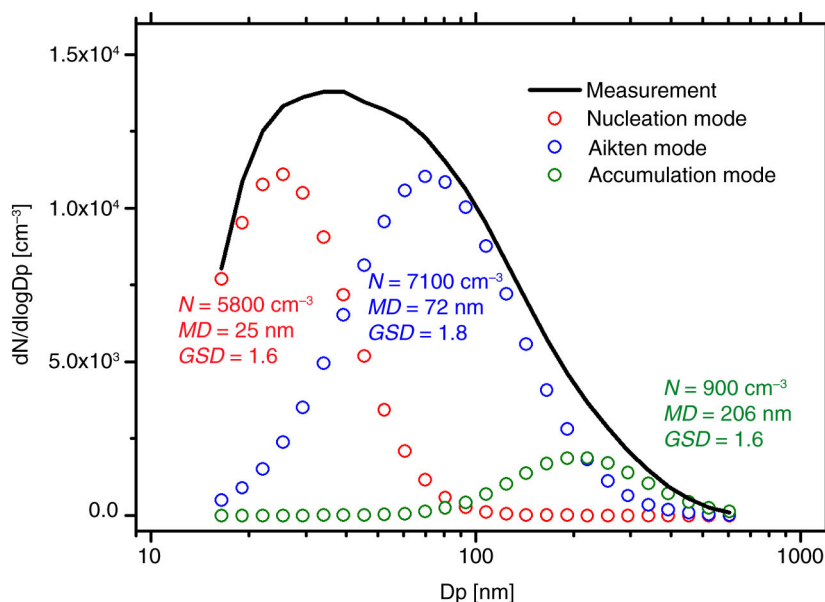


Fig. 1. The fitting result of the mean atmospheric particle number size distribution for the whole campaign period. The modal concentrations (N), median diameters (MD) and geometric standard deviations (GSD) for the nucleation (red), Aitken (blue) and accumulation (green) modes are also shown.

according to their similarity in spatial distribution using the HYSPLIT4 software.

3. Results and discussion

3.1. Particle number concentrations and size distributions

The temporal variability of hourly average number and volume concentrations of total particles (16–600 nm) during the entire measurement period are represented in Fig. 2a. The total aerosol number concentrations were observed from 3000 to 43 300 cm^{-3} , with a mean value of $12\,700 \pm 6200 \text{ cm}^{-3}$. The particle volume concentrations were calculated from the observed number size distributions with an assumption of spherical particles. The values varied largely in the range of $3\text{--}60 \mu\text{m}^3/\text{cm}^3$ during the campaign, with an average of $16 \pm 8 \mu\text{m}^3/\text{cm}^3$. With the assumption of particle density as 1.7 g/cm^3 , this value was consistent with the PM_{10} ($29.2 \mu\text{g}/\text{m}^3$), which was achieved both by High-Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS) and Single Particle Soot Photometer (SP2) during the same campaign (Huang et al., 2012). The average number concentrations were 3100 cm^{-3} for nucleation mode, 6700 cm^{-3} for Aitken mode and 2900 cm^{-3} for accumulation mode. The corresponding median values were 2600 cm^{-3} , 5800 cm^{-3} and 2400 cm^{-3} , respectively (Fig. 2b).

The total particle number concentration was lower than those measured in the other urban environments in North America and Europe, such as Pittsburgh ($22\,000 \text{ cm}^{-3}$; Stanier et al., 2004), New York ($22\,100 \text{ cm}^{-3}$; Bae et al., 2010), Leipzig ($11\,600\text{--}21\,400 \text{ cm}^{-3}$; Wehner and Wiedensohler, 2003), Atlanta ($23\,100 \text{ cm}^{-3}$; Woo et al., 2001), New Delhi ($20\,000\text{--}250\,000 \text{ cm}^{-3}$; Mönnkönen et al., 2005). However, higher accumulation mode particles were observed in urban background sites of Shanghai compared with the other sites except for New Delhi, representing the more polluted situation. The summary of size-segregated particle number concentrations measured in various atmospheric environments of China is listed in Table 1. The mean total number concentration at Shanghai was close to the recent study conducted in the winter of 2008 (Du et al., 2012) and was much lower than the observations in the urban sites of Beijing ($32\,800 \text{ cm}^{-3}$; Wu et al., 2008), Guangzhou ($29\,000 \text{ cm}^{-3}$; Yue et al., 2010a) as well as the suburban of Shanghai ($30\,200 \text{ cm}^{-3}$; Gao et al., 2009) and Nanjing ($23\,300 \text{ cm}^{-3}$; Herrmann et al., 2014), even the rural sites like Yufa ($17\,000 \text{ cm}^{-3}$; Yue et al., 2009) and Xinken ($16\,300 \text{ cm}^{-3}$; Liu et al., 2008). However, the results were 3–7 times higher than the marine environment such as Yellow Sea (ship measurement, 4000 cm^{-3} ; Lin et al., 2007), as well as the regional background stations Waliguan (high-altitude mountain, 3816 m a.s.l., 2000 cm^{-3} ; Kivekas et al., 2009). Note that the differences may be due to the instrumentation techniques (the detections of lower and upper diameters), which may bring the diverse integrated

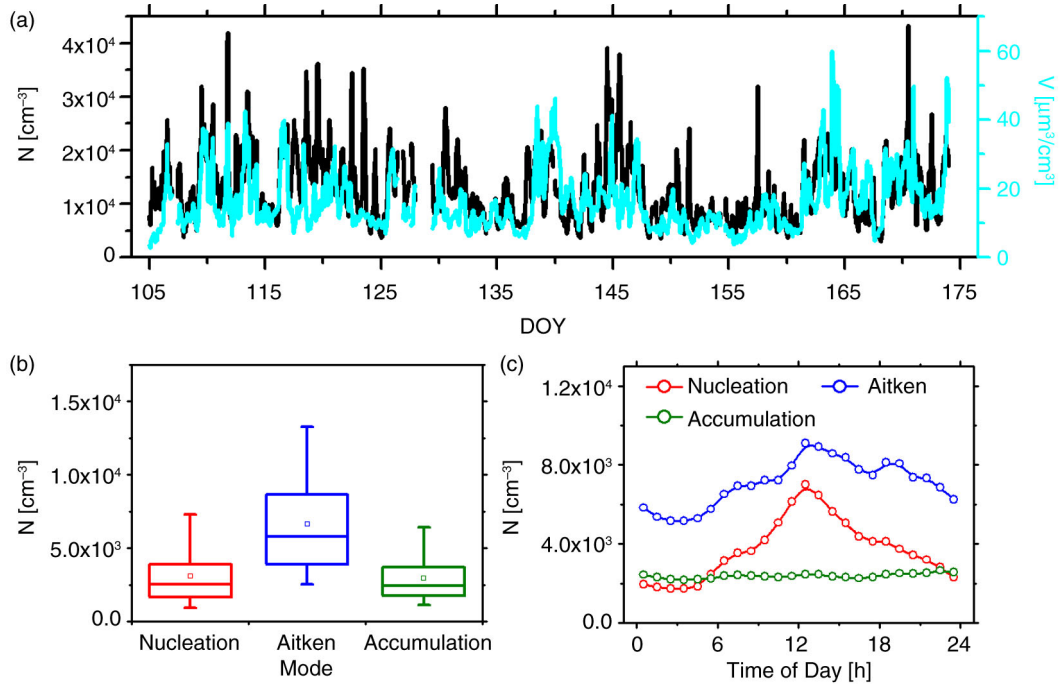


Fig. 2. (a) Temporal series of the total particle number (black line) and volume (Cyan line) concentrations; (b) box plot of number concentrations for nucleation, Aitken and accumulation modes particles; the upper and lower boundaries of boxes indicate the 75th and 25th percentiles; the line within the box marks the median; the whiskers above and below boxes indicate the 95th and 5th percentiles; and square symbols represent the means; (c) diurnal variation of three modes particles.

size range. In addition, the different sampling locations and seasons would also cause the variability. In principle, the particle number concentrations in urban areas were twice than those in rural sites and approximately one order of magnitude higher than those in the marine/background environments. On average, the total particle count was dominated by ultrafine particles ($D_p < 100$ nm, 77%), and in which Aitken mode particles account for 53%. This was comparable to the results found in Beijing regions (48–53%) (Wu et al., 2008; Yue et al., 2009).

The diurnal variations of aerosol number concentrations in each mode are shown in Fig. 2c. The nucleation mode particles peaked around noon local time, which was similar to the gaseous sulphuric acid (Wang et al., 2013a). This is reasonable because it has been identified as a key precursor in the particle nucleation process (Yue et al., 2010b; Wang et al., 2011; Zhang et al., 2012). The Aitken mode particles showed a three-peak diurnal pattern, which was obvious in the midday (12:00) and vague both in the morning (7:00) and late afternoon time (18:00). The midday peak might be due to the growth of newly formed particles by condensation and coagulation processes (Wu et al., 2008), while the morning and late afternoon peaks are more related to the local traffic emissions. The accumulation mode particles did not show the significant change with time.

3.2. Optical properties

The time evolutions of particle light scattering and absorption coefficients during the campaign period are illustrated in Fig. 3a. The values (mean \pm standard deviation) were $210 \pm 140 \text{ Mm}^{-1}$ and $26 \pm 20 \text{ Mm}^{-1}$ at Shanghai, respectively. $b_{\text{sp},532\text{nm}}$ values were approximately a factor of 2 higher than the other urban site, including Atlanta (summer, 121 Mm^{-1} , 530 nm; Carrico et al., 2003), Mexico City (spring, 105 Mm^{-1} , 550 nm; Marley et al., 2009) as well as New Delhi (summer, 110 Mm^{-1} , 550 nm; Soni et al., 2010). $b_{\text{ap},532\text{nm}}$ values, however, were typically much lower than Mexico City (37 Mm^{-1} , 550 nm; Marley et al., 2009) and New Delhi (62 Mm^{-1} , 550 nm; Soni et al., 2010), indicating less local emissions at Shanghai urban. Compared to the megacities in China, it is interesting to find that both higher b_{sp} and b_{ap} were shown in Beijing (summer, $300 \pm 270 \text{ Mm}^{-1}$ and $55 \pm 32 \text{ Mm}^{-1}$, 550 nm; Liu et al., 2009) and Guangzhou (autumn, $418 \pm 159 \text{ Mm}^{-1}$ and $91 \pm 60 \text{ Mm}^{-1}$, 540 nm; Andreae et al., 2008), even the surrounding regions, such as Wuqing (summer, $379 \pm 251 \text{ Mm}^{-1}$ and $43 \pm 27 \text{ Mm}^{-1}$, 550 nm; Ma et al., 2011) and Xinken (autumn, $333 \pm 138 \text{ Mm}^{-1}$ and $70 \pm 42 \text{ Mm}^{-1}$, 550 nm; Cheng et al., 2008). This phenomenon suggested that the pollutions in Beijing and Pearl River Delta (PRD) regions might be determined both by local and regional transport emissions.

Table 1. Comparisons of the size-resolved particle number concentrations over China

Site	Sampling period	Air mass type	Number concentration (cm^{-3}) (size range: nm)				Reference
Shanghai	Apr.–June 2010	Urban	3100 (16–30)	6700 (30–100)	2900 (100–600)	12 700 (16–600)	This work
Shanghai	Oct. 2008–Feb. 2009	Urban	1000 (10–20)	8200 (20–100)	3500 (100–1000)	12 700 (10–1000)	Du et al. (2012)
Beijing	Mar. 2004–Mar. 2006	Urban	9000 (3–20)	15 900 (20–100)	7800 (100–1000)	32 800 (3–10 000)	Wu et al. (2008)
Guangzhou	July 2006	Urban	–	–	–	29 000 (20–10 000)	Yue et al. (2010a)
Nanjing	Nov. 2011–Mar. 2012	Suburban	6700 (6–25)	9500 (25–90)	7100 (90–800)	23 300 (6–800)	Herrmann et al. (2014)
Lanzhou	June–July 2006	Suburban	4500 (10–20)	3600 (20–100)	500 (100–500)	8600 (10–500)	Gao et al. (2011)
Taichang	May 2005	Suburban	16 000 (10–20)	13 000 (10–100)	1700 (100–500)	30 200 (10–500)	Gao et al. (2009)
Yufa	Aug.–Sept. 2006	Rural	2000 (3–20)	9000 (20–100)	5000 (100–1000)	17 000 (3–10 000)	Yue et al. (2009)
Xinken	Oct.–Nov. 2004	Rural/coastal	–	–	–	16 300 (3–10 000)	Liu et al. (2008)
Shangdianzi	Mar. 2008–Aug. 2009	Background	3600 (3–25)	4400 (25–100)	3500 (100–1000)	11 500 (3–10 000)	Shen et al. (2011)
Yellow sea	Mar. & May 2005	Marine	–	–	–	4000 (3–10 000)	Lin et al. (2007)
Waliguan	Sept. 2005–May 2007	Mountain/background	600 (12–21)	1100 (21–95)	400 (95–570)	2000 (12–570)	Kivekas et al. (2009)

The aerosol single scattering albedo $\omega_{0,532\text{nm}}$ values at Shanghai ranged from 0.69 to 0.97, with a mean value of 0.88. Previous studies (Reid et al., 1998; Carrico et al., 2003; Cappa et al., 2009) demonstrated that ω_0 in mid-visible wavelength range varies from 0.79 (freshly biomass burning) to 0.99 (clean marine aerosol) depending on the air mass type, which could be generally characterised as the low value denotes the primary source, while the high value indicates the regional background aerosol. In this study, the values were higher than that at New Delhi (Soni et al., 2010) and Xinken (Cheng et al., 2008), which was significantly influenced by local sources, and comparable with Atlanta (Carrico et al., 2003) and Gosan (Kim et al., 2005).

Figure 3b exhibited $b_{\text{sp},532\text{nm}}$ as a function of particle number concentrations in different modes, including the particles in the size range of 300–500 nm (insert graph). In our results, the relations between $b_{\text{sp},532\text{nm}}$ and the number concentration of nucleation and Aitken mode particles both displayed an envelope type pattern, with high values of $b_{\text{sp},532\text{nm}}$ being always associated with low nucleation mode particle number concentration, and no clear correlation can be seen for the nucleation and Aitken modes. On the contrary, the high correlation ($R=0.69$) was observed between $b_{\text{sp},532\text{nm}}$ and the number concentration of accumulation mode particles. This result was consistent with the Mie theory. However, if we look at specific size range (300–500 nm), the correlation ($R=0.87$) was found strongly, indicating that the aerosol particles in this certain diameter range had the highest scattering efficiency at 532 nm.

Figure 3c presented the relationship between the ω_0 and the aerosol load (represented by $b_{\text{sp},532\text{nm}}$). It had been found that ω_0 generally decreased with increasing particle absorption coefficient, especially in the same lever of aerosol load. This indicated the variation of ω_0 was more sensitive to b_{sp} than b_{ap} at Shanghai. Meanwhile, the decreasing rate of ω_0 was smaller when the aerosol load was higher. One possible explanation was that the increasing aerosol pollution was always associated with more aged air mass and more internally mixed BC, thus the mass fraction of light-scattering materials (e.g., sulphate and most of the OC) will get higher. This conclusion had been confirmed by previous study (Cheng et al., 2008).

3.3. Back trajectory cluster analysis

The air quality of the megacity, such as Beijing and Shanghai, is not only determined by the local source emission, but may also be influenced by the regional transport depending on the meteorological air mass history (Takegawa et al., 2009; Huang et al., 2012). Hence, to explore the impacts of the air mass history, the HYSPLIT4 model was used to follow the history of air masses arriving

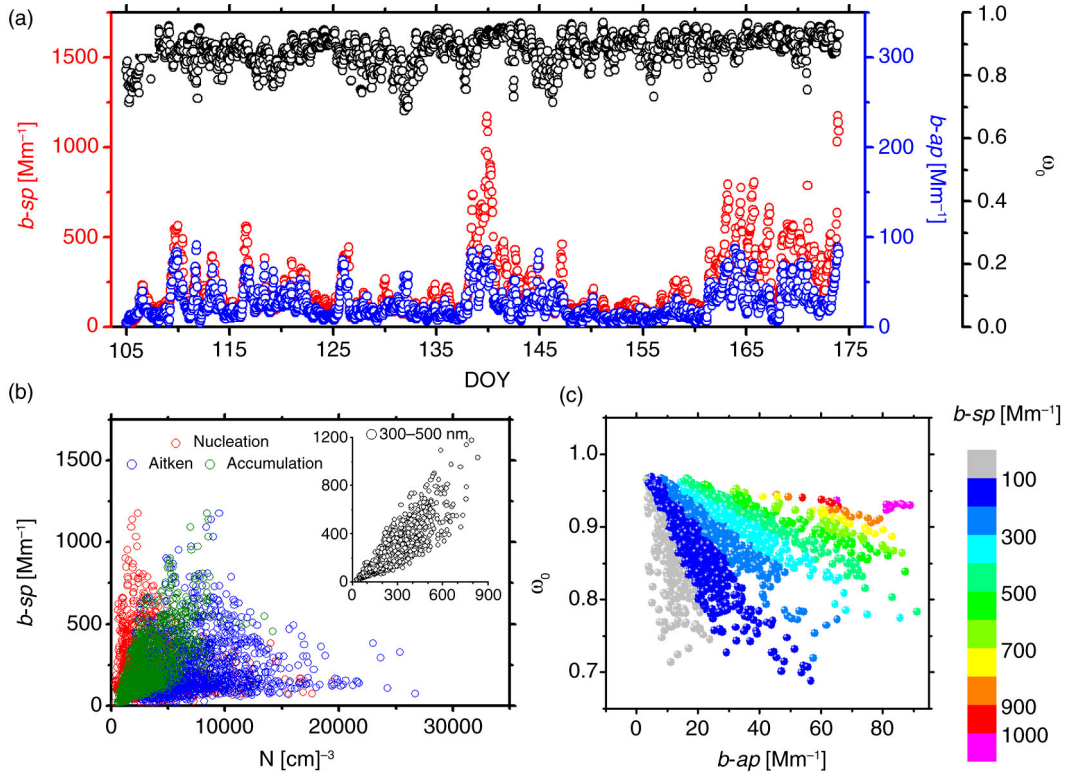


Fig. 3. (a) Temporal series of the atmospheric aerosol light-scattering coefficients (b_{sp}), absorption coefficients (b_{ap}) and single scattering albedo (ω_0) at 532 nm; (b) correlations between scattering coefficients and number concentrations of particles in different size ranges; (c) relationship between absorption coefficient and single scattering albedo, the colour bar represents the scattering coefficients.

at Shanghai. As a result, four-cluster analysis was the optimum solution according to the change in total spatial variance, with the mean back trajectory of each cluster presented in Fig. 4. Each of the clusters represented between 37 (cluster N) and 108 (cluster W) back trajectories. The mean back trajectories showed significant differences in direction and length. Cluster N and W arrived from the northern and western sections of mainland China with different air mass speed, while cluster NE and E came from northeasterly and eastern marine areas, respectively. The air mass came from west was found to be the most frequent cluster, accounting for 38% on average of all back trajectories.

Figure 5 illustrated the mean number, surface and volume size distributions for each cluster. The number size distributions of different air mass types mostly peaked at around 25–60 nm, whereas the maximum of surface and volume size distributions were shown around 200–300 nm and 300–400 nm, respectively. The mean total particle number concentrations for the air masses came from northern and western directions through the continent and were $16\,200\,cm^{-3}$ and $14\,300\,cm^{-3}$, respectively, which were higher than when the air masses came from the East China Sea (Table 2). In addition, higher particle volume

concentrations were observed associated with the higher number concentration, with mean values of $16\,\mu m^3/cm^3$ and $21\,\mu m^3/cm^3$, respectively, for cluster N and cluster W. On the contrary, the air masses coming from northeastern and eastern marine area contained less pollutant, with mean particle volume concentrations of $14\,\mu m^3/cm^3$ and $11\,\mu m^3/cm^3$, respectively. The highest particle volume concentration was observed in cluster W, which might be explained by the higher accumulation mode particles ($3700\,cm^{-3}$), accounting for 26% of the total number concentration compared with that ($\sim 20\%$) in other clusters. In summary, this particle volume concentration loading distribution pattern indicated that the westerly continental air mass represented the most severe pollutant regional transport condition in Shanghai. This finding was consistent with the previous study (Huang et al., 2012). However, it should also be pointed out that the structure of local emission might influence the results.

Figure 6 showed the mean diurnal evolutions of particle number size distributions, averaged for each of the trajectory clusters mentioned above. Significant differences were recognisable: the cluster N with fast moving air mass transport from north showed a clear pattern that the ultrafine mode particles formed in the morning (10:00)

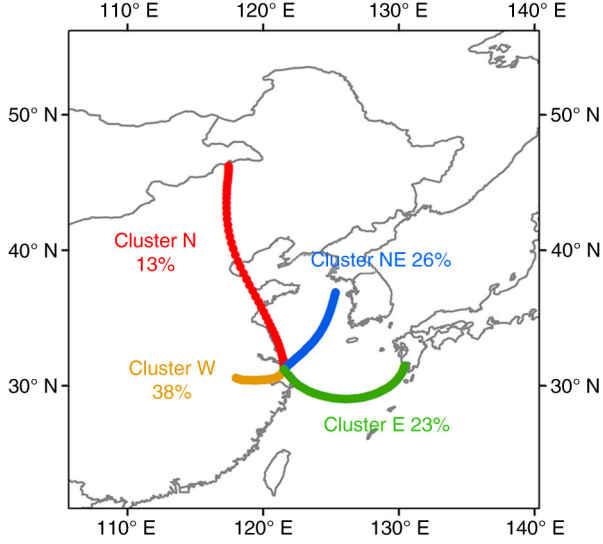


Fig. 4. Mean back trajectories for four trajectory clusters arriving at measurement site during the sampling period. The directions of four trajectory clusters are North (N, red), Northeast (NE, blue), East (E, green) and West (W, orange), respectively.

and growth into Aitken mode, indicating the new particle formation event. In contrast, the particle number size distribution of other three clusters showed different patterns, which was only observed the appearance of burst at noon. The condensation sink (CS) describes how rapidly vapour molecules could condense onto the pre-existing particles, which could be used representing the pre-existing particles concentration (Kulmala et al., 2001; Dal Maso et al., 2005). Its value could be calculated using eq. (5):

$$CS = 2\pi D \sum \beta D_p N \quad (5)$$

where D is the diffusion coefficient of the condensing vapour, β is the transitional regime correction factor, D_p is the aerosol particle diameter and N is their number

concentration. However, it should be noticed that in this study the CS values were calculated based on the dry particle number size distributions. The CS values did not show the significant differences between cluster N (0.022 s^{-1}) and cluster W (0.024 s^{-1}), which were much higher than the cases where air masses came from marine areas, 0.017 s^{-1} and 0.012 s^{-1} for cluster NE and E (Table 2), respectively. However, the mean SO_2 concentration was 11.4 ppb for cluster N, which was higher than the observations in cluster NE (6.2 ppb), cluster E (4.7 ppb) and cluster W (7.7 ppb). The higher SO_2 concentration for cluster N might cause the different evolution of particle number size distribution. As a result, the mean particle number concentration in nucleation mode was 4700 cm^{-3} in cluster N, which was 1.2, 1.7 and 1.3 times higher than those in cluster NE, E and W, respectively. In addition, the lower temperature and relative humidity were observed in cluster N, with the mean values of 19% and 46%, respectively. Such meteorological conditions caused by the fast moving air mass from northerly direction favours the occurrence of nucleation. All of the above showed that air mass had an important effect on NPF events with significant subsequent growth. This result was consistent with the observations in previous studies (Wehner et al., 2008; Shen et al., 2011).

In terms of optical parameters, the variations of particle light absorption and scattering coefficients for four clusters were associated with the number concentration of accumulation mode particles (Table 2), as analysed in Section 3.2. The polluted west back trajectory had the highest $b_{\text{ap},532\text{nm}}$ and $b_{\text{sp},532\text{nm}}$ among four clusters, with the mean and standard deviation values of $b_{\text{ap},532\text{nm}}$ and $b_{\text{sp},532\text{nm}}$ of 35 ± 19 and $328 \pm 197 \text{ Mm}^{-1}$, respectively, indicating the slow air mass came from the continent area had a stronger extinction effect. However, the aerosol single scattering albedo ω_0 did not show the same variation trend as $b_{\text{ap},532\text{nm}}$ and $b_{\text{sp},532\text{nm}}$. The North China Plain, which is

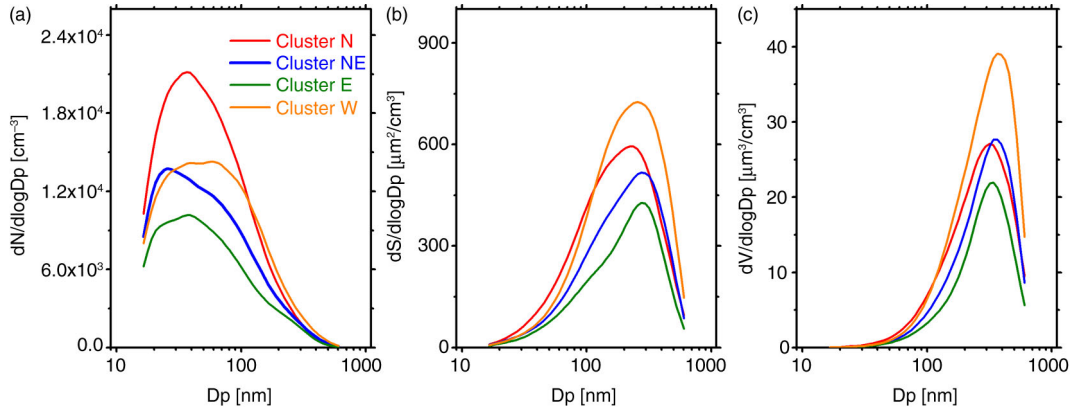


Fig. 5. Mean size distribution of aerosol (a) number, (b) surface and (c) volume concentrations for the four clusters, according to the classification in Fig. 4.

Table 2. Comparisons of the selected parameters for the four clusters: (mean \pm Standard deviation)

	All	Cluster N	Cluster NE	Cluster E	Cluster W
N-Nucleation (cm^{-3})	3100 ± 2200	4700 ± 3700	3800 ± 2900	2700 ± 1900	3600 ± 2600
N-Aitken (cm^{-3})	6700 ± 3700	8400 ± 4600	5800 ± 3400	4400 ± 2600	6900 ± 3300
N-Accumulation (cm^{-3})	2900 ± 1700	3100 ± 1800	2500 ± 1500	1800 ± 900	3800 ± 1800
N-Total (cm^{-3})	$12\,700 \pm 6200$	$16\,200 \pm 8100$	$12\,100 \pm 6200$	9000 ± 4700	$14\,300 \pm 6200$
V-Total ($\mu\text{m}^3/\text{cm}^3$)	16 ± 8	16 ± 8	14 ± 7	11 ± 5	21 ± 9
$b_{\text{ap},670\text{ nm}}$ (Mm^{-1})	21 ± 16	21 ± 11	15 ± 10	11 ± 6	30 ± 16
$b_{\text{ap},532\text{ nm}}$ (Mm^{-1})	26 ± 20	25 ± 14	18 ± 12	14 ± 8	35 ± 19
$b_{\text{sp},532\text{ nm}}$ (Mm^{-1})	210 ± 140	163 ± 134	161 ± 96	116 ± 53	328 ± 197
ω_0	0.88 ± 0.05	0.84 ± 0.05	0.88 ± 0.05	0.89 ± 0.04	0.89 ± 0.05
SO_2 (ppb)	7.1 ± 5.9	11.4 ± 8.5	6.2 ± 4.6	4.7 ± 2.0	7.7 ± 6.4
CS (s^{-1})	0.019 ± 0.010	0.022 ± 0.008	0.017 ± 0.008	0.012 ± 0.006	0.024 ± 0.010
T ($^{\circ}\text{C}$)	21 ± 4	19 ± 5	20 ± 4	20 ± 3	22 ± 4
RH (%)	63 ± 16	46 ± 16	59 ± 15	71 ± 10	68 ± 14

‘N-’ and ‘V-’ indicate the number and volume concentrations in a certain size range, respectively. T and RH represent the temperature and relative humidity, respectively.

the main route of cluster N, is a major black carbon emission area (Wang et al., 2012). Hence, the lowest values ($\omega_0 = 0.84$) were observed when the fast air mass was moving from northern China (cluster N), compared with the other three clusters ($\omega_0 \sim 0.88\text{--}0.89$).

4. Conclusions

Aerosol microphysical and optical properties were explored during the 2010 Shanghai World Expo at an urban site of Shanghai from April to June in 2010. Basic values of particle number concentrations as well as aerosol absorp-

tion and scattering coefficients were presented and their relations to air mass origin were investigated.

The mean values of total particle number and volume concentrations were $12\,700\text{ cm}^{-3}$ and $16\text{ }\mu\text{m}^3/\text{cm}^3$, respectively. Aitken mode particles were the most abundant in ultrafine particles, accounting for 53%. In addition, the particle light-scattering coefficient $b_{\text{sp},532\text{ nm}}$ and absorption coefficient $b_{\text{ap},532\text{ nm}}$ were $210 \pm 140\text{ Mm}^{-1}$ and $26 \pm 20\text{ Mm}^{-1}$, respectively. $b_{\text{sp},532\text{ nm}}$ correlated strongly ($R = 0.69$) with the number concentration of accumulation mode particles (100–600 nm), especially in the specific size range 300–500 nm ($R = 0.87$) according to Mie theory. The aerosol

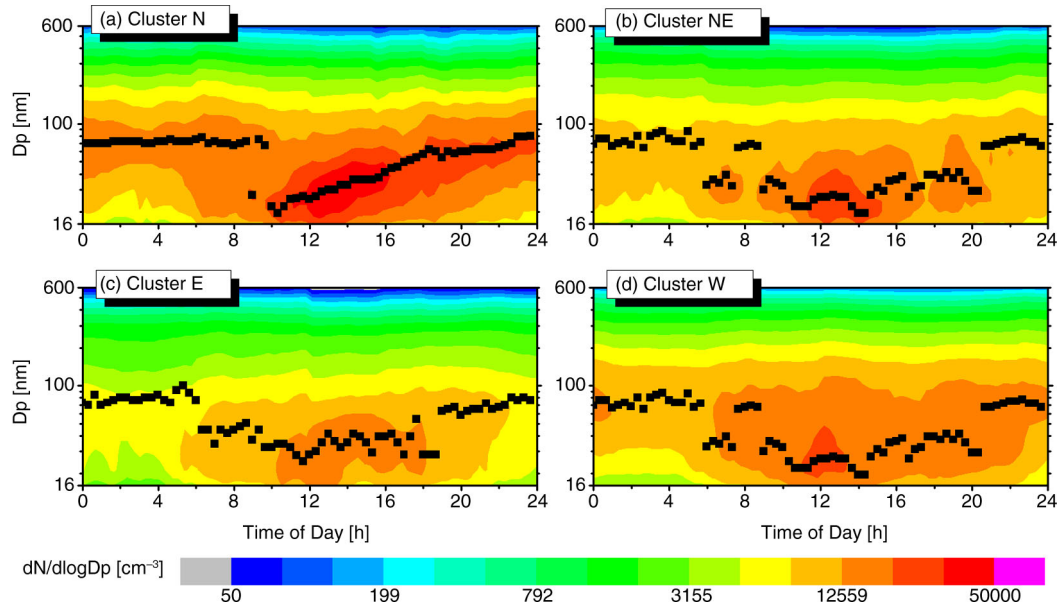


Fig. 6. Diurnal evolutions of particle number size distributions and the mean diameters of the dominating mode (black square) for the four clusters.

single scattering albedo ω_0 values varied from 0.69 to 0.97 with a mean value of 0.88 at 532 nm. ω_0 was found decreased when the particle absorption coefficient increased, and the decreasing rate of ω_0 was smaller when the aerosol load was higher.

Back trajectory cluster analysis was performed to investigate the impacts of the air mass history on the particle concentrations and optical properties. A total of four trajectory clusters were defined. The highest total particle number concentration ($16\,200\text{ cm}^{-3}$) was observed when the fast air mass came from northern China, leading to a clear new particle formation pattern. In contrast, the highest particle volume concentration ($21\,\mu\text{m}^3/\text{cm}^3$) was shown when the air mass slowly came from the west, which was the major trajectory in Shanghai. The same variations were also achieved on the optical parameters $b_{\text{ap},532\text{nm}}$ and $b_{\text{sp},532\text{nm}}$. The west back trajectory with the mean values of b_{ap} and b_{sp} were 35 Mm^{-1} and 328 Mm^{-1} , respectively, which were the highest among the four clusters. In addition, the northern air mass showed the lower ω_0 , indicating the presence of smaller absorbent particles originated from North China Plain. Overall, the continental back trajectories contained more pollutants which lead to a stronger light extinction effect compared with the air masses from the marine areas arriving at Shanghai.

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