

# The YAK-AEROSIB transcontinental aircraft campaigns: new insights on the transport of CO<sub>2</sub>, CO and O<sub>3</sub> across Siberia

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## ABSTRACT

Two airborne campaigns were carried out to measure the tropospheric concentrations and variability of CO<sub>2</sub>, CO and O<sub>3</sub> over Siberia. In order to quantify the influence of remote and regional natural and anthropogenic sources, we analysed a total of 52 vertical profiles of these species collected in April and September 2006, every ~200 km and up to 7 km altitude. CO<sub>2</sub> and CO concentrations were high in April 2006 (respectively 385–390 ppm CO<sub>2</sub> and 160–200 ppb CO) compared to background values. CO concentrations up to 220 ppb were recorded above 3.5 km over eastern Siberia, with enhancements in 500–1000 m thick layers. The presence of CO enriched air masses resulted from a quick frontal uplift of a polluted air mass exposed to northern China anthropogenic emissions and to fire emissions in northern Mongolia. A dominant Asian origin for CO above 4 km (71.0%) contrasted with a dominant European origin below this altitude (70.9%) was deduced both from a transport model analysis, and from the contrasted  $\Delta\text{CO}/\Delta\text{CO}_2$  ratio vertical distribution. In September 2006, a significant O<sub>3</sub> depletion (~–30 ppb) was repeatedly observed in the boundary layer, as diagnosed from virtual potential temperature profiles and CO<sub>2</sub> gradients, compared to the free troposphere aloft, suggestive of a strong O<sub>3</sub> deposition over Siberian forests.

## 1. Introduction

The purpose of the YAK-AEROSIB aircraft campaigns is to document the composition and the large-scale synoptic transport of CO<sub>2</sub>, CO and O<sub>3</sub> into the Siberian air shed. The data resulting from two campaigns in April and September 2006 are presented.

CO<sub>2</sub> is the main anthropogenic greenhouse gas in the atmosphere. Also a significant greenhouse gas, tropospheric O<sub>3</sub> is a pollutant harmful to human and vegetation. O<sub>3</sub> and CO are important components of the atmospheric chemical system. Tropospheric O<sub>3</sub> sources are photochemical production from precursors such as CO and volatile organic compounds (VOCs), and stratospheric input. It is removed by ground deposition and photodissociation. The main net sources of CO<sub>2</sub> and CO are combustion processes. CO<sub>2</sub> sinks are terrestrial photosynthesis and oceanic uptake.

Siberia is a key region for the global carbon cycle with a CO<sub>2</sub> sink of  $0.5 \pm 0.5 \text{ GtC yr}^{-1}$  (Gurney et al., 2002), but its magnitude is not constrained by any surface stations inside Siberia. In addition, Siberia is a region where atmospheric transport models fail to represent the vertical profiles of atmospheric CO<sub>2</sub>, due to covariations between ecosystem fluxes and vertical transport on seasonal time scales (Gurney et al., 2002; Stephens et al., 2007). Both the transport and the surface fluxes of CO<sub>2</sub> over Siberia (e.g. van der Molen and Dolman, 2007) are poorly known.

The seasonal cycle of CO<sub>2</sub> over the Eurasian continent has only been measured at sparse locations by means of tall tower (e.g. Maksyutov et al., 2006), or occasional or monthly vertical profiles in the lower troposphere (Machida et al., 2001; Levin et al., 2002; Lloyd et al., 2002; Ramonet et al., 2002; Hiyama et al., 2003). Snapshot boundary layer (BL) budget studies were executed in Siberia (Lloyd et al., 2002; Ramonet et al., 2002) but the inference of sources and sinks from these data using 1-D models suffers from ignoring the true 3-D complexity of regional circulations (van der Molen and Dolman, 2007). The YAK-AEROSIB data bridge this gap of scales by taking dense

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measurements across the entire Siberian air shed. Dense CO<sub>2</sub> vertical profiles are needed to better understand how ecosystem CO<sub>2</sub> fluxes are coupled to atmospheric transport.

In addition to large-scale transport of natural and anthropogenic fluxes, the variability of CO<sub>2</sub> over continents is also driven by synoptic frontal passages (Chan et al., 2004; Wang et al., 2007), mesoscale regional circulations and BL dynamics (Nakazawa et al., 1997; Nicholls et al., 2004; Sarrazat et al., 2007; Wang et al., 2007). Dense vertical profiles and horizontal gradients of CO<sub>2</sub> over continents obtained with airborne campaigns provide unique insights to better quantify and understand the variability of the underlying CO<sub>2</sub> sources and sinks. For instance, Gerbig et al. (2003) and Lin et al. (2003) provided a new measure of the spatial coherence scale of CO<sub>2</sub> in the BL, showing that transport models should have a resolution of at least 30 km to capture it.

Like for CO<sub>2</sub>, in addition to natural processes, the tropospheric composition over Siberia for CO and O<sub>3</sub> reflects also long-range transport (LRT) (e.g. Newell and Evans, 2000; Bey et al., 2001; Stohl, 2001; Eckhardt et al., 2004; Wild et al., 2004; Stohl et al., 2007a, b). Wild et al. (2004) found for instance a net ozone enhancement over Siberia due to European precursor emissions. Transport of pollutants across Eurasia, and into Siberia, have received little attention (Akimoto, 2003). Data from the Mondy station in the Baikal area (Pochanart et al., 2003), and from the TROICA train transects (Bergamaschi et al., 1998; Crutzen et al., 1998; Oberlander et al., 2002) have provided a ground-based view of the gradients and origin of CO and O<sub>3</sub> in Siberia. Pochanart et al. (2003) documented a spring maximum and summer minimum of both CO and O<sub>3</sub> concentrations. They found that the most enhanced concentration levels of CO and O<sub>3</sub> in Baikal Lake region were observed in

air masses transported from Europe. In the upper troposphere, high CO levels of 150 ppb (30% above the hemispheric background) caused by widespread forest fires have been measured over eastern Siberia during summer (Nédélec et al., 2005), indicating that fire emissions can be uplifted at high altitude over the region. Large gaps remain in understanding pollutant transport and chemistry across Eurasia.

The two YAK-AEROSIB campaigns presented here sampled the tropospheric concentrations of CO<sub>2</sub>, CO, O<sub>3</sub> and of fine aerosols across a large horizontal transect between Novosibirsk (central Siberia) and Yakutsk (eastern Siberia) during 7–10 April and 11–14 September, 2006. We first describe the in-situ instrumentation for continuous measurements of CO<sub>2</sub>, CO and O<sub>3</sub> (Section 2), the synoptic weather conditions during each campaign (Section 3), and the associated trace gas distribution (Section 4). In Section 5, the fast upwards transport of Chinese pollution through a frontal event in April 2006 is analysed. In Section 6, the correlation between CO and CO<sub>2</sub> variations in the profiles and their potential use to trace the fossil component of CO<sub>2</sub> is studied. Throughout this manuscript ‘BL’ refers to boundary layer and ‘FT’ to free troposphere.

## 2. Campaign and instrumentation description

### 2.1. Study area

The flight pattern of the YAK-AEROSIB campaigns is shown in Fig. 1. It covers the lowermost 7 km of the troposphere from 55°N to 63°N between 80°E and 130°E. The ground is mainly forested by coniferous trees and partly covered by agricultural regions further south and west (Bartalev et al., 2003). The north-western quarter of the area lies in zones of continuous permafrost

*Fig. 1.* Campaign flight plan: general view and detailed 3D view with flight pattern designed to optimize vertical soundings. The actual flights shown are Flights 1 (green), 2 (red), 3 (blue) and 4 (black). Flights 5–8 follow similar routes, with plateaux at 5 km instead of 2 km. The squares labelled NOV and YAK indicate the cities of Novosibirsk and Yakutsk, respectively. MND, KZD and KZM indicate the stations of Mondy, Sary Taukum and Plateau Assy, respectively.

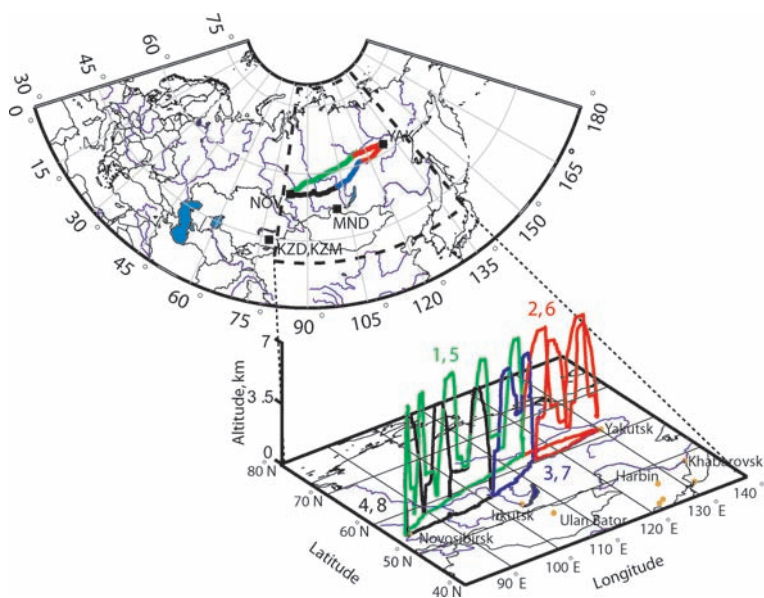


Table 1. Summary of flights during the YAK-AEROSIB campaigns in 2006

Flight nb	Day	Time (local)	Time (UTC)	Nb profiles	Itinerary
1	11 Apr 06	1200–2100	0600–1200	8	Novosibirsk-Myrni
2	12 Apr 06	1100–1530	0200–0630	8	Myrni-Yakutsk-Lensk
3	12 Apr 06	1730–1900	0830–1100	4	Lensk-Bratsk
4	14 Apr 06	0830–1030	0030–0430	6	Bratsk-Novosibirsk
5	07 Sept 06	0900–1900	0300–1000	10	Novosibirsk-Myrni
6	08 Sept 06	0945–1430	0045–0530	8	Myrni-Yakutsk-Lensk
7	08 Sept 06	1600–1730	0700–0930	2	Lensk-Bratsk
8	10 Sept 06	0900–1115	0100–0515	6	Bratsk-Novosibirsk

(100–500 m thick), and discontinuous permafrost in the South. The region has an extensive network of rivers and lakes, including the Yenisei and Lena rivers and their contributors. Forested bogs are also present near the track.

A number of cities are crossed: Novosibirsk, Tomsk, Mirny, Yeniseisk, Irkutsk, Bratsk, Krasnoyarsk and Kemerovo. Most of them are industrial or mining centres. The areas surrounding Krasnoyarsk and Novosibirsk are also covered by agriculture. Figure 1 shows the YAK-AEROSIB route with the starting point Novosibirsk (NOV) and the easternmost pivot point Yakutsk (YAK). Figure 1 also indicates the location of ground-based atmospheric mountain stations Mondy (MND; 51°39N, 100°55E, 2006 m a.s.l.) in Russia, near the Baikal Lake, and of Sary Taukum in Kazakhstan (KZD, 43°15N, 77°53E, 412 m a.s.l.) and Plateau Assy, Kazakhstan (KZM, 43°13N 77°53E, 2519 m a.s.l.) which are part of the global ESRL air sampling network. Other stations of interest for this study are Barrow in Alaska

(BRW), 71°19N, 156°36E, 11 m a.s.l.) and Pallas in Northern Finland (PAL, 67°58N, 24°07E, 560 m a.s.l.). Data from these stations are compared to the aircraft profiles in Section 4.

## 2.2. Campaigns description

The two YAK-AEROSIB campaigns followed the same route. Each campaign consists of four flights shown with different colours in Fig. 1. The YAK-1 campaign was conducted in April 2006. A series of 26 vertical profiles were collected. Each profile takes about 30 min, including horizontal plateaus at 0.5 and 7 km, and at 5 km on descents. The YAK-2 campaign was conducted in September 2006 and included 26 vertical profiles, with plateaus at 0.5 and 7 km, and 2 km on descents (see Table 1 for a summary). The chartered aircraft is a two-propeller Antonov-30 (see Fig. 2 for a picture of the aircraft and a representation of the inside instrumental setup) operated by the Institute of

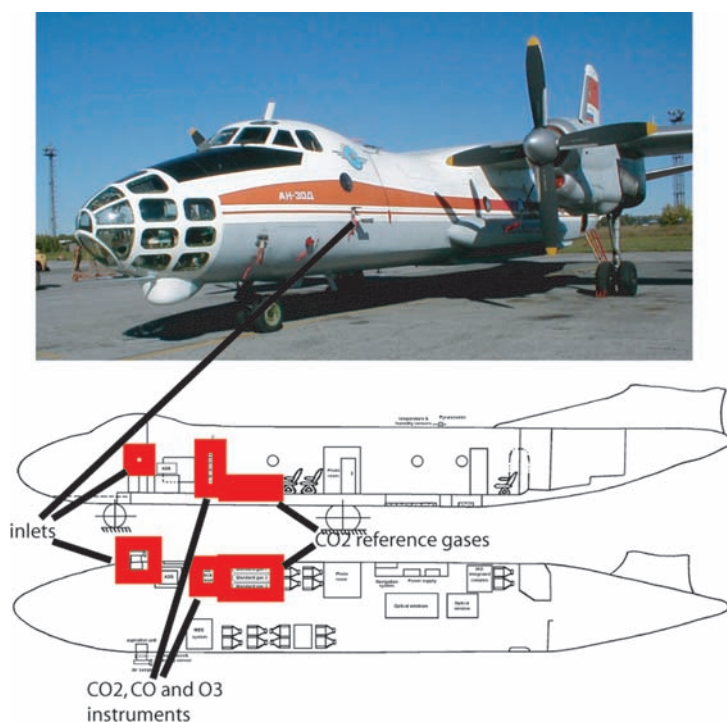


Fig. 2. The Antonov-30 'Optik-E' aircraft operated by IAO. (a) picture from outside, inlet are signalled by red tissues and (b) schematics of the interior of the aircraft. The instruments giving the data studied in this paper are highlighted in red.

Atmospheric Optics of Tomsk (Zuev et al., 1992). The maximum range of the aircraft is 3400 km and its airspeed during measurement is about  $85 \text{ m s}^{-1}$ . Vertical speed during vertical profiles is about  $3.5 \text{ m s}^{-1}$  on ascent and  $7 \text{ m s}^{-1}$  on descent.

The flights were performed in all-weather conditions. During Flight 1 (11 April 2006) at 1030 UTC and in Flight 7 (8 September 2006) at 0800 UTC the profiles have not been completed down to the ground because of excessive cloudiness. The times of flights were dependent on logistical constraints. The April flights were carried mostly in the local afternoon, whereas the September flights started at 0900 LT (Table 1). The long duration of the flights allowed sampling a large diversity of combinations of air masses, cloudiness conditions, solar zenith angles and vegetation cover types. Diurnal variations of the BL height are expected to affect the comparability of individual profiles, but these differences tend to compensate over a whole flight, when making composite profiles. Synoptic variations are discussed in latter sections. In April, the sunrise occurs at about 0530 LT-Novossibirsk (GMT + 0600), and sunset at 1945 LT-Novossibirsk, that is, a photoperiod length of 14.3 h. In September, the sunrise was at 0550 LT and sunset at 1930, that is, a photoperiod of 13.7 h.

### 2.3. Onboard instruments

**2.3.1.  $\text{CO}_2$ .** The  $\text{CO}_2$  analyser is a Li-Cor 6262 modified non-dispersive infrared (NDIR) analyser modified at the LSCE. The Li-Cor is embedded in a system which regulates the temperature, flow and pressure of incoming air. Sampled air is dried upstream using magnesium perchlorate. Pressure fluctuations within 0.5 mbar around target value pressure are allowed in the data processing. Acquisition frequency is 0.5 Hz. In-flight calibrations are performed at 30 min intervals using three calibration gases. These gases are carried in high-pressure cylinders that are used sequentially ('high', 'low' and 'reference' value). Their values were determined at the LSCE laboratory prior to shipment and are traceable to a suite of primary WMO- $\text{CO}_2$  standards from NOAA/ESRL. The concentrations used are  $370.60 \pm 0.01$ ,  $380.47 \pm 0.01$  and  $409.76 \pm 0.01$  ppm, respectively. The three calibration gases are analysed during the flight at  $\sim 30$  min intervals. The drift is corrected for based on errors in estimation of reference gas. During the April 2006 campaign, the precision was 0.4 ppm and accuracy 0.15 ppm. Improvements in the electronics yielded a precision of 0.15 ppm for the September 2006 campaign. The  $\text{CO}_2$  instrument precision is estimated from the standard deviation of the stabilized concentration signal during 1 min after injecting the reference gas, allowing 2 min for stabilization in the measurement cell. The accuracy is estimated as the offset of the instrument when measuring a 'target' reference gas cylinder during 1-min in the pressure-stabilized cell. The  $\text{CO}_2$  data are processed using a semi-automatic filter based on pressure, flow and temperature in the measurement and reference cells of the Li-Cor.

**2.3.2.  $\text{O}_3$ .** The  $\text{O}_3$  analyser is developed from a commercial fast response ozone analyser (Thermo Instruments Model 49), with modifications for internal calibration and aircraft operation safety. The instrument is based on classic UV absorption in two parallel cells (zero, sample), with a precision of 2 ppb, 2% for an integration time of 4 s. It is compensated for aircraft pressure and temperature variations. Prior to detection, air is pressurized to cabin pressure, using a Teflon KNF Neuberger pump model N735 also used for the CO instrument. Electrical improvements has been brought by Laboratoire d'Aérodologie, including a 27VDC supplied power provided also to the CO instrument. For the YAK-AEROSIB project, the ozone instrument includes a specially designed computer for data acquisition and for instrument control, powered in aircraft 27VDC. Before shipping to Russia, the  $\text{O}_3$  analyser has been calibrated against a NIST related reference calibrator Model49PS. A calibration box with an  $\text{O}_3$  generator is used for laboratory verifications of the ozone analyser before and after the campaigns.

**2.3.3. CO.** The CO analyser has been described in Nédélec et al. (2003). It is a fully automated instrument designed to reach an accuracy of 5 ppb or 5%. The instrument is based on a commercial infrared absorption correlation gas analyser (Model 48C, TEI Thermo Environment Instruments, USA). The model 48CTL is qualified by U.S. EPA designated method EQSA-0486-060. Laboratoire d'Aérodologie improved the instrument accuracy with addition of periodical (every 20 min), in-flight accurate zero measurements, new IR detector with better cooling and temperature regulation, pressure increase and regulation in the absorption cell, increased flow rate to  $4 \text{ l min}^{-1}$ , water vapour trap and ozone filter. The precision achieved for 30 s integration time (corresponding to the response time of the instrument) is 5 ppb or 5% CO, with a lower detection limit of 10 ppb.

**2.3.4. Meteorological parameters.** Temperature, humidity and wind vector are measured routinely onboard using HYCAL sensor model IH-3602-C of Honeywell Inc. Temperature range is from  $-70$  to  $+70^\circ\text{C}$  and accuracy is  $0.5^\circ\text{C}$ . Relative humidity range is from 0 to 100% and accuracy is 7%.

## 3. Synoptic situation and transport

### 3.1. Lagrangian particle transport model

Atmospheric transport was investigated using FLEXPART (Seibert and Frank, 2004; Stohl et al., 2005). The model was run backward in time from small boxes ( $0.5^\circ \times 0.5^\circ \times 100 \text{ m}$ ) arranged along the flight tracks. The backward simulation method can be used to analyse air mass transport, relating potential source regions to the aircraft position. Each simulation consists of 20 000 particles released in the volume of air sampled, which were followed 20 d backward in time. The Potential Emission Sensitivity (PES) function is defined as the residence time of the backward particles in each particular grid box below

a given threshold altitude. The PES is a measure for the simulated mixing ratio at the receptor that a source of unit strength in the respective air column below the threshold altitude would produce, disregarding loss processes (passive tracer case). Two different FLEXPART setups are used to calculate PES in this paper: (1) the runs presented for analysing transport patterns (Sections 3.2 and 3.3 below) are done 10 d backward with PES up to 3000 m and (2) the run for the case study of north-eastern Asian emission uplift contributions (Section 5.1.) is done 20 d backward with PES up to 300 m.

### 3.2. Synoptic situation during April 2006 campaign

There were fair weather conditions during most of the campaign with occasional fair weather cumulus. The weather was, however, cloudy during Flight 1 (1000–1200 UTC) and precipitations occurred during Flight 4. The BL was shallow and stable during most of the campaign, due to cold near-ground temperature (between  $-5$  and  $-15^{\circ}\text{C}$ ). During Flight 2 a strong temperature inversion was observed at  $\approx 2$  km altitude.

Figure 3a shows the ECMWF geopotential height at 500 hPa for 12 April 2006. Figures 3b–d show the FLEXPART PES functions ( $< 3000$  m) up to 10 d backwards. The PES are summed for all the receptor locations corresponding to the aircraft route each day (panel 3c sums Flights 2 and 3). Red squares correspond to space borne fire detection from ATSR World Fire Atlas fire maps for periods 5–12 April (Figs. 3b–d) and 1–7 September (Figs. 3f–h), retrieved from <http://dup.esrin.esa.int/ionia/wfa/>. Stationary fires occur in North-western Siberia near  $65^{\circ}\text{N}$ ,  $80^{\circ}\text{E}$ , associated to industrial gas flaring in the Norilsk region. Just before the April campaign, two local geopotential minima, over northern and eastern Siberia, cause advection of polar air in the Siberian troposphere. This is confirmed by the PES of Flights 1–3 (Figs. 3b and c), showing that the aircraft sampled mainly polar air below 3 km. Across Southern Siberia, a westerly flow is associated to a low pressure system centred at  $65^{\circ}\text{N}$ ,  $120^{\circ}\text{E}$  (Fig. 3a). Between 11 and 13 April, stagnant conditions at 500 hPa lead to very slow stirring, or filamentation, of FT air. Under these stagnant conditions, there is no advection of European emissions and the footprint (Figs. 3b and c) remains concentrated over Siberia. During 11–12 April (Flights 1–3, Figs. 3b and c), advection in the lowermost troposphere towards the aircraft route occurs mostly from central Siberia.

Figure 4a shows the synoptic weather chart for 11 April 2006, at 0600 UTC. Prior to and during Flights 2–3, a series of fronts passed over northeastern Asia during four consecutive days. These fronts caused uplift of BL air from northeastern Asia. The combination of (1) stagnation in the middle FT and (2) uplift by the frontal passages of moist and warm air from Northern China and eastern Mongolia (see PES in Fig. 3c) resulted into the presence of a polluted air mass in the FT which was sampled by the aircraft (Section 5.1). For Flight 4 the situation is different, and the main PES, in addition to local Siberian sources, is found to

occur just east of the Ural Mountains and in Central and Eastern Europe. According to ATSR fire maps, our measurements are sensitive to agricultural fires in Kazakhstan and to gas flaring emissions in the Norilsk area.

### 3.3. Synoptic situation during September 2006 campaign

The September campaign experienced mixed, variable weather. Fair weather occurred only during Flight 5, except at 0545 UTC, where a cloud formation was crossed (warm front). There were precipitations at the end of Flight 6 and beginning of Flight 7 with cold near-ground temperature, and intense convection afterward (Flight 7 at 0800 UTC). The end of Flight 8 also crossed convective conditions.

Figure 3e shows the ECMWF geopotential height at 500 hPa on 7 September 2006. Figures 3f–h show the FLEXPART PES ( $< 3000$  m) up to 10 d backwards reaching the aircraft locations. Figure 3g shows the cumulated PES summed for the route of Flights 6 and 7. The period is characterized by a typical ‘omega circulation’ whirling around troughs over Eastern Europe and Eastern Asia. A ridge is located over central Siberia, associated with weak fronts (Fig. 4b). The European outflow is partially channelled towards (1) Turkey and the Black Sea by a low-pressure system in European Russia (Fig. 3e, at  $60^{\circ}\text{N}$ – $45^{\circ}\text{E}$ ) and (2) central Siberia by a ridge moving eastward (over Kazakhstan at  $50^{\circ}\text{N}$ – $70^{\circ}\text{E}$  on 7 September). The polar low causes a fast northwesterly flow over central Siberia. The combination of zonal ‘Omega’ circulation and baroclinic perturbations in European Russia mixed up European emissions into the FT over Siberia at the time of the campaign. In addition to this influence from Europe, a significant part of the PES for this campaign (Figs. 3f–h) is located over Siberia and Kazakhstan, in presence of regional perturbations leading to local uplift of BL air.

Figure 4b shows the synoptic weather chart for 7 September 2006, at 1200 UTC (Flight 5). The dominant synoptic feature of the campaign is a warm front moving towards the South East on 7–8 September. The corresponding FLEXPART simulations of PES across both sides of the warm front indicate sharply distinct origins for the sampled air masses (not shown). For aircraft altitudes below the warm frontal surface ( $< 1.5$  km), the air has a polar origin, and spent at least 15 d into the Arctic. In contrast, for aircraft altitudes above the warm frontal surface (1.5–5 km), the air has a western origin and is sensitive to fire emissions in the Caspian Sea area (Figs. 3f and g). For altitudes greater than 5 km, the air has a remote origin, and has been entrained by a jet. The consequences on the measured  $\text{CO}_2$  and CO profiles are discussed in Sections 4.1.1 and 4.1.2.

Figure 5 shows example vertical profiles of meteorological variables for 7 September 2006 (Flight 5), and the BL height diurnal variation deduced from these data. Figures 5a and b show the vertical profiles of temperature, wind vector, potential virtual temperature, relative humidity and water vapour mixing ratio



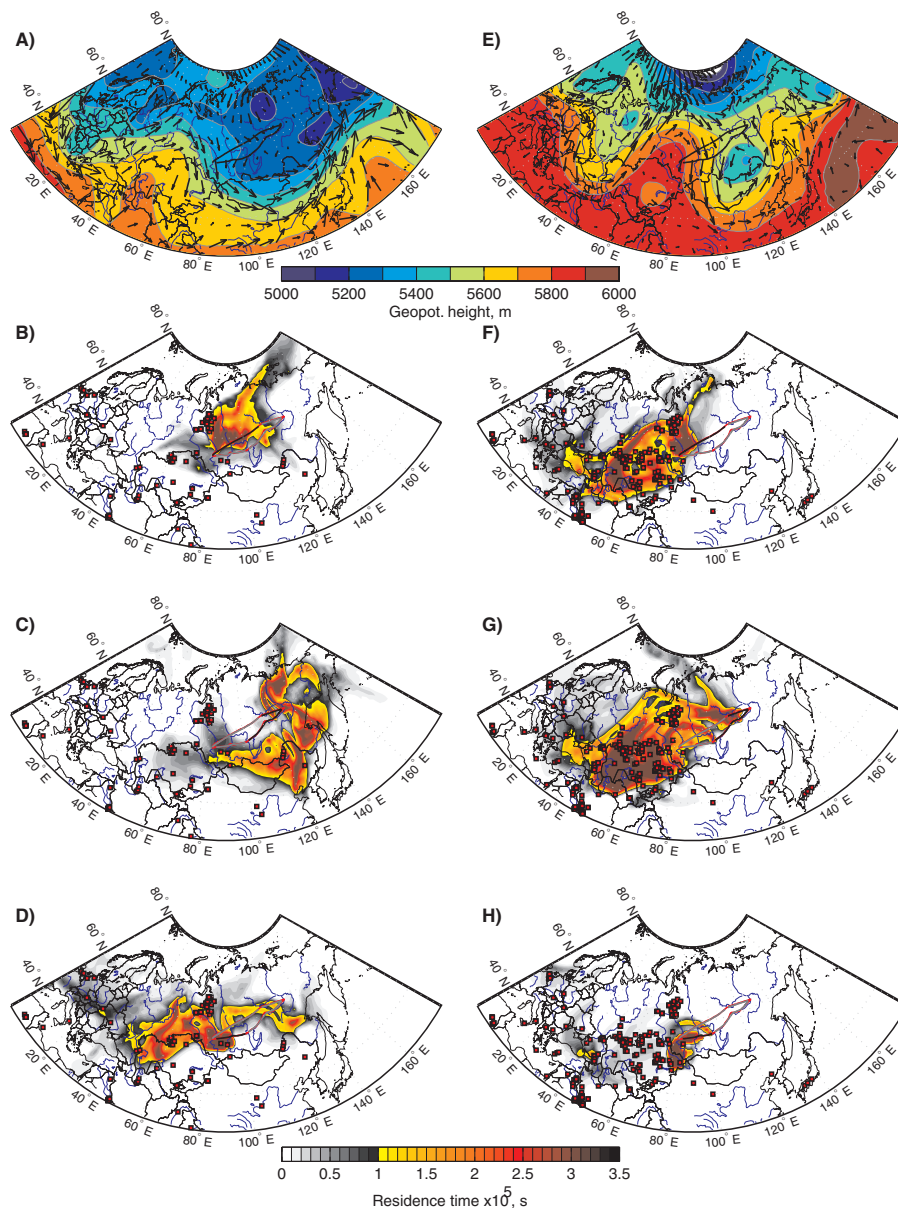


Fig. 3. Atmospheric transport patterns at the time of the campaigns. (a) Geopotential height and wind at 500 hPa from ECMWF analyses for 12 April at 0600 UTC. (b) 10-d potential emission sensitivity (PES) for simulated retro-transport of particles released along Flight 1, (c) same for Flights 2 and 3, and (d) for Flight 4. (e) same as (a) for 7 September at 0600 UTC, (f) PES for Flight 5, (g) for Flights 6 and 7 h) for Flight 8. Red squares represent ATSR World Fire Atlas for 5–12 April (b–d) and 1–7 September (f–h).

(WMR), during the two first ascents of Flight 5. The BL height in Fig. 5c is determined from consecutive profiles of virtual potential temperature, humidity and  $\text{CO}_2$ . On average across the flights, the mid-day average BL height during the September 2006 campaign was  $\approx 1.2$  km, and occasionally convective.

#### 4. Tracers variability

Figures 6 and 7 show selected individual profiles for flights of the April and September campaigns. Time series from each

campaign are further shown in Supplementary material on-line (Figs. S1 and S2). Figure 8 shows the average vertical profile of each flight, calculated for altitude bins of 1 km. Horizontal error bars represents the 10th and 90th percentiles of each bin. Monthly smoothed  $\text{CO}_2$  and CO concentrations recorded at coincident times are shown for stations KZM (letter K), KZD (letter D), BRW (letter B) and PAL (letter P). The smoothed data were obtained from the NOAA ESRL website (<http://www.esrl.noaa.gov/gmd/ccgg/iadv/>, see stations location in Fig. 1). The spring and fall  $\text{O}_3$  seasonal concentrations for

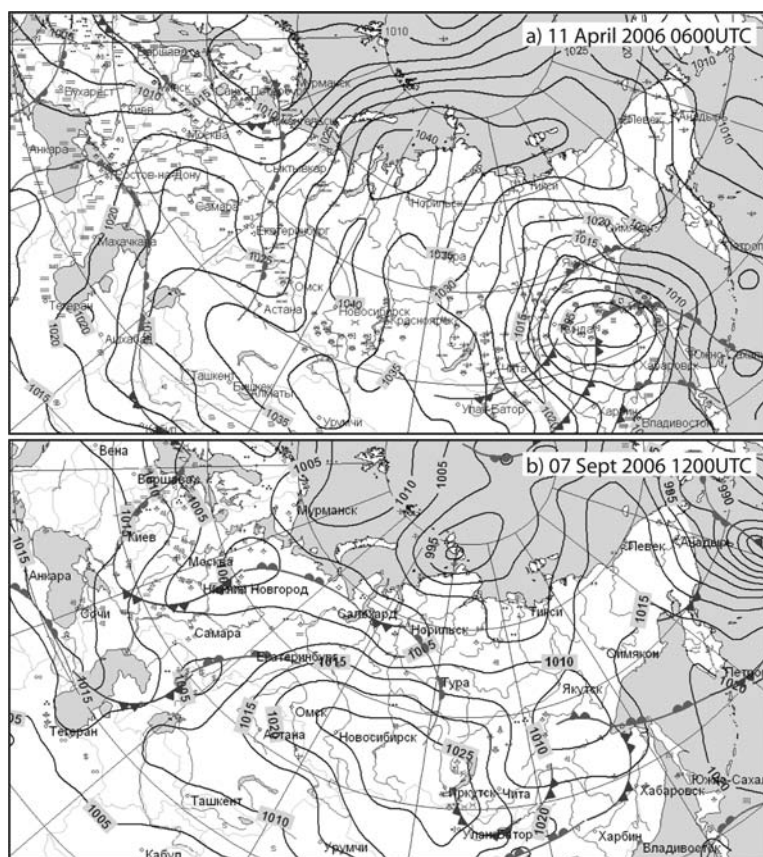


Fig. 4. Russian weather service Hydrometcenter charts. (a) 11 April 2006 at 0600 UTC. A series of fronts associated with a depression are moving south-eastward on the Eastern Asia coast. (b) 7 September 2006 at 1200 UTC. A warm front stretching between Norilsk and Krasnoyarsk was crossed by the YAK-AEROSIB aircraft during Flight 5.

the period 1997–1999 from Pochanart et al. (2003) are shown in Figs. 8c and f at the MND (letter M) mountain station, near the Baikal lake.

#### 4.1. April 2006 campaign

**4.1.1.  $\text{CO}_2$  concentrations.** An estimate of the background  $\text{CO}_2$  concentration was calculated from the data, based on  $\text{CO}$  variability criteria. We binned the  $\text{CO}_2$  data into 2 min intervals, rejected the bins where the  $SD$  of  $\text{CO}$  exceeded 10 ppb, took the 33rd percentile of  $\text{CO}_2$  in each selected bin, and finally averaged all these values to define the background  $\text{CO}_2$  of the campaign. This selection of low variability  $\text{CO}_2$  episodes is capable of efficiently discarding  $\text{CO}_2$  in polluted layers. The April  $\text{CO}_2$  background is  $386.2 \pm 1.7$  ppm (grey line and shaded area in Fig. 8a), very close to the monthly smoothed KZM data (385 ppm in Fig. 8a). Note that the corresponding background  $\text{CO}$  for the campaign also agrees well with the KZM data (see below). The  $\text{CO}_2$  and  $\text{CO}$  excesses above the background therefore reflect regional variability of transport. Elevated  $\text{CO}_2$  concentrations near the surface, up to 393 ppm, were observed above Novosibirsk and Tomsk (Fig. 6i, Flight 4), or in layers separated from, but close to the surface (see e.g. Fig. 6e, near the city of Lensk at 1.5 km altitude). The coincident high concentrations of  $\text{CO}$  confirm that these elevated  $\text{CO}_2$  values reflect the city

plumes where regional anthropogenic emissions accumulate in April below stable inversion layers.

**4.1.2. High  $\text{CO}$  concentrations.** The mean  $\text{CO}$  concentration measured during the April 2006 campaign is  $174 \pm 28$  ppb, whereas the background concentration (defined on the same basis as above) is  $135 \pm 21$  ppb (grey line and shaded area in Fig. 8b). The background  $\text{CO}$  is reasonably close to the 139 ppb value recorded in altitude at KZM, like for  $\text{CO}_2$  (Figs. 8a and b). The YAK background  $\text{CO}$  is however lower than the values recorded at the surface at BRW and KZD stations by 27 and 20 ppb, respectively. The mean  $\text{CO}$  concentration is 9 ppb higher than the MND 1997–1999 spring average value (Pochanart et al., 2003). The median  $\text{CO}$  concentration below 1 km of altitude during Flight 1 and 2 are very close to the PAL concentration of about 172 ppb (Fig. 8). However, the footprint pattern in Figs. 8b and c suggests that, if advection of air from Northern Europe is the source of this similarity, the contact with pollutant emissions must have occurred earlier than 10 d before. Using the MOZAIC passenger aircraft data, Nédélec et al. (2005) found an April median value of 125 ppb in the upper troposphere (8–10 km altitude). Below 1.5 km, the  $\text{CO}$  profiles show a high variability, ranging from near-background values over the forests (Fig. 6f, below 4 km) up to  $\text{CO} > 300$  ppb in city plumes (Fig. 6i Flight 4 near Novosibirsk). Between 1.5 and 4 km, the  $\text{CO}$  vertical distribution is more homogeneous. On the whole,

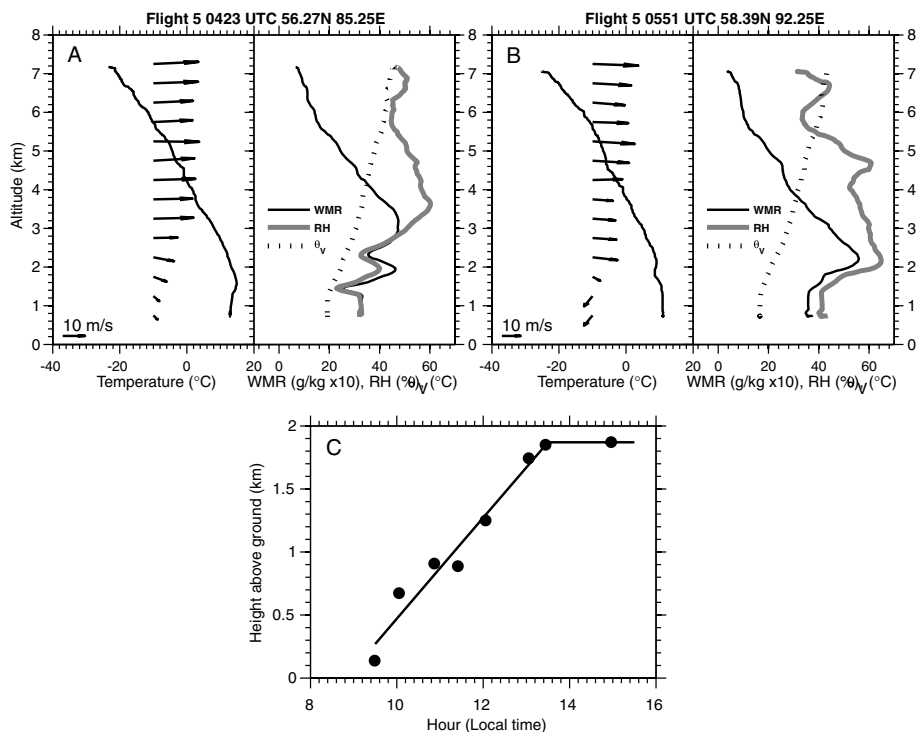


Fig. 5. Meteorological soundings of Flight 5. (A) vertical profile of (left-hand side) temperature and wind vector and (right-hand side) water vapour mixing ratio, relative humidity and virtual potential temperature during the ascent starting at 0423 UTC. (B) Same as A for the ascent starting at 0551 UTC. (C) Boundary layer height above ground level deduced from virtual potential temperature, humidity and  $\text{CO}_2$  profiles during Flight 5. Only BL heights with unambiguous profiles are included.

$\text{CO}_2$  and CO are highly correlated during the April 2006 campaign (see Fig. 9a). This is further analysed in Section 5.2.

**4.1.3.  $\text{O}_3$  concentrations.** The  $\text{O}_3$  concentration distribution measured in April 2006 is vertically fairly homogeneous in the FT, in the range of 50–60 ppb, although a well-defined increase of  $\text{O}_3$  with height of  $3 \text{ ppb km}^{-1}$  can be observed between 1 and 6 km (Fig. 8c). The mean  $\text{O}_3$  concentration measured during the entire campaign is  $50 \pm 5 \text{ ppb}$  (Fig. 8c). The homogeneous  $\text{O}_3$  distribution suggests no strong spatial gradients in chemical sources or sinks of  $\text{O}_3$  affecting our profiles. 10–40 ppb depletion in  $\text{O}_3$  is observed near the surface below 1 km, as compared to the FT above. The lowest  $\text{O}_3$  near-ground minima are found over landing air-strips in polluted city plumes, which are easy to identify because of their elevated CO concentrations. This suggests a strong titration of  $\text{O}_3$  by NO (Fig. 6i) near the surface. Note however that the anti-correlation between CO and  $\text{O}_3$  is not systematic in high CO air masses.

During Flight 1, higher ( $>80 \text{ ppb}$ )  $\text{O}_3$  values above 6.5 km are observed. Highest  $\text{O}_3$  concentrations range between 101 and 107 ppb and coincides with relatively low CO ( $126 \pm 6 \text{ ppb}$ ) and low  $\text{CO}_2$  values ( $385.0 \pm 0.3 \text{ ppm}$ ) at 6.4 km altitude (Fig. 6a). This suggests a stratospheric contribution to the  $\text{O}_3$  enhancement.

The mean  $\text{O}_3$  concentration matches well the MND monitoring station spring value (Fig. 8c; Pochanart et al., 2003).

This good match also suggests that there is little year-to-year variability in springtime tropospheric  $\text{O}_3$  concentration over Siberia.

**4.1.4. Free tropospheric  $\text{CO}_2$  and CO layer structure.** Filaments with elevated  $\text{CO}_2$  concentrations (4–6 ppm enhancement above background) and CO (60 ppb enhancement) were encountered at all altitudes, especially during Flight 2 and 3 (Figs. 6c–f). Associated CO values reach up to 250 ppb. The typical thickness of the layers is between 200 and 2000 m. The vertical profiles (e.g. Figs. 6d and e) suggest that the layered structure extends above the flight ceiling. This distribution of  $\text{CO}_2$ , also clearly visible in CO data, is due to slow stirring in the troposphere under reduced vertical mixing (Newell et al., 1999; Stohl, 2001; see CO– $\text{CO}_2$  scatter plots in Figs. 9a–d). Both the number of layer occurrence and their  $\text{CO}_2$  excess above the background are higher in Flights 2 and 3 (which correspond to the easternmost part of the campaign flight track), than in Flight 1 and 4 over southwestern Siberia (see also Figs. 8a and b).  $\text{O}_3$  shows no clear enhancement or depression in these layers. The reasons of this distribution will be examined in Section 5.1.

## 4.2. September 2006 campaign

**4.2.1.  $\text{CO}_2$  concentrations.** In the September 2006 campaign, the background  $\text{CO}_2$  concentration is  $377.1 \pm 0.9 \text{ ppm}$  (same



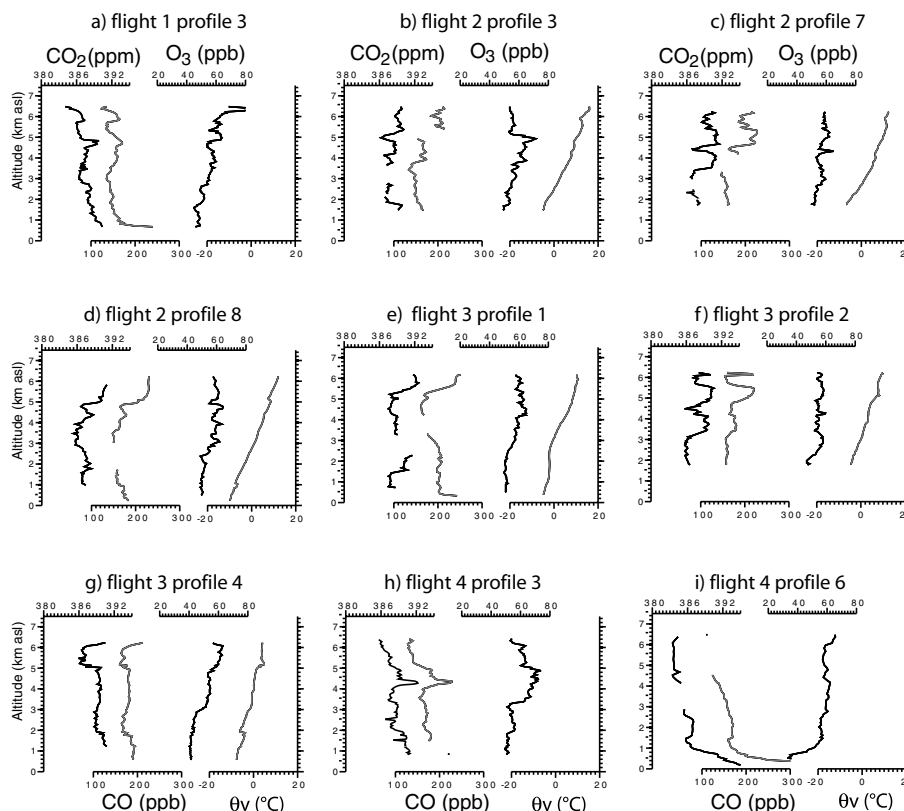


Fig. 6. Nine selected vertical profiles of the April 2006 campaign which are discussed in the text. The  $\text{CO}_2$  profiles are in thick black to the left-hand side of each plot, CO in light grey,  $\text{O}_3$  in black and potential temperature in dark grey to the right-hand side of each plot.

method as April, see Section 4.1.1) which is 9 ppm less than in April 2006. The September 2006  $\text{CO}_2$  concentrations show no thin, stratified structure as in April, but plumes are observed in CO data. However, the meteorological conditions and the complex PES footprints shown by Figs. 3f–h suggest the contribution of air masses with distinct origins to the vertical profiles. From an analysis of the FLEXPART results, we inferred mostly an Arctic/boreal origin for  $\text{CO}_2$  anomalies in the BL, and influence from more remote western sources (Kazakhstan) for  $\text{CO}_2$  in the FT.

All the September individual  $\text{CO}_2$  profiles (Figs. 7 and 8d) are characterized by decreasing values towards the surface. On Flights 5 and 7,  $\text{CO}_2$  in the BL is depleted by an average of 4 ppm compared to the FT above (Fig. 8d). The BL  $\text{CO}_2$  values during the entire campaign are on average 8 ppm lower than the ground based KZD station data ( $\sim 378$  ppm) and 5 ppm lower than the BRW station data. The mean vertical September  $\text{CO}_2$  gradient between KZM (2519 m a.s.l.) and KZD (412 m a.s.l.) is only  $\approx 0.5$  ppm, whereas in our data the Flight 5  $\text{CO}_2$  gradient at equivalent altitudes is 2–5 ppm (Fig. 8d). The FLEXPART analysis (Section 3.3 and Fig. 3b) shows that the air mass origin of the lowermost 1.5 km section of Flight 5 (BL) is from the Arctic, with a slow southward motion of air and lack of entrainment across the top of the BL. BRW is representative of mean Arctic

air concentration. The September campaign background  $\text{CO}_2$  value is also very close to the BRW data (374.9 ppm). Therefore the 5 ppm  $\text{CO}_2$  gradient between YAK concentrations in the BL (Flight 5) and BRW must be caused by regional biospheric uptake. FLEXPART backward transport analysis suggests that, in addition to regional differences in ecosystems uptake, associated with cropland and grasslands in Southern Siberia and Kazakhstan, regional variations in transport patterns between YAK (Flight 5) and KZD could contribute significantly to the gradient between these two locations.

Vertical profiles regularly collected at Zotino ( $65^\circ 45\text{N}$ ,  $89^\circ 23\text{E}$ ; Lloyd et al., 2002) show that the mean BL–FT gradient at Zotino is slightly positive in September (1.39 ppm). The local afternoon BL height in September (Lloyd et al. 2002) is on average lower (0.7–1 km) than that observed during our campaign. The variability of  $\text{CO}_2$  in the FT reflects advection of fossil fuel and biomass burning  $\text{CO}_2$  (see Figs. 3f–h, red squares), regional differences in uptake by ecosystems, and stratospheric air influence. During Flight 5, both profile 2 (Fig. 7b) and profile 8 (Fig. 7e) at  $\sim 6$  km altitude show a coincident excess in  $\text{O}_3$  and deficit in CO. In profile 2, the  $\text{O}_3$  excess is 12 ppb and the CO deficit is 20 ppb (respectively 8 and 30 ppb in profile 8). Excess is defined here as the difference with the lower part of each profiles. This signature is consistent with a stratospheric

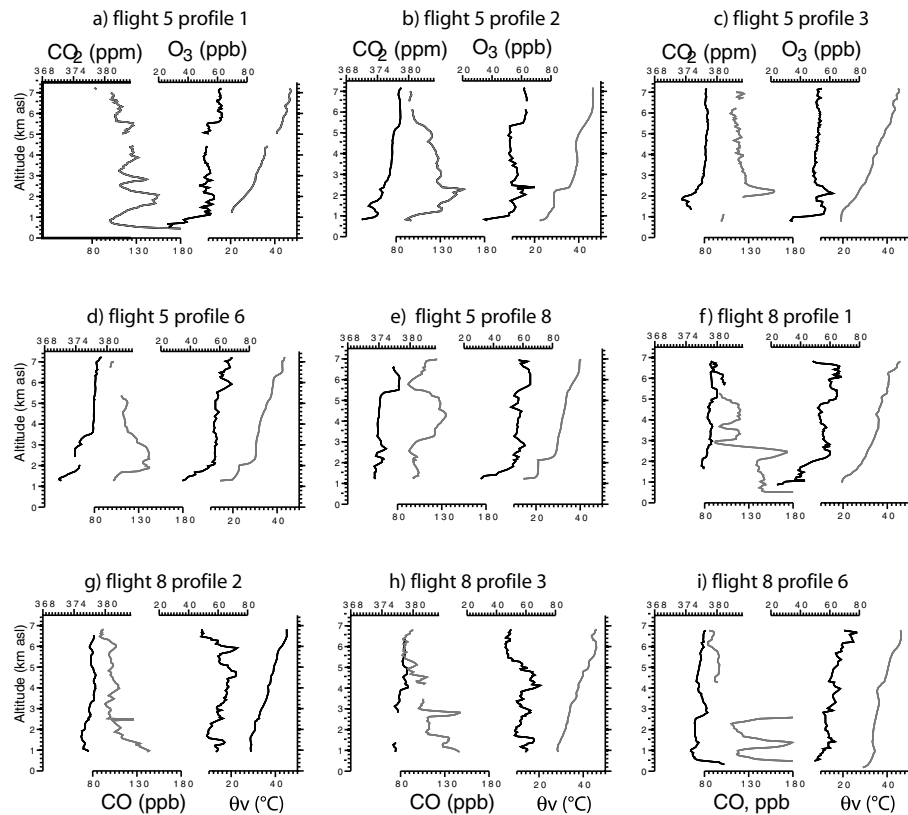
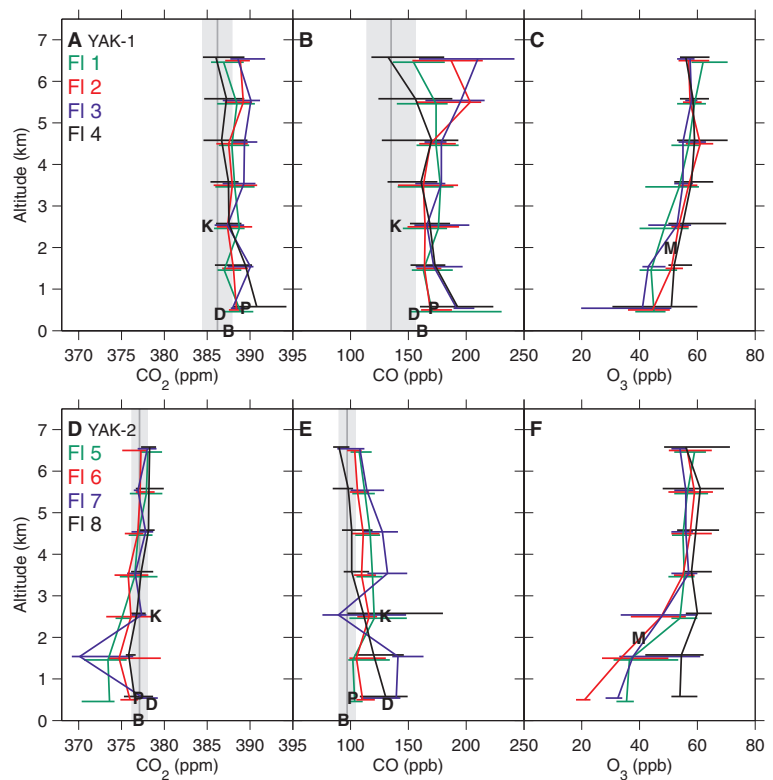


Fig. 7. Same as Figure 6 but for the September 2006 campaign.

Fig. 8.  $\text{CO}_2$ , CO and  $\text{O}_3$  average concentration profiles, for each flight. (a) April 2006  $\text{CO}_2$  profiles for Flights 1–4. The campaign background mean value and the associated  $1\sigma$  uncertainty are indicated by the dark grey line and the light grey surface, respectively. (b) Same as (a), for April 2006 CO profiles. (c) April 2006  $\text{O}_3$  profiles. (d) September 2006  $\text{CO}_2$  profiles and background for Flights 5–8. (e) September 2006 CO profiles. (f) September 2006  $\text{O}_3$  profiles. The horizontal error bars indicate the 10th and 90th percentiles. Also shown are the NOAA ESRL network interpolation of measured  $\text{CO}_2$  and CO at 10 April 2006 (a and b) and 15 September 2006 (d and e), represented by a letter for KZM (K), KZD (D), PAL (P) and BRW (B).  $\text{O}_3$  is compared with 3 year seasonal average at MND (M) for spring and fall.



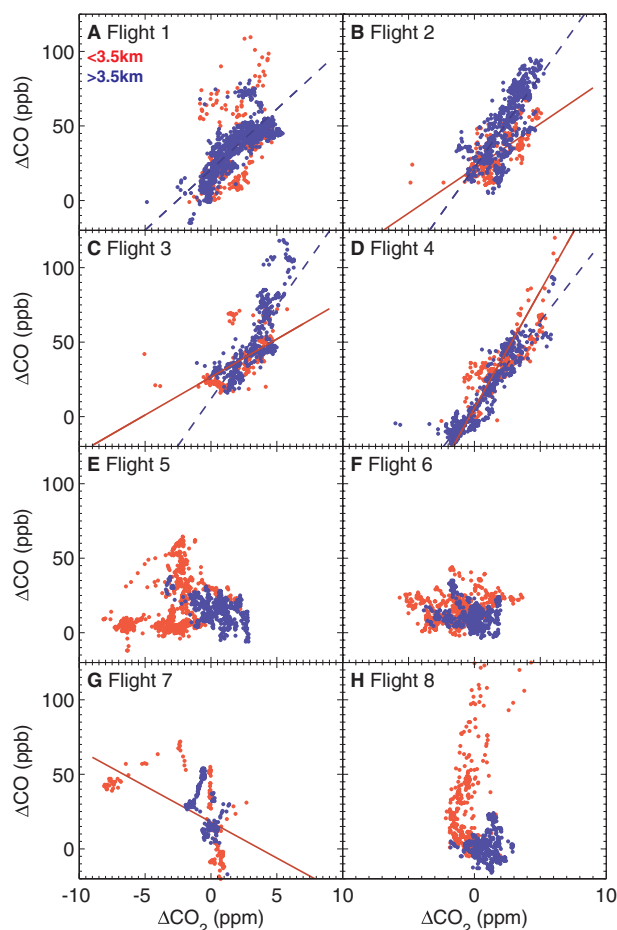


Fig. 9. Scatter plots of CO vs. CO<sub>2</sub> for all April and September flights. Red dots are for data below 3.5 km, blue dots are above 3.5 km. Regression slopes above (dashed blue line) and below 3.5 km (red line) are shown when correlation coefficient  $R^2 > 0.25$ . (a–d) Flights 1–4 in April 2006. (e–h) Flights 5–8 in September 2006. Note the absence of correlation for the September flights.

influence. Profile 2 and profile 8 (Figs. 7b and e) also show a positive excess of CO<sub>2</sub> (respectively 1 and 4 ppm) indicating that at this time of the year (end of the growing season), the mean lower stratospheric CO<sub>2</sub> is higher than the tropospheric CO<sub>2</sub> value depleted by net plant uptake.

**4.2.2. CO concentrations.** The CO background value for the September 2006 campaign is  $97 \pm 7$  ppb (to be compared to  $135 \pm 21$  ppb in April). This background CO is 30 ppb lower than measured further south at KZD and KZM, which may be more influenced by biomass burning (see fire counts in Fig. 3). The YAK CO background is comparable however to the value recorded further north at PAL and BRW stations (Fig. 8e).

The CO mean and standard deviation are  $113 \pm 30$  ppb. In contrast to April, the air masses that were sampled in September were mostly influenced by surface fluxes from Siberia and Europe entrained by zonal ‘Omega’ advection (see PES in

Fig. 3). More active photochemical sink of CO oxidation contributes to decrease the CO September background concentration.

In the September profiles, plumes with CO enhancements up to 140–150 ppb were observed in the FT (mainly during Flight 5, at approximately 2 km altitude in Figs. 7a–c). As discussed in section 3.3, this is due to remote fires in Kazakhstan area and anthropogenic emissions advected in a warm air mass. These plumes also exhibit marked O<sub>3</sub> enhancements (Figs. 7b and c, at 2.5 km altitude). These CO September enhancements are much lower than in April.

**4.2.3. Comparison of CO data with MOZAIC flights.** Both in April and September, our CO average profiles in Siberia are higher than the European average concentration profiles, and lower than East Asian profiles, showing pollutant enrichment along the zonal flow. In the MOZAIC passenger aircraft CO climatology (<http://mozaic.aero.obs-mip.fr>), the CO profiles over Frankfurt (Germany) are in the range 130–140 ppb in April and 100–110 ppb in September. The MOZAIC CO concentrations are found to be higher over Nagoya, Osaka and Tokyo (Japan), with values of 140–180 ppb in April, and 120–130 ppb in September. The MOZAIC CO range between Germany and Japan brackets the values observed for each YAK-AEROSIB campaign. However, the CO profiles over Japan are influenced by Southern Asian sources, and not by the result of simple zonal advection of integrated northern Eurasian fluxes.

**4.2.4. CO–CO<sub>2</sub> correlations.** The scatter plots of ΔCO vs. ΔCO<sub>2</sub> for each flight of the September 2006 campaign are shown in Figs. 9e–h. Near the surface, we observe a CO concentration increase (Figs. 7a, f, h and i, ) over cities, especially during Flight 8 over Bratsk and Novosibirsk (Figs. 7f, h and i). However in the presence of biospheric CO<sub>2</sub> uptake and photochemical activity, CO has no correlation with CO<sub>2</sub> in these city plumes in September. For comparison, in April we observed  $R^2 = 0.95$  and 0.82, in the Novosibirsk and Tomsk plumes (see Flights 4 and 1). In September, the overall correlation between ΔCO and ΔCO<sub>2</sub> is small. This is seen in Table 2 and Figs. 9e–h. Comparing altitudes below 3.5 km, which are more influenced by regional fluxes, the correlation is much lower in September ( $R^2$  range = 0.10–0.30) than in April (range = 0.24–0.60; Table 2). This shows the influence of biogenic sinks (no CO–CO<sub>2</sub> correlation in fluxes) superimposed with the one of anthropogenic emissions. In addition, during spring and summer, CO has additional sources and sinks (production from BVOC, and OH reaction) which de-correlate ΔCO and ΔCO<sub>2</sub> in the atmosphere (Turnbull et al. 2006). Rivier et al. (2006) also showed, using a model, that the CO to fossil fuel CO<sub>2</sub> regression slopes also have a maximum noise in summer.

**4.2.5. O<sub>3</sub> concentrations and possible contributions.** Beekman et al. (1994) have shown that free tropospheric O<sub>3</sub> concentrations in the northern hemisphere peaks in spring with a secondary maximum in summer. In our data the September O<sub>3</sub> tropospheric concentrations were slightly higher (55–65 ppb)

Table 2. Summary of CO–CO<sub>2</sub> correlation by flight and by altitude

Flight	All altitudes		$z > 3.5$ km		$z < 3.5$ km	
	<i>N</i>	<i>R</i> <sup>2</sup>	<i>N</i>	<i>R</i> <sup>2</sup>	<i>N</i>	<i>R</i> <sup>2</sup>
1	1315	0.44	939	0.59	376	0.24
2	858	0.53	646	0.59	212	0.50
3	553	0.54	371	0.65	182	0.35
4	711	0.74	484	0.87	227	0.60
5	1251	0.00	557	0.25	694	0.09
6	994	0.00	486	0.05	508	0.09
7	310	0.24	179	0.19	131	0.30
8	842	0.02	407	0.00	435	0.10

than in April (50–60 ppb), consistent with either a higher global northern hemisphere summer ozone production or stratospheric intrusions occurrence. High O<sub>3</sub> layers (>70 ppb) coinciding with low CO concentrations (~110 ppb) are encountered on Flights 5, 6 and 8 (Fig. 7), at altitudes above 5 km, and usually at the highest points of the flight (7 km). According to the weather and transport analysis of this campaign (see Section 3) this is due to a fast zonal transport with a footprint spanning North America, North Atlantic and Europe. For instance on Flight 5 an air mass (profiles 5–6 at 6–7 km, Fig. 7d) with high O<sub>3</sub> and low CO (respectively  $65.0 \pm 4.0$  ppb and  $101.7 \pm 5.8$  ppb) also exhibits a low ( $5 \pm 3\%$ ) relative humidity. This is consistent with a stratospheric contribution to higher O<sub>3</sub> concentrations, although our transport model also suggests a significant contribution to O<sub>3</sub> precursors from long-range transport of biomass burning plumes. Enhanced O<sub>3</sub> and CO concentrations occur in lower troposphere biomass burning plumes on Flight 5 (Figs. 7b and c; see Section 4.2.2).

On Flights 5–7, below 1.5 km (in the BL), O<sub>3</sub> concentrations are systematically lower than 40 ppb. These BL O<sub>3</sub> anomalies are not correlated with any CO enhancement (Fig. 9d). Below 3 km, at best, a correlation of  $R^2 = 0.08$  is found during Flight 7. This absence of relation discards the role of local pollution in the O<sub>3</sub> depletion, via reaction with recently emitted NO. The BL lower O<sub>3</sub> concentrations are attributed to deposition on the vegetation and soil on large scales. O<sub>3</sub> dry deposition seems to be stronger in September than in April. In the BL, this large, continental-scale deposition is consistent with lower summer values relative to spring despite higher summer photochemical production.

## 5. A case study for the source attribution of CO and CO<sub>2</sub> enhancements in April 2006

### 5.1. Uplift of elevated CO concentrations from China in April

We investigated the origin of abnormally high CO and CO<sub>2</sub> concentrations (mean CO 220 ppb and CO<sub>2</sub> 391 ppm) encountered

on 12 April 2006 in the upper parts of Flight 2 and 3, in eastern Siberia (Figs. 6c–f). Note that Flight 1 is not reached by the abnormally high CO and CO<sub>2</sub> concentrations. Maximum CO and CO<sub>2</sub> values in the FT reached 255 ppb and 392.2 ppm, respectively, on Flight 3 (Fig. 6e at 6 km altitude). This air mass has a distinctly high positive correlation between CO<sub>2</sub> and CO ( $R^2 = 0.53$  when taking all the 12 profiles of Flights 2–3 above 3.5 km) and there is a finely (500–1000 m) stratified structure. Under 3 km, in contrast, CO and CO<sub>2</sub> exhibit near-background concentrations.

*5.1.1. Fast transport modelling related to a baroclinic perturbation.* Figure 10 shows the uplift event as described by backward transport analysis. At the altitude where they are observed, these elevated CO and CO<sub>2</sub> concentrations are unlikely to reflect emissions from Siberian cities. This is confirmed by the 6-d HYSPLIT back-trajectories analysis and by the surface PES footprints calculated with the FLEXPART model (Fig. 10a). HYSPLIT version 4 (Draxler et al., 1997) back-trajectories were run from the position and time where the highest CO concentration was recorded during Flight 2 over Yakutsk. The green circles on each trajectory mark 24 h intervals. Back-trajectories were computed 6 d backwards from three different starting times bracketing the actual sampling time by  $\pm 1$  h. In Fig. 10a the FLEXPART model was limited to 10 d of backward transport, with clouds of particles being released at locations where measured CO exceeded 220 ppb. Figure 10a also shows ATSR hot spots.

Figure 10b shows the back-trajectory vertical displacement. Together with Fig. 10a it reveals the following sequence: a flow towards the Pacific was dominant at low altitudes over northeastern China. This air stream was eventually redirected northward by frontal activity near the coast between China and Japan and uplifted from the BL. The uplift happened between 30 and 50 h prior to the sampling and lofted polluted air at 6 km altitude. The airstream finally remained homogeneous and reached Flight 2 in thin polluted layers as shown by our data.

Figures 10c and d shows the measured and simulated CO enhancements during Flights 2 and 3. Retro-transport was extended here to 20 d backward to probe sensitivity to remote influences. The EDGAR 3.2 FT 2000 emissions (Olivier et al., 2005) emission inventory is used. The CO enhancement above background, caused by recent sources, is obtained by convolving the PES with the CO emission map. Therefore, as the backward transport is run only for 20 d, the pertaining CO concentrations are only the enhancements due to contact with emissions in the last 20 d, with a prescribed chemical lifetime. There is no model spin-up with respect to chemical composition and therefore in this case, FLEXPART cannot simulate a background concentration.

The CO enhancement in Flight 2 at 0330 UTC is correctly modelled but underestimated by a factor of 3. The CO peak at 0445 UTC is also underestimated and located 1.5 km too high by the model. Some peaks are underestimated by a factor of 10. The sources of error in this comparison are (1) time or altitude

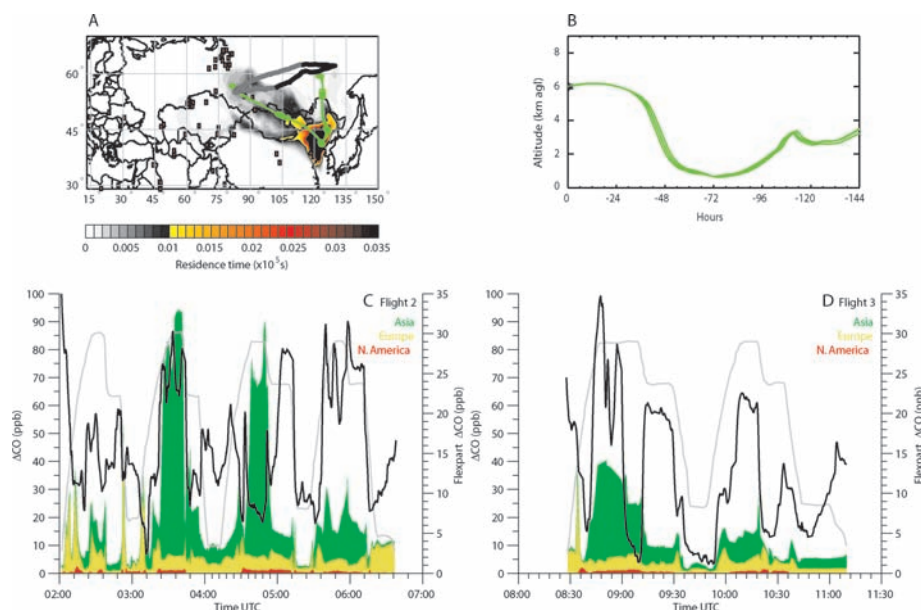


Fig. 10. Flights 2 and 3: Export of polluted boundary layer air with high CO concentrations to Eastern Siberia, 12 April 2006. (a) FLEXPART residence time below 1.5 km for 10 d backward. Model particles are released at aircraft times and positions only when actual measured CO > 220 ppb. As a complement, the light green track represent 4 HYSPLIT backtrajectories run at 1 h interval around aircraft passage at 0600 UTC, at 129°E 62°N and altitude 6 km (matching detection of a CO peak). (b) Vertical movement of the same backtrajectories with 4 releases at 1 h interval. Note the rapid uplift 2–3 d prior to detection. (c) Measured  $\Delta\text{CO}$  (black line) and simulated  $\Delta\text{CO}$  by origin during Flight 2 (Asia in green, Europe in yellow and North America in red, cumulative filled areas, right Y-axis scale). Altitude is shown normalized as grey line. (d) same as (c) for Flight 3 (same day).

mismatch (phase error) in the model, (2) under-estimation of CO emissions from Chinese industrial activities and biofuel burning in EDGAR, leading to too low concentrations in Asian outflow (Petron et al., 2002; Streets et al., 2003; Forster et al., 2004; Akimoto et al., 2006) or (3) additional CO from biomass burning (fires are not included in our simulation). In a multiyear study, Petron et al. (2002) highlighted the need for nearly a doubling of Asian emissions compared to a priori inventory (EDGAR) to keep consistency between atmospheric measurements and emissions. Additionally, FLEXPART simulates poorly local pollution at low altitudes, probably due to non-representation of (1) emissions in Siberia and (2) night-time accumulation of pollutants.

The FLEXPART model predicts high CO enhancements between 5 and 7 km altitude from North East Asian emissions, and a clean lower troposphere, reflecting the observed CO concentrations. Despite phase errors and underestimations the model is able to show that the middle troposphere (5–7 km altitude) has marked CO enhancements compared to the lower troposphere, and that these enhancements originate from transport of Asian pollution. Figure 10a shows that the sensitivity to Asian emissions is particularly marked over North Eastern China.

Spring is the peak season of desert dust storms in northeastern Asia. An extremely high atmospheric dust load was observed in April 2006 over Beijing (Papayannis et al., 2007), corresponding to a large fraction of coarse particles ( $\text{AOD} > 3$  at 440 nm). After its passage over industrialized regions of northern China,

this dust-loaded air mass was later sampled during our Flight 2. The high dust load could explain low  $\text{O}_3$  concentration of this plume.

**5.1.2. Warm conveyor belts role on Asian pollutants redistribution.** To our knowledge, no direct observation of fast upward transport of a polluted airstream from northern Asia into the Siberian mid troposphere has been made before. Rapid uplift and subsequent transport of BL air occurs generally in mid-latitude cyclones (Cooper et al., 2001). Warm conveyor belts (WCB) vigorously uplift moist boundary-layer air ahead of cold fronts (Berkowitz et al., 1996; Vaughan et al., 2003). They are most frequent on the eastern coast of continents (Eckhardt et al., 2004), so Siberia is expected to be only a marginal receptor of recently uplifted WCB air masses (Stohl, 2001; his Plate 1). To separate the contributions of uplifted Asian pollution and other sources to the CO enhancements ( $\Delta\text{CO}$ ) observed in Flights 2 and 3, the CO enhancements simulated by FLEXPART (Fig. 10c) have been split by continent of origin (results for all flights available at <http://zardoz.nilu.no/~andreas/YAK/>).

When averaging the modelled enhancement  $\Delta\text{CO}$  time series over the whole flight, European emissions contribute 32.4% to  $\Delta\text{CO}$ , Asia 64.4%, and North America 3.2% only. The modelled contribution of European CO emissions is homogeneously distributed throughout the time series whereas the contribution of Asian emissions is more localized into layers above 3.5 km (Fig. 10c). As a result, below 3.5 km, the European contribution

to the anomaly represents 71%, but conversely the Asian contribution constitutes 71% of the  $\Delta\text{CO}$  above this altitude. As an example, in the layer observed at 0330 UTC in Fig. 10c, CO from Asian sources has a 10-fold higher contribution than CO from European sources.

In their characterization of the mean transport of pollutants across Siberia, Newell and Evans (2000) show that maximum zonal flow is usually prevailing in winter and early spring, which is associated to a European footprint. Ramonet et al. (2002) identified European anthropogenic emissions to be the main contributor to the synoptic variability of  $\text{CO}_2$  at an aircraft site located 300 km from Moscow. The April 2006 YAK-AEROSIB campaign data suggests that episodic and rapid transport of north-east Asian emissions can contribute to the mid and high Siberian troposphere composition, in addition to the zonal advection of European emissions. The delivery of pollutants to the Siberian troposphere by WCB airstreams could be underestimated in coarse resolution global Chemistry Transport Models, which do not capture correctly these synoptic events.

**5.1.3. Limited chemistry in the plume.** The  $\text{O}_3$  concentrations in the polluted ( $\text{CO} > 200$  ppb) air mass is in the range 55–60 ppb (Figs. 6c–f). It shows no correlation with CO ( $R^2 = 0.08$ ). In addition we found evidence for enhanced (up to  $2 \times 10^{-2} \text{ cm}^{-3}$ , that is, one order of magnitude above background) concentrations of fine particles (CCN aerosols) in these pollution layers from onboard instruments measuring particles with diameter  $d < 200$  nm (Arshinov et al., 2007). Coarse dust particles were not measured during the campaign. High concentration levels of fine aerosol particles, and potentially coarse particles, in the air stream might have led to additional  $\text{O}_3$  destruction via heterogeneous reactions (e.g. Bonasoni et al., 2004).

**5.1.4. Contributions of biomass burning and fossil fuel combustion.** The elevated CO and  $\text{CO}_2$  levels are consistent with a mix of urban pollution and biomass burning emissions (wild-fires or agricultural fires). Several fires were detected in April 2006 in the footprint of Flight 2 (see ATSR fire counts, red squares, on Figs. 3b, d and 10a). According to FLEXPART simulations Flight 2 sampled CO and  $\text{CO}_2$  from fire emissions from northern Mongolia (in the region  $50^\circ\text{N}$  and  $105\text{--}120^\circ\text{E}$ ) and northern high latitudes in addition to heavy anthropogenic emissions from northern China. The  $\text{CO}/\text{CO}_2$  regression slope ( $S = 1.17 \times 10^{-2}$ ) in Flight 2 is consistent with a predominant contribution of anthropogenic emissions; this will be discussed in Section 5.2.

## 5.2. Interpretation of $\text{CO}/\text{CO}_2$ ratio for selected cases

**5.2.1. CO as a surrogate tracer for fossil fuel  $\text{CO}_2$ .** We investigated whether CO can be used quantitatively to identify the fossil fuel component of  $\text{CO}_2$ , given that fossil fuel combustion emits both CO and  $\text{CO}_2$  (see also e.g. Gamnitzer et al., 2006; Rivier et al., 2006; Turnbull et al., 2006; Levin and Karstens, 2007). The difficulty in using CO as a proxy of fossil fuel  $\text{CO}_2$  is that the  $\text{CO}/\text{CO}_2$  emission ratio varies spatially and temporally,

**Table 3.**  $\text{CO}/\text{CO}_2$  ratio for regional emissions and  $\Delta\text{CO}/\Delta\text{CO}_2$  as measured during the YAK-1 campaign. Units are  $\times 10^{-2} \text{ mol mol}^{-1}$  for EDGAR emissions and  $\times 10^{-2} \text{ ppm ppm}^{-1}$  for the measurements. The EDGAR ratio uncertainties are given in the 95% confidence interval

CO/CO <sub>2</sub> , EDGAR (×10 <sup>-2</sup> )		
China (1)	2.13 ± 2.20	
Europe (2)	1.08 ± 0.50	
ΔCO/ΔCO <sub>2</sub> , Our data (×10 <sup>-2</sup> )		
Flight 2, <3km	0.66	
	CO > 200 ppb	CO < 200 ppb
Flight 2, Profiles 7–8	1.25	0.69
Flight 3, Profiles 1–4	1.39	0.52
Flight 2, Profile 6, in high CO plume	1.94	–

*Note:* (1) Represents average over the region bracketed in latitude by  $[25\text{N } 52\text{N}]$  and in longitude by  $[80\text{E } 135\text{E}]$  and (2) represents average over the region  $[35\text{N } 65\text{N}]$ ,  $[10\text{W } 55\text{E}]$ .

according to the mix of combustion processes (from  $1.38 \times 10^2 \text{ mol mol}^{-1}$  for western northern hemisphere industrial processes to  $6.83 \times 10^2 \text{ mol mol}^{-1}$  for world average road transportation, according to the EDGAR inventory). For this study we use the ratio of CO to  $\text{CO}_2$  in anthropogenic emissions as given by the EDGAR 3.2 Fast-Track 2000 dataset (Olivier et al., 2005). The average fossil fuel  $\text{CO}/\text{CO}_2$  emission ratio is  $1.08 \times 10^{-2} \text{ mol mol}^{-1}$  in Western Europe, compared to  $2.13 \times 10^{-2}$  in China (Table 3, see following section for a discussion on uncertainties). The  $\text{CO}/\text{CO}_2$  emission ratio of biomass burning is higher than the one of fossil fuel combustion:  $10.7 \pm 3.8 \times 10^{-2}$  for extratropical forest fires,  $7.9 \pm 3.2 \times 10^{-2}$  for bio-fuel burning,  $12.0 \pm 2.5 \times 10^{-2}$  for charcoal burning, and  $9.5 \pm 8.8 \times 10^{-2}$  for agricultural residues burning (Andreae and Merlet, 2001).

The distribution of  $\text{CO}/\text{CO}_2$  ratios in the global atmosphere results from (1) atmospheric transport which mixes the ratios of different emission sources, (2) secondary CO production from biogenic volatile organic compounds (BVOC) and atmospheric sinks of CO from OH oxidation which change CO but not  $\text{CO}_2$  and (3) terrestrial and oceanic surface  $\text{CO}_2$  fluxes which change  $\text{CO}_2$  but not CO.

In winter-times, the effect of processes (2) and (3) is limited. Note the significantly higher  $\text{CO}\text{--}\text{CO}_2$  correlation for Flight 4 which was sampled only in the morning, unlike the other April flights (see Table 2). Positive correlations between CO and  $\text{CO}_2$  are frequently found at northern hemisphere stations (Potosnak et al., 1999; Suntharalingam et al., 2004; Gamnitzer et al., 2006; Palmer et al., 2006; Rivier et al., 2006). Potosnak et al. (1999) observed that using CO as a predictor for  $\text{CO}_2$  was more effective in winter. In a northern hemisphere modelling study, Rivier



et al. (2006) predicted ubiquitous wintertime correlations between CO and fossil CO<sub>2</sub>. They also showed that the modelled scatter between CO and fossil CO<sub>2</sub> was caused by advection of air masses with different CO/CO<sub>2</sub> emission ratios (see their Fig. 6).

**5.2.2. CO/CO<sub>2</sub> ratios during Flights 2 and 3 and source attribution.** One can decompose the CO<sub>2</sub> and CO concentration as the sum of the background value (determined as in Section 4) and an anomaly called  $\Delta\text{CO}_2$  and  $\Delta\text{CO}$ , respectively. We regressed the observed value of  $\Delta\text{CO}$  as a function of  $\Delta\text{CO}_2$  for all flights (see Fig. 9 and Table 2 for correlation coefficients). In the April campaign, there is a significant positive correlation between  $\Delta\text{CO}$  and  $\Delta\text{CO}_2$ , with a clear distinction between data above and below 3.5 km (for Flight 2  $R^2 = 0.58$  below 3.5 km and  $R^2 = 0.55$  above). Above 3.5 km in April Flights 2 and 3 (Figs. 9b and c), the regression slope is clearly higher than for Flight 1 and 4 (Figs. 9a and d), and also higher than below 3.5 km for the same flights. The  $\Delta\text{CO}/\Delta\text{CO}_2$  linear regression slopes are 6.8 and 11.3 ppb ppm<sup>-1</sup>, respectively below and above 3.5 km. This high, positive CO–CO<sub>2</sub> correlation coefficient suggests that the contribution of natural CO<sub>2</sub> fluxes and photo-chemical CO sinks to this flight is small. This is further confirmed by combining the CO<sub>2</sub> Net Ecosystem Exchange (NEE) fluxes calculated by the ORCHIDEE process-based ecosystem model (Krinner et al., 2005) at  $0.4 \times 0.4^\circ$  in April 2006 with the FLEXPART footprints. For the entire April 2006 YAK-AEROSIB campaign, we found that the contribution of NEE is negligible relative to anthropogenic fluxes:  $-0.5\%$  for Europe (i.e. small biospheric uptake) and  $+1.1\%$  for Asia relative to fossil fuel emissions. Thus, neglecting the impact of natural CO<sub>2</sub> sources to the vertical variability in the April profiles,  $\Delta\text{CO}_2$  can be expressed from  $\Delta\text{CO}$  by:

$$\Delta\text{CO}_2(\text{obs}) = \Delta\text{CO}(\text{obs}) \times S^{-1} + \text{constant}, \quad (1)$$

where the constant term represents the background CO<sub>2</sub> component, assumed to be vertically uniform in each profile. For the situation of Flights 2 and 3 we assumed that, in presence of fast horizontal transport of remote emissions and comparatively lower vertical mixing, the value of  $S$  reflects the ratio of fossil fuel emissions, weighted by the emitted flux over each grid point (see Gamnitzer et al., 2006). Using the EDGAR 3.2 Fast-Track 2000 fossil fuel CO and CO<sub>2</sub> emission maps, the emission ratio are  $S = 2.13 \times 10^{-2} \text{ mol mol}^{-1}$  for China,  $S = 1.08 \times 10^{-2} \text{ mol mol}^{-1}$  for Europe. Thus, the *ratio* of Chinese emissions CO/CO<sub>2</sub> ratio to the European CO/CO<sub>2</sub> is 1.97. In the YAK-AEROSIB data, the ratio of Asian (above 3.5 km) to European (below 3.5 km) CO/CO<sub>2</sub> ratios in the Eastern part of the campaign is 1.8.

**5.2.3. Analysis of errors.** The ratio of European to Asian  $\Delta\text{CO}/\Delta\text{CO}_2$  in the YAK measurements is consistent with that of emission inventories. However, the values of the  $\Delta\text{CO}/\Delta\text{CO}_2$  slope  $S$  determined from the YAK measurements are half the values given by inventories (Table 3). Significant error sources in

the inventories propagate to the slopes. We computed a 95% confidence uncertainty for Asian and European emission CO/CO<sub>2</sub> slopes using a Monte Carlo analysis. We assume a weak correlation ( $R^2 = 0.1$  as suggested by Palmer et al., 2006) between CO and CO<sub>2</sub> emission uncertainties, due to the presence of correlated error sources in the underlying emission factors and activities. A rough estimate of the error for CO and CO<sub>2</sub> Asian emissions is 50 and 20%, respectively (Streets et al., 2003), and for European emissions of 30 and 10%, respectively. The Monte Carlo simulation gives emission CO/CO<sub>2</sub> slopes  $S = 2.1 \pm 2.2 \times 10^{-2} \text{ mol mol}^{-1}$  for Asian and  $1.1 \pm 0.50 \times 10^{-2} \text{ mol mol}^{-1}$  for Europe.

Into the polluted air masses of Flights 2–3 where CO > 200 ppb, we determined  $S = 12.5 \text{ ppb ppm}^{-1}$  for Flight 2 (profiles 7–8, and  $S = 13.9 \text{ ppb ppm}^{-1}$  for Flight 3 (profiles 1–4). Taking only data inside the plume of profiles 6–7 of Flight 2 at 5 km altitude (Fig. 6c) we obtain  $S = 19.4 \text{ ppb ppm}^{-1}$ . All these values are given in Table 3. Therefore, the more we restrict the CO data selection towards elevated values representative of polluted air masses, the better agreement is found for  $S$  between atmospheric data and inventories, and the more accurate our discrimination of Asian vs. European emissions. This suggests that the  $\Delta\text{CO}/\Delta\text{CO}_2$  signal is significantly diluted (1) as a methodological flaw when averaging over distinct air masses and (2) physically when mixing with the surrounding air takes place. This dilution can result in lower  $\Delta\text{CO}/\Delta\text{CO}_2$  ratio because the enhancement relative to background is higher for CO than for CO<sub>2</sub>.

The precision of our method, based on deviation from background concentration, is affected by other factors, such as the absence of simple (e.g. marine) background. However the *ratio* between  $S$  in the lower and  $S$  in the upper part of the profiles is consistent with the retro-transport analysis of a dominant Chinese pollution influence above 3.5 km, and of a dominant European origin below that altitude. Due to limited mixing, the conservation of CO/CO<sub>2</sub> ratios in the YAK easternmost flights was similar to that of the TRACE-P flights with high ( $R^2 > 0.7$ ) CO–CO<sub>2</sub> correlations at all altitudes analysed by Suntharalingam et al. (2004), which allowed Palmer et al. (2006) to use CO–CO<sub>2</sub> error covariance for better separating Asian fossil from biogenic CO<sub>2</sub> fluxes. Under most common conditions, CO/CO<sub>2</sub> ratio is not a conservative tracer. The uplift situation of April 2006 was specifically favourable to preserve high CO/CO<sub>2</sub> correlations over long distances because: (1) there was no biotic CO<sub>2</sub> sinks in Siberia at this time of the year and (2) the air mass was sampled after uplift, before being homogenized and mixed by transport, and thus contained a well defined CO/CO<sub>2</sub> signature.

## 6. Conclusion

The set-up and preliminary results of two YAK-AEROSIB aircraft campaigns across Siberia in April and September 2006

have been presented. Each campaign comprises four flights and a total of 26 vertical profiles spaced by 200 km between 0 and 7 km. We report here on the in situ measurement of CO<sub>2</sub>, CO and O<sub>3</sub>. The April 2006 campaign was characterized by a slow zonal flow, and the influence of Siberian cities and remote Asian emissions in the vertical profiles. The September 2006 campaign was dominated by meandering zonal flow, variable synoptic conditions and a descent of arctic air in the boundary layer (BL). Both CO<sub>2</sub> and CO values were very high in April 2006 (respectively 385–390 ppm and 160–200 ppb) due to both near-ground accumulation of Siberian cities emissions, and long-range transport in the middle troposphere. The lowest CO<sub>2</sub> concentration values were observed in the BL during September, which support the view of a strong biospheric CO<sub>2</sub> sink in Siberia at that time of the year. The next step will be to analyse the CO<sub>2</sub> data within a regional inverse model (Lauvaux et al., 2007) in order to exploit quantitatively the information contained in the CO<sub>2</sub> gradients.

In the free troposphere (FT), the O<sub>3</sub> concentrations were much more homogeneous than those of CO<sub>2</sub> and CO across the whole aircraft transect. In April O<sub>3</sub> values smoothly increased with altitude up to the flight ceiling. In September a 20 ppb gradient of O<sub>3</sub> was observed between the FT and the ozone-depleted BL. This gradient is likely due to O<sub>3</sub> deposition over the forests and to a stronger late summer turbulent mixing. The relationships between O<sub>3</sub> and CO (indicator of O<sub>3</sub> precursors) analysed from the April 2006 campaign have not shown important active photochemistry from regional fresh emissions. Relatively high O<sub>3</sub> levels (50–65 ppb) in the FT (both in April and September) reflect the global northern hemisphere O<sub>3</sub> enhancement.

The mid troposphere in the eastern part of the April campaign exhibited very high CO and CO<sub>2</sub> values. Analysis of this air mass using back-trajectories and a Lagrangian transport model revealed the effect of a sequence of baroclinic perturbations followed by stagnant conditions in the middle troposphere. This led to the fast injection and persistence for several days over Siberia of thin layers of polluted air originating from northeastern Asian sources. The export of Asian pollution to the East through the Pacific Ocean at mid-latitudes is partially driven by cold front uplift (see e.g. Mari et al., 2004). This export pathway has been extensively documented (see e.g. Jacob et al., 2003; Suntharalingam et al., 2004), contrarily to the northward transport of Asian emissions towards Siberia. Our observations have shown that the advection of BL air exposed to Asian emissions and uplifted Warm Conveyor Belt contributed significantly to the CO<sub>2</sub> and CO enhancement of the upper Siberian troposphere during April 2006. This case of export pattern deserves further investigation, especially using chemistry–transport modelling.

CO has been suggested as a tool for separating the fossil CO<sub>2</sub> component in the observed total CO<sub>2</sub> signals. In our study we have been able to use CO/CO<sub>2</sub> ratio in the free troposphere, even far from the emission regions, as a quantity representative of surface emissions characteristics. Therefore, under specific conditions, atmospheric CO/CO<sub>2</sub> ratios could be used for sepa-

rating source regions with contrasted emission ratios, like Asia and Europe. In September, with more active chemistry and production of CO from VOCs, this property was no longer valid.

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## Supplementary material

The following supplementary material is available for this article:

Concentration time series

**Figure S1.** Trace gases concentrations time series for altitude (grey line), O<sub>3</sub> (blue line), CO (red line) and CO<sub>2</sub> (black line) as a function of time and latitude for (a) Flight 1; (b) Flight 2; (c) Flight 3 and (d) Flight 4.

**Figure S2.** Same as figure S1 but for (a) Flight 5; (b) Flight 6; (c) Flight 7 and (d) Flight 8.

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