IOWA STATE UNIVERSITY
OF SCIENCE AND TECHNOLOGY

Institutional Review Board Office of Research Ethics Vice President for Research
2420 Lincoln Way, Suite 202

Ames, Iowa 50014

515 294-4566

Date:	08/04/2023		
To:	Tammy Hirakawa Sugajara	Steven Freeman	
From:	Office of Research Ethics		
Title:	The Research Proposal Stressors Among Iowa Farmers		
IRB ID:	23-213		
Submission Type:	Initial Submission	**Exemption Date:**	08/04/2023

The project referenced above meets the following federal requirements for exemption from most federal human subjects research regulations:

2018 - 2 (iii): Research that only includes interactions involving educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior (including visual or auditory recording) when the information obtained is recorded by the investigator in such a manner that the identity of the human subjects can readily be ascertained, directly or through identifiers linked to the subjects, and an IRB conducts a LIMITED IRB REVIEW to [determine there are adequate provisions to protect the privacy of subjects and to maintain confidentiality of the data].

Please be aware of the following:

- **You must conduct the research as described in the IRB application.** Review by IRB staff is required prior to implementing certain modifications. The primary purpose of review is to determine if the project still meets the federal criteria for exemption.

  Non-exempt research is subject to many regulatory requirements that must be addressed prior to implementation of the study. Conducting non-exempt research without IRB review and approval may constitute non-compliance with federal regulations and/or academic misconduct according to ISU policy.

- **Please inform the IRB if the Principal Investigator and/or Supervising Investigator end their role or involvement with the project** with sufficient time to allow an alternate PI/Supervising

Investigator to assume oversight responsibility. Projects must have an eligible PI to remain open.

- All **changes to key personnel, including changes to their institutional affiliation,** must receive prior approval. Approval of personnel applies only to their institutional affiliation indicated in the most recent approved application.

- **Promptly inform the IRB of any addition of or change in federal funding for this study.** Approval of the protocol referenced above applies only to funding sources that are specifically identified in the corresponding IRB application.

- A brief **status update is required every three years** to keep IRB oversight active. You will be notified as this date approaches.

- **Immediately inform the IRB of** (1) **all serious and/or unexpected adverse experiences** involving risks to subjects or others; and (2) **any other unanticipated problems involving risks** to subjects or others.

- **Approval from other entities may also be needed**. For example, access to data from private records (e.g., student, medical, or employment records, etc.) that are protected by FERPA, HIPAA or other confidentiality policies requires permission from the holders of those records. Similarly, for research conducted in institutions other than ISU (e.g., schools, other colleges or universities, medical facilities, companies, etc.), investigators must obtain permission from the institution(s) as required by their policies. **An IRB determination of exemption in no way implies or guarantees that permission from these other entities will be granted**.

- Your research study may be subject to **post-approval monitoring by Iowa State University's Office of Research Ethics**. It may also be subject to formal audit or inspection by federal agencies and study sponsors.

- Upon completion of the project, transfer of IRB oversight to another IRB, or departure of the PI and/or Supervising Investigator, please initiate a Project Closure in IRBManager to officially close the project. For information on instances when a study may be closed, please refer to the IRB Study Closure Policy.

- All research involving human participants must undergo IRB review. **Only the IRB or its designees may make the determination of exemption**, even if you conduct a study in the future that is exactly like this study.

If you have questions or concerns, please do not hesitate to contact us at 515-294-4566 or IRB@iastate.edu.