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Case Study
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# CLINICAL SUCCESS CASE SERIES -UPANAHA SWEDA AND ITS EFFECT ON APABAHUKA (FROZEN SHOULDER)

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#### **ABSTRACT**

Apabahuka is one among the vata vyadhi caused due to the vitiated vata and kapha dosha, which affects the routine activities of patients like combing, bathing, etc. commonly seen in the patients with sedentary work as well as heavy field workers. Symptoms of Apabahuka can be correlated with Adhesive capsulitis, also known as Frozen Shoulder. Incidence of this is seen in 3-5% of people in the general population and up to 20% in people with diabetes, peak incidence is more common in women between the age group of 40-60 years. Management of vata vyadhi includes snehana, swedana, and mrudu shodhana also holds good for Apabahuka based on vyadhi avastha. Contemporary management includes Non-steroidal anti-inflammatory drugs (NSAIDs)and corticosteroids etc aimed of relieving pain. Upanaha sweda being indicated in vata-kapha-associated conditions of vata vyadhi helped in the symptomatic management of the patients which acts as shoolahara, shothahara, stambhahara & also improves range of movements of particular joint affected. Hence the study conducted was a consecutive case series done on an In-Patient Department(IPD) basis for 7 days to study the pre-effect and post-effect of the treatment regimen in Apabahuka patients with Diabetes. The objective of the study is to review the effect of Upanaha Sweda on patients of Apabahuka with diabetes.

KEYWORDS: Apabahuka, Ayurveda, Case series, Diabetic Mellitus, Frozen Shoulder, Upanaha Sweda,

#### INTRODUCTION

Ayurveda is a holistic science. It emphasizes on maintenance and promotion of health as well as the curing of diseases. Locomotion is one of the important needs of living beings, impairment in the same will affect the quality of life.

Apabahuka<sup>[1]</sup> a variety of Vata Vyadhi the vitiated Vata and Kapha will affect the Amsa Sandhi (shoulder joint) thereby causing pain, stiffness, and restricted movements. In Madhava Nidana<sup>[2]</sup> we find two clinical presentations i.e Ama Sosha and Apabahuka where Amsa Sosha can be considered as a preliminary stage of the disease where the dryness of Sleshaka Kapha symptoms like shoola during movements are manifested. In contemporary science manifestation of Apabahuka can be correlated to Frozen Shoulder.<sup>[3]</sup> Where a significant impairment in range of movements in all directions occurs because of inflammation, scarring, thickening, and shrinkage of the capsule around the shoulder joint.

## MATERIALS AND METHODS METHODOLOGY INCLUSION CRITERIA

- (a) Patient presenting with symptoms of *Apabahuka* (Frozen shoulder) like shoulder pain, stiffness, and restricted movements of the shoulder joint.
- (b) Patients aged between 40-60 years.
- (c) Patients diagnosed with *Apabahuka* & fit for *swedana* procedure.
- (d) Patient diagnosed *Apabahuka* (Frozen shoulder) with Diabetic Mellitus chronicity of less than 15 years.

## **EXCLUSION CRITERIA**

- (a) Patient of shoulder dislocation and fracture.
- (b) Patient h/o Rheumatoid arthritis, Gouty arthritis, Septic arthritis, Inflammatory arthritis.
- (c) Traumatic injuries to the shoulder joint.
- (d) Patients with other endocrine disorders, cardiovascular diseases, malignancy, and infective conditions.

#### ASSESSMENT CRITERIA

The cardinal symptoms of *Apabahuka* scored according to the severity and are considered as the assessment criteria for the study. The range of movements was considered an objective parameter. The Range of Movement namely flexion, extension, abduction, adduction, internal rotation, and external rotations of goniometric readings. These objective parametric readings were recorded before and after treatment.

## VISUAL ANALOG SCALE<sup>[4]</sup>

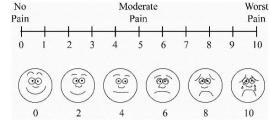


Fig. 1.

#### GONIOMETRIC MEASUREMENTS

 Table-1

 FLEXION

 A
 up to 180° - 0

 B
 up to 135° - 1

 C
 up to 90° - 2

C	up 10 90	- 2
D	up to 45 <sup>0</sup>	- 3
E	cannot flex	- 4

	EXTENSION
A	up to 60 <sup>0</sup> -0
В	up to 40 <sup>0</sup> -1
C	up to 20 <sup>0</sup> -2
D	cannot extend- 3
	Table-5

Table-3

	INTERNAL ROTATION
A	up to 90° - 0
В	up to 60 <sup>0</sup> -1
C	up to $30^{0} - 2$
D	cannot rotate- 3

	Table-4	
	EXTERN	AL
	ROTATIO	ΟN
A	up to 180°	- 0
В	up to 135 <sup>0</sup>	- 1
C	up to 90°	- 2
D	up to 45 <sup>0</sup>	- 3
E	cannot flex	- 4

ABDUCTION
A up to 180° - 0
B up to 135° - 1
C up to 90° - 2
D up to 45° - 3
E cannot abduct- 4

Table-2

Table-6

ADDUCTION
A up to 0° - 0
B up to 45° -1
C up to 90° - 2
D up to 135° - 3
E up to 180° -4

#### CASE REPORT

This case series included 7 patients who visited the OPD of *Panchakarma* at Shri Dharmasthala Manjunatheshwara Institute of *Ayurveda* and Hospital Bengaluru. Cases were initially evaluated for history physical examinations, and shoulder grade movements. Treatment was planned for 7 days on an IPD basis, preassessment (before treatment i.e 1st day before

commencement of treatment) and post-assessment (on last day i.e- 7<sup>th</sup> day after compliation of treatment) was done based on the symptomology of the patient.

All the demographic data including age, gender, occupation, affected shoulder joint, and duration of all patients is described in Table 1.

TABLE 7.

Demographic data	P-1	P-2	P-3	P-4	P-5	P-6	P-7
Age	52 years	56 years	48 years	50 years	58years	49years	52 years
Gender	Female	Female	Female	Female	Male	Male	Male
Occupation	Homemaker	Home maker	Home maker	Home Maker	Agriculture	Agriculture	Agriculture
Affected site	Right Shoulder	Right Shoulder	Right Shoulder	Right Shoulder	Left shoulder	Left Shoulder	Left Shoulder
Duration of symptoms	4 years	6 years	10 years	8 years	3 years	6 years	8 years
History of diabetes	1 years	4 years	6 years	2 years	1 years	3 years	2 years
History Of Diabetes HbA1C	10.2%	13.4%	12.5%	12.9%	10.1%	13%	10.5%

#### TREATMENT

After observing signs & symptoms, co-morbidities of patients. *Upanaha sweda*<sup>[5]</sup> was planned for patients for 7 days using *Upanaha Dravya*. <sup>[6]</sup>

UPANAHA SWEDA VIDHI<sup>[7,8]</sup>

## Poorvakarma

- -Required medicinal herbs- *Nirgundi, Eranda, Arka, Agnimantha, Shigru patras*, (each 100gms) *Rasna Choorna* (100gms), are chopped & taken in a vessel along with sufficient quantity of *Saindhava lavana* (20 gms) *Dhanyamla* (100ml)
- -This mixture is stirred continuously till it becomes a thick paste.

#### Pradhanakarma

-The patient is advised to lie comfortably either lying down or sitting upright position. -Warm oil should be applied over effected area where *upanaha* is to be done. -Prepared paste taken & made lukewarm by heating &

-Prepared paste taken & made lukewarm by heating & then applied over affected shoulder joint with bearable heat for about 3-5mm thickness, followed by *lepa* & *Bandana* with crepe bandage is done & advised to retain the *lepa* for 8-12 hours. <sup>[9]</sup>

## Paschatkarma

- After the stipulated time lepa is removed by washing with warm water.

-Advised do's & don'ts of Swedana Karma.

During course of treatment patient was advised to continue medications for Diabetic Mellitus.

#### **ASSESSMENTS**

- -VAS Scale.
- Goniometric Measurements.

#### MASTERCHART: Table-8.

ES- Educational Status MS- Marital Status SES-Socio-Economic Status

PR-Primary M-Married VP-Very poor

GR-Graduate P-Poor

ILL-Illiterate LM-Lower Middle Class

M-Middle Class

**UM-Upper Middle Class** 

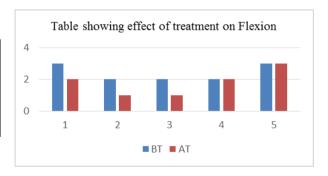
Sl.no	Case No	OPD NO	Age	Gender	ES	MS	SES	Occupation	Desha	Affected Shoulder joint	Chronicity of symptoms
1	P 1	315518	52yrs	Female	PR	M	M	Homemaker	Jangala	Right	4 Years
2	P <sub>2</sub>	311468	56yrs	Female	PR	M	M	Homemaker	Jangala	Right	6 Years
3	$P_3$	291336	48yrs	Female	PR	M	LM	Homemaker	Sadharana	Right	10 Years
4	$P_4$	306430	50yrs	Female	ILL	M	M	Homemaker	Jangala	Right	8 Years
5	$P_5$	305553	58yrs	Male	ILL	M	LM	Agriculture	Sadharana	Left	3 Years
6	P <sub>6</sub>	322366	49yrs	Male	PR	M	M	Agriculture	Jangala	Left	6 Years
7	P <sub>7</sub>	322372	52yrs	Male	IIL	M	M	Agriculture	Sadharana	Left	8 Years

## **OBSERVATIONS AND RESULTS: (Graph-1-7))**

The following observations were made before & after the treatment. In the present study, 7 patients fulfilling the inclusion criteria of *Apabahuka* were taken.

Total number of patients - 07 Number of Female patients -04 Number of Male patients -03 Number of dropout patients - 02.

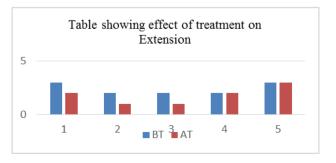
SL.NO	BT	AT
1	2	2
2	1	1
3	2	1
4	2	1
5	2	1



In this study, the BT patient was able to flex only up to 45° (G-3), and AT the patient was able to flex up to 90°

(G-2). 3 patients had improvements of about 45°. 1 patient observed no improvement in BT & AT.

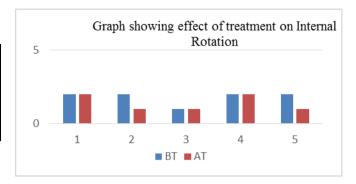
SL.NO	BT	AT
1	3	2
2	2	1
3	2	1
4	2	2
5	3	3



In this study, BT patients were not able to Extend (G-3), AT the 1 patient was able to Extend up to  $20^0$  (G-2), 2

patients had improvements about 40<sup>0</sup> (G-1). 2 patients observed no improvement BT & AT.

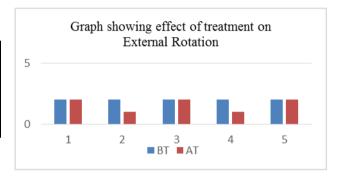
SL.NO	BT	AT
1	2	2
2	2	1
3	1	1
4	2	2
5	2	1



In this study, BT 2 patients were able to do Internal Rotation only up to  $45^0$  (G-3), AT the patient was able to Internal Rotation up to  $90^0$  (G-2). 2 patients had

improvements of about 45°. 3 patients observed no improvement in BT & A.

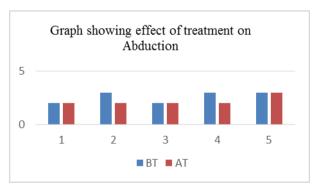
SL.NO	BT	AT
1	2	2
2	2	1
3	2	2
4	2	1
5	2	2



In this study, BT 2 patients were able to do External Rotation only up to  $30^{0}$  (G-2), and AT patients were able to do up to  $60^{0}$  (G-1). 2 patients had improvements of

about  $30^{\circ}$ . 3 patients observed no improvement in BT & AT.

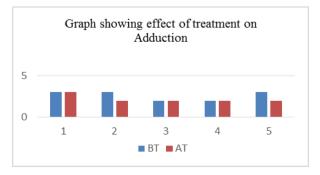
SL.NO	BT	AT
1	2	2
2	3	2
3	2	2
4	3	2
5	3	3



In this study, BT 2 patients were able to do abduction only up to  $45^0$  (G-3), and AT patients were able to do up

to 90° (G-2). 2 patients had improvements about 45°. 3 patients observed no improvement in BT & AT.

SL.NO	BT	AT
1	3	3
2	3	2
3	2	2
4	2	2
5	3	2

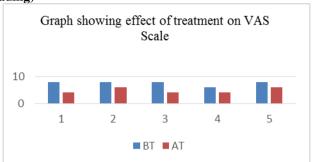


In this study, BT 2 patients were able to do adduction only up to 135<sup>0</sup> (G-3), and AT patients were able to do up

to 90° (G-2). 2 patients had improvements of about 45°. 3 patients observed no improvement in BT & AT.

Pain Assessment: (Visual Analog scale grading)

SL.NO	BT	AT
1	8	4
2	8	6
3	8	4
4	6	4
5	8	6



In this study, BT 4 patients had pain severity (G-8), and AT patients had significant reduction in pain (G-4) (G-6). 1 patient had improvement from (G-6) to (G-4). All 5 patients had significant reduction in intensity of pain AT.

#### DISCUSSION

In this case series total 7 patients were taken for the study. In which 4 female patients & 3 male patients were taken. 2 patients dropped out of the study due to rashes after *lepa*, systemic illness. Study showed incidence of *Apabahuka* (Frozen Shoulder) common in females, particularly home makers, due to repeated household activities & commonly associated with Diabetic mellitus. Based on chronicity of Diabetic mellitus severity of the symptoms also seen. Patient with chronic Diabetic mellitus had more severity of symptoms of *Apabahuka*. Patients with fairly controlled Diabetic mellitus had significant improvements in pain & range of motion (ROM), with poorly controlled Diabetic mellitus had no much significant improvements in pain & range of motion (ROM) after the treatment.

Upanaha Sweda explained as the line of treatment, is effective in reducing pain and improving flexibility of the affected shoulder joint in Apabahuka (frozen shoulder). Upanaha Sweda with mainly Vatahara Dravyas or Kapha-Vatahara Dravyas with Laghu, Ushna, Snigdha, Madhura, Katu, Lavana Rasa etc. properties help in reducing symptoms of Avabahuka. Also, Upanaha Sweda improves Rasa-Rakta Vikshepan in the affected region that provides better nourishment to the joint maintaining the Shleshak Kapha and balancing the Vata Dosha.

Apabahuka characterised by Bahupraspanditahara where restricted range of motion (ROM) of effected shoulder joint, due to swedana karma significant improvements of range of motion (ROM)— Flexion, Extension, Internal rotation, Adduction observed. No much improvements in movements -Extension, External Rotation observed after treatment.

#### PROBABLE MODE OF ACTION

Upanaha sweda is a mode of swedana which has a great effect on pain & inflammatory conditions. Upanaha

dravyas -vatahar, kaphahara mainly acts on twak when applied as lepa. Bhrajaka pitta present in twak helps in absorption through roma koopa where the virya of lepa enters into affected part helps in systemic absorption of drugs & hence the effect is observed. Where drug is mainly absorbed through transdermal route to the affected site. Transdermal delivery of drugs occurs through skin for systemic effect, mainly through passive diffusion through water & lipid soluble drugs. Penetration of drugs occurs through viable epidermis further uptake of drugs by capillary network present in the dermal papillary layer. Due to the heat vasodilation occurs in the superficial tissues. Stimulation of superficial nerve endings causes a reflex dilation of arterioles. By this process necessary oxygen & nutritive materials are get absorbed at the site.

Heat is also having indirect effect on muscle tissues, where increase in temperature causes muscle relaxation & improves muscle action. Heat also increases the activity of sweat -glands, reflex stimulation of sweat glands also stimulates the sensory nerve endings.

## CONCLUSION

Swedana is prime modality of treatment explained for vatavyadh. upanaha sweda, a variety of swedasaagni/Niragni is found beneficial in vata vyadhi in which kaphanubandhita is observed & manifested in the form of Apabahuka etc. Upanaha sweda with vatahara & kaphahara dravyas found to be more effective in reducing the symptoms of Apabahuka like shoola, sthabdhata, & also it showed significant improvements in range of movements & further helps in improving the quality of life of patients.

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## CONFLICTS OF INTEREST

There are no conflicts of interest.

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