Form AB-200

Alcohol Beverage License Application

| For Municipal Use Only | | | | | | | |
|------------------------|--|--|--|--|--|--|--|
| Municipality | | | | | | | |
| | | | | | | | |
| icense Period | | | | | | | |
| licerise Period | | | | | | | |
| | | | | | | | |

| License(s) Requested: (up to two boxes may be checked) | | | | Fees | | | | | |
|---|--|-----------------|--------------|------------------------|------------------------------------|--------------|------|--|--|
| Class "A" Beer \$ | Class "B" Beer \$ | | | License F | ees | \$ | | | |
| Class A" Liquor \$ | ☐ "Class B" Liquor . | Backgrou | nd Check Fee | \$ | | | | | |
| "Class A" Liquor (cider only) \$ | Reserve "Class B" Liquor \$ | | | Publicatio | n Fee | \$ | | | |
| "Class C" Liquor (wine only) \$ | | | • | Total Fee | s | \$ | | | |
| | | | | | | ' | | | |
| Part A: Premises/Business Information 1. Legal Business Name (individual name if sole prop | | | | | | | | | |
| 1. Legal Business Warne (individual name il sole pro- | onetora inp) | | | | | | | | |
| 2. Business Trade Name or DBA | | | | | | | | | |
| 3. FEIN 4. Wisconsin Selle | | | | eller's Permit Number | | | | | |
| 5. Entity Type (check one) | | | | | | | ı. | | |
| Sole Proprietor Partnership 6. State of Organization | Limited Liability 7. Date of Organization | | | rporation 8 Wiscons | in DFI Registration | ofit Organiz | | | |
| C. Clate of Organization | 7. Bato of Organization | 511 | | 0. 11.000110 | Di i rogionan | on rambor | | | |
| 9. Premises Address | | | | | | | | | |
| 10. City | | | | 11. State 12. Zip Code | | | | | |
| 13. County | 14. Governing Municipality: City Tow of: | | | | wn Village 15. Aldermanic District | | | | |
| 16. Premises Phone | 17. Premises Email | | | 18. We | bsite | | | | |
| Premises Description - Describe the building or bare kept. Describe all rooms within the building, i only on the premises described in this application. | including living quarters | s. Authorized a | lcohol beve | erage activiti | es and storage o | | | | |
| 20. Mailing Address (if different from premises address | ss) | | | | | | | | |
| 21. City | | | | 22. State | 23. Zip Code | | | | |
| Part B: Questions | | | | | | | | | |
| Has the business (sole proprietorship, partner violating federal or state laws or local ordinal control | | | | | | Yes | ☐ No | | |
| If yes, list the details of violation below. Attac | ch additional sheets | if necessary. | | | | | | | |
| Law/Ordinance Violated | Location | | | ר | rial Date | | | | |
| Penalty Imposed | | | Was sen | tence com | oleted? | Yes | ☐ No | | |
| Law/Ordinance Violated | Location | | | 7 | rial Date | | | | |
| Penalty Imposed Was se | | | | | sentence completed? Yes No | | | | |
| | | | | | | | | | |

| 2. | Are charges for any offenses per beverages. | charges for any offenses pending against the business? Exclude traffic offenses unless related to alcohol Yes No erages. | | | | | | | |
|--|---|--|--|--|--|--|--|---|--|
| | If yes, describe the nature and s | tatus of pending cl | narges ı | using the space b | oelow. Attach | additional sheets | as needed. | | |
| 3. | Is the applicant business or any individuals or entities a restricte If yes, provide the name of the r | d investor with an | y intere | st in an alcohol b | everage pro | ducer or distribut | | Yes No | |
| 1 | Is the applicant business owned | by another busine | ss ontit | v2 | | | | Yes □ No | |
| ٦. | If yes, provide the name(s) and F | | | | | | | 163 110 | |
| 4a. Name of Business Entity | | | 4b. Busines | 4b. Business Entity FEIN | | | | | |
| | Have the partners, agent, or sole this license period? Submit proof | f of completion | | | | | · · · · · · · · · · · · · · · · · · · | Yes No | |
| | Is the applicant business indebte | • | , | • | • | • | | Yes No | |
| | Does the applicant business owe | | al prope | erty taxes, asses | sments, or o | ther fees? | · · · · · · · · | Yes No | |
| | art C: Individual Information | | | | | | | | |
| Qι | It the name, title, and phone number to sestion 4: sole proprietor, all officers, of sanagers, and agent of a limited liability | directors, and agent | of a corp | oration or nonprofit | t organization, | applicant business of a pa | or businesses or the state of t | listed in Part B, all members, | |
| | slude Form AB-100 for each person lis | | tions and | d LLCs must appoir | 1 | including Form AB- | | | |
| La | st Name | First Name | | | Title | | Phone | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Pa | art D: Attestation | | | | | | - | | |
| Or | ne of the following must sign and | attest to this appli | cation: | | | | | | |
| | • sole proprietor • one (| general partner of | a partne | ership • on | e corporate | officer • one | e member of | an LLC | |
| I a rig ac to rev un | EAD CAREFULLY BEFORE SIGNING macting solely on behalf of the application and responsibilities conferred by cording to the law, including but not any portion of a licensed premises divocation of this license. I understand derstand that I may be prosecuted for provides materially false information. | icant business and r the license(s), if gra limited to, purchasin uring inspection will that any license iss r submitting false sta | not on be inted, will g alcoho be deen ued con atements | chalf of any other in Il not be assigned to bl beverages from so ned a refusal to alloutrary to Wis. Stat. Is and affidavits in co | ndividual or er to another ind state authoriz ow inspection. Chapter 125 s onnection with | ntity seeking the lice ividual or entity. I a ed wholesalers. I ui Such refusal is a n shall be void under n this application, ar | ense. Further, l gree to operat nderstand that nisdemeanor a penalty of sta nd that any per | I agree that the e this business lack of access and grounds for te law. I further | |
| La | st Name | | | First Name | | | | M.I. | |
| Tit | e | | Email | | | | Phone | | |
| Signature | | | | | Date | | | | |
| P | art E: For Clerk Use Only | | | | | | | | |
| Date Application Was Filed With Clerk License Number | | | | Date Li | cense Granted | Date License Issued | | | |
| Sig | gnature of Clerk/Deputy Clerk | <u> </u> | | | | Date Provisional | License Issued | l (if applicable) | |

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Form AB-200 Instructions

Alcohol Beverage License Application

Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

Specific Instructions

License Period:

Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by
the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of
issuance.

License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, <u>Information for Wisconsin Alcohol Beverage and Tobacco Retailers</u>, and <u>Fact Sheet 3101</u>, <u>Licenses for Retail Sale of Alcohol Beverages</u>.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see Seller's Permit Common Questions.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the
 entity when it is registered with DFI. It can be located using the Department of Financial Institution's
 Corporate Records Search.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

Part B: Questions

 Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier.
 The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
 - · The applicant is renewing a license, or
 - Within the past two years:
 - a. The applicant held a manager's or operator's (bartender) license.
 - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

Note: To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

Example: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

Part D: Attestation

• Read the attestation carefully, then sign and date.

Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

Completion and Submission of AB-200

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AB-200, include:
 - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individiuals listed in part C
 - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
 - License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

Note: See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

All other information and documents required by your municipality

NOTE: You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

Open Records

This application is an open record under Wisconsin law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

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Website: DOR Alcohol Beverage (wi.gov)
Write: DORAlcohol@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas