



PLEASE SEND COMPLETED FORM TO:

By Email: smallco@smallco.com.au

By Fax: (02) 8256 1010

By Post: GPO Box 4564, Sydney NSW 2001

Further Information:

T: (02) 8256 1000

E: smallco@smallco.com.au

SMALLCO BROADCAP FUND (ASC0003AU)

Additional Application Form

Section 1: Investor Details

Investor Name:

Investor Number:

NOTE: investment instructions received before 4.00pm Sydney time will be processed on the same business day.

Section 2: Target Market

To assist Smallco in meeting its regulatory obligations, please complete this section.

2.1 Have you received personal financial advice in relation to the Fund?

Yes, proceed to section 3

No, continue to section 2.2

2.2 Please read the brief Target Market Description below.

Investment in the Fund is subject to investment risk, which may include possible delays in repayment and loss of income and principle invested. The Fund is not suitable for investors that seek capital preservation, or income distribution. The Fund is likely to be appropriate for an investor:

- intended for use as a satellite/minor allocation
- using the product as a growth component within a diversified portfolio
- with a minimum investment timeframe of at least 5 years
- who has at least a high risk return profile
- who only need access to capital within one week (or more) of requesting a withdrawal in normal market conditions

For full details of the Target Market Determination (TMD), please visit www.smallco.com.au

Are your investment objectives in line with those of the Fund?

Yes, proceed to section 3

No. Unfortunately, we are unable to accept the application.

Section 3: Investment Details

Investment amount (minimum \$5,000 for additional investments)

\$

Payment method, either:

(Tick to indicate how your application monies will be transferred)

BPAY:

Biller Code: 215418

Reference number: For investor specific reference number contact us on (02) 8256 1000 or smallco@smallco.com.au

Electronic Funds Transfer:

Financial Institution: ANZ

BSB: 012 006

Account number: 836 230 762

Account name: Smallco Broadcap Fund

Reference: <Investor Number>

Cheque: Payable to <Smallco Broadcap Fund>
*Please post the cheque and this application form to:
GPO Box 4564, Sydney NSW 2001*

Section 4: Authorisation

I/we can confirm that I/we have read and understood the latest Product Disclosure Statement and TMD for the Smallco Broadcap Fund and re-confirm in full all prior declarations and statements provided in connection with my/our prior investments in the Smallco Broadcap Fund.

Please ensure that this form is signed according to the authority assigned to the account.

Signature

Signature

Print Name

Print Name

Title (circle) Individual / Sole Director/
Director/ Trustee

Title (circle) Individual / Sole Director/
Director/ Trustee

Date

Date