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THE HERWARTH LUTE MANUSCRIPTS AT THE BAVARIAN STATE LIBRARY, MUNICH: A BIBLIOGRAPHICAL STUDY WITH EMPHASIS ON THE WORKS OF MARCO DALL'AQUILA AND MELCHIOR NEWSIDLER. (VOLUMES I AND II)

New York University

Ph.D. 1984

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THE HERWARTH LUTE MANUSCRIPTS AT THE BAVARIAN STATE LIBRARY, MUNICH

A Bibliographical Study with Emphasis on the Works of Marco dall'Aquila and Melchior Newsidler

by

Arthur J. Ness

A Dissertation in the Department of Music
submitted to the faculty of the
Graduate School of Arts and Science
in partial fulfillment of the requirements for the degree of
Doctor of Philosophy

at

New York University

February, 1984

Approved by

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Abstract

The Herwarth Lute Manuscripts at the
Bavarian State Library, Munich:
A Bibliographical Study
with emphasis on the works of
Marco dall'Aquila and Melchior Newsidler

The present study details a small portion of the 16th-century lute repertory contained in a series of bibliographically perplexing tablatures in the Bavarian State Library (Mus mss 266, 267, 1511d, 1511c, 1627, 2986, 2987) and one related by scribe and concordances, Paris, Bibliothèque national (Ms Res 429). They contain nearly 400 pieces copied by some 26 different scribes, and are unique remnants from the 16th century, a miscellany of sheet music for lute of diverse provenance in both time and place.

Some of the Munich manuscripts passed through the library of the Augsburg patrician Hans Heinrich Herwarth (1520-1583). Earlier chapters are devoted to their history from the time of their arrival in the ducal library in 1586 through their first being bound and catalogued in the 19th century. Since they are fully understood only within the context of other music from Herwarth's library, the contents of his extraordinary music collection are discussed in some detail, followed by what is known of the life and activities of their first owner, a man of substantial wealth and influence.

A determination of the place and importance of the manuscripts is revealed upon a close bibliographical examination of hand-writings (using seriation), watermarks, staffliners, contents and musical styles, all of which help pinpoint the origins of the

various fascicles.

A few sections of this repertory are selected for detailed coverage. These include the extended fascicle devoted to works by Marco dall'Aquila (ca. 1480-after 1537), who was active at Venice during a crucial point in the history of the lute and its music, when a new style of polyphonic play was developing. The dissertation discusses these changes in lute technique, relates them to Marco's output, and includes a complete edition of Marco's extant work, since Mus ms 266 contains unica of all his extant compositions, save for a handful published in 1536. Also transcribed are works by a lutenist known only as "Bernardo N.," whose works appear alongside those of Marco.

Other sections include fascicles containing autographs of the important Augsburg master Melchior Newsidler (1541-after 1591), and here-to-fore unrecognized sources for music by Albert de Rippe (ca. 1500-1551).

A thematic index and list of concordances for all of the pieces in the Munich and the Paris manuscripts are included in a second volume.

Arthur J. Ness February 1984

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Introduction

From the Middle Ages through the late 18th century, the lute and its kindred instruments held a central position in the domestic and professional music-making of European cultures, enjoying the ubiquity of today's parlor spinet and concert grand. Yet musicological study of the repertory for these historically important and often artistically significant instruments has lagged far behind that of parallel musics.

The nature of many surviving sources of lute music accounts in part for this neglect. Some 305 prints (excluding later editions) and 570 manuscripts contain a manageable corpus of about 50,000 works (including concordant pieces), but tablature notation makes the sources readily available only to specialists. As a result the discipline still lacks the thorough bibliographical control over the original sources that should precede an evaluation of the music itself.

Only a few studies of portions of the repertory have appeared: indeed, aside from Howard Mayer Brown's exemplary Printed music before 1600: A bibliography (1968) [herafter BrownI], attempts at even a census of the repertory, ca. 1480-1799, have for the most part been disappointing. Wolfgang Boetticher's long awaited Handschriftlich überlieferte Lauten- und Gitarrentabulaturen (1978), and Ernst Pohlmann's labor of love, Laute, Theorbe, Chitarrone (4th ed., 1975), have suggested something of the total breadth of the repertory, but frequently offer little solid information. This is perhaps understandable, since many lute books,

especially those in manuscript, are often vague in detailing specific contents. Common-place books of professional and amateur lutenists may be filled with pieces that their owners entered casually over a lifetime, often providing neither title nor composer. Until the entire repertory is inventoried, problems of attribution even for signed pieces will continue to plague us.

The present study is offered as a detailed study of a small portion of the 16th-century lute repertory, that contained in a series of bibliographically perplexing manuscript tablatures in the Bayerische Staatsbibliothek, Munich. Some of these manuscripts, many of which are interrelated, appear to have passed through the library of the Augsburg patrician Hans Heinrich Herwarth (b. Augsburg, 1520, d. there, 1583) before being acquired by the Bavarian ducal library in 1588. The problems inherent to the manuscripts encompass their desultory content, and recent misunderstandings about their origin and original state.

When the music section of the Bayerische Staatsbibliothek was reorganized in the mid-19th century, Julius Joseph Maier, music librarian at that time, surveyed the manuscripts in preparation for his published catalogue: he numbered each item in pencil, but sometimes failed to realize that a piece might be continued from several folios earlier, or even later, and often numbered a single piece as two or more separate items. For example, the first-half of Mus Ms 1511c contains, according to Maier's pencilled numbers, ten settings of "Aspice Domine," originally titled "Aspice A," " Aspice B," etc. But when the settings (by Jachet da Mantua) are examined in detail, it is evident that the

designations, "A," "B", etc., simply show the sequence of sections of two versions, one a simple intabulation, the other an embellished one, "more finelier handeled," as Le Roy's English translator would say.

The manuscripts contain nearly 480 pieces copied by some 26 different scribes, and (rather than representing a single tradition of "Augsburger Lautenkunst") are unique remnants from the 16th century, a miscellany of sheet music for lute of diverse provenance in both time and place. As now bound, the volumes juxtapose pieces by Italian lutenists of the early 16th century (such as the Venetian Joan Ambrosio Dalza and the Paduan lutenists Antonio Rotta and Giovanni Pacolini) with German mid-century works. Many of the pieces are intabulations of Italian, German and French vocal part-music, usually entered without composer attribution, but including works by Senfl, Hofhaimer, Josquin and Marco Cara, following on the heels of others by de Rore, Lasso and Sandrin.

The undue neglect of these manscripts has been caused primarily by their confusing state, for they contain much of interest, including unique works by the very influential Venetian master Marco dall'Aquila, autographs by Melchior Newsidler of Augsburg, and sketches that show the growth of parody ricercars and intabulations.

Only two of these manuscripts have served as the basis for detailed musicological investigation, although parts of a few

others have occasionally been consulted. Kurt Dorfmüller, former head of the Musikabteilung of the Staatsbibliothek, has shown that Mus Ms 1512, the subject of his doctoral dissertation, was probably compiled by a lutenist active at the Munich court in the 1530s and 40s, and bears no relationship to other lute manuscripts that may stem from the Herwarth library. Dr. Dorfmüller did, however, survey the Herwarth manuscripts briefly and urged that they be given further, thorough examination.

The only manuscript from the acquisition to have received major attention—and exorbitant attention at that—is Mus Ms

1511a, a very carefully copied source containing music of the blind lutenist Giacomo Gorzanis (ca. 1526-1576/79) and dedicated to Herwarth's cousin Ulrich. The manuscript contains a cycle of 24 passamezzo—saltarello pairs that, alternately using the antico and moderno patterns, ascend through all of the "major" and "minor" keys, causing it to be sensationally dubbed a 16th—century "well tempered lute book." It is the subject of articles by 4 Hermann Haibig in 1934. Georg Reichert in 1962. Paolo

1

[[]For complete details of materials cited in the footnotes, please see the Bibliography.] An expanded and revised version of the 1952 dissertation submitted to the University of Munich has appeared as Dorfmüller, <u>Studien zur Lautenmusik</u>. Hans Bischoff edited and published a selction of pieces from Mus Ms 1512 as <u>Lieder und Tänze</u>.

² Dorfmüller, pp. 42-3.

Halbig, "Eine handschriftlich Lauten-Tabulatur," 102-117, including a thematic index.

⁴ Reichert, "Giacomo Gorzanis' 'Intabolatura'."

Possiedi in 1967, and Bruno Tonazzi in 1973, and dissertations
7
8
by A. Zecca-Laterza in 1963 and El-Mallah 1973. Its contents
9
have appeared in complete editions edited by Tonazzi in 1976 and
10
El-Mallah in 1979.

Otherwise the ex-Herwarth lute manuscripts have received but fleeting notice. Prior to the publication of Boetticher's inventory in RISM, and his pioneering 1943 dissertation, Maier's short description provided minimal information about the manuscripts.

The list of call-numbers of lute manuscripts in Boetticher's article for Die Musik in Geschichte und Gegenwart (here-after 11 MGG) is drawn from the dissertation, apparently without change.

Understandably, Professor Boetticher was unable to examine thoroughly the many manuscripts cited in his dissertation, but

⁵ Possiedi, "Il liuto ben temprato."

⁶ Tonazi, "Il Cinquecentista Giacomo Gorzanis."

Zecca-Laterza, "Giacomo Gorzanis."

El-Mallah, <u>Ein Tanzzyklus</u>.

Tonazzi, ed., <u>Giacomo Gorzanis</u>: <u>Libro de intabulatura</u> (1567), with a bio-bibliographical introduction.

¹⁰ El-Mallah, ed., <u>Die Pass'mezzi und Saltarelli</u>.

¹¹ Article "Laute," MGG, VIII (1960), 352-82.

his list did serve to propagate their existence. Unfortunately, his incomplete and at times vague descriptions may have mislead some. For example, the date "[15]68" which appears on only one folio of Mus. Ms. 266 led Boetticher to label the entire manuscript, "drittes und letztes Viertel d. 16. Jhdts.," although portions of that volume may have been copied as early as 1536. Similar misinformation finds its way into the RISM inventory.

In his Harvard dissertation, Lawrence Moe drew upon Mus.

Mss. 1511b, 1511d, 1512, 272 and 266 for concordances with dance

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music in printed Italian lute tabalatures, and thus served to
point out the early date and the value of the repertory contained
in some of the Munich manuscripts, particularly for two later

Harvard doctoral students.

One, H. Colin Slim, examined the ex-Herwarth manuscripts first hand, perceived and commented extensively on some of their

Boetticher, <u>Handschriftlich</u>. The accompanying index volumes, which will provide information about titles of pieces, composers, etc., has not yet appeared, making the present volume of limited usefulness. Boetticher's dissertation, "Studien zur solistischen Lautenpraxis," is useful mainly for the list of lute tablatures, which was published separately after the war, without change, as <u>Bibliographie</u> <u>des sources</u> <u>de la musique pour luth</u> (Paris, 1956).

Moe, "Dance Music."

Moe, pp. 219-346, passim.

inherent problems. He also indexed the ricercars and other

16
abstract pieces in the earliest fascicles, transcribed a few of
17
them, and attempted to separate the many copyists' hands.

Unfortunately the manuscripts and the bulk of the music that they contain were peripheral to the subject of Slim's dissertation, and he has not followed the interesting lines of inquiry gestant in his observations.

The other, Daniel Heartz, whose Harvard dissertation comple19
ments the subject matter of Moe's, published three pieces from
Mus. Ms. 1627 to illustrate his discussion of the French dance
20
music in Attaingnant's lute books of the 1520s.

In my edition of the works of Francesco da Milano, I drew

¹⁵ Slim, "The Keyboard Ricercar," pp. 219-22.

Slim, pp. 573-7.

¹⁷ Slim, pp. 606-7, 620.

¹⁸ Slim, p. 575.

¹⁹Heartz, "The Sources and Forms of the French Instrumental Dance."

²⁸Heartz, ed., <u>Preludes, Chansons and Dances</u>, pp. 124, 128.

²¹Ness, <u>The Lute Music of Francesco Canova da Milano</u>.

upon Mus. Mss. 266 and 1511d for several pieces, and seem to have been the first to point out that a manuscript in the Paris Bibliothèque nationale (Rés. 429) belongs also to the Herwarth circle, having been copied by a scribe encountered in the Munich 23 manuscripts.

A study that set forth in detail, for the first time, some of the many bibliographical problems inherent in the ex-Herwarth lute 24 manuscripts is Dr. Marie Louise Martinez-Göllner's 1969 article. She discusses the original catalogues of the Herwarth acquisition and Maier's efforts to re-catalogue the collection in the mid-19th century, lists the contents of a few of the lute manuscripts (Mus. Mss. 268, 269 and 271), points out the various hands encountered through several of the volumes, and publishes Cod. bav. cat. 271 (a helpful list of prints of instrumental music, mainly lute tablatures, prepared around 1586-88). It is thought to have been made upon receipt of the Herwarth library.

Dr. Göllner's article was prepared while my own work was in progress, and we sometimes met one another while in pursuit of the same archival document. Our researches were, for the most part, carried on individually, and although we may quote the same document, I have always cited her article for materials and infor-

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Ness, Nos. 85 and 86, with concordances for other works listed on pp. 17-29, and a facsimile of Mus. Ms. 266, folio 48, on page xxx.

Ness, p. 16, note 35.

²⁴ Martinez-Göllner, "Die Augsburger Bibliothek."

mation that I did not gather at the time and examine first hand.

In any event, the present study should, it is hoped, complement
her work by questioning a few of her conclusions and amplifying a
few of her suggestions.

When I first undertook the present project, I felt that the corpus would serve as the basis for a stylistic study of 16th—century lute music. The pieces—as numerous as they are—do not, however, form a continuum that can provide a proper foundation for such an essay. Many of the pieces are disjunct in time and place, and lacunae would have to be filled with other materials—that is, after the Munich tablatures had been shuffled and ordered, and their individual provenances established. This latter, much needed task, I trust, will be met in the present study. The lute manuscripts in Munich are best seen as part of wider vistas, and it is my hope that the present dissertation will serve as a spring-board for future scholars.

It is best, perhaps, to start broadly on several levels, and then work towards details. Earlier chapters are, therefore, devoted to the history of the lute manuscripts from the time of their arrival in the ducal library in 1588 through their first being bound and catalogued in the 19th century. Since they are best understood within the full context of Herwarth's other music (much of which was bound vocal music), I wish to discuss the contents of his extraordinary music collection in some detail, followed by what is known of the life and activities of a man of substantial wealth and influence. While perhaps playing a lesser

role in his life than they did in the lives of his relatives and fellow Augsburg patricians, Herwarth's mercantile and financial dealings are important in understanding how his collection, including the lute manuscripts, may have accumulated.

The place and importance of the manuscripts is best undertaken within considerations that may be revealed from a close bibliographical examination of handwritings, watermarks, staffliners, contents and musical styles, all of which help pinpoint the origins of the various fascicles.

Finally, I would like to discuss the importance of a few selected portions of this repertory, particularly the rather extended fascicle devoted to works by the early Italian lute master Marco dall'Aquila, a figure deserving an important niche in the history of lute music, comparable to that of his later contemporary Francesco da Milano. The dissertation therefore includes a complete edition of Marco's work, appropriate to our purposes since Mus. ms. 266 contains unica of all his extant compositions, save for a handful published in Venice in 1536.

Other sections require less extensive coverage, but do include fascicles containing autographs of the important German master Melchior Newsidler, and heretofore unrecognized Italian sources for music by Albert de Rippe, the phenomenal Mantuan lutenist at the courts of Francis I and Henri II, whose music appeared for the most part only in posthumous editions of (as we shall see) questionable accuracy.

During the years that the present dissertation has been

underway, I have benefited from the counsel of many individuals. The topic was first suggested by John M. Ward and basic research undertaken in Munich during a two-year Fulbright Fellowship. Dr. Kurt Dorfmüller, head of the Music Section at the Bavarian State Library, Dr. Marie Louise Martinez-Gollner and their colleagues were ever helpful in placing materials at my disposal; I will always remember the touching "welcome home" given me by the staff when I later visited the library. The late Gustave Reese, my first dissertation advisor, always provided gentle urging, even at moments when I felt the topic too desultory for proper completion. Above all. I owe special gratitude to Stanley Boorman, the advisor who saw my work through completion. He and other members of my committee, who read all or parts of the dissertation, Elaine Brody, David Burrows, Martin Chusid, Jan LaRue and Edward Roesner, provided many helpful suggestions. Professor Boorman's expertise and eagle eye was an especially welcome foil for what might otherwise have been my embarrassing foibles. Of course, my wife Charlotte assisted in countless ways, as novice word-processor and (more importantly) by providing moral sustenance and firm encouragement: it is only appropriate that I dedicate these volumes to her in memory of Rosetta Dorsey Ness (1986-1983).

Amherst, New York October 1983

Chapter I

Acquisition of the Herwarth Library

In 1586 when Wilhelm II, Duke of Bavaria (r. 1579-1597), purchased one of the great renaissance libraries from the heirs of the Augsburg patrician, scholar, financier and bibliophile Hans he followed the tradition of his Heinrich Herwarth (1520-1583), predecessor, Albrecht V (r. 1550-1579), whose elegant living and artistic and scholarly pursuits established musicians such as Orlando di Lasso at the brilliant Munich court, and led to the founding of what is today the Bayerische Staatsbibliothek. With the advice and cooperation of another Augsburg patrician, Johann Jacob Fugger (1516-1571) - the ducal library was formed in 1558, when Albrecht purchased the 2200-volume library of Johann Albrecht Widmannsterter and appointed the first librarian, Aegidius Certel of Nuremberg. (Oertel may have been a relative of the Fuggers' Antwerp agent.) After Fugger's death, his collection provided an additional 5000 titles, including the library of the Nuremberg scholar Hartmann Schedel (d. 1514). His library brought with it

The name is spelled variously, <u>Hoerwarth</u>, <u>Herwart</u>, <u>Herwarth</u>, <u>Hoerwarth</u>, <u>Hoerwarth</u>, <u>Hoerwort</u>, <u>Hoerbord</u>, <u>Herbordus</u>, etc. I am using the usual 16th-century spelling. The name may be traced backwards into the 12th century, and probably refers to one who waits upon a lord, ("Herr" + "Wart" [or "Wacht"]). The family's usual heraldic sign is, appropriately, an owl, and coats-of-arms for various Herwarths may be seen in the "Herwarth Geschlechte-Buch", initiated by Hans Heinrich's uncle, Georg II Herwarth (1498-1569), and now in the Augsburg Stadt-Archiv, [no sign.].

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the famous "Schledel'sches Liederbuch". Wilhelm's first library purchase in 1583 was a collection of Spanish books belonging to 3 Ritter Anselm Stockel. Later, to increase the usefulness of the ducal library by creating a union list of books and manuscripts in southern Germany, Wilhelm decreed (following a suggestion by Marcus Welser of Augsburg) that all Bavarian abbeys and cloisters submit inventories of their holdings; some survive in Cod. bav. cat. 1774-80.

The Herwarth purchase enriched the library with 2066 literary volumes, plus 440 sets of part books of vocal ensemble music and 50 prints of works for lute and other instruments. Included were 15th- and 16th-century editions and manuscripts of Cicero, Caesar, Livy, Quintilian, Aulus-Gellius, Pliny, Seneca, Gallus, Horace, Plutarch, Vergil, Curtius and others, and music from the presses of Attaingnant in Paris, Moderne in Lyon, Phalesc in Louvain, Susato in Antwerp, Rhaw in Wittenberg, Formschneider in Nuremberg, Oeglin in Augsburg, Buglhart in Ferrara, and the firms of Gardano, Antico and Scotto in Venice, among many others.

See Hartig, <u>Die Gründung der Münchner Hofbibliothek</u>, and Sensberg, <u>Die bayerische Bibliotheken</u>, pp. 6-25. For Fugger's activities and contributions, see Gebele, "Augsburg Bibliophilen," 25. Fugger paid about 500 fl. for the Schledel library (see Lehrmann, <u>Fuggerbibliothek</u>, I, 54-5.

See Selig, "A German Collection of Spanish Books," 51.

⁴ Sensberg, 65.

Early accounts of the Bavarian library single out the Her-5 warth music for special mention:

Bey der Howarthischen Bibliothek war auch eine so grosse Anzahl musikalischer Bücher, dergleichen man in andern Bibliotheken nicht leicht finden wird. Es ist bekannt, in welcher Schätzung Orlando Lasso und die Musik am baierischen Hofe damals waren.

Paul von Stetten, the younger, mentions the library again in 6
1788:

Unter die altern schönen Privatbibliotheken, gehoerte auch diejenige <u>Herwartische</u>, welche Herzog Wilhelm in Bayern gekauft hat, und die jetzt ebenfalls der Churfuerstlichen einverleibet ist. Der Besitzer war vermuethlich Hans Heinrich Herwart, ein Gelehrter, der im Jahr 1583. gestorben ist. Darinn sind viele schoene Werke aus dem XV. Jahrhundert, vorzueglich aber sehr viele musikalische, wie dann der Besitzer ein besonderer Freund der Tonkunst gewesen seyn muss.

The collection is listed and valued in an index prepared by 7 a librarian Friedrich Pommer around 1586. The music books were assessed at 110 florins, a rather substantial sum equal to nearly 8 a quarter of Lasso's salary for that year. Herwarth's was

⁵S. Bianconi, <u>Lettere sopra alcune particularità della</u>
Baviera, p. 55, quoted in Steigenberger, <u>Rede</u>, p. 33.

⁶ <u>Kunst-</u>, II, 20.

Munich, Bayerische Staatsbibliothek, Cod. bav. cat. 120ab.

The book prices are given in Cod. bav. cat. 120e, fol. 15v, with the music price on fol. 11v. Lasso's salary is given in the "Hofzahlamts Rechnung pro 1586," fol. 479, as 450 florins for that same year.

one of the most extensive collections of 16th-century music ever assembled, and many of the unique prints now in the Musikabteilung of the Staatsbibliothek may be traced back to Wilhelm's Herwarth purchase: a fifth of the titles survive in complete sets only in Munich and another tenth are <u>unica</u>. Without a collector's zeal and Wilhelm's opportune purchase, knowledge of renaissance music would today be considerably poorer.

The purchase is recorded in the "Hofzahlamts-Rechnung pro 1586, Gestellt vom fürstl. Zahlmeister Caspar Parts," in the Munich Archiv für Oberbayern, fol. 444-444v:

Item, Nachdem von hanns heinrichen horwarts seligen nachgelassnen Erben, merlaj wahren, so nit benent worden... vmb solche summe ein Zinns Vschreibung zugestellt wordeln]. Ist befolghen solche Posst in Eincam Vnnd ausgab zu urwarten.... In ausgab. f. Gl. vnnderscribe[n] urkhundt----

fl 3000: ----

The debit of 3000 florins exceeds by nearly half the 1509 florins paid specifically for the Herwarth library. From correspondence between Wilhelm and Hans Fugger it is apparent that "Antiquitates upon khunst stückh" may also have been included in the purchase, and it is known that Albrecht admired and coveted Herwarth's coin collection as early as 1573. Johann Sambucus described it as well serving the arts and sciences, being "exceptional for its eminence and exquisiteness." Wilhelm finally

⁹ See Martinez-Gollner, 31, note 6.

¹⁰ Quoted in Stetten, <u>Kunst-</u>, II, 555.

wrote to Hans Fugger in December, 1583, about six months after 11
Herwarth's death, "Wir haben dein schreiben Hanns Hainrich
Herwarts Antiquitates unnd khunst stückh betreffend wol empfangen." Apparently part of the payment to the Herwarth heirs covered the coin collection, although this acquisition is no longer recorded in the archives of the Munich Munzsammlung. Wilhelm's (or was it Albrecht's) interest in coins appears to have caused a number of persons to act as his agent, as well as Fugger. In a previously uncited letter without date written from Aquileggia by a "Bassista" (active 1569-79 in the Munich Hofkapeile), Agostino Persei (Perchi, Perssy), it is apparent that one of the agents was none other than "Sig. Orlando,"

Desglaichen hab ich ermelten Sig. Orlando gebetten E.F.H ain schreibtischlein mit antiquitet pfennig, soainz Delmanz meins nachbaurren geirrst, anzuzaigen, von welchem ich widerumb aus Irer H.G. bewelch zur antwort ernommen, Er hab von derselben nach Presentierten sachen, mir zuschreiben beuelch, dz herauf khommen sollen, vnnd dz Tisch, oder Tischlein sambt den pfennig auch den zwaigen Contralten herauss mit mir führen.

An early reference to the Herwarth '/llection and its music appears in Cod. bav. cat. 120 (miscellane us acquisition lists), including 120e, fol. 12v (dated 4 April 1588), "Aliquot Musici Librj ex Heuwarticis Vnarum Catalogissorum." In Cod. bav. cat. 120e, fol. 5, the "antiquitates" (coin collection?) are mentioned

¹¹ Munich. Hauptstaatsarchiv. Hoerwarth, 142.

Munich, Hauptstaatsarchiv, Libri antiquitatem, IV, 239. For the full text, see the Newsidler chapter, PLATES III-IV.

again, as well as a Spanish (= Neapolitan?) tablature, perhaps a reference to the Sulzbach (Naples, 1536) print of Francesco da Milano that apparently once resided in the Herwarth library:

The value of the Herwarth music books is given in Cod. bav. cat. iz8e, fol. iv:

80 fl 14 k Libri Superuacy Musicis

and again on fol. 12 (or is this an additional estimate of value for an second group, making the total for music books 190 fl. 14k?):

110 fl -- K Musici libri (Gebunden vnd vngebunden)

Albrecht's interest in the acquisition of music is reflected also in a short list of scores and instruments from Raymund Fugger's collection, dated 1566, of which the following are of interest to us (Libri antiquitatem, IV, fols. 176-185):

No. 113. Liure de Tablature d'Espinette Contenant Mutettorum, fantasies, Chansons, Madrigals et galliardes. Imp[ri]me a Lion a 1560. [BrownI (1560)/1: lost]

No. 115. Troys Liures De Danseries, mis: En musicus a 4 voix par Jehan d'Estree Imprimis a Paris 1559. [BrownI 1559/i-3]

No. 181. Tabulatur de Ghitterre Lib: 5. Contenant Chansons, fantasies, pauanes. Composees p[ar] Adrian le Roy. [BrownI 1551/3, 1556/8, 1552/3, 1553/3, 1554/4]

No. 183. Tabulatur de luth libri 6 Contenant Mutettz, Chansons, fantasies, Pauanes per Adrian le Roy. Raymund's music books, however, found their way to Vienna, and it is perhaps incorrect to ascribe large portions of the 13 Munich collection to the Fuggers.

For the Vienna sale on June 2, 1576, Fugger realized 911 fl
14 k for his library. It included "[in quarto:] 1 Alltt geschriben Lauttenbuch auff die Welsch Tabulatur [the Raymund Fugger Lute
Book, copied while he was a student in Bologna], [and] . . .

Vngebundne getrückt gsang buecher an ainem buendel zusamen
14
knuepfft."

Detailed acquisition lists were prepared when Her-warth's collection was logged into the ducal library. Of interest to us are Cod. bav. cat. 115, "Musica vocalis," and Cod. lat. mus. 271, "Musica instrumentalis," both apparently in the hand of Friedrich Pommer. Cod. bav. cat. 115 lists the composer, title, date, and place of publication of 440 sets of partbooks, and Cod. lat. mus. 271, 49 prints of instrumental music (mostly lute tablatures). The lists include a wide range of publishers active in Italy, Germany, France and The Lowlands between 1512 and 1583, the year of Herwarth's death. Of the vocal music, 55 titles (11%)

Cf. <u>New Grove</u>, "Fugger," VII, 8-9. Also see Lehmann, <u>Fuggerbibliotheken</u>, passim.

¹⁴ Cited in Hartig, p. 38, note 1.

now survive as unique copies in Munich, and 81 (18%) provide the only known complete set of partbooks, many being elsewhere represented only by a single partbook or two. The title list of instrumental music includes five unica, and other works which have been lost, including Petrucci's Book III (Gian Maria Alemanni). The acquisition lists show that Herwarth's extensive collection 15 rivalled Ferdinand Columbus's in scope. A first impression suggests wild abandon in purchases. Closer examination reveals, however, that it was gathered with thought and careful planning. There are virtually no duplications of the same, or later, editions of a publication, and an obvious attempt was made to purchase complete series of anthologies and works by favored composers, such as Lasso, Lupi Didier II, Jacobus Kerle, Ferretti, Buus, Utendal, Portinaro, Ivo de Vento, Willaert, Striggio, Lechner and Wert.

Thus Attaingnant's chanson series, books I-XXXV, comprises a complete set gathered from a number of editions, "en deux" and "en 16 ung et en deux volumes," as Daniel Heartz has shown. Likewise complete are Moderne's Le Parangon des Chansons, books I-IX (1538-43), his Motetti del figre (a 4), books I-IV (1532; 1539). An irregular series of Moderne motet publications, the Liber

For details on that library and its music, see Chapman, "Columbus."

¹⁶ See Heartz, Attaingnant, p. 74.

mottetorum ad quinque . . . vocum, includes Books II (1532), III (1542 [prints dated 1538 and 1539 are also known]), IV (1539), and V (1542), suggesting that it was filled out in several purchases. Book II may have been acquired after Book I had gone out of print, Book IV, before Book III had been reprinted in 1539, and finally Books III and V in 1542, or shortly thereafter.

All genres of 16th-century music were purchased with an almost obsessive regularity, it seems, and scarcely a year between 1530 and 1583 is not represented with one or more books, although surprisingly few bear an Augsburg imprint. Noticeable lacunae appear between the years 1544 and 1546 (following Herwarth's first marriage in 1544 and before the birth of his first child in March, 1546), 1550 and 1553 (a time when many Catholic Augsburg patricians, including Herwarth, fled to avoid the Schmal-Kaldian confusion), and 1563 and 1566 (a period following upon an epidemic of plague in Augsburg, during December 1563, and his first wife's death during childbirth in January, 1564). Patterns in the purchases reflect a young man's growing erudition as lute music and chansons, which appear in fairly large numbers with early dates of imprint, are gradually replaced in emphasis with madrigals and motets. Particularly large quantities of lute music bear dates before 1547, whole concentrations of motets come later, in 1553-56, 1565-71 and 1576-81.

Herwarth's collecting habits exhibit a renaissance fascination for all-embracing Knowledge, as illustrated by Conrad Gesner's Pandectae of 1548, a bibliography that classifies, sorts and lists a vast number of books. Gesner included among the music

books items which he examined in Herwarth's library in 1545, and which he apparently also examined in 1559 for a later edition of 17 his "partitionum universalium libri," as he called it.

A complete composer-title list will appear in H. Colin Slim's forthcoming article in <u>Annales musicologiques</u>. Although a detailed analysis of the Herwarth collection lies beyond the present study, a few observations should be made, particularly since they may confirm dates and provenances for some of the lute manuscripts.

The collection consisted of 26 bound volumes (including two manuscripts) and 18 bundles of unbound materials. Pommer listed the prints in the order in which they appear in the bound volumes, and (except for the two lost ones mentioned below) all have survived at the Bavarian State Library in their original bindings.

Present Shelf Nos.		od. bav. cat. Acquisition Number
103, 31,	Chansons (Attaingnant, 1539-50; 1528- 35)	1, 24a
168	Chansons (Susato, 1543-50),	8
182-3	Chansons (Moderne, 1538-43)	19
48	Chansons and madrigals (1529-38)	25
163, 184,	Motets (1532-42), (1555-59), (1538-	2, 5, 10,
106, 12,	49), (1538-45), (1553-55)	16, 17 43

¹⁷

See Lawrence Bernstein, "Music in Conrad Gesner's Pandectae (1548)." Since Gesner does not always provide dates of publication, Professor Bernstein may have omitted some corresponding prints in his table, pages 130-33. Pommer's lists are, of course, of great value because they do provide complete bibliographical citations, including dates of publication. I have not examined later editions of Gesner's work.

lost	Motets (1568)	7
lost	Motets and psalm settings (1554-78)	6
159	Masses (1537-39)	14
52	Motets, chansons and madrigals	
	(1549-52)	3
140	Motets and madrigals (1547-1559)	20
198, 39	Motets, Lieder and Napolitane publ. in Germany (1536-39)	15, 26
155, 96, 95	Madrigals (1542-60), (1535-60), (1539)	4, 9, 18
15, 167 28	Lieder (1566-88), (1539), (1512)	13, 23 24b
135, 142	Works of Lasso (1555-66), (1570-71)	11, 12
Ms. 1501, Ms. 1508	Manuscripts	21, 22

Due to the large number of publications from Venice, the situation with unbound music is more complicated. Only a few bear dates before 1555, and those bound volumes after that date may preserve favored music, such as the fairly complete sets of works by Lasso. Less-favored Protestant psalms and popular Napolitane remained, for the most part, unbound.

The bundles were grouped roughly by genre, and, one sus-18 pects, indexed according to the order received:

Contents	Numbers	
Motets and other sacred music	X4-5,X7-8, X15-16	
Motets, psalms and chansons	X13	
Chansons and madrigals	X6	
Madrigals	X1-2, X9-12	
Napolitane	X14	
Lieder	ХЗ	
[Manuscripts	X17-183	

¹⁸

I have retained the original numbers given in Cod. bav. cat. 115, with an "X" to indicate the numbers given to the bundles of unbound prints. Pommer's acquisition numbers are for the most part arbitrary.

For the contents of each bundle, Pommer listed the titles in alphabetical order according to a prominent word in the title,

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e.g. bundle 10, (the underlining is mine):

Madrigali a tre voci . . . per Anto Gardano . . .

Musica libro primo . . . di Adriano Wigliar: . . .

And: Gabrieli libro primo de madrigali . . .

Archadelt libro primo . . .

Miagdalena Casulana il secondo . . .

Baldessar Donato il secondo . . .

Il Desiderio primo libro . . .

Francesco Portenaro . . .

Gio: Bat: dalla Gostena

Jachet Berchem . . .

Unica include collections of chansons by Lupi Didier iI

(Lyons, 1548) and Lasso (Louvain, 1564), and the important Venticinque canzoni (Venice: Gardano, 1538). The famous, nearly complete, sets of chanson publications in four and "en ung et deux volumes" of Pierre Attaingnant (Paris, 1528-50) forms the largest group of unica. Books of madrigals are represented by the anthologies de la fama (Scotto, 1548) and La Eletta di tutti

(Zorzi, 1569) and publications devoted to Renaldi (1569), Vespa (1576), Nasco (1555), Cossa (1569), Verdelot and others (1537), and Magiello (1568); Napolitane by Ostiano (1579), Scozzese (1579) and Ferretti (1574); books of Lieder, published by Schoeffer in Erfurt and by Schoeffer & Apiarius in Strassburg, and by composers Paix (1568) and Lechner (1577). Among unique prints of sacred music are Walther's Cantu septem vocum (1544), Lusitano's Epigram—

¹⁹

The inventory of instrumental music in Cod. lat. mus. 271 is arranged similarly, with the <u>Sammelbande</u> gathered together in single entries. See Martinez-Gollner, pp. 44-8.

matica (1555), Bourgeoise's psalms (1547) and Morales' Reliquorum
musica (1543).

Unique among the instrumental works are the tablatures of Paladin (Lyons, ca. 1547), Le Roy (Book III, Paris: 1552), Morlaye, psalms (ibid.), and Belin (Paris, 1556).

At the time of Julius Joseph Maier's survey of the Munich collection around 1860 some 50 titles had disappeared from the Herwarth acquisition: two bound volumes (acquisition numbers 6 and 7) with a total of 24 titles, and from unbound materials, 27 titles. Most of the missing items are fairly late, suggesting that they may have been lost while still current and in practical use. Pommer's acquisition list, which a prima vista seem rather haphazard, is actually quite accurate. In checking it against present holdings in the Bavarian State Library and modern inventories, such as <u>RISM</u>, Charlotte A. Kolczynski and I were able to discover only two instances of incorrect dates in all 440 entries. Thus it seems quite possible that the lost items did indeed include the following, which are no longer extant (most of the items are, moreover, confirmed by entries in the Leipzig fair catalogues summarized by Albert Goehler):

1. Mathias Gastritz, <u>Lateinisch und Teutsch Geseng</u>, Nuremberg, 1569. [Goehler, 1.244; publ. by Ulrich Neuber.]

Goehler, <u>Verzeichnis</u>. The numbers separated by a decimal point refer to items in Goehler's volume one.

- Cipriano de Rore and Jachet Berchem, <u>Salmi a quatro voci a un choro</u>, Venice, 1559. [Cited in Goehler, 1.824; an earlier edition of <u>RISM</u> 1578/2 ?]
- 3. Jacobus Kerle, <u>Cantio in gratiam Melchioris Lincken</u>
 <u>Augustano</u>, Nuremberg. 1574. [Linck was an in-law of Hans
 Heinrich Herwarth.]
 - 4. Cipriano de Rore, Primo libro delle fiamelle, Venice, 1576.
- 5. Paulo Bellasio, [Primo] libro delle fiamelle, a 3-4, Venice, 1579. [Cited in Goehler, 1.57.]
- 6. Orazio Vecchi, <u>Canzonette</u>, Nuremberg, 1580. ICf. Goehler, 1.946, where editions from 1591 are cited. This Pommer entry suggests that Vecchi's very popular canzonette reached Germany much earlier than previously known.]
- 7. Leonhard Lechner, <u>Sacrarum cantionum</u>, Liber I, Nuremberg, 1581. [Goehler, 1.542, cites a Liber II dated 1581.]

The instrumental pieces did not fare as well. Of the 49 titles, 24 are now missing from the Munich collection, and three are unknown in extant copies, including the sadly lost fourth Petrucci book containing works by his only editor to have enjoyed an international reputation, Gian Maria Alemanni. Listed also are lute books by Gerle (1533), and a 1547 edition of Phalese's Carminum quae chelys. Melchior Newsidler's 1566 Venetian books, then bound with the present Mus Ms 1627, were sent to Berlin as duplicates in 1861 and disappeared during World War II, although presumably they survive among the ex-Berlin materials recently acknowledged in Cracow.

These volumes (which are, for the most part, gathered by genre and make up complete sets) provide a preliminary <u>terminus</u>

<u>post quem</u> for date and place of purchase. Additional evidence

may, of course, later support more precise dates, since unbound volumes also fall into patterns of dates, for example,

- (1) Chansons published from 1528 through 1538 by Attaingnant (acquisition numbers 24 and 25) complement those from 1539 through 1550 (acquisition no. 1), suggesting that early Parisian purchases were accumulated and bound \underline{ca} . 1539, and a second, \underline{ca} . 1550.
- (2) The date 1550 for purchases in northern France and The Lowlands coincides with the volume of chansons published by Susato in Antwerp between 1543 and 1550 (acquisition no. 8).
- (3) Likewise a cut-off date of about 1539 holds for volumes of masses and motets published between 1537 and 1539 in Paris, Wittemberg, Nuremberg, Venice and Ferrara, (acquisition nos. 14 and 16), and in Lyons, 1537 to 1542 (acquisition no. 3).
- (4) Sequels to (1), (2) and (3) are provided by volumes of motets published in Augsburg, Wittemberg, Nuremberg and Venice between 1538 and 1549 (acquisition no. 10), and a mixed volume of motets, chansons and madrigals published in Paris, Venice and Lyons between 1537 and 1552 (acquisition no. 3).
- (5) Similar relationships exist with bound volumes containing prints after about 1550. Thus, for example, a volume (acquisition no. 11) devoted to motets, madrigals and chansons by Lasso (Antwerp: 1555-1566; Louvain: 1555-1566; and Venice: 1564-1566), extending in each instance to 1566, is followed by another mixed Lasso volume devoted to works published in Paris between 1570 and 1571 (acquisition no. 12). It seems unusual to mix Lasso genres when the usual pattern in the bound volumes is to group prints by genre and/or number of voices.

A number of deductions may be advanced from information such as that tabulated above.

A. Susato's Antwerp series:

Chansons, books I-XIII: 1543-50

Ecclesiaticarum cantionum, books I-VIII (1553; Book IV, 1554).

Waelrant & Laet's, also from Antwerp:

Sacrarum cantionum, Books I-V (1554-56)

Jardin musical, Books I-III (1556)

Louys: Pseulmes cinquante (1555)

The Susato series of chansons continued with book XIV published in 1555, and the <u>Ecclesiasticarum</u>, with books IX-XIV appearing between 1554 and 1557. This suggests that the Susato purchase was made between late 1553 and 1554 (after Book IV and gone out of print the first time). The Waelrant & Laet series seems to cease with prints dated about 1556. Were these purchased during a second visit to Antwerp, perhaps after a few months's stay in Louvain?

Certainly this suggestion is confirmed by a Phalese series,

Cantionum sacrarum, Books I-VIII (1554-55). The Herwarth

acquisition includes a copy of a second, 1555, printing of Book II

(first published in 1554), which had apparently been exhausted by

1555. Thus it seems that the Phalese series was purchased in the

early part of that year (before a return through Antwerp when the

21

Waelrant and Laet purchase was made?).

B. A series of publications by a single composer, or a set of anthologies, is sometimes filled out with the inclusion of a volume from a later printing, or even (particularly in the case of Venetian prints) from a rival publisher, e.g.:

In the following chapter we will encounter some evidence for Herwarth's possible travels through The Lowlands at this time.

1. Madrigals (a 4) by Arcadelt and others; Herwarth purchases are marked with "< >" and bold-face:

Date	Bk. I	BK. II	BK. III	BK. IV	BK. V
1539	(Gardano)	(Gardano)	(Scotto)	(Gardano)	
1541	Gardano	Gardano	Gardano	Gardano	
1543	Scotto		Gardano		
1544	Scotto			172	Gardano
1545				Gardano	
1546	[Scotto?]			Gardano	
1558	Gardano			*:	(Gardano)
1551	Gardano				
1552		Gardano			
1553	Scotto				
1556	Scotto		Gardano [Scotto]		

et cetera

2. Motets by Willaert:

(I Scotto: 1539) rpt. Gardano: 1545 (II Scotto and Antico: 1539) rpt. Gardano: 1545

i (a 5) Scotto: 1539 (I (a 5) Scotto: 1558)

3. Madrigals by Portinaro:

I Scotto: 1563 II Gardano: 1554 III Gardano: 1557

4. Motets of Palestrina:

I Rome, Dorico: 1569 CI Gardano: 1579> I Scotto: 1586

> II Scotto: 1572 II Scotto: 1573 CII Scotto: 1577> II Scotto: 1580

> > CIII Scotto: 1575> III Scotto: 1581

IV Rome, Gardano: 1583

5. Sacrarum cantionum of de Monte:

I Scotto: 1574 [ed. Scotto, 1572]

II Scotto: 1573

III Scotto: 1574

IV Gardano: 1575 [no Scotto ed. extant]

V Scotto: 1579

6. Madrigals of Primavera:

Itali Scotto: 1565 III Rampazetto: 1566
IV Scotto: 1573

The Arcadelt madrigals were most likely purchased as a group before supplies of books I through IV had run out in 1548 or 1541. Thus they must mark a purchase between late 1539 (or early 1548) and 1541, when they became available in Gardano's new printing. The lacuna between Book V (first publ. 1544) and the remprint (Gardano, 1558), which found its way into the Herwarth library, may signal a period when Venetian prints were unavailable to Herwarth and his agents. The Willaert series seems to confirm these dates.

The motets by de Monte may have been purchased in two groups. The first may stem from about 1574, when volumes I and IV of the earliest printing by Scotto (1572-3?) had been exhausted, and then a second group between the time of the second printing of

volumes I and III (1574), and Scotto's reprint of volume IV (1575).

C. Madrigals of Vincenzo Ruffo:

I (a 6-8) Scotto: 1554 II (a 5) Scotto: 1554

a 4

a 5

I Gardano: 1555 II Gardano: 1555 (rpt. from 1553) III Gardano: 1555

I Gardano: 1556 (rpt. from 1552)

II Gardano: 1557
 (rpt. from 1553)

IV Gardano: 1558 (rpt. from 1556)

III Gardano: 1560

Several conclusions may be drawn from the Ruffo purchases. The Scotto series appears to have been purchased in 1554, and the Gardano series (a 5) started in 1555, by which time a previous edition of 1553 had been sold out. If Book II was reprinted in 1555 and unavailable (or was Book II [a 4], purchased by mistake?), then the purchase was made late in 1555. In 1558 (or 1560?) the set was filled out with books II and IV (a 5) and books I and III (a 4).

In graph form the dates of publication and the number of volumes purchased in Venice each year in comparison with The Low-lands appears as follows:

VENICE		LOWLANDS
1535 1536		
1537	355888	
1538		
1539		
1540		
1541		
1542		
1543		223
1544		========
1545	=======	Canal C
1546	musiun	
1547		
1548	THE STREET	
1549		u==
1550		
1551	2222	
1552	===	
1553	was:	**********
1554		

Given what seems to be the rather frequent turnover and an apparent shelf-life of only a few years, at least in secular music, one might suggest that years showing large purchases were indeed years of actual purchase, since volumes from previous years would be increasingly unavailable. Of course, a few volumes in a year following one with large numbers might also indicate that the purchaser left the city of publication early in that year (perhaps to pass through Augsburg during the summer while underway in a north- or south-bound journey).

* * * * *

From information such as the above, and the clusters into which the prints fall, one may construct a preliminary chronology of the music purchases of Herwarth and his agents. I have also added some notes about Herwarth and his associates covered in the next chapter. The number of titles is given within parentheses following the dates.

CHRONOLOGY

1526	Augsburg: Hans Heinrich Herwarth born December 15
1535	Paris: 1533 (2), 1534 (4), 1535 (1)
1536	Venice: Gerhard Haug consul at Fondaco dei Tedeschi
1537	Venice: Pandolfo Herwarth consul at Fondaco dei Tedeschi Strassburg: 1536 (1), 1537 (2)
1539	Wittemberg: 1538 (2), 1539 (1) Nuremberg: 1539 (3), 1537-38 (1 ea.) Lyons: 1538 (3), 1539 (5) Ferrara: 1538 (1), 1539 (2)
1540	Venice: 1548 (3), 1539 (9), 1538 (2), 1537 (3)
1540 ff.	Lyons: Hans Kleberger agent for south German merchants; died 1546. Lived also in Berne
1541	Paris: 1541 (1), 1540 (5), 1539 (2) Lyons: 1541 (4), 1540 (3)
1543	Lyons: 1543 (2), 1542 (0) Paris: Hans Kleberger becomes "valet de chambre ordinaire du roi."
1543 ff.	Antwerp: "Heirs of Hans II Herwarth" establish business house there.
1544	Paris: 1544 (1), 1543 (3), 1542 (2), 1541 (1) Wittemberg: one book Augsburg: Hans Heinrich marries Maria Haintzel, daughter of Hans Haintzel and Catherine Welser
1545	Antwerp and Louvain: 1545 (2), 1544 (6), 1543 (1) Augsburg: Conrad Gesner examines books in Herwarth's library Augsburg: Child conceived (July)
1546	Augsburg: Child conceived (September) Lyons: Herwarths participate in loan to French court. Venice: David Ott consul at Fondaco dei Tedeschi; a regular consul until the 1570s
1548	Venice: 1548 (4), 1547 (12), 1546 (10) Lyons: 1548 (4), 1547 (3) No Lyonnaise purchases after 1548 Augsburg: Hans Heinrich becomes member of Stadt-Gericht
1549	Augsburg: Child conceived (July) Venice: Jakob Herwarth consul at Fondaco
1550	Paris: 1550 (1), 1549 (5), 1548 (2), 1547 (4)

1551	Augsburg: Child conceived (January) Venice: Ulrich Weiblinger consul at Fondaco dei Tedeschi Venice: 1551 (2), 1550 (4)
1552-53	Second Schmalkaldian War: Many catholic patricians (including the Herwarths) leave Augsburg.
1552	Augsburg(?): Child conceived (January) Munich: Child born there, August 28th.
1553	Lyons: Hans Heinrich and his brother Hans Paul participate in huge Lyonnaise loan to King of France.
1553-54 1554	Antwerp: 1553 (6), 1554 (2) Antwerp(?): Child conceived (January) Venice: Carl Rehlinger (also 1560) (with David Ott) consul at Fondaco
1554-55	Louvain: 1554 (7), 1555 (3)
1556	Paris: one book Augsburg: Child conceived (January) Venice: 1556 (2), 1555 (12), 1554 (12) Antwerp: 1556 (6), 1555 (3)
1557	Augsburg: Child conceived (date unknown)
1557-58	Venice: 1557 (4), 1558 (1)
1558	Augsburg: Child conceived (July)
1559	Paris: 1559 (1), 1558 (2) Augsburg: Child conceived (August) Venice: Carl Rehlinger consul at Fondaco
1560-61	Venice: 1561 (3), 1560 (11), 1559 (1)
1561	Augsburg: Child conceived (May)
1562	Augsburg: Child conceived (July) Paris: 1562 (4)
1562-63	Venice: 1563 (2), 1562 (5)
1563 1563-64	Augsburg: Child conceived (May) Augsburg: Plague epidemic (December); wife dies in Memmingen (January)
1564	Augsburg: Marries Maria Rentz, daughter of Friedrich Rentz and Susanne Pfister in October.
1565	Augsburg: Child conceived (March)
1566	Nuremberg: 1566 (1); Cracow: 1565 (1); Wittemberg: 1566 (2) Antwerp and Louvain: 1566 (1 each)
1567	Venice: 1567 (3), 1566 (12), 1565 (5) Augsburg: Child conceived (June)

1568 1568-70	Augsburg: Child conceived (September) Venice: 1570 (11), 1569 (10), 1568 (14)
1571	Paris, Antwerp, Louvain: 1571 (2), 1569 (1) Nuremberg: 1571, 1570, 1569 (2 each year) Augsburg: Child conceived (August)
1572	Venice: Hans Paul Herwarth there as representative of Albrecht V of Bavaria Munich: 1572 (5), 1571 (1), 1578 (2) Erfurt: 1572 (2)
1573	Augsburg: Religious strife over calendar reform Augsburg: Child conceived (September; not 1575)
1574	Augsburg: Hans Heinrich named Steurer-Herr Munich: 1574 (2), 1573 (3) Augsburg: Hans Heinrich and Hans Paul Herwarth join in partnership with Manlich to trade out of Marseilles.
1575	Augsburg: Child conceived (April)
1576	Augsburg/Munich: Manlich venture of 1572 goes bankrupt, Hans Paul relinquishes Augsburg citizenship, and settles in Munich, where he becomes Hof-Praesidaent (not 1567, as sometimes stated). Nuremberg: 1576 (3), 1575 (1) Venice: 1576 (9), 1575 (13), 1574 (11), 1572 (5) Augsburg: Child conceived (May)
1579	Muchlhausen: 1578 (3) Antwerp: 1579 (1) Venice: David Ott dies and is buried there
1588	Venice: 1588 (9), 1579 (11), 1578 (1), 1577 (1)
1581	Nuremberg: 1581 (2), 1588 (3) Venice: Jacob Sturm (book merchant?), husband of Jacobina Herwarth, dies there in November; (Jacobina returns to Augsburg [?]); Marcus Welser consul at Fondaco
	Venice: 1581 (5), 1580 (16)
1582	Nuremberg: 1582 (1), 1581 (1); Muehlhausen: 1582 (1), 1580 (1); Erfurt (1) Venice: Marcus Welser and Hieronymus Ott consuls at Fondaco
1583	Augsburg: Hans Heinrich Herwarth dies there, July 29th.
1586	Munich: Music books arrive at ducal library.

The Herwarth estate contained only a few music manuscripts, including a set of partbooks formerly belonging to Marcus Welser, and some of the lute tablatures around which this study is centered. Their first mention is in an index prepared by Friedrich Pommer, librarian at the time of the acquisition:

Ain Pintl oder fasciculus darinnen lautter gescribne vnd zum Tayl getrückte Tabulaturen auf die Lütten, lauter Kinderwerckh vnd nichts werth.

Pommer's assessment of the "bundle" seems hardly valid today. To the extent that the lute tablatures presently in the
Bayerische Staatsbibliothek may be traced back to the Herwarth
library (and possibly not all came from there, as we shall see),
the package contained a broad repertory of well over 380 manuscript pieces in French, German and Italian systems of tablature.
Included are dances, abstract pieces (ricercars, fantasias and
preludes), intabulations of sacred and secular vocal music, as
well as several fascinating sketches for intabulations and parodies from which it is possible to reconstruct the working

processes of a 16th-century lutenist-composer, albeit an amateur one. Represented composers extend from the Venetian master Marco dall'Aquila (ca. 1480-after 1537) to Melchior Newsidler (1531-1591) of Herwarth's Augsburg.

The Herwarth lute manuscripts apparently remained unused after their acquisition by the Munich ducal library. By then most of their repertory was gradually being superseded by fashionable French allemandes, courants and voltes, Italian Napolitane, and similar works that find a place in the somewhat retrospective lute publications of Besard and in the Hainhofer Lute Book, both compiled at Augsburg two decades later. Evidence of use, such as the corrections which appear from time-to-time within the manuscripts, appears to date prior to their arrival in Munich. Care for them, including having them bound, was only a 19th-century concern.

* * * *

Even a casual perusal of the manuscripts reveals a confusing mass of pieces copied by a variety of scribes whose work extends from the pretentiously careful to the barely legible on papers of diverse weights, textures and sizes. Many compositions are untitled and without composer attribution, and some recognizable "grand-old" tunes of the early 16th century mingle with others of the late. As we shall see, the complex is properly a collection of folios, bifolios and usually short fascicles of widespread prove-

nance, in both time and place, constituting unique remnants from 22
the 16th century, giving the effect of sheet music for lute.

Even today the manuscripts present a number of bibliographical problems that account in part for their relative neglect and misunderstanding in modern musicological writings. Thus contrary to the suggestions in the earliest descriptions of Julius Joseph 23
Maier, and the recent ones by Wolfgang Boetticher, the manuscripts do not represent a unified Augsburg lute tradition, nor may one even assert with any great certainty that all of the manuscripts actually stem from the Herwarth library.

Although not entirely correct, the listing of 16th-century lute tablatures given in Maier's catalogue may provide a convenient summary of the collection's contents, and supposed provenance. (In brackets I have added the handwritten summaries of the contents of each, as entered by Maier inside the cover of each

²²

A collection of pages from a number of no longer extant lute books is in the Deutsche Staatsbibliothek, Berlin, Mus. MS. 40140 (from the Tappert bequest), but is best described as lute-book fragments, not sheet music, as such.

²³ Maier, Die musikalischen Handschriften, 145-9.

²⁴Boetticher, <u>Handschriftlich</u>, 214-26.

volume, and the total number of folios.)

Codex 240. Mus. Ms. 2986: (31.5x21.5cm) Italienische Lautentabulatur (Bibl. Herwart). [Chanson "E las (?)" a 4.p. in Partitur & Lautentabulatur; 2 folios].

Codex 241. Mus. Ms. 1512: (15.33x21cm) Deutsche Lautentabulatur (Herkunft unbekannt). [2 lateinische Gesänge, 28 Lieder, 8 Chansons usw.; 71 folios].

Codex 242. Mus. Ms. 1511d: (17x24cm) Italienisiche Lautentabulatur (Bibl. Herwart). [11 französische Lieder, 8 Taenze und 3 Recercar, nebst 3 unbenannten Stücken; 22 folios).

Codex 243. Mus. Ms. 1511c: (16.5x22cm) Italienische Lautentabulatur (Bibl. Herwart). [11 Motetten u. 1 französisches Lied nebst 8 unbetitelten Musikstücken; 19 folios].

Codex 244. Mus. Ms. 1511b: (26x16cm) Italienische Lautentabulatur (Bibl. Herwart). [57 italienische Taenze u. Tanzlieder; 25 folios].

Codex 245. Mus. Ms 1511a: (15.5x21.5cm) Italienische Lautentabulatur (Bibl. Herwart). [Autograph: Gorzanis, Jac. (sic.—Gorzanis was blind), 24 Passemezzi e Saltarelli, 7 Villanellen, 1 Ricercar. Von fremder Hand sind geschrieben 3 Villanellen, 1 Ricercar. 36 folios].

²⁵

Other manuscript lute tablatures in the Bayerisch Staats-bibliothek require mention only in passing. Mus. Ms. 9516, acquired in 1973 from the estate of Professor Otto Ursprung of Munich, appears to be of Bavarian origin, as part of the exherwarth manuscripts also appear to be. It is, however, unrelated in scribe, contents or watermark to any of the lute manuscripts listed above by Maier. The others are from the 17th and 18th centuries. Namely, Mus. Ms. 3232d (olim ZZ 2045, Cod. lat. 26045), containing information on the lute fingerboard and a diagram of the d-minor tuning, and an anonymous partita in d minor; the other, Mus. Ms. 5362, contains pieces by Adam Falkenhagen and Sylvius Leopold Weiss, and has been inventoried by Josef Klima in Die Lautenhandschrift Munchen Mus. Ms 5362 der Bayerischen Staatsbibliothek (Maria Enzerdorf bei Wien, 1975).

Of direct relationship, however, is Paris, Bibliothèque nationale, Ms. Rés. 429, with which we will be concerned in some detail later.

Codex 246. Mus. Ms. 267: (22x29.5cm) Italienische und deutsche Lautentabulatur (Bibl. Herwart). [10 Motetten, 13 Chansons, 1 Madrigal, 1 Ricercar, 16 unbenannte Stücke u. Fragmente, 1 Gassenhauer; 54 folios].

Codex 247. Mus. Ms. 268: (32x22cm) Italienische Lautentabulatur (Bibl. Herwart). [3 Madrigali, 2 Chansons, 1 Battaglia (nur Theil 1). Vergl. Mss. 269; 18 folios].

Codex 248. Mus. Ms. 266: (33x22cm) Italienische und deutsche Lautentabulatur (Bibl. Herwart). I24 Ricercari, 11 Fantasie, 1 Priambolo, 1 Bataglia, 6 Motetten,, 21 Madrigali, Villanelle. Villotte, 58 Chansons, 8 Lieder, 28 Taenze, 25 Stücke ohne alle Bezeichnung, 6 defecte Stücke 138 folios].

Codex 249. Mus. Ms. 269: (32x22cm) Italienische Lautentabulatur (Bibl. Herwart). [1 Madrigal, 2 Chansons, 2 unbenannte Stücke 1 Battaglia; 18 folios].

Codex 250. Mus. Ms. 270: (33x22cm) Italienische Lautentabulatur (Bibl. Herwart). [15 Stücke ohne Ueberschriften. No. 1-9 sind ebenfalls Taenze: 18 folios].

Codex 251. Mus. Ms. 271: (33x22cm) Italienische Lautentabulatur (Bibl. Herwart). [2 Motette. 2 Madrigale, 1 Chanson, 17 unbenannte Stücke, Ist wohl nur die Stimme der "Sopranlaute," zu der die Tenor-Laute fehlt. cfr. Bl. 14b, 3b.; 18 folios].

Codex 252. Mus. Ms. 1627: (27.5x20.5cm) Italienische und deutsche Lautentabulatur (Herkunft unbekannt). [31 Stücke, Chansons, Villanellen, Taenze, Motetten; 35 folios].

Codex 253. Mus. Ms. 272: (21x28cm) Deutsche Lautentabulatur (Herkunft unbekannt). [9 Motetten, 7 Madrigali, 17 Chansons, 29 Taenze, 3 Ricercari, 2 unlesbare Stücke 2 Fragmente; 86 folios].

[Codex 254 is a 17th-century guitar manuscript.]

Codex 255. Mus. Ms. 2987: (34x23cm) Orgeltabulatur und italienische, deutsche und französische Lautentabulatur (Herkunft unbekannt). [Chansons in Orgeltabulatur, die Oberstimme in Mensuralnoten. Chansons & Taenze in Lautentabulatur; 13 folios].

After Pommer's assessment of the "Pintl" of "worthless" lute manuscripts in 1588, they apparently were simply filed away until the 1826s when the librarian Joseph Schmidbauer set the music collection in order by providing new call-numbers and preparing a

shelf-list known as the "Altes Repertorium." After mid-century, during the tenure of Julius Josef Maier, the famous choirbooks from Lasso's Kapelle were transferred from the court library, and Maier initiated a more detailed examination of the collection in preparation for his published catalogue. Maier consulted older lists, such as Pommer's Cod. bav. cat. 115, in attempting to determine the provenance of the various prints and manuscripts. He rearranged some of the manuscripts into more appropriate groupings, culled the collection for duplicate items, and had some of the materials bound. The resulting changes may be traced in Maier's emendations to the Schmidbauer "Altes Repertorium," providing important clues to the original state of the manuscripts. (In the the following quotations from the "Altes Repertorium," Maier's cancellations are shown in STRIKEOVER TYPE, and his additions with continuous underline.)

1. Mus Ms 1627

From entries relating to Mus Ms 1627 it is evident that it derives from parts of two separately bound volumes, 2/Mus pr 107 and 2/Mus pr 206.

²⁴

Joseph Schmidbauer, "Altes Repertorium," uncatalogued manuscript, written in 1829 (or shortly before), Munich, Bayerische Staatsbibliothek, Musikabteilung, without call-number.

2/Mus pr 107

- Neusidler (Melch) Intavolatura. Venet. 1566. <u>Dupl. 31 an</u>
 <u>Berlin 29.10.[18]61.</u>
 - 1 Kaergel (Sixtus) Gallicae et Italicae Cantilenae. . . . Strassburg 1574.
 - 2 Mutetae diversae in Tabulatura Manuscript. Ad Mus Mss. 1627.

2/Mus pr 206

Schmid (Bernhard) Tabulaturbuch für Orgeln und Instrumente. Strassburg 1607 mit 2 Tabulatur Mskpt. H. C. 22 Ad Mus Mss. 1581.1627. Der Druck zu den Dubletten gestellt und am 29.10.1861 an die Berliner Bibl. abgegeben. Ad Mus Dpl 41.

Mus Ms 1627

B1. 1-16=2ter Einband der zu den Mus Dpl 31. . . . B1. 17-35=letzter Einband der zu den Mus Dupl. [411.

Folios 1-16 formed a manuscript fascicle bound with lute prints of Melchior Newsidler (published at Venice in 1566) and Sixt Kargel (Strassburg, 1574). Since the Newsidler and Kargel prints are listed in Cod. lat. lat. 271, it is entirely possible that the first fascicle of Mus ms 1627 may stem from the Herwarth 27 library. The latter half of Mus Ms 1627 (folios 17-35) was until the 1860s bound with Bernhard Schmid's anthology of organ music printed in 1607, and a 137-folio manuscript tablature of south-German organ music (now Mus ms 1581), which may be dated

The present Newsidler volumes of 1566 in the Staatsbibliothek (2/Mus pr 186) were bound in the 16th centry with tablatures of Waissel (1573), Krengel (1584) and Ammerbach. It may be demonstrated that they were acquired later.

ca. 1620. Maier's notation "H.C. 22" indicates that 2/Mus pr
286 was acquired from the Bibliothek Hauber, a private Munich library that was purchased around 1830. Unfortunately both the
Newsidler and Schmid volumes (sent, as indicated by Maier, as
duplicates numbers 31 and 41 to Berlin in October 1861) were lost
during World War II, and the present records of the Deutsche
Staatsbibliothek provide no information about possible ex-libri,
29
if the two duplicates ever carried any.

2. Mus Ms 266

Mus Ms 266

- Tabulatur / Bruchstücke für Laute 138 Bl.

Here Haier has amplified Schmidbauer's description, "tablature-fragments," by adding that they were for lute and consisted of 138 folios. This is the most extensive of the Munich lute manuscripts, and in many ways the most problematical. Mus Ms 266 was bound only during Maier's time and the resulting jumble of hands suggests that little care was taken to organize the mass into coherent order. Since Maier followed his ususal practice of

²⁸

Mus Ms 1581 has been edited by Clare G. Raynor, An Anthology. It contains works in German organ tablature by Christian Erbach, Frescobaldi, Hans Leo Hassler, Anton Holzner, Carl van der Hoven, Simon Lohet, Heinrich Pfentner, Johann Stephani, Francesco Stivorio and Bernhard Wolck, among others.

Letter from Dr. Karl-Heinz Köhler, former head, Musikabteilung, Deutsche Staatsbibliothek, Berlin, dated December 7, 1967. It is not known, presently, if the Schmid and Newsidler volumes have survived among the materials now in Crakow.

numbering all of the compositions, pieces by a scribe that we will later identify as Hand C 2, for example, were bound as Nos. 87-88,

Water			ILLU	JSTRATION I		
Mater	.IIIdi.k					
	Folio	No.	and Title		Cori	rect No.
			HAND K			
14A	121	#136:	[at end:] [] cie	el che	recte:	#145(middle)
4717	1210	* 137	(Continuation (untitled)	of #136)	rect e:	#145(end)
13A	122	#10/	(Continuation of #137)			*
	122~	#138:	(untitled)		recte:	#138(beginning)
			HAND L			
	123 (3		(Cont. from [at end:] Le Co			#148 (end)
	1230(4	(T)	(Continuatio	****		3.
14B	124 (5		(Continuatio			ir.
140	1240	#143:	(untitled)[HAND	B C3		
14C	125 (1 125v(2	2)	Illet iour dit (Continuation [at end:] De re	n)		
			HAND K	:		
13A	126	#144:	(untitled pieca) г	ecte:	#148(end)
201	1260	#145:	[at end:] Fanta	sia		
13A	127		(Continuation o	of #145) r	recte:	#149a(end)
••••	127~	#146:	O passi sparsi			
140	128	#147:	([at top:] Gio	Pacolono) r	recte:	#146(beginning)
	128~	#148:	Quand'io pens'a	al martir		
775117514270	129		[at end:] Toute		recte:	#138(end)
14A	1290	#149a:	nuicts Responce, Quest soing	t'il be- r	recte:	#149a(beginning)

90, 108-9, 112, and 125-7.

Another fascicle towards the end of the manuscript was in particular disarray. When he numbered the pieces, Maier sometimes mistook continuations of pieces as beginnings, did not realize that some pieces continued on different folios, ignored original pagination, and in one instance read an intabulator's name (No. 147: "Gio Pacoloni") as the title of a composition. In Maier's arrangement, the section was gathered as shown in ILLUSTRATION I.

Given the monumental task that Maier set for himself in cataloguing the entire Munich music collection, it is understandable that Mus Ms 266, and like sources, might receive short shrift. It seems entirely possible that Mus Ms 266, as bound in Maier's time, fairly well represents the mass of "fragments" that Schmidbauer cites in the "Altes Repertorium." When Mus Ms 266 was rebound in 1968, the sheets were arranged to reflect a more legical sequence (cf. ILLUSTRATION I):

WM 14B-C 127-127v ((1-2) No	. 156:	Illet iour	HAND	L
127	(2) No	. 157:	De retourner	•	L
128-128	(3-4) No	. 158:	Le Content e riche	=	L
128~-129	(4-5) No	. 159:	Languir me fais		L
1290	([6]) No	. 160:	[untitled piece added by Hand BC]	•	BC
WM 14A/13A 136	No	. 166:	[continuation from fol. 135v]	HAND	K
130v-131	No	. 161:	Quand'io penso		K
131v-132v	No	. 162:	Fantasia		K
132v-133	No	. 163:	Il ciel che rado		K
133v-134	No	. 164:	Toutes les nuicts		K
134v-135	No	. 165:	Response		K
135v-[130			O passi sparsi		K

The new arrangement suggests two separate fascicles, although they are both related, using the same size staffliner and being copied on the same Italian paper, indicating a possible

common origin, e.g., a fascicle that may originally have been wrapped in one of the sheets as cover with the pieces by HAND L nestled in the middle, as Maier's confusing arrangement nevertheless indicated.

3. Mus Ms 267

Mus Ms 267

- Tabulatur- und andere Bruchstücke in Blättern für Laute gebunden). 54 Bl.

Maier's emendations to the "Altes Repertorium" again indicate that "Blattern" for lute were bound during his tenure in the library, presumably in much the same sequence in which he found them, since some of the hands are again (as with Mus Ms 266) separated. A question arises about the exact meaning of "andere Bruchstücke." The implication is that Mus Ms 267 did not contain only lute fragments, since Schmidbauer uses the terms "nebst mehreren derley Bruchstücken" to describe Mus Ms 1511, which (as we shall see) also contained pieces for other instruments. Dr. Martinez-Göllner has suggested that two pieces in Mus Ms 1627 (the part from Mus Dpl 31) may have been intabulated directly from Mus Ms 1503c-e; these may then indeed have been the "other fragments," since Maier does not otherwise give the origin of 30 Mus Ms 1503c-e.

The "Altes Repertorium" is helpful:

³⁰Martinez-Göllner, "Augsburger Bibliothek," p. 41, where Mus Ms 1503 is incorrectly cited as "1530."

Mus Ms 1503

Cantiones sacrae et profane variis linguis. 48 13 Stimmen in einem Umschlag.

1563a = 6 St [chansons by Berchem, Lasso, Benoist, et al.]

1503b = 6 St

1503c = 1 St [Villottas by Azzaiolo on printed manuscript paper with printed barlines]

4. Mus Mss 268-272

Mus Ms 268 -Tabulaturen.

Mus Ms 269-272 - Tabulaturbuch.

These manuscripts, all in the same format, survive with original parchment bindings and an ex-libris of the ducal library dated 1618. (This ex-libris does not, however, appear in any other of the supposed Herwarth manuscripts that come down in original bindings.) Maier has attributed all except Mus Ms 272 ("Herkunft unbekannt") to the Herwarth acquisition, but they are unrelated to the main body of "ex-Herwarth" manuscripts. Four books contain music for lute duet, Mus Ms 268 (soprano lute)/269 (tenor lute), and Mus Ms 278 (soprano lute) and 271 (tenor lute), and a fifth volume (Mus Ms 272), music in German tablature. The duets consist overwhelmingly of intabulations of French chansons by Janequin (including the "Chant des oyseaux," waggishly called "Bataglia de li ucelli"), Sermisy, Certon, Berchem and others,

madrigals by Festa and Arcadelt, and motets by Gombert and Jachet 31 da Mantua.

The German tablature has numerous concordances with Mus Ms 266, particularly the earlier fascicle containing music by Marco dall'Aquila (details are given in the thematic index).

5. Mus Mss 1511a-h

Maier's emendations to the "Altes Repertorium" indicate that some shifting took place when the packet containing the manuscripts under Mus Ms 1511 were inventoried. The entry reads:

Mus Ms 1511

- VI Tabulaturbüchlein nebst mehrere derley Bruchstücke in Umschlage. 18 8 Hefth. = 1511a-h.

Of these items, the following have come down with original parchment bindings:

1511a: "Libro de Intabulatura di Liuto . . . de Jacomo Gorzanis," dated 1567 (the inordinately famous "well tempered lute book").

1511b: Fifty-seven early Venetian dances in Italian lute tablature.

1511e-g: Three volumes of 17th-century Keyboard music.

1511h: Three part-books containing music for a ballet and dated 1671.

The other lute materials, the fragments in envelopes, apparently found their way into the present 1511c and 1511d,

The contents are listed and identified in Martinez-Gollner, 38-9. The list in the left-hand column of page 38 should be labelled "Mus Ms 268 (Discant)," not "271."

which Maier divided into sacred and secular pieces: the motets (with three Parisian chansons that were added to blank staves on two bifolia containing a motet) became Mus Ms 1511c, and the other "fragments" containing additional Parisian chansons, some Italian dances and three ricercars became Mus Ms 1511d. The two items were originally a single, related group of fragments: both 1511d and 1511c share pieces copied by Hand BX. We have seen a similar division in Maier's treatment of Mus 1503.

It is perplexing, however, to account for all of the materials that the packet may have contained when Schmidbauer listed it in the "Altes Repertorium." He mentions six small tablature books and an envelope of fragments for a total of ten (changed by Maier to eight) "Hefth." 1511a, 1511b, 1511e, 1511f, 1511g (one book each) and 1511h (three part-books) would account for six items, and with the contents of the envelope divided and bound as 1511c and 1511d, Maier's total of eight "Hefth" is reached-that is, if 1511h is counted as a single item; if not, Schmidbauer's total of ten "Hefth" results. But shelf-number 1511 now contains four lute tablatures (1511a-d) and three books of keyboard music (1511e-g), not six, unless some of the larger fascicles (now bound into 1511c or 1511d) are included in Schmidbauer's count of "VI Tabulaturbuchlein." This seems unlikely, however, since Schmidbauer's statement of eight "Hefth" plus an envelope of fragments is probably correct. (Even Maier in his published catalogue calls them "Bogen von Verschiedenen.") If, however, Mus Ms 1503h, four part-books containing Venetian dances for instrumental ensemble, was included originally with the 1511 materials, the proper total

of ten "Hefth" results. These ensemble dances, recently edited by 32
Michael Morrow, have a number of concordances with Mus Ms
1511b, as well as with the German tablature, Mus Ms 272, and the
Marco fascicle of Mus Ms 266. Even more appear in the keyboard
"altvenetianisches Tanzbuch" (Venice, Biblioteca marciana, Ms Ital
33
IV.1227) that Knud Jeppesen has edited and dates circa 1520:

Folio	o Title	Venice: Jeppe- sen No.		Others (Munich, unless noted)	
1	Suspiri				
	Vegnando da bologna	39		266:#169	
2	Munaro in piva	21			
5	Thodora [tuo tene				
	maminal	29		cf. fol. 26	
3	Pass e meggio			266:#37	
	Donna impresare	15		266:#39	
	El marchese de salutio	32	1	266:#45	
	La monicella				
4	Franciosina	2 &	31 6		
	Bernardon				
5	La lombarda	27			
	La geometria				
	Tantarara chiamella				
6	El baitano				
	La castalda	6			
	Ochio che agia fatto				
7	La mantouanella				
	La devota del cor mio				
	El stendardo	[does n			
8	Bison		10		
	La guertana				
	Lodesana	34		cf. fol. 2:	i
272520	La Bresanina	11			
10	Vechio della montagna			266:#144-5	
	Maton, maton				

Morrow, ed., Italian Dances.

32

Jeppesen, "Ein altvenetianisches Tanzbuch;" the volume is edited as <u>Antichi balli veneziana per cembalo</u> (Copenhagen, 1962).

A STATE OF THE PARTY OF THE PAR

Fol .	Title	Jeppesen	Ms.1503h	Others	
11	La man La conciera Ballo del Roi Tu anderai col bacchal Ticte alora In salta- rello	18 on			
12	Hon me la boccalare Saltarello alla visen- tina				
13	Curaza			266:#36a-1	
14	Saltarello ala ferrare Ciarasiano ala bologne El suo saltarello de l	sa	₽.	=Dalza	
8.5	ditta				
15-6	La bataglia francesa i ballo	n			
16	Bregantino			1511d:#15 266:#49	
	La rotta el charo le perso el caro				
18		16		266:#40	
	Son quell duca	24			
	La barcha del mio				
40	amore	17			
19					
do	Ballo della torza Munaro como de usa				
21		19	9	266:36b	
2.1	Saltarello del roi	28		200.300	
	Lodesana	34			
22	La cara cosa	10	7	publ. Bianchini (15- 266:#36d	46)
	Suspiri		puzz		
100000000000000000000000000000000000000	La rocha el fuso		.5	1511d:#20	
24	La lauandara		13	-6 (-1 0	
26	Tuo tene mamina			cf. fol. 2	

Before leaving Mus Mss 1511, it should be noted that Mus Ms

1511b is probably much earlier than suggested by Wolfgang

Boetticher. The manuscript is copied by an Italian hand on paper without a watermark. As suggested by the concordances with the key-board manuscript edited by Jeppesen and those with the fascicle of Munich, Mus Ms 266, containing works by Marco dall'Aquila (d. ca. 1537), the manuscript probably dates from the third decade of the 16th century (certainly not Boetticher's "um 1570-1575").

6. Mus Mss 2986 and 2987

According to Maier's entry in the "Altes Repertorium," the items in Mus Mss 2986 and 2987 were discovered, uncatalogued, within the pages of Mus Ms 2750, one of the large choir-books from the Lassus Kapelle, acquired by the library in 1860:

Mus Mss 2986/2987

Die beiden Mss. No. 2986.2987 lagen unver-zeichnet in Mss. 2750. Eigenthum der Hofmusikin-tendanz-kam 1860 an die Staatsbibl.

None of the pieces in Mus Ms 2750 are found in any of the Munich lute tablatures, although 2986 and 2987 do contain two chansons and a madrigal by Lasso. Mus Ms 2987 is another "Sammel—handschrift" containing Parisian chansons in German keyboard tabasture, a German lute tablature, additional chansons and dances in French tablature, and the Lasso pieces in Italian tablature

Boetticher, <u>Handschriftlich</u>, p. 219. The description is faulty in a number of ways. For example, the pieces are titled in Venetian dialect, not in Spanish, and the manuscript contains no free instrumental pieces or madrigals.

Apel, "Du nouveau," and Bonfils, ed., <u>Chansons fran-</u> coises.

Again, contradictions cause one to question the various entries in the "Altes Repertorium" and in Maier's published catalogue. Were both 2986 and 2987 actually found in the Lasso choir-book? Maier's catalogue ascribes the former to "Herkunft unbekannt," and the latter to "Bibl. Herwart." Given the nature of the treatment of the Herwarth lute manuscripts, it seems hardly possible that they were in use after their arrival in Munich, thus allowing a sheet to have been lost within the pages of the choirbook. If pieces were found in the Lasso choir-book, then it may have been only Mus Ms 2986 and the French tablatures in Mus Ms 2987. The other sheets (which show signs of having been folded) may have been discovered in the Herwarth manuscript, now Mus Ms 267 (an oblong quarto), perhaps being recovered from there after pieces were bound into the Herwarth folio volume, Mus Ms 266.

- 7. Paris, Bibliotheque nationale, Ms. Res 429

 Belonging with a discussion of the ex-Herwarth lute manuscripts is Paris, Bibliotheque nationale, Ms. Res 429. This Italian tablature bears a number of close relationships with the Herwarth manuscripts at Munich and belongs obviously to the same circle:
 - Scribes encountered in the Munich corpus have also entered pieces into the Paris manuscript.
 - (2) One large fascicle of the Paris manuscript uses printed six-line staff paper (identical even to Augsburg watermark) that appears in the Munich manuscripts from timeto-time, and
- (3) The same hand has entered marginalia onto pages in both the Munich and Paris sources, perhaps a teacher commenting on his

student's performance or on the quality of the music.

The manuscript came to Paris only in 1882, being purchased (along with the famous lute book of Louis XIV's translator, René Milleran), having resided shortly before in the library of Professor F. 37 Gehring of Vienna.

The cover, part of a parchment manuscript, carries a barely legible inscription:

This was first pointed out in my Francesco edition, page 16, note 35. The various comments include the following:

37

See the auction catalogue of the Albert Cohen firm, <u>Katalog</u> der <u>musikalischen Bibliothek des Herrn Dr. F. Gehring, Privat docent an der Univsersität Hien</u> (Berlin, 1880), 85.

An Augsburg native, the lutenist Gregor Brayssing, fled to Paris during the Schmalkaldian War of 1552, turning up there as "maître joueur de luth" in July 1553, suggesting a possibility that the manuscript might have been his. His works are known only from Parisian guitar prints, and perhaps from a few lute works attributed to a "Messer Gregorio" in the Munich corpus.

³⁶

Ein göttlich . . . von

der Hällig . . .

Jungfraw Ursula . . . Musica . . .

As Stanley Boorman has kindly pointed out to me, St. Ursula seems to have been particularly venerated in Cologne, and several songbooks for a Brotherhood of St. Ursula were printed in Strassburg, where Melchior Newsidler (whose pieces appear in the manuscript) is said to have travelled to oversee the publication of his <u>Teutsch Lauten-Buch</u> (<u>BrownI</u> 1574/5).

In 1589 an ex-libris was added to folio 1v:

Viue [Boetticher reads: D(om)inel hodie

Sr [Boetticher: D(omin)o] Hortensio de Michi

Cavre d: Sto Steffano, et

[Boetticher: Can(didat) o di . . .]

de chi ben gli vuole

[signature:] Michi

In the 17th century a later owner added a title to the front parchment cover (and perhaps an allemande in French tablature to folio 137):

Francesco Milanese: / Livre tres rare / Italianische Tabulatura auf / die Lautten von der hand geschrie- / ben Allerley länge [Boetticher: Tänze] zusammen / gebracht.

a Mr de Bourseur [this line omitted by Boetticher].

* * * * *

The foregoing observations may be summarized:

- (1) Except for a few manuscript volumes that have survived in original 16th-century parchment bindings, the collection of lute tablatures in Munich originally consisted of a myriad of individual sheets and fascicles that were gathered together and only in the mid-19th century hastily bound into the present volumes. In other words, the bulk of the tablatures were once "sheet music" for lute.
- (2) Maier's attributions notwithstanding, only internal evidence can suggest exactly which portions of the tablatures Friedrich Pommer logged into the ducal library in 1588 as "Ain Pintl oder Fasciculus darinnen Lautter geschribne und zum Tayl getrückte Tabulaturen auf die Lütten, Lautter Kinderwerckh und nichts werth."
- (3) The present volumes were sometimes made up from a variety of materials, and the various "fasciculi" and envelopes apparently became convenient repositories for rather diverse materials, such as the 17th-century Keyboard manuscripts and the ballet music of 1671. Likewise, there is no reason to believe that some portions of the present lute manuscipts might not have resided in the ducal palace before 1588, or have come into the ducal library after that time.
- (4) The nature of the problem is best explained, I believe, with an outline of the scribes and types of paper encountered in the manuscripts. The question becomes even more confusing, should one contemplate the diversity of the types of music encountered on those pages, extending from elegantly copied pieces in an Italic

hand to scribblings from a composer's stable, German tablatures, French tablatures, Italian tablatures, even a Keyboard tablature, frottolas, motets, German Lieder, and so forth.

Mus Ms 266 divides into sections and fascicles by hand and paper as follows:

Folios	Scribe	Watermark/Origin
1-18	A	No. 1 (Bavarian)
19-630	H	No. 2 (Swiss)
64-640	HA	[no watermark]
65-660	BC	No. 16 (Bavarian)
67-760	BY	No. 7 (Bavarian)
77-77~	BY	No. 2 (Swiss)
78-78	82	No. 1 (Bavarian)
79-79∨	BY	[no watermark]
80-800	BY	[no watermark]
81-88	C1	Nos. 3, 5, 6, 11 (Bavarian)
89-960	C2	No. 10 (Bavarian)
91-1810	C1	Nos. 3, 5, 6, 11 (Bavarian)
102-112	C2	No. 4 (Bavarian)
113-1180	BX	No. 12 (Bavarian)
119-119	BX	[no watermark]
1200-123	D	Nos. 8 & 9 (Bavarian)
1240-126	F	[no watermark]
127-1290	L	No. 14 (Italian)
130-1350	ĸ	No. 13 & 14 (Italian)
136-1360	J	[no watermark]
137-138	N	No. 15 (Bavarian)

Mus Ms 1627 breaks into sections by paper and scribe as follows:

Folios Scribe Watermark/Origin

[Portion originally with Newsidler and Kargel prints:]

1-16v A No. 71/72 (Italian)

[Portion originally with Schmid organ tablature:]

17-23 V No. 73 (Bavarian) 24-35 P No. 74 (French)

Ms 267 breaks into sections as follows:

Folios	Scribe	Watermark/Origin
1-10	×	[none]
2-25	v 0	No. 62 (Italian)
26-3	3∨ BC	Nos 67 & 68 (Italian)
36-3	9∨ P	[none]
48-4	9∨ BX	Nos. 65-67 (Italian)
46-4	7∨ J	Nos. 67-68 (sic)
50-5	3∨ F	No. 69 (Italian)
54-5	4v Q	[none]

Mus Ms 1511d:

Folios	Scribes	Watermark/Origin
1-30	т	No. 52 (Italian)
4-50	К	No. 53AB (Italian)
6-70	BC	(additions to above)
8-9~	BX	[no watermark]
10-11	v J	No. 57 (Italian)
12-13	N BC	(additions to Scribe J)
14-14	V BA	(additions to Scribe U)
15-15	iv U	No. 51 (Paduan)
16-17	∿ J	No. 53AB (Italian)

Mus Ms 1511c:

Folios Scribe		Watermark/Origin		
1-90	BX	No. 12AB (Bavarian)		
10-11	v BX	No. 32 (Bavarian)		
12-15	v R	No. 31 (Italian)		
16-17	v S	No. 30AB (Italian)		
18-19	V BC	(additions to Scribe S)		
Mus Ms 298	7:			
Folio	s Scribes	Watermarks/Origins		
1-60	BB/a	No. 41AB (Swiss: Keyboard pieces)		
7-70	XX	[none: German tabl.]		
8-114	88	[none: French tablature]		
12-13	V A	[none]		

Paris, Ms Rés 429, consists of two distinct manuscripts (hereafter $\underline{Paris\ I}$ and $\underline{Paris\ I}$). $\underline{Paris\ I}$ has staves printed on paper with an Augsburg watermark (No. 12AB), and $\underline{Paris\ II}$, staves drawn with a staffliner on Kaufbeuren paper (watermark No. 8).

Paris I

Folios	Scribe	
2	×	
2~-13	A	
13∨	XX	
14	XX	
144-484	A	

Paris II

Folios	Scrit	oe -		
50-960	(a)	forig.	foliation:	1-51v]
97-101	(a)			
1010-107	(b)			
1970-1120	(c)			
113-132	(b)			
1320-1340	(d)			
135-142	(b)			
142~-145	(e)			
1450-1480	(b)			

Some of these questions may be answered, and I will attempt to do so, after placing into perspective Hans Heinrich Herwarth's life and background.

Chapter II

Music in Augsburg and a Financier and Bibliophile of the Renaissance: Hans Heinrich Herwarth

During the last quarter of the 15th century and the first of the 16th, as businessmen controlling money prevailed over feudal lords owning land, the Reichs-Stadt Augsburg grew from 18,303 to over 52,000 inhabitants, surpassing both Ulm and Nuremberg in population and as a center for trade in southern Germany. Salt merchants evelling from Salzburg deposited the appropriate taxes and Florentines used it as a convenient hub for their activities in France, England, The Lowlands and northern Germany. Augsburg became a crossroads to far-flung corners of Europe, and a gateway to the Alps, Venice and trade with the East.

The annual fair, second only to the fair in Lyons, drew visitors from all Europe, and rulers and ecclesiastical luminaries with their retinues of artists, musicians and scholars attended the numerous councils convened there to calm the political and religious turmoils of the day. In turn, Augsburg patrician families sent forth merchants, students, adventurers and

military officers.

Augsburg's favorable mercantile position and intellectual climate spawned immense fortunes, endowing it with great financial and political power. The Fuggers and Augsburg patrician families, such as the Herwarths, Welsers, Hochstettes, Langnauers,

Augsburg's sons served as officers and soldiers in regiments that fought in locales as diverse as Genoa, Hungary, Portugal, and with the Spanish Armada in Pelopennese, and others attended universities in Padua, Bologna, Lyons, Montpellier, Catania (Sicily), Tübingen, Ingolstadt and elsewhere, returning home as scholars, jurists, clergy and doctors of medicine. Some became models of renaissance manners and culture. Conrad Peutinger, for example, served as Burgermeister, diplomat, merchant, scholar, jurist, Latinist, Hellinist, antiquarian and financier, and tactotum for both the Fugger and Welser clans. Hans Heinrich's nephew Hans IV married into his family in 1563.

In 1546 the balance sheets of the Fugger firm showed assets of 7 million florins, and between 1533 and 1535 the profits of the Haug firm, with which Herwarths were associated, increased by three hundred percent. In contrast Lassos's substantial salary for 1586 was 450 florins, a servant's, about ten. A ream of good paper cost three florins.

Joachim Hochstetter and Franz Paumgartner spent 5000 florins on a single banquet in 1522, perhaps the one represented in a painting showing members of the Herwarth and other patrician families.

The fascinating Hans Kleberger (Kleber, or Jean Cléberger; 1486-1546), Lyonnaise agent for many Augsburg financiers, including the Herwarths, left an estate of over 100,000 crowns (=50,000 florins), which he instructed his wife to invest in real estate, and to use in the founding of hospitals in Geneva and Bern, and an orphanage in Lyons for children of victims of the 1531 plague.

Ulrich Linck, who in 1527 married Hans Heinrich's cousin Magdalena (1508-41), left an estate of 276,256 florins.

2

Pfisters, and Haintzels, as well as others of lesser capital but considerable distinction, underwrote the wars and the political and personal excesses of the crowns of Austria, Spain, France, England and Portugal, and the governments of The Lowlands and smaller German states, in some instances exerting influences that changed the political face of western Europe. Fortunes such as these placed Charles V on the throne of Holy Roman Emperor in 1519, and he in turn protected their spice, textile, and mining monopolies.

Augsburg prosperity grew with the fortunes of the Fuggers and Welsers. The former rose during the 15th century from craftsmen (weavers) and traders to merchants and financiers to all Europe, ultimately becoming Europe's wealthiest dynastic family, followed closely by the patrician Welsers.

As early as 1473 the Fuggers established permanent warehouses and apartments at the hostelry of the German merchants in Venice near the Rialto, the Fondaco (Fontego) dei Tedeschi, where Jacob Fugger at age 14 was to earn his spurs. His shrewd dealings with Archduke Sigismund of the Tyrol in 1487 brought mines in the Tyrol and Carinthia to his family, and they turned from traditional transportation and bartering in spices, silk, velvet, furs,

Herwarths were married into all of these families (except for the Fuggers—they were not members of the patrician caste until 1538).

woolens, spices, citrus fruits, munitions and jewelry to gold, silver, and copper mining, and ultimately to banking. To receive fast, efficient accumulation of information about business conditions abroad, they maintained a private post service as early as 1511, and placed agents in the major mercantile and financial centers of Europe, such as Venice, Antwerp and Lyons.

Fugger interests benefited from joint business ventures,

4
which amortized financial risks. These partnerships were
frequently consolidated through opportune marriages among hometown
patrician families, and others in distant places. Thus, by
marrying into the Thurzos family of Cracow in 1492, for example,
the Fuggers cemented a cartel to work the mines of Hungary, adding
to their holdings in Carinthia and the Tyrol. This provided a
solid foundation for monopolistic expansion.

Second in Augsburg wealth to the Fuggers were the Welsers, with whom the Herwarths also developed ties. In 1505 Anton

Considerable detail about 16th-century German financial and mercantile activities, as well as mention of some Herwarth participation, is given in Ehrenberg, <u>Fuggerzeit</u>, passim.

Welser in partnership with Portuguese, Italians and other Germans, including the Fuggers, outfitted an expedition to tap South American riches by colonizing Venzuela, sowing the elements of German culture that still linger in "little Venice," as they called it. They also traded in the Middle East with a fleet of ships that sailed regularly from Bari.

With their agents Sebastian Seitz, Lucas Rem (an in-law) and Conrad Peutinger, the Welsers also negotiated with the Portuguese crown to open trade contacts in the East Indies, and imported spices around the Horn from India, returning the partners a profit of 174 percent. The firm, led by Bartholomew Welser, prospered mainly thereafter by trading in spices between Lisbon and Antwerp, where low import and export duties and a bourse open to all nationalities were soon to make Antwerp the richest and busiest city in Europe. At the time of its incorporation in 1518 as

Matteaeus IV Herwarth (fl. 1485) married his daughter, ca. 1500. Anton Welser was married to a Meuting, the family that often served as agents in Antwerp for German merchants, including the Herwarths.

Dorothea I Herwarth married him in 1520; her maternal grandfather was Leonhard Laugner.

⁷Hans Heinrich's brother Hans Paul married Bartholomew
Welser's daughter in 1544.

Bartholomew and Anton Welser & Co., the partners included Hans
8 9 10

Haintzel, Ulrich Honold, Marx Pfister, Simon Seitz, and the
11

brothers Anton, Hans and Narciss Laugner.

By 1538 the firm, still led by Bartholomew Welser, had established offices in Genoa, Venice, Aquila, Milan, Antwerp, Lyons, Vienna and even London, and had begun to embark on international

10

Hans Heinrich's second wife was Maria Pfister, whom he married in 1564. Pandolfo Herwarth (1514-1585) married Marx Pfister's daughter in 1551. Pandolfo had in 1537 been elected consul of the Fondaco dei Tedeschi in Venice, the palacial hostelry of German merchants. They patronized Titian, Veronese, and seem to have had numerous associations with Venetian musicians, including Bartolomeo dal Fontego, Sylvestro di Ganassi dal Fontego (his treatise, Fontegara seems to derive its name from it), and Domenico Bianchini.

Other "cottimieri" from Augsburg are listed in the Appendix. They included Ulrich Weiblinger (1551). The portrait by Paulo Veronese perhaps painted in Venice and discussed at the end of this chapter, pictures four young Augsbugers whose names are familiar, a <u>Pfister</u> playing the Regal with a <u>Manlich</u> working the bellows, a <u>Weiblinger</u>, the flute, and a <u>Herwarth</u> the viol.

11

⁸Hans Heinrich married his daughter in 1544 and served with him on the Augsburg Stadt-Gericht.

Hans Heinrich's sister Barbara II (ca. 1520-1550) married a Dominici Honold in 1538, and Ulrich II Herwarth's mother-in-law was a Honold.

Christopher I Herwarth (who along with his brother Georg I) appears to have founded Herwarth interests in international finance, had in 1492 married Elisabeth Pfister, daughter of Leonhard Pfister and granddaughter of Laugner.

12

finance. Bartolomew's son Christopher and his nephew Marcus (d. 13 14 1596) succeeded to the business in 1551. The firm survived

12

Ulrich II Herwarth (1539-1586) married his daughter in 1568. This is the Ulrich to whom Giacomo Gorzanis dedicated his manuscript book of passamezzos in all keys.

13

Marcus Welser's name appears on the binding of one of the Munich manuscripts, Mus ms 1502. It is unclear whether this manuscript, with Welser's name in gold on the back cover, was acquired in the Herwarth acquisition. Several members of the Herwarth family, including Hans Heinrich and his his closest brother Hans Paul, had married Welsers, and the families had close business associations as well. Marcus Welser was, however, also advisor to Wilhelm in the expansion of the library (as we have noted, he suggested a union catalogue of manuscript holdings in Bavarian abbies and cloisters). It is not possible to tell if the manuscript was acquired separately, or with the Herwarth materials. Pommer placed little monetary value on the manuscripts and his descriptions of them are especially vague. But it does signal Marcus' interest in music. A few of the pieces are concordant with intabulations in the lute manuscripts.

14

They raised a loan of 81,000 gulden in 1552 to assist the Antwerp government in sending a flotilla to Spain for American silver to stabilize Flemish currency, and in 1554 along with the ImHofs supported the city of Nuremberg with 60,000 florins for the war against Albrecht, Margrave of Brandenberg. Between 1551 and 1561 the firm had negotiated loans to the French and Spanish (!) courts, Archduke Ferdinand (212,000 fl.), Albrecht V of Bavaria, the governments of The Netherlands and Flanders (175,000 fl.), and the cities of Augsburg and Nuremberg (525,000 fl.).

several financial crises, and finally attained bankruptcy in 15 1614.

Herwarth participation dates from 1498-99, when the brothers George I (1464-1529) and Christopher I (1461-1508) joined with the Fuggers, Hans and Georg Grossenbrot, and Hans Paumgartner in a syndicate to control the copper market in Venice. In 1511 they branched out on their own, and with the Florentine banker Filippo Gualerotti they supported the Netherlands's war in the Guelders with a loan of 29,000 livres artois, followed shortly with additional funds for the court at Brussels and a loan of money and cloth to King Ferdinand of Austria. In 1522 the cousins Marcus (1468-1529), Erasmus (ca. 1480-ca.1535), Mathias II (1510-84) and Hans II (1475-1528) joined with their father and uncle Christopher I in a firm that initiated what became a series of large loans to 16 the Netherlands. This Hans was Hans Heinrich's father.

¹⁵

Whereas Jacob Fugger had amassed a fortune by foreclosing on Tyrolian copper and silver mines when Sigismund of Tyrol was unable to repay his debts, the 16th-century financiers often loaned great sums of money against little or no security. When creditors were unable to repay loans, severe crises resulted, such as that of 1562-65 precipitated by the failure of Minkel, Obrecht and Ebner, an Augsburg bank in which many south Germans had invested. Although most houses, including the Fuggers, barely survived, the Welsers weathered the difficulties, even making a loan to Edward of England in 1565. But when disaster struck in 1614, the firm had unrecovered loans of over a half million florins owing from Archduke Ferdinand, the Landgrave of Hesse, the King of Poland, the Holy Roman Emperor, the Elector or Mainz, and an assortment of individuals and cities. Marcus Welser's son died in debtors' prison.

¹⁶ Georg I had died in 1508.

Their agent in Antwerp was Georg Meuting, whose family had as early as 1516 established offices there (Meuting's firm was succeeded around 1538 by Conrad Rehlinger & Co.). Meuting's other 17 18 clients included Carl Rehlinger and Hans Paumgartner. By 1542, in order to further their interests in the Lowlands, the "heirs" of Hans II Herwarth (which heirs are not specified) had established a business house in Antwerp.

At home, Augsburg wealth supported the arts of painting, architecture, humanistic scholarship and music, making Augsburg a cosmopolitan center worthy of comparison with Medician Florence.

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¹⁷Hans Heinrich's brother-in-law. In 1554 Carl Rehlinger
was elected consul of the Fondaco dei Tedeschi in Venice; Hans
Heinrich's sister Helena I (1522-70) married Heinrich Rehlinger in
1541.

¹⁸Hans Heinrich II married Paumgartner's daughter in 1581.

The cultivation of music in Augsburg is well-documented.

Ludwig Senfl, Heinrich Isaac, Ottmar Luscinius, Sebastian Virdung,

Jacob Kerle, Orlando di Lasso, Johannes Eccard, Adam Gumpelzhaimer,

Gregor Aichinger and Hans Leo Hassler, as well as the organists Hans

Rem, Bernard Leucker, Georg Scharpf and Paul Hofhaimer, all were resident in Augsburg for a time during their careers.

Many of these composers and musicians were doubtlessly drawn to Augsburg to serve the Fugger family as resident musicians, or to ply their profession in the foundations supported by the Fuggers, such as the school and church of St. Anna. Lasso, for example, enjoyed an especially warm relationship with Augsburg and frequently visited there. Cardinal Otto Truchsee von Waldburg, prince-bishop of Augsburg between 1543 and 1575, was responsible for having Lasso's music performed at the Vatican, and Johann Jacob Fugger recommended him to Albrecht V in 1556, resulting in Lasso's distinguished tenure in Munich.

Although the city harbored its share of "Lautenschläger," it also attracted noted master lutenist-composers, so valued that

See Schmid, "Augsburg," Schmid, "Das goldene Zeitalter der Musik in Augsburg," Layer, "Musik und Musiker der Fuggerzeit," and Wegele, ed., <u>Musik in der Reichstadt Augsburg</u>. For bibliography, Schaal, "Ausgewählte Schriftum zur Augsburger Musikgeschichte."

their remuneration often exceeded that of other musicians. Veit Bulling, teacher of Felix Plattner, was active there for a time. Gregor Brayssing was born in Augsburg, but fled to Lyons in 1547 (following the Protestant defeat in the first Schmalkaldian War) and then settled in Paris around 1552, where he published several books of guitar music; his works for lute are lost, except possibly for several pieces in the Munich manuscripts that come down under an attribution to "messer Gregorio."

The Augsburg lutenist Georg Sigismund Seld was named Reichs-vizKanzler in 1547, and Jacob Ellend, who was active in Augsburg between 1554 and 1566, settled finally in Königsberg to sell lutes. During the early 17th century, Jacob Reys (Pollach) and, of course, the Burgundian scholar, doctor of medicine and jurist, Jean-Baptist Besard, compiler of the famous lute books, resided in Augsburg.

Perhaps the most important lutenist to live in Augsburg was Melchior Newsidler, son (not brother) of Hans Newsidler. Melchior arrived there in 1552 from Nuremberg, at about the time that Brayssing departed, followed shortly thereafter by his lesser known brother Conrad. He was leader of the city "stille Musik" and his patrons included the Fuggers, who supported him in his 20 gouty old age.

²⁰

For details, see Layer, "Newsidler," as well as <u>The New Grove</u>, XIII, 156-8. He also served in Innsbruck, but (ironically, perhaps, in view of his later infirmity) was dismissed for eating meat during Lent.

Among Newsidler's students may be counted Georg Hofstetter, who was born in Landsberg am Lech, served in Heidelberg in 1584 and later in Stuttgart, and is known from pieces titled in Hebrew script in an immense three-volume lute book now at Donaueschin-21 gen. Another possible Newsidler student was Georg Reismüller, resident in Augsburg around 1554, in Stuttgart from 1571 to 1590, and in Tübingen in 1602. Reismünler's brother Laurent left in-22 teresting lute books which survived until recently. The blind lute virtuoso from Bari and Trieste, Giacomo Gorzanis may also have travelled to Augsburg, since he is known to have been north as least as far as Carinthia, and dedicated one manuscript book to a Herwarth (Mus Ms 1511A; it is copied on paper manufacturered in Munich).

Donaueschingen, Fürstlich Fürstenbergische Hofbibliothek, MS G I 4 (3 vols.). This manuscript is omitted in Boetticher, Handschriftlich. South-German lutenists represented in it are Hieronymous Faber, Conrad and Melchior Newsidler, Georg Hofstetter, and Christopher Volker; many printed works are "translated" from other tablature systems, including a lost print of intabulations of music by Rore made (or published?) by Giacomo Vincenti.

Vesoul, Bibliotheque de la Ville, MS 711 and 698 (olim 9287). The books contained 210 pieces in French, Italian, and Neapolitan tablatures, those in the latter system being of interest to us because of the connection with pieces in another ex-Augsburg manuscript, Paris, Rés 429, discussed below. For information on the two Vesoul manuscript, see Brenet, "Notice."

The city also supported at least three lute makers, Sixt

Rauchwolf, Laux Bosch and Christoph Schissler; Melchior Newsidler recommended the latter to King Ferdinand I of Austria.

The Welsers established one of the first printing presses in Augsburg, and several printers, Schönsperger, Zainer, Schlüsser and Baemler, also owned paper mills to feed their presses. In the mid-century 71 scribes ("Briefmaler") were active there, although a glut resulted and apprentices were required to seek work elsewhere upon completion of their contract. The firm of Sigmund Grimm and Marx Wyrsung employed composer Ottmar Luscinius as proof reader, and their editors included the humanist and financial agent for the Welsers to Maximillian I, Conrad Peutinger.

Augsburg became, second only to Nuremberg, the leading German center for music publishing. Johannes Schlumberger published 23 one of the first German lute books there, and hundreds of Lieder, motets, masses and chansons issued from the presses of Hans Fröscher, Erhard Oeglin, Melchior Kreisstein and Sigmund Sal-

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Cythare germanice tabulature (Augsburg, ca. 1525, or 1532). Now lost? A copy was in the library of Ferdinand Columbus, Seville, and contained 28 pieces. The title suggests guitar music. If so, it antedates by many years the earliest surviving pieces for four— (not seven—) course guitar in Melchiore de Barberiis's book (Venice, 1546). Gregor Brayssing (d. ca. 1560) was, however, a guitarist active in Augsburg before 1547.

minger and Valentin Schreck, to cite but a few. Music dealers
were also present in Augsburg. The firms of Georg Willer and
Kaspar Flurschütz regularly published catalogues of their wares
24
for the Frankfurt fair.

Members of the Fugger family were frequently named as dedicatees in Augsburg music prints, as well as in those published abroad, including collections of music by Luscinius (1524), Salminger (1546 and 1548), Manfred Lupi (1560), Aichinger (1595), the Gabrielis (1587 and 1595) and Vecchi (1576). Other patrician families also fostered music in Augsburg, as illustrated in a few additional dedications:

Marcus Welser by
Johann Langenauer by
Ulrich Herwarth by
Melchior Linck by
Jacob Welser by
Marcus Thenn by
Hieronymous Herwarth by

Hubert Waelrant (1555),
Melchior Newsidler (1566),
Giacomo Gorzanis (1567),
Jacobus Kerle (1574),
Friedrich Lindner (1591),
Jacob Paix (1594) and
Adam Gumpelzhaimer (1591
and 1595).

All of these dedicatees are relatives of our Hans Heinrich Herwarth, and were members of patrician families with close financial and mercantile associations as well.

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See Schaal, "Georg Willers Augsburger Musikalien," and idem., <u>Die Kataloge</u> . . . <u>Flurschütz</u>.

²⁵

For a complete list, see William E. Hetttrick, "Fugger," New Grove, VII, 8-9.

Hans Heinrich Herwarth was thus born into a patrician family which moved at the center of south German mercantile and financial consortia, as well as of scholarship, music and the arts. It is unfortunate that he does not stand as boldly from the past as his more active kith and kin. The Herwarths were one of the oldest 26 patrician families of Augsburg, and may be traced in the civic affairs of Augsburg, Ulm, Memmingen, Donauwoerth, Rothenburg and Esslingen from the 13th century into the 20th.

Hans Heinrich (15 December 1520-29 July 1583) was the fourth surviving child of Hans II Herwarth (1475-1528), a mayor's son from Ulm, and Helena von Schellenberg-Rieder (1490-1558). Hans Heinrich's father is, therefore, the probable first owner of

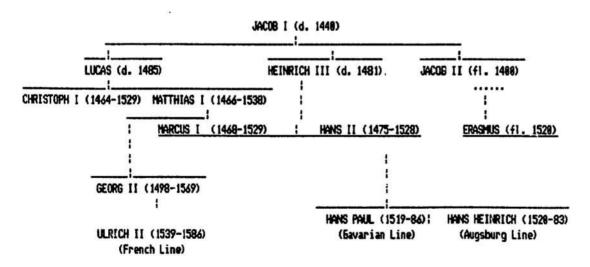
Four Herwarth genealogical lines are usually recognized as

²⁴

A Heinrich Herwarth was a member of the Augsburg town council (Rath) in 1275. Between 1380 and 1586 a selective list includes two tax collectors (Steuer-Herr) four mayors (Burger-meister), eight members of the judiciary (Stadt-Gericht) and 13 members of the town council (Stadt-Rath); all are directly related to our Hans Heinrich (many other town officials were related by marriage). A recent ambassador to Italy from the Federal Republic of Germany is a namesake, Hans Heinrich Herwart von Bittenfeld, descended from one of the 16th-century Hans Heinrich's uncles.

the Herwarth Liederbuch (dated 1513; now in Augsburg) with its works by Josquin-generation composers, a volume of such sentimental value that it was withheld from the materials sold to Duke Wilhelm after Hans Heinrich's death. Only in 1621 did his sons Hans Heinrich II (1553-1622) and Hieronymus (1572-1626) bequeath

stemming from the "pater familias" Peter I Herwarth (fl. 1339): the Augsburg line of our Hans Heinrich, the Bavarian line ("von Hohenburg") of his brother, and the Mürttemberg ("von Bittenfeld") and French lines extending from second cousins. These are summarized in the following table and in greater detail in the genealogy in the appendix, where information about birth and death dates, civic offices and in-laws is given.



From Seifert, Hochadelische Stamm-Tafel, Herwart.

27

it to the Singschule bey St. Anna.

The city had been governed by two groups of nearly equal power, the "Gesellschaft der Herren," consisting of patricians, and the "Mehrerer des Gesellschaft," representing the city's 17 28 guilds. Hans Heinrich himself was involved in town affairs and joined the Stadt-Gericht in 1548 (he may have trained in law). His colleagues included Anton Haintzel and Hans Rehlinger, both relatives by marriage, and a cousin, Marx II Herwarth (1527-1593). From 1567 until his death Hans Heinrich also represented the Herwarth "Geschlechte" on the Stadt-Rath, sitting with Johann Jacob Fugger (who had assisted in the fourding of the Munich ducal library), Bartholomew and Mathew Welser, and Simon ImHof, among others.

<sup>27
2/</sup>Cod.142a, indexed in Gottwald, <u>Handschriften</u> ... <u>Augsburg</u>, pp. 4-10. Also see Eitner, "Ein Lieder Codex." In the 18th century the manuscript was singled out for mention by Paul von Stetten, the younger, in <u>Kunst</u>-, I, 524.

If Hans Heinrich were indeed a talented musician, and talent is inherited, it might be noted that two of Hans Heinrich's ancestors were members of the Bach family.

²⁸

As a result, Augsburg guild rules dealt more with financial and political matters than (as in most other German cities) with professional standards, apprenticeship contracts, and the like. The strongest guild, because of their wealth, were the "Kaufleute," who married regularly into patrician families.

In 1574 he was also appointed Steuer-Herr. Langenmantel provides 29 information about Augsburg civic bodies and their organization. His discussion centers around changes during the time of Hans Heinrich's taking office, a period of unrest when the guild of merchants was confronting the patricians, and winds of religious turmoil were abroad. Thus, in 1548 when Hans Heinrich joined the Stadt-Gericht:

Wegen Besetzung des Staats Gerichts kamen an eben diesem Tag die beyde Herren Stadt-Pfleger und fuenff Geheime Nachmittags um zwey Uhr zusammen und berathschlägten sich, wie dieselbige am fuergelichsten koennte fuergenommen werden. Worauf Sie Sonntags darauf sich zu dem Kayerl. Rath begaben und die Einrichtung derselbigen auf obstehende Art machten. [re: 1548]

Langenmantel describes a later, but futile effort at reorganization by Charles V, who feared that Augsburg Protestants might oppose the Hapsburgs:

Nachdem diese Kayserl. Decret. [von Carlos Ü, 1552] eingelaufen, würde zu gewohlicher [sic] Zeit die Raths Wahl gehalten, und von den Geschlectern nicht mehr als drey Eurgermeister erwoehnt, welcher einer von der Mehrern Gesellschaft der Herrn, einer von den Kaufleuten, und einer von den Handwerkern zugegeben wurde, und zwar also dass jedesmahl einer von diesen dreyen neuen Burgermeistern vier Monat lange nebst einem von den Herren regieren sollte.

Both paragraphs refer to city affairs growing out of the first

²⁹

David Langenmantel, <u>Histoire des Reqiments in dem Heil</u>. Rom. Reichs Stadt Augsburg (Augsburg, 1734), pp. 162, 93.

and second Schmalkaldian wars, which Hans Heinrich could hardly have avoided, if he did not take an active role.

Towards the end the 15th century, many patrician families had died out, leaving only eight, the Herwarths, the Ilsungs, the Welsers, the Rehlingers, the Haintzels, the Hofmaiers, the Ravens-burgers and the Langenmantels. Due to this diminished patrician influence, a new class was formed in 1538 to include, among others, the non-patrician Fuggers and Paumgartners with their great wealth and political ties to the Hapsburgs and Charles V.

Thus 37 families who had lived in Augsburg for 50 years and had married into the old patrician families were named to this new patrician 30 caste. Since many of the prominent older patricians maintained their Catholicism, while some newer patricians and members of the "Kaufleute" supported Protestant factions, the new order set the stage for difficulties during the Schmalkaldian confusion.

In 1552 the Protestants prevailed over the harassed Charles
V's mandate, and Hans Heinrich and other Catholics were forced to
flee. Since a daughter was born in Munich in that year, Hans
Heinrich may have settled there for the duration. Both his firm
and Bartholomew Welser's were seized by the Schmalkaldians and

39

Details are given in Dirr, "Kaufleute und Kaufleutestube in Augsburg," 135 ff.

31

wheat that had been harvested on their lands was confiscated.

It is also at this time that an apparent lacuna appears in Hans

Heinrich's music purchases, as we have seen, only to be resumed in

1553-54 in Antwerp, where he may have guided the Herwarth

interests, established there since about 1542.

His cousin Ulrich (dedicatee of the Gorzanis manuscript, Mus Ms 1511A) may have been Protestant. He opposed papal calendar reforms in a stormy meeting before the town council in 1573, but was chosen by Emperor Rudolph II, along with Andreas Harder and Michael Mayr (the publisher?), as his personal representatives to 32 settle a quarrel between Ulm and Weissenborn in 1577. He was also involved in a squabble with the engraver and teacher Hans Rogel, whose engraving of the "Nachtmahl" had been printed without 33 authorization by Valentin Schoenigk in 1579. Ulrich participated with other Harwarths in the bourse at Lyons.

³¹ Ehrenberg, <u>Fuggerzeit</u>, p. 64.

³² Stetten, <u>Geschichte</u>, I, 660 and 619-20.

Radlkofer, "Die . . . Leistungen des Hans Rogel," 3-4.

In 1574 his son Daniel, who was to establish the French Herwarth line, was born there. His descendants negotiated so well with Tureen's troops that the course of French history was changed, causing Mazarin to declare that a Herwarth had rescued France and preserved the crown. The French line later settled in England.

Augsburgers were regularly elected to head the Fondaco dei Tedeschi, the important German hostelry near the Rialto in Venice—designed by Dürer in 1510 and decorated inside and out with the works of Titian, Tintoretto, Veronese and others, reflecting the palatial wealth of its owners. Hans Rehlinger, Hans Heinrich's brother—in—law and colleague on the Stadt-Gericht, was elected co—consul of the Fondaco dei Tedeschi in 1554, sitting with one David Ott.

David Ott was elected consul of the Fondaco in 1546, 1548, 1554 and 1555. He died in 1579 at the age of 72, and was buried in Venice, where his wife Baduaria (=Baldericka?) erected a tomb—35 stone in his memory. He relinquished his duties during 1546, the year of the death of the Nuremberg book dealer and publisher of Isaac's Choralis constantinus, Johannes Ott. It seems highly probable that the Venetian David Ott is identical to the David Ott (son of Johannes) who headed the Nuremberg book firm with his widowed mother (did he return to Nuremberg upon hearing of his 36 father's death?).

³⁵The inscription is given in Simonsfeld, appendix.

The evidence is not completely conclusive: the Venetian David Ott was about the proper age, but birth records that would establish the Nuremberg David Ott's exact age are not extant from Regensburg and Gauting in Kreuzing, where Johannes Ott was active during the first decade of the century, and I have been unable to locate archival records in Nuremberg that provide the name of his wife. Nuremberg death records are, however, available for the latter half of the 16th-century and no David Ott is recorded as having died there.

Thus an important link between German and Venetian music publishing may have been forged within the Fondaco. Ott was coconsul in the year that Domenico Bianchini dedicated his lute book to the merchants of the "Fontego allemanni," a volume that contains pieces which Gerle edited (from among others in Venetian lute books). Gerle's volume was published in Nuremberg by Hieronymous Formschneider, guardian of David Ott's minor brothers and sisters.

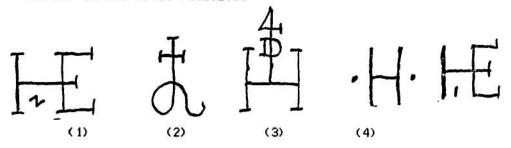
The Venetian David Ott was engaged in the sale and transportation of books, and was agent for the Fuggers, Augsburg Jesuits, and Father 37 Peter Canisius; Hans Heinrich Herwarth may also have been his client.

Hans Heinrich's closest brother was Hans Paul Herwarth von Hohenburg (1519-1586), son-in-law of Bartholomew Welser. He served as Hof-Praesident at the Munich court of Albrecht V of Bavaria, was ennobled as "Reichsfreiherrnwuerde" by Charles V in 1554 and granted "Hof- und Edelmannsfreiheit von Hohenburg" by Albrecht V in 1572. In that year he visited Venice on the Duke's behalf, and by 1574 was registered in Lyons' "Handelregister," as "Jehan Paule Heruartt," successor to the

See Lehmann, <u>Fuggerbibliotheken</u>, passim, and especially I, 61, 89-91, as well as below.

39

firm (earlier records have not survived.) The firm's mark is similar 39 to one in the Marco Fascicle:



(1) Hans Paul and predecessors; (2-3) Daniel; (4) Mus Ms 266, fol. 48v.

Henry II, "his father's equal in delight in war and his 40 superior in prodigality," encountered difficulties when his own schemes in banking failed. Claims had grown to 1.5 million crowns, due to wars, including support of Maurice of Saxony against Charles V in the second Schmalkaldian War. Hans Heinrich and Hans Paul (finances did not seem to honor political alliances in those days) collaborated with 46,500 crowns in the massive loan for Henry II, negotiated from Lyons in 1555. One wonders if the Herwarth family did not have earlier Lyonnaise contacts through Hans Kleberger, "le bon Allemand" (who was hated in his native

³⁸ Hees, p. 240.

Mus Ms 266, fol. 48v has the remark "CARO a H. HE." with HE as a monogram attached to a ricercar by Marco dall'Aquila.

⁴⁰ Ehrenberg, Fuggerzeit, p. 254.

41

Nuremberg, however). The German contribution totalled 720,925 crowns. A few now familiar names, in addition to Hans Heinrich and Hans Paul, appear in the list of German sponsors; several were related to the Herwarths:

Hans and Lucas Iselin

Relatives (?) of Ludwig of Basel, compiler of

the lute book

Sebastian and Leonhard ImHof

Kleberger in-laws

David Kleberger

Son of Hans; he was soon

in debt

Bernhard and Philipp Meuting

Hans Jacob (Hans Heinrich's brother) married a Meuting, as did Dorothea

I and Matthaeus IV

41

For details, see Ehrenberg, "Kleberger." Kleberger (1486-1546), whose portrait appears on medals and in a painting by Durer, travelled widely representing south German merchants in Italy, Switzerland, Lyons, Paris, Strassburg and Antwerp, and had a brother who matriculated at the University of Wittemberg. Hans was born and first worked for the ImHofs in Nuremberg, but later obtained citizenship in Bern and Lyons. In 1535/36 Francis I granted him French citizenship. He sided with the French against Charles I, and is said to have saved Francis I's life at the Battle of Pavia. He returned with him in Paris in 1526. Between 1526 and 1530 he resided in Nuremberg, but was named "valet de chambre ordinaire du roi" in 1543 and in 1544 "Herzog zu Castel-lard und Villamondt." He was acquainted with Hans Paumgartner of Augsburg in 1530, visited there several times, and represented many Augsburg merchants in Lyons. I have been unable to examine what is a horoscope for a "Cleeperger" that came to the Vienna National Library from the Fugger collection (Codex 18650).

42

Ehrenberg, p. 255, note 48. Some additional business/marriage ties are outlined in "Interlocking Directorships in a few Augsburg Patrician Firms," Appendix A.

Christian and Gabriel Neidhart Hans He

Hans Heinrich's sister, Helena, was

married to Sebastian Neidhart

Vincenz Pirkheimer

A Kleberger relative

Ambrosius and Hieronymous Rem

Hieronymous (Hans Heinrich's son) married Ambrosius's daughter

Jacob, Hieronymous and Sebastian Welser As we have seen, Hans Heinrich had married a Welser, as had other Herwarths

Hans Heinrich and Hans Paul also joined Melchior Manlich in a partnership which ended in disaster. Failures of various Augsburg banking firms, caused by huge unsecured loans to crowned heads throughout Europe, reached a height around 1573 with some families attempting to regain their wealth by returning to manufacturing, or even, as in the case of the Langnauers, Manlichs and Shores, resorting to experiments in alchemy.

At this perilous time the Herwarth brothers contributed 120,000 franks (=24,000 florins) to a Manlich-led venture to build ships at a cost of 40,000 florins each in Marseilles to trade from there to Soria, Tripoli, Alexandria, Cyprus and Lisbon. In 1574 when religious wars in France and unrest in The Netherlands blocked passage out of Marseilles, the accumulated goods perished in harbor warehouses. Among Manlich's (and the Herwarth brothers') debtors was Albrecht V, who was unable (or unwilling) to settle his account, and the firm failed. Emotions ran high and one of their creditors ran through the streets of Augsburg shouting about Manlich's perfidy. Hans Paul weathered the financial storm in a family retreat at Hohenburg and Manlich at Winden.

43

In 1576 (not 1567) Hans Paul settled permanently in Munich.

Hans Heinrich's activities at this time are unknown, but he and his family probably remained in Augsburg in reduced straits, although it seems not to have discouraged his music purchases: 1574-76 imprints account for nearly 10 percent of the library. This business failure may account for his heirs offering the library and "khunst stückh" to Wilhelm II in an attempt to regain what must have been only a fraction of the family's former wealth.

Yet, in contrast to the eclat left by his politically more active relatives, Hans Heinrich remains an obscure personage, as might be expected of a bibliophile who compiled one of the great renaissance private libraries. The Munich Hauptstaatsarchiv holds two large bundles of Herwartiana, all of which stems, however, 44 from the Munich branch of the family, founded by Hans Paul.

Included are diplomas of the latter's son Hans Christoph from universities of Bologna (1576) and Catania in southern Italy (1580); he also studied theology at Bologna in 1581, a year in which Herwarth's last large Venetian book purchases were made. A few additional articles are in the Bayerische Staatsbibliothek, including documents regarding the acquisition of Hans Hein-

⁴³Details are provided in Roth, "Zum Bakerott der Firma
Melchior Manlich."

⁴⁴Seneral-Registratur 1079, No. 33 and 34: Hoerwarth.

rich's library, which concerned us earlier. The Augsburg Stadtarchiv has two letters, one from Hans Heinrich to his father-in-law and another from his brother to him, as well as the "Herwarth 45 Geschlectbuch," containing the family's coats-of-arms. Before World War II a number of documents existed in a private archive, but were "durch Kriegseinwirkung grossenteils verloren gegangen, 46 bezw. unzugänglich geworden."

Herwarth did, however, reach far in search for items for his library, and in October 1551, sent thanks to a Basel publisher for his 47 assistance in obtaining books with greater ease than from Lyons:

Jo. Henricus Herbordus gratias tibi agit de curatis libris et rogat ut caetetos quoque in Germania praesertim excusos (nam Gallicos Lugduni facile per procuratores suos comparare potest) sibi cures, quo ad sine molestia tua id facere possis.

Lacking more extensive records, much must be surmised about Hans Heinrich's life and activities. Only a few details shine through.

In Keeping with the usual education of members of his class, his earliest education may have taken place at one of the Fugger

A5
Namen und Sach Register III: 1575 1/1 and 1547 2.9/1,
respectively, and "Ehrenbuch der Augsburger Patrizierfamilie Herwart," 66.

Letter from H. W. Herwarth von Bittenfeld, dated Heemstede, 15 September 1967.

⁴⁷ Quoted in Lehmann, I, 55.

foundations, such as the parochial institution of St. Anne's, where Paul Hofhaimer, Ottmar Luscinius and Bernhard Rem were active during the 1520s. Many patrician sons studied there, including Hans Heinrich's son Hieronymous (1572-1626), who became one of Adam Gumpelzhaimer's "splendore generis ornatis, pietatis, virtutis et doctrinae studiosis adolescentibus," and "ornatissimus adolescentibus, nec non indolis ac spei optimae pueris." Other dedicatees include familiar patrician names, all of whose families were related by marriage to the Herwarths: Ulrich Linck, Anton and Jacob Seitz, Heinrich Schott (Tyrolensis), and David and Marcus 48 Schaller.

Paul von Stetten and his son, the historians of Augsburg,
49
describe Herwarth as a <u>Gelehrter</u> and <u>doctor</u>, and he undoubtedly
followed his peers to universities in Italy (Padua?) and/or France
to study during the late 1530s, and to acquire a taste for Cicero,
Caesar, Livy, Quintilian, Pliny, Seneca, Horace, Vergil, Plutarch
and other classics, whose works in manuscripts and prints, some
dating back to the 15th century, graced his library shelves

⁴⁸According to the dedicatory prefaces to his <u>Compendium musicae</u> (Augsburg: Schreck, 1591) and <u>Compendium musicae latino</u> (idem., 1595).

Stetten, <u>Geschichte</u>, II, 20. Herwarth is nowhere else mentioned as <u>doctor</u>, however.

before becoming part of the ducal library in Munich.

A Liederbuch now in Munich has an ex-libris dated 1616 indicating that it belonged to another son, Hans Heinrich II, when he studied at the Jesuit College and then at the University in Ingolstadt, matriculating there in 1574. He made significant contributions in mathematics and his papers have survived. Both sons may have been following in their father's steps.

A "Stammbuch" belonging to Hans Heinrich's nephew has survived. Entries on nearly all of its some 300 folios testify to the wide-ranging travels and extensive acquaintances of at least one Herwarth. This Heinrich Herwarth (1602-1658) became a doctor of jurisprudence and scholar, and after 1653 was advisor to Emperor Ferdinand III. The entries date mostly from the 1620s, and include a number of fellow students and professors at Tübingen (ca. 1622-3) and Frankfurt (ca. 1626), and many friends from Bremen. Interests of his friends in music are reflected in some entries accompanied by drawings of musicians. One of

⁵⁰ Munich, Universitatsbibliothek, Cod. mscr. 2/700.

⁵i Munich, Bayerische Staatsbibliothek, Cod. bav. 608: "Miscellanea mathematica (Aus Herwarths Nachlass)."

⁵² Bayerische Staatsbibliothek, Cod. germ. 3276.

lute, viol and flute players is signed by Heinrich Rehlinger and dated
53
1621; others include a battle among musical instruments, signed "IRT,
ICD, HG"; and the sketch of a lutenist by Johann Kraus of Augsburg,
dated 1623. Among the names in the "Stammbuch", are Matthaeus and
Gabriel Mylius, "Vindelicus" (1624), members of the family of J. D.
Mylius, compiler of the famous lute anthology.

Like his contemporary Felix Plattner, who studied lute with the Augsburger Veit Bulling and was known as "l'allemand du lut" while a student of medicine in Montpellier, Hans Heinrich may have taken up the instrument at an early age and cherished it throughout his life, as the tablatures at Munich seem to testify: some were originally described by Pommer, as we have seen, as "Kinderwerck," and others are mature compositions. Hans Heinrich's life may have paralleled that of another Augsburg amateur lutenist and, likewise, a collector of art and "antiquitates," Philippe Hainhofer (21 July 1578-23 July 1647). His two immense lute books of 1603-04, now in Wolffenbüttel, contain a retrospective anthology of Augsburg masters, including Conrad and Melchior Newsidler.

Hainhofer was the son of a rich Augsburg merchant, who

⁵³

Rehlinger, member of an Augsburg patrician family and possibly Hans Heinrich's nephew, may have derived his picture from the Veronese mentioned before, and discussed below.

emigrated to Ulm during the unrest surrounding the new Gregorian calendar, which also occupied some of Ulrich II Herwarth's time. Hainhofer studied with Hieronymous Bechler, and in 1594 he traveled to Padua to study rhetoric and science. He matriculated at the University of Siena in 1596, where he earned a degree in law. He then wandered through Italy, and northwards into The Netherlands, returning to Augsburg in 1598 by way of large mercantile German cities including Cologne. In Italy he acquired a lifelong interest and Knowledge of art, reflected in the numerous engravings (some by Durer), which once decorated his lute books and diaries. (They have since been removed.) He owned the portrait by Paolo Veronese, discussed below, which is said to have represented three young patrician musicians, one a Herwarth. His art collection and library (including many diaries) was dispersed to Heidelberg, Innsbruck, Nuremberg, Stettin, Vienna and Augsburg. References to music in his diaries (Hainhofer entertained Schütz) have never been the subject of careful scholarly inquiry.

In any event, by 1544 Hans Heinrich, if his travels paralleled those of his relatives and Hainhofer, was again in Augsburg, acquiring in that year Maria Haintzel as a wife and Hans Haintzel and Catherine Welser as in-laws. I have suggested

54

Stetten, the younger, Lebenbeschreibungen, I, 269-88.

that some of his book purchases may have occupied his student days.

They most certainly continued enthusiastically throughout his life,

and we will want to dwell on them in some detail in seeking a

context for the manuscript lute tablatures that come from his library.

Hans Heinrich Herwarth's other hobbies apparently included horticulture and numismatics. In 1559, when Conrad Gesner visited Herwarth's library for a second time gathering materials for a revision of his Pandectae, he saw the first tulips grown in Germany:

Die Tulipanen werden nicht viel über hundert Jahr in Teutschland bekandt seyn, in dem Gesnerus in seinem Buch <u>de</u> <u>Hortibus Germaniae</u> schreibt, dass er die erst An. 1559 zu Augspurg, in Herrn Joh. Heinrichen Herwarts Garten daselbst gesehen, und dieselbe aus dem Saamen welcher von Constantinopel geschickt worden.

Johann Sambucus described Herwarth's coin collection as well serving the arts and sciences, being "exceptional for its eminence 56 and exquisiteness." As we have noted, Duke Wilhelm had coveted it from as early as 1573.

Musical activities involving a Herwarth may have been the subject of a painting by Paolo Cagliari Veronese (1528-1588), suggesting another possible sojourn by a Herwarth in Venice. Since Veronese is not known to have travelled beyond the Alps (the

Wolff Helmhard Herr von Hoberg, <u>Adelichen Land- und Feld-leben</u> (Nuremberg, 1757), p. 827.

⁵⁶ Quoted in Stetten, <u>Kunst</u>-, II, 555.

literature on Veronese is remarkably poor, however), it may have been painted in Venice. In addition to a Herwarth, the consuls of the Fondaco dei Tedeschi in Venice, with whom Veronese had close contact, included in 1551 an Ulrich Weiblinger. The picture belonged at one time to our Philippe Hainhofer, the Augsburg diplomat, lute 57 player and compiler of a lute book:

Ein vortreffliches Stück aus [Hainhofers Sammlung] von Paul Veronese, welches ein Familien-Concert von vier hiessigen Juenglingen, einem Pfister, welcher das Regal schlug, einem Weiblinger, der die Floethe blies, einem Mannlich, der den Blasbalg zog, und einem Herwarth, der die Viol spielt, vorstellte, würde dem Koenig Friedrich von Boehmen, Churfuerst von der Pfalz, daraus verehret.

PLATE I



57 Stetten, <u>Kunst</u>-, I, 363. A watercolor (see PLATE I), purporting to be a copy of the painting, existed until recently, but shows a lutenist, instead of the flutist, and may in fact be an 18th-century pastiche, there being nothing comparable in composition and costume in Veronese's 58 extant work.

The epitaphs in St. Georg for Herwarth and his wives do not say much about what must have been fascinating lives:

I O HANNES
HENRICUS
HERWORTUS
PATRICIUS
SIBI.ET.UXORIS
SUAE.P.MARIAE
HAINTZELIAE
LIBERIS.HG.U.P

MDLXXII

* * * *

I O HANNES
HENRICUS
HERWORTUS
PATRICIUS
AUGUSTANUS
SIBI.ET.UXORIS
SUAE.MARINAE
RENZIM.LIBERIS
H. M. U P

MDLXXII

* * * * *

58

Letter, 6 November 1967, from Michael Levey, curator, The National Gallery, London. I am also grateful to Herr H. W. Herwarth von Bittenfeld for providing a photograph of the watercolor.

IOHANNES . HENRICVS . HERWORTVS SIBI . ET . VXORI .SVAE MARINAE . RENZIN ET . EX IISDEM . PROGENITIS H. M. P. C. ANNO . M D L X X I I

The "Ehrenbuch der Augsburger Patrizierfamilie Herwarth" gives

Hans Heinrich's coat-of-arms, an owl within a blue-bordered shield: the

owl representing the usual heraldic sign of the Herwarths--perhaps

derived from the name of one who waits (during the night?) upon a lord

59

(Herr-Wart):

Er hat das Stadtgericht . . . Anno 48 bis Ao 68, volgens den Rath bis Ao 83. In welchem Jahr Todesverschieden mit ihrem besessen liegt bey St. Georgen altebegraben.

The book also contains the coats-of-arms for his wives, Maria Haintzlerin (a fallen cross with red background) and Rentzelin (a horse breathing fire).

After Herwarth's death his minor children were cared for by Stephan Endorffer (a brother-in-law), Hans Baptist Hainztel and Baptist Hochstetter.

* * * *

To the extent that a man's activity is reflected in his library, the bound volumes provide a good summary of what must have been the earliest purchases for Herwarth's collection. They tend to be grouped according to sacred and secular works, somewhat in this order (if a terminus post quem for their binding is made, based

⁵⁹

Augsburg, Stadtarchiv, without signature, p. 66.

on the spread of their contents. This will establish a basis for examining the manuscripts of lute music.

A. (acq. no. 24: 1512-35)

French and German Secular Songs; Ensemble Dances

- (a) Lieder (Augsburg: Oeglin, 1512) [Purchased by his father?]
- (b) Ensemble dances (Paris: Attaingnant, 1528-30; 2 vols.)
- (c) Parisian chansons (Paris: Attaingnant, 1528-35)

B. (acq. no. 1: 1529-1538)

Motets, Chansons and Madrigals

- (a) Motets (Paris: Attaingnant, 1529)
- (b) Chansons (Paris: Attaingnant, 1531-34; 3 vols.)
- (c) Chansons (Venice: Scotto, 1538)
- (d) Madrigals (Venice: Scotto, 1537; 3 vols.)

C. (acq. no. 26: 1536-37)

Published by Schoeffer & Apiarius of Strassburg

- (a) Lieder (1536)
- (b) Walther: Wittembergisch Gsangbuechlin (1537)
- (c) Dietrich: Magnificat (1537)

D. (acq. no. 1: 1539-50).

Parisian Chansons

(a) Parisian chansons, "en ung et deux" and "en deux" volumes (Paris: Attaingnant, 1539-50; 31 vols.)

CC. Lute Music (Mus pr 152)

(a) Works of Le Roy, Belin and Morlaye (Paris: LeRoy & Ballard, 1551-56)

D. (acq. no. 3: 1540-52)

Chansons and Madrigals; Motets

- (a) Chansons "de la guerre" of Janequin (Paris: Attaingnant, 1537)
- (b) Chansons of Lupi Didier II and Phinot (Lyon: Godefroy & Beringen, 1548; 3 vols.)
- (c) Chansons of Buus (Gardano, 1543; Scotto, 1550)
- (d) Madrigals of Veggio, Verdelot and others (Venice: Scotto, 1540; 3 vols.)
- (e) Madrigals of Rore, Verdelot, Willaert and others (Venice: Gardano, 1548-52; 4 vols.)
- (f) Motets of Willaert (Venice: Gardano, 1548)

F. (acq. no. 16: 1538-45)

Motets and other Sacred Pieces

- (a) Motets (Ferrara: Buglhart, 1538)
- (b) Symphonia (Wittemberg: Rhaw, 1538)
- (c) Motets (Nuremberg: Petreius, 1538)
- (d) Motets by Gombert and Willaert (Venice: Scotto, 1539; Scotto & Antico, 1539 [2 vols.])
- (e) Motets by Jachet Berchem (Venice: Gardano, 1545)

G. (acq. no. 14: 1537-39)

Masses and Sacred Pieces published in Nuremberg

- (a) Magnificat by Senfl (Formschneider, 1537)
- (b) Masses (Formschneider; Petreius, 1539; 2 vols.)
- (c) Officia paschalia (Rhaw, 1539)

H. (acq. no. 18: 1539)

Madrigals

- (a) Madrigals by Alfonso da Viola (Ferrara: Buglhart, Campis & Hucher, 1539)
- (b) Books I-IV of Madrigals by Arcadelt and others (Venice: Gardano [books I-II, IV) and Scotto, 1539)

I. (acq. no. 23: 1539)

Lieder published by Petreius in Nuremberg (one vol.)

J. (acq. no. 2: 1532-42)

Motet Collections published by Moderne in Lyon

- (a) Motets ("del fiore"), Books I-IV (1532-39)
- (b) Motets, Books II-V (1542)

K. (acq. no. 18: 1538-49)

Motets and Sacred Pieces

- (a) Sacred music by Walther and others (Wittemberg: Rhaw, 1538; 1544)
- (b) Motets ("del frutto"); Motets by Gombert, Magnificats by Morales (Venice: Gardano, 1539; 1542-45)
- (c) Symphonia "seu motetta" by Jehan; motets by Morales and Paolo Aretino (Venice: Scotto, 1543-44)
- (d) Sacred pieces ed. by Salminger (Augsburg: Ulhard, 1545-48; 2 vols.)
- (e) Lamentations of Heremia (Nurmberg: Berg & Neuber, 1549)

L. (acq. no. 19: 1538-43)

Parangon des Chansons (Lyon: Moderne)

(a) Volumes I-VII (1538-43)

LL. Lute Music (Mus pr 114)

(a) Prints of Rotta, Vindella, and Francesco da Milano and Perino Fiorentino (Venice: Gardano, 1546-47)

M. (acq. no. 20: 1547-59)

Motets and Madrigals

- (a) Motets by Gombert and Willaert (Venice: Scotto, 1550; 2 vols.)
- (b) Madrigals by Corteccia and Lupacchino (Venice: Gardano, 1547)
- (c) Madrigals by Lasso (Venice: Scotto, 1559; 2-in-one)

N. (acq. no. 8: 1543-50)

Chansons published by Susato in Antwerp

(a) Books I-VIII; IX-XIII (1543-1550)

O. (acq. no. 17: 1553-55)

Motets published in Antwerp

- (a) Ecclesiasticaum, Books I-III; V-VII (Susato, 1553)
- (b) Sacrarum cantionum, Books I-IV (Waelrant & Laet, 1554-55)

P. (acq. no. 6 [lost!]: 1554-77)

- (a) Motets publ. by Scotto, "del laberinto," books II-III (1554); "de la fama" of L'Héritier, I (1555)
- (b) Sacrarum cantionum, Book IV-V, publ. by Waelrant & Laet in Antwerp, 1556
- (c) Sacred music publ. by Gardano in Venice: Psalms of Phinot (1555), Psalms by Willaert (1555), Psalms by Willaert and Jachet Berchem (one vol., 1557), Motetta festorum of Palestrina (1574), and Ecclesiasticum cantionum, I, of Gabrieli (1576), plus a now lost book of psalms by Rore and Jachet (1577)
- (d) Psalms published in Nuremberg by Neuber (1569)
- (e) Publications by Berg and Gerlach, together and in collaboration in Munich: Latin songs and motets by Vento (1569-71), Psalms by Utendal (1570); motets by Schwaiger (1572) and by Regnart (1577).
- (f) Motets by Slegel publ. by Hantsch in Munich, 1570.

Q. (acq. no. 11: 1555-66)

Sacred and Secular Works of Lasso (and Gabrieli)

- (a) Motets, chansons and madrigals, Book IV (Antwerp: Laet, 1555)
- (b) Sacrarum cantionum, Books I-IV, and Sacrae lectiones (Venice: Gardano, 1565-66)
- (c) Gabrieli: Sacrae cantiones, Book I (ibid., 1565)
- (d) Chansons (a 4), Book I (Antwerp: Laet, 1566)
- (e) Chansons (a 5-6), Books III-IV (Louvain: Phalese, 1564-66)
- (f) Madrigals (a 4), Book I (Venice: Scotto, 1566); (a 5), Books I-II (ibid., and Gardano, 1555-66)

R, (acq. no. 4: 1542-60)

Madrigals

- (a) Madrigal anthologies, "de le muse," Books I-III, and "Delli madrigali," publ. by Gardano and by Scotto (Venice, 1542-59; 4 vols.)
- (b) Books of madrigals by Ruffo (four books, 1555-58), Cambio, Donato, Portinaro, Rore, and Striggio, publ. by Gardano (Venice, 1550-60) and by Contino, publ. by Scotto (1560)
- (c) Waelrant, Madrigals and chansons (Antwerp: Waelrant & Laet, 1558)

S. (acq. no. 5: 1555-59)

Motet Anthologies by Phalese of Louvain

- (a) Cantionum sacrarum, I-IV, VI-VIII (1554-55)
- (b) Clemens non Papa, Cantionum sacrarum, Books I-VI (1559)

T. (acq. no. 9: 1535-62)

Madrigals, Napolitanas, and Dances (a 4) published in Venice

- (a) Madrigals "de la fama," publ. by Scotto and by Gardano (1548)
- (b) Villotte alla padoano (Scotto, 1560)
- (c) Willaert: Napolitanas, Book I (Scotto & Antico, 1535)
- (d) Madrigals by Ruffo, Books I-III (Gardano, 1555-68), and single books by Arcadelt, Cambio, Fisco, Lasso (a 5), La Martoretto, Lupacchino, Manara, and Nasco, all publ. by Gardano (1547-62)
- (e) Dances by Bendusi (1553) and ricercars by Buus (1547), both publ. Gardano

TT. Lute Music (Mus pr 193)

- (a) Works of Morlaye, Books I-III (Paris: Fezandat, 1552-58)
- (b) Works of De Rippe, Books I-V, and by Le Roy, Book VI (Paris: LeRoy & Ballard, 1553-62)

U. (acq. no. 7: 1568)

Novi thesauri musici, Books I-V (Venice: Gardano, 1568) V. (acq. no. 12: 1570-71)

Works by Lasso, publ. by Le Roy & Ballard in Paris

- (a) Chansons (1578-71)
- (b) Modulorum, Books I-III (1571)

W. (acq. no. 15: 1566-72)

Miscellaneous books publ. in Germany

- (a) Sacred works by Lasso, Rasch (4 vols.), and Vento, publ. in Munich by Berg (1570-72)
- (b) Napolitanas by Scandello (Nuremberg: Neuber & Gerlach, 1566)
- (c) Cantionem, Book I, by Schroeter (Erfurt: Baumann. 1576)
- (d) Paix, Lieder (Lauingen: Reinmichael, ca. 1568)

X. (acq. no. 13: 1555-80)

Lieder and Sacred Songs publ. in Germany

- (a) Lieder by Eccard (Muehlhausen: Hantsch, 1578), by Knefel, Lechner (2 vols.), Regnart and Utendal, publ. by Gerlach & Berg, and by Neuber in Nuremberg (1570-80)
- (b) Sacred German songs by Schede and Walther, publ. in Wittemberg by Schwertel (1566)

A marvelous library indeed.

Chapter III

Papers and Scribes

In the Herwarth manuscripts nearly 50 different watermarks and no less than 28 scribes may be discerned. In using watermarks, the usual caveats apply. They may provide important clues about a manuscript's origin, but even in the 16th-century papers travelled widely and one may not rely solely on watermark evidence to establish provenance. Nor are they infallible in determining dates. Vladimir Mosin found that in the vast majority of cases (92.7 percent), the time lag between the manufacture and use of paper is from zero to fifteen years. In the Yugoslavian archival documents which he examined in detail, many marks disappeared within one year.

A like situation might not obtain in music, unless one were involved with a manuscript prepared in a professional scriptorium where large amounts of paper are consumed regularly. Jan LaRue would place a music manuscript of the 18th century anywhere between five years before and after a date provided by a dated 3 document. Some fascicles in the Munich lute manuscripts

Italian paper was imported specifically for the Lasso choirbooks now at the Bayerische Staatsbibliothek, since such large sheets were apparently unavailable locally, although at some later time they became available from the Oesterreicher mill at Kaufbeuren.

Mošin, Anchor Watermarks, p. xxxi.

³ LaRue, "Watermarks," 122.

show the fluidity and clarity of a professional scribe, in others amateurish scribblings, which nevertheless might preserve an important and uniquely significant repertory. The amateur is more likely to grab a piece of paper which had been around for several years. He, and the professional, may also up-date their commonplace books over a lifetime.

The state of watermark research presents additional problems. Although Briquet's pioneering dictionary of watermarks has had numerous voluminous sequels providing tens of thousands of watermark tracings for comparison, it is still difficult to locate an exact match of a watermark. The moulds containing the wires that formed the watermark were repaired frequently (a phenomenon of considerable value if the exact mark can be matched with one on a dated document, of course). It is my experience with the marks examined in the Herwarth manuscripts, and in other lute

Some of the musical examples for this dissertation were copied last week on paper purchased 25 years ago, and conversely some other musical examples were themselves copied 15 years ago.

Many are cited below and in LaRue's article. Gerhard Piccard is now publishing a significant new series, <u>Die Wasserzeichenkarterei Piccard im Hauptstaatsarchiv Stuttgart</u>, and important research is being carried on by Theo Gerardy. Important for Fabriano papers, which were merchandised widely, is Labarre, ed., <u>Zonghi's Watermarks</u>, as is Mosin, <u>Anchor Watermarks</u>. Briquet's <u>Les filigranes</u> is, of course, the standard work.

manuscripts, that a thorough search of available watermark dictionaries most usually provides similar, but not exact matches. The same design may identify the papers of a mill for over a century, providing opportunities for watermark collectors (it must be a fascinating hobby) to publish whole books devoted to variants of a single mark. The path is littered, therefore, with possible pitfalls. In two instances I located very similar marks that suggested dates that were patently impossible: one an Italian mark corresponding to one of about 1498, and the other a German mark of about 1625.

Watermark evidence coupled with other information may, however, provide a hint that points the way to important conclusions.

This is especially true with a heterogeneous group of fascicles
such as those in the Herwarth manuscripts, music for lute, since marks
can suggest a place of origin and provide a convenient means of
sorting. It is best, therefore, to proceed with a survey of the
various papers and scribes associated with each, beginning with

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Bavarian papers and working outwards geographically. Matters of date, specific scribal features and evidence provided by concordances, may then be considered.

6

Bavarian watermarks frequently indicate the grade of paper. The prices below, for Memmingener papers in 1628, provides a guide for earlier Bavarian papers, which use similar marks to show grade.

Grades of papers:

Regalpapier per ream: 7 fl -- kr Medianpapier " : 5 fl -- kr P-Papier " : 3 fl -- kr Schildtlinpapier : 1 fl 48 kr geringste Sorte : 1 fl 20 kr

[von Hossie, 1926, Heft 15, 225.]

The papermiller Oesterreicher, active in Kaufbeuren and Augsburg, delivered in 1529 23 reams of paper of three grades for 79 fl 1 pfd 15 p, the single price per grade not being given. At an average price of 3 fl 33 pfd per ream, paper prices seem to have remained fairly stable at about 3 and one-half florins per ream, although this discounts the tremendous inflation in Europe during this same period.

Most Bavarian papers seem to have come in grades identified with an oxenhead, "P" or shield, with an attached sign indicating the mill (often being drawn from the arms of the city in which the mill was located). Sometimes similar marks would identify a given papermill's product for several centuries. In the 17th century it was usual for the owner to add his initials to the mill's traditional mark. Thus (given the more substantial information about 17th-century owners), it is possible to trace a mark backwards into the 16th century with some certainty, even when the meaning of the particular mark is obscure: for example, if the mark lacks positive indication of origin, such as the use of a city shield.

We will have occasion to observe a number of grades of paper from the same mill. For some of the sketches that survive in the Munich lute manuscripts, rather inexpensive papers were used, and although not an infallible guide, may have some bearing on the intended purpose of the music copied thereon. Oxen-head papers appear to have been seldom used for lute manuscripts, and I have encountered them only in Wrocław, Ms 352, and in the Herwarth Liederbuch in the Augsburg Staats- und Stadtsbibliothek.

AUGSBURG PAPERS

Watermarks 3AB and 9AB reproduce the insigne of the 7 city of Augsburg. For over four hundred years Augsburg papers have carried the city coat of arms, a pine cone resting on a base. Eight papermills were active in Augsburg during the 15th and 16th centuries, and appear to have been older than those in Kempten.

They may have been founded as early as 1449. Paper manufacture there appears to have been prompted by the several book printers who were so active that three even founded their own mills. Mills were established on the Sinkel River, near Oedenhausen and "vor roten Tor."

Active on the Sinkel was the book-publisher Anton Sorg

(fl. after 1475; publisher after 1492). His successor was Hans

Oesterreicher, who also managed a papermill in Kaufbeuren (see

Watermark 16 and 32). This is probably the mill where the papers

discussed here were manufactured. Osterreicher purchased the mill

REPRODUCTIONS of the watermarks appear at the end of this chapter. Another version of watermarks 3AB and 9AB also appears in Mus Ms 278, passim, (Watermark No. 86).

Friedrich von Hössle covers Augsburg papermills in Der Papier-Fabrikant (1926), Heft 8, 117-19, Heft 9, 126-8, Heft 11, 155-7. Hössle's is the most extensive coverage of Bavarian papers, although his emphasis is more on the mills and their proprietors than their watermarks. His studies were published in Der Papier-Fabrikant between 1924 and 1932 in various issues. There is a table of contents to his studies, which is useful in locating specifics, in Heft 32 for 1932, pages 502-3. I have consulted the bound volume of the articles, with von Hössle's corrections, in the Handschriftensammlung of the Bavarian State Library. A photocopy of that volume is available at Duke University.

in Kaufbeuren for himself, his son Caspar and his heirs. It appears that Hans remained in Augsburg until his death around 1528. His widow managed the mill with another son, until about 1541 when it was purchased by Jörg Lang, who heads a family known in Bavarian paper manufacture until 1800. The mill was purchased around 1598 by the printer Michael Mayr, with whom Ulrich Herwarth was associated.

Also on the Sinkel was a small papermill that was little used until about 1520, when it was sold with the condition that it would never again be used as a papermill--perhaps in an attempt to quash competition with the Oesterreichers.

The Watermark 9AB (pine cone within shield) is identical 10 to Einender, No. 1837, "German, 1550."

Watermark 3AB (pine cone within a shield) is close to Briquet's No. 2112 (Carinthia, 1542), with similar varieties on documents from Augsburg, 1548-55. It is also similar to Einender's No. 1836, "German, 1548," and to a letter dated Munich,

Von Hössle, Heft 9, 126.

Einender, <u>The Ancient Paper-mills</u>, and von Hössle, Heft 11, (1926).

Also see Labarre, <u>Nostitz</u>, pp. 44-45, and Watermark No. 86.

12

1567, in the Libri antiquitatem in the Munich Staatsarchiv.

Watermarks using the Cross of St. Anthony are also from Augsburg, since both the cross and pine cone appear together in Briquet's No. 2121. In Mus Ms 1511c, Nos. 1-12, and Paris I, and Mus Ms 266, Nos. 142-147, the paper is used for printed six-line staves, a matter to which we shall return. The marks are closest to Briquet's No. 8823 (Augsburg, 1544-59), and to the mark in a 13 letter dated Augsburg, 1569.

Watermarks 1AB and 4AB likewise represent the Cross of St. Anthony. No. 1 resembles Briquet's No. 9001, which he located in Augsburg documents of 1544, with similar varieties in documents a bit later, from Augsburg (1547-66), Graz (1550), Eichstätt (1546-49) and Dresden (1543). Watermark No. 12AB is identical to that on an undated horoscope for Johann Georg Herwarth. No. 4AB has chainlines the same distance apart as Briquet's No. 9002, used in Augsburg documents, 1565-66.

Watermark No. 86 (Cross of St. Anthony above the letter "P") appears also in paper used for a letter dated Augsburg, 1569. It identifies a better grade of paper from the same mill.

Watermark No. Ms. & No. Scribe Representative Contents

3A: Pinecone within 266:121 C 1 Fantasia on Vater shield unser, dated 1568

¹² II, fol. 34.

^{13 &}lt;u>Libri antiquitatem</u>, III, fol. 88.

¹⁴ Cod. bav. 608, fols. 448 and 463.

3B:	(ditto)	266: 126-127	Ci	Fantasia, Arcadelt chanson
9A:	(ditto)	266:150-152	D	Passamezzo
9B:	(ditto)	2986:1-2	D	Lasso chanson in tablature & mensural notation
86:	Pinecone within shield above the letter "P"	270: pass.		
1A-0	C: Cross of St. Anthony within shield	266:1-14	A	Crequillon, Lasso, Rore intabulations; Passamezzo by New- sidler
1A:	(ditto)	266:115	BZ	Ricercar
4A: 4B:		266: 134 266: 135-136	C2 C2	Ferrabosco madrigal Crequillon, Arcadelt, Lasso intabulations
12C:	(ditto)	Paris I A		Passamezzos (Newsidler); Lupus, Senfl, Josquin motet intabulations
12A:	(ditto)	266: 142	BX	Willaert motet
12A:	(ditto)	266: 143	BC	Fragment added
12AB	: (ditto)	266:144-147 madrigal		Dance; Willaert
12A	B: (ditto)	1511C:1-12	BX	Gombert, Jachet motets

KEMPTEN PAPERS

The watermark with the letter "K" within a circle probably stems from one of two papermills at Kottern, "1/4 Meilen" south of Kempten on the Iller River. Mills were established there as early

as 1477 by citizens of Kempten, later including Peter Stahlein, Moritz Staiger (both fl. 1528) and Martin Mayr (fl. 1573). The latter was from a family active also in Augsburg. He was accused of forging watermarks of the city of Memmingen on his papers. His mark, a demi-eagle and the letter "K" (see Watermark No. 6) resembled that used in Memmingen, a demi-eagle and cross.

Watermarks similar to 10A-C date from as early 1520, and were in widespread use between 1555 and 1558, and survived until 16 as late as 1595.

I have located marks identical to our Watermark 10A among the papers in the <u>Libri antiquitatem</u>, namely letters to Marx Fug17
ger, dated Lauffenheim, 1567 and 1569. Also see Briquet, Nos.

¹⁵

In 1586 master papermakers and their apprentices from Augs-burg, Landsberg, Ronsberg, Kaufbeuren and Kempten met to settle these differences and to "copyright" protected marks for the Bavarian paper trade. The mark of "K" within a shield, surrounded by a double-headed eagle (our Watermark 10AB) was reserved for Kempten papers and the shield with eagle and a cross (see our Watermark No. 6) reverted to the Memmingen mills, the charge against Mayr apparently being the similarity of his demi-eagle mark, e.g., his use of a similar shield and "K" instead of cross (see von Hössle's Mark No. 246). The conference was amiable.

¹⁶

Von Hössle, 1926, Heft 14, pp. 209-11. He notes additional instances of forgeries from the Kottern mills of interest to us: from 1565 to 1580 one Hans and Balthasar Hurrenbain of Kottern delivered papers with the striding bear watermark (our Watermark 2, etc.) to the court chancellery at Innsbruck.

¹⁷

<u>Libri</u> antiquitatem, II, 164, 336-9 (dated 1567), III, 63 (dated 1569). Other examples of the "K" within a circle appear in volume II, fol. 110, 114, 136 and 139 (letters dated Augsburg, 1563), and the demi-eagle with letter "K, in ibid., 336 and 344 (also in letters from Augsburg.) It seems, therefore, that Kempten papers were much used in Augsburg.

8262-5, all of a similar size. Briquet, No. 8263 has chainlines the same distance as Watermark 10C, which Briquet found in papers 18 dated Augsburg. 1566.

Watermark No. 6 (the letter "K" within a double-headed eagle) represents the shield of the city of Kempten. This mark 19 originates at a mill at Hynwang, active from 1515 to 1836. It is identical with the mark in letters from Marx Fugger to Albrecht V, dated Augsburg, 1566, and is similar to the mark in papers from 20 Johann Fugger to Albrecht, dated Augsburg, 1556.

Watermark and Description	Ms. & Item	Scribe	Representative Contents
10A: "K" within circle	266:137; 139-41	C2	Lasso, Pathie chansons; Hofhaimer Lied
10C: (ditto)	266:124-125 madrigal		Arcadelt, Rore
6: Double-headed eagle with letter "K"	266:123	C1	Lupus motet

KAUFBEUREN PAPERS

Watermark No. 8 represents the arms of the city of Kaufbeuren, and was used in papers manufactured there between 1528 and 1839.

¹⁸Briquet discusses Kempten papers, vol. III, 445.

See Labarre, Nostitz: No. 83, No. 239-48, and p. 54, and von Hössle, VIII, No. 248.

Libri antiquitatem, II, fols. 148, 159 and 168 (Marx Fugger), ibid., III, fols. 2-25 (Johann Fugger).

Briquet (No.1008) located variant forms widely in nearly one hundred documents from Munich, Prague, Wiener-Neustadt, Graz and Laibach dated between 1533 and 1603. The cwners of the mill were Hansen Oesterreicher and his son Caspar from Augsburg, who ran the 21 mill from 1520 until it was sold to Caspar Zeller in 1584.

Watermark No. 8 is almost identical to that in a letter dated Offenburg, July 1568, in the <u>Libri antiquitatem</u>, II, 387, but is also very similar to a mark that von Hössle dates about 22 1531. Since Hand D is also known to have copied pieces on paper bearing our Watermark 9AB, which dates perhaps from the 1540s or 1550s, it seems that a date midway between 1542 and 1568 is more probable.

Another, larger form of the watermark, appears in the sheets used in the Lasso choirbooks, Mus Ms 2750. It is reproduced on the plate of Kaufbeuren watermarks.

Watermarks Nos. 16 and 32 (<u>Reichsapfel</u>) identify other 23 papers from Kaufbeuren. The symbol, the <u>Reichsapfel</u>, also appears on coins minted there in the 16th century.

²¹

Zeller also owned a paper mill in Augsburg. That mill provided the paper used for the Hainhofer Lute Book, now in Wolffenbüttel, MS Guelf 18.7/8. (See the watermark reproduced in the list of manuscripts in the section of Concordances.) The mill was apparently a large one, since Zeller employed as many as 14 journeymen.

²² Von Hössle, Heft 16 (1926), 239.

²³ Von Hössle, Heft 16, (1926), 238-39.

No. 16B very closely approximates von Hössle's No. 278, which he dates 1530. Briquet also found it in early papers from Bavaria, (No. 9897) and Augsburg and Memmingen, 1526-41 (No. 9898). Watermark 32 is the upper portion of a "P" grade paper from the same mill.

Watermark and Description	Ms. & Item	Scribe Representative Contents
8: Stars within shield separated by bar	266:149	D Lasso chanson
8: (ditto)	Paris II	various
16AB: (ditto)	266: 103-4	BC untitled pieces
32: Reichsapfel with- in shield above "P"	15110:13-14	BX Gombert motet

LANDSBERG AM LECH PAPERS

Watermark 15 represents the arms of the city of
Landsberg am Lech, where a mill was founded around 1520. P-,
Adler-, and Ochsenkopf-Papiere were manufactured there. An H.
Wagner bought the mill in 1586, and added his initials to the
mark, so our mark dates from before then. Briquet located it in
Vienna (1535), with similar varieties in documents from Bavaria
(1535-42), Vienna (1536-48), Augsburg (1540-59), Landsberg
(1557), Salzburg (1561-72), and Brussels (1549), that is, in
widespread locations during the 1540s and 1550s.

Watermarks similar to our Nos. 73A-D seem to have been in use from 1566 to 1688, but, in Briquet (No. 1242), the chain lines are closer together than in our Watermark 73A-D. Briquet located his mark in documents at Vienna (1566), with similar varieties at Eichstaedt, Lüneburg, Augsburg (1584), and Salzburg, (1573-97). This is not, as Briquet believes, a mark for a mill at

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Braunau om Inn (now in Austria).

Watermark and Description	Ms. & Item	Scribe	Representative Contents
73A-D: Cross above hills	1627: 13-15	V	Lasso motet; German dance by Newsidler (Ger. tabl.)
15: Cross above hills within circled shield	266:170	N	Josquin motet (German tabl.)

MEMMINGEN PAPERS

Watermark 11, a shield, demi-eagle and cross parted per pale, represents the arms of the city of Memmingen, near Augsburg, where a paper-mill was erected in 1478. The mark appears on 25

Memmingen papers until about 1620. Briquet, Nos. 935-40, show 26 various forms of the mark, with his 936 and 938 approximating our Watermarks 11C and 11A.

I have located the same watermark in the Libri antiquitatem

²⁴

For information about the papermill at Braunau, with a few reproductions of watermarks (the arms, for example, are different for Braunau), see Mitterwisser, "Die alten Papiermuehlen von Landshut und Braunau."

²⁵ See Labarre, Nostitz page 59, and his Nos. 270-71.

Briquet, No. 936, has chain lines the same distance apart, and has the same general configuration as our No. 11B. He dates his No. 936 around 1542 and found it in documents in the Memmingen Archive and from Spire. Briquet, No. 938, is almost identical with our No. 11A. He located it in documents from Pressburg dated 1567. His "similar varieties" appear in papers used for documents from Vienna, 1570-77, Styria, 1570, and from Memmingen, 1582.

of the Munich archives, as follows:

Watermark 11A is identical to the one in a letter from Marcus Fugger to Albrecht V, dated Augsburg, 1566.

Watermark 11B, to one in a letter from Anton Meuting to Albrecht, dated Augsburg, 1569.

Watermark 11C, to letters from Meuting to Albrecht V, dated Augsburg, 1564 and 1568.

It is of some interest that a form of Watermark 11 was used in the paper for Melchior Newsidler's letter to Albrecht V, dated Augsburg, 23 December 1577.

Watermarks 7AB are discussed and reproduced in the de Rippe chapter, and date around 1563.

Waterma Descrip	351331574340	Ms. & Item	Scribe	Representative Contents
7AB: Le	etter "M"	266:105-113	BC	de Rippe fantasias
1 1A-B:	Cross and demi- -eagle within shield	266:128-130	Ci	lvo de Vento, Lasso Lieder; Sandrin chanson
1 1A:	(ditto)	266:131-133	Ci	Hofhaimer Lieder; Newsidler fantasia
110:	(ditto)	266:119-20	C1	Willaert, Sermisy chansons
11C:	(ditto)	266:118	BZ	untitled

MUNICH PAPERS

The mark, described either as candelabra, a bee hive or a salt cellar, represents the coat-of-arms of the Poetscher family

²⁷ Volume II, 142, 127, 122 and 124, respectively.

of Munich and is used on papers manufactured at a mill on the Au River near Munich. It appears in papers used for the presentation copy of Gorzanis's collection of passamezzo/saltarello pairs that extend through all keys. The manuscript is dated 1567, and there is no reason to doubt that that was its time of copying.

Watermark and Description

100: Salt Cellar

Ms. & Item

Representative Contents

1511A: pass.

Gorzanis Book: dated

1567

SCHOBENHAUSEN PAPERS

Watermarks 5 represent the arms of Schobenhausen, between Augsburg and Ingolstadt, and probably is a mark from the mill of the Lang papermakers, who were active elsewhere as well. Briquet found it all over the place between the years 1540 and 1608: Eichstadt, Jena, Augsburg, Innsbruck, Berlin, Wolfenbüttel, and even in Russia. The chain lines are closest in No. 5 to Briquet's No. 2239, which he dates 1553.

²⁸

For information on the Poetscher papermill, see Labarre, Nostitz, pp. 60-61, and his watermarks Nos. 277-78, and especially von Hössle, who discusses the papermill in some considerable detail in the "rest-Heft" (1930) issue of Der Papier-Fabrikant, 68-62, and in Heft 21 (1924), 232-37.

²⁹

Von Hossle discusses the Schobenhausen mills in Heft 26 (1924), 294-5. My second mark is from the ducal ex-libris, dated 1618, in Mus ms 268. It well shows how a mark may deteriorate. (The second mark is not from the same mould, however, since the chain lines are different.)

Watermark and Description	Ms & Item	Scribe	be Representative Contents		
Cock's head above grid within shield	266: 122	C1	Anon. chanson		

BERNAISE PAPERS

These papers are discussed in detail in the chapter on the Marco dall'Aquila Fascicle, where they are also reproduced.

Waterm Descri	ark and ption	Ms. & Item	Scribe	Representative Contents
2AB:	Bear, striding	266:15-100	н	Marco dall'Aquila
2AB:	(ditto)	266:114a	н	Fragment
2AB:	(ditto)	266: 114b	BY	Untitled
2AB:	(ditto)	266: 148	BX	La Fage motet
2C:	(ditto)	266: 153-155	F	Janequin, Crecquillon chansons; German Lied
41AB:	(ditto)	2987:1-9	c	anequin, Sandrin hansons intabulated or Keyboard

ITALIAN PAPERS

Church

The watermark of a church (or three hills) with cross and a countermark "CB" all appear, according to Briquet's Nos. 11912-11914, in papers dated Padua, 1515 through 1552. My Nos. 14A/13A have different chainlines, but the countermark is otherwise the same as Briquet, No. 11914, dated Padua, 1552. I have been unable to find a match for my No. 55, but perhaps it, too, dates from mid-century. The figures given by Zonghi have crossed lines, not the outline, to represent the cross. His date from 1398 to 1493.

14A/13A:	Cross above hills within circle, co mark "P3" below t	ounter-	К	Arcadelt, Festa madrigals, Crec- quillon chan- sons intabulated by Pacolini
	ross above hills thin circle	266:156-159	L	Sermisy chansons intabulated by A. R[otta?]
14BC:	(ditto)	266:160	BC	Untitled (Added to pieces co- pied by Scribe L.)
73A-D: (ditto)	1627:13-15	V	Lasso motet; German dance by Newsidler
55/13B (ditto)	1511D:21-23	к	Italian dances

Cardinal's Hat

All watermarks of a hat are Italian. The chain lines of my No. 30A match those of Briquet, Nos. 3410 and 3415, and the chain-lines and countermark match Briquet's No. 3477 (Padua, 1547), and are similar to Eineder, No. 1703 (Venice, 1543). Similar paper with countermark "PC" is used in Francesco da Milano prints by Gardano, 1546 and 1547. Briquet located similiar varieties of his No. 3477 in Graz (1534), Vicenza (1551), Padua (1553) and Udine (1563-65).

30AB: Hat with countermark 1511C:17 S Richafort motet "P3" below trefoil

30AB: (ditto) 1511C:18-20 BC Sermisy, Rocquelay chansons (added to pieces copied by scribe S.)

Angel

The watermark of an angel in circle with countermark "B" is probably another Fabriano paper. The chainlines and design of my No. 31AB approximate the size of Briquet, No. 645, which he located in Vicenza (1535).

31AB: Angel within circle 1511C:15-16 R Mouton, Jachet with countermark "3" motets or "B"

Italian Anchor Papers

Watermarks representing an anchor within a circle below a fleur-de-lis or star are very common in Italian papers. The most extensive survey of the mark appears in Mošin's dictionary. Various distinctions may be made, particularly if the anchor has a single or double shank. The single-shank mark (of which there are none in the Munich lute manuscripts) indicates a Venetian paper, whereas the double shank, usually a Fabriano paper, especially when accompanied by a countermark of trefoil above "C 3," "P 3," or "C B," etc. (Mošin distinguishes between "PB" and "P3," although they seem to be the same countermark deteriorated.) All of the anchor watermarks in the Munich lute manuscripts have the double shank, many with the countermark.

No. 57 is close to Zonghi's No. 1590 (1516), No. 1588 (1511), No. 1589 (1516), and No. 1598 (1549), and nearly identical to Mosin's No. 782 (dated 1523).

Nos. 62A and 62B bear close resemblances to several marks in Mosin's dictionary:

No. 62A to Mošin's No. 661 (1510) 1514 (=Briquet's No. 481, which he found in documents at Arnoldstein, Ljubljana and Treviso.), No. 695 (1515/1520), and Nos. 712 (1525), 713 (1525), 715 (1524=Zonghi's No. 1592), No. 719 (1524) and 738 (1531). The closest in size are Nos. 712, 713, 715 and 719 and 738; No. 62A is neary identical to Mosin's No. 695.

No. 62B bears close resemblance to Mosin's No. 786 (Lucca, 1522) and Briquet's No. 492 (Provence, 1536). A later date seems most probable, perhaps those of Mosin's Nos. 738 (1531) and 695 (1515/1520) and Briquet's No. 492 (1536). The content of intabulations of Josquin, Senfl and Consilium motets copied onto this paper is consistent with such an early date.

No. 71 is very close to Mošin's No. 745, especially 71A, which he dates 1539/46 and found in documents at Prague. It is the same as Briquet No. 762 (Prague, 1538/43, Ljubljana, 1534), similar to Briquet's No. 495 (Lucca and Narbonne, 1539/46). No. 71AB is close in size to Zonghi's No. 1608 (1552).

57: Anchor within circle below star	1511D:12-13	J	Sermisy chansons
57: (ditto)	1511D:14	BC	Josquin chanson
62AB: (ditto)	267:2-7	0	Josquin, Senfl, Con- silium motets
71/72: (ditto) interleaved with Crossbow with fleur-de-lis	1627:1-12	A	Lasso, Appen- zeller intabu- lations; fanta- sia (Newsidler)

Crossbow Papers

Zonghi's Nos. 526-33 all date 1549 and are the same size in terms of circle, ratchet handle, spacing of fleur-de-lis above the circle and the mark's relationship with the chainlines.

Nos. 53AB = Zonghi No. 536
Nos. 64 is nearly identical to his No. 532
No. 72AB is close to his No. 528 (both have no string on the bow); 72A is the closer of the two.

The crossbows with fleur-de-lis given by Briquet are quite different in size and relationship to chainlines, and in his the bow is superimposed over the stock of the weapon. He provides provenances of Florence, Lucca, Frague, Carinthia, and Udine, 1523 to 1544, suggesting the appropriateness of the relationship with the later form given by Zonghi.

53AB:	Crossbow with fleur-de-lis	15110:5-7	К	Janequin, Certon chansons
53AB:	(ditto)	1511D:8-10	BC	Sermisy chansons intabulated by Blernardol N.(Added later)
53AB:	(ditto)	1511D: 19-20	J	Ricercar (Francesco da Milano); dance

64:	(ditto)	267:8-16	BC	Sketches
64:	(ditto)	267:17-21	BC	La Fage motet intabulated by Rotta; L'Here- tier, Janequin, Berchem chansons
67:	(ditto)	267:29-30	BC	Sketches and Ricercar "a Herwarth"
68:	(ditto)	267:31-34	BC	Anon. chansons
72:	(ditto)	1627:31-34	BC	(See Anchor
	×	SPEAR PAPERS		

Zonghi dates numerous watermarks of crossed spears with a star between 1512 and 1566 (see his Nos. 1218-47). My No. 67 is nearly identical to Zonghi No. 1226 (1542), and No. 52, to his No. 1225 (also dated 1542). The chainlines of No. 51 are the same as Briquet, No. 6293 (Florence, 1511-19), but this date seems much too early.

51: Spears, crossed, with fleur-de-lis	1511D:17-18	U	Italian Dances
51: (ditto)	1511D:15-16	BA	Dances by Rosso, Gregorio
68: (ditto)	267: 11-16	BC	Sketches
67/68: Spears, crossed with fleur-de-lis, inter- leaved with crossed spears with star	267:35	J	Richafort motet
67/68: (ditto)	267:36	BC	fragments
52: Spears, crossed, with	1511D:1-4	Ţ	Sermisy chansons

Crown Papers

These papers are Italian, not French; the fleur-de-lis is used prominently in Italian papers. The chainlines of my No. 69 match those of Briquet's No. 4844, which he dates Lucca, 1580. He also located the mark (his No. 4843) in Reggio d'Emilia, 1578 and 1581, and (his No. 4845) in Syracuse, 1580. These dates are very late for any item in the Herwarth lute manuscripts (but he did make book purchases in Germany in 1581, near the end of his life). The contents of the section seem to argue strongly against such a late date for this paper. The pieces, copied by an Italian hand, include a Passereau chanson ("Bel et bon," which enjoyed streetsong popuarity in Venice around 1530), and a Tromboncino frottola, which I believe may have been intabulated by Marco dall'Aquila, since it uses ornamentation in his style.

69: Crown with fleurde-lis

267:37-40

Tromboncino frottola, Passereau chanson

Goat

The watermark, No. 87, the face of a goat, resembles in size and chainlines, Zonghi's No. 462 (dated 1532). It is found in the paper used for Mus ms 1511B, the manuscript of Venetian dances. An appropriate date seems 1532.

FRENCH PAPERS

The watermark of a hand with the letter "F" matches the chainlines of Briquet's No. 11042 (Toulouse, 1561). Other similar marks appear in southern French papers between 1538 and 1546 (see Briquet's No. 11038). Gerhard Piccard writes (letter of September 1968) that the mark was in general use from 1555 to 1560. These papers were manufactured in the south-west of France and exported through Bordeaux to the Lowlands, Brittany, Normandy, Westphalia, Hamburg, Bremen and Lübeck. The chainlines and general size of my No. 74A match Briquet's No. 11042 (Toulouse, 1561). No. 74B has chain lines and size that match Briquet, No. 11038 (Rodez, 1529, Toulouse, 1538-42).

Because watermarks consisting of letters seem usually to be of French origin in the 16th century, I have included the watermark with the letters "SF" here. I have been otherwise unable to identify the mark; it is late, however (perhaps of the 1580s).

74AB: Hand with	"F" below	1627:16-31	Р	French dances; non Papa, D'Ambert, Isore, Sandrin chansons; de Silva, Richafort
81: Letters "SF	in the state of th	267:1	×	motets Hans von Metz:

PAPERS WITHOUT WATERMARKS

Ms. & No.	Scribe	Contents				
2987:37-38	A	Lupi chanson; Festa madrigal intabulated by M. N[ewsidler]				
266:101-102	BC	Marco ricercar, chanson intabulation				
266:152	F	Pathie chanson (corrections by scribe C2)				
266:167-169	J	Janequin chanson, Italian dances				
267:22	0	Josquin motet (German tablature)				
267:23-28	P	Mornable, non Papa, Le Hugier chansons				
267:41-42	Q	untitled				
2987:10	××	Crequillon chanson (German tablature in score)				
2987:11-36	BB	Sermisy, Janequin chansons; French dances (French tablature)				

The above may be summarized by scribe and papers. I have arranged the following lists by geographical area, and then by approximate date of watermark. The city indicates the place of manufacture of the paper, not where it was used. The final column shows when similar papers were used in other documents. (The dates are, of course, only approximate, and we will later want to modify some, according to evidence of concordances.) An asterisk before the date indicates a match of the mark with a dated document. The word "dated" here cites dates given in the lute manuscript itself.

(1) Scribes working mostly with Bavarian papers:

Scri	be	Paper	Watermark	Ms. & No.	Approximate dates according to Watermark
N		Landsberg	15	266:179	1540s or 50s (?); XBriquet, 1535
D		Augsburg	9A	266:150-151	a ca. 1550
D		Augsburg	9B	2986:1-2	ca. 1550
D		Kaufbeuren	1,7 mm	266:149	ca. 1555
А		Augsburg	120	Paris I	1560s(?)
A		Augsburg	1A-C	266:1-14	ca. 1550/1560s (?)
A		Italian	71/72	1627:1-12	ca. 1546-1552
		ranan	11/12	1027.1-12	dtd. 1572 (!)
Var	ious	Kaufbeurer	n 8	Paris II	ca. 1560
C	1	Memmingen	11AB	266:119-128	¥1566/69
C	1	Memmingen	11AB	266:128	¥1566/69
C	1	Memmingen	11A	266:129-30	¥1566/69
C	1	Memmingen	11A	266:131-33	¥1566/69
C	1	Augsburg	3A	266:121	Dated 1568; paper: ca. 1567
C	1	Augsburg	38	266:126-127	
C	1	Schobenhau	usen 5	266:122	ca. 1553 (??)
C	1	Kempten	6	266:123a-b	¥1566 (Augsburg)

C 2 C 2 C 2 C 2	Augsburg Kempten Kempten Kempten	4AB 19C 19A 19A	266:137	ca. 1565-66 *1566 (Augsburg) *1567/69 (Augsburg) *1567/69 (Augsburg)
L Z	vembren	10H	200:137-141	x1307707 (Hugsburg)
Q	[no watermark]		267:41-42	Pieces for a beginner
V	Landsberg	73A-D	1627:13-15	Dated 1574
BA	Augsburg	12AB	266:143	ca. 1526-41 (?)
BC	Memmingen	7AB	266:105-113	de Rippe fascicle: ca. 1563
ВС	Augsburg	9A	266: 151b	Added to pieces copied by Scribe D
BC	Kaufbeuren	16AB	266:103-104	ca. 1526-41
BX	[no watermark]		15110:11	Printed staves
BX	Augsburg	12AB	266: 142	Added to pieces copied by Scribe BA
BX	Augsburg	12AB	266:144-47	(ditto)
BX	Augsburg	12AB	1511C:1-12	Sketches
BX	Kaufbeuren	32	1511C:13-14	Sketches (date unknown)
BY	[no watermark]		266:117	More sketches
BZ	[no watermark]		1511D:24-25	Dedicated "Dem er- samen weisen hans"
BZ	Augsburg	1A	266:115	1550s (?)
BZ	Memmingen	11C	266:118	Added to pieces copied by Scribe C 1
XX	[no watermark]		2987:10	German tablature in score

(2) Scribes working mostly with Bernaise papers:

н	Bernaise	2AB	266:15-100	ca. 1536-39
н	Bernaise	2A	266:114a	
HA	[no waterma	rk]	266:101-102	Marco pieces
BX	Bernaise	2AB	266:148	Formerly part of Marco fascicle
BY	Bernaise	2AB	266:114b	Formerly part of Marco fascicle
ВВа	Bernaise	41AB	2987:1~9	Keyboard tablature (unidentified)

(3) Scribes working mostly with Italian papers:

Scribe	Paper Watern	nark	Ms. & No.	Remarks
R	Italian	3 1AB	1511C: 15-16	according to Briquet, X1535, Vicenza.
НВ	Italian	goat	1511B, pass.	. ca. 1532
0	Italian (!)	62AB	267:2-7	German tablature: 1524-36 (?)
Т	Italian	52	1511D:1-4	ca. 1542: Francesco da Milano
U	Italian	51	15110:17-18	ca. 1542
BA	Italian	51	1511D:15-16	Additions to pieces copied Scribe U
s	Italian	39AB	1511C:17	ca. 1543-47
F	Bernaise	2C	266:153-155	Italian Scribe (unidentified mark)
F	Italian [no watermark]	69	267:37-48 266:152	ca. 1545-50 Corrections by Scribe C 2

J	[no waterm	ark]	266:167-169	Venetian dances
J	Italian	53AB	1511D:19-20	ca. 1549
J	Italian	67/68	267:35	ca. 1542
J	Italian	57	1511D:12-13	1523 (?); 1549
K	Italian	53AB	1511D:5-7	ca. 1549
K	Italian	144	266:161-166	ca. 1552, Padua: (Pacolini)
к	Italian	55	15110:21-23	Dances (ca. 1550?)
L	Italian	148C	266: 156-159	ca. 1552, Padua: (Rotta)
BC	Italian	14BC	266:168	Added to pieces copied by Scribe L
BC	Italian	64	267:8-11	Sketches; after 1549
BC	Italian	64	267:17-21	Rotta: after 1549
BC	Italian	67/68	267:34	Sketches
BC	Italian	67/68	267:29-31a	Ricercar "a Herwarth"
BC	Italian	30AB	1511C:18-20	Added to pieces copied by Scribe S
BC	Italian	67/68	267:31b-33	Sketches
BC	Italian	67/68	267:36	Added to pieces
20	1 (411411	07700	207.50	copied by Scribe J
BC	Italian	64, 68	267:11-16	ca. 1542-49 (?)
BC	Italian	57	1511D:14	Added to pieces copied by Scribe J
BC	Italian	53AB	1511D:8-10	Added to pieces copied by Scribe J
BX	[no waterm	ark]	1511D:11	Printed staves

(4) Scribes working mostly with French papers:

Scribe	Paper	Watermark	Ms. & No.	Remarks
BB	[French?]		2987:11-36	(French tablature; early)
P	[fragment	3	267:23-28	
P	French	74AB	1627:16-31	ca. 1555-1560
x	French (?	81	267:1	Gassenhawer (unidentified)

Scribes BA, BB, BC, BX, BY, BZ

The thorniest problem in the Herwarth lute manuscripts concerns the series of hands which I have labelled Scribes BA, BB, BZ, etc. These related hands permeate many of the fascicles. The scribe has often defaced what was a beautifully copied bifolium by scribbling in a piece, here and there. Like a graffiti artist, he seems hardly able to resist the temptation of a blank space.

Since these series of hands sometimes make references to Hans Heinrich Herwarth, the additions may assist in determining which 30 of the manuscripts may have been in his possesion.

In some cases, the paleographical style is similar to the scribe of the Marco Fascicle, Scribe H. I am not convinced, however, that the B series of hands are the work of the same person as the scribe of the Marco fascicle. Scribe H was an ex-

³⁰

As we have seen, in his inventory of the Herwarth collection Pommer describes a bundle of lute tablatures of little worth, "Kinderwerke," as he calls them. This description does not pass with some of the fascicles included in Mus ms 266, et al., which contain what would have been in 1586 an up-to-date repertory, copied carefully on rather expensive grades of paper. Pommer might very well place little value upon a bundle of defaced tablatures, and as we shall see, many of the fascicles with additions by the B series of hands also contain an older repertory from the first half of the 16th century. Thus the sorting may assist in determining which fascicles may have reached the ducal library independently.

perienced copyist, perhaps a professional one, whereas the B series of hands represent amateur toil.

Scribe B worked as <u>Nebenschreiber</u> in the following fas-31 cicles:

Ms. & Item	Haupt- schreiber		Paper/Approx. Date of Watermark
266:114B	н	BY	Swiss/ca. 1539
266:148	н	BX	Swiss/ca. 1539
1511D:15-16	U	BA	Italian/ca. 1542
1511C:18-20	S	BC	Italian/ca. 1543-47
1511D:8-10	J	BC	Italian/ca. 1549
1511D:14	J	BC	Italian/ca. 1549
267:36	J	BC	Italian/ca. 1542
266:160	L	BC	Italian (Padua)/ca. 1552
266:142	BX	BA	Bavarian/ca. 1544-49
266:151bis	D	BC	Bavarian/ca. 1550
1511A	2 <u></u> -	BY	Bavarian (Munich)/ after 1567: Ricer- cars added to fols. 49, 51, 55-6: Gor- zanis Book (1567)
266:118	C1	BZ	Bavarian/ca. 1566
266:143	BA	BZ	Bavarian/ca. 1541 (?)

In addition this series of hands was responsible as <u>Haupt</u>-

schreiber for the following:

32

There is only one other <u>Nebenschreiber</u> in the Munich lute manuscripts. Scribe C2 added corrections to pieces copied by Scribe F in Mus Ms 266, No. 152. Scribe F was working with papers dated ca. 1545-1550.

³¹

The terminology <u>Haupt</u>— and <u>Nebenschreiber</u> in the Munich manuscripts is sometimes confused, as I have pointed out above. A <u>Nebenschreiber</u>, as I shall use the term here, is one who adds to folios or staves left blank by the first scribe, be it a fragment added to a bifolio containing a piece copied earlier by another, or a piece added to a folio containing an earlier fragment. A <u>Hauptschreiber</u> is one who uses a fresh piece of manuscript paper. Clear terminology is necessary to understand layers of hand and relationships among this very complex body of material.

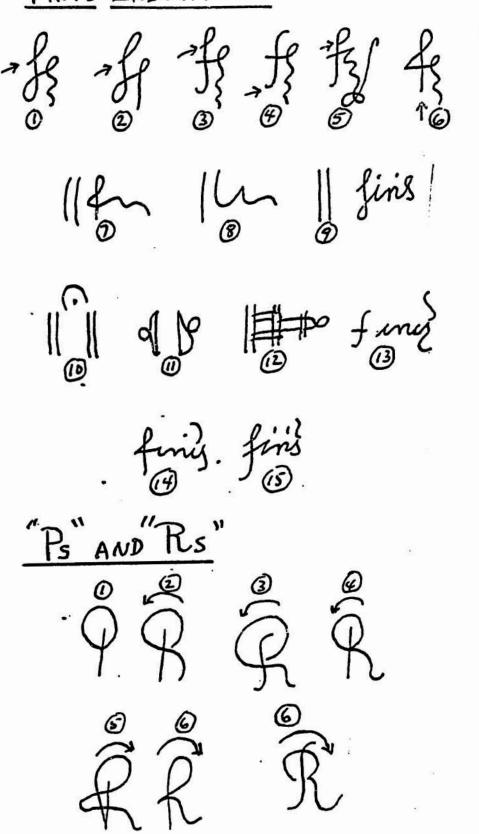
Scribe	Paper Wate	ermark	Ms. & No.	Remarks
BA	[no watermark]		1511D:24-25	Dedicated "Dem er- samen weisen hans"
BC	Italian	64	267:8-11	Sketches
BC	Italian	64	267:17-21	attr. to Antonio Rotta
BC	Italian	67	267:34	Sketches
BC	Italian	66;29-30	267:29-31a	Ricercar *a Herwarth*
BC	Italian	66	267:31b-33	Sketches
BC	Landsberg	16AB	266:103-104	Untitled pieces
BX	[no watermark]		1511D:11	Printed staves
BX	Augsburg	12AB	266:144-47	Willaert intabulation
BX	Augsburg	12AB	1511C:1-12	Sketches
BX	Landsberg	32	1511C:13-14	Sketches
BY	[no watermark]		266:117	Sketches
BY	Memmingen	7AB	266:105-113	de Rippe fascicle
BC	Italian	64, 68	267:11-16	Fragments
BZ	Augsburg	1Á	266:115	M. Newsidler
BB/a	Bernaise		2987:1-9	Keyboard tablature

The scribal features illustrated in PLATES I and II may assist in distinguishing the various states of the hand, and their proximity in style to Scribe H of the Marco fascicle.

Facsimile pages of all of the scribes, or states of one or two scribes, are given at the end of this chapter.

Unly	BC	uses	Finis Indica	ation	(12)	or (14)		
		"P"	or "R"	(1)	[=?	1, 2 & 31		
			Two		(4)	35		
Only	BX	uses	Eight		(2)	[upright]		
			Note Shape	I	(12)	, (3) or (4)	
Only	BY	uses	Eight		(1)	[upright,	from	right]
			Five		(1)			
		Not	e Sha∵eI		(1)	and (2)		
Only	ΒZ	uses	Note Shape	I	(9)			
95			Six		(4)			

FINIS INDICATIONS



Twos 2 2 2 2 2 (1) (2) (3) (4) (5) (6) THREES FIVES 5 5 5 333 (1) (2) (3) (1) (2) (3) EIGHTS SIXES 6 6 6 6 (1) (2) (3) (4) NOTE TYPE I 力上口上口 (1) (2) (3) (4) (5) (6) (7) JERRA (8) (9) (10) (11) (12) NOTE TYPE II BRRBRRB

Only HA uses Finis Indication (1)

A few features are shared by the various states of the hand. For example, both BC and BA use the <u>Finis Indication</u> (11), <u>Note Shapes I</u>

(2) and the "R" (7). BC and BB both use <u>Finis Indication</u> (2).

BC shares these features with others:

BC and H use Finis Indication (3) or (6), and Note Shape I (2)

BC and BB both use Finis Indication (2)

BC and HA use Finis Indication (11), a rather distinct one that is sometimes used to mark the beginning of a piece.

BC and BY use Note Shape I (1)

BC and BZ use <u>Finis Indication</u> (5) and (9), "P." or "R" (7), and <u>Note Shape II (5)</u>.

BA shares these features with others:

BA and H use $\underline{\text{Finis}}$ $\underline{\text{Indications}}$ (3) and (6), and $\underline{\text{Note}}$ $\underline{\text{Style I}}$ (2)

BA and BZ use Three (3), Six (1) and "P" or "R" (7).

If more than one scribe is present in these pages, then such features as the "8" and the "R" might be pivotal. One type starts the pen-stroke from the right, the other, from the left. These features seem unlikely to change, even over a lifetime.

The proportions of the staff lines on the page (coupled with the watermark) may also be of assistance in separating the series of B hands, as well as associating them with the work of other scribes. In the following list, the first figure gives the height of the staffliner in centimeters, and the second, the distance between staves for all papers in the Munich manuscripts.

Staff/ Distance	Hand	Ms. & No.	Paper	Watermark
1.5/0.8	вс	267: 8-21	Italian	(WM 64)
1.5/1.1	BY	266: 105-13	Memmingen	(WM 7A)
1.5/1.1	K	1511D: 5-7	Padua	(WM 53AB
1.5/1.5	ĸ	266: 161	Padua	(WM 53AB)
1.5/1.5	F	266: 152	[no WM]	(Mil Johb)
1.0/1.0	Section 1		LIIO MIII	
1.6/1.1	BZ	266: 113	Augsburg	(WM 7A)
1.6/1.1	BZ	266: 115	Augsburg	(WM 1A)
1.6/1.1	A	266:1-12	Augsburg	(WM 1A-C)
1.6/1.3	C 2	266: 124-5	Augsburg	(WM 10C)
1.6/0.8	C 2	266: 134	Augsburg	(WM 4AB)
1.6/0.8-1		1511C: 18-20		(WM 30)
1.6/0.9	BY	267: 11-16	Italian	(WM 64)
1.7/1.0	C 2	266: 137	Augsburg	(WM 10C)
1.7/1.1	C 2	266: 139-4	Augsburg	(WM 19AB)
1.7/1.1	C 1	266: 119-20	Augsburg	(WM 11C)
1.7/1.1	C 1	266: 123	Augsburg	(WM 6)
1.7/1.1	Ci	266: 131-3	Augsburg	(WM 11A)
1.7/1.1	BC	266: 103	Kaufbeuren	
1.7/1.1	S	1511C: 17	Italian	(MM 38)
1.7/1.2	BY	266: 116-17	[no WM]	
1.7/1.3	C 2	266: 121	Augsburg	(WM 3A)
1.7/1.5	BX	266: 142;144	-7 PRINTEI	D: Augsburg
1.7/1.5	BX	1511C: 1-14		
1.7/1.5	A	Paris I	PRINTED: A	
1.7/1.5	ij	1511D: 19-20		(WM 53AB)
	9 75 2	101101 17 20	. (41.141)	(MI GGHD)
1.7/1.5	BB	2987: 1-36	5-line sta	ff
1.7/1.7	Α	2987: 37-8	Inone: New	sidler]
1.8/1.3	L	266: 156-60	Italian	(WM 14BC)
1.8/1.5	C 2	266: 138	[none]	
1.9/8.9	н	266:15-100	Bérnaise	(WM 2AB)
1.9/0.9	BA	1511D: 15	[no MM]	
1.9/1.2	BA	266: 114	Bernaise	(WM 2C)
1.9/1.4	S	1511D: 12-13		(AM 57)
1.9/1.5	J	266: 167-9	[no WM]	
1.9/1.5	Α	1627: 1-12	Italian (!) (WM 71AB) [dtd. 1572]
1.9/1.5	BX	1511D: 11		INTED STAVES
1.9/1.5	K	1511D: 21-3		(MM 55)
1.9/1.5	BA?	1511D: 15	Italian	(WM 51)
2.0/0.9	P	1627: 16-31	French	(WM 74)
2.1/1.7	F	266: 153-4	[no WM]	SPEL STE
2.1/1.1	5	200. 105-4	FUO MUI	

Hand-drawn	D	266: 149-51 Augsb	ourg (WM 8/9A)
Hand-drawn	D	2986: 1-2 Augsb	ourg (WM 9B)
Hand-drawn	R	1511C: 15-16 Itali	an (WM 31AB)
Hand-drawn	BX	266: 148 [no W	M)

German Tablatures

2.0	(German)	V	1627: 13-15	(the lines	are in red ink)
3.2	(German)	N	266: 170		(WM 15A)
3.8	(German)	0	267: 2-7		(WM 62/63)
3.8	(German)	9	267: 22	0,00	

A complete list of pieces copied by the scribes is given in the Concordances. For the series of B hands, these include:

(Mus Ms 266)

The de Rippe Fascicle (Scribe BY)

A Newsidler Ricercar (Scribe BZ)

Fragments and sketches for a piece reminiscent of Francesco's Ricercar No. 76

"Le vecchie" in both simple and embellished intabulations (see TRANSCRIPTION No. 136), and a Willaert madrigal, similarly treated (Hand BX).

"Elisabeth Zachariae" (La Fage) copied from Barberiis' tab-

(Mus Ms 1511A: the Gorzanis Book)

Ricercars added by Hand BZ to folios 34, 36v, 37 and 37v.

(Mus Ms 267)

Fols. 26-33v: Intabulation of another La Fage motet attributed to Antonio Rotta, and fragments and sketches for an ornamented version of Janequin's "Chant des oiseaux." (Hand BC)

Fols. 48-49: Sketches for several ricercars:

- Parody on Francesco da Milano, No. 42 (hereafter <u>FdaM 42</u>)
- (2) Parody on Francesco da Milano, No. 24 (hereafter FdaM 24)
- (3) An apparently original ricercar (hereafter <u>HHH</u>)

			20	(C) (S) (S) (S) (S)	0.00	10000	U. Control				
The	items	are	strewn	about	the	fol	105.	as	fol	lows:	

(fol. 48)	[at end:] Recercata a Joan Henrico Herwart
(fol. 40v)	[fragment; cf. 266, No. 15 and 35; Fran-
(1011 1100)	cesco da Milano, No. 45]
(fol. 41)	[untitled; continuation from fol. 43v]
	[unidentified fragments]
(fol. 42)	[untitled: Ung laboureur]
	L'aultre iour ie vis p[ar] ung matyn
(fol. 42v)	[unidentified intabulation]
(fol. 43)	[untitled; continuations of HHH; FdaM 42;
	more HHH; fragments]
(fol. 43v)	[continuations of HHH, FdaM 24]
33	158
(fol. 44)	[FdaM 42 and HHH continued from fol. 45v
	[the bifolio was laid out flat)]
(fol. 44v)	[FdaM 24; some lines continue onto folio 45
	[the bifolio was laid out flat]
(fol. 45)	[fragments and continuations from 44v]
(fol. 45v)	[HHH and FdaM 42 (continue onto fol. 44)]
(fol. 46-47v)	Richafort motet copied by SCRIBE J
(fol. 47v)	[Later copy of FdaM 42]
(fol. 48)	[FdaM 42]
(fol. 48v)	[FdaM 24; untitled piece, the "Recercata a Joan," earlier version)] See PLATE III.
(fol. 49)	[Inserts for FdaM 24] See PLATE III, bottom.

These pieces are derived from the following (in addition to concordances in printed sources):

(1) Parody of Francesco da Milano, No. 42

(See TRANSCRIPTION No. 133. The piece is assembled with insertions from the margin and facing pages. I show these with the original signs indicating the insertions in the transcription. The result is typical parody procedure. The ricercar quotes passages directly

On folio 44v the word "Gut" appears in what seems to be the "Marginalia Hand" of Paris II.



from the Francesco model, departs from them, and then returns to the model in alternation, providing a musical "gloss" [glosa] on the original. I have marked the Francesco quotations on the transcription.)

Francesco da Milano, <u>Intabolatura</u>, Book III (Venice: Gardano, 1547), sig. E2: Fantasia

The Hague, "Siena Lute Book," fol. 18: "F.M." Cf. the untitled piece on folio 18v (transcribed in the Francesco edition, Appendix, No. 2.) Still another piece with a similar beginning is on fol. 19, also untitled)

Mus Ms 1511D, fol. 16: Vn rechercar de 4. tono [this appears to be the version followed by the "composer" of the parody]

Paris II, fol. 86 [41]: Recercata

(2) Parody of Francesco da Milano, No. 24

(See TRANSCRIPTION No. 134. It, too, undergoes several successive revisions, shown A, B, and C in the transcription. In contrast to the previous work, after the initial quotation, there are few references back to Francesco's model. The beginning of the francesco fantasia appears again in Paris II, No. 35, in a different hand where small crosses indicate that it is to lead directly into the following passamezzo antico in galliard rhythm (No. 36; the title has been trimmed away, and what is visible is illegible). The cadence of the fantasia is resolved into the gailliard, which, in turn, is followed by a second dance in the same tonality. This is a rare instance in which a fantasia (albeit, just a few measures) directly precedes the piece it was intended to preface; it is the only one known to me that clides with a following

34 piece.

Compare, Francesco edition, No. 13, first published in the Naples, Sultzbach print of 1536, and copied into Paris II, fol. 89v, as "Recercata."

Compare, also, a third higher, the Attaingmant prelude that appears on folio 17 of "The Siena Lute Book."

(3) Recercata a Joanne Henrico Herwart

(See TRANSCRIPTION No. 135.) The work appears in two versions.

It is a very amateurish composition, and seems almost at its beginning to be a continuation. But since its two versions begin with the same phrase, one must assume that it does begin as shown.

The sketches are, on the whole, a messy remnant, and the results, albeit, amateurish, are still far below the usual level of 16th-century lute music.

HAND BX is responsible for a major part of Mus Ms 1511C.

³⁴

The other possibility is that the "fantasia" is actually a pavane or passamezzo in this set of dances, although it has no repeat signs. Perhaps the lutenist simply jotted down the essential thematic ideas of a piece with which he was familiar. (The fantasia does not use the passamezzo antico bass outright, although there are extended passages where the bass notes may be superimposed onto the fantasia.) Murray Bradshaw, The Origin, has shown that some toccatas are built over a psalm tone, which is never sounded outright, but serves to provide thematic references in the imitative sections and to provide silent tonal underpinning for the "toccata" sections. Perhaps the same holds here, as well.

This consists of an intabulation of Gombert's motet "Audi filia" in a simple version, and then a version, "more finelier handeled," as Le Roy's English gentleman would say, with divisions that seem to re-write the polyphony of the original. The simple version is perhaps an original intabulation, since on folio 3v a particularly difficult passage is worked out in score form (see PLATE BX). The other intabulation is of Jachet's motet, "Aspice domine," appearing as No. 16 (copied by Hand R) in a simple intabulation, with the ornamented version by Hand BX.

Is the B series of hands the work of Hans Heinrich Herwarth? A definitive answer cannot be provided at this time. The references to him always seem to be dedicatory, e.g., "Ricercar CARO a H. HE," "Recercata a Joanne Henrico Herwart," "Dem ersamen weisen Hans." Could they be in the hand of his lutenist? Certainly Herwarth was wealthy enough to patronize a whole private capelle, and probably did so. Was it Newsidler? Definitely not, since he was a much better composer, and seems to have been in the employ of the Fusjers, in any event. Another possibility is that it may have been Ulrich Herwarth. He is the dedicatee of the Gorzanis manuscript of passamezzo/saltarello pairs in all keys, Mus ms 1511A, into which Hand BY has added pieces. But Ulrich had many associations with Lyons, and I would expect to find more Lyonnaise papers and music among the manuscripts related by Haupt— and Neben—schreiber.

* * * *

I would like to discuss the remaining scribes, turning to each in the assigned alphabetical order. Since the Concordances (which are also arranged by scribe) contain detailed information, the sources and their content are mentioned here only briefly.

SCRIBE A

Sources: (1) Paris I; (2) 266:1-2; (3) 1627:1-12
Watermarks: (1) Augsburg, 1560s, with printed staves; (2) Augsburg, 1560s; (3) Italian, ca. 1546-52
Stafflines: (1) Printed: see below; (2) 1.4/1.1; (3) 1.9/1.5
(These same proportions are used in staffliners used by Scribes G, J, K, BA and BX.

The fascicles copied by Scribe A, whom I believe is Melchior Newsidler, are covered in Chapter V.

The printed staff paper is also used by Scribe BX in Mus

Mss 266 and 1511C. It is designed to be folded into a quarto vo
lume, since the sheets allow space between the top and bottom four

staves. (See PLATE BX.) The staves were printed from slugs of

moveable type and have the following dimensions:

Staff: 1.7 cm.

Distance between staves in upper and lower halves: 1.3 cm.

Distance between top line of top staff and bottom line of bottom staff: 20.5 cm.

Length of staff: 15.7 cm.

This may be some of the earliest printed staff paper.



Scribe A: Mus Ms 266, No. 13

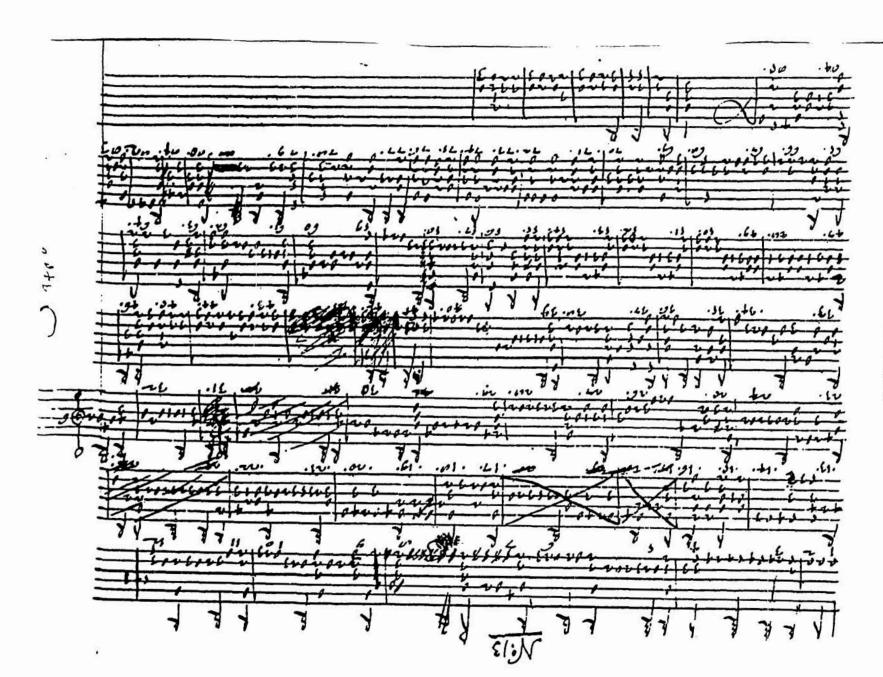
SCRIBES BA, BC, BY, BX AND BZ

These fascicles are discussed in the section above. The hands frequently appear as <u>Nebenschreiber</u>, and since these hands are associated with pieces by, or dedicated to, Hans Heinrich Herwarth, their presence serves to pinpoint fascicles that may have come from his library. In the following sections, I have indicated all additions by <u>Nebenschreiber</u>.



SCRIBE BA:

Mus Ms 1511d, No. 15



Scribe BA: Mus Ms 267, No. 1

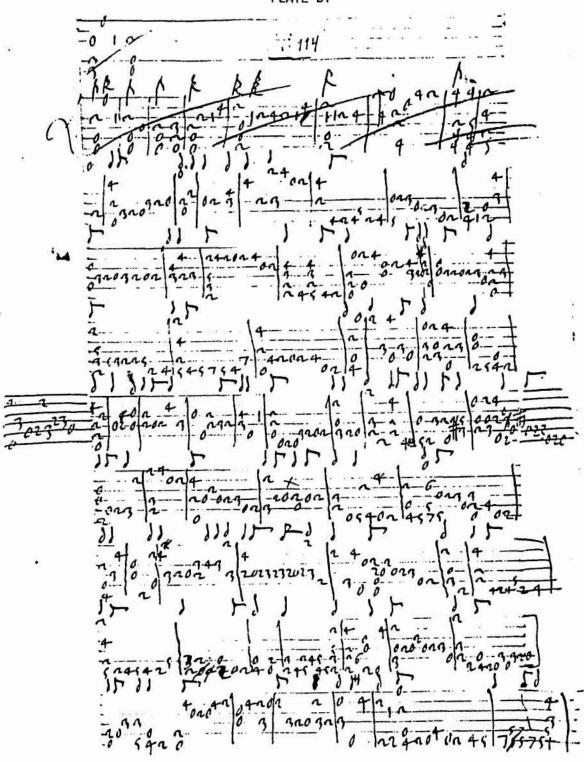


SCRIBE BX: Mus Ms 266, No. 142



SCRIBE BX:
Mus Ms 1511c, fol. 3v
(printed staves)





SCRIBE BY: Mus Ms 266, No. 114B

00 3012 155 41700	113	1 14 =	73 - 1
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	17/81 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	12 1 2 1	'07 [-
33 3 3 3 3 3 3 5 1 3 3 3 3 3 3 3	417 142+014 50	1010 1010	
major acida a g	31 023714	0202014 6 12 12 12 12 12 12 12 12 12 12 12 12 12	- - -
11145 1141 115	£474 n45 c 35 75	320 5320	
13 10 10 25 2 2 2 3 27 32 37 32 37 32 37 32 37	20310 1210 023	31143 520	
04,000 100 100	0190230295295	575°7857-	
72 B 8	CRIBE BZ: Mus Ms.	266, No. 113	

SCRIBE BB

Sources: 2987: 11-36 (French tablature)

Watermarks: none

Staffliner: five-line staves, 1.7/1.5

This curious fascicle may be of French provenance, and its contents early. (It should be noted, however, that French tablature was used in at least one early Italian tablature, and that, conversely, Italian was the usual system in southern France.)

A careful examination of variant readings in the vocal models which served for the several intabulations might provide evidence for the fascicle's origin, if (for example) the intabulations followed versions published in Lyons, rather than, say, Paris.

I have located no direct concordances for the versions of intabulations and dances contained in this fascicle, except for the three pieces: Nos. 14-16 appears as Items 18-20 in Mus Ms 1511C, copied by Nebenschrieber BC, who added them to a fascicle by Scribe S. The intabulation of Sermisy's "Au pres de vous" and the anonymous one published by Phalese (BrownI 1545/3, No. 37), take as a point of departure Sermisy's three-voice, rather than four-voice, setting of the chanson. They are not, however, the same intabulation, since they employ different tunings.

The pieces are copied on inexpensive paper which has begun to deteriorate, although its texture is similar to that used for the Keyboard pieces in this manuscript. Several pieces intabulate only the lower voices of a chanson, being intended apparently for voice and lute: "Ung peu plus hault" (Passereau), "Las, que crainis tu, amy" (Sermisy), and the apparent accompaniments

without the principal melody for the "Hispania," and possibly other dances.

The latter are especially strange, if one reads the rhythms literally, since patterns of fives sometimes result. They are so unusually short that many appear transcribed completely in the Thematic Index.



Scribe BB: Mus Ms 2987, No. 18-30

SCRIBES C 1 AND C 2

These two hands have very similar scribal styles, but since both use paper of approximately the same date, it seems unlikely that we are dealing with two states of the same hand. They may be distinguished by the formation of the numeral "2," one is an Italian two, the other German. The gatherings were originally confused in Maier's arrangement of Mus Ms 266. I have commented upon them, above in Chapter I. They may not yet be in satisfactory order, since the two hands and the different papers are mixed. The pieces were copied as sheet music, most consisting of a bifolium (notice the blank pages):

	Folio	Hand	Water- mark	Staffliner	Title/Key
	81	C 1	110	1.7/1.1	[<u>blank</u> , 81v:]Jouis- sance (Willaert)/ (g)
i	82				Jouissance (Sermisy)/ (q)
	(Nebens folium		er BZ has	added a Bor	rono fantasia to bi-
<u> </u>	83	C 1		1.7/1.3	Fantasia: "auffs Vater unser"/
İ	84		3A		(dated 1568) (d)
 !	85	Cı	5A	1.7/1.1	[<u>blank</u> , 85v:] chanson/ (d)
i	86				
	87	C 1		1.7/1.1	Lasso motet/ (G)
i	88		6		
Ī	89	C 2		1.7/1.2	Arcadelt madrigal/ (F)
İ	90		10C		Rore madrigal/(F)

	91	Ci	3B	1.7/1.4	Fantasia/ (F)
 ! !	92	C 1	3B	1.7/1.3	[<u>blank</u> , fol 92v:] Arcadelt madrigal/ (G)
i	93				
	94	C 1		1.6/1.2	[<u>blank</u> , 94v:] Sandrin chanson/ (d)
l	95	C 1	11B		(cont.)
	96	C 1		1.7/1.3	[<u>blank</u> , 96v:] Lasso Lied (a)
i	97	Ci	11A		de Vento Lied/(g)
	98	CI	1 1A	1.7/1.3	Hofhaimer Lied/ (g) 98v: Fantasia/ (g)
 	99	C 1	1 1A	1.7/1.3	Newsidler fantasia/ (D)
j i_	100				(blank)
i	101				(blank)
	162	C 2	48	1.6/0.8	Ferabosco madrigal/(d)
	103	C 2		1.6/0.8	Crecquillon chanson/ (Bb)
i	184	C 2	40	1.6/1.0	Arcadelt chanson/(F)
		150 TG	50 - 60		in case it chansons (i)
	105	C 2	10B	1.7/1.0	Lasso chanson/ (F)
	195 196				
 		C 2	10B	1.7/1.0	Lasso chanson/ (F)
	106	C 2	10B	1.7/1.0	Lasso chanson/ (F) German dance/ (g) Borrono fantasia/ 107v: blank, no staves (g) Hofhaimer Lied/(G)
	106 107	C 2 C 2 C 2	10B none	1.7/1.0 1.8/1.5 1.7/1.1	Lasso chanson/ (F) German dance/ (g) Borrono fantasia/ 107v: blank, no staves (g)
	106 107 108	C 2 C 2 C 2	10B none	1.7/1.0 1.8/1.5 1.7/1.1	Lasso chanson/ (F) German dance/ (g) Borrono fantasia/ 107v: blank, no staves (g) Hofhaimer Lied/(G) 108v: Pathie chanson
	106 107 108 109	C 2 C 2 C 2	10B none	1.7/1.0 1.8/1.5 1.7/1.1	Lasso chanson/ (F) German dance/ (g) Borrono fantasia/ 107v: blank, no staves (g) Hofhaimer Lied/(G) 108v: Pathie chanson (cont.) (G)



Scribe C1: Mus Ms 266, No. 118-119 (w/additions by Scribe BZ)



SCRIBE C1: Mus Ms 266, No. 138a

1) This time (35) We
N.125 (14 64 4 90
+++++++++++++++++++++++++++++++++++++++
20 20 2 20 2 20 2 2 20 2 2 2 2 2 2 2 2
- / / / / / / / / / / / / / / / / / / /
1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
^ / / / / / / / / / / / / / / / / / /
-1 -02 + -0
320232 32 4 42 00 9 4 2 30 23 30 23 30 23 30 23 30 23 30 23 30 30 30 30 30 30 30 30 30 30 30 30 30
1 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1
1 4 5 0 0
*
- 1 0 - 1 0 - 1 0 0 0 0 0 0 0 0 0 0 0 0
1 F 2400/45 F SH
7,64767046 2 - 4,767 +6+678
Eigenies de 1000 - C.

I would suggest the more appropriate order given below. In this listing I have incorporated some of the more important concordances. The sign "=" ("equals") indicates exact concordances. The sign "(" ("is greater than") showns concordances which have encrustations of ornamentation, and ")" ("is less than"), those concordances with less encrustations. The phenomenon of a lutenist taking an existing intabulation and added embellishments to the existing ornamentation is discussed in some detail in Chapter V, the "Newsidler Fascicle."

Abbreviations used in the final column are made up of the lutenist's name and the date of the publication in which the concordance appears ("unique," as used here, means that an intabulation does not exist elsewhere at all, even in an ornamented, or less ornamented version based on the same original intabulation):

PPB36 = Pietro Paulo Borrono (1536) HN36 = Hans Newsidler (1536)
MN66 = Melchior Newsidler (1566) J072 = Bernhard Jobin (1572)
MN74 = Melchior Newsidler (1574) Kar86 = Sixt Kargel (1586)
598 = Berlin, Mus Ms 40598 No. = a concordance
elsewhere in
Mus Ms 266

HAND C 2

No.	Fols.	Titles	Keys	Water marks	Liner	Concor- dances
134 135 136	102 103/4	Ferabosco: Madrigal Crecquillon and Arcadelt chansons	(d) (Bb) (F)	WIM 4B WIM 4A	1.6/0.8	ONO.11 unique ONO.7 (MN66
				[266, No	. 7 (MN66	53
139	107/10	Borrono: Fantasia	(g)	WM 10A	1.7/1.1	=1536: PPB
140 141	108/9	Hofhaimer: Lied Pathie: Chanson	(G)	WM 10B	1.7/1.1	unique (MN74
				152		No.
137	105	Lasso: chanson	(F)	WM 198	1.7/1.0	KNO. 6
				[266, N	0. 6 DMN66	6]

124 125	89/90	Arcadelt and Rore madrigals	(F)	WM 10C	1.6/1.3	unique
123		Kore madrigars	(17)	[1627, No	. 11 KMN	
138	106	Ich gieng einmal*	(g)	[no WM]	1.8/1.5	CMN74

* The last piece appears to be copied in a different state of SCRIBE C 2

		HAND	<u>C 1</u>				
128	94/5	Sandrin chanson	(d)	WM	118	1.6/1.2	(598
127	92/3	Arcadelt madrigal	(G)	MM	3B	1.7/1.3	CMN74
121	83/84	Vater unser fantasia	(d)	MM	38	1.7/1.3	unique
126	91	Fantasia ["ubiquitous") (F)	WM	3B	1.7/1.3	unique
131	98/101	Hofhaimer Lied	(g)	WM	11A	1.7/1.1	>HN36
132		Fantasia	(g)				unique
129	96/7	Lasso and	(a)	MM	11A	1.7/1.3	CMN74
130		de Vento Lieder	(g)				unique
133	99/100	Newsidler fantasia	(D)	MM	1 1A	1.7/1.3	=MN74
122	85/6	Anon. chanson	(d)	WM	5A	1.7/1.1	#Kar86
123	87/8	Lasso motet	(G)	MM	6	1.7/1.1	(Kar86
119	81/82	Willaert and	(g)	WM	11C	1.7/1.1	unique
126		Sermisy chansons	(g)				unique

Thematic materials similar to this anonymous chanson appear as a fantasia in Kargel's publication.

It is entirely possible that these papers were lined using a grid, and thus may have come from a professional scriptorium, or at least a paper merchant providing pre-lined papers. The distinctions I am drawing are not fortuitous. The distance between the staves and the size of the rastrel may be as important a tool as watermarks in dating and sorting manuscripts. Italian rastrels seem to have come in very small and very large sizes (see the list above), and the size of rastrel appears to have changed (at different times, perhaps) in the pieces copied Scribes C 1 and C 2.

The youngest hand is probably Scribe C 1 since most of the pieces copied by him turn up in versions with encrusted ornamentation in Melchior Newsidler's 1574 print, rather than the 1566 print and the tablatures copied by Scribe A. This group, which uses a rastrel 1.7 centimeters high, seems to have been drawn on a grid that placed the staves uniformly 1.3 centimeters apart. Watermarks 3B and 11A appear in the papers, and the unique fantasia on "Vater unser" carries the date [15]68:

[WM 3B]

- No. 121: Fantasia on "Vater unser" [unique]
- No. 126: Fantasia [unique]
- No. 127: Quand'io penso al martire (Arcadelt) [MN 1574, No. 17]

[WM 11A]

- No. 129: Wo Gott der herr nicht bey uns hielt (Lasso) [MN 1574, No. 28
- No. 131: Troestlicher Liebe (Hofhaimer)[MN 1574, No. 26]
- No. 133: Fantasia (Melchior Newsidler) [MN 1574, No. 45]

Related, perhaps, to this group by Scribe C 1, are the following copied by Scribe C 2, also with a 1.7 rastrel, but spaced 1.1 centimeters apart:

[WM 6 and 5A]

- No. 123: In te domine (Lasso) [Kargel, 1586, No. 7]
- No. 122: The anonymous chanson, "Ung Coeurs" [Idem., as Fantasia, No. 5]

Certainly earlier are the pieces copied by Scribe C 2 on papers ruled with a 1.6 cm rastrel and 1.8 or 8.8 grid. Many of these pieces turn up with further encrustations of ornamentation in Newsidler's 1566 print and in Mus Ms 266, Nos. 1-12 (The "Newsidler Fascicle"), which also uses a 1.6 rastrel and a 1.1 grid. (For comparative transcriptions of these intabulations with encrusted ornamentation, see TRANSCRIPTION 1428-C.) Also belonging with this group are those with a

1.7 rastrel and 1.0 grid. In this case a model for the pieces copied by Scribe C 2 may be found in the Newsidler fascicle of Ms 266:

[WM 4AB]

- No. 136: Suspirs ardans (Arcadelt) [>266, No. 7, which serves as model for MN 1566, No. 10]
- No. 134: Io mi son giovinett'e (Ferabosco) [>266, No. ii which serves as model for MN 1566, I, No. 15; see TRANSCRIPTION No. 1420]

The case is different for No. 137, copied on paper lined with a 1.7 cm rastrel and 1.8 grid. Here (see TRANSCRIPTION No. 1428), each serves, in turn, as model for encrustations of ornamentation:

[WM 18B]

No. 137: Vray dieu (Lasso) [model for 266, No. 6, = MN 1566, I, No. 15, with encrustations of embellishment; See TRANSCRIPTION No. 1428]

The original source for many of these may have been Italy.

No. 125 seems to be the model for Ms 1627, No. 11, "Anchor che col partire," which in turn is the model for MN 1574, No. 21. Ms 1627, Nos. 1-12 are copied on Italian paper (WM 71AB) with a 1.9 rastrel and 1.5 grid. These large-sized rastrels seem to have been used in Italy. (See the table arranged by rastrel sizes, above.) Likewise No. 152, "D'Amours me plains," is copied by the Italianate Scribe F, who elsewhere uses Italian and Bernaise papers (there is no watermark on the paper used for No. 152). It is a model for No. 141 (Scribe C2, 1.7/1.0 staff and grid, WM 10B), which in turn is the model for MN 1574, No. 12.

SCRIBE D

Sources: (1) 266: 149; 266: 150-151a; 2986: 1-2

Watermarks: (1) and (3), Augsburg, ca. 1550; (2) Kaufbeuren,

ca. 1555

Staffliner: all staves are hand-drawn

Mus Ms 2987 contains an intabulation of Lasso's "Helas quel jour," with (on the facing page) the same piece scored in mensural notation. The mensural notation, which also appears to have been copied by Hand D, bears many resemblances to a hand encountered in Mus Ms 1503D. The present intabulation has a number of similarities with the intabulation attributed to Jehan du Liege in Berlin, Mus ms 40032, p. 87, where it appears, however, with much more ornamentation. No. 149 is related to Newsidler's "Susanna."

SCRIBE E non est

PLATE D (1)



SCRIBE D: Mus Ms 2986, No. 1



SCRIBE D: Mus Ms 2986, No. 2

SCRIBE F

Sources: (1) 266: 153-155; (2) 267: 37-40; (3) 266: 152 Watermarks: (1) Bernaise [unidentified]; (2) Italian, ca. 1545-50; (3) no watermark
Staffliner: (1) 2.1/1.7; (2) 1.5/1.1; (3) 1.5/1.5
Nebenschreiber: Scribe C 2 (corrections)

Scribe F has copied pieces on papers of Italian and Bérnaise origins in an elegant Italic hand. The fascicles are, aside from Scribe(s) BA, BB, etc., the only ones to have a Nebenschreiber.

It seems unusual that an Italian hand would be copying No. 153, "Kein Adler in der Welt so schoen." Perhaps he was working in Switzerland, where one of the papers used by him was milled. This melody must have enjoyed considerable popularity, since Crecquillon used it as a tenor in a mass, and Senfl, Jobst von Brandt, and Arnold von Bruck made settings of it. The intabulation is quite unlike any of these, although it uses the same melody.

The Mus Ms 266 fascicle contains an untitled piece in which Scribe F asks (he must have been sending the piece, or why inquire?),

Questa e una canzon francese non so chiame lase chiamase VIostrlo siublimitla la conosese me faveti di dar mi il nome essa.

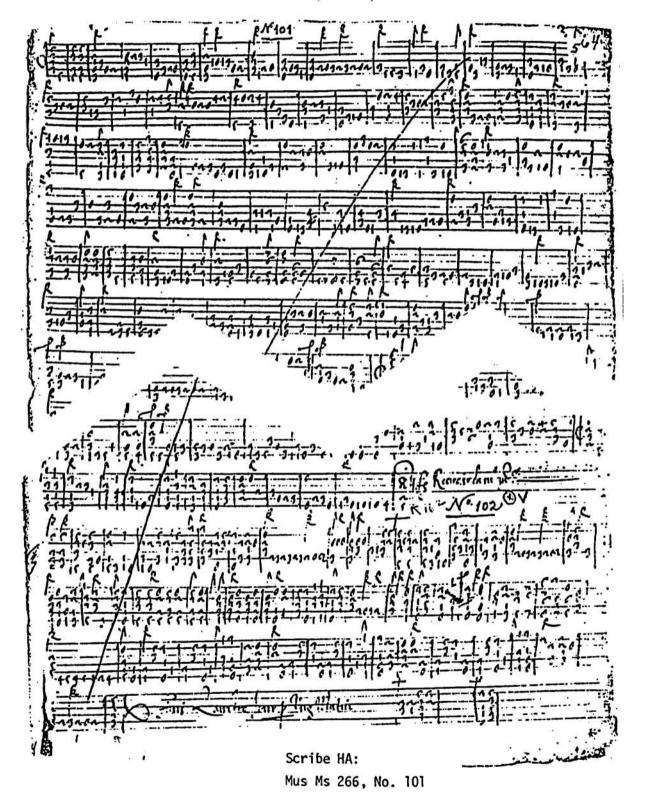
[Prego.] Since he has used inexpensive paper which has deteriorated over the years and the first few measures have broken off, an answer to his query is difficult. The piece is a chanson by either Passereau or Janequin, "Un petit coup." Two other pieces, "De su monsue dei roi," and the intabulation of a piece titled "Je n'ose [estre?] demande" (the setting is not that contained in Attaingnant's publications) are otherwise unknown.

SCRIBE 6 non est



SCRIBE P: Mus Ms 267, No. 37

SCRIBE HA: See Chapter VI, The Marco Fascicle



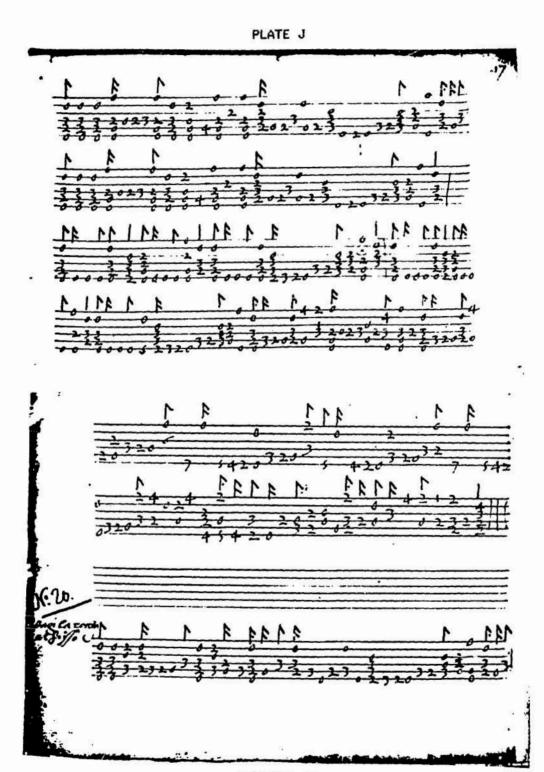
156



157

SCRIBE J

Scribe J probably represents an early hand among the tablatures. It is responsible for a number of works by Francesco da Milano, copied without barlines (although in some instances a later hand has added them).



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1,00

SCRIBE J: Mus Ms 1511d, No. 19

SCRIBES K AND L

Sources for Scribe K: (1) 1511D: 5-7; (1) 1511D: 21-23; (3) 266: 161-166

Sources for Scribe L: (4) 266: 156-159

Watermarks: (1) Italian (Padua?), ca. 1552; (2) Italian, ca. 1550; (3) Italian, (Padua?), 1552; (4) Italian (Padua?), ca. 1552. Marks (3) and (4) are variants of the same watermark.

Staffliner: (1) and (3), 1.5/1.1; (2) and (4), 1.8/1.3-

Since they may have originated in proximity and share some similarities in paleography and similar (but not identical) watermarks and staffliners. Scribes K and L are discussed together.

Mus ms 266, Nos. 156-168, have original pagination, 1 through 5, in the lower left-hand corners. No. 158, "Languir me fais," is attributed to "A. R.," and if Fascicle II is by the Paduan, Giovanni Pacolini, then a possible candidate for "A.R." would be Antonio Rotta (d. 1549), another citizen of that city.

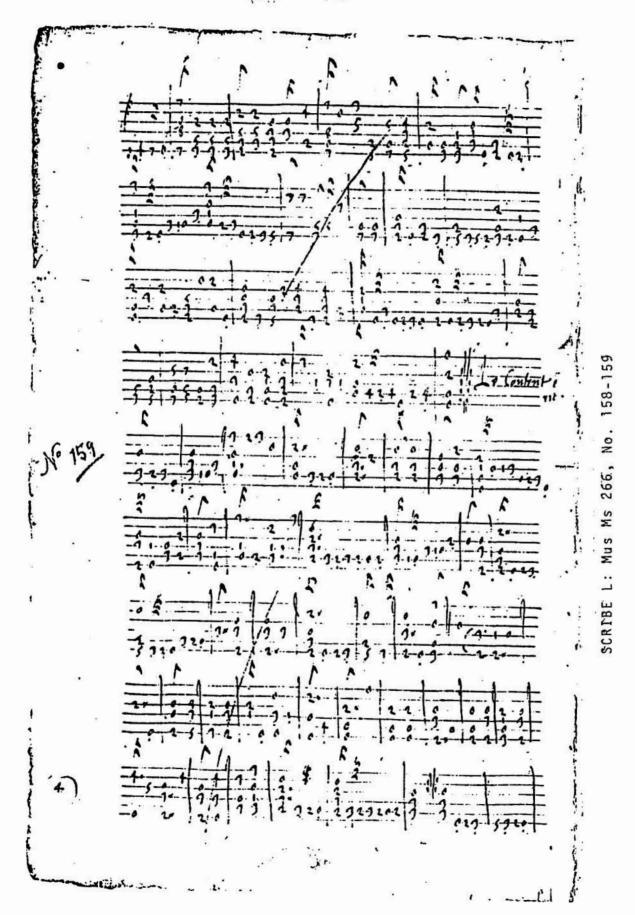
Although Pacolini's one known print dates from much later than 1552 (not much is known about him), it does contain pieces popular during the early part of the century. Many of his pieces have titles concordant with those in the Venetian Keyboard manuscript discussed by Jeppesen and Mus Ms 1511B (see Chapter I), such as "Tu te parti," "Santo Herculo," "Forze de Hercule," "Bernardo non puol stare," etc., all dances that were quite outmoded by the time of Tini's Milan print of 1584, surely a reprint: pieces from it turn up earlier in Phalese editions published in 1564 and 1591. The fantasia, No. 162, is by Marco dall'Aquila (=Mus Ms 266, No. 23, after the opening measures), and in Pacolini's print is a dance titled in manuscript, "Padoana



SCRIBE K: Mus Ms 266, No. 166



SCRIBE K: Mus Ms 266, No. 161



della Zoppa," perhaps a reference to the early lutenist Aldarati (Known as "Zoppino")?

Some of the pieces copied by these scribes appear to have been popular in Germany. The intabulation of Sermisy's "Il est jour" is copied into the Donaueschingen manuscript and into a manuscript now in Wrocław (Ms 352, part B), and the intabulation of Sermisy's "Languir me fais" appears with additional ornamentation in the anthologies of Gerle (1546) and Waissel (1573). Waissel also used the Passamezzo / padoana / saltarello, adding embellishment. There is no reason to believe that the intabulations were made originally by them, rather than Rotta, since both were heavy borrowers from the music of others. (Waissel admits in his preface that he has simply printed pieces gathered during travels, and his 1573 print includes a unique intabulation by Valentin Bakfark, also a resident of Padua, who died there, much lamented by the university students: they recorded their grief in the minutes of a meeting.)

Other pieces by Rotta, copied by Scribe BC in Mus Ms 267, include an intabulation of a La Fage motet and perhaps a ricercar on "Si mon malheur." If Scribes BC, BY, etc., are identical to Hans Heinrich Herwarth's lutenist, one wonders if he studied at Padua, or visited there. Scribe BC copied on Italian paper that dates about 1549, perhaps a bit late for Herwarth's student days, but the section is devoted to sketches, so he may have been re-working something gathered earlier. The date 1552 fits well with the time of the Schmalkaldian difficulties in Augsburg; perhaps Herwarth and his lutenist travelled during this period, re-visiting Padua, although large purchases of vocal music from Italy do not resume until 1554-55. (The years 1553-54 are represented

with Antwerp and Louvain imprints, as we have seen in Chapter I.)

SCRIBE M non est

SCRIBE N

Source: 266: 170 (German tablature)
Watermarks: Landsberg, 1540s or 50s (? Briquet includes a closely
similar watermark, dated 1535.)
The page has horizontal rulings 3.2 cm. apart.

Briquet's date of 1535 for paper with a similar watermark would be entirely possible for an intabulation of a Josquin piece, although a date in the 1540s seems more probable.



SCRIBE 0

Sources: 267: 2-7
Watermarks: Italian, ca. 1524-36
Horizontal rules are drawn from 3.2 to 4.3 cm apart.

The paper used in this fascicle, according to watermark evidence, dates around 1536. Such an early date is consistent with the content of Josquin, Senfl and Consilium motets, although the possibility also exists that these might be the motets that Newsidler is said to have published in Venice. According to Fétis (loc. cit.), six Josquin motets for six voices intabulated by Newsidler were published in Venice in 1585. This date seems very late for such pieces, and one might speculate that an earlier, more timely edition may have appeared, remnants of which may be lurking in Phalese's output (e.g., BrownI, 1552/11, Nos. 69 and 83, 1571/6, Nos. 156 and 157). The edition is, however, otherwise unknown.

Perhaps this section preserves pieces from that edition. Although it is in German tablature, the paper is Italian, and there are several concordances with known Newsidler pieces, e.g., Mus Ms 267, No. 3 (= 1574/5, No. 1). and No. 7 (= ibid., No. 3), where they appear with encrustations of ornamentation. The manuscript uses heighted ciphers (to show voice-leading), a type of German lute tablature said to have been an innovation by Ochsenkhun, although his is simply the earliest publication to employ heighted ciphers.

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Scribe 0: Mus Ms 267, No. 3

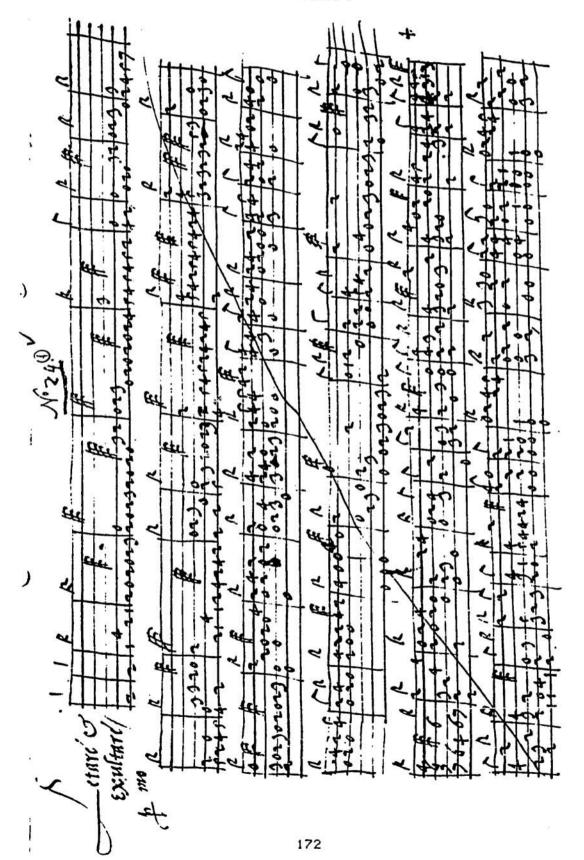
SCRIBE P

Sources: (1) 267: 23-28; (2) 1627: 16-31

Watermarks: (1) fragment of a letter; (2) French, ca. 1555-60 Staffliner: (1) five-line rastrel with sixth line drawn in, 1.4/1.3 cm.; (2) 2.0/0.9

The pieces in the Mus Ms 1627 fascicle appear to be dances, rather than intabulations of chansons. All are unique, and even the vocal tunes upon which they are based (such as "C'est une griefue maladie," and "Nicolla mon beau frere") are otherwise unknown. A French watermark suggests that the fascicle may stem from Lyons, where Herwarth had many contacts. The fascicle was in the possession of a German, since comments in that language are added at the end of Mus Ms 1627, No. 27. Scribe BC copied the chanson "Jean de Lagny" into Mus Ms 267.

The scribe frequently writes out mordents, usually considered a feature of German sources (Borrono did use them, however). They seem to have usually been added improvisationally, and perhaps their inclusion here includes that the tablature was intended for an amateur.



Scribe P: Mus Ms 267, No. 24

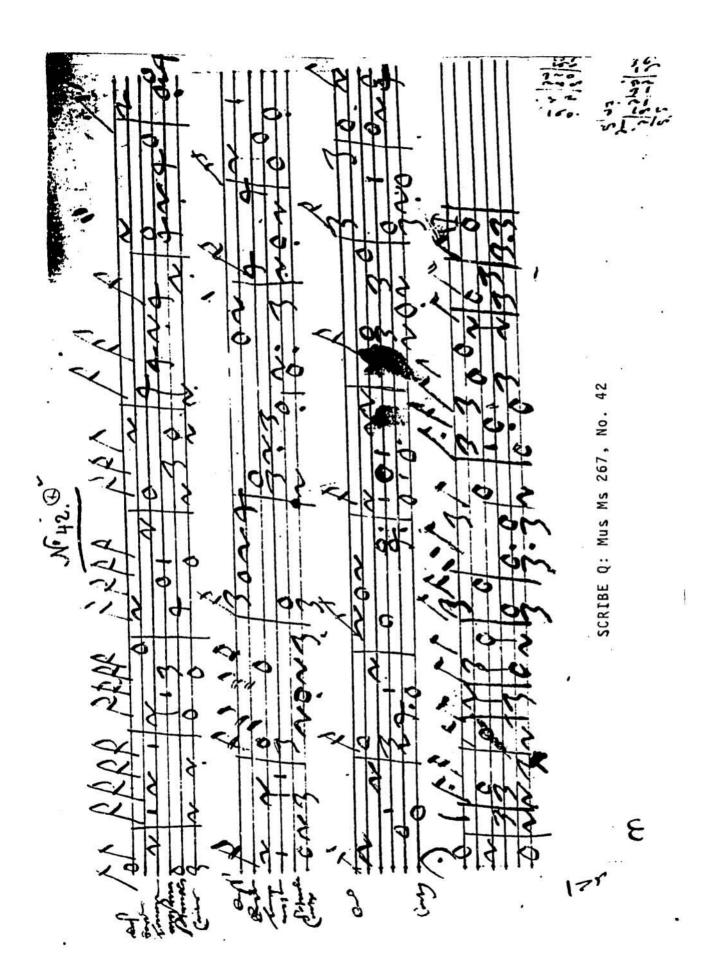
SCRIBE Q

Sources: 267: 41-42

Watermarks: none Staffliner: 1.9/1.3

In this fascicle Maier's pencilled numbers are incorrect. There are two dances, a romanesca and a passamezzo antico, and two ricercars, one by Marco dall'Aquila and the other anonymous.

Theses very simple pieces seem to be intended for a beginner: right-hand fingering dots are carefully indicated, and what may be the "marginalia" hand has named the courses of the lute in Italian ("bass, bord[on], tenor, messana, sotanela, cantus"). He has also jotted some calculations in the corner of the page, from which it might be possible to trace pieces copied by the teacher. The pieces, themselves, although in large ciphers, do not appear to have been copied by a child since the figures are so uniform.



SCRIBE R

Sources: 1511C: 15-16 Watermarks: Italian, 1535 (?) Staff lines: drawn by hand

Briquet, whose mark dated 1535 matches this paper exactly, found the mark in a document at Vicenza. The fascicle has a dedication, "All patre matio dei ordine di mazachieri." I have been unable to locate any reference to this order, although it may refer to a penitential order. The intabulations of motets by Mouton and Jachet da Mantua tend to confirm the early date.

SCRIBE S

Scribe S, according to Concordances, is probably another early hand.

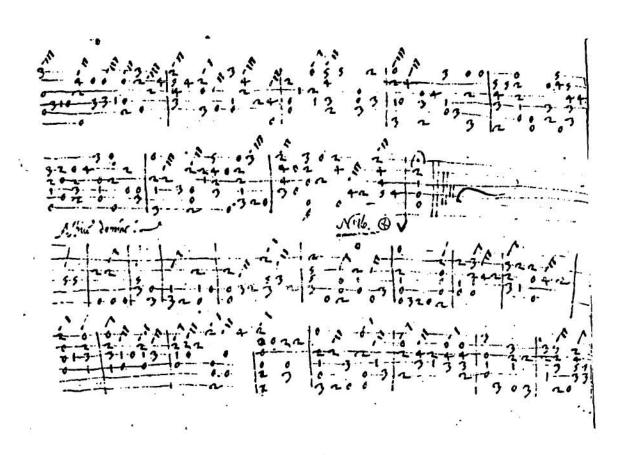
SCRIBE T

Sources: 1511D: 1-4 Watermark: Italian, ca. 1542

Staffliner: 1.3/0.9

Pieces copied by Scribe T may be early, since they include Francesco's intabulation of Sermisy's "Vignon vignette," and perhaps the other intabulations, as well (one turns up in Phalese's anthology of 1545). If the date ca. 1542 is correct, this might be the only manuscript source dating from his lifetime.

PLATE R

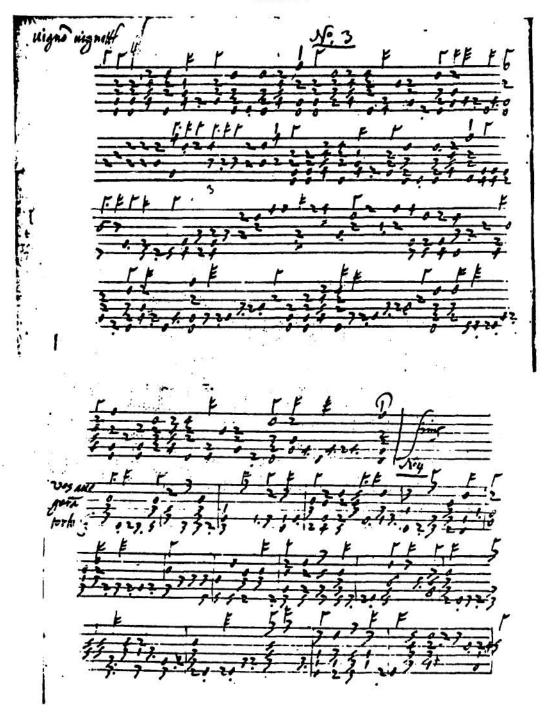


Scribe R: Mus Ms 1511c, No. 16



SCRIBE S: Mus Ms 1511c, No. 17

PLATE T



SCRIBE T: Mus Ms 1511d, No. 3

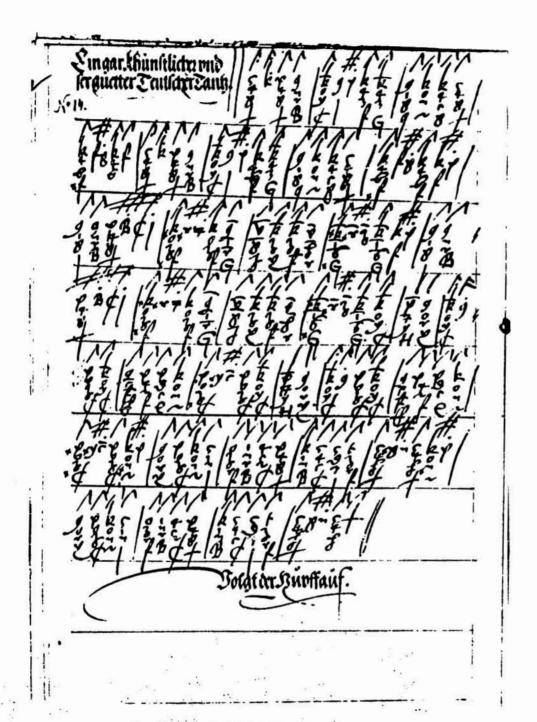
SCRIBE W

Scribe W has copied Sermisy's "Il me suffit" into German tablature in score format. It was probably a preliminary sketch for an intabulation, the copyist entering the individual lines from part books. It may be Scribe BC.

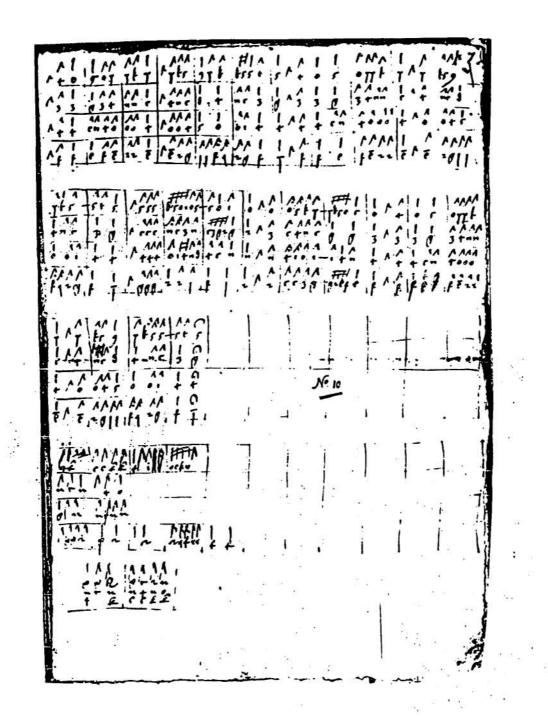
PLATE U



SCRIBE U: Mus Ms 1511d, fol. 15v



Scribe V: Ms 267, No. 14 180



SCRIBE W: Mus. Ms 2986, No. 10

SCRIBE XY

A galliarda (Paris I, Nos. 8a-b) is added to blank staves left between the first and second gatherings of Paris I. It is probably from the early 17th century. (It is the only piece in Paris I or II in French tablature.)

SCRIBE XX

Sources: Paris I: 1; 267: 1

The Gassenhawer by Hans d. von Metz appears to have been a late addition to Mus Ms 267 (No. 1) and to Paris I (where it is added in a different hand to a blank folio at the beginning of the first gathering). The lutenist-composer, whose piece seems to have been so very popular, has not been identified, and is probably not the person in Mus Ms 1512, whose pieces are labelled "F. S. H. D," which probably stands for "F. S. H[of] D[antz]."

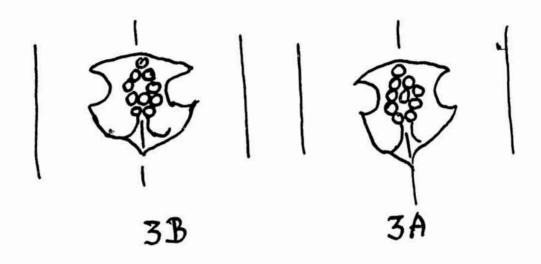
NOTE

The second part of the Paris manuscript (Paris II) is discussed at the end of the chapter on the Acquisition of the Herwarth library. Paris II contains some pieces concordant with the Munich manuscripts, but apparently no scribal ones. Of course, Paris I does include the important pieces copied by Scribe A, and Paris II, the comments by the "marginalia hand," who may be a teacher (see Scribe Q).

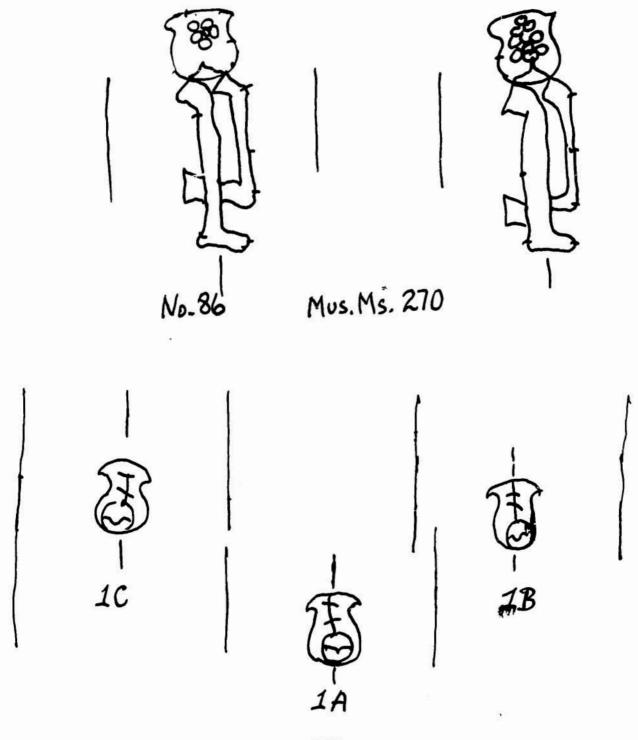


SCRIBE XX: Mus Ms 267, No. 1

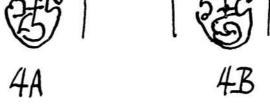
Augsburg Watermarks

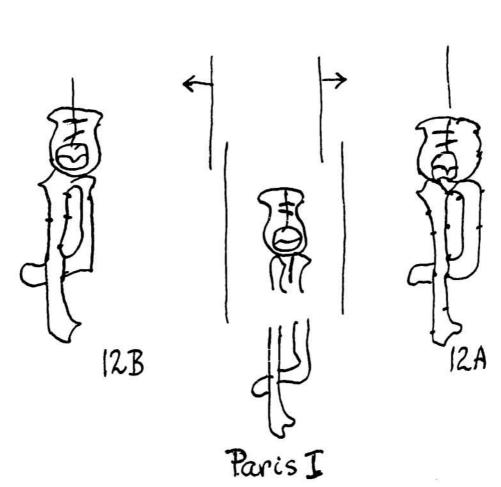


Augsburg Watermark (cont.)

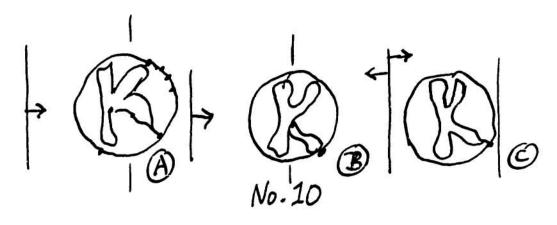


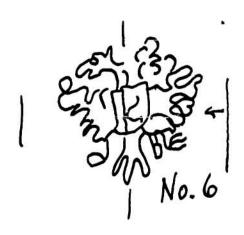
Augsburg Watermarks (cont.)



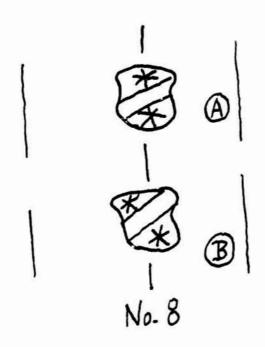


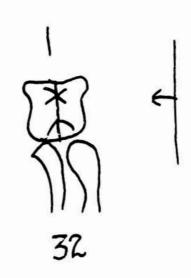
Kempten Watermarks



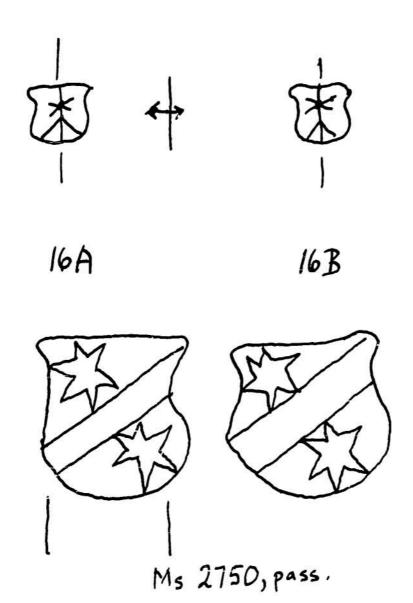


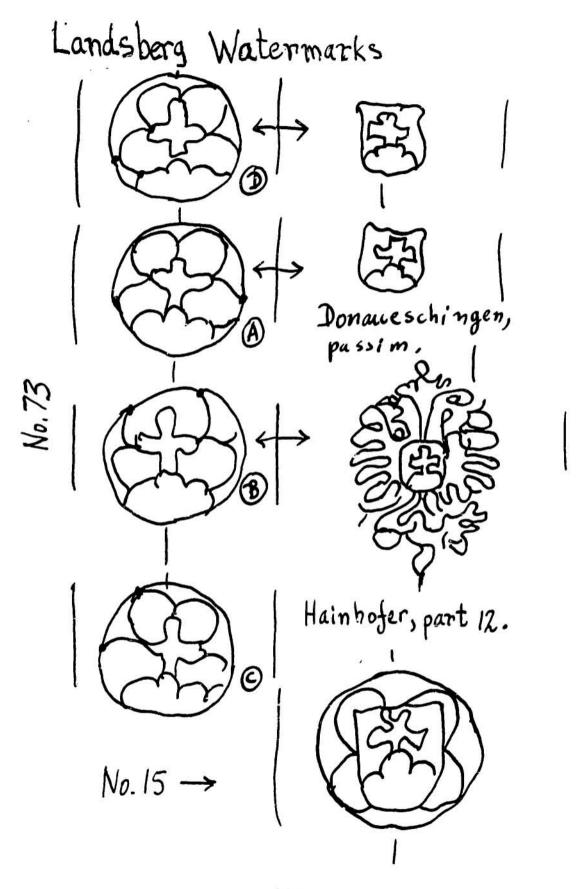
Kaufbeuren Watermarks



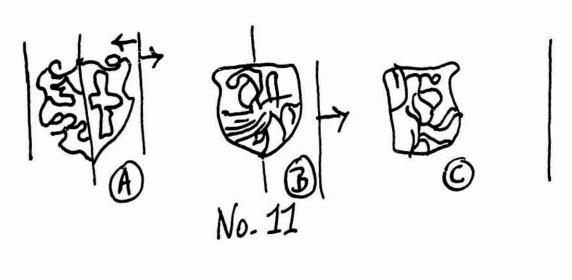


Kaufbeuren Watermarks (cont.)



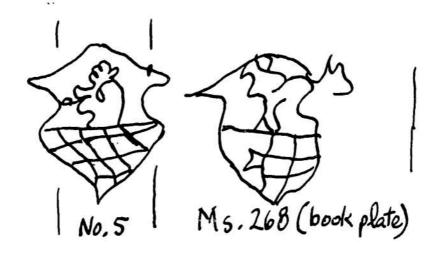


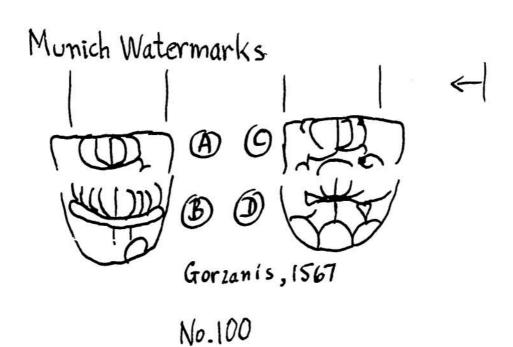
Memmingen Watermarks

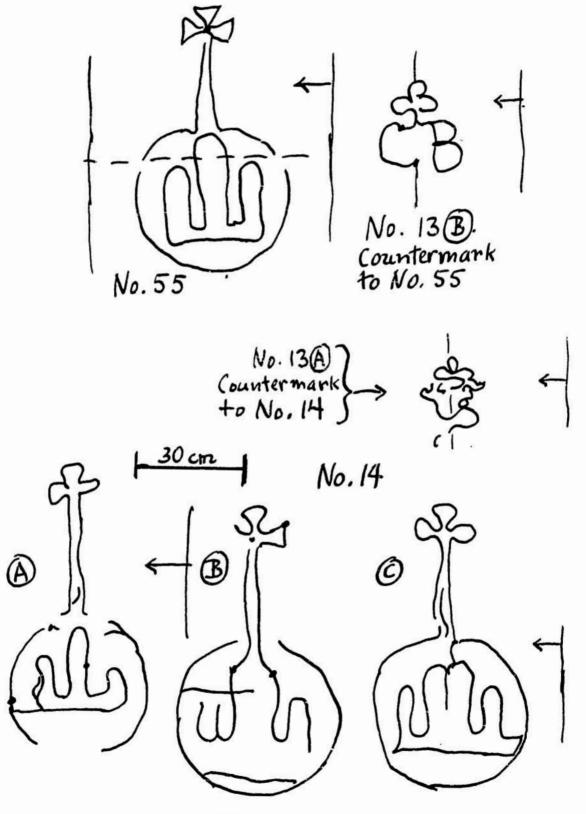


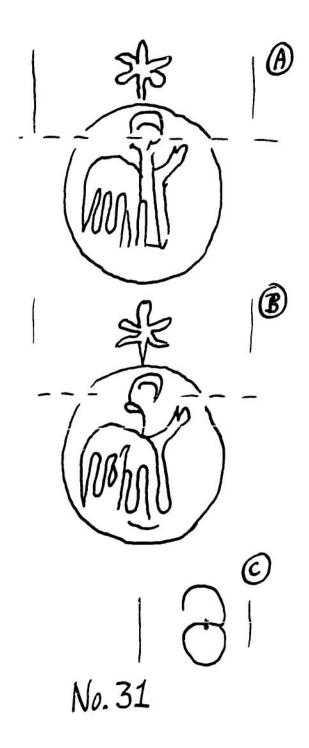
Newsidler Etr., Dec. 239

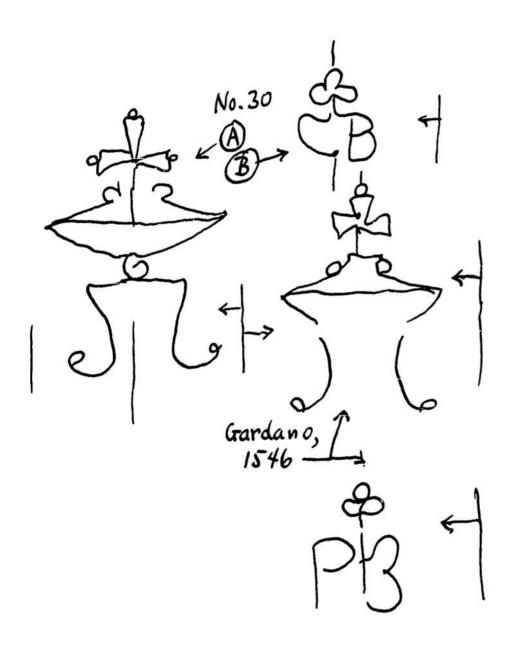
Schobenhausen Watermarks

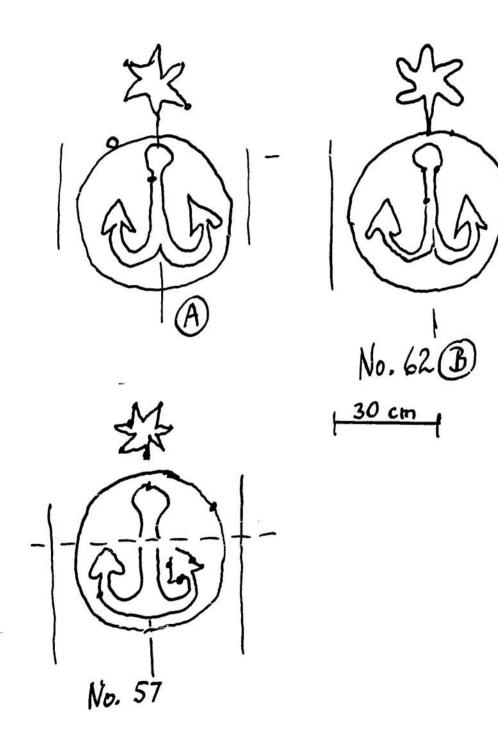


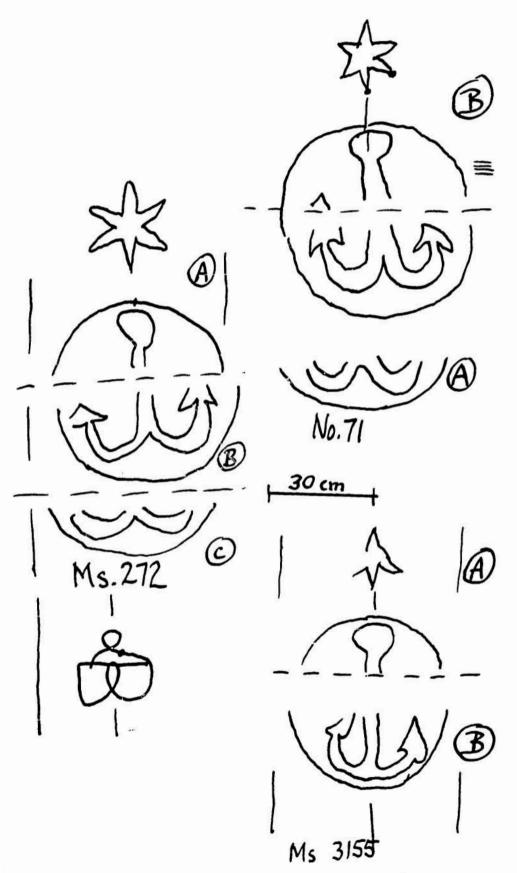


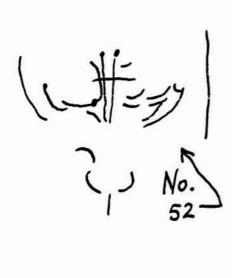


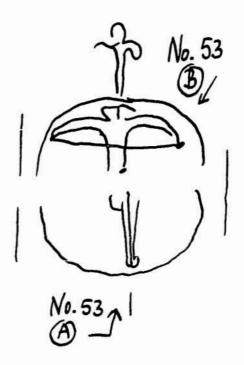


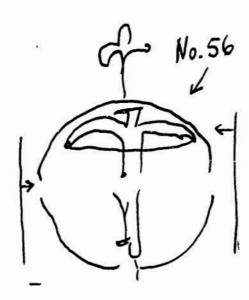


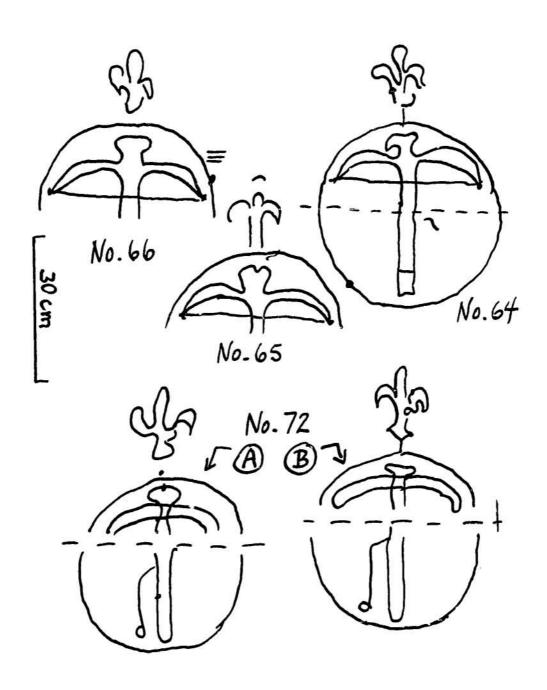


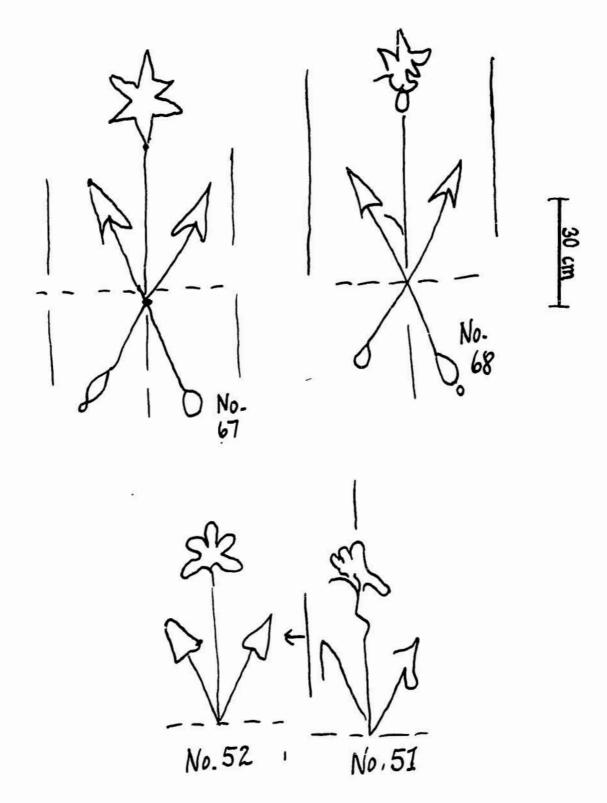


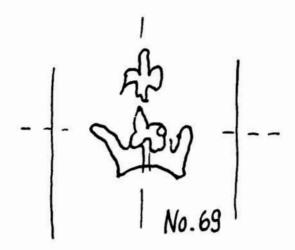


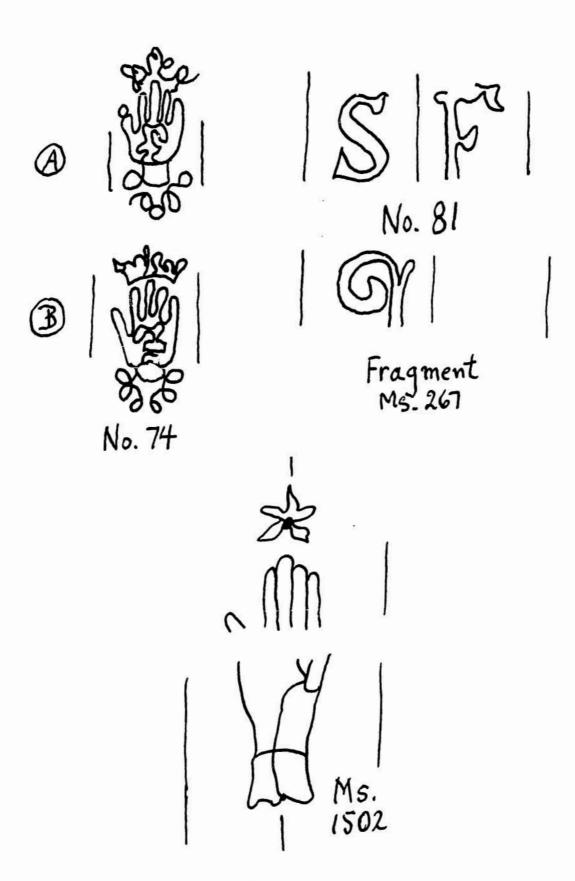












Chapter IV

The De Rippe Fascicle

Folios 67-76v of Mus Ms 266 are devoted mainly to fantasias or ricercars by Albert de Rippe, the phenomenal Mantuan lutenist who served from 1528 until his death in 1551 at the French courts of Francis I and Henry II. So great was his success that Francis granted him estates in Blois and Carrois-en-Bris, and paid him a salary twice that of his other court musicians. Only three of de Rippe's ricercars appeared in print during his lifetime, those in Casteliono's Milanese anthology of 1536. The bulk of his substantial output was published in two posthumous "oeuvres complettes," first prepared by his student Guillaume Morlaye in the 1550s and published by Fezandat in Paris, the second in the 1560s by Le Roy and Ballard apparently utilizing a few different sources.

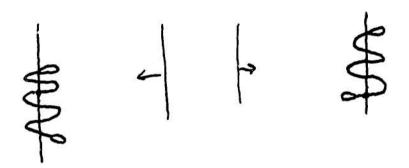
The fascicle is copied on Memmingen paper by Hand BC, one of the most widespread of the scribes in the Munich corpus, perhaps Herwarth, himself, as I have suggested above. The watermarks, 7A and 7B (see ILLUSTRATION I), which Briquet classified as a serpent, and others have called a worm, most likely represents the letter "M."

The papermill established at Memmingen in the 15th century was destroyed during the Peasant's War of 1525, and re-built

See Vaccaro, <u>Oeuvres d'Albert de Rippe</u> for a full biographical study. Discussion of the sources appears on pages xxi-xxvii, where (note 3) he remarks that the pieces in Munich, Mus Ms 266 are simply copied from the first Le Roy and Ballard book of 1562.

around 1528, since in 1529 new watermarks are encountered. The dynastic family of paper millers, the Schraglin (fl. before 1540 to 1630), is probably responsible for papers bearing Watermarks 7A and 7B, as well as Watermarks 11A-C discussed in the chapter on scribes and watermarks. The mark identifies fairly inexpensive papers of that mill, whereas Nos. 11A-C with a shield, a moderately-priced paper.

ILLUSTRATION I



Watermark 7A closely resembles Briquet, No. 13721, and 7B is identical to Briquet, No. 13722, both of which Briquet found on documents dated Memmingen, 1560-63. Since he and von Hössle found the mark nowhere, except in Memmingen documents between 1544 and 3 1563, this paper may have been merchandized only locally.

Since Hans Heinrich Herwarth's first wife, Maria Haintzel,

See von Hössle, 1926, Heft 15, 223-5.

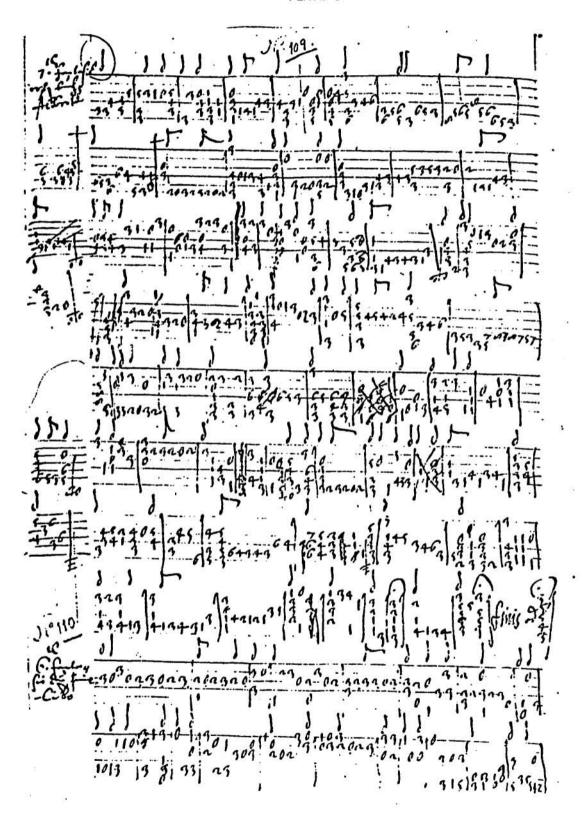
See Briquet, Nos. 13720-22, and vol. IV, 680; von Hössle, loc. cit.

died during childbirth on January 26, 1564, in Memmingen where the family may have fled to avoid the Augsburg plague of December 1563, one may speculate that this fascicle is in Hans Heinrich's hand. The pieces are entered haphazardly and in a script that may only be described as sloppy. The desultory readings in this fascitie, plus the later references to concordances in the Parisian prints, may account in part for Jean-Michel Vaccaro's having noted the concordances, without taking their readings into consideration for his edition of de Rippe's works.

The fascicle is copied on staves drawn with a rastrum 1.5 cm. in width with 1.1 cm. between staves; x = watermark 7A, # = 7B:

See Plate I with its many insertions, deletions and changes. The readings might be considered distant corruptions by a German hand, especially since the pieces are identified in the manuscript with concordant fantasias in the Paris print. I intend to argue on the contrary, and suggest that the Munich manuscript preserves unique versions of de Rippe's output, from which one may discover a clearer picture of him as one of the most famed lutenists of his day.

		67	105.	Recercar de m Alberto de Rippe / [the marginalia hand:] La Neufiesme fantasia du premier Liure
			106.	[marginalia hand:] aus der / fantasia 8. dess Ersts Buchs Alberts
		1670		(continued)
	107. [margina		107.	[marginalia hand:] fantasia penntj
		168		(continued)
	# .		108.	Recercar Alberto de Ripa [marginalia hand:] die 9. [sic] fantasia des ersts buchs
		1690		(continued)
		169		(continued)
		1690	109.	[marginalia hand:] Die 7. fantasie des buchs Alberts
			110.	[marginalia hand:] Die 6. fantasie du premiers libro
70 *				(continued)
				(continued)
	171 111. [untitled pie		111.	[untitled piece]
#		112.	[Rogier Pathie: "D'amour me plains"]	
				(BLANK STAVES)
		1—11 72v	112b.	[untitled fragment=No. 113]
		173	113.	[Fantasia by Pietro Paulo Borrono]
	73v	·		(BLANK STAVES)
¥	74-	74٧		(BLANK STAVES)
	75-	75v		(BLANK STAVES)
#	76-	76٧		(BLANK STAVES)



The pieces in the Munich manuscript consist of five fantasias (or ricercars) by Albert, one by Pietro Paulo Borrono and one by Guillaume Morlaye, plus an untitled intabulation of Pathie's "D'amour me plains." The first scribe has given the titles for only two of the pieces (Nos. 185 and 186, shown with underlining in the inventory above.) A later hand (the ink and pen nibs are quite different) has identified these and several of the untitled pieces in the de Rippe Premier livre (Le Roy & Ballard, 1562). A work, No. 187, which the "marginalia" hand calls "fantasia penntj," is attributed to Guillaume Morlaye in his Troisiesme livre (Paris, 1558). It is a parody fantasia based on a work by Giovan Paolo Paladino. The Borrono work (untitled in the fascicle) appears in Casteliono's 1536 publication.

Relevant concordances are the following:

105. Recercar de m [esser] Alberto de Rippe [Vaccaro, I, No. 15]

- = Fantasie, Quatriesme livre, ed. G. Morlaye (Paris: Fezandat, 1554), fol. 4.
- = Fantasie neufiesme, Premier livre (Paris: Le Roy & Ballard, 1562), fol. 22v.

The model is in Paladino's <u>Premier livre</u> (Lyons: Simon Gorlier, 1553; rpt. 1560), fol. 2v.

The identification of concordances is incorrect in <u>BrownI</u> (1558/4, No. 2), where Morlaye's parody is traced rather than the Paladin model, the work reprinted by Phalese and others. Of course material not derived from the Paladin work may be borrowed from elsewhere. The subject that appears throughout the first "Morlaye" section (measures 7-10 in Transcription No. 132) is reminiscent of Kargel's third ricercar (BrownI 1574/1), and an anonymous piece in the "Siena Lute Book," No. 89. Not all of Kargel's fantasias are his, e.g., No. 1 is an embellished version of a fantasia by Francesco (publ. Ness, App. No. 9).

- 106. [Actually fragments from the de Rippe work] [Vaccaro, I, No. 11]
 - = Fantasie, Troisiesme livre, ed. Morlaye (ibid., 1554), fol. 2.
 - = Fantasie huitiesme, Premier livre (1562),fol. 19v.
- 107. ["Fantasia penntj"] [TRANSCRIPTION No. 132]
 - = Guillaume Morlaye, Fantasie, Troisiesme livre (Paris: Fezandat, 1558), fol. 4.
 - = Fantasia, Theatrum musicum (Louvain: Phalese, 1536), fol. 6v.
 - = Fantasia, Luculentum theatrum (Louvain: Phalese, 1568), fol. 4v.
 - = Fantasia, Theatrum musicum, longe (Antwerp: Phalese & Bellere, 1571), fol. 2v.
- 108. Recercar Alberto de Ripa [Vaccaro, I, No. 1]
 - = Fantasia, Premier livre (ibid.), fol. 1.
 - = Fantasia premier, Premier livre, (1562), fol. 2.
 - = Fantasia di messer alberto, Uppsala, Ms 87, fol. 59v.
- 109. [Vaccaro, I, No. 10]
 - = Fantasie, Troisiesme livre (ibid.) fol.
 - = Fantasie septtiesme, Premier livre, 1562, fol. 17v.
- 110. [Vaccaro, I, No. 9]
 - = Fantasie, Second livro (ibid., 1554), fol. 6.
 - = Fantasie sixiesme, Premier livre, 1562, fol. 14v.
- 111. [unidenti+ied fragment, perhaps an intabulation]
- 112. [Pathie: "D'amour me plains" copied also as No. 141. This is not de Rippe's intabulation as published in Paris, and is closer to Melchior Newsidler's, but contains additional embellishment. All three, de Rippe (Paris), Newsidler and No. 112/141 may therefore, derive from a common ancestor.1

⁷ It seems not to have been noted that this fantasia is based on the venerable old tune "Faulté d'argent," used by Josquin. It thus joins Domenico Bianchini's ricercar (<u>Intabulatura</u>, Venice, 1546, fol. 3) on the melody—if it is a ricercar by him (some of Bianchini's works are intabulations of the ricercars by others), and Cavazzoni's famous one, publ. <u>inter alia</u>, in Apel and Davison's <u>Historical Anthology of Music</u>. Vaccaro provides a "disposition analytique" of the de Rippe paraphrase as an unpaginated insert for his edition.

113. [Borrono: Fantasia]

- = Fantasia de M. Petro Paulo da Milano, Intabolatura de leuto de diversi (Milan: Casteliono, 1536), fol. 33.
- = Fantasia Pauli Baroni, Hortus musarum (Louvain: Phalèse, 1552), fol. 18.
- = Fantasia Pauli Baroni, Theatrum musicum (Louvain: 1563), fol. 5v.

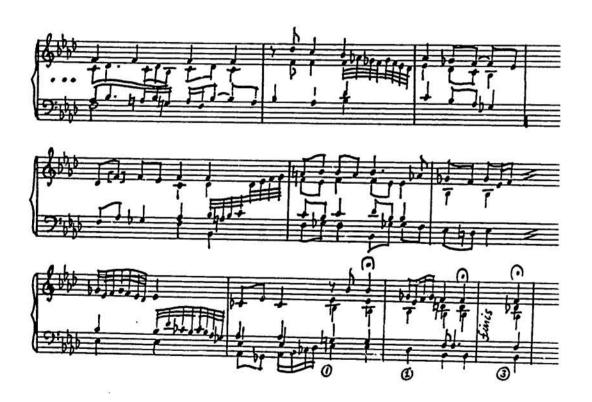
Differences between de Rippe's fantasias in the Munich versions and those published in Paris extend from small details to virtual re-workings. It is evident, as I intend to argue, that we have, here, not pieces copied from a Parisian print, but rather distinctly different readings, or (even better) versions, of the same pieces. Some passages, cadential turns and other embellishments, may be lacking in one or the other version. The Munich pieces show a preference for using the fifth course on the d string for cadential suspensions (5 on d rather than the open g course for the note g), thereby better preserving the voice-leading, although making play more difficult. The ricercars sometimes end with open fifths, rather than full triads.

In the Munich de Rippe pieces, flats (or naturals) are

⁸ This sort of substitution is not unusual in 16th-century lute music. Many early intabulations may be described as "pointalistic." The intabulator only indicates the begining of a plucked sound, often giving little regard to the use of courses that would permit the instrument to sustain the voice-leading of the vocal original. Later intabulators made greater effort to intabulate so tones could be properly sustained. For example, a 4-#3 suspension may use "5" on the "d" and "a" courses (=the notes g and d) with the "5" resolving to "4" (f sharp), rather an open "d" for the middle voice and breaking it off to sound the f sharp. Similarly the function of ornamentation in lute music changes during the 16th-century. Pointalistic intabulations will bridge long chords with flourishes that extend from one end of the instrument to the other, crossing through all voice lines. Later ones use embellishment to connect, and clarify the voiceleading of each individual part.

sometimes preferred in passages having naturals (or sharps) in the Parisian prints. (See EXAMPLE II, discussed below.) The last third of No. 109 (Vacca p, I, No. 10) has an entirely different ending and emphasizes this tendancy for flats, stressing what might be called (using modern terminology) the minor subdominant. See the transcription, EXAMPLE I.

EXAMPLE I



An analysis of ricercar/fantasia No. 105 (Vaccaro, I, No. 15) may shed some light on the nature of differences between the

two versions, Munich and Paris (see TRANSCRIPTION No. 133). The work opens motet-like with paired imitation involving an ascending "subject" in the tenor and descending "countersubject" in the bass, which cadences on C (quarter notes 1 through 8). The "subject" and "countersubject" are imitated in the soprano and alto (10 through 17), and likewise cadence on C. The two cadences on C are each echoed with cadences to F (quarter-notes 10 and 19). At 22 a modified statement of the "subject" appears with the "counter-subject" reappearing in parallel tenths between the soprano and bass (Paris version: bass and then tenor/alto line). The passage cadences on C (28) with a subsequent cadence to F, as before (32).

Later passages using similar materials cadence on F (40 to 44), transposed to C (44 through 48), and then with the "echo" cadence on F. Similar F/C tonal polarity (distilled in 36 to 39) prevails through most of the piece, relieved most noticeably by the Phrygian cadences to A (Munich: 20-23; both 57-60). Following 54 the polyphonic texture is abandoned to lighter running scalefigures and chords that continue to the end.

The two versions share much, but differences are also instructive. The Paris version suggests that it may be closer to the original bare paired imitation (derived from a vocal model?—the opening resembles La Fage's "Super flumina Babilonis"), whereas the Munich version seems to fill out latent harmonies. In the Paris version certainly the E-flat in measure 2 makes a more effective bass line, and the "echo" cadence (7-10) is more distinct. However, the subject is garbled in that version (compare: soprano [Paris], 10-16, alto [both] 4-8, and soprano [Munich] 13-

17). And one wonders if the cadence on A (Munich) and B-flat/g (Paris) might not reflect an attempt to emend what may have been a corrupt passage in the copy edited by Morlaye. The ciphers for the notes d to a in the bass are crossed out in the Munich version, and may have been in the source from which the scribe was working.

In the Munich version the cadences are invariably unadorned (see 31, 35, 47 and 67), and the 7-6-1 configuration (35-36) (preceded with a sixteenth-note suspension) seems unusually antiquated in this context. The Munich version does have one embellished cadential suspension (see 47-48). Here the embellishment serves a formal-structural function by intensifying a climactic moment in the piece. It follows a four-beat varied strain (40-43=44-47, transposed), immediately before the falling, fauxbourdon-like passage (50-54), and the abandonment of polyphonic texture to the scales and chords which continue to the end. The effect of the embellished cadence is weakened in the Paris version with its encrustation of cadential ornaments. In the Munich version the ornament serves a formal, structural purpose. If the Munich version is closer to de Rippe's intent, and I think that it may be, then it shows him to be a composer uncommonly sensitive to large-scale formal goals.

The fauxbourdon-like passage also differs in the two versions. The Munich reading is more lutenistic with its sixteenth-note rest (50) and simpler shifting of left-hand positions.

The first lacuna in the Munich version appears at 61 with the omission of four quarters (shown "vi-de"). Elsewhere (not shown in the transcription) additional lacunae of one quarter,
eight and 18 quarters appear. In every instance (as in 61)
lacunae are connected and give no overt impression of a cut. Here
the "a" (soprano, 61) leads logically to the cadence figure (669
67). Such divergent readings are not unusual in 16th-century lute
music, and tend to pique ones suspicions about many texts that
10
have survived.

A few additional examples of differences between the Paris and Munich versions are given in EXAMPLE II, the beginning of No.

The cadence formula here is itself a de Rippe thumbprint; similar figures with the dotted-eighth note following the 16th-note rest crowd every page of the collected edition.

See, for example, the fantasia from Attaingnant's <u>Tresbreve instruction</u> (1529) in the version in the "Siena Lute Book" at The Hague, published by Kwee Him Yong in "A New Source." A facsimile of the Siena pages appears also in my Francesco edition (plates 6-7; see also page 3, note 9). The Siena manuscript is unquestionably one of the most accurate sources of 16th-century lute music, and comparisons, such as with the Attaingnant print, well illustrate the corrupt readings in which much lute music doubtlessly survives.

Similar lacunae may be seen in a comparison of Nos. 23 with Appendix 12, and Nos. 29 with 57, in my Francesco edition. The latter pair share the same last half, and the former, lacunae and shifting of sections in a manner similar to those we have been observing in the Munich/Italian comparisons with the Parisian prints.



109 (= Vaccaro I, No. 10). The bracketed notes and accidentals are lacking in the Paris prints. Particularly noticeable are the following:

- 1. The essential "f" producing the Phrygian cadence (8-9);
- The missing beat (12): de Rippe's cadence preparations are typically two beats in length;
 - 3. The bass line (22-24); and
 - 4. A fondness for flats in the Munich version (see 21-23).

In No. 109 the copyist has also added a number of emendations in the margin which attempt to correct some strange-sounding passages common to both sources. (See PLATE I)

These differences between the Munich and Paris versions are not unusual, as is evident from a comparision of extant Italian and French sources of de Rippe's music. The following are the Italian sources of his music (for additional printed concordances, see BrownI):

 Intabolatura de leuto de diversi (Milan: Casteliono, 1536), fol. 5, Fantasia de M. Alberto da Mantua. [= Vaccaro, I, No. 12]

=Fantasie, Troisiesme livre (Paris: Fezandat, 1552), fol. 6v.
=Fantasie, Cinquiesme livre (Paris: Le Roy & Ballard, 1562), fol. 22.

(2) Ibid., fol. 26v, Fantasia de M. Alberto da Mantua. [=Vaccaro, I, No. 16]

=Cinquiesme livre (1555), fol. 2.

(3) Ibid., Fantasia [table of contents: "Fantasia del ditto" (=Francesco da Milano)], fol. 55 [= Vaccaro, I, No. 21; the work is unquestionably by de Rippe.]

=Quart livre (Le Roy & Ballard, 1553), fol. 5.

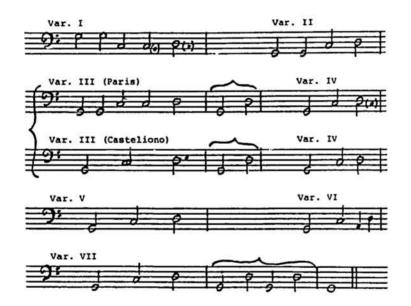
(4) The Hague, Gemeente-Museum, MS 20.860, "Siena Lute Book," fl. 10v, [Ricer del Francesco da Parigi [=Francesco da Milano according to other concordances using the namel. [=Vaccaro, I, No. 2, without reference to this manuscript.]

=Premier livre (Fezandat, 1552), fol. 6v. =Premier livre (Le Roy & Ballard), fol. 6v.

The fantasia cited in (1), above, opens with seven variations on the bergamasca formula, a very early instance of

the appearance of this formula. The latent harmony with its underlying rhythm is shown in EXAMPLE IIIa, and variations 3 and 4 in EXAMPLE IIIb. Between the Italian and Paris versions of the fantasia there are a number of lacunae, similar to those which we noted in the comparison of two versions of No. 109. Yet here, again, both have inherent logic: in the Casteliono reading,

EXAMPLE IIIa: Bass Pattern (Bergamasca) of the de Rippe Fantasia



¹¹

Another early example of the Bergamasca occurs in the euphemistically titled dance-like pieces called "fantasias" in Barberiis's tablature of 1549 for four-course guitar. (BrownI 1549/2 is incorrect in saying these pieces are for seven-course guitar.)

harmonic rhythm accelerates, whereas the Paris print, with its slower harmonies may be said to provide a solid expositive emphasis in the first and third variations. Later the Casteliono version omits one, nine and ten quarters, but since the Paris reading will simply repeat a section varying it slightly, the Casteliono version still provides a hearing of all essential materials.

EXAMPLE IIIb



¹²

Notice also the changes to the cadences at 9-11, 28-30 and 34-36, since we shall discuss similar differences later.



The coda, shown in EXAMPLE IIIc, is not particularly satisfactory in either version with the strange resolution of the prepared G to C cadence leading into the last full measure. Since this is an instance of a familiar type of fantasia that "searches out" its beginning, a comparison of the opening measures and the coda may be instructive in seeking a possible correct reading.

(Compare EXAMPLES IIIc and IIId.)

EXAMPLE III C and D

EXAMPLE IIIC.



Among cadence formulas encountered in de Rippe's music is one with the leading-tone triad in first inversion proceeding to the "tonic." (See EXAMPLE IV.5 and 9.) In several instances these cadences are "modernized" with substitution of a "dominant" triad, but usually in the Casteliono version. (See EXAMPLE IV.6 and 10.)

EXAMPLE IV





The Munich version does, however, have a 7-6-1 cadence (See EXAMPLE IV.12). This paradox suggests that both versions may be several removes from what de Rippe may have originally conceived.

The Munich versions of the de Rippe ricercar/fantasies show little evidence of being copied from the Le Roy & Ballard prints. They in fact display the same traits that characterize known changes between Parisian and Italian versions of de Rippe's music. The corrections (or attempts thereat) in the Munich manuscript suggest that the copyist was working from a corrupt tablature—certainly he could not have been that inaccurate. The references to the 1562 print entered by the "marginalia hand" may have been added when the copyist, while seeking a solution to some of the strange-sounding passages, consulted the print, a copy of which

was in Herwarth's library at the time of its sale to the Duke of
13
Bavaria. Perhaps the Munich pieces came from a southern French
source where Italian tablature was in use (de Rippe's proximity to
Lyons has already been mentioned), or from a lost Italian print.

The spelling of his name ("Alberto de Ripa") and the use of the term "recercar," rather than "fantasie," suggests a non-Parisian source. Likewise the relationship of No. 105 to a La Fage motet and No. 110 to "Faulté d'argent" points towards a date 14 early in de Rippe's career. Thus the works in the Munich fascicle deserve careful attention if we are to reach a properly impartial judgement of de Rippe's music from an historical-

¹³

Why then didn't he simply play from the print? Today, as then, many lutenists, especially amateur ones, find it difficult to change from one system of tablature to another. In the 16th-century publishers did a brisk business in supplying foreign music "translated" into the locally used system. This is perhaps the reason why the Francesco da Milano pieces (copied from Neapolitan tablature) were entered into Paris, Res 429, and Drusina edited Newsidler's Venetian print from Italian into German tablature.

¹⁴

Fantasia XX in Vaccaro's edition may also be early since it seems to use the melody "Adieu mes amours," although John Ward has told me that he once thought that de Rippe's No. XX was a parody of Narvaez's No. I ("Parody Technique in 16th-Sentury Instrumental Music," p. 222), but he now wonders if both composers weren't drawing on musical commonplaces, although there is a striking resemblance to a passage in Gombert's "Tu pers ton temps" in both the de Rippe and Narvaez pieces, and this apart from the use of "Adieu mes amours."

15 critical stance.

Casteliono in Milan and Marcolini in Venice (among a few others) used the diamond-shaped notes of vocal music for rhythm signs, as did Moderne in Lyons until as late as ca. 1550. Hand BC seems to use, apparently depending on which type style he is copying from, both the diamond-shaped notes and the later more universal flagged stems. (He may have preferred the diamond shapes, since in Mus Ms 266, fol. 117, he shows equivalencies between the two; see ILLUSTRATION II.)



I wish to avoid the creation of any "ghosts," but in the preface to his edition of music by Francesco da Milano (1536), Marcolini da Forlí promises a print devoted to the best works of the "Aquilano, Milanese and Mantovano" (Marco dall'Aquila, Francesco da Milano and Albert de Rippe). The content sounds

¹⁵

Cf. John M. Ward's article in <u>The New Grove</u> where he writes (XVI, 49), "Several of the [de Rippe] fantasias . . . are overlong, poorly organized and occasionally awkward pieces," a point well-taken. De Rippe's fantasias are among the longest of any lutenist of the 16th century. Perhaps part of his longwindedness should be laid to Morlaye, who may have been attempting to memorialize his teacher by extending and commenting, musically, on the works. Might he not have heard his teacher take a fantasia and expand upon it improvisationally, extending it in time? And might he not attempt to recreate this in his edition?

similar to Casteliono's print of the same year, which also contain works by Borrono. Was Marcolini contemplating piracy? Or had he in mind a print to complement Casteliono's?

Scribe BC has shown himself to be far from a skilled composer in the two original ricercars (one a parody) that he sketched in Mus Ms 267. Perhaps we should actually be grateful for his dilletantism. By working through his clumsy emendations, bearing in mind the types of alterations that Morlaye may have made, it may be possible to reconstruct the original de Rippe 16 texts.

¹⁶

While such conflation may teach much, I am not convinced that an edition combining the "best readings" from several sources is entirely valid, since the resulting product represents a piece that never existed. Likewise, one might argue that our efforts should be directed towards the publishing of collections as they existed in the 16th century, not collected editions of the works of a single composer. From an historical point, we should be witnessing composers from within the context of their time.

Chapter V

The Newsidler Fascicles

The name of Melchior Newsidler (b. Nuremberg, 1541, d. Augsburg, ca. 1598) has already been broached several times in connection with music and musicians in Augsburg, and the dedications of several of his books to patricians in the Herwarth circle. He is perhaps one of the most important German lutenist-composers of the mid-16th century. His compositions, which survive in greater numbers than those of any of his contemporaries, were widely disseminated in print and manuscript. Many wear a patina of brilliant ornamentation, "mit fleiss aussgesetzt, auch artlich und zierlich Coloriert," as he advertises on his 1574 Lautenbuch, and frequently move into the instrument's highest tessitura, thus demanding of the player great virtuoso skill.

Melchior's original works and the intabulations modelled on the vocal compositions of his contemporaries show him to be an excellent composer for the instrument, and his works doubtless exerted important influences on other lutenist-composers of his

His father had used the term "nach organisticher Art" on his books of 1536. The Christoph Loeffelholtz Orgelbuch (Berlin, Mus Ms 40034), dated 1585 on the binding, contains as No. 10, "Ein gutter Neysidlicher [sic: the spelling is the same as on the 1566 Venetian print] danntz" and "Nachdanntz," which may be either an organ piece by or dedicated to him. In the index it is called "Newsidlicher danz." I have been unable to locate any concordance among Melchior's dances for lute.

day.

2

He was certainly most prolific. Moreover, we have printed volumes which were prepared under his supervision, a rarity in 16th-century lute publications. His works include the following (the full count is not yet in, however, and so the figures given here are only approximate):

(1) 23 fantasias and ricercars, including parodies on Clemens non Papa's "Rossignolet qui chantez," Rore's "Anchor che col partire," Verdelot's "Dormendo un giorno," and Josquin's "Cueur langoureux." (Undoubtedly other parodies still await detection.)

(2) 34 German and 38 Italian dances.

(3) 104 intabulations:

	Latin Motets	Italian Pieces	Chansons	Lieder
Josquin	3		6 6 3	
Hofhaimer				1
Willaert	1		1	
Senfl	2			1
Mouton	1			
Verdelot	2	1	í	

²

A critical editions of Melchior Newsidler's output is well deserving of highest priority. In the 1960s Thomas Binkley started a complete edition which was announced in Kurt Dorfmüller, "Neusidler," MGG IX, col. 1410, but his manuscript was destroyed in a fire[place?]. Being aware of the particularly knotty editorial complexities of Newsidler's output (which will be apparent by the end of this chapter), Mr. Binkley has apparently decided not to resume his projected edition.

More recently, the Hungarian lutenist-musicologist Daniel Benko has told me of his interest in editing a complete edition of the lute music of all Newsidlers (Hans, Melchior and Conrad), and has started with the works of Hans. Thus, his Melchior edition is perhaps some years away.

	Latin Motets	Italian Pieces	Chansons	Lieder
Appenzeller			2	
Festa, C.		1	2	
Sandrin		1	2	
Sermisy		•	3 2	
Brack			-	1
Crecquillon	2		7	ā
Arcadel t	-	1	7 3	
Berchem	1	i	3	
Godard	•		1	
Cadeac			1	
Canis			î	
Clemens	2		3	2
Hollander	-		:=:::	2 1
Pathie			1	
Ferabosco, D.		1	-	
Regnart		1 11 11		2
Rore		4	1	mak
Scandellus		1	墨	1
Zirler		150		1
Gosse			1	· -
Lupi Didier II			2	
Lupus	2			
Azzaiola		1		
Lasso	13	3	13	3
Dressler	1			
Morel 1			1	
Meiland	2			
Ivo de Vento				1
Eccard	1			
Anonymous		1		7

A good introduction to Melchior Newsidler is his "Fantasia super Anchor che col partire" (Mus Ms 1627, No. 12; TRANSCRIPTION No. 139), a parody of Cipriano de Rore's madrigal, which sometimes precedes it in the sources (Ibid., No. 11; TRANSCRIPTION No. 138). Rore's madrigal treats the text in the usual renaissance point-of-imitation technique, giving each line a distinct musical subject (shown with the letters A through H on the transcriptions and at the end of TRANSCRIPTION No. 139 abstracted from the intabulation). Newsidler quotes each of Rore's subjects in turn, expanding and commenting upon them (on one occasion employing augmentation) until he has created a parody almost twice the length of the

original madrigal. Perhaps in keeping with the mood of the madrigal text, Newsidler is here rather restrained with ornamentation. The intabulation and fantasia do appear, however, in his 3 Teutsch Lauten-Buch, a publication supervised by him. In this print both intabulation and fantasia appear in slightly more embellished readings, an interesting phenomenon which we will examine in greater detail later. (The beginning of the printed version is shown at the end of TRANSCRIPTION No. 139.)

Information about Melchior Newsider's life is fairly abun4
dant. For our purposes it will suffice to provide a short chronology of his activities as the foundation for discussion of his
music as it comes down in the Herwarth tablatures and in Paris, Ms
Rés 429.

1531: Born in Nuremberg, eldest son of the lutenist Hans

Newsidler (b. Pressburg, 1508, d. Nuremberg, 1563) and brother of

5

Conrad (also a lutenist).

1552: Receives Augsburg citizenship on December 31st. Head of the Augsburg town "Stille Musik."

³ Strassburg: Jobin, 1574.

[^]

Adolf Layer's essay, "Melchior Neusiedler," provides the most thorough biographical information, and from it derives most of the information contained in the articles by Kurt Dorfmuller in MGG, IX, 1497-11, and Hans Radke in The New Grove, "Neusidler." A few letters from Melchior, several of which Layer seems to have been unaware, were lost only recently. I will have occasion to mention them later.

See Hans Radke for up-to-date information, <u>The New Grove</u>, XIII. 156-8.

1561: Returns briefly to Nuremberg to assist in the upbringing of his brother Henslein (b. 1553). This brother and possibly Conrad (b. 1541; d. in Augsburg after 1604) follow him to Augsburg.

1563ff.: Travels to Italy (according to Fétis, in the company of Philippe Camerarius). This is the year of plague in Augsburg.

1566: Il primo libro intabolatura di liuto Melchior Neysidler Alemano, Sonatore di Liuto in Augusta, ove sono Madrigali,
Canzon Francesi, Pass'e mezi, Saltarelli & alcuni suoi Ricercari
(Venice: Gardano, 1566), dedicated to Johann Langnauer; and Il
Secondo Libro . . . ove sono Motetti, Canzon Francesi, Pass'e
mezi & . . . Ricercari (ibid., 1566), dedicated to Melchior Linck.

1571: A selection of pieces from the 1566 Gardano print is published at Louvain by Phalese & Bellere, and included in their later volumes. It is probably through these Dutch prints that Newsidler's works reach England, where they appear in some manuscripts along with works of John Dowland.

1572: Bernard Jobin's <u>Newerlessner</u> . . . <u>Lautenstueck</u> are published in Strassburg. It includes some pieces drawn from Newsidler's work: perhaps Jobin's interest may have prompted

Fétis, "Neusiedler," VI, 307.

Newsidler to offer his book of 1574 to Jobin for publication.

1573: Benedictus de Drusina, Melchior's student, publishes the contents of the 1566 Gardano print at Frankfurt an der Oder, "translating" it from Italian into German, as <u>Tabulatura continens</u>

<u>Praestantissimas</u> (Eichorn, 1573), dedicated by Drusina to August, Elector and Duke of Saxony.

1574: Travels to Strassburg to supervise the publication by Jobin of his <u>Teutsch Lautenbuch</u>, dedicated to Princess Dorothea, Duchess of Bavaria and Wittemberg. In this year Hans Fugger commissions his portrait at a cost of 61 Gulden 20 Kreutzer. It is probably not the one by the Venetian-resident artist Paris Bordone, now in the Oslo National Gallery.

1576: Applies for (but does not receive) a position at the Stuttgart court. According to Fétis (loc. cit.), Gardano reprints the 1566 books in this year, and again in 1595 (no copies are extant; but the latter date is confirmed in Goehler, Mess-Katalogen, item 1.674.)

1580: Receives appointment as lutenist to Ferdinand II and resides in Innsbruck, as well as Augsburg (he receives "Reisegeld" for his travels between the two cities). Salary: 25 Gulden, plus 5 Gulden for subsistence and lessons.

1581: Dismissed from Innsbruck for eating meat during Lent.

1583 ff.: Fugger accounts show frequent payments to Melchior at New Year's and for his performances "bey der Tafel," at ban-quets, and while sledding. These payments are rather substantial and amount to at least 42 florins for the year 1583.

1587: According to Fetis, a volume of six intabulations of Josquin motets for six voices is published in Venice.

1589-90: Since he is ill with gout, he receives alms from the Fuggers of at least 12 florins, paid upon the intercession of Marcus Welser. Dies in 1590, or shortly thereafter.

1595: The Strassburg <u>Lautenbuch</u> is reprinted by Jobin, and two years later one bearing the title <u>Teutsch Lautenbuch schoener</u>

neuer <u>Lautenstück</u>, suggesting a second volume with different

("neuer") contents. The first book of 1566 is again reprinted in Venice, in 1595.

I have alluded to the possibility that the Munich lute manuscripts may contain works in Newsidler's hand. If so, they would be by far the most extensive autographs by a major re-

The entries in Goehler, <u>Messkatalogen</u>, 1.674-6, the following (numerous "sics" are needed, but it should be noted that the <u>Messkatalogen</u> often transcribe title pages quite accurately, errors and all, and may thus signal different press runs):

Il pr. lib. intabulatura di Lauto di M. N. Alemano, Senatore di Liuto in Augusta ore sono Madr., Canzon Francesci, Passemezi, Saltarelli & alcuni suni Ricercari. Novam. da lui poste in luce. fol. Venet. 1595.

Teutsche Lautenbuch, darinnen kunstlich Muteten, liebl. Ital., Französ., teutsche Stücke, frölich teu. Däntz, Passomezzo, Saltarelle, u. 3 Fantaseyen. Alles mit fleiss ausgesetzt, auch artlich und zierlich coloriert, d. M. N. fol. Strassb. 1586, 1595.

Teutsch Lautenbuch schoener neuer Lautenstück etc., so mit Fleiss zusam. getragen d. [Weylandt] M. N. fol. Strassb. gedr. b. Jobin [in Verl. Tobias Lutzen.] 1597. [Weylandt = deceased]

naissance lutenist.

On December 23, 1577, Newsidler wrote to Duke Wilhelm II, sending wishes for "a radiantly good and peaceful New Year." He mentions that in previous years he had sent lute pieces for the New Year, and that this year his greetings included a few "gar guette" German dances. The letter reads as follows (a facsimile is provided in PLATE II, below).

Durchleuchtiger hochgeborner Fürst Genediger herr / Eure Fürstlichen Genaden / sendt mein vnderthenigste dienst / sampt wünschung eines Glückselligen guetten und fridlichen Newen Jars Jederzeit beuor / demnach sich E. F. G. Genedigst zuerinneren wissen / das ich derselben wie Jar her / ettliche stück auff die lautten Zum Newen Jar vnderthenigst presentiert / hab ich denselben brauch izt / auch nachkhumen wollen / vnd derwegen etlich gar guette deusche thentz zusamen geschriben / welche ich (diewei! ich selbst zu E. F. G. nicht khumen khinden) E. F. G. hiemit vnderthenigst pressentieren thue / mit vnderthenigster bitt E. F. G. wollen dieselben mit Genedigem willen ohn Vnd auffnemen / / deren ich mich darneben vnderthenigst befolchen due / datum Augspurg den 23 December Anno 77

E. F. G.

under then igster

gehorsamer

Melchior Newsidler

٤

Extant are autograph entries and corrections in the hand of John Dowland. An entry in the now lost Dohna-Lauk <u>Stammbuch</u> is thought to be Bakfark's, and we do have commonplace books by important players such as Galilei, Bottrigari and Cavalcanti.

Munich, Staatsarchiv für Oberbayern, H. R. Fasc. 470, No. 734. The date 1567 given in Boetticher, <u>Lasso</u>, is incorrect. He simply noted the modern penciled date given on the file folder.

[Addressed:]

Dem Durchleuchtigen Hochgebornen Fürsten vnnd Herrn Herrn Wilhalm, Pfalzgraffen bey Rein / Herzog in Obern vnd Nidern Bayern / Meinem genedigem fürsten vnnd Herr.

Do the works mentioned by Newsidler survive in Munich? The evidence is strong, but not entirely conclusive. The most likely candidates are the pieces copied by Scribe A. These do not include "etlich gar guette deusche thentze," to be sure, but of the lute books in the Herwarth acquisition more than half were lost, and certainly popular German dances of the day are more likely to be used (and lost) than the intabulations of motets and madrigals that do survive among the works copied by Hand A.

* * * *

Before examining the relationships of the concordances with pieces copied by Hand A, a short digression is necessary in order to examine how lute tablatures were disseminated in the 16th century. The intabulation, the most prevalent genre of 16th-century instrumental music, requires special attention, since most of Newsidler's output, and that of Hand A, consists of such pieces.

Sixteenth-century publications and manuscripts of lute and Keyboard music are saturated with intabulations based on favorite vocal part music. The model is moulded to the playing capabilities of the intended instrument and invariably clothed in the ornate coloration of runs, trill-like figures, turns, mordents, etc., that transform the original into an elaborate, idiomatic virtuoso display piece.

Intabulations can provide much information about performance practices, and lute intabulations, in particular (since they give exact indications of accidentals), can provide important resources for the realization of musica ficta, and the style of embel—

10 lishment may provide clues for improvisation. Published books containing intabulations by famed German "colorists" such as Ochsenkhun, Kargel, Jobin and Waissel, must have enjoyed popular

¹⁹

There may very well be, as I suspect, regional preferences in both music ficta and ornamentation, but the question is far from being settled. For this reason, it is necessary to determine the regional paternities of the many intabulations coming down to us; German anthologies may contain unchanged Italian intabulations, for example.

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appeal due to the style of ornamentation they employed. Italian intabulations of Parisian chansons, of course, paved the way for the fecund <u>canzona</u> <u>da sonare</u>. Unfortunately the intabulation has been little studied, and conclusions will be valid only when the 12 entire repertory has been surveyed.

The examination of a few samples will illustrate the phenomena and lay the groundwork for our discussion of music in the Newsidler fascicle. But first, it is necessary to establish some principles.

The process of intabulation was doubtlessly a laborious one, as the sketches elsewhere in the Munich manuscripts testify, and it seems hardly likely that every version of an intabulation was made from scratch. Lutenists appear to have usually taken over an existing intabulation, adding, changing, or adopting the embel—

13
lishment of another.

¹¹

Evidence points to none of these lutenists ever having published their own original compositions, such as fantasias and ricercars. Several of Kargel's fantasias have been identified as colored versions of works by others, Ochsenkhun published no abstract music or dances, and Jobin (an amateur) and Waissel (a teacher and pastor in northern Germany) acknowledge in the prefaces to their books that they are simply publishing choice pieces collected over the years from diverse locales.

See Thibault, "Instrumental Transcriptions," and Brown, "Accidentals and Ornamentation," for two recent studies.

In this discussion I am using the terms "arranger,"
"arrangements," etc., to refer to adaption of an intabulation by a
later lutenist, in contrast to the ciphering of an intabulation
directly from the vocal model.

The construction of a genealogy of lute tablatures, as they were disseminated, therefore, is not always possible, except when a piece is copied or printed exacty (as in the case of the Phalese publications, and books like those of Heckel with its heavy borrowing from Wecker, and of Gerle [1552], from Venetian prints). Judging from the lute's popularity, large quantities of lute music must have circulated in print and in manuscript, and a great many generations of intervening versions have been lost. Careful examination can provide some clues, however, especially when a tablature was transferred from source to source, or edited by a famed lutenist. The relationship between an intabulation as first

¹⁴

In this discussion I do not wish to suggest that in any of the instances one version of an intabulation was made directly from another. (There are, as we shall see, examples in the Munich manuscripts where a lutenist did work from a known source in preparing an ornamented version. Also Berlin, Mus Ms 40598, contains embellishments added in a second hand.) The amount of lute music that has been lost must be tremendous, and unquestionably many intermediate versions of the intabulations cited here must have existed at one time.

In 1552 Morlaye contracted for 1200 copies of the de Rippe volume, yet today only two copies are known. And as Heartz (Attaingnant, p. 122) comments, this was the normal press run for music in the 16th century. Some tablatures which have survived may indeed be accounted for by unusual circumstances; such as Capirola's student (as he writes in the preface) having his lute book illuminated so that it would be preserved, if not for its music, for its pictures.

The lute was the most popular instrument of its time. Even as late as 1701 Thomas Janowka waggishly commented that one could cover the roofs of the palaces in Prague with lutes (quoted in Vogl, "Johann Anton Losy," 59), and they certainly must have had music to play. In 1566 the Fugger inventory alone included 141 lutes (Smith, "Fugger Inventory") yet Pohlmann's fairly extensive list shows that only 55 instruments built before 1613 now survive in museums around the world (see his Laute, Chitaronne, pp. 298-408).

made from the model and the later appearance of that same intabulation, enlivened and colored by a number of successive lutenists can become very complex. Each lutenist might in turn adopt what appealed to his fancy from the previous version, sometimes encrusting additional ornamentation on top of that in the earlier arrangement. This is certainly evident in several versions of "Io mi son giovinett'e" in TRANSCRIPTION No. 148. In other cases, the scribe not wishing to waste ink on an obvious cadential ornament, 15 might omit it. Sometimes it is possible to spot, in an apparent re-working of one of their intabulations, the remnants of the distinctive style of ornamentation of a master lutenist, such as 16 Albert de Rippe or Valentin Bakfark.

A number of factors can be used to distinquish families of intabulations, although any one factor is usually not sufficient to determine lines in the genealogical sense. These factors, which we shall discuss shortly, include:

(1) common realization of musica ficta (as MUSICAL EXAMPLE 1 shows, there is usually not too much unanimity among the lutenists

This is the case in some of the fantasias by Francesco da Milano in The Siena Lute Book at The Hague.

¹⁴

Albert's style is fairly easy to distinquish, as will be evident from some of the comparative examples to follow. He is fond of a very idiomatically lutenistic "broken style" that distributes tones of a chord in unpredictable ways, sometimes top note, middle note, bottom note, another time, middle, bottom, and top note, etc. His style is very much related to 17th-century "style brise," and indeed may be at its roots. (The matter has not yet been thoroughly investigated.)

Bakfark's intabulations may be recognized by their use of dotted rhythms.

in their application of ficta),

- (2) similar or obvious encrustation of embellishment,
- (3) unique or unusual ciphers (using 6 on the A course, rather than 1 on the D, for example, when each would be possible),

MUSICAL EXAMPLE 1: "Io mi son giovinett'e"



Table for Musical Example I

((a)	(P)	(c)	(d)	(e)	(f)	(g)	
266, No. 11	#	#		#	¥	#	#	
266, No. 135	#	#		#	×	#	#	
Jobin (1572)	#	#		#	¥	#	#	
Galilei (1563)				#				
Hove (ca. 1612)	#				#			
Newsidler (1566)	#	#		#		#		
Fallamero			b			#		
40032, p. 268	#				#			
Galilei (1584)	#	#			#			
Galilei (1584)					1922	#		

* reads the note "b" in tablature.

(4) the tuning selected for the intabulation; (transposi-

17

The renaissance lutenist, in tuning his instrument, is invariably advised to pitch the top string as high as comfortably possible, and then tune the other strings. Lutes in duets and larger groupings are simply assigned relative pitches in the sources, e.g., that the second lute is a fourth below the first.

This does not mean, however, that intabulations should necessarily be transcribed using a tuning that will reproduce the pitch level of the model. If one were to transcribe intabulations back into the pitch levels of the models, a number of nominal tunings seem to have been used in the Renaissance, such as 8 natural, D, C, F, etc., as well as the more usual G (which in the 16th century was favored in Italy and France) and A (favored in Germany).

The G tuning has become standard in modern lute editions made in all countries except Germany, where scholars and editors still insist on using A tuning to transcribe even English and Italian tablatures. Reading from transcriptions made in tunings other than G is annoying to performers and others accustomed to conceiving a transcription according to the courses and frets that would be used in the tablature. And secondly, it is not the way in which a renaissance musician thought of his instrument.

Benvenuto Disertori in his edition of the Bossinensis arrangements of frottole for voice and lute and Charles Jacobs in his various editions of Spanish vihuela music (published without tablature!) have misunderstood this.

To transcribe in other than the standard G tuning may, in fact, misrepresent the intent of the original. There is no mention of different sized lutes in Bossinensis, as Disertori implied with his "lauto grosso in mi," and "lauto piccolo in la." Bossinensis simply indicates the relationship between the pitch of the voice part and a string or stopped note. Disertori transposes the lute part to fit the pitch of the voice part in mensural notation. Had he, however, used just one tuning, and transposed the voice part, he would have discovered that the voice part falls conveniently within a single tessitura. To use Disertori's edition, one would have to perform one frottola with an alto and a large lute, then the next with a soprano and a small lute, etc. Bossinensis was actually quite practical in preparing his collection. Given 16th-century notions about transposition, it may be demonstrated that Bossinensis thought of his lute tuned to G.

18

tion seems invalid here). One should bear in mind that renaissance lute tunings are nominal, and it is (to my knowledge)
Praetorius who in 1603 first assigns specific pitch names to the
different sized instruments. The choice of nominal tuning seems to
have been determined, as various treatises on intabulation practice suggest, by the range and mode of the model, although certainly ease in playing must also have dictated the choice. Apparently lutenists were quite capable of conceiving the frets as
representing a number of different hexachords, a logical assumption, since lutenists must have had to transpose a great deal when
playing in ensemble. (This may, in fact, account for passages
with cross relations when imitated at a higher or lower
pitch, since the lutenist may have been thinking in different

¹⁸

I know of only one instance of a tablature being transposed, and a questionable one at that. The curious Venetian lute book of Antonio di Becchi contains (without identification of the original composers) a number of fantasias and ricercars by Francesco da Milano and Francesco Spinacino in which the tablature is a tone lower. This results in some of the downward lines jumping up a seventh when the original descended to the instrument's low G. Could di Becchi have been intabulating from ensemble fantasias and ricercars? One piece (BrownI 1568/1, No. 38), masquerading as a fantasia is in fact an intabulation of the anonymous chanson "L'aultre jour je vis par ung matin." (It is not a parody; see TRANSCRIPTION Nos. 38 and 39 from Mus Ms 266 and di Becchi's publication.) The extent to which ensemble ricercars and fantasias are current in the lute reperory, where they belong not with original works conceived for lute, but under the category of intabulation, has not received much investigation, but deserves attention if we are to have an understanding of the growth of idiomatic writing for the lute.

Other instances of ensemble music intabulated as lute ricercars or fantasias includes the Willaert ensemble ricercars in Domenico Bianchini's 1548 publication and the ricercar/fantasias (one word is used on the titlepage, the other in the print) by Julio da Modena and Willaert in da Crema's Book VII. (The unique ricercar/fantasias that da Crema attributes to Francesco da Milano may likewise have been ensemble pieces originally; they are not typical of his other pieces.)

hexachords. The question is an important one, deserving of thorough investigation.)

- (5) the original intabulations will differ from one another if made from models having variant readings.
- (6) It is also possible to spot a family of intabulations by the way in which later arrangers may have mis-interpreted the version from which they were working. The lutenist/arranger may "hear" and ornament a cadential suspension at a point where there is none in the vocal model, or interpret an earlier intabulation as a series of chords, rather than the contrapuntal lines that may have been intabulated for performance on the lute with the great care of the pen of masters such as Francesco da Milano or Melchior Newsidler. Later incarnations of an intabulation may, therefore, break the cross rhythms of polyphony, even destroying the original voice—leading.

All of these changes may find their way into subsequent arrangements, and when taken together, permit classification of intabulations into families.

The problem of tracing lineages of 16th-century lute intabulations is a very complex one, as even a cursory look at the comparative examples supplied in this section will show. Many common features, especially ornamentation, may be coincidental, rather than borrowed. This is evident from the way in which "Susanna ung jour" is ornamented in the versions given in TRAN-SCRIPTION No. 141. It does not seem that lutenists would make a conflation from several arrangements, but rather that a common repertory of "riffs," as a popular musician would call them today.

existed. Occasionally they may have been placed at the same position in different intabulations or arrangements. On the other hand, there do seem to be examples in which the ornamentation is indeed borrowed directly from a predecessor and altered in the later arrangement. Only a detailed examination of all features can suggest true lineage, and it is not always the most obvious element, embellishment, that may be the deciding factor.

Many of the features may be illustrated in 14 intabulations of Arcadelt's "Quando io penso al martire," shown in the fold-out, MUSICAL EXAMPLE 2. It rivals "Susanna ung jour," "Ung gay bergier," and Josquin's "Benedicta es coelorum Regina" in standing among the most popular intabulation in the repertory, and unlike those pieces, seems always to have been intabulated using G tuning. I have tried to group the intabulations and arrangements into what may be families. (Complete citations are given in the Concordances):

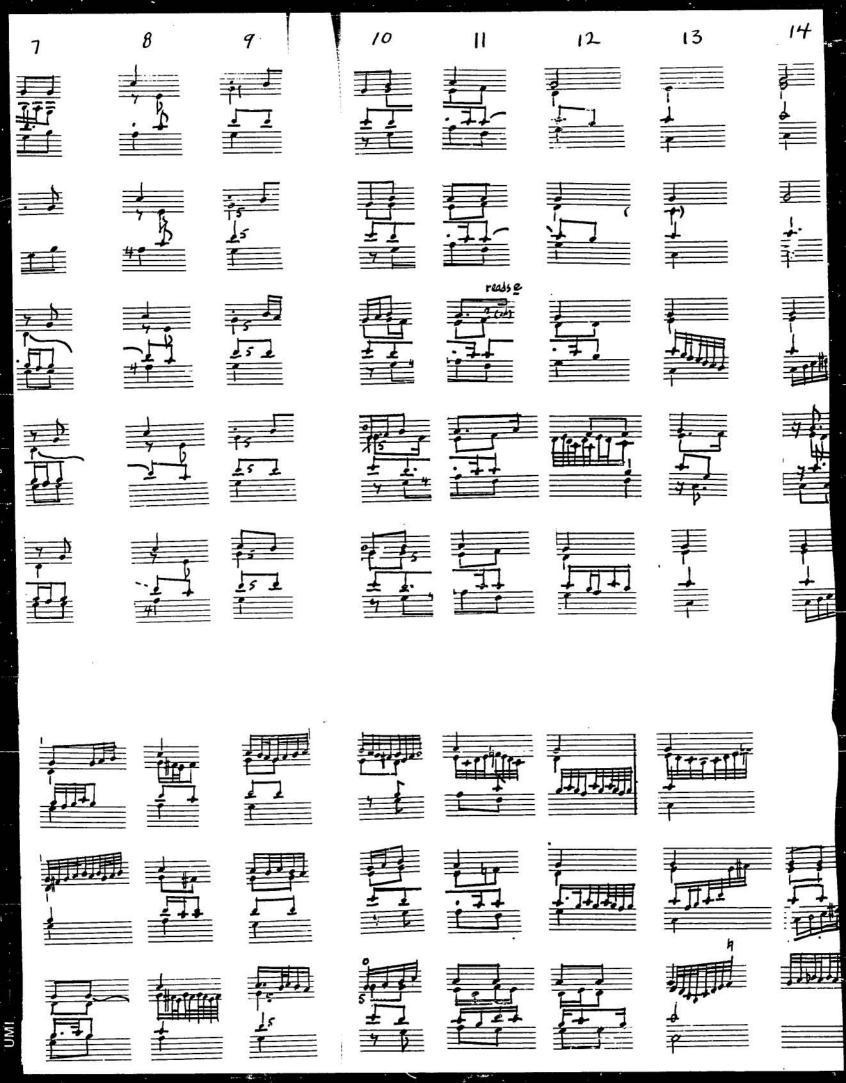
Family A

Francesco da Milano	(publ., Venice, 1546)	[FdaM]
	Family B	
Francesco Vindella	(Venice, 1546)	[Vin]
Albert de Rippe	(publ., Paris, 1553)	[AdeR]
Antonio Paladino	(Lyons, 1553)	[Pal]
*	Family B.1	
Mus Ms 266, No. 127	(ca. 1565)	
Melchior Newsidler	(Strassburg, 1574)	[MN]
ä	Family B.2	
Valentin Bakfark	(Lyons, 1553)	[Bak]
Mathheus Waissel	(Frankfurt/Oder, 1573)	[Wais]

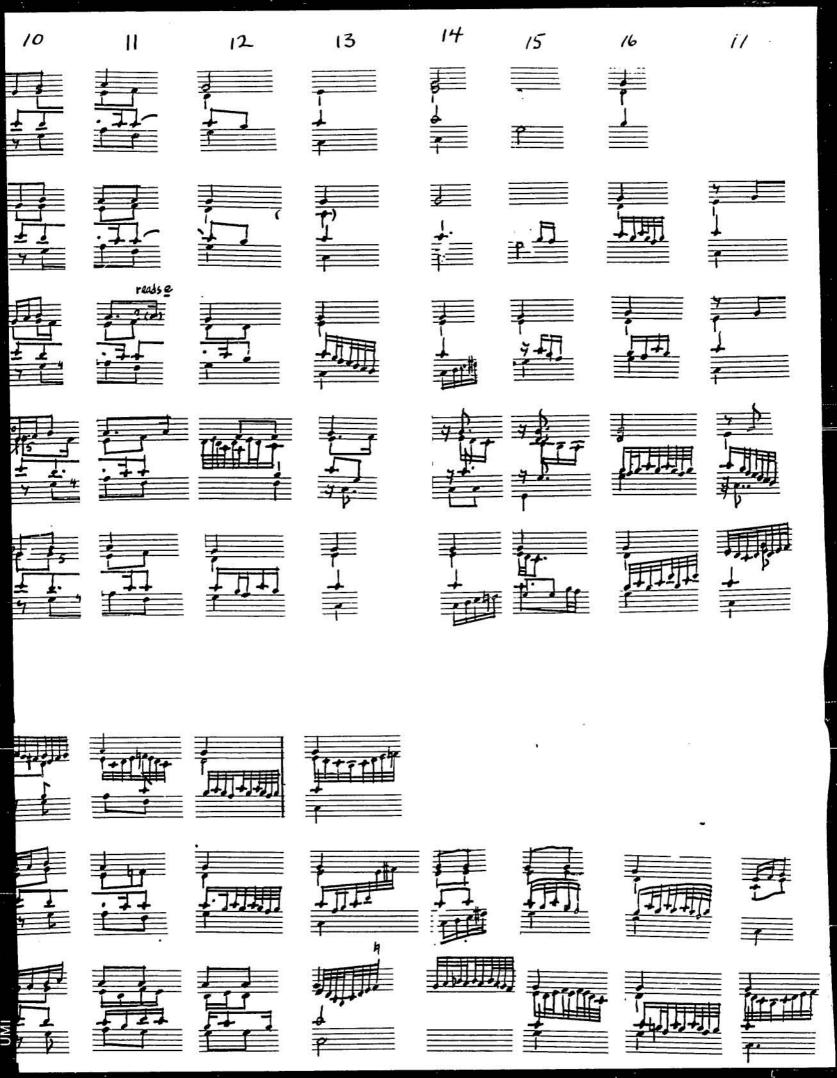
		©.	a



			<u>s</u>	10 100	



			*
		140	





Family B.3

Sebastian Ochsenkhun (Heidelberg, 1558) [O] Bernard Jobin (Strassburg, 1572) [Jobin]

Family C

Paris Ms 429 (ca. 1550) [Paris]
Wolf Heckel (Strassburg, 1556) [H]
Mus Ms 266, No. 161 (ca. 1550) [No. 161]

I would like to point out some identities and differences among the various arrangements and intabulations according to category.

Model:

Several possible versions of Arcadelt's model appear to have been used in the intabulations that served for the extant arrangements.

- (1) FdaM, Paris and Bak seem to be lacking the passing-tone motion of the alto line of m. 6-7.
- (2) Vin lacks the motion but has the g eighth-note on the second eighth-note of m. 7.
- (3) FdaM, AdeR, Bak and Paris are lacking the note g on the second eighth-note of m. 4.
- (4a) Paris, H and No. 161 seem to have an alto line, m. 8-9, that ascends "e-f#-g;" in Bak, MN and No. 127, the note e is omitted and the previous g treated as preparation for a suspension resolved to "f#". Conversely, at m. 4 No. 161 and Bak omit the note e and introduce a suspension. The tritone c/f# of m. 5 seems to have caused trouble since it doesn't sound too well if one fails to hear the lines from which it derives; this may account for the f natural in AdeR and the re-sounding of the a in 0 and

Jobin.

- (4b) the model used by FdaM and others has a rest and leaps from "e" to "q."
- (4c) Jobin seems to have a model with "d-e-g" (note the voice-leading; or has Jobin missed the suspension "d-c" from the previous measure in making his arrangement? Cf. Wais)
- (4d) In m. 16, 0 and Jobin share similar unique readings, which may be attributed either to their being based on a different model, or (more likely) a confused earlier intabulation.

Embellishment:

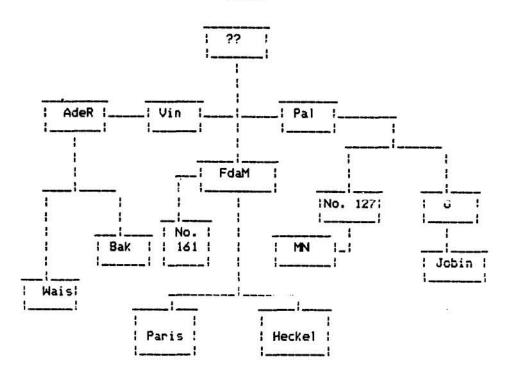
- (1) The similar embellishment in MN and No. 127, which passes through the middle range of the instrument is typical of early intabulations. Also see Jobin (at m. 13), Vin (at m. 14) and AdeR (at m. 17). The type of ornamentation that serves to preserve and clarify the voice-leading is typical of mid-century intabulations and arrangements (e.g., Bak and Wais at m. 4).
- (2) The escape-tone at measure 3 in Wais and Bak is pivotal in distinguishing their lineage from that of No. 127, Vin, Pal, O, Jobin and MN, with their re-iterated (rather than sustained) "e". Is it possible that the escape tone derives from a mis-reading of an arrangement such as AdeR (the ciphers would be the same: 2-3-0)? A similar feature at m. 10 seems to group No. 127, MN, O, and Jobin together, while Wais and Bak extend the line with a "c" escape note.
- (3) The ornamentation in m. 12, 14 and 15 in O, Jobin, and Wais, seems to have its basis in an arrangement such as Pal. A relationship between O and Jobin is evident from the incorrect

rhythm at m. 12. Intabulations Wais (at m. 14) and Bak (at m. 15) seem to have added an encrustation of ornamentation to the arrangements.

(4) Vin, AdeR, Jobin and Wais share a common anticipation at m. 11.

I would like to suggest the hypothetical lineage shown below, with a reiteration of the caveat given earlier: a large number of sources are lost, and I do not propose that the pieces shown with lines in the table were copied or arranged directly from one-another. It seems, in fact, that our discussion suggests that many versions intervened between those which have survived. Only O and Jobin seem closely associated.

TABLE

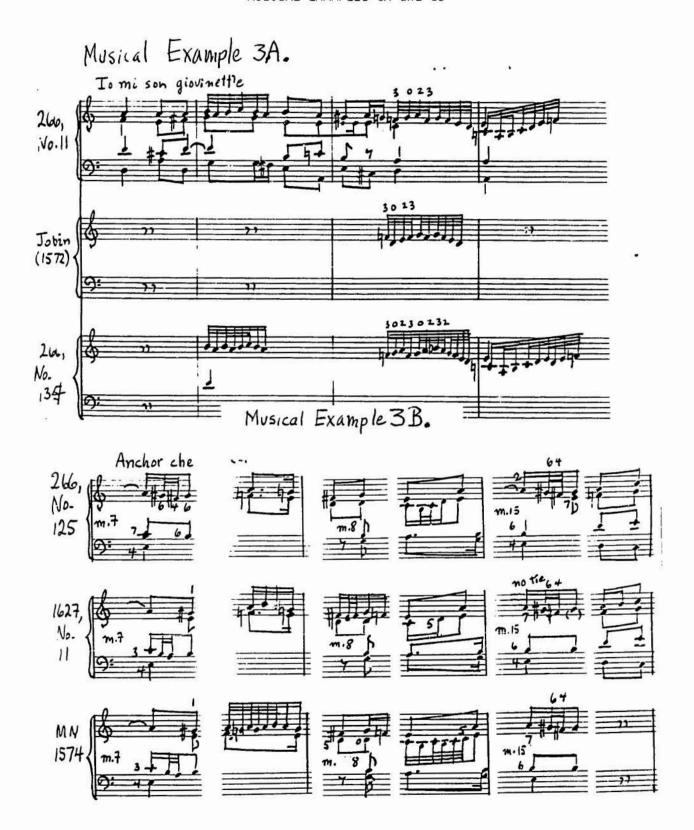


After this digression we can now return to the Newsidler

fascicle. The simple relationships among the pieces copied by Hand A and their sources will be quite apparent, as I would like to illustrate.

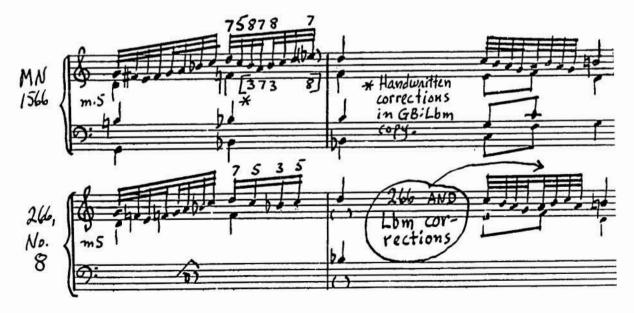
Pieces copied by Scribe A have concordances elsewhere much closer than those observed in the 14 intabulations of "Guand'io penso al martire," in which only the Ochsenkhun and Jobin intabulations seem to be related. This is quite evident from a few comparative examples. For the most part, the concordances show encrustations of ornamentation, such as the three intabulations of "Io mi son giovinett'e" mentioned previously.

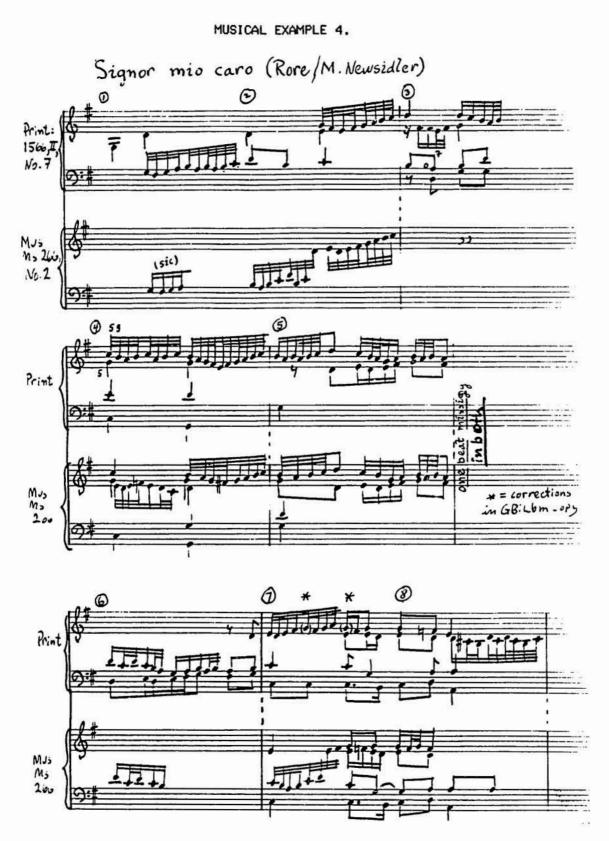
MUSICAL EXAMPLE 3A suggests a hierarchy, with Jobin in the middle, if it was he who made the arrangement (he was, professionally, a printer and engraver, and most of his publications reprint the work of others; he was associated with Melchior Newsidler, as we have seen). His arrangement retains the ornamentation of measures 1 and 4 of Ms 266, No. 11 (copied by Scribe A). But in the second half of measure 3, Jobin's version has an encrustation of 64th-notes, added to the Ms 266 version. (The differences in the first part of the 32nd-note figure may be misplaced ciphers). The arrangement in Ms 266, No. 134 (copied by Scribe C 2), adds ornamentation to all three figures. Similar relationships among Scribe C2, Ms 1627 and Newsidler's 1574 print are shown in MUSICAL EXAMPLE 3B.



The situation is a bit more complicated in MUSICAL EXAMPLE 4 (on the following page), intabulations of "Signor mio caro." They are certainly related since both omit one measure contained in the vocal model. The Newsidler 1566 printed arrangement seems later in the hierarchy, but the actual situation may be that both stem from a common ancestor, now lost. This is suggested by other concordances between the pieces in the Ms 266 fascicle (Nos. 1-14) and that print. Although equally overlaidened with mordents, the prints have them at different places. Cf., in particular, "Carita di Signore," Ms 266, No. 3, and 1566, No. 8. The British Library copy (printed on papers with the watermark of a crossbow/countermark "AA") has handwritten corrections throughout (I have not recently examined the characteristics of the hand that made the entries). Many of these follow readings in the Scribe A fascicle of Mus ms 266, suggesting a later provenance for the manuscript versions. See MUSICAL EXAMPLE 5, passages from a passamezzo by Newsidler.

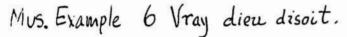
MUSICAL EXAMPLE 5.





MUSICAL EXAMPLES 4 (continued) and 6.







MUSICAL EXAMPLE 6 (on the previous page), "Vray dieu disoit," shows the relationship between an arrangement copied by Scribe C 2 and the Scribe A/1566 versions.

I have indicated usual left-hand fingerings in some of the transcriptions, since this, in particular, may be a unique feature. High positions are particularly typical of Newsidler's output, so much so that it is sometimes possible to spot a Newsidler piece simply from the many ciphers indicating the eighth, ninth and even tenth fret. He will choose a nominal tuning for an intabulation that will sometimes cause the piece to be intabulated using high positions, when other lutenists will select a tuning that permits many open strings and first- and second-positions. Even in pieces where a low position is feasible, Newsidler will opt for a solution incorporating a higher position. MUSICAL EXAMPLE 6 is very typical of his procedure. Most lutenists would use second and third frets for passages such as those shown in measure 2, for example. Also see MUSICAL EXAMPLE 3 B.

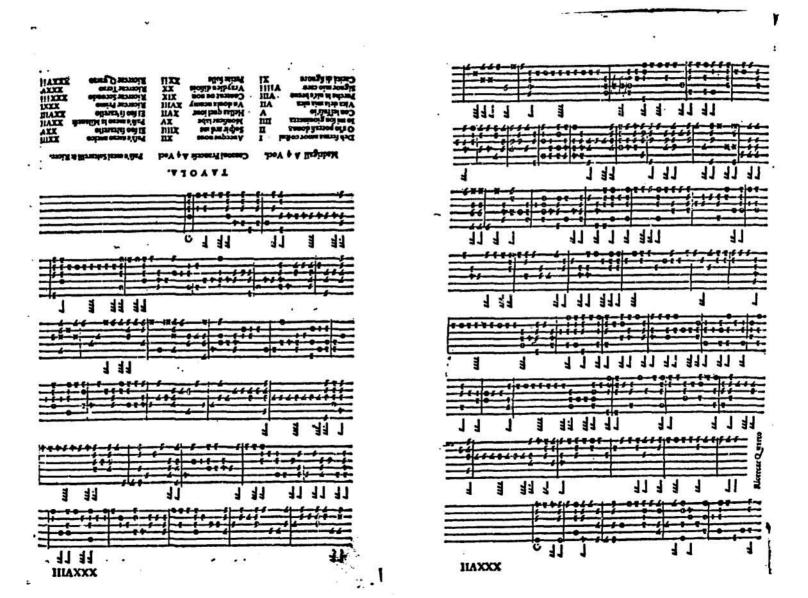
The superius of Ferabosco's "Io mi son giovinett'e" (TRAN-SCRIPTION No. 140) lies particularly high in the vocal original.

Of the lutenists' intabulations and arrangemen.s only Newsidler's uses a "coventional" A tuning to intabulate the work. The others all select C or D tunings, which of course, permits the first note of the superius to be played on the top course open, or second fret. In Newsidler's hands, exploitation of the upper regions may be put to dramatic purpose, as in Ricercar Guarto from Book II (1566). The piece gradually soars into higher and higher positions, attaining a stratospheric g in measure 58, four-fifths of the way

through (see PLATE I and MUSICAL EXAMPLE 7).

MUSICAL EXAMPLE 7





Relevant concordances for the pieces copied by Scribe A are the following (prints are identified by BrownI sigla):

1566/2 and 1566/3 (the Venetian prints by Gardano),

1572/1 (the anthology edited by Jobin),

1573/3 (Waissel's anthology drawn from works by a number of lutenists).

1574/5 (the Newsidler works published by Jobin), and

1586/5 (Sixt Kargel's anthology, which likewise borrows from a number of lutenists).

The sign "(" ("greater than") indicates that the <u>concordant</u> work has an encrustation of oramentation added to the version as copied by Hand A, and the sign ">" ("lesser than"), that the concordant version has less ornamentation.

Mus Ms 266, Nos. 1-14

- 1. (1v-2) Alla dolce Ombra di Cipriano / A 4
- 2. (2v-3) Signor mio Caro di Cipriano / A 4 (1566/2, No. 7 = 1572/1, No. 8
- 3. (3v-4) Carita di signore: / A 4 di Cipriano (1566/02, No. 8
- (4-4v) Con leyfus'io / Jaques de pont does not =1566/02 (different tuning)
- 5. (5v-6) Pis ne me Peult Venir a 5 / Criquillon (1572/1, No. 16
- 6. (6-6v) Vray dieu disois A 4 Orlando (1566/2, No. 15 =1572/1, No. 20
- 7. (7v-8) SousSpirs ardans (Arcadelt) (266, No. 136 (1566/2, No. 10
- 8-9. (8v-9) Passa e mezo M[elchior] N[ewsidler] =1566/2, No. 13
- 10. (10v-11) Sewar mich herr [Zirler?] (1572/1, No. 24 (1574/5, No. 23
- 11. (11v-12) Iomi son giovinetta [Ferabosco] (1572/1, No. 10 (Mus ms 266, No. 134

- 12. (13 [formerly 76ff.]) Hay Lass A. 4 di <1566/2, No. 12 Or 1 ando (1572/1, No. 21 13. (13v-14) Susanna / Vng Jour A.5. / =1566/3, No. di Orlando (1573/3, No. 22 Mus ms 266, No. 149 (That is, No. 149 is the model for the versions of Mus ms 266, No. 13 and 1573/03, No. 22) 14. (15-16v) Sancta Maria A.6. vocum / di Vertalot [unicum] Mus ms 1627, Nos. 1-12 (1-3) Gustate et videte. Prima Pars [Lasso] =1566/3, No. 6 Diuites Equerunt: Secunda Pars =1586/5, No. 20 (3v-5) Bene Dicam Dominum: Prima Pars [Lasso] >1566/3, No. 6 2. In Domino: Secunta Pars =1586/5, No. 17 (5v-6v) Je ne me puis tenir d'aimer [Appenzeller] [unicum] з. 4. (6v-7v) Jouisance di adrian Willaert [unicum] (does not=1566/3, No. 9, or 1572/1, No. 18) 5. (8v-9) Tutte La Nuit [Crecquillon] >266, No. 164 >Paris, No. 14 6. (9v-11) Je file quant Dieu me done de quoy [Gosse] 7. (11v) Cumo di aigio lasat'o Vita mia [Azzaiola] Vocal Model: Munich, Mus ms 1503e, No. 1 8. (12) Tu mi fay star scontiento [G tuning] Vocal Model: Munich, Mus ms 1503e, No. 2 9. (12/-13). La ferarese =Donauseschingen, I, fol. 10 [Unattributed in Donaueschingen,
 - 10. (13v-14) Se dire lo soie [Appenzeller]

[unicum]

but among pieces by Newsidler.]

11. (14v-15) Anchor che col Partire. . 4 Vocum / Cipriano di Rore (1574/5, No. 21)266, No. 125

=?1571/6: Phalese & Bellere, publ., No. 114 (same intabulation, but different ornamentation)

15. (15v-16v) Fantasia super anchor che col partire di M: Melchior Neusidler 1572 <1574/5, No. 46

Mus Ms 2987, Nos. 37-38

37. (12-12v) Susanna Vng Jour MEelchior] N[ewsidler] [Lupi Didier II]

=?1586/5, No. 34 (similar embellishment)

38. (13-13v) Per su hospiti boschi: / A.4. Voce di Constanze festa

[unicum]

Paris, Res 429

2.	(2v-4)	Passa e' mezo antico (c) =1574/5, No. 41 Saltarello
з.	(44-6)	Passa e' mezo antico (f) =1573/3, No. 28 Saltarello
4.	(8-49)	Passa e' mezo antico (d) Saltarello
5.	(8v-10)	Passa e' mezo antico (g) Saltarello
6.	(10v-12)	Passa e' mezo antico (e) Saltarello
7.	(12v-13)	chi Passa Per questa Strata (c/C)
9.	(14v-22)	In te domine Sprerauij / prima pars =1574/5, No. 5

Et propter Nomem tuum / seconta pars 10. (22-29v) Vita In ligno moritur / prima pars (1574/5, No. 3

> Qui prophetice / seconta pars Qui Expansis / Tertia pars

[Senfl]

- 11. (30-38v) Stabat mater dolorosa / prima pars [Josquin] Eya mater / secunta pars
- 12. (38v-47) Benedicta Es Celorum / prima pars (1574/5, No. 1 Perillu daue Secunta Pars Nunc mater / Tertia pars
- 13. (47v-48v) Chi passa per questa strata (d/D)

This suggests the following <u>schema</u>, again recalling that intermediate versions may have existed at one time:

Scribe K (Padua), No. 164 (1627, No. 5

Scribe D (Italian), No. 149 (266, No. 13 = 1566/II, No. 10 (Waissel, 1573

Scribe C 2 (staffliner 1.7/1.1), No. 137 (266, No. 6 (1566/I, No. 15 =Jobin (1572), No. 20

Scribe C 2 (staffliner 1.6/1.3) (1627, No. 11 (dtd. 1572) (MN 1574, No. 21

1627, No. 6 (Paris I, No. 14

1627, No. 12 (dtd. 1572) (MN 1574, No. 46

Lost Source <1566/I & II, Nos. 7, 8, 10, 13 <266, Nos. 2, 3, 7-9

266, No. 7 (Scribe C 2 (staffliner 1.6/0.8), No. 136

Paris I, Nos. 2, 10, 12 KMN 1574; Nos. 1-3

Paris I, No. 9 =MN 1574, No. 5

1627, Nos. 1-2 = Kargel (1586), Nos. 15, 17

Did the fascicles copied by Hand A not come with the Herwarth acquisition, the "Pintl" of manuscripts of little worth? Until the mid-19th century the lute manuscripts at Munich existed as unbound sheets stored in envelopes that became catch-alis for diverse and unrelated music, some from the 17th century, such as Keyboard music and the parts for a ballet. Thus they may have indeed reached the ducal library by means other than the Herwarth acquisition. Furthermore, in some fascicles of the Munich lute manuscripts are entries by a Nebenschreiber, Hand BA, BZ, etc., whom I have suggested may have been Herwarth or perhaps a lutenist in his employ. That scribe often seems unable to resist scribbling something on a page of blank staves, at times even defacing what had been a beautifully, and perhaps professionally copied fascicle. Yet the pieces by Hand A in Mus Ms 266 have blanks staves untouched by Hand BA, BZ, etc. Each piece is arranged carefully on facing pages, leaving blank staves at their ends. (Folios 13-18 were in Maier's arrangement of Mus Ms 266 placed as folios 76-81 but carry the same watemark, so belong together with the main fascicle. The fascicle may have started with the Verdelot motet, followed by the two chansons by Wilhelm's composer, Lasso).

The pieces are copied on fairly expensive paper, and this with the careful layout suggests a presentation copy. Newsidler's

initials in a monogram appear following the passamezzo/saltarello pair. In other places the initials or full name usually appears at the end of fascicles copied by Scribe A, and also at the end of the 1574 print. The bifolium in Mus Ms 2987 may have become reversed, since it seems appropriate to begin a presentation copy with "Per su hospiti boschi," and then end with the intabulation of Lupi's "Susanna ung jour" with Newsidler's initials.

Nos. 1-11 1-----! 13-wm-! Nos. 2-wm-----: 14----12-14 3----- ; ; ; 4----- : : : : 15-----5----1 | | | | 16----! | No. 14 6-wm--! ! ! ! ! ! 17-wm-! ! 7----1 | | | | | 18-wm---! 8-wm----: : : : : 9-wm----| | | | 10-wm----- ; ; 11-----: ; 12-wm----:

In attempting an answer, an examination of Newsidler's handwriting, as shown in his letter to Albrecht V (see PLATE II), seems
essential. The letter is most certainly in his hand, since it
shows little sign of being the work of a professional scribe,
particularly when compared with the letter by the Italian "bassiste" to the Munich court, Agostino Persei (see PLATES III and IV.)

5) (Semistagar toolordomme Lings Tumbigar book) bor Suraigin Farm Pfam Is gofor agrinfon, but & Kig Weing provinglingian Suforo, Em un Eaigning aminon brilfarions professames lines, falaux blowing for frafriting, Immus above p. fo. g. Som alla mu singlish samalo for assimfan wife anyabroffen, las 35 uning for widownos wars Italia pormaifor uninmol Is alla Sufan Inn Sigs. Orlands Sunfands soppelle, min bisa, perfo p. f. gf. Em Ino anhouff undersfaming our pare intineny, wand sinfall grafer, 10 amos an Sun fundas, las dir wir Buill gomed or 11 Layours Afaton, Jamit Land simual finant hund 12 L. H. st. word wor uninnen dad brightfun beginning 13 14 Joseghinfon fal Is mumlem Sigs. Ovlando palach. 8.6 Vi am Somibifiling wit antiquitet you 16 Chlusan uning wanfbarrown prings, an 17 Von unaliform To smidownood anys Jan 50. 260 18 antimort vormoning, for fal non Invallen well for 19 fortinotory Bufor, wir Sunf from Boundly, & al from 20 bomm Blow, mind is differ and comflain fambe day 21 fanning and In Emaions Loutralton favangs and 22 min hisford, Do Bis migh alor promollom Som adel 23 Sporiton bund brunks hingresifon, How and homb 24

fat for mire grantemost, for fing Hom with fail unidentafor A im Stalia, while Is wife make Bon 2. Asofuat non - Em Aquileggia abounfind Bis, would be dig send manife 28 wife michoning for garif falls. handsfaniging Son go on

> HStA Munchen, Alig. StA. Libri antiquitatum 4

lgastino Sorsei Balousto

The latter's (which was quoted in Chapter I), with its well-formed letters and scribal uniformity, must be the work of a professional Briefmaler. (It also seems unlikely that an Italian would have mastered German script so well.) The two stand comparison, since anomalies may assist us in defining the characteristics of Newsidler's handwriting.

There are a number of features common to both letters.

18

German lower-case Rs resemble our lower-case M. Melchior's hand is, however, a bit bi-lingual—as one might expect of a musician who travelled to Italy. Not always does he use the German form of the letter R, but rather mixes it with an Italic R, which resembles our lower-case V (see also the Italics in Persei's letter). The Rs in "Hochgeborner" (1. 1) and the ones in "herr" (line 2) use both forms, as does his signature, "Newsidler" using the Italic form, and "Melchior," the German. The word "December" (1. 19) also uses the Italic form.

Lower-case E in German script resembles our lower-case N or 19 printed lower-case R. Newsidler also uses the German form, as

For example in the Persei letter, the words "Hochgeborner" (line 1) "Jaren" (line 2), "erzaignung" (line 3), and "mir" (line 11), and in Newsidler's letter, the first R in "Hochgeborner" (line 1), "beuor" (l. 5), "vnterthenigste" (l. 3), and "Melchior" (l. 23).

See, for example in Persei's letter the words "-em" (1. 6), "meinem" (1. 13), "ettliche" (1. 3), "erzaigung" (1. 3), "Presentieren" (1. 5), etc.

in the words "herr" and "Genaden" (1. 2), "ettlich" (1. 7) and the last E in "pressentieren" (1. 14). But again, Newsidler's hand is 20 bi-lingual, since he also uses an Italic E.

The conventional lower-case M in German script is expanded, so that the upstroke does not re-trace the downstroke. That is, the letter has two dips in its midst. This is evident in most of 21 the Persei Ms. Newsidler's Ms are, however, closer to ours, 22 and sometimes have the second hump a bit higher than the first.

German lower-case Hs are made of two loops, usually connected 23 with the following letter.

The German lower-case letters CH are usually joined together in a figure in which the C resembles a cresting wave attached to

See the other Es in "pressentieren" (1. 14), in "beuor" (1. 5) and "Melchior" (1. 23).

²¹ See "mein" (1.5), "mit" (1.9), "mir" (1.11) and "meinem" (1.13).

²²See Newsidler's "meins" (l. 2), "demnach" (l. 5), "nachkhumen" (l. 10), "khumen" (l. 13), "Genedigem" (l. 16), "auffnehmen" (l. 17) and "December" (l. 19).

See Persei's word "hab" (1. 7), etc., and Newsidler's "nachkhumen" (1. 11), "ettlich" (1. 7 â 11). Newsidler frequently does not connect the H to the following letter, as in the words "her" (1. 7), "nachkhumen" (1. 10) "welche" (1. 12), and many other places.

the lopped H, See Persei's and Newsidler's words "Hochgeborner"

(line 1 in both letters), "Ich," and "Melchior" (line 23)—he'd

24

certainly want his name to be recognized. But—on other oc—

casions the letter C in the formation CH is hardly a swell, let

alone a cresting wave, and in contrast to the Persei H in the CH

formation, which slants left to right, Newsidler's slants right to

left, the bottom loop is not completed, and the downward portion of

25

the H sometimes intersects with the swell.

Newsidler's Ws are also different from those in Persei's 26

letter. Frequently Newsidler also indicates a W or U with a small half-circle left open on the right, as in several of those words, and in "Zum Neuen" (1. 8) and "Augspurg" (1. 19). (In Persei's the large pen flourishes, bordering on the decorative, are left open at the bottom.)

The D in "December" is made by combining what we would call an L and a reversed C, leaning heavily forward. The capital A of "Augspurg" and "Anno" are formed with three strokes, an upward one with a loop before the upstroke is made, a down-stroke which bends to the right, and then the cross-stroke.

Also Persei's "sachen" (1. 8), and "nicht" (1. 6), and Newsilder's, "ich" (1. 7 & 9), "nachkhumen" (1. 18), "welche" (1. 12).

See "fridlichen" (l. 4), "demnach sich" (l. 5), and (signifcantly towards the end of the letter when he may have been tired) "auch" (l. 10), "ich" (l. 12) and "ich mich" (l. 17).

Compare Persei's words "wiewol" (1.8), "welchem" and "widerumb" (1.18), and Newsidler's "wie" (1.7), "welche" (1.12), "wollen" (1.15) and "Newsidler" (1.23).

Unfortunately, there are not too many numerals in the letter. However, two sevens, a two and a three appear in line 19. The two is a German type, fallen forward, one might say. The three is narrower in its upper part than its lower, and the sevens seem to have been made with the pen grazing the paper before and after the numeral, creating a nib at the beginning and end.

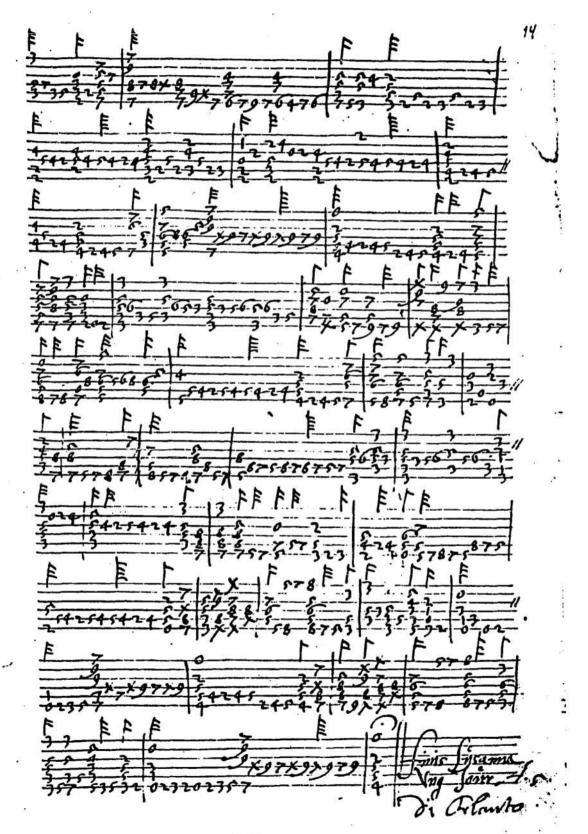
Some of these features are found in the tablatures copied by Scribe A: The sevens with loop in Ms 266, No. 13, in particular, the small circles above Us and Ws (passim), and the three-stroke As 27 with loop. The toppled numeral two does not always appear in the tablature in the same form as in the letter, but does occasionally, perhaps during lapses in copying. (See PLATE V, line 4, measure 1, etc., and the date "1572.") The German type might be inapproriate to Italian tablature.

²⁷ 266, No. 1, 12 and 13, Ms 2987, No. 38, and Paris I, fols. 4v and 37v.

²⁸Also 266, No. 4 (measure 2), 266, No. 3 (fol. 4, line 4).

²⁹Paris I, fol. 37v, Ms 266, Nos. 1, 12 and 13, and in Ms 2987, No. 38.





The seven with nibs at the beginning and end appear often, as 30 well.

The reader, having perused the plates showing samples of Scribe A's work, may have wondered what conclusions may be drawn, since the titles of pieces copied by Scribe A are in Italic script and Newsidler's letter is in German.

Well, one (and unfortunately only one) title is in German script, "Bewar mich herr," added to the <u>end</u> of the piece, presumably when the scribe was tired after entering the tablature;

Scribe A's titles are usually more elegant, as we have seen. (See PLATE VII.)

³⁰

Additional examples of Newsidler's handwriting existed until recently, and my attempts to locate them have been unsuccessful. They may have been destroyed in World War II, or the call-numbers may have been changed:

⁽¹⁾ Undated letter to the Augsburg Rath with an appeal to settle differences among <u>Stadtpfeifer</u>, quoted in Schletterer, "Aktenmaterial aus Augsburg," 5. (According to Schletter's transcript, some of the words are in Italics.)

⁽²⁾ Letter recommending an Augsburg lutemaker to Archduke Ferdinand, Innsbruck, Landesregierungsarchive, Kunstsachen, No. 718, III.34, cited in Layer, "Neusidler." 196.

⁽³⁾ Letter dated 13 October 1561 to the Nuremberg Pflegschaftsbehoerde, quoted ibid., 184.

⁽⁴⁾ Letter requesting appointment to Stuttgart court. I have made no inquiries to Stuttgart.



The B consists of an L with superimposed and forward-leaning "3," similar to the D of "December," the E is the Italic type, the W has a final loop and the R is about half-way between the German and Italic types. The M resembles ours, rather than the German type, and has a second hill higher than the first, the CH is of the swelling, rather than "cresting wave" type, and slants right to left. The H is not connected to the following E in "herr," and that word has an Italic E, and both the German and the Italic types of R: compare the same word in the letter (line 2) and the two types in Newsidler's full signature, as well as the Rs in his name on PLATE V. There can be little doubt that Scribe A and Melchior Newsidler are the one and the same.

The Munich and Paris manuscripts are, therefore, an extremely valuable resource for the study of 16th-century music. They are one of, and may actually be, the most extensive collection of autographs by any composer of the Renaissance.

By providing a vivid example of how a lutenist might vary the embellishments applied to a musical example, much may be learned. Newsidler was active when lute ornamentation became linear (rather than the earlier type crossing through the voice parts, as in early intabulations), his style of ornamentation may have valid application to ensemble music as well, permitting us to abstract the processes of embellishment as found in a practical musical source.

Since he made so many intabulations, these supply abundant models for further examination of musica ficta as lutenists ap-

plied it to vocal music. Did Melchior change his mind very much?

Actually, he is fairly consistent. But his afterthoughts may reveal much, and deserve particular attention.

Since he copied the pieces in the Munich and Paris manuscipts, and supervised the 1566 and 1574 prints, we have an invaluable and accurate yardstick against which to measure critically how the music of other composers of the Renaissance has come down to us.

Chapter VI

The Marco Fascicle: Watermarks, Gathering, Layers of Hand Seriation

Folios 19-62v of Mus Ms 266 contain perhaps the earliest music in the Herwart tablatures in terms of content and date of copying. Its 113 ricercars, intabulations and dances may be attributed for the most part to Marco dall'Aquila, with a few other works by Domenico Bianchini, Albert da Ripa, Francesco da 1 Milano, one "Bernardo N," and an anonymous German. Marco stands as one of the most famous and, as I shall argue later, one of the most influential lutenists of his day. He was frequently mentioned in company with Francesco, Alberto and Giovanni Antonio Testagrossa, themselves among the most praised performers of the early Cinquecento. Marco was active in Venice about two decades before Alberto and Francesco. His generation included Testagrossa (one of Francesco's teachers), Vincenzo Capirola, the phenomenal Brescian lutenist (who was also active in Venice for a

The cara cosa (No. 36d) and burato (No. 39) in the Marco fascicle also appear in Domenico Bianchini's <u>Intabolatura de lauto</u> . . . <u>Libro primo</u> (Venice: Gardano, 1546; rpt 1554, 1563), sig. C1v and C2, respectively, but may not have been composed by him. See my "Domenico Bianchini <u>detto</u> 'il Rossetto': Some Recent Findings," <u>La Luth et</u> sa <u>musique</u> II, ed. Jean Jacquot (Paris: CNRS, [forthcoming]), where I am able to show that most of the pieces in Bianchini's book (including the ricercars) are intabulations of music by others, and his original contributions are slight. It seems entirely possible that the two dances in Mus Ms 266 may have been lifted by Bianchini.

while), and the anonymous professional of the Thibault Lute Manuscript. Marco's activity falls, therefore, within the critical period between the Petrucci lutenists, Spinacino (1507), Giovan Maria Alemanni [Hebreo] (1508), Dalza (1508), and Bossinensis (1509/1511), and the deluge of printed lute music that begins with a trickle at Rome, Milan and Venice in 1536, and reaches flood-level in Venice after 1546.

During this period lutenists began using bare fingers, rather than a plectrum, to sound the instrument. The "Marco fascicle," as we shall call it, contains a very large number of unica by Marco that are of particular interest and importance in documenting playing and musical styles. This new lute music, codified in the works of Francesco da Milano, becames the indigenous lutenist style through the time of John Dowland and the advent of stile brise with the Parisian Gaultier School of the seventeenth century.

As presently bound, the Marco fascicle consists of eight gatherings, each with from one to six untrimmed bifolia (or sheets). The bifolia range in size from 42.6 to 44.2 by 31.5 to 33.3 centimenters, that is, about 32 centimeters wide and 44 high. Vertical guides, 16.3 centimeters apart, are inked on every page. A few blank folios with only vertical guides may have been intended as front- and end papers, but otherwise all pages are ruled, ten-times per page with a single six-line rastrum that measures 1.6 centimeters. The resulting staves are uniformly positioned 0.9 centimeters apart, suggesting that a grid may have been used and that the staff paper have originated in an establishment accustomed to lining music manuscript papers. The careful layout

of the fascicle places most pieces on a single, or two facing, pages, and little of the available space is without music, although seldom appearing cramped. The fascicle seems almost austere in its scribal style.

The fascicle contained, until recently, foliation in the upper-right hand corner and numbers at the head of each piece, which Julius Joseph Maier entered around 1860 when he inventoried Mus Ms 266. His foliation and numeration of pieces was revised somewhat, as we have noted, by Louise Martinez-Göllner in 1968. She re-arranged some of the gatherings, re-numbered the pieces, and corrected the foliation. The manuscript is now bound according to her revisions, although it may be argued that the present arrangement is still not entirely satisfactory.

The first four folios have original foliation, indicated "C 1"=fol. 21, "C 2"=fol. 22, "C 3"=fol. 23 and "C 4"=fol. 24, perhaps an abbreviation for "carta," or an indication for folios within a gathering. In the latter case, two gatherings ("A" and "B") may have preceded it, although no gatherings so marked appear within the Munich corpus of lute manuscripts. That the blank bifolio (fols. 19 and 20), intended as front papers (?), preceded it in Maleria disposition of pages seems to argue for an interpretation of "C" representing the word "carta." This first gathering is also the one most carefully copied. (Although this does not exclude the possibility of two, now lost, gatherings that were even more carefully copied. But this seems a weak argument.)

The paper contains an alternating pair of watermarks as one would expect in a loth-century paper codex. The marks (reproduced

as ILLUSTRATION A) depict a striding bear, the insignia of the city of Bern. These marks are found in papers manufactured by the Bergier (or Hirt) and Peyer dynasties between 1468 and 1577 at 2 their papermill "Zu Thal" near Bern. Bernaise papers, famed for their excellence and reasonable price, were transported in large quantities northward down the Aare and Rhine rivers, and may be found in documents copied as distant as Salzburg, Coblenz, Alsace and even Dresden. They were, of course, most frequently used locally in Switzerland and its south German environs, and the striding bear mark is found in documents created at Constance, Feldkirchen, Kempten, Eichstädt, Memmingen, Augsburg and, of 3 course, Bern itself.

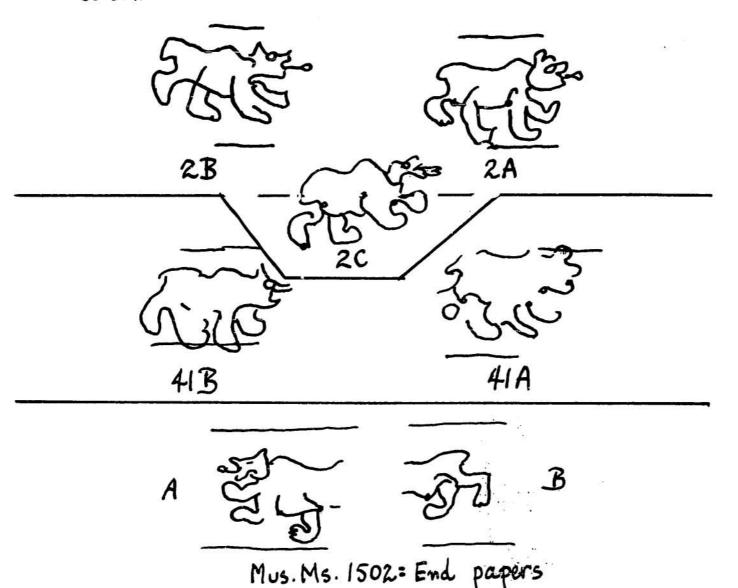
The marks given by Briquet and Lindt are not identical with

For information on this papermill, see Lindt, <u>Berner</u>

<u>Papiermuhlen</u>, pp. 8-10, and Fluri, "Die Papiermule zu Thal," pp. 192-236.

³ <u>Les filigranes</u>, Nos. 12256-78, and Lindt, Nos. 6-32, Nos. 700-727.

The striding bear watermark is encountered in a number of places in addition to the Marco fascicle. The end papers of the Marcus Welser partbooks at Munich (Mus Ms 1502) and those of the Nuremberg copy of Hans Newsidler's tablature of 1544 both carry it. We will encounter it also in the papers used by HAND F (No. 2c in ILL. A). It also appears throughout the papers in the "Mathematik-und Tabulaturbuch des Jörg Weltzell" (Munich, Universitätsbibliothek, 4/Cod. mus. 718), an interesting dated manuscript. Throughout the tablature section (fols. 97-151) are phrases such as "Discantus auff der Geygen / Im 1524 Jar," "Auff der lautdem / 1524," etc. In addition to intabulations of German Lieder for lute and for "Geigen," there are instructions for intabulating mensural notation for the lute depending on the range of the model, e.g., "Auff dass fa so den bass zv hoch gett." (The manuscript is inventoried in Gottwald, Universitätsbibliothek Munchen.)



A: 501. 111-112 C: 501. 122, 131; 126, 143

Munich, Universitätsbibl., 4° Cod. ms. 718

those in the Marco fascicle, although several do share chain lines the same distance apart and have watermark designs of similar size and configuration. This is an important consideration, since the striding bear watermark also appears in some counterfeit papers 4 manufactured by Hans Hurrenbain of Kempten. Many of the marks closest to ours are found in Bérnaise and Swiss documents:

Briquet, No. 12269: Bern, 1513 (paper size: 32 x 42 cm) Lindt, Nos. 20/21 (paired marks): Bern, 1514-15 (paper size: 30 x 40 cm)

Briquet, No. 12270: Zurich, 1518/27 (32.5 x 44), with similar variants noted by Briquet in documents from Bern, 1526, Augsburg, 1538, and Regensburg, 1532

Lindt, Nos. 700/701 (paired marks): Basle and Lucerne, 1521-31 (paper size: 32 x 42 cm)

Lindt, Nos. 30/31 (paired marks): Bern, 1540-60 (paper size: 31 to 32.5 x 42.5 to 43).

Most of these date from the early 16th century, and the mark seems to have been located for the most part in south German 5 documents. Gerhard Piccard of the Hauptstaatsarchiv, Stuttgart, has informed me that documents now in Innsbruck contain exact 6 matches with the pair of watermarks of the Marco fascicle. These

See von Hossle, Heft 14 (1926), 210-11.

Letter, 3 September 1968

Innsbruck, Landesregierungsarchiv, Hofregistratur A

are letters from Balthasar von Ramsweg, magistrate on Burg Gutenberg, dated 1 January 1535, and from R. Goblkofer of Feldkirch,

7
dated January through March, 1536. Burg Gutenberg and Feldkirch were then Tyrolean lands near the eastern shores of the Lake of Constance, along the Upper Rhine River (now Feldkirch in in Austrian Vorarlberg/Tyrol and Burg Gutenberg in the Principality of Liechtenstein).

The presence of paper made from the same moulds in letters written from towns directly south of Kempten, Memmingen and Augsburg in the mid- to late-1530s is consistent (as we shall see) with the probable date of compilation of the Marco fascicle (but, of course, not necessarily of its contents). Wolfgang Boetticher errs here with his proposed date and provenance in the 8 recent RISM volume. But it would likewise be improper to suggest that the Marco fascicle was copied in either Burg Gutenberg or Feldkirch-or even in Augsburg. There is ample reason for us later to conclude that the Marco fascicle did at one time reside in the Augsburg Herwarth library before coming to Munich in 1586 or thereabouts. The hand seems to be related to one appearing in

Letter, Dr. J. Moser of the Tiroler Landesarchiv, 4 April 1982.

Handschriftlich, pp. 214-5. Because of his insistence in treating each volume as an entity, Professor Boetticher's dates are sometimes off substantially. For example, Mus Ms 266 contains a fascicle bearing the date 1568, apparently leading him to date the entire manuscript, "um 1555-1575; Datierung 1568," although it may be demonstrated that some sections are earlie, and some later than the dates he suggests. Furthermore, there is no evidence that all fascicles of, for example, Mus Ms 266 stem from the Augsburg, Herwarth library; some may have reached the ducal library independently, as I have suggested earlier in regard to the "Newsidler fascicle."

tablatures associated more directly with Hans Heinrich Herwarth of 9
Augsburg.

FIGURE I shows the contents of the Marco fascicle. The first three columns provide the following:

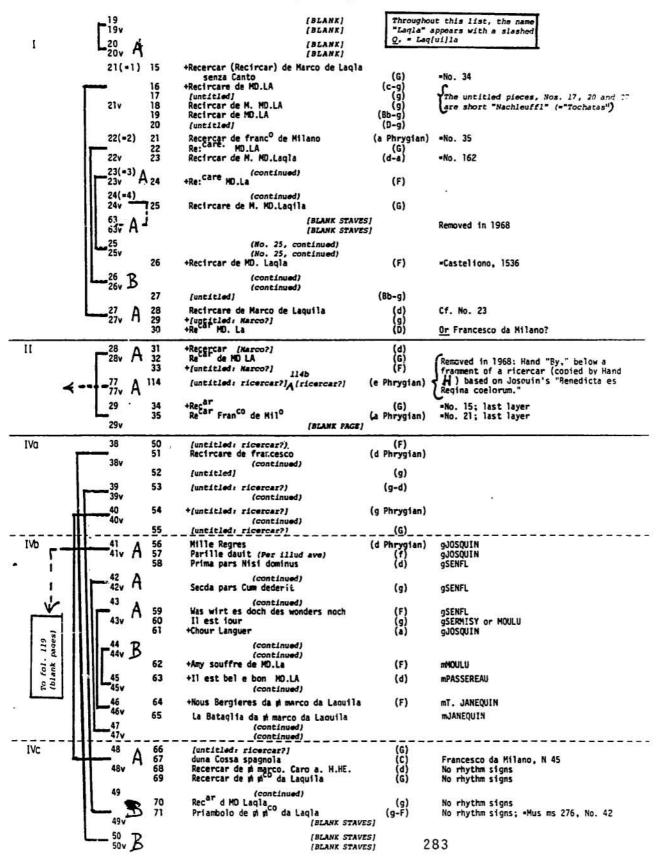
- (1) the position of the paired watermarks (A and B)
- (2) the modern foliation of 1968, and
- (3) the modern pencilled numeration of the pieces.

Brackets show the disposition of bifolia into gatherings marked I through VII. (Gathering III follows gathering IV in the Figure, since [as I shall argue later] it may originally have been positioned there.) The middle column transcribes the titles literally. A "+" before the title indicates those pieces which have been crossed-out in the manuscript with a diagonal pen-stroke. The key of each piece (assuming a G tuning for sake of comparison) is given in the next column. A final column provides a few comments, including the identification of composers whose works served as models for the intabulations (none are given in the manuscript).

The history of the lute and lutenists in Tyrolian lands leaves much to be desired, but a few possible connections may be mentioned. From September, 1580, until May, 1581 (when he was dismissed for eating meat during Lent) the Augsburg lutenist Melchior Newsidler was employed at the Innsbruck court of Ferdinand II, and Giacomo Gorzanis appears to have had patrons from the Tyrol (as well as Ulrich Herwarth, as we have seen). He dedicated his lute books to Johannes Kisl and his son Georg from Kotting-brünn (both officials at the ducal court at Krainberg), Maurice von Metrichstein from Pizelstetten, Baron Vito von Dürnberg from Gorizia (the Triestine ambassador to the Venetian Republic) and his wife, Rachel Malavasia, and the music-loving traveler Archduke Karl of Austria.

Figure I

THE "MARCO" FASCICLE: CONTENTS



Ш	A 36 36 36 36 36 36 36 36 36 36 36 36 36	b Seguit aliud No. 2 Cara C ic No. 3 Cara Cossa id No. 4 Cara Cossa ie No. 5 Cara Cossa if No. 6 Cara Cossa	(d) (d) (c) (c) (c) (g) (f)	=Bianchini, 1546 (?) Mostly without rhythm signs	
	31 B 36 36 36 31 36 36	No. 9 Cara Cossa + [beginning of No. 361] No. 10 Cara Cossa	(d) (d) (f) (g)		
	-32 B 37				
	33 38 38 33v 38 38	De la traditora No. 2 De la traditora No. 3 MD.	(g-D) (c-G) La (f-C)	No bar lines	
	L34 B 38	3dd del Sorau de la traditora	(c-G)	No bar lines	
	35 39	Doue impresare el vostro Capita No. 1	burato a (F)	=Bianchini, 1546 (?)	
			burato da (C)	No bar lines	
	35v 40				
	36 41 42		(c)		
	36v 43	3 ti partti chour mio charc	(C) (c) (G) (g) (f)		
	45	5 Marchesa de San Luzo 6 Chanalecha	(g)		
	37 48	5	(Eb-c) (Ab-f)		
	37v 49		(C)		
The same of the sa					
٧	51 72 51v	2 +Las voules vous que vne 3 +A la venturu (continued)	persone (F)	(m)VERMONT (m)WILLAERT	
	52 76	5 +Doulce memoyre da B	(G)	(b)SERMIS' BSANDRIN	
	52v 76	(continued) 6 +Vaincre ne peu	(g)	bsandrin; =No. 84	
	L 53 B 77			bsermisy (b)sandrin	
	53v 79	9 +Or dira ce que Vouldre	(g) (f) B. (D)	(b)unicum; not Sermisy bSERMISY	
	54 8		(Bb)	(b)SERMISY (m)SERMISY	
	A 8		uerir (?)	(m)SERMISY	
72	8		ernardo (g)	bSANDRIN; =No. 76	
VI	55 81	5 +Plus mil Regres (continued)	(d)	(?)JOSQUIN	emines.
•	8	6 +Dictes san peur	(g)	(b)SERMISY	
		8 +La rousee du moye de ma	y (F)	bjacotin (m)gombert or willaert	
	56v 8	(continued) 9 +Aupres de vous secretem		(m)SERMISY	
	L57 A 9			(m)SERMISY	
	57v · · 9	(continued) 1 +Si mon malheur # Bernar	do (G)	ble PELLETIER	
	<u> —</u> В 9	Content Desir de # Berg Ce qui soloyt. de Ber	(d)	bsermisy bsandrin	
(8)		(continued) 4 Quil vuoldra Sauoyr qui 5 +Cest a grand tort de #	je suis (g) p ^{CO} da Laquila (F)	(b)SANDRIN mSERMISY	
VII	59 A 9	6 +Maulgre moy	(F)	(m)SERMISY	
		7 +Amour me voyant Sans tr +Jayme le ceur de mamye	ristesse (F)	(m)SERMISY (m)SERMISY	
	61 <u></u> 61	9 +Sarra martin. De # Albe	ert (f)	aJANEQUIN: "Martin menoit"	
	-61v A 10	(continued) +Changer ne puys	(F)	(b)LUPI	
	62 62v		[BLANK PAGE] [BLANK PAGE]	284	

Lower case letters indicate the attributed (or, within parenthses, the supposed) intabulator:

g=an anonymous German m=Marco dall'Aquila b=Bernardo "N" a=Alberto [da Ripa]

As the fascicle now stands, it divides into sections by gatherings and genres:

- I-II. (fols. 21-29v; nos. 15-35): Ricercars and short 10 modulatory "priambolos."
 - III. (fols. 30-37v; Nos. 36a-49): Venetian dances.
 - IVa. (fols. 38-40v; Nos. 50-55): Ricercars and untitled pieces, probably ricercars.
- IVb. (fols. 41-47v; Nos. 60-71): Intabulations of motet movements, of a Lied, and of chansons.
- IVc. (fols. 48-50v; Nos. 66-71): Ricercars, a priambolo and untitled pieces, again probably ricercars.

¹⁰

These untitled pieces are brief, four- or five-measure flourishes with a few chords and running scale figures, comparable to the early Italian tochata, such as those in Casteliono's 1536 print, intended to close a set of dances ("tochata nel fine del ballo"). In the present examples several are modulatory, beginning and ending in different modes, and may have been intended as interludes in bitonal dances, such as the traditora, or vocal pieces, such as some of the frottole that begin in one "key" and end in another. Only one is titled "Priambolo" (No. 71 by Marco dall'Aquila), the others being untitled. Thus their function in beginning or ending a piece requires further consideration. A German term sometimes encountered is "Nachlauffl."

V-VII. (fols. 51-54v, 55-58v, 59-62v): Intabulations of chansons, most by composers in Attaingnant's stable.

With these groupings by genre, the fascicle approaches the format of a printed lute tablature, whereas the typical 16th-century lute manuscript is a common-place book with pieces entered over its owner's lifetime, usually in the random order in which he encountered them. A few examples should suffice:

- (1) The now lost Dohna/Lauk "Lautenstammbuch" in Ka-11 liningrad has pieces entered by date between 1550 and 1552
- (2) The "Scheele Lute Book" in Hamburg gathered between 1613 and 1616 in diverse locales from Naples to 12 Frankfurt.
- (3) The beautifully illuminated "Capirola Lute Book"

 now in Chicago, so carefully assembled around 1517 by Capi
 13

 rola's student Vidal as a monument to his teacher.

Former Stadtbibliothek, MS Sign. Gen. 2.150. (It is rumored to have been rediscovered.)

Staats- und Universitätsbibliothek, Ms M B\2768, olim ND VI 3238 ms. (I seem to be the first to notice that this manuscript was copied by the famed Dutch lutenist Joachim van den Hove)

¹³ Newberry Library, Case VM 140 C.25.

Manuscripts formerly belonging to professional players are often in particular disarray, for example,

- (1) The ex-Thibault Italian manuscript, compiled about 14 1519-15.
 - (2) The "Cavalcanti Lute Book" in Brussels.
- (3) The famous "Bottrigari Lute [<u>recte</u>: Viola da Mano]

 16

 Book" in Modena.

The Notable exceptions generally have clean, uniform paleography, suggesting that they may have been prepared in professional scriptoria:

- (1) The "Siena Lute Book" now in The Hague.
- (2) The "Hainhofer Lute Book," copied in Augsburg, but 18 now in Wolfenbüttel, with its numerous "books," each devoted to a separate genre.

Printed sources, on the other hand, tend overwhelmingly to

Bibliotheque national, Res Umd. ms. 27, olim Neuillysur-Seine, Private Library of Genevieve Thibault, MS IV.

¹⁵ Bibliotheque royale, MS II.275.

¹⁶ Biblioteca estense, MS C 311.

¹⁷ Gemeente Museum, MS 28.B.39, olim 20.860.

MS 2/Guelf. 18.7/8.

be arranged by genre (sometimes even sub-grouped by mode), with ricercars and fantasias provided for each of the Keys encountered 19 in subsequent intabulations and/or dances, etc.

In the Marco fascicle blank pages fall at the ends of Gatherings II, III, IV and VII, dividing it into an arrangement which suggests the following:

Book I. Ricercars and Dances=Gatherings I-II and III
Book II. Ricercars and Intabulations=Gatherings IV and V-VII

We have noted that Mus Ms 266 existed as unbound fascicles, bifolia and leaves until the mid-19th century when they were bound, apparently in much the same order as Maier found them.

¹⁹

Italian prints during the early 16th century are usually arranged by genre. Only two exceptions may be cited: Casteliono (Milan, 1536) and Bianchini (Venice, 1546). Early German sources are often didactically ordered, mixing intabulations, abstract pieces and dances, according to difficulty or playing techniques (Hans Newsidler, 1540 and 1544), by successively higher left-hand positions (Judenkünig, 1523), or according to ornamentation (Berlin, Deutsche Staatsbibliothek, Mus Ms 40580, a manuscript of south German origin, perhaps from Munich). By mid-century, German prints adopt the Italian manner of generic groupings. For details see BrownI.

Phalese and Bellere's <u>Theatrum musicum</u>, <u>longe</u> (Louvain, 1571) has opening sections devoted to fantasias and then to intabulations. Within each section pieces are grouped continuously by mode, except for short fantasias and intabulations that break the modal organization. These appear to have been added later to fill out what would have been incomplete pages, after the total plan had been set. Thus, even in a printed source, one may speak of "layers" (The print contains unica by Sixt Kargel, and given the firm's piratical proclivities, it probably contains pieces from Kargel's lost <u>Nova & elequantissima Italica & Gallica Carmina</u> [Moguntinae (=Mainz): Franz Behem, 1569.] Thus the Behem print probably contained pieces other than those in Kargel's 1574 print.)

With the reorganization of the manuscript in 1968, a few questionable changes were made which require comment.

- Two folios (the present folios 63 and 77) were removed from within the Marco fascicle.
 - a. A folio of blank staves appeared between folios 25 and 26. Crosses ("+") appear at the bottom of folio 24v and at the top of folio 25 showing the continuation of Ricercar No. 25. This sign is not used regularly in the fascicle, suggesting that at the time of copying, the blank folio was positioned there. (Possibly, the scribe contemplated recopying Ricercar 25, which is difficult to decipher as it stands.)

b. A more serious extraction concerns folio 77 containing the present Nos. 114a and 114b. This folio is ruled as are others in the Marco fascicle and contains the striding bear watermark. In Maier's arrangement it fell between Nos. 33 and 34. At its top is a 19-measure fragment, perhaps of a ricercar (no. 114a), which like No. 32 (No. 33 is only a short "preludio"), takes as a point of departure the opening measures of Josquin's "Benedicta es coelorum Regina." Thus two related pieces would in effect, have followed one another. The remainder of the folio contains another untitled piece in the Phrygian mode on E, likewise probably a ricercar. This piece is copied by "Hand BY," as we shall label it. The piece may have been entered in order to provide a ricercar in a mode otherwise unrepresented in the fascicle. Its removal in 1968 to the middle of Mus Ms 266 (where it joins other pieces copied by Hand BY) circumvents evidence that

Hand BY was a Nebenschreiber in the Marco fascicle.

- Quaternions are normal in 16th-century folio manuscripts, and Gathering I, with its original foliation, and Gathering III are indeed quaternions. Thus the exceptions seem curious.
 - a. An incipient quaternion of intabulations by our anonymous German lutenist seems to form Gathering IVb (folios 41-47 inclusive), lodged as it is within a gathering of
 ricercars (Gathering IVa/c, folios 38-40 and 48-50).
 - b. In Maier's time Gathering IVb was placed differently: the present folio 41 (containing Nos. 56, 57 and the beginning of No. 58) followed the blank pages at the end of Gathering IVa/c, that is, after folio 50. Maier counted the continuation of No. 58 (now folio 42 recto) as a separate piece following No. 55, with the pieces on the three bifolia

²⁸

A misunderstanding about the relationships among the various copyists of the Munich manuscripts is perpetuated by Boetticher in his descriptions, when he confuses the terminology of Haupt-, and Nebenschreiber (see Boetticher, Handschriftlich, pp. 214-24. For him a Hauptschreiber has entered a large number of pieces, a Nebenschreiber, only a few. A Nebenschreiber should properly be one who adds corrections or additional pieces to a fascicle or page first copied by a Hauptschreiber. Proper differentiation of the terms can shed important light on relationships among separate fascicles. For example, Hand C1 copied two pieces on a bifolium and later Hand BZ added a piece to the staves that were left blank. Thus Hand BZ (a Hauptschreiber, elsewhere) is in this instance a Nebenschreiber (see Mus Ms 266, folio 80-3). Hand C2 has added corrections to folios copied by Hand F (see ibid., folios 124-5v), here becoming a Nebenschreiber.

(folios 42-47) following it. Thus these pieces, since they continue from page to page and are therefore intact, must have formed a quaternion, with the page previously attached to folio 41.

c. An inquiry about the page belonging with folio 41 also seems in order. Is it to be found elsewhere in Mus Ms 266, or in the other Munich lute manuscripts? Since folio 41 has the striding bear watermark and this paper has no countermark, the missing folio would have to be without a watermark. That is, it would have to be other than folios 63 and 114 which carry the watermark. Other single folios appear without watermark in Mus 21 ms 266, but only folio 119 seems a logical candidate. In Maier's disposition of the manuscript this folio was the penultimate piece in Italian tablature. It contains Melchior de Barberiis' intabulation of La Fage's motet "Elizabeth

Other possibilities in Mus ms 266 include folios 186, 124, 125 and 136.

Zachariae" copied by Hand BX on staves drawn free-hand. The page has the same ink-drawn right and left vertical guides, 16.3 centimeters wide, which we have noted throughout the Marco fascicle, even on pages without staves. The last folio of Gathering IVb was, therefore, originally blank, and it seems logical that it was separate from Gathering IVa/c, perhaps following No. 71,

where Maier had originally, and I think properly, positioned it.

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d. It seems, furthermore, quite possible that Gathering IV lelongs with the three remaining bifolia of Gathering IV (folios 38/50, 39/49 and 40/48). Both gatherings contain ricercars. Since folios 28v and 29 have matching ink splotches, these folios would seem to have formed the innermost bifolio of Gathering IVa/c, although the unlined, blank folio 29v also suggests that 28/29 could also have been the outer sheet for the gathering. Folio 29 may have been blank

²²

The printed source, a unicum, is <u>Intabulatura di lautto</u>. <u>Libro sesto</u> (Venice: [G. Scotto], 1546), fol. 24v-27. The Munich copy was taken directly from the print since it omits tablature on folios 25 through 26v (that is, the manuscript copy precedes directly from the end of fol. 24v to the beginning of fol. 27). Barberiis's intabulation follows variant readings found in Modena, Archivo capitolare, Codex IX, a repertory that Edward Lowinsky believes might have been brought to Ferrara by Willaert. See <u>The Medici Codex of 1518</u>, Monuments of Renaissance Music, 3 (Chicago, 1968), 61 and 148f., with transcriptions, ibid., 4, 100-106.

This, of course, provides a <u>terminus</u> <u>post quem</u> of 1546 for our hand BX.

at one time during the copying process since it contains two ricercars which (as we shall see) formed the last scribal layer. Folio 77 was probably a later insertion, as we have seen above.

- Unlike the other gatherings examined so far, Gatherings V,
 VI and VII consist of two-bifolia each, not four.
 - a. Since No. 95 continues between Gatherings VI and VII, sheets 55/58 and 59/62 must have been the outer sheets of two gatherings; ink splotches on folios 58v and 59 match. The blank pages that close Gathering VII suggest an ending to the section of chanson intabulations.
 - b. Should Gatherings V and VI form a quaternion? At this time suffice to point out the anomaly of bifolia 51/54, 56/57, 59/62 and 68/61 with intabulations by Marco dall'Aquila, and bifolia 52/53 and 55/58, with only Bernardo's.

What may have been the original disposition of folios and gatherings in the Marco fascicle is emerging. Such a consideration is important if one is to establish and isolate what could have been the several sources from which the scribe worked, including the possibility of a lost print containing music of Marco dall'Aquila. A close examination of scribal style, musical contents and sources of the vocal works selected for intabulation will assist in developing a hypothesis.

* * * *

Within the Marco fascicle the hand shows remarkable consistency, suggesting that the fascicle was copied within a narrow span of time. Since many pieces are contained within single or facing pages (thus sparing the performer annoying page turns), the fascicle was evidently carefully planned. Shorter pieces tend to fall towards the bottom of a page, and thus may have been entered after the longer pieces were laid out.

Readings are unusually accurate, and seldom corrected or altered, suggesting that the copyist did not enter into the compositional process by adding ornaments or changing ficta, for 23 example.

The supple, uniform fluency of paleography suggests an experienced copyist, as some of the examples in FIGURE II illustrate. From gathering to gathering there is virtually no difference in the ciphers, even between what we shall identify as the earliest and very last layers. The proportions between the sizes of cipher and rhythm sign remain constant even when the copyist seems to be using a sharper pen nib and the copying becomes smaller. (As he worked well apace with the sharper nib, he some-

²³

Upon comparing the nearly 40 intabulations with their models, one discovers a six-measure lacuna in Senfl's "Nisi dominus" (No. 58), and single measures missing from Sermisy's "Je n'ose estre content" (No. 80), "Contre raison" (No. 83), "Mauldicte soit la mondaine richesse" (No. 90) and "Amour me voyant sans tristesse" (No. 97). Elsewhere less than 30 notes are dropped, amazing accuracy, as anyone who has worked with 16th-century lute music will attest, and doubtlessly some of the errors may have existed in the sources from which the copyist was working.

times allows the pen to graze the paper in an upward, looping sweep in preparation for the downward stroke. The sharper nib seems to cause bar lines such as those in FIGURE II.12c, whereas a duller one leads to those of FIGURE II.12d.)

The scribe regularly enters the titles in Italic script at the ends of pieces, often (if there is space) with an ornamental garnish of the pen. Gothic script is used only occasionally, for the titles of No. 28 (a ricercar by Marco dall'Aquila) and for Nos. 56 and 59 (intabulations of Josquin's "Mille regres"). There is no reason to believe that the copyist was other than German, although titles in French and Italian are more accurately spelled than in most German lute manuscripts and prints.

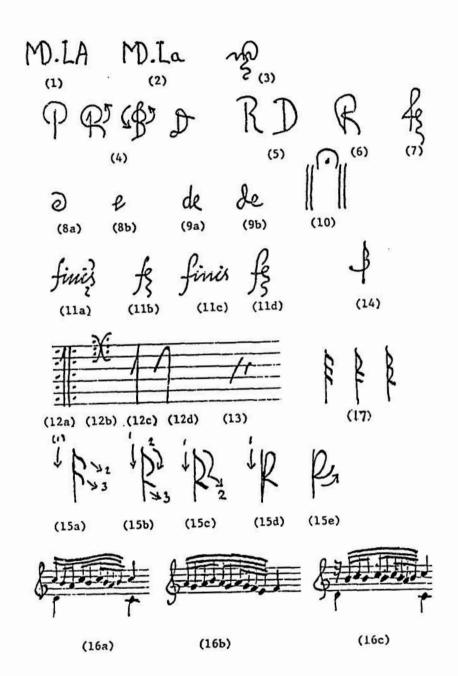
Some spelling differences may have resulted from the copyist's having used different sources:

Gathering I: "recIrcar (except No. 21, a ricercar by Francesco) and "Marco dE Laq[ui]la"

Gathering II: "recErcar" and "Marco dA Laq[ui]la" (The "da" spelling also appears in Gathering VI.)

Usually the Marco pieces are identified with a monogram, "MD.LA" 24 or "MD.La" (FIGURE II.1-2), and the names Marco, Bernardo and

²⁴ No. (1) appears in Gathering I, Nos. 15-19, 22; No. (2), I: 23-5, 30; II: 32; III:38c; IVb: 62-3.



Alberto may be preceded with an abbreviation for "messer" (FIGURE 25 II.3). The letters "P," "R," "D" or "B" (when they occur) are usually written in two pen-strokes, the second counter-clockwise 26 (FIGURE II.4). Another type, with clockwise motion, also occurs 27 (FIGURE II.5). An "R" in one continuous stroke is found only in Nos. 34 and 35 (FIGURE II.6), which also have a unique "finis" indication made in one stroke (FIGURE II.7; cf. FIGURE II.11d).

Double bars consistently enclose the final measure (unless 28 there is a repeat), and are topped with a fermata (FIGURE II.10) and followed with the word "finis," either written out or ab29 breviated (FIGURE II.11). Repeat signs are the usual type
(FIGURE II.12a), or (in Nos. 59-60) placed above the staff (FIGURE II.12b). The paleography of the letters e and the letters d also

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No. (3), IVc: 69-71; V: 84; VI: 91-5; VII: 100.

No. (4), I: passim; II: 32; IVa: 50; IVc: 71; III:40, 44, 48; IVb: 57-8, 62, 65; V: 77; VI: 85, 87, 92.

²⁷ No. (5), II: 31; IVc: 68-70; III: 38-9; IVb: 56; V: 75, 80, 83; VI: 86-7, 90, 91, 93; VII: 99.

No. (10) appears throughout the Marco fascicle, except when the last section of music is repeated.

²⁹ No. (11a/c), I: passim; II: 31-2; IVa: 50-5; IVc: 66-7; IVb: 56-63, 65; V: 72-3, 82; VI: 84; VII: 97-8. No. (11b/d), II: 32; IVc: 69-71; III: passim; IVb: 64; V: 74-8, 83; VI: 85-91; VII: 96-7, 100.

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differs from time-to-time (FIGURE II.8-9). A usual practice when a measure is divided between two staves is to employ slashes, the second shorter than the first (FIGURE II.13).

Rhythm is indicated by note stems with flags, the system encountered in Petrucci, Sulzbach (Naples, 1536) and Gardano in the 1540s. Casteliono in Milan. Scotto and Marcolini in Venice used the diamond-shaped notes of vocal music, presumably because it saved casting new type, although the unsigned copperplate engraving (published no later than 1536, presumably by Marcolini) also uses diamond-shaped notes. Triple meter is indicated with measures of three in the dances of Gathering III, and in No. 58 (a Senfl motet intabulation), with 03. In Nos. 72 and 83 (chanson intabulations by Marco) and in No. 101 (a ricercar by Marco), triple is indicated with a leftward sweep to the rhythm sign (FIGURE II.14). This is essentially a conservative sign found at the latest in mid-15th-century vocal sources, usually for short groups of triplets. Petrucci is the only printer known to me to have had such type font, although a few early lute manuscripts do use it.

The rhythm signs do, however, undergo some metamorphosis.

For example, one may delineate five types of minim, each of which emerges logically from the previous one.

³⁰ No. (8a), I: 19, 25-6; IVa: 51; IVc: 67; V: 56-61; VI: 88-90; VII: 96-8. No. (9a), IVc: 69; III: 38-9; IVb: 57-8, 62; V: 81; VI: 88-90. No. (9b), II: 35; IVc: 70-1; III: passim; VI: 92-5.

See Ness, <u>Francesco</u>, page 12, n. 26. This is the earliest practical musical source to use copperplate engraving.

The first type (FIGURE II.15a) has flags of equal length, and has been penned with three separate strokes: downward for the stem, followed by the upper and then lower flag.

The second and third types (b and c), although in effect very similar, have the top flag bent inward, towards the stem, as the copyist prepares to draw the lower flag. In the second (b) three strokes are used (as in the first type); in the third (c), two strokes, the upper and lower flags having been drawn without the pen leaving the paper.

The fourth and fifth types (d and e), likewise essentially the same, are drawn in a single motion with the pen remaining on the page: the pen moves downward and then upward to form the stem, and the flags are drawn as in the third type. The top of the rhythm sign tends to be slightly rounded. The fifth type is distinguished with an upward bend on the lower flag, caused as the copyist prepares for the downward stroke for the next rhythm sign.

Semiminims correspond, the middle one in FIGURE II.17, for example, being transitional between the other two.

A similar effect shows in some of the lopped bar lines (FIGURE II.12c-d). Judging from evidence provided by a few unfinished pieces, Nos. 36e (a cara cosa), No. 65 (an intabulation by Marco of Janequin's "Bataille"), and Nos. 68-71 (ricercars by Marco), the copying process involved three steps. First, the ciphers were entered, perhaps extending for several pieces (see Nos. 68-71). Next, bar lines were added, perhaps at points of

32 33 articulation, and finally the rhythms signs.

Unlike, say, an elegant title or ornamental calligraphy, phenomena such as the five types of minim and incomplete bar lines tend to be the result of unconscious effort. During a session of copying, it seems entirely plausible that one type of rhythm sign and/or bar line might match on a sliding scale the scribe's impatience, haste and practiced fluency. Thus a means is at hand for projecting a relative chronology as a gradual deterioration from the earlier trim minim and complete bar line mirrors the scribe's waning enthusiasm for his project.

* * * * *

32

Tablatures without bar lines are by no means unusual in 16th-century lute sources. For example, two of the earliest surviving tablatures, Pesaro, Biblioteca Oliveriana, Ms 1144, and Bologna, Biblioteca universitaria, Ms 596 HH.2\4, are written without bar lines, and additional examples are found in the ex-Herwarth manuscripts, in the Cavalcanti and Siena lute books mentioned above, and in the mid-century prints of Matelart (Rome: Dorico, 1559), the joint Francesco and Perino Fiorentino tablature (ibid., 1566), and Galilei's Book I (ibid., 1568).

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This procedure may perplex some readers, particularly since it seems more logical, given 16th-century notation, that the bar lines might be added last. But the evidence cited above in the footnote does support this, at least in the instances mentioned.

A skilled 16th-century lutenist would not find the procedure foreign, and as I have demonstrated convincingly (I believe) with the transcriptions of Nos. 68-71 (which have neither bar lines nor rhythm signs), one can easily render a viable reading from such incomplete tablatures, especially when finger dots have been included.

The section of intabulations (Gatherings V-VII) is in many ways the most perplexing. Unlike other gatherings in quaternions, it consists of three pair of bifolia. Few of the pieces continue from one page to another, thus impeding a determination of what may have been the intended disposition of folios and gatherings.

Most of the intabulations (by Marco, Alberto and Bernardo
"N") draw upon models in the chanson collections published by
Attaingnant. Those attributed to Bernardo are fairly easy to
distinguish, since they are plain and unadorned. Except for the
unique "On en dira" (not Sermisy's setting, but using the same
melody), they all belong to a a distinct repertory that begins to
appear in Attaingnant's production during the mid 1538s, including
second generation composers Sandrin and Le Peletier. Although
Bernardo's intabulations include chansons of Sermisy, Jacotin and
Lupi, who are represented in earlier books (1528-38), his selection of pieces by them come from Attaingnant books published only
1532, and some not before the end of the decade. Most, in fact,
seem to come from a series of volumes identified with consecutive
34
signatures:

Chansons musicales, in 4 vols., 1532-3 (signatures ϕ [phi] add, ϕ h-1, ϕ n-q): H [=Heartz] 30, 40 and 41.

³⁴For a summary of the signatures used by Attaingnant, see

Chansons esleves, in 1 vol., 1536 (signatures a-h, i-q): H
70 and 71.

Chansons nouvelles, in 2 vols., 1538 (signatures a-d, e-h): H 80 and 81.

[Inner and outer sheets are indicated "i" and "o".]

Gathering	Composer	H30	H40	H41	H70	H71	H80	H81
& No.		1532	1533	1533	1536	1536	1538	1538
oVI:86	Sermisy	5	28		8			
iVI:91	Le Peletier	20				23		
iV:80	Sermisy	22			21			
iV:74	Sermisy	23			22			
iV:77	Sermisy			20				
oVI:92	Sermisy			23		7		
iVI:87	Jacotin			22		18		
iVII:100	Lupi					10		
iV:78	Sandrin						7	
iV:81	Sermisy						9	
iV:76	Sandrin							3
oV:84	Sandrin (=No.	76)						3
OVI:94	Sandrin							4
oVI:93	Sandrin							9
iV:75	Sandrin							7

In contrast, Marco's intabulations are based on chansons found in earlier Attaingnant prints, and frequently in prints and manuscripts of Italian provenance:

Chansons musicales, in 4 vols., 1528-30 (signatures e-h, i-m, r-v, x-k, \Rightarrow a-d and \Rightarrow i-m): H2=9, H5, H6, H8, H14 and H17.

Chansons a troys, in 3 vols., 1529 (signatures +a-h): H10 Venetian prints:

Il primo libro de le canzoni franzese (Antico & Scotto, 1535)

La Courone et fleur ds chansons a troys (Antico & dell'Abbate, 1536)

Venticinque canzoni francesi (Gardano, 1538)

Di Constatio Festa il primo libro de madrigali a tre voce, .
. aggiuntovi . . canzoni francese di Janequin
(Gardano, 1541)

Primo libro di madrigali d'Archadelt . . . Con . . . canzoni francese (Gardano, 1542)

Italian manuscripts:

Florence, Bibl. Med.-Laurenziana, Ms. Ashburnham 1085 Florence, Bibl. naz. centr., Magl. XIX.112

Gathering & No.	Composer	H2 1528	H5 152	н6 9	Н8	H14 1		H10 1529	ItalPr	ItalMS
i IV:63	Passereau								A&S35	
i IV:64	Thos. Janegu:	in							A&S35	
oVI:95	Sermisy	23	31						A&S35	Flo:2v
oV:73	Willaert						13		A&S35	
OVII:96	Sermisy			23					A&S35	
iVI:88	Willaert (?)								A&d36	
iVII:99	Janequin								Gar38	
iVI:89	Sermisy	24	3						Gar41:19	
oV:83	Sermisy		19						Gar41:45	
iVII:98	Sermisy							7	Gar42:19	
iVI:90	Sermisy					15				Ashb:3
oV:82	Sermisy						2			Ashb:5
i IV: 62	Moulu							12		Flo
oV:72	Vermon t	13								

There is, of course, no reason to believe that Bernardo or Marco prepared their intabulations directly from the indicated sources, or that the earliest appearance in print of a chanson necessarily provides a workable <u>terminus a quo</u>, since (for example), according to Andrea Calmo, Passereau's "Il est bel et bon" (No. 63) enjoyed a street-song popularity in Venice as early as 1530, well before its first known appearance in Parisian and 35 Venetian prints in 1535 and 1536. Curious, of course, is the

Only Sermisy's "Amour me voyant" does not appear in earlier prints; it is first printed in <u>Chansons vielles</u>, livre 2, 1537 (H 79, #30), and thus may have been reprinted there from an

large number of Sandrin chansons from <u>Chansons nouvelles</u> which

Bernardo intabulated—virtually all of his pieces in those two

36

volumes. Clearly Marco and Bernardo were working with repertories separated by time and, in Marco's case, place as well.

24

Bernardo intabulated six of the eight Sandrin chansons in the first and second books of Chansons nouvelles (H 80 and 81); not intabulated are "Voyez le torte d'amours" and "Je ne croy." I have been unable to identify the musician "messer Bernardo." Since one of his intabulations appears in Mus ms 1511d with the attribution to "B.N.," we can assume that his last name began with an "N." Might he have been another member of the Newsidler family of lutenists? There is a Bernardo Todesco, "sonatore de leuto et alpa [harp]," who accompanied Pietrobono on his trip to Naples in 1473 (Wolffe, p. 132), and Bernardino Aldrati, known as "Zoppino," who was active in Mantua between 1511 and 1525. Both of these seem too early for someone intabulating, ca. 1539, such upto-date pieces. Perhaps he is identical with the M[aestr]o Bernardo, "sonatore fiorentino," who arrives alone and on foot in Mantua on July 22, 1537 (Bertolotti, Musica alla corte, p. 35).

Given the distinctive nature of the two repertories, the final gatherings seem to be in particular disarray as they now stand. To clarify this apparent disorder, handwriting may be of some assistance, although the ciphers are so consistently alike throughout the fascicle that they are of little help (a reason for suggesting the work of a professional). But other scribal features may suggest layers of hand.

These scribal features (to be detailed below) permit the intabulations to be divided into the following groups:

A. Four Marco groups:

```
("Ma"): Nos. (m) 72, m82, (m) 97 and (a) 99. ("Mb"): Nos. (m) 73, m83, (?) 85 and (m) 98. ("Mc"): Nos. (m) 88, (m) 89 and (m) 90. ("Md"): Nos. m95, (m) 96, m64 and m65.
```

B. Three Bernardo groups:

```
("Ba"): (b) 74, b75, b77, b79, b80 and b87 ("Bb"): (b) 78, (b) 61, and (b) 86 ("Bc"): b84, b91, b92, (b) 94 and (b) 100
```

The features include the following:

	reactives increase the forfaming.	Group:
1.	Custodes, in the form of small crosses, appear at the beginnings of the pieces	1. Ma
2.	"Finis" indications (See FIGURE II.11c/d): (a) Spelled out, or abbreviated "fs," with a tight loop at the top of the "f."	! !2a. Ma, Mb
	(b) Always abbreviated (even when there is ample room to spell it out)	:2b. Mc, Ba :
	(c) Written out, or abbreviated, with a large loop on the "f"	2c. Bc, Md X
3.	Musical ornamentation regularly uses the formulas given in FIGURE II.16a-c	3. Ma, Mb, Mc
4.	Musical ornamentation is entirely lacking.	4. Ba, 8b, Bc
5.	Right-hand fingering (dots below ciphers) is provided	5. Ma, Mb, Mc

6. Right-hand fingering indications are lacking ! 6. Ba, Bb, Bc, Md 7. Two varieties of final "e" are encountered (FIGURE II.8a-b): (a) One with a backward loop 17a. Ma, Mb, Mc (b) One without the loop, and often sharp 17b. Md at bottom 8. Two configurations of "d's" (FIGURE II.9a-b):: (a) With sharp turn at the bottom 18a. Mc (b) With a loop 18b. Bc, Md (c) Both types also occur equally 18c. Ba, Bb 9. The word "messer" and the name "Marco" are 1 9. Bc, Md abbreviated with an "m" slashed with a curl (FIGURE II.3) 10. The ink has a lighter consistency. 110. Md, Bc X X No. 10: 92-3 and 84 of Group Bc

These scribal features may be displayed as in FIGURE III.

FIGURE III

("X X X"= a sporadic appearance of the feature.)
("O"=feature is absent)

	Ma	мь	Мс	Ва	Вь	Вс	М ф
1.	****			crosses (c	ustodes?)		
2a.	****	****		tight "f"	in "finis	■ 3	
з.	****	****	* * *	musical or	namentati	on	
5.	****	****	****	fingering	dots		
7a.	****	****	****	"e" with I	оор		
8a.		* * *	****	* * *	"d" with	sharp turn	
2b.	"fs" abb	reviation	****	****		98	
8c.	both "ds	•		****	****		
4.	ornament	ation		00000	00000	00000	
7b.	"e" with	sharp turi	h			****	
10.	light in	k				****	* * *
6.	fingerin	g dots		00000	00000	00000	00000
86.	"d" with	loop			* * *	****	****
2c.	"f" with	l oop				****	****
9.	"messer"	abbreviat	ion			****	****

No. 2c: Except Nos. 64-5

They fall into clusters suggesting layers of hand. The first type of "d," for example, appears under "Mc" (anticipated under "Mb"), and continues under "Ba" and "Bb," and sporadically under "Bc." The second type of "d", however, begins to appear

under "Ba" and "Bb" as well, and then dominates under "Bc."

The various forms of "f" also differentiate apparent layers of hand: the tight "f" in "Ma" and "Mb," the "finis" abbreviation with looped "f" in "Mc," "Ma" and "Bb," and the written-out OR abbreviated "finis" with loop in "Bc" and "Md." A further distinction is the use of the special abbreviation for "messer" and lighter ink.

The presence or absence of right-hand fingering dots might be atttributed to the scribe's having worked from different sources, one with the indications, the other without, once again suggesting that the scribe probably did not participate in the editorial practice while copying.

When these groupings are laid out in conjunction with the contents, some interesting patterns emerge, confirming that the gatherings, as they now stand, are somewhat "faulty" (in a geological sense). In FIGURE IV I Have separated the bifolia and rearranged them to keep like groups together.

FIGURE IV

Fol.	No.	Composer	Attain- gnant	Italian Prints	Ma	Hb	Mc	Ba	Bb	Вс	Md
		!	1								
6 8 r	97	(m) Sermisy	1		lHa						
		1	1					22			
68v	98	(m) Sermisy	1Att:29		lMa						
		1	1								
61r	99	(a) Janequin	:Gar:38		:Ha						
		1	1								
61v		! (#99, cont.)	ŀ		lMa						
	100	:(b) Lupi	:Att: 36							:	Bc

ol.	No.	Composer	Attaing- gnant	Italian Prints	Ma	МЬ	Mc	Ba	ВЬ	Вс	Md
i5r	85	(a) Josquin	3		lMa						
5v		(#85, cont.)			!Ha						
	86		Att:33/6						186		
		1									
18r	92	b Sermisy	Att33/6					1Ba			
356	93		Att:38							:Bc	
		1	!							○ *	
58v		! (#93, cont.)	1							18c	
	94		iAtt:38							18c	
	95		Att:28/9	16ar:41							(Md
		(continues on fol.									
		1							• 17		
56r	87		:Att:33/6					Ba			
	88	(m)Willaert (?)	<u> </u>	IALd:36			iMc				
56v		1 (800	į				:Mc				
JOV	89	(#68, cont.) (m)Sermisy	Att:28/9			lHb	HIC				
	07	!	!			III					
57r		(489, cont.)				(Hb					
	98	(m) Sermisy	iAtt:29				IMc				
57\		; ! (#90, cont.)	1				iHc				
314	91	b Le Peletier	:Att:32/3	6			1111			1Bc	
	10000	_	l	•							_
5ir	72	(m)Vermont	:Att:28			Нb					
	73	(m)Willaert	IAtt:38	IALS35			iMc				
51v		(#73, cont.)	į				:Hc				
54r	82	i ! (m) Sermisy	i Att:29	lAshb	lHa						
•	83	(m) Sermisy	IAtt28	Gar:41			IMC				
		1	1								
54v		(483, cont.)	1				H	•			
	84	b Sandrin (=76)	Att:38 -							18	c
52r	74	(b) Sermisy	! Att:32/6					1Ba			
JZI	75	b Sandrin	Att:38	Nii				iBa			
- 0		1	•					18			
52v		(#75, cont.)	1					1Ba			
	76	b Sandrin [=84]) ATT:38							:Bc	
52-	77	l h Consisu	1044-22					lBa			
53r	77 78	l b Sermisy (b)Sandrin	Att:33					104			
	78	(D) Sandrin	:Att:38						B	į	
53v	70	i {(b)Anon.Lunicum	,;					l Ba			
JJV				ŧ.				184			
	89 81	b Sermisy (b)Sandrin	IAtt:32/6	•				104	18		
	91	(CD) Sanor In	MCC:38						10		

Fei .	No.	Composer	Attain- gnant	Italian Prints	Нb	Mb	Mc	Ba	Bb	Вс	Md
46r	64 65	n Thos Janequin n Janequin	none! Att:29	IANS:35							:Md :Md
464		(465, cont.)	 	225123							Hd
47r 47v		(#65, continued (#65, continued									IMd IMd
59r	96	 (#95, cont.) (m)Sermisy	! ! !Att:29	IALS:35							iMd iMd
59∨		BLANK STAVES	• 5								
62r		BLANK PAGE		ěs							
62v		Blank Page									

A few observations regarding FIGURE IV:

- (1) Bifolia 52/53, containing only works by Bernardo and 51/54, containing mostly Marco, seem to be improperly positioned.
- (2) Bifolium 68/61 also contains works by Marco and would seem to belong with 51/54.
- (3) Bifolium 56/57 begins with a Bernardo intabulation on level "Ba," ends with one on level "Bc," and within contains a series of Marco pieces (level "Mc" and "Mb").
- (4) A logical succession would be to place bifolium 60/61 between bifolium 59/62 to permit blank pages to fall at the end of the section (and of the entire fascicle?), since level "Md" seems to have been the last layer, and was blank until the end of copying.

Before suggesting solutions and showing how the intabulations are related to other gatherings, a short digression is necessary.

Seriation, a technique borrowed from archeology, may assist in projecting the order in which pieces were entered into the manuscript.

"Seriation" is an archeological technique used to determine chronological relationships. A series of phenomena are tabulated on slips of paper, and the slips are then shuffled until a pattern emerges, or re-arranged until they fit a paradigm. For example, by matching growth patterns in tree rings (caused by differences in annual rainfall) with a master paradigm for the region, an archeologist can determine the date of timber used in the construction of an ancient dwelling. Or successive strata at an archeological dig may yield different proportions of variously decorated pottery shards. When proportions of, say, three types of shards are tabulated from successive strata, arrowhead-like shapes, similar to that in FIGURE V.1 often result. The proportions reflect changing style preferences of the inhabitants as the latest fashion in pottery confronts an instinct to preserve tradition. Unusually angular dips or protuberances in the arrow shapes may indicate periods when a site was deserted. By matching frequencies at neighboring sites, one may determine relative chronology or trace the wanderings of a tribe as it moves from place to place.

By using the frequencies of the three types of minim shown in FIGURE II.15c, above, this technique may be adapted to assist in determining the layers of hand and relative chronology of the copying process in the Marco fascicle. Proportions of the three types should reflect the scribe's task: as he began the first trim minim should dominate, but while pushing ahead to complete his project, or a portion of it, the second and third types should

appear in greater frequency. Of course, the early trim type (FIGURE II.15a) would tend to reappear after a period of rest, thus reflecting to some extent the copyist's work schedule.

The ricercars in Gathering I and the intabulations of Josquin, et al., in Gathering IVb may serve as a control. Since Gathering I carries original foliation and in both gatherings the pieces often extend from page to page, the sequence seems not to have been disturbed. This cannot be proposed for other gatherings with pieces confined for the most part to a single page. When the proportions of the three types of minim and the completed bar lines are tabulated, arrow-like shapes emerge, as shown in FIGURE V.1-2. Each seems to grow or diminish within a cluster of pieces. For example, as the first type of minim in Nos. 15 through 26 diminishes in frequency, it is replaced by the second type; whereas in Nos. 56 through 64, it is the third type that becomes more prevalent. Although graphs V.1 and V.2 show the same phenomenon, they are not congruent and doubtlessly reflect different stages in the copying process. It is not possible to merge with any resulting logic items in the two graphs, and it would not be possible, for example, to suggest that the copyist worked on the two sections simultaneously alternating pieces. In other words, in FIGURE V.1, as the scribe pushes ahead, the first type is sacrificed to the second, but in FIGURE V.2, to the third type, suggesting that V.2 may be later than V.1.

These proportions and those of completed bar lines are also strikingly different in boths groups of pieces.

	F	FIGURE V.1	P	bar	lines
15.=		 ,=	•		
16.=			•		***************************************
18.		=,=	•	===== .	
19.		= ==,==			
21.				-	
22.				,	
23.			. 2000		
24.			· ·		
26.			=,=		
28.		===,===		. —	
29.		 ,		===,	
39.			 ,	=	,===

FIGURE V.2

56.	=== ===			
57.	==			= =
58a.		== ==		=== ===
58b.	=;=	=== ===		= =
59.	=}=		-	
68.	===			
61.	====	===		ANNUAL PROPERTY
62.	= =	= =		
63.	:			22 2231 20 00
64.	= =	===		

The shapes may also reresent cycles in the scribe's copying, especially where pattern anomalies occur. No. 62 seems out of place, for example, suggesting that it should receive some attention. Unusual protuberances, such as those at 22, 26 and 60 might indicate places where the scribe resumed copying after rest. In these instances, the scribe's usual stretch of copying in a sitting (if that is how we are to interpret it) may have been about five or six pieces.

Other unusual changes appear in the minims between Nos. 21 and 22, 26 and 28, 59 and 60, and in the bar lines between 19 and 21, 26 and 28, and 58b and 59. Of these a coincidence of change between 26 and 28 occurs in both minims and bar lines, indicating, perhaps, that the copying process stopped in this gathering and continued elsewhere. This is particularly evident from the abrupt appearance of the third type of minim at No. 28.

Elsewhere the protuberances appear one piece earlier in the bar lines, producing an overlap. This agrees with evidence, discussed above, to argue that the copyist staggered the components, entering bar lines over a number of pieces, and then 37 likewise the rhythm signs. The bar lines may have been entered in the order of Nos. 21 through 26, 15 through 19, and then 28 through 30. The resulting shapes seem to match (see FIGURE VI).

³⁷

See No. 38, which has only ciphers and bar lines, and No. 65, which has ciphers, bar lines and rhythm signs through the first page, then three-quarters of a page with a few bar lines, and then a page with only ciphers. Nos. 68-71 have only ciphers, and one suspects that they may have been copied in sequence with No. 65, with which they share a number of other scribal features.

FIGURE VI A

Bar Lines Only

22.	***************************************
21.	
23.	
24.	
26.	***************************************
15.	***************************************
16.	***************************************
18.	TEDESCHILLERS .SUBERHOUSE
19.	*************************
28.	
29.	====,====
30.	****,****

Above in footnote 37 I have remarked that it seems, according to the evidence in uncompleted tablatures, that bar lines may have been entered as a separate process in the copying. It may have been that they were in fact entered consecutively for a series of pieces with only ciphers and rhythm signs. Thus, above in FIGURE VI A, No. 22 may have had bar lines entered before No. 23, and No. 16, before No. 15. Likewise, the section of intabulations by the anonymous German might have had bar lines entered as shown below.

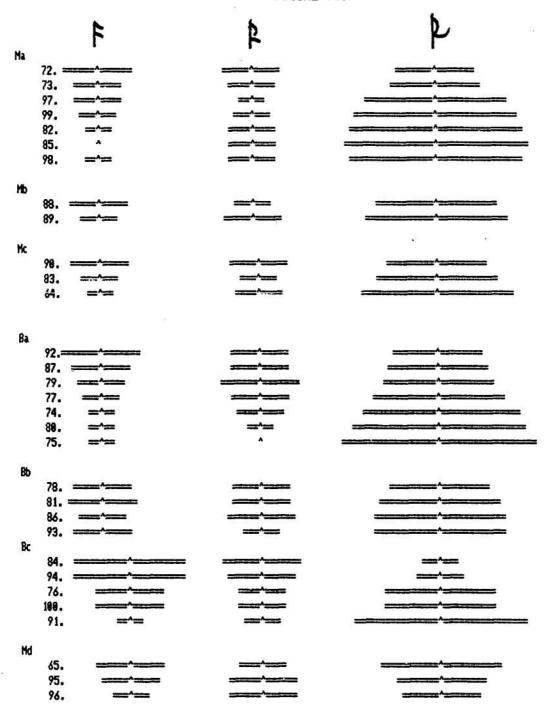
FIGURE VI B

62.	=======================================
59.	2257202222 200222222
60.	**********
61.	=======================================
56.	===== =====
63.	==== a===
58a.	202 SEE
58b.	== s=
57.	= =

The scribal idiosyncracies scattered throughout Gatherings V, VI and VII in a geologically "faulty" manner (as we have seen),

render the section of intabulations the most problematical in the Marco fascicle. Were the seriation tabulated in the order in which the pieces occur in the section, an erratic picture would similarly result. If, however, the tabulation is made according to the various levels of scribal hand ("Ma," "Mb," "Ba," etc.) some familiar patterns emerge. These may in turn be used to suggest how the bifolia may have been intended for grouping into larger gatherings. See FIGURE VII.

FIGURE VII



Bar Lines

	Dai Lines
Ma	
97.	
98.	
99.	
85.	======================================
Mb-Mc	
88.	
89.	
90.	********************************
83.	
72.	
82.	
64.	
73.	======^======
87.	======^======
37 (327)	
Ba	
74.	
75.	^
93.	
79.	
77.	
92.	*****************
80.	
ВЬ	Supposed and defended into the condition of the second of the second of the property of the second of the condition of the second of the secon
78.	
81.	
86.	***************************************
Bc	¥ 22-
76.	
91.	
100.	***********************
84.	
Md	
95.	
96.	
65.	

The bifolia may be arranged in any number of permutations and combinations. Ever present is the distinct possibility that they were never projected as leaves in planned gatherings. Indeed the plan may have, and probably did, change as copying proceeded. The bar lines on level "Mb" and "Mc" may have been added at the same time, perhaps even along with those for level "Ba." There is no striking contrast in ink (throughout the fascicle, as a matter of fact), so it seems probable that the bar lines were entered by the original copyist. The order, shown in FIGURE VIII, to be discussed next, seems logical.

In any event, our aim is to discover what may have been the original internal structure and number of sources from which the scribe worked, and the one shown in FIGURE VIII seems as good as any, and better than most. The order in which pieces may have been entered according to this evidence is summarized in the figure. Roman numerals show the order of minims and Arabic numerals within parentheses, the bar lines. The "tonality" of each piece, using G-tuning for comparison, is given with upper- and lower-case letters.

FIGURE VIII

Fol.	No.	Composer		На	В	a		МЬ	& Mc	Bb		Bc & Mc	4	Md
46r	64 i m	T. Janequin	ŀ		:		l		Î		ı	V F(7)		;
	65 i m	Janequin	ì		:				1		:	:	ΙF	Î
46v		(#65, cont.)	;		İ		;		1		1	1		1
47r		(#65, cont.)	1		6		ŀ		1		ı	1		1
47∨	1	(#65, cont.)	1		ſ		1		1		1	. (ſ
51r) Vermont	1 1	F	E		:		(5)		1	1		1
	73 l(m) Willaert (?)	111	g (8)	1		;		- 1		- 1	1		1
51r	1	(#73, cont.)	•		ŀ		i		ŀ		i	I		:
6 8 r	97 i (m) Sermisy	1111	F(1)	l		1		;		:	. 1		1
6 8 v	98 ! (m) Sermisy	:VII	F(2)	ŀ		ł		ţ		1	ł		1
61r	99 1(a) Janequin	: IV	f(3)	1		1		:		1	1		1
61v	:	(#99, cont.)	1		ŀ		1		;		1	1		1
	100 ¦(b) Lupi	1		ł		ł		1		•	IV F(4):		1
54r) Sermisy	: V	F	l		ı		(6) :		:	1		:
	83 ¦(m) Sermisy			ł		ł	IV (g(4) ;		1	;		1
54v	1	(#83, cont.)	:		1		!		:		:	1		1
	84 i t	Sandrin [=76]	ŧ		1		3		ł		ł	I g(5) i		1
55r	85 (6	a) Josquin	1 V	I d(4)	1		1		1		1	1		1
55v	1	(#85, cont.)	1		1		1		1		ŀ	;		:
	86 1 (6	o) Sermisy	ŀ		I		1		1	H	[g(3) !	1		1
52r	74 10	o) Sermisy	1		; v	G (1):		1	É	1	ł		;
		o Sandrin	:		IIV	d (2) :		;	Ē	:	:		1
52v		(#75, cont.)	1		1		1			ľ	;	1		1
	76 : 1	b Sandrin [=84]	1		1		ł		1		:	III g(1)!		1
53r	77 1	Sermisy	1		! IV	C ((5) (;	1		:
		o) Sandrin	:		;		1			I	g(1) t	1		1
53v		b) Anon. [unicum]	1		1111	f	(4)		1	1	1	1		:
		b Sermisy	1		! VI	0	(7) :		1		1			1
	81 : (1	b) Sandrin	1		1		ł			II	Bb(2) !	!		1
56r		b Jacotin	3		1 11	G	(7)		1	:	1	1		1
2200		m) Willaert (?)	1		1		ł	I	F(1)	1	1	1		- 1
56v	A contract of the contract of	(#88,cont.)	1		1		1		ements.	1	1		ê	:
	89 10	m) Sermisy	1		:		1	II	Bb(2)	1	- 1	1	\$	1

57r	(#89, cont.)	1 1	1	1	1	1	1
	90 1(m) Sermisy	1	:111	F(3):	1	1	1
57	i (#90, cont.)	1 1	1	1	1	1	1
	91 : b Le Peletier	1 . 1	1	1	V G(3	3) :	;
58r	92 b Sermisy	1 1	I g(6) !	1	ł	1	1
	93 b Sermisy	1 1		I	V g(3) t	1	1
58v	: (#93, cont.)	1	1	1	- :	1	1
	94 (b) Sandrin	1	1	1	: II gC	D :	ı
	95 m Sermisy	1	ŧ	1	1	11	F
59r	: (#95, cont.)		1	:	1	F	:
	96 (m) Sermisy	1 1	ŧ	1	1	: !!!	F !
59~			BLA	NK ST	AVES		
62r	S 26		BL	ANK	PAGE		
62v			8 L	ANK	PAGE		

A number of observations are germane. The scribe appears to have entered pieces regularly at the top of rectos, filling in a few pieces on remaining staves. Pieces in Dorian on d, g, f, etc., often appear towards the end of a spurt of copying. Chansons found only in Italian vocal prints (Nos. 64 and 88), as well as those that appear in Italian prints, are often on level "Mc." On the other hand, pieces intabulated from chansons appearing in the Chansons nouvelles of 1538 are in every instance added to pages that were for the most part already filled: those from the first book (Nos. 78 and 8i) to level "Bb," and those from the second (Nos. 75-6, 78, 84, 93-4) to level "Bc." "Vaincre na peu" (Nos. 84 and 76) appears to have been copied twice in succession.

In laying out the fascicle, the copyist usually left pages of blank staves, or blank unlined pages, towards the end of each section devoted to a single genre. Logically, when a copyist had

a large amount of music on hand to be entered into a manuscript, he may have worked in full gatherings of quaternions adding bifolia if it appeared that only partial gatherings were needed to hold the projected compositions. In the present case, the copyist may have been working in bifolia, or binions, as seems evident with folios 55/58 of level "Ba." He may have kept them tegether until it became necessary to re-arrange the bifolio as an outer sheet, so that No. 95 could complete the last level. This may account for the intabulations seeming to fall first into binions, rather than quaternions. The copyist may have been working from several sources and have decided to add the post-1538 chansons when they became available, perhaps at the last moment. If, based on watermark evidence, the fascicle was copied in the late 1530s, then a sequence of binions followed by projected quaternions seems entirely plausible.

Likewise, as pieces were added to the sections devoted to other genres, additional bifolia might have become necessary with successive layers.

With this in mind and returning finally to the ricercars, it is not too difficult to determine how the gatherings might fit together. We have noticed that Gatherings II and IVa/c may have formed a unit following Gathering I (where they were positioned in Maier's arrangement). Lacunae occur in the seriation pattern of Gathering I, especially between Nos. 26 and 28. If the proportions of Gatherings II and IVa/c are tabulated, they fit nicely with Gathering I. (See FIGURE IX.)

	*	FIGURE IX	' R	bar lines	
15.=			9€		
16.=					=
18.		=,=	7€		
19.		 ,	(4)		
21.		======	. =====		
22.		,	. =====		
23.	CHARLES , SEARCH STREET				
24.			. =		
26.			=,= =		
53.			t	======+===+===========================	
54.					
28.	second, section		,		
29.			 ,	 ,	
55.	==+==			====t====	
38.	==+====================================		= ====+		
50.	•			528-15-5192 SAAARV 530	
31.	•	-			
66.	=+=	==+== :			
51.			+		
67.	===+===	==+===			
32.	23423	=+= =			
33.		=+== =	+		

In laying out the gatherings by ricercars, dances and intabulations, the copyist seems usually to have worked in quaternions, leaving blank pages or pages of blank staves towards the end of each section and fitting in short pieces after the longer ones previously entered. Early in the process, folios may have been purposely left blank to provide space for other pieces in the same mode. This may account for folio 38r-v being blank in the first layer, No. 54 (Phrygian on g) forming an intended Phrygian group with No. 51 (Phrygian on a), and No. 22 falling early in the copying, but entered on a later folio to avoid page turns. The

order of Gatherings II and IVa/c given in FIGURE X.1 seems entirely plausible.

FIGURE X.1

io	LAYER ONE	LAYER	THO		LAYER T	HREE	LAY	er four	LA	YER	FIVE
26	I(1) m26 (F)										
26v	(cont.)										70
27			m28	(d)							
27∨			(m) 29 m/f38			-1					
38					1 5	58 (F)					
3 9 v								I(3) f 51	(d Ph	ıryg	þ
39	II 53% (g-d)										
39v	(cont.)	15									
49	III 54 (g Phryg)					-					
4 8 v	(cont.)	III 55X	(G)			F1					
28				200	II (m)	31 (d	D				
28∨						IV (m) 33	(F)	■ 32	(G))
29		100		W 500	100 TE		97		B :		(6)
29∨				[8	LANK	STA	VE	SJ	f	35 ((a Phrygia
48	***************************************)	III 66 X	(G)	200020				
48v						11 67	(C)			AS.	(d)
									10		(G)
49							H155	(cont.)			
		77							D .		(g)
				(8	LANK	ST	A V E	S1	ा	11	(g-F)
49v											
49V 38 58V					LANK						

A version laid out in binions is less successful, I think.

FIGURE X.2

	lio	LAYER ONE	LAYER TH)	LAYER T	HREE	LAYER FOU	R LAYER	FIVE
	26 26v	I m26 (F) (cont.)		41				0-12-V84V89879	
	27 27∨		I m28 II (m)29 IV m/f38						
	39 39v	II 53¥ (g-d) (cont.)						900, <u>Callai</u>	
 	49 I 46v	(cont.)	III 55¥	(G)					
 - 	48 49v				III 6	6¥ (G)	II 67 (C) m 68 (6)	(a)
- -	49								ont.)
! !	49v			[8]	LANK	STA	V E SI	m 78 m 71	(g) (g-F)
-	.38 38v				I 5	99 (F)	I(3) f5	il (d Phryg)	ři
11	28 29v				II (m)	31 (d) 32 (G)	IV (m) 33	(F)	
	29 29v			ī	BLANK		V E S1	n 34 f 35	(6) (a Phrygi
 	58 59U			ſ	BLAN	(STA	V E S1.		

A curious feature of the Marco Fascicle, which has never

been convincingly explained, is the number of pieces that have been cancelled with a single pen-stroke. In her article on the Herwarth manuscripts (page 42), Dr. Martinez-Gollner has suggested that the cancelled pieces may have been inaccurate or out-moded. Another suggestion is that the pieces so marked may have been copied into another manuscript, or may have served for a print, being cancelled as they were entered.

These explanation are not too plausible. The readings are exceptionally accurate and it is often the most old-fashioned pieces that remain uncancelled: intabulations of works by Senfl and Josquin in Gathering IV and ricercars reminiscent of those by the Petrucci generation of lutenists in Gathering I, such as Nos. 18, 28 and the "Nachleuffl." There is, moreover, a uniformity in the consistency of the ink used in crossing out the pieces. Folios 58v-59 have corresponding ink stains, caused when the diagonal lines, still wet, blotted on the opposite pages, thus suggesting that the cancellations were probably made at one sitting, after the fascicle was fully copied. This is evident from Nos. 34 and 35, ricercars by Marco and Francesco which form the youngest layer. Nos. 34 and 35 duplicate Nos. 15 and 21, but contain no significant variant readings to suggest that they were added as corrections: Nos. 15 and 34 are struck through, Nos. 21 and 35 are not. This suggests that a piece was crossed off when it was located in another print or manuscript in the owner's library. The anomaly of duplicated pieces, one crossed off in the main fascicle, the other, on a page of late additions confirms my supposition.

In the "De Rippe Fascicle," as we observed, pieces were

copied from a presently unknown Italian source, not from the Parisian editions. The pieces were later annotated, perhaps as the owner compared the manuscript with the print. Phrases such as "fantasia 8 dess Ersstz Buchs Alberts" are scribbled throughout the fascicle. Pieces in the Marco Fascicle may likewise have been checked against the contents of another manuscript or print and duplicate pieces cancelled as the owner inventoried the contents, so to speak.

If the cancelled pieces are listed in the order in which they may have been entered into the manuscript, an interesting succession of ricercars paired by mode results:

15	Recircar: Marco	G	
16	Recircar: Marco	9	
24	Recircar: Marco	F	
26	Recircar: Marco	F	
54	[untitled]	g Phrygia	n
29	[untitled: Marco?]	9	
31	Recercar: [Marco?]	d	
30	Recircar: Marco	D	
33	[untitled: Marco?]	F	

Likewise the uncancelled ricercars follow a succession of modes. It is significant that modulatory ricercars appear only among the uncancelled pieces. They may have been intended to serve as interludes for the section of dances in Gathering III, which also do not contain cancellations.

22	Recircar: Marco	G
18	Recircar: Marco	9
19	Recircar: Marco	B b-g
21	Recercar: Francesco	a Phr
23	Recircar: Marco	d-a
53	[untitled]*	g-d
28	Recircare: Marco	d
55	[untitled]*	G
50	[untitled]	F
66	[untitled]*	G
32	Re/car: Marco	· G
51	Recircare: Francesco	d Phr
67	Cossa spagnola	С

* Use "broken style."

In summary, I believe that the foregoing discussion, as extended as it has been, provides grounds for suggesting the various sources from which the scribe worked, and perhaps even the order in which they were copied from that source or sources. The Marco pieces may very well have been copied from a print, or two, or at least from another manuscript arranged in the manner of the usual Italian printed tablature, that is, by genre. Certainly there is evidence, discussed in the following chapter, that Marco dall'Aquila may himself have published some of his music, although aside from a privilege granted him by the Venetian Signori, there is no supporting documentation of any such prints ever being issued.

I would like to propose three books of works by Marco, my division being based on the cancelled ricercars and the apparent final, uncompleted layer:

Book I (Ricercars and Intabulations)

15 Ricercar senza canto	G	Marco
16 Ricercar	c-q	Marco
25 Ricercar	G	Marco
24 Ricercar	F	Marco
26 Ricercar	F	Marco (ca. 1536)
29 [Ricercar]	9	Marco?
54 [Ricercar]	g Phr	Marco?
31 Ricercar	d	Marco?
30 Ricercar	D	Marco (or Francesco)
33 [Ricercar]	F	Marco?
72 Las voulez vous	F	Vermont/[Marco]
73 Ala ventura	q	Willaert/[Marco]
97 Amour me voyant	9 F	Sermisy/[Marco]
99 Martin menoit	f	Janequin/Alberto
82 Je ne fais rien	F	Sermisy/[Marco]
85 Plus nulz regres	d	Josquin/Anon.
98 J'ay me le cueur	F	Sermisy/[Marco]
88 La rousée du moye de	may F	Willaert/[Marco]
89 Aupres de vous	Вь	Sermisy/[Marco]
90 Mauldicte soit	F	Sermisy/[Marcol
83 Contre raison	9	Sermisy/[Marco]
64 Nous bergiers	f.	T. Janequin/Marco

Book II (Ricercars and Popular Venetian Dances)

22	Ricercar	G		Marco
18	Ricercar	g		Marco
23	Ricercar	d-a		Marco
53	Ricercar	d-g		Marco?
28	Ricercar	ď		Marco
55	[Ricercar]	G		Marco?
50	[Ricercar]	F		Marco? or Francesco?
66	[Ricercar]	G		Marco? or Francesco?
32	Ricercar	G		Marco
51	Ricercar	d	Phr	Francesco
67	D'una cosa	spagnola C		Francesco? or Marco?
	Ricercar	8b-g		Marco
21	Ricercar	a	Phr	Francesco

- 36 11 Cara Cosas
- 37 [Passamezzo antico and saltarello]
- 38 5 Traditoras (one for lute duet)
- 39 Burato (for lute duet)
- 40 Bernardo non puol stare (lute duet)
- 41 Tocha tocha la canella
- 42 [Romanesca]
- 43 Dance: "Tu te parti core mio caro"
- 44 Pomo
- 45 Marchesa de San Luzo
- 46 Canalecha
- 47 [untitled dance]
- 48 Poverine
- 49 Bragantine

Book III (Ricercars and Intabulations)

68 Ricercar (caro a H. HE.)	ď	Marco
69 Ricercar	G	Marco
70 Ricercar	9	Marco
71 Priambolo	g-F	Marco
62 Amy souffrez	F	Sermisy/Marco
63 Il est bel & bon	d	Passereau/Marco
65 La Guerre	F	Janequin/Marco
95 C'est a grand tort	F	Sermisy/Marco
96 Maulgre moy	F	Sermisy/[Marco]

Perhaps as early, or even earlier, may be the source for intabulations by a German lutenist:

56 Mille regres	d Phr	Josquin/Anon. German
57 Per illud ave	f	Josquin/Anon. German
58 Nisi dominus	d	Senfl/Anon. German
59 Was wird es doch	F	Senfl/Anon. German
60 Il est jour	g	Sermisy/Anon. German
61 Cueur langoureux	ā	Josquin/Anon. German

And finally what must be the youngest pieces in the fascicle, the intabulations of Parisian chansons by Bernardo "N.", divided by layers:

92	Content desir	9	Sermisy/Bernardo
87	Voiant souffrir	Ğ	Jacotin/Bernardo
79	On en dira	f	Anon./[Bernardo]
77	Si une heur parfaict	C	Sermisy/Bernardo
	Las que crainis mon amy	G	Sermisy/[Bernardo]
80	Je n'ose estre content	D	Sermist/Bernardo
75	Doulce memoire	d	Sandrin/Bernardo
94	Qu'il vouldra savoir	g	Sandrin/[Bernardo]
78	Vous usurpes	g	Sandrin/[Bermardo]
	Content de vous	В́ь	Sermisy/[Bernardo]
86	Dictes sans peur	9	Sermisy/[Bernardo]
	Ce qui soloit	ď	Sandrin/Bernardo
84	Vaincre na peu	9	Sandrin/Bernardo
	Vaincre na peu		Sandrin/Dermardo
	Si mon malheur	9 G	Le Pelletier/Bernardo
	Changer ne puis	F	Lupi Didier II/ [Bernardo]

The two duplicate ricercars, and perhaps the "Nachleuffl," must have been added, as I have noted, after the fascicle had been completed.

34 Ricercar	G	Marco
35 Ricercar	a Phr	Francesco
17 [Nachleuffl] 27 [Nachleuffl]	g Bb∸g	Anon.
20 [Nachleuffl]	D-g	. Anon.

An examination of the various styles of lute music contained in the fascicle will assist in validating the above hypothesis and will allow us to remove some of the question marks and brackets.

Chapter VII

Marco dall'Aquila and the

Style Change in Early Music for Lute

The earliest identifiable music intended specifically for lute dates from the turn of the fifteenth and sixteenth centuries. During the latter half of the quattrocento, as is evident from iconographical evidence, a far-reaching change occured in right-hand technique. Bare fingers replaced the plectrum in sounding the instrument, permitting solo polyphony to replace the lute's usual function as single-line instrument. The waning

For an interesting and convincing argument that at least one piece from the Buxheim Keyboard manuscript, copied in the mid-1460s in Munich, is for two lutes, one a discant part, the other a tenor in two parts, see Fallows, "Fifteenth-Century Tablatures," 30-33, and below.

Reproductions of 15th- and 16th-century lutenists in solo and ensemble groups may be found in Dorfmuller, Studien, pp. 178-90 (with a discussion of the lute as an ensemble instrument on pp. 104-5); Danner, "Before Petrucci," 17; Heartz, "Mary Magdalene," 52-69, with plates between pp. 63 and 64; and Adler, Fach-Katalog, pp. 161-5.

That is, if bare fingers were always used. In a forth-coming article, Jessie Ann Owens and Paul O'Dette will comment on a recently uncovered description of a performance at Rome by Francesco da Milano, in which he played with silver picks attached to his fingers. Such picks are mentioned in 16th-century musical instrument inventories, but their purpose has here-to-fore been unrealized.

of the older style of play coincides with the appearance of lute tablature, perhaps the best practical notation in a day when score notation was rare. As a single line in an ensemble, the usual mensural notation in choirbook format had sufficed for the lutenist's music, and as a solo instrument the lute was usually played by the improvvisatore of narrative poetry. Manuscript lute music before about 1500 is, therefore, quite exceptional, although discoveries of previously little known or unavailable sources have increased recently.

Italian sources, or those with predominately Italian pieces, include the following:

- (1) Ca. 1490-1503: Pesaro, Biblioteca oliveriana, MS 1144

 (olim 1193), "Miscelanea di Tempesta Blondi." North Italian? Nea5
 politan and French tablatures.
- (2) Ca. 1500: Dologna, Biblioteca universitaria, MS
 596.HH.2/4. Neapolitan tablature (using "1" to indicate the open courses); southern Italian.

[Venice, 1505: Marco dall'Aquila applies to the Venetian Signori for a privilege to publish lute tablatures]

See Haraszti, "La Technique des improvisateurs," 12-31; and Prizer, Courtly Pastimes, pp. 3-4, 63-4.

⁵ See Rubsamen, "The Earliest French Lute Tablature," for discussion, facsimiles and list of contents; also Fallows, "15th-Century Tablatures," 10-18.

⁶ See Fallows, 18-28.

- (3) Venice, Petrucci, 1507: Francesco Spinacino of Venice,

 <u>Intabulitura de lauto</u>, libro primo and secondo.
- (4) Venice, Petrucci, 1508: Gian Maria Hebreo of Urbino, Intabulatura di Lauto, libro tertio [lost].
- (5) Venice, Petrucci, 1508: Joan Ambrosio Dalza of Milan: Intabulatura de lauto, libro quarto.
- (6) Venice, Petrucci, 1509/11: Franciscus Bossinensis, Tenori e contrabassi.
- (7) Ca. 1510-15: Paris, Bibliothèque nationale, Rés Umd. ms. 7
 27 (<u>olim</u>, Neuilly-sur-Seine, G. Thibault).
- (8) Ca. 1517: Chicago, Newberry Library, Case VM 140.C25:
 "Compositione di meser Vincenzo Capirola." Copied in Venice.
- (9) Venice, ca. 1520: <u>Cose amorose da cantar nel lauto</u>. 8
 [lost?]
- (10) Venice, Antico, ca. 1520: <u>Frottole</u> . . . <u>con tenori et bassi</u>.
- (11) Ca. 1532: Munich, Mus Ms 1511b, [Venetian dances].
 (For contents, see Chapter I.)

Nos. (7) and (8) are now available in a facsimile editions, Geneva: Minkoff, 1981, and Florence: Studio per edizione scelte, 1981. The Capirola book, with an important preface, has been transcribed by Otto Gombosi (Neuilly-sur-Seine, 1955).

Listed in Thibault, "Vincenti," p. 18. It may equal item (10).

- (12) Venice, Milan, Naples, 1536: First prints containing Francesco da Milano, Albert de Rippe, and Marco dall'Aquila.
- (13) Ca. 1539: Munich, Mus Ms 266, "Marco fascicle," copied in southern Germany, but probably containing works produced much earlier.

Joanne Orbo, a blind musician from Munich, is said to have first introduced the newer method to Italy in the late 1460s, and the two manners of play, plectrum and polyphonic, existed side-by-side for over a half-century thereafter. Gian Maria Hebreo, composer of the lost Petrucci book III, is sometimes credited for bringing the newer technique to Italy (being mistaken in some accounts, one thinks, for Joanne Orbo; both enjoyed the sobriquet "Allemanni"). Gian Maria was heard at Rome in 1523:

There was Zuan Maria zudio with his three companions, and they all played \underline{a} , he himself with the plectrum wonderfully.

Bartolomeo Tromboncino, a fine lutenist in the tradition of the <u>improvvisatore</u>, also used the plectrum, perhaps throughout

⁹ Sanudo, <u>I Diarii</u>, 34, col. 216.

10 his life:

He will still play with his sonorous lute, . . . His sweet notes, his lovely style, His lute, his plectrum, and his welcome voice, Still resounds in the ears of all.

Three plateaus of renaissance lute technique and musical style may be discerned during the course of the change from plectrum to polyphonic play:

- an age of virtuoso lutenist-improvisers,
- (2) the period of the lutenists contemporary with Petrucci's, whose polyphonic technique retained musical elements of the older style of play, and
- (3) a classical phase represented in Francesco da Milano's widely disseminated works that codified the indigenous style of polyphonic play through the time of Dowland, when lute technique succumbed to the fashionable style brise sur les accords nouveaux.

Philippo Oriolo, in his poem about musicians on Mount Parnassus (ca. 1519), provides a convenient working list of important
11
Italian lutenists of the mid-Renaissance. All might be counted,

¹⁹

Hieronimo Cassio, <u>Libro intitulato cronica</u> (1525), translated from Knud Jeppesen, <u>La Frottola</u>, I (Aarhus, 1968), 148-9, in Prizer, "Lutenists at the Court of Mantua," 7.

¹¹

The poem and identification of the various lutenists is given in Slim, "Musicians on Parnassus," pp. 155-63, with some corrections in his important article, "Gian and Gian Maria," 562-74. I have relied on Slim's identifications for many of the lutenists, but have provided additional information (where appropriate) in the list of players mentioned in the poem. Additional recent information includes the following: for Orbo, see below; Testagrossa has been dealt with extensively in Prizer, "Lutenists and the Court of Mantua"; Pietrobono, in Lockwood, "Pietrobono," esp. pp. 115-133; and for the correct identification of the lutenist known as "Zoppino," see Prizer, pp. 14-15.

nevertheless, among the most renowned of their day, judging from surviving accounts of their fame. That only a few possible candidates are missing from his list confirms the exclusivity of the 12 group. He parades his musicians before us, in near chronological 13 order, although a few may be out-of-step, so to speak. They are as follows, with a few others (marked with an asterisk) who might 13 have been included:

12

The most striking omission from Oriolo's list is Albert de Rippe, but Albert, if he was born about 1500, was but a youngster at the time of Oriolo's writing. All of the Petrucci lutenists are cited, except Franciscus Bossinensis (publ. 1509 and 1511), whose arrangements of frottole for voice and lute show very little creative effort, suggesting that he may have been but a devil in Petrucci's shop. Oriolo's acuteness in including Francesco da Milano and Marco dall'Aquila in his list, well before their music had appeared in print, seems to confirm the select club that he founded. Some lesser lights, who broke into print in the mid-1530s and 40s, are notably absent, and the rather mediocre quality of their surviving music seems to confirm their absence: Domenico Bianchini (by profession a mosaicist at St. Mark's). Melchiore de Barberiis (a Paduan priest and self-styled "sonatore eccellentissimo de lautto") and Giovanni Jacobo Albutio (a German pedagoque from Cleves, who seems not even to have earned renown in his adopted city of Milan).

13

Oriolo has continued the confusion about Gian Maria Hebreo and the elusive Giovan Tedescho. It seems hardly possible, according to the documents cited below, that Gian Maria, who published a book of lute music chez Petrucci in 1508, was a contemporary of Pietrobono, and Giovan Tedescho (if he is not identical to Giovanni Orbo) might have been a contemporary of Spinacino. I have altered Oriolo's chronological list to reflect these anomalies. Since he was writing about lutenists, long since departed, and known to him only by reputation, Oriolo's confusion is understandable.

Giovanni [Joanne] Orbo (fl. 1465-1501 in Munich, Mantua, Ferrara and Milan)

Pietrobono de Burzellis (1417-1498 in Ferrara and Hungary)

Gian Maria Hebreo (fl. 1492-1501 in Florence, Rome and Urbino)

Giovanni Angelo Testagrossa (1470-1530, active in Pavia, Milan, Rome, Parma, Urbino and Ferrara)

Francesco Spinacino (fl. 1507 in Venice)

Gioan [Janes?] Tedescho (fl. 1469-75 in Milan and Abbiategrasso)

Genovese [unidentified]

Marco dall'Aquila (ca. 1475-after 1537 in Venice)

Giovanni Ambrosio Dalza (fl. 1508 in Milan)

Bernardino Aldrati, "Zoppino" (fl. Mantua, 1511-after 1525)

X Vincenzo Capirola (1474-after 1548, active in Brescia, England [?] and Venice)

Francesco da Milano (1497-1543, active in Rome, Piacenza, Milan, Nice and Paris [?])

Gianetton (a fictional lutenist created to provide a foil for a dramatic entrance of Vindella?)

X Alberto da Ripa (ca. 1500-1551, active in Mantua, Rome and Paris)

Giovanni Antonio Vindella (fl. in Treviso; brother [?] of Giovanni Francesco Vindella)

The most renowned fifteenth-century plectrum lutenist is Pietrobono [da Ferrara] de Burzellis (1417-1498), for over a half-century one of the most remarkable musicians at the court of Dukes Borso, Leonello and Ercole II d'Este. His travels and activities are better documented than any other lutenist of his day.

His salary at Ferrara was considerable, indicating the esteem in which his patrons held him, and he achieved the rare honor (for a musician) of being named <u>cavaliere</u>. He is not only an important figure in the unwritten tradition of the <u>improvvisatore</u>, who performed narrative poems to the accompaniment of the lute, but is also known for his skill in playing in ensemble with other instrumentalists. Pietrobono performed regularly in team with a <u>tenorista</u>, one Zanetto Todescho in 1449 and after 1456, Francesco Malacise. No instrument is specified for the <u>tenoristas</u>, but a Janes Tedescho, who appeared at the Sforza court in Milan in 1469 and 1475 was accompanied by "suo compagno che sona la viola 14 [da mano?]." Johannes Tinctoris heard Pietrobono perform with a <u>tenorista</u> either in Naples (when Pietrobono traveled there in

¹⁴ Lockwood, "Pietrobono," 121, 123.

¹⁵ Barblan, "Vita musicale alla corte Sforesca," 806. Nino Pirrotta, "Music and Cultural Tendencies," 158, is probably correct in suggesting that it was a plucked viola that Janes Tedescho played. Tinctoris claims that in Italy and Spain the viola without a bow is more often used. This is the viola da mano mentioned in the title page of Francesco da Milano's 1536 Naples print. It is also specified in the Bottrigari Lute [recte: Viola da Manol Book in Modena, and in the very early tablature in Bologna, Biblioteca universitaria, MS 596.HH.2/4, among other places. It is the Italian designation for vihuela, causing confusion with the bowed instrument.

1473 with emissaries that accompanied Eleanor d'Aragona back to Ferrara as Ercole's bride) or in 1479 (when he visited Ferrara).

In <u>De inventione et usu musicae</u>, written probably between 1480 and 16

1484. Tinctoris mentions Pietrobono specifically:

The lyre is called the lute, which we use at feasts, dances, and public and private entertainments, and in this many Germans are exceedingly accomplished and renowned. Thus some teams will take the treble part of any piece you care to give them and improvise marvellously upon it with such taste that the performance cannot be rivalled. Among such, Pietro Bono, lutenist to Ercole, Duke of Ferrara, is in my opinion pre-eminent.

Antonio Cornazano, writing about 1456, described a performance by
17
Pietrobono of a "well ordered frotta:"

He sang to the cetra of modern love which he esteemed,
... and ran everything in semitones [recte: semifuse?],
always in proportions and syncopations, imitating the tenore
on his cantoni [recte: cantino, top string?]; ... he then

¹⁶

Translated in Baines, "Fifteenth-century Instruments in Tinctoris's," 24. Pietrobono's grandfather was apprently German (Lockwood, "Pietrobono," 116), and this, or the fact that so many musicians at the court of Ferrara were, may account for Tinctoris's suggestion. That he was from Brussels ("de Burzellis") is unfounded.

¹⁷

Antonio Cornazano, "Laudes Petri Boni Cythariste," Sforziade, canto vii, edited with commentary in Pirrotta, "Music and Cultural Tendencies, pp. 144-6. As Pirrotta cautions, Cornazano throws musical terms about with wild abandon, and his account may thus be suspect. For example, if Pietrobono "descended" with his left hand towards the rose of the instrument, then the pitch would have gone higher. If, however, Cornazano is referring to the right-hand motion toward the rose from the bridge (where the hand is usually expected to be rooted), the usual metallic, nasal sound of renaissance lute playing would gradually be replaced with sweeter and softer sonorities appropriate to Cornazano's description. If it is correct, the second suggestion carries important implications for renaissance lute performance practice. Unfortunately Cornazano's thrust was more likely towards floral parenomasia than technical description: "tal che fe' ponto in su la rosa, . . . la sententia era e fiori e non viole."

descended languidly to finish the piece, ending on a fine point near the rose, . . . and then, returning, as in an amorous circle, searched [cercare] out a buoyant dance.

This older style of Pietrobono is often contrasted with the 18 polyphonic manner. Tinctoris again:

Furthermore, others will do what is more difficult; namely to play a composition alone, and most skillfully, in not only two parts, but even in three or four. For example, Orbus the German, or Henri who was recently in the service of Charles, Duke of Burgundy; the German was super-eminent in playing this way.

Tinctoris names the viola da mano as the prefered instrument, as he continues:

While some play every sort of composition most delightfully on the lute, in Italy and Spain the viola without bow [viola da mano; vihuela] is more often used. On the other hand over the greater part of the world the viola with bow is used not only in this way, but also in the recitation of epics.

Nor must I pass over a recent event, the performance of two Flemings, the brothers Orbus, men who are no less learned in letters than skilled in music, named Charles and Johannes. At Bruges, I heard Charles take the upper part and Johannes the tenor in many songs, playing the viola in this way so expertly and with such charm that the viola has never pleased me so well.

Loc. cit.

¹⁸

Oriolo, whose list appears to be chronological, placed

Johannes Urbo first, followed by Pietrobono. In 1470 a Johannes

(Joanne, Giovanni) Orbo, a blind musician from the court at Munich

was in the service of Margherita di Baviera, consort to Federico

I Gonzaga of Mantua. A blind lutenist would prefer to play with

bare fingers rather than plectrum, it seems, and priority for

polyphonic play could be assigned to him. He is probably iden
tical with the Janes Tedescho who played lute to the accompaniment

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of viola at the Sforza court in 1469, since Orbo was clearly

there in 1468, as Sforza Maria Sforza reports in a letter

written to his brother Galeazzo Maria Sforza from Belfiore in

21

September:

Heri non andassimo in campagna, ma al disnare havessimo diversi piacere, de clavicembala, de liuti, ed buffoni et de Mag[ist]ro Zohanne orbo, qual dixe maravigliosamente, più de l'usato.

¹⁹

A similar situation exists with Conrad Paumann's supposed invention of German tablature. It is assumed that a blind person would hardly invent a system of notation, when actually German tablature would be ideally suited to the dictation of a blind lutenist, perhaps more so than any other type of tablature or musical notation.

²⁰ Cf. H. Colin Slim, "Gian and Gian Maria," 563.

Emilio Motta, "Musici alla corte degli Sforza," <u>Archivio</u> storiico <u>Iombardo</u>, 14 (1887), 283n.

Orbo may have fled to Mantua and Margherita di Baviera (his former patroness in Munich?). When Galeazzo wished to have "quel cieco miracoloso" with "tutti gl'instrumenti che sa sonare," Ludovico Gonzaga replied that it had been difficult enough to bring Orbo to Mantua. Orbo had developed an obsessive fear ("fantasia") that jealous Italian players might poison him, and he would eat only food prepared for him by Margherita. Galeazzo's was just one of a number of requests for Orbo in 1470. On three occasions King Ferdinand of Naples requested that "uno orbo musico de omne instrumento . . . dicto Joanne" be brought to the Neapolitan court, adding that the Duke of Bavaria had inquired about Orbo's conduct among his fellow musicians.23 Later Orbo may be traced to Ferrara and again to Milan. At Ferrara in 1473 for his performance of songs and poetry, he received a gift of woolen and silk cloth to make a mantle, and in 1477 at a banquet given by

²² Giulio Bertoni, "Il Cieco di Ferrara e altri improvvisa-

tori," Giornale storico della letteratura italiana, 94 (1929), 273-4.

The letters, dated 20 May, 6 and 21 July, 1470, are quoted in Antonio Bertolotti, <u>Musici alla corte dei Gonzaga in</u> Mantova dal secolo XV al XVIII (1890; rpt Bologna, 1969), pp. 8-9.

Ercole I d'Este for the Duke of Bari, a Sforza, he and another blind poet-musician Francesco Ciecho da Ferrara sang praises of the guests to the "iira"[=lute, according to Tinctoris]. The two performers were described as "ambidottissimi," a description which matches Tinctoris's mention of Johannes and his brother being "litteris eruditos." Orbo has left a number of poems, including a capitolo on the death of Mario Filelfo (son of Francesco?) and sonnets, including "Per alto mar con bianca barcha" and "Quando Madonna a sotto bianca veste." He may have been in Milan in 1475, since in that year a payment is recorded "al magistro Johannes Todescho sonatore de leguto, ed al suo compagno che sona 25 la viola."

Somewhat later, in 1510, Paolo Cortese ascribes to the Germans Balthasar and Gian Maria the first use of the polyphonic

Giovanni Rua cites some additional documents by Johannes Orbo in "Postille su tre poeti ciechi," Giornale storico della letteratura italiana, 11 (1888), 294-98.

²⁵ Barblan, Vita, p.806, note 2.

Paolo Cortese, De cardinalatu libri tres (Castel Cortesiano, 1510), fols, 73-73v, given in facsimile with a translation, in Pirrotta, "Music and Cultural Tendencies," 149-50, 153-4.

method, comparing their manner of play with the plectrum style of Pietrobono. He seems to have mistaken Gian Maria Hebreo
[Allemani], however:

Lutes . . . For those sure-fingered proceedings, now repetition, now stopping, now lessening and almost interlacing of sounds, are in the habit of creeping easily into the minds of men with their exquisite sweetness. Which genre, indeed, has been more knowingly revived into artistic perfection by our generation, and is acknowledged as the first genre of playing that can be praised for the way in which it is arranged and put together. They say that it was first established by Balthasar and Joannes Maria, both surnamed Germanus, so that the simple [simplex] repetition in the high region used by the ancients would bejoined by a connection of all single sounds from the lower region, and from the latter a combined symphony called sociata would flourish more richly. Before them, in fact, Petrus Bonus Ferrariensis, and those who derived from him, often availed themselves of the repetition in the high region [diminutions or variations?1; not yet was the [present] mode of harmonizing all the individual [sounds] known, by which the sense of the ear can best be filled with perfect sweetness.

Many claims have been offered for the lutenist most responsible for codification of the new style. As we shall see later, the two techniques worked hand-in-hand, and Marco dall'Aquila seems to have been, chronologically, ideally suited to 27 exert an influence on the new style.

In contrast to other lutenists of the Italian Renaissance, such as Joanne Orbo, Pietrobonc da Ferrara, Albert de Rippe, Gian Maria Hebreo, and even Francesco da Milano, Marco remains in obscurity, in spite of his fine reputation (Orbo even placed him

²⁷

Gombosi in his edition of the Capirola lute book promotes Capirola as a significant figure within this same time span, but Gombosi's extensive investigation failed to show that Capirola was known beyond his own circle, and Capirola's works, as virtuoso as they are, do not have the formal cohesiveness of Marco's.

29

on Mount Parnasus, as we have seen, while he was still alive).

We do not know his patrons, nor exactly where he was active, although presumably it was in Venice. Archival research will
doubtlessly reveal much more about him.

According to his cognomen, he came from Aquila, a city in central Italy in the Abruzzi, then within the Kingdom of Naples, or perhaps from Aquileia, a town in the Venetian Republic about 8A kilometers northeast of Venice. Marco was most likely born in the late 1470s or 80s and lived at least until 1538, since he applied for and received a privilege to print lute tablatures in 1505 (if the date given by Schmid is correct), certainly after he had gained his majority and had been granted Venetian citizenship, and since Pietro Aretino mentions him in a letter dated December 1537.

His period of productivity falls, therefore, within the

29

The name is variously spelled in the documents cited later:

Petition to Venetian Signori (1505): Marc Oriolo's poem (ca. 1519): Marc

Letter of Spataro (1524): Marcolini's print (1536):

Casteliono's print (1536):

Letter from Aretino (1537): Munich manuscripts:

Gerle's print (1552):

Marco da l'Aquila Marco Aquilan Marco de laquila

Marco da l'Aquila Aquilano (preface) Marcho da Laquila

Marco da Laquila Marco de Laquila Marco da l'Aquila

Marco da l'Aquila Marco da Laq[ui]la Marco de Laq[ui]la

MD. LA

Marx vom Adler

Since Marco apparently signed the petition, the first spelling should have preference, although I have adopted the spelling in The New Grove.

three decades between Petrucci's publications of 1507-11 and the appearance in 1536 at Naples, Milan and Venice, of works by Francesco da Milano (1497-1543), Marco's junior by about 15 years.

Although a few of Marco's compositions are reminiscent of Petrucci's lutenist-composers, most of his works (especially those published by Casteliono in 1536) are mature examples of the point-of-imitation and dialogue style that Francesco brought to a "classic" phase. Marco prefers the repetition structures of the frottola and Parisian chanson to the traditional continuously-evolving form that characterizes lute music under the spell of plectrum technique and 15th-century successive composition, with its typical ambling lines. He is without doubt a composer of importance.

In the preface to the collection of works by Francesco da
Milano issued in May 1536 at Venice, Francesco Marcolini da Forlí
placed Marco in company with Francesco da Milano and Albert de
Rippe seemingly contrasting him with the older composers, Josquin
des Prez, Gian Maria Hebreo and Giovanni Angelo Testagrossa,
impressive company, indeed. The preface is worthy of complete
30
quotation:

Francesco Marcolini, ai musici

Francesco Marcolini to the Musicians.

Gentle souls, even though all wind instruments and all strings, by holding the quality of harmony which is in the

Translation after Mixter, "Two Venetian Lute Books," pp. 11-12.

spheres, while they move the hearers, are sweet, the tenderness of the sound which is born of the lute when played by the divine fingers of Francesco Milanese, Alberto da Mantua and Marco da l'Aquila, by making it felt in the soul, moves the senses of him who hears it. And because of this the singing formed by another throat increases so much in the sweetness which is given to it by nature and art when it is united with the sound of the lute that the world is in great obligation to Fossombrone [Petrucci], inventor of the printing of tablatures in the manner in which they are published in books. But [as] they become very old and our age more cultured (since Josquin, the Count Gian Maria Hebreo, Testagrossa, Taddeo Pisano and similar people have created a school, [and] have veiled the fame of his name), [Petrucci's anthologies] are known only as compositions at that time much praised.

The unique virtue of the three named above causes all beautiful intellects to fall in love with them, desirous of imitating them. But the accomodation has been lacking, the accomodation which Fossombrone knew how to provide, since no one knew how to find the way used by him. The numbers and notes of the sound were carved in copper and in wood with great loss of time and much expense.

But I, who repose after having grown tired in the service of the virtuous, have put my foot beyond others on the path that he made so secret that he did not think that it would be violated by anyone. But from this point it pleased God that he had given a sign to my industry; in the second print I will give not only the flowers of the Milanese, the Mantovano and the Aquilano, but also what there are of good things by any other man in this profession. I will also give a volume of masses, one of motets and one of madrigals composed by the very celebrated genius of the stupendous ADRIANNO, before whose knowledge all knowing people bow. If it pleases you, remember afterwards that the efforts of my diligence will give us the way of making ourselves, such as they are, the greatest lights of music and of sound.

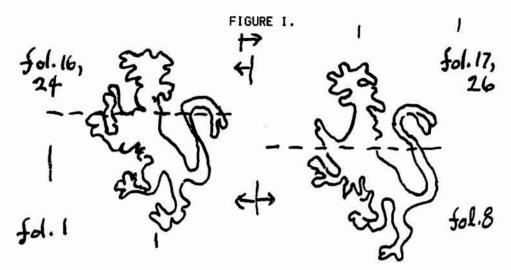
Apparently Marcolini's business sense (he advertises a forthcoming tablature devoted to the three composers, and good things by others) caused him——to include Marco with the generation of Francesco and Albert. The composers represented in the proposed print remind one of Casteliono's book published in Milan in the same month, where (however) Marco's works are even more upto-date than the pieces of Francesco printed by Marcolini. He

also promises books of masses, motets and madrigals by Adrian Willaert, of which only the volume of masses is known. The promised anthology of "flowers of the Milanese, the Mantovano and the Aquilano," if it ever appeared under Marcolini's imprint, is otherwise unknown. Marcolini seems to suggest at least some contact with Willaert, and one might wonder if he had similar connections with Marco dall'Aquila.

The volume may not have appeared due to problems in printing lute tablature: Marcolini mentions experimentation and one experiment superseding the dated and signed 1536 print exists. It employs copperplate engraving, the first known practical source to do so. The result is rather crude in comparision to type-set tablatures, and he encountered registration problems in the 31 double-impression process of the signed Francesco print. The watermark in the unsigned print, which I have been unable to

See my Francesco edition, plates 4 and 5, and page 12, note 26.

identify, is a rampant lion, suggesting Venetian paper:



Marco also apparently engaged in publishing music, or had the intention to do so. In May 1498 Petrucci had received the exclusive privilege of printing "canto figurato" and "intabolature d'organo e de liuto" for 20 years in the Venetian dominions, pointing out that he had perfected a method for printing music. The privilege appears to have remained in effect for its full term, since no other printer is known to have issued music in Venice before 1520, when Andrea Antico succeeded to Petrucci's priviledge. Marco dall'Aquila was, however, also

granted a privilege in 1505 as a result of his petition:

Serenissimi Principe ejusque Sapientissimo Consiglio Humiliter supplica et servitor de la Sublimità Vostra Marco da l'Aquila cum sit che cum grandissima sua fatica et spesa non mediocre se habili inzegnato a comune utilitate de quelli che se delectarono sonar de Lauto nobilissimo Instrumento pertinente a Vary Zentilhomini far stampar la tabullatura, et rason de metter ogni Canto in lauto cum summa industria, et arte; et cum molto dispendio de tempo, et facultade sua: la qual opera non mai e sta stampata: Se degni la Illustrissima Signoria Vostra concieder de special gratia al prefato supplicante vostro fidelissimo: che alcun chi esser se voglia si in questa Cita de Venetia, come in tutte altre terre, et lochi nel Dominio de la Sublimita Vostra non adisca, over prosama far stampar alcuna tabullatura de lauto de alcuna sorte, nec etiam se alcuno la stampasse extra ditionem Illustrissimi Dominii Vostri, possi quella vender, over far vender in questa Cità ne altrove nel predicto Dominio, sotto pena, si a quelli, che la stampas-

³²

Venice, Archivio dell'Ex-Venetia Cancelleria Ducale, Registro Notatorio XXIII, 1499-1506, fol. 141, quoted in Anton Schmid, Ottaviano dei Petrucci da Fossombrone (Vienna, 1845; rpt. 1968), pp. 12-13, and in BrownI, pp. 11-12.

seno in le terre de la Sublimità Vostra, come a quelli la conducesseno a vender in ipse terre de perder irrimissibiliter le loro opere et libri tabullati, et per caduano de qulli stampati, over vendutti pagar Ducati X. Il terzo de la qual pena sia del accusator, un terzo de quel rector, over Magistrato a chi sara facta la accusa, et l'altro terzo de epso supplicante aciò el possi cum tal gratia de Vostra Celsitundine continuar a vender le ditte opere et libri tabullati, et utilità che cum tanti sudori, et vigilie el prefato fidelissimo supplicante se ha acquistato, et questa prohibitione se intendi valer per anni X. come in similibus ad altri e sta concesso: ai piedi de la qual Sublimità Vostra humiliter se ricomanda.

Die 11 Martii 1505

Infrascripti Domini Consiliarii intelecta suprascripta suplicatione terminaverunt quod suprascripto suplicanti, fiat quod petit.

> Ser Franciscus Barbadico Ser Nicolaus Foscareno Ser Marcus de Molino Ser Andreas Gritti

Most Serene Princes and Our Most Wise Council

Humbly the petitioner and servant of your grace, Marco da l'Aquila, from here, who with the greatest of his ability and at no modest
expense has (to the mutual usefulness of those, including various
gentlemen, who delight themselves by sounding the most noble instrument, the lute) taught himself to print tablature, and for that reason
how to put certain songs to the lute with the greatest ability and
art. And without much outlay of time and his faculties, such works

might never otherwise be printed, may our most illustrious Signory be pleased to concede the special privilege to your most faithful aforesaid petitioner: Since some who may aspire, as in this city of Venice, as in all other lands and places in the dominions of your unwavering grace, or if some want to print some lute tablatures of any sort, or even if some printed goods from out of your most illustrious dominions, thus be sold, if made to be sold in this city or elsewhere in the aforesaid dominions, under penalty for such, that those printers in the territories of your grace, lose without recovery such works and intabulated books, and for trafficing in such prints, if sold, pay ten ducats. A third of which fine shall go to the accuser, a third to the rector or magistrate before whom the accusation is made, and the other third to the petitioner, in order that the possesor of your benefice's privilege may continue to sell the said works and intabulated books, and thus gain from that which the aforesaid faithful petitioner has himself acquired with much sweat and vigilence. And that this prohibition shall have effect for ten years, similar to others which have been awarded. At the feet of your grace it is most humbly requested.

On the 11th day of March 1505.

Petrucci's delay in taking advantage of the 1498 privilege may have first prompted Marco's petition, and it, in turn may have spurred Petrucci into issuing the Spinacino book in March 1507, almost exactly two years following Marco's privilege. Perhaps Petrucci challenged Marco's privilege. He certainly did so in 1513 when Jacomo Ungaro was granted the right to print music. On that occasion Petrucci protested and his exclusive right was restored to him and even extended for an additional five years.

Marco is also mentioned in a letter dated November 10, 1524, to the Venetian organist Marc Antonio Cavazzoni from Giovanni Spataro. It provides a quotation that has sometimes been used to suggest that lutenists were unqualified to make musical—theoretical judgements. Marco, called "a mere strummer of instruments," is considered less than qualified to comment on theoretical matters best left to the more serious "musician." Spataro does continue, adding that Marco is an "a man of high intelligence," but dismisses his possible knowledge about the 33 "diapason superflua."

³³

In an aside, it might be appropriate to remark that it is at this time that lutenists, in particular, began to seek the acoustical foundations for an equal temperament which would suit their instrument. And, of course, Gorzanis provided a practical example with the pieces in Munich, Mus Ms 1511a. The early attempts at a theoretical basis for equal temperament on the lute, as described by 16th-century lutenists, has been the subject of several recent articles, including Lindley, "Luis Milan and Mean-Tone Temperament."

Returning to Spataro, his letter reads,

And if you wish to have clearer understanding of this excessive octave, look to Messer Pietro Aron to whom I wrote comprehensively with regard to this composition by Adriano several days ago; from which Messer Pietro I have had answer that His Excellency has discussed my ideas with a certain Maestro Marco de l'Aquila, a worthy lute player, living there in Venice, and a man of high intelligence. And he says he found that I say the truth—from which affirmation of my writings I derive little joy, though, for it seems strange to me that a musician Itheoretical thinkerl should go in search of the light of understanding to a mere instrumentalist ["uno pulsatore de istrumento"]. Well, let us not pursue this further. But I return to my statement that according to my weak judgement Messer Adriano does not merit as much praise as Your Excellency says.

Pietro Aretino provides a contrasting view to Spataro's 35 suggestion about "strummers." He writes,

Nor do I rvel if someone of your quality listens to the printed bar lings of others, because even Francesco Milanese [=Francesco da Milano], Alberto da Mantova [=Alberto da Ripal and my Messer Marco da l'Aquila take pleasure in listening to the strummings of a barber's lute.

³⁴

After the translation, with original text, in Lowinsky, "Adrian Willaert's Chromatic 'Duo' Re-examined."

35

Quoted in Slim, "Francesco," p. 75.

It is from this letter to Paolo Manuzio, dated Venice,
December 9, 1537, that we may conclude that Marco was alive at
least until the end of 1537, living at a crucial moment in the
emergence of a new and far-reaching instrumental style of
composition.

For several decades the plectrum and polyphonic techniques existed side-by-side. Pietrobono da Ferrara (1417-1497) was certainly the most eminent practitioner of the ancient "simplex" manner, his particular skill being in improvising diminutions to the accompaniment of a "tenorista," a second player on the viola da mano. The tenorista seems sometimes to have played in the polyphonic manner, and we have noted that on one occasion it may have been Johannes Orbo, himself.

I would like to sample some Italian lute music from this critical period in its development, that is, from earliest surviving examples in the 1490s through the generation of Francesco da Milano (1497-1543), the composer whose widely disseminated works are most responsible for codifying what remained the indigenous style of lute music for almost a century after his death. A vital element is, I believe, the interaction of the older tradition of plectrum playing and the newer polyphonic manner. For our purposes I shall draw examples from three generations of lute music. This approach to Marco's music by means of a discussion of lute technique will serve as a point of reference, particularly since his output bridges a crucial juncture in the history of European lute music.

The first generation is, of course, that of the lutenistcomposers represented in Petrucci's four books of solo lute music
published at Venice between the years 1507 and 1508 (Joan Ambrosio
Dalza, Francesco Spinacino and Gian Maria Hebreo). Gian Maria's
book remains unfortunately lost (there was a copy in Herwarth's
collection), and its loss is especially lamented here, since in
addition to his pre-eminence in the polyphonic manner, Gian Maria
appears also to have continued using the plectrum for ensemble
performance until as late as 1523. Perhaps his music has survived
among the many anonymous works still remaining to be identified,
such as the prelude transcribed from the Phalèse print of 1547
(See MUSICAL EXAMPLE 16, below.).

The decades between Petrucci's publications and the first appearance of Francesco da Milano's music is represented only by manuscript sources, the most famous being the lutebook of Vincenzo Capirola dated about 1517. The most important figure of this second generation is, however, Marco dall'Aquila, active at Venice until 1537 at the latest. The Venetian Signoria granted him a privilege in 1505 to print music for ten years in competition with Petrucci. Although no prints are known to have been issued under this privilege, the "Marco fascicle" contains works which, as I have argued, may come from a lost print.

The third generation is that of Francesco da Milano. His some 130 extant works first appeared between 1536 and 1548, and

were continuously printed and copied on the Continent and in England until the first decades of the 17th century, a remarkable span of currency for a renaissance composer, testifying to the effect that his music must have had on contemporary lutenists.

Numerous lute publications and manuscripts explain lute 36 technique, intabulation practices and musical rudiments. In the discussion I have relied for the most part on secondary sources, although the following fairly comprehensive list provides materials for additional study in manuscripts (for prints before 1600, see BrownI.):

ITALIAN

Pesaro, Biblioteca Oliveriana, MS 1144 (c1490-1520). Instructions in the form of sonnets and diagrams for tuning the lute.

Chicago, Newberry Library, "Capirola Lute Book." Information on ornamentation, fingerings, selecting strings, tenuto playing, etc.

Florence, Biblioteca nazionale centrale, MS Magl. XIX.106 (dated 1608 and 1609). Includes section entitled "Regole di musica."

Paris, Bibliotheque Nationale, MS Res 941 (dated 1609, 1616). Instructions for tuning the lute, starting with the inner courses.

³⁶

These have received some study recently, including Dorfmuller, Studien, 45-67, Amos Nelson, "Lute Practice in Germany," Paul Beier, "Right-Hand Position," Mark Southard, "Sixteenth-Century Lute Technique" Smith, "Waissel," and Gombosi, ed., Capirola. A comprehensive examination remains yet to be written.

GERMAN

Munich, Universitätsbibliothek, 4/Cod. mus. 718: Mathematik- und Tabulaturbuch of Jörg Weltzell, dated (in music section) repeatedly 1523, 1524. Tuning, intabulation, solmisation and mutation, etc., for lute and viol.

Berlin, Deutsche Staatsbibliothek, MS 40154. Contains instructions for tuning the lute starting with the inner courses. (ca. 1540)

Munich, Bayerische Staatsbibliothek, MS 1512. Instructions on fingering, tuning, selection of strings, fretting and rudiments of music.(ca. 1540)

Berlin, Deutsche Staatsbibliothek, MS 40588. Pieces arranged in progressive order (strummed to "colorierte"); instructions on tuning and diagram of fingerboard, dated 1552.

Ibid., MS 40632. At end of MS: instructions for intabulating vocal music, and for stringing and tuning. (ca. 1550)

Basle, Oeffentliche Bibliothek der Universität, MS F.IX,23: "Liber Ludovici Iselin." (1575). Instructions modelled after H. Newsidler.

Karlsruhe, Badische Landesbibliothek, Sammelband Mus Bd A 678. (fols. I-V): Latin instructions for intabulating vocal music. (Greek terms are used to label three tables showing equivalencies between German lute tablature and mensural notation [it is not, pace Boetticher, an "Uebersicht der altgriech. Tonlehre"]; (at end): short instructions for tuning three lutes in ensemble.

Wolfenbuttel, Herzog-August-Bibliothek, MSS Guelf 18.7-8 (Hainhofer) Instructions on reading French, Italian and German tablature systems; treatise on playing after Besard (1603).

Berlin, Deutsche Staatsbibliothek, MS 40143. Includes instructions for tuning four lutes.

Prague, Hudební oddelení narodniho musea, MS V.C.25. Explanation of French tablature and instructions for tuning, and an arrangement of "Puer natus in Bethlehem" in German tablature with chord-by-chord explanation of its fingering.

Berlin, Deutsche Staatsbibliothek, MS 40141 (at end:) Instructions on tuning and playing based on Besard (1603).

Leipzig, Musikbibliothek, MS II.6.23. Contains explanation of French, German and Italian tablature and instructions for tuning.

London, British Museum, Sloane MS 1021: "Stobaeus Lutebook." Includes two treatises on lute playing, one after Waissel, the other with instructions for playing in the newer manner. [This treatise is well deserving of a complete critical edition.]

Prague, Universital knihovna, MS II Kk 51: "Fundamenta der Lauthen Musique und zu gleich der Composition." [17th century]

Vienna, Oesterreichische Nationalbibliothek, MS 17706. Instructions in French and Italian for tuning the lute. [17th century]

Lund, Universitetsbiblioteket, MS Wenster Litt., G. No. 37. Four pages of instructions on fretting lute, tuning and fingering. [17th century]

Warsaw, Rps 38 (olim 2003): includes short "Unterweisung für Anfänger", included also in also in Rps 36. [18th century]

Wrocław, Biblioteka Uniwersyteka, MS Mf 2002 (one of the Kniebandl lute books). Four-page instruction for playing lute. [18th century]

London, Additional MS 31698. Includes instructions for playing lute or theorbo.

FRENCH

Basle, Universitats Bibliothek: MS F.IX.53 (c1630). Instructions for tuning.

Vescul, Bibliotheque de la ville, MS 711, MS 698 (olim 9287). Second volume contains instructions for tuning the lute, signed A. Petris.

Paris, Bibliotheque Nationale: MS Vm7 (Monin Lute Book). Instructions for playing basso continuo on lute. [17th century]

Bartolomi, Michel Angelo: <u>Table pour apprendre basse-continue</u> (Paris, 1669). A manuscript version of the treatise is in Paris, Bibliothèque Nationale, MS frc 9368 (3).

Besancon: Bibliothèque Municipale, MSS 279.152-3. Vaudry de Saizenay MS. Includes three-page instruction on thorough bass playing on lute or theorbo.

ENGLISH

Glasgow, University Library MS R.d.43 (Euing Lute Book). Instructions for playing thoroughbass on the theorbo, ca. 1680.

Woodford Green, Private Library of Robert Spencer: "The Margaret Board Lute Book". Includes an explanation of the gamut in Dowland's hand and a table of graces, and the "Burwell Lute Tutor," both now available in facsimile editions by Boethius Press (Leeds, 1981).

In playing the lute the right hand is held near the bridge with the small finger supporting the hand on the sound-board.

Waissel explains (Smith, p. 69):

You should place the right arm at the base of the lute, so that the hand is stretched somewhat lengthwise, with the little finger resting firmly on the top of the lute.

To pluck the string, the thumb strikes downward under the fingers, and the fingers, upward over the thumb in fist-clenching-like movements (Gombosi, p.88):

And the thumb of the right hand should be placed under the second finger so that one finger [i.e., the index] does not meet the other [the thumb does not get in its way] in beating the strokes, one up and one down, etc.

et il deo grosso de / la ma[n] destra fa ch[e] sia sotto al secondo, et a[ue] sto asio no[n] se scontri uno deo co[n] laltro, nel bater de le bo- /te, una in su laltra in zo, et c[etera].

This thumb-finger, under-over alternation, is the basic and most important technique of lute playing. It is particularly evident in the many running, scale passages which color so much music for the lute. It seems entirely possible that the technique developed from attempts to mold the single-line plectrum tradition to polyphonic performance.

What practical examples do we have of the 15th-century style of lute music for soloist (presumably with plectrum) and tenorista? David Fallows has suggested one example from the Buxheim organ book (see footnote 1). The aere venetiano also provides appropriate models of the style. These three-voice pieces with a very highly ormamented discant were apparently very popular around 1460, and

required especially trained performers. MUSICAL EXAMPLE 1 from Walter Rubsamen's article on the "Justiniana" well illustrates the genre. The discant with its range of an octave and a fifth is very much reminiscent of the early Spinacino and Dalza duos: a fairly simple tenor part supports a discant with elaborate divisions extending from one end of the tessitura to the other. The syncopations and change of meter remind one of Cornazzano's description of Pietrobono's performance (quoted above) where he "ran everything in [semifuse], always in proportions and syncopations," and Cortese's of the "simple repetition in the high region used by the ancients." Rubsamen's example shows the unelaborated version as appearing in Escorial, Ms IV.a.24, and the elaborate version published by Petrucci in his sixth book of frottole, where additional examples appear.

MUSICAL EXAMPLE 1.



MUSICAL EXAMPLE 1 (Cont.)



As the intabulation given in MUSICAL EXAMPLE 2 shows, the two lower parts seem particularly suited to the lute. The part lies in the first position and there are a goodly number of open strings in use, with even the lute tuning of the bottom three courses being outlined twice (see measures 4 and 19).



*Neapolitan tablature

Spinacino and Dalza published lute duets in a style similar to the <u>aere venetiano</u>, in which one instrument plays single-line diminutions from one end of the instrument to the other, often so skirting the notes of the original upper part that they become lost within tumbling roulades, while the second lute (significantly labeled <u>tenor</u>) plays an unadorned intabulation of the vocal model, or its lower voices. (See, EXAMPLE 3, "Je ne fay," arranged by

Francesco Spinacino, <u>Intabulatura de Lauto</u>, Libro Primo [Venice: Petrucci, 1507], fol. 21, concluding measures.) This style of running counter-point is current throughout the century, and additional examples may be found practically everywhere, including the "contrapuntos con molta glosa" in Berlin/Cracow, Mus ms 40032, Francesco da Milano's Spagna for two lutes, and similar pieces in the "Cavalcanti Lute Book" in Brussels.

MUSICAL EXAMPLE 3



Similar coloration is applied to arrangements of vocal music and ricercars for solo lute. (See MUSICAL EXAMPLE 4A and B, Joan Amrosio Dalza, "Tastar de corde," <u>Intahulatura de Lauto</u>, Libro Quatro [Venice: Petrucci, 1508], fol. 6.) Such running figures remain a basic component of lute music throughout the century,

MUSICAL EXAMPLE 4A





becoming with Giacomo de Gorzanis and Antonio Terzi almost manneristic. (See EXAMPLE 5. "Passemezo antico primo," Giacomo de Gorzanis, <u>Intabolatura di liuto</u>, Libro primo [Venice, Gardano, 1561], sig. A2, opening measures of various partes; and MUSICAL EXAMPLE 6, from Palestrina, "Vestivi i colli," arranged for two

MUSICAL EXAMPLE 5



lutes by Giovanni Antonio Terzi, <u>Intavolatura di Liutto</u>, Libro primo [Venice, Amadino, 1593], pp. 19-20. G and C tunings. Terzi does not show right hand fingerings.)

MUSICAL EXAMPLE 6



Notes struck upward with the index finger are indicated in the origina! tablature and on these transcriptions with dots.

(German tablature uses an upward hook on the rhythm sign to indicate the same thing.) Most books explain the dots, as does Petrucci in a forward published in all of his lute books, 1507-11 (Translation from Snow, "Spinacino," II, [unpaginated introduction]):

Item nota che tutte le botte sonno senza ponto de sotto se danno in giù: e quelle dal ponto se danno in cù: excepto quando sonno più de una se pizzichano non essendo de sotto el ponto che bisogna darle tutte in sù.

Note moreover that all the strokes without dots below are performed downward, and those with the dot, upward. Exception: when there is more than one that is plucked not having the dot below, take all in an upward direction.

(Petrucci does not, however, always follow the practice of not giving dots when more than one note is sounded, and will indicate chords to be sounded upward with the index finger. This is a notational practice that I find only in early printed and manuscript tablatures. See the discussion of dance rhythms, below, for a few examples.)

Waissel's explanation is similar at the end of the century (Smith, p. 70):

In coloraturas, only the thumb and index finger are used, one after the other, the thumb striking downwards and the index finger upwards. Yet sometimes at the beginning of the coloraturas the strokes are broken and you must first strike downwards with the thumb and afterwards upwards with two or three fingers.

The thumb strikes downward on the "strong" portions of the beat, producing an effect not unlike that of the downbow of a string instrument, although, most certainly, good players of plucked and bowed instruments attempt to minimize any overly uneven accents thereby produced.

Melchiore de Barberiis in 1546 prescribes that when dots are lacking in a tablature, the first note of diminutions is played with the thumb and that the note immediately before a chord is struck upward with the finger in order to allow time for the thumb

to "accomodate itself" in preparation for the following chord (Beier, p. 9-10; the bracketed information is Beier's):

. . . and if you find a book which lacks the dots, note this rule: if the minute are an odd number, the first must be played downwards, following the order of one stroke upwards and one stroke downwards, those that go upwards always with the finger, those that go downwards with the thumb in sequence. . . Iso that I the last stroke before the picego [strong beat at the beginning of a bar? I must be played upwards.

Item quando trouasti qualche libro falat o nelli ponti, Nota questa regula se leminute son disparo la prima si debbe dare in suso. Et se le minute son paro la prima darai in giù, seruando l'ordine una botta in su, & una botta i giù, & sempre quella che uanno in giu con el dito, & quella che uanno in giù con el diti di sotto sequente.

Da Crema's instructions (also 1546) are similar, and mention the upward stroke by the index fingers, and the "picigate" or "picego," which I take to mean simply tactus, although bar line also seems possible.

Et se ui sarrano alcuni punti scritte & postui di sotto alli numeri, sempre doue sia scritto il puntini, se du toccare in susu, & doue sia non si troueranno puntini, toccherate col dito grosso, ingiù. Così etiam che se trouaste nelle picigate li punti, se du toccare con LI DITI [my caps] da basso & lassar il grosso per quel colpy & non guardare che siano una per mezzo all'altra. Il che si fa per commodita percioche cercando il comodo de le ditti, & del Liuto, meglio si sona & più ageuolmente."

(Perhaps the practice of the thumb sounding notes immediate—
ly after the "picego" might be in fact be an explanation for bar
lines in lute music, particularly when the text contains no
fingering dots. Bar lines are, of course, rare in other renais—
sance music.) This may account for the irregular bar lines in the
first prelude in Attaingnant's <u>Tres breve instruction</u> (which
contains mostly unbarred tablature.)

Chords usually require a similar thumb-fingers, under-over movement. If the number of ciphers does not exceed the available fingers (that is, thumb plus two or three fingers), each note was usually plucked with a single finger. Although somewhat late, Waissel suggests (Smith, p. 70):

If the formation has four voices, pluck it with the thumb, index finger, middle finger, and ring finger. If the formation has five voices, pluck two strings at the same time with the index finger. If the formation has six voices, pluck two with the thumb and two with the index finger, and pluck the others with the other two fingers.

Chords of five or more notes may also be strummed with the thumb in one continuous downward motion, particularly in dances. Even four-note chords should be strummed in "Durchstraichen" according to Judenkunig (1523), if the notes fall on adjacent courses:

Ausserdem muss man dich auf merksammachen, dass du die einselnen Saiten der Buchstaben und Ziffern, soviel reihenweise unter den rhythmischen Zeichen stehen, mit je einem Finger besonders berührst und anschlägst (falls sie nicht etwa die Zahl der finger der rechten Hand überschreiten) oder, wenn es mehr als vier sind und die Zahl der finger uberschreiten, indem du mit einem Daumenschlag zugleich streifst. [Introductio, ca. 1515]

Und wann du die Tenntz lernen wild / so steen offt vier oder fünft puechstaben oder Ziffer vber ainander / die straiff mit dem Dawmenschlag durch auss. [<u>Underweisung</u>, 1523]

Surprisingly the strumming technique does not survive in Italy to any great extent beyond a few dances. In Dalza's book some saltarellos and pivas require strumming throughout, as in MUSICAL EXAMPLE 7A and B, a saltarello and piva (alla Venetiana) (Dalza, fol. 17-17v):