



UCOMPLIANCE NEWSLETTER

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IN THE NEWS

University of California, Santa Barbara

Two head coaches failed to maintain open lines of communication with UCSB's compliance staff. That failure to communicate resulted in a violation in both the track and field and men's water polo program.

The track program violated CARA rules over a period of two and a half years. The head coach (HC) required student-athletes to log their workouts during periods of time when CARA is prohibited (vacation periods & outside the playing season). The HC monitored these logs, provided feedback to student-athletes and disciplined student-athletes who did not submit their voluntary workout logs. Due to this involvement by the head coach, the training activity was not initiated or requested solely by the student-athletes, and his conduct converted the voluntary activity into impermissible CARA.

The violations in the men's water polo program centered on impermissible recruiting inducements and extra benefits for two elite international student-athletes. The HC impermissibly facilitated housing for one of the student-athletes who arrived in Santa Barbara prior to his initial enrollment at UCSB. This led to other impermissible recruiting inducements for the student-athlete, including free meals and transportation. Both elite athletes were employed by a local sports club co-owned by the head and assistant coach, and provided a monthly stipend that was equal to the exact amount of their rent. The stipend amount was significantly higher than the rate paid to other employees performing the same, or similar job duties at the club. The club also paid the two-international student-athletes for work not actually performed. Student-athletes may be employed but must be paid the "going rate" for the service they're providing and for work actually performed.

Penalties included: two years of probation; post-season ban; \$5,000 financial penalty plus a reduction of each programs budget by one percent; scholarship reductions for the next two years; recruiting bans; a two-year show cause order for the men's water polo head coach; a one-year show cause order for the track & field head coach as well as the assistant men's water polo coach; the track program had to reduce CARA by four hours/week for all track & field/cross country student-athletes; and the men's water polo program had to vacate records for the period of time that student-athletes competed while ineligible.

COMPLIANCE MVP



This month's MVPs comes to us from Athletic Training and Strength & Conditioning!

The Compliance Office would like to thank Natalie Fontela and Trey Watson for their support and help with the Compliance Office.



SELLING OR EXCHANGING AWARDS

Student-athletes that receive participation awards (letter awards, senior awards, ACC, or NCAA awards) **may not sell or exchange their awards for anything of value.**

Awards provided to student-athletes may not include cash, gift certificates, gift cards that are redeemable for cash, a cash-equivalent reward, and/or a country club/sports club membership. **Awards cannot be distributed until the conclusion of each sport's championship segment.**

All awards must be submitted to Compliance for approval using the Participation Awards Form.

The awards form can be found **HERE**.



PARTICIPATION AWARDS LIMITS

TYPE OF AWARD	MAX VALUE	# TIMES MAY BE RECEIVED	AWARDING AGENCY
Annual Participation - Underclassmen	\$225	Max limit per academic year	UM
Annual Participation - Graduating Senior	\$425	Max limit per academic year	UM
Participation in ACCs	\$375	Once per event (per year)	UM + ACC combined value
Participation in NAAs	UM - \$375 NCAA - No limit	Once per event (per year)	UM NCAA
Participation in Bowl	UM - \$400 BOWL - \$550	Once per event (per year)	UM Bowl Mgmt

UPCOMING NLI SIGNING DATES

Football (Early)

December 18 - 20, 2019

Football (midyear JUCO)

**December 18, 2019 -
January 15, 2020**

OUTSIDE COMPETITION

A student-athlete may compete outside their team's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during official vacation periods.



Participation in outside competition must be approved by the Compliance Office prior to the event taking place.



Please remind your student-athletes that in order for Compliance to approve their participation in outside competition, the student-athlete must complete an outside competition form.

The Outside Competition Form is available [HERE](#) and on Teamworks.



WINTER VACATION PERIOD

FINAL EXAMS: December 5 - December 11

WINTER BREAK: December 12 - January 12

ON-CAMPUS HOUSING:

Closes December 12 @ NOON

Closes December 13 @ NOON (commencement participants)

PER DIEM ALLOWANCES

Over the winter vacation period, teams are able to provide per diem OR a meal to student-athletes, but not both and only on dates when they're required to be on-campus for in-season activities.

Housing per diem can only be provided to student-athletes living off-campus.

SPORTS THAT ARE IN-SEASON DURING WINTER BREAK

Daily and weekly hour limitations do not apply to countable athletically related activities occurring during a vacation period (dates above).

Student-athletes must still be provided with one day off per week!

Teams are subject to daily and weekly hour limitations during the portion of the week when classes are in session.

Example: Teams may not participate in unlimited CARA during final exams because classes are still considered to be "in-session".

SPORTS THAT ARE OUT-OF-SEASON DURING WINTER BREAK

Out-of-season sports may not participate in any countable athletically related activities during a vacation period.

SPORTS THAT ARE OUT-OF- SEASON DURING THE WINTER VACATION PERIOD

-  Baseball
-  Golf
-  Tennis
-  Rowing
-  Soccer
-  Volleyball
-  Track & Field/CC (12/19-1/1)

INDIVIDUAL SPORTS OUT-OF-SEASON VOLUNTARY PRACTICE OVER WINTER BREAK

Coaches may participate in individual practice sessions with student athletes from their team over the winter vacation period.

Provided the practice is voluntary and conducted at the request of the student-athlete.

NOTE: THIS APPLIES TO INDIVIDUAL SPORTS ONLY AND MAY ONLY TAKE PLACE WHILE OUT OF SEASON OVER A DECLARED VACATION PERIOD.

OUT OF SEASON SPORTS - WEIGHTS & CONDITIONING OVER WINTER BREAK

Strength coaches may design and conduct workout programs for student-athletes who are out of season over the winter vacation period.

Provided the workouts are voluntary and conducted at the request of the student-athlete.

BYLAW 17.1.7.2.1

REPORTING VIOLATIONS

All known violations are required to be submitted to Craig Anderson or Blake James. If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.

TIP OF THE MONTH

Student-managers must be enrolled full-time in an undergraduate or graduate program at the University of Miami.

Student-managers must attend a compliance orientation, complete the required forms, and be cleared by Compliance prior to participating in managerial duties.

Please notify Compliance if your team will cease employment of any current student-managers at midyear.

RECRUITING CALENDARS

DECEMBER 2019

BASEBALL

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

WOMEN'S BASKETBALL

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

SOCCER

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

VOLLEYBALL

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

MEN'S BASKETBALL

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

FOOTBALL

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

TRACK & FIELD/ CROSS COUNTRY

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

ALL OTHER SPORTS

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

CALENDAR KEY

CONTACT PERIOD

RECRUITING PERIOD (MEN'S BASKETBALL ONLY)

QUIET PERIOD

EVALUATION PERIOD

RECRUITING SHUTDOWN (WBB ONLY)

DEAD PERIOD

COMPLIANCE STAFF



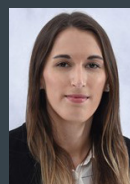
Craig Anderson
Sr. Assoc. AD
Compliance



Dan Raben
Assistant AD
Eligibility



Sarah Quintana
Director
Compliance



Caitlyn Francis
Assoc. Director
Rules Education



Colin Shaffer
Asst. Director
Monitoring



London Wood
Eligibility
Coordinator