



UCOMPLIANCE NEWSLETTER

JANUARY 2021

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IN THE NEWS

University of Alabama

Violation Summary: A former University of Alabama Associate Athletics Director violated NCAA ethical conduct rules when he received money in exchange for facilitating a meeting between the father of a student-athlete, a financial advisor and the financial advisor's representative.

Specifically, the Associate AD knowingly received money during or around a series of meetings in the spring and summer of 2017 from a financial advisor and the advisor's representative in exchange for access to high profile basketball student-athletes. These meetings culminated with the Associate AD arranging a dinner meeting, at the representative's request, with the father of a prominent Alabama men's basketball student-athlete. The financial advisor paid the dinner tab, for which the student-athlete's father was in attendance, and the representative gave the Associate AD at least \$3,000 money following the dinner.

After separating from Alabama, the Associate AD refused to cooperate with the investigation when he did not provide requested records.

Penalty Summary: Three years of probation. A \$5,000 fine plus 1% of the men's basketball program budget. A 10-year show-cause order for the former Associate Athletics Director. During that period, any NCAA member school employing him must restrict him from any athletically related duties unless it shows cause why the restrictions should not apply.

COMPLIANCE MVP



This month's Compliance MVP comes to us from the Office of Development. We would like to thank Director of Development, Jon Gombinski.

We appreciate all
Compliance Office!



do to support the

CAMPS & CLINICS

Coaching staff conducting institutional camps and clinics are responsible for following institutional and NCAA rules governing camps and clinics.

Institutional Camps/Clinics

- Camps/Clinics may never take place during a dead period.
- Compliance must approve each camp/clinic before the camp/clinic can be announced to the general public in any way. Approval is sent out via email once the coaching staff member has turned in the required Pre-Camp Forms.
- Camp registration and all camp promotional materials (flyers, tweets, social media posts, press releases), must include the language that the camp is **“open to any and all entrants (limited only by number, age, grade level, and/or gender).”**
- Camp participants under the age of 18 must have a signed Minor Child Release Form on-file prior to participating in any camp/clinic activities.

Noninstitutional Camps & Clinics

Noninstitutional camps/clinics are any camp or clinic that is owned or operated by an outside individual, organization, institution.

- Athletic department staff in sports other than baseball, basketball and football may serve in any capacity (e.g., counselor, guest lecturer, consultant) in a noninstitutional, privately owned camp or clinic, provided the camp or clinic is operated in accordance with restrictions applicable to institutional camps (e.g., open to any and all entrants, no free or reduced admission to or employment of athletics award winners).
- May not take place during recruiting dead period.
- Noninstitutional camp/clinic form must be completed by the camp/clinic supervisor and submitted to Compliance for approval prior to employment taking place.

JANUARY 2021 DI COUNCIL MEETING

The DI Council will vote in January on updated Name, Image and Likeness legislation. The updated legislation addresses current student-athletes and prospective student-athletes use of name, image, and likeness as well as student-athlete's use of agents and third-party service providers.

Current Rule

Use of Student-Athletes Name, Image, & Likeness

In general, to maintain NCAA eligibility, Division I student-athletes may not promote or endorse a commercial product or service, even if they are not paid to participate in the activity.

In some instances, student-athletes may use their image to continue participating in nonathletically related promotional activities if they were initiated before college enrollment.

The Compliance Office must approve all student-athlete promotional appearance requests prior to promotion or appearance taking place.



REPORTING VIOLATIONS

All known violations are required to be submitted to Craig Anderson or Blake James.

If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.

TIP OF THE MONTH

Use of Current Student-Athlete to Promote Institutional Camp or Clinic

Camp promotions (i.e., camp websites, flyers, brochures) may not include pictures of current student-athletes.

The only time a student-athletes picture can appear in a camp/clinic brochure is in the camp counselor section of a camp brochure.

RECRUITING CALENDARS

JANUARY 2021

BASEBALL						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

MEN'S BASKETBALL						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

WOMEN'S BASKETBALL						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

FOOTBALL						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

SOCCER						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

TRACK & FIELD/CROSS COUNTRY						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

VOLLEYBALL						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

ALL OTHER SPORTS						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24/31	25	26	27	28	29	30

CALENDAR KEY	
CONTACT PERIOD	RECRUITING PERIOD (MEN'S BASKETBALL ONLY)
QUIET PERIOD	EVALUATION PERIOD
RECRUITING SHUTDOWN (WBB ONLY)	DEAD PERIOD

COMPLIANCE STAFF



Craig Anderson
Sr. Assoc. AD
Compliance



Dan Raben
Assistant AD
Eligibility



Sarah Quintana
Director
Compliance



Caitlyn Francis
Assoc. Director
Rules Education



Colin Shaffer
Asst. Director
Monitoring