



UCOMPLIANCE NEWSLETTER

JUNE 2021

INSIDE THIS ISSUE

1. IN THE NEWS
2. COMPLIANCE MVP
3. PUBLICITY & SOCIAL MEDIA
4. OUTSIDE SPEAKING ENGAGEMENTS
5. NONINSTITUTIONAL CAMP APPROVAL
6. COVID-19 PROTOCOLS - CAMPUS VISITS
7. NONCOACHING STAFF PARTICIPATION ON RECRUITING CALLS
8. TRANSPORTATION PRIOR TO INITIAL ENROLLMENT
9. TIP OF THE MONTH
10. REPORTING NCAA VIOLATIONS
11. RECRUITING CALENDARS
12. COMPLIANCE STAFF

IN THE NEWS

University of Dayton

The Dayton women's volleyball program committed recruiting violations when the coaching staff contacted prospects too early and held impermissible tryouts.

- Specifically, the women's volleyball coaching staff sent approximately 1,118 personalized emails to club coaches of at least 279 prospects before the first permissible date to send such correspondence. The emails violated NCAA recruiting contact rules because they included requests that club coaches forward information to those prospects before the time it was permissible to do so.
- The volleyball staff also sent questionnaires with impermissible recruiting information to 49 prospects before the first permissible date to send such correspondence.

Why the Violation Occurred

- Prior to the first permissible date (June 15 at the conclusion of a PSA's sophomore year) to send electronic correspondence and recruiting materials to volleyball student-athletes, it is impermissible to involve third parties in the recruiting process.
- Questionnaires may be sent to recruits at any time, but when sent prior to the first permissible date to send recruiting materials, the questionnaire may not include recruiting language or any other information about the athletic department.
- Please note, the first permissible date to send such correspondence may vary by sport.

Penalty Summary

One year of probation; a \$5,000 fine; a reduction of women's volleyball official visits to no more than five during the 2021-22 academic year; a two-week ban on unofficial visits during the 2021-22 academic year; a ban on women's volleyball recruiting communications for three total weeks, including two weeks during the 2020-21 academic year and one week during the 2021-22 academic year; a reduction of women's volleyball evaluation days by 24 during the 2021-22 academic year, down from 80 evaluation days; a reduction in the number of contacts and evaluations by one for all women's volleyball prospects during the 2021-22 academic year; a ban on all volleyball camps from August 2019 through March 11, 2020 (self-imposed by the school); and a reduction in otherwise permissible electronic correspondence for the 49 prospects who received impermissible questionnaires, including for 30 days for the class of 2021, 44 days for the class of 2022 and 30 days for the class of 2023.

Full case details are available [HERE](#)

Compliance MVP



This month's Compliance MVP comes to us from Equipment & Internal Operations. The Compliance Office would like to thank Associate AD/Internal Operations, David Case.

We appreciate all you do to help support the Compliance Office!

Publicity & Social Media

The institution is prohibited from publicizing a prospective student-athlete or making comments about the prospect's ability, potential contribution to the institution's team or the likelihood the prospect may sign or commit to the institution.

PRIOR to Signing an NLI

- The institution may publicly comment ONLY to the extent of confirming its recruitment of the prospect.
- May not comment on the likelihood of the PSA signing with the institution.

Publicity of a PSA's Visit

- Coaches, staff members and student-athletes are not permitted to publicize (or arrange for any publicity of) a prospect's visit to the University's campus. Additionally, a prospect may not participate in team activities that would make the public or media aware of the prospect's visit to the University of Miami (e.g., running out of the tunnel with the team, on-field pre-game celebrations).

Introduction of a Prospect

- The University of Miami shall not introduce a visiting prospect at a function (e.g., banquet, athletic contest) that is attended by media representatives or open to the general public.

AFTER Signing an NLI

- There are no restrictions on publicity related to a prospect' after he/she has signed a National Letter of Intent or the University's written offer of admission and/or financial aid or after the University of Miami has received his/her financial deposit in response to its offer of admission.
- Does not apply to PSA who only signs a scholarship contract until the "Regular Period" as opposed to "Early Period" (ex: FB in December)
- NLI MUST be validated by Compliance
- May not publicize or announce a PSA's signing until the Compliance Office sends out approval (via email).



Actions That Indicate Approval of Content on Social Media Platforms.

- An athletics department staff member may take actions (e.g., "like," "favorite," republish, etc.) on social media platforms that indicate approval of content on social media platforms that was generated by users of the platforms other than institutional staff members or representatives of an institution's athletics interests.

UM Coaches & Athletic Dept Staff MAY

- Friend/follow a prospective student-athlete (PSA) at any time. May not have communication with a PSA on Social Media until the PSA has signed with the institution.
- Like, favorite, or re-tweet/share a posts originated by a PSA's social media profile, as long as the post does not reference a recruiting or scouting service.
- Like, favorite, or re-tweet/share athletically related information posted by a PSA's team, coach, or high school facility.
- Like, favorite, or re-post a media outlet, a post on the PSA's social media account, or a post by a PSA's coach relating to a PSA on a visit.

UM Coaches & Athletic Dept Staff MAY NOT

- Publicly reference the name, nickname, or other identify info of a PSA other than to confirm recruitment.
- Publicly comment on a PSA's social media profile or on a PSA's social media post.
- Tag or mention a PSA's social media profile in a post on the staff member's account.
- Like, favorite, or repost information posted by or in reference to a recruiting or scouting service.
- Post a generic message on their social media account like "Good Luck, IMG during the 2021 season! #IMG."
- Post using a hashtag commonly used by a PSA's coach, high school team or facility (#IMGAFOOTBALL).

Recruiting & Scouting Services

- Coaches and institutional staff members may not comment, like, favorite or repost (retweet) information post by, or in reference to, a recruiting or scouting service on a social media platform.

Publicity & Social Media

PUBLICITY			ENDORSEMENTS			
ACTIVITY	PRIOR TO COMMITMENT	AFTER COMMITMENT (SIGNED NLI/GIA)	ACTIVITY	PROSPECT'S TEAM, COACH OR ATHLETICS FACILITY	RECRUITING OR SCOUTING SERVICE	NON-UM ATHLETIC EVENTS INVOLVING PROSPECTS
FRIEND OR FOLLOW A PSA			FRIEND OR FOLLOW ACCOUNT			
LIKE OR FAVORITE PSA'S POST			LIKE OR FAVORITE POST			
RETWEET/SHARING PSA POST <u>WITH NO COMMENT</u>			RETWEET/SHARING POST <u>WITH NO COMMENT</u>			
RETWEET/SHARE PSA POST <u>WITH COMMENT</u> (i.e., quote tweeting)			RETWEET/SHARE POST <u>WITH COMMENT</u> (i.e., quote tweeting)			
TAGGING/MENTIONING PSA IN POST			TAGGING/MENTIONING IN POST			

Coaching & Noncoaching Staff Appearance Requests

The NCAA places limits on the time period and type of events coaching and non-coaching athletic department staff may speak at (virtually or in-person). To ensure we're compliant, please run all outside speaking engagements by the Compliance Office for approval.

Noninstitutional Camps & Clinics

Sports Other Than Basketball and Football

- Coaching and non-coaching staff may work non-institutional camps and clinics provided, the camp meets all guidelines set forth for institutional camps & clinics.
- Coaches and staff must complete the outside camp form available on the athletic department website and receive Compliance Office approval prior to working an outside camp or clinic.
- Baseball & Volleyball staff may not work non-institutional camps or clinics during a quiet period.

Football Only

- Only countable coaches and graduate assistants who have passed the NCAA Recruiting Exam may work an outside camp. In FB outside camp employment is limited to working at another 4-year member institution's camp/clinic.

Basketball Only

- May not work non-institutional camps and clinics.

Reporting Violations

All known or potential rules violations must be disclosed to either Craig Anderson or Blake James. If you are aware of a violation, recruiting or otherwise, please make sure that one of these two individuals is informed.

OTHER UPDATES & REMINDERS

COVID-19 Protocols - Campus Visits

An institution may provide a prospect and their guest(s) with access to COVID-19 testing recommended or required by state, local or campus health and safety protocols. Similarly, an institution may provide personal protective equipment (e.g., mask, face shield) as a general prospective student would receive on a visit to campus. Schools should not create customized equipment for prospects to wear on their campus visit.

Noncoaching Staff Participation on Recruiting Calls

NCAA staff confirmed that non-coaching staff members in all sports must pass the coaches exam before they may participate on a recruiting call. This applies to participation on a call in any capacity, including calls they initiate and/or those they join after being initiated by another coach or staff member (see NCAA COVID-19 Q&A).

Transportation Prior to Initial Enrollment

An institution or its representatives shall not furnish a prospective student-athlete, directly or indirectly, with transportation to the campus for enrollment. However, it is permissible for any member of the institution's staff to provide:

1. Transportation from the nearest bus or train station or major airport to the campus on the occasion of the prospective student-athlete's initial arrival at the institution to attend classes for a regular term or to participate in preseason practice, or for initial enrollment for the institution's summer term for a prospective student-athlete who has been awarded athletically related financial aid for the prospective student-athlete's initial summer term; and
2. Transportation from and to the nearest bus or train station or major airport on the occasion of the prospective student-athlete's arrival and departure from the institution to attend the institution's required new-student orientation, provided the prospective student-athlete has been accepted for admission to the institution.

Compliance Tip of the Month

It is never permissible for a booster to have recruiting contact with prospective student-athletes. The only exception to this rule is that former UM student-athletes may have contact with a prospective student-athlete during the recruiting visit, provided the contact takes place on-campus, or at a facility regularly used for practice and competition.



Craig Anderson
Sr. Assoc. AD
Compliance



Dan Raben
Assistant AD
Eligibility



Sarah Quintana
Director
Compliance



Caitlyn Francis
Assoc. Director
Rules Education



Colin Shaffer
Asst. Director
Monitoring

RECRUITING CALENDARS

JUNE 2021

BASEBALL

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

SOCCER

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

Quiet Period

A quiet period is that period of time when it is permissible to make in-person recruiting contacts only on the member institution's campus. No in-person, off-campus recruiting contacts or evaluations may be made during the quiet period.

WOMEN'S BASKETBALL

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

VOLLEYBALL

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

Dead Period

A dead period is that period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the member institution's campus or to permit official or unofficial visits by prospective student-athletes to the institution's campus.

MEN'S BASKETBALL

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

ALL OTHER SPORTS

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

Evaluation Period

An evaluation period is that period of time when it is permissible for authorized athletics department staff members to be involved in off-campus activities designed to assess the academic qualifications and playing ability of prospective student-athletes. No in-person, off-campus recruiting contacts shall be made with the prospective student-athlete during an evaluation period.

FOOTBALL

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

TRACK & FIELD/CROSS COUNTRY

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

Contact Period

A contact period is that period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.

Recruiting Period

A recruiting period is that period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.

*Applies to M. Basketball Only.

Recruiting Shutdown

No forms of recruiting is permissible.

Other Recruiting Reminders

Men's Basketball

June Evaluation Periods

- June 18 (noon)-20 (6 p.m.)
- June 25 (noon)-27 (6 p.m.)

(For scholastic events approved by the NCAA and NFHS and intercollegiate events approved by applicable two-year college governing body only – see Bylaw 13.1.7.5.3)