



League of Women Voters of Minnesota Records

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ELECTION '82 CAMPAIGN FINANCE
NEWSLETTER #1

ETHICAL PRACTICES BOARD
41 State Office Building
St. Paul, MN 55155
(612) 296-5148

This newsletter is the first of a limited series which will be sent to all registered treasurers during the 1982 election year. Pursuant to the Governor's mandate to cut state spending, the Ethical Practices Board is compelled to reduce agency costs including postage, supplies, publications, and communication costs. Thus, it is important to keep each newsletter in your files throughout Election '82 so that information and necessary updates for proper completion of committee reports are available for reference.

Statutory reporting requirements for the February 1, 1982, report have not changed from previous reporting periods. Emphasis on ensuring statutory compliance continues. One area in which the Board will continue review is Minn. Stat. §10A.20, subd. 3 (b) which requires the "name, address and EMPLOYER, or occupation if self-employed, of each individual, political committee or political fund who within the year has made one or more transfers or donations in kind to the political committee or political fund. . ." Other areas commonly overlooked will be brought to your attention in this and other newsletters.

It is the Board's purpose to provide you with a greater understanding of the Ethics in Government Act, to answer questions, and to assist your committee in completing the Report of Receipts and Expenditures according to statutory requirements. Please call (612) 296-5148 if you have questions or comments.

December 18, 1981

Mary Ann McCoy, Executive Director

REPORT FILING INFORMATION

- Filing Date? The next Report of Receipts and Expenditures is due on MONDAY, FEBRUARY 1, 1982. Reports must be received on February 1, or postmarked on February 1, in order to be filed on time. The period covered is January 1 - December 31, 1981.
- Late Filing Fees? If a report is not filed on February 1, 1982, the Board will send the treasurer an Official Delinquency Notice. A treasurer who fails to file a report may be fined \$5 a day up to a maximum of \$100 beginning on the eighth day following receipt of the Board's Official Notice.
- Who Reports? Treasurers must file reports even if there has been no activity during the 1981 calendar year in the account. Treasurers may check "No Change Since Last Report" on page 1, sign the bottom of the page, and return only that page to the Board.
- Who Receives Report Forms? The law requires the Board to send reporting forms to the treasurer even though the committee employs staff to assist in recordkeeping or report preparation.

Please note: Due to budget cuts, only one of each page is furnished; if you may need additional copies of any page to complete your report, be sure to make copies of the blank page before beginning to complete your report. After you complete and sign the report, be sure to make a copy of the report for your files before mailing or delivering the report to the Board office!

CONSTITUTIONAL AMENDMENT

The Constitutional amendment ratified by Minnesota voters in November, 1980, will have a direct impact on campaign expenditure limits for the 1982 election. This Amendment provides for adjustment of the campaign expenditure limits according to percentage increase in the consumer price index as prepared by the United States Department of Labor. The Board will issue the 1982 Election year campaign expenditure limits by June 1, 1982. Please watch future newsletters. Contribution limits are not affected by the Amendment and remain the same as previous election year limits.

CONTRIBUTION LIMITS FOR 1981 - Nonelection year

TO	FROM AN INDIVIDUAL, POLITICAL COMMITTEE/FUND	FROM POLITICAL PARTY IN AGGREGATE
Governor/Lt. Governor (jointly)	\$12,000	\$60,000
Attorney General	\$ 2,000	\$10,000
Secretary of State, State Auditor, and State Treasurer (each)	\$ 1,000	\$ 5,000
State Senator	\$ 300	\$ 1,500
State Representative	\$ 150	\$ 750

Minn. Stat. §10A.15 subd. 3 allows a candidate, political committee or political fund to return a contribution to the contributor within 60 days after deposit before the contribution is deemed to have been accepted by the candidate, political committee or fund. Please consult the Board before returning contributions after the 60 day period has lapsed.

FILED REPORTS AND SUMMARIES

Filed candidate reports are available for copying from the COUNTY AUDITOR as well as the Board. Copying charges at the Board office are \$.10 a page, if done by the individual, or \$.50 a page (prepaid) if done by Board staff. Please call the county auditor for copying charges in that office.

A limited number of PRINCIPAL CAMPAIGN COMMITTEE SUMMARIES for 1980 are available at the Ethical Practices Board on a first come, first served basis. (over)

RECORD-KEEPING SYSTEM

A record-keeping system for treasurers of political committees and funds developed by the Board staff is available from the Board office.

CONFIDENTIALITY OF COMPLAINTS OF ALLEGED VIOLATIONS OF MINN. STAT. §10A

Board findings in complaints filed in the summer of 1981 expressed concern about the unfairness of allowing an individual to hold a news conference before filing a complaint, while by law the individual complained against is not allowed to respond (Minn. Stat. §10A.02, subd. 11(b)). The Board overruled a previous decision in this matter and holds that

"in all future instances any person who publicly communicates his intention to file a complaint with the Board or the fact of his filing a complaint with the Board shall be considered in violation of the confidentiality provisions of the State Ethics in Government Act." August 24, 1981.

For more information, please call the Board office at (612) 296-5148.

REPORTS OF RECEIPTS AND EXPENDITURES

As you prepare the report due February 1, 1982, the following items will need to be satisfied to ensure statutory compliance. Although not all reporting requirements are included, these are noted because of the high incidence of reporting errors or incomplete disclosure:

1. Report must be signed by registered treasurer, registered Deputy treasurer, or candidate.
2. Ending cash balance from the previously-filed report is the Beginning Cash Balance used for the subsequent report.
3. In-kind Donations (contributions to a committee other than cash) must also be reported in the same report as either an in-kind expenditure or an in-kind disbursement.
4. Notes/loans Receivable, Notes/loans Payable, and unpaid bills previously reported shall be reported on subsequent reports. Any increases/decreases in the amounts a committee is owed or owes shall be reported. A note/loan or unpaid bill which is forgiven or paid by an entity other than the political committee or fund or principal campaign committee becomes a contribution in the year the note/loan was taken or unpaid bill incurred. If a note/loan or unpaid bill is forgiven or paid by another entity, the committee receiving the benefit (contribution) is required to amend the Report of Receipts and Expenditures covering the period in which the note/loan or unpaid bill was originally reported.
5. Itemized contributors must be listed in ALPHABETICAL order and must include:
 - .For individuals: name, address, employer (or occupation, if self-employed), day-month-year and amount of contribution;
 - .For political committees or funds: name of committee or fund AS REGISTERED with the Board, day-month-year and amount of contribution;
 - .Legislative candidate committees and political committees or funds which contribute to legislative candidates must itemize all contributors who make contributions greater than \$50, in aggregate, during a reporting year;
 - .Statewide candidate (including judicial) committees and political committees or funds which contribute only to statewide and judicial candidates or only to state ballot questions must itemize all contributors who make contributions greater than \$100, in aggregate, during a reporting year.

REMINDER TO TREASURERS!

Enclosed with this mailing is a list of POLITICAL COMMITTEES AND POLITICAL FUNDS REGISTERED WITH THE BOARD, as of June, 1981. Save this list for reference; an updated list will be available in June, 1982.

Please consult this list or telephone the Board office, (612) 296-5148, BEFORE accepting contributions of more than \$100 from an association, partnership, political committee, or political fund.

Treasurers may not accept contributions of more than \$100 from an association, political committee, or political fund unless it is registered with the Ethical Practices Board

OR

unless the association, committee, or fund provides the treasurer with a report of their receipts and expenditures for the reporting period in which the contribution is made which contains all information required by Minnesota Statutes § 10A.20.

When reporting a contribution from a registered committee or fund, be sure to use the full name of the committee or fund as it appears on the Board list.

IS YOUR COMMITTEE REGISTRATION INFORMATION UP TO DATE?

- . Have you changed the name of the committee? *** Changed your depository? ***
Secured a new chairperson or treasurer? *** Changed address? ***

If so, you are required by statute to amend your committee registration. Forms can be secured from the Board office.

REPORTS OF RECEIPTS AND EXPENDITURES ARE DUE FEBRUARY 1, 1982

1983-84

BUDGET

#1

1983-84

BUDGET

#2

Minnesota Public Disclosure Law



REGISTERED POLITICAL COMMITTEES FUNDS

June 1981



ETHICAL PRACTICES BOARD

41 STATE OFFICE BUILDING

ST. PAUL, MINNESOTA 55155 • (612) 296-5148

ABOUT POLITICAL COMMITTEES AND POLITICAL FUNDS

Definitions

. Political Committee

Any political party or association of two or more persons which has as its major purpose support or opposition to the nomination or election of a candidate for Governor, Lieutenant Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator, State Representative, an elective Judgeship or to promote or defeat a ballot question.

. Political Fund

Any accumulation of dues or voluntary donations by an association of two or more persons other than a political committee which is collected or expended for the purpose of influencing the nomination or election of a candidate for Governor, Lieutenant Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator, State Representative, an elective Judgeship or to promote or defeat a ballot question.

Registration

Treasurer of an association, political committee, or fund must register with the Ethical Practices Board no later than 14 days after that association, political committee, or fund receives contributions or makes expenditures in excess of \$100 in aggregate in a calendar year. Registration forms are available without charge from the Board office.

ABOUT THIS LIST

- . Except for principal campaign committees of candidates, this publication lists the full name and registration number of each political committee and political fund registered with the Board as of June 12, 1981; supplemental listings will be published periodically during 1982.

IMPORTANT NOTICE TO TREASURERS OF POLITICAL COMMITTEES AND FUNDS _____

- . Please check this list and periodic supplements or telephone the Board office, (612) 296-5148, BEFORE accepting contributions of more than \$100 from an association, political committee, or political fund.
- . Treasurers may not accept contributions of more than \$100 from an association, political committee, or political fund unless it is registered with the Ethical Practices Board

OR

unless the association, committee, or fund provides the treasurer with a report of their receipts and expenditures for the reporting period in which the contribution is made which contains all information required by Minnesota Statutes § 10A.20.

- . When disclosing contributions from registered committees or funds, treasurers should report the name of the committee or fund as it appears on Board lists.
- . For additional information about these requirements, please call or write:

Ethical Practices Board
41 State Office Building
St. Paul, Minnesota 55155
telephone: (612) 296-5148

DEMOCRATIC FARMER LABOR PARTY

Committee or Fund	Registration No.
Aitkin County DFL Committee	2-0273-0101
Anoka-Hennepin County	
Unit 19 DFL Committee	2-0244-0101
Becker County DFL Committee	2-0054-0101
Beltrami County DFL Committee	2-0065-0101
Benton County DFL Committee	2-0058-0101
Big Stone County DFL Committee	2-0297-0101
Blue Earth County DFL Committee	2-0070-0101
Brown County DFL Committee	2-0043-0101
Carlton County DFL Committee	2-0151-0101
Carver County DFL Committee	2-0092-0101
Cass County DFL Committee	2-0331-0101
Chippewa County DFL Committee	2-0183-0101
Chisago County DFL Committee	2-0009-0101
Clay County DFL Committee	2-0093-0101
Clearwater County DFL Committee	2-0025-0101
Cottonwood County DFL Committee	2-0105-0101
Crow Wing County DFL Committee	2-0271-0101
Dakota County - Unit 25A DFL Committee	2-0259-0101
Dodge County DFL Committee	2-0057-0101
Douglas County DFL Committee	2-0019-0101
Faribault County DFL Committee	2-0139-0101
Fillmore County DFL Committee	2-0188-0101
Freeborn County DFL Committee	2-0038-0101
Goodhue County DFL Committee	3-0333-0101
Grant County DFL Committee	2-0035-0101
House Caucus DFL Committee	2-0006-0109
Houston County DFL Committee	2-0088-0101
Hubbard County DFL Committee	2-0325-0101
Isanti County DFL Committee	2-0029-0101
Itasca County DFL Committee	2-0031-0101
Jackson County DFL Committee	2-0100-0101
Kanabec County DFL Committee	2-0275-0101
Kandiyohi County DFL Committee	2-0047-0101
Kittson County DFL Committee	2-0191-0101
Koochiching County DFL Committee	2-0037-0101
Lac Qui Parle County DFL Committee	2-0168-0101
Lake County DFL Committee	2-0311-0101
Lake of the Woods County DFL Committee	2-0323-0101
LeSueur County DFL Committee	2-0026-0101
Lincoln County DFL Committee	2-0179-0101
Lyon County DFL Committee	2-0178-0101
Marshall County DFL Committee	2-0328-0101
Martin County DFL Committee	2-0022-0101
McLeod County DFL Committee	2-0190-0101
Meeker County DFL Committee	2-0084-0101
Mille Lacs County DFL Committee	2-0083-0101
Minnesota DFL State Central Committee	2-0003-0109
Morrison County DFL Committee	2-0219-0101
Mower County DFL Committee	2-0172-0101
Murray County DFL	2-0355-0101
Nicollet County DFL Committee	2-0143-0101
Nobles County DFL Committee	2-0110-0101
Norman County DFL Committee	2-0264-0101
Pennington County DFL Committee	2-0015-0101
Pine County DFL Committee	2-0126-0101
Pipestone County DFL Committee	2-0164-0101

Committee or Fund	Registration No.
Polk County DFL Committee	2-0177-0101
Pope County DFL Committee	2-0090-0101
Red Lake County DFL Committee	2-0104-0101
Redwood County DFL Committee	2-0142-0101
Renville County DFL Committee	2-0124-0101
Rice County DFL Committee	2-0095-0101
Rock County DFL Committee	2-0136-0101
Roseau County DFL Committee	2-0212-0101
Scott County DFL Committee	2-0145-0101
Sherburne County DFL Committee	2-0116-0101
Sibley County DFL Committee	2-0180-0101
State Senate DFL Campaign Committee	2-0011-0109
Steele County DFL Committee	2-0157-0101
Stevens County DFL Committee	2-0072-0101
Swift County DFL Committee	2-0317-0101
Todd County DFL Committee	2-0206-0101
Traverse County DFL Committee	2-0316-0101
Wabasha County DFL Committee	2-0040-0101
Wadena County DFL Committee	2-0109-0101
Waseca County DFL Committee	2-0128-0101
Watsonwan County DFL Committee	2-0068-0101
Wilkin County DFL Committee	2-0056-0101
Winona County DFL Committee	2-0023-0101
Wright County DFL Committee	2-0066-0101
Yellow Medicine County DFL Committee	2-0122-0101
15A House District DFL Committee	2-0278-0102
15B House District DFL Committee	2-0340-0102
42A House District DFL Committee	2-0287-0102
42B House District DFL Committee	2-0111-0102
50B House District DFL Committee	2-0125-0102
51A House District DFL Committee	2-0135-0102
51B House District DFL Committee	2-0289-0102
62A House District DFL Committee	2-0175-0102
62B House District DFL Committee	2-0174-0102
65B House District DFL Committee	2-0078-0102
67A House District DFL Committee	2-0141-0102
67B House District DFL	2-0358-0102
1st Senate District DFL	2-0366-0103
6th Senate District DFL Committee	2-0347-0103
7th Senate District DFL Committee	2-0050-0103
8th Senate District DFL Committee	2-0075-0103
9th Senate District DFL Committee	
Clay-Wilken	2-0306-0103
11th Senate District DFL Committee	2-0207-0103
12th Senate District DFL Committee	2-0300-0103
13th Senate District DFL Committee	2-0279-0103
15th Senate District DFL Committee	2-0220-0103
16th Senate District DFL Committee	2-0087-0103
17th Senate District DFL Committee	2-0086-0103
19th Senate District DFL Committee	2-0155-0103
20th Senate District DFL Committee	2-0282-0103
21st Senate District DFL Committee	2-0307-0103
24th Senate District DFL	2-0365-0103
26th Senate District DFL Committee	2-0162-0103
29th Senate District DFL Committee	2-0071-0103
31st Senate District DFL Committee	2-0324-0103
37th Senate District DFL Committee	2-0166-0103
38th Senate District DFL Committee	2-0039-0103

Committee or Fund	Registration No.
39th Senate District DFL Committee	2-0165-0103
40th Senate District DFL Committee	2-0014-0103
41st Senate District DFL Committee	2-0077-0103
42nd Senate District DFL Committee	2-0132-0103
43rd Senate District DFL Committee	2-0138-0103
44th Senate District DFL Committee	2-0108-0103
45th Senate District DFL Committee	2-0185-0103
46th Senate District DFL Committee	2-0055-0103
47th Senate District DFL Committee	2-0081-0103
48th Senate District DFL Committee	2-0112-0102
49th Senate District DFL Committee	2-0018-0103
50th Senate District DFL Committee	2-0101-0103
51st Senate District DFL Committee	2-0017-0103
52nd Senate District DFL Committee	2-0269-0103
53rd Senate District DFL Committee	2-0257-0103
54th Senate District DFL Committee	2-0118-0103
55th Senate District DFL Committee	2-0091-0103
56th Senate District DFL Committee	2-0079-0103
58th Senate District DFL Committee	2-0012-0103
59th Senate District DFL Committee	2-0085-0103
60th Senate District DFL Committee	2-0048-0103
61st Senate District DFL Committee	2-0024-0103
62nd Senate District DFL Committee	2-0329-0103
63rd Senate District DFL Committee	2-0094-0103
64th Senate District DFL Committee	2-0184-0103
65th Senate District DFL Committee	2-0021-0103
66th Senate District DFL Committee	2-0067-0103
67th Senate District DFL Committee	2-0251-0103

1st Congressional District DFL Committee	2-0326-0108
2nd Congressional District DFL Committee	2-0106-0108
3rd Congressional District DFL Committee	2-0330-0108
4th Congressional District DFL Committee	2-0049-0108
5th Congressional District DFL Committee	2-0073-0108
7th Congressional District DFL Committee	2-0069-0108
8th Congressional District DFL Committee	2-0253-0108

INDEPENDENT REPUBLICAN PARTY

Committee or Fund	Registration No.
Anoka County IR Committee	2-0238-0201
Becker County IR Committee	2-0121-0201
Beltrami County IR Committee	2-0291-0201
Benton County IR Committee	2-0089-0201
Big Stone County IR	2-0353-0201
Blue Earth County IR Committee	2-0034-0201
Brown County IR Committee	2-0239-0201
Carlton County IR Committee	2-0173-0201
Carver County IR Committee	2-0063-0201
Cass County IR Committee	2-0176-0201
Chippewa County IR Committee	2-0195-0201
Chisago County IR Committee	2-0113-0201
Clay County IR Committee	2-0074-0201
Cook County IR Committee	2-0216-0201
Crow Wing County IR Committee	2-0131-0201
Dodge County IR Committee	2-0272-0201
Douglas County IR Committee	2-0293-0201
Faribault County IR Committee	2-0227-0201
Freeborn County IR Committee	2-0045-0201
Grant County IR Committee	2-0204-0201

Committee or Fund	Registration No.
Hennepin County IR Committee	2-0044-0201
Hennepin County IR	
2nd District Committee	2-0226-0204
Hennepin County IR	
6th District Committee	2-0236-0208
Hubbard County IR Committee	2-0234-0201
IR House Campaign Committee	2-0010-0209
Isanti County IR Committee	2-0096-0201
Itasca County IR Committee	2-0213-0201
Jackson County IR Committee	2-0214-0201
Kanabec County IR Committee	2-0205-0201
Kandiyohi County IR Committee	2-0241-0201
Kittson County IR Committee	2-0247-0201
Lac Qui Parle County IR Committee	2-0319-0201
Lake County IR Committee	2-0154-0201
Lake of the Woods County IR Committee	2-0250-0201
LeSueur County IR Committee	2-0203-0201
Lincoln County IR Committee	2-0295-0201
Lyon County IR Committee	2-0115-0201
Marshall County IR Committee	2-0344-0201
Martin County IR Committee	2-0120-0201
McLeod County IR Committee	2-0119-0201
Meeker County IR Committee	2-0228-0201
Minnesota IR Finance Committee	2-0008-0209
Mower County IR Committee	2-0127-0201
Murray County IR Committee	2-0099-0201
Nicollet County IR Committee	2-0198-0201
Nobles County IR Committee	2-0225-0201
Norman County IR Committee	2-0231-0201
Olmsted County IR Committee	2-0052-0201
Otter Tail County IR Committee	2-0028-0201
Pennington County IR Committee	2-0097-0201
Pine County IR Committee	2-0114-0201
Pipestone County IR Committee	2-0103-0201
Polk County IR Committee	2-0030-0201
Redwood County IR Committee	2-0221-0201
Renville County IR Committee	2-0237-0201
Rice County IR Committee	2-0041-0201
Rock County IR Committee	2-0249-0201
Roseau County IR	2-0354-0201
Scott County IR Committee	2-0027-0201
Senate IR Election Fund	2-0013-0209
Sherburne County IR Committee	2-0240-0201
Sibley County IR Committee	2-0310-0201
Steele County IR Committee	2-0163-0201
Traverse County IR Committee	2-0218-0201
Wadena County IR Committee	2-0140-0201
Waseca County IR Committee	2-0033-0201
Watson County IR Committee	2-0062-0201
Wilkin County IR	2-0362-0201
Wright County IR Committee	2-0258-0201
Yellow Medicine County IR Committee	2-0235-0201
Young IR League of Minnesota	2-0107-0209
12A House District IR Committee	2-0046-0202
12B House District IR	2-0363-0202
34A House District IR Committee	2-0229-0202
34B House District IR Committee	2-0158-0202
46A House District IR Committee	2-0288-0202
48A House District IR Committee	2-0322-0202
48B House District IR Committee	2-0313-0202
49A House District IR Committee	2-0308-0202
49B House District IR Committee	2-0309-0202

Committee or Fund	Registration No.
50A House District IR Committee	2-0299-0202
50B House District IR Committee	2-0159-0202
53A House District IR Committee	2-0005-0202
53B House District IR Committee	2-0320-0202
52A House District IR Committee	2-0338-0202
52B House District IR Committee	2-0262-0202
53A House District IR Committee	2-0064-0202
53B House District IR Committee	2-0150-0202
54A House District IR Committee	2-0182-0202
55A House District IR Committee	2-0268-0202
55B House District IR Committee	2-0336-0202
66A House District IR	2-0156-0202
66B House District IR Committee	2-0082-0202
67A House District IR Committee	2-0304-0202
67B House District IR Committee	2-0144-0202
4th Senate District IR Committee	2-0321-0203
5th Senate District IR Committee	2-0327-0203
6th Senate District IR Committee	2-0342-0203
7th Senate District IR Committee	2-0332-0203
8th Senate District IR Committee	2-0341-0203
12th Senate District IR Committee	2-0224-0203
16th Senate District IR Committee	2-0274-0203
17th Senate District IR Committee	2-0305-0203
19th Senate District IR Committee	2-0215-0203
25th Senate District IR Committee	2-0192-0203
35th Senate District IR Committee	2-0059-0203
37th Senate District IR Committee	2-0286-0203
38th Senate District IR Committee	2-0263-0203
40th Senate District IR Committee	2-0248-0203
41st Senate District IR Committee	2-0265-0203
42nd Senate District IR Committee	2-0292-0203
43rd Senate District IR Committee	2-0270-0203
44th Senate District IR Committee	2-0350-0203
45th Senate District IR Committee	2-0284-0203
51st Senate District IR Committee	2-0233-0203
52nd Senate District IR Committee	2-0281-0203
53rd Senate District IR Committee	2-0266-0203
1st Congressional District IR Committee	2-0146-0208
2nd Congressional District IR Committee	2-0202-0208
3rd Congressional District IR Committee	2-0222-0208
4th Congressional District IR Committee	2-0051-0208
5th Congressional District IR Committee	2-0223-0208
6th Congressional District IR Committee	2-0098-0208
7th Congressional District IR Committee	2-0161-0208
8th Congressional District IR Committee	2-0042-0208

OTHER POLITICAL PARTIES

Committee or Fund	Registration No.
American Party of Brown County	2-0345-0401
American Party of Hennepin County	2-0351-0401
American Party of Minnesota	2-0080-0409
American Party of Minnesota District 4	2-0343-0408
American Party of Stearns County	2-0314-0401
Gay Survival Fund of Target City	2-0357-1005

OTHER POLITICAL COMMITTEES AND FUNDS

Committee or Fund	Registration No.
AFL-CIO 1st District COPE	3-0150

Committee or Fund	Registration No.
AFL-CIO Political Fund-Minnesota	3-0025
Aitkin County DFL Women's Club	4-0086
Albert Lea Trades & Labor Political Fund	3-0134
Amalgamated Meat Cutters District 4	
Political Fund	3-0073
Amalgamated Meat Cutters Local P-1161	3-0131
Amalgamated Meat Cutters & Butchers	
Local P-6	3-0034
Amalgamated Meat Cutters & Butchers	
Local P-9-Austin COPE	3-0043
Amalgamated Meat Cutters & Food	
Handlers Local 653	3-0056
Amalgamated Transit Union Local 1005	3-0074
Americans for Democratic Action (ADA)	4-0054
Anoka IR Women's Club	4-0170
Apartment Owners & Tenants Political	
Action Committee	3-0124
Automotive Services Political Action Committee	3-0122
Bakers' Local 22 Political Fund	3-0139
Bloomington DFL Club	4-0092
Bloomington IR Committee	4-0217
Boilermaker Lodge 647 Political Fund	3-0125
Bottlers Local Union #343	4-0181
Brewery Workers Local 97	3-0053
Building & Construction Trades	
Minnesota	3-0148
Building & Construction Trades Council-	
St. Paul	3-0079
Building Owners & Managers	
Political Action Committee	3-0126
Business-Industry Political Action	
Committee of Minnesota (BIPAC)	4-0034
Cannon Falls DFL Party	4-0111
Central Labor Body AFL-CIO	
Political Fund - Bemidji	3-0170
Central Labor Union Legislative Fund-	
Minneapolis Council AFL-CIO	3-0011
Central Labor Union Political Fund-	
Cloquet	3-0015
Central Labor Union Political Fund-	
Rochester	3-0088
Citampin DFL Club	4-0199
Citizens Concerned for Good Gov.	
MN House Dist. 32A	4-0220
Citizens for Good Gov. Political	
Fund	4-0186
Coalition for a Progressive DFL	4-0154
Committee Associated for Responsible	
Government Operations (CARGO)	4-0035
Committee of Automotive Retailers (CAR)	4-0038
Committee of 9 Political Action Committee	
(St. Paul Retired Teachers)	4-0162
Committee of 13 Legislative Fund	
(Mpls. Retired Teachers)	4-0045
Communication Workers of America-	
District 7	3-0132
Communication Workers of America Local 7200	3-0052
Communication Workers of America Local 7201	3-0030
Concerned Citizens Political Fund	4-0058
Construction Industry Action Committee	4-0004

Committee or Fund	Registration No.
Coopers & Lybrand MN Good Government Fund	3-0171
Credit Union Volunteer Committee	4-0031
Culinary Council Political Contribution Fund	3-0060
DFL Feminist Caucus	4-0065
DFL State Fair Committee	4-0099
DHC Executives Political Action Committee	4-0122
Democrats for Life-1st District	4-0112
Democrats for Life-4th District	4-0106
Dorsey Political Fund	3-0116
Duluth Fire Fighters Political Action Fund	3-0096
Duluth Police Local Political Action Fund	3-0112
Duluth Women's IR Club	4-0171
Derus Volunteer Comm.	4-0230
DFL 7th District Leg. Caucus	4-0195
8th District COPE	3-0069
Elementary Principal's Action Committee	3-0103
Faegre & Benson Political Fund	3-0102
Fergus Falls IR Women's Club	4-0172
Food Political Action Committee of Minnesota (FOOD PAC)	4-0019
Friends of Irv Anderson	4-0197
Frenzel Vol. Comm. State Comm.	4-0215
1460 Fund	3-0163
Fridley Educators for Political Action (FEPA)	4-0059
GOP Feminist Caucus	4-0066
GOPAC - MINNESOTA	4-0205
Gray, Plant, Mooty & Bennett	4-0104
Hardware Political Action Committee	3-0118
Health Care Political Action Committee	4-0178
Hennepin County IR Women's Club	4-0173
Hennepin County Women's Political Caucus	4-0143
Hospital & Nursing Home Employees Union Local 113	3-0093
Hospitality Political Action Committee	4-0048
Hotel, Hospital, Restaurant & Tavern Local 21 Political Action Committee	3-0141
Hotel, Motel, Restaurant, Bar & Club Employees Union Local 17	3-0065
Hotel, Restaurant & Bartenders International Union-Tip Educational Fund	3-0142
Humphrey Tribute Committee	4-0177
IR Pro Family Caucus	4-0144
Independent Bankers of Minnesota Political Action Committee	3-0104
Independent Consumer Finance Political Action Committee	4-0006
Independent Minnesota Political Action Committee for Education (IMPACE)	4-0021
Insurance Federation PAC	4-0188
International Association of Firefighters Local S-6 Political Fund	3-0024
International Association of Machinists and Aerospace Workers Local 1833	3-0078
International Brotherhood of Electrical Workers Minnesota State PAC	3-0157
International Brotherhood of Electrical Workers Local 31 Volunteer COPE	4-0094

Committee or Fund	Registration No.
International Brotherhood of Electrical Workers Local 292 Political Education Fund	3-0119
International Brotherhood of Painters & Allied Trades Political Action Together	3-0084
Int'l. Woodworkers Assn. Region 4 Cope Fund	3-0175
International Union of Operating Engineers Local 34	3-0090
International Union of Operating Engineers Local 49	3-0058
Invest in Minnesota	4-0109
Iron Range Labor Assembly Legislative Fund	4-0103
Iron Workers Local 512 Political Fund	3-0092
Lawyers Public Affairs Committee	4-0110
Legislative Educational Committee Building Labors #132	3-0147
Lindquist & Vennum	4-0105
Management Concerned for Public Education	4-0151
McGovern, Opperman & Paquin Political Fund	3-0172
Minneapolis Building & Construction Trades Council	3-0012
Minneapolis City & County Employees District Council 3	3-0107
Minneapolis Fire Department Relief Association	3-0031
Mpls. Firefighters Local 82 Political Fund	3-0016
Minneapolis Police Officers Federation Contingency Fund	3-0085
Minneapolis Police Relief Association	3-0128
Minneapolis Retired Police Officers Association	3-0123
Minnesota Agents Political Action Committee	3-0049
MN Architects PAC	4-0192
MN Agriculture PAC	4-0201
Minnesota Association of Health Care Facilities (CARE-PAC)	3-0138
MN Assn. of Human Resource Consultants PAC	3-0160
Minnesota Association of Optometrists and Opticians	3-0117
Minnesota Bankers Political Action Committee	4-0011
MN Beer Wholesalers Assn. PAC	3-0164
Minnesota Cable Communications Association	3-0127
Minnesota Certified Public Accountants Public Affairs Committee	4-0138
Minnesota Chiropractic Political Action Committee	3-0111
Minnesota Conservative Victory Fund	4-0060
MN Dairies PAC	4-0198
Minnesota Democrat, Republican, Independent Voter Education Teamsters (DRIVE)	3-0013
Minnesota Dental Health Public Affairs Committee	3-0018
Minnesota Deputy Registrar Political Fund	4-0117
Minnesota Engineers Political Action Committee	3-0099

Committee or Fund	Registration No.
MN Environmental-Animal Voters Pol. Action Fund	4-0218
Minnesota Federation of Teachers Columbia Heights Local 710	3-0045
Minnesota Federation of Teachers-Duluth	3-0086
MN Federation of Teachers-Greenway Local 133 COPE Fund	3-0156
Minnesota Federation of Teachers Minneapolis Local 59	3-0022
Minnesota Federation of Teachers Minneapolis Local 59 Voluntary Fund	4-0096
Minnesota Federation of Teachers Osseo COPE Fund	3-0136
Minnesota Federation of Teachers Political Fund	3-0021
Minnesota Federation of Teachers Robbinsdale COPE	3-0032
Minnesota Federation of Teachers St. Louis Park 845 COPE	3-0082
Minnesota Federation of Teachers St. Paul Local 28	3-0064
Minnesota Federation of Teachers Voluntary Fund COPE	4-0068
MN Film Action Committee	4-0203
Minnesota Funeral Service Public Affairs Committee	4-0010
MN Government Engineers Council Pol. Action Fund	3-0166
Minnesota Gun Owners Political Victory Fund	4-0129
Minnesota Lawyers Volunteer Committee to Retain Incumbent Supreme Court Judges	4-0046
Minnesota Life Underwriters Political Action Committee (LUPAC)	4-0056
Minnesota Liquor Retailers Political Action Committee	4-0047
Minnesota Manufactured Housing PAC	4-0108
Minnesota Medical Political Action Committee (MINNPAC)	4-0036
Minnesota Optometric Political Action Committee (MOPAC)	3-0029
Minnesota Police & Peace Officers Fund	3-0121
Minnesota Politically Involved Nurses	4-0009
Minnesota Professional Firefighters Political Fund	3-0098
Minnesota Real Estate Political Action Fund	4-0072
MN Retail Merchants PAC	4-0039
Minnesota Residential Builders Political Action Committee	3-0137
Minnesota School Bus Operators Political Action Committee	4-0121
Minnesota Shorthand Reporters Association Political Action Committee	4-0139
Minnesota State Conference of Painters and Allied Trades	3-0173
MN Student PAC	4-0224
Minnesota Trial Lawyers Political Action Committee (TRIAL PAC)	4-0160
Minnesota Truck Operators Non-Partisan Committee	4-0118

Committee or Fund	Registration No.
Minnesota Women's Political Caucus	4-0041
Minnesota Women's Political Caucus Ramsey County Chapter	4-0015
MN Valley FLA Chapter	4-0212
Moorhead IR Women's Club	4-0174
Mortgage Bankers Political Action Committee	3-0100
Motel Political Action Comm.	3-0152
Municipal Employees Retirement Association	3-0007
Municipal Finance Political Action Committee	4-0120
Music Operators of Minnesota	3-0089
North Central Association of Credit Unions (CUPOLA)	4-0107
North Country Truckers Political Action Committee	4-0134
Northwest Petroleum Political Action Committee	4-0069
Northwestern Employees Good Government Committee	4-0187
Oil, Chemical & Atomic Workers International Union Local 6-75	3-0001
Oil, Chemical & Atomic Workers Political Fund Local 6-659	3-0076
Olmsted County DFL Women's Club	4-0080
Olmsted County IR Women's Organization	4-0176
O'Neill, Burke & O'Neill PAC	3-0169
PAF Committee-American Postal Workers	4-0119
PJH Employees PAC	4-0196
Pharmacists Political Action Committee	4-0016
Plumbers Union Local 15 COPE	3-0033
Plymouth IR Women's Club	4-0175
Political Action League of 9 (PAL) Letter Carriers	3-0109
Political Education Committee of Local 21 (Uniformed Fire)	3-0154
Public Employees Organized to Promote Legislative Equality (PEOPLE)	4-0051
Public Employees Pension Services Association (PEPSA)	3-0042
R D & L Fund	4-0116
Railway Clerks Political League	4-0137
Rainy River IR Women's Club	4-0179
Retired People Political Action Fund	3-0151
Right To Life Caucus	4-0148
Road Political Action Committee of Minnesota (ROADPAC)	4-0098
Rufer, Hefte, Pemberton, Schulze Sorlie & Sefkow	4-0067
St. Cloud Trades & Labor Assembly (COPE)	3-0067
St. Paul Area On-Sale Liquor Dealers Pol. Action Fund	3-0153
St. Paul Fire Department Relief Association	3-0003
St. Paul Police Federation Political Fund	3-0057
St. Paul Police Relief Association	3-0075
St. Paul Supervisor's Organ.	3-0159
St. Paul Trades & Labor Assembly	3-0008
St. Paul Volunteer Committee for Good Local Government	4-0040
Sachs, Latz & Kirschbaum Political Fund	3-0114
Savings Association for Voter Education and Responsibility (SAVER PAC)	4-0131

Committee or Fund	Registration No.
School Service Employees Local 284 COPE Donation Fund	4-0097
School Service Employees Local 284 Pol. Activity Fund	3-0050
Second Congressional District Legislative Committee	4-0074
Service & Custodial Employees Union Local 26	3-0036
Service Employees International Union Twin City Joint Council 7	3-0037
Service Employees Local 63 Political Committee	3-0017
Service Station Political Action Committee	4-0123
7th District DFL Senate Caucus	4-0169
Sheet Metal Workers #76 Legislative Legislative Fund	3-0155
Society for the Advancement of Free Enterprise	4-0193
Soft Drink Association Political Action Committee (SOF-PAC)	3-0120
Sportsmen Against Spannaus	4-0018
Spring Lake Park DFL Club	4-0093
State Highway Patrol Troopers Association Contingency Fund	3-0002
State Machinists Non Partisan Political League (MNPL)	3-0115
Teamsters Local 320 Political Fund	3-0027
Telephone Political Action Committee (TELPAC)	3-0108
Third District MN Nurses Assn. Pol. Action Fundraising Comm.	4-0228
3rd District Pro-Life DFL	4-0156
1300 Fund	3-0163
Transportation Political Education League (TPEL)	3-0019
United Association of Plumbers & Gas Fitters Local 34	3-0083
United Auto Workers Minnesota CAP Council	3-0035
United Electrical Radio & Machine Workers Local 1139	3-0113
United Food & Commercial Workers Political Fund	3-0174
United Steelworkers of America Educational Account	3-0087
United Steelworkers of America Local 1938	3-0041
United Steelworkers of America Local 3539 Political Fund	3-0072
Washington County Citizens Comm. for Better County Courts	4-0216

BALLOT QUESTION	
Citizens for Representative Government	22-0002
Labor and Farmers United	21-0004
League of Women Voters of MN Ballot Issues Fund	21-0001
MN Citizens for Initiative & Referendum	22-0001
MN Education Assn Ballot Fund	21-0005



THE ROSEVILLE *State* BANK

Roseville, Minnesota 55113 • 612/631-1300

Friendly neighbor to good people



LWV BALLOT ISSUE FUND

24 HOUR TELEPHONE TRANSFER LINE
631-1771

CUSTOMER NUMBER

PAGE NUMBER



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LWV Ballot Issue Fund

Roseville Account
#103

			1	2	3
		CX No			
1	Aug 8/18 Deposit		2500		
2	Sept 5 Deposit		3000		
3	15 "		11500		
4	18 "		14500		
5	9 29 Chase Printing	1		30000	
6					
7	10 15 Deposit		41500		
8	10 15 Chase Printing	2		40000	
9	10 20 Deposit		105000		
10	10 20 Meyers Printing	3		100000	
11	10 28 Deposit		60500		
12	10 30 Ellen Mark	4		8329	
13					
14	11 7 Deposit		32000		
15	11 19 "		6000		
16					
17	12 5 Meyers Printing	5		51000	
18	12 5 Chase Printing	6		12950	
19	12 31 Deposit		292520		
20	12 31 Meyers Printing	7		291520	
21	12 31 LWV NA	8		32897	
22					
23	1 8 Deposit		10000		
24	Bank Service			160	
25			579020	566856	
26					
27	Bal. 748 3-31-181		12164		
28					
29					
30					
31					
32					
33					
34					
35					
36					
37					
38					
39					
40					



REPORT OF RECEIPTS AND EXPENDITURES

POLITICAL COMMITTEES AND FUNDS
OTHER THAN PRINCIPAL CAMPAIGN COMMITTEES

STATE OF MINNESOTA
STATE ETHICAL PRACTICES BOARD
41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

01 Committee Registration Number		21-0001	
02 Name of Committee or Fund League of Women Voters of Minnesota			
03 Address	Street	City	State
555 Wabasha		St. Paul	MN
04 Area Code & Telephone Number		Zip Code	
612 - 224 - 5445		55102	

05 REPORTING DATES (check the appropriate box)	
<u>Date Due</u>	<u>Period Covered</u>
<input type="checkbox"/> September 2, 1980	January 1 through August 22, 1980
<input type="checkbox"/> October 27, 1980	August 23 through October 20, 1980
<input type="checkbox"/> January 31, 1981	October 21 through December 31, 1980
<input checked="" type="checkbox"/> February 1, 1982	January 1 through December 31, 1981
06 Check Box(es) below if appropriate	
<input checked="" type="checkbox"/> Termination	
<input type="checkbox"/> Amendment	
<input type="checkbox"/> No change since last report	

07 CERTIFICATION:	
I, <u>Georgeann R. Hall</u> CERTIFY THAT	
Print or Type Name	
THIS REPORT IS COMPLETE, TRUE, AND CORRECT.	
<u>Georgeann R. Hall</u>	
Signature of Treasurer	
<u>Feb. 1, 1982</u>	
Date	

Any person who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

Registration #
21-0001

Period Report Covers
Jan . 1 Through Dec. 31, 1981

INCOME SUMMARY

Line		TOTALS for Reporting Period Ended	Instruction
A. Contributions			
(1)	Cash Contributions <i>Itemize on Schedule A Page 4, Column B Where Necessary</i>	100.00	1
(2)	In Kind Donations <i>Itemize on Schedule A Page 4, Column C Where Necessary</i>	-0-	2
(3)	Total Contributions <i>Transfer to Page 3, Line (22), Column B</i>	100.00	4

B. Other Income

(4)	Miscellaneous Income (interest, refunds, etc.)	-0-	5
(5)	Repayments of Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column C</i>	-0-	6
(6)	Additional Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column B</i>	-0-	7
(7)	Total Other Income <i>Transfer to Page 3, Line (23), Column B</i>	-0-	8

EXPENDITURE SUMMARY

A. Contributions (Transfers) to Candidates, Political Committees or Funds

(8)	Cash Contributions (Transfers) to Candidate(s), Political Committees and Funds <i>Itemize on Schedule B Page 5, Column B, Where Necessary</i>	-0-	9
(9)	Goods and Services purchased on behalf of Candidate(s), Political Committees or Funds <i>Itemize on Schedule B, Page 5, Column C Where Necessary</i>	-0-	10
(10)	Independent Expenditures made on behalf of Candidate(s) <i>Itemize on Schedule C, Page 6, Column B, Where Necessary</i>	-0-	12
(11)	Total Contributions (Transfers) <i>Transfer to Page 3, Line (25), Column B</i>	-0-	14

B. Other Expenditures

(12)	Miscellaneous Expenditures	-0-	15
(13)	In Kind Expenditures	-0-	16
(14)	Repayments of Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column C</i>	-0-	17
(15)	Additional Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column B</i>	-0-	18
(16)	Total Other Expenditures <i>Transfer to Page 3, Line (26), Column B</i>	-0-	19

EXPENDITURE SUMMARY (Continued)

Line	C. EXPENDITURES AND CONTRIBUTIONS FOR BALLOT QUESTIONS	TOTALS for Reporting Period Ended	Instruction
(17)	Goods or Services purchased to promote or defeat ballot questions <i>Itemize on Schedule D, Page 6 where necessary</i>	123.24	20
(18)	Cash Contributed (transferred) to Political Committees or Political Funds organized to promote or defeat ballot questions <i>Itemize on Schedule B, Page 5, Column B where necessary</i>	-0-	22
(19)	Goods and Services purchased on behalf of Political Committees and Political Funds organized to promote or defeat ballot questions <i>Itemize on Schedule B, Page 5, Column C where necessary</i>	-0-	23
(20)	Total Expenditures on Ballot Questions <i>Transfer to Page 3, Line (27), Column B</i>	123.24	24

ASSET AND LIABILITY SUMMARY

Do not write in shaded areas

A + B = C

Line		Total From Last Report of Calendar Yr.	Total For Reporting Period Ending	Year To Date Total	Instruction
	TOTAL CASH				
(21)	Cash Balance at the beginning of the reporting period		23.24		25
(22)	Contributions <i>From Page 2, Line (3)</i>		100.00	100.00	26
(23)	Other Income <i>From Page 2, Line (7)</i>		-0-	-0-	27
(24)	SUB TOTAL		123.24		28
	LESS:				
(25)	Contributions (Transfers) to other Candidates, other Political Committees & Funds <i>From Page 2, Line (11)</i>		-0-		29
(26)	Other Expenditures <i>From Page 2, Line (16)</i>		-0-		30
(27)	Expenditures on Ballot Questions <i>From Page 3, Line (20)</i>		123.24	123.24	31
(28)	CASH BALANCE at end of reporting period		-0-		32
(29)	Notes and Loans Receivable <i>From Page 7, Schedule E, Column D</i>		-0-		33
(30)	Notes and Loans Payable <i>From Page 7, Schedule F, Column D</i>		-0-		35

Period Report Covers
Jan. 1 Through Dec. 31, 1981

**MONEY OR EXPENDITURES MADE FOR GOODS & SERVICES CONTRIBUTED (TRANSFERRED)
TO CANDIDATES*; TO COMMITTEES AND FUNDS SUPPORTING CANDIDATES* AND TO
COMMITTEES AND FUNDS ORGANIZED TO PROMOTE OR DEFEAT A BALLOT QUESTION**

$$A + B + C = D$$

Date Month Year	Name Address	If Goods or Services Nature of Goods or Services and if Ballot Question is Involved, give Title	Total From Last Report of Calendar Yr.	Cash Contri- butions (Transfers)	Goods and Services Contri- buted	Total Year To Date
NONE						

Period Report Covers
Jan. 1 Through Dec. 31, 1981

CASH CONTRIBUTIONS AND IN KIND DONATIONS

$$A + B + C = D$$
[illegible]

**(SCHEDULE C)
INDEPENDENT EXPENDITURES**

List in Alphabetical Order

Do not abbreviate names — Instruction # 13

				A	+	B	=	C
Date Month Year	Candidate Address	Office Sought	Purpose	Total From Last Report of Calendar Yr.		Independent Expenditures		Total Year To Date
NONE								

**INDEPENDENT EXPENDITURE
SWORN STATEMENT**

I hereby certify that all independent expenditures in excess of \$20 made and reported by this committee during the period being reported on were made without the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of any candidate, his principal campaign committee, or his agent.

Date

Signature of Treasurer

DISCLOSE ON THIS SCHEDULE

Any candidate* or committee or fund supporting candidates* on whose behalf you have made independent expenditures in excess of \$100 in aggregate in the calendar year.

*Includes **ONLY** candidates for Governor, Lt. Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator and State Representative, Elective Judgeships.

**(SCHEDULE D)
EXPENDITURES ON BALLOT QUESTION(S)**

List in Alphabetical Order

Do not abbreviate names — Instruction # 21

			A	+	B	=	C
Date Month Year	Name Address	Ballot Question	Total Last Report		Expenditures This Period		Total Year To Date
Aug. 1981	League of Women Voters of Minnesota (Repayment of postage in 1980)	1,2,4	-0-		120.64		120.64
1981	Roseville State Bank - service charge		-0-		2.60		2.60

Disclose on this schedule each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.

Registration # 21-0001

Period Report Covers
Jan. 1 Through Dec. 31, 1981

**(SCHEDULE E)
SUMMARY OF NOTES AND LOANS RECEIVABLE**

Instruction #34

			A	+	B	-	C	=	D
Date Month Year	Name and Address of Recipient	Occupation and Principal place of Business	Total From Last Report		Additions		Repayments		Total Year To Date
NONE									
TOTAL (Transfer to Page 3, Line (29) Column B)									

**(SCHEDULE F)
SUMMARY OF NOTES AND LOANS PAYABLE**

Instruction #36

			A	+	B	-	C	=	D
Date Month Year	Name and Address of Lendor and Endorsers	Occupation and Principal place of Business	Total From Last Report		Additions		Repayments		Total Year To Date
NONE									
TOTAL (Transfer to Page 3, Line (30) Column B)									

IN KIND - 1319.63 + 328.97 reimbursed = \$1648.60



REPORT OF RECEIPTS AND EXPENDITURES

POLITICAL COMMITTEES AND FUNDS
OTHER THAN PRINCIPAL CAMPAIGN COMMITTEES

STATE OF MINNESOTA
STATE ETHICAL PRACTICES BOARD
41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

01 Committee Registration Number

21-0001

02 Name of Committee or Fund

League of Women Voters of Minnesota

03 Address

Street

City

State

Zip Code

555 Wabasha

St. Paul

MN

55102

04 Area Code & Telephone Number

612 - 224 - 5445

05 REPORTING DATES (check the appropriate box)

Date Due

Period Covered

☐

September 2, 1980

January 1 through August 22, 1980

☐

October 27, 1980

August 23 through October 20, 1980

☐

January 31, 1981

October 21 through December 31, 1980

06 Check Box(es) below if appropriate

☐

Termination

☐

Amendment

☐

No change since last report

07 CERTIFICATION:

I, George R. Hall CERTIFY THAT
Print or Type Name
THIS REPORT IS COMPLETE, TRUE, AND CORRECT.

George R. Hall
Signature of Treasurer

Jan 28 - 81
Date

Any person who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

Registration #

21-0001

Period Report Covers

Oct. 31, 1980

Through

Dec. 31, 1980

INCOME SUMMARY

Line		TOTALS for Reporting Period Ended	Instruction
A. Contributions			
(1)	Cash Contributions <i>Itemize on Schedule A Page 4, Column B Where Necessary</i>	2910.20	1
(2)	In Kind Donations <i>Itemize on Schedule A Page 4, Column C Where Necessary</i>	(26.99)	2
(3)	Total Contributions <i>Transfer to Page 3, Line (22), Column B</i>	3883.21	4

B. Other Income

(4)	Miscellaneous Income (interest, refunds, etc.)	-0-	5
(5)	Repayments of Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column C</i>	-0-	6
(6)	Additional Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column B</i>	-0-	7
(7)	Total Other Income <i>Transfer to Page 3, Line (23), Column B</i>	-0-	8

EXPENDITURE SUMMARY

A. Contributions (Transfers) to Candidates, Political Committees or Funds

(8)	Cash Contributions (Transfers) to Candidate(s), Political Committees and Funds <i>Itemize on Schedule B Page 5, Column B, Where Necessary</i>	-0-	9
(9)	Goods and Services purchased on behalf of Candidate(s), Political Committees or Funds <i>Itemize on Schedule B, Page 5, Column C Where Necessary</i>	-0-	10
(10)	Independent Expenditures made on behalf of Candidate(s) <i>Itemize on Schedule C, Page 6, Column B, Where Necessary</i>	-0-	12
(11)	Total Contributions (Transfers) <i>Transfer to Page 3, Line (25), Column B</i>	-0-	14

B. Other Expenditures

(12)	Miscellaneous Expenditures	-0-	15
(13)	In Kind Expenditures	(26.99)	16
(14)	Repayments of Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column C</i>	-0-	17
(15)	Additional Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column B</i>	-0-	18
(16)	Total Other Expenditures <i>Transfer to Page 3, Line (26), Column B</i>	(26.99)	19

Registration #

21-0001

Period Report Covers

Oct. 21, 1980

Through

Dec. 31, 1980

EXPENDITURE SUMMARY (Continued)

Line	C. EXPENDITURES AND CONTRIBUTIONS FOR BALLOT QUESTIONS	TOTALS for Reporting Period Ended	Instruction
(17)	Goods or Services purchased to promote or defeat ballot questions <i>Itemize on Schedule D, Page 6 where necessary</i>	3966.96	20
(18)	Cash Contributed (transferred) to Political Committees or Political Funds organized to promote or defeat ballot questions <i>Itemize on Schedule B, Page 5, Column B where necessary</i>	-0-	22
(19)	Goods and Services purchased on behalf of Political Committees and Political Funds organized to promote or defeat ballot questions <i>Itemize on Schedule B, Page 5, Column C where necessary</i>	-0-	23
(20)	Total Expenditures on Ballot Questions <i>Transfer to Page 3, Line (27), Column B</i>	3966.96	24

ASSET AND LIABILITY SUMMARY

Do not write in shaded areas

A + B = C

Line		Total From Last Report of Calendar Yr.	Total For Reporting Period Ending	Year To Date Total	Instruction
	TOTAL CASH				
(21)	Cash Balance at the beginning of the reporting period		80.00		25
(22)	Contributions <i>From Page 2, Line (3)</i>	3126.62	3883.21	7009.83	26
(23)	Other Income <i>From Page 2, Line (7)</i>	-0-	-0-	-0-	27
(24)	SUB TOTAL		3963.21		28
	LESS:				
(25)	Contributions (Transfers) to other Candidates, other Political Committees & Funds <i>From Page 2, Line (11)</i>	-0-	-0-	-0-	29
(26)	Other Expenditures <i>From Page 2, Line (16)</i>	1346.62	(26.99)	1319.63	30
(27)	Expenditures on Ballot Questions <i>From Page 3, Line (20)</i>	1700.00	3966.96	5666.96	31
(28)	CASH BALANCE at end of reporting period		23.24		32
(29)	Notes and Loans Receivable <i>From Page 7, Schedule E, Column D</i>		-0-		33
(30)	Notes and Loans Payable <i>From Page 7, Schedule F, Column D</i>		-0-		35

Registration # 21-0001

Period Report Covers
Oct. 21, 1980 Dec. 31, 1980
Through

**(SCHEDULE A)
CASH CONTRIBUTIONS AND IN KIND DONATIONS**

List in Alphabetical Order

Do not abbreviate names — Instruction #3

A + B + C = D

Date Month Year	Name Address	Employer or if Self Employed, Occupation	If in Kind List Item	Total From Last Report of this Calendar Yr.	Cash Contri- butions	In Kind Contri- butions	Total Year To Date
Aug. 23 - Dec. 31	League of Women Voters of Minnesota 555 Wabasha, St. Paul, MN 55102		staff supplies Xeros Phone Postage travel space	610.17 25.40 305.40 26.10 193.20 150.65 35.70	-- -- -- -- -- -- --	(26.99) -- -- -- -- -- --	583.18 25.40 305.40 26.10 193.20 150.65 35.70
Dec. 12 1980	Citizens for Representative Govt. 15 South 5th Street (John Bergford) Minneapolis, MN			--	2915.20	--	2915.20
Oct. 31 1980	Volunteers for Phyllis Kahn Minneapolis, MN			--	200.00	--	200.00
Oct. 1980	Labor & Farmers United - Triya Kahl Treas. 175 Europa, St. Paul, MN 55103			1000.00	--	--	1000.00
Oct 10 1980	Northern Natural GasCo. Omaha, Nebraska			--	500.00	--	500.00
Oct. 1980	J.F. X. Weinig 2645 Riviera Ct. White Bear Lake, MN 55110	3M		300.00	--	--	300.00

DISCLOSE ON THIS SCHEDULE: *For committees or funds supporting legislative candidates*

- Any individual, committee or fund from which your committee or fund received in excess of \$50 in cash and/or "in kind" in the calendar year (donations "in kind" are also reported as expenditures "in kind")

For committees or funds either supporting only statewide candidates or organized only to promote or defeat a ballot question

- Any individual, committee or fund from which your committee or fund received in excess of \$100 in cash and/or "in kind" in the calendar year. (donations "in kind" are also reported as expenditures "in kind")

For all earmarked contributions the following information is required: (1) original source of contribution (2) Fund through which contribution directed (3) The ultimate recipient of the contribution. This information can be reported on the above schedule or on an attached sheet.

Registration #

21-0001

Period Report Covers

Oct. 21, 1980

Through

Dec. 31, 1980

**(SCHEDULE C)
INDEPENDENT EXPENDITURES**

List in Alphabetical Order

Do not abbreviate names — Instruction # 13

				A	+	B	=	C
Date Month Year	Candidate Address	Office Sought	Purpose	Total From Last Report of Calendar Yr.		Independent Expenditures		Total Year To Date

**INDEPENDENT EXPENDITURE
SWORN STATEMENT**

I hereby certify that all independent expenditures in excess of \$20 made and reported by this committee during the period being reported on were made without the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of any candidate, his principal campaign committee, or his agent.

Date

Signature of Treasurer

DISCLOSE ON THIS SCHEDULE

Any candidate* or committee or fund supporting candidates* on whose behalf you have made independent expenditures in excess of \$100 in aggregate in the calendar year.

*Includes **ONLY** candidates for Governor, Lt. Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator and State Representative, Elective Judgeships.

**(SCHEDULE D)
EXPENDITURES ON BALLOT QUESTION(S)**

List in Alphabetical Order

Do not abbreviate names — Instruction # 21

				A	+	B	=	C
Date Month Year	Name Address	Ballot Question		Total Last Report		Expenditures This Period		Total Year To Date
Dec. 1980	Chase Printing 411 Broadway St., St. Paul 55101	1,2,4		700.00		129.50		829.50
Dec. 1980	League of Women Voters of MN 555 Wabasha, St. Paul 55002	1,2,4		-0-		328.97		328.97
Dec. 1980	Meyers Printing Co 500 South Third St., Minneapolis 55415	4		1000.00		3425.20		4425.20
Oct. 1980	Moak, Ellen 1051 25th Ave. No., St. Cloud, MN 56301	4		-0-		83.29		83.29

Disclose on this schedule each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.



STATE OF MINNESOTA
STATE ETHICAL PRACTICES BOARD
41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

REPORT OF RECEIPTS AND EXPENDITURES

POLITICAL COMMITTEES AND FUNDS
OTHER THAN PRINCIPAL CAMPAIGN COMMITTEES

01 Committee Registration Number

21-0001

02 Name of Committee or Fund

League of Women Voters of MN Ballot Issues Fund

03 Address

555

Street

Wabasha

City

St. Paul

State

Minnesota

Zip Code

55102

04 Area Code & Telephone Number

612 - 224 - 5445

05

REPORTING DATES (check the appropriate box)

Date Due

Period Covered

☐

September 2, 1980

January 1 through August 22, 1980

☒

October 27, 1980

August 23 through October 20, 1980

☐

January 31, 1981

October 21 through December 31, 1980

06

Check Box(es) below if appropriate

☐

Termination

☐

Amendment

☐

No change since last report

07

CERTIFICATION:

I, Georgeann R. Hall CERTIFY THAT
THIS REPORT IS COMPLETE, TRUE, AND CORRECT.

Georgeann R. Hall
Signature of Treasurer

October 27, 1980
Date

Any person who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

Registration #

21-0001

Period Report Covers

Aug. 33, 1980 Through October 20, 1980

INCOME SUMMARY

Line		TOTALS for Reporting Period Ended	Instruction
A. Contributions			
(1)	Cash Contributions <i>Itemize on Schedule A Page 4, Column B Where Necessary</i>	1755.00	1
(2)	In Kind Donations <i>Itemize on Schedule A Page 4, Column C Where Necessary</i>	954.91	2
(3)	Total Contributions <i>Transfer to Page 3, Line (22), Column B</i>	2709.91	4

B. Other Income

(4)	Miscellaneous Income (interest, refunds, etc.)	-0-	5
(5)	Repayments of Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column C</i>	-0-	6
(6)	Additional Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column B</i>	-0-	7
(7)	Total Other Income <i>Transfer to Page 3, Line (23), Column B</i>	-0-	8

EXPENDITURE SUMMARY**A. Contributions (Transfers) to Candidates, Political Committees or Funds**

(8)	Cash Contributions (Transfers) to Candidate(s), Political Committees and Funds <i>Itemize on Schedule B Page 5, Column B, Where Necessary</i>	-0-	9
(9)	Goods and Services purchased on behalf of Candidate(s), Political Committees or Funds <i>Itemize on Schedule B, Page 5, Column C Where Necessary</i>	-0-	10
(10)	Independent Expenditures made on behalf of Candidate(s) <i>Itemize on Schedule C, Page 6, Column B, Where Necessary</i>	-0-	12
(11)	Total Contributions (Transfers) <i>Transfer to Page 3, Line (25), Column B</i>	-0-	14

B. Other Expenditures

(12)	Miscellaneous Expenditures	-0-	15
(13)	In Kind Expenditures	954.91	16
(14)	Repayments of Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column C</i>	-0-	17
(15)	Additional Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column B</i>	-0-	18
(16)	Total Other Expenditures <i>Transfer to Page 3, Line (26), Column B</i>	954.91	19

Registration #

21-0001

Period Report Covers

Aug. 23

Through

Oct. 20, 1980

EXPENDITURE SUMMARY (Continued)

Line	C. EXPENDITURES AND CONTRIBUTIONS FOR BALLOT QUESTIONS	TOTALS for Reporting Period Ended	Instruction
(17)	Goods or Services purchased to promote or defeat ballot questions <i>Itemize on Schedule D, Page 6 where necessary</i>	1700.00	20
(18)	Cash Contributed (transferred) to Political Committees or Political Funds organized to promote or de- <i>Itemize on Schedule B, Page 5, Column B where necessary</i> feat ballot questions	-0-	22
(19)	Goods and Services purchased on behalf of Political Committees and Political Funds organized to pro- <i>Itemize on Schedule B, Page 5, Column C where necessary</i> mote or defeat ballot questions	-0-	23
(20)	Total Expenditures on Ballot Questions <i>Transfer to Page 3, Line (27), Column B</i>	1700.00	24

ASSET AND LIABILITY SUMMARY

Do not write in shaded areas

A + B = C

Line		Total From Last Report of Calendar Yr.	Total For Reporting Period Ending	Year To Date Total	Instruction
	TOTAL CASH				
(21)	Cash Balance at the beginning of the reporting period		25.00		25
(22)	Contributions <i>From Page 2, Line (3)</i>	416.71	2709.91	3126.62	26
(23)	Other Income <i>From Page 2, Line (7)</i>	-0-	-0-	-0-	27
(24)	SUB TOTAL		2734.91		28
	LESS:				
(25)	Contributions (Transfers) to other Candidates, other Political Committees <i>From Page 2, Line (11)</i> & Funds	-0-	-0-	-0-	29
(26)	Other Expenditures <i>From Page 2, Line (16)</i>	391.71	2254.21 954.91	2254.21 1346.62	30
(27)	Expenditures on Ballot Questions <i>From Page 3, Line (20)</i>	-0-	1700.00	1700.00	31
(28)	CASH BALANCE at end of reporting period		80.00		32
(29)	Notes and Loans Receivable <i>From Page 7, Schedule E, Column D</i>		-0-		33
(30)	Notes and Loans Payable <i>From Page 7, Schedule F, Column D</i>		-0-		35

Registration #
21-0001

Period Report Covers
Aug. 23 Through Oct. 20, 1980

**(SCHEDULE A)
CASH CONTRIBUTIONS AND IN KIND DONATIONS**

List in Alphabetical Order

Do not abbreviate names — Instruction #3

A + B + C = D

Date Month Year	Name Address	Employer or if Self Employed, Occupation	If in Kind List Item	Total From Last Report of this Calendar Yr.	Cash Contri- butions	In Kind Contri- butions	Total Year To Date
Aug. 23 -	League of Women Voters of Minnesota		staff	303.26		306.89	610.17
Oct. 20	555 Wabasha, St. Paul, MN 55102		supplies	10.95		10.95	25.40
			Xerox	295.23		295.13	305.40
			Phone	11.30		14.80	26.10
			Postage	52.41		140.79	193.20
			travel	-0-		150.65	150.65
			space	-0-		35.70	35.70
Oct. 1980	Labor & Farmers United - Triva Kahl Treas			-0-	1000.00		1000.00
	175 Aurora, St. Paul, MN 55103						
Oct. 1980	J.F. X. Weinig 3M			-0-	300.00		300.00
	2645 Riviera Ct						
	White Bear Lake, MN 55110						

DISCLOSE ON THIS SCHEDULE: *For committees or funds supporting legislative candidates*

- Any individual, committee or fund from which your committee or fund received in excess of \$50 in cash and/or "in kind" in the calendar year (donations "in kind" are also reported as expenditures "in kind")

For committees or funds either supporting only statewide candidates or organized only to promote or defeat a ballot question

- Any individual, committee or fund from which your committee or fund received in excess of \$100 in cash and/or "in kind" in the calendar year. (donations "in kind" are also reported as expenditures "in kind")

For all earmarked contributions the following information is required: (1) original source of contribution (2) Fund through which contribution directed (3) The ultimate recipient of the contribution. This information can be reported on the above schedule or on an attached sheet.

Registration #

21-0001

Period Report Covers

Aug. 29

Through Oct. 30, 1990

**(SCHEDULE C)
INDEPENDENT EXPENDITURES**

List in Alphabetical Order

Do not abbreviate names — Instruction # 13

A + B = C

Date Month Year	Candidate Address	Office Sought	Purpose	Total From Last Report of Calendar Yr.	Independent Expenditures	Total Year To Date

**INDEPENDENT EXPENDITURE
SWORN STATEMENT**

I hereby certify that all independent expenditures in excess of \$20 made and reported by this committee during the period being reported on were made without the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of any candidate, his principal campaign committee, or his agent.

Date

Signature of Treasurer

DISCLOSE ON THIS SCHEDULE

Any candidate* or committee or fund supporting candidates* on whose behalf you have made independent expenditures in excess of \$100 in aggregate in the calendar year.

*Includes **ONLY** candidates for Governor, Lt. Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator and State Representative, Elective Judgeships.

**(SCHEDULE D)
EXPENDITURES ON BALLOT QUESTION(S)**

List in Alphabetical Order

Do not abbreviate names — Instruction # 21

A + B = C

Date Month Year	Name Address	Ballot Question	Total Last Report	Expenditures This Period	Total Year To Date
Oct. 1980	Chase Printing 411 Broadway St. St. Paul 55101	1,2,4	-0-	700.00	700.00
Oct. 1980	Meyers Printing Co. 500 South Third St., Minneapolis 55415	4		1000.00	1000.00

Disclose on this schedule each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.

Aug 23 → Oct 20

117 xerox #copies X. 0332 1-10 --.06

Phone

Staff

Supplies

Xerox Postage

J & R

4.40 Jessie

5.70 BA

5.13 Karen

1.43

.48

8.56

10.26

Vouchers

14.80

Leap

2.56

C.F.

Karen

38.47

4.70 Peggy

14.10

Pat

15.00

5.70 BA. - 12 hrs

68.40

10.95

135.46

32.10

General Sally 10.5 hrs.

86.52

.41

4.40 Jessie

48.40

58.18

19.80

Jessie Focus

1.65

1.58

BA's book

66.68

Board Memo

27.89

9.10

Workshop Infocus space 35.70

22.66

73.12

travel 150.65

Phone

14.80

306.89

10.95

295.13

140.79



LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA • ST. PAUL, MINNESOTA 55102

PHONE: (612) 224-5445

TO:

FROM:

SUBJECT:

DATE:

MEMO

Board Memo - September, 1980:

mailed { I & R con arguments: 6 sides x 140 840 x .0332
3rd class
mailed { I & R flyer: 50cs x ~~14~~ 70
3rd class { yes on one: 50cs x 70 } .13 x 70 = 9.10
class

1c of each for DPM, Board

Cheb postage sheets plus B.A.'s book

8/ 26.66 -
6/160 - Staff & Xerox
40

26.66 Focus -
16.00 Amendment in
3 out state lks.

20 sides - 80 Amend kit for PM Focus 16000
travel voucher - hotel 18.50 }
travel 39.00 } weing
10/24

42.66 staff & xerox
53.12 xerox

Tewa - 15.40

150.65

Erica - travel 21.00
other 56.75

Space

Chase 164.00
Dayton 153.90
J. Jingle 47.97
YWCA 200.00

565.87 x .375 = 212.20

Income PM Focus - 160.00
1/5 outstate Workshops - 16.50

458.63 176.50

412.50
5 = 82.50 x .20% = 16.50

Net in kind - \$282.13
on workshops.

Space - 35.70

Staff 22.66 xerox 73.12 travel 150.65

AMENDMENT PROMOTION - ACTION

Done

SPECIAL PROJECTS RECORD FORM
(e.g. workshops, publication, grants)

Who:

Project:

What
(e.g. letters, press
releases, flyers, program)

Xeroxing
of sides/copies

Postage

Envelopes

Travel

Date	Time	What (e.g. letters, press releases, flyers, program)	Xeroxing # of sides/copies	Postage	Envelopes	Travel
✓ 8/26	8 hours	Action Kit - Board Memo	150 of 20 sides			
✓ 8/3	1/4 hr.	1 Blue sheet - Amendments flyer				
✓ 9/3	2 hours	Letter to Harbors (2 pages) Enclose blue Amendment flyer	2 sides - 85 copies	\$12.30	82 amendments 82 regular Env. 82 small Env. enclosed	
9/4	1 hour	70 XEROXED on letterheads to LH Presidents	70 of 1 side		70 large white envelopes	
✓ 9/8	1/4 hour	I & R Tape Script	8 sides			
✓ 10/17	2 3/4 hours	Memo to Lhs & Action chairs + Amendments flyer (130)	130 of 1 side	\$7.88	52 1/2 business envelopes	

All billed to Common Cause Coalition

4080 x .0332

130
9/10

AMENDMENT PROMOTION - ACTION

SPECIAL PROJECTS RECORD FORM

(e.g. workshops, publication, grants)

Who:

Jessie, Peggy, Judy
+ Pat

Project:

What

(e.g. letters, press releases, flyers, program)

Xeroxing

of sides/copies

Postage

Envelopes

Name

Date

Time spent

8/6

2 1/2 hrs

roughing flyer

9/30

2 1/2

briefing

9/2

3

report

9/15

3

1 - 40

1 - 40

3 - 10

4 - 10

5 - 2

22 10

1 - 40

9/15

5 min.

9/17

9/30

Mailed flyers

.41

10/6

1

"

"

10/7

1/2

"

"

10/9

1

10/10

1/2

"

"

10/15

4 1/2

10/16

2

10/17

1 1/2

2 Audio/250 @ P.R. 19.80

2 " 100

2 " 150

1120 x .0332 37.18

300 x .06 18.00

(BA has this in notebook)

gm

Pat

Pat

Peggy

Sally

Peggy

Sally

gm

gm

Done

AMENDMENT PROMOTION - ACTION

SPECIAL PROJECTS RECORD FORM

(e.g. workshops, publication, grants)

Who: Karen Anderson

Take
1,375 off
What other Focus sheet

Project:

(e.g. letters, press
releases, flyers, program)Xeroxing
// of sides/copies

Postage

//
Envelopes

Travel

Date	Time spent	What	Xeroxing // of sides/copies	Postage	Envelopes	Travel
7-8	1/2 hr.	planning Focus (afternoon)				
7-23	1 1/2 hr.	news conference				
7-24	2 1/2 "	on I/R				
7-28	4	"				
7-29	1/2 "	"				
8-4	1 hr.	planning				
8-5	1 1/2 "	pr response IR				
5-18	3 hours	pr Fallout on I/R				
8/18-20	2 1/2	I/R pr response				
8/26-28	3 hrs.					
9-3	1/2 hr.	I/R				
9-8	1/2 "	"				
9-10 to 18	✓ 1 hr.	- speaker coord/phone calls				
10-7 & 8	✓ 1 1/2 hr.	news release on comp. finance. + reggo.				
10-9	✓ 1/2 "	"				
10-13 & 15	✓ 1 1/2 hr.	"				
10-13 to 15	✓ 1 hr.	I/R related				
10-23-25	2 "	"				

all related 1 hr. plus 1 hr. - gen.

due at LWVMN office October 22, 1980

OCT 30 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Edina

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) _____

No income was received. (Signed) _____

Martin Kelly

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage → *flyers sent*

Staff Salaries to various

Other *organizational*

Total

*Total
\$5.96*

2.20

\$8.16

We have not incurred any expense. (Signed) _____

$$\begin{array}{r} 41¢ \\ \times 7 \\ \hline 2.87 \end{array}$$

postage

due at LWVMN office January 5, 1981

LWVMN Ballot Issues Campaign Fund - LWV of

Alexandria

Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) Jenny Floyd \$50.00 sent

No income was received. (Signed) _____

EXPENDITURES:

Amendment #1 .

Amendment #2

Amendment ~~#3~~ 4

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Invoice 2828
" " 2834

.65
10.93

\$ 11.58

T/R&R
Flyers

TOTAL



INVOICE

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA STREET
ST. PAUL, MINNESOTA 55102
612-224-5445

Jenny Froyd, Treasurer
League of Women Voters of Alexandria
Route 5, W. Lake Carlos
Alexandria, MN 56308

November 11, 1980

DATE	QUANTITY	ITEM	UNIT	TOTAL
10/15/80	500	Amendments Flyers - Postage and Handling Only		\$ 5.44
10/27/80		Shipment of assorted Amendments Flyers - Postage and Handling Only		1.56
	Two red LWV signs		\$1.25/each	2.50
		Postage and Handling		1.43

\$10.93

143

9.50

PLEASE PAY ON THIS INVOICE - NO STATEMENT WILL BE SENT

League of Women Voters of Alexandria
P.O. Box 156
Alexandria, Minnesota 56308

OCT 23 1980

LWVMN
555 Wabasha
St. Paul, MN 55102

S 10/27/80
P 1.56

Please send about 50 each of
the flyers on Amendment 1 & 4 and
an additional 200 of the blue sheets
on the Constitutional Amendments.
We will, of course, pay postage.

Also, I would like to order a couple
of those red LWV signs Mike had for
the membership workshop this fall.

Thank you.

↓

Sincerely,

S 10/27/80

Cathy Hegg

P .93

Blue Sheets
alex

- ~~450~~ blue sheets

500 - " "

1st class

Babbar
Babbar Benser
Boy 156

Alexandra 56308

Will pay P & H

S 10.15

P 2.47
2.07

(2 pkgs)
PRIORITY

due at LWVMN office October 22, 1980

NOV 5 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Minneapolis

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) ~~ME~~

No income was received. (Signed)

Margit K. Berg

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

~~\$9~~ total among all amendments
\$ ~~4~~ 4 total among all ~~3~~ amendments
~~\$9~~ 9 total among all amendments
~~\$22.50~~ 22.50 total among all amendments
~~\$35.50~~ 35.50 among all amendments

We have not incurred any expense. (Signed) _____

due at LWVMN office October 22, 1980

NOV 5 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Willmer

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) _____

No income was received. (Signed) _____

Anna West

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Anna West

NOV 6 1980



ASSOCIATION FOR VOLUNTEER ADMINISTRATION

P.O. Box 4584

Boulder, Colorado 80306

Phone 303-443-2100

November 4, 1980

Harriette Burkhalter
League of Women Voters
Ballot Issues Fund
555 Wabasha
St. Paul, MN 55102

Thank you for your participation in our AVA/AVB/AVAS
1980 Conference.

Sincerely,

A handwritten signature in cursive script that reads 'Martha N. Martin'. The signature is fluid and elegant, with the first letters of each word being capitalized and prominent.

Martha N. Martin
Executive Secretary

League of Women Voters of Minnesota, 555 Wabasha, St Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

LWVMN Ballot Issues Campaign Fund - LWV of White Bear / North Oaks
Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) Jane Bacon

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Jane Bacon

due at LWVMN office October 22, 1980

LWVMN Ballot Issues Campaign Fund - LWV of White Bear / North Oaks
Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) Jane Bacon

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Jane Bacon

due at LWVMN office January 5, 1981

LWVMN Ballot Issues Campaign Fund - LWV of White Bear / North Oaks
Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) Jane Bacon

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Jane Bacon



INVOICE

2921

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA STREET
ST. PAUL, MINNESOTA 55102
612-224-5445

Ballot Issues Fund

January 5, 1981

DATE	QUANTITY	ITEM	UNIT	TOTAL
		Volunteer Expenses #4		\$ 14.10
		Staff Expenses #4		53.61
		Staff Expenses #1, #2, #4 (\$26.99 August 23/October 20)		166.55
		Postage #1, #2, #4		36.17
		Phone #4		<u>58.54</u>
				\$328.97

PLEASE PAY ON THIS INVOICE - NO STATEMENT WILL BE SENT



INVOICE

2921

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA STREET
ST. PAUL, MINNESOTA 55102
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		Phone #4		<u>58.54</u>
				\$328.97

PLEASE PAY ON THIS INVOICE - NO STATEMENT WILL BE SENT

Amendments

Oct 20 — Dec 31

	Vol exp	Phone	Staff	Supplies	Xerox	Salage
I & R						
5.13 Karen			5.13			
2 BA			11.40			
4 1/2 Sally			37.08			
Alex						.65
LWUMN		8.59		1.90		4.77
past report.		5.12		3.15		
H.H.B	5.70	33.34				
Mark	8.40	11.49				1.08
Reapper						
LWUMN				2.85		2.75
past paper				.15		.34

C. F
Vol. exp 5.00

General						
Karen			10.26			
(5) BA 5.70			28.50			
Pat			45.00			
Edina				2.20		5.96
Alex						9.50
LWUMN				3.40		20.71
past report	20.59	2 checks		8.05		
Mark	62.70	✓ 83.29				

~~11.12~~ ~~11.12~~ 137.37 21.70 44.68

Buffington I & R. 20.59 ✓

Total - \$301.98

Volunteer - 39.69
Phone. 58.54



INVOICE

2661

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA STREET
ST. PAUL, MINNESOTA 55102
612-224-5445

LWVMN Ballot Issues Fund

October 30, 1980

DATE	QUANTITY	ITEM	UNIT	TOTAL
Reimbursement to Ellen Mork for:				
		Mileage to Detroit Lakes Fall Workshop		\$62.70
		Phone calls relating to I&R		20.59
				<u>\$83.29</u>

PLEASE PAY ON THIS INVOICE - NO STATEMENT WILL BE SENT



INVOICE

2661

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA STREET
ST. PAUL, MINNESOTA 55102
612-224-5445

LWVMN Ballot Issues Fund

October 30, 1980

DATE	QUANTITY	ITEM	UNIT	TOTAL
Reimbursement to Ellen Mork for:				
		Mileage to Detroit Lakes Fall Workshop		\$62.70
		Phone calls relating to I&R		<u>20.59</u>
				\$83.29

PLEASE PAY ON THIS INVOICE — NO STATEMENT WILL BE SENT



INVOICE

2661

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA STREET
ST. PAUL, MINNESOTA 55102
612-224-5445

LWVMN Ballot Issues Fund

October 30, 1980

DATE	QUANTITY	ITEM	UNIT	TOTAL
------	----------	------	------	-------

Reimbursement to Ellen Mork for:

Mileage to Detroit Lakes Fall Workshop

\$62.70

Phone calls relating to I&R

20.59

\$83.29



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due at LVVMN office October 22, 1980

LWVMN Ballot Issues Campaign Fund - LWV of

St. Cloud Area

OCT 23 1980

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Ellen E. York

EXPENDITURES:

I can't figure time spent on #1 separately! Hours if they count ~100 hrs @ minimum wage 50 mi @ 19¢ = \$9.50

	Amendment #1	Amendment #2	Amendment #4
In-kind Contribution			
Printing/copying of material			\$ 4.00
Postage			0.45
Staff Salaries			—
Other <i>babysitting</i>			10.00
Total			\$ 23.95

Georgeannorally: If hours count, please figure dollar for me - I don't know the minimum wage for me!!! hour breakdown on reverse →

We have not incurred any expense. (Signed) _____

Ellen Mork 76 - writing, T.V., radio, lit. distribution, meetings,
planning time
Linda Peck 8 - literature distribution & T.V. & meeting & planning
Irene Nordling 2 - T.V. (all 5 amendments)
Wyn Hjermstad 1 - typing
Seanna Lederer 2 - literature distribution
Flora Shinkle 2 - " "
Candy Barriek 2 - " "
Sharon Knutson 3 - prep. time and radio taping (all 5 amendments)
Audrey Kruel 2 - literature distribution
Peg Sundell 2 - " "
Misc. 100

due at LWVMN office October 22, 1980

OCT 22 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Moorhead

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Judith E. Bailey, President

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Judith E. Bailey, President

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

OCT 21 1980

LWVMN Ballot Issues Campaign Fund - LWV of Alexandria
Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

EXPENDITURES:

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

Amendment #1

Amendment #2

Amendment #3

We have not incurred any expense. (Signed) _____

due at LWVMN office October 22, 1980

LWVMN Ballot Issues Campaign Fund - LWV of Alexandria
Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

EXPENDITURES:

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

Amendment #1

Amendment #2

Amendment #3

We have not incurred any expense. (Signed) _____

due at LWVMN office October 22, 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Berk. Park OCT 27 1980
Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

EXPENDITURES:

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

Amendment #1

Amendment #2

Amendment #3

We have not incurred any expense. (Signed) _____

Judith McArnold, Treasurer

due at LWVMN office October 22, 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Mankato Area

OCT 23 1980

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Aileen V. Eck

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Aileen V. Eck



REPORT OF RECEIPTS AND EXPENDITURES

POLITICAL COMMITTEES AND FUNDS
OTHER THAN PRINCIPAL CAMPAIGN COMMITTEES

STATE OF MINNESOTA

STATE ETHICAL PRACTICES BOARD
41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

01 Committee Registration Number

21-0001

02 Name of Committee or Fund

League of Women Voters of MN Ballot Issues Fund

03 Address

Street

City

State

Zip Code

555

Wabasha

St. Paul

Minnesota

55102

04 Area Code & Telephone Number

612 - 224

- 5445

05

REPORTING DATES (check the appropriate box)

Date Due

Period Covered



September 2, 1980

January 1 through August 22, 1980



October 27, 1980

August 23 through October 20, 1980



January 31, 1981

October 21 through December 31, 1980

06

Check Box(es) below if appropriate



Termination



Amendment



No change since last report

07

CERTIFICATION:

I, Georgeann R. Hall CERTIFY THAT
Print or Type Name
THIS REPORT IS COMPLETE, TRUE, AND CORRECT.

Signature of Treasurer

Sept. 2, 1980

Date

Any person who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

Registration #

21-0001

Period Report Covers

Jan. 1

Through

Aug. 22, 1980

INCOME SUMMARY

Line		TOTALS for Reporting Period Ended	Instruction
A. Contributions			
(1)	Cash Contributions <i>Itemize on Schedule A Page 4, Column B Where Necessary</i>	25.00	1
(2)	In Kind Donations <i>Itemize on Schedule A Page 4, Column C Where Necessary</i>	391.71	2
(3)	Total Contributions <i>Transfer to Page 3, Line (22), Column B</i>	416.71	4

B. Other Income

(4)	Miscellaneous Income (interest, refunds, etc.)	-0-	5
(5)	Repayments of Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column C</i>	-0-	6
(6)	Additional Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column B</i>	-0-	7
(7)	Total Other Income <i>Transfer to Page 3, Line (23), Column B</i>	-0-	8

EXPENDITURE SUMMARY**A. Contributions (Transfers) to Candidates, Political Committees or Funds**

(8)	Cash Contributions (Transfers) to Candidate(s), Political Committees and Funds <i>Itemize on Schedule B Page 5, Column B, Where Necessary</i>	-0-	9
(9)	Goods and Services purchased on behalf of Candidate(s), Political Committees or Funds <i>Itemize on Schedule B, Page 5, Column C Where Necessary</i>	-0-	10
(10)	Independent Expenditures made on behalf of Candidate(s) <i>Itemize on Schedule C, Page 6, Column B, Where Necessary</i>	-0-	12
(11)	Total Contributions (Transfers) <i>Transfer to Page 3, Line (25), Column B</i>	-0-	14

B. Other Expenditures

(12)	Miscellaneous Expenditures	-0-	15
(13)	In Kind Expenditures	391.71	16
(14)	Repayments of Notes and Loans Payable <i>Itemize on Schedule F, Page 7, Column C</i>	-0-	17
(15)	Additional Notes and Loans Receivable <i>Itemize on Schedule E, Page 7, Column B</i>	-0-	18
(16)	Total Other Expenditures <i>Transfer to Page 3, Line (26), Column B</i>	391.71	19

EXPENDITURE SUMMARY (Continued)

Line	C. EXPENDITURES AND CONTRIBUTIONS FOR BALLOT QUESTIONS	TOTALS for Reporting Period Ended	Instruction
(17)	Goods or Services purchased to promote or defeat ballot questions <i>Itemize on Schedule D, Page 6 where necessary</i>	-0-	20
(18)	Cash Contributed (transferred) to Political Committees or Political Funds organized to promote or defeat ballot questions <i>Itemize on Schedule B, Page 5, Column B where necessary</i>	-0-	22
(19)	Goods and Services purchased on behalf of Political Committees and Political Funds organized to promote or defeat ballot questions <i>Itemize on Schedule B, Page 5, Column C where necessary</i>	-0-	23
(20)	Total Expenditures on Ballot Questions <i>Transfer to Page 3, Line (27), Column B</i>	-0-	24

ASSET AND LIABILITY SUMMARY

Do not write in shaded areas

A + B = C

Line		Total From Last Report of Calendar Yr.	Total For Reporting Period Ending	Year To Date Total	Instruction
	TOTAL CASH				
(21)	Cash Balance at the beginning of the reporting period		00.00		25
(22)	Contributions <i>From Page 2, Line (3)</i>	00.00	416.71 25.00	416.71 25.00	26
(23)	Other Income <i>From Page 2, Line (7)</i>	00.00	00.00	000.00	27
(24)	SUB TOTAL		416.71		28
	LESS:				
(25)	Contributions (Transfers) to other Candidates, other Political Committees & Funds <i>From Page 2, Line (11)</i>	00.00	00.00	00.00	29
(26)	Other Expenditures <i>From Page 2, Line (16)</i>	00.00	391.71	391.71	30
(27)	Expenditures on Ballot Questions <i>From Page 3, Line (20)</i>	00.00	00.00	00.00	31
(28)	CASH BALANCE at end of reporting period		25.00		32
(29)	Notes and Loans Receivable <i>From Page 7, Schedule E, Column D</i>		00.00		33
(30)	Notes and Loans Payable <i>From Page 7, Schedule F, Column D</i>		00.00		35

Registration #

21-0001

Period Report Covers

Jan. 1

Through Aug. 22, 1980

(SCHEDULE A)

CASH CONTRIBUTIONS AND IN KIND DONATIONS

List in Alphabetical Order

Do not abbreviate names — Instruction #3

A + B + C = D

Date Month Year	Name Address	Employer or if Self Employed, Occupation	If in Kind List Item	Total From Last Report of this Calendar Yr.	Cash Contri- butions	In Kind Contri- butions	Total Year To Date
July/ Aug. 1980	League of Women Voters of Minnesota 555 Wabasha, St. Paul, MN 55102		staff	0.00	00.00	303.28	303.28
			Supplies	0.00	00.00	14.45	14.45
			Xerox	0.00	00.00	10.27	10.27
			Phone	0.00	00.00	11.30	11.30
			Postage	0.00	00.00	52.41	52.41

DISCLOSE ON THIS SCHEDULE: *For committees or funds supporting legislative candidates*

- Any individual, committee or fund from which your committee or fund received in excess of \$50 in cash and/or "in kind" in the calendar year (donations "in kind" are also reported as expenditures "in kind")

For committees or funds either supporting only statewide candidates or organized only to promote or defeat a ballot question

- Any individual, committee or fund from which your committee or fund received in excess of \$100 in cash and/or "in kind" in the calendar year. (donations "in kind" are also reported as expenditures "in kind")

For all earmarked contributions the following information is required: (1) original source of contribution (2) Fund through which contribution directed (3) The ultimate recipient of the contribution. This information can be reported on the above schedule or on an attached sheet.

MINNESOTA STATE ETHICAL PRACTICES BOARD

410 State Office Building
St. Paul, Minnesota 55155
612-296-5148

REGISTRATION FORM AND STATEMENT OF ORGANIZATION for a POLITICAL COMMITTEE or POLITICAL FUND

- ☐ New Registration
☐ Registration Amendment

01 REGISTRATION NO. 21-0001	02 COMMITTEE OR FUND Name League of Women Voters of MN Ballot Issues Fund
---------------------------------------	--

03 ADDRESS OF COMMITTEE OR FUND Street City State Zip 555 Wabasha, Suite 212, St. Paul Minnesota 55102	04 TELEPHONE NO. AREA (612) 296-5148
---	--

05 OFFICERS (Identify only Chairman, Treasurer, and Deputy Treasurer(s) If Any)					
POSITION	NAME			ADDRESS	TELEPHONE NO.
	Last	First	M.I.		
CHAIRMAN	Bankwitz	Pamela	M.	2425 France Ave., S., Mpls.	55415 320-3354
CHAIRMAN					
TREASURER	Hall	Georgeann	R.	385 Transit, St. Paul	55113 484-0057
TREASURER					
DEPUTY TREASURER					

06 DEPOSITORY(IES)				
Name	Address	City	State	Zip
Roseville State Bank		Roseville	MN	55124
Name	Address	City	State	Zip

07 CUSTODIAN OF BOOKS (If other than Treasurer)				
Last Name	First Name	M.I.	Telephone #	
Lucas	Patricia	S.	AREA (612) 224-5445	
Address	Street	City	State	Zip
555	Wabasha - Suite 212	St. Paul	MN	55102

08 ASSOCIATION(S) SUPPORTING POLITICAL FUNDS				
Name	Address	City	State	Zip
League of Women Voters of Minnesota	555 Wabasha - Suite 212	St. Paul	MN	55102
Name	Address	City	State	Zip

(ATTACH ADDITIONAL SHEETS IF NECESSARY TO COMPLETE THIS ITEM)

1. White - Original - File with Ethical Practices Board
2. Pink - Treasurer Copy - Retain

09 CERTIFICATION	
I, <u>Georgeann R. Hall</u> CERTIFY Print or type name	
THAT THE INFORMATION CONTAINED ON THIS FORM IS COMPLETE, TRUE, AND CORRECT.	
Signature of Treasurer	Date July 24, 1988

Any person who signs and certifies to be true a statement which he knows contains false information or who knowingly omits required information is guilty of a felony.

Staff Supplies Xerox Postage

^{6.84}
~~5.13~~ Karen

T. 1 - 82.08

5.70 B.A.

6 -

34.20

14.45

6.21 + 1.30

43.61

4.40 Jessie

8.24 Sally

72.10

Phone 11.30 .54

5. Pat

Karen

BA

1/2 - 2.85

.42

Jessie

Sally

1/2 - 4.12

Pat

C.F.

Karen

BA

Jessie

Sally

Pat

5.40

All 3

Karen

41.04

2.34

BA

Jessie

.83

Sally

6.5 53.56

Pat

2 1/2 12.50

Supplies Xerox Phone Postage

303.28

14.45

10.27

11.30

52.41

Focus postages 5.85 x .375 = 2.19 + .67

286

AMENDMENT PROMOTION - ACTION

SPECIAL PROJECTS RECORD FORM

(e.g. workshops, publication, grants)

Who:

Betty Ann

Project:

@.05

Date	Time spent	What (e.g. letters, press releases, flyers, program)	Xeroxing // of sides/copies	Postage	Envelopes //	Travel
7/23/80	1 1/2 hours	70 letters to hhs - 21 to Bd. #4	100 of 1 side	10.50	70 small white	
7/24/80	1 hour	30 letters to Press, 21 to Bd., 20 extra for Press #4	61 of 1 side	4.50	30 small white	
5/25/80	2 1/2 hours	118 letters to Press, 21 media Packet, 20 extra, 20 for Board #4	1 side of 170	17.70	118 small white	
5/28/80	1/2 hour	Billie's writzup re #1	10 of 1 side			
5/28/80	1/4 hour	H.B. memo - copy of Gov's survey #4	21 of 1 side			
			10 of 1 side			
5/29/80	1/4 hour	Copies - Position / Press Release #4	7 of 1 side	.56	2 small white	
5/31/80	1/2 hour	Copies of Press Release to hh Presidents #4	69 of 1 side	10.35	69 regular white (This was included in a VS mailing to hh Presidents. Want to split the cost?)	
on sheet #2	8/26	8 hours	Action Kit - Bd Memo	150 of 20 sides	(included in Board Memo mailing)	



LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA • ST. PAUL, MINNESOTA 55102

PHONE: (612) 224-5445

MEMO

TO:

Pat

FROM:

Karen

SUBJECT:

hours spent on
amend. promotion

DATE:

hours through : 8-22-80

IR/R — 16 hours, mostly news conference
+ news release

reapportionment —

campaign spending —

gen'l — (Focus planning ^{just afternoon time,} should be divided by 3?)
8 hrs. For each subject?

Xeroxing — 28 copies, 2 sides, focus communication

AMENDMENT PROMOTION - ACTION

SPECIAL PROJECTS RECORD FORM

(e.g. workshops, publication, grants)

Who: Sally

Project:

Date	Time spent	What (e.g. letters, press releases, flyers, program)	Xeroxing // of sides/copies	Postage	// Envelopes	Travel
all 7/8 & 7/9	1 1/2 hr.	Memo on promotion sheet phone calls, discussion				
all 7/17	1 hr.	read ethical practices booklet				
all 7/18	10 min.	Memo to Pat Lucas				
#1 7/22	1/2 hr.	letter to Coalition on Disappointment				
#4 7/23	15 min.	memo to LLS on I & R ballot position				
all 7/23 "	15 min.	on budget for Metro workshop				
all 7/24	15 min.	ethical practices registration				
#4 7/24	45 min.	news conference statement & discussions				
#4 7/25	1/2 hr.	" " reaction				
#4 7/30	1 hour	news conference (I & R) reaction / phone				
all 7/30	15 min.	HASKINS list				
#4 7/31	all 15 min.	Prep. for Ethical Practice briefing				
	1 hour	fallout from I & R news conference				
#4 8/1	15 min.	fallout " " " "				

#4 8/4 1 ~~2~~ hr. I/R action

#4 8/5 $\frac{1}{2}$ hr. I/R, $\frac{1}{2}$ hr. Action Ballot Campaign Vis.

#4 8/6 $\frac{1}{2}$ hr I/R

#4 postage - packet to Elmer Anderson - .54 on I & R

all 8/7 1 hr. ballot flyers

all 8/11 & 13 1 hour ballot flyers

all 8/13 ~~open~~ 5 minutes - G. A. open Ballot Issues acct.

all 8/14 15 min. - with printer

all 8/15 $\frac{1}{2}$ - 2 hrs. - brochure, fascims, letter, bus acct.

#4 8/19 $\frac{1}{2}$ - hr. brochure for I & R

#4 11.30 long distance phone to H. A. R. in Chicago about I/R
piece (check bill to report)

#4 8/21 45 min. discussions about I & R flyers

#4 8/22 45 min. " " " " " " " "

0 *

15.00

10.00

20.00

25.00

50.00

200.00

320.00 *



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10-28
deposit

0 *

500.00

100.00

5.00

605.00 *



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EXPENSE VOUCHER

-duplicate listing I & R expenses only!

Name _____

Ellen E. Mork

Date _____

October 1986

Address

1051 25th Ave. N. St. Cloud, MN. 56301

Street

City

Zip

BUDGET CATEGORY		Govt. (I & R)			
Grant					
Date	Travel (1)	Sitter (2)	Meals (3)	Room (4)	Other (5)
10-21	postage				0.15
10-28	postage				0.93
10-23	10 mi 6 1/2 hr. (1.50 @ 15¢/mi)				
TOTAL	1.90	6.50			1.08
Describe - workshop, publication, affiliation, etc.					

BUDGET CATEGORY					
Grant _____					
Date	Travel (1)	Sitter (2)	Meals (3)	Room (4)	Other (5)
9-26	state office				0.46
9-30	"	"			1.62
10-10	"	"			1.33
10-10	Sec. of State				1.62
10-20	state office				3.07
10-23	"	"			1.33
10-23	H. Burkhalter				1.62
			state tax		0.44
TOTAL					11.49
Describe - workshop, publication, affiliation, etc.					
phone calls					

BUDGET CATEGORY					
Grant					
Date	Travel (1)	Sitter (2)	Meals (3)	Room (4)	Other (5)
TOTAL					
Describe - workshop, publication, affiliation, etc.					

1. Travel - car (19¢ per mi.); train, air, bus, parking - actual cost
2. Baby sitting - \$1.00 per hour
3. \$9.00 per diem
4. Lodging (actual cost is reimbursed)
5. List here postage, phone calls, supplies, etc.

(See reverse side for additional instructions.)

For official League function, League pays actual cost.

LOCAL LWV-ERS AND AREAS OF EXPERTISE WHO IMPRESSED ME THIS MONTH:

BUDGET CATEGORY Grant _____					
Date	Travel (1)	Sitter (2)	Meals (3)	Room (4)	Other (5)
TOTAL					
Describe - workshop, publication, affiliation, etc.					

BUDGET CATEGORY Grant _____					
Date	Travel (1)	Sitter (2)	Meals (3)	Room (4)	Other (5)
TOTAL					
Describe - workshop, publication, affiliation, etc.					

INSTRUCTIONS for filling out VOUCHERS

This new Expense Voucher form is an attempt to make the bookkeeper's job a little easier and insure that the expenses are accurately charged to the proper budget categories. If, after reading these instructions, you are still unclear or unsure, please see either the executive director or the bookkeeper. They would be glad to help you.

1. Fill in your name as you want it to appear on the check. Give complete address including zip code.
2. Budget Category - such as Board, library study, action, etc. If you are not sure, give a few details in description section to help us establish the proper category and to help us figure out if the activity is a grant candidate.
3. Attach all bills and receipts when possible.

TOTAL EXPENDITURES	\$ <u>20.97</u>
I WILL CONTRIBUTE	<u>1.48</u>
BALANCE TO BE REIMBURSED	<u>19.49</u>

4¢/mi + postage

20.59

(For office use only: Pd. _____, 19____ - Check # _____)

INVOICE

chase
printing company, inc.

411 broadway street
st. paul, minnesota 55101
612 - 222-0753

Aug 19, 1980

League of Women Voters of Minnesota
555 Wabasha St.
St. Paul, MN 55102

YOUR ORDER NO.....

OUR ORDER NO.....22886.....

50,000	Constitutional Amendments	\$	641.50
	Composition		188.00

		\$	829.50
	9/29		<u>300.00</u>
			529.50
	10/15		<u>400.00</u>
			129.50
	12-5		<u>129.50</u>
			- 0 -

PLEASE PAY ON INVOICE. NO STATEMENT WILL BE SENT UNLESS
REQUESTED. TERMS: 10TH OF THE MONTH FOLLOWING PURCHASE.



500 South Third Street,
Minneapolis, MN 55415

INVOICE

No.103276

AC 612-335-6551

S
O
L
D
T
O

LEAGUE OF WOMEN VOTERS
BALLOT ISSUES FUND
900 MIDLAND BANK BLDG
405 2ND AVE. SO.
MINNEAPOLIS, MN 55401

SHIPPED TO (Same as sold unless indicated)

S
H
I
P
T
O

INVOICE DATE

YOUR P.O. NUMBER

OUR JOB NUMBER

SALESMAN NUMBER

DELIVERY DATE

SEP 12 80

G0556

1

9-5-80

QUANTITY SHIPPED

DESCRIPTION

UNIT PRICE

AMOUNT

200,000 LEAGUE I.R. FOLDERS
CUSTOMER REVISIONS

4,200.00

55.00

SUBTOT

4,255.00

TAX

170.20

TOTAL

4,425.20

1000.00

3425.20

510

2915.20

12/5

pd
12/31

FINANCE CHARGES OF 1½% PER MONTH
WILL BE CHARGED ON ALL PAST DUE
ACCOUNTS — WITH MINIMUM CHARGE
OF \$2.00.

TERMS: NET 10 DAYS

PLEASE PAY PROMPTLY FROM INVOICE.
STATEMENT SENT ONLY ON REQUEST.

385 Transit
St. Paul 55113



League of Women Voters, Inc.
Ballot Issues Fund
555 Wabasha
St. Paul, MN
55102

JAN 8 1981



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**INSTRUCTIONS AND DEFINITIONS
FOR COMPLETION
OF
REPORTS OF RECEIPTS AND EXPENDITURES
ET 00005-04
FOR
POLITICAL COMMITTEES AND FUNDS**



**STATE ETHICAL PRACTICES BOARD
41 State Office Building
St. Paul, Minnesota 55155
612-296-5148**

April 1980

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INSTRUCTIONS

INSTRUCTION #1 CASH CONTRIBUTIONS

- A. Enter on page 2, line (1) the total amount of cash contributions received during the reporting period from individuals, political committees and funds. (This includes membership dues and receipts from fundraisers.)
- B. Disclose on Schedule A, page 4, the name of any individual, political committee or fund from whom was received contributions in excess of \$50, in aggregate, in a calendar year if your committee or fund supports legislative candidates; or in excess of \$100, in aggregate, in a calendar year, if your committee or fund either supports only statewide candidates or is organized to promote or defeat a ballot question.

DEFINITION

A **cash contribution** is money, not goods or services.

A **ballot question** is a question or proposition which is placed on the ballot and is voted upon by all voters of the state.

INSTRUCTION #2 IN KIND DONATIONS

- A. Enter on page 2, line (2), the total amount of In Kind Donations, with a value of \$20 and over, received during the reporting period from individuals, political committees and funds.
- B. Disclosure is required on Schedule A, Page 4, of any individual, political committee or fund who contributed goods and services (In Kind) in excess of \$50 (at true market value at time of contribution) during the calendar year if your committee or fund supports legislative candidates or in excess of \$100, in aggregate, in a calendar year if your committee or fund supports **only** statewide candidates or is organized to promote or defeat a ballot question.
- C. The total amount reported as In Kind Donations must always be reported as In Kind expenditures of equal value on page 2, line (13).
- D. In Kind Donations with a value of less than \$20 need not be reported or recorded.

DEFINITION

In Kind Donations are goods and services (not money) received and valued at \$20 or more.

INSTRUCTION #3 SCHEDULE A—CASH CONTRIBUTIONS AND IN KIND DONATIONS

A. Disclose on Schedule A, Page 4, any individual, political committee or fund or any political party unit that contributed in excess of \$50, in aggregate, in a calendar year, to any committee or fund which financially supports legislative candidates or statewide and legislative candidates. Disclosure is also required of any individual, committee or fund that contributed in excess of \$100, in aggregate, in a calendar year to any committee or fund which either financially supports only statewide candidates or is organized only for the purpose of promoting or defeating a ballot question.

B. INFORMATION REQUIRED TO BE DISCLOSED

1. **Date**—The month and year contributions were received from the contributor by the treasurer during the reporting period.
2. **Name and Address**—of the contributor.
3. **Employer**: or if Self Employed, Occupation of the contributor.
4. **List Item if In Kind**.
5. **Column A (Total from last report of this calendar year)**—If this is the first report of the calendar year this amount would be zero. IF NOT, transfer the amount of contributions received and reported on page 4, Schedule A, Column D of the last report filed in the calendar year from contributions in prior reporting periods of the calendar year.
6. **Column B (Cash Contributions)**—The amount of cash contributions received from a contributor during the reporting period.
7. **Column C (In Kind Donations)**—The amount of In Kind Donations.
8. **Column D (Total Year to Date)**—The total amount of contributions.
9. **If Earmarked**—Disclose the following information on Schedule A or on attached sheet in the reporting period received:
 - a. Original source of contribution;
 - b. Committee or fund through which contribution was directed;
 - c. The ultimate recipient of the contribution.

DEFINITION

An earmarked contribution is a cash contribution or donation in kind which a political committee or political fund receives with the condition, express or implied, that those funds or goods and services, or any part of them, be directed to a particular candidate.

In Kind Donations are goods and services (not money) received and valued at \$20 or more.

INSTRUCTION #4 TOTAL CONTRIBUTIONS

- A. Enter on Page 2, Line (3) the sum of lines (1) and (2). Transfer this amount to Page 3, line (22), Column B, which is the total amount of contributions received during the reporting period.

INSTRUCTION #5 MISCELLANEOUS INCOME

- A. Enter on Page 2, line (4) the total amount of Miscellaneous Income received during the reporting period.
- B. Contributions are never reported as Miscellaneous Income.

DEFINITION

Miscellaneous Income only includes items such as interest, refunds, rental income, and contributions earmarked for a candidate or another political committee or fund.

INSTRUCTION #6 REPAYMENTS OF NOTES AND LOANS RECEIVABLE

- A. Enter on Page 2, line (5) the total amount of loans repaid during the reporting period to your committee (loans receivable) from individuals, associations, etc.
- B. Disclose on Schedule E, Page 7, Column C, the amount of each new loan receivable repaid during the reporting period. (see instruction #34).

DEFINITION

A Note or Loan Receivable is money lent by the committee.

**INSTRUCTION #7 ADDITIONAL NOTES AND LOANS
PAYABLE**

- A. Enter on Page 2, line (6) the total amount of new loans or notes borrowed during the reporting period.
- B. Disclose on Page 7, Schedule F, Column B, each additional note or loan payable received during the reporting period. (see instruction #36).

DEFINITION

A Note or Loan Payable is money which has been borrowed by the committee.

INSTRUCTION #8 TOTAL OTHER INCOME

- A. Enter on Page 2, line (7) the sum of lines (4), (5) and (6). Transfer this amount to Page 3, line (23), Column B, which is the total amount of other income received during the reporting period.

INSTRUCTION #9 CASH CONTRIBUTED (TRANSFERRED) TO CANDIDATES AND OTHER COMMITTEES AND FUNDS

- A. Enter on page 2, line (8) the total amount of all money your committee contributed (transferred) to candidates or political committees and funds supporting candidates in state elections.
- B. Disclose on Schedule B, page 5 the name of any political candidate or committee or fund supporting candidates to whom your committee contributed (transferred) in excess of \$100, in aggregate, in the calendar year.
- C. The amount of contributions (transfers) made to candidates other than those listed below are reported as miscellaneous expenditures on Page 2, line (12). No disclosure is required of these expenditures.

DEFINITION

A Contribution (transfer) of funds is money (not goods and services) contributed only to candidates or political committees or funds supporting candidates.

For the purposes of Instructions #9, #10, #11, #12, #13, #14, and #15, a candidate means a person running for Governor, Lt. Governor, Attorney General, Secretary of State, State Treasurer, State Auditor, State Legislature, and Elective Judgeships.

INSTRUCTION #10 GOODS AND SERVICES PURCHASED ON BEHALF OF A CANDIDATE

- A. Enter on Page 2, line (9), the total amount spent by your committee for goods and services purchased on behalf of candidates or committees and funds supporting candidates.
- B. Disclosure is required on Schedule B, Page 5, of the names of candidates or committees and funds supporting candidates for whom your committee has made expenditures for goods and services valued in excess of \$100, in aggregate, in the calendar year.

**INSTRUCTION #11 SCHEDULE B—CASH CONTRIBUTED
(TRANSFERRED) AND GOODS AND
SERVICES PURCHASED ON BEHALF
OF A CANDIDATE, COMMITTEE OR
FUND**

- A. Disclose on Schedule B, Page 5, the name of the candidate or political committee or fund to whom your committee has contributed (transferred) money or for whom has made expenditures for goods and services in excess of \$100, in aggregate, in the calendar year. A political committee or fund includes those committees and funds organized to promote or defeat ballot questions.
- B. Once a candidate, committee or fund, has been disclosed as a recipient of in excess of \$100 in money or goods and services during a reporting period, continue to report the name, etc., in subsequent reporting periods throughout the calendar year whether another contribution is made or not.
- C. INFORMATION REQUIRED TO BE DISCLOSED:
1. **Date**—the month and year contributions were made.
 2. **Name and address**—of the committee or fund to whom contributions were made during any reporting period in the calendar year.
 3. **If Goods or Services, describe nature**—of the goods or services provided to a candidate or committee or fund.
 4. **If a ballot question is involved, give title of question or proposition.**
 5. **Column A (Totals from Last Report of this Calendar Year)**—If this is the first report of a calendar year, this amount would be zero. If not, transfer the amount contributed (transferred) to a committee or fund in prior reporting periods from page 5, Schedule B, Column D of the last report filed in the calendar year.
 6. **Column B (Amount for Reporting Period)**—The amount contributed (transferred) to a candidate or committee or fund during the reporting period.
 7. **Column C (Goods and Services Contributed)**—The market value of goods and services provided to another candidate or to a committee or fund.
 8. **Column D (Total Year to Date)**—The total amount contributed (transferred) to a committee or fund, in aggregate, in the calendar year; sum of Columns A, B and C.

**INSTRUCTION #12 INDEPENDENT EXPENDITURES
MADE ON BEHALF OF OTHER CANDIDATES**

- A. Enter on Page 2, line (10), the total amount expended during the reporting period for independent expenditures.
- B. Disclose on Page 6, Schedule C, Column B, the name of any candidate on behalf of whom your committee made independent expenditures which exceed \$100 in a calendar year.

DEFINITION

An **Independent Expenditure** means an expenditure in excess of \$20 expressly advocating the election or defeat of a clearly identified candidate which expenditure is made without the express or implied consent, authorization, or cooperation of any candidate, his principal campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

**INSTRUCTION #13 SCHEDULE C—NON CAMPAIGN
DISBURSEMENTS—INDEPENDENT
EXPENDITURES**

A. Disclose on Schedule C, Page 6, the name of any candidate on whose behalf your committee has made independent expenditures which exceed \$100 for the calendar year.

B. The treasurer must sign the sworn statement on page 6 if the committee is required to disclose any independent expenditures.

C. INFORMATION REQUIRED TO BE DISCLOSED:

1. **Date**—the month and year expenditures were made.
2. **Candidate and Address**—the name and address of the candidate on whose behalf the independent expenditures were made.
3. **Office Sought**—The name of the office the candidate is seeking on whose behalf the independent expenditures were made.
4. **Purpose**—for which the independent expenditures were made.
5. **Column A (Totals from last report of this calendar year)**—If this is the first report of a calendar year, this amount would be zero. IF NOT, transfer the amount of independent expenditures made for candidates in prior reporting periods from page 6, Schedule C, Column C, of the last report filed in the calendar year.
6. **Column B (Amount for reporting period)**—The amount of independent expenditures made on behalf of a candidate during the reporting period.
7. **Column C (Total year to date)**—The total amount of independent expenditures made on behalf of a candidate, in aggregate, in the calendar year; sum of columns A and B.

DEFINITION

An **Independent Expenditure** means an expenditure in excess of \$20 expressly advocating the election or defeat of a clearly identified candidate, which expenditure is made without the express or implied consent, authorization or cooperation of any candidate, his principal campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

INSTRUCTION #14 TOTAL CONTRIBUTIONS AND TRANSFERS TO OTHER CANDIDATES, COMMITTEES OR FUNDS

- A. Enter on Page 2, Line (11) the total amount of contributions (transfers) made to other candidates, committees and funds during the reporting period: the sum of Lines (8), (9) and (10). Transfer this amount to Page 3, Line (25), Column B, ASSET & LIABILITY SUMMARY.

INSTRUCTION #15 MISCELLANEOUS EXPENDITURES

- A. Enter on Page 2, line (12) the total amount spent for miscellaneous expenditures during the reporting period. This would include all expenditures except contributions and transfers to other candidates, committees and funds, loans and In Kind expenditures. No Disclosure is Required of these Expenditures.

INSTRUCTION #16 IN KIND EXPENDITURES

- A. Enter on Page 2, line (13) the total amount of In Kind expenditures reportable for this reporting period.
- B. The amount reported as In Kind expenditures must be equal to the total reported as Donations In Kind on Page 2, line (2).

INSTRUCTION #17 REPAYMENT OF NOTES AND LOANS PAYABLE

- A. Enter on Page 2, line (14) the total amount of repayments that were made on notes or loans payable during the reporting period.
- B. Disclose on Page 7, Schedule F, Column C, each repayment of a note or loan payable repaid during the reporting period. (see instruction #36).

DEFINITION

A **Note or Loan Payable** is money which has been borrowed by the committee.

INSTRUCTION #18 ADDITIONAL LOANS OR NOTES RECEIVABLE

- A. Enter on Page 2, line (15) the total amount of new notes or loans receivable lent during the current reporting period.
- B. Disclose on Page 7, Schedule E, Column B, each additional note or loan receivable lent during the reporting period. (see instruction #34).

DEFINITION

A **Note or Loan Receivable** is money lent by the committee.

INSTRUCTION #19 TOTAL OTHER EXPENDITURES

- A. Enter on Page 2, line (16) the total amount of other expenditures made during the reporting period: the sum of lines (12), (13), (14) and (15). Transfer this amount to Page 3, line (26), Column B.

**INSTRUCTION #20 GOODS OR SERVICES PURCHASED
TO PROMOTE OR DEFEAT BALLOT
QUESTIONS**

- A. Enter on Page 3, line (17) the total amount spent by your committee for goods and services to promote or defeat a ballot question for this reporting period.
- B. Disclosure is required on Schedule D, Page 6, of each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.

**INSTRUCTION #21 SCHEDULE D — GOODS AND SERV-
ICES PURCHASED TO PROMOTE OR
DEFEAT A BALLOT QUESTION**

- A. Disclosure is required on Schedule D, Page 6, of each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.

B. INFORMATION REQUIRED TO BE DISCLOSED

1. **Date**—the month and year payments were made by the treasurer.
2. **Name and Address**—of the recipient.
3. **Ballot Question**—title of the Ballot Question involved.
4. **Column A** (Totals from last report of this calendar year)—if this is first report, this amount would be zero. IF NOT, transfer amounts of expenditures reported and made (on Page 6, Schedule D, Column C of last report total for the calendar year) to recipients in prior reporting periods in the calendar year.
5. **Column B** (Expenditures) Amount of expenditures required to be disclosed in this reporting period.
6. **Column C** (Total Year To Date) Sum of A and B.

INSTRUCTION #22**CASH CONTRIBUTED (TRANSFERRED) TO POLITICAL COMMITTEES AND FUNDS ORGANIZED TO PROMOTE OR DEFEAT BALLOT QUESTIONS**

- A. Enter on Page 3, line (18) total amount of money your committee contributed (transferred) to political committees and funds organized to promote or defeat ballot questions.
- B. Disclose on Schedule B, Page 5, Column B the name of any political committee or fund organized to promote or defeat a ballot question to whom your committee contributed (transferred) in excess of \$100, in aggregate, in a calendar year. The title of the ballot question must also be disclosed. (See Instruction #11).

INSTRUCTION #23**GOODS AND SERVICES PURCHASED ON BEHALF OF A POLITICAL COMMITTEE OR FUND ORGANIZED TO PROMOTE OR DEFEAT BALLOT QUESTIONS**

- A. Enter on Page 3, line (19), the total amount spent by your committee for goods and services purchased on behalf of a committee or fund organized to promote or defeat a ballot question.
- B. Disclose on Schedule B, Page 5, Column C, the name of any committee or fund organized to promote or defeat a ballot question on whose behalf your committee made expenditures for goods and services in excess of \$100, in aggregate, in a calendar year. The title of the ballot question must also be disclosed. (See Instruction #11).

INSTRUCTION #24**TOTAL EXPENDITURES ON BALLOT QUESTIONS**

- A. Enter on Page 3, line (20) the total of expenditures made to promote or defeat a ballot question, of contributions made to political committees and funds organized to promote or defeat a ballot question, and of goods and services purchased on behalf of a committee or fund organized to promote or defeat a ballot question: the sum of lines (17), (18) and (19). Transfer this amount to Page 3, line (27), Column B, Asset and Liability Summary.

INSTRUCTION #25 CASH BALANCE AT THE BEGINNING OF THE REPORTING PERIOD

- A. Enter on Page 3, line (21), Column B, the cash balance at the beginning of the reporting period, which is the same as the ending cash balance of the last reporting period.

DEFINITION

Cash Balance includes all money on deposit in banks and other depositories including checks, negotiable instruments, and other paper commonly accepted by a bank as a deposit.

INSTRUCTION #26 CONTRIBUTIONS

- A. Enter on Page 3, line (22), in Column A, the total amount of contributions received from the beginning of the year to the beginning of the current reporting period; in Column B, the total amount of contributions received during the current reporting period; and in Column C, the total amount of contributions received, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (22):

Column A (Totals from Last Report)—If this is the first report of a calendar year, enter zero in Column A of line (22). IF NOT, transfer the amount from Page 3, line (22), Column C, of the last report filed for the calendar year, which is the amount of income received from the beginning of the calendar year to the beginning of this reporting period.

Column B (Total for Reporting Period Ended)—Transfer the amount from Page 2, line (3), which is the total contributions received during the reporting period.

Column C (Year to Date Total)—Enter the sum of Columns A and B, Line (22), which is the total amount of contributions received, in aggregate, in the calendar year.

INSTRUCTION #27 OTHER INCOME

- A. Enter on Page 3, line (23), Column A, the total amount of other income received from the beginning of the year to the beginning of the current reporting period; in Column B, the total amount of other income received during the current reporting period and in Column C, the total amount of other income received, in aggregate, in the entire year.

B. INSTRUCTIONS FOR COMPLETING LINE (23):

Column A (Totals from Last Report)-If this is the first report of a calendar year, enter zero in Column A of line (23). IF NOT, transfer the amount from Page 3, line (23), Column C, of the last report filed for the calendar year, which is the amount of other receipts received from the beginning of the calendar year to the beginning of this reporting period.

Column B(Totals for Reporting Period Ended) - Transfer the amount from Page 2, line (7), which is the total other receipts received during the reporting period.

Column C (Year to Date Total) - Enter the sum of Columns A and B, line (23), which is the total amount of other income received, in aggregate, in the calendar year.

INSTRUCTION #28 SUB TOTAL

Enter on Page 3, line (24) the total of lines (21), (22), and (23). This is the sum of the beginning cash balance and income for the reporting period.

**INSTRUCTION #29 CONTRIBUTIONS (TRANSFERS) TO
OTHER CANDIDATES, COMMITTEES
OR FUNDS**

A. Enter on Page 3, line (25) the total amount of contributions (transfers) made from the beginning of the calendar year to the beginning of the current reporting period; the total amount of contributions (transfers) made during the current reporting period; and the total amount of contributions (transfers) made, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (25):

Column A (Totals from Last Report)—If this is the first report of the calendar year, enter zero in Column A, line (25). IF NOT, transfer the amount which you have shown on Page 3, line (25), Column C, of the last report filed for the calendar year, which is the amount of contributions (transfers) made by the committee from the beginning of the year to the beginning of this reporting period.

Column B (Totals for Reporting Period Ended)—Transfer from Page 2, line (11), Column C, the total amount of contributions and transfers made during the current reporting period.

Column C (Year to Date Total)—Enter the sum of Columns A and B, line (25), which is the total amount of contributions and transfers made, in aggregate, in the calendar year.

INSTRUCTION #30 OTHER EXPENDITURES

A. Enter on Page 3, line (26) the total amount of other expenditures made from the beginning of the calendar year to the beginning of the current reporting period; the total amount of other expenditures made during the reporting period; and the total amount of other expenditures made, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (26):

Column A (Totals from Last Report) - If this is the first report of the calendar year, enter zero in Column A, line (26). IF NOT, transfer the amount which you have shown on Page 3, line (26), Column C, of the last report filed for the calendar year, which is the amount of other expenditures made by the committee from the beginning of the year to the beginning of this reporting period.

Column B (Totals for Reporting Period Ended) - Transfer from Page 2, line (16), the total amount of expenditures made during the reporting period.

Column C (Year to Date Total) - Enter the sum of Columns A and B, line (26), which is the total amount of expenditures made, in aggregate, in the calendar year.

INSTRUCTION #31 EXPENDITURES ON BALLOT QUESTIONS

A. Enter on Page 3, line (27) the total amount of expenditures on ballot questions made from the beginning of the calendar year to the current reporting period; the total amount of expenditures on ballot questions made during the reporting period; and the total amount of expenditures on ballot questions made, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (27):

Column A (Totals from Last Report—If this is the first report of the calendar year, enter zero in Column A, (line 27). IF NOT, transfer the amount you have shown on Page 3, line (27) Column C, of the last report filed for the calendar year, which is the amount of expenditures on ballot questions made by the committee from the beginning of the year to the beginning of the reporting period.

Column B (Totals For Reporting Period Ended)—Transfer from Page 3, line (20), the total amount of expenditures made during the reporting period.

Column C (Year To Date Total)—Enter sum of Columns A and B, line (27) which is total amount of expenditures made, in aggregate, in the calendar year.

INSTRUCTION #32 CASH BALANCE AT END OF REPORTING PERIOD

Enter on Page 3, line (28), Column B, the total of cash your committee had at the end of the current reporting period. This is the total of line (24), less lines (25), (26) and (27).

INSTRUCTION #33 NOTES RECEIVABLE AND LOANS RECEIVABLE

- A. Enter on Page 3, line (29), Column B, the total amount of notes and loans receivable outstanding on the last day of the reporting period.
- B. Disclosure is required on Page 7, Schedule E, of all notes and loans receivable lent by and/or repaid to the committee during the reporting period.

DEFINITION

A note or loan receivable is money lent by the committee.

**INSTRUCTION #34 SCHEDULE E—SUMMARY OF NOTES
AND LOANS RECEIVABLE**

A. Disclose on Page 7, Schedule E, the amount of any new note or loan receivable lent and the amount of any repayments received during the reporting period.

B. INFORMATION REQUIRED TO BE DISCLOSED:

1. **Date**—The month and year each note or loan receivable was lent by the committee and/or the date repayment was received by the committee.
2. **Name and Address**—of each person or organization to whom a loan was made.
3. **Occupation and Principal Place of Business**—of the person to whom a loan was made.
4. **Column A (Totals from Last Report)**—The balance of each note or loan receivable outstanding at the beginning of the reporting period.
5. **Column B (Additions)**—The amount of each new note or loan lent during the current reporting period.
6. **Column C (Repayments)**—The amount of each repayment made on a note or loan receivable during the reporting period.
7. **Column D (Year to Date Totals)**—The balance of each note or loan receivable outstanding at the end of the reporting period by each named recipient, the total of Column A plus Column B minus Column C. Transfer the total amount of all outstanding notes and loans receivable outstanding at period end to Page 3, line (29), Column B.

DEFINITION

A note or loan receivable is money lent by the committee.

INSTRUCTION #35 NOTES AND LOANS PAYABLE

- A. Enter on Page 3, line (30), Column B, the total amount of notes and loans payable outstanding on the last day of the current reporting period.
- B. Disclosure is required on Page 7, Schedule F, of each note or loan borrowed or repaid by the committee during the reporting period.

DEFINITION

A **note or loan payable** is money which has been borrowed by the committee.

**INSTRUCTION #36 SCHEDULE F—SUMMARY OF NOTES
AND LOANS PAYABLE**

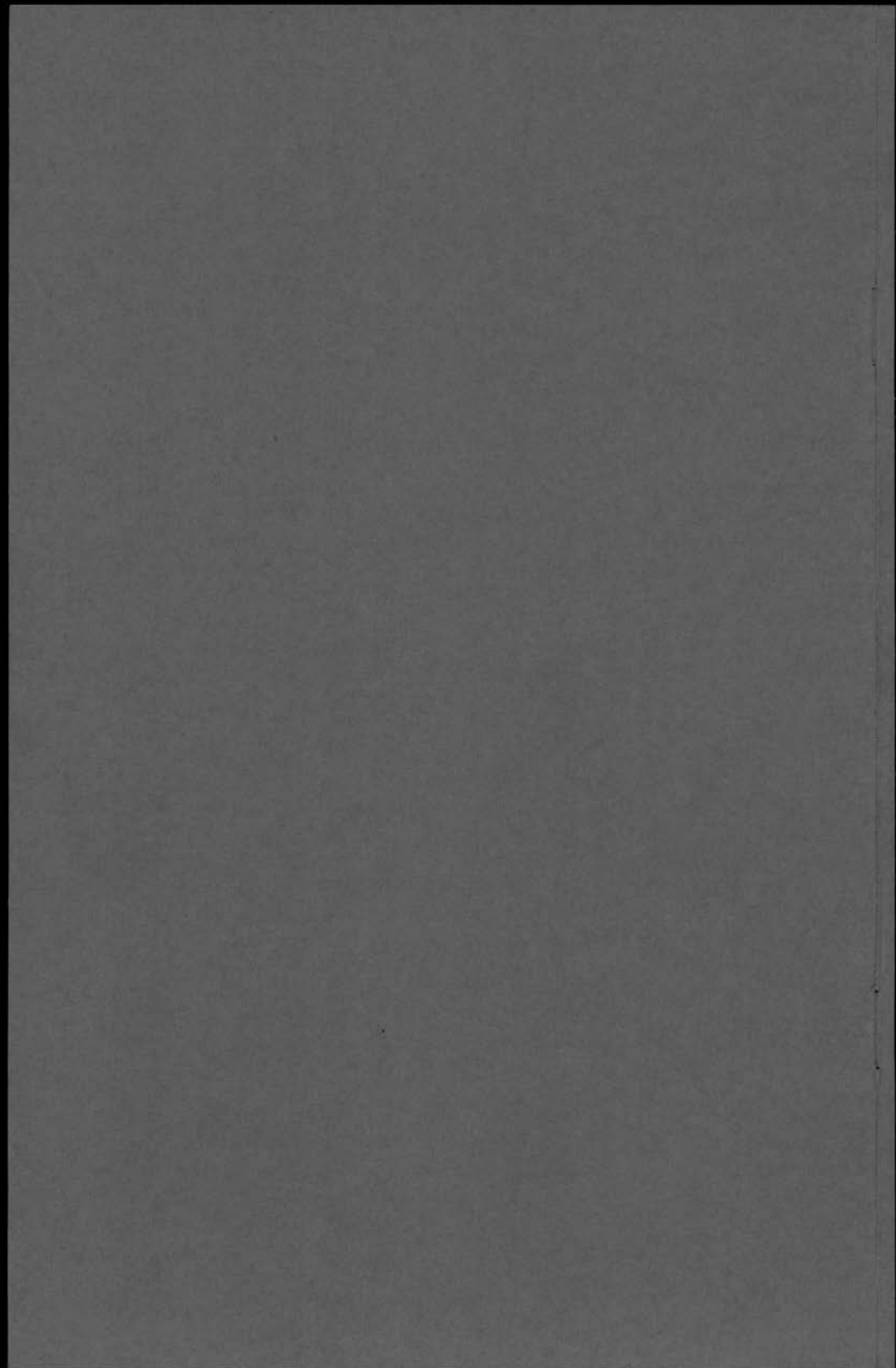
A. Disclose on Page 7, Schedule F, each note or loan payable lent to and/or repaid by the committee during the reporting period.

B. INFORMATION REQUIRED TO BE DISCLOSED

1. **Date**—The month and year each note or loan payable was received by the committee and/or the date any repayment was made by the committee during the reporting period.
2. **Name and Address**—of each person or organization from which money was borrowed by the committee and the name and address of each endorser, if any, of the note or loan.
3. **Occupation and Principal Place of Business**—of each person or organization from which money was borrowed by the committee.
4. **Column A (Totals from Last Report)**—The balance of each note or loan outstanding at the beginning of the reporting period.
5. **Column B (Additions)**—The amount of each new note or loan borrowed during the reporting period.
6. **Column C (Repayments)**—The amount of each repayment made on a note or loan owed during the reporting period.
7. **Column D (Year to Date Totals)**—The balance of each note or loan payable outstanding at the end of the reporting period; the total of Column A plus Column B minus Column C. Transfer the total of all notes and loans payable outstanding at the end of the current reporting period to Page 3, line (30), Column B.

DEFINITION

A **note or loan payable** is money which has been borrowed by the committee.





ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

OCT 8 1980

1980

October 1980

CAMPAIGN FINANCE NEWSLETTER

#6

....

OCT 8 1980

DATES TO REMEMBER

- October 27, 1980 - Report of Receipts and Expenditures due. Covers period from August 23 - October 20, 1980.
- December 31, 1980 - Last day to sign Tax Subsidy Agreement.
- January 31, 1981 - Report of Receipts and Expenditures due. For committees of candidates on the ballot and all non-candidate committees and funds, the period covered is from October 21, 1980 through December 31, 1980.
For committees of candidates who were not on the 1980 ballot and who have not filed reports in September and October, the period covered is January 1, 1980 through December 31, 1980.

MULTI-PARTY EXPENDITURES ON BEHALF OF CANDIDATES

Costs of polls and surveys undertaken by political parties which focus on issues and/or voter identification are not allocated to any candidates; these expenditures are covered under Minn. Stat. Chapter 10A.275(a). A telephone poll undertaken by a party unit on behalf of three or more individuals whose names will appear on the ballot is not allocatable to any candidate; these expenditures are described under Minn. Stat. Chapter 10A.275(c). This information contradicts an answer given in Campaign Finance Newsletter #3. This statement supercedes that one.

ADVISORY OPINIONS

All the advisory opinions issued from July 1, 1979 through August 31, 1980 will be available in booklet form by mid-October. The opinions included are numbers 58-73. Copies are available free from the Board on a first come, first serve basis.

ANALYSIS OF BALLOT QUESTION #2 ON CAMPAIGN EXPENDITURE LIMITS AND DISCLOSURE

The Board, at its meeting of September 4th, unanimously adopted a statement analyzing Ballot Question #2. Copies are available from the Board.

REPORTING REQUIRED OF LAST MINUTE CONTRIBUTIONS

According to Minn. Stat. Chapter 10A.20, Subd. 5, a legislative candidate receiving contributions totaling \$200 or more from an individual or association from October 20 through November 4, must report the contribution to the Ethical Practices Board by telegram or in person within 48 hours after receiving the contribution. The contribution must also be included in the Report of Receipts and Expenditures to be filed by January 31, 1981.

A telegram must contain:

Name of Candidate
Name of Contributor
Amount of Contribution
Date Contribution Received

Western Union Toll Free # 1-800-325-5300. The call is toll free. The cost of the telegram is not; mailgrams and night letters are cheaper than telegrams.

LATE FILING FEES

A late filing fee of \$50.00 per day will be imposed commencing October 31, 1980 for all late reports. The maximum fine is \$500.00.

FREQUENT ERRORS IN COMPLETING REPORTS

- 1) Political Party Contributions: On the candidate committee report, contributions from political party units are to be reported on lines 3 and 4 NOT on lines 1 and 2. Party unit contributions are itemized on Schedule A along with contributions from individuals and other political committees and funds.
- 2) In-kind Contributions and In-Kind Disbursements: Your in-kind contributions must equal your in-kind disbursements. For candidate committees, the sum of lines 2 and 4 must equal the sum of lines 11 and 28. For non-candidate committees, line 2 must equal line 13.
- 3) Incorrect Cash Balance: If your beginning cash balance is reported incorrectly, your ending cash balance will be wrong too. Your cash balance at the start of the reporting period should be the same as your ending balance at the end of the last reporting period.
- 4) Wrong Treasurer: The report must be signed by the correct treasurer. If your committee has a new treasurer, the new treasurer must amend the committee's registration statement to show the name of the new treasurer.
- 5) Incorrect Information on Schedule A: When itemizing contributions, names should be in alphabetical order, full name and address should be used, the dates of contributions and the occupation or employer of contributor should be listed.

SOME COMMONLY ASKED QUESTIONS

- Q. *I lost in the primary. Do I have to file the October 27th report?*
A. Yes. All candidate committees whose candidate appeared on the primary and/or general election ballot need to file the October 27, 1980 report.
- Q. *Our political committee or fund is not supporting candidates this year and there has been no activity in our account. Why do we have to report?*
A. The law requires that in an election year all non-candidate committees file 10 days before the primary election and 10 days before the general election whether or not the committee or fund has been active in the period. Committees or funds that have had no activity may check "No change since last report" on page 1, sign the bottom of the page and return only that page to the Board.
- Q. *What kinds of activities are forbidden on election day?*
A. This is a question which falls under the Fair Campaign Practices Act -- Minn. Stat. Chapter 210A. Inquiries on Chapter 210A should be addressed to the county attorney; it is the county attorney who enforces Chapter 210A.
- Q. *Can a husband and wife make a contribution on one check with each person getting credit for one-half of the contribution?*
A. Yes, but it must be indicated on the check that the contribution is joint; unless it is clearly marked the contribution will be credited to the signer of the check.
- Q. *Why am I getting this newsletter?*
A. This newsletter goes to all candidates, current treasurers and current chairs of non-candidate political committees and funds and to others who have requested it. If you are no longer a treasurer or a chair, please contact us or ask the new treasurer or chair to do so!

HAVE YOU RECEIVED YOUR REPORTING FORMS FOR FILING
OCTOBER 27? If not, be sure to contact us --
(612) 296-1723.

**Campaign
Finance
Disclosure**

due at LWVMN office January 5, 1981

JAN 5 1981

LWVMN Ballot Issues Campaign Fund - LWV of

Maikato

Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Aileen V. Eick

EXPENDITURES:

Amendment #1 .

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Aileen V. Eick

due at LWVMN office January 5, 1981

JAN 20 1981

LWVMN Ballot Issues Campaign Fund - LWV of

St. Cloud Area

Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed)

Ellen E. York

EXPENDITURES:

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

Amendment #1

Amendment #2

Amendment #~~2~~

\$1 5.25

.30

236 mi. @ ?

We have not incurred any expense. (Signed) _____

due at LWVMN office January 5, 1981

JAN 14 1981

LWVMN Ballot Issues Campaign Fund - LWV of

Brooklyn Park

Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Judith A. Arnold, Treas.

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Judith A. Arnold, Treas.

due at LWVMN office January 5, 1981

DEC 19 1980

LWVMN Ballot Issues Campaign Fund - LWV of Edina

Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) Maureen Keeling

No income was received. (Signed) _____

EXPENDITURES:

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other folders

Total

Amendment #1

Amendment #2

Amendment #3

Letters to
sent to
Council,
Comm'n
17x15=
\$2.55

We have not incurred any expense. (Signed) _____

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

LWVMN Ballot Issues Campaign Fund - LWV of Northfield
Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) Jane McWilliams

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Jane McWilliams

due at LWVMN office October 22, 1980

LWVMN Ballot Issues Campaign Fund - LWV of Northfield
Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Jane B. McWilliams

due at LWVMN office January 5, 1981

LWVMN Ballot Issues Campaign Fund - LWV of Northfield
Reporting period due January 31, 1981, for 10/20/80-12/31/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) Jane B. McWilliams

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	Advertisement cost: \$141.30 for all 5 amendments.		
Printing/copying of material	(duplication of LWV Blue sheet)		
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	Advertisement - \$128.00 (ad for all 5 amendments)		

February 23, 1981

League of Women Voters of Minnesota
555 Wabasha
St. Paul, MN 55102

To whom it may concern:

Due to a breakdown in communications and other unforgivable oversights, this form has not been sent. I hope that this won't cause any serious problems . . .

Regretfully,

J. McWilliams
LWV Northfield



Files

LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA • ST. PAUL, MINNESOTA 55102 • TELEPHONE (612) 224-5445

December 4, 1980

Mr. William Brooks
Chestnut and Brooks PA
Midland Bank Building
Minneapolis, MN 55401

Dear Bill:

As we continue to savor the victory of our side on Amendment 4, we also are putting together the final report for the Ethical Practices Board. You are aware that the League of Women Voters of Minnesota reported partial disbursement of funds to cover printing the flyer, "Representative Government Works," in our last report, leaving a balance of \$2,915.20 due to Meyer Printing and to be reported as disbursed before the end of the final report period, December 31, 1980.

In addition to that sum, our office expenses (mainly distributing the flyer) that we have shown as "in kind" contributions total \$1,131.02, and as I mentioned to you at the press conference, reimbursement of a portion of these costs would be most helpful.

Also, I personally have incurred expenses during the campaign, primarily the cost of gasoline for travel: 1 trip to Alexandria (240 miles) for TV debate with Governor Quie and 4 trips to Holman Field to catch the plane to wherever D.J. had scheduled (160 miles). LWVMN reimburses at 15¢/mile, and that rate would be most adequate - for a total of \$60.00.

As I said at the press conference, LWVMN is proud to have played a leading role in the campaign - and we recognize the importance of the part others played in defeating Amendment 4.

Your role as the money-raiser was most significant, and I hope facilitated by coming out on the winning side. By deferring that responsibility to you, we were able to concentrate our efforts in other areas, and we do appreciate your assuming that responsibility.

It was a great campaign, and I look forward to reimbursement of LWVMN's expenses. Perhaps there will be a chance to work together again. Call me if there are any questions.

Sincerely,

Harriette Burkhalter
President

B:M



**STATE OF MINNESOTA
STATE ETHICAL PRACTICES BOARD**

41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

NOTICE OF FILING DATE

Your committee or fund is required to file a Report of Receipts and Expenditures no later than October 27, 1980 covering the period from August 23, 1980 through October 20, 1980.

Forms are sent to the Treasurer only.

According to Minn. Stat. 10A.20, Subd. 12;

If an individual fails to file a statement due before any primary or election within 3 days of the date due, regardless of whether the individual has received notice, the Board may impose a late filing fee of \$50 per day, not to exceed \$500, commencing on the fourth day after the statement was due.

For more information on late filing fees, refer to Minn. Stat. 10A.20, Subd. 12 and 9MCAR 1.0026.

REPORTING REQUIRED OF LAST MINUTE CONTRIBUTIONS

According to Minn. Stat. 10A.20, Subd. 5, legislative candidates receiving contributions totaling \$200 or more from an individual or association from October 21 through November 4, must report the contribution to the Ethical Practices by telegram or in person within 48 hours after receiving the contribution. The contribution must also be included in the Report of Receipts and Expenditures to be filed by January 31, 1981.

A telegram must contain:

Name of Candidate
Name of Contributor
Amount of Contribution
Date Contribution Received

Western Union Toll Free # 1-800-325-5300. The cost of the phone call is toll free not the cost of the telegram. Night letters and mailgrams are less costly than telegrams.



Partially Scanned Material

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9-18
Deposit

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3 5.0 0

3 0.0 0

2 5.0 0

1 0.0 0

2 5.0 0

1 4 5.0 0 *



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Grant County
Elbow Lake, Minnesota 56531
Phone 218-685-4224

Herald

Established 1878

AUG 11 1980

Dana and Jon Schroeder, Publishers

David Simpkins, Advertising Manager

July 31, 1980

Ms. Pam Berkwitz, President
Minnesota League of Women Voters
555 Wabasha, St. Paul, Mn. 55102

Dear Pam:

I have followed with some interest the growing organization of support and opposition to the proposed constitutional amendment this fall on Initiative and Referendum.

I am writing today to offer my help in the efforts to stop the amendment.

I am offering to help not only because I oppose the amendment, but because I know from past experience how difficult and yet important it is to get outstate participation in constitutional amendment campaigns.

My own involvement in the constitutional amendment process dates to 1969 and 1970 when I was deeply involved (with many League members) in passage of the state constitutional amendment to lower the voting age to 19.

After college, my first job (in 1972) was as communications director for the Minnesota Constitutional Study Commission, the body - chaired by Elmer L. Andersen - which proposed many of the amendments which have been considered over the past eight years.

In 1974, then, while working for the Citizens League, I was on the steering committee which worked for passage of the amendments which appeared on the ballot that fall.

Assuming such a "letterhead committee" is being formed to oppose the I & R amendment, I would be happy to serve. I'm afraid that my responsibilities here will prohibit me from much active campaigning or meeting attendance. But, I also know -- again -- how difficult and important it is to get outstate representation on things like this.

I'll be looking forward to hearing how the battle is shaping up.

*Elbow Lake, Mn
56531*

Sincerely,

Jon Schroeder
JON SCHROEDER
Publisher

JS/

P.S. Enclosed is a small donation to help the cause get started. For what it's worth, I've also enclosed a copy of an editorial I wrote last January on the subject.

Our opinion

Let's keep representative government

Governor Al Quie is currently stumping the state, trying to build popular support for a proposed constitutional amendment to allow state voters to initiate or to veto state laws. We hope the Minnesota Legislature has the good sense not to put the proposed amendment on the 1980 election ballot.





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League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

SEP 3 1980

LWVMN Ballot Issues Campaign Fund - LWV of Mpls
Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) _____

No income was received. (Signed) _____

Margit K. Berg

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Margit K. Berg

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980

due at LWVMN office August 27, 1980

LWVMN Ballot Issues Campaign Fund - LWV of New Brighton

SEP 3 1980

Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) Karen Malver

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Karen Malver

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

SEP 2 1980

LWVMN Ballot Issues Campaign Fund - LWV of MANIKATO AREA
Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) ~~to~~

No income was received. (Signed) Cileen V. Eck, pres

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Cileen V. Eck, pres

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

LWVMN Ballot Issues Campaign Fund - LWV of Edina

SEP 30 1980

Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Marilyn J Keeling

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Marilyn J Keeling

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Brk. Park

SEP 26 1980

Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by them. (Signed) _____

No income was received. (Signed) _____

Judith A. Arnold, Treasurer

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

Judith A. Arnold, Treasurer

**INSTRUCTIONS AND DEFINITIONS
FOR COMPLETION
OF
REPORTS OF RECEIPTS AND EXPENDITURES
ET 00005-04
FOR
POLITICAL COMMITTEES AND FUNDS**



**STATE ETHICAL PRACTICES BOARD
41 State Office Building
St. Paul, Minnesota 55155
612-296-5148**

April 1980

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INSTRUCTIONS

INSTRUCTION #1 CASH CONTRIBUTIONS

- A. Enter on page 2, line (1) the total amount of cash contributions received during the reporting period from individuals, political committees and funds. (This includes membership dues and receipts from fundraisers.)
- B. Disclose on Schedule A, page 4, the name of any individual, political committee or fund from whom was received contributions in **excess of \$50**, in aggregate, in a calendar year if your committee or fund supports legislative candidates; or **in excess of \$100**, in aggregate, in a calendar year, if your committee or fund either supports only statewide candidates or is organized to promote or defeat a ballot question.

DEFINITION

A **cash contribution** is money, not goods or services.

A **ballot question** is a question or proposition which is placed on the ballot and is voted upon by all voters of the state.

INSTRUCTION #2 IN KIND DONATIONS

- A. Enter on page 2, line (2), the total amount of In Kind Donations, with a value of \$20 and over, received during the reporting period from individuals, political committees and funds.
- B. Disclosure is required on Schedule A, Page 4, of any individual, political committee or fund who contributed goods and services (In Kind) in excess of \$50 (at true market value at time of contribution) during the calendar year if your committee or fund supports legislative candidates or in excess of \$100, in aggregate, in a calendar year if your committee or fund supports **only** statewide candidates or is organized to promote or defeat a ballot question.
- C. The total amount reported as In Kind Donations must always be reported as In Kind expenditures of equal value on page 2, line (13).
- D. In Kind Donations with a value of less than \$20 need not be reported or recorded.

DEFINITION

In Kind Donations are goods and services (not money) received and valued at \$20 or more.

INSTRUCTION #3 SCHEDULE A—CASH CONTRIBUTIONS AND IN KIND DONATIONS

A. Disclose on Schedule A, Page 4, any individual, political committee or fund or any political party unit that contributed in excess of \$50, in aggregate, in a calendar year, to any committee or fund which financially supports legislative candidates or statewide and legislative candidates. Disclosure is also required of any individual, committee or fund that contributed in excess of \$100, in aggregate, in a calendar year to any committee or fund which either financially supports only statewide candidates or is organized only for the purpose of promoting or defeating a ballot question.

B. INFORMATION REQUIRED TO BE DISCLOSED

1. **Date**—The month and year contributions were received from the contributor by the treasurer during the reporting period.
2. **Name and Address**—of the contributor.
3. **Employer**: or if Self Employed, Occupation of the contributor.
4. **List Item if In Kind**.
5. **Column A (Total from last report of this calendar year)**—If this is the first report of the calendar year this amount would be zero. IF NOT, transfer the amount of contributions received and reported on page 4, Schedule A, Column D of the last report filed in the calendar year from contributions in prior reporting periods of the calendar year.
6. **Column B (Cash Contributions)**—The amount of cash contributions received from a contributor during the reporting period.
7. **Column C (In Kind Donations)**—The amount of In Kind Donations.
8. **Column D (Total Year to Date)**—The total amount of contributions.
9. **If Earmarked**—Disclose the following information on Schedule A or on attached sheet in the reporting period received:
 - a. Original source of contribution;
 - b. Committee or fund through which contribution was directed;
 - c. The ultimate recipient of the contribution.

DEFINITION

An earmarked contribution is a cash contribution or donation in kind which a political committee or political fund receives with the condition, express or implied, that those funds or goods and services, or any part of them, be directed to a particular candidate.

In Kind Donations are goods and services (not money) received and valued at \$20 or more.

INSTRUCTION #4 TOTAL CONTRIBUTIONS

- A. Enter on Page 2, Line (3) the sum of lines (1) and (2). Transfer this amount to Page 3, line (22), Column B, which is the total amount of contributions received during the reporting period.

INSTRUCTION #5 MISCELLANEOUS INCOME

- A. Enter on Page 2, line (4) the total amount of Miscellaneous Income received during the reporting period.
- B. Contributions are never reported as Miscellaneous Income.

DEFINITION

Miscellaneous Income only includes items such as interest, refunds, rental income, and contributions earmarked for a candidate or another political committee or fund.

INSTRUCTION #6 REPAYMENTS OF NOTES AND LOANS RECEIVABLE

- A. Enter on Page 2, line (5) the total amount of loans repaid during the reporting period to your committee (loans receivable) from individuals, associations, etc.
- B. Disclose on Schedule E, Page 7, Column C, the amount of each new loan receivable repaid during the reporting period. (see instruction #34).

DEFINITION

A Note or Loan Receivable is money lent by the committee.

**INSTRUCTION #7 ADDITIONAL NOTES AND LOANS
PAYABLE**

- A. Enter on Page 2, line (6) the total amount of new loans or notes borrowed during the reporting period.
- B. Disclose on Page 7, Schedule F, Column B, each additional note or loan payable received during the reporting period. (see instruction #36).

DEFINITION

A Note or Loan Payable is money which has been borrowed by the committee.

INSTRUCTION #8 TOTAL OTHER INCOME

- A. Enter on Page 2, line (7) the sum of lines (4), (5) and (6). Transfer this amount to Page 3, line (23), Column B, which is the total amount of other income received during the reporting period.

INSTRUCTION #9 CASH CONTRIBUTED (TRANSFERRED) TO CANDIDATES AND OTHER COMMITTEES AND FUNDS

- A. Enter on page 2, line (8) the total amount of all money your committee contributed (transferred) to candidates or political committees and funds supporting candidates in state elections.
- B. Disclose on Schedule B, page 5 the name of any political candidate or committee or fund supporting candidates to whom your committee contributed (transferred) in excess of \$100, in aggregate, in the calendar year.
- C. The amount of contributions (transfers) made to candidates other than those listed below are reported as miscellaneous expenditures on Page 2, line (12). No disclosure is required of these expenditures.

DEFINITION

A Contribution (transfer) of funds is money (not goods and services) contributed only to candidates or political committees or funds supporting candidates.

For the purposes of Instructions #9, #10, #11, #12, #13, #14, and #15, a candidate means a person running for Governor, Lt. Governor, Attorney General, Secretary of State, State Treasurer, State Auditor, State Legislature, and Elective Judgeships.

INSTRUCTION #10 GOODS AND SERVICES PURCHASED ON BEHALF OF A CANDIDATE

- A. Enter on Page 2, line (9), the total amount spent by your committee for goods and services purchased on behalf of candidates or committees and funds supporting candidates.
- B. Disclosure is required on Schedule B, Page 5, of the names of candidates or committees and funds supporting candidates for whom your committee has made expenditures for goods and services valued in excess of \$100, in aggregate, in the calendar year.

**INSTRUCTION #11 SCHEDULE B—CASH CONTRIBUTED
(TRANSFERRED) AND GOODS AND
SERVICES PURCHASED ON BEHALF
OF A CANDIDATE, COMMITTEE OR
FUND**

- A. Disclose on Schedule B, Page 5, the name of the candidate or political committee or fund to whom your committee has contributed (transferred) money or for whom has made expenditures for goods and services in excess of \$100, in aggregate, in the calendar year. A political committee or fund includes those committees and funds organized to promote or defeat ballot questions.
- B. Once a candidate, committee or fund, has been disclosed as a recipient of in excess of \$100 in money or goods and services during a reporting period, continue to report the name, etc., in subsequent reporting periods throughout the calendar year whether another contribution is made or not.
- C. INFORMATION REQUIRED TO BE DISCLOSED:
1. **Date**—the month and year contributions were made.
 2. **Name and address**—of the committee or fund to whom contributions were made during any reporting period in the calendar year.
 3. **If Goods or Services, describe nature**—of the goods or services provided to a candidate or committee or fund.
 4. **If a ballot question is involved, give title of question or proposition.**
 5. **Column A (Totals from Last Report of this Calendar Year)**—If this is the first report of a calendar year, this amount would be zero. If not, transfer the amount contributed (transferred) to a committee or fund in prior reporting periods from page 5, Schedule B, Column D of the last report filed in the calendar year.
 6. **Column B (Amount for Reporting Period)**—The amount contributed (transferred) to a candidate or committee or fund during the reporting period.
 7. **Column C (Goods and Services Contributed)**—The market value of goods and services provided to another candidate or to a committee or fund.
 8. **Column D (Total Year to Date)**—The total amount contributed (transferred) to a committee or fund, in aggregate, in the calendar year; sum of Columns A, B and C.

**INSTRUCTION #12 INDEPENDENT EXPENDITURES
MADE ON BEHALF OF OTHER CANDIDATES**

- A. Enter on Page 2, line (10), the total amount expended during the reporting period for independent expenditures.
- B. Disclose on Page 6, Schedule C, Column B, the name of any candidate on behalf of whom your committee made independent expenditures which exceed \$100 in a calendar year.

DEFINITION

An **Independent Expenditure** means an expenditure in excess of \$20 expressly advocating the election or defeat of a clearly identified candidate which expenditure is made without the express or implied consent, authorization, or cooperation of any candidate, his principal campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

**INSTRUCTION #13 SCHEDULE C—NON CAMPAIGN
DISBURSEMENTS—INDEPENDENT
EXPENDITURES**

- A. Disclose on Schedule C, Page 6, the name of any candidate on whose behalf your committee has made independent expenditures which exceed \$100 for the calendar year.
- B. The treasurer must sign the sworn statement on page 6 if the committee is required to disclose any independent expenditures.
- C. INFORMATION REQUIRED TO BE DISCLOSED:
1. **Date**—the month and year expenditures were made.
 2. **Candidate and Address**—the name and address of the candidate on whose behalf the independent expenditures were made.
 3. **Office Sought**—The name of the office the candidate is seeking on whose behalf the independent expenditures were made.
 4. **Purpose**—for which the independent expenditures were made.
 5. **Column A** (Totals from last report of this calendar year)—If this is the first report of a calendar year, this amount would be zero. IF NOT, transfer the amount of independent expenditures made for candidates in prior reporting periods from page 6, Schedule C, Column C, of the last report filed in the calendar year.
 6. **Column B** (Amount for reporting period)—The amount of independent expenditures made on behalf of a candidate during the reporting period.
 7. **Column C** (Total year to date)—The total amount of independent expenditures made on behalf of a candidate, in aggregate, in the calendar year; sum of columns A and B.

DEFINITION

An **Independent Expenditure** means an expenditure in excess of \$20 expressly advocating the election or defeat of a clearly identified candidate, which expenditure is made without the express or implied consent, authorization or cooperation of any candidate, his principal campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

INSTRUCTION #14 TOTAL CONTRIBUTIONS AND TRANSFERS TO OTHER CANDIDATES, COMMITTEES OR FUNDS

- A. Enter on Page 2, Line (11) the total amount of contributions (transfers) made to other candidates, committees and funds during the reporting period: the sum of Lines (8), (9) and (10). Transfer this amount to Page 3, Line (25), Column B, ASSET & LIABILITY SUMMARY.

INSTRUCTION #15 MISCELLANEOUS EXPENDITURES

- A. Enter on Page 2, line (12) the total amount spent for miscellaneous expenditures during the reporting period. This would include all expenditures except contributions and transfers to other candidates, committees and funds, loans and In Kind expenditures. No Disclosure is Required of these Expenditures.

INSTRUCTION #16 IN KIND EXPENDITURES

- A. Enter on Page 2, line (13) the total amount of In Kind expenditures reportable for this reporting period.
- B. The amount reported as In Kind expenditures must be equal to the total reported as Donations In Kind on Page 2, line (2).

INSTRUCTION #17 REPAYMENT OF NOTES AND LOANS PAYABLE

- A. Enter on Page 2, line (14) the total amount of repayments that were made on notes or loans payable during the reporting period.
- B. Disclose on Page 7, Schedule F, Column C, each repayment of a note or loan payable repaid during the reporting period. (see instruction #36).

DEFINITION

A **Note or Loan Payable** is money which has been borrowed by the committee.

INSTRUCTION #18 ADDITIONAL LOANS OR NOTES RECEIVABLE

- A. Enter on Page 2, line (15) the total amount of new notes or loans receivable lent during the current reporting period.
- B. Disclose on Page 7, Schedule E, Column B, each additional note or loan receivable lent during the reporting period. (see instruction #34).

DEFINITION

A **Note or Loan Receivable** is money lent by the committee.

INSTRUCTION #19 TOTAL OTHER EXPENDITURES

- A. Enter on Page 2, line (16) the total amount of other expenditures made during the reporting period: the sum of lines (12), (13), (14) and (15). Transfer this amount to Page 3, line (26), Column B.

INSTRUCTION #20	GOODS OR SERVICES PURCHASED TO PROMOTE OR DEFEAT BALLOT QUESTIONS
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- A. Enter on Page 3, line (17) the total amount spent by your committee for goods and services to promote or defeat a ballot question for this reporting period.
- B. Disclosure is required on Schedule D, Page 6, of each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.

INSTRUCTION #21	SCHEDULE D — GOODS AND SERV- ICES PURCHASED TO PROMOTE OR DEFEAT A BALLOT QUESTION
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- A. Disclosure is required on Schedule D, Page 6, of each recipient to whom expenditures in excess of \$100 in a calendar year have been made by your committee to promote or defeat a ballot question.

B. INFORMATION REQUIRED TO BE DISCLOSED

- 1. **Date**—the month and year payments were made by the treasurer.
- 2. **Name and Address**—of the recipient.
- 3. **Ballot Question**—title of the Ballot Question involved.
- 4. **Column A** (Totals from last report of this calendar year)—if this is first report, this amount would be zero. IF NOT, transfer amounts of expenditures reported and made (on Page 6, Schedule D, Column C of last report total for the calendar year) to recipients in prior reporting periods in the calendar year.
- 5. **Column B** (Expenditures) Amount of expenditures required to be disclosed in this reporting period.
- 6. **Column C** (Total Year To Date) Sum of A and B.

INSTRUCTION #22**CASH CONTRIBUTED (TRANSFERRED) TO POLITICAL COMMITTEES AND FUNDS ORGANIZED TO PROMOTE OR DEFEAT BALLOT QUESTIONS**

- A. Enter on Page 3, line (18) total amount of money your committee contributed (transferred) to political committees and funds organized to promote or defeat ballot questions.
- B. Disclose on Schedule B, Page 5, Column B the name of any political committee or fund organized to promote or defeat a ballot question to whom your committee contributed (transferred) in excess of \$100, in aggregate, in a calendar year. The title of the ballot question must also be disclosed. (See Instruction #11).

INSTRUCTION #23**GOODS AND SERVICES PURCHASED ON BEHALF OF A POLITICAL COMMITTEE OR FUND ORGANIZED TO PROMOTE OR DEFEAT BALLOT QUESTIONS**

- A. Enter on Page 3, line (19), the total amount spent by your committee for goods and services purchased on behalf of a committee or fund organized to promote or defeat a ballot question.
- B. Disclose on Schedule B, Page 5, Column C, the name of any committee or fund organized to promote or defeat a ballot question on whose behalf your committee made expenditures for goods and services in excess of \$100, in aggregate, in a calendar year. The title of the ballot question must also be disclosed. (See Instruction #11).

INSTRUCTION #24**TOTAL EXPENDITURES ON BALLOT QUESTIONS**

- A. Enter on Page 3, line (20) the total of expenditures made to promote or defeat a ballot question, of contributions made to political committees and funds organized to promote or defeat a ballot question, and of goods and services purchased on behalf of a committee or fund organized to promote or defeat a ballot question: the sum of lines (17), (18) and (19). Transfer this amount to Page 3, line (27), Column B, Asset and Liability Summary.

INSTRUCTION #25 CASH BALANCE AT THE BEGINNING OF THE REPORTING PERIOD

- A. Enter on Page 3, line (21), Column B, the cash balance at the beginning of the reporting period, which is the same as the ending cash balance of the last reporting period.

DEFINITION

Cash Balance includes all money on deposit in banks and other depositories including checks, negotiable instruments, and other paper commonly accepted by a bank as a deposit.

INSTRUCTION #26 CONTRIBUTIONS

- A. Enter on Page 3, line (22), in Column A, the total amount of contributions received from the beginning of the year to the beginning of the current reporting period; in Column B, the total amount of contributions received during the current reporting period; and in Column C, the total amount of contributions received, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (22):

Column A (Totals from Last Report)—If this is the first report of a calendar year, enter zero in Column A of line (22). IF NOT, transfer the amount from Page 3, line (22), Column C, of the last report filed for the calendar year, which is the amount of income received from the beginning of the calendar year to the beginning of this reporting period.

Column B (Total for Reporting Period Ended)—Transfer the amount from Page 2, line (3), which is the total contributions received during the reporting period.

Column C (Year to Date Total)—Enter the sum of Columns A and B, Line (22), which is the total amount of contributions received, in aggregate, in the calendar year.

INSTRUCTION #27 OTHER INCOME

A. Enter on Page 3, line (23), Column A, the total amount of other Income received from the beginning of the year to the beginning of the current reporting period; in Column B, the total amount of other income received during the current reporting period and in Column C, the total amount of other income received, in aggregate, in the entire year.

B. INSTRUCTIONS FOR COMPLETING LINE (23):

Column A (Totals from Last Report)-If this is the first report of a calendar year, enter zero in Column A of line (23). IF NOT, transfer the amount from Page 3, line (23), Column C, of the last report filed for the calendar year, which is the amount of other receipts received from the beginning of the calendar year to the beginning of this reporting period.

Column B(Totals for Reporting Period Ended) - Transfer the amount from Page 2, line (7), which is the total other receipts received during the reporting period.

Column C (Year to Date Total) - Enter the sum of Columns A and B, line (23), which is the total amount of other income received, in aggregate, in the calendar year.

INSTRUCTION #28 SUB TOTAL

Enter on Page 3, line (24) the total of lines (21), (22), and (23). This is the sum of the beginning cash balance and income for the reporting period.

**INSTRUCTION #29 CONTRIBUTIONS (TRANSFERS) TO
OTHER CANDIDATES, COMMITTEES
OR FUNDS**

A. Enter on Page 3, line (25) the total amount of contributions (transfers) made from the beginning of the calendar year to the beginning of the current reporting period; the total amount of contributions (transfers) made during the current reporting period; and the total amount of contributions (transfers) made, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (25):

Column A (Totals from Last Report)—If this is the first report of the calendar year, enter zero in Column A, line (25). IF NOT, transfer the amount which you have shown on Page 3, line (25), Column C, of the last report filed for the calendar year, which is the amount of contributions (transfers) made by the committee from the beginning of the year to the beginning of this reporting period.

Column B (Totals for Reporting Period Ended)—Transfer from Page 2, line (11), Column C, the total amount of contributions and transfers made during the current reporting period.

Column C (Year to Date Total)—Enter the sum of Columns A and B, line (25), which is the total amount of contributions and transfers made, in aggregate, in the calendar year.

INSTRUCTION #30 OTHER EXPENDITURES

A. Enter on Page 3, line (26) the total amount of other expenditures made from the beginning of the calendar year to the beginning of the current reporting period; the total amount of other expenditures made during the reporting period; and the total amount of other expenditures made, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (26):

Column A (Totals from Last Report) - If this is the first report of the calendar year, enter zero in Column A, line (26). IF NOT, transfer the amount which you have shown on Page 3, line (26), Column C, of the last report filed for the calendar year, which is the amount of other expenditures made by the committee from the beginning of the year to the beginning of this reporting period.

Column B (Totals for Reporting Period Ended) - Transfer from Page 2, line (16), the total amount of expenditures made during the reporting period.

Column C (Year to Date Total) - Enter the sum of Columns A and B, line (26), which is the total amount of expenditures made, in aggregate, in the calendar year.

INSTRUCTION #31 EXPENDITURES ON BALLOT QUESTIONS

A. Enter on Page 3, line (27) the total amount of expenditures on ballot questions made from the beginning of the calendar year to the current reporting period; the total amount of expenditures on ballot questions made during the reporting period; and the total amount of expenditures on ballot questions made, in aggregate, in the calendar year.

B. INSTRUCTIONS FOR COMPLETING LINE (27):

Column A (Totals from Last Report—If this is the first report of the calendar year, enter zero in Column A, (line 27). IF NOT, transfer the amount you have shown on Page 3, line (27) Column C, of the last report filed for the calendar year, which is the amount of expenditures on ballot questions made by the committee from the beginning of the year to the beginning of the reporting period.

Column B (Totals For Reporting Period Ended)—Transfer from Page 3, line (20), the total amount of expenditures made during the reporting period.

Column C (Year To Date Total)—Enter sum of Columns A and B, line (27) which is total amount of expenditures made, in aggregate, in the calendar year.

INSTRUCTION #32 CASH BALANCE AT END OF REPORTING PERIOD

Enter on Page 3, line (28), Column B, the total of cash your committee had at the end of the current reporting period. This is the total of line (24), less lines (25), (26) and (27).

INSTRUCTION #33 NOTES RECEIVABLE AND LOANS RECEIVABLE

- A. Enter on Page 3, line (29), Column B, the total amount of notes and loans receivable outstanding on the last day of the reporting period.
- B. Disclosure is required on Page 7, Schedule E, of all notes and loans receivable lent by and/or repaid to the committee during the reporting period.

DEFINITION

A note or loan receivable is money lent by the committee.

**INSTRUCTION #34 SCHEDULE E—SUMMARY OF NOTES
AND LOANS RECEIVABLE**

A. Disclose on Page 7, Schedule E, the amount of any new note or loan receivable lent and the amount of any repayments received during the reporting period.

B. INFORMATION REQUIRED TO BE DISCLOSED:

1. **Date**—The month and year each note or loan receivable was lent by the committee and/or the date repayment was received by the committee.
2. **Name and Address**—of each person or organization to whom a loan was made.
3. **Occupation and Principal Place of Business**—of the person to whom a loan was made.
4. **Column A (Totals from Last Report)**—The balance of each note or loan receivable outstanding at the beginning of the reporting period.
5. **Column B (Additions)**—The amount of each new note or loan lent during the current reporting period.
6. **Column C (Repayments)**—The amount of each repayment made on a note or loan receivable during the reporting period.
7. **Column D (Year to Date Totals)**—The balance of each note or loan receivable outstanding at the end of the reporting period by each named recipient, the total of Column A plus Column B minus Column C. Transfer the total amount of all outstanding notes and loans receivable outstanding at period end to Page 3, line (29), Column B.

DEFINITION

A note or loan receivable is money lent by the committee.

INSTRUCTION #35 NOTES AND LOANS PAYABLE

- A. Enter on Page 3, line (30), Column B, the total amount of notes and loans payable outstanding on the last day of the current reporting period.
- B. Disclosure is required on Page 7, Schedule F, of each note or loan borrowed or repaid by the committee during the reporting period.

DEFINITION

A **note or loan payable** is money which has been borrowed by the committee.

**INSTRUCTION #36 SCHEDULE F—SUMMARY OF NOTES
AND LOANS PAYABLE**

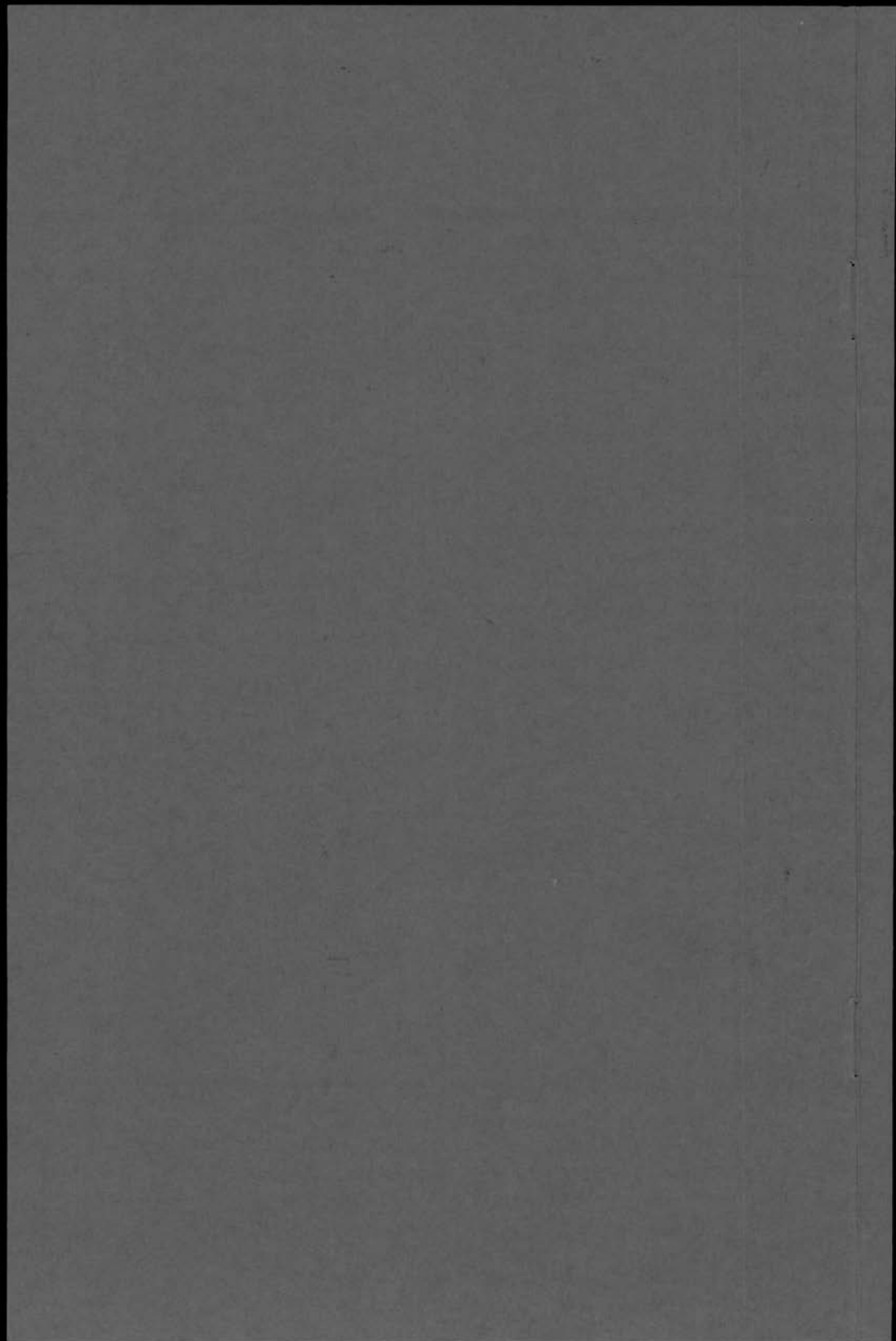
A. Disclose on Page 7, Schedule F, each note or loan payable lent to and/or repaid by the committee during the reporting period.

B. INFORMATION REQUIRED TO BE DISCLOSED

1. **Date**—The month and year each note or loan payable was received by the committee and/or the date any repayment was made by the committee during the reporting period.
2. **Name and Address**—of each person or organization from which money was borrowed by the committee and the name and address of each endorser, if any, of the note or loan.
3. **Occupation and Principal Place of Business**—of each person or organization from which money was borrowed by the committee.
4. **Column A (Totals from Last Report)**—The balance of each note or loan outstanding at the beginning of the reporting period.
5. **Column B (Additions)**—The amount of each new note or loan borrowed during the reporting period.
6. **Column C (Repayments)**—The amount of each repayment made on a note or loan owed during the reporting period.
7. **Column D (Year to Date Totals)**—The balance of each note or loan payable outstanding at the end of the reporting period; the total of Column A plus Column B minus Column C. Transfer the total of all notes and loans payable outstanding at the end of the current reporting period to Page 3, line (30), Column B.

DEFINITION

A **note or loan payable** is money which has been borrowed by the committee.





LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA • ST. PAUL, MINNESOTA 55102

PHONE: (612) 224-5445

MEMO

TO:

FROM:

SUBJECT:

DATE:

Not

Sally

amendments Camp.

*Call M. A. McCoy about Registration
with Secretary of State's office. Does
Reg. with Ethical Practices Board replace
that? I think so but check it out.*

8/25

*All registration with Ethical Practices Board
Ch. 10A. — re: M. McCoy*

Lee

MINNESOTA

CAMPAIGN MANUAL 1980

Fair Campaign Practices Act
Minnesota Statutes Chapter 210A
including summary and annotations

Ethics in Government Act
Minnesota Statutes Chapter 10A

published by

ELECTION DIVISION • OFFICE OF THE SECRETARY OF STATE
180 STATE OFFICE BUILDING • ST. PAUL, MINNESOTA 55155
(612) 296-2805

and

MINNESOTA STATE ETHICAL PRACTICES BOARD
41 STATE OFFICE BUILDING • ST. PAUL, MINNESOTA 55155
(612) 296-5148



PREFACE

State law requires the Secretary of State to publish an "easily understandable digest" of Minnesota Fair Campaign Practices Act, which is Chapter 210A of our statutes. This booklet contains both the required summary and the text of the Act.

The Act regulates campaign practices of federal, state, and local candidates and their committees in Minnesota elections. This Act also regulates the campaign finances of local candidates.

Campaign finances and certain disclosures of candidates for state constitutional, state legislative, and judicial offices are regulated by Chapter 10A of our statutes and administered by the Minnesota State Ethical Practices Board, 41 State Office Building, St. Paul, Minnesota 55155; (612) 296-5148. The Ethical Practices Board has cooperated in the publication of this booklet, making it possible to include the entire text of Chapter 10A.

Campaign finances and certain disclosures of candidates for federal office (United States President and Vice President, United States Senator, and United States Representative in Congress) are regulated by federal laws. Copies of their federal disclosure reports are filed with the Secretary of State, and Minnesota law accepts these reports instead of the reports required by the Fair Campaign Practices Act. The Federal Election Commission, 1325 K Street NW, Washington, D.C. 20463, administers the federal laws. The commission has a toll free information line: (800) 424-9530.

June, 1980

JOAN ANDERSON GROWE
Secretary of State

Published under provisions
of Minnesota Statutes 210A.27,
with the approval of
WARREN SPANNAUS
Attorney General

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SUMMARY AND ANNOTATIONS, MINNESOTA FAIR CAMPAIGN PRACTICES ACT (MINNESOTA STATUTES CHAPTER 210A)

DEFINITION OF TERMS

Several of the terms used in the Fair Campaign Practices Act have specific meanings and are defined in M.S. 210A.01.

CAMPAIGN COMMITTEES

Personal campaign committees. The procedure to be used in establishing a personal campaign committee may be found in M.S. 210A.33. Briefly, that section authorizes a candidate to select one personal campaign committee. If a candidate chooses to establish such a committee, he must first file with his filing officer a signed statement containing the names and addresses of the secretary and other members of the campaign committee. If only one name is submitted, that person is considered to be the secretary. A candidate is not required to appoint a personal campaign committee.

A candidate may at any time revoke the appointment of any member of the committee by notifying that individual and filing a written statement with his filing officer.

In any civil action the acts of every member of the personal campaign committee are presumed to be with the knowledge and approval of the candidate until it is proved that 1) the candidate did not know and approve of the act and 2) in the exercise of reasonable care the candidate could not have had the knowledge of and opportunity to disapprove the act.

Political (volunteer) committees. The definition of a political committee, or what is commonly referred to as a volunteer committee, is listed in M.S. 210A.01. The only other reference to political committees in the Fair Campaign Practices Act appears in M.S. 210A.26, which specifies reporting requirements.

A political committee must be differentiated from a personal campaign committee and may not be organized as a mere subterfuge to evade the Fair Campaign Practices Act (Op. Atty. Gen. 627-C-7, August 30, 1946).

Party committees. "Party committees" means a committee appointed or elected to represent any political party with a party organization in this state.

REPORTING REQUIREMENTS FOR CANDIDATES AND COMMITTEES

Generally, the Minnesota Ethics in Government Act (Chapter 10A of the state statutes), not the Fair Campaign Practices Act, sets out the reporting requirements for campaigns for state offices. The Minnesota Ethical Practices Board, not the Secretary of State, administers the Ethics in Government Act. Federal laws set out reporting requirements for federal campaigns. The Federal Elections Commission, not the Secretary of State, administers the federal laws. Reports on campaigns for the U.S. House and Senate must be submitted to the Secretary of State, but the reports required by federal laws may be used to satisfy the state requirement.

Candidates, personal campaign committees and party committees. Candidates and the secretaries of personal campaign committees and party committees must file, according to M.S. 210A.26, a statement of receipts and expenditures at the

Editor's note: Key to abbreviations in this publication:

M.S. — Minnesota Statutes

Op. Atty. Gen. — Opinion of the Attorney General

following times: 1) Eight days before the primary; 2) on or before the tenth day following the primary; 3) eight days before the general election; and 4) on or before the tenth day following the general election.

Candidates and personal campaign committees file reports with the filing officer for the candidate. State and congressional committees file with the Secretary of State. Party committees for legislative districts file with the filing officer of the candidate for representative or senator. Other party committees file with the county auditor.

These reports shall itemize all transactions, disbursements and obligations to make disbursements. Forms for completing these statements are available from the filing officer or the Secretary of State.

For municipal elections in municipalities having more than 20,000 persons, candidates and personal campaign committees must file financial reports. Specific information may be found in M.S. 210A.26 Subd. 4.

Political (volunteer) committees. M.S. 210A.26 also requires political (volunteer) committees to file a financial statement on or before 30 days following a primary or general election. The report must list the total amount of receipts and disbursements and for what purpose the disbursements were made.

The place of filing political committee statements is as follows:

- If supporting a candidate for federal office, with the filing officer;
- If supporting a candidate for county office, with the auditor of the county in which the committee has its headquarters;
- If supporting or opposing a constitutional amendment, with the Secretary of State;
- If related to a municipal election in municipalities over 20,000 persons, with the filing officer of the municipality.

SOLICITATION AND CONTRIBUTION REGULATIONS

Soliciting a candidate. In order to prevent a candidate from being solicited for various causes by reason of his being a candidate, M.S. 210A.08 prohibits any person from soliciting a contribution or payment from any candidate or committee for a religious, charitable or other similar cause. This provision does not apply to regular payments by a candidate to an organization to which he was a contributor for six months, or of which he is a member.

It is also illegal for any person to solicit or receive from a candidate or committee any money, property or thing of value which would constitute a disbursement which is prohibited by the Fair Campaign Practices Act. (see M.S. 210A.21). This means it is not only illegal for a candidate or committee to make an illegal disbursement, but it is also illegal for a person to solicit or receive an illegal disbursement.

Contributions by corporations. Generally it is illegal for any corporation in Minnesota, whether profit or non-profit, to, directly or indirectly, contribute money, property, services or any thing of value for political purposes. A corporation violating this law may be subject to penalty not to exceed \$10,000 and may be dissolved or have its right to conduct business in Minnesota forfeited.

Any officer, employee or other representative of a corporation who makes such a contribution on behalf of a corporation may be subject to a fine, imprisonment or both. Further, any individual who aids, abets or advises a violation of this law shall be guilty of a misdemeanor.

Although a candidate's committee may not receive contributions from a corporation, it is not limited as to the amount of contributions it may receive from individuals of corporations.

Corporations may contribute to or conduct public media projects to encourage individuals to attend precinct caucuses, register or vote providing the projects are not controlled by or operated for the advantage of any candidate, political party or political committee. Corporations may provide meeting facilities for political committees, parties or candidates providing they do so on a nondiscriminatory or nonpreferential basis. Corporations selling products or services to the public may post notices on their public premises promoting participation in the precinct caucuses, voter registration or voting, provided these messages are not controlled or operated for the advantage of any candidate, political party or political committee.

In connection with permitted media projects and posted notices, the total amount of any expenditure or contribution or any project which exceeds \$100 must be reported to the Secretary of State, together with the date, purpose and names and addresses of the persons receiving the contribution or expenditures. The reports must be filed on a form provided by the Secretary of State eight days before the primary, on or before the tenth day following the primary, eight days before the general election and on or before the tenth day following the general election.

The pertinent statutes relative to corporate donations are M.S. 210A.34-36.

CANDIDATE OR CAMPAIGN EXPENDITURE REGULATIONS

Spending limitations—amount. The amount of money which may be spent by a candidate or his personal campaign committee is limited by state law and may be found in M.S. 210A.22. Generally, Chapter 10A of the Minnesota Statutes, not the Fair Campaign Practices Act, sets spending limits for state candidates. Federal laws, not the Fair Campaign Practices Act, set spending limits for federal candidates.

The Fair Campaign Practices Act does not set limits on spending by political (volunteer) or party committees. Limits imposed on the candidate and his personal campaign committee limit disbursements by the candidate and his committee.

Spending limitations—purpose. The law also limits the purposes for which candidates and committees may spend money and give away things of value. These limits apply not only to the candidate and his personal campaign committee but also to political and party committees.

The purposes which are permitted are set forth in M.S. 210A.16.

To give or promise to give any thing of value by any person for the purpose of inducing a voter to refrain from voting or to vote in a particular way is a felony and is covered in M.S. 210A.17.

Whether an item constitutes a "thing of value" is discussed in an opinion of the attorney general which states (Op. Atty. Gen. 627f-1 April 25, 1938):

"... (W)hether packets or books of matches are things of value ... involves a question of fact which this office has no authority to determine.

"we may say, however, that if such articles have any material value for any purpose other than simply as a medium for carrying advertising matter, they come under the ban of the statute.

"This office has expressed the opinion that if a person distributes, in an election campaign, articles which may possibly have some value other

than as an advertising medium, such as packets or books of matches, relying on the belief that their value is so slight that they will not be considered a "thing of value" such person must take the chance of having the legality of so doing questioned in a criminal prosecution or an election contest."

In addition, M.S. 210A.19 specifically prohibits any person or candidate from, directly or indirectly, giving or paying the expenses of giving any meat, drink or other entertainment or provisions, clothing, liquors, cigars, or tobacco, to or for any person for the purpose of influencing a person's vote. Here are several notes and decisions relating to this statute:

The purpose of influencing voters is the poison which the Fair Campaign Practices Act is aimed at, and in the absence of such purpose, a gift is not considered to be a violation of the act. (*Engelbert v. Tuttle*, 185 Minn. 608, 242 N.W. 425). Where a gift won at a church bazaar by a candidate's wife was later returned to the church treasury and no publicity was given to the returning of this gift, the court said that no intent to influence voters could be found. (*Engelbert v. Tuttle*, supra). Where a candidate attended showers for friends and presented gifts that were similar with respect to the character and cost of those given by other invited guests the court said that the giving of such gifts could not be considered as an act done with intent to influence voters. (*Engelbert v. Tuttle*, supra).

A candidate furnished drinks of liquor to voters and at the same time asked them to vote for him. The court said that a candidate for public office who, during his campaign, solicits the vote of an elector and at the same time gives him intoxicating liquor, brings himself clearly within the prohibition of the statute. A contention that such acts on the part of a candidate amounted to mere hospitality or that they were trivial and unimportant cannot be sustained. (*Miller v. Maier*, 136 Minn. 231, 161 N.W. 513). It is not legal for a candidate to give away cigars in the election room while the polls are open. (*Op. Atty. Gen.* 627f-1, March 20, 1917). The distribution by a candidate of free tickets to a county fair admitting children under 12 years of age free is a violation of this section. (*Op. Atty. Gen.* 627f-1, June 3, 1930).

ADVERTISING AND LITERATURE REQUIREMENTS

All printed material written or distributed by a candidate or committee is subject to the section on defamatory circulars, M.S. 210A.04. Under that section the writer, printer or distributor of any printed matter containing false information with respect to the personal or political character or acts of a candidate which is designed or tends to elect, defeat or injure any candidate shall be guilty of a gross misdemeanor. This statute does not apply to a printer whose sole act is to print or manufacture the material and who does not know the material is false.

Newspaper advertisements. M.S. 210A.05 requires every advertisement in a newspaper to include the words "PAID ADVERTISEMENT" in six point type, the amount paid for the advertisement or a statement that the advertisement is paid for at regular advertising rates, the name of the candidate and the names of the officer and committee authorizing the publication.

M.S. 210A.06 prohibits any employee of a newspaper or periodical from soliciting or receiving any payment or promise of payment for influencing or attempting to influence voting through printed matter which appears in the newspaper if the material does not contain the information required in M.S. 210A.05. The statute also prohibits a person from paying or promising to pay a newspaper or periodical employee for such a purpose.

Other printed literature. Printed matter other than newspaper advertisements are subject to similar requirements. M.S. 210A.03 requires that the name of the candidate, the name and address of the author and the name and address of any other person or committee causing the material to be published or distributed must appear on the face of the material.

IMPROPERLY INFLUENCING VOTERS

Bribery. As stated previously, there is a prohibition against giving any thing of value to any person for the purpose of influencing their vote. The specific statute is M.S. 210A.17. It is discussed in part V B of this summary.

Threats, force, undue influence. M.S. 210A.07 makes it illegal for any person to threaten, coerce or unduly influence any person in order to influence that person's vote.

Promise appointments. No candidate, in order to promote his nomination or election, may directly or indirectly promise to appoint or in any other way to aid another person to secure a public or private position or employment, according to M.S. 210A.15. This statute does not prohibit a candidate from expressing a preference for any other candidate to be voted on at the same election. Nor is the candidate prohibited from stating a preference for a person to hold an office which the candidate, if elected, will have the duty to nominate or to appoint.

Influencing employees. An employer, or his agent, may not make any threat, direct or implied, to an employee which seeks to influence that employee's vote. (M.S. 210A.14)

Payment for time lost at polls. Although M.S. 204A.36 requires that an employee be permitted time off from work without loss of pay during the forenoon for the purpose of voting at a statewide general election or special election to fill a vacancy in the U.S. House of Representatives, it is illegal, under M.S. 210A.12 for any other person to pay an individual for any loss incurred as a result of voting or registering to vote.

Transporting voters. Under M.S. 210A.13 it is illegal for any person to attempt to influence a voter's choice while transporting him to the polls. No campaign material may be displayed during transportation, and a candidate may not transport any person not in his household to the polls.

Influencing a person's candidacy. M.S. 210A.09 forbids the use of any pay, promise or reward to induce a person to become a candidate or refrain from being a candidate.

Challenging voters. While any election judge, challenger or other voter may challenge any person who is suspected of not being qualified to vote, it is not permissible to automatically challenge all persons who seek a ballot. The challenge must be based on a reasonable suspicion that the prospective voter is not qualified (Op. Atty. Gen. 182, October 26, 1964).

Impeding voting at polling place. A person may not impede the free exercise of the franchise at any election, according to M.S. 210A.07.

False claim of party support. No person or candidate shall knowingly falsely claim the support or endorsement of any political party or organization. (M.S. 210A.02)

Campaigning in multiple-unit dwellings. Candidates with or without their workers may not be denied access to multiple-unit dwellings to campaign. A resident may deny admittance to his or her dwelling, identification may be required, visits to certain persons may be denied for health reasons, limits may be

put on hours and numbers of campaigners, appointments may be required and campaigners may be denied admittance or expelled for good cause (M.S. 210A.43).

ELECTION DAY ACTIVITIES

The law strictly limits the campaign activities which may be conducted on election day. In addition to items listed previously, it is illegal, on election day, to:

- Distribute campaign literature of any kind (M.S. 210A.11);
- Make any campaign broadcast by radio or television (M.S. 210A.11);
- Seek to influence any voter within 100 feet of polling place (M.S. 210A.102);
- Pay anyone for personal campaign services except to act as poll challenger (M.S. 210A.12);
- Wear any political badge, insignia or button at or about the polls or to buy or sell any such badge, insignia or button (M.S. 210A.11).

Certain activities are permitted on election day, some of which have been dealt with in the opinions of the attorney general summarized below:

- ★ Candidates may solicit votes and support by telephone on election day and personal solicitation does not violate this law so long as it takes place more than 100 feet from the building where the polls are housed (Op. Atty. Gen. 627h, February 25, 1929).
- ★ Political workers can endeavor to persuade voters on election day so long as they do not approach within the 100 foot limit (Op. Atty. Gen. 627f2, June 12, 1918).
- ★ Badges or pieces of paper marked "I voted" may be distributed on election day although they cannot be given out by election judges or clerks inside the polling place (Op. Atty. Gen. 627h, September 29, 1952).
- ★ Gummed stickers to indicate a choice for a "write-in" candidate may be distributed on election day provided they bear nothing more than the name of a candidate and a cross mark, although they may not be distributed within the 100 foot limit (Op. Atty. Gen. 627b8, March 9, 1945).

VIOLATIONS AND ELECTION CONTESTS

Violations of the Fair Campaign Practices Act entail criminal penalties. A conviction on criminal charges for violating the Act is also grounds for invalidation of a winner's election. In addition to these penalties, the violator, if he has won the election, is subject to a civil action brought by his defeated opponent to invalidate his election.

Violations, investigation of. M.S. 210A.37 requires that a county attorney who is notified of a violation of the Fair Campaign Practices Act must investigate and, if there are reasonable grounds to prosecute, present the facts to the grand jury.

Any citizen may employ an attorney to assist the county attorney. This assistant must be notified before any action is dismissed. If the assistant objects to dismissal, a court must hear the county attorney's reasons for favoring dismissal and the assistant's reasons for objecting to dismissal.

Penalties. Unless otherwise stated, the penalty for violating the Act is a gross misdemeanor, punishable by imprisonment for not more than one year or a fine of not more than \$1,000 or both. In addition the person convicted shall be ineligible to take or hold the office to which he was elected or to receive its income (M.S. 210A.42). Nor may he be appointed or elected to the office once it is declared vacant (M.S. 210A.39).

The prohibition on holding office does not apply to members of the Congress or Legislature in which situation the legislative body determines the eligibility of its members.

Violation by unauthorized person. M.S. 210A.38 sets forth certain situations in which the nomination or election of the candidate shall not be set aside as a penalty for violating the Act.

Failure to file financial report. M.S. 210A.28 provides that a candidate's name may not be printed on the ballot if the financial statements required of the candidate or his personal campaign committee have not been filed.

If a campaign committee or a candidate fails to file a report on time, the filing officer must immediately notify the candidate or committee and also the county attorney. The county attorney must then notify the candidate or secretary of the committee that they are delinquent and, if compliance has not been made within ten days, the county attorney shall prosecute the candidate or officer of the committee (M.S. 210A.32).

A candidate who intentionally fails to file required reports on time or who takes office or accepts compensation for office knowing a report has not been filed is guilty of a gross misdemeanor M.S. 210A.31.

Failure to keep accounts. Treasurers and others who receive campaign money and fail to report are guilty of a misdemeanor. M.S. 210A.29. Failure to keep accounts or mutilation of accounts is a misdemeanor if done with intent to conceal.

Election contests. Any voter may contest the nomination or election of any person on the ground of 1) an irregularity in the conduct of an election or in the canvass of votes or 2) deliberate, material and serious violations of the Minnesota election law (M.S. 209.02). The reference in M.S. 210A.38 to trivial and unimportant offenses should be noted with respect to the second category.

The procedure for initiating an election contest is dealt with in Chapter 209 of the Minnesota Statutes. M.S. 210A.41 relates to a candidate's expense in employing counsel to represent him in an election contest.

CHAPTER 210A

FAIR CAMPAIGN PRACTICES

Sec.		Sec.	
210A.01	Definitions.	210A.25	Disbursements by candidate.
210A.02	False claim of party support.	210A.26	Must file verified statement of expenditures.
210A.03	Campaign literature must include names.	210A.27	Statement of expense, blanks; digest of laws.
210A.04	Defamatory circulars; penalty.	210A.28	Names of candidates shall not be printed on ballot unless statement is filed.
210A.05	Paid advertisements in news.	210A.29	Filing statements by treasurer; penalty.
210A.06	Compensation prohibited, except for paid advertisement.	210A.30	Failure of treasurer to keep accounts; penalty.
210A.07	Undue influence on voters prohibited.	210A.31	Failure by candidate to file statement; penalty.
210A.08	Solicitation of contributions prohibited.	210A.32	Filing officers shall notify candidate or committee.
210A.09	Inducing or refraining a person as a candidate; time off for public office meetings.	210A.33	Personal campaign committees.
210A.091	Public official, name.	210A.34	Corporations not to contribute to political campaign; penalties.
210A.10	Soliciting near polling places prohibited.	210A.35	Aiding violation; penalty.
210A.11	Election day, certain campaigning prohibited.	210A.36	Prosecutions; where made.
210A.12	Not to pay for time lost at polls.	210A.37	County attorney to inquire into violations; penalties.
210A.13	Transportation of voters to polls; penalty.	210A.38	Violations by unauthorized person not to forfeit nomination.
210A.14	May not influence employees.	210A.39	Disqualified candidate not to hold position.
210A.15	May not promise appointments.	210A.40	Judgments; to whom transmitted.
210A.16	Legal expenditures.	210A.41	May employ counsel.
210A.17	Bribery, penalty.	210A.42	Penalties for violation.
210A.18	Advancing money unlawfully; penalty.	210A.43	Denial of access by political candidates to multiple unit dwellings.
210A.19	Unlawful expenditures; penalty.	210A.44	Citation.
210A.20	Making of wagers prohibited.		
210A.21	Certain solicitations prohibited.		
210A.22	Expenditures; limit.		
210A.23	May authorize disbursements by campaign committee.		
210A.24	Bills, when rendered and paid.		

210A.01 DEFINITIONS. Subdivision 1. The words used in sections 210A.01 to 210A.44 have the meanings prescribed to them in chapter 200; and the words defined in this section are applicable for the purpose of construing sections 210A.01 to 210A.44.

Subd. 2. Any act shall be deemed to have been for "political purposes" when the act is of a nature, is done with the intent, or is done in such way, as to influence or tend to influence, directly or indirectly, voting at any primary or election or on account of any person having voted, or refrained from voting, or being about to vote or refrain from voting at any election or primary.

Subd. 3. "Candidate" means any individual for whom it is contemplated or desired that votes may be cast at any primary or election, and who either tacitly or expressly consents to be so considered, except candidates for president and vice president of the United States. In sections 210A.22 to 210A.28, 210A.32 and 210A.33, "candidate" does not mean an individual for whom it is contemplated or desired that votes may be cast at any primary or election, and who either tacitly or ex-

pressly consents to be so considered for constitutional office, member of the legislature, justice of the supreme court, or district court, county court, probate court, or county municipal court judge.

Subd. 4. "Disbursements" means every act by or through which any money, property, office, or position or other thing of value passes or is directly or indirectly conveyed, given, promised, paid, expended, pledged, contributed, or lent, and also any money, property, office, or position or other thing of value so given, provided, paid, expended, promised, pledged, contributed, or lent.

Subd. 5. "Filing office", when used with reference to any candidate, shall be construed to mean the officer who is authorized by law to issue a certificate of nomination or election to such candidate if he be successful. If there be no officer authorized to issue such certificate of nomination or election, then such term shall be construed to mean the clerk of the town or city in which such candidate resides.

Subd. 6. "Personal campaign committee" means any committee appointed by a candidate for any election.

Subd. 7. "Party committee" means any committee appointed or elected to represent any political party with a party organization in this state.

Subd. 8. Every two or more persons elected or appointed by any political party or association for the purpose, wholly or partly, of raising, collecting, or disbursing money, or directing the raising, collecting or disbursing thereof, for nomination or election purposes, and every two or more persons who shall cooperate in the raising, collecting, or disbursing of money used, or to be used for or against the election to public office of any person or any class or number of persons, or for or against the adoption of any law, ordinance, or constitutional amendment, shall be deemed a "political committee" within the meaning of sections 210A.01 to 210A.44.

Subd. 9. "Committee" means any personal campaign committee, party committee, or political committee, unless the intent is clearly shown to be otherwise.

(1959 c 675 art 12 s 1; 1974 c 470 s 38; 1975 c 284 s 1; 1978 c 463 s 105; 1979 c 59 s6)

NOTES AND DECISIONS

The Fair Campaign Practices Act applies to activities of which the purpose is to secure the adoption or defeat of a constitutional amendment. The Act also applies to the activities of a committee formed for the purpose of bringing about or preventing the adoption of an ordinance. Op. Atty. Gen. 627-B-1, October 14, 1942.

The term "voluntary committee" is but another name for a political committee under this section. Such a committee may not be organized as a mere subterfuge to evade the Fair Campaign Practices Act. Op. Atty. Gen. 627-C-7, August 30, 1946.

Committee formed to support constitutional amendment must file statement of receipts and disbursements. Op. Atty. Gen. 627-B-2, August 26, 1952.

Act applies to city charter election. Op. Atty. Gen. 627-b-1, August 18, 1966.

Fair Campaign Practices Act is directed to actions of candidate and persons for whom he is responsible; and where there is nothing to show that candidates sanctioned improper activities, that are not chargeable to him. *Munnell v. Rowlette*, 275 Minn. 94, 145 N.W. 2d 531 (1966).

210A.02 FALSE CLAIM OF PARTY SUPPORT. No person or candidate shall knowingly, either by himself or by any other person, while such candidate is seeking a nomination or election, make, directly or indirectly, a false claim stating or implying that the candidate has the support or endorsement of any political party, or unit thereof, or of any organization, when in fact the candidate does not have such support or endorsement.

(1965 c 567 s 1; 1975 c 284 s 2)

NOTES AND DECISIONS

Prominent political leaders are not "units" of political party. *Graves v. Meland*, Minnesota Supreme Court, March 24, 1978.

210A.03 CAMPAIGN LITERATURE MUST INCLUDE NAMES. Any person or committee who shall publish, issue, post, or circulate, or cause to be published, issued, posted or circulated, otherwise than in a newspaper, as provided in section 210A.05, subdivision 1, any literature, campaign material, or any publication, including but not limited to cards, pamphlets, flyers, signs, banners, leaflets, tending to influence voting at any primary or election which fails to bear on the face thereof the name and address of the author, the name of the candidate in whose behalf the same is published, issued, posted, or circulated, and the name and address of any other person or committee causing the same to be published, issued, posted, or circulated, shall be guilty of a misdemeanor; provided, nothing herein contained shall be construed as modifying or repealing any of the provisions of section 210A.04.

(1959 c 675 art 12 s 8; 1963 c 861 s 6; 1975 c 284 s 3)

NOTES AND DECISIONS

Absence of authorship clause on cards held trivial. *Miske v. Fischer*, 193 Minn. 514, 259 N.W. 18 (1935).

Candidate for office may include word "lawyer" on campaign card but such a card must contain address of author, while card containing a mere statement that a person is a candidate for office without anything in the way of an appeal or argument does not need to state its authorship. Op. Atty. Gen. 627-j-1, March 16, 1936.

Sticker with nothing more on it than the name of a person for whom votes are desired is not in effect a campaign card. Op. Atty. Gen. 627-j-8, October 20, 1930.

Use of a patriotic poster with candidates solicitation of votes thereon must bear the name and address of the author. Op. Atty. Gen. 627-F-1, August 18, 1942.

Emery boards must bear name and address of author. Op. Atty. Gen. 627-F-1, September 24, 1948.

If open letter is circulated in interest of better government and not for particular candidate, then section does not require, in addition to author's name and address, name of any candidate. Op. Atty. Gen. 627-J-3, Oct. 6, 1948. See also Op. Atty. Gen. 627-J-3, February 10, 1947 on the same issue.

210A.04 DEFAMATORY CIRCULARS; PENALTY. Subdivision 1. Every person who writes, prints, posts, or distributes, or causes to be written, printed, posted, or distributed, except by broadcasting, any circular, poster, or other written or printed matter containing false information with respect to the personal or political character or acts of any candidate, which is designed or tends to elect, injure or defeat any candidate for nomination or election to a public office, shall be guilty of a gross misdemeanor.

Subd. 2. Subdivision 1 shall not apply to a printer or manufacturer of campaign material whose sole act is the printing or manufacturing of campaign material and delivery to the person who orders it and who does not know such printed matter is false.

(1959 c 675 art 11 s 11; 1963 c 861 s 1; 1975 c 284 s 4)

NOTES AND DECISIONS

False representation regarding source of information is not violation of election laws as long as information is true. *Grotjohn v. McCollar*, 291 Minn. 344, 191 N.W. 2d 396 (1971).

Candidate who denied prior knowledge of the details and method of publishing alleged falsehood did not violate Fair Campaign Practices Act. In re County Commissioner for Wright County, 289 Minn. 523, 185 N.W. 2d 277 (1971).

Although defamatory of supporters of a candidate, a campaign document held not defamatory of candidate himself and so no violation of Corrupt Practices Act. *Dart v. E.*, 188 Minn. 313, 248 N.W. 706 (1933).

This section had no application to an election contest based on claim that candidate illegally referred to opponent's juvenile record of offenses. *Grotjohn v. McCollar*, 291 Minn. 344, 191 N.W. 2d 396 (1971).

Campaign circular containing earlier laudatory statements about a candidate is not defamatory and, therefore, does not violate this section. *Graves v. Meland*, Minnesota Supreme Court, March 24, 1978.

210A.05 PAID ADVERTISEMENTS IN NEWS. Subdivision 1. No publisher of a newspaper, periodical, or magazine shall insert either in the advertising columns of such newspaper, magazine, or periodical, or elsewhere therein, any matter paid or to be paid for which is intended or tends to influence directly or indirectly any voting at any primary or general election unless at the head or the foot of the matter is printed in six point capital letters the words "Paid Advertisement," and unless there is a statement at the head or the foot of the matter of the amount paid or to be paid therefor, or a statement that the same is to be paid at regular advertising rates, the name of the candidate in whose behalf the matter is inserted and of any other person or the names of the officer and the committee authorizing the publication.

Subd. 2. To the extent that any person sells advertising space used on behalf of any candidate, the charges made shall not exceed the charges made for any other comparable purpose or use according to the seller's rate schedule.

(1959 c 675 art 12 s 3; 1963 c 861 s 3; 1974 c 470 s 36; 1975 c 284 s 5)

NOTES AND DECISIONS

Name of person or persons on committee who authorize insertion of advertisement must be stated. Op. Atty. Gen. 627-c-5, October 1, 1938.

210A.06 COMPENSATION PROHIBITED, EXCEPT FOR PAID ADVERTISEMENT. No owner, publisher, editor, reporter, agent, or employee of any newspaper or periodical shall directly or indirectly solicit, receive, or accept any payment, promise, or compensation, nor shall any person pay or promise to pay, or in any manner compensate any such owner, publisher, editor, reporter, agent, or employee directly or indirectly for influencing or attempting to influence through any printed matter in such newspaper or periodical any voting at any election or primary through any means except through the matter inserted in such newspaper or periodical as "PAID ADVERTISEMENT," and so designated as provided by sections 210A.01 to 210A.44.

(1959 c 675 art 12 s 5; 1963 c 861 s 4; 1975 c 284 s 6)

210A.07 UNDUE INFLUENCE ON VOTERS PROHIBITED. No judge, officer or any other person shall directly or indirectly by himself or any other person in his behalf, make use of or threaten to make use of any force, coercion, violence, restraint, or undue influence, or inflict or threaten to inflict by himself, or any other person, any temporal or spiritual injury, damage, harm, or loss upon or against any person in order to induce or compel or attempt to induce or compel such person to vote or refrain from voting for any candidate or the ticket of any political party, or any measure before the people, nor shall by abduction, duress, or any fraudulent contrivance, impede or prevent the free exercise of the franchise of any voter at any primary or election, or compel, induce, or prevail upon any elector to give or to refrain from giving his vote at any primary or election.

(1959 c 675 art 12 s 12; 1975 c 284 s 7)

NOTES AND DECISIONS

Judgment that contestee's attempted coercion of voters on public relief by threats that he, as chairman of emergency relief board, would have them removed from relief if they did not support him in his campaign for county commissioner was limited in character and that his election was free from offensive and illegal acts is reversed and judgment directed that contestee's election be annulled and set aside. *Fritz v. Hanfler*, 195 Minn. 640, 263 N.W. 10 (1935).

Standing in line by nonvoters and the abuse of the right to challenge voters constitute gross misdemeanors under Minnesota law. Op. Atty. Gen. 182, Oct. 26, 1964.

In absence of showing that incumbent municipal judge by his presence in courtroom on court business for some 1½ hours during morning of election had interfered with conduct of election in adjacent polling place or had sought to influence voters or that he was aware that sticker campaign was being conducted for another candidate for his office, election of incumbent was not invalid on ground that he had violated election statutes. *Munnell v. Rowlette*, 275 Minn. 92, 145 N.W. 2d 531 (1966).

While action of police officer in interfering with campaign worker for sticker candidate for municipal judge was unwarranted, where action was not that of opposing candidate and there was nothing to show that opponent had sanctioned such action, any violation of Corrupt Practices Act would not be chargeable to opponent. *Id.*

Where it was customary for incumbent judge to release prisoners convicted of misdemeanors before Christmas each year so as to permit them to earn money for Christmas shopping, and there was no showing that prisoners released pursuant to that practice shortly before election in which incumbent

was candidate were voters in village where election was to be held or had been directed or solicited to vote for incumbent in exchange for their freedom, there was nothing in such conduct to justify any invalidation of incumbent's reelection. Id.

Corrupt Practices Act is directed to actions of candidate for office and to persons for whom he is responsible. Id.

210A.08 SOLICITATION OF CONTRIBUTIONS PROHIBITED. No person shall demand, solicit, ask, or invite any payment or contribution to any religious, charitable, or other causes or organizations, supposedly to be primarily for the public good, from any candidate for nomination or election, or to subscribe for the support of any club, or organization, or to buy tickets to any entertainment or ball or to pay for space in any book, program, periodical, or publication, nor shall such demand or solicitation be made upon any committee. This shall not apply to the solicitation of any business advertisement in periodicals in which the candidate was a regular contributor, prior to his candidacy, nor to ordinary business advertisements, nor to regular payments of any organization, religious, charitable, or otherwise, of which he was a member, or to which he was a contributor for more than six months before his candidacy, nor to any ordinary contributions at church services.

(1959 c 675 art 12 s 7; 1975 c 284 s 8)

210A.09 INDUCING OR REFRAINING A PERSON AS A CANDIDATE; TIME OFF FOR PUBLIC OFFICE MEETINGS. Subdivision 1. No person shall pay, or promise to reward another in any manner or form for the purpose of inducing him to be or refrain from or cease being a candidate, and no person shall solicit or receive any payment, promise, or reward from another for such purpose.

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Subd. 2. Any person elected to a public office shall be permitted time off from his regular employment to attend meetings of his public office. No retaliatory action may be taken by the employer for absences necessary for the employee to attend the meetings. Such time off may be without pay, with pay, or made up with other hours, as agreed between the employee and employer. When an employee takes time off without pay, the employer shall make an effort to allow the employee to make up the time with other hours when the employee is available.

(1959 c 675 art 12 s 10; 1975 c 284 s 9; 1976 c 239 s 73; 1980 c 406 s 1)

210A.091 PUBLIC OFFICIAL, NAME. Every person elected to public office may use the name given in his affidavit of candidacy or nominating petition in transacting official business in the ensuing term of office.

(1975 c 5 s 125)

210A.10 SOLICITING NEAR POLLING PLACES PROHIBITED. It shall be unlawful for any person within any polling

place or within 100 feet of the building in which any polling place is situated on the day of any primary or election to ask, solicit, or in any manner try to induce or persuade any voter on such primary or election day to vote for or refrain from voting for any candidate or the candidates of any political party or organization, or any measure submitted to the people; and, upon conviction thereof, he shall be punished by a fine of not less than \$5 nor more than \$100 for the first offense, and for the second and each subsequent offense occurring on the same or different election days, he shall be punished by a fine as aforesaid or by imprisonment in the county jail for not less than five nor more than 30 days or by both such fine and imprisonment.

(1959 c 675 art 12 s 15; 1963 c 861 s 9; 1975 c 284 s 10)

NOTES AND DECISIONS

There is no provision of the Minnesota election law prohibiting the posting of signs within one hundred feet of a polling place except such posting may not be done on election day. Op. Atty. Gen. 627-H, May 31, 1966.

Erection of political signs before an election and not removing them before election day is not a violation of this section. Op. Atty. Gen. 627-h, Jan. 11, 1963

210A.11 ELECTION DAY, CERTAIN CAMPAIGNING PROHIBITED. Subdivision 1. Any person who shall at any place on the day of any primary or election broadcast by television or radio any material intended or which tends to influence the voting at any election or circulate or distribute, or cause to be circulated or distributed, any campaign cards, candidates' cards, placard or campaign literature of any kind whatsoever shall be guilty of a misdemeanor. Nothing herein contained shall be construed as modifying or repealing the provisions of section 210A.07.

Subd. 2. No person shall buy, sell, give, or provide any political badges, buttons, or other insignia to be worn at or about the polls on the day of any primary or election and no such political badge, button, or other insignia shall be worn at or about the polls on any primary or election day.

(1959 c 675 art 12 s 15; 1963 c 861 s 9; 1975 c 284 s 11)

NOTES AND DECISIONS

The distribution of campaign cards by a candidate on election day, in the event of his election, forfeits his right to the office. In re Election Contest of Christian, 170 Minn. 465, 213 N.W. 48 (1927).

Mailing campaign literature before election for delivery on election day is unlawful. Op. Atty. Gen. 627-H, April 1, 1932.

Stickers may not be distributed at or within polling place or within one hundred feet thereof on election day. Distribution at distance greater than one hundred feet may not be for purpose of soliciting votes. Op. Atty. Gen. 627-B-8, March 9, 1945.

Newspaper ad for campaign purposes comes within the provisions of this section. Op. Atty. Gen. 627-k-5, Oct. 22, 1948.

Stickers may not be left in an election polling place on election day. Op. Atty. Gen. 28-A-8, August 7, 1942.

Distribution of literature with words "I Voted" and which does not advocate in any way candidacy of any person is permitted on election day. It may not be distributed in the polling place. Op. Atty. Gen. 627-L, Sept. 29, 1952.

210A.12 NOT TO PAY FOR TIME LOST AT POLLS. Subdivision 1. **Payment for lost time prohibited.** It shall be unlawful for any person to pay another for any loss or damage due to attendance at the polls, or in registering.

Subd. 2. **Payment for personal service prohibited, exception.** No person shall pay for personal service to be performed on the day of a caucus, primary, convention, or any election, for any purpose connected therewith, tending in any way, directly or indirectly, to affect the result thereof, except for the hiring of persons whose sole duty it is to act as challenger and watch the count of official ballots.

(1975 c 284 s 12)

NOTES AND DECISIONS

Hiring persons to distribute stickers on election day is a violation of Fair Campaign Practices Act. Op. Atty. Gen. November 2, 1932.

210A.13 TRANSPORTATION OF VOTERS TO POLLS; PENALTY. Subdivision 1. It shall be unlawful for any person transporting any voter to or from the polls to ask, solicit, or in any manner try to induce or persuade any voter on primary or election day to vote or refrain from voting for any candidate or the candidates of any political party or organization or any measure submitted to the people.

Subd. 2. It shall be unlawful for any person transporting any voter to or from the polls to display any campaign cards, candidates' cards, placards or campaign literature of any kind.

Subd. 3. It shall be unlawful for any candidate to transport any voter other than a member of his household to or from the polls on primary or election day.

Subd. 4. Any person who violates the provisions of this section is guilty of a misdemeanor.

(1959 c 675 art 12 s 14; 1963 c 861 s 8; 1974 c 250 s 2; 1975 c 284 s 13)

210A.14 MAY NOT INFLUENCE EMPLOYEES. No person being an employer or acting for or in behalf of any employer shall give, distribute or cause to be given or distributed to any of his employees, any printed or written matters containing any threat, notice or information, or make any threat, verbal or otherwise, that in case any particular ticket or a political party or organization or candidate shall be elected or not elected or any measure referred to a vote of the people shall be adopted or not adopted, work in his place or establishment will cease, in whole or in part, or his place or establishment will be closed up, or the salaries or wages of the workmen or employees be reduced, or other threats, expressed or implied, intended or calculated to influence the political opinion or action of his workmen or employees.

(1959 c 675 art 12 s 24; 1975 c 284 s 14)

210A.15 MAY NOT PROMISE APPOINTMENTS. No person shall, in order to aid or promote his nomination or election, directly or indirectly, himself, or through any other person, appoint or promise to appoint any person, or secure or promise to secure or aid in securing the appointment, nomination, or election of any person to any public or private position or employment, or to any position of honor, trust, or emolument. Nothing herein contained shall prevent a candidate from stating publicly his preference for or support of any other candidate for any office to be voted for at the same primary or election; nor prevent a candidate, for any office in which the person elected will be charged with the duty of participating in the election or the nomination of any person as a candidate for any office, from publicly stating or pledging his preference for or support of any person for such office or nomination.

(1959 c 675 art 12 s 23; 1975 c 284 s 15)

210A.16 LEGAL EXPENDITURES. The expenditure of money or other thing of value by any candidate, personal campaign committee, party committee, or political committee for political purposes other than those provided in this section is prohibited. The following are permitted expenditures:

- (a) Salaries, wages, and fees;
- (b) Communications, mailing, transportation, and travel;
- (c) Campaign advertising;
- (d) Printing;
- (e) Office and other space and necessary equipment, furnishings, and supplies incidental thereto;
- (f) Other expenses, not included in the above, which are reasonably related to the conduct of election campaigns.

(1959 c 675 art 12 s 2; Ex1959 c 51 s 1; 1963 c 861 s 2; 1975 c 284 s 16)

COMMENT: Whether the distribution of an article of nominal value by candidates for office constitutes a violation of the Fair Campaign Practices Act has been dealt with in the following manner by the Attorney General's office:

If an article is merely informative, such as a football schedule or a calendar, it is not considered a thing of value under the act; but if it is something that has intrinsic value other than as a medium of advertising, such as pencils, matches, or emery boards, etc., then it is a question of fact whether the object is valuable and violative of the Act.

210A.17 BRIBERY; PENALTY. Every person who wilfully, directly or indirectly, pays, gives, or lends any money or other thing of value, or who offers, promises, or endeavors to procure any money, place, employment, or other valuable consideration, to or for any voter, or to or for any other person, in order to induce any voter to refrain from voting, or to vote in any particular way, at any election, shall be guilty of a felony.

(1959 c 675 art 11 s 4; 1975 c 284 s 17)

NOTES AND DECISIONS

Acceptance of cut in salary pursuant to resolution of county board would not be violation of Corrupt Practices Act. Op. Atty. Gen. July 27, 1933. For other opinions treating this issue see also Op. Atty. Gen. 359-A-22, March 22, 1933; Op. Atty. Gen. 627-b-3, March 20, 1933; Op. Atty. Gen. 359-A-22, July 11, 1932 and January 27, 1932.

Whether the distribution of objects such as matchbooks, pencils, emery boards, etc. is a violation of this section is a question of fact upon which the attorney general cannot pass judgment. Op. Atty. Gen. 627-f-1, March 7, 1950.

The making in good faith by a group of citizens to an entire county of an offer of site and money for a new court house is not a felony under this section. Op. Atty. Gen. 627-B-3, May 6, 1954.

210A.18 ADVANCING MONEY UNLAWFULLY; PENALTY. Every person who directly or indirectly advances, pays, contributes, furnishes, or pledges any valuable thing or consideration, or cause the same to be done, to or for the use of any other person, with the intent that such advancement, payment, contribution, pledge, or any part thereof, shall be expended or used in bribery at any election, or in fulfillment of any promised bribe, shall be guilty of a felony.

(1959 c 675 art 11 s 5; 1975 c 284 s 18)

210A.19 UNLAWFUL EXPENDITURES; PENALTY. Subdivision 1. **Treating by candidates prohibited.** Every person or candidate for nomination or election to a public office, who, either by himself or by any other person, directly or indirectly, gives, provides, or pays wholly or in part, or promises to pay wholly or in part, the expenses of giving or providing any meat, drink, or other entertainment or provisions, clothing, liquors, cigars or tobacco to or for any person for the purpose of or with the intent to influence that person or any other person to give or refrain from giving his vote at the primary or election to or for any candidate or measure before the people shall be guilty of a misdemeanor.

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Subd. 2. **Acceptance by electors prohibited.** No elector shall accept any such meat, drink, entertainment, provision, clothing, liquor, cigars or tobacco, and such acceptance shall be a ground of challenge to his vote and of rejecting his vote on a contest.

(1959 c 675 art 11 s 17; 1959 c 675 art 12 s 11; 1975 c 284 s 19)

210A.20 MAKING OF WAGERS PROHIBITED. Any candidate who, before or during any primary or election campaign, makes any bet or wager of anything of pecuniary value, or in any manner becomes a party to any such bet or wager on the result of the primary or election in his electoral district, in any part thereof, or on any event or contingency relating to any pending primary or election, or who provides money or other valuable thing to be used by any person in betting or wagering upon the results of any pending primary or election, shall be guilty of violation of sections 210A.01 to 210A.44. Any person who, for the purpose of influencing the result of any primary or election, makes any bet or wager of anything of pecuniary value on the result of such

primary or election, in his electoral district or any part thereof, or of any pending primary or election, or on any event or contingency relating thereto, shall be guilty of a violation of sections 210A.01 to 210A.44 and, in addition thereto, any such act shall be a ground of challenge against his right to vote.

(1959 c 675 art 12 s 13; 1975 c 284 s 20)

210A.21 CERTAIN SOLICITATIONS PROHIBITED. No person shall solicit, receive, or accept any money, property, or other thing of value, or any promise or pledge thereof, constituting a disbursement prohibited by sections 210A.01 to 210A.44.

(1959 c 675 art 12 s 9; 1963 c 861 s 7; 1975 c 284 s 21)

210A.22 EXPENDITURES, LIMIT. No disbursement shall be made and no obligation, express or implied, to make such disbursement, shall be incurred by any candidate or his personal campaign committee for any office under the laws of this state, or under the ordinance of any municipality of this state in his campaign for nomination and election, which shall be in the aggregate in excess of the amounts herein specified:

(a) For any county, city, or town officer, for any judge or for any officer not hereinbefore mentioned, who, if nominated and elected, would receive a salary, a sum not exceeding one third of the salary for the office in the year that the election is held, with the minimum sum allowed, \$100. If such person, when nominated and elected, would not receive a salary, a sum not exceeding one third of the compensation which his predecessor received during the first year of such predecessor's incumbency, with the minimum sum allowed, \$100. If such officer, when nominated and elected, would not receive a salary and if such officer had no predecessor, and in all cases not specifically provided for, \$100, and no more.

(b) The disbursements authorized in this section by a candidate for elective office shall be deductible as expenses for production of income or a business deduction under chapter 290.

(1959 c 675 art 12 s 6; 1963 c 861 s 5; 1974 c 470 s 39; 1975 c 284 s 22)

NOTES AND DECISIONS

Candidates for United States House of Representatives and Senate are not limited by state law as to the amount of expenditures but must file expense accounts with the Secretary of State and otherwise comply with state law. 1922 Op. Atty. Gen. 250; 1928 El. Op. 61-63.

Where an officer is a candidate for reelection to an office, he is considered his own predecessor under M.S. 210A.22(a). Op. Atty. Gen. 234, March 29, 1930. Limitation on campaign expenses under Home Rule Charter held controlling over general provision. Op. Atty. Gen. 627-C-5, February 23, 1955.

M.S. 210A.22(a) is construed to include all salary and fees for the office and salary for an office which, as a matter of law, is incidental to the office being campaigned for. Op. Atty. Gen. 627 C-9, February 27, 1968.

The word "salary" is construed in an election contest as being used in broad sense of compensation embracing both "salary" and "fees". *Spokely v. Haaven*, 183 Minn. 467, 237 N.W. 11 (1931).

210A.23 MAY AUTHORIZE DISBURSEMENTS BY CAMPAIGN COMMITTEE. Any candidate may delegate to his personal campaign committee or to any party committee of his party in writing duly subscribed by him, the expenditure of any portion of the total disbursements which are authorized to be incurred by him or on his behalf by the provisions of sections 210A.01 to 210A.44, but the total of all disbursements by himself and by his personal campaign committee in his behalf shall not exceed in the aggregate the amounts in sections 210A.01 to 210A.44 specified, except as provided herein.

(1959 c 675 art 12 s 25; 1975 c 284 s 23)

210A.24 BILLS, WHEN RENDERED AND PAID. Every person who shall have any bill, charge, or claim upon or against any personal campaign or party committee or any candidate, for any disbursement made, services rendered, or thing of value furnished, for political purposes, or incurred in any manner in relation to any primary or election, shall render in writing to such committee or candidate such bill, charge, or claim within ten days after the day of the primary or election in connection with which such bill, charge, or claim was incurred. No candidate and no personal campaign or party committee shall pay any bill, charge, or claim so incurred prior to any primary or election, which is not so presented within ten days after such primary or election.

(1959 c 675 art 12 s 19; 1975 c 284 s 24)

210A.25 DISBURSEMENTS BY CANDIDATE. No candidate shall make any disbursement for political purposes except under his personal direction which for any purpose shall be considered his act, through his party committee, or through a personal campaign committee, whose authority to act shall be filed, as provided in sections 210A.01 to 210A.44.

(1959 c 675 art 12 s 16; 1975 c 284 s 25)

210A.26 MUST FILE VERIFIED STATEMENT OF EXPENDITURES. Subdivision 1. **Statements of candidates, personal campaign committees and party committees.** Every candidate, and the secretary of every personal campaign and party committee, shall, eight days before the primary, on or before the tenth day following the primary, eight days before the general election, and on or before the tenth day following the general election, file a financial statement verified by the candidate or the secretary of the committee, as the case may be, which shall show in itemized detail all transactions, all disbursements, and all obligations to make disbursements, for political purposes. Each statement, after the first, shall contain a summary of all preceding statements.

Subd. 2. **Filing statements, information required.** The statement of any candidate and the statement of his personal campaign committee

shall be filed with the filing officer of such candidate. The statement of every state committee and of every congressional committee shall be filed with the secretary of state. The statement of every party committee for a legislative district shall be filed with the filing officer of the candidate for senator or representative in such legislative district. The statement of every other party committee shall be filed in the office of the county auditor of the county within which, or for a subdivision within which, such disbursements were made. Each statement shall give in full detail:

(a) Every sum of money and all property, and every other thing of value, received by such candidate or committee during such period from any source whatsoever which he or it uses or has used, or is at liberty to use for political purposes, together with the name of every person or source from which each was received and the date when each was received, together with the total amount received from all sources in any amount or manner;

(b) Every promise or pledge of money, property, or other thing of value, received by such candidate or committee during such period, the proceeds of which he uses or has used, or is at liberty to use for political purposes, together with the names of the persons by whom each was promised or pledged, the special purposes for which each was promised or pledged, and the date when each was so promised or pledged, together with the total amount promised or pledged from all sources in any amounts or manner;

(c) Every disbursement by such candidate or committee for political purposes during such period, together with the name of every person to whom the disbursement is made, the specific purpose for which each was made, and the date when each was made, together with the total amount of disbursements made in any amounts or manner; and

(d) Every obligation, expressed or implied, to make any disbursement incurred by such candidate or committee for political purposes during such period, together with the names of the person or persons to or with whom each such obligation has been incurred, the specific purposes for which each was made, and the date when each was incurred, together with the total amount of such obligations made in any amounts or manner.

Subd. 3. Statements of political committees. Statements shall also be made by any political committee showing the total amount of receipts and disbursements, and for what purpose such disbursements were made. Such statement shall be filed within 30 days after any primary, municipal, or general election:

(a) When the committee is organized to support a candidate for a federal office with the filing officer of such candidate;

(b) When the committee is organized to support a candidate for a judicial district, or county office with the auditor of the county in which such committee has its headquarters;

(c) When the committee is organized to support a candidate for municipal office in municipalities having more than 20,000 population with the filing officer of the municipality.

Subd. 4. Elections in certain municipalities, statements to be filed. Every candidate and the secretary of every personal campaign committee in every primary municipal election, special municipal election, or regular municipal election in all municipalities having more than 20,000 inhabitants shall file a financial statement as follows:

- (a) Seven days before the primary;
- (b) Seven days after the primary;
- (c) Seven days before the regular or special elections; and
- (d) Seven days after the regular or special election.

The statement shall be verified upon the oath of such candidate or such personal campaign committee, as the case may be, and shall cover all transactions made up to and including the third day before the filing of the statement and not accounted for and reported upon in statements theretofore filed, except that no transactions shall be made thereafter which are not included in the final statement. The statements required by this subdivision shall disclose the same information required in subdivision 2. Each statement after the first shall contain a summary of all preceding statements and summarize all items theretofore reported under the provisions of this section. Blanks for all these statements shall be prepared by the secretary of state, and copies thereof, together with a copy of this section, shall be furnished, through the auditor, or otherwise, as the secretary of state may deem expedient, to the secretary of every committee and to every candidate, upon the filing of nomination papers by such candidate, and to all other persons required by the charter of such municipalities or any election law applicable to such municipality, in which any municipal primary election, special municipal election, or regular municipal election is being held or is to be held under the provisions of any such municipal charter, or applicable law, and to all other persons required by law to file such statements who may apply therefor. The provisions hereof relating to the filing of verified statements of expenditures shall be in addition to requirements contained in the charter of any municipalities requiring the filing of verified statements of expenditures in connection with any municipal primary election, special municipal election, or regular municipal election held or to be held under any such municipal charter or applicable law. The verified statements so required shall be filed with the proper filing officer of any such municipality.

Subd. 5. Congressional candidates. Candidates for election to the United States house of representatives and senate and any political committees raising funds and making expenditures exclusively on behalf

of any one of those candidates may file copies of their federally required financial disclosures in lieu of those required by sections 210A.01 to 210A.44.

Subd. 6. **Ballot questions.** Any individual, political committee, association or corporation that makes any contribution or expenditure to promote or defeat a ballot question shall file reports as required by this subdivision. Reports shall be filed at the times required for filing financial statements under subdivision 1. Reports shall be filed with the official responsible for placing the question on the ballot. Each report shall show the following information, covering the period from the last report to seven days before the filing date:

(a) The name and address of each committee, individual, or other person to whom aggregate contributions or expenditures in excess of \$100 have been made to promote or defeat a ballot question, together with the amount, date and purpose of the contribution or expenditure;

(b) The total amount of contributions and expenditures made to promote or defeat a ballot question; and

(c) Identification of the ballot question which the individual, political committee, association or corporation seeks to promote or defeat.

The secretary of state shall prescribe the form for reports required under this subdivision and may do so without adopting rules pursuant to chapter 15.

For the purpose of this subdivision:

(1) "Ballot question" means a question or proposition other than a ballot question as defined in section 10A.01, subdivision 23, which is placed on the ballot and which may be voted on by the voters of one or more political subdivisions of the state; and

(2) A contribution or expenditure for activities related to qualifying a question for placement on the ballot is a contribution or expenditure to promote or defeat the ballot question.

(1959 c 675 art 12 s 20; 1963 c 861 s 10; 1967 c 466 s 1, 2; 1974 c 48 s 1, c 470 s 40; 1975 c 284 s 26; 1980 c 587 art 2 s 11, 12; 1980 c 607 art 17 s 13, 14)

NOTES AND DECISIONS

To sustain charge under this section must show omissions were deliberate, serious, and material violations of election law. *Moulton v. Newton*, 274 Minn. 545, 144 N.W. 2d 706 (1966).

210A.27 STATEMENT OF EXPENSE, BLANKS; DIGEST OF LAWS. Subdivision 1. Blanks for all statements required by sections 210A.01 to 210A.44 shall be prepared by the secretary of state and copies thereof shall be furnished through the county auditor or otherwise, as the secretary of state may deem expedient, to the secretary of every com-

mittee, and to every candidate upon filing of nomination papers, and to all other persons required by law to file such statements who may apply therefor.

Subd. 2. The secretary of state, with the approval of the attorney general, shall prepare and print an easily understandable digest of sections 210A.01 to 210A.44, complete with annotations thereof.

The secretary of state shall distribute such digest in the same manner as the blanks for statements authorized by subdivision 1.

(1959 c 675 art 12 s 21; 1975 c 284 s 27)

210A.28 NAMES OF CANDIDATES SHALL NOT BE PRINTED ON BALLOT UNLESS STATEMENT IS FILED. The name of a candidate chosen at a primary election, or otherwise, shall not be printed on the official ballot for the ensuing election, unless there has been filed by or on behalf of the candidate and by his personal campaign committee, if any, the statements of accounts and expenses relating to nomination required by sections 210A.01 to 210A.44.

(1959 c 675 art 12 s 22; 1975 c 284 s 28)

NOTES AND DECISIONS

City clerk's wrongful entry of winning candidates name on ballot not an irregularity requiring invalidation of election. *Moulton v. Newton* 274, Minn. 545, 144 N.W. 2d 706 (1966).

County auditor does not have authority to omit name of a nominee from general election ballot because affidavit of disbursements discloses disbursements in excess of amount allowed by law. *Op. Atty. Gen.* 627-C-12, Sept. 29, 1948.

210A.29 FILING STATEMENTS BY TREASURER; PENALTY. Every treasurer or other person who receives any money to be applied to any of the election purposes for which expenditures are permitted by law, who fails to file the statement and account respecting the same required by sections 210A.01 to 210A.44 within the time prescribed, shall be guilty of a misdemeanor.

(1959 c 675 art 11 s 18; 1975 c 284 s 29)

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210A.30 FAILURE OF TREASURER TO KEEP ACCOUNTS; PENALTY. Every such treasurer or other person who receives any money to be applied to the purposes aforesaid, who fails to keep a correct book of account containing all the statements and details required by law, with intent to conceal the receipt or disbursement of any sum of money received or disbursed by him or by any other person, or the purpose for which the same was received or disbursed, or to conceal the existence of any unpaid debt or obligation, or the amount thereof, or to whom the same is due, in detail, or who shall mutilate, deface, or destroy such book with like intent, shall be guilty of a misdemeanor.

(1959 c 675 art 11 s 19; 1975 c 284 s 30)

210A.31 FAILURE BY CANDIDATE TO FILE STATEMENT; PENALTY. Every candidate for nomination or election to any elective office except governor, lieutenant governor, attorney general, secretary of state, state treasurer, state auditor, state senator and state representative, who intentionally fails to make and file the verified statement of moneys contributed, disbursed, expended, or promised by him, or by any other person, committee, or organization for him, so far as he can learn, in the manner, within the time, and with the details required by sections 210A.01 to 210A.44, or who enters upon the duties of any such office, or receives any salary or emolument therefrom, with

knowledge that such statement has not been filed, and every officer who issues a commission or certificate of election to any person with knowledge that such statement has not been so filed, is guilty of a gross misdemeanor.

(1959 c 675 art 11 s 20; 1974 c 470 s 37; 1975 c 284 s 31)

210A.32 FILING OFFICERS SHALL NOTIFY CANDIDATE OR COMMITTEE. The officer with whom the expense account of any candidate for public office or committee is required to be filed by the provisions of sections 210A.01 to 210A.44, shall notify such candidate or committee of the failure to comply with such law, immediately upon the expiration of the time fixed by any law of this state for filing of the same, and shall notify the county attorney of the county where such candidate resides or in which the headquarters of the committee is located, of the fact of the failure to file such expense account and the county attorney shall thereupon notify such candidate or the secretary of the committee of such delinquency and if the provisions of sections 210A.01 to 210A.44 shall not be complied with within ten days after the mailing of such notice, the county attorney shall thereupon prosecute such candidate or the officer of the committee required by law to file such statement.

(1959 c 675 art 12 s 32; 1975 c 284 s 32)

210A.33 PERSONAL CAMPAIGN COMMITTEES. Any candidate may select a single personal campaign committee to consist of one or more persons. Before any personal campaign committee shall make any disbursement in behalf of any candidate, or shall incur any obligation, expressed or implied, to make any disbursement in his behalf, such candidate shall file with the filing officer of such candidate a written statement signed by such candidate, setting forth that such personal campaign committee has been appointed and giving the name and address of each member thereof and of the secretary thereof. If the campaign committee consists of only one person, such person shall be deemed the secretary thereof. Any candidate may revoke the selection of any member of such personal campaign committee by a revocation in writing which, with proof of personal service on the member whose selection is so revoked, shall be filed with the filing officer of such candidate. Such candidate may fill the vacancy thus created in the manner in which an original appointment is made. In civil actions and proceedings brought under sections 210A.01 to 210A.44, the acts of every member of such personal campaign committee shall be presumed to be with the knowledge and approval of the candidate until it has been clearly proved that the candidate did not have knowledge of and approve the same, and that, in the exercise of reasonable care and diligence, he could not have had knowledge of and opportunity to disapprove the same.

(1959 c 675 art 12 s 17; 1975 c 284 s 33)

210A.34 CORPORATIONS NOT TO CONTRIBUTE TO POLITICAL CAMPAIGN; PERMITTED ACTIVITIES; REPORTS; PENALTIES. Subdivision 1. It shall be unlawful for any corporation doing business in this state to make any contribution or to offer, consent or agree to make any contribution, directly or indirectly, of any money, property, free service of its officers or employees or thing of value to any political party, organization, committee or individual to promote or

defeat the candidacy of any person for nomination, election, or appointment to any political office. For the purpose of this subdivision, "contribution" includes an expenditure to promote or defeat the election or nomination of any candidate to any political office which is made with the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of a candidate, his principle campaign committee or his agent.

Subd. 1a. It shall be unlawful for any corporation doing business in this state to make any independent expenditure or to offer, consent or agree to make any independent expenditure to promote or defeat the candidacy of any person for nomination, election or appointment to any political office. For the purpose of this subdivision, "Independent expenditure" means an expenditure which is not made with the authorization or expressed or implied consent of, or in cooperation or concert with, or at the request or suggestion of, a candidate, his principal campaign committee or his agent.

Subd. 1b. A corporation doing business in this state may make contributions or expenditures to promote or defeat a ballot question, to qualify a question for placement on the ballot unless otherwise prohibited by law, or to express its views on issues of public concern. But no such contribution shall be made to any candidate for nomination, election or appointment to a political office or to any committee organized wholly or partly to promote or defeat such a candidate.

Subd. 1c. Nothing in this section shall be construed to prohibit publication or broadcasting of news items or editorial comments by the news media.

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Subd. 2. Any officer, stockholder, agent, employee or attorney or other representatives of any corporation acting for or in behalf of such corporation who shall violate the provisions of sections 210A.01 to 210A.44 shall be fined not exceeding \$5,000 or be imprisoned in the state prison not exceeding five years, or both fined and imprisoned in the discretion of the court.

Subd. 3. Any corporation convicted of violating any of the provisions of sections 210A.01 to 210A.44 shall be subject to a penalty in the amount not exceeding \$10,000 to be collected as other claims or demands for money are collected; and, if a domestic corporation, in addition to that penalty, it may be dissolved; and, if a foreign or nonresident corporation, in addition to that penalty, its right to do business in this state may be declared forfeited.

Subd. 4. It shall not be a violation of this section for a political party, as defined in section 200.02, to form a nonprofit corporation for the sole purpose of holding real property to be used exclusively as such political party's headquarters.

Subd. 5. It shall not be a violation of this section for a corporation to contribute to or conduct public media projects to encourage individuals to attend precinct caucuses, register, or vote provided that the

projects are not controlled by or operated for the advantage of any candidate, political party, or political committee.

Subd. 6. It shall not be a violation of this section for a corporation to provide meeting facilities to any political committee, political party or candidate on a nondiscriminatory and nonpreferential basis.

Subd. 7. It shall not be a violation of this section for a corporation selling products or services to the public to post on their public premises messages which promote participation in precinct caucuses, voter registration, or elections, provided that such messages are not controlled by or operated for the advantage of any candidate, political party, or political committee.

Subd. 8. The total amount of any expenditure or contribution or any one project permitted by subdivisions 5 and 7 which exceeds \$100, together with the date, purpose and the names and addresses of the persons receiving the contribution or expenditures, shall be reported to the secretary of state. The reports shall be filed on a form provided by the secretary of state on the dates required for political committees under the provisions of section 210A.26, subdivision 1. Failure to comply shall be subject to the penalties related to campaign finance reporting under the provisions of this chapter.

(1959 c 675 art 11 s 21; 1959 c 675 art 12 s 27, 28; 1969 c 5 s 2; 1969 c 1143 s 1; 1975 c 284 s 34; 1976 c 108 s 1; 1980 c 587 art 2 s 13-16; 1980 c 607 art 17 s 13, 14)

210A.35 AIDING VIOLATION; PENALTY. Any person or persons who shall aid, abet, or advise a violation of the provisions of section 210A.34 shall be guilty of a gross misdemeanor.

(1959 c 675 art 12 s 30; 1963 c 861 s 11; 1975 c 284 s 35)

210A.36 PROSECUTIONS; WHERE MADE. Violations of the provisions of section 210A.34 may be prosecuted in the county where such payment or contribution is made or services rendered or in any county wherein such money has been paid or distributed.

(1959 c 675 art 12 s 31; 1963 c 861 s 12; 1975 c 284 s 36)

210A.37 COUNTY ATTORNEY TO INQUIRE INTO VIOLATIONS; PENALTIES. If the county attorney of the county shall be notified by any officer or other person of any violation of any of the provisions of sections 210A.01 to 210A.44, it shall be his duty forthwith to diligently inquire into the facts of such violation, and if there be reasonable ground for instituting a prosecution, it shall be the duty of such county attorney to present the charge, with all the evidence which he can procure, to the grand jury of such county. If any county attorney shall fail or refuse to faithfully perform any duty imposed upon him by the provisions of 210A.01 to 210A.44, he shall be guilty of a misdemeanor; and, on conviction thereof, shall forfeit his office. It shall be the duty of the county attorney, under the penalty of forfeiture of his office, to prosecute any and all persons guilty of any violation of the provisions of sections 210A.01 to 210A.44, the penalty of which is fine or imprisonment, or both, or removal from office. Any citizen may employ an attorney to assist the county attorney to perform his duties under the provisions of sections 210A.01 to 210A.44, and such attorney shall be recognized by the county attorney and the court as associate counsel in

the proceeding; and no prosecution, action, or proceeding shall be dismissed without notice to, or against the objection of, such associate counsel until the reasons of the county attorney for such dismissal, together with the objections thereto of the associate counsel, shall have been filed in writing, argued by counsel, and fully considered by the court, with such limitation as to the time of filing such reasons and objections as the court may impose.

(1959 c 675 art 12 s 33; 1975 c 284 s 37)

NOTES AND DECISIONS

Attorney employed to assist county attorney is not required to conduct a private and independent investigation but may conduct same so as to enable attorney to present the county attorney relevant facts and names of witnesses capable of giving competent testimony in proceeding. Op. Atty. Gen. 121-A-1, Sept. 26, 1952.

County attorney may proceed by complaint and information rather than impaneling Grand Jury. Op. Atty. Gen. 627-b-1, August 18, 1966.

210A.38 VIOLATIONS BY UNAUTHORIZED PERSON NOT TO FORFEIT NOMINATION. When upon the trial of any action or proceedings under the provisions of sections 210A.01 to 210A.44, it shall appear from the evidence that the offense complained of was not committed by the candidate, or with his knowledge or consent, or was committed without his sanction or connivance, and that all reasonable means were taken by such candidate at such election, or were taken by or on behalf of the candidate, or that the offenses complained of were trivial or unimportant, and that in all respects his candidacy and election were free from all offensive or illegal acts, or that any act or omission of any candidate complained of arose from accidental miscalculation or from some other reasonable cause of like nature, and in any case did not arise from any want of good faith, and under the circumstances it seems to the court to be unjust that the candidate shall forfeit his nomination, position or office, then the nomination or election of such candidate shall not by reason of such offense complained to be void, nor shall the candidate be removed from nor deprived of his nomination, position, or office.

(1959 c 675 art 12 s 34; 1975 c 284 s 38)

NOTES AND DECISIONS

As to whether acts complained of are trivial or unimportant, see *Bank v Egan*, 240 Minn. 192, 60 N.W. 2d 257 (1953).

210A.39 DISQUALIFIED CANDIDATE NOT TO HOLD POSITION. A candidate elected to an office, and whose election thereto has been annulled and set aside for any offense mentioned in sections 210A.01 to 210A.44, shall not, during the period fixed by law as the term of such office, be appointed or elected to fill any vacancy which may occur in such office. A candidate or other person who is convicted of any offense mentioned in sections 210A.01 to 210A.44, shall not, during the period fixed by law as the term of the office with respect to which the election was held and said offense was committed, be appointed or elected to fill any vacancy in such office. Any appointment or election to an office made in violation of or contrary to the provisions of this section shall be void.

(1959 c 675 art 12 s 36; 1963 c 861 s 13; 1975 c 284 s 39)

NOTES AND DECISIONS

Legislature may regulate the exercise of the right to vote. This section held not to add to the constitutional qualifications for holding office. *Saari v. Gleason*, 126 Minn. 378, 148 N.W. 293 (1914).

210A.40 JUDGMENTS; TO WHOM TRANSMITTED. If any person shall in a criminal action be judged to have been guilty of any violation of the provisions of sections 210A.01 to 210A.44 while a candidate for any office under the constitution or laws of the state, or under any ordinance of any municipality therein, other than the office of state senator or member of the house of representatives, the court shall, after entering the adjudication of guilty, enter a supplemental judgment, declaring such person to have forfeited the office in the conduct of the campaign for the nomination or election to which he was guilty of such violation, and shall transmit to the filing officer of such candidate a transcript of such supplemental judgment, and thereupon such office shall be deemed vacant and shall be filled as provided by law.

If any person shall, in a criminal action, be adjudicated guilty of any violation of the provisions of sections 210A.01 to 210A.44, committed while he was a candidate for the office of state senator, member of the house of representatives, United States senator, or representative in Congress, or while he was a member of the personal campaign committee of any such candidate, the court, after entering such adjudication, shall forthwith transmit to the presiding officer of the legislative body as a member of which such officer was a candidate when such violation occurred, a certificate setting forth such adjudication of guilty.

(1959 c 675 art 12 s 37; 1975 c 284 s 40)

210A.41 MAY EMPLOY COUNSEL. Nothing contained in sections 210A.01 to 210A.44 shall prevent any candidate from employing counsel to represent him in any action or proceeding, affecting his rights as a candidate, nor from paying all costs and disbursements necessary incidental thereto. No sum so paid or incurred shall be deemed a part of the campaign expenses of any such candidate.

(1959 c 675 art 12 s 38; 1975 c 284 s 41)

210A.42 PENALTIES FOR VIOLATION. Any person violating any provisions of sections 210A.01 to 210A.44, except as otherwise provided herein, shall, upon conviction thereof, be guilty of a gross misdemeanor; and no person so convicted shall be permitted to take or hold office to which he was elected, if any, or receive the emoluments thereof.

(1959 c 675 art 12 s 39; 1963 c 861 s 14; 1975 c 284 s 42)

210A.43 DENIAL OF ACCESS BY POLITICAL CANDIDATES TO MULTIPLE UNIT DWELLINGS. Subdivision 1. **Prohibition.** It is unlawful for any person, either directly or indirectly to deny access to any apartment house, dormitory, nursing home, mobile home park, any areas in which two or more single family dwellings are located on private roadways or other multiple unit facility used as a residence, to any candidate who has filed for election to public office or workers accompanied by the candidate, provided the candidate and workers seeking admittance to such facility do so solely for the purpose of campaigning.

Subd. 2. **Exceptions.** The provisions of subdivision 1 shall not be construed to prohibit:

(a) Denial of admittance into a particular apartment, room, mobile home or personal residential unit by a person or persons residing in that particular apartment, room, mobile home or unit.

(b) Requiring reasonable and proper identification as a necessary prerequisite to admission to a multiple unit dwelling;

(c) In the case of nursing homes, denial of permission to visit certain persons where valid reasons of health exist therefor;

(d) Limiting visits by candidates or workers accompanied by the candidate to reasonable number of persons, reasonable hours or requiring prior appointments;

(e) Denial of admittance to or expulsion from a multiple unit dwelling for good cause.

Subd. 3. **Injunction.** Any candidate who is denied access personally or with workers he accompanies to any multiple unit dwelling in violation of subdivision 1 shall be entitled to sue for and have injunctive relief in any court of competent jurisdiction against all persons involved in any violation of subdivision 1, to prevent and restrain violations thereof without alleging or proving actual damages or that an adequate remedy at law does not exist, so that injunctive relief can be obtained promptly without awaiting injury or actual damage. Such injunctive relief shall not abridge or be in lieu of any other remedy or penalty provided by law, provided the court shall specifically exclude from the injunction any resident of the multiple unit facilities specified in subdivision 1 who shall have indicated orally or in writing to the candidate, his representative or the court that he does not want to be contacted by said candidate.

Subd. 4. **Violations not a crime.** A violation of the provisions of this section is not a crime.

(1973 c 93 s 1-4; 1975 c 284 s 43)

210A.44 CITATION. Sections 210A.01 to 210A.44 shall be known as the "Fair Campaign Practices Act."

(1967 c 169 s 1; 1975 c 284 s 44)

CHAPTER 10A

ETHICS IN GOVERNMENT*

Sec.		Sec.	
10A.01	Definitions.	10A.21	Reports to county auditor.
10A.02	State Ethical Practices Board.	10A.22	Requirements respecting reports and statements.
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10A.04	Lobbying reports.	10A.24	Dissolution or termination.
10A.05	Lobbyist report.	10A.25	Limits on campaign expenditures.
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10A.12	Political funds.	10A.31	Designation of income tax payments.
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10A.01 DEFINITIONS. Subdivision 1. For the purposes of sections 10A.01 to 10A.34, the terms defined in this section have the meanings given them unless the context clearly indicates otherwise.

Subd. 2. "Administrative action" means an action by any official, board, commission or agency of the executive branch to adopt, amend, or repeal a rule pursuant to Chapter 15. "Administrative action" does not include the application or administration of an adopted rule, except in cases of rate setting, power plant and powerline siting and granting of certificates of need under Chapter 116H.

Subd. 3. "Association" means business, corporation, firm, partnership, committee, labor organization, club, or any other group of two or more persons, which includes more than an immediate family, acting in concert.

Subd. 4. "Business with which he is associated" means any association in connection with which the individual is compensated in excess of \$50 except for actual and reasonable expenses in any month as a director, officer, owner, member, partner, employer or employee, or is a holder of securities worth \$2,500 or more at fair market value.

Subd. 5. "Candidate" means an individual who seeks nomination or election to any statewide or legislative office for which reporting is not required under federal laws. The term candidate shall also include an individual who seeks nomination or election to supreme court, district court, county court, probate court, or county municipal court judgeships of the state. An individual shall be deemed to seek nomination or election if he has taken the action necessary under the law of the State of Minnesota to qualify himself for nomination or election, has received contributions or made expenditures in excess of \$100, or has given his implicit or explicit consent for any other person to receive contributions

*This act applies to campaigns for legislator, governor and lieutenant governor, secretary of state, state auditor, state treasurer, attorney general, supreme court, district court, county court, probate court and municipal court judgeship. For information regarding Chapter 10A, contact Minnesota State Ethical Practices Board, St. Paul, Minnesota.

or make expenditures in excess of \$100, for the purpose of bringing about this nomination or election. A candidate remains a candidate until his principal campaign committee is dissolved as provided in section 10A.24.

Subd. 6. "Board" means the State Ethical Practices Board.

Subd. 7. "Contributions" means a transfer of funds or a donation in kind.

Contribution includes any loan or advance of credit to a political committee, political fund, or principal campaign committee, which loan or advance of credit is (a) forgiven, or (b) paid by an entity other than the political committee, political fund, or principal campaign committee to which the loan or advance of credit is made. If an advance of credit or a loan is forgiven or paid as provided in this subdivision, it is a contribution in the year in which the loan or advance of credit is made.

A contribution made for the purpose of defeating a candidate is considered made for the purpose of influencing the nomination or election of that candidate or any opponent of that candidate.

"Contribution" does not include services provided without compensation by an individual volunteering his time on behalf of a candidate, ballot question, political committee or political fund, or the publishing or broadcasting of news items or editorial comments by the news media.

Subd. 7a. "Transfer of funds" or "transfer" means money or negotiable instruments given by an individual or association to a political committee, political fund, or principal campaign committee for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.

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Subd. 7b. "Donation in kind" means anything of value other than money or negotiable instruments given by an individual or association to a political committee, political fund, or principal campaign committee for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question. Donation in kind includes an approved expenditure.

Subd. 8. "Depository" means any bank, savings and loan association or credit union, organized under federal or state law and transacting business within Minnesota.

Subd. 9. "Election" means a primary, special primary, general or special election.

Subd. 10. "Campaign expenditure" or "expenditure" means a purchase or payment of money or anything of value, or an advance of credit, made or incurred for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.

An expenditure is considered to be made in the year in which the goods or services for which it was made are used or consumed.

An expenditure made for the purpose of defeating a candidate is considered made for the purpose of influencing the nomination or election of that candidate or any opponent of that candidate.

Except as provided in clause (a), expenditure includes the dollar value of donation in kind.

"Expenditure" does not include:

(a) Noncampaign disbursements as defined in subd. 10c of this section;

(b) Transfers as defined in subd. 7a of this section;

(c) Services provided without compensation by an individual volunteering his time on behalf of a candidate, ballot question, political committee, or political fund;

(d) The publishing or broadcasting of news items or editorial comments by the news media.

Subd. 10a. "Approved expenditure" means an expenditure made on behalf of a candidate by an entity other than the principal campaign committee of that candidate, which expenditure is made with the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of that candidate, his principal campaign committee or his agent. An approved expenditure is a contribution to that candidate.

Subd. 10b. "Independent expenditure" means an expenditure expressly advocating the election or defeat of a clearly identified candidate, which expenditure is made without the express or implied consent, authorization, or cooperation of any candidate, his principal campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

Subd. 10c. "Noncampaign disbursement" means a purchase or payment of money or anything of value made, or an advance of credit incurred, by a political committee, political fund, or principal campaign committee for any purpose other than to influence the nomination or election of a candidate or to promote or defeat a ballot question.

Noncampaign disbursement includes:

(a) Payment for accounting and legal services;

(b) Return of a contribution to the source;

(c) Repayment of a loan made to the political committee, political fund, or principal campaign committee by that committee or fund;

(d) Return of moneys from the state elections campaign fund;

(e) Payment for food and beverages consumed at a fundraising event;

(f) Services for a constituent by a member of the legislature or a constitutional officer in the executive branch, performed from the beginning of the term of office to 60 days after adjournment sine die of the legislature in the election year for the office held; and

(g) A donation in kind given to the political committee, political fund, or principal campaign committee for purposes listed in clauses (e) and (f). The board shall determine whether an activity involves a non-campaign disbursement within the meaning of this subdivision.

Subd. 11. "Lobbyist" means any individual:

(a) Engaged for pay or other consideration, or authorized by another individual or association to spend money, who spends more than five hours in any month or more than \$250, not including his own travel expenses and membership dues, in any year, for the purpose of attempting to influence legislative or administrative action by communicating or urging others to communicate with public officials; or

(b) Who spends more than \$250, not including his own traveling expenses and membership dues, in any year for the purpose of attempting to influence legislative or administrative action by communicating or urging others to communicate with public officials.

"Lobbyist" does not include any:

(a) Public official or employee of the state or any of its political subdivisions or public bodies acting in his official capacity;

(b) Party or his representative appearing in a proceeding before a state board, commission or agency of the executive branch unless the board, commission or agency is taking administrative action;

(c) Individual while engaged in selling goods or services to be paid for by public funds;

(d) News media or their employees or agents while engaged in the publishing or broadcasting of news items, editorial comments or paid advertisements which directly or indirectly urge official action;

(e) Paid expert witness whose testimony is requested by the body before which he is appearing, but only to the extent of preparing or delivering testimony; or

(f) Services for a constituent by a member of the legislature or a constitutional officer in the executive branch, performed from the beginning of the term of office to 60 days after adjournment sine die of the legislature in the election year for the office held; and

(g) Party or his representative appearing to present a claim to the legislature and communicating to legislators only by the filing of a claim form and supporting documents and by appearing at public hearings on the claim.

Subd. 12. "Major political party" means a political party as defined in section 200.02, subdivision 7.

Subd. 13. "Minor political party" means any party other than a major political party:

(a) Under whose name in the last applicable general election a candidate filed for legislative office and received not less than 10 percent of the vote for that office, or filed for statewide office; or

(b) Which files a petition with the secretary of state containing the names of 2,000 individuals registered to vote in Minnesota and declaring

that the signers desire that the party be eligible to receive money from the state elections campaign fund in the same manner as a major political party.

For the purposes of chapter 10A, all individuals who are eligible to vote in areas where there is no permanent system of registration shall be considered registered voters.

Subd. 14. (Repealed, 1976 c 307 s 35)

Subd. 15. "Political committee" means any association as defined in subdivision 3 whose major purpose is to influence the nomination or election of a candidate or to promote or defeat a ballot question.

"Political committee" includes a major political party as defined in subdivision 12, a minor political party as defined in subdivision 13, and any principal campaign committee formed pursuant to section 10A.19.

Subd. 16. "Political fund" means any accumulation of dues or voluntary contributions by an association other than a political committee, which accumulation is collected or expended for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.

Subd. 17. "Political party" means either a major political party or a minor political party.

Subd. 18. "Public official" means any:

- (a) Member of the legislature;
- (b) Constitutional officer in the executive branch and his chief administrative deputy;
- (c) Member, chief administrative officer or deputy chief administrative officer of a state board or commission which has at least one of the following powers: (i) the power to adopt, amend or repeal rules, or (ii) the power to adjudicate contested cases or appeals;
- (d) Commissioner, deputy commissioner or assistant commissioner of any state department as designated pursuant to section 15.01;
- (e) Individual employed in the executive branch who is authorized to adopt, amend or repeal rules or adjudicate contested cases;
- (f) Executive secretary of the state board of investment;
- (g) Executive director of the Indian affairs intertribal board;
- (h) Commissioner of the iron range resources and rehabilitation board;
- (i) Director of mediation services;
- (j) Deputy of any official listed in clauses (e) to (i);
- (k) Judge of workers' compensation;
- (l) Hearing examiner in the state office of hearing examiners or department of economic security;
- (m) Solicitor general or deputy, assistant or special assistant attorney general;

(n) Individual employed by the legislature as secretary of the senate, legislative auditor, chief clerk of the house, revisor of statutes, or researcher or attorney in the office of senate research, senate counsel, or house research; or

(o) Member or chief administrative officer of the metropolitan council, metropolitan transit commission, metropolitan waste control commission, metropolitan parks and open spaces commission, metropolitan airports commission or metropolitan sports facilities commission.

Subd. 19. "Office holder" means an individual who holds any statewide or legislative office, except a federal office for which candidates are required to report under federal laws, state supreme court justice or district court judge.

Subd. 20. "Advance of credit" means any money owed for goods provided or services rendered. An advance of credit is an expenditure or a noncampaign disbursement in the year in which the goods or services are used or consumed. Advance of credit does not mean loan as defined in section 17 of this act.

Subd. 21. "Loan" means an advance of money or anything of value made to a political committee, political fund, or principal campaign committee.

Subd. 22. "Financial institution" means a lending institution chartered by an agency of the federal government or regulated by the commissioner of banks.

Subd. 23. "Ballot question" means a question or proposition which is placed on the ballot and which may be voted on by all voters of the State. "Promoting or defeating a ballot question" includes activities related to qualifying the question for placement on the ballot.

(1974 c 470 s 1; 1976 c 307 s 1.43, 35; 1978 c 463 s 1-18; 1979 c 59 s 1-3; 1980 c 587 a 2 s 1-7; 1980 c 607 a 17 s 1-8; 1980 c 614 s 40)

10A.02 BOARD OF ETHICAL PRACTICES. Subdivision 1 There is hereby created a state ethical practices board composed of six members. The members shall be appointed by the governor with the advice and consent of three-fifths of both the senate and the house of representatives acting separately. If either house fails to confirm the appointment of a board member within 45 legislative days after his appointment, or by adjournment sine die, whichever occurs first, the appointment shall terminate on the day following the 45th legislative day or on adjournment sine die, whichever occurs first. If either house votes not to confirm an appointment, the appointment terminates on the day following the vote not to confirm. One member shall be a former member of the legislature from a major political party different from that of the governor; one member shall be a former member of the legislature from the same political party as the governor; two members shall be persons who have not been public officials, held any political party office other than precinct delegate, or been elected to public office for which party designation is required by statute in the three years preceding the date of their appointment; and the other two members

shall not support the same political party. No more than three of the members of the Board shall support the same political party.

Subd. 2. Any appointment to fill a vacancy shall be made only for the unexpired term of a member who is being replaced and the appointee shall meet the same stated qualifications as the member being replaced. The membership terms, compensation, and removal of members on the board shall be as provided in section 15.0575, except that the extension of terms and the filling of vacancies shall be subject to the advice and consent of the legislature in the same manner as provided in subdivision 1.

Subd. 3. The concurring vote of four members of the Board shall be required to decide any matter before the Board.

Subd. 4. The Board shall elect from among its members a chairman, a vice-chairman and a secretary. The secretary shall keep a record of all proceedings and actions by the Board. Meetings of the Board shall be at the call of the chairman or at the call of any four members of the Board acting together.

Subd. 5. The Board shall appoint an executive director who shall be in the unclassified service. The Board may also employ and prescribe the duties of other permanent or temporary employees in the unclassified service as may be necessary to administer section 10A.01 to 10A.34, subject to appropriation. The executive director and all other employees shall serve at the pleasure of the Board. Expenses of the Board shall be approved by the chairman or such other member as the rules of the Board may provide and the expenses shall then be paid in the same manner as other state expenses are paid.

Subd. 6. (Repealed 1976, c 134 s 79)

Subd. 7. All members and employees of the Board shall be subject to any provisions of law regulating political activity by state employees. In addition, no member or employee of the Board shall be a candidate for, or holder of, (a) a national, state, congressional district, legislative district, county or precinct office in a political party, or (b) an elected public office for which party designation is required by statute.

Subd. 8. The Board shall:

(a) Report at the close of each fiscal year to the legislature, the governor and the public concerning the action it has taken, the names, salaries, and duties of all individuals in its employ and the money it has disbursed. The Board shall include and identify in its report any other reports it has made during the fiscal year. It may indicate apparent abuses and offer legislative recommendations;

(b) Prescribe forms for statements and reports required to be filed under sections 10A.01 to 10A.34 and make the forms available to individuals required to file them;

(c) Make available to the individuals required to file the reports and statements a manual setting forth the recommended uniform methods of bookkeeping and reporting;

(d) Develop a filing, coding and cross-indexing system consistent with the purposes of sections 10A.01 to 10A.34;

(e) Make the reports and statements filed with it available for public inspection and copying by the end of the second day following the day on which they were received. Any individual may copy a report or statement by hand or by duplicating machine and the Board shall provide duplicating services at cost for this purpose. No information copied from reports and statements shall be sold or utilized by any individual or association for any commercial purpose;

(f) Notwithstanding the provisions of section 138.163, preserve reports and statements for a period of five years from the date of receipt;

(g) Compile and maintain a current list and summary of all statements or parts of statements pertaining to each candidate; and

(h) Prepare and publish reports as it may deem appropriate.

Subd. 9. The executive director of the Board or his staff shall inspect all material filed with the Board as promptly as is necessary to comply with the provisions of sections 10A.01 to 10A.34. The executive director shall immediately notify the individual required to file a document with the Board if a written complaint is filed with the Board by any registered voter alleging, or it otherwise appears, that a document filed with the Board is inaccurate or does not comply with the provisions of section 10A.01 to 10A.34 or that the individual has failed to file a document required by sections 10A.01 to 10A.34.

38 Subd. 10. The Board may make audits and investigations with respect to statements and reports which are filed or which should have been filed under the provisions of sections 10A.01 to 10A.34. In all matters relating to its official duties, the Board shall have the power to issue subpoenas and cause them to be served. If a person does not comply with a subpoena, the Board may apply to the district court of Ramsey county for issuance of an order compelling obedience to the subpoena. A person failing to obey the order is punishable by the court as for contempt.

Subd. 11. The Board may investigate any alleged violation of chapter 10A. The Board shall investigate any violation which is alleged in a written complaint filed with the Board and, except for alleged violations of section 10A.25 or 10A.27, shall within 30 days after the filing of the complaint make a public finding of whether or not there is probable cause to believe a violation has occurred. In the case of a written complaint alleging a violation of section 10A.25 or 10A.27, the Board shall either enter a conciliation agreement or make a public finding of whether or not there is probable cause, within 60 days of the filing of the complaint. The deadline for action on any written complaint may be extended by majority vote of the Board. Within a reasonable time after beginning an investigation of an individual or association, the

Board shall notify that individual or association of the fact of the investigation. The Board shall make no finding of whether or not there is probable cause to believe a violation has occurred without notifying the individual or association of the nature of the allegations and affording an opportunity to answer those allegations. Any hearing or action of the Board concerning any complaint or investigation other than a finding concerning probable cause or a conciliation agreement shall be confidential. Until the Board makes a public finding concerning probable cause or enters a conciliation agreement:

(a) No member, employee or agent of the Board shall disclose to any individual any information obtained by that member, employee or agent concerning any complaint or investigation except as required to carry out the investigation or take action in the matter as authorized by chapter 10A;

(b) No individual who files or is the subject of any written complaint or supplies information to the Board concerning a complaint or investigation shall disclose to any other individual any information supplied to or received from the Board concerning the complaint or investigation; and

(c) Notwithstanding the provisions of clause (b), any individual subject to the provisions of that clause may reveal any information to his attorney or another individual from whom he seeks advice or guidance in the matter, or to any other individual who is subject to the provisions of clause (b) with respect to the same complaint or investigation; provided that any individual to whom information concerning a complaint or investigation is revealed as provided in this clause shall not disclose that information to any other individual. Any individual who discloses information contrary to the provisions of this subdivision shall be guilty of a misdemeanor. Except as provided in section 10A.28, after the Board makes a public finding of probable cause the Board shall report that finding to the appropriate law enforcement authorities.

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Subd. 11a. If, after making a public finding concerning probable cause or entering a conciliation agreement, the Board determines that the record of the investigation contains statements, documents or other matter which if disclosed would unfairly injure the reputation of an innocent individual, the Board may:

(a) Retain any such statement, document or other matter as a private record, as "private" is defined in section 15.162, subdivision 5a, for a period of one year after which it shall be destroyed; or

(b) Return any such statement, document or other matter to the individual who supplied it to the Board.

Subd. 12. The Board may issue and publish advisory opinions on the requirements of sections 10A.01 to 10A.34 based upon real or hypothetical situations. An application for an advisory opinion may be made only by an individual or association who wishes to use the opinion to guide his or its own conduct. The Board shall issue written opinions on all such questions submitted to it within 30 days after receipt of written application, unless a majority of the Board agrees to extend the time

limit. An advisory opinion shall lapse the day the regular session of the legislature adjourns in the second year following the date of the opinion.

Subd. 13. The provisions of chapter 15 apply to the Board. The Board may promulgate rules to carry out the purposes of sections 10A.01 to 10A.34.

(1974 c 470 s 2; 1976 c 307 s 5-8; 1978 c 463 s 19-27, c 793 s 36)

10A.03 LOBBYIST REGISTRATION. Subdivision 1. Each lobbyist shall file a registration form with the Board within five days after he becomes a lobbyist.

Subd. 2. The registration form shall be prescribed by the Board and shall include (a) the name and address of the lobbyist, (b) the principal place of business of the lobbyist, (c) the name and address of each person, if any, by whom the lobbyist is retained or employed or on whose behalf the lobbyist appears, and (d) a general description of the subject or subjects on which the lobbyist expects to lobby. If the lobbyist lobbies on behalf of an association the registration form shall include the name and address of the officers and directors of the association.

Subd. 3. The Board shall notify by certified mail or personal service any lobbyist who fails to file a registration form within five days after he becomes a lobbyist. If a lobbyist fails to file a form within seven days after receiving this notice, the Board may impose a late filing fee at \$5 per day, not to exceed \$100, commencing with the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any lobbyist who fails to file a form within 21 days of receiving a first notice that the lobbyist may be subject to a criminal penalty for failure to file the form. A lobbyist who knowingly fails to file a form within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 3; 1978 c 463 s 28-29)

10A.04 LOBBYIST REPORTS. Subdivision 1. Each lobbyist shall file reports of his activities with the Board as long as he lobbies. A lobbyist may file a termination statement at any time after he ceases lobbying.

Subd. 2. Each report shall cover the time from the last day of the period covered by the last report to 15 days prior to the current filing date. The reports shall be filed with the Board by the following dates:

- (a) January 15;
- (b) April 15;
- (c) July 15; and
- (d) October 15.

Subd. 3. Each person or association about whose activities a lobbyist is required to report shall provide the information required by

sections 10A.03 to 10A.05 to the lobbyist no later than five days before the prescribed filing date.

Subd. 4. The report shall include such information as the Board may require from the registration form and the following information for the reporting period:

(a) The lobbyists' total disbursements on lobbying and a breakdown of those disbursements into categories specified by the Board, including but not limited to the cost of publication and distribution of each publication used in lobbying; other printing; media, including the cost of production; postage; travel; fees, including allowances; entertainment; telephone and telegraph; and other expenses;

(b) The amount and nature of each honorarium, gift, loan, item or benefit, excluding contributions to a candidate, equal in value to \$20 or more, given or paid to any public official by the lobbyist or any employer or any employee of the lobbyist. The list shall include the name and address of each public official to whom the honorarium, gift, loan, item or benefit was given or paid and the date it was given or paid; and

(c) Each original source of funds in excess of \$500 in any year used for the purpose of lobbying. The list shall include the name, address and employer, or, if self employed, the occupation and principal place of business, of each payer of funds in excess of \$500.

Subd. 4a. If in a reporting period the lobbyists' reportable disbursements total not over \$100 and no honorarium, gift, loan, item or benefit equal in value to \$20 or more was given or paid to any public official, a statement to that effect in lieu of the report may be filed for that report. The unreported disbursements shall be included in the report for the following period, unless the total for that period, including the carryover, is not over \$100. The October 15 report shall include all previously unreported disbursements, even though the total for the year is not over \$100.

Subd. 5. The Board shall notify by certified mail or personal service any lobbyist who fails after seven days after a filing date imposed by this section to file a report or statement required by this section. If a lobbyist fails to file a report within seven days after receiving this notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing with the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any lobbyist who fails to file a report within 21 days after receiving a first notice that the lobbyist may be subject to a criminal penalty for failure to file the report. A lobbyist who knowingly fails to file such a report or statement within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 4; 1976 c 307 s 9, 10; 1978 c 463 s 30-32)

10A.05 LOBBYIST REPORT. Within 30 days after each lobbyist filing date set by section 10A.04, the executive director of the Board shall report to the governor, and the presiding officer of each house of the legislature, the names of the lobbyists registered who were not previously reported, the names of the persons or associations whom

they represent as lobbyists and the subject or subjects on which they are lobbying.

(1974 c 470 s 5)

10A.06 CONTINGENT FEES PROHIBITED. No person shall employ a lobbyist for compensation which is dependent upon the result or outcome of any legislative or administrative action. Any person who violates the provisions of this section is guilty of a gross misdemeanor.

(1974 c 470 s 6)

10A.07 CONFLICTS OF INTEREST. Subdivision 1. Any public official who in the discharge of his official duties would be required to take an action or make a decision which would substantially affect his financial interests or those of a business with which he is associated, unless the effect on him is no greater than on other members of his business classification, profession or occupation, shall take the following actions:

(a) He shall prepare a written statement describing the matter requiring action or decision and the nature of his potential conflict of interest;

(b) He shall deliver copies of the statement to the Board and to his immediate superior, if any;

(c) If he is a member of the legislature, he shall deliver a copy of the statement to the presiding officer of the house in which he serves; and

(d) If a potential conflict of interest presents itself and there is insufficient time to comply with the provisions of clauses (a) to (c), the public official shall verbally inform his superior of the official body in which he serves, or committee thereof, of the potential conflict. He shall file a written statement with the Board within one week after the potential conflict presents itself.

Subd. 2. If the public official is not a member of the legislature, his superior shall assign the matter, if possible, to another employee who does not have a potential conflict of interest. If he has no immediate superior, the public official shall remove himself, if possible, in a manner prescribed by the Board from influence over the action or decision in question. If the public official is a member of the legislature, the house in which he serves may, at his request, excuse him from taking part in the action or decision in question.

(1974 c 470 s 7; 1978 c 463 s 33)

10A.08 REPRESENTATION DISCLOSURE. Any public official who represents a client for a fee before any individual, board, commission or agency that has rule making authority in a hearing conducted under chapter 15, shall disclose his participation in the action to the Board within 14 days after his appearance. The Board shall notify by certified mail or personal service any public official who fails to disclose his participation within 14 days after his appearance. If the public official fails to disclose his participation within seven days of this

notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing on the eighth day after receiving notice.

(1974 c 470 s 8; 1978 c 463 s 34)

10A.09 STATEMENTS OF ECONOMIC INTEREST Subdivision 1. Except for a candidate for elective office in the judicial branch, an individual shall file a statement of economic interest with the Board:

- (a) Within 60 days of accepting employment as a public official; or
- (b) Within 14 days after filing an affidavit of candidacy or petition to appear on the ballot for an elective public office; or
- (c) In the case of a public official requiring the advice and consent of the senate, prior to the submission of his name to the senate, and in any event, within 60 days after he undertakes the duties of his office.

Subd. 2. The secretary of state or the appropriate county auditor upon receiving an affidavit of candidacy or petition to appear on the ballot from an individual required by this section to file a statement of economic interest, and any official who nominates or employs a public official required by this section to file a statement of economic interest, shall notify the Board of the name of the individual required to file a statement and the date of the affidavit, petition or nomination.

Subd. 3. The Board shall notify the secretary of state or the appropriate county auditor and, when necessary in the case of appointive office, the presiding officer of the house that will approve or disapprove the nomination, of the name of the individual who has filed a statement of economic interest with the Board and the date on which the statement was filed.

Subd. 4. (Repealed 1978 c 463)

Subd. 5. A statement of economic interest required by this section shall be on a form prescribed by the Board. The individual filing shall provide the following information:

- (a) His name, address, occupation and principal place of business;
- (b) The name of each business with which he is associated and the nature of that association; and
- (c) A listing of all real property within the state, excluding homestead property, in which he holds: (i) a fee simple interest, a mortgage, a contract for deed as buyer or seller, an option to buy, whether direct or indirect, and which interest is valued in excess of \$2,500; or (ii) an option to buy, which property has a fair market value of \$50,000 or more; and
- (d) A listing of all real property within the state in which a partnership of which he is a member holds: (i) a fee simple interest, a mortgage, a contract for deed as buyer or seller, or an option to buy, whether direct or indirect, if his share of the partnership interest is valued in excess of \$2,500 or (ii) an option to buy, which property has a fair market value of \$50,000 or more. Any listing under clause (c) or (d) shall

indicate the street address and the municipality or the section, township, range and approximate acreage, whichever applies, and the county wherein the property is located.

Subd. 6. Each individual who is required to file a statement of economic interest shall file a supplementary statement on April 15 of each year that he remains in office. The statement shall include a space for each category of information in which the individual may indicate that no change in information has occurred since the previous statement. The supplementary statement shall include the amount of each honorarium in excess of \$50 received since the previous statement, together with the name and address of the source of the honorarium. A statement of economic interest submitted by an officeholder shall be filed with the statement he submitted as a candidate.

Subd. 7. The Board shall notify by certified mail or personal service any individual who fails within the prescribed time to file a statement of economic interest required by section 10A.09. If an individual fails to file a statement within seven days after receiving this notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing on the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any individual who fails to file a statement within 21 days after receiving a first notice that the individual may be subject to a criminal penalty for failure to file a statement. An individual who fails to file a statement within seven days after a second notice is guilty of a misdemeanor.

Subd. 8. Any public official, except a member of the legislature or a constitutional officer, who is required to file a statement of economic interest and fails to do so by the prescribed deadline shall be suspended without pay by the Board in the manner prescribed in the contested case procedures in chapter 15.

(1974 c 470 s 9; 1976 c 307 s 11; 1978 c 463 s 35-37)

10A.10 PENALTY FOR FALSE STATEMENT. A report or statement required to be filed by sections 10A.02 to 10A.09 shall be signed and certified as true by the individual required to file the report. Any individual who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

(1974 c 470 s 10; 1978 c 463 s 38)

10A.11 ORGANIZATION OF POLITICAL COMMITTEES. Subdivision 1. Every political committee shall have a chairman and a treasurer. Nothing in chapter 10A shall prohibit them from being the same individual.

Subd. 2. No contribution shall be accepted and no expenditure shall be made by or on behalf of a political committee at a time when there is a vacancy in the office of treasurer.

Subd. 3. The treasurer of a political committee may appoint as many deputy treasurers as necessary and shall be responsible for their accounts.

Subd. 4. The treasurer of a political committee may designate not more than two depositories in each county in which a campaign is conducted.

Subd. 5. No funds of a political committee shall be commingled with any personal funds of officers, members or associates of the committee.

Subd. 6. (Repealed 1978 c 463)

Subd. 7. Any person who knowingly violates the provisions of this section is guilty of a misdemeanor.

(1974 c 470 s 11; 1978 c 463 s 39)

10A.12 POLITICAL FUNDS. Subdivision 1. No association other than a political committee shall transfer more than \$100 in aggregate in any one year to candidates or political committees or make any approved or independent expenditures or expenditure to promote or defeat a ballot question unless the transfer or expenditure is made from a political fund.

Subd. 2. The contents of a political fund shall not be commingled with any other funds or with the personal funds of any officer or member of the fund.

Subd. 3. Each association which has a political fund shall elect or appoint a treasurer of the political fund.

Subd. 4. No contributions to the political fund shall be accepted and no expenditures or transfers from the political fund shall be made while the office of treasurer of the political fund is vacant.

Subd. 5. Notwithstanding subdivision 1, any association may, if not prohibited by other law, deposit in its political fund money derived from dues or membership fees. Pursuant to section 10A.20, the treasurer of the fund shall disclose the name of any member whose dues, membership fees and contributions deposited in the political fund together exceed \$50 in any one year.

Subd. 6. Any person who knowingly violates the provisions of this section is guilty of a misdemeanor.

(1974 c 470 s 12; 1978 c 463 s 40-42; 1980 c 587 a 2 s 8; 1980 c 607 a 17 s 9)

10A.13 ACCOUNTS WHICH MUST BE KEPT. Subdivision 1. The treasurer of a political committee or political fund shall keep an account of:

(a) The sum of all contributions except any donation in kind valued at \$20 or less, made to the political committee or political fund;

(b) The name and address of each source of a transfer made to the political committee or political fund in excess of \$20, together with the date and amount of each;

(c) The name and address of each source of a donation in kind valued in excess of \$20, together with the date and amount;

(d) Each expenditure made by the committee or fund, together with the date and amount;

(e) Each approved expenditure made on behalf of the committee or fund, together with the date and amount; and

(f) The name and address of each political committee or political fund to which transfers in excess of \$20 have been made, together with the date and amount.

Any individual who knowingly violates any provision of this subdivision is guilty of a misdemeanor.

Subd. 2. The treasurer shall obtain a receipted bill, stating the particulars, for every expenditure in excess of \$100 made by, or approved expenditure in excess of \$100 made on behalf of, a political committee or political fund, and for any expenditure or approved expenditure in a lesser amount if the aggregate amount of lesser expenditures and approved expenditures made to the same individual or association during any year exceeds \$100. The treasurer shall preserve all receipted bills and accounts required to be kept by this section for four years.

(1974 c 470 s 13; 1978 c 463 s 43)

10A.14 REGISTRATION OF POLITICAL COMMITTEES AND POLITICAL FUNDS. Subdivision 1. The treasurer of a political committee or political fund shall register with the Board by filing a statement of organization no later than 14 days after the date upon which the committee or fund has made a contribution, received contributions or made expenditures in excess of \$100.

Subd. 2. The statement of organization shall include:

(a) The name and address of the political committee or political fund;

(b) The name and address of any supporting association of a political fund;

(c) The name and address of the chairman, the treasurer, and any deputy treasurers;

(d) A listing of all depositories or safety deposit boxes used;

(e) A statement as to whether the committee is a principal campaign committee; and

(f) For political parties only, a list of categories of substate units as defined in section 10A.27, subdivision 4.

Subd. 3. (Repealed 1976 c 307 s 35)

Subd. 4. The Board shall notify by certified mail or personal service any individual who fails to file a statement required by this section. If an individual fails to file a statement within seven days after receiving a notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing with the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any individual who fails to file a statement within 21 days after receiving a first notice that such individual may be subject to a criminal penalty for failure to file the report. An individual who knowingly fails

to file the statement within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 14; 1976 c 307 s 12, 35; 1978 c 463 s 44-46; 1979 c 59 s 4)

10A.15 CONTRIBUTIONS. Subdivision 1. No anonymous contribution in excess of \$20 shall be retained by any political committee or political fund, but shall be forwarded to the Board and deposited in the general account of the state elections campaign fund.

Subd. 2. Every individual who receives a contribution in excess of \$20 for a political committee or political fund shall, on demand of the treasurer, inform the treasurer of the name and, if known, the address of the source of the contribution, together with the amount of the contribution and the date it was received.

Subd. 3. All transfers received by or on behalf of any candidate, political committee or political fund shall be deposited in an account designated "Campaign Fund of . . . (name of candidate, committee or fund)". All transfers shall be deposited promptly upon receipt and, except for transfers received during the last three days of any reporting period as described in section 10A.20, shall be deposited during the reporting period in which they were received. Any transfer received during the last three days of a reporting period shall be deposited within 72 hours of receipt and shall be reported as received during the reporting period whether or not deposited within that period. Any deposited transfer may be returned to the contributor within 60 days of deposit. A transfer deposited and not returned within 60 days of that deposit shall be deemed for the purposes of chapter 10A, to be accepted by the candidate, political committee or political fund.

Subd. 3a. No treasurer of a principal campaign committee of a candidate shall deposit any transfer which on its face exceeds the limit on contributions to that candidate prescribed by section 10A.27 unless, at the time of deposit, the treasurer issues a check to the source for the amount of the excess.

Subd. 4. Any individual violating the provisions of this section is guilty of a misdemeanor.

(1974 c 470 s 15; 1978 c 463 s 47)

10A.16 EARMARKING. Any individual, political committee or political fund which receives a contribution from any source with the express or implied condition that the contribution or any part of it be directed to a particular candidate shall disclose to the ultimate recipient, and in the reports required by section 10A.20, the original source of the contribution, the fact that the contribution is earmarked and the candidate to whom it is directed. The ultimate recipient of any contribution so earmarked shall also disclose the original source and the individual, political committee, or political fund through which it is directed. This section applies only to contributions required to be disclosed by section 10A.20, subdivision 3, clause (b). Any individual, political committee, or political fund who knowingly accepts any earmarked contribution and fails to make the required disclosure is guilty of a gross misdemeanor.

(1974 c 470 s 16; 1978 c 463 s 48)

10A.17 EXPENDITURES. Subdivision 1. No expenditure shall be made by a political committee, political fund, or principal campaign committee unless it is authorized by the treasurer or deputy treasurer of that committee or fund.

Subd. 2. No individual or association may make an approved expenditure of more than \$20 until he receives written authorization as to the amount that may be spent and the purpose of the expenditure from the treasurer of the principal campaign committee of the candidate who approved the expenditure.

Subd. 3. The treasurer or deputy treasurer of a political committee may sign vouchers for petty cash of not more than \$100 per week for statewide elections or \$20 per week for legislative elections to be used for miscellaneous expenditures.

Subd. 4. Any individual, political committee, or political fund who independently solicits or accepts contributions or makes independent expenditures on behalf of any candidate shall publicly disclose that the candidate has not approved the expenditure. All written communications with those from whom contributions are independently solicited or accepted or to whom independent expenditures are made on behalf of a candidate, shall contain a statement in conspicuous type that the activity is not approved by the candidate nor is he responsible for it. Similar language shall be included in all oral communications, in conspicuous type on the front page of all literature and advertisements published or posted, and at the end of all broadcast advertisements made by that individual, political committee or political fund on the candidate's behalf.

Subd. 5. Any person who knowingly violates the provisions of subdivision 2 or 4, or who falsely claims that the candidate has not approved the expenditure or activity is guilty of a misdemeanor.

Subd. 6. (Repealed 1978 c 463 s 49)

(1974 c 470 s 17; 1978 c 463 s 49)

10A.18 BILLS WHEN RENDERED AND PAID. Every person who has a bill, charge or claim against any political committee or political fund for any expenditure shall render in writing to the treasurer of the committee or fund the bill, charge or claim within 60 days after the material or service is provided. Failure to so present the bill, charge or claim is a misdemeanor.

(1974 c 470 s 18)

10A.19 PRINCIPAL CAMPAIGN COMMITTEE. Subdivision 1. No candidate shall accept contributions from any source, other than himself, in aggregate in excess of \$100 or any moneys from the state elections campaign fund unless he designates and causes to be formed a single principal campaign committee.

Subd. 2. A candidate may at any time without cause remove and replace the chairman, treasurer, deputy treasurer or any other officer of the candidate's principal campaign committee.

(1974 c 470 s 19; 1976 c 307 s 13; 1978 c 463 s 50)

10A.20 CAMPAIGN REPORTS. Subdivision 1. The treasurer of every committee and political fund shall begin to file the reports required by this section in the first year it receives contributions or makes expenditures in excess of \$100 and shall continue to file until the committee or fund is terminated.

Subd. 2. The reports shall be filed with the Board on or before January 31 of each year and additional reports shall be filed as required and in accordance with clauses (a) and (b).

(a) In each year in which the name of the candidate is on the ballot, the report of the principal campaign committee shall be filed ten days before a primary and a general election, seven days before a special primary and a special election, and 30 days after a special election. The report due after a special election may be filed on January 31 following the special election if the special election is held not more than 60 days before that date.

(b) In each general election year political committees and political funds other than principal campaign committees shall file reports ten days before a primary and general election.

If a scheduled filing date falls on a Saturday, Sunday or legal holiday, the filing date shall be the next regular business day.

Subd. 3. Each report under this section shall disclose:

(a) The amount of liquid assets on hand at the beginning of the reporting period;

(b) The name, address and employer, or occupation if self-employed, of each individual, political committee or political fund who within the year has made one or more transfers or donations in kind to the political committee or political fund, including the purchase of tickets for all fund raising efforts, which in aggregate exceed \$50 for legislative candidates or \$100 for statewide candidates or ballot questions, together with the amount and date of each transfer or donation in kind, and the aggregate amount of transfers and donations in kind within the year from each source so disclosed. A donation in kind shall be disclosed at its fair market value. An approved expenditure is listed as a donation in kind. A donation in kind is considered consumed in the reporting period in which it is received. The names of contributors shall be listed in alphabetical order;

(c) The sum of contributions to the political committee or political fund during the reporting period;

(d) Each loan made or received by the political committee or political fund within the year in aggregate in excess of \$100, continuously reported until repaid or forgiven, together with the name, address, occupation and the principal place of business, if any, of the lender and any endorser and the date and amount of the loan. If any loan made to the principal campaign committee of a candidate is forgiven at any time or repaid by any entity other than that principal campaign committee, it shall be reported as a contribution for the year in which the loan was made;

(e) Each receipt in excess of \$100 not otherwise listed under clauses (b) to (d);

(f) The sum of all receipts of the political committee or political fund during the reporting period;

(g) The name and address of each individual or association to whom aggregate expenditures, including approved expenditures, have been made by or on behalf of the political committee or political fund within the year in excess of \$100, together with the amount, date and purpose of each expenditure and the name and address of, and office sought by, each candidate on whose behalf the expenditure was made, identification of the ballot question which the expenditure is intended to promote or defeat, and in the case of independent expenditures made in opposition to a candidate, the name, address and office sought for each candidate;

(h) The sum of all expenditures made by or on behalf of the political committee or political fund during the reporting period;

(i) The amount and nature of any advance of credit incurred by the political committee or political fund, continuously reported until paid or forgiven. If any advance of credit incurred by the principal campaign committee of a candidate is forgiven at any time by the creditor or paid by any entity other than that principal campaign committee, it shall be reported as a donation in kind for the year in which the advance of credit was incurred;

(j) The name and address of each political committee, political fund, or principal campaign committee to which aggregate transfers in excess of \$100 have been made within the year, together with the amount and date of each transfer;

(k) The sum of all transfers made by the political committee, political fund, or principal campaign committee during the reporting period;

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(l) For principal campaign committees only, the sum of non-campaign disbursements made in each category listed in section 10A.01 subdivision 10C during the reporting period; and

(m) The sum of all noncampaign disbursements made by the political committee, political fund, or principal campaign committee during the reporting period.

Subd. 3a. The reports of a principal campaign committee of a legislative candidate required by this section shall list in a prominent place on the first page of every report each county in which the legislative district lies.

Subd. 4. A report shall cover the period from the last day covered by the previous report to seven days prior to the filing date, except that the report due on January 31 shall cover the period from the last day covered by the previous report to December 31.

Subd. 5. In any statewide election any contribution or contributions from any one source totaling \$2,000 or more, or in any legislative election totaling \$200 or more, received between the last day covered in the last report prior to an election and the election shall be

reported to the Board in person or by telegram within 48 hours after its receipt and also in the next required report.

Subd. 6. Every candidate who does not designate and cause to be formed a principal campaign committee, and any individual who makes independent expenditures or expenditures expressly advocating the approval or defeat of a ballot question in aggregate in excess of \$100 in any year, shall file with the Board a report containing the information required by subdivision 3. Reports required by this subdivision shall be filed on the dates on which reports by committees and funds are filed.

Subd. 6a. Any individual, political committee or political fund filing a report or statement disclosing any independent expenditure pursuant to subdivision 3 or 6 shall file with that report a sworn statement that the expenditures so disclosed were not made with the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of any candidate, his principal campaign committee or his agent.

Subd. 7. If no contribution is received or expenditure made by or on behalf of a candidate, political fund or political committee during a reporting period, the treasurer of the committee or fund shall file with the Board at the time required by this section a statement to that effect.

Subd. 8. The Board shall exempt any member of or contributor to any association, political committee or political fund or any other individual from the provisions of this section if the member, contributor or other individual demonstrates by clear and convincing evidence that disclosure would expose him to economic reprisals, loss of employment or threat of physical coercion.

An association, political committee or political fund may seek an exemption for all of its members or contributors if it demonstrates by clear and convincing evidence that a substantial number of its members or contributors would suffer a restrictive effect on their freedom of association if members were required to seek exemptions individually.

Subd. 9. (Repealed 1978 c 463)

Subd. 10. Any individual, association, political committee or political fund seeking an exemption pursuant to subdivision 8 shall submit a written application for exemption to the Board. The Board, without hearing, shall grant or deny the exemption within 30 days after receiving an application, and shall issue a written order stating the reasons for its action. The Board shall publish its order in the state register and give notice to all parties known to the Board to have an interest in the matter. If the Board receives a written objection to its action from any party within 20 days after publication of its order and notification of interested parties, the Board shall hold a contested case hearing on the matter. Upon the filing of a timely objection from the applicant, an order denying an exemption shall be suspended pending the outcome of the contested case. If no timely objection is received, the exemption shall continue to be in effect until a written objection is filed with the Board in a succeeding election year. The Board by rule shall establish a procedure so that any individual seeking an exemption may

proceed anonymously if he would be exposed to the reprisals listed in subdivision 8 were he to reveal his identity for the purposes of a hearing.

Subd. 11. No person or association shall engage in economic reprisals or threaten loss of employment or physical coercion against any person or association because of that person's or association's political contributions or political activity. This subdivision shall not apply to compensation for employment or loss of employment when the political affiliation or viewpoint of the employee is a bonafide occupational qualification of the employment. Any person or association which violates this subdivision is guilty of a gross misdemeanor.

Subd. 12. The Board shall notify by certified mail or personal service any individual who fails to file a statement required by this section. If an individual fails to file a statement due January 31 within seven days after receiving a notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing on the eighth day after receiving notice. If an individual fails to file a statement due before any primary or election within three days of the date due, regardless of whether the individual has received any notice, the Board may impose a late filing fee of \$50 per day, not to exceed \$500, commencing on the fourth day after the date the statement was due. The Board shall further notify by certified mail or personal service any individual who fails to file any statement within 14 days after receiving a first notice from the Board that the individual may be subject to a criminal penalty for failure to file a statement. An individual who knowingly fails to file the statement within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 20; 1976 c 307 s 14-18; 1978 c 463 s 51-59, c 793 s 37; 1979 c 59 s 5; 1980 c 587 a 2 s 8-10; 1980 c 607 a 17 s 10,11)

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10A.21 REPORTS TO COUNTY AUDITORS. Subdivision 1. All reports or statements that must be filed with the Board by the principal campaign committee of legislative candidates and statements of economic interest filed by candidates for and members of the legislature shall be duplicated and filed by the Board with the auditor of each county in which the legislative district lies within 72 hours of the date the report or statement is required to be filed or, if the report or statement is delinquent, within 72 hours of the time the report is actually filed.

Subd. 2. The copies of reports filed with the county auditor need not be certified copies.

Subd. 3. Statements and reports filed with county auditor shall be available to the public in the manner prescribed by section 10A.02, subdivision 8, clause (e). Statements and reports of principal campaign committees shall be retained until four years after the election to which they pertain. Economic interest statements shall be retained until the subject of the statement is no longer a candidate or officeholder.

(1974 c 470 s 21; 1976 c 307 s 19; 1978 c 463 s 60-61)

10A.22 REPORTS AND STATEMENTS. Subdivision 1. A report or statement required by sections 10A.11 to 10A.34 to be filed by a treasurer of a political committee or political fund, or by any other

individual, shall be signed and certified as true by the individual required to file the report. Any individual who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

Subd. 2. (Repealed 1976 c 307 s 35)

Subd. 3. (Repealed 1978 c 463)

Subd. 4. The treasurer shall list contributions from the same source under the same name. When a contribution received from any source in a reporting period is added to previously reported unitemized contributions from the same source and the aggregate exceeds the disclosure threshold of section 10A.20, the name, address and employer, or occupation if self-employed, of that source shall then be listed on the prescribed schedule. A candidate may refuse to accept any contribution.

Subd. 5. A political committee or political fund making an expenditure on behalf of more than one candidate for state or legislative office shall allocate the expenditure among the candidates on a reasonable cost basis and report the allocation for each candidate.

Subd. 6. Each person required to file any report or statement shall maintain records on the matters required to be reported, including vouchers, cancelled checks, bills, invoices, worksheets, and receipts, which will provide in sufficient detail the necessary information from which the filed reports and statements may be verified, explained, clarified and checked for accuracy and completeness, and he shall keep the record available for audit, inspection, or examination by the Board or its authorized representatives for four years from the date of filing of the reports or statements or of changes or corrections thereto. Any person who knowingly violates any provisions of this subdivision is guilty of a misdemeanor.

Subd. 7. The treasurer of a political committee or political fund shall not accept a contribution of more than \$100 from a political committee or political fund not registered in this state unless the contribution is accompanied by a written statement which meets the disclosure requirements imposed by section 10A.20. This statement shall be certified as true and correct by an officer of the contributing political committee or political fund. The political committee or political fund which accepts the contribution shall include a copy of the statement with the report which discloses the contribution to the Board. The provisions of this subdivision shall not apply when a national political party transfers money to its affiliate in this state.

Subd. 8. (Repealed 1976 c 307 s 35)

(1974 c 470 s 22; 1976 c 307 s 35; 1978 c 463 s 62-65)

10A.23 CHANGES AND CORRECTIONS. Any material changes in information previously submitted and any corrections to a report or statement shall be reported in writing to the Board within ten days following the date of the event prompting the change or the date upon which the person filing became aware of the inaccuracy. The change or correction shall identify the form and the paragraph containing the information to be changed or corrected. Any person who

wilfully fails to report a material change or correction is guilty of a gross misdemeanor.

(1974 c 470 s 23; 1976 c 307 s 20)

10A.24 DISSOLUTION OR TERMINATION. No political committee or political fund shall dissolve until it has settled all of its debts and disposed of all its assets in excess of \$100 and filed a termination report. The termination report may be made at any time and shall include all information required in periodic reports.

(1974 c 470 s 24; 1978 c 463 s 66)

10A.25 LIMITS ON CAMPAIGN EXPENDITURES. Subdivision 1. For the purposes of section 10A.11 to 10A.34 a candidate for governor and a candidate for lieutenant governor, running together, shall be deemed to be a single candidate. Except as provided in subdivision 3, all expenditures made by and all approved expenditures made on behalf of the candidate for lieutenant governor shall be considered to be expenditures by and approved expenditures on behalf of the candidate for governor.

Subd. 2. In a year in which an election is held for an office sought by a candidate, no expenditures shall be made by the principal campaign committee of that candidate, nor any approved expenditures made on behalf of that candidate which expenditures and approved expenditures result in an aggregate amount in excess of the following:

(a) For governor and lieutenant governor, running together, 12½ cents per capita or \$600,000, whichever is greater;

(b) For attorney general, 2½ cents per capita or \$100,000, whichever is greater;

(c) For secretary of state, state treasurer and state auditor, separately, 1¼ cents per capita or \$50,000, whichever is greater;

(d) For state senator, 20 cents per capita or \$15,000, whichever is greater;

(e) For state representative, 20 cents per capita or \$7,500, whichever is greater.

Subd. 3. Notwithstanding subdivision 2, clause (a), a candidate for endorsement for the office of lieutenant governor at the convention of a political party may make expenditures and approved expenditures of \$30,000 or five percent of the amount in subdivision 2, clause (a), whichever is greater, to seek endorsement. This amount shall be in addition to the amount which may be expended pursuant to subdivision 2, clause (a).

Subd. 4. The limits prescribed in section 10A.25 shall not apply to any expenditure or approved expenditure made or advance of credit incurred before the effective date of this section unless the goods or services for which they were made or incurred are consumed or used after the effective date of this section.

Subd. 5. Notwithstanding the limits imposed by subdivision 2, the winning candidate in a contested race in a primary who receives less than

twice as many votes as any one of his opponents in that primary may make aggregate expenditures and approved expenditures equal to 120 percent of the applicable amount as set forth in subdivision 2.

Subd. 6. In any year following an election year for the office held or sought, the aggregate amount of expenditures by and approved expenditures on behalf of a candidate for or holder of that office shall not exceed 20 percent of the expenditure limit set forth in subdivision 2.

Subd. 7. On or before December 1 of each year, the state demographer shall certify to the Board the estimated population of the state of Minnesota for the next calendar year. On or before December 31 of each year the Board shall determine and publish in the state register the expenditure limits for each office for the next calendar year as prescribed by subdivision 2, using the following estimated population figures:

(a) For the offices of governor and lieutenant governor, attorney general, secretary of state, state treasurer and state auditor, the total estimated population of the state;

(b) For the office of state senator, 1/67 of the total estimated population of the state;

(c) For the office of state representative, 1/134 of the total estimated population of the state. The limits shall be rounded off to the nearest \$100.

Subd. 8. (Repealed 1978 c 463)

Subd. 9. (Repealed 1978 c 463)

Subd 10. The expenditure limits imposed by section 10A.25 apply only to candidates who agree to be bound by the limits as a condition of receiving a public subsidy for their campaign in the form of:

(a) An allocation of money from the state elections campaign fund;
or

(b) Credits against the tax due of individuals who contribute to that candidate.

(1974 c 470 s 25; 1976 c 307 s 21-23; 1978 c 463 s 67-74)

10A.26 (Repealed 1978 c 463)

10.265 FREEDOM TO ASSOCIATE AND COMMUNICATE. Nothing in chapter 10A shall be construed as abridging the right of an association to communicate with its members.

(1978 c 463 s 75)

10A.27 ADDITIONAL LIMITATIONS. Subdivision 1. Except as provided in subdivisions 2 and 6, no candidate shall permit his principal campaign committee to accept contributions from any individual, political committee, or political fund in excess of the following:

(a) To candidates for governor and lieutenant governor running together, \$60,000 in an election year for the office sought and \$12,000 in other years;

(b) To a candidate for attorney general, \$10,000 in an election year for the office sought and \$2,000 in other years;

(c) To a candidate for the office of secretary of state, state treasurer or state auditor, \$5,000 in an election year for the office sought and \$1,000 in other years;

(d) To a candidate for state senator, \$1,500 in an election year for the office sought and \$300 in other years; and

(e) To a candidate for state representative, \$750 in an election year for the office sought and \$150 in the other year.

Subd. 2. No candidate shall permit his principal campaign committee to accept contributions from any political party in excess of five times the amount that may be contributed to that candidate by a political committee as set forth in subdivision 1.

Subd. 3. (Repealed 1978 c 463)

Subd. 4. For the purposes of this section, a political party means the aggregate of the party organization within each house of the legislature, the state party organization, and the party organization within congressional districts, counties, legislative districts, municipalities, and precincts.

Subd. 5. Nothing in section 10A.27 shall be construed as limiting independent expenditures on behalf of a candidate.

Subd. 6. Nothing in section 10A.27 shall be construed as limiting the amount which may be contributed by a candidate for the purpose of influencing his own nomination or election.

Subd. 7. Contributions and approved expenditures made prior to the effective date of this section which are in excess of the limits imposed by section 10A.27 shall not be in violation of this section but shall be disclosed as required by chapter 10A.

Subd. 8. No candidate shall permit his principal campaign committee to accept a loan from other than a financial institution for an amount in excess of the contribution limits imposed by this section. No candidate shall permit his principal campaign committee to accept any loan from a financial institution for which that financial institution may hold any endorser of that loan liable to pay any amount in excess of the amount that the endorser may contribute to that candidate.

(1974 c 470 s 27; 1976 c 307 s 24; 1978 c 463 s 76-82, c 793 s 38)

10A.275 MULTI-CANDIDATE POLITICAL PARTY EXPENDITURES. Notwithstanding any other provisions of this chapter, the following expenditures by a state political party or a substate unit of a state political party as described in section 10A.27, subdivision 4, shall not be considered contributions to or expenditures on behalf of any candidate for the purposes of section 10A.25 or 10A.27, and shall not be allocated to any candidates pursuant to section 10A.22, subdivision 5:

(a) Expenditures on behalf of candidates of that party generally without referring to any of them specifically in any advertisement published, posted or broadcast;

(b) Expenditures for the preparation, display, mailing or other distribution of an official party sample ballot listing the names of three or more individuals whose names are to appear on the ballot;

(c) Expenditures for any telephone conversation including the names of three or more individuals whose names are to appear on the ballot; or

(d) Expenditures for any political party fundraising effort on behalf of three or more candidates.

(1978 c 463 s 83)

10A.28 PENALTY FOR EXCEEDING LIMITS. Subdivision

1. A candidate subject to the expenditure limits of section 10A.25 who permits his principal campaign committee to make expenditures or permits approved expenditures to be made on his behalf in excess of the limits imposed by section 10A.25 shall be subject to a civil fine up to four times the amount which the expenditures exceeded the limit.

Subd. 2. A candidate who permits his principal campaign committee to accept contributions in excess of the limits imposed by section 10A.27 shall be subject to a civil fine of up to four times the amount by which the contribution exceeded the limits.

Subd. 3. If the Board finds that there is reason to believe that excess expenditures have been made or excess contributions accepted contrary to the provisions of subdivision 1 or 2 the Board shall make every effort for a period of not less than 14 days after its finding to correct the matter by informal methods of conference and conciliation and to enter a conciliation agreement with the person involved. A conciliation agreement made pursuant to this subdivision shall be a matter of public record. Unless violated, a conciliation agreement shall be a bar to any civil proceeding under subdivision 4.

Subd. 4. If the Board is unable after a reasonable time to correct by informal methods any matter which constitutes probable cause to believe that excess expenditures have been made or excess contributions accepted contrary to subdivision 1 or 2, the Board shall make a public finding of probable cause in the matter. After making a public finding, the Board shall bring an action, or transmit the finding to county attorney who shall bring an action, in the district court of Ramsey county or, in the case of a legislative candidate, the district court of a county within the legislative district, to impose a civil fine as prescribed by the Board pursuant to subdivision 1 or 2. All moneys recovered pursuant to this section shall be deposited in the general fund of the state.

(1974 c 470 s 28; 1978 c 463 s 84)

10A.29 CIRCUMVENTION PROHIBITED. Any attempt by an individual or association to circumvent the provisions of chapter 10A by redirecting funds through, or contributing funds on behalf of, another individual or association is a gross misdemeanor.

(1974 c 470 s 29; 1978 c 463 s 85)

10A.30 STATE ELECTIONS CAMPAIGN FUND. Subdivision

1. There is hereby established an account within the special revenue fund of the state to be known as the "state elections campaign fund".

Subd. 2. Within the state elections campaign fund account there shall be maintained a separate account for the candidates of each political party and a general account.

(1974 c 470 s 30; 1978 c 463 s 86)

10A.31 DESIGNATION OF INCOME TAX PAYMENTS.

Subdivision 1. Effective with the taxable years beginning after December 31, 1977, every individual who files a tax return or a renter and homeowner property tax refund return with the commissioner of revenue may designate that \$1 shall be paid from the general fund of the state into the state elections campaign fund. If a husband and wife file a joint return, each spouse may designate that \$1 shall be paid. An individual who is 18 years of age or older, who is a resident of Minnesota, and who is a dependent of another individual who files a tax return or a renter and homeowner property tax refund return, may designate that \$1 shall be paid from the general fund of the state into the state elections campaign fund. No individual shall be allowed to designate \$1 more than once in any year.

Subd. 2. The taxpayer may designate that the \$1 be paid into the account of a political party or into the general account.

Subd. 3. The commissioner of the department of revenue shall on the first page of the income tax form and the renter and homeowner property tax refund return notify the filing individual and any adult dependent of that individual of his right to allocate \$1 (\$2 if filing a joint return) from the general fund of the state to finance the election campaign of state candidates. The form shall also contain language prepared by the commissioner which permits the individual to direct the state to allocate the \$1 (\$2 if filing a joint return) to: (i) one of the major political parties; (ii) any minor political party as defined in section 10A.01, subdivision 13, which qualifies under the provisions of subdivision 3a; or (iii) all qualifying candidates as provided by subdivision 7. The dependent on the tax return or the renter and homeowner property tax refund return shall sign a statement which authorizes the designation of \$1. The renter and homeowner property tax refund return shall include instructions that the individual filing the return may designate \$1 on the return only if he has not designated \$1 on the income tax return.

Subd. 3a. A minor political party as defined in section 10A.01, subdivision 13 qualifies for inclusion on the income tax form as provided in subdivision 3, provided that if a petition is filed, it is filed by June 1 of the taxable year.

Subd. 4. The amounts designated by individuals for the state elections campaign fund are appropriated from the general fund and shall be credited to the appropriate account in the state elections campaign fund and annually appropriated for distribution as set forth in subdivisions 5, 6 and 7.

Subd. 5. In each calendar year the moneys in each party account and the general account shall be allocated to candidates as follows:

(a) 21 percent for the offices of governor and lieutenant governor together;

(b) 3.6 percent for the office of attorney general;

(c) 1.8 percent each for the offices of secretary of state, state auditor and state treasurer;

(d) In each calendar year during the period in which state senators serve a four year term, $23\frac{1}{2}$ percent for the office of state senator and $46\frac{2}{3}$ percent for the office of state representative;

(e) In each calendar year during the period in which state senators serve a two year term, 35 percent each for the offices of state senator and state representative;

(f) To assure that moneys will be returned to the counties from which they were collected, and to assure that the distribution of those moneys rationally relates to the support for particular parties or for particular candidates within legislative districts, moneys from the party accounts for legislative candidates shall be distributed as follows:

Each candidate for the state senate and state house of representatives whose name is to appear on the ballot in the general election shall receive moneys from his party account set aside for candidates of the state senate or state house of representatives, whichever applies, according to the following formula;

For each county within his district the candidate's share of the dollars allocated in that county to his party account and set aside for that office shall be:

(a) The sum of the votes cast in the last general election in that part of the county in his district for all candidates of his party (i) whose names appeared on the ballot in each voting precinct of the state and (ii) for the state senate and state house of representatives, divided by

(b) The sum of the votes cast in that county in the last general election for all candidates of his party (i) whose names appeared on the ballot in each voting precinct in the state and (ii) for the state senate and state house of representatives, multiplied by

(c) The amount in his party account allocated in that county and set aside for the candidates for the office for which he is a candidate.

The sum of all the county shares calculated in the formula above is the candidate's share of his party account.

In a year in which an election for the state senate occurs, with respect to votes for candidates for the state senate only, "last general election" means the last general election in which an election for the state senate occurred.

For any party under whose name no candidate's name appeared on the ballot in each voting precinct in the state in the last general election, "last general election" means the last general election in which the name

of a candidate of that party appeared on the ballot in each voting precinct in the state.

If in a district there was no candidate of a party for the state senate or state house of representatives in the last general election, or if a candidate for the state senate or state house of representatives was unopposed, the vote for that office for that party shall be the average vote of all the remaining candidates of that party in each county of that district whose votes are included in the sums in clauses (a) and (b). The average vote shall be added to the sums in clauses (a) and (b) before the calculation is made for all districts in the county.

Moneys from any party account not distributed in any election year shall be returned to the general fund of the state. Moneys from the general account refused by any candidate shall be distributed to all other qualifying candidates in proportion to their shares as provided in this subdivision.

Subd. 6. Within two weeks after certification by the state canvassing board of the results of the primary, the state treasurer shall distribute the available funds in each party account, as certified by the commissioner of revenue on September 15, to the candidates of that party who have signed the agreement as provided in section 10A.32, subdivision 3, and whose names are to appear on the ballot in the general election, according to the allocation set forth in subdivision 5.

Subd. 7. Within two weeks after certification by the state canvassing board of the results of the general election, the state treasurer shall distribute the available funds in the general account, as certified by the commissioner of revenue on November 15 and according to the allocations set forth in subdivision 5, in equal amounts to all candidates for each statewide office who received at least five percent of the votes cast in the general election for that office, and to all candidates for legislative office who received at least ten percent of the votes cast in the general election for the specific office for which they were candidates. The Board shall not use the information contained in the report of the principal campaign committee of any candidate due ten days before the general election for the purpose of reducing the amount due that candidate from the general account.

Subd. 8. Within one week after certification by the state canvassing board of the results of the primary, the Board shall certify to the state treasurer the name of each candidate who has signed the agreement as provided in section 10A.32, subdivision 3, and the amount he is to receive from the available funds in his party account.

Subd. 9. Within one week after certification by the state canvassing board of the results of the general election, the Board shall certify to the state treasurer the name of each candidate who is qualified to receive funds from the general account, together with the amount he is to receive from the available funds in the general account.

Subd. 10. In the event that on the date of either certification by the commissioner of revenue as provided in subdivisions 6 and 7, less than 98

percent of the tax returns have been processed, the commissioner of revenue shall certify to the Board on December 7 the amount accumulated in each account since the previous certification. Within one week thereafter, the Board shall certify to the state treasurer the amount to be distributed to each candidate according to the allocations as provided in subdivision 5. As soon as practicable thereafter, the state treasurer shall distribute the amounts to the candidates. Any moneys accumulated after the final certification shall be maintained in the respective accounts for distribution in the next general election year.

Subd. 11. For the purposes of section 10A.31, a write-in candidate is not a candidate unless he complies with the provisions of section 10A.32, subdivision 3.

(1974 c 470 s 31; 1976 c 307 s 26-33; 1978 c 463 s 87-95)

10A.32 LIMITATIONS UPON THE STATE ELECTION CAMPAIGN FUND. Subdivision 1. No candidate shall be entitled to receive from the state elections campaign fund and retain an amount greater than the aggregate amount of expenditures which may be made by him and approved expenditures make on his behalf under section 10A.25, subdivision 2. The amount by which the allocation exceeds the expenditure limit shall be returned to the general fund of the state.

Subd. 2. No candidate shall be entitled to receive from the state elections campaign fund an amount greater than the aggregate amount of expenditures made by him and approved expenditures made on his behalf in the year of the election. If the report required to be filed on or before January 31 in the year following the general election indicates that the amount received by the candidate from the state elections campaign fund is greater than the amount expended on his behalf, the treasurer of his principal campaign committee shall return to the state treasurer an amount equal to the difference. The return in the form of a check or money order shall be submitted with such report and the Board shall forward the return to the state treasurer for deposit in the general fund of the state.

Subd. 3. As a condition of receiving any money from the state elections campaign fund, a candidate shall agree by stating in writing to the Board that

(a) his expenditures and approved expenditures shall not exceed the expenditure limits as set forth in section 10A.25 and that

(b) he shall not accept contributions or allow approved expenditures to be made on his behalf for the period beginning with January 1 of the election year or with the registration of his principal campaign committee, whichever occurs later, and ending December 31 of the election year, which aggregate contributions and approved expenditures exceed the difference between the amount which may legally be expended by him or on his behalf, and the amount which he receives from the state elections campaign fund. The agreement, insofar as it relates to the expenditure limits set forth in section 10A.25, remains effective until the dissolution of the principal campaign committee of the candidate or the opening of filings for the next succeeding election to the

office held or sought at the time of agreement, whichever occurs first. Money in the account of the principal campaign committee of a candidate on January 1 of the election year for the office held or sought shall be considered contributions accepted by that candidate in that year for the purposes of this subdivision. That amount of all contributions accepted by a candidate in an election year which equals the amount of noncampaign disbursements and contributions and expenditures to promote or defeat a ballot question which are made by that candidate in that year, shall not count toward the aggregate contributions and approved expenditure limit imposed by this subdivision. Any amount by which his aggregate contributions and approved expenditures agreed to under clause (b) exceed the difference shall be returned to the state treasurer in the manner provided in subdivision 2. In no case shall the amount returned exceed the amount received from the state elections campaign fund.

The candidate may submit his signed agreement to the filing officer on the day he files his affidavit of candidacy or petition to appear on the ballot, or he may submit the agreement to the Board no later than September 1.

The Board prior to the first day of filing for office shall forward forms for the agreement to all filing officers. The filing officer shall without delay forward signed agreements to the Board. An agreement may not be rescinded after September 1.

For the purposes of this subdivision only, the total amount to be distributed to each candidate is calculated to be his share of the total estimated funds in his party account as provided in subdivision 3a, plus the total amount estimated as provided in subdivision 3a to be in the general account of the state elections campaign fund and set aside for that office divided by the number of candidates whose names are to appear on the general election ballot for that office. If for any reason the amount actually received by the candidate is greater than his share of the estimate, and his contributions thereby exceed the difference, the agreement shall not be considered violated.

Subd. 3a. The commissioner of revenue shall, on the basis of vote totals provided by the secretary of state, calculate and certify to the Board before the first day of July in an election year his estimate, after 100 percent of the tax returns have been processed, of the total amount in the general account, and the amount of moneys each candidate who qualifies as provided in section 10A.31, subdivision 6, may receive from his party account, based upon the formula set forth in section 10A.31, subdivision 3. Prior to the first day of filing for office, the Board shall publish and forward to all filing officers these estimates. Within seven days after the last day for filing for office the secretary of state shall certify to the Board the name, address, office sought, and party affiliation of each candidate who has filed with that office his affidavit of candidacy or petition to appear on the ballot. The auditor of each county shall certify to the Board the same information for each candidate who has filed with that county his affidavit of candidacy or petition to appear on the ballot. Within seven days thereafter the Board shall estimate the minimum amount to be

received by each candidate who qualifies as provided in section 10A.31, subdivisions 6 and 7, and notify all candidates on or before August 15 of the applicable amount. The Board shall include with the notice a form for the agreement provided in subdivision 3.

Subd.* 3b. As a condition of receiving a public subsidy for his election campaign in the form of tax credits against the tax due from individuals who contribute to his principal campaign committee a candidate shall agree by stating in writing to the Board at any time beginning with the registration of his principal campaign committee that his expenditures and approved expenditures shall not exceed the expenditure limits as set forth in section 10A.25. The agreement shall remain effective until the dissolution of the principal campaign committee of the candidate or the opening of filing for the next succeeding election for the office held or sought at the time of agreement, whichever occurs first. An agreement signed under this subdivision may not be rescinded. The commissioner of revenue shall not allow any individual or married couple filing jointly to take a credit against any tax due, pursuant to section 290.06, subdivision 11, for any contribution to a candidate for legislative or statewide office who has not signed the agreement provided in this subdivision. Nothing in this subdivision shall be construed to limit the campaign expenditure of any candidate who does not sign an agreement under this subdivision but accepts a contribution for which the contributor claims a credit against tax due. The Board shall forward a copy of any agreement signed under this subdivision to the commissioner of revenue. The Board shall make available to any candidate signing an agreement a supply of Official Tax Credit Receipt forms which state in bold face type that (a) a contributor who is given a receipt form is eligible to receive a credit against his tax due in an amount equal to 50 percent of his contribution but not more than \$25 for an individual, or not more than \$50 for a married couple filing jointly, and (b) that the candidate to whom he has contributed has voluntarily agreed to abide by campaign expenditure limits. If a candidate does not sign an agreement under this subdivision he may not issue an Official Tax Credit Receipt form, or any facsimile thereof, to any of his contributors. Any candidate who does not voluntarily agree to abide by the expenditure limits imposed in section 10A.25 and who willfully issues Official Tax Credit Receipt forms, or any facsimile thereof, to any contributor is guilty of a misdemeanor.

Subd. 4. If a political party for whose candidates funds have been accumulated in the state elections campaign fund does not have a candidate for any office, the moneys set aside for that office shall be returned to the general fund of the state.

(1974 c 470 s 32; 1976 c 307 s 34; 1978 c 463 s 96-101, c 793 s 39; 1980 c 607 a 17 s 12)

10A.33 APPLICATION. The provisions of sections 10A.30 to 10A.32 shall apply only in general elections and primaries preceding

*See section 209.06, subdivision 11 for information on a change in the tax credit. (1979 c 303 a 1 s 9)

general elections and shall not apply to special elections or special primaries.

(1974 c 470 s 32; 1976 c 307 s 34; 1978 c 463 s 96-101, c 793 s 39; 1980 c 607 a 17 s 12)

10A.335. For the purpose of determining whether the distribution formula provided in section 10A.31, subdivision 5, (a) assures that moneys will be returned to the counties from which they were collected, and (b) continues to have a rational relation to the support for particular parties or particular candidates within legislative districts, it is the intention of this section that future legislatures monitor, using statistical data provided by the department of revenue, income tax returns and renter and homeowner property tax refund returns on which \$1, or in the case of a joint return, \$2, is designated for a political party.

(1978 c 463 s 103)

10A.34 REMEDIES. Subdivision 1. A person charged with a duty under sections 10A.02 to 10A.34 shall be personally liable for the penalty for failing to discharge it.

Subd. 1a. The Board may bring an action in the district court in Ramsey county to recover any late filing fee imposed pursuant to any provision of chapter 10A. All money recovered shall be deposited in the general fund of the state.

Subd. 2. The Board or a county attorney may seek an injunction in the district court to enforce the provisions of sections 10A.02 to 10A.34.

Subd. 3. Unless otherwise provided, a violation of sections 10A.02 to 10A.34 is not a crime.

(1974 c 470 s 34; 1978 c 463 s 104)



LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA • ST. PAUL, MINNESOTA 55102

PHONE: (612) 224-5445

MEMO

TO: Erica/Burkhalter/ Pam/Pat Lucas ✓

FROM: Sally

SUBJECT: I&R Flyer Funding

DATE: August 22, 1980

LWVMN is putting out 250,000 flyers on the I&R amendment at a cost of \$4,200.

We have been guaranteed payment for the costs of the project from various (very vague) sources - business, maybe AFL-CIO.

We want to make sure that we comply with reporting/disclosure requirements of Chapter 10A. Therefore, any contributions should be made out to LWVMN Ballot Issues Fund...not to our general fund. According to my reading of the rules, we are prohibited from transferring monies from one fund to another.

We must report sources of all contributions over a certain amount (I think \$25). If we receive the money from another group or fund, we must make sure that that fund is registered and all contributions to that fund are reported to the Ethical Practices Board. The best way to be sure that we're complying is to have all contributions made out directly to LWVMN Ballot Issue Fund.



ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

SEP 10 1980

September 1980

1980

SEP 10 1980

CAMPAIGN FINANCE NEWSLETTER

#5

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DATES TO REMEMBER

- OCTOBER 27, 1980 - Report of Receipts and Expenditures due. Covers period from August 23 - October 20, 1980.
- DECEMBER 31, 1980 - Last day to sign Tax Subsidy Agreement.
- JANUARY 31, 1981 - Report of Receipts and Expenditures due. For committees of candidates on the ballot and all non-candidate committees and funds, the period covered is from October 21, 1980 through December 31, 1980.
For committees of candidates who were not on the 1980 ballot and who have not filed reports in September and October, the period covered is January 1, 1980 through December 31, 1980.

ADVISORY OPINIONS ISSUED

The Board has issued Advisory Opinion #63 to the Americans For Democratic Action in which it looks at the circumstances under which expenditures expressly advocating the nomination or election of candidates made by non-candidate committees are approved expenditures which must be allocated back to the candidate's committee.

The Board has also issued Advisory Opinion #70 to Common Cause/Minnesota. The opinion finds that advertisements and forums which are sponsored by a political committee or fund organized solely to promote or defeat a ballot question and which also involve mention of a candidate's name or the candidate's presence are neither approved nor independent expenditures on behalf of a candidate unless the candidate's nomination or election is expressly advocated.

Copies of all Advisory Opinions issued by the Board are available free by contacting the Board.

SOME COMMONLY ASKED QUESTIONS

- Q. Our organization endorses candidates in a publication which we send to our members. Are all or some of the costs involved a campaign expenditure?
- A. Yes. Costs involved in producing and/or distributing publications expressly advocating the nomination or election of candidates may be independent expenditures if they are made without the approval of the candidate and approved expenditures if they are made with the approval, express or implied, of the candidate. How much is to be allocated to a candidate would depend on factors including the cost of the materials and the number of candidates involved.

Q. What is an in-kind expenditure?

A. A person or group that gives your committee an in-kind contribution is actually making an expenditure on your behalf. The sum of the fair market value of in-kind contributions to your committee is reported on line 2 of the Report of Receipts and Expenditures. The value of these contributions must also be reported as an in-kind expenditure on line 13 of the non-candidate committee report and on either line 11 or 28 of the candidate report. For the candidate report, the sum of lines 11 and 28 must equal line 2.

Q. What does cash on hand mean?

A. Cash on hand is the money in the account of a principal campaign committee as of January 1 of the election year. It is included when determining how much a candidate accepting public financing may raise before reaching the aggregate contribution limit.

Q. Our committee paid for and used lawn signs during our last campaign. If we use them again, do we need to report them again as expenditures?

A. No. Items which were paid for and used and consumed in an election year may be re-used in later election campaigns without being reported again.

Q. Where is interest paid on a loan reported?

A. Interest paid on loans is a non-campaign disbursement according to 9MCAR 1.0030 of the Board's Campaign Finance Rules and should be reported as a miscellaneous non-campaign disbursement.

Q. What is a prepaid campaign expenditure?

A. A prepaid campaign expenditure is an expenditure made in one year for something used and consumed in another year. If, for example, a committee purchased \$1,000 worth of brochures in 1979 but did not distribute any of them, the cost of flyers as reported in the 1979 report would have been a non-campaign disbursement. The cost of the flyers is, however, a campaign disbursement in 1980 when the brochures are distributed and the cost should be reported as a prepaid campaign expenditure on page 1 of the Report of Receipts and Expenditures for a Principal Campaign Committee. If half of the brochures were distributed in 1979, half of the cost would be a campaign expenditure and half a non-campaign disbursement. The half that were distributed in 1980 would be reported in 1980 as a prepaid campaign expenditure.

Q. How quickly must a treasurer deposit contributions in the committee's account?

A. Chapter 10A.15, subd. 3 states that all transfers shall be deposited promptly upon receipt and except for contributions received during the last three days of the reporting period shall be deposited within the reporting period in which they were received. Contributions received the last three days of the reporting period shall be deposited within 72 hours and shall be reported as received during the period whether deposited then or not.

REMINDER FROM THE COMMISSIONER OF REVENUE

If you use your campaign funds for your personal use, you must report these amounts as income on your federal and Minnesota income tax returns. Some examples of using your campaign funds for personal use would be if you used these funds to pay off a non-campaign related debt, improve your home, pay your federal or Minnesota income taxes, or to pay any other personal living expenses which aren't campaign related.

You must include in income amounts you were reimbursed from your campaign funds for out of pocket expenses, such as for car expenses, and which you also deducted on your income tax return. For further information contact.....Dale Busacker

Income Tax Division
612 - 296-3438

**Campaign
Finance
Disclosure**

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

LWVMN Ballot Issues Campaign Fund - LWV of St. Cloud Area
Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) _____

No income was received. (Signed) Ellen E. Mark

EXPENDITURES:

	Amendment #1	Amendment #2	Amendment #3
In-kind Contribution	_____	_____	_____
Printing/copying of material	_____	_____	_____
Postage	_____	_____	_____
Staff Salaries	_____	_____	_____
Other	_____	_____	_____
Total	_____	_____	_____

We have not incurred any expense. (Signed) Ellen E. Mark

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

SEP 5 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Moorhead

Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) _____

No income was received. (Signed)

Judith E. Bailey, President

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #~~3~~ 4

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed)

Judith E. Bailey, Pres.

League of Women Voters of Minnesota, 555 Wabasha, St. Paul, MN 55102 - August, 1980
due at LWVMN office August 27, 1980

SEP 5 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Wayzeter Area

Reporting period due September 2, 1980, for 1/1/80-8/22/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) _____

No income was received. (Signed) _____

W/K

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed) _____

W/K

due at LWVMN office October 22, 1980

SEP 5 1980

LWVMN Ballot Issues Campaign Fund - LWV of

Wayzata Area

Reporting period due October 27, 1980, for 8/23/80-10/20/80

INCOME:

I have sent all contributions to this fund to LWVMN to be deposited and reported by
them. (Signed) Cheryl C. Stubb Treasurer

No income was received. (Signed)

Cheryl C. Stubb Treasurer

EXPENDITURES:

Amendment #1

Amendment #2

Amendment #3

In-kind Contribution

Printing/copying of material

Postage

Staff Salaries

Other

Total

We have not incurred any expense. (Signed)

Cheryl C. Stubb Treasurer

**MINNESOTA CODE OF AGENCY RULES
ETHICAL PRACTICES BOARD
CAMPAIGN FINANCING**



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ETHICAL PRACTICES BOARD
Campaign Financing Rules
CHAPTER ONE

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(9 MCAR § 1)

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ETHICAL PRACTICES BOARD
Chapter One: Campaign Financing Rules
(Effective October 1, 1979)

9 MCAR § 1.0001 Applicability of rules. These rules apply to principal campaign committees, political committees, political funds, individuals and associations that raise or expend in excess of \$100 in a calendar year to influence the nomination or election of a candidate.

9 MCAR § 1.0002 Definitions.

A. "Act" means the Ethics In Government Act, Minn. Stat. ch. 10A. as amended by Laws of 1978, ch. 463, 793, and Laws of 1979, ch. 59.

B. "Business Day" means 8:00 a.m. to 4:30 p.m. Monday through Friday except for official state holidays.

C. "File", "Filed", and "Filing" means delivery to the office of the Board by 4:30 p.m. on the prescribed filing date or postmarked on the filing date.

D. "Fundraising Event" means any dinner, luncheon, rally, coffee party, cocktail party, or other similar gathering of three or more individuals where contributions are solicited or received to influence the nomination or election of a candidate.

E. "Money" means cash on hand, cash on deposit in banks and other depositories, checks, negotiable instruments and other paper commonly accepted by a bank as a deposit, and transfers through electronic funds transfers.

F. "Periodic Report" means the Report or Receipts and Expenditures required to be filed with the Board at prescribed filing dates.

G. "Unpaid Bills" means advance of credit.

9 MCAR § 1.0003 Agreement to make loans. An agreement to make a loan to a political committee or fund shall be made in writing, signed by the borrower (and endorsers, if any) and reported on the appropriate schedule.

9 MCAR § 1.0004 Allocation of approved expenditures. Except for a political party expenditure as provided in Minn. Stat. 10A.275, the treasurer of a political committee or political fund making an approved expenditure on behalf of more than one candidate shall allocate the expenditure among such candidates on a reasonable proportionate basis and report the allocation to each candidate on periodic reports.

9 MCAR § 1.0005 Allocation of money from general account refused by a candidate. Monies refused by a candidate from the general account of the State Election Campaign Fund shall be reapportioned to all the office ac-

counts as provided by Minn. Stat. 10A.31, subd. 5 (a)-(e) and distributed to all qualifying candidates.

9 MCAR § 1.0006 Anonymous contributions. Any single contribution in excess of \$20 for which no donor can be identified by the committee or fund shall be forwarded in its entirety to the Board within 14 days after its receipt. When forwarding such an anonymous contribution, the treasurer of the committee or fund shall report to the Board the amount of the contribution and the date on which it was received.

9 MCAR § 1.0007 Approved expenditures. No approved expenditure in excess of \$20 on behalf of a candidate shall be made until the individual or association receives a written authorization from the treasurer or candidate of the principal campaign committee containing the following information: Date; Amount of expenditure; Name of individual/committee/fund making the expenditure; Purpose of the expenditure; Candidate on whose behalf expenditure is made; Office sought; Expenditure authorized by signature of treasurer or candidate of principal campaign committee.

9 MCAR § 1.0008 Association newsletters on behalf of a candidate. Unless the association is making an independent expenditure, the proportionate cost of preparation and distribution of a newsletter which advocates the nomination or election of a candidate is a donation in kind and must be approved by the candidate if the cost exceeds \$20 per candidate.

9 MCAR § 1.0009 Campaign headquarters. A reasonable proportion of the cost of a political party's headquarters which serves as the headquarters of a candidate must be allocated to the candidate and reported as a campaign expenditure by the principal campaign committee of the candidate.

9 MCAR § 1.0010 Campaign literature. Campaign literature paid for and distributed by a candidate or a principal campaign committee of a candidate running for office which contains pictures of, or incidental references to another candidate or officeholder will not be considered an approved and authorized expenditure on behalf of the other candidate provided the candidacy of the other candidate is not mentioned and no direct or indirect appeal for support of the other candidate is made, or if there is an independent expenditure disclaimer as defined in Minn. Stat. 10A.17, subd. 4.

9 MCAR § 1.0011 Certification.

A. The signature of the treasurer or deputy treasurer of record shall be sufficient certification on forms prescribed by the Board. Notarization is not required.

B. A candidate may sign forms that the treasurer or deputy treasurer of the committee is required to file.

9 MCAR § 1.0012 Change of office sought by candidate.

A. Contribution and expenditure limits. When a candidate, who sought

nomination or election to one office, subsequently seeks the nomination or election to another office in the same election year, expenditures incurred and contributions received to influence the nomination or election to the first office will not be counted toward the campaign contribution and expenditure limits to the subsequent office sought.

B. Registration requirement. A candidate who seeks another office must designate a separate principal campaign committee and a separate account for funds for the office sought.

C. Tax credit subsidy and public financing agreements. A candidate may sign a tax credit subsidy agreement for the calendar year for each office sought until December 31. A candidate may sign a public financing agreement for each office sought until September 1 of the general election year. Signing a public financing agreement by September 1 automatically rescinds a previously filed agreement for another office.

9 MCAR § 1.0013 Complaints of violations.

A. Any person who believes a violation of the act or of these rules has occurred may submit an oral or written complaint to the Board.

B. There is no prescribed form for a written complaint, but all such complaints shall be typewritten or handwritten legibly. The name and address of the person making the complaint shall be typewritten or hand printed on the complaint and it shall be signed by such person. A complaint shall name the alleged violator and describe the complainant's knowledge of the alleged violation. Any evidentiary material should be submitted with the complaint. Complaints will not be available for public inspection or copying until after the Board makes a finding. No investigation shall be required if a complaint is frivolous on its face, illegible, too indefinite, does not identify the violator or is unsigned by the complainant.

C. The Board need not investigate an oral complaint. No investigation shall be undertaken if an oral complaint is frivolous on its face, too indefinite, does not identify the violation, or does not identify the complainant. There is no prescribed format for an oral complaint, but all oral complaints must describe in sufficient detail the alleged violator and violation of the act or rules.

D. Any portion of a meeting during which the Board is hearing testimony or taking action concerning any complaint, investigation, preparation of a conciliation agreement, or a conciliation meeting shall be closed to the public. The minutes of such a meeting shall be kept confidential.

9 MCAR § 1.0014 Contributions between principal campaign committees of the same candidate.

A. Exclusive of personal funds of a candidate as reported on the periodic reports, the candidate may permit his principal campaign committee to accept contributions up to the applicable contribution limit for a political

committee from another principal campaign committee formed by that candidate in seeking another office to further his nomination or election to the other office.

B. If the other principal campaign committee is a federally registered committee, then the provisions of Minn. Stat. 10A.22, subd. 7 apply as well as the contribution limit of the receiving committee.

9 MCAR § 1.0015 Contribution disclosure judgeship. A judicial candidate subject to Chapter 10A shall be considered a statewide candidate for purposes of the contribution disclosure requirements set forth in Minn. Stat. 10A.20, subd. 3(b).

9 MCAR § 1.0016 Contributions from non-Minnesota domiciled and domiciled, federally registered political committees or political funds. Non-Minnesota domiciled and domiciled, federally registered political committees or political funds which contribute in excess of \$100 in a calendar year to a political committee or political fund may, in lieu of registration with the Board, provide the recipient political committee or political fund with a report of receipts and expenditures containing all information required by Minn. Stat. 10A.20 for the reporting period in which the contribution was made.

9 MCAR § 1.0017 Contribution limits political party definition. For purposes of determining an aggregate political party contribution limit, the organization of a political party does not include a political party ward organization, a social club of a political party in a congressional district, legislative district, municipality or precinct, an auxiliary committee of a political party unit defined by Minn. Stat. 10A.27, subd. 4, or any association which uses a political party name and is not listed in Minn. Stat. 10A.27, subd. 4.

9 MCAR § 1.0018 Employee voluntary contribution plan. If an individual employee of a corporation doing business in Minnesota makes a voluntary contribution to a state candidate through a plan made available by that corporation and the employee retains sole and exclusive control of accumulated funds in the employee's name, the corporation providing such a plan on a non-partisan basis is not required to register and report as a political committee or political fund. A political committee or political fund in receipt of the contribution from the individual shall report on the periodic report as required by Minn. Stat. ch. 10A.

9 MCAR § 1.0019 Expenses incurred to repay loans. The expenses of raising money to repay outstanding loans from a previous calendar year are reportable as campaign expenditures in the year in which expenses are incurred.

9 MCAR § 1.0020 Forgiveness and payment of a loan. When a loan to a principal campaign committee is forgiven or repaid by an individual, political committee, or political fund, in accordance with Minn. Stat. 10A.32, subd. 3(b), a candidate who accepts money from the State Election Campaign Fund and whose aggregate contribution limit is exceeded, shall return to the Board

with the required periodic report amendment or periodic report, a check or money order, payable to the State Treasurer for the amount in excess of the aggregate contribution limit but not to exceed the amount received from the State Election Campaign Fund.

9 MCAR § 1.0021 Forgiveness and payment of unpaid bills. When a donation in kind in excess of \$20 which results from the forgiveness of an unpaid bill or payment of an unpaid bill by an individual, political committee or political fund other than the principal campaign committee causes the aggregate contribution limit of a candidate who accepts money from the State Election Campaign Fund to be exceeded, in accordance with Minn. Stat. 10A.32, subd. 3(b), a candidate shall return the amount due, but not to exceed the amount received from the State Election Campaign Fund, by a check or money order made out to the State Treasurer with the required amendment or periodic report.

9 MCAR § 1.0022 Fundraising event.

A. The expenses of a fundraising event held by the state or local committee of a political party for one or two candidates are a donation in kind and, except for food and beverage consumed at the fundraising event, shall be reported as a campaign expenditure by the candidate or candidates under the following conditions:

1. The fundraising event is expressly or implicitly approved by the candidate, his treasurer, or agent to be held "on behalf of" the candidate; and
2. The candidate or candidates are "clearly identified" in advertisements, tickets, or any advance publicity for the fundraising event; and
3. The candidate receives proceeds, if any, from the fundraising event. "Clearly identified" means that: (a) the name of the candidate is used; or (b) a photograph or drawing of the candidate appears; or (c) the identity of the candidate is apparent by unambiguous reference.

B. A separate committee may be established by two or more candidates to report the contributions and expenditures as required by the act for a fundraising event held jointly. The expenses of the fundraising event shall be allocated among the candidates on a reasonable proportionate basis as donations in kind, and, except for food and beverage consumed at a fundraising event, as campaign expenditures. A transfer of funds to a candidate combined with the value of donations in kind from that committee, may not exceed the applicable contribution limit for an individual, political committee, or political fund set forth in Minn. Stat. 10A.27, subd. 1.

9 MCAR § 1.0023 Inactive registered committees and funds. A registered committee or fund which receives no income and makes no expenditures or non-campaign disbursements during a reporting period may so indicate in the space provided on the periodic report and shall thereby satisfy the reporting requirement.

9 MCAR § 1.0024 Joint checks. When a contribution is given on a check written on a joint account, it shall be deemed a contribution by the signator(s) of the check unless otherwise specified by the signator(s). When a contribution is given on a check written on a joint account and specified as a joint contribution, it shall be deemed a separate contribution by each of the holders of the joint account in a proportional amount.

9 MCAR § 1.0025 Joint limits for Governor and Lt. Governor.

A. A candidate seeking the endorsement for Lt. Governor who signs a tax credit subsidy agreement does not bind either the candidate for Governor or his/her candidacy to campaign expenditure limits following their joining of candidacies. Following their joint endorsement or filing for office, the candidates must jointly sign the public financing agreement and/or the tax subsidy agreement if they wish to participate in public financing.

B. Candidates for Governor and Lt. Governor may cause their separate principal campaign committees to be combined as one with no limit on the amount of funds transferred between the two committees. Such action may be taken regardless of whether the action results in either principal campaign committee terminated with outstanding unpaid bills or loans provided that the unpaid bills or loans are assumed and continuously reported by the remaining committee until paid or forgiven.

9 MCAR § 1.0026 Late filing fees.

A. The Board shall send a delinquency notice by certified mail to the treasurer of a political committee or political fund within ten business days after a filing date. A copy of the notice shall be sent by first class mail to the candidate and the chairman of a political committee or political fund. If a certified letter is returned by the post office to the Board as refused, then the letter shall be deemed to have been received by the addresses on the date refused. The late filing fee will then commence accumulating on the eighth day after refusal. A certified letter returned to the Board as undelivered shall be forwarded by first class mail to the treasurer. An undelivered notice of late filing shall be considered received by the recipient five business days after the first class mailing.

B. A late filing fee will be charged through the day preceding the day of filing of a late statement or late periodic report.

C. A late filing fee shall not be assessed for Saturday, Sunday or legal holidays.

D. The Board shall grant a waiver of a late filing fee if satisfied that the statement or report was not filed on time due to sickness, injury, or other compelling reason upon receipt of a written request for a waiver.

9 MCAR § 1.0027 Media advertisements-candidates.

A. If a candidate participates in, but does not pay for, a media advertise-

ment paid for by a principal campaign committee other than his own which advocates the nomination or election of other candidates or federal candidates, no portion of the cost of the advertisement shall be considered an approved expenditure on behalf of the participating candidate provided his candidacy is not mentioned and no direct or indirect appeal for support for his candidacy is made.

B. A candidate or treasurer of a political committee or fund may approve the solicitation and collection of campaign contributions through the use of credit cards. An organization which issues credit cards, when acting in the ordinary course of business by collecting and disbursing funds designated by the card holders for contributions to a political committee or political fund, is not required to register or report.

9 MCAR § 1.0028 Mileage expense. A candidate who is reimbursed for gasoline expenses by his principal campaign committee must report those as campaign expenses; however, a candidate who pays for gasoline expenses from personal funds is giving donations in kind which, if valued at \$20 or less, are neither reported nor recorded. The lowest rate that the State of Minnesota uses to reimburse its employees shall be used to determine the value of automobile use.

9 MCAR § 1.0029 Noncampaign disbursements-constituent services.

A. Expenses paid by the principal campaign committee of a candidate in a non-election year and before adjournment sine die of the legislature in an election year for the office held, for constituent services including newsletters, public opinion questionnaires, aides to legislators for constituent services during a legislative session, stationery not printed at government expense, postage, and rent for district offices shall be reported as a constituent service noncampaign disbursement. Only that portion of the expense actually used or consumed for services to constituents shall be reported as a noncampaign disbursement.

B. Costs of constituent services paid from personal funds of an officeholder incurred before adjournment sine die of the legislature in the election year for the office held are not required to be reported by the principal campaign committee of the officeholder.

C. Costs of providing constituent services by an officeholder who is a candidate after adjournment sine die of the legislature in the election year for the office held and sought are reportable by the principal campaign committee as campaign expenditures.

D. Constituent services provided after adjournment sine die in the year of an election for an officeholder who is a candidate which are paid for from the personal funds of the officeholder shall be considered a donation in kind and reported as such by the principal campaign committee of the candidate.

E. Expenses incurred for providing constituent services in an election year after the general election are noncampaign disbursements.

F. A print media column or legislative report to constituents which is authored by an officeholder shall be considered an effort by the officeholder to influence his nomination or election if prepared and inserted in a newspaper or recurring periodical after adjournment of the legislature sine die in an election year when the officeholder's name will appear on the ballot. A letter, authored by an officeholder or candidate, appearing in a section of a newspaper or recurring periodical, designated for letters to the editor shall not be considered a campaign expenditure.

9 MCAR § 1.0030 Noncampaign disbursements-miscellaneous. Other expenses which are to be reported as miscellaneous noncampaign disbursements if paid for by the principal campaign committee of the candidate include; but are not limited to: costs for child care for the candidate's children when campaigning, fees paid to attend a campaign school, costs of a post election party during the election year when a candidate's name will no longer appear on a ballot or the general election is concluded, whichever occurs first, interest on loans paid by a principal campaign committee on outstanding loans, and filing fees, if permitted by other Minnesota law, post general election thank you notes or advertisements in the news media.

9 MCAR § 1.0031 Organization of political committees and political funds.

A. Any group of two or more persons which receives contributions or which makes expenditures, transfers of funds, or independent expenditures in aggregate in excess of \$100 to influence the nomination or election of one or more candidates for statewide or legislative office must register as a political committee or political fund. If the group's major purpose is to influence the nomination or election of one or more candidates, it shall register as a political committee. If the group is an association whose major purpose is one other than to influence nominations or elections, it shall establish a political fund. When a person or group merely solicits contributions with the approval of a candidate or the treasurer, deputy treasurer or agent of a political committee or political fund and when those contributions are made directly to the reporting committee or fund, that person or group need not establish a separate political committee or political fund.

B. A candidate may be his own chairman and/or treasurer.

C. All monetary assets of a committee or fund shall be kept in designated depositories in accounts named in accordance with Minn. Stat. 10A.15, subd. 3.

D. The funds of a political committee or the contents of a political fund shall not be commingled with any other funds or with the personal funds of a candidate, any officer or member of the committee or fund.

E. If a political committee or fund purchases a certificate of deposit from a financial institution other than that which has been previously disclosed as a depository, the treasurer must amend the Statement of Organization by adding the name of the new depository.

9 MCAR § 1.0032 Payment of compensation for personal services. The gross value of compensation, in excess of \$20, for personal services of an individual or group which are rendered to a candidate, political committee or political fund is reported as a donation in kind from the individual or association that makes the payment.

9 MCAR § 1.0033 Public financing. A candidate or officeholder who signs an agreement to participate in the State Election Campaign Fund is bound by the expenditure limits in an election year and non-election year whether or not the candidate actually receives funds from the State Election Campaign Fund. To be effective a public finance agreement must be received in the office of the Ethical Practices Board or postmarked no later than September 1.

9 MCAR § 1.0034 Recording contributions.

A. Every individual, political committee or fund that receives a contribution in excess of \$20 shall record the name, address, and any other information required by statute.

B. Promptly after receipt of any contribution or on demand of the treasurer, the contribution together with any required record shall be transmitted to the treasurer.

9 MCAR § 1.0035 Reporting and disclosing earmarked contributions.

A. Each individual, political committee or political fund which receives an earmarked contribution of more than \$20 shall record (1) the name and address of the source of the contribution and any other information required by statute; (2) the name and address of the candidate, political committee or political fund for whom the contribution is earmarked; (3) the political committee or political fund through which the earmarked contribution is directed; and (4) the amount of each earmarked contribution.

B. An earmarked contribution is reported in periodic reports as miscellaneous income by the political committee or political fund through which the contribution is directed to a candidate. When transferred to the candidate by the political committee or political fund the information in 9 MCAR § 1.0035 A. shall accompany the transfer, although the earmarked contribution shall be disclosed on periodic reports by the political committee or political fund only when in excess of \$100.

C. The treasurer of a principal campaign committee of a candidate shall disclose on periodic reports the name and address of the source of contribution and any other information required by statute, the individual, political committee or political fund through which the contribution was directed, and the fact that the contribution was earmarked when the total aggregate contributions from the same source in a calendar year reach the disclosure thresholds of Minn. Stat. 10A.20, subd. 3(b).

9 MCAR § 1.0036 Reporting unpaid bills outstanding as campaign expenditures.

A. For the purpose of determining the total amount of campaign expenditures in a calendar year, any unpaid bills, for campaign expenditures used or consumed during the calendar year, owed by the reporting principal campaign committee on December 31 shall be considered campaign expenditures.

B. An unpaid bill is reportable as a noncampaign disbursement when paid in a succeeding year by the political committee or political fund that incurred the bill.

9 MCAR § 1.0037 Responsibilities of treasurers.

A. A treasurer may transfer records and receipts to a new treasurer relieving that treasurer of record retention responsibility by written notification to the Board by either the new treasurer or the candidate. Such notice shall include name and address of the new treasurer and the effective date of the transfer.

B. A copy of a cancelled check with an invoice stating the purpose of the expenditure will be treated as a receipted bill.

9 MCAR § 1.0038 Sample ballot prepared by a candidate. A candidate who prepares and distributes a sample ballot which contains the names of other candidates must include the proper disclaimer required for independent expenditures and must report the total cost of the preparation, printing and distribution of the sample ballot unless the candidate is making an approved expenditure.

9 MCAR § 1.0039 Severability. If any rule or any part thereof is held invalid, such invalidity shall not effect any other provision of the rule or rules which can be given effect without the invalid provision. To this end, the provisions of these rules are declared to be severable.

9 MCAR § 1.0040 Signing tax credit subsidy agreement. A candidate may sign a tax credit subsidy agreement at any time after registration of his principal campaign committee for the office sought or held through December 31. An agreement signed on or after January 1, shall not be applicable to a preceding calendar year.

9 MCAR § 1.0041 Tax credit subsidy agreement and public financing agreement. A candidate must sign a separate agreement in order to participate in each public financing program.

9 MCAR § 1.0042 Tax credit subsidy receipts special elections. Candidates shall not issue Official Tax Credit Receipts for special elections.

9 MCAR § 1.0043 Termination of registration.

A. A Termination Report shall cover the period from the closing date of the last previous report filed through the date of termination.

B. Any terminated political committee or political fund which subsequently becomes subject to the registration and reporting requirements of the act is required to reregister.



Minnesota Public Disclosure Law

POLITICAL COMMITTEE AND FUND HANDBOOK

State Ethical Practices Board
41 State Office Building
St. Paul, Minnesota 55155
612-296-5148



ETHICAL PRACTICES BOARD

April, 1980

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I DEFINITIONS

Political Committee _____

Any political party or association of two or more persons which has as its major purpose support or opposition to the nomination or election of a candidate for Governor, Lieutenant Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator, State Representative, an elective Judgeship or to promote or defeat a ballot question.

Political Fund _____

Any accumulation of dues or voluntary donations by an association of two or more persons other than a political committee which is collected or expended for the purpose of influencing the nomination or election of a candidate for Governor, Lieutenant Governor, Attorney General, Secretary of State, State Auditor, State Treasurer, State Senator, State Representative, an elective Judgeship or to promote or defeat a ballot question.

II ORGANIZATION

Appoint Officers _____

Treasurers and Chairpersons.

Designate a Depository _____

Open an account in a bank, credit union, or other financial institution.

III REGISTRATION

Who Registers _____

Treasurers.

When To Register _____

No later than 14 days after a political committee or fund receives contributions or makes expenditures in excess of \$100, in aggregate, in a calendar year.

How To Register _____

By filing a Statement of Organization and Registration with the Ethical Practices Board.

Where To Register _____

Ethical Practices Board
41 State Office Building
St. Paul, MN 55155

How To Amend _____

By notifying the Ethical Practices Board within ten days of any change on either a Statement of Organization and Registration form or by letter signed and dated by either the treasurer or the candidate indicating the information to be changed.

How To Terminate Registration _____

By notifying the Ethical Practices Board on the Report of Receipts and Expenditures.

*... but only when
there are no debts outstanding and the cash balance is \$100 or less.*

Penalties _____

Any attempt to circumvent registration provisions by re-directing funds

... gross misdemeanor

Late Filing Fees _____

Failure to file within 7 days of receipt of late filing notice

... \$5 day up to \$100 maximum

IV REPORTING

Who Reports

- The treasurer of a registered political committee or political fund.
- An individual who makes independent expenditures in excess of \$100, in aggregate, in a calendar year.

A registered committee must file a report even if there has been no activity within the reporting period.

How To Report

By filing Reports of Receipts and Expenditures with the Ethical Practices Board.

When To Report

- In Election Years

January 31

10 days before the primary election

10 days before the general election

- In Non-Election Years

January 31

Note: If the Reporting Date falls on a Saturday, Sunday, or legal Holiday, the Reporting Date shall be the next regular business day.

Late Filing Fees

- **January 31 reports:**

Failure to file within 7 days of receipt of late filing notice
... \$5 a business day up to \$100 maximum.

- **Reports due 10 days before General and Primary elections:**

Failure to file within 3 days of the filing date
... \$50 a business day up to \$500 maximum beginning on the 4th day.

continued

IV REPORTING

What Information Must Be Reported

- The sum of all contributions except donations in kind valued at \$20 or less. *volunteer time?*
- The sum of all other income.
- The name and address of any individual or association from whom a political committee or fund receives in excess of \$50, in aggregate, in a calendar year, and the employer, or if self-employed, occupation of the individual if the committee or fund supports legislative candidates; or from whom a political committee or fund receives in excess of \$100, in aggregate, in a calendar year if the committee or fund supports only statewide candidates; or from whom a committee or fund receives in excess of \$100, in aggregate, in a calendar year if the committee or fund is organized only to promote or defeat a ballot question.
- The sum of all disbursements.
- The name, address and office sought either of any candidate or committee supporting a candidate or of any committee organized to promote or defeat a ballot question to whom in excess of \$100 in cash or goods and services has been contributed (transferred), in aggregate, in a calendar year.
- The name and address of the lender or borrower of each loan made or received, the date and amount of the loan.

Other Information

All monetary assets must be kept in a **designated depository**.

No more than **two depositories** may be used in any county within a district.

Funds may not be **commingled** with personal loans.

An **agreement** to make a **loan** must be in writing.

Any single **anonymous contribution** of \$20 or more must be forwarded in its entirety to the Ethical Practices Board.

Joint checks are credited to the individual signing the check unless otherwise specified.

IV REPORTING

Other Information _____

Deposits of contributions must be made promptly during the reporting period received except those received in the last three days of a reporting period which shall be deposited within 72 hours of receipt.

Contributions are reported in the period in which received.

Contributions may be returned up to 60 days after being deposited.

No contributions may be accepted nor expenditures made when there is a **vacancy** in the office of **treasurer**.

V RESPONSIBILITIES OF THE TREASURER

Establishes A Separate Depository _____

for all monetary assets of the political committee or political fund.

Registers The Political Committee or Fund _____

with the Ethical Practices Board within 14 days after the date upon which the committee receives or makes contributions in excess of \$100.

Authorizes All Expenditures _____

made by the political committee or political fund.

Obtains Receipts

for each expenditure made by, or on behalf of the committee or fund, of over \$100 or of lesser amount if the aggregate amount is in excess of \$100 in the calendar year. The cancelled check and invoice shall suffice.

continued

V RESPONSIBILITIES OF THE TREASURER

Keeps Receipts and Accounts For Four Years _____

following a transaction unless, by written notification to the Board, transfers bills and accounts, and the responsibility to retain them, to a new treasurer.

Must Deposit All Contributions _____

promptly after receipt.

May Not Accept Contributions _____

of more than \$100 from an association, political committee or fund that is domiciled in Minnesota unless it is registered with the Ethical Practices Board.

of more than \$100 from non-Minnesota domiciled or domiciled federally registered political committees or funds unless they either register with the Ethical Practices Board or provide the recipient political committee or fund with a report of receipts and expenditures containing all information required by *Minn. Stat. 10A.20* for the reporting period in which the contribution was made.

May Appoint

deputy treasurers for whom the treasurer is responsible.

Keeps Accounts _____

- Sum of all contributions of money or goods and services except donations "in Kind" valued at \$20 or less;
- Name and address of any individual, committee or fund making a contribution in excess of \$20 and the date and the amount;
- The sum of all expenditures, by or on behalf of the committee or fund, except donations "in Kind" valued at \$20 or less;
- The name and address of any candidate, political committee or political fund to whom in excess of \$100 in cash or goods and services has been contributed in aggregate in the calendar year; the amount and date.

VI LIMITATIONS/PENALTIES

Contributions

An anonymous contribution in excess of \$20 must be forwarded in its entirety to the Ethical Practices Board.

Penalty For Violation *misdemeanor*

Every person who receives a contribution in excess of \$20 shall on demand of the treasurer, inform the treasurer of the amount, name, address, and the date received.

Penalty For Violation *misdemeanor*

No person, political committee or political fund shall make independent expenditures nor independently solicit contributions without the written authorization of the candidate or his agent, unless publicly disclosing such lack of authorization (orally, in oral communication, in conspicuous type on the front page of all written communication; in broadcast communications, at the end of the advertisement).

Penalty For Violation *misdemeanor*

All monetary contributions received by or on behalf of the political committee or political fund must be deposited promptly and reported during the reporting period in which received. Contributions received in the last three days of a reporting period must be deposited within three days.

Penalty For Violation *misdemeanor*

Any individual, political committee or political fund that knowingly accepts an earmarked contribution must disclose to the ultimate recipient and, if required, in reports to the Ethical Practices Board the date, amount, source, ultimate recipient and the fact that the contribution was earmarked.

Penalty For Violation *gross misdemeanor*

continued

VI LIMITATIONS/PENALTIES

Expenditures

Every person who has a bill, charge, or claim against any political committee or political fund must render a bill within 60 days after the goods or services are provided.

Penalty For Violation *misdemeanor*

Written authorization is required for political committees or political funds to make expenditures of more than \$20 on behalf of a candidate. Such an authorization includes the amount, date, name of individual or association making the expenditure, purpose of the expenditure, name of the candidate, office sought, signature of the treasurer or candidate and a statement that the expenditure will not exceed the expenditure limit of the candidate.

Penalty For Violation *misdemeanor*

Economic Reprisals

No person may engage in economic reprisals or threaten loss of employment or physical coercion due to a political contribution or political activity of that person or association.

Penalty For Violation *gross misdemeanor*

Falsely Filing/Circumventing

No person may sign a report or statement that a person knows to be false, or knowingly omit required information.

Penalty For Violation *gross misdemeanor*

No person shall attempt to circumvent the reporting and registration requirements by redirecting funds.

Penalty For Violation *gross misdemeanor*

Record Keeping

An individual responsible for filing reports and statements must retain records and accounts for 4 years.

Penalty For Violation *misdemeanor*

VII CONTRIBUTION LIMITS

Amounts

		Election Year	Non-Election Year
To:	GOVERNOR LT. GOVERNOR (jointly)		
From:	An Individual, political committee or political fund	\$ 60,000	\$12,000
	A political party, in aggregate	\$300,000	\$60,000
To:	ATTORNEY GENERAL		
From:	An individual, political committee or political fund	\$ 10,000	\$ 2,000
	A political party, in aggregate	\$ 50,000	\$10,000
To:	SECRETARY OF STATE, STATE AUDITOR, STATE TREASURER (separately)		
From:	An individual, political committee or political fund	\$ 5,000	\$ 1,000
	A political party, in aggregate	\$ 25,000	\$ 5,000
To:	STATE SENATOR		
From:	An individual, political committee or political fund	\$ 1,500	\$ 300
	A political party, in aggregate	\$ 7,500	\$ 1,500
To:	STATE REPRESENTATIVE		
From:	An individual, political committee or political fund	\$ 750	\$ 150
	A political party, in aggregate	\$ 3,750	\$ 750
To:	ELECTIVE JUDGESHIPS		
From:	An individual, political committee or political fund	No Limit	No Limit
	A political party, in aggregate	No Limit	No Limit
To:	POLITICAL COMMITTEES AND FUNDS		
From:	An individual, political committee or political fund	No Limit	No Limit
	A political party, in aggregate	No Limit	No Limit

Penalties

For accepting contributions in excess of the limit ... the **candidate** is liable for 4 times the amount of excess.

NOTE: Contributions may be returned up to 60 days after deposit.

Definition

A **POLITICAL PARTY** means the aggregate of the party organization within each house of the legislature, the state party organization and the party or organization within congressional districts, counties, legislative districts, municipalities and precincts.

VIII EXEMPTIONS

The Board has the power to exempt any individual or association from reporting provisions who, by written request, demonstrates clear and convincing evidence that disclosure would expose that individual or member of the association to economic reprisals, loss of employment or threat of coercion.

The Board shall grant or deny any exemption, without hearing, within 30 days after receipt of an application.

... Further information available upon request.

IX INCOME TAX PROVISIONS

Tax Credits

Fed - Tax deduction

Individuals who contribute to a major political party committee may claim a tax credit of up to \$50 per person for one-half of the total contribution against the individual's state income tax for the taxable year in which the contribution was made.

Senate & House - agree to permit expenditure

Deductions

Not on state if doesn't sign

Individuals who contribute to a legislative caucus, a minor political party or a political committee or political fund may take a deduction of up to \$100 from the individual's gross income for state income tax purposes for the taxable year in which the contribution was made.

For further information contact

The Income Tax Division
Minnesota Department of Revenue
St. Paul, MN 55155

X LOANS

Agreement To Make Loans _____

An agreement to make a loan must be in writing, signed by the borrower (and endorsers, if any) and reported on the appropriate schedule.

Expenses Incurred To Repay Loans _____

Expenses of raising money to repay outstanding loans are reportable in the year in which the expenses are incurred.

Forgiveness Or Payment Of A Loan _____

A loan to a political committee or fund which is forgiven or paid by the endorser, another individual or association, becomes a contribution in the year in which the loan was made.

Reporting Of A Loan _____

Loans in excess of \$100 must be continuously reported until repaid or forgiven.

Limitations _____

A political committee or fund may not terminate if a loan in excess of \$100 is outstanding.

XI INDEPENDENT EXPENDITURES

Provisions _____

A political committee or political fund may make an independent expenditure or solicit contributions on behalf of a candidate without the approval of the candidate, his treasurer, or his agent and without authorization of the treasurer or the candidate provided that the communication contains a statement that the activity is not approved by or on behalf of the candidate.

Reporting Information Required _____

The date made, the sum total, the name and office sought of the candidate for whom the expenditure is made.

Certifying _____

The treasurer shall sign a sworn statement that any independent expenditures were made without approval of the candidate, treasurer or his agent.

XII LATE FILING FEES

Against Whom _____

Treasurers

Why _____

Failure to file Reports of Receipts and Expenditures or Statements of Organization and Registration.

When _____

- Registration:

First business day following the seventh day after receipt of a late filing notice.

- January 31 reports:

First business day following the seventh day after receipt of a late filing notice.

- Reports due 10 days before a general or primary election:

First business day following the third day after the filing date.

How Much _____

- Registration:

\$5 a business day up to a maximum of \$100.

- January 31 reports:

\$5 a business day up to a maximum of \$100.

- Reports due 10 days before a general or primary election:

\$50 a business day up to a maximum of \$500.

XIII ADVISORY OPINIONS

Subject Of _____

Provisions of the Ethics In Government Act, *Minn. Stat.* 10A.

Who May Request An Opinion _____

An individual or association that seeks to guide his or its own conduct.

Procedure _____

The Ethical Practices Board must issue an advisory opinion within 30 days of receipt unless a majority agrees to extend the time.

A request for an advisory opinion is available to any individual.

Individuals are encouraged to express opinions to staff and members of the Board about any pending advisory opinion and may appear at Board meetings.

Publication _____

A request for an advisory opinion is published in the State Register before action is taken by the Board to approve an opinion.

A summary of each approved advisory opinion is published in the State Register and issued to the news room of the Capitol.

All approved opinions in a fiscal year are published in book form and are available free of charge through the Ethical Practices Board.

Limitation _____

An advisory opinion lapses the day the regular session adjourns in the second year following the date of the opinion.

XIV COMPLAINTS

Subject Of _____

Violations of the Ethics In Government Act, *Minn. Stat.* 10A.

Who May Bring A Complaint _____

Any individual or association.

Where _____

To the Ethical Practices Board
41 State Office Building
St. Paul, MN 55155
612-296-1720

Procedure _____

- The Ethical Practices Board notifies and provides a copy of the complaint to the individual or association about whom the complaint has been filed.
- No individual who files or is the subject of any written complaint shall disclose to any other individual any information concerning the complaint or investigation. *For exceptions see Minn. Stat. 10A.02 subd 11.*
- Investigations and audits of complaints are conducted in confidence.
- Meetings concerning any complaint, investigation or audit are confidential and not open to the public until a finding has been made by the Board.
- Upon issuing a finding of cause or no cause to believe the law has been violated, the matter becomes public along with any materials as determined by the Board.
- The Board publicly directs a finding of cause to the appropriate law enforcement officer for action.

XV PUBLIC INFORMATION

Public Viewing & Copying

All statements and reports filed are available for public viewing and photocopying at cost within 48 hours of receipt in the office of the Ethical Practices Board.

Brochures, Law, Manuals, Rules

Brochures, manuals, rules and copies of the Ethics In Government Act are available free of charge to individuals or associations by calling or writing:

State Ethical Practices Board
41 State Office Building
St. Paul, Minnesota 55155
612-296-5148

Record Retention

Filed statements and reports are retained by the Ethical Practices Board for 5 years from the date of receipt.

Summaries

The Ethical Practices Board publishes each year a summary of the contributions, expenditures and major donors of every political committee or fund that has filed with the Board.

Copies of summaries are available on a first come, first serve basis at
State Ethical Practices Board
41 State Office Building
St. Paul, Minnesota 55155
612-296-5148

XVI ADDITIONAL DEFINITIONS

Cash Balance includes all money on deposit in banks and other depositories including checks, negotiable instruments, and other paper commonly accepted by a bank as a deposit.

A **Cash Contribution** is money, not goods or services.

Donations In Kind are goods and services (not money) received and valued at more than \$20.

An **Earmarked Contribution** is a contribution which a political committee or political fund receives with the condition, express or implied, that those goods and services, or any part of them, be directed to a particular candidate.

Fundraising Event means any gathering of three or more individuals when contributions are collected to influence the nomination or election of a candidate to office.

Independent Expenditure means an expenditure expressly advocating the election or defeat of a clearly identified candidate, which expenditure is made without the express or implied consent, authorization, or cooperation of any candidate, his principal campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

Miscellaneous Income means income such as interest, refunds, rebates, payment for services received.

A **Note or Loan Payable** means money which has been borrowed by the political committee or fund.

A **Note or Loan Receivable** means money lent by the political committee or fund.

A **Political Party** means the aggregate of the party organization within each house of the legislature, the state party organization and the organization within congressional districts, counties, legislative districts, municipalities, and precincts.

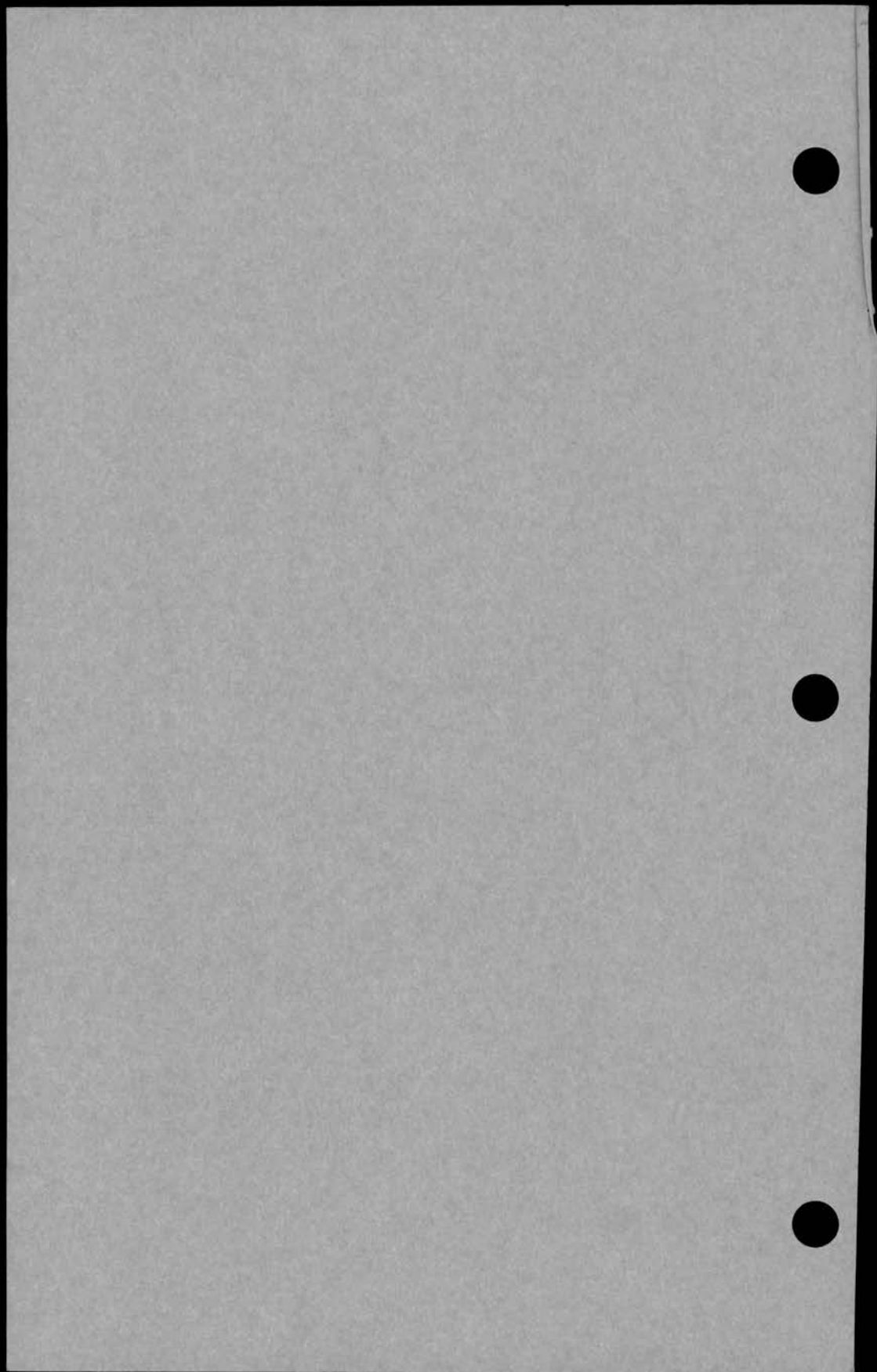
Ballot Question means a question or proposition which is placed on the ballot and which may be voted on by all voters in the state.



Minnesota Ethics In Government

Chapter 10A

As Amended 1979



ELECTION LAWS
OF THE STATE OF MINNESOTA

10A.01

CHAPTER 10A
ETHICS IN GOVERNMENT*

Sec.

- 10A.01 Definitions.
- 10A.02 State Ethical Practices Board.
- 10A.03 Lobbyist registration.
- 10A.04 Lobbying reports.
- 10A.05 Lobbyist report.
- 10A.06 Contingent fees prohibited.
- 10A.07 Conflicts of interest.
- 10A.08 Representation disclosure.
- 10A.09 Statement of economic interest.
- 10A.10 Penalty for false statements.
- 10A.11 Organization of political committees.
- 10A.12 Political funds.
- 10A.13 Accounts which must be kept.
- 10A.14 Registration of political committees and political funds.
- 10A.15 Contributions.
- 10A.16 Earmarking.
- 10A.17 Expenditures.
- 10A.18 Bills when rendered and paid.
- 10A.19 Principal campaign committee.
- 10A.20 Campaign reports.
- 10A.21 Reports to county auditor.
- 10A.22 Requirements respecting reports and statements.
- 10A.23 Changes and corrections.
- 10A.24 Dissolution or termination.
- 10A.25 Limits on campaign expenditures.
- 10A.265 Freedom to associate and communicate.
- 10A.27 Additional limitations.
- 10A.275 Multi-candidate political party expenditures.
- 10A.28 Penalty for exceeding limits.
- 10A.29 Circumvention prohibited.
- 10A.30 State elections campaign fund.
- 10A.31 Designation of income tax payments.
- 10A.32 Limitations upon the state election campaign fund.
- 10A.33 Application.
- 10A.335 Legislative monitoring.
- 10A.34 Remedies.

10A.01 DEFINITIONS, Subdivision 1. For the purposes of sections 10A.01 to 10A.34, the terms defined in this section have the meanings given them unless the context clearly indicates otherwise.

Subd. 2. "Administrative action" means an action by any official, board, commission or agency of the executive branch to adopt, amend, or repeal a rule pursuant to Chapter 15. "Administrative action" does not include the application or administration of an adopted rule, except in cases of rate setting, power plant and powerline siting and granting of certificates of need under Chapter 116H.

*This act applies to campaigns for legislator, governor and lieutenant governor, secretary of state, state auditor, state treasurer, attorney general, supreme court, district court, county court, probate court and municipal court judgeship. For information regarding Chapter 10A, contact Minnesota State Ethical Practices Board, St. Paul, Minnesota.

Subd. 3. "Association" means business, corporation, firm, partnership, committee, labor organization, club, or any other group of two or more persons, which includes more than an immediate family, acting in concert.

Subd. 4. "Board" means the State Ethical Practices Board.

Subd. 5. "Business with which he is associated" means any association in connection with which the individual is compensated in excess of \$50 except for actual and reasonable expenses in any month as a director, officer, owner, member, partner, employer or employee, or is a holder of securities worth \$2,500 or more at fair market value.

Subd. 6. "Candidate" means an individual who seeks nomination or election to any statewide or legislative office for which reporting is not required under federal laws. The term candidate shall also include an individual who seeks nomination or election to supreme court, district court, county court, probate court, or county municipal court judgeships of the state. An individual shall be deemed to seek nomination or election if he has taken the action necessary under the law of the State of Minnesota to qualify himself for nomination or election, has received contributions or made expenditures in excess of \$100, or has given his implicit or explicit consent for any other person to receive contributions or make expenditures in excess of \$100, for the purpose of bringing about this nomination or election. A candidate remains a candidate until his principal campaign committee is dissolved as provided in section 10A.24.

Subd. 7. "Contributions" means a transfer of funds or a donation in kind.

Contribution includes any loan or advance of credit to a political committee, political fund, or principal campaign committee, which loan or advance of credit is (a) forgiven, or (b) paid by an entity other than the political committee, political fund, or principal campaign committee to which the loan or advance of credit is made. If an advance of credit or a loan is forgiven or paid as provided in this subdivision, it is a contribution in the year in which the loan or advance of credit is made.

A contribution made for the purpose of defeating a candidate is considered made for the purpose of influencing the nomination or election of that candidate or any opponent of that candidate.

"Contribution" does not include services provided without compensation by an individual volunteering his time on behalf of a candidate, political committee or political fund, or the publishing or broadcasting of news items or editorial comments by the news media.

Subd. 7a. "Transfer of funds" or "transfer" means money or negotiable instruments given by an individual or association to a political

MINNESOTA ETHICS IN GOVERNMENT

Listed Below are Additions to and Changes in Chapter 10A
Made During the 1980 Legislative Session

- Pg. 2 - 10A.01, Subd. 7 (paragraph 4) "Contribution does not include services provided without compensation by an individual volunteering his time on behalf of a candidate, ballot question, political committee or political fund, or the publishing or broadcasting of news items or editorial comments by the news media.
- Subd. 7a. "Transfer of funds" or "transfer" means money or negotiable instruments given by an individual or association to a political committee, political fund, or principal campaign committee for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.
- Pg. 3 - Subd. 7b. "Donation in kind" means anything of value other than money or negotiable instruments given by an individual or association to a political committee, political fund, or principal campaign committee for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question. Donation in kind includes an approved expenditure.
- Subd. 10. "Campaign expenditure" or "expenditure" means a purchase or payment of money or anything of value, or an advance of credit, made or incurred for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.
- Subd. 10 (c). Services provided without compensation by an individual volunteering his time on behalf of a candidate, ballot question, political committee, or political fund;
- Pg. 4 - Subd. 10c. "Noncampaign disbursement" means a purchase or payment of money or anything of value made, or an advance of credit incurred, by a political committee, political fund, or principal campaign committee for any purpose other than to influence the nomination or election of a candidate or to promote or defeat a ballot question.
- Subd. 10c (f). Services for a constituent by a member of the legislature or a constitutional officer in the executive branch, performed from the beginning of the term of office to 60 days after adjournment sine die of the legislature in the election year for the office held; and
- Pg. 5 - Subd. 15. "Political committee" means any association as defined in subdivision 3 whose major purpose is to influence the nomination or election of a candidate or to promote or defeat a ballot question.
- Subd. 16. "Political fund" means any accumulation of dues or voluntary contributions by an association other than a political committee, which accumulation is collected or expended for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.

Pg. 7 - 10A.01, Subd. 23. "Ballot question" means a question or proposition which is placed on the ballot and which may be voted on by all voters of the State. "Promoting or defeating a ballot question" includes activities related to qualifying the question for placement on the ballot.

Pg. 16 - 10A.12 POLITICAL FUNDS. Subdivision 1. No association other than a political committee shall transfer more than \$100 in aggregate in any one year to candidates or political committees or make any approved or independent expenditures or expenditure to promote or defeat a ballot question unless the transfer or expenditure is made from a political fund.

Pg. 20 - 10A.20, Subd. 3 (b). The name, address and employer, or occupation if self-employed, of each individual, political committee or political fund who within the year has made one or more transfers or donations in kind to the political committee or political fund, including the purchase of tickets for all fund raising efforts, which in aggregate exceed \$50 for legislative candidates or \$100 for statewide candidates or ballot questions, together with the amount and date of each transfer or donation in kind, and the aggregate amount of transfers and donations in kind within the year from each source so disclosed. A donation in kind shall be disclosed at its fair market value. An approved expenditure is listed as a donation in kind. A donation in kind is considered consumed in the reporting period in which it is received. The names of contributors shall be listed in alphabetical order;

Pg. 21 - Subd. 3 (g). The name and address of each individual or association to whom aggregate expenditures, including approved expenditures, have been made by or on behalf of the political committee or political fund within the year in excess of \$100, together with the amount, date and purpose of each expenditure and the name and address of, and office sought by, each candidate on whose behalf the expenditure was made, identification of the ballot question which the expenditure is intended to promote or defeat, and in the case of independent expenditures made in opposition to a candidate, the name, address and office sought for each such candidate;

Subd. 3 (1). For principal campaign committees only, the sum of noncampaign disbursements made in each category listed in section 10A.01 subdivision 10C during the reporting period; and

Subd. 6. Every candidate who does not designate and cause to be formed a principal campaign committee, and any individual who makes independent expenditures or expenditures expressly advocating the approval or defeat of a ballot question in aggregate in excess of \$100 in any year, shall file with the Board a report containing the information required by subdivision 3. Reports required by this subdivision shall be filed on the dates on which reports by committees and funds are filed.

Pg. 34 - 10A.32, Subd. 3 (b). he shall not accept contributions or allow approved expenditures to be made on his behalf for the period beginning with January 1 of the election year or with the registration of his principal campaign committee, whichever occurs later, and ending December 31 of the election year, which aggregate contributions and approved expenditures exceed the difference between the amount which may legally be expended by him or on his behalf, and the amount which he receives from the state elections campaign fund. The agreement, insofar as it relates to the expenditure limits set forth in section 10A.25, remains effective until the dissolution of the principal campaign committee of the candidate or the opening of filings for the next succeeding election to the office held or sought at the time of agreement, whichever occurs first. Money in the account of the principal campaign committee of a candidate on January 1 of the election year for the office held or sought shall be considered contributions accepted by that candidate in that year for the purposes of this subdivision. That amount of all contributions accepted by a candidate in an election year which equals the amount of non-campaign disbursements and contributions and expenditures to promote or defeat a ballot question which are made by that candidate in that year, shall not count toward the aggregate contributions and approved expenditure limit imposed by this subdivision. Any amount by which his aggregate contributions and approved expenditures agreed to under clause (b) exceed the difference shall be returned to the state treasurer in the manner provided in subdivision 2. In no case shall the amount returned exceed the amount received from the state elections campaign fund.

Pg. 35 - 10A.32, Subd. 3b.* - *See section 290.06, subdivision 11 for information on a change in the tax credit. (1979c303A1s9)

committee, political fund, or principal campaign committee for the purpose of influencing the nomination or election of a candidate.

Subd. 7b. "Donation in kind" means anything of value other than money or negotiable instruments given by an individual or association to a political committee, political fund, or principal campaign committee for the purpose of influencing the nomination or election of a candidate. Donation in kind includes an approved expenditure.

Subd. 8. "Depository" means any bank, savings and loan association or credit union, organized under federal or state law and transacting business within Minnesota.

Subd. 9. "Election" means a primary, special primary, general or special election.

Subd. 10. "Campaign expenditure" or "expenditure" means a purchase or payment of money or anything of value, or an advance of credit, made or incurred for the purpose of influencing the nomination or election of a candidate.

An expenditure is considered to be made in the year in which the goods or services for which it was made are used or consumed.

An expenditure made for the purpose of defeating a candidate is considered made for the purpose of influencing the nomination or election of that candidate or any opponent of that candidate.

Except as provided in clause (a), expenditure includes the dollar value of donation in kind.

"Expenditure" does not include:

(a) Noncampaign disbursements as defined in subd. 10c of this section;

(b) Transfers as defined in subd. 7a of this section;

(c) Services provided without compensation by an individual volunteering his time on behalf of a candidate, political committee, or political fund;

(d) The publishing or broadcasting of news items or editorial comments by the news media.

Subd. 10a. "Approved expenditure" means an expenditure made on behalf of a candidate by an entity other than the principal campaign committee of that candidate, which expenditure is made with the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of that candidate, his principal campaign committee or his agent. An approved expenditure is a contribution to that candidate.

Subd. 10b. "Independent expenditure" means an expenditure expressly advocating the election or defeat of a clearly identified candidate, which expenditure is made without the express or implied consent, authorization, or cooperation of any candidate, his principal

campaign committee or his agent and is not made in concert with or at the request or suggestion of any candidate, his principal campaign committee or his agent. An independent expenditure is not a contribution.

Subd. 10c. "Noncampaign disbursement" means a purchase or payment of money or anything of value made, or an advance of credit incurred, by a political committee, political fund, or principal campaign committee for any purpose other than to influence the nomination or election of a candidate.

Noncampaign disbursement includes:

- (a) Payment for accounting and legal services;
- (b) Return of a contribution to the source;
- (c) Repayment of a loan made to the political committee, political fund, or principal campaign committee by that committee or fund;
- (d) Return of moneys from the state elections campaign fund;
- (e) Payment for food and beverages consumed at a fundraising event;

(f) Services for a constituent by a member of the legislature or a constitutional officer in the executive branch, performed from the beginning of the term of office to adjournment sine die of the legislature in the election year for the office held; and

(g) A donation in kind given to the political committee, political fund, or principal campaign committee for purposes listed in clauses (e) and (f). The board shall determine whether an activity involves a non-campaign disbursement within the meaning of this subdivision.

Subd. 11. "Lobbyist" means any individual:

(a) Engaged for pay or other consideration, or authorized by another individual or association to spend money, who spends more than five hours in any month or more than \$250, not including his own travel expenses and membership dues, in any year, for the purpose of attempting to influence legislative or administrative action by communicating or urging others to communicate with public officials; or

(b) Who spends more than \$250, not including his own traveling expenses and membership dues, in any year for the purpose of attempting to influence legislative or administrative action by communicating or urging others to communicate with public officials.

"Lobbyist" does not include any:

(a) Public official or employee of the state or any of its political subdivisions or public bodies acting in his official capacity;

(b) Party or his representative appearing in a proceeding before a state board, commission or agency of the executive branch unless the board, commission or agency is taking administrative action;

(c) Individual while engaged in selling goods or services to be paid for by public funds;

(d) News media or their employees or agents while engaged in the publishing or broadcasting of news items, editorial comments or paid advertisements which directly or indirectly urge official action;

(e) Paid expert witness whose testimony is requested by the body before which he is appearing, but only to the extent of preparing or delivering testimony; or

(f) Stockholder of a family farm corporation as defined in section 500.24, subdivision 1, who does not spend over \$250, excluding his own travel expenses, in any year in communicating with public officials; or

(g) Party or his representative appearing to present a claim to the legislature and communicating to legislators only by the filing of a claim form and supporting documents and by appearing at public hearings on the claim.

Subd. 12. "Major political party" means a political party as defined in section 200.02, subdivision 7.

Subd. 13. "Minor political party" means any party other than a major political party:

(a) Under whose name in the last applicable general election a candidate filed for legislative office and received not less than 10 percent of the vote for that office, or filed for statewide office; or

(b) Which files a petition with the secretary of state containing the names of 2,000 individuals registered to vote in Minnesota and declaring that the signers desire that the party be eligible to receive money from the state elections campaign fund in the same manner as a major political party.

For the purposes of chapter 10A, all individuals who are eligible to vote in areas where there is no permanent system of registration shall be considered registered voters.

Subd. 14. (Repealed, 1976 c 307 s 35)

Subd. 15. "Political committee" means any association as defined in subdivision 3 whose major purpose is to influence the nomination or election of a candidate.

"Political committee" includes a major political party as defined in subdivision 12, a minor political party as defined in subdivision 13, and any principal campaign committee formed pursuant to section 10A.19.

Subd. 16. "Political fund" means any accumulation of dues or voluntary contributions by an association other than a political committee, which accumulation is collected or expended for the purpose of influencing the nomination or election of a candidate.

Subd. 17. "Political party" means either a major political party or a minor political party.

Subd. 18. "Public official" means any:

(a) Member of the legislature;

(b) Constitutional officer in the executive branch and his chief administrative deputy;

(c) Member, chief administrative officer or deputy chief administrative officer of a state board or commission which has at least one of the following powers: (i) the power to adopt, amend or repeal rules, or (ii) the power to adjudicate contested cases or appeals;

(d) Commissioner, deputy commissioner or assistant commissioner of any state department as designated pursuant to section 15.01;

(e) Individual employed in the executive branch who is authorized to adopt, amend or repeal rules or adjudicate contested cases;

(f) Executive secretary of the state board of investment;

(g) Executive director of the Indian affairs intertribal board;

(h) Commissioner of the iron range resources and rehabilitation board;

(i) Director of mediation services;

(j) Deputy of any official listed in clauses (e) to (i);

(k) Judge of workers' compensation;

(l) Hearing examiner in the state office of hearing examiners or department of economic security;

(m) Solicitor general or deputy, assistant or special assistant attorney general;

(n) Individual employed by the legislature as secretary of the senate, legislative auditor, chief clerk of the house, revisor of statutes, or researcher or attorney in the office of senate research, senate counsel, or house research; or

(o) Member or chief administrative officer of the metropolitan council, metropolitan transit commission, metropolitan waste control commission, metropolitan parks and open spaces commission, metropolitan airports commission or metropolitan sports facilities commission.

Subd. 19. "Office holder" means an individual who holds any statewide or legislative office, except a federal office for which candidates are required to report under federal laws, state supreme court justice or district court judge.

Subd. 20. "Advance of credit" means any money owed for goods provided or services rendered. An advance of credit is an expenditure or a noncampaign disbursement in the year in which the goods or services are used or consumed. Advance of credit does not mean loan as defined in section 17 of this act.

Subd. 21. "Loan" means an advance of money or anything of value made to a political committee, political fund, or principal campaign committee.

Subd. 22. "Financial institution" means a lending institution chartered by an agency of the federal government or regulated by the commissioner of banks.

(1974 c 470 s 1; 1976 c 307 s 1.43, 35; 1978 c 463 s 1-18)

10A.02 BOARD OF ETHICAL PRACTICES. Subdivision 1
There is hereby created a state ethical practices board composed of six members. The members shall be appointed by the governor with the advice and consent of three-fifths of both the senate and the house of representatives acting separately. If either house fails to confirm the appointment of a board member within 45 legislative days after his appointment, or by adjournment sine die, whichever occurs first, the appointment shall terminate on the day following the 45th legislative day or on adjournment sine die, whichever occurs first. If either house votes not to confirm an appointment, the appointment terminates on the day following the vote not to confirm. One member shall be a former member of the legislature from a major political party different from that of the governor; one member shall be a former member of the legislature from the same political party as the governor; two members shall be persons who have not been public officials, held any political party office other than precinct delegate, or been elected to public office for which party designation is required by statute in the three years preceding the date of their appointment; and the other two members shall not support the same political party. No more than three of the members of the Board shall support the same political party.

Subd. 2. Any appointment to fill a vacancy shall be made only for the unexpired term of a member who is being replaced and the appointee shall meet the same stated qualifications as the member being replaced. The membership terms, compensation, and removal of members on the board shall be as provided in section 15.0575, except that the extension of terms and the filling of vacancies shall be subject to the advice and consent of the legislature in the same manner as provided in subdivision 1.

Subd. 3. The concurring vote of four members of the Board shall be required to decide any matter before the Board.

Subd. 4. The Board shall elect from among its members a chairman, a vice-chairman and a secretary. The secretary shall keep a record of all proceedings and actions by the Board. Meetings of the Board shall be at the call of the chairman or at the call of any four members of the Board acting together.

Subd. 5. The Board shall appoint an executive director who shall be in the unclassified service. The Board may also employ and prescribe

the duties of other permanent or temporary employees in the unclassified service as may be necessary to administer section 10A.01 to 10A.34, subject to appropriation. The executive director and all other employees shall serve at the pleasure of the Board. Expenses of the Board shall be approved by the chairman or such other member as the rules of the Board may provide and the expenses shall then be paid in the same manner as other state expenses are paid.

Subd. 6. (Repealed 1976, c 134 s 79)

Subd. 7. All members and employees of the Board shall be subject to any provisions of law regulating political activity by state employees. In addition, no member or employee of the Board shall be a candidate for, or holder of, (a) a national, state, congressional district, legislative district, county or precinct office in a political party, or (b) an elected public office for which party designation is required by statute.

Subd. 8. The Board shall:

(a) Report at the close of each fiscal year to the legislature, the governor and the public concerning the action it has taken, the names, salaries, and duties of all individuals in its employ and the money it has disbursed. The Board shall include and identify in its report any other reports it has made during the fiscal year. It may indicate apparent abuses and offer legislative recommendations;

(b) Prescribe forms for statements and reports required to be filed under sections 10A.01 to 10A.34 and make the forms available to individuals required to file them;

(c) Make available to the individuals required to file the reports and statements a manual setting forth the recommended uniform methods of bookkeeping and reporting;

(d) Develop a filing, coding and cross-indexing system consistent with the purposes of sections 10A.01 to 10A.34;

(e) Make the reports and statements filed with it available for public inspection and copying by the end of the second day following the day on which they were received. Any individual may copy a report or statement by hand or by duplicating machine and the Board shall provide duplicating services at cost for this purpose. No information copied from reports and statements shall be sold or utilized by any individual or association for any commercial purpose;

(f) Notwithstanding the provisions of section 138.163, preserve reports and statements for a period of five years from the date of receipt;

(g) Compile and maintain a current list and summary of all statements or parts of statements pertaining to each candidate; and

(h) Prepare and publish reports as it may deem appropriate.

Subd. 9. The executive director of the Board or his staff shall inspect all material filed with the Board as promptly as is necessary to comply with the provisions of sections 10A.01 to 10A.34. The executive director shall immediately notify the individual required to file a document with the Board if a written complaint is filed with the Board by any registered voter alleging, or it otherwise appears, that a document filed with the Board is inaccurate or does not comply with the provisions of section 10A.01 to 10A.34 or that the individual has failed to file a document required by sections 10A.01 to 10A.34.

Subd. 10. The Board may make audits and investigations with respect to statements and reports which are filed or which should have been filed under the provisions of sections 10A.01 to 10A.34. In all matters relating to its official duties, the Board shall have the power to issue subpoenas and cause them to be served. If a person does not comply with a subpoena, the Board may apply to the district court of Ramsey county for issuance of an order compelling obedience to the subpoena. A person failing to obey the order is punishable by the court as for contempt.

Subd. 11. The Board may investigate any alleged violation of chapter 10A. The Board shall investigate any violation which is alleged in a written complaint filed with the Board and, except for alleged violations of section 10A.25 or 10A.27, shall within 30 days after the filing of the complaint make a public finding of whether or not there is probable cause to believe a violation has occurred. In the case of a written complaint alleging a violation of section 10A.25 or 10A.27, the Board shall either enter a conciliation agreement or make a public finding of whether or not there is probable cause, within 60 days of the filing of the complaint. The deadline for action on any written complaint may be extended by majority vote of the Board. Within a reasonable time after beginning an investigation of an individual or association, the Board shall notify that individual or association of the fact of the investigation. The Board shall make no finding of whether or not there is probable cause to believe a violation has occurred without notifying the individual or association of the nature of the allegations and affording an opportunity to answer those allegations. Any hearing or action of the Board concerning any complaint or investigation other than a finding concerning probable cause or a conciliation agreement shall be confidential. Until the Board makes a public finding concerning probable cause or enters a conciliation agreement:

(a) No member, employee or agent of the Board shall disclose to any individual any information obtained by that member, employee or agent concerning any complaint or investigation except as required to carry out the investigation or take action in the matter as authorized by chapter 10A;

(b) No individual who files or is the subject of any written complaint or supplies information to the Board concerning a complaint or investigation shall disclose to any other individual any information supplied to or received from the Board concerning the complaint or investigation; and

(c) Notwithstanding the provisions of clause (b), any individual subject to the provisions of that clause may reveal any information to his attorney or another individual from whom he seeks advice or guidance in the matter, or to any other individual who is subject to the provisions of clause (b) with respect to the same complaint or investigation; provided that any individual to whom information concerning a complaint or investigation is revealed as provided in this clause shall not disclose that information to any other individual. Any individual who discloses information contrary to the provisions of this subdivision shall be guilty of a misdemeanor. Except as provided in section 10A.28, after the Board makes a public finding of probable cause the Board shall report that finding to the appropriate law enforcement authorities.

Subd. 11a. If, after making a public finding concerning probable cause or entering a conciliation agreement, the Board determines that the record of the investigation contains statements, documents or other matter which if disclosed would unfairly injure the reputation of an innocent individual, the Board may:

(a) Retain any such statement, document or other matter as a private record, as "private" is defined in section 15.162, subdivision 5a, for a period of one year after which it shall be destroyed; or

(b) Return any such statement, document or other matter to the individual who supplied it to the Board.

Subd. 12. The Board may issue and publish advisory opinions on the requirements of sections 10A.01 to 10A.34 based upon real or hypothetical situations. An application for an advisory opinion may be made only by an individual or association who wishes to use the opinion to guide his or its own conduct. The Board shall issue written opinions on all such questions submitted to it within 30 days after receipt of written application, unless a majority of the Board agrees to extend the time limit. An advisory opinion shall lapse the day the regular session of the legislature adjourns in the second year following the date of the opinion.

Subd. 13. The provisions of chapter 15 apply to the Board. The Board may promulgate rules to carry out the purposes of sections 10A.01 to 10A.34.

(1974 c 470 s 2; 1976 c 307 s 5-8; 1978 c 463 s 19-27, c 793 s 36)

10A.03 LOBBYIST REGISTRATION. Subdivision 1. Each lobbyist shall file a registration form with the Board within five days after he becomes a lobbyist.

Subd. 2. The registration form shall be prescribed by the Board and shall include (a) the name and address of the lobbyist, (b) the principal place of business of the lobbyist, (c) the name and address of each person, if any, by whom the lobbyist is retained or employed or on whose behalf the lobbyist appears, and (d) a general description of the subject or subjects on which the lobbyist expects to lobby. If the lobbyist lobbies on behalf of an association the registration form shall include the name and address of the officers and directors of the association.

Subd. 3. The Board shall notify by certified mail or personal service any lobbyist who fails to file a registration form within five days after he becomes a lobbyist. If a lobbyist fails to file a form within seven days after receiving this notice, the Board may impose a late filing fee at \$5 per day, not to exceed \$100, commencing with the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any lobbyist who fails to file a form within 21 days of receiving a first notice that the lobbyist may be subject to a criminal penalty for failure to file the form. A lobbyist who knowingly fails to file a form within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 3; 1978 c 463 s 28-29)

10A.04 LOBBYIST REPORTS. Subdivision 1. Each lobbyist shall file reports of his activities with the Board as long as he lobbies. A lobbyist may file a termination statement at any time after he ceases lobbying.

Subd. 2. Each report shall cover the time from the last day of the period covered by the last report to 15 days prior to the current filing date. The reports shall be filed with the Board by the following dates:

- (a) January 15;
- (b) April 15;
- (c) July 15; and
- (d) October 15.

Subd. 3. Each person or association about whose activities a lobbyist is required to report shall provide the information required by sections 10A.03 to 10A.05 to the lobbyist no later than five days before the prescribed filing date.

Subd. 4. The report shall include such information as the Board may require from the registration form and the following information for the reporting period:

(a) The lobbyists' total disbursements on lobbying and a breakdown of those disbursements into categories specified by the Board, including but not limited to the cost of publication and distribution of each publication used in lobbying; other printing; media, including the

cost of production; postage; travel; fees, including allowances; entertainment; telephone and telegraph; and other expenses;

(b) The amount and nature of each honorarium, gift, loan, item or benefit, excluding contributions to a candidate, equal in value to \$20 or more, given or paid to any public official by the lobbyist or any employer or any employee of the lobbyist. The list shall include the name and address of each public official to whom the honorarium, gift, loan, item or benefit was given or paid and the date it was given or paid; and

(c) Each original source of funds in excess of \$500 in any year used for the purpose of lobbying. The list shall include the name, address and employer, or, if self employed, the occupation and principal place of business, of each payer of funds in excess of \$500.

Subd. 4a. If in a reporting period the lobbyists' reportable disbursements total not over \$100 and no honorarium, gift, loan, item or benefit equal in value to \$20 or more was given or paid to any public official, a statement to that effect in lieu of the report may be filed for that report. The unreported disbursements shall be included in the report for the following period, unless the total for that period, including the carryover, is not over \$100. The October 15 report shall include all previously unreported disbursements, even though the total for the year is not over \$100.

Subd. 5. The Board shall notify by certified mail or personal service any lobbyist who fails after seven days after a filing date imposed by this section to file a report or statement required by this section. If a lobbyist fails to file a report within seven days after receiving this notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing with the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any lobbyist who fails to file a report within 21 days after receiving a first notice that the lobbyist may be subject to a criminal penalty for failure to file the report. A lobbyist who knowingly fails to file such a report or statement within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 4; 1976 c 307 s 9, 10; 1978 c 463 s 30-32)

10A.05 LOBBYIST REPORT. Within 30 days after each lobbyist filing date set by section 10A.04, the executive director of the Board shall report to the governor, and the presiding officer of each house of the legislature, the names of the lobbyists registered who were not previously reported, the names of the persons or associations whom they represent as lobbyists and the subject or subjects on which they are lobbying.

(1974 c 470 s 5)

10A.06 CONTINGENT FEES PROHIBITED. No person shall employ a lobbyist for compensation which is dependent upon the result

or outcome of any legislative or administrative action. Any person who violates the provisions of this section is guilty of a gross misdemeanor.
(1974 c 470 s 6)

10A.07 CONFLICTS OF INTEREST. Subdivision 1. Any public official who in the discharge of his official duties would be required to take an action or make a decision which would substantially affect his financial interests or those of a business with which he is associated, unless the effect on him is no greater than on other members of his business classification, profession or occupation, shall take the following actions:

(a) He shall prepare a written statement describing the matter requiring action or decision and the nature of his potential conflict of interest;

(b) He shall deliver copies of the statement to the Board and to his immediate superior, if any;

(c) If he is a member of the legislature, he shall deliver a copy of the statement to the presiding officer of the house in which he serves; and

(d) If a potential conflict of interest presents itself and there is insufficient time to comply with the provisions of clauses (a) to (c), the public official shall verbally inform his superior of the official body in which he serves, or committee thereof, of the potential conflict. He shall file a written statement with the Board within one week after the potential conflict presents itself.

Subd. 2. If the public official is not a member of the legislature, his superior shall assign the matter, if possible, to another employee who does not have a potential conflict of interest. If he has no immediate superior, the public official shall remove himself, if possible, in a manner prescribed by the Board from influence over the action or decision in question. If the public official is a member of the legislature, the house in which he serves may, at his request, excuse him from taking part in the action or decision in question.

(1974 c 470 s 7; 1978 c 463 s 33)

10A.08 REPRESENTATION DISCLOSURE. Any public official who represents a client for a fee before any individual, board, commission or agency that has rule making authority in a hearing conducted under chapter 15, shall disclose his participation in the action to the Board within 14 days after his appearance. The Board shall notify by certified mail or personal service any public official who fails to disclose his participation within 14 days after his appearance. If the public official fails to disclose his participation within seven days of this notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing on the eighth day after receiving notice.

(1974 c 470 s 8; 1978 c 463 s 34)

10A.09 STATEMENTS OF ECONOMIC INTEREST Subdivision 1. Except for a candidate for elective office in the judicial branch, an individual shall file a statement of economic interest with the Board:

- (a) Within 60 days of accepting employment as a public official; or
- (b) Within 14 days after filing an affidavit of candidacy or petition to appear on the ballot for an elective public office; or
- (c) In the case of a public official requiring the advice and consent of the senate, prior to the submission of his name to the senate, and in any event, within 60 days after he undertakes the duties of his office.

Subd. 2. The secretary of state or the appropriate county auditor upon receiving an affidavit of candidacy or petition to appear on the ballot from an individual required by this section to file a statement of economic interest, and any official who nominates or employs a public official required by this section to file a statement of economic interest, shall notify the Board of the name of the individual required to file a statement and the date of the affidavit, petition or nomination.

Subd. 3. The Board shall notify the secretary of state or the appropriate county auditor and, when necessary in the case of appointive office, the presiding officer of the house that will approve or disapprove the nomination, of the name of the individual who has filed a statement of economic interest with the Board and the date on which the statement was filed.

Subd. 4. (Repealed 1978 c 463)

Subd. 5. A statement of economic interest required by this section shall be on a form prescribed by the Board. The individual filing shall provide the following information:

- (a) His name, address, occupation and principal place of business;
- (b) The name of each business with which he is associated and the nature of that association; and
- (c) A listing of all real property within the state, excluding homestead property, in which he holds: (i) a fee simple interest, a mortgage, a contract for deed as buyer or seller, an option to buy, whether direct or indirect, and which interest is valued in excess of \$2,500; or (ii) an option to buy, which property has a fair market value of \$50,000 or more; and
- (d) A listing of all real property within the state in which a partnership of which he is a member holds: (i) a fee simple interest, a mortgage, a contract for deed as buyer or seller, or an option to buy, whether direct or indirect, if his share of the partnership interest is valued in excess of \$2,500 or (ii) an option to buy, which property has a fair market value of \$50,000 or more. Any listing under clause (c) or (d) shall

indicate the street address and the municipality or the section, township, range and approximate acreage, whichever applies, and the county wherein the property is located.

Subd. 6. Each individual who is required to file a statement of economic interest shall file a supplementary statement on April 15 of each year that he remains in office. The statement shall include a space for each category of information in which the individual may indicate that no change in information has occurred since the previous statement. The supplementary statement shall include the amount of each honorarium in excess of \$50 received since the previous statement, together with the name and address of the source of the honorarium. A statement of economic interest submitted by an officeholder shall be filed with the statement he submitted as a candidate.

Subd. 7. The Board shall notify by certified mail or personal service any individual who fails within the prescribed time to file a statement of economic interest required by section 10A.09. If an individual fails to file a statement within seven days after receiving this notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing on the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any individual who fails to file a statement within 21 days after receiving a first notice that the individual may be subject to a criminal penalty for failure to file a statement. An individual who fails to file a statement within seven days after a second notice is guilty of a misdemeanor.

Subd. 8. Any public official, except a member of the legislature or a constitutional officer, who is required to file a statement of economic interest and fails to do so by the prescribed deadline shall be suspended without pay by the Board in the manner prescribed in the contested case procedures in chapter 15.

(1974 c 470 s 9; 1976 c 307 s 11; 1978 c 463 s 35-37)

10A.10 PENALTY FOR FALSE STATEMENT. A report or statement required to be filed by sections 10A.02 to 10A.09 shall be signed and certified as true by the individual required to file the report. Any individual who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

(1974 c 470 s 10; 1978 c 463 s 38)

10A.11 ORGANIZATION OF POLITICAL COMMITTEES. Subdivision 1. Every political committee shall have a chairman and a treasurer. Nothing in chapter 10A shall prohibit them from being the same individual.

Subd. 2. No contribution shall be accepted and no expenditure shall be made by or on behalf of a political committee at a time when there is a vacancy in the office of treasurer.

Subd. 3. The treasurer of a political committee may appoint as many deputy treasurers as necessary and shall be responsible for their accounts.

Subd. 4. The treasurer of a political committee may designate not more than two depositories in each county in which a campaign is conducted.

Subd. 5. No funds of a political committee shall be commingled with any personal funds of officers, members or associates of the committee.

Subd. 6. (Repealed 1978 c 463)

Subd. 7. Any person who knowingly violates the provisions of this section is guilty of a misdemeanor.

(1974 c 470 s 11; 1978 c 463 s 39)

10A.12 POLITICAL FUNDS. Subdivision 1. No association other than a political committee shall transfer more than \$100 in aggregate in any one year to candidates or political committees or make any approved or independent expenditures unless the transfer or expenditure is made from a political fund.

Subd. 2. The contents of a political fund shall not be commingled with any other funds or with the personal funds of any officer or member of the fund.

Subd. 3. Each association which has a political fund shall elect or appoint a treasurer of the political fund.

Subd. 4. No contributions to the political fund shall be accepted and no expenditures or transfers from the political fund shall be made while the office of treasurer of the political fund is vacant.

Subd. 5. Notwithstanding subdivision 1, any association may, if not prohibited by other law, deposit in its political fund money derived from dues or membership fees. Pursuant to section 10A.20, the treasurer of the fund shall disclose the name of any member whose dues, membership fees and contributions deposited in the political fund together exceed \$50 in any one year.

Subd. 6. Any person who knowingly violates the provisions of this section is guilty of a misdemeanor.

(1974 c 470 s 12; 1978 c 463 s 40-42)

10A.13 ACCOUNTS WHICH MUST BE KEPT. Subdivision 1. The treasurer of a political committee or political fund shall keep an account of:

(a) The sum of all contributions except any donation in kind valued at \$20 or less, made to the political committee or political fund;

(b) The name and address of each source of a transfer made to the political committee or political fund in excess of \$20, together with the date and amount of each;

(c) The name and address of each source of a donation in kind valued in excess of \$20, together with the date and amount;

(d) Each expenditure made by the committee or fund, together with the date and amount;

(e) Each approved expenditure made on behalf of the committee or fund, together with the date and amount; and

(f) The name and address of each political committee or political fund to which transfers in excess of \$20 have been made, together with the date and amount.

Any individual who knowingly violates any provision of this subdivision is guilty of a misdemeanor.

Subd. 2. The treasurer shall obtain a receipted bill, stating the particulars, for every expenditure in excess of \$100 made by, or approved expenditure in excess of \$100 made on behalf of, a political committee or political fund, and for any expenditure or approved expenditure in a lesser amount if the aggregate amount of lesser expenditures and approved expenditures made to the same individual or association during any year exceeds \$100. The treasurer shall preserve all receipted bills and accounts required to be kept by this section for four years.

(1974 c 470 s 13; 1978 c 463 s 43)

10A.14 REGISTRATION OF POLITICAL COMMITTEES AND POLITICAL FUNDS. Subdivision 1. The treasurer of a political committee or political fund shall register with the Board by filing a statement of organization no later than 14 days after the date upon which the committee or fund has made a contribution, received contributions or made expenditures in excess of \$100.

Subd. 2. The statement of organization shall include:

(a) The name and address of the political committee or political fund;

(b) The name and address of any supporting association of a political fund;

(c) The name and address of the chairman, the treasurer, and any deputy treasurers;

(d) A listing of all depositories or safety deposit boxes used;

(e) A statement as to whether the committee is a principal campaign committee; and

(f) For political parties only, a list of categories of substate units as defined in section 10A.27, subdivision 4.

Subd. 3. (Repealed 1976 c 307 s 35)

Subd. 4. The Board shall notify by certified mail or personal service any individual who fails to file a statement required by this

section. If an individual fails to file a statement within seven days after receiving a notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing with the eighth day after receiving notice. The Board shall further notify by certified mail or personal service any individual who fails to file a statement within 21 days after receiving a first notice that such individual may be subject to a criminal penalty for failure to file the report. An individual who knowingly fails to file the statement within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 14; 1976 c 307 s 12, 35; 1978 c 463 s 44-46)

10A.15 CONTRIBUTIONS. Subdivision 1. No anonymous contribution in excess of \$20 shall be retained by any political committee or political fund, but shall be forwarded to the Board and deposited in the general account of the state elections campaign fund.

Subd. 2. Every individual who receives a contribution in excess of \$20 for a political committee or political fund shall, on demand of the treasurer, inform the treasurer of the name and, if known, the address of the source of the contribution, together with the amount of the contribution and the date it was received.

Subd. 3. All transfers received by or on behalf of any candidate, political committee or political fund shall be deposited in an account designated "Campaign Fund of . . . (name of candidate, committee or fund)". All transfers shall be deposited promptly upon receipt and, except for transfers received during the last three days of any reporting period as described in section 10A.20, shall be deposited during the reporting period in which they were received. Any transfer received during the last three days of a reporting period shall be deposited within 72 hours of receipt and shall be reported as received during the reporting period whether or not deposited within that period. Any deposited transfer may be returned to the contributor within 60 days of deposit. A transfer deposited and not returned within 60 days of that deposit shall be deemed for the purposes of chapter 10A, to be accepted by the candidate, political committee or political fund.

Subd. 3a. No treasurer of a principal campaign committee of a candidate shall deposit any transfer which on its face exceeds the limit on contributions to that candidate prescribed by section 10A.27 unless, at the time of deposit, the treasurer issues a check to the source for the amount of the excess.

Subd. 4. Any individual violating the provisions of this section is guilty of a misdemeanor.

(1974 c 470 s 15; 1978 c 463 s 47)

10A.16 EARMARKING. Any individual, political committee or political fund which receives a contribution from any source with the express or implied condition that the contribution or any part of it be

directed to a particular candidate shall disclose to the ultimate recipient, and in the reports required by section 10A.20, the original source of the contribution, the fact that the contribution is earmarked and the candidate to whom it is directed. The ultimate recipient of any contribution so earmarked shall also disclose the original source and the individual, political committee, or political fund through which it is directed. This section applies only to contributions required to be disclosed by section 10A.20, subdivision 3, clause (b). Any individual, political committee, or political fund who knowingly accepts any earmarked contribution and fails to make the required disclosure is guilty of a gross misdemeanor.

(1974 c 470 s 16; 1978 c 463 s 48)

10A.17 EXPENDITURES. Subdivision 1. No expenditure shall be made by a political committee, political fund, or principal campaign committee unless it is authorized by the treasurer or deputy treasurer of that committee or fund.

Subd. 2. No individual or association may make an approved expenditure of more than \$20 until he receives written authorization as to the amount that may be spent and the purpose of the expenditure from the treasurer of the principal campaign committee of the candidate who approved the expenditure.

Subd. 3. The treasurer or deputy treasurer of a political committee may sign vouchers for petty cash of not more than \$100 per week for statewide elections or \$20 per week for legislative elections to be used for miscellaneous expenditures.

Subd. 4. Any individual, political committee, or political fund who independently solicits or accepts contributions or makes independent expenditures on behalf of any candidate shall publicly disclose that the candidate has not approved the expenditure. All written communications with those from whom contributions are independently solicited or accepted or to whom independent expenditures are made on behalf of a candidate, shall contain a statement in conspicuous type that the activity is not approved by the candidate nor is he responsible for it. Similar language shall be included in all oral communications, in conspicuous type on the front page of all literature and advertisements published or posted, and at the end of all broadcast advertisements made by that individual, political committee or political fund on the candidate's behalf.

Subd. 5. Any person who knowingly violates the provisions of subdivision 2 or 4, or who falsely claims that the candidate has not approved the expenditure or activity is guilty of a misdemeanor.

Subd. 6. (Repealed 1978 c 463 s 49)

(1974 c 470 s 17; 1978 c 463 s 49)

10A.18 BILLS WHEN RENDERED AND PAID. Every person who has a bill, charge or claim against any political committee or

political fund for any expenditure shall render in writing to the treasurer of the committee or fund the bill, charge or claim within 60 days after the material or service is provided. Failure to so present the bill, charge or claim is a misdemeanor.

(1974 c 470 s 18)

10A.19 PRINCIPAL CAMPAIGN COMMITTEE. Subdivision

1. No candidate shall accept contributions from any source, other than himself, in aggregate in excess of \$100 or any moneys from the state elections campaign fund unless he designates and causes to be formed a single principal campaign committee.

Subd. 2. A candidate may at any time without cause remove and replace the chairman, treasurer, deputy treasurer or any other officer of the candidate's principal campaign committee.

(1974 c 470 s 19; 1976 c 307 s 13; 1978 c 463 s 50)

10A.20 CAMPAIGN REPORTS. Subdivision 1. The treasurer of every committee and political fund shall begin to file the reports required by this section in the first year it receives contributions or makes expenditures in excess of \$100 and shall continue to file until the committee or fund is terminated.

Subd. 2. The reports shall be filed with the Board on or before January 31 of each year and additional reports shall be filed as required and in accordance with clauses (a) and (b).

(a) In each year in which the name of the candidate is on the ballot, the report of the principal campaign committee shall be filed ten days before a primary and a general election, seven days before a special primary and a special election, and 30 days after a special election. The report due after a special election may be filed on January 31 following the special election if the special election is held not more than 60 days before that date.

(b) In each general election year political committees and political funds other than principal campaign committees shall file reports ten days before a primary and general election.

If a scheduled filing date falls on a Saturday, Sunday or legal holiday, the filing date shall be the next regular business day.

Subd. 3. Each report under this section shall disclose:

(a) The amount of liquid assets on hand at the beginning of the reporting period;

(b) The name, address and employer, or occupation if self-employed, of each individual, political committee or political fund who within the year has made one or more transfers or donations in kind to the political committee or political fund, including the purchase of tickets for all fund raising efforts, which in aggregate exceed \$50 for legislative candidates or \$100 for statewide candidates, together with the amount and date of each transfer or donation in kind, and the aggregate amount of transfers and donations in kind within the year from each source so disclosed. A donation in kind shall be disclosed at its fair market value. An approved expenditure is listed as a donation in kind. A donation in kind is considered consumed in the reporting period in which it is received. The names of contributors shall be listed in alphabetical order;

(c) The sum of contributions to the political committee or political fund during the reporting period;

(d) Each loan made or received by the political committee or political fund within the year in aggregate in excess of \$100, continuously reported until repaid or forgiven, together with the name, address, occupation and the principal place of business, if any, of the lender and any endorser and the date and amount of the loan. If any loan made to the principal campaign committee of a candidate is forgiven at any time or repaid by any entity other than that principal campaign committee, it shall be reported as a contribution for the year in which the loan was made;

(e) Each receipt in excess of \$100 not otherwise listed under clauses (b) to (d);

(f) The sum of all receipts of the political committee or political fund during the reporting period;

(g) The name and address of each individual or association to whom aggregate expenditures, including approved expenditures, have been made by or on behalf of the political committee or political fund within the year in excess of \$100, together with the amount, date and purpose of each expenditure and the name and address of, and office sought by, each candidate on whose behalf the expenditure was made and, in the case of independent expenditures made in opposition to a candidate, the name, address and office sought for each such candidate;

(h) The sum of all expenditures made by or on behalf of the political committee or political fund during the reporting period;

(i) The amount and nature of any advance of credit incurred by the political committee or political fund, continuously reported until paid or forgiven. If any advance of credit incurred by the principal campaign committee of a candidate is forgiven at any time by the creditor or paid by any entity other than that principal campaign committee, it shall be reported as a donation in kind for the year in which the advance of credit was incurred;

(j) The name and address of each political committee, political fund, or principal campaign committee to which aggregate transfers in excess of \$100 have been made within the year, together with the amount and date of each transfer;

(k) The sum of all transfers made by the political committee, political fund, or principal campaign committee during the reporting period;

(l) For principal campaign committees only, the sum of non-campaign disbursements made in each category listed in section 10 of this act during the reporting period; and

(m) The sum of all noncampaign disbursements made by the political committee, political fund, or principal campaign committee during the reporting period.

Subd. 3a. The reports of a principal campaign committee of a legislative candidate required by this section shall list in a prominent place on the first page of every report each county in which the legislative district lies.

Subd. 4. A report shall cover the period from the last day covered by the previous report to seven days prior to the filing date, except that the report due on January 31 shall cover the period from the last day covered by the previous report to December 31.

Subd. 5. In any statewide election any contribution or contributions from any one source totaling \$2,000 or more, or in any legislative election totaling \$200 or more, received between the last day covered in the last report prior to an election and the election shall be reported to the Board in person or by telegram within 48 hours after its receipt and also in the next required report.

Subd. 6. Every candidate who does not designate and cause to be formed a principal campaign committee, and any individual who makes independent expenditures in aggregate in excess of \$100 in any year, shall file with the Board a report containing the information required by subdivision 3. Reports required by this subdivision shall be filed on the dates on which reports by committees and funds are filed.

Subd. 6a. Any individual, political committee or political fund filing a report or statement disclosing any independent expenditure pursuant to subdivision 3 or 6 shall file with that report a sworn statement that the expenditures so disclosed were not made with the authorization or expressed or implied consent of, or in cooperation or in concert with, or at the request or suggestion of any candidate, his principal campaign committee or his agent.

Subd. 7. If no contribution is received or expenditure made by or on behalf of a candidate, political fund or political committee during a reporting period, the treasurer of the committee or fund shall file with the Board at the time required by this section a statement to that effect.

Subd. 8. The Board shall exempt any member of or contributor to any association, political committee or political fund or any other individual from the provisions of this section if the member, contributor or other individual demonstrates by clear and convincing evidence that disclosure would expose him to economic reprisals, loss of employment or threat of physical coercion.

An association, political committee or political fund may seek an exemption for all of its members or contributors if it demonstrates by clear and convincing evidence that a substantial number of its members

or contributors would suffer a restrictive effect on their freedom of association if members were required to seek exemptions individually.

Subd. 9. (Repealed 1978 c 463)

Subd. 10. Any individual, association, political committee or political fund seeking an exemption pursuant to subdivision 8 shall submit a written application for exemption to the Board. The Board, without hearing, shall grant or deny the exemption within 30 days after receiving an application, and shall issue a written order stating the reasons for its action. The Board shall publish its order in the state register and give notice to all parties known to the Board to have an interest in the matter. If the Board receives a written objection to its action from any party within 20 days after publication of its order and notification of interested parties, the Board shall hold a contested case hearing on the matter. Upon the filing of a timely objection from the applicant, an order denying an exemption shall be suspended pending the outcome of the contested case. If no timely objection is received, the exemption shall continue to be in effect until a written objection is filed with the Board in a succeeding election year. The Board by rule shall establish a procedure so that any individual seeking an exemption may proceed anonymously if he would be exposed to the reprisals listed in subdivision 8 were he to reveal his identity for the purposes of a hearing.

Subd. 11. No person or association shall engage in economic reprisals or threaten loss of employment or physical coercion against any person or association because of that person's or association's political contributions or political activity. This subdivision shall not apply to compensation for employment or loss of employment when the political affiliation or viewpoint of the employee is a bonafide occupational qualification of the employment. Any person or association which violates this subdivision is guilty of a gross misdemeanor.

Subd. 12. The Board shall notify by certified mail or personal service any individual who fails to file a statement required by this section. If an individual fails to file a statement due January 31 within seven days after receiving a notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing on the eighth day after receiving notice. If an individual fails to file a statement due before any primary or election within three days of the date due, regardless of whether the individual has received any notice, the Board may impose a late filing fee of \$50 per day, not to exceed \$500, commencing on the fourth day after the date the statement was due. The Board shall further notify by certified mail or personal service any individual who fails to file any statement within 14 days after receiving a first notice from the Board that the individual may be subject to a criminal penalty for failure to file a statement. An individual who knowingly fails to file the statement

within seven days after receiving a second notice from the Board is guilty of a misdemeanor.

(1974 c 470 s 20; 1976 c 307 s 14-18; 1978 c 463 s 51-59, c 793 s 37)

10A.21 REPORTS TO COUNTY AUDITORS. Subdivision

1. All reports or statements that must be filed with the Board by the principal campaign committee of legislative candidates and statements of economic interest filed by candidates for and members of the legislature shall be duplicated and filed by the Board with the auditor of each county in which the legislative district lies within 72 hours of the date the report or statement is required to be filed or, if the report or statement is delinquent, within 72 hours of the time the report is actually filed.

Subd. 2. The copies of reports filed with the county auditor need not be certified copies.

Subd. 3. Statements and reports filed with county auditor shall be available to the public in the manner prescribed by section 10A.02, subdivision 8, clause (e). Statements and reports of principal campaign committees shall be retained until four years after the election to which they pertain. Economic interest statements shall be retained until the subject of the statement is no longer a candidate or officeholder.

(1974 c 470 s 21; 1976 c 307 s 19; 1978 c 463 s 60-61)

10A.22 REPORTS AND STATEMENTS. Subdivision 1. A report or statement required by sections 10A.11 to 10A.34 to be filed by a treasurer of a political committee or political fund, or by any other individual, shall be signed and certified as true by the individual required to file the report. Any individual who signs and certifies to be true a report or statement which he knows contains false information or who knowingly omits required information is guilty of a gross misdemeanor.

Subd. 2. (Repealed 1976 c 307 s 35)

Subd. 3. (Repealed 1978 c 463)

Subd. 4. The treasurer shall list contributions from the same source under the same name. When a contribution received from any source in a reporting period is added to previously reported unitemized contributions from the same source and the aggregate exceeds the disclosure threshold of section 10A.20, the name, address and employer, or occupation if self-employed, of that source shall then be listed on the prescribed schedule. A candidate may refuse to accept any contribution.

Subd. 5. A political committee or political fund making an expenditure on behalf of more than one candidate for state or legislative office shall allocate the expenditure among the candidates on a reasonable cost basis and report the allocation for each candidate.

Subd. 6. Each person required to file any report or statement shall maintain records on the matters required to be reported, including

vouchers, cancelled checks, bills, invoices, worksheets, and receipts, which will provide in sufficient detail the necessary information from which the filed reports and statements may be verified, explained, clarified and checked for accuracy and completeness, and he shall keep the record available for audit, inspection, or examination by the Board or its authorized representatives for four years from the date of filing of the reports or statements or of changes or corrections thereto. Any person who knowingly violates any provisions of this subdivision is guilty of a misdemeanor.

Subd. 7. The treasurer of a political committee or political fund shall not accept a contribution of more than \$100 from a political committee or political fund not registered in this state unless the contribution is accompanied by a written statement which meets the disclosure requirements imposed by section 10A.20. This statement shall be certified as true and correct by an officer of the contributing political committee or political fund. The political committee or political fund which accepts the contribution shall include a copy of the statement with the report which discloses the contribution to the Board. The provisions of this subdivision shall not apply when a national political party transfers money to its affiliate in this state.

Subd. 8. (Repealed 1976 c 307 s 35)

(1974 c 470 s 22; 1976 c 307 s 35; 1978 c 463 s 62-65)

10A.23 CHANGES AND CORRECTIONS. Any material changes in information previously submitted and any corrections to a report or statement shall be reported in writing to the Board within ten days following the date of the event prompting the change or the date upon which the person filing became aware of the inaccuracy. The change or correction shall identify the form and the paragraph containing the information to be changed or corrected. Any person who wilfully fails to report a material change or correction is guilty of a gross misdemeanor.

(1974 c 470 s 23; 1976 c 307 s 20)

10A.24 DISSOLUTION OR TERMINATION. No political committee or political fund shall dissolve until it has settled all of its debts and disposed of all its assets in excess of \$100 and filed a termination report. The termination report may be made at any time and shall include all information required in periodic reports.

(1974 c 470 s 24; 1978 c 463 s 66)

10A.25 LIMITS ON CAMPAIGN EXPENDITURES. Subdivision 1. For the purposes of section 10A.11 to 10A.34 a candidate for governor and a candidate for lieutenant governor, running together, shall be deemed to be a single candidate. Except as provided in subdivision 3, all expenditures made by and all approved expenditures made on behalf of the candidate for lieutenant governor shall be considered to

be expenditures by and approved expenditures on behalf of the candidate for governor.

Subd. 2. In a year in which an election is held for an office sought by a candidate, no expenditures shall be made by the principal campaign committee of that candidate, nor any approved expenditures made on behalf of that candidate which expenditures and approved expenditures result in an aggregate amount in excess of the following:

(a) For governor and lieutenant governor, running together, 12½ cents per capita or \$600,000, whichever is greater;

(b) For attorney general, 2½ cents per capita or \$100,000, whichever is greater;

(c) For secretary of state, state treasurer and state auditor, separately, 1¼ cents per capita or \$50,000, whichever is greater;

(d) For state senator, 20 cents per capita or \$15,000, whichever is greater;

(e) For state representative, 20 cents per capita or \$7,500, whichever is greater.

Subd. 3. Notwithstanding subdivision 2, clause (a), a candidate for endorsement for the office of lieutenant governor at the convention of a political party may make expenditures and approved expenditures of \$30,000 or five percent of the amount in subdivision 2, clause (a), whichever is greater, to seek endorsement. This amount shall be in addition to the amount which may be expended pursuant to subdivision 2, clause (a).

Subd. 4. The limits prescribed in section 10A.25 shall not apply to any expenditure or approved expenditure made or advance of credit incurred before the effective date of this section unless the goods or services for which they were made or incurred are consumed or used after the effective date of this section.

Subd. 5. Notwithstanding the limits imposed by subdivision 2, the winning candidate in a contested race in a primary who receives less than twice as many votes as any one of his opponents in that primary may make aggregate expenditures and approved expenditures equal to 120 percent of the applicable amount as set forth in subdivision 2.

Subd. 6. In any year following an election year for the office held or sought, the aggregate amount of expenditures by and approved expenditures on behalf of a candidate for or holder of that office shall not exceed 20 percent of the expenditure limit set forth in subdivision 2.

Subd. 7. On or before December 1 of each year, the state demographer shall certify to the Board the estimated population of the state of Minnesota for the next calendar year. On or before December 31 of each year the Board shall determine and publish in the state register

the expenditure limits for each office for the next calendar year as prescribed by subdivision 2, using the following estimated population figures:

(a) For the offices of governor and lieutenant governor, attorney general, secretary of state, state treasurer and state auditor, the total estimated population of the state;

(b) For the office of state senator, 1/67 of the total estimated population of the state;

(c) For the office of state representative, 1/134 of the total estimated population of the state. The limits shall be rounded off to the nearest \$100.

Subd. 8. (Repealed 1978 c 463)

Subd. 9. (Repealed 1978 c 463)

Subd 10. The expenditure limits imposed by section 10A.25 apply only to candidates who agree to be bound by the limits as a condition of receiving a public subsidy for their campaign in the form of:

(a) An allocation of money from the state elections campaign fund; or

(b) Credits against the tax due of individuals who contribute to that candidate.

(1974 c 470 s 25; 1976 c 307 s 21-23; 1978 c 463 s 67-74)

10A.26 (Repealed 1978 c 463)

10.265 FREEDOM TO ASSOCIATE AND COMMUNICATE.

Nothing in chapter 10A shall be construed as abridging the right of an association to communicate with its members.

(1978 c 463 s 75)

10A.27 ADDITIONAL LIMITATIONS. Subdivision 1. Except as provided in subdivisions 2 and 6, no candidate shall permit his principal campaign committee to accept contributions from any individual, political committee, or political fund in excess of the following:

(a) To candidates for governor and lieutenant governor running together, \$60,000 in an election year for the office sought and \$12,000 in other years;

(b) To a candidate for attorney general, \$10,000 in an election year for the office sought and \$2,000 in other years;

(c) To a candidate for the office of secretary of state, state treasurer or state auditor, \$5,000 in an election year for the office sought and \$1,000 in other years;

(d) To a candidate for state senator, \$1,500 in an election year for the office sought and \$300 in other years; and

(e) To a candidate for state representative, \$750 in an election year for the office sought and \$150 in the other year.

Subd. 2. No candidate shall permit his principal campaign committee to accept contributions from any political party in excess of five times the amount that may be contributed to that candidate by a political committee as set forth in subdivision 1.

Subd. 3. (Repealed 1978 c 463)

Subd. 4. For the purposes of this section, a political party means the aggregate of the party organization within each house of the legislature, the state party organization, and the party organization within congressional districts, counties, legislative districts, municipalities, and precincts.

Subd. 5. Nothing in section 10A.27 shall be construed as limiting independent expenditures on behalf of a candidate.

Subd. 6. Nothing in section 10A.27 shall be construed as limiting the amount which may be contributed by a candidate for the purpose of influencing his own nomination or election.

Subd. 7. Contributions and approved expenditures made prior to the effective date of this section which are in excess of the limits imposed by section 10A.27 shall not be in violation of this section but shall be disclosed as required by chapter 10A.

Subd. 8. No candidate shall permit his principal campaign committee to accept a loan from other than a financial institution for an amount in excess of the contribution limits imposed by this section. No candidate shall permit his principal campaign committee to accept any loan from a financial institution for which that financial institution may hold any endorser of that loan liable to pay any amount in excess of the amount that the endorser may contribute to that candidate.

(1974 c 470 s 27; 1976 c 307 s 24; 1978 c 463 s 76-82, c 793 s 38)

10A.275 MULTI-CANDIDATE POLITICAL PARTY EXPENDITURES. Notwithstanding any other provisions of this chapter, the following expenditures by a state political party or a substate unit of a state political party as described in section 10A.27, subdivision 4, shall not be considered contributions to or expenditures on behalf of any candidate for the purposes of section 10A.25 or 10A.27, and shall not be allocated to any candidates pursuant to section 10A.22, subdivision 5:

(a) Expenditures on behalf of candidates of that party generally without referring to any of them specifically in any advertisement published, posted or broadcast;

(b) Expenditures for the preparation, display, mailing or other distribution of an official party sample ballot listing the names of three or more individuals whose names are to appear on the ballot;

(c) Expenditures for any telephone conversation including the names of three or more individuals whose names are to appear on the ballot; or

(d) Expenditures for any political party fundraising effort on behalf of three or more candidates.

(1978 c 463 s 83)

10A.28 PENALTY FOR EXCEEDING LIMITS. Subdivision

1. A candidate subject to the expenditure limits of section 10A.25 who permits his principal campaign committee to make expenditures or permits approved expenditures to be made on his behalf in excess of the limits imposed by section 10A.25 shall be subject to a civil fine up to four times the amount which the expenditures exceeded the limit.

Subd. 2. A candidate who permits his principal campaign committee to accept contributions in excess of the limits imposed by section 10A.27 shall be subject to a civil fine of up to four times the amount by which the contribution exceeded the limits.

Subd. 3. If the Board finds that there is reason to believe that excess expenditures have been made or excess contributions accepted contrary to the provisions of subdivision 1 or 2 the Board shall make every effort for a period of not less than 14 days after its finding to correct the matter by informal methods of conference and conciliation and to enter a conciliation agreement with the person involved. A conciliation agreement made pursuant to this subdivision shall be a matter of public record. Unless violated, a conciliation agreement shall be a bar to any civil proceeding under subdivision 4.

Subd. 4. If the Board is unable after a reasonable time to correct by informal methods any matter which constitutes probable cause to believe that excess expenditures have been made or excess contributions accepted contrary to subdivision 1 or 2, the Board shall make a public finding of probable cause in the matter. After making a public finding, the Board shall bring an action, or transmit the finding to county attorney who shall bring an action, in the district court of Ramsey county or, in the case of a legislative candidate, the district court of a county within the legislative district, to impose a civil fine as prescribed by the Board pursuant to subdivision 1 or 2. All moneys recovered pursuant to this section shall be deposited in the general fund of the state.

(1974 c 470 s 28; 1978 c 463 s 84)

10A.29 CIRCUMVENTION PROHIBITED. Any attempt by an individual or association to circumvent the provisions of chapter 10A by redirecting funds through, or contributing funds on behalf of, another individual or association is a gross misdemeanor.

(1974 c 470 s 29; 1978 c 463 s 85)

10A.30 STATE ELECTIONS CAMPAIGN FUND. Subdivision

1. There is hereby established an account within the special revenue fund of the state to be known as the "state elections campaign fund".

Subd. 2. Within the state elections campaign fund account there shall be maintained a separate account for the candidates of each political party and a general account.

(1974 c 470 s 30; 1978 c 463 s 86)

10A.31 DESIGNATION OF INCOME TAX PAYMENTS.

Subdivision 1. Effective with the taxable years beginning after December 31, 1977, every individual who files a tax return or a renter and homeowner property tax refund return with the commissioner of revenue may designate that \$1 shall be paid from the general fund of the state into the state elections campaign fund. If a husband and wife file a joint return, each spouse may designate that \$1 shall be paid. An individual who is 18 years of age or older, who is a resident of Minnesota, and who is a dependent of another individual who files a tax return or a renter and homeowner property tax refund return, may designate that \$1 shall be paid from the general fund of the state into the state elections campaign fund. No individual shall be allowed to designate \$1 more than once in any year.

Subd. 2. The taxpayer may designate that the \$1 be paid into the account of a political party or into the general account.

Subd. 3. The commissioner of the department of revenue shall on the first page of the income tax form and the renter and homeowner property tax refund return notify the filing individual and any adult dependent of that individual of his right to allocate \$1 (\$2 if filing a joint return) from the general fund of the state to finance the election campaign of state candidates. The form shall also contain language prepared by the commissioner which permits the individual to direct the state to allocate the \$1 (\$2 if filing a joint return) to: (i) one of the major political parties; (ii) any minor political party as defined in section 10A.01, subdivision 13, which qualifies under the provisions of subdivision 3a; or (iii) all qualifying candidates as provided by subdivision 7. The dependent on the tax return or the renter and homeowner property tax refund return shall sign a statement which authorizes the designation of \$1. The renter and homeowner property tax refund return shall include instructions that the individual filing the return may designate \$1 on the return only if he has not designated \$1 on the income tax return.

Subd. 3a. A minor political party as defined in section 10A.01, subdivision 13 qualifies for inclusion on the income tax form as provided in subdivision 3, provided that if a petition is filed, it is filed by June 1 of the taxable year.

Subd. 4. The amounts designated by individuals for the state elections campaign fund are appropriated from the general fund and shall be credited to the appropriate account in the state elections campaign fund and annually appropriated for distribution as set forth in subdivisions 5, 6 and 7.

Subd. 5. In each calendar year the moneys in each party account and the general account shall be allocated to candidates as follows:

(a) 21 percent for the offices of governor and lieutenant governor together;

(b) 3.6 percent for the office of attorney general;

(c) 1.8 percent each for the offices of secretary of state, state auditor and state treasurer;

(d) In each calendar year during the period in which state senators serve a four year term, $23\frac{1}{3}$ percent for the office of state senator and $46\frac{2}{3}$ percent for the office of state representative;

(e) In each calendar year during the period in which state senators serve a two year term, 35 percent each for the offices of state senator and state representative;

(f) To assure that moneys will be returned to the counties from which they were collected, and to assure that the distribution of those moneys rationally relates to the support for particular parties or for particular candidates within legislative districts, moneys from the party accounts for legislative candidates shall be distributed as follows:

Each candidate for the state senate and state house of representatives whose name is to appear on the ballot in the general election shall receive moneys from his party account set aside for candidates of the state senate or state house of representatives, whichever applies, according to the following formula;

For each county within his district the candidate's share of the dollars allocated in that county to his party account and set aside for that office shall be:

(a) The sum of the votes cast in the last general election in that part of the county in his district for all candidates of his party (i) whose names appeared on the ballot in each voting precinct of the state and (ii) for the state senate and state house of representatives, divided by

(b) The sum of the votes cast in that county in the last general election for all candidates of his party (i) whose names appeared on the ballot in each voting precinct in the state and (ii) for the state senate and state house of representatives, multiplied by

(c) The amount in his party account allocated in that county and set aside for the candidates for the office for which he is a candidate.

The sum of all the county shares calculated in the formula above is the candidate's share of his party account.

In a year in which an election for the state senate occurs, with respect to votes for candidates for the state senate only, "last general election" means the last general election in which an election for the state senate occurred.

For any party under whose name no candidate's name appeared on the ballot in each voting precinct in the state in the last general election, "last general election" means the last general election in which the name of a candidate of that party appeared on the ballot in each voting precinct in the state.

If in a district there was no candidate of a party for the state senate or state house of representatives in the last general election, or if a candidate for the state senate or state house of representatives was unopposed, the vote for that office for that party shall be the average vote of all the remaining candidates of that party in each county of that district whose votes are included in the sums in clauses (a) and (b). The average vote shall be added to the sums in clauses (a) and (b) before the calculation is made for all districts in the county.

Moneys from any party account not distributed in any election year shall be returned to the general fund of the state. Moneys from the general account refused by any candidate shall be distributed to all other qualifying candidates in proportion to their shares as provided in this subdivision.

Subd. 6. Within two weeks after certification by the state canvassing board of the results of the primary, the state treasurer shall distribute the available funds in each party account, as certified by the commissioner of revenue on September 15, to the candidates of that party who have signed the agreement as provided in section 10A.32, subdivision 3, and whose names are to appear on the ballot in the general election, according to the allocation set forth in subdivision 5.

Subd. 7. Within two weeks after certification by the state canvassing board of the results of the general election, the state treasurer shall distribute the available funds in the general account, as certified by the commissioner of revenue on November 15 and according to the allocations set forth in subdivision 5, in equal amounts to all candidates for each statewide office who received at least five percent of the votes cast in the general election for that office, and to all candidates for legislative office who received at least ten percent of the votes cast in the general election for the specific office for which they were candidates. The Board shall not use the information contained in the report of the principal campaign committee of any candidate due ten days before the general election for the purpose of reducing the amount due that candidate from the general account.

Subd. 8. Within one week after certification by the state canvassing board of the results of the primary, the Board shall certify to the state treasurer the name of each candidate who has signed the agreement as provided in section 10A.32, subdivision 3, and the amount he is to receive from the available funds in his party account.

Subd. 9. Within one week after certification by the state canvassing board of the results of the general election, the Board shall certify to the state treasurer the name of each candidate who is qualified to receive funds from the general account, together with the amount he is to receive from the available funds in the general account.

Subd. 10. In the event that on the date of either certification by the commissioner of revenue as provided in subdivisions 6 and 7, less than 98 percent of the tax returns have been processed, the commissioner of revenue shall certify to the Board on December 7 the amount accumulated in each account since the previous certification. Within one week thereafter, the Board shall certify to the state treasurer the amount to be distributed to each candidate according to the allocations as provided in subdivision 5. As soon as practicable thereafter, the state treasurer shall distribute the amounts to the candidates. Any moneys accumulated after the final certification shall be maintained in the respective accounts for distribution in the next general election year.

Subd. 11. For the purposes of section 10A.31, a write-in candidate is not a candidate unless he complies with the provisions of section 10A.32, subdivision 3.

(1974 c 470 s 31; 1976 c 307 s 26-33; 1978 c 463 s 87-95)

10A.32 LIMITATIONS UPON THE STATE ELECTION CAMPAIGN FUND. Subdivision 1. No candidate shall be entitled to receive from the state elections campaign fund and retain an amount greater than the aggregate amount of expenditures which may be made by him and approved expenditures made on his behalf under section 10A.25, subdivision 2. The amount by which the allocation exceeds the expenditure limit shall be returned to the general fund of the state.

Subd. 2. No candidate shall be entitled to receive from the state elections campaign fund an amount greater than the aggregate amount of expenditures made by him and approved expenditures made on his behalf in the year of the election. If the report required to be filed on or before January 31 in the year following the general election indicates that the amount received by the candidate from the state elections campaign fund is greater than the amount expended on his behalf, the treasurer of his principal campaign committee shall return to the state treasurer an amount equal to the difference. The return in the form of a check or money order shall be submitted with such report and the Board shall forward the return to the state treasurer for deposit in the general fund of the state.

Subd. 3. As a condition of receiving any moneys from the state elections campaign fund, a candidate shall agree by stating in writing to the Board that

(a) his expenditures and approved expenditures shall not exceed the expenditure limits as set forth in section 10A.25 and that

(b) he shall not accept contributions or allow approved expenditures to be made on his behalf for the period beginning with January 1 of the election year or with the registration of his principal campaign committee, whichever occurs later, and ending December 31 of the election year, which aggregate contributions and approved expenditures exceed the difference between the amount which may legally be expended by him or on his behalf, and the amount which he receives from the state elections campaign fund. The agreement, insofar as it relates to the expenditure limits set forth in section 10A.25, remains effective until the dissolution of the principal campaign committee of the candidate or the opening of filings for the next succeeding election to the office held or sought at the time of agreement, whichever occurs first. Beginning in 1980, money in the account of the principal campaign committee of a candidate on January 1 of the election year for the office held or sought shall be considered contributions accepted by that candidate in that year for the purposes of this subdivision. Notwithstanding the effective date of this section, for 1978, the period for determining the aggregate contribution and approved expenditure limit agreed to pursuant to this subdivision shall begin January 1, 1978. That amount of all contributions accepted by a candidate in an election year which equals the amount of noncampaign disbursements made by that candidate in that year, and the amount of contributions received and approved expenditures made between January 1, 1978, and February 28, 1978, which equals the amount of expenditures made between January 1, 1978, and February 28, 1978, for goods consumed and services used before February 28, 1978, shall not count toward the aggregate contributions and approved expenditure limit imposed by this subdivision. Any amount by which his aggregate contributions and approved expenditures agreed to under clause (b) exceed the difference shall be returned to the state treasurer in the manner provided in subdivision 2. In no case shall the amount returned exceed the amount received from the state elections campaign fund.

The candidate may submit his signed agreement to the filing officer on the day he files his affidavit of candidacy or petition to appear on the ballot, or he may submit the agreement to the Board no later than September 1.

The Board prior to the first day of filing for office shall forward forms for the agreement to all filing officers. The filing officer shall without delay forward signed agreements to the Board. An agreement may not be rescinded after September 1.

For the purposes of this subdivision only, the total amount to be distributed to each candidate is calculated to be his share of the total estimated funds in his party account as provided in subdivision 3a, plus the total amount estimated as provided in subdivision 3a to be in the general account of the state elections campaign fund and set aside for that office divided by the number of candidates whose names are to

appear on the general election ballot for that office. If for any reason the amount actually received by the candidate is greater than his share of the estimate, and his contributions thereby exceed the difference, the agreement shall not be considered violated.

Subd. 3a. The commissioner of revenue shall, on the basis of vote totals provided by the secretary of state, calculate and certify to the Board before the first day of July in an election year his estimate, after 100 percent of the tax returns have been processed, of the total amount in the general account, and the amount of moneys each candidate who qualifies as provided in section 10A.31, subdivision 6, may receive from his party account, based upon the formula set forth in section 10A.31, subdivision 3. Prior to the first day of filing for office, the Board shall publish and forward to all filing officers these estimates. Within seven days after the last day for filing for office the secretary of state shall certify to the Board the name, address, office sought, and party affiliation of each candidate who has filed with that office his affidavit of candidacy or petition to appear on the ballot. The auditor of each county shall certify to the Board the same information for each candidate who has filed with that county his affidavit of candidacy or petition to appear on the ballot. Within seven days thereafter the Board shall estimate the minimum amount to be received by each candidate who qualifies as provided in section 10A.31, subdivisions 6 and 7, and notify all candidates on or before August 15 of the applicable amount. The Board shall include with the notice a form for the agreement provided in subdivision 3.

Subd. 3b. As a condition of receiving a public subsidy for his election campaign in the form of tax credits against the tax due from individuals who contribute to his principal campaign committee a candidate shall agree by stating in writing to the Board at any time beginning with the registration of his principal campaign committee that his expenditures and approved expenditures shall not exceed the expenditure limits as set forth in section 10A.25. The agreement shall remain effective until the dissolution of the principal campaign committee of the candidate or the opening of filing for the next succeeding election for the office held or sought at the time of agreement, whichever occurs first. An agreement signed under this subdivision may not be rescinded. The commissioner of revenue shall not allow any individual or married couple filing jointly to take a credit against any tax due, pursuant to section 290.06, subdivision 11, for any contribution to a candidate for legislative or statewide office who has not signed the agreement provided in this subdivision. Nothing in this subdivision shall be construed to limit the campaign expenditure of any candidate who does not sign an agreement under this subdivision but accepts a contribution for which the contributor claims a credit against tax due. The Board shall forward a copy of any agreement signed under this subdivision to the commissioner of revenue. The Board shall make available

to any candidate signing an agreement a supply of Official Tax Credit Receipt forms which state in bold face type that (a) a contributor who is given a receipt form is eligible to receive a credit against his tax due in an amount equal to 50 percent of his contribution but not more than \$25 for an individual, or not more than \$50 for a married couple filing jointly, and (b) that the candidate to whom he has contributed has voluntarily agreed to abide by campaign expenditure limits. If a candidate does not sign an agreement under this subdivision he may not issue an Official Tax Credit Receipt form, or any facsimile thereof, to any of his contributors. Any candidate who does not voluntarily agree to abide by the expenditure limits imposed in section 10A.25 and who willfully issues Official Tax Credit Receipt forms, or any facsimile thereof, to any contributor is guilty of a misdemeanor.

Subd. 4. If a political party for whose candidates funds have been accumulated in the state elections campaign fund does not have a candidate for any office, the moneys set aside for that office shall be returned to the general fund of the state.

(1974 c 470 s 32; 1976 c 307 s 34; 1978 c 463 s 96-101, c 793 s 39)

10A.33 APPLICATION. The provisions of sections 10A.30 to 10A.32 shall apply only in general elections and primaries preceding general elections and shall not apply to special elections or special primaries.

(1974 c 470 s 33; 1978 c 463 s 102)

10A.335. For the purpose of determining whether the distribution formula provided in section 10A.31, subdivision 5, (a) assures that moneys will be returned to the counties from which they were collected, and (b) continues to have a rational relation to the support for particular parties or particular candidates within legislative districts, it is the intention of this section that future legislatures monitor, using statistical data provided by the department of revenue, income tax returns and renter and homeowner property tax refund returns on which \$1, or in the case of a joint return, \$2, is designated for a political party.

(1978 c 463 s 103)

10A.34 REMEDIES. Subdivision 1. A person charged with a duty under sections 10A.02 to 10A.34 shall be personally liable for the penalty for failing to discharge it.

Subd. 1a. The Board may bring an action in the district court in Ramsey county to recover any late filing fee imposed pursuant to any provision of chapter 10A. All money recovered shall be deposited in the general fund of the state.

Subd. 2. The Board or a county attorney may seek an injunction in the district court to enforce the provisions of sections 10A.02 to 10A.34.

Subd. 3. Unless otherwise provided, a violation of sections 10A.02 to 10A.34 is not a crime.

(1974 c 470 s 34; 1978 c 463 s 104)



STATE OF MINNESOTA
STATE ETHICAL PRACTICES BOARD
41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

Place
Stamp
Here

STATE ETHICAL PRACTICES BOARD
41 State Office Building
St. Paul, Minnesota 55155

ELECTION YEAR 1980

Campaign Finance Disclosure Workshops for Candidates, Treasurers, and Interested Parties of Principal Campaign Committees and Political Committees and Funds, including Political Committees Organized to Promote or Defeat a Ballot Question

I will be attending the following workshop which I have checked below. The number of people who will be attending this workshop, including me, is indicated next to my check.

June 23	<u>Grand Rapids</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:30 - 9:30 p.m. <input type="checkbox"/>
June 24	<u>Moorhead</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:00 - 9:00 p.m. <input type="checkbox"/>
June 25	<u>Granite Falls</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:00 - 9:00 p.m. <input type="checkbox"/>
June 26	<u>St. Cloud</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:00 - 9:00 p.m. <input type="checkbox"/>
July 21	<u>Windom</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:30 - 9:30 p.m. <input type="checkbox"/>
July 22	<u>Austin</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:00 - 9:00 p.m. <input type="checkbox"/>
July 29	<u>Duluth</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:00 - 9:00 p.m. <input type="checkbox"/>
July 31	<u>St. Paul</u>	2:00 - 4:00 p.m. <input type="checkbox"/>
		7:30 - 9:30 p.m. <input type="checkbox"/>

Name		
Street, R.R. or Box #		
City	State	Zip
Committee		Phone #

Coalitions - How do we handle

Write articles / Members information / communication
~~in kind~~
with local league.

own members & ask for opinion.

What is in kind?
stop time
publications

→ Corporations can donate for ballot?

→ No limit on contributions to ballot?

→ Contributions to ballot questions are eligible for
• a Tax credit? - Call Ellen.

Cannot terminate with more than \$1,000

Indiv - \$20 or more record.
Stipend only after \$50

In kind
exp

= In kind - service
grades & services } mother organization
can reimburse

Vol. labor - no

Record for each question



ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

MAY 14 1980

May 5, 1980

1980

CAMPAIGN FINANCE NEWSLETTER

#1

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1980 REPORTING DATES

<u>due</u>	<u>period covered</u>
September 2, 1980*	January 1 through August 22, 1980
October 27, 1980*	August 23 through October 20, 1980
January 31, 1981	October 21 through December 31, 1980

Reporting forms will be mailed to treasurers of political committees and funds about four weeks before the due date.

* Not applicable to candidates or officeholders not seeking election in 1980.

PUBLIC FINANCE AGREEMENTS AND TAX CREDIT AGREEMENTS

A Public Finance Agreement will be available to each candidate at the time of filing in July along with an estimate of the amount that may be received by qualifying candidates. The Agreement may be filed at any time through September 1, 1980. Filing means postmarked. An Agreement previously filed may not be rescinded after September 1, 1980.

A Tax Credit Agreement may be filed at any time through December 31, 1980 to be effective in 1980. Once signed, a Tax Credit Agreement may not be rescinded. Tax Credit Agreements will be mailed to all candidates in July. Tax Credit Receipts are available upon request to those candidates or officeholders who have filed a Tax Credit Agreement with the Board. Tax Credit Agreements signed previously are effective until June 30, 1980.

CHANGES IN NAMES AND ADDRESSES OF OFFICERS

Please inform us of any change in the names or addresses of officers of your committee or fund.

BALLOT QUESTIONS

Action taken during the 1980 legislative session brings committees and funds organized to promote or defeat ballot questions under the requirements of Chapter 10A. Ballot questions are propositions or questions which are placed on the ballot and may be voted upon by all voters in the state. Constitutional Amendments are ballot questions. A committee or fund organized only to promote or defeat a ballot question must register and report to the Board within 14 days after it raises or spends in excess of \$100. Committees and funds supporting ballot questions and candidates must also disclose their expenditures on behalf of ballot questions.

HANDBOOKS

Updated versions of the Handbook for Candidates and the Handbook for Political Committees and Funds are at the printer. They should be available by the end of May.

1980 CAMPAIGN FINANCING INFORMATIONAL WORKSHOPS

The Ethical Practices Board will be holding workshops around the state in June and July to review the law, forms, rules and procedures with treasurers, candidates and other interested parties.

Join us at one of the following sessions for a review of the law, including changes made during the 1980 session.

<u>Date</u>	<u>City</u>	<u>Place</u>	<u>Times</u>
June 23	Grand Rapids	Itasca Community College Donovan Hall, Room 203 (Highway 169, east edge of Grand Rapids)	2:00 - 4:00 p.m. 7:30 - 9:30 p.m.
June 24	Moorhead	Moorhead State Bridges Hall, Room 253	2:00 - 4:00 p.m. 7:00 - 9:00 p.m.
June 25	Granite Falls	Area Vocational Technical Institute 200 Building, Room 201 (Highway 212, west edge of Grainite Falls)	2:00 - 4:00 p.m. 7:00 - 9:00 p.m.
June 26	St. Cloud	National Guard Armory 1710 8th Street North	2:00 - 4:00 p.m. 7:00 - 9:00 p.m.
July 21	Windom	Department of Transportation Area Maintenance Office (County Road 26 on southeast edge of Windom)	2:00 - 4:00 p.m. 7:30 - 9:30 p.m.
July 22	Austin	Austin Community College Room B125 14th Street Northwest (1/4 mile south of I-90 on the northwest edge of Austin)	2:00 - 4:00 p.m. 7:00 - 9:00 p.m.
July 29	Duluth	Duluth City Hall Room 324 414 West First Street	2:00 - 4:00 p.m. 7:00 - 9:00 p.m.
July 31	St. Paul	Auditorium (Room 83) State Office Building	2:00 - 4:00 p.m. 7:30 - 9:30 p.m.

Please return the enclosed card for arrangement purposes or in case a workshop is cancelled.

**Campaign
Finance
Disclosure**



LEAGUE OF WOMEN VOTERS OF MINNESOTA

555 WABASHA • ST. PAUL, MINNESOTA 55102

PHONE: (612) 224-5445

MEMO

TO:

Pat

FROM:

Sueley

SUBJECT:

Ballot Campaign

DATE:

7/18/80

I have asked Georgann to establish a separate account with Roseville State Bank. I've read the booklet and would like to talk to you about 1) our reporting deadlines 2) what we tell LLS about spending 3) letter to Hastings asking for contributions - how much is deductible (\$100 is the way I used it) (over)

4) what we can spend the fund on -
primarily - education of our own
members at focus meeting Sept. 24?
AM session will be info - a balanced
presentation - PM will be action -
telling L45 how to handle and promote our
positions on talent questions.

5)
Do you want me or Georgann to go to
the meeting on July 31?



ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

June, 1980

1980

CAMPAIGN FINANCE NEWSLETTER

#2

....

1980 CAMPAIGN FINANCING INFORMATION WORKSHOPS

The Ethical Practices Board will begin holding workshops to review the law, forms, rules and procedures with treasurers, candidates and other interested parties on June 23 in Grand Rapids. Other sessions are scheduled for Moorhead on June 24, Granite Falls on June 25 and St. Cloud on June 26. An afternoon and evening session will be held in each city. Sessions will also be held in Windom, Austin, Duluth and St. Paul in late July. Contact the Board -- 612 - 296-5148 -- for registration information.

A law passed during the 1980 session brings money raised or spent to promote or defeat ballot questions within the disclosure provisions of Chapter 10A. Associations which organize to promote or defeat ballot questions will need to register and report as political committees or funds with the Board. Political committees and funds whose purpose is to influence the nomination or election of a candidate or candidates and which also spend money to promote or defeat ballot questions will be required to disclose both types of contributions and expenditures.

Representatives of groups interested in working to promote or defeat ballot questions are encouraged to attend a workshop.

PUBLIC FINANCING ESTIMATES

An estimate of how much each eligible candidate may expect to receive from the State Elections Campaign Fund will be made available to all filing officers by the opening of filings on July 1.

FILING FOR OFFICE

A packet of information from the Board including a Statement of Economic Interest, a Tax Subsidy Agreement, a Campaign Financing Agreement and a registration form (for committees that need either to amend their existing registration or to register for the first time) will be provided to candidates who file for office.

HANDBOOKS

The 1980 version of the Handbook For Candidates and Principal Campaign Committees is being sent to the treasurer of each registered principal campaign committee with this newsletter. The 1980 Handbook For Political Committees and Funds is being mailed to the treasurers of committees which have registered since the handbook was last distributed.

The Handbooks discuss registration and reporting requirements and contribution and expenditure limits, among other topics.

UPDATED VERSION OF THE LAW

The Board has prepared an insert for the 1979 version of Chapter 10A which contains the additions to and changes in Chapter 10A made during the last session. Copies of the insert and the law itself can be obtained by calling the Board - 612 - 296-5148. Copies of the Campaign Financing Rules are also available.

1979 CAMPAIGN FINANCE SUMMARY INFORMATION

Books summarizing 1979 campaign contributions and expenditures made by principal campaign committees and by political committees and funds shall be available by the end of June. A limited number of books are available free on a first come, first serve basis.

SOME COMMONLY ASKED QUESTIONS

- Q. *What kind of expenses incurred in holding a fund raiser are campaign expenditures?*
- A. The only fund raising expense that is not a campaign expenditure is the cost of food and beverages served at the fund raiser. Those costs do not count toward the campaign expenditure limit or toward the aggregate contribution limit.
- Q. *Can a corporation spend money to promote or defeat a ballot question?*
- A. Yes. A corporation cannot, however, make contributions or expenditures directly or indirectly in an effort to influence the nomination or election of a candidate.
- Q. *What kind of a disclaimer should appear on campaign materials?*
- A. Disclaimers are not mentioned in Chapter 10A. They are discussed in Chapter 210A, the Minnesota Fair Campaign Practices Act in 210A.03 and 210A.05. Chapter 10A and 210A are being published in one booklet in a joint project of the Ethical Practices Board and the Office of the Secretary of State. This booklet which is called the 1980 Minnesota Campaign Manual will be available in mid-June by contacting the Board or the Secretary of State. The responsibility to interpret and enforce Chapter 210A lies with the County Attorney.
- Q. *Can a candidate accept contributions from employee voluntary contribution plans sponsored by corporations?*
- A. Yes. The operation of these plans is described in 9MCAR §1.0014, the Board's Campaign Financing Rules. These contributions are to be reported as contributions from the individual employees NOT the corporation.

If you have questions, call or write the Ethical Practices Board. A few commonly asked questions will appear in each newsletter.

HAVE YOU SENT
IN YOUR CARD
TO ATTEND A
WORKSHOP?

**Campaign
Finance
Disclosure**



JUL 14 1980

ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

July 1980

1980

CAMPAIGN FINANCE NEWSLETTER

#3

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DATES TO REMEMBER

14 days after filing for office - Economic Interest Statement due (does not apply to judicial candidates)

September 1, 1980 - last day to postmark Public Financing Agreement (does not apply to judicial candidates)

September 2, 1980 - Report of Receipts and Expenditures due

If you did not receive a packet of material containing the first two forms described above when you filed, contact the Board and we will send you one. The Report form will be mailed in early August.

TAX CREDIT AGREEMENT

All Tax Credit Agreements for offices to be on the ballot in November 1980, expired as of June 30, 1980. A candidate may sign a new Agreement any time through December 31, 1980. Agreements signed between July 1 and December 31 apply retroactively to contributions received from January 1, 1980. Candidates who sign the Tax Credit Agreement agree to abide by campaign expenditure limits. The Agreements may not be rescinded and remain in effect until the next time filings open for the office held by the signer.

WORKSHOPS

Eighty people attended the informational workshops held in Grand Rapids, Moorhead, Granite Falls and St. Cloud. The sessions focused on the law, rules and procedures for completing the Report of Receipts and Expenditures. Workshops will be held in Windom, Austin, Duluth and St. Paul during the latter part of July. See the earlier newsletters or contact the Board for information.

RECORDKEEPING SYSTEM

The Board has prepared a brief description of a recordkeeping system designed to help treasurers keep track of contributions and expenditures. Contact the Board if you would like to receive these materials.

SOME COMMONLY ASKED QUESTIONS

Q. How does a committee report donations and purchases of items at a fundraising garage sale?

A. Donations of goods to a sale valued in excess of \$20 are to be reported as in kind contributions from donor to committee. Donations in kind valued at \$20 or less are neither recorded nor reported. Money received as payment for items purchased is reported as contributions to the committee by the purchasers. They should be treated in the same manner as any other contributions.

Q. Can an in kind donation be used to claim a tax credit?

A. No. According to the Department of Revenue, in kind donations cannot be used to claim a tax credit. For more information on this and other tax questions, call Dale Busacker at the Revenue Department, (612 - 296-3438).

Q. Is the cost of a post-election party a campaign expenditure?

A. No. Costs for post-election parties are non-campaign disbursements. Costs for pre-election parties (except for food and beverages at a fundraiser) are campaign expenditures.

Q. Is the cost of a poll taken by a party and made available to candidates, a multi-party expenditure?

A. No. Costs for a poll need to be allocated among the committees of the candidates to whom the data is provided. Multi-party expenditures include costs for preparing a sample ballot listing the names of three or more individuals whose names will be on the ballot. Multi-party expenditures are not allocated among candidates. For more details see Minn. Stat. 10A.275.

Q. If a principal campaign committee transfers money to another committee or fund is that a campaign expenditure?

A. No. Such contributions (transfers) are not campaign expenditures. They are, however, included in the aggregate contribution limit for candidates participating in the Public Financing program. If, for example, a Senate Committee has raised \$15,000 in contributions and spent \$15,000 in campaign expenditures, it cannot raise additional sums to contribute (transfer) to other committees and funds. A committee which has raised \$15,000 but spent only \$14,000 on campaign expenditures has \$1,000 which it can contribute (transfer) to other committees and funds if it wishes to do so.

Q. What is the aggregate contribution limit?

A. The aggregate contribution limit, which is applicable in election years only for principal campaign committees of candidates participating in the Public Financing program, means that total contributions accepted by a committee cannot exceed the applicable expenditure limit for the office sought plus non-campaign disbursements and ballot question spending. The cash on hand in a committee's account as of January 1 of the election year and the amount received from Public Financing are included within the aggregate limit. For example, if a House candidate has a cash balance of \$1,000 on January 1 and receives \$1,000 from Public Financing, he/she can raise an additional \$5,500 to reach the aggregate limit of \$7,500. Certain expenditures including non-campaign disbursements (e.g. constituent services for 60 days after sine die adjournment of the legislature) and money spent to promote or defeat a ballot question are not included in the aggregate limit. For example, if a House candidate's committee has raised \$7,500 in contributions but reports spending \$1,000 in constituent services, the committee may raise an additional \$1,000.

Q. How much can a candidate contribute to his own campaign?

A. A candidate can contribute as much as he wishes in cash or in in kind donations up to aggregate limit if the candidate is accepting Public Financing. The spouse and other family members are subject to the contribution limits for that office.

Please call us if you have comments or questions. If you are out of the seven county metropolitan telephone area, call 1-800-652-9747 and tell the operator you want 296-5148.

**Campaign
Finance
Disclosure**



ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

December 1980

1980

CAMPAIGN FINANCE NEWSLETTER

#7

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DATES TO REMEMBER

December 31, 1980 - Last day to sign Tax Subsidy Agreement. A candidate who kept within the campaign expenditure limits may sign an Agreement which entitles his/her contributors to a tax credit on the state tax form. Subsidy Agreements are in effect until filings open in 1982 and bind signers to expenditure limits until that time.

January 31, 1981 - Report of Receipts and Expenditures due. For committees which did not file in September and October, the reporting period is January 1 - December 31, 1980. For other committees, the reporting period is October 21 - December 31, 1980.

IMPORTANT NEW CONSTITUTIONAL AMENDMENT

The constitutional amendment ratified in November will not have a direct impact until 1982. The campaign spending limits for the 1982 election will be adjusted to the Consumer Price Index (C.P.I.) for April 1982. Beginning with the tax year 1980, tax payers may check off \$2 (filing singly) and \$4 (filing jointly) for the State Elections Campaign Fund. Depending on the participation in the check-off and on the level of the C.P.I., the amount of money available for public financing should increase and the expenditure limits will rise substantially.

EXPENDITURE LIMITS AND CONTRIBUTION LIMITS FOR 1981

In 1981, the non-election year limits will apply.

CONTRIBUTION LIMITS

Amounts to Candidates ---

	Non-Election Year
To: <u>GOVERNOR/LT. GOVERNOR</u> (jointly)	
From: An individual, political committee or fund	\$12,000
A political party, in aggregate	\$60,000
To: <u>ATTORNEY GENERAL</u>	
From: An individual, political committee or fund	\$ 2,000
A political party, in aggregate	\$10,000
To: <u>SECRETARY OF STATE, STATE AUDITOR, STATE TREASURER</u> (each)	
From: An individual, political committee or fund	\$ 1,000
A political party, in aggregate	\$ 5,000
To: <u>STATE SENATOR</u>	
From: An individual, political committee or fund	\$ 300
A political party, in aggregate	\$ 1,500
To: <u>STATE REPRESENTATIVE</u>	
From: An individual, political committee or fund	\$ 150
A political party, in aggregate	\$ 750
To: <u>ELECTIVE JUDGESHIPS</u>	
From: An individual, political committee or fund	No Limit
A political party, in aggregate	No Limit

EXPENDITURE LIMITS

	Non-Election Year
GOVERNOR/LT. GOVERNOR	\$120,000
ATTORNEY GENERAL	\$ 20,000
SECRETARY OF STATE, STATE TREASURER, STATE AUDITOR (each)	\$ 10,000
STATE SENATOR	\$ 3,000
STATE REPRESENTATIVE	\$ 1,500
ELECTIVE JUDGESHIPS	No Limit

NOTICE TO NON-REGISTERED CANDIDATES

If you were a candidate for elective office as defined by Minn. Stat. 10A.01, Subd. 5 who did not register a committee with the Board but who spent in excess of \$100 of your own money excluding filing fees to promote your nomination or election, you must file a disclosure statement with the Board. Please contact us.

LATE FILING FEES

If an individual fails to file a statement due January 31, 1981 within seven days after receiving a notice, the Board may impose a late filing fee of \$5 per day, not to exceed \$100, commencing the eighth day after receiving notice.

MATERIALS AVAILABLE

A booklet containing Advisory Opinions #58 - #73 can be obtained free of charge from the Board. Copies of the Annual Report, of Handbooks for Candidates, Political Committees and Funds and Public Officials, and of the law and rules are also available as are Campaign Finance Summaries for 1979. Summaries for the 1980 campaign will be available in the spring of 1981.

SOME COMMONLY ASKED QUESTIONS

- Q. *What happens if a candidate who has signed the Public Financing Agreement exceeds the aggregate contribution limit?*
- A. A candidate who has exceeded the aggregate limit must make a refund to the State with the January 31, 1981 report. The maximum amount of the refund is the sum the candidate received from public financing.
- Q. *Can political committees or funds accept contributions from a federally registered Political Action Committee?*
- A. A federally registered Political Action Committee may contribute if it meets the disclosure requirements of Chapter 10A.20. The filing of a Federal Election Commission Report does not meet the disclosure requirements. Treasurers should also be aware of Minn. Stat. Chapter 210A.34 which prohibits direct or indirect corporate contributions to state candidates. This may create problems for federally registered PACs which accept corporate PAC money and also wish to give to state candidates.
- Q. *How can a committee terminate?*
- A. A committee may terminate at any time as long as it has a cash balance of less than \$100 and no outstanding debts or loans. A final termination report must be filed with the Board.
- Q. *How does a committee report loans which have not been repaid by 12-31-80?*
- A. Loans can be carried over from year to year. If a loan is forgiven, however, it becomes a contribution in the year in which it was made, not in the year in which it is forgiven. (Minn. Stat. Chapter 10A.01, Subd. 7)
- Q. *My committee received a contribution which we would now like to return. Can we do so?*
- A. A committee may return a deposited contribution for up to 60 days after it has been deposited. (Minn. Stat. Chapter 10A.15, Subd. 3)
- Q. *By when should my committee have received all its bills?*
- A. All bills should be presented to the treasurer in writing within 60 days after the material or service is provided. (Minn. Stat. Chapter 10A.18)
- Q. *My campaign committee pays for constituent services in the period between November 5, 1980 and December 31, 1980. How should it be reported?*
- A. Expenses incurred for providing constituent services in an election year after the general election are noncampaign disbursements. (9MCAR § 1.0029 E)
- Q. *Is there a limit on what a candidate's committee can raise in a non-election year?*
- A. No. There are non-election year individual contribution limits and campaign expenditure limits; but there is no non-election year aggregate contribution limit.
-

ATTENTION TREASURERS, CHAIRPERSONS AND CANDIDATES

We mail report forms to the treasurer. If we do not have a correct treasurer, reports may be late and fines can be assessed. It is your responsibility to tell us when your committee or fund has a new treasurer. We should also be told if there is a new chairperson or depository (bank). When you have changes to report, please notify us in writing. We will be happy to send a form on which you can amend your registration.

IF YOU HAVEN'T RECEIVED REPORTING FORMS
for filing January 31 by mid-January,
contact us. (612) 296-1723

**Campaign
Finance
Disclosure**



JUN 12 1980

STATE OF MINNESOTA
STATE ETHICAL PRACTICES BOARD

41 STATE OFFICE BUILDING
ST. PAUL, MINNESOTA 55155
PHONE: (612) 296-5148

Approved by Ethical Practices Board
June 6, 1980

Ms. Pamela Berkwitz, President
Joyce Lake, Action Chair
League of Women Voters of Minnesota
555 Wabasha Street
St. Paul, MN 55102

Re: Ballot Question Support

Dear Ms. Berkwitz and Ms. Lake,

ADVISORY OPINION #67

SUMMARY

#67. The Minnesota League of Women Voters and its state affiliates must register separate political funds to support or oppose a ballot question if, independently, each element of the Minnesota League of Women Voters raises or spends money in excess of \$100 to influence a vote on a ballot question, however, the Minnesota League of Women Voters can establish a single political fund to receive contributions and make expenditures on behalf of all League of Women Voter elements in support or opposition to a statewide ballot question.

FACTS

The Minnesota League of Women Voters is a membership organization with some 68 local affiliate elements. The League of Women Voters will be supporting at least two of the constitutional amendment questions on the November statewide ballot.

QUESTIONS

1. Can the League of Women Voters of Minnesota register a single political fund and report for the entire organization?
2. Does each of the 68 local Leagues, if raising and spending money independently on behalf of a ballot question, have to register and report to the Board?

OPINION

1. In response to question 1, the League of Women Voters of Minnesota may establish a single political fund to receive contributions and make expenditures in support or opposition to a ballot question.

Minn. Stat. 10A.01, subd. 16 defines political fund:

June 6, 1980

Subd. 16. "Political fund" means any accumulation of dues or voluntary contributions by an association other than a political committee, which accumulation is collected or expended for the purpose of influencing the nomination or election of a candidate or for the purpose of promoting or defeating a ballot question.

Under Minn. Stat. 10A.12, subd. 1:

10A.12 POLITICAL FUNDS. Subdivision 1. No association other than a political committee shall transfer more than \$100 in aggregate in any one year to candidates or political committees or make any approved or independent expenditures or expenditure to promote or defeat a ballot question unless the transfer or expenditure is made from a political fund.

Under Minn. Stat. 10A.12, subd. 5:

Subd. 5. Notwithstanding subdivision 1, any association may, if not prohibited by other law, deposit in its political fund money derived from dues or membership fees. Pursuant to section 10A.20, the treasurer of the fund shall disclose the name of any member whose dues, membership fees and contributions deposited in the political fund together exceed \$50 in any one year.

Any association may transfer money from its treasury to its political fund. The association has then satisfied the requirement of the statute. The treasurer of the state political fund would be required to register a political fund when in excess of \$100 is raised or spent to support or oppose a ballot question.

The treasurer of the state political fund shall disclose all contributions received from individuals or local League of Women Voters in excess of \$100. As set forth in Minn. Stat. 10A.12, subd. 5, the treasurer of the political fund shall disclose the name of any member whose dues, membership fees, and contributions deposited in the political fund together exceed \$50 in any one year. Each local League element may designate the state League of Women Voters of Minnesota Political Fund and transfer dues, contributions or membership fees to the political fund. The local affiliate would not be required to register and report to the Board, provided it did not make contributions and expenditures directly in its own name in support or opposition to a ballot question.

2. Yes, a local League of Women Voters element would be required to register and report contributions and expenditures to promote or defeat a ballot question when the local League spent directly in excess of \$100 if such activity was not in the name of and not under the direct control of the State League of Women Voters Political Fund.

Sincerely,

Elizabeth Ebbott

Elizabeth Ebbott
Chairperson
Minnesota Ethical Practices Board

EE:cg



AUG 18 1980

ETHICAL PRACTICES BOARD

41 State Office Building
St. Paul, Minnesota 55155
612 - 296-5148

August 1980

1980

CAMPAIGN FINANCE NEWSLETTER

#4

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DATES TO REMEMBER

September 1, 1980 - Last day for legislative candidates to postmark Public Finance Agreement. Agreements hand carried to the Board must be in the office by 4:30 p.m. on August 29. The office will be closed Labor Day, September 1, 1980.

September 2, 1980 - Report of Receipts and Expenditures due. Report must be filed by treasurers of ALL non-candidate political committees and funds and by treasurers of principal campaign committees if the candidate is on the ballot in November. Committees of candidates not on the ballot in November file on January 31, 1981. Candidates who did not establish a committee but who spent in excess of \$100, not including filing fees, are required to file the September 2 report. The report covers the period from January 1 through August 22, 1980.

October 27, 1980 - Report of Receipts and Expenditures due. This report covers the period from August 23 through October 20, 1980.

REPORTING REQUIRED OF LAST MINUTE CONTRIBUTIONS

According to Minn. Stat. Chapter 10A.20, Subd. 5, a legislative candidate receiving contributions totaling \$200 or more from an individual or association from August 23 through September 9, must report the contribution to the Ethical Practices by telegram or in person within 48 hours after receiving the contribution. The contribution must also be included in the Report of Receipts and Expenditures to be filed by October 27.

A telegram must contain:

Name of Candidate
Name of Contributor
Amount of Contribution
Date Contribution Received

Western Union Toll Free # 1-800-325-5300.

BALLOT QUESTION EXPENDITURES

The Board has issued Advisory Opinion #65 in which it discusses ballot question spending by candidates and their committees. The Board has concluded that "Expenditures by a candidate or by a candidate's principal campaign committee ... will not be considered to promote or defeat a ballot question if the communication clearly identifies the candidate."

Copies of this Opinion and other Advisory Opinion issues by the Board are available free from the Board.

1979 CAMPAIGN FINANCE SUMMARIES

Summaries of 1979 contributions to and campaign expenditures by principal campaign committees of officeholders, judicial candidates, and candidates for special elections and by other registered political committees and funds have been published by the Board and are available free on a first come, first serve basis.

DISTRIBUTION OF MONEY FROM STATE ELECTIONS CAMPAIGN FUND

The first distribution of public funds will occur after the primary. These will be the funds from the Party Accounts and the checks will be mailed no later than four weeks after the primary. The second distribution will occur after the general election and will be funds from the General Account. If less than 98% of the tax returns have been processed by the time the Commissioner of Revenue must certify the funds to be distributed, a third distribution of funds will occur.

SOME COMMONLY ASKED QUESTIONS

- Q. *If a candidate or incumbent for one state office runs for a different state office, does he/she need to establish a new committee? If so, can money be transferred from the old committee to the new one?*
- A. Yes, a candidate or incumbent who runs for a different office must register a NEW committee. The old committee may transfer funds to the new committee up to the applicable contribution limit, \$750 for a House candidate, \$1,500 for a Senate candidate.
- Q. *For what kind of expenditures can public money be used?*
- A. Money from the State Elections Campaign Fund can be used only for campaign expenditures. If a candidate receives more in public funds than he/she spends on campaign expenditures, the unspent money must be returned.
- Q. *When will the first Report of Receipts and Expenditures be mailed?*
- A. The report form and an instruction book will be mailed in early August to all treasurers of committees and funds who are required to file with the Ethical Practices Board on September 2, 1980. Candidates will receive a copy of the notice of filing as will chairpeople of non-candidate political committees and funds. If the treasurer does not receive a copy of the report by mid-August, please contact the Board at 296-5148. It is possible that we do not have a current treasurer in our records. If your committee has a new treasurer, please inform the Board as soon as possible.
- Q. *What information must be included on Schedule A of the report on which contributions of in excess of \$50 in aggregate are disclosed?*
- A. The name, address and place of employment or occupation if self-employed of each contributor who gives in excess of \$50 must be disclosed. It is the contributor's responsibility to provide this information.
- Q. *How does a treasurer determine the beginning cash balance for the September 2 report?*
- A. The beginning cash balance for the September 2 report must be the same as the cash balance reported in the report that was due January 31, 1980. For committees and funds registered since January 1, 1980 the cash balance at the beginning of reporting period is \$0.

LATE FILING FEES

A late filing fee of \$50.00 per day will be imposed commencing September 8 for all late reports. The maximum fine is \$500.

Campaign Finance Disclosure

RECORDKEEPING SYSTEM

SUGGESTED PROCEDURES

The following procedures with Receipt and Expenditure Card examples can be used as a guide in setting up and maintaining your recordkeeping system. For the sake of brevity, this system as designed may not fulfill all of the reporting/disclosure requirements for your committee. Rather, this system should be so modified, as you see necessary, to fulfill those reporting/disclosure requirements contained in M.S. Chapter 10A for your particular committee's receipt and expenditure transactions.

CONTRIBUTIONS - For contributions of greater than \$20 from any one source:

Who Does It

Action

Contributor

Forwards check to your committee or fund, disclosing name, address, employer or, if self-employed, occupation.

Treasurer/Deputy Treasurer

Receives check, completes receipt card for contributor and deposits check promptly. If contribution exceeds contribution limit, either

a) returns check to contributor immediately and notes return on contributor's card,

or

b) deposits check and immediately issues a check for excess amount to contributor and notes return on contributor's card.

Treasurer/Deputy Treasurer

Prepares Report of Receipts and Expenditures by:

a) going through contributor cards and itemizing on Schedule A all contributions which must be disclosed (for legislative candidates greater than \$50 in aggregate),

b) adding itemized amounts, those amounts not requiring itemization but for which contributor cards have been prepared, and the total amount of contributions received which were \$20.00 or less from any one source,

c) entering total contributions received on page 2 of Report of Receipts and Expenditures,

d) completing contributor card by indicating date of Report of Receipts and Expenditures on which contribution is being reported.

EXPENDITURES - For all campaign and ballot question expenditures to any one source:

Who Does It

Action.

Treasurer/Deputy Treasurer	Authorizes expenditure.
Committee	Incurs expenditure.
Treasurer/Deputy Treasurer	Determines whether expenditure is a campaign, contribution (transfer), ballot question, or non-campaign expenditure* and completes appropriate expenditure card.
Treasurer/Deputy Treasurer	<ol style="list-style-type: none">1. Pays expenditure (obtains receipted bill, stating particulars for every expenditure in excess of \$100).2. Records on expenditure card date paid and amount paid.3. Prepares Report of Receipts and Expenditures by:<ol style="list-style-type: none">a) going through expenditure cards and itemizing on the appropriate schedules expenditures in excess of \$100 in aggregate paid <u>OR</u> owed to any one source,b) adding itemized expenditures and those not requiring itemizing and reporting them in appropriate places on the Report of Receipts and Expenditures,c) completing expenditure cards by indicating date of Report of Receipts and Expenditures on which expenditures are being reported.

*Noncampaign expenditures are not required to be itemized. The total amount of noncampaign expenditures is reported on the Report of Receipts and Expenditures.

CONTRIBUTIONS Receipt Card

Name _____ Employer, or if self-employed,
 Address _____ list occupation _____

Amount received. _____

Date	(If in-kind contri- bution, list item & fair market value)	Year-to-Date Contribution	Date Reported on Report of Receipts and Expenditures
<u>Received</u>	<u>fair market value)</u>	<u>Contribution</u>	<u>and Expenditures</u>

CAMPAIGN Expenditure Card

Name _____
 Address _____

Date	\$ Amount	Purpose of	Date	\$ Amount	Date Reported on
<u>Incurred</u>	<u>Incurred</u>	<u>Expenditure</u>	<u>Paid</u>	<u>Paid</u>	<u>Report of Receipts</u> <u>and Expenditures</u>

BALLOT QUESTION Expenditure Card

Name

Address

Date Re-

ported on

Report of

Date	\$ Amount	Purpose	Identify	Date	\$ Amount	Receipts &
<u>Incurred</u>	<u>Incurred</u>	<u>of Ex-</u>	<u>Ballot</u>	<u>Paid</u>	<u>Paid</u>	<u>Expend's.</u>
		<u>penditure</u>	<u>Question</u>			

CONTRIBUTIONS (Transfers) Expenditure Card

Name of Committee

Address

Amount contributed.

Date	(If in-kind contri-	Year-to-Date	Date Reported on
Contributed	bution, list item &	Contributed	Report of Receipts
<u>(Transferred)</u>	<u>fair market value.)</u>	<u>(Transferred)</u>	<u>and Expenditures</u>