



**AUC EXECUTIVE COMMITTEE AGENDA and Meeting Materials**

**Tuesday, July 14, 2015 1:00 p.m. – 3:00 p.m.**

**[Note date and time.]**

[HealthPartners-Bloomington](#)  
8170 Building, 1W Walnut Room

\*Teleconference and WebEx **OPTION\***  
Dial-in # **1-712-832-8300**, Access Code: 337213  
[WebEx](#), Password: Exc2010!

**Meeting Objectives:**

- Review/discuss several updates and follow-up
- Planning, priority setting for the remainder of the year

**TENTATIVE AGENDA (DRAFT)**

Agenda Item	Page of this handout
I. Meeting to order – Ann Hale	
II. Anti-trust statement	
III. <a href="#">Review of notes from the previous meeting</a>	2
IV. <a href="#">Updates/Old business</a> <ul style="list-style-type: none"> <li>a. Follow-up from June 9 Operations meeting</li> <li>b. Recent AUC voting/polling results</li> <li>c. TAG/SBAR update</li> <li>d. SIM Data Analytics update/planning</li> <li>e. HPID RFI response status</li> </ul>	3
V. <a href="#">New business</a> <ul style="list-style-type: none"> <li>a) Rx PA form revisions</li> <li>b) New Article for Minnesota Physician re. ICD-10</li> <li>c) MN Rural Health Conference</li> <li>d) Newsletter</li> <li>e) Planning, priority setting for the remainder of the year</li> </ul> <p>f) – SBAR to modify claims attachment cover sheet</p>	5
VI. Other business	
VII. Next meeting: 8:30 am – 10:30 am, August 3, 2015 HealthPartners, Walnut Room	

### III. AUC EXECUTIVE COMMITTEE -- Meeting notes for June 1, 2015 meeting

Agenda Item	Discussion/Follow-up
1) Meeting to order – Ann Hale	Ann Hale convened the meeting at approximately 8:30.
2) Anti-trust statement	Ann reminded members of the <a href="#">AUC anti-trust statement</a> .
3) <a href="#">Review of notes from the previous meeting</a>	The minutes were approved.
4) <a href="#">Updates/Old business</a>	
a) Planning for June 9 Operations meeting	A draft agenda for the June 9 Operations Committee meeting was reviewed and approved with two additions: a brief legislative update and discussion of a CMS HPID RFI.
b) TAG/SBAR update	The TAG and SBAR summaries published in the most recent edition of the AUC monthly newsletter were reviewed and discussed.
5) <a href="#">New business</a>	
a. Eligibility SBARs for Ops vote – voting results	In late May, the Operations Committee was asked to vote on 4 items over the Memorial Day holiday. An AUC member questioned whether there was sufficient voting opportunity, given the holiday. It was agreed that if AUC voting occurred over a holiday, extra time would be given, and it was agreed that the AUC voting period would be extended. In addition, during the vote, an AUC member questioned whether one of the items, a proposed best practice (for “Service Type 60” responses to the 270-271 transaction), was consistent with the 270-271 HIPAA implementation guide. The committee remanded the issue to the Eligibility TAG for further review and discussion.
b. NCVHS Testimony update	AUC Eligibility and EOB/Remit TAG responses to an NCVHS request for testimony and plans to complete the testimony development and Operations vote were reviewed and discussed.
c. Brief legislative update	Dave Haugen briefly discussed a legislative proposal by the Department of Labor and Industry that was enacted during the 2015 Minnesota legislative session. The law, <a href="#">Chapter 43 of 2015 Minnesota Session Laws</a> , requires that workers’ compensation payers must provide certain information to facilitate electronic transactions, and must exchange claims attachments electronically, using the X12 275 transaction, starting July 1, 2016.
d. SIM Data Analytics	Dave Haugen updated the group regarding meetings of the State Innovation Model (SIM) Community Advisory Task Force and Multi-Payer Alignment Task Force on May 20. The meeting included a brief summary of the SIM Data Analytics subgroup phase 1 report and discussion of the start of phase II. In discussion, the AUC was suggested as a resource to aid in

### III. AUC EXECUTIVE COMMITTEE -- Meeting notes for June 1, 2015 meeting

Agenda Item	Discussion/Follow-up
<p>update/planning</p> <p>e. Article for Minnesota Physician</p> <p>f. CMS RFI re. HPID Implementation</p>	<p>planned standardization of ACO data analytics. A meeting was scheduled June 8 with MDH, AUC Exec, and the co-chairs of the SIM ACO Data Analytics subgroup to discuss a possible role of the AUC and possible next steps.</p> <p>Dave Haugen is submitting an article for the Minnesota Physician publication regarding the state's administrative simplification initiative and provided an update on the status of the article.</p> <p>The federal Centers for Medicare and Medicaid Services (CMS) published a request for information (RFI) on May 29 seeking public comments regarding the health plan identifier (HPID) by July 28. The committee agreed that the AUC should develop and submit an RFI response, and Ann Hale will contact Dave Anderson, chair of the HPID TAG, to coordinate with the TAG. Ann will explore possible conference room availability and dates for a meeting of the HPID TAG to begin development of the response.</p>
6) Other business	There was no other business and the meeting was adjourned.

### IV. Updates/Old Business

Agenda item	Discussion/follow-up
a. TAG/SBAR update	<p><u>Operations Committee</u></p> <p>The Operations Committee met June 9 and:</p> <ul style="list-style-type: none"> <li>• Celebrated Bob Aliperto's tenure as AUC co-chair in 2014 with a plaque and refreshments;</li> <li>• Discussed draft AUC testimony to NCVHS regarding HIPAA-adopted administrative transactions;</li> <li>• Reviewed TAG updates;</li> <li>• Discussed a CMS RFI regarding HPID, and plans to develop an AUC response;</li> <li>• Discussed law enacted as a result of DLI proposal to require that workers' compensation payers must post information to facilitate connectivity for electronic transactions and that claims attachments be sent electronically starting July 1, 2016.</li> <li>• Discussed payer implementation of AUC Best Practices for Exchanging Grace Period Notifications;</li> <li>• Reviewed work plans and timelines for the next round of companion guide maintenance;</li> <li>• Discussed interests in the AUC playing a possible role in standardizing ACO data analytics and possible next steps;</li> <li>• Discussed the re-formation of the Acknowledgment TAG to review the Acknowledgment companion guides for any</li> </ul>

**IV. Updates/Old Business**

Agenda item	Discussion/follow-up
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	<p>updates;</p> <ul style="list-style-type: none"> <li>Discussed community wide ICD-10 readiness efforts involving many AUC members.</li> </ul> <p><u>Medical Code TAG</u></p> <p>The MCT met June 11. The TAG reviewed a number of continuing open issues, including several DHS issues still requiring federal CMS approval. The TAG co-chair, Faith Bauer, will update the MN Community Coding Practice/Recommendation Table to show coding recommendation changes agreed to by the TAG and AUC Operations. The TAG made plans to review an SBAR regarding memory care, and for reviewing the Medicare claims processing manual, for any changes to the companion guides and/or coding recommendations. The TAG’s next regularly scheduled meeting is July 28.</p> <p><u>Claims DD TAG</u></p> <p>The Claims DD TAG met June 3 to complete its review of AUC comments to NCVHS and approved the comments in an email vote completed on June 8. The Claims DD TAG meeting does not have a future meeting planned at this time. Co-chair Sue Lee said today that the TAG will meet 8/12/2015.</p> <p><u>Eligibility TAG</u></p> <p>The Eligibility TAG had previously prepared and approved a best practice with instructions for information to return on the 271 Eligibility Inquiry Response transaction in response to a submission of a “Service Type 60” benefits inquiry. However, during a subsequent vote by the full AUC Operations Committee, a question was raised as to whether the best practice was consistent with the HIPAA-adopted implementation guide for the transaction. As a result, the AUC Executive Committee asked that the issue be remanded to the TAG for further review and discussion, with a final Operations vote pending the TAG’s outcome. The TAG met on June 22 and after review, adopted two clarifying changes to the best practice to address the concerns that it was not HIPAA compliant. The changes included:</p> <ul style="list-style-type: none"> <li>Clarifying that the best practices applies to “Information Sources <u>that support the Service Type 60</u> and Receivers;” and</li> <li>Adding a note stating: “Note: <u>If information sources do not support the Service Type 60 then a standard HIPAA-compliant Service Type 30 response should be returned.</u>”</li> </ul> <p>The revised best practices with the changes above will be submitted to AUC Operations for its vote to approve in the near future.</p> <p><u>HPID TAG</u></p>
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<b>IV. Updates/Old Business</b>	
<b>Agenda item</b>	<b>Discussion/follow-up</b>
	<p>The HPID TAG met June 25 to develop a response to a CMS RFI regarding Health Plan Identifier (HPID). The TAG is now completing an e-mail vote regarding its response, with votes due by Wednesday this week (July 8). If approved, the response will be sent to AUC Operations for an email vote.</p> <p><u>Executive Committee</u> See meeting notes above.</p>
b. Follow-up from June 9 Operations meeting	<p>AUC testimony to NCVHS discussed at the June 9 meeting was approved in an email vote by Operations and was submitted to NCVHS on 6/17/2015.</p> <p>The Eligibility TAG met, discussed the Service Type 60 Best Practice, and agreed to clarifying changes to the best practice. The best practice must now be sent to the AUC Operations Committee for its review and a vote.</p>
c. Recent AUC voting/polling results	<p>Dave Haugen emailed the exec committee with results of recently completed AUC votes and polling. Three SBARs initially voted on immediately prior to Memorial Day received additional time for voting and were passed. Ann Hale requested that AUC payers also submit information regarding their implementation of the AUC's best practices for reporting patients enrolled through a health insurance exchange (HIX) and who had entered a three month grace period for nonpayment of premiums. Response rates were very low for the SBAR votes and the HIX grace period update. Ann has asked that this issue of AUC voting be added as an agenda item for the September Operations meeting.</p>
d. SIM Data Analytics update/planning	<p>Ann Hale sent MDH and DHS a request on June 30 that they complete and return to the AUC Executive Committee an SBAR with additional information regarding a possible role for the AUC in standardizing ACO data analytics. I received a meeting invitation from DHS to meet this Wednesday to discuss the SBAR.</p>
e. HPID RFI response status	<p>As noted above in the HPID TAG update, a draft HPID RFI response was developed with the HPID TAG and is out for the TAG's vote, to be completed July 15.</p>

<b>V. New Business</b>	
<b>Agenda Item</b>	<b>Discussion/Follow-up</b>
<ul style="list-style-type: none"> <li>Rx PA form revisions</li> </ul>	<p>A number of years ago the AUC helped create a standard "<a href="#"><u>Minnesota Uniform Form for Prescription Drug Prior Authorization (PA) Requests and Formulary Exceptions</u></a>." The form has not been updated for some time, and DHS noticed that it still contains a reference to ICD-9 that needs to be updated. In addition, DHS is proposing two additional updates/revisions. New question – is form needed at all given electronic req. 1/1/2015?</p>

## V. New Business

<ul style="list-style-type: none"> <li>• New Article for Minnesota Physician re. ICD-10</li> </ul>	<p>Dave Haugen recently completed and submitted an article for the "Minnesota Physician" re. the state's health care administrative simplification initiative. In recent discussions with the publication's editor, Dave suggested that Minnesota Physician run an ICD-10 article. The editor agreed, and Dave will be submitting another article by a July 20 deadline. Dave collaborating on article with Dr. Terry Cahill of Blue Earth.</p>
<ul style="list-style-type: none"> <li>• MN Rural Health Conference</li> </ul>	<p>Dave Haugen and Judy Edwards wish to thank the AUC members (CentraCare, DHS, Minnesota Chiropractic Assn., Ridgeview Medical Center) that contributed two days of their time and travel expense to help present at three different ICD-10 venues during the annual state rural health conference in Duluth June 29-30. The attendance at the ICD-10 venues was often light, but it was important to have a presence at the conference.</p>
<ul style="list-style-type: none"> <li>• Newsletter</li> </ul>	<p>MDH will be sending a preview copy of the AUC monthly newsletter to the Exec Committee in the near future. Story ideas so far:</p> <ul style="list-style-type: none"> <li>• TAG and SBAR updates;</li> <li>• thank yous to the AUC members who participated at the Rural Health Conference;</li> <li>• a feature regarding a CMS announcement today (7/6) that it is teaming with the AMA and taking other steps to improve ICD-10 readiness and mitigate risks associated with the ICD-10 transition;</li> <li>• a note that the AUC is developing a response to the CMS HPID RFI;</li> <li>• Others?</li> </ul>
<ul style="list-style-type: none"> <li>• Planning, priority setting for the remainder of the year</li> </ul>	<p>For discussion -- possible priorities for the remainder of the year:</p> <ul style="list-style-type: none"> <li>• Submit response to HPID RFI and any other possible national/federal responses</li> <li>• Continue to support ICD-10 Implementation</li> <li>• Companion guide annual maintenance</li> <li>• Technical assistance (especially re. acknowledgments, property-casualty, use of forms and processes)</li> <li>• If agreed to by AUC, assistance with standardization of ACO data analytics</li> <li>• New types of delivery/payment (e.g., bundled payment) – Medicare bundled payment announcement re. "Comprehensive Care for Joint Replacement Model"</li> <li>• Other?</li> </ul>

Note -- for follow-up:

- Two votes to Ops:
  - Svc Type 60 BP
  - HPID comments
- Possible meeting of Rx ePA group (to modify form?)