



AUC EXECUTIVE COMMITTEE AGENDA and Meeting Materials

Monday, January 4, 2016 8:30 a.m. – 10:30 a.m.

[HealthPartners-Bloomington](#)

8170 Building, 1W Walnut Room

*Teleconference and WebEx **OPTION***

Dial-in # **1-712-832-8300**, Access Code: 337213

[WebEx](#), Password: Exc2010!

Meeting Objectives:

- Updates and planning
- MDH surveys – Discuss Results Based Accountability (RBA) customer satisfaction survey and survey of pain points/priorities for 2016

Meeting materials contents (following this cover page):

- I. Draft meeting agenda
- II. Discussion guide -- Old Business
- III. Discussion guide -- New Business

This page left blank.

Section I: 1/4/16 AUC Exec Meeting Agenda

1/4/16 Agenda item	Notes
A. Meeting to order – Ann Hale	
B. Anti-trust statement	
C. Updates/Old business	
1. Review of notes from the previous meeting	See notes of the 12/7/15 meeting in the next section (C1)
2. Review of recent AUC votes	See section C2 below
3. Update – companion guide maintenance	See section C3
4. TAG/SBAR update	See section C4
5. ACO Data Analytics update	See section C5
6. Public comment on rulemaking process used for companion guides	See section C6
D. New business <ul style="list-style-type: none"> a. Surveys <ul style="list-style-type: none"> i. “Customer satisfaction” ii. Pain points and priorities 	See section Di See section Dii
E. Other business	See section E
F. Next meeting: February 1, 2016 8:30am - 10:30am <ul style="list-style-type: none"> a. Health Partners 8170 Bldng, 6W - Lilac Room 	

Section I: 1/4/16 AUC Exec Meeting Agenda

This page left blank.

Section II: Discussion Guide – Old business

C1: Notes of the previous AUC Exec Committee meeting (12/7/15)	
12/7/15 Exec Committee Agenda item	Notes
A. Meeting to order – Ann Hale	Ann Hale called the meeting to order at approximately 8:30 am.
B. Anti-trust statement	Ann reminded the group that the AUC anti-trust statement would be followed during the meeting.
C. Review of notes from the previous meeting	Dave Haugen reviewed the meeting notes from 11/2/15 meeting. The notes were approved.
D. Updates/Old business	
1. Update – companion guide maintenance	Dave Haugen reviewed a companion guide maintenance summary sent to the Committee in advance of the meeting with the meeting agenda. The summary is also available on the Committee meeting webpage in the meeting materials for the Dec. 7, 2015 meeting . Dave also noted that in addition to continued annual companion guide maintenance, it will also be important for the AUC to identify technical support needs, and to plan to address them.
2. TAG/SBAR update	
3. Rx PA form update	Dave reported that the Minnesota Department of Health (MDH) had updated the Prescription Drug Prior Authorization form on the MDH website and will publicize the update.
4. ACO Data Analytics TAG update	Dave reported that MDH had received ACO member file data dictionary and data formats requested at the first TAG meeting on November 2. MDH was reviewing and summarizing the responses for presentation to the TAG at its next meeting scheduled for December 16.
E. New business	Dave Haugen reviewed and incorporated the new business items as part of a preview of a powerpoint presentation planned for the December 8 AUC Operations Committee meeting. He noted that: <ul style="list-style-type: none"> Minnesota Statutes 62J.61 requires a biennial review of the rulemaking process used by MDH in adopting Minnesota Uniform Companion Guide rules. The review process includes a 30 day public comment period and public hearing. MDH announced that the public hearing will be December 22, 2015. The Executive Committee
1. Public comment on rulemaking process used for companion guides	

Section II: Discussion Guide – Old business

C1: Notes of the previous AUC Exec Committee meeting (12/7/15)	
12/7/15 Exec Committee Agenda item	Notes
<p>2. Activities for medical code TAG – monthly assignments for coding corner in Newsletter, monthly research assignments</p> <p>3. Plan for Ops meeting on December 8</p> <p style="padding-left: 20px;">a. Public comment on rulemaking process used for companion guides</p> <p style="padding-left: 20px;">b. Vote on Dave Andersen as co-chair elect</p> <p style="padding-left: 20px;">c. Continued exception from eligibility requirements for entities not covered by HIPAA</p>	<p>reviewed and approved an AUC comment to be submitted as part of the public comment process. The comment will also be brought forward for consideration at the December 8 AUC Operations meeting.</p> <ul style="list-style-type: none"> • MDH is soliciting monthly newsletter articles and will be requesting other assistance from the Medical Code TAG on an ongoing basis. <p>Dave briefly summarized plans for the AUC Operations Committee meeting December 8, including the listed agenda items, by noting:</p> <p>As discussed above for item E.1.</p> <p>AUC leadership rotates annually; Dave Andersen, a payer representative, will be voted on at the meeting to serve as co-chair elect.</p> <p>Each year MDH consults with the AUC regarding continuation of a year-year limited exception from the requirements for the standard, electronic exchange of the eligibility inquiry and response transaction (270/271). The exception applies only to payers not subject to federal HIPAA requirements, only to the 270/271 transaction, and only for the year in which the exception is made. The Eligibility TAG approved continuation of the exception through 2016, and the Operations Committee will consider the issue for a vote at the December 8 meeting.</p>

Section II: Discussion Guide – Old business

C1: Notes of the previous AUC Exec Committee meeting (12/7/15)	
12/7/15 Exec Committee Agenda item	Notes
d. 2015 Accomplishments, 2016 planning	Dave discussed a number AUC activities and accomplishments that will be presented at the Dec. 8 Operations meeting, as well as possible planning considerations for 2016 that will also be presented at the meeting.
e. MDH SharePoint site	Dave reported that a SharePoint site is being set up for use by AUC primary and secondary members. More information will be provided in subsequent communications regarding accessing and using the site.
F. Other business	A question was raised as to whether MDH will be submitting or was aware of any administrative simplification-related legislation during the upcoming state legislative session. Dave Haugen answered that he was not aware of any such legislative proposals.
G. Next meeting: January 4, 2015 8:30am - 10:30am a. Health Partners 8170 Bldng, 6W - Lilac Room	The Executive Committee meeting was adjourned at approximately 10:20 am.
Back to 1/4/16 AUC Exec Committee Meeting Agenda	

Section II: Discussion guide -- Old business

This page left blank.

Section II: Discussion guide -- Old business

C2 – Recent AUC votes

AUC Operations

Per the [most recent AUC monthly newsletter](#):

- Dave Andersen was approved as co-chair elect for 2016
- Continued limited exception for payers not subject to HIPAA from 270-271 requirements approved for 2016
- AUC statement in support of MDH rulemaking process for companion guide rules was approved and submitted to MDH

Home Health Uniform PA form TAG

- Approved Home Health Uniform PA form (now goes to Ops for final approval)

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)

Section II: Discussion guide – Old business

This page left blank.

Section II: Discussion guide – Old business

C3 – Update, companion guide annual maintenance

Background, discussion		
Transaction Category	Transaction	Maintenance status
Eligibility	Health Care Eligibility Benefit Inquiry and Response (270/271) (PDF)	No updates at this time
Claims, including applicable medical coding requirements	Health Care Claim: Professional (837) (PDF)	Notice of proposed changes/public comment scheduled for January 11, 2016
	Health Care Claim: Institutional (837) (PDF)	
	Health Care Claim: Dental (837) (PDF)	No updates at this time
	Pharmacy Claim Submission and Response (NCPDP D.0) (PDF)	No updates at this time
	Pharmacy Reversal Submission and Response (NCPDP D.0) (PDF)	
Payment/Advice	Health Care Claim Payment/Advice (835) (PDF)	One sentence addition re. DLI workers compensation requirement
Acknowledgments	Health Care Claim Acknowledgment (277CA) (PDF)	State Register notice of proposed changes and announcement of 30 day public comment period published November 23, 2015. Comment period ended December 22 – no comments were received.
	Implementation Acknowledgment for Health Care Insurance (999) (PDF)	State Register notice of proposed changes and announcement of 30 day public comment period published December 14, 2015. No comments to date.
	Interchange Acknowledgment Segment (TA1) (PDF)	
Prescription Drug Prior Author-ization	Minnesota Companion Guide Version 1.1 for the Implementation of NCPDP Electronic Prior Authorization (ePA) Transactions [NCPDP SCRIPT Standard version 2013101] (PDF)	No changes

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)

Section II: Discussion guide – Old business

This page left blank.

Section II: Discussion guide – Old business

C4 – TAG, SBAR update

See summary of recent TAG activity in [latest AUC monthly newsletter](#)

We will have an SBAR status update at the Exec meeting.

Upcoming TAG activity:

TAGs	Focus-Improving particular administrative transactions/processes
Eligibility	Next meeting is scheduled for Jan. 27. Agenda not yet available.
Claims Data Definition	Next meeting is scheduled for Feb. 3. Agenda not yet available.
Medical Code	Scheduled to meet Jan. 14 - Agenda not yet available
Explanation of Benefits/ Remittance Advice	Scheduled to meet Jan. 19 - Agenda not yet available
Acknowledgments	Scheduled to meet Jan. 25 to review any public comments re proposed Acknowledgment companion guide changes
Prescription Drug Electronic Prior Authorizations	No meetings scheduled
ACO Data Analytics	If needed, meets Jan. 14 to review and approve TAG findings and recommendations
Home Health Prior Authorization Form	TAG approved form – MDH will send to Ops for email vote. No further meetings planned at this time.
Legislative	No meetings planned
Operations	Next regularly scheduled quarterly meeting is March 8.
Executive	See planning discussion below

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)

Section II: Discussion guide – Old business

This page left blank.

Section II: Discussion guide – Old business

C5 – ACO Data Analytics TAG update

The TAG met Dec. 16 and reviewed and discussed examples of member enrollment file data dictionaries and record layouts. Based on the discussion, the TAG agreed to several recommendations, including that the file formats be based on standard HIPAA transactions. MDH researched the HIPAA transactions and provided the TAG with additional information and examples of how data elements of interest would be exchanged based on the transactions.

The TAG is now reviewing MDH's summary. If there are no major issues or questions by January 7, MDH will send the TAG an email voting form to approve the recommendations and examples. If a meeting is needed to complete the process, a meeting is scheduled for January 14.

C6 - Public comment on rulemaking process used for companion guides

MDH received one comment, the AUC's, during the public comment period. We will be providing the AUC our report per statute at the 1/14/16 Exec Committee meeting.

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)

Section III: Discussion guide – **New business**

This page left blank.

Section III: Discussion guide – **New business**

Di. Customer satisfaction survey:

We conduct an annual “customer satisfaction” survey of the AUC for feedback on MDH’s work with the AUC. We would like to conduct the survey in the near future, using a tool similar to the one used last year. We will bring a copy of a draft survey instrument to the Exec Committee meeting.

Dii. Pain points and priorities survey:

We attempted a couple of years ago to survey the AUC regarding key administrative simplification “pain points” and priorities that members felt should be addressed. For a number of reasons, the usefulness of the first effort was limited. We would like to discuss a second attempt with Exec.

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)

Section III: Discussion guide – **New business**

This page left blank.

Section III: Discussion guide – **New business**

E. Planning

A summary 2016 calendar is provided on the following page to aid any preliminary planning discussions. Some AUC 2016 activity – for example, the annual companion guide maintenance and the annual renewal of the statutory exception from the 270-271 requirements – can already be anticipated and be largely scheduled at this time. Other upcoming national events of possible interest to the AUC, such as CORE’s ongoing maintenance of the operating rules, and X12’s initial plans for public comment on the proposed next version of the transaction standards (7030) have also been announced. Other possible developments such as CMS’s decisions about implementing HPID and health plan certification are largely unknown at this time but could affect MDH and the AUC’s priorities and activities. The Exec Committee may also want to establish particular goals for 2016, or change the standing agenda and content for Exec meetings.

Section III: Discussion guide – New business

	2016											
	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
Ops Qtrly Mtgs			X			X			X			X
Ann. Companion Guide Maint.												
Ann. review of statutory except.												
DLI 275 attachment req.							X					
CORE												
Complicance Based Reviews	3 x YEAR											
Market Based Reviews												
WEDI												
X12												
7030 comment												
Wild cards												
HPID and cert.												
CORE phase IV rules												

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)

Section IV: Discussion guide – **Other** business

Other business?

Next Exec meeting: February 1, 2016 8:30am - 10:30am

Health Partners 8170 Bldng, 6W - Lilac Room

[Back to 1/4/16 AUC Exec Committee Meeting Agenda](#)