



AGENDA – MEDICAL CODE TECHNICAL ADVISORY GROUP (MCT)

Tuesday, February 23, 2016

9:00 a.m. to 12:00 a.m.

Location: HealthPartners, 8170 Building, Bloomington, St. Croix – 1st floor

Webex Information

Teleconference Information:

Call-in line: 1-712-832-8300

Participant Access Code: 337213#

Callers are responsible for any long distance charges.

1. To start the webex session, go to:
<https://health-state-mn-ustraining.webex.com>.
2. Under “Attend a Session “click “Live Sessions”
3. Click on the session for “AUC Medical Code TAG”
4. Provide your name, email address, and the following password: Mct2010! (Note: the password must be typed in; it cannot be cut and pasted. The exclamation point is part of the password)
5. Click “Join now”

1. Welcome and Introductions

- **Attendance tracking:** Deb Sorg
deb.a.sorg@healthpartners.com
- **Membership request and/or updates:**
Deb Sorg deb.a.sorg@healthpartners.com

2. Review of Antitrust Statement

3. Review of last meeting’s minutes – February 11, 2016

4. Companion Guide Comment(s) Review

2/11/16:

The TAG reviewed and discussed the following comments submitted for the proposed 837P and 837I companion guides during the public comment period (Jan 11-Feb 10):

Request to revise Rounding rules in the 837P and 837I, Appendix A, Section A.3.4.2 – Units (basis for measurement) – TAG agreed that the rule is valid and will clarify language regarding round rules.

Request to revise Early Intensive developmental and Behavioral Intervention (EIDBI) benefits, Appendix A, Table A.5.1 to add new service and code set. This comment applies to the 837P only.

Request to revise ARMHS benefit in Table A.5.2 to include new services: **mental health service plan development** and **functional assessment**. The coding for functional assessment appears to be incomplete; a time based code is required. Discussion of this comment was postponed. Further research regarding omission of the UD modifier. Coding for the mental health service plan development and functional assessment as approved by CMS in August 2015. The coding for these services posted on DHS’s website differs from the coding listed in the comments.

Request to add new program to Table A.5.2: Behavior health home. The MCT approved the recommended coding presented in the Behavior Health Home SBAR; however, DHS will confirm CMS approval.

Discussion postponed until February 23, 2016 meeting.

OPEN

Each TAG member will draft and submit clarifying language for the rounding rules to MDH (Judy) for consolidation into a single document for review at 2/23/16 MCT meeting.

Complete review and discussion of the public comment at next 2/23/16 meeting.

A copy of the public comments is attached.

5. SBAR - Mental Health Service Plan Development - REOPEN – Kathy Sijan, DHS

2/11/16:

Discussion postponed – further research required

OPEN

6. SBAR – ADDENDUM – EIDBI/Autism Modifier 60 Day Temporary ABA/DBI Increase – Kathy Sijan, DHS

2/11/16: The TAG reviewed and discussed the SBAR and recommended the description to the TF modifier be changed to adequately clarify the modifier was for ABA and/or DBI only for the EIDBI Comprehensive Multi-Disciplinary Evaluation (CMDE) services. TAG members voted unanimously to approve the TF modifier - 60-day temporary increase in ABA/DBI services.	OPEN
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7. SBAR – ADDENDUM – CFSS Community First Services and Supports - Increase – Kathy Sijan, DHS

2/11/16: No discussion of this agenda item due to time constraints	OPEN
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8. AUC Coding Recommendation Table Review

2/11/16: No discussion of this agenda item due to time constraints	OPEN
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9. Miscellaneous - SBAR Review

1/14/16: Judy Edwards will send copy of MCT master list of issues to Faith Bauer, along with SBARs. Faith will send sign-up list along with SBARs to TAG members for review and update.	OPEN
2/11/16: No discussion of this agenda item due to time constraints	OPEN

10. Teledentistry – Kathy Sijan

10/8/15: Kathy Sijan presented two dental related SBARs. After discussion, it was agreed that the SBARs should be included in a discussion of the 837D companion guide and possible updates to the guide. The TAG will meet on Oct. 27 to discuss the SBARs and to consider possible updates or revisions to the 837D guide.	OPEN
10/27/15: Under traditional telemedicine/telehealth coding, and originating site (Q3014) must be reported separately and there is currently a standard modifier (GT) for professional for telemedicine or telehealth services. In many cases, the DT initiating teledentistry in a location other than the dental clinic is an employee of the clinic. Would this same policy apply to for originating site if it is the same dental clinic? Questions re new code set, would all be appropriate under teledentistry? For example, the comprehensive exam code D0150. The date of service for imaging services was questioned. Would it be the same as the professional service? Questions/suggestions regarding telemedicine. Julie will request scenarios from Appletree of what services are they billing, how often and other data they wish to share. Is there one bill they would submitted with GT? For example, house code when services provided in nursing facility, can you use Q code? Julie (DHS) Research what other states are doing with teledentistry and present at next meeting.	OPEN – questions, suggestions scenarios are requested for review
11/12/15: Does this apply to two dentists in the same practice – primary care dentist; specialty practitioner? Code list is not sufficient; additional information would be needed to fully understand what is considered a consultation for accurate coding. Discussion about whether the GT and GQ modifiers used for telemedicine could be used for tele-dentistry along with the recommended U9 modifier DHS is proposing. It was felt if possible, it would clearly distinguish tele-dentistry services. TAG member confirmed there is a place on electronic dental claim for modifier. 2012 Paper dental form 34a has space for diagnosis 9 vs. 10 indicator. ACTIONS: Kathy will develop grid along with additional scenarios – to include definition of location, providers, and services Services must be provided via video or stored forward Kathy will confirm billing site.	OPEN
12/10/15: Who bills: The distant site (see diagram below) bills. They must use the dental codes in the SBAR (section R) and the U9 modifier. (The distant site may or may not have a purchase of service agreement with the originating site, but that does not affect who bills.) For final version of SBAR response – define the terms “distant site” and “originating site” (see Medicare defines as example). Note that the recommendation will be to include the coding tele-dentistry coding requirements in the 837D companion guide – include a section re. tele-dentistry with the rule above in the companion guide. (Need clarification – need to ask DHS what happens if the originating site provider is different than the distant site provider.) Decision: A. Distant site (billing clinic) – this is the site doing the diagnosing B. Originating site(nursing home,etc.) – where the patient is	OPEN
Question: When is a service teledentistry vs. referral?	
1/14/16: When is a service teledentistry vs. referral? If the distant site makes a referral. Questions raised regarding self-attestation.	OPEN

Provider assurance rate form for telemedicine. Faith asked TAG members to share changes to MN companion guide and best practices internally. Teledentistry – updated submitted to manual and will be updated soon. DHS has a telemedicine form; not sure if will be used for teledentistry. Kathy Sijan will check internally to determine/confirm if a form must be completed for attestation.	
2/11/16: TAG reviewed and discussed the draft 837 Dental companion guide, Appendix A, Section A.5 Teledentistry. After review of Minnesota Statutes 256B.0625, which was cited for the statutory requirement for teledentistry, the TAG agreed that revisions to the first paragraph would be needed because the cited statute specifically states telemedicine and not teledentistry. Teledentistry is a covered service under the telemedicine statutes. Changes made to the draft are as follows: 1. Removed coverage limitations from guide, except bullet #3. 2. Revised bullet # 3 so statement reads: Note: Non-billable services: • Sending materials • Originating site fee 3. Added statement, “For MHCP recipient limitations refer to Department of Human Services MHCP Provider Manual.” Concern was expressed regarding some providers systems ability to file claims using the required modifier and whether or not the electronic dental claim could accommodate the required modifier. It was confirmed the modifiers could be billed on the electronic dental claim. For electronic claims, the X12 segment is SV3 – DENTAL SERVICE and the element details for procedure modifiers are SV301-3, SV301-4, SV301-5 and SV301-6. In addition to reviewing the 837D, the TAG also reviewed the Teledentistry SBAR and addressed questions regarding Teledentistry from the MCT’s January 14 meeting: Q. When is a service teledentistry vs. referral? A. It is a referral when the distant site makes a referral. Q. Will completion of an attestation form be required for teledentistry as it is for telemedicine? A. Completion of the attestation form will be required. DHS is in the process of developing a form for teledentistry. In the interim, the telemedicine form. It was confirmed that MCOs will also use the attestation form. Q. What happens if the originating site provider is different than the site provider? A. After further discussion, it was agreed by the TAG any further revisions to the 837 Dental companion guide other than coding should be made by the Claims DD TAG. Kathy Sijan stated she would submit the Teledentistry SBAR to the Claims DD TAG for their review and further revision of the draft 837D companion guide. Judy stated that after the Medical Code TAG approved a final draft version of the 837D, MDH would forward it to the Claims DD TAG for their review and approval prior to submitting to Ops to approve before publishing as a proposed rule. Faith stated the Teledentistry SBAR will be closed after the TAG approves the draft of the revised, proposed 837D companion guide.	OPEN Verify effective date and applicability of teledentistry statutes for commercial health plans. Review and approval of the draft 837D companion guide.

11. Additional Agenda Items/ Announcements

- Next regularly scheduled meeting: March 10, 9:00-12:00, St. Croix Room – 1st floor, HealthPartners, 8170 Building, Bloomington.
- *AUC UPDATE* newsletter coding article volunteer.

Title of Meeting: AUC Medical Code TAG
Date and Time of Meeting - Thursday, February 11, 2016, 9:00 a.m. to 12:00 a.m.
Location: HealthPartners, 8170 Building, Bloomington, St. Croix – 1st floor

Minutes By: Judy Edwards and Faith Bauer

DRAFT

Agenda Item	Discussion	Action/Next Steps
1. Welcome and Introduction a. Attendance tracking: Deb Sorg deb.a.sorg@healthpartners.com b. Membership request and/or updates: Deb Sorg deb.a.sorg@healthpartners.com	Faith convened meeting. Requested those participating via teleconference email their attendance to Deb Sorg. Faith reminded everyone to email any membership requests or updates to Deb.	Completed
2. Review of Antitrust Statement	Faith read anti-trust statement.	No discussion
3. Review of last meeting's minutes – January 14, 2016	Minutes were reviewed and accepted as presented. Motion was made and seconded to approve. Members voted unanimously to approve minutes.	CLOSED
4. Teledentistry – Kathy Sijan	TAG reviewed and discussed the draft 837 Dental companion guide, Appendix A, Section A.5 Teledentistry. After review of Minnesota Statutes 256B.0625, which was cited for the statutory requirement for teledentistry, the TAG agreed that revisions to the first paragraph would be needed because the cited statute specifically states telemedicine and not teledentistry. Teledentistry is a covered service under the telemedicine statutes. Changes made to the draft are as follows: 1. Removed coverage limitations from guide, except bullet #3. 2. Revised bullet # 3 so statement reads: Note: Non-billable services: • Sending materials • Originating site fee 3. Added statement, <i>"For MHCP recipient limitations refer to Department of Human Services MHCP Provider Manual."</i> Concern was expressed regarding some providers systems	OPEN Verify effective date and applicability of teledentistry statutes for commercial health plans. Review and approval of the draft 837D companion guide.

	ability to file claims using the required modifier and whether or not the electronic dental claim could accommodate the required modifier. It was confirmed the modifiers could be billed on the electronic dental claim. For electronic claims, the X12 segment is SV3 – DENTAL SERVICE and the element details for procedure modifiers are SV301-3, SV301-4, SV301-5 and SV301-6. In addition to reviewing the 837D, the TAG also reviewed the Teledentistry SBAR and addressed questions regarding Teledentistry from the MCT's January 14 meeting: Q. When is a service teledentistry vs. referral? <i>A. It is a referral when the distant site makes a referral.</i> Q. Will completion of an attestation form be required for teledentistry as it is for telemedicine? <i>A. Completion of the attestation form will be required. DHS is in the process of developing a form for teledentistry. In the interim, the telemedicine form. It was confirmed that MCOs will also use the attestation form.</i> Q. What happens if the originating site provider is different than the site provider? <i>A. After further discussion, it was agreed by the TAG any further revisions to the 837 Dental companion guide other than coding should be made by the Claims DD TAG. Kathy Sijan stated she would submit the Teledentistry SBAR to the Claims DD TAG for their review and further revision of the draft 837D companion guide. Judy stated that after the Medical Code TAG approved a final draft version of the 837D, MDH would forward it to the Claims DD TAG for their review and approval prior to submitting to Ops to approve before publishing as a proposed rule. Faith stated the Teledentistry SBAR will be closed after the TAG approves the draft of the revised, proposed 837D companion guide.</i>	
5. SBAR - Mental Health Service Plan	Discussion postponed – further research required	OPEN

Development - REOPEN – Kathy Sijan, DHS		
6. SBAR – ADDENDUM – EIDBI/Autism Modifier 60 Day Temporary ABA/DBI Increase – Kathy Sijan, DHS	The TAG reviewed and discussed the SBAR and recommended the description to the TF modifier be changed to adequately clarify the modifier was for ABA and/or DBI only for the EIDBI Comprehensive Multi-Disciplinary Evaluation (CMDE) services. TAG members voted unanimously to approve the TF modifier - 60-day temporary increase in ABA/DBI services.	OPEN
7. SBAR – ADDENDUM – CFSS Community First Services and Supports - Increase – Kathy Sijan, DHS	No discussion of this agenda item due to time constraints	OPEN
8. AUC Coding Recommendation Table Review	No discussion of this agenda item due to time constraints	OPEN
9. Companion Guide Comment(s) Review	The TAG reviewed and discussed the following comments submitted for the proposed 837P and 837I companion guides during the public comment period (Jan 11-Feb 10): Request to revise Rounding rules in the 837P and 837I, Appendix A, Section A.3.4.2 – Units (basis for measurement) – TAG agreed that the rule is valid and will clarify language regarding round rules. Request to revise Early Intensive developmental and Behavioral Intervention (EIDBI) benefits, Appendix A, Table A.5.1 to add new service and code set. This comment applies to the 837P only. Request to revise ARMHS benefit in Table A.5.2 to include new services: mental health service plan development and functional assessment. The coding for functional assessment appears to be incomplete; a time based code is required. Discussion of this comment was postponed. Further research regarding omission of the UD modifier. Coding for the mental health service plan development and functional assessment as approved by CMS in August 2015. The coding for these services posted	OPEN Each TAG member will draft and submit clarifying language for the rounding rules to MDH (Judy) for consolidation into a single document for review at 2/23/16 MCT meeting. Complete review and discussion of the public comment at next 2/23/16 meeting. A copy of the public comments is attached.

	on DHS's website differs from the coding listed in the comments. Request to add new program to Table A.5.2: Behavior health home. The MCT approved the recommended coding presented in the Behavior Health Home SBAR; however, DHS will confirm CMS approval. Discussion postponed until February 23, 2016 meeting.	
10. Miscellaneous - SBAR Review	No discussion of this agenda item due to time constraints	OPEN
11. Additional Agenda Items/ Announcements - Next regularly scheduled meeting: February 23, 9:00-12:00, St. Croix Room – 1st floor, HealthPartners, 8170 Building, Bloomington. <i>AUC UPDATE</i> newsletter coding article volunteer.	No discussion of this agenda item due to time constraints	OPEN

2015 837 Companion Guides Maintenance
Public Comments Received

Section	Public Comments	837P	837I	Discussion
	Appendix A front matter – Section A.3.4 Additional Coding Specifications			
A.3.4.2 Units (basis for measurement)	Therapy providers must follow Medicare rules, and this will also apply to Medicare replacement plans. The Minnesota Uniform Companion Guide states: “Do not follow Medicare’s rounding rules for speech, occupational, and physical therapy services. Each modality and unit(s) is reported separately by code definition. Do not combine codes to determine total time units.” If this were applied to therapy providers then we would have to create two different methods of charging, and that is not administrative simplification. Allina Health would advocate for administrative simplification by having one method of billing that follows Medicare rules... ...we understand the requirements in the Minnesota Uniform Companion Guide do NOT apply to the exchange of covered transactions with Medicare and other payers for Medicare products. Thus, in billing for Medicare and Medicare products, Medicare rules apply. We would want the Companion Guide to state this clearly.	X	X	MCT agreed that the rule is valid and will clarify language regarding rounding rules. TAG members will submit clarifying language to be reviewed at next MCT meeting.
	Appendix A, Table A.5.1, Page 51			
N/A Early Intensive Developmental and Behavioral Intervention (EIDBI)	Revise EIDBI benefit to include an additional service as follows (new SBAR): 8. 60 Day Temporary Increase <u>Selected Codes</u> ABA or DBI Intervention code: • 0364T UB H_ + TF • 0365T UB + H_ + TF • 0366T UB + H_ + TF • 0367T UB + H_ + TF • 0368T UB + H_ + TF • 0369T UB + H_ + TF TF – 60 Day Temporary Increase	X		<u>MCT recommended changing the TF modifier description to 60-day temporary increase in ABA/DBI services. TAG approved adding the new service to the EIDBI benefit with revisions to the modifier as described above.</u>
N/A Family Caregiver Services	Add new program and Minnesota rule S5115 - Home care training, nonfamily; per 15 minutes, Family Caregiver Training & Education	X		<u>The TAG agreed to accept this comment and to place this in the N/A section of Table A.5.1</u>

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Section	Public Comments	837P	837I	Discussion
	S5115 TF - Home Care training, nonfamily; per 15 minutes, Family Counseling with Assessment S5115 TG - Home care training, nonfamily; per 15 minutes, Complex/high level of care, Family Memory Care These services provide training, education, coaching and counseling services for family and informal caregivers who provide direct and ongoing services for recipients in the Elderly Waiver (EW) and Alternative Care (AC) Programs.			
	Changes to Table A.5.2 – Behavioral Health Procedure Code/Modifier Combinations: For Specific Benefit Packages Unique to Minnesota Government Programs			
Adult Rehabilitative Mental Health Services (ARMHS)	Revise ARMHS benefit to include new services as follows: ▪ H0031 Mental Health Assessment, by non-physician ▪ H0032 Mental Health Service Plan Development by non-physician ▪ H0031 TS - Mental Health Assessment, by non-physician, Follow Up Service [Review or Update] ▪ H0032 TS - Mental Health Service Plan Development by non-physician, Follow Up Services [Review or Update]	X		<u>Discussion postponed; research required for UD modifier</u>
Behavior Health Home	Add new program: BHH is a monthly service encompassing any or all of the following six services: 1- Comprehensive Care Management 2- Care Coordination 3- Health Promotion Services 4- Comprehensive Transitional Care 5- Referral to Community and Social Support Services 6- Individual and Family Support Services <u>Coding:</u> S0280 U5 Medical home program, comprehensive care coordination and planning, initial plan, BHH S0281 U5 Medical home program, comprehensive care coordination and planning, maintenance of plan, BHH	X		<u>Discussion postponed</u>
	Table A.5.3.c.i – Outpatient Services			

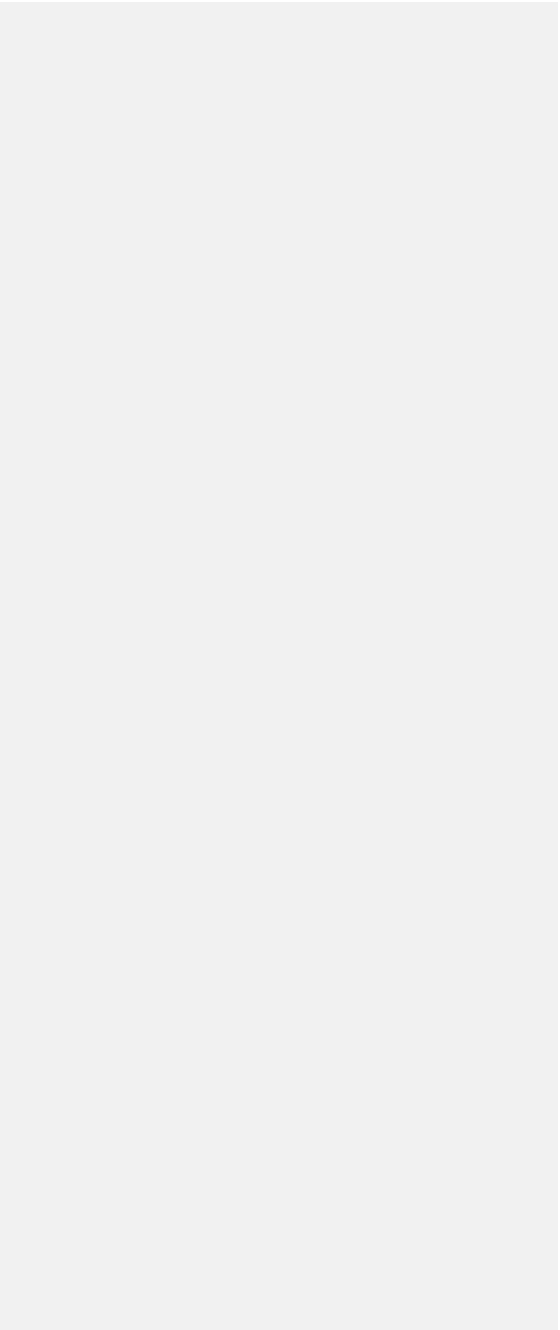
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Section	Public Comments	837P	837I	Discussion
MAT Therapy and/or Counseling Services	Request for clarification of coding for these services and submitted the following questions: • What is the general intent of the addition? • What type(s) of counseling is the PQRS 4306F code intended to document? ○ The language states that the counseling is to address treatment “options”. Does it refer to education of patients regarding the psychosocial and pharmacologic types of treatment, or is it meant to refer to therapeutic counseling of a clinical nature? ○ And/or is it meant to signify the counseling sessions mandated in Mn Statute <u>245A.192</u>? • Some MAT service modifiers already invoke counseling as a component of the billed service. Shall the code be appended to claims for all of the following types service? ○ H0020: MAT Methadone ○ H0047 U9: MAT All Other ○ H0020 UA: MAT Plus – Methadone ○ H0047 UB: MAT Plus – All Other Drugs • Will it require documentation/reporting of time spent providing service in each instance? • Will there need to be a PQRS code for every counseling session? • Will there need to be a PQRS code for every instance of dosing, regardless of whether counseling was formally provided? • How often would we be required to report this PQRS code; ie. Once per week, once per session? • The PQRS code is not a modifier, but a separate, no-charge qualitative code that would occupy a separate line on a professional claim. ○ If it is only to be associated with selected dates of service (not every dose/day), will providers need to cite on the claims the service agreement issued for the H-code claimed on the same date? ○ Will it affect claims processing by payers, including DHS, with systems that do not permit submission of more than one claim from a Rule 31 provider on the same day?	X	X	

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Section	Public Comments	837P	837I	Discussion
	If the PQRS code for a given date of service is processed first, might the H code for the same date deny?			



Minnesota Department of Health (MDH) **Proposed** Rule

Title:	<u>Proposed</u> Minnesota Uniform Companion Guide (MUCG) for the Implementation of the ASC X12/005010X222A1 Health Care Claim: Professional (837) Version 1011.0
Pursuant to Statute:	Minnesota Statutes 62J.536 and 62J.61
Applies to /interested parties:	Health care providers, group purchasers (payers), and clearinghouses subject to Minnesota Statutes, section 62J.536 and others
Description of this document:	This document was adopted into rule on June 1, 2015. [Placeholder: Express permission to use ASC copyrighted materials within this document has been granted.] This document: - Describes the data content and other transaction specific information to be used with the ASC X12/005010X222A1 Health Care Claim: Professional (837), hereinafter referred to as 005010X222A1, by entities subject to Minnesota Statutes, section 62J.536; - Must be used in conjunction with all applicable Minnesota and federal regulations, including 45 Code of Federal Regulations (CFR) Parts 160, 162, and 164 (HIPAA Administrative Simplification, including adopted federal operating rules and requirements for use of ICD-10) and related ASC X12N and retail pharmacy specifications (ASCX12N and NCPDP implementation specifications); - Was prepared by the Minnesota Department of Health (MDH) with the assistance of the Minnesota Administrative Uniformity Committee (AUC).
Status of this document:	This is version 1011.0 (v10v11.0) of the <i>Minnesota Uniform Companion Guide (MUCG) for the Implementation of the ASC X12/005010X222A1 Health Care Claim: Professional (837)</i>. It was announced as an adopted <u>proposed</u> rule in the Minnesota State Register, Volume 39<u>40</u>, Number 48, June 1, 2015<u>28, January 11, 2016</u> pursuant to <u>Minnesota Statutes, section 62J.536</u> and <u>62J.61</u>. Version 810.0 was the last version of this document to be adopted into rule prior to this v10<u>and remains in force until superseded by a subsequently adopted version</u>. This document is available at no charge at MDH's "<u>Minnesota Statutes, section 62J.536 RulesHealth Care Administrative Simplification</u>" webpage (http://www.health.state.mn.us/asa/rules.html).

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1. Overview

1.1. Statutory basis for this rule

[Minnesota Statutes, section 62J.536](#) requires the Commissioner of Health to adopt rules for the standard, electronic exchange of specified health care administrative transactions. The state's rules are promulgated and adopted pursuant to [Minnesota Statutes, section 62J.61](#).

1.2. Applicability of state statute and related rules

The following entities must exchange certain transactions electronically pursuant to Minnesota Statutes, section 62J.536: all group purchasers (payers) and health care clearinghouses licensed or doing business in Minnesota; and health care providers providing services for a fee in Minnesota and who are otherwise eligible for reimbursement under the state's Medical Assistance program. The only exceptions to the statutory requirements are as follows:

- The requirements do NOT apply to the exchange of covered transactions with Medicare and other payers for Medicare products; and
- See section 1.2.1 "Exceptions to Applicability" below regarding a year-to-year exception for only group purchasers not subject to federal HIPAA transactions and code sets regulations from only the state's requirements for the standard, electronic exchange of the ASC X12N/00510X279A1 Health Care Eligibility Benefit Inquiry and Response transaction.

[Minnesota Statutes, section 62J.03, Subd. 6](#) defines "group purchaser" as follows:

"Group purchaser" means a person or organization that purchases health care services on behalf of an identified group of persons, regardless of whether the cost of coverage or services is paid for by the purchaser or by the persons receiving coverage or services, as further defined in rules adopted by the commissioner. "Group purchaser" includes, but is not limited to, community integrated service networks; health insurance companies, health maintenance organizations, nonprofit health service plan corporations, and other health plan companies; employee health plans offered by self-insured employers; trusts established in a collective bargaining agreement under the federal Labor-Management Relations Act of 1947, United States Code, title 29, section 141, et seq.; the Minnesota Comprehensive Health Association; group health coverage offered by fraternal organizations, professional associations, or other organizations; state and federal health care programs; state and local public employee health plans; workers' compensation plans; and the medical component of automobile insurance coverage.

[Minnesota Statutes, section 62J.03, Subd. 8](#) defines "provider or health care provider" as follows:

"Provider" or "health care provider" means a person or organization other than a nursing home that provides health care or medical care services within Minnesota for a fee and is eligible for reimbursement under the medical assistance program under chapter 256B. For purposes of this subdivision, "for a fee" includes traditional fee-for-service arrangements, capitation arrangements, and any other arrangement in which a provider receives compensation for providing health care services or has the authority to directly bill a group purchaser, health carrier, or individual for providing health care services. For

purposes of this subdivision, "eligible for reimbursement under the medical assistance program" means that the provider's services would be reimbursed by the medical assistance program if the services were provided to medical assistance enrollees and the provider sought reimbursement, or that the services would be eligible for reimbursement under medical assistance except that those services are characterized as experimental, cosmetic, or voluntary.

[Minnesota Statutes, section 62J.536, Subd. 3](#) defines "health care provider" to also include licensed nursing homes, licensed boarding care homes, and licensed home care providers.

[Minnesota Statutes, section 62J.51, Subd. 11a](#) defines "health care clearinghouse" as follows:

"Health care clearinghouse" means a public or private entity, including a billing service, repricing company, community health management information system or community health information system, and "value-added" networks and switches that does any of the following functions:

- 1) processes or facilitates the processing of health information received from another entity in a nonstandard format or containing nonstandard data content into standard data elements or a standard transaction;*
- 2) receives a standard transaction from another entity and processes or facilitates the processing of health information into nonstandard format or nonstandard data content for the receiving entity;*
- 3) acts on behalf of a group purchaser in sending and receiving standard transactions to assist the group purchaser in fulfilling its responsibilities under section [62J.536](#);*
- 4) acts on behalf of a health care provider in sending and receiving standard transactions to assist the health care provider in fulfilling its responsibilities under section [62J.536](#); and*
- 5) other activities including but not limited to training, testing, editing, formatting, or consolidation transactions.*

A health care clearinghouse acts as an agent of a health care provider or group purchaser only if it enters into an explicit, mutually agreed upon arrangement or contract with the provider or group purchaser to perform specific clearinghouse functions."

Entities performing transactions electronically pursuant to Minnesota Statutes, section 62J.536 via direct data entry system (i.e., Internet-based interactive applications) must also comply with the data content requirements established in this document.

1.2.1. Exceptions to applicability

[Minnesota Statutes, section 62J.536, subd. 4](#) authorizes the Commissioner of Health to exempt group purchasers not covered by HIPAA (group purchasers not covered under United States Code, title 42, sections 1320d to 1320d-8) from one or more of the requirements to exchange information electronically as required by Minnesota Statutes, section 62J.536 if the Commissioner determines that:

- i. a transaction is incapable of exchanging data that are currently being exchanged on paper and is necessary to accomplish the purpose of the transaction; or*
- ii. another national electronic transaction standard would be more appropriate and effective to*

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accomplish the purpose of the transaction.

If group purchasers are exempt from one or more of the requirements, providers shall also be exempt from exchanging those transactions with the group purchaser.

The Commissioner has determined that criterion (i) above has been met for the eligibility inquiry and response electronic transaction described under Code of Federal Regulations, title 45, part 162, subpart L, and that group purchasers not covered by HIPAA, including workers' compensation, auto, and property and casualty insurance carriers, are not required to comply with the state's rules for the eligibility inquiry and response transaction. This exception pertains only to those group purchasers not covered by HIPAA, and only for the rules for the health care eligibility inquiry and response electronic transaction (the ASC X12N/005010X279A1 *Health Care Eligibility Benefit Inquiry and Response (270/271)*, hereinafter 005010X279A1). This exception shall be reviewed on an annual basis; the status of the exception can be found at: <http://www.health.state.mn.us/asa/implement.html>.

While the exception above is in effect, health care providers are also exempt from the rules for transactions with group purchasers who have been exempted. This exception is only for the rules for the eligibility inquiry and response electronic transaction with group purchasers not subject to HIPAA.

1.3. About the Minnesota Department of Health (MDH)

MDH is responsible for protecting, maintaining and improving the health of Minnesotans. The department operates programs in the areas of disease prevention and control, health promotion, community public health, environmental health, health care policy, and registration of health care providers. For more information, go to: <http://www.health.state.mn.us>.

1.3.1. Contact for further information on this document

Minnesota Department of Health
Division of Health Policy
Center for Health Care Purchasing Improvement
P.O. Box 64882
St. Paul, Minnesota 55164-0882
Phone: (651) 201-3570
Fax: (651) 201-5179
Email: health.ASAguides@state.mn.us

1.4. About the Minnesota Administrative Uniformity Committee

The Administrative Uniformity Committee (AUC) is a broad-based, voluntary organization representing Minnesota health care public and private payers, hospitals, health care providers and state agencies. The mission of the AUC is to develop agreement among Minnesota payers and providers on standardized health care administrative processes when implementation of the processes will reduce administrative costs. The AUC acts as a consulting body to various public and private entities, but does not formally report to any organization and is not a statutory committee. For more information, go to the AUC website at: <http://www.health.state.mn.us/auc/index.html>.

1.5. Minnesota Best Practices for the Implementation of Electronic Health Care Transactions

The AUC develops and publicizes best practices for the implementation of health care administrative transactions and processes. The best practices are not required to be used as part of this document. However, their use is strongly encouraged to aid in meeting the state's requirements, and to help meet goals for health care administrative simplification.

Please visit the [AUC best practices](http://www.health.state.mn.us/auc/index.html) website at <http://www.health.state.mn.us/auc/index.html> for more information about best practices for implementing electronic health care transactions in Minnesota.

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1.6. Document Changes

The content of this document is subject to change. The version number, release date or revision date, and brief summary of changes from one version to the next of this document are provided section 1.6.1 below.

1.6.1. Process for updating this document

The process for updating this document, including: submitting and collecting change requests; reviewing and evaluating the requests; proposing changes; and adopting and publishing a new version of the document is available from MDH's website at <http://www.health.state.mn.us/asa/index.html>.

1.6.2. Document revision history

Version	Revision Date	Summary Changes
1.0	February 8, 2010	Version released for public comment
2.0	May 24, 2010	Adopted into rule. Final published version for implementation
3.0	February 22, 2011	Incorporated proposed technical changes and updates to v2.0
4.0	May 23, 2011	Adopted into rule. Incorporated all changes proposed in v3.0. Version 4.0 supersedes all previous versions.
5.0	November 13, 2012	Proposed revisions to v4.0
6.0	February 19, 2013	Adopted into rule. Incorporated revisions proposed in v5.0 and additional changes. Version 6.0 supersedes all previous versions.
7.0	September 23, 2013	Proposed revisions to v6.0
8.0	December 30, 2013	Adopted into rule December 30, 2013. Version 8.0 incorporates changes proposed in v7.0 and additional changes. Version 8.0 supersedes all previous versions.
9.0	December 22, 2014	Proposed revisions to v.8.0
10.0	June 1, 2015	Adopted into rule June 1, 2015. Version 10.0 incorporates changes proposed in v9.0 and additional changes. Version

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Version	Revision Date	Summary Changes
<u>11.0</u>	<u>January 11, 2016</u>	10.0 supersedes all previous versions. <u>Proposed revisions to v10.0</u>

2. Purpose of this document and its relationship with other applicable regulations

2.1. Reference for this document

The reference for this document is the ASC X12/005010X222A1 *Health Care Claim: Professional* (837) (Copyright © 2008, Data Interchange Standards Association on behalf of ASC X12. Format © 2008, ASC X12. All Rights Reserved), hereinafter described below as 005010X222A1. A copy of the full 005010X222A1 can be obtained from ASC X12 at: <http://store.x12.org/store/>.

2.1.1. Permission to use copyrighted information

[Placeholder: Express permission to use ASC X12 copyrighted materials within this document has been granted.]

2.2. Purpose and relationship

This document:

- Serves as transaction specific information to the 005010X222A1;
- Must be used in conjunction with all applicable Minnesota and federal regulations, including 45 CFR Parts 160, 162, and 164 (HIPAA Administrative Simplification, including adopted federal operating rules ~~and requirements for use of ICD-10~~) and related ASC X12N and retail pharmacy specifications (ASCX12N and NCPDP implementation specifications);
- Supplements, but does not otherwise modify the 005010X222A1 in a manner that will make its implementation by users to be out of compliance.
- Must be appropriately incorporated by reference and/or the relevant transaction information must be displayed in any companion guides provided by entities subject to Minnesota Statutes, section 62J.536.

Note: Using this Companion guide does not mean that a claim will be paid, nor does it imply payment policies of payers, or the benefits that have been purchased by the employer or subscriber.

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3. How to use this document

3.1. Classification and display of Minnesota-specific requirements

This document has been prepared for use by entities subject to Minnesota's requirements for the standard, electronic exchange of health care administrative transactions (Minnesota statutes, section 62J.536 and related rules) and other interested parties. It provides transaction specific information to be used in conjunction with the 005010X222A1 and other applicable information and specifications noted in section 2.0 above.

Table 4.2 of this document contains a row for each segment for which there is additional information over and above the information in the 005010X222A1. The tables show the relevant loop and corresponding segment(s) with the additional information. In instances in which the additional information is at the data element level, the relevant loop, segment, and data element are shown.

This document also contains the following three appendices:

- Appendix A provides additional information and instructions regarding the use of medical code sets and medical coding;
- Appendix B provides instructions for use of the K3 segment; and
- Appendix C provides instructions for reporting the MNCare Tax.

See also section 2.2 regarding the incorporation of the information in this document in any companion guides provided by or on behalf of entities covered by Minnesota Statutes, section 62J.536.

3.2. Information About the Health Care Claim: *Professional* (837) Transaction

3.2.1. Business Terminology

The terms below were published with definitions and other information in the previous version of this companion guide to provide additional clarification and/or because of changes that occurred as a result of the adoption of version 5010. Definitions of these terms are no longer being included in this companion guide. However, the terms are discussed and defined in the reference document to this companion guide (the 005010X222A1), which is available for purchase from ASCX12 at <http://store.x12.org/store/>.

The following terms that were previously defined in the Minnesota Uniform Companion Guide can be referenced as noted above:

- Billing provider
- Billing provider name
- Billing provider address
- Pay-to address
- Other payer
- Patient
- Pay-to plan

- Subscriber

3.2.1.1. Other Definitions

Factoring Agent

Business models exist in the healthcare industry where services are performed by a provider, which are billed to an entity acting as a receivables Factoring Agent. The entity pays the provider directly. The Factoring Agent then bills the insurance company. In this case both the name/address of Pay-To are different than Billing Provider. In order to use the 005010X222A1 for this business situation, the Accredited Standards Committee (ASC) X12 recommends that the Factoring Agent entity name, address, and identification be submitted as the Pay-To Plan in loop 2010AC. The notes and guidelines should be followed as if the Pay-To Plan entity was performing post payment recovery as described.

3.2.2. Provider Identifiers and NPI Assignments

Provider Identifiers

If the provider is a health care provider as defined under federal standards, then the only identifier that is valid is the NPI with the exception of the billing loop. For the billing provider, a secondary identifier of the TIN is also required.

If the provider is not a health care provider as defined under federal standards the provider is known as an atypical provider. Atypical providers do not meet the Minnesota statutory definition of health care provider and therefore are subject to the requirements of Minnesota statutes, section 62J.536 and related rules. For atypical providers, the primary identifier is the TIN and a secondary identifier is allowable. The qualifier for the secondary identifier is "G2." The identifier for this qualifier would be the specific payer assigned/required identifier.

3.2.3. Handling Adjustments and Appeals

3.2.3.1. Determination of Action

When determining whether to resubmit a claim as an original, request an adjustment, or request an appeal, first determine whether the payer has accepted and adjudicated the original claim submission.

- If the original submission was not accepted into the payer adjudication system, resubmit the claim as an original. This is not considered to be an adjustment.
- If the original submission was accepted into the payer adjudication system, see definitions below to determine whether an adjustment or appeal should be requested.

3.2.3.2. Definitions

Adjustment – Provider has additional data that should have been submitted on the original claim or has a need to correct data that was sent incorrectly on the original claim.

Appeal – Provider is requesting a reconsideration of a previously adjudicated claim for which there is no additional data or corrected data to be submitted. Providers should contact the payer or the payer's website for further instructions regarding reconsiderations or appeals.

- Examples of appeals include:

- o Timely filing denial;
- o Payer allowance;
- o Incorrect benefit applied;
- o Eligibility issues;
- o Benefit Accumulation Errors; and
- o Medical Policy/Medical Necessity

3.2.3.3. Process for submission

- **Adjustment** – Provider should submit an adjustment electronically using the appropriate value in CLM05-3 to indicate that this is a replacement claim. If the payer has assigned a payer number to the claim, it must be submitted in loop 2300, REF02, using qualifier F8 in REF01 (Note: the original payer- assigned claim number is not the property and casualty number). If additional information is required to support the adjustment based on payer business rules, the SV101-7, NTE segment, PWK segment, or Condition Codes should be used. See section 3.2.5 below regarding these segments for appropriate instructions.
- **Appeal** – Follow payer standard processes for requesting an appeal to a previously adjudicated claim. If paper appeal process is utilized, then a standard, Best Practice Minnesota appeal form is available at the [AUC website](http://www.health.state.mn.us/auc/index.html) at <http://www.health.state.mn.us/auc/index.html>. Additional documentation should be sent as required by the payer to support the appeal consideration; this documentation does not include resubmission of the claim.

3.2.4. Claim Frequency Type Code (CFTC) Values

Claim/Bill submissions are often original, first time submissions with no follow-up submissions. In some cases, subsequent submissions directly related to a prior submission will be necessary. When subsequent submissions occur, for legitimate business purposes, the normal processing flow may change.

Since a relationship between an original submission and subsequent submissions are necessary, the data requirements both for original and subsequent submissions must be specified. For example, when a Replacement bill is submitted (CFTC 7), in order to meet the processing requirement to void the original and replace it with the re-submitted bill, common consistent data elements would be required. To qualify as a Replacement, some data would need to be different than the original. If the bill is re-submitted with no changes from the original, and the claim was accepted by the payer, this would be considered a Duplicate instead of a Replacement. If the bill is resubmitted with no changes from the original and the original was not accepted by the payer, this will be considered an original claim. These distinctions are important to allow for proper handling of the submission.

Refer to the current National Uniform Billing Committee (NUBC) code list for allowed values and usage descriptions. Both the sender and receiver must understand how each code value should be interpreted and what processing requirements need to be applied.

In conjunction with the CFTC code values, Condition Codes may be submitted that will impact processing and handling requirements.

3.2.5. Claim Attachments and Notes

- Use the NTE segment at the claim or line level to provide free-form text of additional information.
 - o The NTE segment must not be used to report data elements that are codified within this transaction.
 - o If reporting a simple description of the service is required, such as when a non-specific code is being reported, the SV101-7 in the 2400 loop must be used.
- Do not exceed the usage available in the 005010X222A1.
- Be succinct and abbreviate when possible. Do not repeat code descriptions or unnecessary information.
- If the NTE segment must be exceeded, or a hard copy document sent, use the PWK segment at the claim level. If the number of characters for the NTE or SV101-7 will exceed available characters, use only the PWK segment at the claim level.
- When populating the PWK segment, the following guidelines must be followed:
 - o PWK01 - The qualifier value of 'OZ' should only be used if none of the other values apply. The most specific qualifier value must be utilized.
 - o PWK06 - Billing providers must use a unique number for this field for each individual attachment on the claim, as well as a unique number across all claims requiring attachments. This unique number identifies a specific attachment within the billing provider's system. This unique number is the key that associates the attachment to the claim. The number must be sent in PWK06 of the claim and with the attachment. The provider must not use the same number on any other claim in their system to identify different attachments.

Note regarding claims attachments for only workers compensation medical claims:
Minnesota Statutes, Section 176.135 subd. 7a. (e) require that starting July 1, 2016

- "Health care providers must electronically submit copies of medical records or reports that substantiate the nature of the charge and its relationship to the work injury using the most recently approved version of the ASC X12N 275 transaction ("Additional Information to Support Health Care Claim or Encounter"); and
- "Workers' compensation payers and all clearinghouses receiving or transmitting workers' compensation bills must accept attachments using the ASC X12N 275 transaction and must respond with the most recently approved ASC X12 electronic acknowledgment for the attachment transaction."

A copy of the above statute is available for review and reference at website of the Minnesota Office of the Revisor of Statutes at: <https://www.revisor.mn.gov/statutes/?id=176.135>.

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4. ASC X12N/005010X222A1 Health Care Claim: Professional (837) -- Transaction Specific Information

4.1. Introduction to Table

The following table provides information needed to implement the *ASC X12N/005010X222A1 Health Care Claim: Professional (837)* Transaction. It includes a row for each segment for which there is additional information over and above the information in the 005010X222A1 and shows the relevant loop and corresponding segment(s) with the additional information. In instances in which the additional information is at the data element level, the relevant loop, segment, and data element are shown.

Note: The designation "N/A" in Table 4.2 below means that the "Value Definition and Notes" applies to the segment rather than a particular data element.

Please also see section 2.2 above.

4.2. 005010X222A1 Professional (837) -- Transaction Table

**Table 4.2 005010X222A1 Professional (837)
Transaction Specific Information**

This table summarizes transaction specific information to be used in conjunction with the 005010X222A1 and any other applicable information and specifications noted in section 2.2.

Loop	Loop Name	Segment	Data Element (if applicable)	Value Definition and Notes
2000B	Subscriber Hierarchical Level	SBR Subscriber Information	SBR01 Payer Responsibility Sequence Number Code	Do not send claims to secondary, tertiary, or any subsequent payer until previous payer(s) has(have) processed.
2010BA	Subscriber Name	NM1 Subscriber Name	NM103 Name Last or Organization Name	For Workers' Compensation this is the employer name. For Property & Casualty this may be a non-person.
2010BA	Subscriber Name	DMG Subscriber Demographic Information	DMG02 Subscriber Birth Date	Services to unborn children should be billed under the mother as the patient.
2010BB	Payer Name	REF Billing Provider Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.

**Table 4.2 005010X22A1 Professional (837)
Transaction Specific Information**

This table summarizes transaction specific information to be used in conjunction with the 005010X222A1 and any other applicable information and specifications noted in section 2.2.

Loop	Loop Name	Segment	Data Element (if applicable)	Value Definition and Notes
2010CA	Patient Name	DMG Patient Demographic Information	DMG02 Patient Birth Date	Services to unborn children should be billed under the mother as the patient.
2300	Claim Information	CLM Claim Information	CLM05-3 Claim Frequency Type Code	See front matter section 3.2.4 of this document for definitions.
2300	Claim Information	CLM Claim Information	CLM20 Delay Reason Code	If code 11 (Other) is used, additional documentation is required using NTE or PWK. Refer to section 3.2.5 of this document for usage. If CLM20 value of 11 is used, PWK02 must not be a value of AA.
2300	Claim Information	PWK Claim Supplemental Information	N/A	See front matter section 3.2.5 of this document for definition.
2300	Claim Information	PWK Claim Supplemental Information	PWK02 Report Transmission Code	Use of AA value may result in a delay in claim payment. If an attachment is known to be needed by the payer it should be sent.
2300	Claim Information	AMT Patient Amount Paid	AMT02 Monetary Amount	Must not exceed total claim charge amount in CLM02.
2300	Claim Information	REF Payer Claim Control Number	N/A	If the original payer assigned claim number is obtained from the 835, it corresponds to CLP07.
2300	Claim Information	K3 File Information	N/A	See Appendix B of this document for usage instructions.
2300	Claim Information	NTE Claim Note	N/A	See front matter section 3.2.5 of this document for definition.
2300	Claim	CRC	N/A	Required for Medicaid Programs when

**Table 4.2 005010X22A1 Professional (837)
Transaction Specific Information**

This table summarizes transaction specific information to be used in conjunction with the 005010X222A1 and any other applicable information and specifications noted in section 2.2.

Loop	Loop Name	Segment	Data Element (if applicable)	Value Definition and Notes
	Information	EPSDT Referral		service is rendered under the Minnesota Child and Teen Checkup Programs.
2310A	Referring Provider Name	REF Referring Provider Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.
2310A	Referring Provider Name	REF Referring Provider Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2310B	Rendering Provider Name	REF Rendering Provider Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.
2310B	Rendering Provider Name	REF Rendering Provider Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2310C	Service Facility Location Name	REF Service Facility Location Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.
2310C	Service Facility Location Name	REF Service Facility Location Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2320	Other	SBR	N/A	Do not send claim to secondary or any

**Table 4.2 005010X22A1 Professional (837)
Transaction Specific Information**

This table summarizes transaction specific information to be used in conjunction with the 005010X22A1 and any other applicable information and specifications noted in section 2.2.

Loop	Loop Name	Segment	Data Element (if applicable)	Value Definition and Notes
	Subscriber Information	Other Subscriber Information		subsequent payer until previous payer has processed.
2330B	Other payer name	NM1 Other payer name	NM109 Identification Code	If multiple other insureds, the payer ID values contained in the 2330B loop must be unique within the claim.
2400	Service Line Number	SV1 Professional Service	SV101-7 Description	See front matter section 3.2.5 of this document for additional instructions.
2400	Service Line Number	SV1 Professional Service	SV103 Unit or Basis for Measurement Code	See Appendix A for coding units.
2400	Service Line Number	SV1 Professional Service	SV104 Quantity	Minnesota specific note: Zero "0" is not a valid value.
2400	Service Line Number	SV1 Professional Service	SV107-1 Diagnosis Code Pointer	Primary diagnosis code cannot point to an External Cause of Injury code.
2400	Service Line Number	DTP Date - Service Date	DTP03 Date Time Period	Must be greater than or equal to patient's date of birth. If DTP02 is RD8, then the 'to' date must be equal to or greater than the 'from' date.
2400	Service Line Number	AMT Sales Tax Amount	N/A	See Appendix C of this document for details on reporting MNCare Tax
2400	Service Line Number	K3 File Information	N/A	See Appendix B of this document for usage instructions.
2400	Service Line Number	NTE Line Note	N/A	See front matter section 3.2.5 of this document for definition and usage
2420A	Rendering Provider Name	REF Rendering Provider Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.

**Table 4.2 005010X22A1 Professional (837)
Transaction Specific Information**

This table summarizes transaction specific information to be used in conjunction with the 005010X222A1 and any other applicable information and specifications noted in section 2.2.

Loop	Loop Name	Segment	Data Element (if applicable)	Value Definition and Notes
2420A	Rendering Provider Name	REF Rendering Provider Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2420B	Purchased Service Provider Name	REF Purchased Service Provider Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2420C	Service Facility Location Name	REF Service Facility Location Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.
2420C	Service Facility Location Name	REF Service Facility Location Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2420E	Ordering Provider Name	N3 Ordering Provider Address	N/A	This segment is recommended for use when the following N4 segment is used.
2420E	Ordering Provider Name	REF Ordering Provider Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.
2420E	Ordering Provider Name	REF Ordering Provider Secondary Identification	REF01 Reference Identification Qualifier	Use G2 for atypical providers.
2420F	Referring Provider Name	REF Referring Provider Secondary Identification	N/A	See front matter section 3.2.2 of this document for usage.
2420F	Referring	REF	REF01	Use G2 for atypical providers.

**Table 4.2 005010X22A1 Professional (837)
Transaction Specific Information**

This table summarizes transaction specific information to be used in conjunction with the 005010X222A1 and any other applicable information and specifications noted in section 2.2.

Loop	Loop Name	Segment	Data Element (if applicable)	Value Definition and Notes
	Provider Name	Referring Provider Secondary Identification	Reference Identification Qualifier	

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5. List of Appendices

A. Appendix A: Medical Code Set -- Supplemental Information for Minnesota Uniform Companion Guides

Appendix A lists rules and related information for the selection and use of medical codes from HIPAA code sets. The appendix includes the following four [main](#) tables with specific coding requirements and examples:

- Table A.5.1 -- Minnesota Coding Specifications: When to use codes different from Medicare
- Table A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
 - For Specific Benefit Packages Unique To Minnesota Government Programs
- Table A.5.3 -- Substance Abuse Services
 - a) Hospital
 - b) All other residential
 - c) Outpatient

Table A.5.4 -- Maternal and Child Health Billing Guide [For](#) Public Health Agencies

- a) Public health nurse clinic services
- b) Maternal & child health visits
- c) Other services and Miscellaneous

B. Appendix B: K3 Segment Usage Instructions

Appendix B provides instructions for the use of the K3 segment for reporting state of jurisdiction and tooth number/oral cavity.

C. Appendix C: Reporting MNCare Tax

Appendix C provides brief instructions for reporting the MinnesotaCare (MNCare) Tax

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A. Appendix A: Code Set Supplemental Information For Minnesota Uniform Companion Guides

A.1 Introduction and Overview

Minnesota Statutes, Section 62J.536 requires the standard, electronic exchange of health care claims using a single, uniform companion guide to HIPAA implementation guides,¹ including uniform billing and coding standards. The statute further requires the Commissioner of Health to base the transaction standards and billing and coding rules on federal HIPAA requirements and on the Medicare program, with modifications that the commissioner deems appropriate after consulting with the Minnesota Administrative Uniformity Committee (AUC).

This appendix, including the accompanying tables, presents rules for the selection and use of medical codes from the HIPAA code sets that are associated with the 005010X22A1 Professional (837) transaction.

The appendix was developed in consultation with the AUC and its Medical Code Technical Advisory Group (TAG).

NOTE-- As further described in the sections below:

1. All codes must be compliant with federal HIPAA requirements.
2. This appendix does not address or govern:
 - a. the services or benefits that are eligible for payment under a contract, insurance policy, or law;
 - b. payment for health care services under a contract, insurance policy, or law.
3. This appendix includes the following four tables which must also be consulted when selecting and using medical codes:
 - a. Table A.5.1: Minnesota Coding Specifications: When to Use Codes Different From Medicare;
 - b. Table A.5.2: Behavioral Health Procedure Code/Modifier Combinations: For Specific Benefit Packages Unique to State Government Programs;
 - c. Table A.5.3: Substance Abuse Services; and
 - d. Table A.5.4: Maternal And Child Health Billing Guide For Public Health Agencies
4. This Appendix and its accompanying tables establish requirements for the selection and use of medical codes. The coding requirements vary as indicated by the type of service/procedure/product being provided. Depending on the service/procedure/product provided, the requirement in this appendix will be to select codes in the following order:
 - a. The subset of HIPAA codes based on specific Minnesota coding requirements in Tables A.5.1, A.5.2, A.5.3, or A.5.4.
 - b. If the tables above do not apply, or if the table states "follow Medicare guidelines", use HIPAA codes for the federal Medicare program ("Follow Medicare Coding Guidelines");

1 Described in Code of Federal Regulations, title 45, part 162.

5. If a. or b. above does not apply, use the HIPAA-compliant codes that most appropriately describe the service/procedure/product provided. Codes with identical descriptions may be used interchangeably. If codes do not have an identical description then follow section A.3.2, "Instructions for Use", regarding use of the most appropriate code.
6. This appendix does not replace or substitute for standard, national coding resources for HIPAA-adopted code sets (including manuals, online resources, etc.). Consult coding resources for descriptions, definitions, and directions for code usage.
7. Medicare and national codes often change. National organizations are responsible for maintenance of medical codes and periodically add, delete, or make other changes to these codes. This Guide and Appendix incorporate by reference any changes adopted by national organizations with responsibilities for these codes. Submitters of health care claims are responsible for selecting and using the correct, appropriate codes. (See also section A.4.)

A.2 HIPAA Code Sets

Code sets have been adopted under federal HIPAA rules (45 CFR § 162 Subpart J), including: Current Procedural Terminology (CPT); Healthcare Common Procedure Coding System (HCPCS); International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM); International Classification of Diseases, Tenth Revision, Procedural Coding System (ICD-10-PCS); International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM); and Revenue codes.²

Consistent with the HIPAA electronic Transactions and Code Sets regulations, all covered entities are required to submit or receive codes that are:

- valid on the date of service for medical code sets;
- valid at the time the transaction was created and submitted for non-medical code sets.

This Appendix includes requirements and information related to the HIPAA code sets, as well as: modifiers found in the CPT and HCPCS Level II including those established for definition by State Medicaid; revenue codes – a data element of the institutional claim; and units of service (basis for measurement).

A.3 Code Selection and Use

A.3.1 General Rules

1. Select codes that most accurately identify the procedure/service/product provided. Codes with identical descriptions may be used interchangeably. If codes do not have identical description, then follow A.3.2 regarding use of the most appropriate code.
2. The medical record must always reflect the procedure/service/product provided.
3. Use instructions in this appendix and the accompanying tables A.5.1, A.5.2, A.5.3, and A.5.4 to select and use required codes.

² CPT is a registered trademark of the American Medical Association (AMA); ICD-9-CM, ICD-10-CM and ICD-10-PCS ~~is~~ are maintained and distributed by the National Center for Health Statistics, U.S. Department of Health and Human Services (HHS); HCPCS are developed by the Centers for Medicare and Medicaid Services (CMS); Revenue codes are developed by the National Uniform Billing Committee (NUBC). Code set updates can be found at websites of the organizations named above.

Minnesota Uniform Companion Guide for the Implementation of the ASC X12/005010X222A1 Health Care Claim: Professional (837). Version ~~4011.0~~. ~~Adopted into Proposed rule on June 1, 2015~~ January 11, 2016.

A.3.2 Instructions for Using This Appendix and Its Accompanying Tables

1. For the state government behavioral health programs identified in Table A.5.2, use the codes referenced in the table.
2. For substance abuse services, use the codes listed in Table A.5.3.
3. For Maternal and Child Health Billing Guide for Public Health Agencies use the codes listed in Table A.5.4.
4. For all other procedures/services/products, use Table A.5.1 as follows:
 - a) Step 1. Find the appropriate row ("Medicare Claims Processing Manual Chapter") in Table A.5.1 for the type of procedure/service/product provided.
 - b) Step 2. If the "Minnesota Rule" column in Table A.5.1 states "Follow Medicare Coding Guidelines," then select and use codes consistent with the claims submission coding instructions and requirements maintained by or on behalf of the Centers for Medicare and Medicaid Service (CMS) (e.g., Medicare Claims Processing Manual and communications from or on behalf of CMS).
 1. Note: Exception to the "Follow Medicare Coding Guidelines" statement above. If either of the following applies:
 - The procedure/service/product is listed in a Medicare coding resource but is limited by Medicare coverage; OR
 - The procedure/service/product is not listed in a Medicare coding resource;THEN: select the code(s) that most accurately identify the procedure/service/product provided. CPT codes are preferred, but HCPCS Level II codes can be used if they describe the service more completely (e.g. H, S, T codes). Codes with identical descriptions may be used interchangeably.
 - c) Step 3. If the "Minnesota Rule" column for the row describing the type of procedure/service/product being provided states other than "Follow Medicare Coding Guidelines", then use the specific code(s) and/or instructions listed.
5. For procedures/services/products not found in Tables A.5.1, A.5.2, A.5.3, or A.5.4, select the code(s) that most accurately identify the procedure/service/product provided. CPT codes are preferred, but HCPCS Level II codes can be used if they describe the service more completely (e.g. H, S, T codes). Codes with identical descriptions may be used interchangeably.

Note: Table A.5.1 lists chapter headings from the "Medicare Claims Processing Manual". Each chapter entry has an associated "Minnesota Rule" (e.g., "Follow Medicare Coding Guidelines" or other, more specific instructions). For some chapters (e.g., "Chapter 21 – Medicare Summary Notices", "Chapter 22 – Remittance Notice to Providers", and others), the requirement is stated as "Not applicable to coding guidelines". These chapters are relevant to Medicare business processes but do not pertain to coding requirements of this Appendix and may be disregarded.

A.3.3 When Instructions Differ From "Follow Medicare Coding Guidelines"

In some instances shown in the accompanying tables, requirements are to code differently than Medicare with the code(s) and/or instructions stated. Coding that is

different from Medicare resulted because:

1. Minnesota group purchasers accept and adjudicate codes for services above and beyond Medicare's coding guidelines based on their coverage policies and member benefits.
2. More specific or appropriate codes are needed in order to reduce manual processing and administrative costs.
3. Duplicate codes exist and clarification of which code(s) to use is needed.
4. Medicare does not have a guideline for coding a service or made no specific reference to a service but the AUC Medical Code Technical Advisory Group (TAG) had knowledge of differing submission requirements.

Specific coding instructions that are listed in Tables A.5.1, A.5.2, A.5.3, and A.5.4 as other than "Follow Medicare Coding Guidelines" apply only to a limited subset of particular codes or a category of codes selected on the basis of the criteria above.

A.3.4 Additional Coding Specifications

A.3.4.1. Modifiers

Modifiers are found in the CPT and HCPCS Level II including those allowed by CMS to be defined by state Medicaid agencies.

The Minnesota Department of Human Services (DHS) has specifically defined select "U" modifiers to help identify and administer their legislatively required programs. These definitions can be found on the [DHS website](http://www.dhs.state.mn.us/main/idcplg?IdcService=GET_DYNAMIC_CONVERSION&RevisionSelectionMethod=LatestReleased&dDocName=dhs16_167693) at http://www.dhs.state.mn.us/main/idcplg?IdcService=GET_DYNAMIC_CONVERSION&RevisionSelectionMethod=LatestReleased&dDocName=dhs16_167693.

Minnesota group purchasers accept all modifiers including DHS defined modifiers. This appendix includes a list of required program-specific modifiers for some Minnesota Department of Human Services (DHS) programs.

A.3.4.2. Units (basis for measurement)

The number of units is the number of services performed and reported per service line item as defined in the code description unless instructed differently in this appendix.

The following are clarifications/exceptions:

- Report one unit for all services without a measure in the description.
- Report the number of units as the number of services performed for services with a measure in the description. For example, one unit equals:
 - o "per vertebral body;"
 - o "each 30 minutes;"
 - o "each specimen;"
 - o "15 or more lesions;"
 - o "initial."

- Follow all related AMA guidelines in CPT³ (e.g. “unit of service is the specimen” for pathology codes). Definition of “specimen”: “A specimen is defined as tissue(s) that is (are) submitted for individual and separate attention, requiring individual examination and pathologic diagnosis.”⁴
- In the case of time as part of the code definition, more than half the time must be spent performing the service in order to report that code. Follow general rounding rules for reporting more than the code’s time value. If the time spent results in more than one and one half times the defined value of the code and no additional time increment code exists, round up to the next whole number.
- Do not follow Medicare’s rounding rules for speech, occupational, and physical therapy services. Each modality and unit(s) is reported separately by code definition. Do not combine codes to determine total time units.
- Anesthesia codes 00100-01999: 1 unit = 1 minute
- Decimals are accepted with codes that have a defined quantity in their description, such as supplies or drugs and biologicals. Units of service that are based on time are never reported with decimals.
- Drugs are billed in multiples of the dosage specified in the HCPCS Code.

A.4 Submitters and Receivers Are Responsible for Selecting And Using The Correct, Appropriate Medical Codes

Codes used in this appendix were valid at the time of approval for publication of this companion guide. Code set changes may result in this appendix reflecting a deleted code or not reflecting a new code. This Guide and Appendix incorporate by reference any changes adopted by national organizations with responsibilities for these codes.

Per the HIPAA Transactions and Code Set Rule [Code of Federal Regulations, title 45, part 162], “those that [send or] receive standard electronic administrative transactions must be able to [send], receive and process all standard codes irrespective of local policies regarding reimbursement for certain conditions or procedures, coverage policies, or need for certain types of information that are part of a standard transaction.”

A.5 Tables of Coding Requirements

A.5.1 Table A.5.1 -- Minnesota Coding Specifications: When to Use Codes Different From Medicare

Table A.5.1 below lists chapters from the [Medicare Claims Processing Manual](https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Internet-Only-Manuals-IOMs-Items/CMS018912.html), which can be accessed at: <https://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/Internet-Only-Manuals-IOMs-Items/CMS018912.html>. In addition, in the electronic version of this document each of the chapter titles below is also provided as a link to the corresponding chapter of the Medicare Claims Processing Manual.

For Instructions on the use of Table A.5.1 see Section A.3.2.

Please note: Table A.5.1 below references several standard health care claims transactions as

³ Current Procedural Terminology (CPT®), copyright 2013⁴ American Medical Association

⁴ Current Procedural Terminology (CPT®), copyright 2013⁴ American Medical Association

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follows:

- ASC X12/005010X222A1 Health Care Claim: Professional (837), referred to in Table A.5.1 as “professional claim type” or “837P” or “Professional claim;”
- ASC X12/005010X223A2 Health Care Claim: Institutional (837), referred to in Table A.5.1 as “institutional claim type” or “837I” or “Institutional claim;”
- ASC X12/005010X224A2 Health Care Claim: Dental (837), referred to in Table A.5.1 as “837D.”

Pharmacy Claims Submission and Response and the Pharmacy Reversal Submission and Response Transactions per the NCPDP Telecommunication Standard Implementation Guide, Version D.0, referred to in Table A.5.1 as “NCPDP”.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
1	General Billing Requirements		Follow Medicare coding guidelines
2	Admission and Registration Requirements		Not applicable to coding guidelines
3	Inpatient Hospital Billing		Follow Medicare coding guidelines
4	Part B Hospital (Including Inpatient Hospital Part B and OPPTS)	Outpatient professional services provided by CAH, Method II billing	Outpatient professional services provided by Critical Access Hospitals electing Method II billing should be reported on the professional claim type (i.e. 837P).
5	Part B Outpatient Rehabilitation and CORF/OPT Services		Follow Medicare coding guidelines
6	Inpatient Part A Billing and SNF Consolidated Billing		Not applicable to Professional claim
7	SNF Part B (Including Inpatient Part B and Outpatient Fee Schedule)		Not applicable to Professional claim
8	Outpatient ESRD Hospital, Independent Facility and Physician/Supplier Claims		Follow Medicare coding guidelines
9	Rural Health Clinics/Federal Qualified Health Centers	Claim type	Report on the claim type with procedure codes appropriate to the services provided, e.g., physician/clinic services on the 837P, dental services on the 837D, pharmacy on NCPDP.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
10	Home Health Agency Billing	PCA and Homemaking Services	PCA: T1019 PCA Shared 1:2: T1019-TT PCA Shared 1:3 or more: T1019-HQ PCA Temporary Service Increase: T1019-U6 PCA Notice of Reduction: T1019-U5 RN PCA Supervision: T1019-UA Homemaking: S5130, S5131 PCA services may not be billed with a span of dates; each date of service must be billed separately.
10	Home Health Agency Billing	Home Infusion	Home infusion is not addressed in this chapter. See instructions following this table.
11	Processing Hospice Claims		Not applicable to Professional claim
12	Physicians/Nonphysician Practitioners	Allergy, clinical immunology	Medicare does not allow all allergy and clinical immunology codes, but group purchasers accept all applicable codes. Follow the code selection guidelines in the front of Appendix A.
12	Physicians/Nonphysician Practitioners	Modifier 50	Modifier 50 should only be used on surgical services that can be performed bilaterally and are not already defined as a bilateral service. Bilateral services are to be reported with the 50 modifier on one line with one unit.
12	Physicians/Nonphysician Practitioners	Bilateral Radiology	Bilateral radiology services are reported as either: - o one line with a 50 modifier and one unit, or - o two separate lines, one with RT modifier and one with LT modifier.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
12	Physicians/Nonphysician Practitioners	Interpreter services	To report interpreter services: Note: Rounding rules (see front matter section A.3.4.2) apply to all services below. A minimum of eight minutes must be spent in order to report one unit. • T1013 -- Face-to-face oral language interpreter services per 15 minutes • T1013 U3 -- Face-to-face sign language interpreter services per 15 minutes • T1013 GT -- Telemedicine interpreter services per 15 minutes • T1013 U4 -- Telephone interpreter services per 15 minutes • T1013 UN, UP, UQ, UR, US -- Interpreter services provided to multiple patients in a group setting • Report T1013 for each patient in the group setting - o Append the modifier indicating how many patients in the group - o Report one unit per 15 minutes per patient • T1013 52 -- Interpreter drive time, wait time, no show/cancellation per 15 minutes - o Report one unit per 15 minutes per client - o If more than one service is provided, report each on a separate line appended with the - 59 modifier ▪ T1013 52 x 2 units (30 minutes of drive time) ▪ T1013 52 59 (12 minutes of wait time)

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			- o Add narrative(s) in the NTE segment to report the service(s) rendered. An NTE segment is required for each line. - o Reporting drive time versus mileage is based on individual contract. T1013 52 may not be used for drive time if mileage <u>is reported</u> (see 99199) is reported - o A canceled service may only be reported if the interpreter has already arrived for the appointment prior to the cancellation • 99199 -- Mileage for interpreter service - o Reporting mileage versus drive time is based on individual contract. 99199 may not be used if drive time (T1013 52) is reported - o Report one unit per mile
12	Physicians/Nonphysician Practitioners	Collaborative psychiatric consultation (MN Statutes 256b.0625, subd. 48 – Psychiatric consultation to primary care practitioners)	Coding for a consultation initiated by the primary care practitioner/provider to psychiatrist, APRN (certified in psychiatric mental health) or psychologist for an opinion or advice regarding a patient should be reported using 99499 as follows: • Primary Care – 99499 HE AG • Primary Care – 99499 HE AG U4 (non-face-to-face) • Primary Care – 99499 HE AG U7 (by physician extender) • Primary Care – 99499 HE AG U4 U7 (non-face-to-face by physician extender) • Consulting Psychiatrist – 99499 HE AM • Consulting Psychiatrist – 99499 HE AM U4 (non-face-to-face)

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			- Consulting APRN (certified in psychiatric mental health) – 99499 HE AM - Consulting APRN (certified in psychiatric mental health) – 99499 HE AM U4 (non-face-to-face) - Consulting psychologist – 99499 HE AM - Consulting psychologist – 99499 HE AM U4 (non-face-to-face)
12	Physicians/Nonphysician Practitioners	Patient not in exam room	There are circumstances when it is inappropriate to have the patient in the exam room with the physician or nurse practitioner due to extenuating circumstances regarding the patient's condition. When a discussion between clinician and caregiver is vital for the health of the patient and necessary for the patient to be properly cared for, the appropriate CPT code for evaluation and management services may be billed based on time to the patient's group purchaser. Report the appropriate ICD-9-CM code(s), <u>based on date of service</u>, for the diagnosis of the patient as the primary diagnosis or diagnoses. Also ICD-9-CM code V65.19- Other person consulting on behalf of another person must be reported.
12	Physicians/Nonphysician Practitioners	Health Care Homes	The following instructions are for reporting health care home with patient complexity level and supplemental factor modifiers. Use U modifiers in conjunction with medical home codes S0280 or S0281 as shown below: Patient Complexity Level and Supplemental Factors

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule			
Chapter Number	Title/Description		Patient Complexity Level	Complexity Modifiers	Non English Speaking Modifier	Active Mental Health Condition
			Low (no major conditions)	No modifier	U3	U4
			Basic	U1	U3	U4
			Intermediate	TF	U3	U4
			Extended	U2	U3	U4
			Complex (most major conditions)	TG	U3	U4
			Definitions of U modifiers with S0280 or S0281: - o U1 – Care coordination, basic complexity level - o U2 – Care coordination, extended complexity level - o U3 – Care coordination, supplemental factor; Non-English language - o U4 – Care coordination, supplemental factor; Major Active Mental Health Condition			
12	Physicians/Nonphysician Practitioners	ImPACT testing	96120 U2 – Post injury, neuro-cognitive testing (e.g., ImPACT), professional service only			
12	Physicians/Nonphysician Practitioners	In-reach Community Based Coordination	Use HCPCS T1016 U2 or T1016 U2 TS. ▪ T1016 Case management, each 15 minutes ▪ U2 = In-reach, initial service			

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			U2 TS = In-reach, follow-up
13	Radiology Services and Other Diagnostic Procedures	Technical and professional component	If both technical and professional component are being billed by the same billing provider, same place of service, a global code should be used rather than TC/26 modifiers and separate components
13	Radiology Services and Other Diagnostic Procedures	Bilateral radiology	Bilateral radiology services are reported as either: - one line with a 50 modifier and one unit, or - two separate lines, one with RT modifier and one with LT modifier.
14	Ambulatory Surgical Centers	Modifier 50	Modifier 50 should be used on surgical services that can be performed bilaterally and are not already defined as a bilateral service. When appropriate, report the service appended with the 50 modifier on one line with one unit.
14	Ambulatory Surgical Centers	Bilateral radiology	Professional bilateral radiology services are reported as two separate lines, one with RT modifier and one with LT modifier
14	Ambulatory Surgical Centers	Claim Type	Per trading partner agreement, either the 837P or the 837I claim type is allowed pending further guidance from CMS. Check with payer to determine the preferred billing method.
15	Ambulance	General	Follow Medicare coding guidelines
15	Ambulance	Non-emergent, scheduled transport	For non-emergent, scheduled transportation by non-ambulance providers: - A0080 - A0090 - A0100

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			▪ A0110 ▪ A0120 ▪ T2002 ▪ T2003 ▪ T2004
15	Ambulance	Community Paramedic	Community paramedic services are to be billed as follows: • Professional claims only – 837P • Place of services – 12 (home) • Individual provider number – report the Medical director's NPI • Code T1016 U3, 15 minutes increments (one billing, services all inclusive) — a minimum of 8 minutes of face to face time must be rendered in order to report one unit (see also section A.3.4.2 for units rounding rules) - o T1016 Case management, each 15 minutes - o U3 – service provided by certified community paramedic (EMT-CP) • Non-reportable services include: - o Incidental Supplies (e.g., gloves, test strips, band aids, etc.); - o Vaccines - o Travel; - o Mileage; - o Medical record documentation. Supplies and vaccines are reported by the ordering primary care physician only.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
16	Laboratory Services	Lab panels	Lab panels should be reported as 1 line item with 1 unit per panel. CPT defines panel components.
16	Laboratory Services	Newborn Screening	When the specimen is taken for the Newborn Screening Card purchased from Minnesota Department of Health after the birth discharge, the newborn screen is reported using S3620. This covers the cost incurred for the screening card. For repeat screens, report S3620 with the appropriate modifier (76, 77) for repeat services. Diagnostic testing should be reported with the appropriate HCPCS code for the test being performed.
17	Drugs and Biologicals		Follow Medicare coding guidelines
18	Preventive and Screening Services	Preventive services and coding as defined by Medicare	Preventive services and coding as defined by Medicare (i.e. "Welcome to Medicare") are only applicable to Medicare and Medicare replacement products.
18	Preventive and Screening Services	New patient receives preventive care and an illness-related E/M service at the same visit	In instances when a new patient receives preventive care and an illness-related E/M service at the same visit, an established patient E/M service should be used to report a separately-identifiable problem-oriented E/M code in addition to a new patient preventive medicine service HCPCS code on the same date. Append the 25 modifier to problem oriented E/M code.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
18	Preventive and Screening Services	Diagnosis coding for screening services	Diagnosis coding for screening services must follow the ICD-9-CM, code set instructions based on date of service . All applicable diagnoses should be submitted.
18	Preventive and Screening Services	Roster billing	Roster billing is not applicable to Minnesota Group Purchasers
18	Preventive and Screening Services	Vaccine administration	Either G codes or CPT codes may be reported as needed for vaccine administration. If using G codes for covered Medicare vaccines, use CPT for subsequent administration of additional immunizations
18	Preventive and Screening Services	Vaccines acquired through the Minnesota Vaccines For Children (MnVFC) program	When vaccines are acquired through the Minnesota Vaccines For Children (MnVFC) program, Group purchasers require the SL modifier be appended to the vaccine code
18	Preventive and Screening Services	Vaccine administration with counseling for patients through 18 years of age	Vaccine administration with counseling for patients through 18 years of age: Vaccine administration with counseling should be reported in units with the accumulated total administrations representing initial and additional vaccine/toxoid components. Do not report separate administration lines for each administered vaccine. For example, DTaP-IPV/Hib would be reported with 1 unit of initial vaccine/toxoid component administration with counseling and 4 units of additional vaccine/toxoid component administration with counseling.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
18	<u>Preventive and Screening Services</u>	C&TC	S0302 is reported in addition to all of the age appropriate components of a Child and Teen Checkups (C&TC) exam to indicate a complete C&TC exam has been performed. • Maternal depression screening: 99420-UC • Developmental screening: 96110 • Child Mental Health Screening: 96127. • Report CPT codes 99401-99404 if patient comes for counseling only. Preventive counseling is included in the preventive medicine evaluation and management service and should not be reported separately. • Visit can still be reported as a complete C&TC if documentation shows that a component could not be completed due to: o Contraindication (medical reasons, service recently performed elsewhere): Service may still be reported with \$0.00 or \$0.01 charge o Parent Refusal: Service may still be reported with \$0.00 or \$0.01 charge o Unsuccessful Attempt (child uncooperative): Service may be reported with modifier 52 with usual charge if documentation shows that a valid attempt was made to complete the service and rescheduling for a later date was not feasible. • Report all C&TC services together on the same claim even if components were performed on different dates of service. Each line of the claim should indicate the

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			appropriate date the service was performed. Use most appropriate diagnosis code based on patient age.
19	Indian Health Services	Claim type	Report on the claim type with procedure codes appropriate to the services provided, e.g., physician/clinic services on the 837P, dental services on the 837D, pharmacy on NCPDP.
20	Durable Medical Equipment, Prosthetics, Orthotics and Supplies	Oxygen codes	Oxygen codes are used as defined. When appropriate to report contents, MN providers may report E or S oxygen content codes as definition allows.
20	Durable Medical Equipment, Prosthetics, Orthotics and Supplies	Modifiers to indicate rental or purchase	Appropriate modifiers are required to indicate rental or purchase of DME, e.g., NU, RR
20	Durable Medical Equipment, Prosthetics, Orthotics and Supplies	Binaural hearing aids	Binaural hearing aids are reported as one line, with one unit
20	Durable Medical Equipment, Prosthetics, Orthotics and Supplies	Upgrades	Upgrades – if a patient prefers an item with features or upgrades that are not medically necessary and has elected responsibility, the items are billed as two lines using the same code on both lines, if no upgrade code is available. Use the GA modifier for the upgraded and GK for the standard item.
21	Medicare Summary Notices		Not applicable to coding guidelines
22	Remittance Advice		Not applicable to coding guidelines
23	Fee Schedule Administration and		Follow the code selection guidelines in the Appendix A front matter

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
	Coding Requirements		
24	General EDI and EDI Support Requirements, Electronic Claims and Coordination of Benefits Requirements, Mandatory Electronic Filing of Medicare Claims		Not applicable to coding guidelines
25	Completing and Processing the Form CMS-1450 Data Set		Not applicable to coding guidelines
26	Completing and Processing Form CMS-1500 Data Set		Not applicable to coding guidelines
27	Contractor Instructions for CWF		Not applicable to coding guidelines
28	Coordination with Medigap, Medicaid, and other Complementary Insurers		Not applicable to coding guidelines
29	Appeals of Claims Decisions		Not applicable to coding guidelines
30	Financial Liability Protections		Not applicable to coding guidelines
31	ANSI X12N Formats Other than Claims or Remittance		Not applicable to coding guidelines
32	Billing Requirements for Special Services		Follow the code selection guidelines in the front matter of Appendix A
33	Miscellaneous Hold Harmless Provisions		Not applicable to coding guidelines

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
34	Reopening and Revision of Claim Determinations and Decisions		Not applicable to coding guidelines
35	Independent Diagnostic Testing Facility (IDTF)		Not applicable to coding guidelines
36	Competitive Bidding		Not applicable to coding guidelines
37	Department of Veteran Affairs (VA) Claims Adjudication Services Project		Not applicable to coding guidelines
38	Emergency Preparedness Fee for Service Guidelines		Not applicable to coding guidelines
See the following regarding "Doula Services", "Home Infusion Therapy" and "Licensed Traditional Midwife Services (Not Certified Nurse Midwives)" services that are not addressed in any chapter of the Medicare Claims Processing Manual: Doula Services ; Home Infusion Therapy ; Licensed Traditional Midwife Services (Not Certified Nurse Midwives) ; Child and Teen Checkups (C&TC) ; and Early Intensive Developmental and Behavioral Intervention (EIDBI) .			
N/A	N/A	Doula Services MS 256B.0625, Subd. 28B, Doula Services	Coverage of Doula services applies to both DHS fee-for-service and managed care. Doula services are limited to six <u>seven</u> sessions. Prior authorization with medical necessity documentation is required for any additional sessions beyond the six <u>seven</u>. Doula services must be provided under a supervising practitioner (must be a physician, nurse practitioner, or certified nurse midwife) and billed on behalf of the doula under the supervising practitioner's NPI. Coding and billing for these services on the 837P are as follows: ▪ S9445 U4 – ante-partum and post – partum Doula services

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			99199 U4 – Doula attendance at labor and delivery
N/A	N/A	Home Infusion	Medicare has limited coverage for home infusion services. Home Infusion services must be reported on the 837P transaction using applicable home infusion HCPCS codes (per diem S codes, CPT home infusion nurse visit codes, and drug codes). Providers must perform/provide all services as defined in order to report the S code(s). Related NDC codes for compounded products are itemized using the LIN and CTP segments.
N/A	N/A	Licensed Traditional Midwife Services (Not Certified Nurse Midwives)	Minnesota group purchasers require that professional services by licensed traditional midwives be reported on an 837P transaction. Services rendered must be within the practitioner's scope of practice and are reported using the appropriate valid HCPCS code. The following place of service must be reported. <u>Place of Service:</u> 25 – Free-standing Birthing Center <u>HCPCS Code:</u> Appropriate delivery and/or other HCPCS code(s) describing the service(s) rendered. Global services (antepartum, delivery and postpartum) should not be fragmented. This includes but is not limited to, free-standing birthing center or home visits for pre- or post- natal care, stand by services, and post-delivery home visits.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			Fragmented services may be reported in certain circumstances: • If the patient is transferred to another facility for delivery, report only the portion of care provided (antepartum and/or postpartum HCPCS codes). • If only antepartum and/or postpartum care are provided, report the appropriate antepartum and/or postpartum HCPCS code. • Global services may be split when the patient's prenatal/antepartum services are less than four visits (use E/M service). • Routine lab test and ultrasounds may be reported in addition to the global package during the prenatal period. Urine dip sticks are considered part of the global package. Facility (Birthing Center) services related to the delivery or observation are reported on the 837I only.
N/A	N/A	Child and Teen Checkups (C&TC)	<u>S0302 is reported in addition to all of the age appropriate components of a Child and Teen Checkups (C&TC) exam to indicate a complete C&TC exam has been performed. Refer to DHS for the C&TC Provider Guide Webpage for a complete list of reportable component codes.</u> • <u>96110 – Developmental Screening</u> • <u>96110 U1 – Autism Screening</u> • <u>96127 – Social/Emotional or Mental Health Screening</u> • <u>Visit can still be reported as a complete C&TC if documentation shows that a component could not be completed due</u>

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**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			to: - o <u>Contraindication (medical reasons, service recently performed elsewhere): Service may still be reported with \$0.00 or \$0.01 charge</u> - o <u>Parent Refusal: Service may still be reported with \$0.00 or \$0.01 charge</u> - o <u>Unsuccessful Attempt (child uncooperative): Service may be reported with modifier –52 with usual charge if documentation shows that a valid attempt was made to complete the service and rescheduling for a later date was not feasible.</u> <u>Report all C&TC services together on the same claim even if components were performed on different dates of service. Each line of the claim should indicate the appropriate date the service was performed.</u> • <u>Use most appropriate diagnosis code based on patient age.</u>
<u>N/A</u>	<u>N/A</u>	<u>Early Intensive Developmental and Behavioral Intervention (EIDBI)</u>	<u>Both Department of Human Services (DHS) fee-for-service and managed care will need to provide coverage of the EIDBI benefit effective July 1, 2015. There are seven EIDBI benefit services:</u> <u>1. EIDBI Intervention – Applied Behavioral Analysis (ABA) or Developmental Behavioral Intervention (DBI)</u> <u>2. EIDBI Observation and Direction</u> <u>3. Comprehensive Multi-Disciplinary Evaluation (CMDE)</u> <u>4. Individual Treatment Plan Development and Monitoring</u> <u>5. Family Caregiver Training and Counseling</u>

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**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule										
Chapter Number	Title/Description												
			<u>6. Coordinated Care Conference</u> <u>7. Travel Time</u> <u>1. EIDBI Intervention – Applied Behavioral Analysis (ABA) or Developmental and Behavioral Intervention (DBI)</u> <u>Selected Codes</u> <u>0364T, 0365T, 0366T, 0367T, 0368T, 0369T</u> <u>HK – Qualified Supervising Professional [QSP]</u> <u>HP – Doctorate /Mental Health Professional [MHP]</u> <u>HO – Masters /Mental Health Professional [MHP]</u> <u>HN – Bachelor’s degree level I or II</u> <u>HM – Less than bachelor degree level III</u> <u>UB – EIDBI [Early Intensive Developmental and Behavior Intervention]</u> <table><tr><th><u>Coding Individual</u></th><th><u>Coding Group</u></th></tr><tr><td><u>0368T UB HK – Qualified Supervising Professional, first 30 minutes</u></td><td><u>0366T UB HK – Qualified Supervising Professional, first 30 minutes</u></td></tr><tr><td><u>0369T UB HK – Qualified Supervising Professional, each additional 30 minutes</u></td><td><u>0367T UB HK – Qualified Supervising Professional, each additional 30 min</u></td></tr><tr><td><u>0368T UB HP – Doctorate /Mental Health Professional [MHP], first 30 minutes</u></td><td><u>0366T UB HP – Doctorate /Mental Health Professional [MHP], first 30 minutes</u></td></tr><tr><td><u>0369T UB HP – Doctorate /Mental</u></td><td><u>0367T UB HP – Doctorate /Mental Health Professional [MHP], each</u></td></tr></table>	<u>Coding Individual</u>	<u>Coding Group</u>	<u>0368T UB HK – Qualified Supervising Professional, first 30 minutes</u>	<u>0366T UB HK – Qualified Supervising Professional, first 30 minutes</u>	<u>0369T UB HK – Qualified Supervising Professional, each additional 30 minutes</u>	<u>0367T UB HK – Qualified Supervising Professional, each additional 30 min</u>	<u>0368T UB HP – Doctorate /Mental Health Professional [MHP], first 30 minutes</u>	<u>0366T UB HP – Doctorate /Mental Health Professional [MHP], first 30 minutes</u>	<u>0369T UB HP – Doctorate /Mental</u>	<u>0367T UB HP – Doctorate /Mental Health Professional [MHP], each</u>
<u>Coding Individual</u>	<u>Coding Group</u>												
<u>0368T UB HK – Qualified Supervising Professional, first 30 minutes</u>	<u>0366T UB HK – Qualified Supervising Professional, first 30 minutes</u>												
<u>0369T UB HK – Qualified Supervising Professional, each additional 30 minutes</u>	<u>0367T UB HK – Qualified Supervising Professional, each additional 30 min</u>												
<u>0368T UB HP – Doctorate /Mental Health Professional [MHP], first 30 minutes</u>	<u>0366T UB HP – Doctorate /Mental Health Professional [MHP], first 30 minutes</u>												
<u>0369T UB HP – Doctorate /Mental</u>	<u>0367T UB HP – Doctorate /Mental Health Professional [MHP], each</u>												

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			<u>Health Professional [MHP], each additional 30 minutes</u> <u>0368T UB HO – Masters /Mental Health Professional [MHP], first 30 minutes</u> <u>0369T UB HO – Masters /Mental Health Professional [MHP], each additional 30 minutes</u> <u>0368T UB HN – Bachelor's degree level I, first 30 minutes</u> <u>0369T UB HN – Bachelor's degree level I, each additional 30 minutes</u> <u>0364T UB HN – Bachelor's degree level II, first 30 minutes</u> <u>0365T UB HN – Bachelor's degree level II, each additional 30 minutes</u> <u>0364T UB HM – Less than bachelor's degree- level III,</u>
			<u>additional 30 min</u> <u>0366T UB HO – Masters /Mental Health Professional [MHP], first 30 minutes</u> <u>0367T UB HO – Masters /Mental Health Professional [MHP], each additional 30 min</u> <u>0366T UB HN – Bachelor's degree level I or II, first 30 minutes</u> <u>0367T UB HN – Bachelor's degree level I or II, each additional 30 min</u> <u>0366T UB HM – Less than bachelor's degree level III, first 30 min</u> <u>0367T UB HM – Less than bachelor's degree level III, each additional 30 min</u> <u>min</u>

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule	
Chapter Number	Title/Description			
			<u>first 30 min</u> <u>0365T UB HM –</u> <u>Less than</u> <u>bachelor's degree</u> <u>level III, each</u> <u>additional 30</u> <u>minutes</u>	
			<u>2. EIDBI Observation and Direction</u> <u>Selected Codes</u> <u>0362T, 0363T</u> <u>HP – Doctoral level</u> <u>HK – Qualified Supervising Professional</u> <u>[QSP]</u> <u>HN – Bachelor's degree level I or II</u> <u>HO – Masters /Mental Health Professional</u> <u>[MHP]</u> <u>HP – Doctorate /Mental Health Professional</u> <u>[MHP]</u> <u>GT – via interactive audio and video</u> <u>telecommunications systems</u> <u>UB – EIDBI [Early Intensive Developmental</u> <u>and Behavior Intervention]</u>	
			<u>Coding</u> <u>0362T UB HN –</u> <u>Bachelor's degree</u> <u>level I or II, first 30</u> <u>minutes</u> <u>0363T UB HN –</u> <u>Bachelor's degree</u> <u>level I or II, each</u> <u>additional 30 minutes</u> <u>0362T UB HO –</u> <u>Masters /Mental</u> <u>Health Professional</u> <u>[MHP], first 30</u> <u>minutes</u> <u>0363T UB HO –</u> <u>Masters /Mental</u>	<u>Telemedicine</u> <u>0362T UB HN</u> <u>GT- Bachelor's</u> <u>degree level I or II</u> <u>(telemedicine),</u> <u>first 30 minutes</u> <u>0363T UB HN</u> <u>GT– Bachelor's</u> <u>degree level I or II</u> <u>(telemedicine),</u> <u>each additional</u> <u>30 minutes</u> <u>0362T UB HO GT</u> <u>– Masters /Mental</u> <u>Health</u> <u>Professional</u>

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule	
Chapter Number	Title/Description			
			<u>Health Professional [MHP], each additional 30 minutes</u> <u>0362T UB HP – Doctorate /Mental Health Professional [MHP] first 30 minutes</u> <u>0363T UB HP – Doctorate /Mental Health Professional [MHP], each additional 30 minutes</u> <u>0362T UB HK – Qualified Supervising Professional , first 30 minutes</u> <u>0363T UB HK – Qualified Supervising Professional, each additional 30 minutes</u>	<u>[MHP] (telemedicine), first 30 minutes</u> <u>0363T UB HO GT – Masters /Mental Health Professional [MHP] (telemedicine), each additional 30 minutes</u> <u>0362T UB HP GT – Doctorate /Mental Health Professional [MHP] (telemedicine), first 30 minutes</u> <u>0363T UB HP GT – Doctorate /Mental Health Professional [MHP] (telemedicine), each additional 30 minutes</u> <u>0362T UB HK GT - Qualified Supervising Professional, first 30 minutes</u> <u>0363T UB HK GT – Qualified Supervising Professional, each additional 30 minutes</u>
			<u>3. Comprehensive Multi-Disciplinary Evaluation (CMDE)</u>	

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			<u>Selected Code</u> <u>0359T</u> <u>AM – Psychiatrist [MD]/Physician</u> <u>HO – Masters /Mental Health Professional [MHP]</u> <u>HP – Doctorate /Mental Health Professional [MHP]</u> <u>TG – Advanced Practice Registered Nurse (APRN)</u> <u>GT– via interactive audio and video telecommunications systems</u> <u>UB – EIDBI [Early Intensive Developmental and Behavior Intervention]</u> <u>Coding</u> <u>0359T UB AM – Psychiatrist[MD]/Physician</u> <u>0359T UB AM GT– Psychiatrist[MD]/Physician (telemedicine)</u> <u>0359T UB TG – APRN</u> <u>0359T UB TG GT– APRN (telemedicine)</u> <u>0359T UB HP - Doctorate /Mental Health Professional [MHP]</u> <u>0359T UB HP GT – Doctorate /Mental Health Professional [MHP] (telemedicine)</u> <u>0359T UB HO – Masters /Mental Health Professional [MHP]</u> <u>0359T UB HO-GT – Masters /Mental Health Professional [MHP] (telemedicine)</u> <u>4. Individual Treatment Plan Development and Monitoring</u> <u>Selected Codes</u> <u>H0032 – Mental Health Service Plan Development by non-physician</u> <u>UD – 15 minute unit</u> <u>HK – Qualified Supervising Professional [QSP]</u> <u>HN – Bachelor's degree level I or II</u>

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
			<u>HO – Masters /Mental Health Professional [MHP]</u> <u>HP – Doctorate /Mental Health Professional [MHP]</u> <u>UB EIDBI [Early Intensive Developmental and Behavior Intervention]</u> <u>Note:</u> This service is time based although H0032 by definition is not time based. The H0032 was approved for mental health service plan development with modifier UD to indicate a 15 minute time unit. <u>Coding</u> <u>H0032 UB HK UD – Qualified Supervising Professional [QSP]</u> <u>H0032 UB HP UD – Doctorate /Mental Health Professional [MHP]</u> <u>H0032 UB HO UD – Masters /Mental Health Professional [MHP]</u> <u>H0032 UB HN UD – Bachelor's degree level I or II</u> <u>5. Family Caregiver Training and Counseling</u> <u>Selected Codes</u> <u>T1027</u> <u>HK – Qualified Supervising Professional [QSP]</u> <u>HN – Bachelor's degree level I or level II</u> <u>HO – Masters /Mental Health Professional [MHP]</u> <u>HP – Doctorate /Mental Health Professional [MHP]</u> <u>GT – via interactive audio and video telecommunications systems</u> <u>UB – EIDBI [Early Intensive Developmental and Behavior Intervention]</u>

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule	
Chapter Number	Title/Description			
			<u>Coding Individual</u> <u>T1027 UB HK – Qualified Supervising Professional [QSP]</u> <u>T1027 UB HK GT– Qualified Supervising Professional [QSP] (telemedicine)</u> <u>T1027 UB HP – Doctorate /Mental Health Prof [MHP]</u> <u>T1027 UB HP GT – Doctorate /Mental Health Prof [MHP] (telemedicine)</u> <u>T1027 UB HO – Masters /Mental Health Prof [MHP]</u> <u>T1027 UB HO GT – Masters /Mental Health Prof [MHP] (telemedicine)</u> <u>T1027 UB HN – Bachelor's degree level I or II</u> <u>T1027 UB HN GT – Bachelor's degree level I or II (telemedicine)</u>	<u>Coding Group</u> <u>T1027 UB HK HQ – Qualified Supervising Professional [QSP], Group</u> <u>T1027 UB HP HQ – Doctorate /Mental Health Prof [MHP], Group</u> <u>T1027 – UB HO HQ – Masters /Mental Health Prof [MHP], Group</u> <u>T1027 UB HN HQ – Bachelor's degree level I or II, Group</u>
			<u>6. Coordinated Care Conference</u> <u>Selected Codes Description</u> <u>T1024</u> <u>AM – Physician</u> <u>HK – Qualified Supervising Professional (QSP)</u> <u>HN – Bachelor's degree level I or II</u>	

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule	
Chapter Number	Title/Description			
			<u>HO – Masters /Mental Health Professional [MHP]</u> <u>HP – Doctorate /Mental Health Professional [MHP]</u> <u>GT – via interactive audio and video telecommunications systems</u> <u>UB – EIDBI [Early Intensive Developmental and Behavior Intervention]</u> <u>TG – Advanced Practice Registered Nurse (APRN)</u>	
			<u>Coding</u>	<u>Telemedicine Coding</u>
			<u>T1024 UB AM – Physician</u>	<u>T1024 UB AM GT –Physician (telemedicine)</u>
			<u>T1024 UB TG - APRN</u>	<u>T1024 UB TG GT– APRN (telemedicine)</u>
			<u>T1024 UB HK – Qualified Supervising Professional [QSP]</u>	<u>T1024 UB HK GT– Qualified Supervising Professional [QSP] (telemedicine)</u>
			<u>T1024 UB HP – Doctorate /Mental Health Professional [MHP]</u>	<u>T1024 UB HP GT –Doctorate /Mental Health Professional [MHP] (telemedicine)</u>
			<u>T1024 UB HO – Masters /Mental Health Professional[MHP]</u>	<u>T1024 UB HO GT – Masters /Mental Health Professional[MHP] (telemedicine)</u>
			<u>T1024 UB HN – Bachelor's degree level I or II</u>	<u>T1024 UB HN GT – Bachelor's degree level I or II (telemedicine)</u>

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule		
Chapter Number	Title/Description				
			<u>7. Travel Time</u> <u>Selected Codes</u> <u>H0046</u> <u>UB – EIDBI [Early Intensive Developmental and Behavior Intervention]</u> <u>Notes:</u> <u>One unit equals one minute.</u> <u>Travel time is billed on the same claim as the provided service.</u> <u>The actual number of minutes spent in transit is billed (no rounding up).</u>		
			<table><tr><td><u>Coding</u></td></tr><tr><td><u>H0046 UB</u></td></tr></table>	<u>Coding</u>	<u>H0046 UB</u>
<u>Coding</u>					
<u>H0046 UB</u>					

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A.5.2 Behavioral Health Procedure Code/Modifier Combinations for Specific Benefit Packages Unique to Minnesota Government Programs

Table A.5.2 lists behavioral health procedure code and modifier combinations for identifying specific benefit packages unique to Minnesota government programs. The behavioral health modifiers displayed in Table A.5.2 are listed and defined below.

A.5.2.1. Mental Health-Related Modifiers Appearing in Table A.5.2

HA	Child/adolescent program
HE	Mental health program
HK	Specialized mental health programs for high-risk populations
HM	Less than bachelor's degree
HN	Bachelor's degree level
HQ	Group setting
HR	Family/couple with client present
HS	Family/couple without client present
HW	Funded by state mental health agency (service provided by state staff person)
TF	Intermediate level of care
TG	Complex/high tech level of care
TS	Follow up service
UA	Children's Therapeutic Services and Supports (CTSS)
UD	Transition to community living (Adult Rehabilitation Mental Health Services (ARMHS))
U1	Dialectical Behavioral Therapy
U4	Via other than face to face contact; e.g. telephone
U5	Advanced level specialist
U6	Interactive
U7	Physician extender (includes mental health practitioner as defined by MN Statute 245.4711, Subd. 17)
NOTE: The U modifiers in this table are specific to Mental Health.	

A.5.2.2. Behavioral Health Programs Listed in Table A.5.2

The list below shows code/modifier combinations for the programs listed below that are administered by the Minnesota Department of Human Services (DHS). In the electronic version of this document, clicking on the program from the list below will take the reader to the program listing in Table A.5.2.

[Assertive Community Treatment \(ACT\)](#)

[Adult Crisis Response Services](#)

[Children's Mental Health Crisis Response Services](#)

[Mental Health Targeted Case Management \(MH-TCM\)](#)
[Children's Mental Health Residential Treatment Services](#)
[Intensive Residential Treatment Services \(IRTS\)](#)
[Adult Day Treatment](#)
[Children's Day Treatment](#)
[Children's Therapeutic Services and Supports \(CTSS\)](#)
[Adult Rehabilitative Mental Health Services \(ARMHS\)](#)
[Peer Services](#)
[Mental Health Certified Family Peer Specialist](#)
[Mental Health Diagnostic Assessment](#)
[Dialectical Behavior Therapy](#)
[Youth Assertive Community Treatment](#)
[Intensive Treatment in Foster Care](#)
[Mental Health Family Psychoeducation Services](#)
[Mental Health Clinical Care Consultation](#)

Please note: Table A.5.2 below references standard health care claims transactions as follows: ASC X12/005010X223A2 Health Care Claim: Institutional (837), is referred to in Table A.5.2 as "837I".

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
Assertive Community Treatment (ACT) Back to list of behavioral health programs	- An intensive, comprehensive, non-residential rehabilitative mental health services (ARMHS) team model. Consistent with ARMHS - multidisciplinary total team approach. - Patients with serious mental illness who require intensive services; time unlimited basis, available 24/7/365. - Face-to-face, all-inclusive daily rate. - One provider per day	<u>Codes:</u> H0040 - Assertive community treatment program, per diem
Adult Crisis Response Services Back to list of behavioral health programs	- County or county-contracted mental health professional, practitioner, or rehab worker; or crisis intervention team. - Crisis assessment, intervention, stabilization, community intervention. - Immediate, face-to-face evaluation, determine need for emergency services or referrals to other resources.	<u>Codes:</u> - S9484 – Adult individual crisis assessment, intervention and stabilization, individual, by mental health professional or practitioner - S9484 HM – Adult crisis assessment, intervention and stabilization, individual, by mental health rehabilitation worker - S9484 HN – Adult crisis assessment, intervention and stabilization, individual, by mental health practitioner - S9484 HQ – Adult crisis stabilization, group - H0018 – Adult crisis stabilization, residential 90882 HK – Environmental intervention for medical management, community intervention 90882 HK HM – Environmental intervention for medical management, community intervention, mental health rehabilitation worker
Children's Mental Health Crisis Response Services Back to list of behavioral health programs	- Intensive face-to-face, short-term services initiated during a crisis; provided on-site by a mobile crisis response team. - County or county contracted agency.	<u>Codes:</u> - S9484 UA - Crisis intervention mental health services, per hour, Children's Crisis Response Services, mental health professional - S9484 UA HN - Crisis intervention mental health services, per hour, Children's Crisis

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
programs		Response Services, bachelor's degree level mental health practitioner
Mental Health Targeted Case Management (MH-TCM) Back to list of behavioral health programs	Community support plan and functional assessment to help SPMI adults and SED children gain access to needed medical, social educational, vocational, financial services relative to mental health needs.	<u>Codes:</u> - T2023 HE HA – Targeted case management; per month, mental health program, child/adolescent program, face-to-face contact between case manager and recipient under 18 years - T2023 HE – Targeted case management; per month, mental health program, face-to-face contact between case manager and recipient 18 years or older - T2023 HE TF - Targeted case management intermediate level of care; per month, mental health program, face-to face contact between case manager and recipient 18 years or older - T2023 HE TG - Targeted case management complex/high level of care; per month, mental health program, face-to face contact between case manager and recipient 18 years or older - T2023 HE U4 – Targeted case management, per month, mental health program, telephone contact between case manager and recipient 18 years or older - T1017 HE – Targeted case management, per encounter (day), mental health program, adult, face-to-face contact between case manager and recipient age 18 or older, IHS/638 facilities and FQHCs - T1017 HE HA – Targeted case management, per encounter (day), mental health program, child/adolescent program, face-to-face contact between case manager and recipient under 18 years, IHS/638 facilities and FQHCs
Children's Mental Health Residential Treatment Services	24-hour-a-day program under clinical supervision of a mental health professional, provided in a community setting.	PMAP/Commercial/County-based Purchasing (CBP): For room and board and/or treatment services, report on the 837I type of bill 86X, with the

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
Back to list of behavioral health programs		room and board and treatment services as separate line items. o Submit the room and board charges under revenue code 1001 and the treatment services under revenue codes 090X or 091X. Department of Human Services (DHS)/ Fee for Service: When room and board with treatment is billed, services are reported on the 837P, with HCPCS Code H0019, and POS 99.
Intensive Residential Treatment Services (IRTS) Back to list of behavioral health programs	▪ Time-limited mental health services provided in a residential setting to recipients in need of more restrictive settings and at risk of significant functional deterioration. ▪ Develop and enhance psychiatric stability, personal and emotional adjustment, self-sufficiency and skills to live in a more independent setting.	PMAP/Commercial/County-based Purchasing (CBP): For room and board and/or treatment services, report on the 837I type of bill 86X, with the room and board and treatment services as separate line items. o Submit the room and board charges under revenue code 1001 and the treatment services under revenue codes 090X or 091X. Department of Human Services (DHS)/ Fee for Service: When room and board with treatment is billed, services are reported on the 837P, with HCPCS Code H0019 and POS 99.
Adult Day Treatment Back to list of behavioral health programs	▪ A structured program of group psychotherapy and other intensive therapeutic services provided by a multidisciplinary team to stabilize a recipient's mental health status, develop and improve independent living and socialization skills	<u>Codes:</u> ▪ H2012 - Behavioral health day treatment, per hour
Children's Day Treatment Back to list of behavioral health	▪ A structured MH treatment program consisting of group psychotherapy and other intensive therapeutic services	<u>Codes:</u> ▪ H2012 UA HK – Behavioral health day treatment, per hour, CTSS

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
programs	provided by multidisciplinary team.	H2012 UA HK U6 – Behavioral health day treatment, per hour, CTSS interactive
Children's Therapeutic Services and Supports (CTSS) Back to list of behavioral health programs	Rehabilitative services that offer a broad range of medical and remedial services and skills to help restore individuals' self-sufficiency/functional abilities - a flexible package of mental health services for children.	<u>Codes:</u> 90832 UA – Psychotherapy w/patient and/or family, 30 minutes, CTSS 90833 UA – Psychotherapy w/patient and/or family, 30 minutes with an E/M*, CTSS 90834 UA - Psychotherapy w/patient and/or family, 45 minutes, CTSS 90836 UA - Psychotherapy w/patient and/or family, 45 minutes with an E/M*, CTSS 90837 UA - Psychotherapy w/patient and/or family, 60 minutes, CTSS 90837 UA plus 99354 Psychotherapy w/patient and/or family 60 minutes, CTSS 90838 UA- Psychotherapy w/patient and/or family, 60 minutes with E/M*, CTSS 90839 UA –Psychotherapy for crisis, first 60 minutes, CTSS 90840 UA- Each additional 30 mins [add on to 90839], CTSS 90846 UA - Family psychotherapy without patient, CTSS 90847 UA - Family psychotherapy with patient, CTSS 90849 UA - Multiple family group psychotherapy, CTSS 90853 UA - Group psychotherapy, CTSS 90875 UA - Individual psychophysiological therapy incorporating biofeedback by any modality, with psychotherapy , 30 minutes, CTSS 90876 UA Individual psychophysiological therapy incorporating biofeedback by any modality, with psychotherapy, 45 minutes, CTSS

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
		▪ H2014 UA - Skills training & development, individual, per 15 minutes, CTSS ▪ H2014 UA HQ - Skills training & development, group, per 15 minutes, CTSS ▪ H2014 UA HR - Skills training & development - family, per 15 minutes, CTSS ▪ H2015 UA - Comprehensive community support services - crisis assistance, 15 minutes, CTSS ▪ H2019 UA - Therapeutic behavioral services, Level I Mental Health Behavioral Aide (MHBA), 15 minutes, CTSS ▪ H2019 UA HM - Therapeutic behavioral services, Level II MHBA, 15 minutes, CTSS ▪ H2019 UA HE - Therapeutic behavioral services direction of MHBA, 15 minutes, CTSS *(Use 90833-UA, 90836-UA or 90838-UA in conjunction with 99201-99255 where documentation supports per CPT manual) #(Use 90785-UA in conjunction with 90832-90838 when psychotherapy includes interactive complexity services)
Adult Rehabilitative Mental Health Services (ARMHS) Back to list of behavioral health programs	Develop and enhance psychiatric stability, social competencies, personal and emotional adjustment and independent living and community skills.	<u>Codes:</u> ▪ H2017 - Basic living and social skills, individual; mental health professional or practitioner, per 15 minutes ▪ H2017 HM - Basic living and social skills, individual; mental health rehabilitation worker, per 15 minutes ▪ H2017 HQ - Basic living and social skills, group; mental health professional, practitioner, or rehabilitation worker, per 15 minutes ▪ H2017 UD - Basic living and social skills, transitioning to community, mental health professional or practitioner ▪ H2017 UD HM - Basic skills, transitioning to community, less than bachelor's degree level, mental health rehabilitation worker

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
		90882 - Environmental/community intervention, mental health professional or practitioner 90882 HM - Environmental/community intervention, mental health rehabilitation worker 90882 UD - Environmental/community intervention; transition to community living intervention 90882 UD HM - Environmental/community intervention; transition to community living intervention, less than bachelor's degree level, mental health rehabilitation worker - H0034 - Medication education, individual: MD, RN, PA or Pharmacist - H0034 HQ - Medication education, group setting
Peer Services Back to list of behavioral health programs	Non-clinical support counseling services provided by certified peer specialist.	Codes: H0038 — Certified peer specialist services, per 15 minutes H0038 U5 — Advanced level certified peer specialist services, per 15 minutes
Mental Health Diagnostic Assessment Back to list of behavioral health programs	A diagnostic assessment is a written report that documents the clinical and functional face-to-face evaluation of a recipient's mental health and is necessary to determine a recipient's eligibility for mental health services.	Codes: In order to report diagnostic assessments with levels of complexity, report as follows: 90791/90792 – Standard diagnostic assessment, without medical service/with medical service 90791/90792 plus 90785 – Interactive standard diagnostic assessment, without medical service/with medical service 90791/90792 52 – Brief diagnostic assessment, without medical service/with medical service 90791/90792 52 plus 90785 – Interactive brief diagnostic assessment, without medical service/with medical service

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
		90791/90792 TS – Adult update diagnostic assessment, without medical service/with medical service 90791/90792 TS plus 90785 – Interactive adult update diagnostic assessment, without medical service/with medical service 90791/90792 TG – Extended diagnostic assessment, without medical service/with medical service 90791/90792 TG plus 90785 – Interactive extended diagnostic assessment, without medical service/with medical service
Dialectical Behavior Therapy Back to list of behavioral health programs	Dialectical behavior therapy (DBT) is a treatment approach provided in an intensive outpatient treatment program (IOP) using a combination of individualized rehabilitative and psychotherapeutic interventions. A DBT IOP program involves individual therapy, group skills training, telephone coaching, and consultation team meetings.	<u>Codes:</u> - H2019 U1 – Therapeutic behavioral services, per 15 minutes, DBT - H2019 U1 HN – Therapeutic behavioral services, per 15 minutes, DBT, by qualified trainee - H2019 U1 HA – Therapeutic behavioral services, per 15 minutes, DBT, adolescent - H2019 U1 HA HN – Therapeutic behavioral services, per 15 minutes, DBT, adolescent, by qualified trainee - H2019 U1 HQ – Therapeutic behavioral services, per 15 minutes, DBT, group - H2019 U1 HQ HN – Therapeutic behavioral services, per 15 minutes, DBT, group, by qualified trainee - H2019 U1 HQ HA – Therapeutic behavioral services, per 15 minutes, DBT, group, adolescent - H2019 U1 HQ HA HN – Therapeutic behavioral services, per 15 minutes, DBT, group, adolescent, by qualified trainee
Youth Assertive Community Treatment	Intensive nonresidential rehabilitative mental health services as adapted for youth ages 16 to 21.	H0040 HA – Assertive community child/adolescent treatment program per diem, ages 16 through 20

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
Back to list of behavioral health programs		
Intensive Treatment in Foster Care Back to list of behavioral health programs	Intensive treatment services to children with mental illness residing in foster family settings. (MS 256B.0946 Intensive Treatment in Foster Care) (1) Psychotherapy provided by a mental health professional; (2) Crisis assistance provided according to standards for children's therapeutic services and supports; (3) Individual, family, and group psychoeducation services by a mental health professional or a clinical trainee; (4) Clinical care consultation provided by a mental health professional or a clinical trainee; and (5) Service delivery payment requirements as provided under subdivision 4.	<u>Codes:</u> ▪ S5145 – Foster care, therapeutic, child; per diem ▪ HE – Mental health program Bill only one per diem code per day regardless of the number of services or who provides services.
Mental Health Family Psycho-education Services Back to list of behavioral health programs	• Family psycho-education services provided to a child up to age 21 with a diagnosed mental health condition and provided by licensed mental health professional or a clinical trainee, as defined in Minnesota Rules, part 5.9505.0371, subpart 5, item C • Information or demonstration provided to an individual, family, multifamily group, or peer group session to explain, educate, and support the child	<u>Codes:</u> ▪ H2027 - Individual ▪ H2027 HQ - Group (peer group) ▪ H2027 HR - Family with client present ▪ H2027 HS - Family without client present ▪ H2027 HQ HR - Multiple different families with clients present ▪ H2027 HQ HS - Multiple different families without clients present ▪ H2027 HN - Individual, clinical trainee ▪ H2027 HQ HN - Group (peer group), clinical trainee ▪ H2027 HR HN - Family with client present,

**TABLE A.5.2 -- Behavioral Health Procedure Code/Modifier Combinations:
For Specific Benefit Packages Unique To Minnesota Government Programs**

Name of Program	Description/Definition	Coding
	and family in: - o understanding a child's symptoms of mental illness; - o the impact on the child's development; - o needed components of treatment; and - o skill development.	clinical trainee ▪ H2027 HS HN - Family without client present, clinical trainee ▪ H2027 HQ HR HN - Multiple different families with clients present, clinical trainee ▪ H2027 HQ HS HN - Multiple different families without clients present, clinical trainee
Mental Health Clinical Care Consultation Back to list of behavioral health programs	• MH clinical care consultation services provided to patients up to age 21 and provided by mental health professional or clinical trainee to other providers or educators not under their supervision. • Services may take place in, but are not limited to, school, community, office or clinic	<u>Codes:</u> ▪ 90899-U8 (5-10 minutes) ▪ 90899-U9 (11-20 minutes) ▪ 90899-UB (21-30 minutes) ▪ 90899-UC (31+ minutes) Additional modifiers could be appended, such as U4 for phone and/or HN for clinical trainee.
Certified Family Peer Specialist – DHS Back to list of behavioral health programs	• <u>Services are for children under the following codes with the HA modifier.</u> • <u>For mental health services only; do not apply to substance abuse.</u>	<u>Codes:</u> • <u>H0038 Certified peer specialist services, per 15 minutes</u> • <u>H0038 U5 Advanced level certified peer specialist services, per 15 minutes</u> • <u>H0038 HQ Group setting, certified peer specialist services, per 15 minutes</u> • <u>H0038 HA Child/adolescent program, certified peer specialist services, per 15 minutes</u> • <u>H0038 HA HQ Child/adolescent program, group setting, certified peer specialist services, per 15 minutes</u>

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A.5.3 Substance Abuse Services

Table A.5.3 is to be used for reporting substance abuse services by delivery setting category. The table has been divided into three parts based on the delivery setting, as follows:

- [Hospitals](#);
- [All other residential](#); and
- [Outpatient Services](#) (further divided into Institutional vs. Professional claim type).

The tables incorporate both institutional and professional claim types for ease of reference.

Please note: Table A.3 below references standard health care claims transactions as follows:

- ASC X12/005010X222A1 Health Care Claim: Professional (837), referred to in Table A.5.3 as "Professional" or "837P".
- ASC X12/005010X223A2 Health Care Claim: Institutional (837), referred to in Table A.5.3 as "Institutional" or "837I".

Table A.5.3.a -- Substance Abuse Services: ~~Hospital~~

v10v11.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837)

Table A.5.3.a -- Substance Abuse Services: Hospital
(Facility licensed as a hospital under Minnesota statutes, section 144.50 to 144.56)

Service Description	Option 1 or 2 *	Unit	Revenue Code	HCPSC Procedure Code	Claim Type	Type of Bill
Room and Board	1	Day	0118, 0128, 0138, 0148, 0158	N/A	837I	011x-hospital inpatient
Detox	1	Day	0116, 0126, 0136, 0146, 0156	N/A	837I	011x-hospital inpatient
Treatment component	1	Day	Choose one per date of service: 0944 or 0945 or 0949	N/A	837I	011x-hospital inpatient
Ancillary	1	Based on Revenue Code	As appropriate	N/A	837I	011x-hospital inpatient
All-inclusive Room and Board	2	Day	0101	N/A	837I	011x-hospital inpatient
Detox	2	Day	0116, 0126, 0136, 0146, 0156	N/A	837I	011x-hospital inpatient
Ancillary Services	2	Based on Revenue Code	as appropriate	N/A	837I	011x-hospital inpatient

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***Note:** "Option 1" treatment is reported separately from room and board. "Option 2" is all-inclusive: includes room and board and treatment.

Table A.5.3.b – Substance Abuse Services: All Other Residential

v119.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837)

A.5.3.b – Substance Abuse Services: All Other Residential

Service Description	Unit	Revenue Code	HCPCS Procedure Code	Claim Type	Type of Bill
Room and Board	Day	1002: (residentially licensed chemical dependency treatment provider, e.g., Rule 31 Licensed Facility, Children's Residential Facility with CD certification, Tribal CD licensed facility) 1003: (facilities licensed to provide room and board services only, e.g., board and lodge, supervised living facility, foster care)	None	837I	086x – special facility, residential
Detox	Day	0116, 0126, 0136, 0146, 0156	None	837I	086x – special facility, residential
Treatment program, treatment component	Day	Choose one per date of service: 0944 or 0945 or 0949	None	837I	086x – special facility, residential
Treatment program, treatment component	Hour	0953	None	837I	086x – special facility, residential
Ancillary services	Based on revenue code	As appropriate	None	837I	086x – special facility, residential

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Table A.5.3.c – Substance Abuse Services: Outpatient Services

v110.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837)

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Table A.5.3.c.i – Substance Abuse Services: Outpatient Services – Claim Type 837I

(Applicable to all providers and settings per applicable contract or established program standards)

Claim Type – 837I

Service Description	Unit	Revenue Code	HCPCS Procedure Code	Type of Bill
Outpatient program; Treatment only	Hour	0944 or 0945 or 0953	H2035 HQ (group) H2035 (individual)	089x or 013x
Medication Assisted Therapy (MAT)	Day	0944	H0020	089x or 013x
MAT – all other drugs Note: U9 – MAT, all other drugs, e.g. buprenorphine, naltrexone, Antabuse, etc.	Day	0944	H0047 U9	089x or 013x
<u>MAT Therapy and/or Counseling Services (See Note 2 below)</u>	<u>Day</u>	<u>0944</u>	<u>4306F</u>	<u>089x or 013x</u>
Alcohol and/or drug assessment	Session /visit	0900	H0001	As appropriate
Outpatient Ancillary Services	Based on revenue code	As appropriate		089x or 013x

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Note 1: Take-home doses place of service guide: The POS for directly observed administration would be 11 or 22. Additional days where the patient self-administers the doses should be reported with POS 12.

Note 2: Append the following Category II code to the 837P or 837I claim as verification of services performed at the time of MAT dosing:

- 4306F - Patient counseled regarding psychosocial and pharmacologic treatment options for opioid addiction

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~~v10y11.0~~ MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837)

Table A.5.3.c.ii – Substance Abuse Services: Outpatient Services – Claim Type 837P

(Applicable to all providers and settings per applicable contract or established program standards)

Claim Type – 837P

Service Descriptions	Unit	Revenue Code	HCPCS Procedure Code	Type of Bill
Outpatient program; Treatment only	Hour	N/A	H2035 HQ (group) H2035 (<i>individual</i>)	N/A
Medication Assisted Therapy (MAT)	Day	N/A	H0020	N/A
MAT – all other drugs	Day	N/A	H0047 U9	N/A
MAT Plus	Day	N/A	H0020 UA	N/A
MAT Plus – all other drugs	Day	N/A	H0047 UB	N/A
<u>MAT Therapy and/or Counseling Services (see Note 2 below)</u>	<u>Day</u>	<u>N/A</u>	<u>4306F</u>	<u>N/A</u>
Note 1: -Take-home doses place of service guide: The POS for directly observed administration would be 11 or 22. Additional days where the patient self-administers the doses should be reported with POS 12. MAT Plus – a licensed program providing at least 9 hours of treatment service per week U9 – MAT, all other drugs, e.g. buprenorphine, naltrexone, Antabuse, etc. UA – MAT Plus, methadone UB – MAT Plus, all other drugs <u>Note 2: Append the following Category II code to the 837P or 837I claim as verification of services performed at the time of MAT dosing:</u> <u>4306F – Patient counseled regarding psychosocial and pharmacologic treatment options for opioid addiction.</u>				
Alcohol and/or drug assessment	Session/visit	N/A	H0001	

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A.5.4 Maternal and Child Health Billing Guide For Public Health Agencies

Table A.5.4 is to be used for reporting Maternal and Child Health services for public health agencies. The table has been divided into three parts as follows:

- a) [Public health nurse clinic services](#)
- b) [Maternal & child health visits](#)
- c) [Other services and miscellaneous](#)

Table A.5.4.a — PUBLIC HEALTH NURSE CLINIC SERVICES

V11.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837) Table A.5.4.a -- Public health nurse clinic services Maternal And Child Health Billing Guide For Public Health Agencies Table A.5.4.a — Public health nurse clinic services		
	Place of Service	
	Home or Place of Residence (Use appropriate POS)	Public Health Clinic (POS 71)
Services Include: - Health Promotion & Counseling - Nursing Assessment & Diagnostic Testing - Medication Management - Nursing Treatment - Nursing Care, in the home, by RN (PHN & CPHN)	S9123	T1015
Home health aide or CNA, per visit	T1021	Individual S9445 Group S9446
Patient Education only - if no other services (includes car seat education)	S9123	Individual S9445 Group S9446

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Table A.5.4.b -- MATERNAL & CHILD HEALTH VISITS

v10y11.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837)

Table A.5.4.b -- Maternal & child health visits

Maternal And Child Health Billing Guide For Public Health Agencies

Table A.5.4.b -- Maternal & child health visits

	Place of Service	
	Home or Place of Residence (Use appropriate POS)	Public Health Clinic (POS 71)
Birthing Classes	N/A	S9442
Home Visit for Postnatal assessment & follow up care - Mother	99501	N/A
Home Visit for Post-natal assessment & follow up care - Newborn	99502	N/A
Enhanced Services - for at-risk pregnancies as determined by the physician/nurse practitioner		
At-Risk Antepartum Management	H1001	H1001
At-Risk Care Coordination	H1002	H1002
At-Risk Prenatal Health Education	H1003	H1003
At-Risk Prenatal Health Education I	H1003	H1003
At-Risk Prenatal Health Education II	H1003	H1003
At-Risk Enhanced Service; Follow-up Home Visit	H1004	N/A
At-Risk Enhanced Service Package	H1005	H1005

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Table A.5.4.c – OTHER SERVICES and MISCELLANEOUS

v11.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837) <u>Table A.5.4.c -- Other services</u> Maternal And Child Health Billing Guide For Public Health Agencies <u>Table A.5.4.c -- Other services</u>		
	Place of Service	
	Home or Place of Residence (Use appropriate POS)	Public Health Clinic (POS 71)
Prenatal Nutrition Education, Medical Nutrition Therapy; initial assessment and intervention, individual, face-to-face with patient, each 15 minutes	97802	97802
Prenatal Nutrition Education, Medical Nutrition Therapy; initial re-assessment and intervention, individual, face-to-face with patient, each 15 minutes	97803	97803

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v8v11.0 MUCG for the ASC X12N/005010X222A1 Health Care Claim: Professional (837) <u>Table A.5.4.c -- Miscellaneous</u> Maternal And Child Health Billing Guide For Public Health Agencies <u>Table A.5.4.c -- Miscellaneous</u>		
	Place of Service	
	Home or Place of Residence (Use appropriate POS)	Public Health Clinic (POS 71)
Maternal Depression Screenings	99420 UC	99420 UC
Child Developmental Screenings	96110	96110
<u>Autism Screening</u>	<u>96110 U1</u>	<u>96110 U1</u>
Child <u>Social/Emotional or</u> Mental Health Screenings	96127	96127
TB Case Management	T1016	T1016
TB Direct Observation Therapy	H0033	H0033

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B. Appendix B: K3 Segment Usage Instructions

The K3 segment in the 2300 and 2400 Loops is used to meet regulatory requirements when the X12N Committee has determined that there is no alternative solution within the current structure of the 005010X222A1. The situations noted here have been approved by the X12N Committee and will be considered as a business need in development of future versions of this transaction.

If multiple K3 needs exist on a single claim at the same loop level, it is recommended that separate K3 segments be sent.

State of Jurisdiction

In workers' compensation, insurance carriers have indicated a need to determine jurisdiction in certain situations. For this workers' compensation implementation, providers may populate this field with the appropriate state code.

LU is the qualifier to indicate this value and should be followed by the two-character state code.

Report at 2300 Loop only.

K3*LUMN~

Tooth Number/Oral Cavity

Oral surgeons and other non-dentist providers that supply oral cavity related procedures may have a need to report tooth number and/or oral cavity within the 005010X222A1 for proper benefit determination. To report the tooth number and/or oral cavity, the K3 segment should be used.

JP is the qualifier to indicate this value tooth number and should be followed by the actual tooth number value. Multiple tooth numbers may be reported in the same K3 segment with a space break between each number as indicated by the second example below.

Report at 2400 Loop only.

K3*JP12~

K3*JP12 14~

JO is the qualifier to indicate oral cavity and should be followed by the oral cavity value. Multiple oral cavities may be reported in the same K3 segment with a space break between each number.

Report at 2400 Loop only.

K3*JO10~

NOTE: Additional information can be found concerning the use of the K3 segment discussed here on the ASC X12N Interpretations Portal: <http://www.x12n.org/portal>. Search for HIR numbers:

- 628 and 1399: State of Jurisdiction
- 638 and 1065: Send Tooth Information in K3.

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C. Appendix C: Reporting MNCare Tax

NOTE: Instructions for MNCare Tax billing only apply if the provider bills the group purchaser for MNCare Tax. Some providers do not bill the group purchaser for MNCare Tax. This document DOES NOT require them to do so but if they do identify the tax it must be done as follows. Some group purchasers may not reimburse MNCare Tax unless it is identified in the AMT. MNCare Tax must be reported as part of the line item charge and reported in the corresponding AMT tax segment on the lines.

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Filename:

Adult Rehabilitation Mental Health Services (AF)

Code	Mod	DHS Brief Description	Units
H2017		Basic Living and Social Skills - individual; mental health professional or practitioner	15 min
	UD HM	Basic Living and Social Skills - individual; mental health rehabilitation worker	
	HQ	Basic Living and Social Skills - group; mental health professional, practitioner, or rehabilitation worker	
	UD U3	Transition to Community Living (TCL)	15 min
	UD U3 HM	Transition to Community Living (TCL) by a mental health rehabilitation worker	
90882		Community Intervention; mental health professional or practitioner	1 session
	HM	Community Intervention mental health rehabilitation worker	
	UD U3	Transition to Community Living (TCL)	1 session
	UD U3 HM	Transition to Community Living (TCL) Intervention by a mental health rehabilitation worker	
H0031	UD	Functional Assessment	15 min
H0031	TS UD	Functional Assessment Update/Review	
H0032	UD	Individual Treatment Plan	15 min
H0032	TS UD	Individual Treatment Plan Update/Review	
H0034		Medication Education – individual; physician, registered nurse, physician’s assistant or a pharmacist	15 min
	HQ	Medication Education – group; physician, registered nurse, physician’s assistant or a pharmacist	

ARMHS) Benefits
Service Limitations
Authorization is required for more than 300 hours per calendar year combined total of H2017, H2017 HM and H2017 HQ.
• Authorization required • Cannot be done concurrently with other ARMHS services • No threshold
Authorization is required for more than 10 sessions per month or 72 sessions per calendar year.
• Authorization required • Cannot be done concurrently with other ARMHS services • No threshold
• Authorization required for more than 24 units per calendar year • Maximum number of units for the initial functional assessment is 14 units • Maximum number of units for the update or review is 10 units
• Authorization required for more than 14 units per calendar year • Maximum number of units for the initial ITP is 8 units • Maximum number of units for the update or review ITP is 6 units
Authorization is required for more than 26 hours per calendar year of H0034 and 26 hours per calendar year of H0034 HQ



AUC BUSINESS NEED EXPLANATION FORM (AUC SBAR)

Instructions for Completing the AUC SBAR

Purpose: To provide a formal communication method by which member organizations or non-member interested parties may request the AUC to consider working on a particular issue related to administrative simplification or to request clarification of Minnesota rules and regulations related to administrative simplification.

Instructions: Do not combine issues in a single SBAR. Complete a separate SBAR form for each individual issue that needs to be addressed. The completion of the AUC Medical Code TAG (MCT) Decision Tree is highly recommended prior to completion of the SBAR form(s). If completed, please submit the MCT Decision Tree form along with your completed SBAR.

Complete Sections II and III of the SBAR. Section I is to be completed by MDH staff and Section IV is to be completed by the AUC.

Medical Coding TAG Decision Tree Form

In order to streamline and expedite the SBAR review process, the AUC Medical Code TAG utilizes the MCT Decision Tree in its decision-making process for consideration of SBARs under the TAG's review. The MCT has made the form available for use to ensure accurate and timely SBAR responses. The MCT Decision Tree form can be accessed from the AUC website at: <http://www.health.state.mn.us/auc/forms.htm> or by clicking on the link below in Step 1.

Step 1: Complete an [MCT Decision Tree](#) for each SBAR issue. Completing the MCT Decision Tree will enable a more thorough explanation of the SBAR issue(s) and prevent omission of critical or significant information.

Step 2: Fully complete Sections II and III. Incomplete or unclear analysis of the issue may cause unnecessary delay in the AUC response to the SBAR.

Section II

1. Provide contact information for person submitting the SBAR. Additional contact information may be required for the subject matter expert if different from the person completing the SBAR form.
2. The additional contact information may also be completed when the SBAR is being submitted on behalf of another individual who should also be notified of the AUC TAG meeting when the SBAR will be considered and who may or may not be a member of your organization.

Please note: There may be a need to ask additional questions in order to clarify understanding of the issue as stated in the SBAR. The SBAR submitter and subject matter expert should be in attendance or available during the AUC TAG meeting and will be notified of meeting details at least one week prior to the SBARs' scheduled review date.

Section III

1. Provide an SBAR title for the issue you wish to have addressed.
2. Describe the issue, using descriptive and concise language and/or examples as appropriate, and by answering the questions outlined below:

- **Situation:** Describe the type of problem or issue, e.g., coding or clarification/interpretation. What is the current business practice and why is it a problem or an issue? Would the continuance of the practice result in non-compliance of federal (e.g., CMS guidelines) and Minnesota administrative simplification rules and regulations?
- **Background:** In explaining the pertinent history of the business practice, state how it is used within your organization or within the industry today. What is the negative impact of the business practice if not addressed by the AUC?
- **Assessment:** Be specific and provide as much detail you feel is required to convey or explain why this issue should be addressed by the AUC. How would a change to this business practice benefit health care administrative simplification in Minnesota?
- **Recommendation:** What are you proposing as the solution to this issue that will benefit the health care administrative simplification in Minnesota or improve standardization of the administrative simplification process in Minnesota?

Step 3: Submit the completed SBAR(s) and MCT Decision Tree(s) to the AUC via email at: health.AUC@state.mn.us.

AUC BUSINESS NEED EXPLANATION FORM (SBAR)

REMINDER: Submit the completed SBAR and MCT Decision Tree via email to the AUC at health.AUC@state.mn.us . The MCT Decision Tree is completed for medical coding issues only.			
Section I – SBAR Status/Disposition information (To be completed by the Minnesota Department of Health)			
Date received:		Organization submitting:	
Short Title		Log No.	Date Closed
Status: Exec Review Date	Sent to TAG/WG	TAG Recommendation: _____ Accept _____ Reject	Decision to Originator
Section II – Contact information (Person submitting the SBAR and/or Subject Matter Expert)			
Contact Information for person completing this form: Name: ANDREA AGERLIE Title: Health Care Coding Compliance Officer Email address: andrea.agerlie@state.mn.us Telephone: 651-263-6314		Organization Information: Name: MINNESOTA DEPARTMENT OF HUMAN SERVICES Address: 540 Cedar St. , St. Paul, MN 55164-0993	
Complete for additional contact or Subject Matter Expert, as required: Name: Title: Email address: Phone number:			
Section III – SBAR information (Concise and specific description of the issue to be addressed stating the Situation, Background, Assessment, and Recommendation)			
SBAR Issue Title: MENTAL HEALTH SERVICE PLAN DEVELOPMENT			
S	SITUATION – Describe the current business practice(Please describe the problem or issue to be addressed): The 2013 Minnesota Legislature modified 256B.0943 to add a new covered service under Children's Therapeutic Services and Supports (CTSS) called Mental Health Service Plan Development. The definition of Mental Health Service Plan Development in 256B.0943, Subd. 1(p) is as follows: (1) The development, review, and revision of a child's individual treatment plan as provided in Minnesota Rules, part 9505.0371, subpart 7, including involvement of the client or client's parents, primary caregiver, or other person authorized to consent to mental health services for the client, and including arrangement of treatment and support activities specified in the individual treatment plan; and (2) Administering standardized outcome measurement instruments, determined and updated by the commissioner, as periodically needed to evaluate the effectiveness of treatment for children receiving clinical services and reporting outcome measures as required by the commissioner. In addition to CTSS, DHS has determined there is sufficient funding allocated to provision of Adult Rehabilitative Mental Health Services (ARMHS) to provide payment for similar services. Mental Health Service Plan Development applies to both fee-for-service and managed care.		

B	BACKGROUND – Explain the pertinent history of the business practice (How does this work today): CTSS and ARMHS providers have clear requirements for completion of an individual treatment plan as well as timelines for review and revision of the plan. Legislation requires both CTSS and ARMHS providers to engage in Functional Assessment and related outcome measurement. Prior to the 2013 legislative changes, however, these time intensive activities were not reimbursed.
A	ASSESSMENT – Summarize your analysis of this issue (what are your challenges, what type of organizations are impacted by these challenges – provider types, health plans, others? Please indicate how this applies to AUC's mission, vision, values, and strategy. Are there any national or community standards that exist or are being developed that might help address the situation? If so, please explain): Mental Health Service Plan Development will need to be split into two codes, one for the development, review and revision of the client's individual treatment plan (service plan development) and one for the administering of standard outcome measurements and reporting outcome measures (functional assessment). In addition, it is imperative the codes be defined with a time unit. <u>SERVICES TO BE CODED:</u> SERVICE PLAN DEVELOPMENT CHILDREN: * Treatment planning and review with family included * Parent/legal guardian provides approval of individual treatment plan and any changes therein. ADULTS: * Treatment planning and review with or without family FUNCTIONAL ASSESSMENT (AND OUTCOME MEASUREMENT) CHILDREN: * Strengths and Difficulty Questionnaire (SDQ) * Child Adolescent Service Intensity Instrument (CASII) age 6-21 or Early Childhood Service Intensity Instrument (ECSII) under age 6 * Administration and reporting requirement at various intervals for the specified ages ADULTS: * Assessment covers 14 distinct domains of the clients functioning across different settings * Assesses and identifies functional strengths and/or impairments. * Clearly and concisely describes in narrative the individual's current status and level of functioning within each of 14 domains. * Informs the Level of Care Utilization System (LOCUS) to help determine the resource intensity needs of individuals who receive adult mental health services. For children, these services are completed by mental health professionals or clinical trainees. For adults, these services are completed by a practitioner, clinical trainee or mental health professional. Services can take place in a variety of settings, including but not limited to, clinic, school, home, community mental health center. Services are professional and will be billed on the 837p claim transaction. <u>CHALLENGES (the need for a time based code):</u> The needs and time involved in performing the above activities with adults differ markedly from performing these activities with children and their families. * In general, adult mental health clients are their own legal guardians and they bear the responsibility and right to approve their own treatment plan, whereas clients under age 18 cannot provide approval for their own services. Due to work schedules, family situations or different treatment and legal requirements, Service Plan Development activities for children are more complex than adults. * Requirements for adult Functional Assessment are greater (and take much longer to complete) than the requirements for children. The Functional Assessment and level of care determination for adults are greater than the requirements for Functional Assessment (outcome measurement) for children and adolescents (CASII, ECSII and SDQ).

	* Treating children with different diagnostic profiles can vary substantially from child to child, requiring varying resources and activities necessary to complete their Service Plan Development. * Providers are continually challenged to meet the needs of emerging immigrant populations as a response to Minnesota's diversifying demographics. Services to culturally and linguistically diverse individuals can vary widely due to complexity of language and cultural differences. Additional time may be needed to engage and thoroughly understand the individual's service needs. * Single, session-based codes for services that vary widely case to case and provider to provider will over compensate many providers and under compensate many others. The likely outcome is poorer treatment planning and monitoring than is warranted by the treatment needs of the specific client. Mental Health Service Plan Development applies to DHS fee-for-service and managed care. Discussion is necessary to develop a uniform billing method.
R	RECOMMENDATION – What are you recommending, including any known timing that needs to be considered: Pending federal approval, the effective date for coverage of these services will be 7/1/14. H0031 Mental Health Assessment, by non-physician H0032 Mental Health Service Plan Development by non-physician Both of the above codes seem to be a good fit for the description of services provided, but they are not time based. We have not found time based codes that address these services. We have found 9 states that cover these services using the H0031 and H0032. Seven of the nine states use a 15 minute unit for the codes. We would like to temporarily use these codes with a 15 minute unit and pursue establishment of two new time based codes through the Pilot Medicaid HCPCS Code Modification Request. I have spoken with a CMS regarding this situation. This process does not guarantee approval of new codes and is dependent upon other state involvement. The process also takes time and if establishment of new codes does take place, they would not be effective until 2015 at the earliest. We need a method to bill Mental Health Service Plan Development beginning 7/1/14.
Section IV – AUC Response (Include date SBAR recommendation was reviewed and approved by AUC TAG; state whether or not the SBAR originator's recommendation is accepted or rejected; substantiate in detail and specificity the basis or explanation for the TAG's response, citing or referencing as appropriate federal administrative simplification rules, Minnesota Uniform Companion Guide, HCPCS/CPT manuals, etc. reviewed and considered during the discussion. Medical Code TAG should also include the disposition/status for coding decision in its response and effective dates, when required. (For example, inclusion of the clarification of a current practice or coding changes/additions in the MCT Coding Recommendation Grid and MN Uniform Companion Guide or inclusion in the MCT Coding Recommendation Grid only.) Additionally, information from the MCT Decision Tree summary and findings should be reflected in the response as applicable.	
Date [SBAR Response Approved by TAG]:8/13/15 Reviewed by: [AUC TAG Name]: Medical Code TAG AUC Co-Chair(s): Faith Bauer AUC Response: withdraw issue – no action Tentatively, the coding as proposed by DHS was approved. Per the 5/8/14 AUC MCT minutes, H0031 and H0032 fit the description of the service but are not time based. DHS indicated nine states using H0031 and H0032; seven of the states are using the H codes, no modifiers, but instruct to use as 15-minute units.	

The reason modifiers are needed for MN is to indicate time variances because of the types of clients being served, for example adults, children, ESL clients.

DHS will develop a new modifier(s). There may be other modifiers appended as needed such as UA or HN. DHS subsequently sent the proposal to CMS for federal approval.

Because DHS is still waiting for federal approval and modifiers still need to be assigned the MCT agreed to withdraw this SBAR at this time. DHS will work internally to develop and submit new SBAR to the AUC.

AUC BUSINESS NEED EXPLANATION FORM (SBAR) TO BE COMPLETED BY THE MINNESOTA DEPARTMENT OF HEALTH			
Date Received		Log No.	
Date Closed			
Status: Exec Review Date	Sent to TAG/WG	TAG Recommendation: _____ Accept _____ Reject	Decision to Originator
REMINDER: Submit the completed SBAR and MCT Decision Tree form via email to the AUC at health.AUC@state.mn.us . The MCT Decision Tree is completed for medical coding issues only.			
Section I – SBAR Short Title, Date, and Contact information (Person submitting the SBAR and/or Subject Matter Expert)			
SBAR Short title:		Date:	
Contact Information for person completing this form: Name: Katherine Sijan Title: HealthCare Coding Compliance Officer Email address: katherine.sijan@state.mn.us Telephone: 651-431-5784		Organization Information: Name: MN Dept of Human Services Address: 540 Cedar St., 7th fl -0993 St Paul, MN 55155	
Complete for additional contact or Subject Matter Expert, as required: Name: Title: Email address: Phone number:			
Section II – SBAR information (Concise and specific description of the issue to be addressed stating the Situation, Background, Assessment, and Recommendation)			
SBAR Issue: ADDENDUM - EIDBI / Autism modifier 60 Day Temporary ABA/DBI increase			
S	SITUATION – Describe the current business practice(Please describe the problem or issue to be addressed: There may be instances where a child/patient will need an increase in services over and above what was originally determined from the CMDE. This increase needs to be shown as a separate distinctive temporary increase.		
B	BACKGROUND – Explain the pertinent history of the business practice (How does this work today): -----		
A	ASSESSMENT – Summarize your analysis of this issue (what are your challenges, what type of organizations are impacted by these challenges – provider types, health plans, others? Please indicate how this applies to AUC's mission, vision, values, and strategy. Are there any national or community standards that exist or are being developed that might help address the situation? If so, please explain) The provider will complete a form requesting the temporary increase in hours and send to KePro or the MCO for approval/denial. This change will then be shown on the authorization as a temporary increase in hours. The child/patient's services will be tracked separately during the identified time period to determine if the increased intensive intervention helps the child make progress toward their goals and objectives. A determination of change in recommended		

	hours may be made based on the results of the temporary increase.				
R	RECOMMENDATION – What are you recommending, including any known timing that needs to be considered: To distinguish services as 60 day Temporary Increase, add the TF modifier as the third modifier to the ABA or DBI (intervention) service: TF – 60 Day Temporary Increase				
			CPT	MOD	MOD
	EIDBI Intervention: Individual	Qualified Supervising Professional (QSP)	0368T 0369T	UB	HK
	EIDBI Intervention: Individual	Professional (Level I) - Doctorate	0368T 0369T	UB	HP
	EIDBI Intervention: Individual	Professional (Level 1) - Masters	0368T 0369T	UB	HO
	EIDBI Intervention: Individual	Professional (Level 1) - Bachelors	0368T 0369T	UB	HN
	EIDBI Intervention: Individual	Practitioner (Level II) - Bachelors	0364T 0365T	UB	HN
	EIDBI Intervention: Individual	Support Specialist (Level III) - less than Bachelor's	0364T 0365T	UB	HM
	EIDBI Intervention: Group	Qualified Supervising Professional (QSP)	0366T 0367T	UB	HK
	EIDBI Intervention: Group	Professional (Level I) - Doctorate	0366T 0367T	UB	HP
	EIDBI Intervention: Group	Professional (Level 1) - Masters	0366T 0367T	UB	HO
	EIDBI Intervention: Group	Professional (Level 1) - Bachelors	0366T 0367T	UB	HN
	EIDBI Intervention: Group	Practitioner (Level II) - Bachelors	0366T 0367T	UB	HN
	EIDBI Intervention: Group	Support Specialist (Level III) - less than Bachelor's	0366T 0367T	UB	HM
Section III – AUC Response (Include date SBAR recommendation was reviewed and approved by AUC TAG; coding issue addressed or SBAR issue title; whether TAG accepted or rejected recommendation requested in SBAR; explanation or basis for TAG decision and SBAR response will be placed in recommendation grid or companion guide):					
Date [SBAR Response Approved by TAG]: Reviewed by [AUC TAG Name]: AUC Co-Chair(s): AUC Response: <u>Discussion/Summary:</u> <u>Decision:</u>					

5a-EIDBI -all codes

EIDBI-EIDBI Intervention [ABA or DBI] - INDIVIDUAL					
1	0364T	UB	HM	*	Adaptive behavior treatment by protocol, administered by technician, face-to-face with one patient, 1st 30 minutes of technician time, EIDBI [level III, Support Specialist, less than Bachelor's]
2	0364T	UB	HN	*	Adaptive behavior treatment by protocol, administered by technician, face-to-face with one patient, 1st 30 minutes of technician time, EIDBI [Bachelor's degree level II]
3	0365T	UB	HM	*	Adaptive behavior treatment by protocol, administered by technician, face-to-face with one patient, each additional 30 minutes of technician time, EIDBI [level III, Support Specialist, less than Bachelor's]
4	0365T	UB	HN	*	Adaptive behavior treatment by protocol, administered by technician, face-to-face with one patient, each additional 30 minutes of technician time, EIDBI [Bachelor's degree level II]
5	0368T	UB	HK	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, first 30 minutes of patient face-to-face time, EIDBI [Qualified Supervising Professional [QSP]]
6	0369T	UB	HK	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, each additional 30 minutes of patient face-to-face time, EIDBI [Qualified Supervising Professional [QSP]]
7	0368T	UB	HN	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, first 30 minutes of patient face-to-face time, EIDBI [Bachelor's degree level I]
8	0368T	UB	HO	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, first 30 minutes of patient face-to-face time, EIDBI [Masters /Mental Health Professional [MHP]]
9	0368T	UB	HP	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, first 30 minutes of patient face-to-face time, EIDBI [Doctorate /Mental Health Professional [MHP]]
10	0369T	UB	HN	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, each additional 30 minutes of patient face-to-face time, EIDBI [Bachelor's degree level I]
11	0369T	UB	HO	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, each additional 30 minutes of patient face-to-face time, EIDBI [Masters /Mental Health Professional [MHP]]
12	0369T	UB	HP	*	Adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional with one patient, each additional 30 minutes of patient face-to-face time, EIDBI [Doctorate /Mental Health Professional [MHP]]

EIDBI Intervention [ABA or DBI] - GROUP					
13	0366T	UB	HK		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; first 30 minutes of tech time, EIDBI, [Qualified Supervising Professional [QSP]]
14	0367T	UB	HK		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; each additional 30 minutes of tech time, EIDBI [Qualified Supervising Professional [QSP]]
15	0366T	UB	HM		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; first 30 minutes of tech time, EIDBI [level III, Support Specialist, less than Bachelor's]
16	0366T	UB	HN		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; first 30 minutes of tech time, EIDBI [Bachelor's level I or II]
17	0366T	UB	HO		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; first 30 minutes of tech time, EIDBI [Masters /Mental Health Professional [MHP]]
18	0366T	UB	HP		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; first 30 minutes of tech time, EIDBI, [Doctorate /Mental Health Professional [MHP]]
19	0367T	UB	HM		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; each additional 30 minutes of tech time, EIDBI [level III, Support Specialist, less than Bachelor's]
20	0367T	UB	HN		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; each additional 30 minutes of tech time, EIDBI [Bachelor's level I or II]
21	0367T	UB	HO		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; each additional 30 minutes of tech time, EIDBI [Masters /Mental Health Professional [MHP]]
22	0367T	UB	HP		Group adaptive behavior treatment by protocol, admin by technician, face-to-face with two or more patients; each additional 30 minutes of tech time, EIDBI [Doctorate /Mental Health Professional [MHP]]
Coordinated Care Conference					
23	T1024	UB	AM		Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Physician]
24	T1024	UB	AM	GT	Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Physician] (telemedicine)
25	T1024	UB	HK		Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Qualified Supervising Professional [QSP]]
26	T1024	UB	HK	GT	Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Qualified Supervising Professional [QSP]] (telemedicine)
27	T1024	UB	HN		Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Bachelor's level I or II]
28	T1024	UB	HN	GT	Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Bachelor's level I or II] (telemedicine)
29	T1024	UB	HO		Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Masters /Mental Health Professional [MHP]]

5a-EIDBI -all codes

30	T1024	UB	HO	GT	Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Masters /Mental Health Professional [MHP]] (telemedicine)
31	T1024	UB	HP		Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Doctorate /Mental Health Professional [MHP]]
32	T1024	UB	HP	GT	Evaluation and Treatment by an integrated, specialty team contracted to provide coordinated care to multiple or severely handicapped children, per encounter, EIDBI [Doctorate /Mental Health Professional [MHP]] (telemedicine)
COMPREHENSIVE MULTI-DISCIPLINARY EVALUATION [CMDE]					
33	0359T	UB	AM		Behavioral Identification Assessment, EIDBI [Physician or Psychiatrist(MD)]
34	0359T	UB	AM	GT	Behavioral Identification Assessment, EIDBI [Physician or Psychiatrist(MD)] (telemedicine)
35	0359T	UB	HO		Behavioral Identification Assessment, EIDBI [Masters /Mental Health Professional [MHP]]
36	0359T	UB	HO	GT	Behavioral Identification Assessment, EIDBI [Masters /Mental Health Professional [MHP]] (telemedicine)
37	0359T	UB	HP		Behavioral Identification Assessment, EIDBI [Doctorate /Mental Health Professional [MHP]]
38	0359T	UB	HP	GT	Behavioral Identification Assessment, EIDBI [Doctorate /Mental Health Professional [MHP]] (telemedicine)
39	0359T	UB	TG		Behavioral Identification Assessment, EIDBI [APRN]
40	0359T	UB	TG	GT	Behavioral Identification Assessment, EIDBI [APRN] (telemedicine)
MH Service plan Development					
41	H0032	UB	HK	UD	Mental health service plan development by nonphysician, 15 minutes, EIDBI [Qualified Supervising Professional [QSP]]
42	H0032	UB	HN	UD	Mental health service plan development by nonphysician, 15 minutes, EIDBI [Bachelor's level I or II]
43	H0032	UB	HO	UD	Mental health service plan development by nonphysician, 15 minutes, EIDBI [Masters /Mental Health Professional [MHP]]
44	H0032	UB	HP	UD	Mental health service plan development by nonphysician, 15 minutes, EIDBI [Doctorate /Mental Health Professional [MHP]]

<u>Intervention Observation and Direction</u>					
45	0362T	UB	HK		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Qualified Supervising Professional [QSP]]
46	0363T	UB	HK		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Qualified Supervising Professional [QSP]]
47	0362T	UB	HK	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Qualified Supervising Professional [QSP]]
48	0363T	UB	HK	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Qualified Supervising Professional [QSP]]
49	0362T	UB	HP		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Doctorate /Mental Health Professional [MHP]]
50	0363T	UB	HP		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Doctorate /Mental Health Professional [MHP]]
51	0362T	UB	HP	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Doctorate /Mental Health Professional [MHP]]
52	0363T	UB	HP	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Doctorate /Mental Health Professional [MHP]]
53	0362T	UB	HO		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Masters /Mental Health Professional [MHP]]
54	0363T	UB	HO		administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Masters /Mental Health Professional [MHP]]
55	0362T	UB	HO	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Masters /Mental Health Professional [MHP]]
56	0363T	UB	HO	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Masters /Mental Health Professional [MHP]]

5a-EIDBI -all codes

57	0362T	UB	HN		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Bachelor's level I or II]
58	0363T	UB	HN		Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI [Bachelor's level I or II]
59	0362T	UB	HN	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; 1st 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Bachelor's level I or II]
60	0363T	UB	HN	GT	Exposure Behavioral Follow-up Assessment, includes physician or other qualified health care professional (QHCP) direction with interpretation and report administered by physician or other QHCP with the assistance of one or more technicians; each additional 30 minutes of technician(s) time, face-to-face with the patient, EIDBI (telemedicine) [Bachelor's level I or II]
<u>Family / Caregiver Training - Individual:</u>					
61	T1027	UB	HK		Family training and counseling for child development, per 15 minutes, EIDBI, [Qualified Supervising Professional [QSP]]
62	T1027	UB	HK	GT	Family training and counseling for child development, per 15 minutes, EIDBI, [Qualified Supervising Professional [QSP]] (telemedicine)
63	T1027	UB	HP		Family training and counseling for child development, per 15 minutes, EIDBI, [Doctorate /Mental Health Professional [MHP]]
64	T1027	UB	HP	GT	Family training and counseling for child development, per 15 minutes, EIDBI, [Doctorate /Mental Health Professional [MHP]] (telemedicine)
65	T1027	UB	HO		Family training and counseling for child development, per 15 minutes, EIDBI, [Masters /Mental Health Professional [MHP]]
66	T1027	UB	HO	GT	Family training and counseling for child development, per 15 minutes, EIDBI, [Masters /Mental Health Professional [MHP]] (telemedicine)
67	T1027	UB	HN		Family training and counseling for child development, per 15 minutes, EIDBI [Bachelor's degree level I or II]
68	T1027	UB	HN	GT	Family training and counseling for child development, per 15 minutes, EIDBI [Bachelor's degree level I or II] (telemedicine)
<u>Family / Caregiver Training - Group:</u>					
69	T1027	UB	HK	HQ	Family training and counseling for child development, per 15 minutes, EIDBI, group [Qualified Supervising Professional [QSP]]
70	T1027	UB	HP	HQ	Family training and counseling for child development, per 15 minutes, EIDBI group [Doctorate /Mental Health Professional [MHP]]
71	T1027	UB	HO	HQ	Family training and counseling for child development, per 15 minutes, EIDBI group [Masters /Mental Health Professional [MHP]]
72	T1027	UB	HN	HQ	Family training and counseling for child development, per 15 minutes, EIDBI group [Bachelor's degree level I or II]
<u>Travel Time</u>					
73	H0046	UB			Provider Travel Time, EIDBI

Modifier list - Definitions

UB			EIDBI
UB	AM		EIDBI, Physician or Psychiatrist
UB	AM	GT	EIDBI, Physician or Psychiatrist, Telemedicine
UB	HK		EIDBI, Qualified Supervising Professional [QSP]
UB	HK	GT	EIDBI, Qualified Supervising Professional [QSP],Telemedicine
UB	HK	UD	EIDBI, [Qualified Supervising Professional [QSP],PER 15 MINUTES
UB	HM		EIDBI, Level III, Support Specialist, less than Bachelor's
UB	HN		EIDBI, Bachelor's level I or II
UB	HN	GT	EIDBI, Bachelor's level I or II, Telemedicine
UB	HN	HQ	EIDBI, Bachelor's Degree Level I or II, Group
UB	HN	UD	EIDBI, Bachelor's level I or II, PER 15 MINUTES
UB	HO		EIDBI, Masters /Mental Health Professional [MHP]
UB	HO	GT	EIDBI, Masters /Mental Health Professional [MHP], Telemedicine
UB	HO	HQ	EIDBI, Masters/Mental Health Professional, Group
UB	HO	UD	EIDBI, Masters /Mental Health Professional [MHP], PER 15 MINUTES
UB	HP		EIDBI Doctorate /Mental Health Professional [MHP]
UB	HP	GT	EIDBI, Doctorate /Mental Health Professional [MHP],Telemedicine
UB	HP	HQ	EIDBI, Doctorate/Mental Health Professional, Group
UB	HP	UD	EIDBI, Doctorate /Mental Health Professional [MHP],PER 15 MINUTES
UB	TG		EIDBI, APRN
UB	TG	GT	EIDBI, APRN, Telemedicine
			TS is only used on ABA or DBI Interventions as a third modifier; with prior approval-
UB	H_	TS	60-day temporary increase for ABA/DBI services

AUC BUSINESS NEED EXPLANATION FORM (SBAR) TO BE COMPLETED BY THE MINNESOTA DEPARTMENT OF HEALTH			
Date Received		Log No.	Date Closed
Status: Exec Review Date	Sent to TAG/WG	TAG Recommendation: _____ Accept _____ Reject	Decision to Originator
REMINDER: Submit the completed SBAR and MCT Decision Tree form via email to the AUC at health.AUC@state.mn.us . The MCT Decision Tree is completed for medical coding issues only.			
Section I – SBAR Short Title, Date, and Contact information (Person submitting the SBAR and/or Subject Matter Expert)			
SBAR Short title: CFSS – Community First Services and Supports		Date: February 26, 2015 2-2-2016	
Contact Information for person completing this form: Name: Katherine Sijan Title: HealthCare Coding Compliance Officer Email address: katherine.sijan@state.mn.us Telephone: 651-431-5784		Organization Information: Name: MN Dept of Human Services Address: 540 Cedar St., 7th fl -0993 St Paul, MN 55155	
Section II – SBAR information (Concise and specific description of the issue to be addressed stating the Situation, Background, Assessment, and Recommendation)			
SBAR Issue Title: CFSS – Community First Services and Supports			
S	SITUATION – Describe the current business practice(Please describe the problem or issue to be addressed): CFSS is a home and community based service that will take the place of the PCA program and the Consumer Support Grant."		
	CFSS services are available to an individual who qualifies for and receives at least one service under section 1915(c) waiver under the group at 1902(a)(1010(A)(ii)(VI) or is eligible as defined in the state plan approved in 2013: [[NOTE: takes approx. 3-4 mins to load]]		
B	https://www.revisor.mn.gov/bills/text.php?number=HF1233&version=4&session=ls88&session_year=2013&session_number=0 2015 Language: https://www.revisor.leg.state.mn.us/statutes/?id=256B.85		
	Framework: Participants may choose either: a traditional [1] Agency Model or a self-directed [2] Budget Model.		
	With either model, clients will have more choices over their own care. Participants will be able to purchase equipment or modifications that sustain or enhance their independence rather than being limited to purchasing the help of caregivers. Participants will receive the most appropriate service to meet their assessed needs, as they define their goals with a CFSS Coordinator. CFSS will allow more options that the current PCA program cannot.		
	NOTE: It is not related to Consumer Directed Community Supports (CDCS). CFSS does not include a hospital or nursing care facility.		
	BACKGROUND – Explain the pertinent history of the business practice (How does this work today):		
	CFSS will replace the current PCA program with more guidelines and requirements for becoming a PCA provider.		

A	ASSESSMENT – Summarize your analysis of this issue (what are your challenges, what type of organizations are impacted by these challenges – provider types, health plans, others? Please indicate how this applies to AUC's mission, vision, values, and strategy. Are there any national or community standards that exist or are being developed that might help address the situation? If so, please explain): <u>CFSS is available to a person who meets one of the following criteria:</u> ☐ is a recipient of Medical Assistance (MA) ☐ is a recipient of the alternative care program ☐ is a MA waiver recipient (elderly waiver, developmental disabilities waiver, brain injury waiver, community alternative care waiver, or community alternatives for disabled individuals waiver) ☐ has medical services identified in a participant's individualized education program and is eligible for MA special education services <u>In addition to meeting the eligibility criteria above, a person must also:</u> ☐ require assistance and be determined dependent in one activity of daily living (ADL) or Level I behavior based on an assessment; ☐ not be a family support grant recipient; and ☐ live in the person's own apartment or home (not a hospital or institutional setting).	
R	RECOMMENDATION – What are you recommending, including any known timing that needs to be considered: See attached grid with coding recommendations. FEB 2015  CFSS Community First Services and Sup FEB 2016:  AUC SBAR 2-4-2016 ADDENDUM-CFSS Co	
Section III – AUC Response (Include date SBAR recommendation was reviewed and approved by AUC TAG; coding issue addressed or SBAR issue title; whether TAG accepted or rejected recommendation requested in SBAR; explanation or basis for TAG decision and SBAR response will be placed in recommendation grid or companion guide):		
Date [SBAR Response Approved by TAG]: Reviewed by [AUC TAG Name]: AUC Co-Chair(s): AUC Response: <u>Discussion/Summary:</u>		

<u>Decision:</u>	
-------------------------	--

CFSS Community First Services and Supports								
SERVICE	HCPCS	MOD	MOD	MOD	time	POS		COMMENTS
CFSS Services - Agency Model	T1019	U9			15 min	12		A method of CFSS under which a qualified agency provides services and supports through the agency's own employees and policies. The agency must allow the participant to have a significant role in the selection and dismissal of support workers of their choice for the delivery of their specific services and supports.
CFSS Services - Budget Model	T1019	UB			15 min	12		A service delivery method of CFSS that allows the use of a service budget and assistance from a financial management services (FMS) provider for a participant to directly employ support workers and purchase supports and goods.
CFSS Agency Model - Temporary Reduction	T1019	U9	U5		15 min	12		
CFSS Budget Model -Temporary Reduction	T1019	UB	U5		15 min	12		
CFSS Agency Model -Temporary Increase	T1019	U9	U6		15 min	12		
CFSS Budget Model -Temporary increase	T1019	UB	U6		15 min	12		
CFSS Agency Model - Extended Services	T1019	U9	UC		15 min	12		services and supports provided under CFSS that are included in the CFSS service delivery plan through one of the home and community-based services waivers and as approved and authorized under sections 256B.0915; 256B.092, subdivision 5; and 256B.49, which exceed the amount, duration, and frequency of the state plan CFSS services for participants.
CFSS Budget Model - Extended Services	T1019	UB	UC		15 min	12		services and supports provided under CFSS that are included in the CFSS service delivery plan through one of the home and community-based services waivers and as approved and authorized under sections 256B.0915; 256B.092, subdivision 5; and 256B.49, which exceed the amount, duration, and frequency of the state plan CFSS services for participants.
CFSS 45 Agency Model - Day Temporary Start	T1019	U9	SE					Authorization for a temporary level of CFSS services under the agency-provider model is limited to the time specified by the commissioner, but shall not exceed 45 days. The level of services authorized under this paragraph shall have no bearing on a future authorization. Participants approved for a temporary authorization shall access the consultation service to complete their orientation and selection of a service model.Agency ONLY

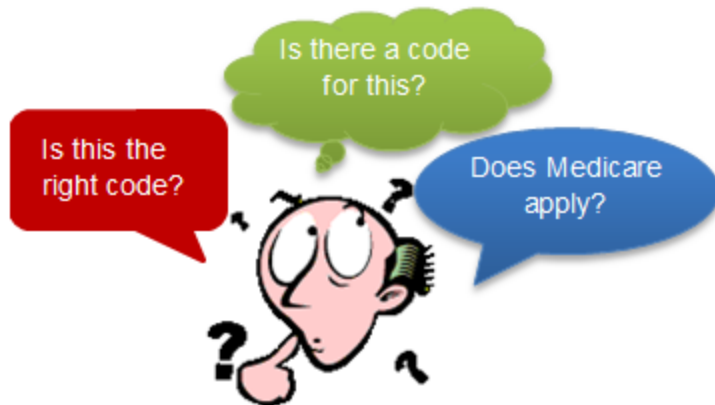
SERVICE	HCPCS	MOD	MOD	MOD	time	POS		COMMENTS
Consultation	S9445				Per Session	in the community or remotely		<u>POLICY:</u> Per session is per person per encounter. <u>Limit is one per day</u>
Budget Model -Financial Management Service Fee	99199	UB			Financial Mgmt Serv fee - per month			12/30/15 Will use the 99199 and push on CMS for a more accurate code (for FUTURE updates) with the CMS request. Kathy will look up sched and assist with document.
Agency Model - Goods (includes fee for FMS)	T5999	U9			1 per day			a description of the item must be included on claim line for billing
Budget Model - Goods	T5999	UB			1 per day			a description of the item must be included on claim line for billing
Agency Model - Worker Training & Development	S5115	U9			15 min	At the business site or in the community		services provided for developing workers' skills as required by the participant's individual CFSS service delivery plan that are arranged for or provided by the agency-provider or purchased by the participant employer. These services include training, education, direct observation and supervision, and evaluation and coaching of job skills and tasks, including supervision of health-related tasks or behavioral supports.
Agency Model - Worker Training & Development	S5116	U9			per session	At the business site or in the community		
Budget Model - Worker Training & Development	S5116	UB			per session	At the business site or in the community		

SERVICE	HCPCS	MOD	MOD	MOD	time	POS		COMMENTS
CFSS Agency Model - Shared Care (1:2)	T1019	U9	TT		15 min	12		the provision of CFSS services by the same CFSS support worker to two or three participants who voluntarily enter into an agreement to receive services at the same time and in the same setting by the same employer.
CFSS Budget Model - Shared Care (1:2)	T1019	UB	TT		15 min	12		
CFSS Agency Model - Shared Care (1:3)	T1019	U9	HQ		15 min	12		
CFSS Budget Model - Shared Care (1:3)	T1019	UB	HQ		15 min	12		
CFSS Agency Model - Shared Care (1:2), Extended	T1019	U9	TT	UC	15 min	12		
CFSS Budget Model - Shared Care (1:2), Extended	T1019	UB	TT	UC	15 min	12		
CFSS Agency Model - Shared Care (1:3), Extended	T1019	U9	HQ	UC	15 min	12		
CFSS Budget Model - Shared Care (1:3), Extended	T1019	UB	HQ	UC	15 min	12		
							LEGEND	
							HQ	Group setting
							SE	State and/or federally-funded programs/services
							TT	Individualized service provided to more than one patient in same setting
							U5	Temporary Reduction
							U6	Temporary Increase
							U9	Agency Model
							UB	Budget Model
							UC	Extended Services
							S5115	Home care training, nonfamily; per 15 minutes
							S5116	Home care training, nonfamily; per session
							S9445	Patient education, not otherwise classified, nonphysician provider, individual, per session
							T1019	Personal care services, per 15 minutes, not for an inpatient or resident of a hospital, nursing facility, ICF/MR or IMD, part of the individualized plan of treatment (code may not be used to identify services provided by home health aide or certified nurse assistant)
							T5999	Supply, not otherwise specified
							99199	Special Service



DRAFT -- DRAFT

AUC CODING RESOURCE



Administrative Uniformity Committee (AUC) Coding Recommendations

PREPARED BY
AUC MEDICAL CODE TECHNICAL ADVISORY GROUP

Approved by AUC: [Date]

AUC Coding Recommendations

Background

The Administrative Uniformity Committee (AUC) Medical Code Technical Advisory Group created the Minnesota AUC Coding Recommendation Table to track new or revised coding recommendations developed and approved between, and in anticipation of, the annual maintenance of the Minnesota Uniform Companion Guides (MUCGs) for the 837 Institutional, 837 Professional, and 837 Dental.

The table is a coding resource for Minnesota payers and providers and is updated at least semi-annually. Updates to the table may stem from:

- Quarterly HCPCS coding changes;
- Medical coding in relation to Minnesota legislative changes;
- New or revised Medicare rules; and
- Other coding issues as identified

Further, the table:

1. Provides clarification and answers to frequently asked questions about recommended ways to code for health and medical services on the 837I and 837P electronic claim;
2. Is intended for use in conjunction with “Appendix A, Table A.5.1” of the “Minnesota Uniform Companion Guides (MUCGs) for the 837 Institutional (I) and 837 Professional (P) transactions;
3. Is not part of the MUCG rules and does not serve as a rule. Note: coding clarifications in this table may subsequently be incorporated into the MUCG rules.
4. Provides recommendations that may be transferred to the applicable MUCGs for the 837I and 837P as part of the annual maintenance;
5. Is a living document that is regularly updated with new coding recommendations; and
6. Is available online at: <http://www.health.state.mn.us.auc/bp.htm>.

Explanation of Tables

This coding recommendations document consists of two tables and is intended for use in conjunction with the tables found in **Appendix A** of the Minnesota Uniform Companion Guides (MUCGs) for the 837 Professional, 837 Institutional, and 837 Dental claim transactions.

List of Coding Topics/Issues Tables

The table comprises a list of specific coding topics and their applicability to the Medicare Claims Processing Manual submitted to the Minnesota Administrative Uniformity Committee (AUC) for clarification of medical coding issues, new or revised Medicare rules, and for requests to establish new, uniform coding for newly legislated benefits.

These coding topics have been reviewed and discussed by the AUC Medical Code TAG (MCT) with. The recommendations for each topic approved by MCT members are forwarded to the AUC for its review and approval. Each coding topic in this table includes a link to more detailed information (see Coding Recommendation Detail table below) about the coding topic or issue addressed by the Medical Code TAG's and the recommendation and coding approved by the AUC.

Table headings definitions:

Medicare Claims Processing Manual – A CMS publication consisting of 38 chapters which describe billing requirements, rules, and regulations that pertain to Medicare in all settings by each chapter number and chapter/description title. For example, Chapter No. 2 is Admission and Registration Requirements.

MUCG¹ – Minnesota Uniform Companion Guide, version 5010 is a single, uniform companion guide to the Health Insurance Portability and Accountability Act (HIPAA) Implementation Guides mandated by Minnesota Statutes section 62J.536 and related rules. Health care providers that provide services for a fee in Minnesota, or who are otherwise eligible for reimbursement under the state's Medical Assistance program, as well as group purchasers (payers) and health care clearinghouses that are licensed or doing business in Minnesota must comply with the MUCG. The options below represent the claims companion guide that the recommendation applies to:

- **P** – Refers to the 837 Professional MUCG, i.e., Minnesota requirements for any claim submitted using HIPAA mandated Accredited Standards Committee X12 (ASC X12 or X12) 837 professional claim transaction
- **I** – Refers to the 837 Institutional MUCG, i.e., Minnesota requirements for any claim submitted using HIPAA mandated transaction X12 837 institutional claim transaction
- **D** – Refers to the 837 Dental MUCG, i.e. Minnesota requirements for any claim submitted using HIPAA mandated X12 837 dental claim transaction

Specific Coding Topic – Coding issue(s), questions, or clarifications submitted for the AUC to address

AUC Approval Date – Date the full AUC approved the Medical Code TAG's recommendations

¹ Minnesota Statutes section 62J.536 and related rules require the development and use of a single, uniform companion guide for the following transactions: eligibility; claims; payment/advice; acknowledgments and prescription drug prior authorization. Coding recommendations in this document is applicable to the 837 claims companion guides only.

Coding Recommendations Detail Table

The Coding Recommendations Detail is color-coded and includes the information listed below. The blue-highlight indicate coding topics that are recommendations only. Their usage is highly encouraged. The green highlight indicate coding topics that are being proposed as Minnesota rules to be included in the designated companion guides during the next annual maintenance update of Minnesota Uniform Companion Guides for the 837 Professional, 837 Institutional and the 837 Dental transactions.

1. Coding Topic – The medical service/health benefit or coding issue to be addressed and/or resolved by the AUC
2. MCT Minutes Reference – Date of the Medical Code TAG’s meeting minutes in which the coding issue(s) was closed and its recommendations approved by the TAG members.
3. Background/Description – Background information and description of the coding topic/issue to be resolved
4. Recommendation – The Medical Code TAG’s response to address or resolve the coding issue that is forwarded to the AUC for its review and final approval. The recommendations listed in this document have been reviewed and approved by the AUC for its inclusion in this table as a best practice or as a proposed rule to be included during the annual maintenance of the Minnesota Uniform Companion Guides for the 837P, 837I or 837D.
5. Disposition Status – Identifies implementation status of the recommendation:
 - Coding Recommendation Table (best practice and highly recommended; optional to follow)
 - Companion guide (Proposed rule providers and payers must comply if adopted as rule of law for the designated claim transaction, e.g. 837P, 837I or 837D)
6. Coding – Approved coding recommendations for the specific medical service/health benefit

Table 1: List of Coding Topics/Issues

Medicare Claims Processing Manual		MUCG			Coding topic	AUC Approval Date
Chapter No.	Chapter/Description Title	P	I	D		
12	Physician/Nonphysician Practitioner Billing				Alternate Care Site Billing	April 1, 2013
12	Physician/Nonphysician Practitioner Billing	X			Autism Spectrum Disorder	October 20, 2009
					Behavior Health Home	TBD
12	Physician/Nonphysician Practitioner Billing				Code 69210 Bilateral Impacted Cerumen	December 3, 2014
12	Physician/Nonphysician Practitioner Billing				Coding for SBIRT (Screening, Brief Intervention, and Referral to Treatment)	May 9, 2013
12	Physician/Nonphysician Practitioner Billing	X			Consultation Services	December 21, 2009
N/A	N/A	X	X		Dental Services Performed in OR	February 8, 2010
N/A	N/A	X			Family Memory Care	TBD
12	Physician/Nonphysician Practitioner Billing				Intensive Care Management of Obesity	
12	Physician/Nonphysician Practitioner Billing				IONM Clarification	
12	Physician/Nonphysician Practitioner Billing				Labor Epidural Billing	May 9, 2013
12	Physician/Nonphysician Practitioner Billing				Modifier -25 on preventive medicine visits	April 14, 2014
12	Physician/Nonphysician Practitioner Billing				Modifier 52	
12	Physician/Nonphysician Practitioner Billing	X			Moving Home Minnesota – A Federal Demonstration Project	June 13, 2013 July 18, 2014 December 3, 2014
12	Physician/Nonphysician Practitioner Billing				Partial Hospitalization POS	
12	Physician/Nonphysician Practitioner Billing				Speech Language Pathologist VCD/PVFM	
N/A	N/A			X	Teledentistry	TBD

Table 2: Coding Recommendation Detail

Alternate Site Billing	
MCT Minutes Reference	February 1, 2013
Background/Description	Alternate Care Sites (ACS's) will provide austere (basic) patient care during a disaster response to a population that would otherwise be hospitalized or in a similar level of dependent care if those resources were available during the disaster. The opening of an ACS is a last resort when incident demands have overwhelmed the hospitals' surge capacity. The ACS's will be implemented in conjunction with local public health with the Regional Hospital Resource Center (RHRC) providing coordination on approval from the Minnesota Department of Health (MDH). This ACS plan is limited to the seven county area: Anoka, Carver, Dakota, Hennepin, Ramsey, Scott, and Washington and its jurisdictional public health entities. The primary site for the ACS is the Minneapolis Convention Center (MCC) and the secondary site is the River Centre in St. Paul.
Recommendation	A MCT subgroup was formed to discuss coding recommendations for services in an Alternate Care Site (ACS). From that group, requests were made to the National Uniform Billing Committee (NUBC) for a new Type of Bill (TOB) specifically for ACS and a unique new patient discharge status code indicating a transfer to an ACS. The NUBC did not approve the request for a TOB. They suggested using TOB 089x. The NUBC did approve a new patient discharge status code effective 10/1/13
Disposition Status	<u> X </u> Coding Recommendation Grid (Best practice, usage highly recommended) <u> </u> Companion Guide: <u> </u> 837 Professional <u> </u> 837 Institutional <u> </u> 837 Dental
Coding	71 Discharged/transferred to a Designated Disaster Alternative Care Site TOB 089X

Autism Spectrum Disorder	
MCT Minutes Reference	September 22, 2009
Background/Description	The AUC was asked how autism spectrum disorder services are to be reported.
Recommendation	
Disposition Status	<u> X </u> Coding Recommendation Grid (Best practice, usage highly recommended) <u> </u> Companion Guide: <u> </u> 837 Professional <u> </u> 837 Institutional <u> </u> 837 Dental
Coding	T1023 Screening to determine the appropriateness of consideration of an individual for participation in a specified program, project, or treatment protocol, per encounter (may be reported on different days if multiple assessments are performed). Report as 1 unit per encounter. H2018 Psychosocial rehabilitation services, per diem. (Report modifier TF intermediate level, or TG complex level to differentiate between programs if necessary) H2020 Therapeutic behavioral services per diem (Report modifier TF intermediate level, or TG complex level to differentiate between programs if necessary.)

Autism Spectrum Disorder

	H2014 Skills training and development, per 15 minutes H2017 Psychosocial rehabilitation services, per 15 minutes H2019 Therapeutic behavioral services, per 15 minutes G9012 Case Management Services
--	--

Behavior Health Home

MCT Minutes Reference	January 8, 2016
Background/Description	The Chemical and Mental Health Services and the Health Care Administrations have designed the Behavioral Health Home (BHH) model to provide access to coordinated delivery of primary care and behavioral health services for children and youth with serious emotional disorders (SED) and adults with serious mental illness (SMI) or serious and persistent mental illness (SPMI). There currently is no other service like this at this time. The BHH model differs from the Health Care Home (HCH) model in that HCH is an all payer model, whereas BHH is limited to only Medical Assistance (MA) recipients. BHH provides integrated primary and behavioral health services with the goal of developing the consumer's ability to manage his/her chronic behavioral health condition and HCH does not. BHH is a monthly service encompassing any or all of the following six services: 1- Comprehensive Care Management 2- Care Coordination 3- Health Promotion Services 4- Comprehensive Transitional Care 5- Referral to Community and Social Support Services 6- Individual and Family Support Services
Recommendation	Approve the recommended coding and place in coding recommendation grid and move to the 837 Professional Minnesota Uniform Companion Guide during the next annual update/maintenance.
Disposition Status	___ Coding Recommendation Grid (Best practice, usage highly recommended) <u>X</u> Companion Guide: <u>X</u> 837 Professional ___ 837 Institutional ___ 837 Dental Note: Recommend as proposed rule for inclusion in the 837P during next annual update of Minnesota uniform companion guides.
Coding	S0280 U5 Medical home program, comprehensive care coordination and planning, initial plan, BHH, monthly S0281 U5 Medical home program, comprehensive care coordination and planning, maintenance of plan, BHH, monthly



Title: Teledentistry Legislated Benefit 1-1-16

AUC BUSINESS NEED EXPLANATION FORM (SBAR) TO BE COMPLETED BY THE MINNESOTA DEPARTMENT OF HEALTH			
Date Received		Log No.	Date Closed
Status: Exec Review Date	Sent to TAG/WG	TAG Recommendation: _____ Accept _____ Reject	Decision to Originator
REMINDER: Submit the completed SBAR and MCT Decision Tree form via email to the AUC at health.AUC@state.mn.us. The MCT Decision Tree is completed for medical coding issues only.			
Section I – SBAR Short Title, Date, and Contact information (Person submitting the SBAR and/or Subject Matter Expert)			
SBAR Short title: Teledentistry		Date: 10-1-2015	
Contact Information for person completing this form: Name: Katherine Sijan Title: HealthCare Coding Compliance Officer Email address: katherine.sijan@state.mn.us Telephone: 651-431-5784		Organization Information: Name: MN Dept of Human Services Address: 540 Cedar St., 7 th fl -0993 St Paul, MN 55155	
Section II – SBAR information (Concise and specific description of the issue to be addressed stating the Situation, Background, Assessment, and Recommendation)			
SBAR Issue Title:			
S	SITUATION – Describe the current business practice(Please describe the problem or issue to be addressed): The Minnesota Legislature recently expanded coverage of Teledentistry to dental benefits under Medical Assistance and MinnesotaCare effective January 1, 2016. Coverage under commercial plans is effective January 1, 2017. Teledentistry will help with improved access which may increase early diagnosis, facilitate timely treatment, reduce isolation of practitioners through communication with peers and specialists, and improve access to care. This new benefit is limited to 12 ADA codes.		
B	BACKGROUND – Explain the pertinent history of the business practice (How does this work today): Currently Teledentistry is not part of the MA benefit today.		
A	ASSESSMENT – Summarize your analysis of this issue (what are your challenges, what type of organizations are impacted by these challenges – provider types, health plans, others? Please indicate how this applies to AUC's mission, vision, values, and strategy. Are there any national or community standards that exist or are being developed that might help address the situation? If so, please explain) See statute information on page 3-5		

R

RECOMMENDATION – What are you recommending, including any known timing that needs to be considered:

As stated in statute: <https://www.revisor.mn.gov/statutes/?id=256B.0625>

SYNOPSIS OF STATUTE:

-Providers must self-attest that they meet all of the conditions of the following MHCP Teledentistry policy.
Dental and medical services must be performed via Two-way interactive video or store and forward technology.
Documentation requirements are also outlined in the attached policy.

-Coverage is limited to children, pregnant woman, and limited adult benefits as specified in Minnesota Statutes 256B.0625, Subd. 9 (covered services). Teledentistry is limited to 3 services per week.

-MCHP allows the following CDT codes for the following diagnostic services when performed via Teledentistry:

D0120: Periodic oral evaluation — established patient
D0140: Limited oral exam
D0150: Comprehensive oral evaluation – new or established patient
D0210: Intraoral — complete series of radiographic images
D0220: Intraoral — periapical first radiographic image
D0230: Intraoral — periapical each additional radiographic image
D0270: Bitewing — single radiographic image
D0272: Bitewings — two radiographic images
D0274: Bitewings — four radiographic images
D0240: intraoral---- occlusal radiographic image
D0330: Panoramic radiographic image
D9310: Medical Dental Consultation

Providers may bill for Teledentistry on the same claim form as other types of procedure codes and the above diagnostic services are based on the current MHCP coverage for Children and adults.

Coverage Limitations

- Teledentistry services are limited to three per week per recipient
- Only one reading or interpretation of diagnostic tests such as x-rays, lab tests, and diagnostic assessment.

Not covered: Sending materials; originating site fee

DHS proposes identifying these services with U modifier to denote DHS/Medicaid service:

U9 –Service via Teledentistry

Add **U9** modifier to service performed via Teledentistry [only those listed above]

Section III – AUC Response (Include date SBAR recommendation was reviewed and approved by AUC TAG; coding issue addressed or SBAR issue title; whether TAG accepted or rejected recommendation requested in SBAR; explanation or basis for TAG decision and SBAR response will be placed in recommendation grid or companion guide):

Date [SBAR Response Approved by TAG]:

Reviewed by [AUC TAG Name]:

AUC Co-Chair(s):

AUC Response:

Discussion/Summary:

Who bills: The distant site (see diagram below) bills. They must use the dental codes in the SBAR (section R above) and the U9 modifier. (The distant site may or may not have a purchase of service agreement with the originating site, but that does not affect who bills.)

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For final version of SBAR response – define the terms “distant site” and “originating site” (see Medicare defns as example). Note that the recommendation will be to include the coding tele-dentistry coding requirements in the 837D companion guide – include a section re. tele-dentistry with the rule above in the companion guide. (Need clarification – need to ask DHS what happens if the originating site provider is different than the distant site provider.)

Decision:

A. Distant site (billing clinic) – this is the site doing the diagnosing

B. Originating site (nursing home, etc.) – where the patient is

The originating site sends data back (live, or store and forward)

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Question: When is a service teledentistry vs. referral?

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DHS Teledentistry Policy

Minnesota Legislature recently expanded the coverage of Teledentistry to dental benefits effective January 1, 2016 and tasked DHS to establish a criteria for this benefit. Teledentistry, if successfully implemented in different oral health settings, has the potential to deliver improved health outcomes and positive health professional-patient experiences. This adds teledentistry to a list of specialty health care services for which face-to-face contact is not required for diagnostic services. Improved access to teledentistry may enhance early diagnosis, facilitate timely treatment of oral diseases, reduce isolation of practitioners through communication with peers and specialists, and improve access to specialist care. Teledentistry may also lead to a greater utilization of relatively low-cost preventive interventions and may result in future cost savings by avoiding more costly dental diseases and emergencies.

Clinical uses of teledentistry support a wide range of applications with benefits to patients and practitioners. A teledentistry consultation from a health professional to a dental specialist may improve diagnosis and support clinical treatment. Teledentistry may also reduce the number of inappropriate referrals by screening patients to ensure that only those who need to see a specialist are referred for the care they need. This ensures efficient use of scarce health resources, increasing access to care, improving productivity and supporting enhanced oral health across society.

To be eligible for reimbursement, providers must self-attest (form below) that they meet all of the conditions of the following MHCP teledentistry policy:

1. Teledentistry originating site:

- I. Healthcare facility,
- II. Long-term care facility,

- III. Public health agency or institution,
- IV. Public or private school authority,
- V. Private non-profit or charitable organizations,
- VI. Social services agency or program,
- VII. Residential setting in the presence of licensed healthcare providers.

2. Affiliate practice or originator within MN Board of Dentistry defined scope of practice must be present at originating site:

- I. Dentist,
- II. Advanced dental therapists,
- III. Dental therapists,
- IV. Dental hygienists,
- V. Licensed dental assistants,
- VI. Other licensed health care professionals.

3. Considered Teledentistry technology equipment at sites may include:

- I. A HIPAA compliant computer or tablet,
- II. Means of secure storage and transmission of patient data,
- III. Secure web-camera for video-conferencing,
- IV. Intraoral dental camera to capture images,
- V. Video-conferencing software (for example, Citrix GoToMeeting),
- VI. Sufficient bandwidth for real-time,
- VII. X-ray machine with digital sensors and digital radiograph software.

Two-way interactive video

Involves a videoconferencing session that allows health professionals at distant locations to communicate with each other. A typical set-up involves high-definition webcams combined with hands-free microphone/speaker units so that the users can see and hear each other. For this type of consultation, both parties arrange a meeting time and information is exchanged in real-time between sites. This type requires sufficient bandwidth and HIPAA compliant software.

Store and forward

Communication is asynchronous including - video clips, photographs and scans, storing them in a file and sent to the dentist. The dentist retrieves the file at a time of their convenience and examines the contents. Recommendations are provided to the oral health professional in the same manner, within 7 calendar days of the time of information gathering.

Documentation requirements:

- 1. The type of service provided by Teledentistry ,
- 2. The time the service began and the time the service ended, including an a.m. and p.m. designation,
- 3. The licensed healthcare provider's basis for determining that teledentistry is an appropriate and effective means for delivering services to the enrollee,
- 4. The mode of transmission of the teledentistry service and records evidencing that a particular mode of transmission was utilized,
- 5. The location of the distant site,
- 6. If the claim for payment is based on a teledentistry consultation with a dentist, the written opinion from the consulting dentist providing the teledentistry consultation

7. Compliance with the criteria attested to by the health care provider in accordance with statute,
8. Dental provider must document verbal or written informed consent from the patient or patient's healthcare decision maker prior to delivery of care through teledentistry.
9. All reports resulting from a teledentistry consultation are part of the patient's record.

4. **Reimbursement for teledentistry**- same rate as in person to a pay to provider

5. Benefit sets:

Beginning January 1, 2016, MHCP will cover teledentistry claims for diagnostic services. The following CDT codes may be billed as part of teledentistry by enrolled MHCP providers. Coverage is limited to children, pregnant woman, and limited adult benefits as specified in [Minnesota Statutes 256B.0625](#), Subd. 9 (covered services). Teledentistry is limited to 3 services per recipient per week.

MCHP allows the following CDT codes for the following diagnostic services when performed via Teledentistry:

- D0120: Periodic oral evaluation — established patient
- D0140: Limited oral exam
- D0145: oral evaluation for a patient under 3 years of age
- D0150: Comprehensive oral evaluation – new or established patient
- D0210: Intraoral — complete series of radiographic images
- D0220: Intraoral — periapical first radiographic image
- D0230: Intraoral — periapical each additional radiographic image
- D0270: Bitewing — single radiographic image
- D0272: Bitewings — two radiographic images
- D0274: Bitewings — four radiographic images
- D0240: intraoral---- occlusal radiographic image
- D0330: Panoramic radiographic image
- D9310: Medical Dental Consultation

Providers may bill for teledentistry on the same claim form as other types of procedure codes and the above diagnostic services are based on the current MHCP coverage for Children and adults.

Coverage Limitations

- Payment for telemedicine services is limited to three per week per recipient
- Payment will be made for only one reading or interpretation of diagnostic tests such as x-rays, lab tests, and diagnostic assessment.
- Payment is not available to providers for sending materials



Minnesota Department of Health (MDH) Proposed Rule for Public Comment

Title:	Proposed Minnesota Uniform Companion Guide (MUCG) for the Implementation of the ASC X12/005010X224A2 Health Care Claim: Dental (837) Version 9.0
Pursuant to Statute:	Minnesota Statutes 62J.536 and 62J.61
Applies to/interested parties:	Health care providers, group purchasers (payers), and clearinghouses subject to Minnesota Statutes, section 62J.536 and others
Description of this document:	This document was announced as a proposed revised rule for public comment on TBD. The public comment period is from xx-yy, 2014-2016, 20142016. [Placeholder: Express permission to use ASC copyrighted materials within this document has been granted.] This document: - Describes the proposed data content and other transaction specific information to be used with the <i>ASC X12/005010X224A2 Health Care Claim: Dental (837)</i> hereinafter referred to as <i>005010X224A2</i>, by entities subject to Minnesota Statutes, section 62J.536; - Is intended to be used in conjunction with all applicable Minnesota and federal regulations, including 45 CFR Parts 160, 162, and 164 (HIPAA Administrative Simplification, including adopted federal operating rules) and related ASC X12N and retail pharmacy specifications (ASCX12N and NCPDP implementation specifications); - Was prepared by the Minnesota Department of Health (MDH) with the assistance of the Minnesota Administrative Uniformity Committee (AUC).
Status of this document:	This is version 9.0 of the <i>Minnesota Uniform Companion Guide (MUCG) for the Implementation of the ASC X12/005010X224A2 Health Care Claim: Dental (837)</i>. It was announced as a proposed rule for public comment in the Minnesota State Register, pursuant to Minnesota Statutes, section 62J.536 and 62J.61 on [TBD]. This document has not been adopted into rule. This document is available at no charge at: www.health.state.mn.us/asa

*Proposed Minnesota Uniform Companion Guide for the Implementation of the
ASC X12/005010X224A2 Health Care Claim: Dental (837).
Version 9.0. Proposed as a rule for Public Comment on xx-yy, 2014.*

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1. Overview

1.1. Statutory basis for this proposed rule

[Minnesota Statutes, section 62J.536](#) requires the Commissioner of Health to adopt rules for the standard, electronic exchange of specified health care administrative transactions. The state's rules are promulgated and adopted pursuant to Minnesota Statutes, section 62J.61.

1.2. Applicability of state statute and related rules

The following entities must exchange certain transactions electronically pursuant to Minnesota Statutes, section 62J.536: all group purchasers (payers) and health care clearinghouses licensed or doing business in Minnesota; and health care providers providing services for a fee in Minnesota and who are otherwise eligible for reimbursement under the state's Medical Assistance program. The only exceptions to the statutory requirements are as follows:

- The requirements do NOT apply to the exchange of covered transactions with Medicare and other payers for Medicare products; and
- See section 1.2.1 "Exceptions to Applicability" below regarding a year to year exception for only non-HIPAA covered entities and only for the eligibility inquiry and response transaction.

[Minnesota Statutes, section 62J.03, Subd. 6](#) defines "[group purchaser](#)" as follows:

"Group purchaser" means a person or organization that purchases health care services on behalf of an identified group of persons, regardless of whether the cost of coverage or services is paid for by the purchaser or by the persons receiving coverage or services, as further defined in rules adopted by the commissioner. "Group purchaser" includes, but is not limited to, community integrated service networks; health insurance companies, health maintenance organizations, nonprofit health service plan corporations, and other health plan companies; employee health plans offered by self-insured employers; trusts established in a collective bargaining agreement under the federal Labor-Management Relations Act of 1947, United States Code, title 29, section 141, et seq.; the Minnesota Comprehensive Health Association; group health coverage offered by fraternal organizations, professional associations, or other organizations; state and federal health care programs; state and local public employee health plans; workers' compensation plans; and the medical component of automobile insurance coverage.

[Minnesota Statutes, section 62J.03, Subd. 8](#) defines "[provider or health care provider](#)" as follows:

"Provider" or "health care provider" means a person or organization other than a nursing home that provides health care or medical care services within Minnesota for a fee and is eligible for reimbursement under the medical assistance program under chapter 256B. For purposes of this subdivision, "for a fee" includes traditional fee-for-service arrangements, capitation arrangements, and any other arrangement in which a provider receives compensation for providing health care services or has the authority to directly bill a group purchaser, health carrier, or individual for providing health care services. For purposes of this subdivision, "eligible for reimbursement under the medical assistance program" means that

the provider's services would be reimbursed by the medical assistance program if the services were provided to medical assistance enrollees and the provider sought reimbursement, or that the services would be eligible for reimbursement under medical assistance except that those services are characterized as experimental, cosmetic, or voluntary.

[Minnesota Statutes, section 62J.536, Subd. 3](#) defines "health care provider" to also include licensed nursing homes, licensed boarding care homes, and licensed home care providers.

[Minnesota Statutes, section 62J.51, Subd. 11a](#) defines "health care clearinghouse" as follows:

"Health care clearinghouse" means a public or private entity, including a billing service, repricing company, community health management information system or community health information system, and "value-added" networks and switches that does any of the following functions:

(1) processes or facilitates the processing of health information received from another entity in a nonstandard format or containing nonstandard data content into standard data elements or a standard transaction;

(2) receives a standard transaction from another entity and processes or facilitates the processing of health information into nonstandard format or nonstandard data content for the receiving entity;

(3) acts on behalf of a group purchaser in sending and receiving standard transactions to assist the group purchaser in fulfilling its responsibilities under section [62J.536](#);

(4) acts on behalf of a health care provider in sending and receiving standard transactions to assist the health care provider in fulfilling its responsibilities under section [62J.536](#); and

(5) other activities including but not limited to training, testing, editing, formatting, or consolidation transactions.

A health care clearinghouse acts as an agent of a health care provider or group purchaser only if it enters into an explicit, mutually agreed upon arrangement or contract with the provider or group purchaser to perform specific clearinghouse functions."

Entities performing transactions electronically pursuant to Minnesota Statutes, section 62J.536 via direct data entry system (i.e., Internet-based interactive applications) must also comply with the data content requirements established in this document.

1.2.1. Exceptions to applicability

[Minnesota Statutes, section 62J.536, subd. 4](#) authorizes the Commissioner of Health to exempt group purchasers not covered by HIPAA (group purchasers not covered under United States Code, title 42, sections 1320d to 1320d-8) from one or more of the requirements to exchange information electronically as required by Minnesota Statutes, section 62J.536 if the Commissioner determines that:

- i. a transaction is incapable of exchanging data that are currently being

exchanged on paper and is necessary to accomplish the purpose of the transaction; or

- ii. another national electronic transaction standard would be more appropriate and effective to accomplish the purpose of the transaction.

If group purchasers are exempt from one or more of the requirements, providers shall also be exempt from exchanging those transactions with the group purchaser.

The Commissioner has determined that criterion (i) above has been met for the eligibility inquiry and response electronic transaction and that group purchasers not covered by HIPAA, including workers' compensation, auto, and property and casualty insurance carriers, are not required to comply with the rules for the eligibility inquiry and response transaction. This exception pertains only to those group purchasers not covered by HIPAA, and only for the rules for the health care eligibility inquiry and response electronic transaction (ASC12/005010X279A1 Health Care Eligibility Benefit Inquiry and Response (270/271), hereinafter 005010X279A1). This exception shall be reviewed on an annual basis; the status of the exception can be found at: <http://www.health.state.mn.us/asa/implement.html>

While the exception above is in effect, health care providers are also exempt from the rules for transactions with group purchasers who have been exempted. This exception is only for the rules for the eligibility inquiry and response electronic transaction (005010X279A1) with group purchasers not covered by HIPAA.

1.3. About the Minnesota Department of Health (MDH)

MDH is responsible for protecting, maintaining and improving the health of Minnesotans. The department operates programs in the areas of disease prevention and control, health promotion, community public health, environmental health, health care policy, and registration of health care providers. For more information, go to: <http://www.health.state.mn.us>

1.3.1. Contact for further information on this document

Minnesota Department of Health
Division of Health Policy
Center for Health Care Purchasing Improvement
P.O. Box 64882
St. Paul, Minnesota 55164-0882
Phone: (651) 201-3570
Fax: (651) 201-5179
Email: health.ASAguides@state.mn.us

1.4. About the Minnesota Administrative Uniformity Committee

The Administrative Uniformity Committee (AUC) is a broad-based, voluntary organization representing Minnesota health care public and private payers, hospitals, health care providers and state agencies. The mission of the AUC is to develop agreement among Minnesota payers and providers on standardized health care administrative processes when implementation of the processes will reduce administrative costs. The AUC acts as a consulting body to various public and private entities, but does not formally report to any organization and is not a statutory committee. For more information, go to the AUC website at: <http://www.health.state.mn.us/auc/index.html>

1.5. Minnesota Best Practices for the Implementation of Electronic Health Care Transactions

The AUC develops and publicizes best practices for the implementation of health care administrative transactions and processes. The best practices are not required to be used as part of this document. However, their use is strongly encouraged to aid in meeting the state's requirements, and to help meet goals for health care administrative simplification.

Please visit the AUC website at <http://www.health.state.mn.us/auc/index.html> for more information about best practices for implementing electronic health care transactions in Minnesota.

1.6. Document Changes

The content of this document is subject to change. The version, release and effective date of the document is included in the document, as well as a description of the process for future updates or changes.

1.6.1. Process for updating this document

The process for updating this document, including: submitting and collecting change requests; reviewing and evaluating the requests; proposing changes; and adopting and publishing a new version of the document is available from MDH's website at: <http://www.health.state.mn.us/asa/index.html>

1.6.2. Document revision history

Version	Revision Date	Summary Changes
1.0	February 8, 2010	Version released for public comment
2.0	May 24, 2010	Adopted into rule. Final published version for implementation
3.0	February 22, 2011	Incorporated proposed technical changes and updates to v2.0
4.0	May 23, 2011	Adopted into rule. Incorporated all changes proposed in v3.0. Version 4.0 supersedes all previous versions.
5.0	November 13, 2012	Proposed revisions to v4.0
6.0	February 19, 2013	Adopted into rule. Incorporated revisions proposed in v5.0 and additional changes. Version 6.0 supersedes all previous versions.
7.0	September 23, 2013	Proposed revisions to v. 6.0
8.0	December 30, 2013	Adopted into rule December 30, 2013. Version 8.0 incorporates changes proposed in v7.0 and additional changes. Version 8.0 supersedes all previous versions.
9.0	TBD	Proposed revisions to v8.0

2. Purpose of this document and its relationship with other applicable regulations

2.1. Reference for this document

The reference for this document is the *ASC X12/005010X224A2 Health Care Claim: Dental (837)* (Copyright © 2008, Data Interchange Standards Association on behalf of ASC X12.

Format © 2008, ASC X12. All Rights Reserved), hereinafter described below as *005010X224A2*. A copy of the full *005010X224A2* can be obtained from ASC X12 at: <http://store.x12.org/store>

2.1.1. Permission to use copyrighted information.

[Placeholder: Express permission to use ASC X12 copyrighted materials within this document has been granted.]

2.2. Purpose and relationship

This document:

- Serves as transaction specific information to the *005010X224A2*;
- Must be used in conjunction with all applicable Minnesota and federal regulations, including 45 CFR Parts 160, 162, and 164 (HIPAA Administrative Simplification, including adopted federal operating rules) and related ASC X12N and retail pharmacy specifications (ASCX12N and NCPDP implementation specifications);
- Supplements, but does not otherwise modify the *005010X224A2* in a manner that will make its implementation by users to be out of compliance.
- Must be appropriately incorporated by reference and/or the relevant transaction information must be displayed in any companion guides provided by entities subject to Minnesota Statutes, section 62J.536.

Please note:

Using this Companion guide does not mean that a claim will be paid, nor does it imply payment policies of payers, or the benefits that have been purchased by the employer or subscriber.

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3. How to use this document

3.1. Classification and display of Minnesota-specific requirements

This document has been prepared for use by entities subject to Minnesota's requirements for the standard, electronic exchange of health care administrative transactions (Minnesota statutes, section 62J.536 and related rules) and other interested parties. It provides transaction specific information to be used in conjunction with the 005010X224A2 and other applicable information and specifications noted in section 2.0 above.

Table 4.2 of this document contains a row for each segment for which there is additional information over and above the information in the 005010X224A2. The tables show the relevant loop and corresponding segment(s) with the additional information. In instances in which the additional information is at the data element level, the relevant loop, segment, and data element are shown.

This document also contains the following four appendices:

- Appendix A includes a number of sections and provides additional instructions and specifications regarding the use of medical code sets and medical coding;
- Appendix B provides instructions for the use of the K3 segment for reporting state of jurisdiction and tooth number/oral cavity;

See also section 2.2 regarding the incorporation of the information in this document in any companion guides provided by or on behalf of entities covered by Minnesota Statutes, section 62J.536.

3.2. Information about the Health Care Claim: Dental (837) Transaction

3.2.1. Business Terminology

The terms below were published with definitions and other information in the previous version of this companion guide to provide additional clarification and/or because of changes that occurred as a result of the adoption of version 5010.

Definitions of these terms are no longer being included in this companion guide. However, the terms are discussed and defined in the reference document to this companion guide (the 005010X224A2), which is available for purchase from ASCX12 at: <http://store.x12.org/store>

Terms previously defined in the companion guide but can now be referenced as noted above:

- Billing provider
- Billing provider name
- Billing provider address
- Pay-to address
- Other payer
- Patient
- Pay-to plan
- Subscriber

3.2.1.1. Other Definitions

Factoring Agent

Business models exist in the healthcare industry where services are performed by a provider, which are billed to an entity acting as a receivables Factoring Agent. The entity pays the provider directly. The Factoring Agent then bills the insurance company. In this case both the name/address of Pay-To are different than Billing Provider. In order to use the 005010X224A2 for this business situation, the Accredited Standards Committee (ASC) X12 recommends that the Factoring Agent entity name, address, and identification be submitted as the Pay-To Plan in loop 2010AC. The notes and guidelines should be followed as if the Pay-To Plan entity was performing post payment recovery as described.

3.2.2. Provider Identifiers and NPI Assignments

Provider Identifiers

If the provider is a health care provider as defined under federal standards, then the only identifier that is valid is the NPI with the exception of the billing loop. For the billing provider, a secondary identifier of the TIN is also required.

If the provider is not a health care provider as defined under federal standards they are known as atypical providers. Atypical providers do meet the Minnesota statutory definition of health care provider and therefore are subject to the requirements of Minnesota statutes, section 62J.536 and related rules. For atypical providers, the primary identifier is the TIN and a secondary identifier is allowable. The qualifier for the secondary identifier is 'G2'. The identifier for this qualifier would be the specific payer assigned/required identifier.

3.2.3. Handling Adjustments and Appeals

3.2.3.1. Determination of Action

When determining whether to resubmit a claim as an original, request an adjustment or request an appeal, first determine whether the payer has accepted and adjudicated the original claim submission.

- If the original submission was not accepted into the payer adjudication system, resubmit the claim as an original. This is not considered to be an adjustment.
- If the original submission was accepted into the payer adjudication system, see definitions below to determine whether an adjustment or appeal should be requested.

3.2.3.2. Definitions

Adjustment – Provider has additional data that should have been submitted on the original claim or has a need to correct data that was sent incorrectly on the original claim.

Appeal – Provider is requesting a reconsideration of a previously adjudicated claim for which there is no additional data or corrected data to be submitted.

Providers should contact the payer or payer website for further instructions regarding reconsiderations or appeals.

Examples of appeals include:

- Timely filing denial;
- Payer allowance;
- Incorrect benefit applied;
- Eligibility issues;
- Benefit Accumulation Errors; and
- Medical Policy / Medical Necessity

3.2.3.3. Process for submission:

- Adjustment – Provider should submit an adjustment electronically using the appropriate value in CLM05-3 to indicate that this is a replacement claim.

If the payer has assigned a payer number to the claim, it must be submitted in loop 2300, REF02, using qualifier F8 in REF01 (Note: the original payer- assigned claim number is not the property and casualty number). If additional information is required to support the adjustment based on payer business rules, the SV301-7, NTE segment, PWK segment, or Condition Codes should be used. See section 3.2.5 below regarding these segments for appropriate instructions.

- Appeal – Follow payer standard processes for requesting an appeal to a previously adjudicated claim. If paper appeal process is utilized, then a standard, Best Practice Minnesota appeal form is available at the AUC website at <http://www.health.state.mn.us/auc/index.html>. Additional documentation should be sent as required by the payer to support the appeal consideration; this documentation does not include resubmission of the claim.

3.2.4. Claim Frequency Type Code (CFTC) Values

Claim/Bill submissions are often original, first time submissions with no follow-up submissions. In some cases, subsequent submissions directly related to a prior submission will be necessary. When subsequent submissions occur, for legitimate business purposes, the normal processing flow may change.

Since a relationship between an original submission and subsequent submissions are necessary, the data requirements both for original and subsequent submissions must be specified

Example: Submission of a Replacement Bill (CFTC 7)

Note: the following distinctions are important to ensure proper handling of the submission.

- In order to meet the processing requirement to void the original and replace it with the re-submitted bill, common consistent data elements are required.
 - o Replacement -- To qualify as a Replacement, some data

need to be different than the original.

- o Considered as Duplicate rather than a Replacement -- If the bill is re- submitted with no changes from the original, and the claim was accepted by the payer, this would be considered a Duplicate instead of a Replacement.
- o Considered an Original Claim rather than a Replacement -- If the bill is resubmitted with no changes from the original and the original was not accepted by the payer, this will be considered an original claim. These distinctions are important to allow for proper handling of the submission.

Refer to the current National Uniform Billing Committee (NUBC) code list for allowed values and usage descriptions. Both the sender and receiver must understand how each code value should be interpreted and what processing requirements need to be applied.

In conjunction with the CFTC code values, Condition Codes may be submitted that will impact processing and handling requirements. For example, a Replacement bill (CFTC 7) may also contain a Condition Code 'D0' indicating service dates have been changed.

3.2.5. Claim Attachments and Notes

- Use the NTE segment at the claim or line level to provide free-form text of additional information.
 - o The NTE segment must not be used to report data elements that are codified within this transaction.
 - o If reporting a simple description of the service is required, such as when a non-specific code is being reported, the SV301-7 in the 2400 loop must be used.
- Do not exceed the usage available in the 005010X224A2.
- Be succinct and abbreviate when possible. Do not repeat code descriptions or unnecessary information.
- If the NTE segment must be exceeded, or a hard copy document sent, use the PWK segment at the claim level. If the number of characters for the NTE or SV301-7 will exceed available characters, use only the PWK segment at the claim level.
- When populating the PWK segment, the following guidelines must be followed:
 - o PWK01 - The qualifier value of 'OZ' should only be used if none of the other values apply. The most specific qualifier value must be utilized.
 - o PWK06 - Billing providers must use a unique number for this field for each individual attachment on the claim, as well as a unique number across all claims requiring attachments. This unique number identifies a specific attachment within the billing provider's system. This unique number is the key that associates the attachment to the claim. The number must be sent in PWK06 of the claim and with the attachment. The provider must not use the

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Commented [MN2]: Deleted to be consistent with v9 837I and 837P

same number on any other claim in their system to identify different attachments.

4. ASC X12N/005010X224A2 Health Care Claim Dental (837) – Transaction Specific Information

4.1. Introduction to Table

The following table provides information needed to implement the ASC X12/005010X224A2 Health Care Claim: Dental (837) Transaction. It includes a row for each segment for which there is additional information over and above the information in the 005010X224A2 and shows the relevant loop and corresponding segment(s) with the additional information. In instances in which the additional information is at the data element level, the relevant loop, segment, and data element are shown.

Note: The designation "N/A" in Table 4.2 below means that the "Value Definition and Notes" applies to the segment rather than a particular data element.

Please also see section 2.2 above.

4.2 005010X224A2 Dental (837) -- Transaction Table

MUCG for the Implementation of the ASC X12/005010X224A2 Health Care Claim: Dental (837) Proposed Revised Version 9.0.			
Table 4.2 005010X224A2 Dental (837) Transaction Specific Information			
This table summarizes transaction specific information to be used in conjunction with the 005010X224A2 and any other applicable information and specifications noted in section 2.2.			
Loop	Segment	Data Element (if applicable)	Value Definition and Notes
2000B Subscriber Hierarchical Level	SBR Subscriber Information	SBR01 Payer Responsibility Sequence Number Code	Do not send claims to secondary, tertiary, or any subsequent payer until previous payer(s) has processed.
2010BA Subscriber Name	NM1 Subscriber Name	NM103 Name Last or Organization Name	For Workers' Compensation this is the employer name. For Property & Casualty this may be a non-person.
2300 Claim Information	CLM Claim Information	CLM05-3 Claim Frequency Type Code	See front matter section 3.2.4 of this document for definitions.
2300 Claim Information	CLM Claim Information	CLM20 Delay Reason Code	If code 11 (Other) is used, additional documentation is required using NTE or PWK. Refer to section 3.2.5 of this document for usage. If CLM20 value of 11 is used, PWK02 must not be a value of AA.

MUCG for the Implementation of the ASC X12/005010X224A2 Health Care Claim: Dental (837)
Proposed Revised Version 9.0.

Table 4.2 005010X224A2 Dental (837) Transaction Specific Information

This table summarizes transaction specific information to be used in conjunction with the 005010X224A2 and any other applicable information and specifications noted in section 2.2.

2300 Claim Information	DN2 Tooth Status	N/A	Required when the tooth status codes in DN202 apply to the claim.
2300 Claim Information	PWK Claim Supplemental Information	N/A	See front matter section 3.2.5 of this document for definition.
Loop	Segment	Data Element (if applicable)	Value Definition and Notes
2300 Claim Information	PWK Claim Supplemental Information	PWK02 Report Transmission Code	Use of AA value may result in a delay in claim payment. If an attachment is known to be needed by the payer it should be sent.
2300 Claim Information	HI Health Care Diagnosis Code	N/A	If sending the claim to a medical or P&C carrier, this segment is recommended for use.
2320 Other Subscriber Information	SBR Other Subscriber Information	SBR01 Payer Responsibility Sequence Number Code	Do not send claims to secondary, tertiary, or any subsequent payer until previous payer(s) has/have processed.
2330B Other payer name	NM1 Other Payer Name	NM109 Identification Code	If multiple other insureds, the payer ID values contained in the 2320 loops must be unique within the claim.
2400 Service Line Number	DTP Date – Prior Placement	N/A	If actual date not known, provide an estimate.
2400 Service Line Number	AMT Sales Tax Amount	N/A	See Appendix B of this document for details on reporting MNCare Tax

5. List of Appendices

A. [Appendix A](#): Medical Code Set -- Supplemental Information for Minnesota Uniform Companion Guides

Appendix A provides additional information and instructions regarding the use of medical code sets and medical coding.

B. [Appendix B](#): Reporting MNCare Tax

Appendix B provides brief instructions for reporting the MinnesotaCare (MNCare) Tax.

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A. Appendix A: Code Set Supplemental Information for Minnesota Uniform Companion Guides

A.1 Introduction

The purpose of this Appendix is to provide guidance to Minnesota submitters and receivers of dental electronic health care claims on requirements, selection and use of specific code sets that are associated with these transactions.

The Appendix covers:

- general background information about code sets, and
- a series of principles to guide the selection and use of codes in connection with Minnesota electronic health care claim transactions.

In preparing this Guide, the official guidelines for code selection documented in code resources were followed, unless otherwise explicitly noted. Consult official coding resources for descriptions, definitions and directions for code usage. This material is not intended to be a substitute for coding manuals or official guidelines. All codes are expected to be used in a manner consistent with their descriptors, instructions, and correct coding principles.

Group purchasers (payers) will continue to administer applicable coverage policies and member benefits.

A.2 Basic Concepts on HIPAA Code Sets

- Code sets are described in the front matter of this Companion Guide.
- The dental codes are a separate category of national codes. The Department of Health and Human Services has an agreement with the American Dental Association (ADA) to include Current Dental Terminology (CDT)¹ as a set of HCPCS Level II codes for use in billing for dental services.
- Consistent with the HIPAA Electronic Transactions and Code Sets regulations, all covered entities are required to submit or receive codes that are:
 - o valid on the date of service - for medical code sets (which include dental codes); and
 - o valid at the time the transaction was created and submitted – for non-medical code sets.

A.3 General Principles for Code Selection and Use in Minnesota

Code selection for claims submitted in Minnesota follows a hierarchy of preferred instructions.

1. Minnesota Statute 62J.536 requires all claims to be submitted according to the guidelines for Medicare that are issued by the Center for Medicare and Medicaid

¹ CDT is a registered trademark of the American Dental Association (ADA).

Services (CMS) whenever possible.

2. It is understood that Medicare excludes from coverage, items and services in connection with the care, treatment, filling, removal, or replacement of teeth or structures directly supporting the teeth.
3. Select codes that accurately identify the procedure or service provided.
4. All nationally-developed codes are accepted by all group purchasers even when Medicare coding and coverage limitations may not allow reporting of a code.
5. Acceptance of a code does not imply any health insurance coverage or reimbursement policy.
6. The dental/medical record must always reflect the service provided.

A.4 Units (basis for measurement)

- Units are reported according to the code description.

A.5 Teledentistry

The Minnesota Legislature (<https://www.revisor.mn.gov/statutes/?id=256B.0625>) expanded coverage of Teledentistry to dental benefits under Medical Assistance and MinnesotaCare effective January 1, 2016. Minnesota Statute 256B.0625 requires commercial plans to comply by January 1, 2017.

Teledentistry will help with improved access which may increase early diagnosis, facilitate timely treatment, reduce isolation of practitioners through communication with peers and specialists, and improve access to care. This new benefit is limited to the following American Dental Association (ADA) codes.

Dental services must be performed via Two-way interactive video or store and forward technology as defined below.

Two-way interactive video

Involves a videoconferencing session that allows health professionals at distant locations to communicate with each other. A typical set-up involves high-definition webcams combined with hands-free microphone/speaker units so that the users can see and hear each other. For this type of consultation, both parties arrange a meeting time and information is exchanged in real-time between sites. This type requires sufficient bandwidth and HIPAA compliant software.

Store and forward

Communication is asynchronous including - video clips, photographs and scans, storing them in a file and sent to the dentist. The dentist retrieves the file at a time of their convenience and examines the contents. Recommendations are provided to the oral health professional in the same manner, within seven calendar days of the time of information gathering.

**Table A.5.1 Minnesota Coding Specifications:
When to use codes different from Medicare**

Medicare Claims Processing Manual		Specific Coding Topic	Minnesota Rule
Chapter Number	Title/Description		
See the following regarding Teledentistry that is not addressed in any chapter of the Medicare Claims Processing Manual.			
N/A	N/A	Teledentistry	<u>Eligible Teledentistry Codes:</u> D0120 U9 - Periodic oral evaluation — established patient D0140 U9 - Limited oral exam D0150 U9 - Comprehensive oral evaluation – new or established patient D0210 U9 - Intraoral — complete series of radiographic images D0220 U9 - Intraoral — periapical first radiographic image D0230 U9 - Intraoral — periapical each additional radiographic image D0270 U9 - Bitewing — single radiographic image D0272 U9 - Bitewings — two radiographic images D0274 U9 - Bitewings — four radiographic images D0240 U9 – Intraoral – occlusal radiographic image D0330 U9 - Panoramic radiographic image D9310 U9 - Medical Dental Consultation Definition of U9 modifier: U9 – Service performed via Teledentistry Limitations: • Teledentistry services are limited to three per week per recipient. • Only one reading or interpretation of diagnostic tests such as x-rays, lab tests, and diagnostic assessment. • Non-billable services: Sending materials; originating site fee.

			Services are limited to children, pregnant women, and limited adult benefits as specified in Minnesota Statutes 256B.0625, Subd. 9
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Please Note:

National organizations are responsible for maintenance of medical codes and periodically add, delete, or make other changes to these codes. Code sets referenced in this appendix were valid at the time of approval for publication. Code set changes may result in this appendix reflecting a deleted code or not reflecting a new code. This Guide and Appendix incorporate by reference any changes adopted by national organizations with responsibilities for these codes. Submitters of health care claims are responsible for selecting and using the correct, appropriate codes.

Per HIPAA, "those that [send or] receive standard electronic administrative transactions must be able to [send], receive and process all standard codes irrespective of local policies regarding reimbursement for certain conditions or procedures, coverage policies, or need for certain types of information that are part of a standard transaction."

CDT codes are evaluated and updated annually by the Code maintenance Committee of the ADA. For questions on codes contact the ADA at 1-800-621-8099 or dentalcode@ada.org for information on the HCPCS annual release of alpha-numeric medical codes visit www.cms.gov or email hcpcs@cms.hhs.gov.

B. Appendix B: Reporting MNCare Tax

NOTE: Instructions for MNCare Tax billing only apply if the provider bills the group purchaser for MNCare Tax. Some providers do not bill the group purchaser for MNCare Tax. This document **DOES NOT** require them to do so but if they do identify the tax it must be done as follows. Some group purchasers may not reimburse MNCare Tax unless it is identified in the AMT.

- MNCare Tax must be reported as part of the line item charge and reported in the corresponding AMT tax segment on the lines.

[\(http://www.cdc.gov/\)](http://www.cdc.gov/)

CDC A-Z INDEX (HTTP://WWW.CDC.GOV/MMWR/VOLUMES/65/WR/MM6503E3.HTM#)

Morbidity and Mortality Weekly Report (MMWR) (<http://www.cdc.gov/mmwr/index.html>)

Interim Guidelines for the Evaluation and Testing of Infants with Possible Congenital Zika Virus Infection — United States, 2016

Weekly / January 29, 2016 / 65(3);63–67

On January 26, 2016, this report was posted as an MMWR Early Release on the MMWR website (<http://www.cdc.gov/mmwr>) (<http://www.cdc.gov/mmwr>).

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[View suggested citation \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#suggestedcitation\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#suggestedcitation)

CDC has developed interim guidelines for health care providers in the United States who are caring for infants born to mothers who traveled to or resided in an area with Zika virus transmission during pregnancy. These guidelines include recommendations for the testing and management of these infants. Guidance is subject to change as more information becomes available; the latest information, including answers to commonly asked questions, can be found online (<http://www.cdc.gov/zika>) (<http://www.cdc.gov/zika>). Pediatric health care providers should work closely with obstetric providers to identify infants whose mothers were potentially infected with Zika virus during pregnancy (based on travel to or residence in an area with Zika virus transmission [<http://wwwnc.cdc.gov/travel/notices>] (<http://wwwnc.cdc.gov/travel/notices>)), and review fetal ultrasounds and maternal testing for Zika virus infection (see Interim Guidelines for Pregnant Women During a Zika Virus Outbreak*) (1). Zika virus testing is recommended for 1) infants with microcephaly or intracranial calcifications born to women who traveled to or resided in an area with Zika virus transmission while pregnant; or 2) infants born to mothers with positive or inconclusive test results for Zika virus infection. For infants with laboratory evidence of a possible congenital Zika virus infection, additional clinical evaluation and follow-up is recommended. Health care providers should contact their state or territorial health department to facilitate testing. As an arboviral disease, Zika virus disease is a nationally notifiable condition.

Zika virus is a mosquito-borne flavivirus primarily transmitted by *Aedes aegypti* mosquitoes (2,3). *Aedes albopictus* mosquitoes also might transmit the virus. *Ae. aegypti* and *Ae. albopictus* mosquitoes are found throughout much of the Region of the Americas, including parts of the United States, and also transmit dengue and chikungunya viruses (4). Zika virus infections have also been documented through both intrauterine transmission resulting in congenital infection and intrapartum transmission from a viremic mother to her newborn (5,6). Zika virus RNA has been detected in breast milk, but Zika virus transmission through breastfeeding has not been documented (5).

During outbreaks, humans are the primary host for Zika virus. An estimated 80% of persons infected with Zika virus are asymptomatic (2,7). Symptomatic disease generally is mild and characterized by acute onset of fever, maculopapular rash, arthralgia, or nonpurulent conjunctivitis. Symptoms typically last from several days to 1 week. Based on information from previous outbreaks, severe disease requiring hospitalization is uncommon and fatalities are rare (6,7). During the current outbreak in Brazil, Zika virus RNA has been identified in specimens (i.e., brain tissue, placenta, and amniotic fluid) from several infants with microcephaly and from fetal losses in women infected with Zika virus during pregnancy (6,8,9). The Brazil Ministry of Health has reported a marked increase from previous years in the number of infants born with microcephaly and intracranial calcifications in 2015, although it is not known how many of these cases are associated with Zika virus infection (6,8–11).

Zika Virus Testing Considerations and Classification

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The diagnosis of Zika virus infection is made through molecular and serologic testing (2). This includes reverse transcription-polymerase chain reaction (RT-PCR) for viral RNA, and immunoglobulin (Ig) M ELISA and plaque reduction neutralization test (PRNT) for Zika virus antibodies. Because it is currently not known which type of testing most reliably establishes the diagnosis of congenital infection, CDC recommends both molecular and serologic testing of infants who are being evaluated for evidence of a congenital Zika virus infection ([Box 1 \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B1_down\)\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B1)). No commercial tests for Zika virus are available; Zika virus testing is performed at CDC and some state and territorial health departments. Health care providers should contact their state or territorial health department to facilitate testing.

Zika virus RT-PCR testing should be performed on serum specimens collected from the umbilical cord or directly from the infant within 2 days of birth (12). In addition, cerebrospinal fluid (CSF) obtained for other studies, and frozen and fixed placenta obtained at delivery, should also be tested by RT-PCR. IgM ELISA for Zika virus and dengue virus should be performed on infant serum, infant CSF, and maternal serum; however, results of these assays can be falsely positive because of cross-reacting antibodies (9,12). PRNT can be performed to measure virus-specific neutralizing antibodies and to discriminate between cross-reacting antibodies from closely related flaviviruses (e.g., dengue or yellow fever viruses). Finally, immunohistochemical staining to detect Zika virus antigen on fixed placenta and umbilical cord tissues can be considered.

An infant is considered congenitally infected if Zika virus RNA or viral antigen is identified in any of the samples submitted, including testing of amniotic fluid and testing of the placenta or umbilical cord. In addition, Zika virus IgM antibodies with confirmatory neutralizing antibody titers that are ≥ 4 -fold higher than dengue virus neutralizing antibody titers in the infant serum or CSF constitute evidence of a congenital Zika virus infection. If Zika virus neutralizing antibody titers are < 4 -fold higher than dengue, results are considered inconclusive.

Recommendations for Infants with Microcephaly or Intracranial Calcifications Detected Prenatally or at Birth Whose Mothers Were Potentially Infected with Zika Virus During Pregnancy [Top \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#)

For the purpose of evaluating an infant for possible congenital Zika virus infection, microcephaly is defined as occipitofrontal circumference less than the third percentile, based on standard growth charts (e.g., Fenton, Olsen, CDC, or WHO growth curves) for sex, age, and gestational age at birth (13). For a diagnosis of microcephaly to be made, the occipitofrontal circumference should be disproportionately small in comparison with the length of the infant and not explained by other etiologies (e.g., other congenital disorders). If an infant's occipitofrontal circumference is equal to or greater than the third percentile but is notably disproportionate to the length of the infant, or if the infant has deficits that are related to the central nervous system, additional evaluation for Zika virus infection might be considered.

When an infant is born with microcephaly or intracranial calcifications to a mother who was potentially infected with Zika virus during pregnancy, the infant should be tested for Zika virus infection ([Figure 1 \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#F1_down\)\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#F1) ([Box 1](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B1)). In addition, further clinical evaluation and laboratory testing is recommended for the infant ([Box 2 \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B2_down\)\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B2)). The mother should also be tested for a Zika virus infection, if this testing has not already been performed during pregnancy. An ophthalmologic evaluation, including retinal examination, should occur during the first month of life, given reports of abnormal eye findings in infants with possible congenital Zika virus infection (11).

For infants with any positive or inconclusive test findings for Zika virus infection, health care providers should report the case to the state, territorial, or local health department and assess the infant for possible long-term sequelae ([Box 3 \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B3_down\)\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B3)). This includes a repeat hearing screen at age 6 months, even if the initial hearing screening test was normal, because of the potential for delayed hearing loss as has been described with other infections such as cytomegalovirus (14).

For infants with microcephaly or intracranial calcifications who have negative results on all Zika virus tests performed, health care providers should evaluate for other possible etiologies and treat as indicated.

Recommendations for Infants without Microcephaly or Intracranial Calcifications Whose Mothers Were Potentially Infected with Zika Virus During Pregnancy [Top \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#)

For an infant without microcephaly or intracranial calcifications born to a mother who was potentially infected with Zika virus during pregnancy, subsequent evaluation is dependent on results from maternal Zika virus testing ([Figure 2](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#F2_down) (http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#F2_down)). If the test results for the mother were negative for Zika virus infection, the infant should receive routine care (e.g., newborn metabolic and hearing screens). If the mother received positive or inconclusive results of tests for Zika virus infection, the infant should be tested for a possible congenital Zika virus infection (Box 1). If the results of all of the infant's tests are negative for evidence of Zika virus infection, then no further Zika virus testing and evaluation is recommended. If any of the infant's samples test positive or inconclusive, then the infant should undergo further clinical evaluation (Box 2). The infant should also be followed to assess for possible long-term sequelae (Box 3), and the infant's case should be reported to the state, territorial, or local health department. Infant follow-up should include a cranial ultrasound to assess for subclinical findings, unless prenatal ultrasound results from the third trimester demonstrated no abnormalities of the brain. Ophthalmologic examination and a repeat hearing screen are also recommended, as previously described for infants with microcephaly or intracranial calcifications. Developmental monitoring and screening during the first year of life is recommended for all children with congenital Zika virus infection.

If the mother has not undergone any previous testing for Zika virus infection during pregnancy, CDC recommends that she receive testing only if she reported symptoms consistent with Zika virus disease during or within 2 weeks of any time spent in an area with ongoing Zika virus transmission while she was pregnant (1,15). If the mother has any positive or inconclusive findings from tests for Zika virus infection, then the infant should undergo testing for evidence of a congenital Zika virus infection (Box 1). If the mother has not received any previous testing for Zika virus, and did not report clinical illness consistent with Zika virus disease during pregnancy, no further testing of the mother or infant is recommended (Figure 2).

Management and Prevention of Congenital Zika Virus Infections [Top \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#)

No specific antiviral treatment is available for Zika virus infections and no vaccine against Zika virus is available (2). Treatment of congenital Zika virus infection is supportive and should address specific medical and neurodevelopmental issues for the infant's particular needs; investigations are ongoing to better understand what services will be most effective for these children as they grow (16). Mothers are encouraged to breastfeed infants even in areas where Zika virus is found, as available evidence indicates the benefits of breastfeeding outweigh any theoretical risks associated with Zika virus infection transmission through breast milk (5,17).

The only way to prevent congenital Zika virus infection is to prevent maternal infection, either by avoiding areas where Zika virus transmission is ongoing or strictly following steps to avoid mosquito bites (15,18). Mosquito-bite prevention includes using air conditioning or window and door screens when indoors, wearing long sleeves and pants, using permethrin-treated clothing and gear, and using insect repellents. When used according to the product label, U.S. Environmental Protection Agency-registered insect repellents are safe for pregnant women (18).

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* <http://www.cdc.gov/mmwr/volumes/65/wr/mm6502e1.htm>
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(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B1_up) **BOX 1. Recommended Zika virus laboratory testing for infants when indicated***

- Test infant serum for Zika virus RNA, Zika virus immunoglobulin (Ig) M and neutralizing antibodies, and dengue virus IgM and neutralizing antibodies. The initial sample should be collected either from the umbilical cord or directly from the infant within 2 days of birth, if possible.
- If cerebrospinal fluid is obtained for other studies, test for Zika virus RNA, Zika virus IgM and neutralizing antibodies, and dengue virus IgM and neutralizing antibodies.
- Consider histopathologic evaluation of the placenta and umbilical cord with Zika virus immunohistochemical staining on fixed tissue and Zika virus RT-PCR on fixed and frozen tissue.
- If not already performed during pregnancy, test mother's serum for Zika virus IgM and neutralizing antibodies, and dengue virus IgM and neutralizing antibodies.

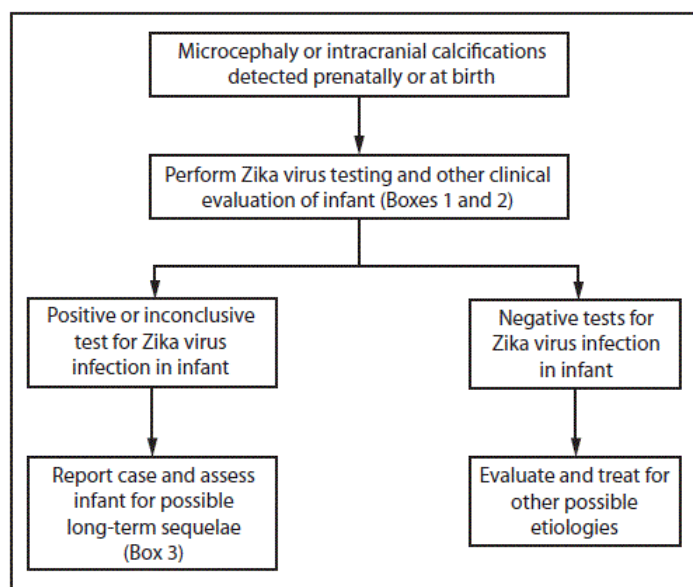
*Indications for testing include 1) infants with microcephaly or intracranial calcifications born to women who traveled to or resided in an area with Zika virus transmission while pregnant, or 2) infants born to mothers with positive or inconclusive test results for Zika virus infection.



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(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#F1_up)

FIGURE 1. Interim guidelines for the evaluation and testing of infants with microcephaly* or intracranial calcifications whose mothers traveled to or resided in an area with Zika virus transmission† during pregnancy§



* Microcephaly defined as occipitofrontal circumference less than the third percentile for gestational age and sex not explained by other etiologies

† Areas with Zika virus transmission are listed on CDC's webpage. <http://wwwnc.cdc.gov/travel/notices> (<http://wwwnc.cdc.gov/travel/notices>).

§ Laboratory evidence of Zika virus infection includes 1) detectable Zika virus, Zika virus RNA, or Zika virus antigen in any clinical sample, or 2) positive Zika virus immunoglobulin M with confirmatory neutralizing antibody titers that are ≥ 4 -fold higher than dengue virus neutralizing antibody titers in serum or cerebrospinal fluid. Testing would be considered inconclusive if Zika virus neutralizing antibody titers are < 4 -fold higher than dengue virus neutralizing antibody titers.



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(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B2_up) **BOX 2. Recommended clinical evaluation and laboratory testing for infants with possible congenital Zika virus infection**

For all infants with possible congenital Zika virus infection, perform the following:

- Comprehensive physical examination, including careful measurement of the occipitofrontal circumference, length, weight, and assessment of gestational age.
- Evaluation for neurologic abnormalities, dysmorphic features, splenomegaly, hepatomegaly, and rash or other skin lesions. Full body photographs and any rash, skin lesions, or dysmorphic features should be documented. If an abnormality is noted, consultation with an appropriate specialist is recommended.
- Cranial ultrasound, unless prenatal ultrasound results from third trimester demonstrated no abnormalities of the brain.
- Evaluation of hearing by evoked otoacoustic emissions testing or auditory brainstem response testing, either before discharge from the hospital or within 1 month after birth. Infants with abnormal initial hearing screens should be referred to an audiologist for further evaluation.
- Ophthalmologic evaluation, including examination of the retina, either before discharge from the hospital or within 1 month after birth. Infants with abnormal initial eye evaluation should be referred to a pediatric ophthalmologist for further evaluation.
- Other evaluations specific to the infant's clinical presentation.

For infants with microcephaly or intracranial calcifications, additional evaluation includes the following:

- Consultation with a clinical geneticist or dysmorphologist.
- Consultation with a pediatric neurologist to determine appropriate brain imaging and additional evaluation (e.g., ultrasound, computerized tomography scan, magnetic resonance imaging, and electroencephalogram).
- Testing for other congenital infections such as syphilis, toxoplasmosis, rubella, cytomegalovirus infection, lymphocytic choriomeningitis virus infection, and herpes simplex virus infections. Consider consulting a pediatric infectious disease specialist.
- Complete blood count, platelet count, and liver function and enzyme tests, including alanine aminotransferase, aspartate aminotransferase, and bilirubin.
- Consideration of genetic and other teratogenic causes based on additional congenital anomalies that are identified through clinical examination and imaging studies.



[Top \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#)

(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#B3_up) **BOX 3. Recommended long-term follow-up for infants with possible congenital Zika virus infection**

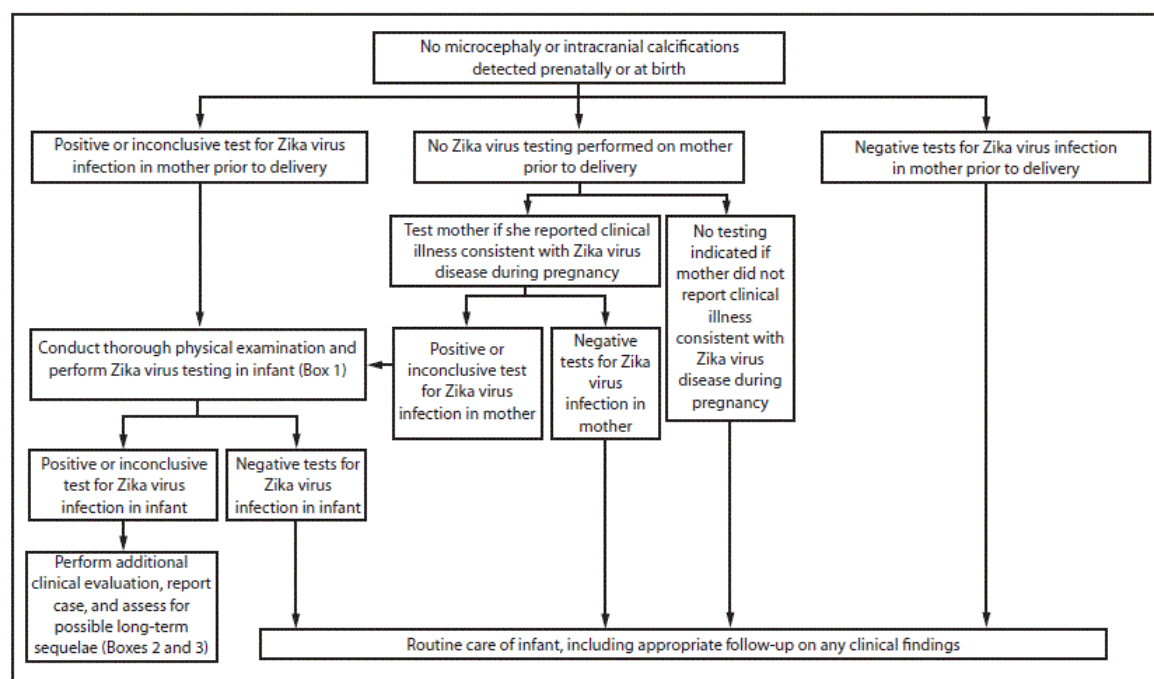
- Report case to state, territorial, or local health department and monitor for additional guidance as it is released.
- Conduct additional hearing screen at age 6 months, plus any appropriate follow-up of hearing abnormalities detected through newborn hearing screening.
- Carefully evaluate occipitofrontal circumference and developmental characteristics and milestones throughout the first year of life, with use of appropriate consultations with medical specialists (e.g., pediatric neurology, developmental and behavioral pediatrics, physical and speech therapy).



[Top \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#)

(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#F2_up)

FIGURE 2. Interim guidelines for the evaluation and testing of infants without microcephaly* or intracranial calcifications whose mothers traveled to or resided in an area with Zika virus transmission† during pregnancy§.¶.**



* Microcephaly defined as occipitofrontal circumference less than the third percentile for gestational age and sex not explained by other etiologies

† Areas with Zika virus transmission are listed on CDC's webpage. <http://wwwnc.cdc.gov/travel/notices> (<http://wwwnc.cdc.gov/travel/notices>).

§ Laboratory evidence of a Zika virus infection includes 1) detectable Zika virus, Zika virus RNA, or Zika virus antigen in any clinical sample, or 2) positive Zika virus Immunoglobulin M (IgM) with confirmatory neutralizing antibody titers that are ≥ 4 -fold higher than dengue virus neutralizing antibody titers in serum or cerebrospinal fluid. Testing would be considered inconclusive if Zika virus neutralizing antibody titers are < 4 -fold higher than dengue virus neutralizing antibody titers.

¶ If mother reported clinical illness consistent with Zika virus disease during pregnancy and testing is indicated, perform Zika virus reverse transcription-polymerase chain reaction testing on serum specimen collected ≤ 7 days after illness onset when possible. Perform Zika and dengue virus IgM and neutralizing antibodies on serum specimens collected ≥ 4 days after illness onset.

** Clinical illness is consistent with Zika virus disease if two or more symptoms (including acute onset of fever, maculopapular rash, arthralgia, or conjunctivitis) are present during or within 2 weeks of any time spent in an area with ongoing Zika virus transmission.

[Top \(http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#\)](http://www.cdc.gov/mmwr/volumes/65/wr/mm6503e3.htm#)

Suggested citation for this article: Staples JE, Dziuban EJ, Fischer M, et al. Interim Guidelines for the Evaluation and Testing of Infants with Possible Congenital Zika Virus Infection — United States, 2016. *MMWR Morb Mortal Wkly Rep* 2016;65:63–67. DOI: <http://dx.doi.org/10.15585/mmwr.mm6503e3> (<http://dx.doi.org/10.15585/mmwr.mm6503e3>).

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(<http://www.cdc.gov/Other/plugins/#pdf>)

Page last reviewed: January 28, 2016

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AUC BUSINESS NEED EXPLANATION FORM (AUC SBAR)

Instructions for Completing the AUC SBAR

Purpose: To provide a formal communication method by which member organizations or non-member interested parties may request the AUC to consider working on a particular issue related to administrative simplification or to request clarification of Minnesota rules and regulations related to administrative simplification.

Instructions: Do not combine issues in a single SBAR. Complete a separate SBAR form for each individual issue that needs to be addressed. The completion of the AUC Medical Code TAG (MCT) Decision Tree is highly recommended prior to completion of the SBAR form(s). If completed, please submit the MCT Decision Tree form along with your completed SBAR.

Complete Sections II and III of the SBAR. Section I is to be completed by MDH staff and Section IV is to be completed by the AUC.

Medical Coding TAG Decision Tree Form

In order to streamline and expedite the SBAR review process, the AUC Medical Code TAG utilizes the MCT Decision Tree in its decision-making process for consideration of SBARs under the TAG's review. The MCT also requires SBAR submitters to use the form to ensure accurate and timely SBAR responses. The MCT Decision Tree form can be accessed from the AUC website at:

<http://www.health.state.mn.us/auc/forms.htm> or by clicking on the link below in Step 1 below.

Step 1: Complete an [MCT Decision Tree](#) for each SBAR issue. Completing the MCT Decision Tree will enable a more thorough explanation of the SBAR issue(s) and prevent omission of critical or significant information.

Step 2: Fully complete Sections I and II. Incomplete or unclear analysis of the issue may cause unnecessary delay in the AUC response to the SBAR.

Section I

1. Provide contact information for person submitting the SBAR. Additional contact information may be required for the subject matter expert if different from the person completing the SBAR form.
2. The additional contact information is completed when the SBAR is being submitted on behalf of another individual who should also be notified of the AUC TAG meeting when the SBAR will be considered and who may or may not be a member of your organization.

Please note: There may be a need to ask additional questions in order to clarify understanding of the issue as stated in the SBAR. The SBAR submitter and subject matter expert should be in attendance or available during the AUC TAG meeting and will be notified of meeting details at least one week prior to the SBARs' scheduled review date.

Section II

1. Provide an SBAR short title for your issue.
2. Describe the issue, using descriptive and concise language and/or examples as appropriate, and by answering the questions outlined below:
 - **Situation:** Describe the type of problem or issue, e.g., coding or clarification/interpretation. What is the current business practice and why is it a problem or an issue? Would the continuance of the

practice result in non-compliance of federal (e.g., CMS guidelines) and Minnesota administrative simplification rules and regulations?

- **Background:** In explaining the pertinent history of the business practice, state how it is used within your organization or within the industry today. What is the negative impact of the business practice if not addressed by the AUC?
- **Assessment:** Be specific and provide as much detail you feel is required to convey or explain why this issue should be addressed by the AUC. How would a change to this business practice benefit health care administrative simplification in Minnesota?
- **Recommendation:** What are you proposing as the solution to this issue that will benefit the health care administrative simplification in Minnesota or improve standardization of the administrative simplification process in Minnesota?

Step 3: Submit the completed SBAR(s) and MCT Decision Tree(s) to the AUC via email at: health.AUC@state.mn.us.

AUC BUSINESS NEED EXPLANATION FORM (SBAR)

TO BE COMPLETED BY THE MINNESOTA DEPARTMENT OF HEALTH			
Date Received		Log No.	Date Closed
Status: Exec Review Date	Sent to TAG/WG	TAG Recommendation: _____ Accept _____ Reject	Decision to Originator
REMINDER: Submit the completed SBAR and MCT Decision Tree form via email to the AUC at health.AUC@state.mn.us . The MCT Decision Tree is completed for medical coding issues only.			
Section I – SBAR Short Title, Date, and Contact information (Person submitting the SBAR and/or Subject Matter Expert)			
SBAR Short title: Intensive Outpatient Mental Health Program for Pregnant and Postpartum Women with Children ages 0-5		Date: 02.17.2016	
Contact Information for person completing this form: Name: Claire Persons Title: Email address: Claire.Persons@hcmcd.org Telephone: 612.873.7606		Organization Information: Name: HCMC Address: 701 Park Ave, Minneapolis, MN 55415	
Complete for additional contact or Subject Matter Expert, as required: Name: Lisa Kanivetsky Title: Revenue Integrity Manager Email address: Elizabeth.Kanivetsky@hcmcd.org Phone number: 612.873.7602			
Section II – SBAR information (Concise and specific description of the issue to be addressed stating the Situation, Background, Assessment, and Recommendation)			
SBAR Issue Title:			
S	SITUATION – Describe the current business practice (Please describe the problem or issue to be addressed: There are no guidelines available for which HCPCS codes to utilize for generating charges for patient cares provided to this patient population.		
B	BACKGROUND – Explain the pertinent history of the business practice (How does this work today): This program is being developed as an intensive outpatient mental health treatment for pregnant and postpartum women with children ages 0-5 whom are diagnosed with moderate-severe mental health symptoms. The program is six hours per week (2 days per week/3 hours per day) of group skill sessions including mindfulness, homework review, new skill teaching and circle of security. With the goal of addressing the mental health needs of mothers while simultaneously increasing their capacity and ability to meet the needs of their child(ren). The average duration of enrollment in the program is 8-12 weeks.		
A	ASSESSMENT – Summarize your analysis of this issue (what are your challenges, what type of organizations are impacted by these challenges – provider types, health plans, others? Please indicate how this applies to AUC's mission, vision, values, and strategy. Are there any national or community standards that exist or are being developed that might help address the situation? If so, please explain): Although there are numerous guidelines as it pertains to reporting mental health services in the		

	Companion Guide, this service is not addressed, therefore there are no guidelines available for reporting this service. Application of AUC's mission, vision, values, strategy include – standardization and simplification of reporting services.
R	RECOMMENDATION – What are you recommending, including any known timing that needs to be considered: We would recommend utilizing S9480 for this service.
Section III – AUC Response (Include date SBAR recommendation was reviewed and approved by AUC TAG; coding issue addressed or SBAR issue title; whether TAG accepted or rejected recommendation requested in SBAR; explanation or basis for TAG decision and SBAR response will be placed in recommendation grid or companion guide):	
Date [SBAR Response Approved by TAG]: Reviewed by [AUC TAG Name]: AUC Co-Chair(s): AUC Response: <u>Discussion/Summary:</u> <u>Decision:</u>	

Medical Code TAG Decision Tree for Medical Coding Issues

Overview

This decision tree is a prototype decision tool to aid the AUC Medical Code TAG (MCT) in making decisions regarding medical coding issues. It consists of a series of three levels, as follows:

Level I. Prior to Medical Code TAG review

In Level 1 MDH staff collects SBARs or other inquiries regarding medical coding issues. The SBARs are forwarded to both the MCT and to the AUC Executive Committee for their review. SBARS are then added to the MCT project list to be addressed at future MCT meetings.

Level II. Determination as to whether Medicare applies

SBARs added to the MCT project list as stated in Level I above are reviewed by the MCT to determine whether Medicare coding instructions/requirements apply.

- If Medicare applies and there are no other concerns or perceived problems with Medicare coding (e.g., vagueness or possible confusion in Medicare instructions, Medicare does not fully address the service in question), the MCT recommendation will be to “follow Medicare” and the issue will be considered closed.
- If Medicare does not apply, or if there are concerns or perceived problems regarding Medicare’s applicability to the situation or its instructions/requirements, the issue continues through Level III below.

Level III. Coding recommendation when Medicare does not apply or does not adequately address the issue

Level III is used for coding questions for which Medicare does not apply or does not adequately address the issue as described above. The MCT will then recommend coding to be used, based on a series of structured steps to determine the appropriate claim type(s), and possible HCPCS/CPT codes and modifiers, place of service, type of bill, revenue codes, and other coding characteristics as applicable and appropriate.

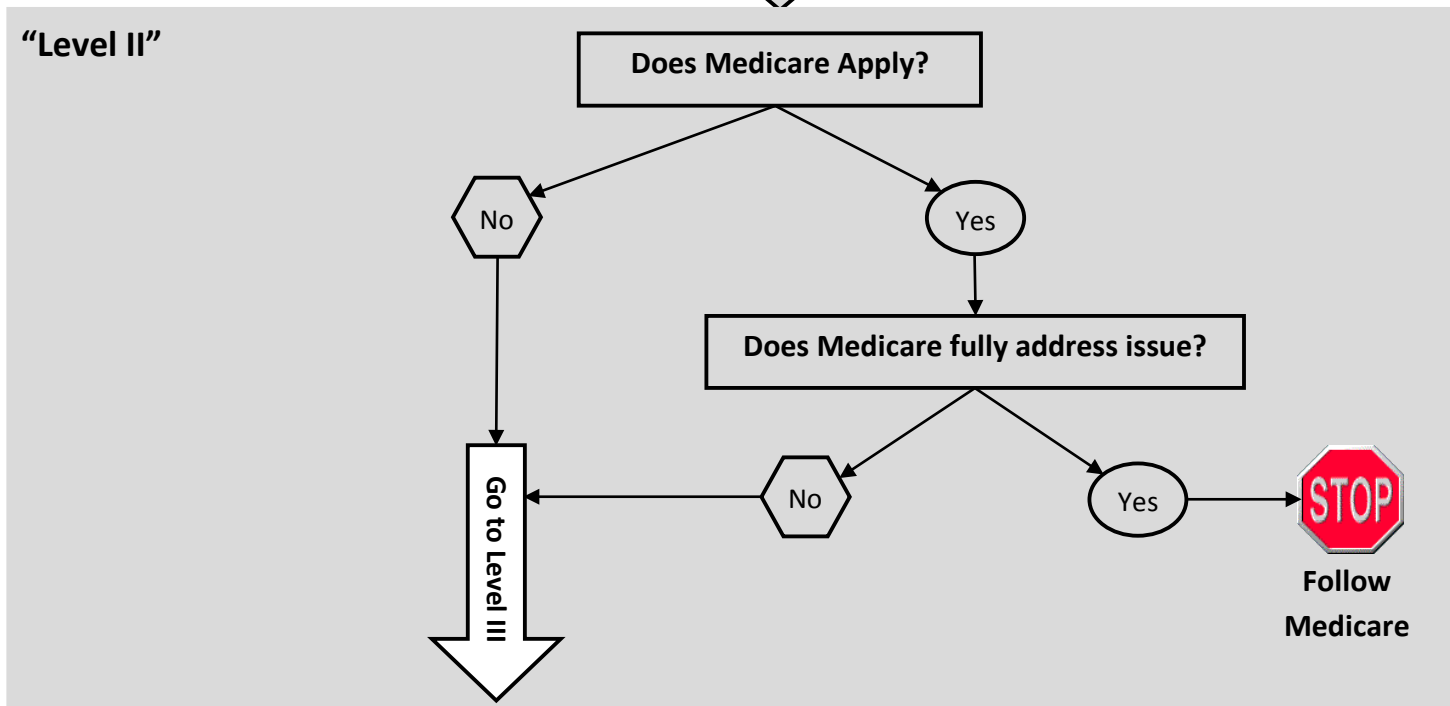
The MCT coding recommendation process above is presented schematically in the summary flow chart on the next page.

Illustrative Medical Code TAG (MCT) decision tree for medical coding issues

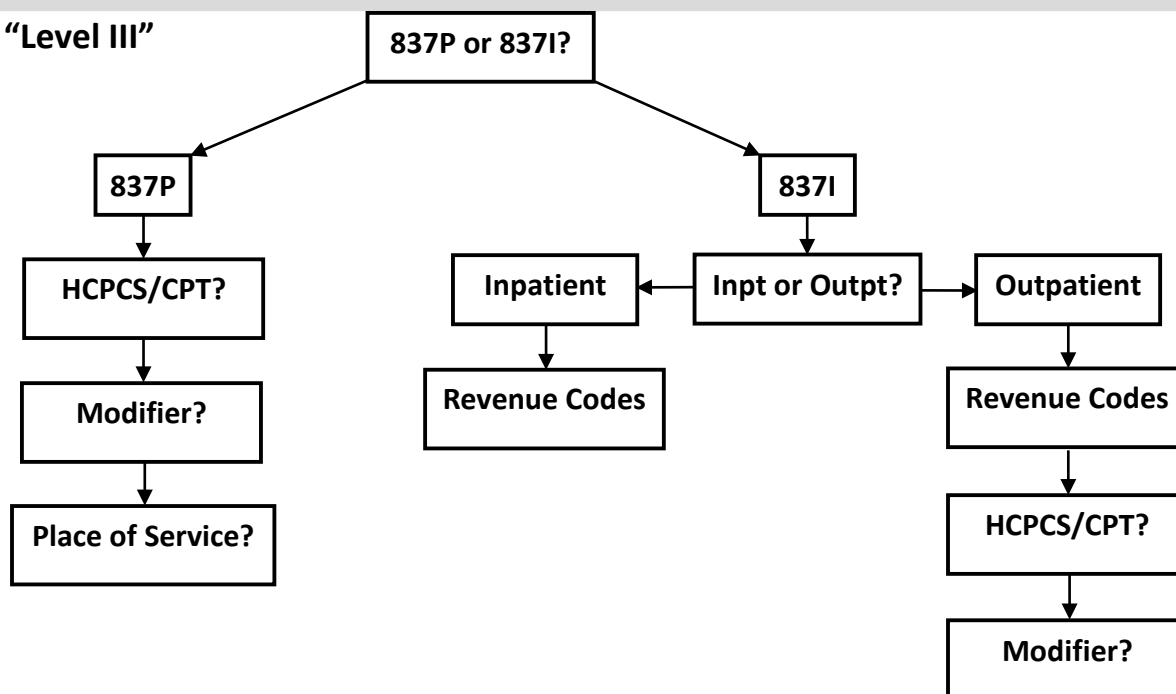
“Level I”

SBAR Forwarded to AUC Executive Committee and Medical Code TAG

“Level II”



“Level III”



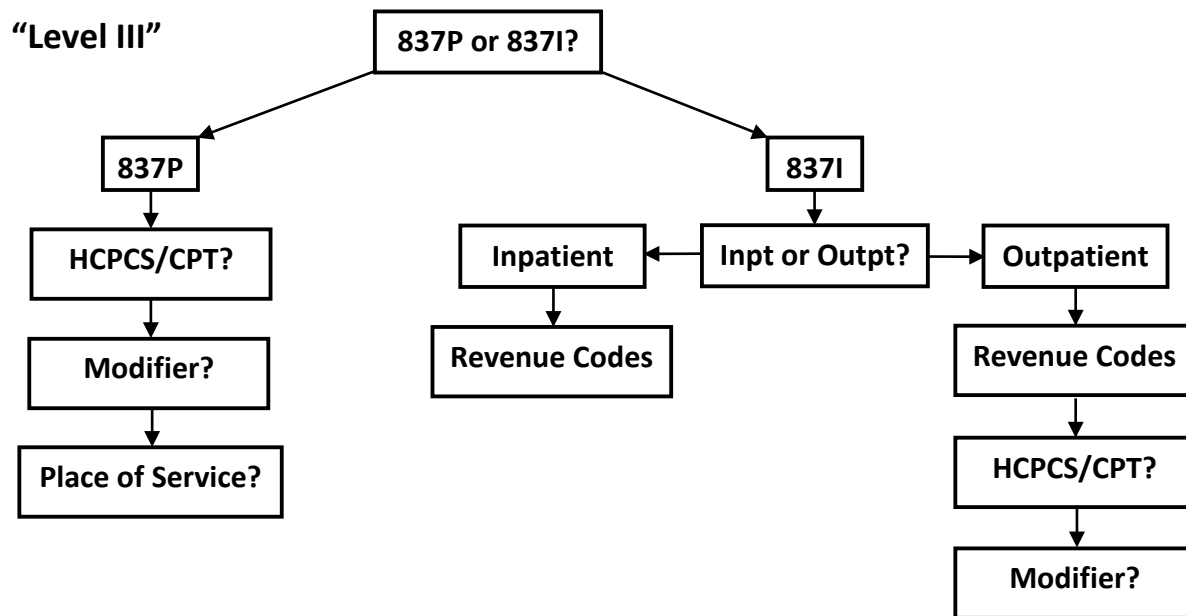
Note: Coding recommendations will include additional information as applicable regarding: provider type; effective date(s); and appropriate references to federal authority. The MCT will coordinate with the Claims DD TAG on place of service issues as needed. Final recommendations will also address revenue codes and type of bill for outpatient services, and type of bill for inpatient services.

Level II. Name/description of service/issue: Intensive Outpatient Mental Health treatment for Pregnant and Postpartum women with children ages 0-5.

Decision Tree Questions for Level II:

1. Does Medicare have coding requirements/instructions for coding the medical service/procedure/etc. of interest? If "yes," please reference the source of the Medicare instructions and provide a link. Then go to question 2 below.	
Yes ____	
No x	Proceed to Question #3
2. Does Medicare's coding guidance fully address the issue?	
Yes ____	 Follow Medicare as referenced at the link in question no. 1 above.
No ____	If "no," please check any of the concerns below that apply and provide examples and complete questions 3-5. a. ____ More specific or appropriate codes are needed in order to reduce manual processing and administrative costs. b. ____ Duplicate codes exist and clarification of which code(s) to use is needed. Explain/provide examples: c. ____ Minnesota group purchasers accept and adjudicate codes for services above and beyond Medicare's coding guidelines based on their coverage policies and member benefits. d. ____ Other Explain/provide examples:
3. Is the service related to a statute or rule? If yes, please list and provide a link.	
Yes ____	
No x	
4. Include all health care professional types who may provide or bill for this service?	
Licensed Mental Health Providers – MD, APRN, LICSW, LP (PHD), LP (Masters), MHW	
5. Is the service billed on an 837 Professional or 837 Institutional transaction? Check all that apply.	
837Px	For enrolled providers
837I x	For the program charge
6. Does the code(s) need to be time-based? If yes, please indicate billing increments.	
Yes ____	
No x	
7. What HCPCS/CPT code(s) and modifiers are you recommending for the following? Cite source and provide link	
HCPCS/CPT	S9480
Modifier(s)	
Place of Service	22 (for the I)

Level III. Name/description of service/issue:



Decision Tree Questions for Level III: TO BE COMPLETED BY MEDICAL CODE TAG

1. 837P or 837I?	
837P ____	If “837P,” then go to question 2.
837I ____	If “837I,” then go to question 5 below.
2. What are the HCPSC/CPT codes?	
HCPSC:	Cite source and provide link:
	Go to question 3
3. Are modifiers needed or applicable? Yes _____ No _____	
Modifier:	Cite source and provide link:
	Go to question 4
4. What is the place of service (POS)?	
POS:	Cite source and provide link:

Level III. Name/description of service/issue: _____

Decision Tree Questions for Level III:

5. 837I Inpatient or 837I Outpatient?	
Inpatient ____	If "Inpatient," then go to question 6 below.
Outpatient ____	If "Outpatient," then go to question 7 below.
Not Applicable ____	
6. What are the correct Inpatient Revenue Codes?	
Revenue code: _____	Cite source and provide link: _____

7. What are the correct Outpatient Revenue Codes?	
Revenue code: _____	Cite source and provide link: _____

8. What are the correct Outpatient HCPCS/CPT codes?	
HCPCS/CPT: _____	Cite source and provide link: _____
_____	Go to question 9
9. Are modifiers needed or applicable? Yes _____ No _____	
Modifier: _____	Cite source and provide link: _____

Summary of MCT findings and recommendations

Name/description of service/issue: _____

Level III findings

Is the finding to follow Medicare?

____ Yes (If yes, then stop. This is the finding/recommendation.)

____ No (If no, go to phase III findings.)

____ Other (Please see below)

Level III findings

Use the table below:

- If 837P go to Column A
- If 837I to Column B
 - o If 837I Inpatient, go to Column B1
 - o If 837I Outpatient, go Column B2

Summary of MCT findings and recommendations – Level III: **TO BE COMPLETED BY MEDICAL CODE TAG**

Name/description of service/issue: _____

Coding Decision Recommendation (N/A = not applicable)	<u>A</u> 837P	<u>B</u>	
		<u>B1</u> 837I Inpatient	<u>B2</u> 837I Outpatient
HCPCS/CPT Source/Link			
Modifier Source/Link			
Place of Service Source/Link			
Revenue Code Source/Link			
Type of Bill Source/Link			
Decision applies to (who provides services)			
TAG Review Date			
Reference to state or federal authority			
Other notes, comments, instructions (recommendation statement, including issue being addressed)			