



Doing Business As:

Parallel Financial
511 Rhett Street, Suite 2A
Greenville, SC 29601
864-385-7999

Parallel Financial
26 Parkway Commons Way
Greer, SC 29650
864-385-7999

Parallel Financial
916 E North 1st Street
Seneca, SC 29678
864-385-7999

Parallel Financial
149 W Main Street Suite B
Spartanburg, SC 29306
864-385-7999

Altum Wealth Alliance
5555 Glenridge Connector, Suite 200
Atlanta, GA 30342
404-984-2620

Echelon Financial Management
7004 Bee Cave Road, Building 3, Suite 210
Austin, TX 78746
512-381-4500

Creative Retirement Solutions Group
13854 Lakeside Circle, Suite 216 and 210
Sterling Heights, MI 48313
877-907-8625

Elm Wealth Advisors
100 East Town Place, Suite 101
St. Augustine, FL 32092
904-940-9555

Merrimack Wealth Management, LLC
461 Main Street
Tewksbury, MA 01876
978-851-4411

Stewardship Investments, Inc.
691 98th AVE N
Naples, FL 34108
218-420-0708

Stewardship Investments, Inc.
24414 Daniel Lake Dr.
Bagley, MN 56621
218-420-0708

Kingdom Wealth Management
624 West 21st Street
Houston, TX 77008
832-474-7381

Norris Lake Retirement Planning
316 East Main Street
Anoka, MN 55303
763-400-3200

www.fiduciaryalliance.org

Dated January 5, 2024

Form ADV Part 2B – Brochure Supplement

Brian Boughner
Managing Member
CRD# 4152328

Gina Rose Salamin
Investment Advisor
Representative
CRD# 2714163

Peyton Hoppes
Investment Advisor
Representative
CRD# 6848340

Robert Moses
Investment Advisor
Representative
CRD# 4132113

Joseph Nelson
Investment Advisor
Representative
CRD# 4637736

**Edgar Lawrence
McLean IV**
Investment Advisor
Representative
CRD# 5322814

Jonathan Taylor Dick
Investment Advisor
Representative
CRD# 7683195

Chris Balcerowiak
Investment Advisor
Representative
CRD# 1568743

Jay Jackson
Investment Advisor
Representative
CRD# 7660389

Anthony Mahfood
Managing Member
CRD# 2959577

Steven Tolleson
Investment Advisor
Representative
CRD# 6276568

Jordan Roberts
Investment Advisor
Representative
CRD# 6531315

Christopher Wilbratte
Investment Advisor
Representative
CRD# 2990339

Brian Dick
Investment Advisor
Representative
CRD# 5290334

Matthew James Myrick
Investment Advisor
Representative
CRD# 6908597

Tabitha Lundstrom
Investment Advisor
Representative
CRD# 6446482

Mary Sheahan
Investment Advisor
Representative
CRD# 7278381

Gregory Towner
Chief Investment Officer
CRD# 3262601

David Chudyk
Investment Advisor
Representative
CRD# 4606891

Susan Harvell
Investment Advisor
Representative
CRD# 4442833

Barry Dick
Investment Advisor
Representative
CRD# 2441904

Randall Neighbour
Investment Advisor
Representative
CRD# 6002976

Ted Erhart
Investment Advisor
Representative
CRD# 5426314

Matthew A. Keefe
Investment Advisor
Representative
CRD# 7780377

Anna F. Wolford
Investment Advisor
Representative
CRD# 7368855

This brochure supplement provides information about the above listed that supplements the Fiduciary Alliance LLC (“The Fiduciary Alliance”) brochure. A copy of that brochure precedes this supplement. Please contact Victoria Latham if the Fiduciary Alliance brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information is available on the SEC’s website at www.adviserinfo.sec.gov which can be found using their identification numbers (“CRD Number”).

Item 2: Educational Background and Business Experience

Brian Boughner, CFA®

Born: 1973

Educational Background

- 1994 – BS, Marketing, Florida State University

Business Experience

- 08/2016 – Present, Fiduciary Alliance LLC, Managing Member, Chief Compliance Officer
- 03/2013 – Present, Parallel Financial Partners, Principal
- 08/2008 – 03/2013, BB&T Asset Management, Portfolio Manager
- 01/2006 – 08/2008, Bank of America, Portfolio Manager

Anthony Mahfood

Born: 1974

Educational Background

- 2002 – MBA, Finance and Operations, University of Tennessee, Knoxville
- 1997 – BA, Communications and Business, John Carroll University

Business Experience

- 08/2016 – Present, Fiduciary Alliance LLC, Managing Member
- 03/2013 – Present, Parallel Financial Partners, Principal
- 01/2004 – 03/2013, BB&T Wealth Management, Wealth Advisor

Gregory Towner, CFA®

Born: 1972

Educational Background

- 1999 – MBA, University of Central Florida
- 1993 – BA, Sport Management with Minor in Business Administration, University of Mount Union

Business Experience

- 08/2016 – Present, Fiduciary Alliance, LLC, Chief Investment Officer
- 11/2014 – 08/2016, Lakeview Capital Partners, LLC, Portfolio Manager
- 12/2013 – 11/2014, Thoughtful Investments, LLC, Owner
- 08/2008 – 10/2013, Sterling Capital Management, Portfolio Manager

Jordan Roberts

Born: 1985

Educational Background

- 2014 – Juris Doctor, Charleston School of Law
- 2008 – BA, Biological Sciences & BA Modern Languages: Spanish, Clemson University

Business Experience

- 09/2020 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 06/2019 – 07/2020, UBS Financial Services, Registered Representative
- 06/2015 – 05/2019, Black Cypress Capital Management, General Counsel & Chief Compliance Officer

Gina Rose Salamin

Born: 1972

Educational Background

- 2022 – MBA, University of New South Wales, AGSM
- 1994 – BS, International Business, Messiah College,

Business Experience

- 06/2022 – Present, Fiduciary Alliance LLC, Trader/Analyst
- 08/2019 – 06/2022, Greenville County Schools, Math Teacher
- 10/2007 – 08/2019, Retired, Stay at Home Parent

Susan Harvell

Born: 1974

Educational Background

- 1997 – BA, Finance, Wofford College

Business Experience

- 09/2020 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 05/2016 – 08/2020, Foster Victor Wealth Management, Investment Specialist
- 10/2009 – 05/2016, Robert T Victor, Administrative Assistant
- 01/2006 – 08/2008, Bank of America, Portfolio Manager
- 05/2004 – 03/2009, Regions Bank, Branch Manager

Steve W. Tolleson

Born: 1983

Educational Background

- 2011 – BA, Business and Finance, University of South Carolina, Columbia

Business Experience

- 11/2016 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 07/2005 – 10/2016, Major League Baseball Player, various teams

David Chudyk

Born: 1973

Educational Background

- 1996 – BS, Interdisciplinary Studies, Coastal Carolina University

Business Experience

- 07/2022 – Present, All of My Assets, LLC, Owner
- 08/2019 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 03/2005 – Present, Nationwide Insurance, Agent
- 08/2008 – 07/2019, Nationwide Securities, Inc., Mass Transfer
- 04/2005 – 07/2019, Nationwide Securities, Registered Representative
- 04/2004 – 03/2005, New York Life Insurance Co, Agent

Christopher Wilbratte, CFA®

Born: 1967

Educational Background

- 1990 – BBA, Finance and Marketing, University of Texas at Austin

Business Experience

- 02/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 02/2021 – Present, Purshe Kaplan Sterling Investments, Registered Representative
- 10/2013 – Present, Quantum Pension Services Mgmt. Corp, Adviser
- 05/2013 – Present, Downing Morano CPAS, Partner
- 05/2013 – Present, Echelon Financial, Partner
- 12/1992 – Present, Quantum Pension Services Inc, Owner/Sales
- 01/2005 – 02/2021, GWN Securities, Registered Representative
- 09/2005 – 12/2016, C&B Wilbratte LP, Owner

Peyton Hoppes

Born: 1993

Educational Background

- 2016 – BS, Economics, Clemson University

Business Experience

- 10/2019 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 08/2017 – 08/2019, Ameriprise Financial Services, Registered Representative
- 04/2017 – 08/2018, Hughes Investment, Assistant Property Manager
- 03/2017 – 04/2018, Southern MEP, Electrician
- 10/2016 – 03/2017, Palmetto Athletics, Coach
- 05/2016 – 10/2016, Enterprise Rent-A-Car, Management Trainee
- 04/2013 – 05/2016, Carolina Cheer and Dance, Coach
- 04/2012 – 08/2014, Camp Highlander, Camp Counselor
- 11/2009 – 04/2012, Dickey's BBQ, Kitchen Staff

Barry Raymond Dick

Born: 1967

Educational Background

- 1989 – BS, Finance, Business Management Minor, Nichols College

Business Experience

- 03/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 12/2009 – Present, Dick Insurance Agency, Owner
- 02/2002 – Present, Merrimack Financial, Registered Representative
- 05/2007 – 03/2021, First Financial Advisors, Registered Representative

Robert Moses

Born: 1960

Educational Background

- 1983 – BA in Applied Science/Engineering, Miami University

Business Experience

- 11/2020 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 11/2020 – Present, Purshe Kaplan Sterling Investments, Registered Representative
- 06/2000 – 10/2020, Hornor Townsend and Kent, Inc, Registered Representative

Edgar Lawrence McLean IV

Born: 1984

Educational Background

- 2006 – BS, Religious Studies, Berean College, Jacksonville

Business Experience

- 03/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 03/2007 – 03/2021, First Financial Advisors, Registered Representative

Joseph Nelson, CFP®

Born: 1963

Educational Background

- 1993 – MBA, University of St. Thomas – Opus College of Business
- 1985 – BS, Biblical Studies & Business Mgt., Northwestern College

Business Experience

- 06/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 06/2021 – Present, Fortune Financial Services, Inc., Registered Representative
- 01/2007 – Present, Stewardship Investments, Inc., Owner
- 01/2007 – 06/2015, Sigma Financial, Registered Representative
- 03/1994 – 12/2002, Project Funding Coordinator, Wycliffe Bible Translators

Randall Neighbour, RICP®, APMA®

Born: 1962

Educational Background

- 1981– 82, Attended Northwestern Bible College

Business Experience

- 07/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 07/2021 – 07/2022, Fortune Financial Services, Inc., Registered Representative
- 06/2018 – Present, Kingdom Wealth Management, Owner
- 02/1990 – Present, Touch Outreach Ministries, President
- 03/2013 – 09/2018, Lincoln Financial Advisors, Registered Representative

Matthew James Myrick, CFP®

Born: 1991

Educational Background

- 2016 – MBA, Ohio Christian University
- 2013 – BS, Economics, Bemidji State University

Business Experience

- 06/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 07/2018 – 06/2021, SPC., Registered Representative
- 05/2018 – 06/2021, Sigma Financial, Registered Representative
- 02/2018 – Present, Stewardship Investments, Inc., Wealth Manager
- 06/2014 – 02/2018, Oaks Hills Christian College, Director of Financial Aid
- 06/2013 – 06/2014, Roger's Two-Way Radio, Sales Representative

Brian H. Dick

Born: 1967

Educational Background

- 1989 – BS, Business Administration, Nichol College

Business Experience

- 07/2021 – Present, Fiduciary Alliance LLC, Investment Adviser Representative
- 01/2009 – Present, Dick Insurance Agency, Co-Owner
- 09/2002 – Present, Merrimack Wealth Management, President
- 02/2022 – Present, Merrimack Wealth Management, Owner

Jonathan Taylor Dick

Born: 1994

Educational Background

- 2017 – BS, Pharmaceutical Sciences, University of Rhode Island

Business Experience

- 01/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative

- 01/2023 – Present, Merrimack Wealth Management, Financial Advisor
- 06/2020 – Present, Dick Insurance Agency, Account Executive
- 06/2020 – 01/2023, Merrimack Wealth Management, Account Executive
- 06/2018 – 05/2020, Lonza Biologics, QC Analyst II
- 12/2017 – 03/2018, Pharmaleucence, QC Analyst

Ted Erhart, CFP®

Born: 1984

Educational Background

- 2007 – BS, Finance, St. Cloud State University
- 2005 – AA, General Education, Anoka Ramsey Community College

Business Experience

- 02/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 02/2023 – Present, Norris Lake Retirement Planning, LLC, Founder/Owner
- 02/2010 – Present, Ted Erhart, Sole Proprietor, Owner
- 02/2018 – 02/2023, LaSalle Street Securities, LLC, Registered Representative
- 02/2018 – 02/2023, Trott Brook Financial, LLC, Investment Advisor Representative
- 11/2015 – 02/2023, Trott Brook Financial, Inc., Chief Investment Officer

Tabitha Lundstrom

Born: 1986

Educational Background

- 2008 – BSc, Psychology, Bemidji State University

Business Experience

- 04/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 08/2023 – Present, Stewardship Investments, Inc., Operations & Compliance Manager
- 02/2015 – 08/2023, Stewardship Investments, Inc., Administrative Assistant

Chris Balcerowiak, CFP®

Born: 1960

Educational Background

- 1985 – BS, Finance, Lawrence Technological University

Business Experience

- 05/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 12/2017 – Present, Creative Retirement Solutions Group, LLC, Founder/Owner
- 10/2009 – 05/2023, Ameriprise Financial Services, Financial Advisor Professional

Mary Sheahan

Born: 1992

Educational Background

- 2014 – BS, Spanish/Biology, Wayne State University

Business Experience

- 05/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 06/2020 – Present, Creative Retirement Solutions Group, Registered Staff
- 08/2017 – 06/2020, Holy Name Catholic School, Paraprofessional/Coordinator
- 06/2018 – 04/2019, Lindamood-Bell Learning Center, Tutor
- 09/2016– 09/2017, St. Lucy Catholic Church, Receptionist
- 01/2015 – 03/2017, Divine Mercy Center, Ministry Assistant

Matthew A. Keefe

Born: 1994

Educational Background

- 2012-16 – Attended Rivier University

Business Experience

- 07/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 01/2020 – Present, Merrimack Wealth Management, Financial Advisor
- 01/2020 – Present, Dick Insurance Agency, Account Executive
- 06/2016– 01/2020, Play Ball, LLC, Director of Operations

Anna F. Wolford, CFP®

Born: 1992

Educational Background

- 2013 – BA, Foreign Languages, George Mason University

Business Experience

- 07/2023 – Present, Fiduciary Alliance LLC, Investment Advisor Representative
- 07/2023 – Present, Parallel Financial LLC, Associated Financial Advisor
- 03/2023 – 07/2023, Unemployed
- 11/2021 – 02/2023, Syntrinsic Investment Counsel, Associate Consultant
- 03/2017 – 12/2020, CDA Group, Paraplanner

Jay Jackson

Born: 1977

Educational Background

- 2000 – BS, Mechanical Engineering, Texas Tech University
- 1998 – AS, Engineering, Amarillo College

Business Experience

- 01/2024 – Present, Fiduciary Alliance LLC, Investment Advisor Representative

- 11/2022 – 01/2024, PFS Investment Inc., Investment Advisor Representative
- 09/2022 – 01/2024, Primerica Financial Services, Financial Representative
- 12/2022 – 04/2023, Jackson Hewitt Tax Service, Tax Preparer
- 03/2022 – 01/2023, Self-Employed Financial Coach, Financial Coach
- 04/2022 – 08/2022, Rethink Wealth, Financial Representative
- 06/2000 – 02/2022, Shell Oil Company, Engineer

Professional Designations, Licensing & Exams

Chartered Financial Analyst® (CFA®): The CFA® Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. It is designed to prepare charterholders for a wide range of investment specialties that apply in every market all over the world. To earn a CFA® charter, applicants study for three exams (Levels I, II, III) using an assigned curriculum. Upon passing all three exams and meeting the professional and ethical requirements, they are awarded a charter.

CFP® (Certified Financial Planner®): CFP® certificants must have a minimum of three years' workplace experience in financial planning and develop their theoretical and practical financial planning knowledge by completing a comprehensive course of study approved by CFP® Board. They must pass a comprehensive 2-day, 10-hour CFP® Certification Examination that tests their ability to apply financial planning knowledge in an integrated format. As a final step to certification, CFP® practitioners agree to abide by a strict code of professional conduct.

CDFA® (Certified Divorce Financial Analyst®): CDFA® certificants must have three years of professional experience in finance or divorce and a bachelor's degree. They must pass four certification exams (online, proctored, closed book). They are required to take 15 divorce-related hours of continuing education every two years.

RICP® (Retired Income Certified Professional®): RICP® Three years' experience in financial planning or a related profession before you can use the RICP® designation. Participation in the annual Professional Recertification Program (PRP) is required to maintain the designation.

APMA® (Accredited Portfolio Management Advisor®): APMA® 11 module focusing on program concepts. Online instructor-led graduate course involving direct application of program topics. 16 hours of continuing education every two years is required.

Item 3: Disciplinary Information

We have never been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Anthony Mahfood, Steve Tolleson, Susan Harvell, David Chudyk, Peyton Hoppes, Jordan Roberts, Anna Wolford, Randall Neighbour, Joseph Nelson, Christopher Wilbratte, Robert Moses, Brian Dick, Barry Dick, Jonathan Dick, Ted Erhart, Chris Balcerowiak, Jay Jackson and Matthew A. Keefe are licensed to sell life and health insurance and may engage in product sales with our clients, for which they will receive additional compensation. Any commissions received through life or health insurance sales do not offset advisory fees the client may pay for advisory services under The Fiduciary Alliance.

David Chudyk is appointed with Nationwide Insurance Companies and their affiliates to sell fixed insurance/annuity products as well as property/casualty insurance. Mr. Chudyk is also the sole owner of The David Chudyk Agency, acting as an insurance agent. Any commissions received through this business do not offset advisory fees the client may pay for advisory services under The Fiduciary Alliance.

Mr. Chudyk also works as a Board member/Secretary at Crime Stoppers of Oconee County.

Mr. Chudyk is the owner of All Of My Assets, LLC, a business valuation and consulting company. Chris Wilbratte and Robert Moses are both Registered Representatives with Purshe Kaplan Sterling Investments.

Mr. Wilbratte is also an owner in Quantum Pension Services Management Corp and Quantum Pension Services.

Mr. Wilbratte is a Partner with Osborn Morano CPAS as well as Echelon Financial. Mr. Wilbratte also does tax preparation work at Echelon Financial.

Mr. Wilbratte is on the board of the Rise School of Austin and a founding member of the Austin Round Table.

Joseph Nelson is a Registered Representative with Fortune Financial.

Barry Dick and Brian Dick are co-owners of Dick Insurance Agency and is involved in Property & Casualty and Life Sales.

Randall Neighbour is the President and Chairman of Touch Outreach Ministries.

Peyton Hoppes is on the board of directors for the National Wild Turkey Federation General and the Spartan West Rotary Club. He is also an owner of L. Well Ventures.

Ted Erhart is the owner of Norris Lake Retirement Planning, a firm through which he runs his advisory business.

Jay Jackson is a seasonal tax preparer.

Item 5: Additional Compensation

We do not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through The Fiduciary Alliance LLC.

Item 6: Supervision

Victoria Latham as Chief Compliance Officer of The Fiduciary Alliance LLC, is responsible for supervision. She may be contacted at 864-385-7999.