Haven FinancialNetwork

CLIENT QUALIFICATION FORM

Name: ____________________
DOB: __________ Age: ________
SSN: ____________________
Gov. ID: ____________________
Occupation: ____________________
Monthly Income: ____________________
Life Ins: ____________________
401(k)/457/Ira: ____________________
Investments: ____________________

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DOB: __________ Age: ________
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Investments: ____________________

Mortgage Information

Lender: ____________________ Term: ______________ Payment: ______________
Loan Amount: ______________ Interest: ____________________

HEALTH

Height: __________ Weight: __________
Tobacco User? Y / N
Medication: ____________________

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Surgeries: ____________________
Hospital visits: ____________________
Physician: ____________________

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Tobacco User? Y / N
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Surgeries: ____________________
Hospital visits: ____________________
Physician: ____________________

Blood Pressure, Heart Attack, Stroke, Stent, TIA, Kidney Dz, Cancer, COPD, Oxygen, Alcohol, Asthma, Diabetes (Pills/Insulin), Neuropathy, Liver Dz, Cholesterol, DUI/Drugs, CHF, Dementia

Beneficiary: ____________________
DOB: ____________________
Medicare Adv Plan: ____________________
Premium: ____________________
Medicare Supp Plan: ____________________
Premium: ____________________

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DOB: ____________________
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CLIENT QUALIFICATION SURVEY

OPTION #1	OPTION #2	OPTION #3

If still working but have an old 401(k)/457/403b, over the age of 59½, or Retired...

Has your current advisor gone over exactly what your average rate of return is over the past 2 years? What is it approximately?

Can you tell me what are you paying in fees every year? Is it areound 2-3%?

If you're paying way more in fees every year than the average investor, would you want to know?

On a scale of 1-10 can you tell me how much you know about your portfolio? Ten being that you know everything about your investment from the fees, exact fee structure, and how much income it will generate, and one being you open your statement look at the bottom line and put it away, what would your number be?

If They Have Any Debt...

If your Mortgage was paid off would retirement be more comfortable?

If you had no debt, do you think you could retire sooner?

"I'm are more than a broker that strictly offers one product; we have Medicare, Life, Debt Free Life and tax- free retirement income as well.
Our retirement team helps educate our clients -- instead of being a 2 or 3, we want you to be closer to a 10. This way you can really know how your account works and we can compare it to other similar accounts like yours out there.
I can set up a 30 min meeting with one of our retirement specialists next week around the same time, does that work for you? I just need your most recent statement with the account number blacked out.