

How Broad-Brush Risk Assessments Reveal True Critical Risks

Christian Young – CEO

Tell us about you

Where are you watching from?

Rename to full name

What's your experience with Broad Brush Risk Assessment?

- 0: None > 10: I live and breathe them

Turn on video

How Broad Brush Risk Assessments Reveal True Critical Risks

Christian Young – CEO

3 Gets



Get your
tools

Get rid of
distractions

Get in state

Broad Brush Risk Assessment (BBRA)

- The BBRA looks across an entire site/business to identify risks, controls and prioritise them.
- Identifies Critical Risks
- It's the gateway to the Critical Risk Management Process
- WRAC template most common
- BBRA Vs BLRA?

Geographic Area	Hazard \ Risk Source	Classification	Hazard \ Risk Source	Description	Release Mechanism	Unwanted Event	Description of Controls	Minimum Unwanted Event (with no controls)	Material Unwanted Event (with no controls)	Functional Unwanted Event	Current Controls	Likelihood of the Event (given current controls)	Consequence Types (given current controls)						Max Risk
													DE	IR	IRH	IRL	IRH	IRL	
Underground	Equipment	Mechanical (Mobile)	Underground Mobile Equipment	Single Vehicle Incident (followed by uncontrolled movement, contact with infrastructure, contact with other vehicles)	Single Vehicle Incident (followed by uncontrolled movement, contact with infrastructure, contact with other vehicles)	Loss of control of equipment	Control of equipment	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan TM & 20 - Underground Traffic Management Plan	IR: Likely CE: 100							24 (E)
Surface	2nd Floor Mobile Equipment	Mechanical (Mobile)	2nd Floor Mobile Equipment	Single Vehicle Incident (followed by uncontrolled movement, contact with infrastructure, contact with other vehicles)	Single Vehicle Incident (followed by uncontrolled movement, contact with infrastructure, contact with other vehicles)	Loss of control of equipment	Control of equipment	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan TM & 20 - Autonomous Equipment Management Plan	IR: Likely CE: 100							24 (E)
Offsite	Offsite vehicle incident	Mechanical (Mobile)	Offsite vehicle incident	Incident, vehicle collision, impact, vehicle collision	Incident, vehicle collision, impact, vehicle collision	Loss of control of vehicle	Control of vehicle	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan	IR: Likely CE: 100							24 (E)
Whole of Site	Whole of Site	Mechanical (Fixed)	Whole of Site	Process plant structure failure, tank failure, conveyor structure failure, tank failure, conveyor structure failure, tank failure	Process plant structure failure, tank failure, conveyor structure failure, tank failure	Loss of control of structure	Control of structure	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan	IR: Likely CE: 100							24 (E)
Whole of Site	Whole of Site	Aviation	Aviation	On site incident, offsite incident, aircraft collision, aircraft collision, aircraft collision	On site incident, offsite incident, aircraft collision, aircraft collision, aircraft collision	Loss of control of aircraft	Control of aircraft	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan	IR: Likely CE: 100							24 (E)
Whole of Site	Whole of Site	Confined Space	Confined Space	Confined space, confined space, confined space	Confined space, confined space, confined space	Loss of control of confined space	Control of confined space	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan	IR: Likely CE: 100							24 (E)
Whole of Site	Whole of Site	Pressure \ Explosion	Pressure \ Explosion	Explosion, explosion, explosion	Explosion, explosion, explosion	Loss of control of explosion	Control of explosion	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan	IR: Likely CE: 100							24 (E)
Surface	Surface Fire	Fire	Surface Fire	Surface fire, surface fire, surface fire	Surface fire, surface fire, surface fire	Loss of control of surface fire	Control of surface fire	CE: 100	Yes	Open Pit Mining	Corporate - Major Hazard Management Standard Regional - Function Mining Hazard Management Standard TM & 20 - Access Areas Mobile Equipment PHMP TM & 20 - Traffic Management Plan	IR: Likely CE: 100							24 (E)

What's the impact if you have no BBRA or a deficient BBRA?

Where are we with BBRA?



What's The Problem?



How do we know
this to be true?



My Promise



I help you save lives at work

BHP

 **AngloAmerican**

Teck **GLENCORE**

 **NEWCREST**
MINING LIMITED

 **OCEANA**
GOLD

 **AMPOL**

 **stanmore**

 **fitzroy**

 **ANGLOGOLD**
ASHANTI

 **SOUTH32**

Peabody
ENERGY

MMG

 **KESTREL**
COAL
RESOURCES

 **Aeris**
RESOURCES

 **YANCOAL**
兗煤澳大利亚有限公司

 **sojitz**

 **Resources Safety & Health**
Queensland

 **Ensham**
RESOURCES

Coronado 

 **OZ**
MINERALS

 **Mitchell**
SERVICES

My style

UMM!!!!

Shorten it to “CCM”

We’ll move fast

I need you



Content

3 secrets

Q&A

My all won't be enough



Stick around

Slides

This Recoding

Free bonus?

What's the hardest part about getting BBRA's right in your business or from your experience?

1. Separate the wheat from the chaff

Clarify the level of BBRA risks



Imagine if

**Does this
sound
familiar?**

- Too many line items
- Uncertainty on what risks live in the BBRA
- Overwhelmed by BBRA size
- BBRA does not link to rest of Risk Management System

**Wouldn't it
be nice if**



You get clarity on BBRA
content



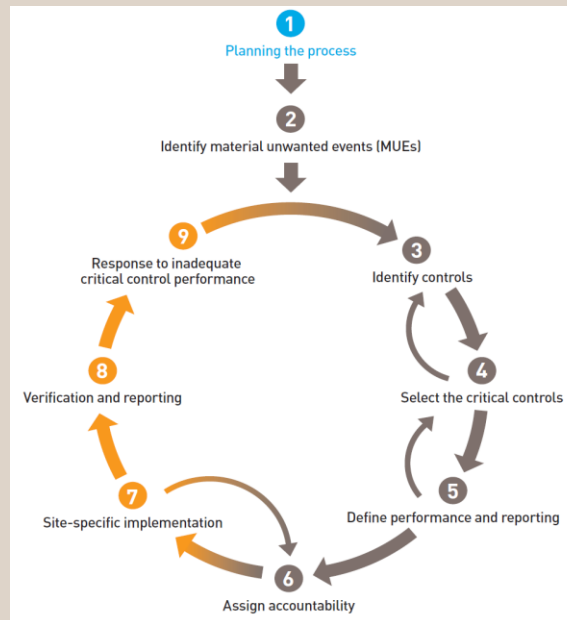
Your BBRA contained only the
most important risks for your
business



The BBRA integrated with the
Risk Management system

All Risks

BBRA Risks



Materiality Criteria

The consequence criteria that meets a certain threshold

Material Consequences

will be included within the BBRA

Materiality Threshold

Immaterial Consequences

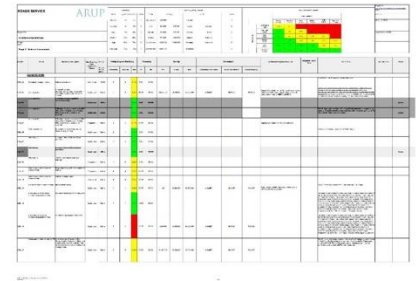
will not be included within the BBRA
Managed via other Risk Management (WRAC, JSA, SLAM)

	Health & Safety	Environment	Financial Impact	Image & Reputation / Community	Legal & Compliance
5 Catastrophic	<ul style="list-style-type: none"> Multiple fatalities (5 or more fatalities in a single incident) Multiple cases (5 or more) of Permanent Damage Injuries or Diseases that result in permanent disabilities in a single incident 	<ul style="list-style-type: none"> Unconfined and widespread Environmental damage or effect (permanent; >10 years) Requires major remediation 	<ul style="list-style-type: none"> >\$600M investment return >\$100M operating profit >\$20M property damage 	<ul style="list-style-type: none"> Loss of multiple major customers or large proportion of sales contracts Security incident resulting in multiple fatalities or major equipment damage Formal expression of significant dissatisfaction by government Grievance from internal or external stakeholder alleging human rights violation resulting in multiple fatalities 	<ul style="list-style-type: none"> Major litigation / prosecution at corporate level Nationalisation / loss of licence to operate
4 Major	<ul style="list-style-type: none"> Single incident resulting in: Less than 5 Fatalities Permanent Damage Injury or Disease that results in a permanent disability- less than 5 cases in a single incident 	<ul style="list-style-type: none"> Long-term (2 to 10 years) impact Requires significant remediation 	<ul style="list-style-type: none"> \$60-600M investment return \$20-100M operating profit \$2-20M property damage 	<ul style="list-style-type: none"> Security/ stakeholder incident resulting in single loss of life or equipment damage Topic of broad societal concern and criticism Negative media coverage at international level Complaints from multiple "final" customers Loss of major customer Negative impact on share price 	<ul style="list-style-type: none"> Major litigation / prosecution at Department level
3 Moderate	<ul style="list-style-type: none"> Lost Time Injury (LTI) Lost Time Disease (LTD) Permanent Disabling Injury (PDI) Permanent Disabling Disease (PDD) Single incident that results in multiple medical treatments 	<ul style="list-style-type: none"> Medium-term (<2 years) impact (typically within a year) Requires moderate remediation 	<ul style="list-style-type: none"> \$6-60M investment return \$2-20M operating profit \$200K-2M property damage 	<ul style="list-style-type: none"> Negative media coverage at national level over more than one day Complaint from a "final" customer Off-spec product Local Stakeholder action resulting in national societal scrutiny 	<ul style="list-style-type: none"> Major litigation / prosecution at Operation level
2 Minor	<ul style="list-style-type: none"> Medical Treatment Injury (MTI) Medical Treatment Disease (MTD) Restricted Work Injury (RWI) Restricted Work Disease (RWD) 	<ul style="list-style-type: none"> Near source Short-term impact (typically <week) Requires minor remediation 	<ul style="list-style-type: none"> \$600K-6M investment return \$200K-2M operating profit \$10-200K property damage 	<ul style="list-style-type: none"> Negative local/ regional media coverage Complaint received from an internal or external stakeholder 	<ul style="list-style-type: none"> Regulation breaches resulting in fine or litigation
1 Negligible	<ul style="list-style-type: none"> First Aid Injury (FAI) or illness (not considered disease or disorder) 	<ul style="list-style-type: none"> Near source and confined No lasting environmental damage or effect (typically <day) Requires minor or no remediation 	<ul style="list-style-type: none"> <\$600K investment return <\$200K operating profit <\$10K property damage 	<ul style="list-style-type: none"> Negligible media interest 	<ul style="list-style-type: none"> Regulation breaches without fine or litigation

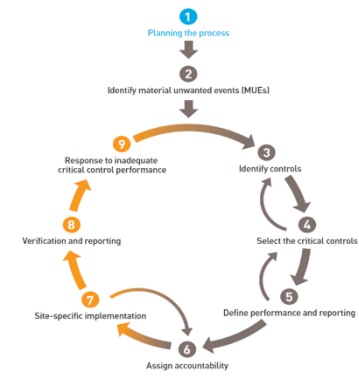
All Risks

BBRA Risks

Critical Risks



A screenshot of an ARUP Risk Register. It is a complex table with multiple columns including Risk ID, Description, Category, Severity, Likelihood, and Status. A small heatmap is visible in the top right corner of the table area.



50%



Self Assessment

- Provide a rating of 1 to 10 on whether you have clearly defined and utilised Materiality Criteria.



Using Materiality Criteria to identify hazards is one of the secrets to your BBRA revealing your True Critical Risks

2. Assess your business processes

Identify and review your business processes



Imagine if



**Does this
sound
familiar?**

- Focus on hazard checklists and historical risks to identify BBRA risks
- Uncertainty if the BBRA covers all operational processes

How can you perform an effective Risk Assessment if you don't know the process you are analysing?

**Wouldn't it be
nice if**



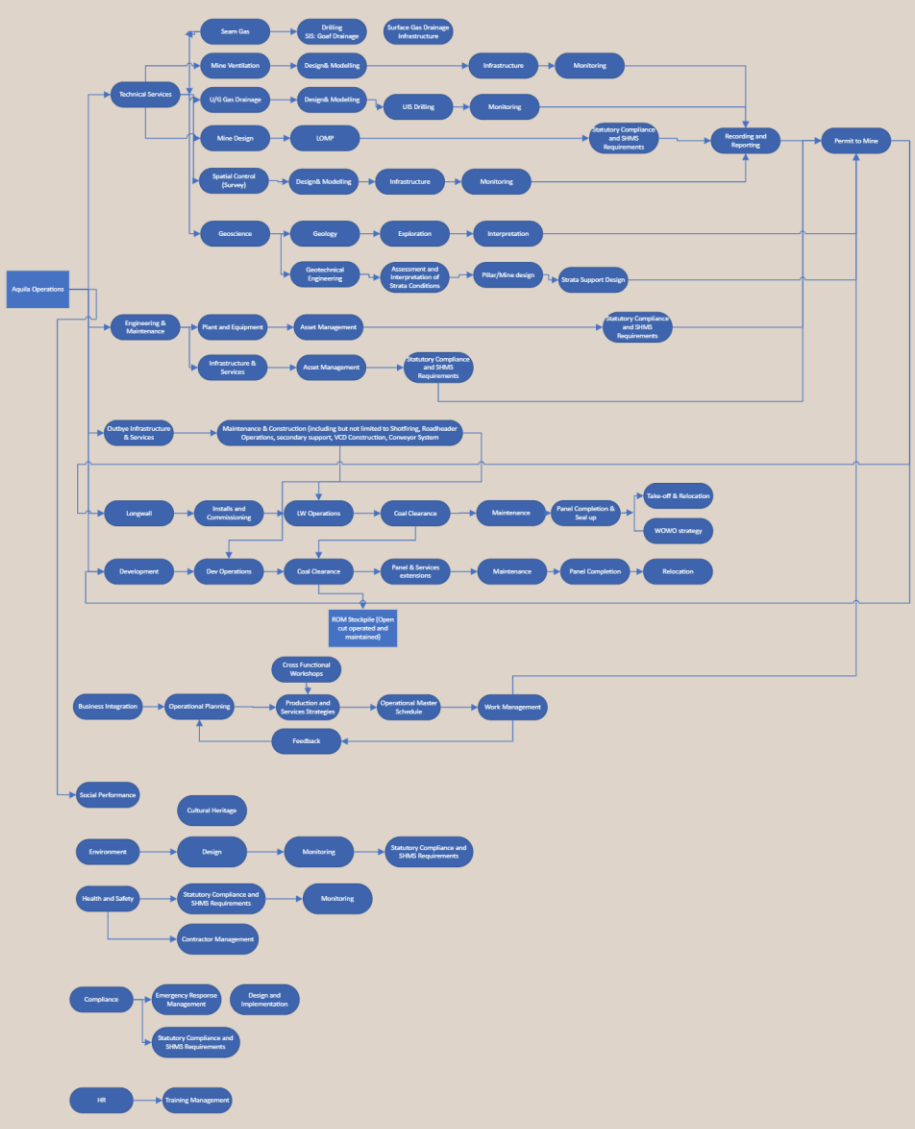
You were certain your BBRA
covered all operational
processes

Define your processes

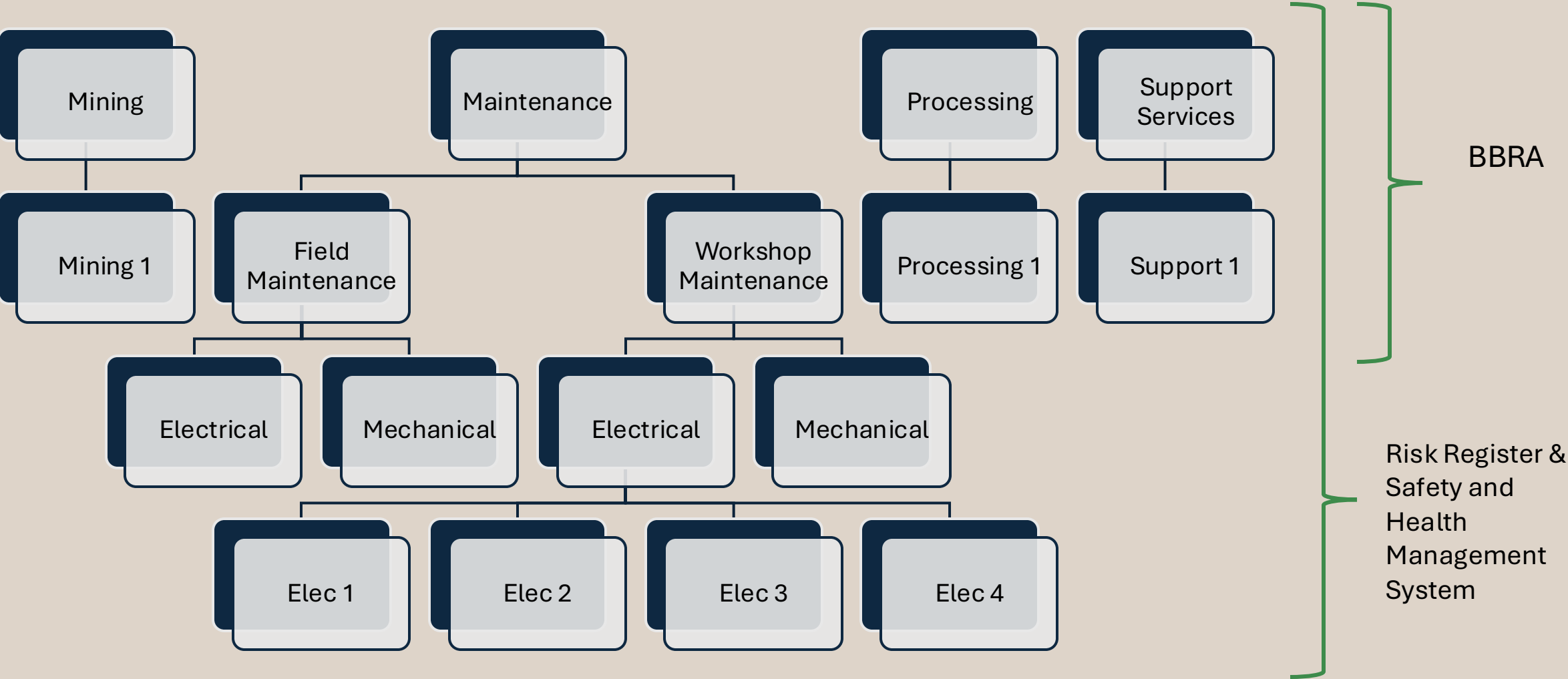
Table View

Process Map		
Process	Sub-Process	Element
Mine Establishment	Exploration	Drilling & Coring Surface boreholes
		Seismic Surveys
		Inseam Drilling
Mine Design	UG Mine Plan	Geological Modelling
		Intergration with Open cut
		Changing plans and schedules
	Water Management	UG water storages
		Mining down dip/beam
		Water Courses
	Strata Control	Impoundment / Storage / UG aquifers
		Culverts / Crossings
		Levee / Bunds / Diversions
		Water make (openout catchment)
		UG in proximity to Highwall Mining
		Road Stability
		Pillar Stability
		Rib Stability
		Barrier Pillar Stability
		Panel Stability
		Portal Stability
		Shaft stability
		UG in proximity to Highwall Mining
		Highwall stability
		Low wall stability
	Gas Drainage	Unsuported Places
		TBD
		Ventilation (road size, etc.)
	Gas Management	Pressure
		Resistance
		Fan Size
	Ventilation Design	Ventilation Capacity
		Heat Management
		Atmospheric Contaminants
	Spontaneous Combustion	pressure differential, void management
		Traffic Management
		Boreholes
	Interaction with other services	Underground workings / ventilation shafts
		multi-seam mining
		Subsidence
		Intersecting gas drainage holes, etc.
		Interaction with Opencut activities
		Interaction with Auger activities
Mine Operation	Underground Construction	Conveyors
		Ventilation Services
		Roadway Construction
		Roadway Maintenance
		Sumps and Pumps
		UG Maintenance Facilities (refuelling pods, etc.)
	Surface Construction	Power
		Compacted Fill management (floor)
		Highwall support
		Dust Management
		Stockpile
		Conveyors
		Main Fans
		Hardstand
		MIA
		Water Tanks
		Road Maintenance
		Traffic Management
		Transport / unloading of heavy equipment to site
		Radiation Management
		Lasers
	Main Roads Development	Strata Control
		Ventilation
		Auxiliary Fan Operation
		Ventilation Control Devices
		Gas monitoring and management
		Environmental Monitoring (dust, noise, DPM)
		Mine Services
		Dewatering
		Coal Clearance
		Transport
		Inspections
		Cut & Fill
		In place Mining
		Road header operations
		Stone dusting (including returns)
		Stopping Installations
		Panel Extensions
		Outburst
		Equip't Servicing / Repairs
		Supplies & Transport
		Dust suppression
		Stoneworks
		Fault Management

Flow Diagram View



Find the right level



How to use

- As a cross reference during BBRA Front End loading
- During the session by asking for each process step – “are there any hazards that meet our materiality criteria when performing this activity?”
- Suggest using as a cross reference as opposed to primary BBRA driver.

30

Perform the same level of
assessment for the Geographic area
also

Pro Tip



Self Assessment

- Provide a rating of 1 to 10 on how effective your BBRA process is at identifying all operational activities and geographic areas and using this within the BBRA process.



**Developing and using a process
map is a secret to your BBRA
revealing your True Critical Risks**

Stay above the waterline

Focus on the right level of
hazards and controls





**It's gone
offtrack**

**Does this
sound
familiar?**



- BBRA controls include system controls down to 'on the job on the day' controls.
- BBRA identifies hazard causation
- BBRA Performs control effectiveness assessments
- BBRA performs duplicate risk analysis of subordinate RA's

**Wouldn't it
be nice if**



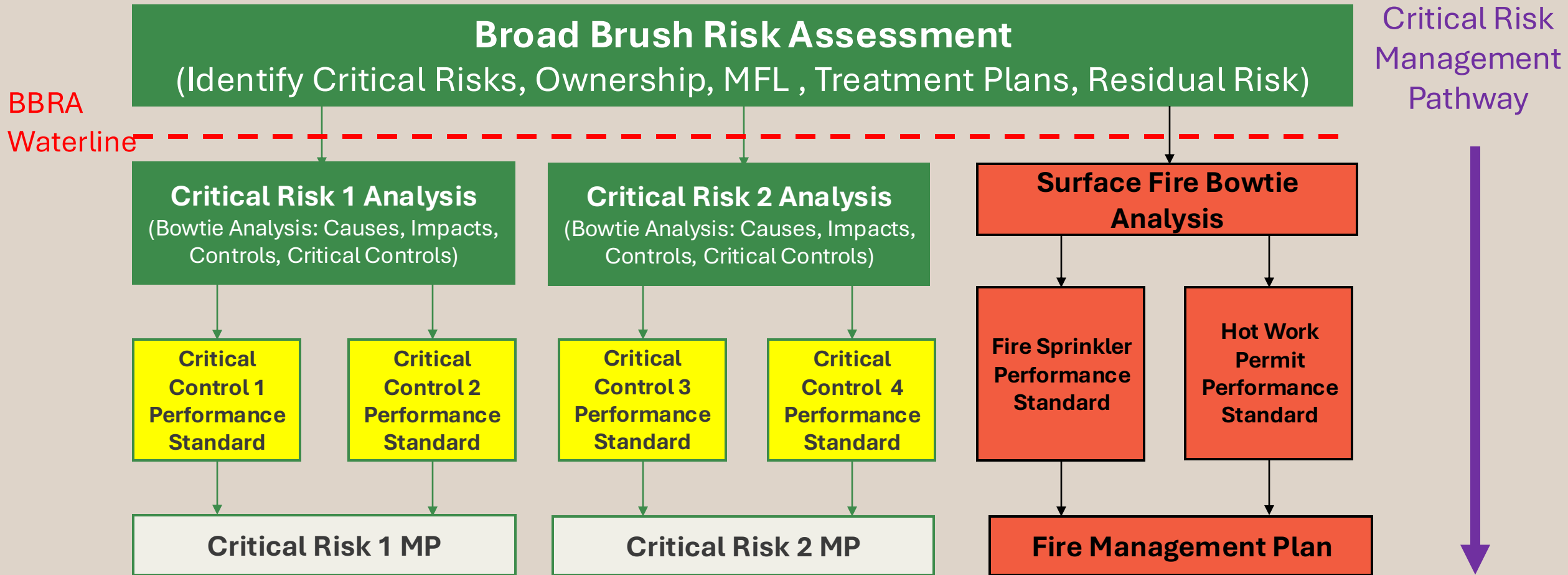
The BBRA identified the right
level of controls



The BBRA integrated with the
overall Risk Management
Framework

The purpose of the BBRA is to identify key hazards and prioritise them.

BBRA and the CRM Framework







Get the BBRA columns right

Columns that go below the waterline

Process	Unwanted Event	Causes	Existing Controls	Critical Control?	Control Effectiveness Assessment	Consequence	Likelihood	Risk Rating	Additional Control	Who / When
Underground Workshop	Fire in the U/G workshop	Hot works Mobile equipment failure Hazardous Substances Electrical fire	Fire Suppression systems	Y		Level 5	Unlikely	25H		
			Hot Work Permit	N						
			Haz Substance Storage Requirements	N						
			Mobile Equipment Maintenance	N						
			Daily Area Inspection	N						
			Fire Extinguishers	Y						



Columns that go above the waterline

Process	Unwant ed Event	Maximum Foreseea ble Loss (without controls)	Critical Risk?	Treatment Strategies	Consequence	Likelihood	Risk Rating	Additional Control	Who / When
Undergro und Worksho p	Fire in the U/G worksh op	4 	Yes 	Management Plan – Underground Fire 	Level 5	Unlikely	25H		
				Bowtie – Underground Fire 					

Pro Tip

- Controls at system level (e.g. SHMS documents, Maintenance Program, etc).
- Should be no risk analysis or control analysis

260



60

Self Assessment

- Provide a rating of 1 to 10 on how effective your BBRA is at staying above the waterline?

Another decision

Getting your columns and level of controls right is a secret to your BBRA revealing your True Critical Risks

Using Materiality Criteria to identify hazards is one of the secrets to your BBRA revealing your True Critical Risks

**Developing and using a process map
is a secret to your BBRA revealing
your True Critical Risks**

Getting your columns and level of controls right is a secret to your BBRA revealing your True Critical Risks

What has been your biggest “ah-hah” moment?





The obvious question

How do I do it?



**Slow or
Fast**

Trial and Error Vs system

Help is here

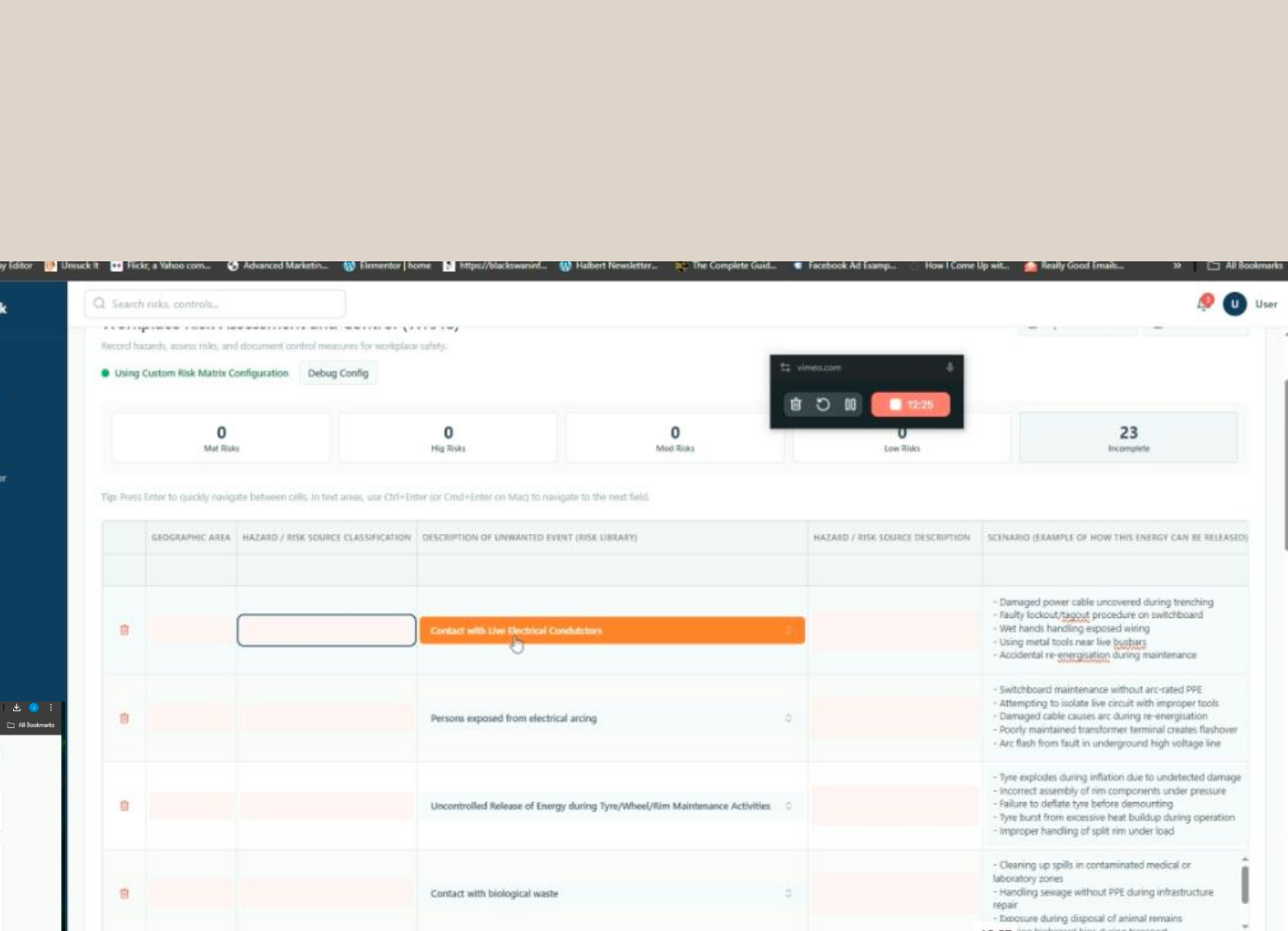
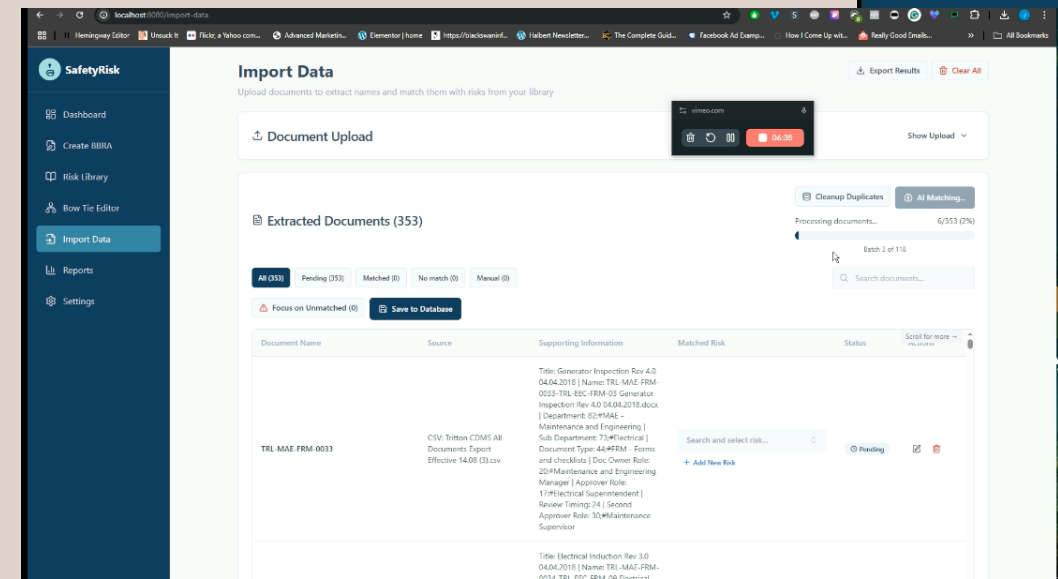
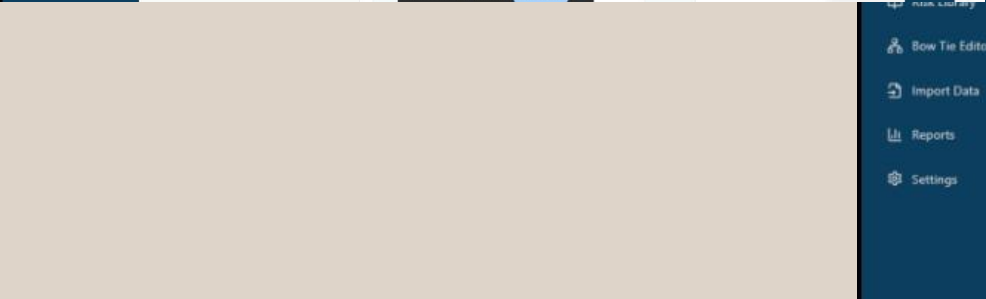
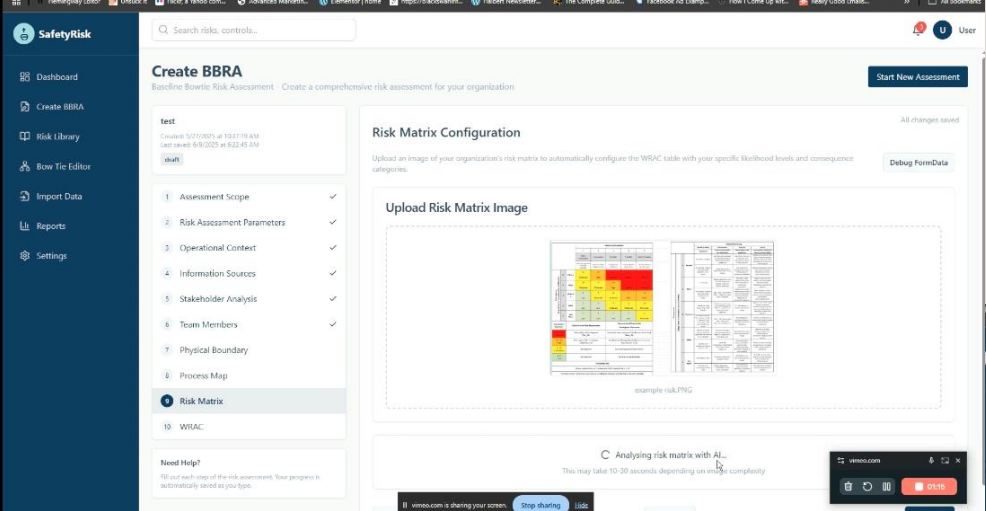


Free trial of BBRA AI
Platform

It's not for everyone



Save lives at work



Benefits



Automated gap analysis of your SHMS



Don't pay \$20K for external consultants



Cross reference organisation data with our Impress Library



Identify low hanging fruit that can make meaningful change.

Only offered for first 5 organisations



2 Day Critical Risk Management Masterclass (Virtual)

In this two-day intensive course, we will provide you with the practical skills and knowledge to develop and implement a robust Critical Risk Management (CRM) program for your mine site.



The Future of CRM	Industry trends and future developments
Elements of a CRM	Key components of a successful CRM program
Identification of Critical Risks	Developing and delivering a leading Baseline Risk Assessment
Analysis of Material Critical Risks	Bowtie Analysis, Layers of Protection Analysis, Identification of Controls and Critical Controls
Critical Control Performance Standards	Developing statistically significant verification processes
Site Implementation	Ensuring successful and sustainable CRM implementation
Verification, Reporting, and Response	Effective CRM reporting and response strategies

Why this course?

- Content includes; Critical Controls, Bowtie Analysis, Broad Brush Risk Assessment, Critical Control Performance Standards
- CRM templates included
- Real world industry case studies
- Hands-On Learning: Participate in interactive sessions and practical activities
- Ensure a long-term success and compliance of your CRM program
- Content can be applied across any industry.
- Earn credit for the QLD Mining industry CPD program



Satisfaction Guarantee

- We're so confident in the transformative power of our Master Class that we offer an unmatched satisfaction guarantee.
- If you've fully participated in the Masterclass, completed all coursework, and still feel that it hasn't delivered exceptional value, we will not only provide you with a full refund but also allow you to keep all course resources.
- Our commitment is to your absolute satisfaction and empowerment in mining safety – without any risk to you.



Free Copy of BBRA Template

Geographic Area	Hazard / Risk Source Categorization	Hazard / Risk Source Description	Release Mechanism Examples (how the energy can be released) (uncontrolled events)	Description of Unintended Event	Maximum Unconsequence Event (with NoC)	Material Conversion Operations	Current Controls	Likelihood of the Event (given current controls)							Max Rank
								Consequence Types (given current controls)							
								H&E	(I)	(A)	(R)	(L)	(D)	(C)	
Underground	Mechanical (Mobile)	Underground Mobile Equipment	Single Vehicle Incident (rollover, runaway, uncontrolled movement, rear thrusting vehicle incident, multiple vehicles incident, vehicle collision), pedestrian strike	Loss of control of mobile equipment (underground)	C-E-EX	Yes	Operator - Major Hazard Management Standard Regional - Principal Mining Hazard Management Standard TM & SD - Areas where mobile equipment operates PHMP TM & SD - Traffic Management Plan TM & SD - Underground Traffic Management Plan	Likely	Likely	C-E-EX					34 (E)
Surface	Mechanical (Mobile)	Surface Mobile Equipment	Single Vehicle Incident (rollover, runaway, uncontrolled movement, contact with infrastructure, contact with multiple vehicles incident, vehicle collision), pedestrian strike, push or shunt SD - Multiple vehicles causing public access shut Autonomous drill interaction or uncontrolled ball movement	Loss of control of mobile equipment (surface)	C-E-EX	Yes	Operator - Major Hazard Management Standard Regional - Principal Mining Hazard Management Standard TM & SD - Areas where mobile equipment operates PHMP TM & SD - Traffic Management Plan SD - Autonomous Equipment Management Plan	Likely	Likely	C-E-EX					34 (E)
Offshore	Mechanical (Mobile)	Offshore vehicle incidents	Incident vehicle collision, runaway incident, single vehicle	Loss of control of vehicle offsite	C-E-EX	Yes	Operator - Major Hazard Management Standard Regional - Principal Mining Hazard Management Standard SD - Offsite Runway Management and Remote Area Access Procedure TM - Drive in and Drive Out Procedure	Likely	Likely	C-E-EX					34 (E)
Worlife of Site	Mechanical (Fixed)	Collapse of Structure	Structural failure, failure of concrete foundations	Collapse of structure	C-E-EX	Yes	Chief Engineer TM - TBM scaffolding Management Plan SD - Scaffolding Permit Procedure Third Party Annual Structural Integrity Audit	Unlikely	Unlikely	C-E-EX					33 (E)
Worlife of Site	Vibration	Vibration Incident	No site incident, offsite incident, Downer, helicopter operation, character flight, underground drones	Airvision incident	C-E-EX	Yes	Done Zone 1 - Vibration Management Plan TM - done SHMS documents BA MT - Aerodrome Manual TM - Aerodrome Safety Management System SD - Drone BFA Flying Guidelines SD - Aerodrome Safety Management System Cop - AADA Remotely Piloted Aircraft Cop - AADA Aviation Procedures	Very Unlikely	Very Unlikely	C-E-EX					30 (M)
Worlife of Site	Confined Spaces	Confined Spaces	Tank, drums, pit, mobile plant spaces, atmospheric	Exposure to toxic or flammable atmosphere in confined space	C-E-EX	Yes	Manager SD - PLW permits to work TM - Permit to Work and isolation procedure SD - WFL - Confined Entry procedure TM - Confined Space Procedure	Very Unlikely	Very Unlikely	C-E-EX					30 (M)
Worlife of Site	Pneumatic / Explosions	Explosion (not from Hot Work)	Filmstrip Bar, Bottled gas O2 Plant,	Explosion (not from Explosives)	C-E-EX	Yes	H&E Manager TM - Fire Explosions PHMP SD - Fire Explosions PHMP Regional - Principal Mining Hazard Management Standard Operator - Major Hazard Management Standard	Very Unlikely	Very Unlikely	C-E-EX					30 (M)
Surface	Fire	Surface Fire	Bonded material (e.g. polypropylene), fire truck, fire tank, hot surface equipment, fire tank	Surface fire	C-E-Maj	Yes	Operator - Major Hazard Management Standard Regional - Principal Mining Hazard Management Standard TM - Fire Explosions PHMP SD - Fire Protection Systems Implementation Procedure SD - Fire Protection Systems Implementation Procedure	Very Unlikely	Major	C-E-EX					33 (M)



Services we provide

- Done by you (we set you up for success)
 - CRM training for CRM Specialists, Risk Owners, Front Line Supervisors
 - Critical Risk Management Audits
- Done with you (You do it with our help)
 - Critical Risk Management Advisory Services
 - We draft the documents, you take them through to completion
- Done for you (we take care of it for you)
 - Critical Risk Management Consultancy / Contractor

General H&S Services

- Consultants, Labour Hire, Safety & Health Management Systems, Audits, Safety Training, Learning Teams, Leadership in Safety,

Questions / Comments



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Thank you