

# International consensus statement on allergy and rhinology: Allergic rhinitis – 2023

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## Abstract

**Background:** In the 5 years that have passed since the publication of the 2018 International Consensus Statement on Allergy and Rhinology: Allergic Rhinitis (ICAR-Allergic Rhinitis 2018), the literature has expanded substantially. The ICAR-Allergic Rhinitis 2023 update presents 144 individual topics on allergic rhinitis (AR), expanded by over 40 topics from the 2018 document. Originally presented topics from 2018 have also been reviewed and updated. The executive summary highlights key evidence-based findings and recommendation from the full document.

**Methods:** ICAR-Allergic Rhinitis 2023 employed established evidence-based review with recommendation (EBRR) methodology to individually evaluate each topic. Stepwise iterative peer review and consensus was performed for each topic. The final document was then collated and includes the results of this work.

**Results:** ICAR-Allergic Rhinitis 2023 includes 10 major content areas and 144 individual topics related to AR. For a substantial proportion of topics included, an aggregate grade of evidence is presented, which is determined by collating the levels of evidence for each available study identified in the literature. For topics in which a diagnostic or therapeutic intervention is considered, a recommendation summary is presented, which considers the aggregate grade of evidence, benefit, harm, and cost.

**Conclusion:** The ICAR-Allergic Rhinitis 2023 update provides a comprehensive evaluation of AR and the currently available evidence. It is this evidence



that contributes to our current knowledge base and recommendations for patient evaluation and treatment.

#### KEYWORDS

allergen extract, allergen immunotherapy, allergy, allergic rhinitis, antihistamine, asthma, atopic dermatitis, avoidance, biologic, cockroach, conjunctivitis, consensus, corticosteroid, cough, cromolyn, decongestant, eosinophilic esophagitis, environment, epicutaneous, immunotherapy, epidemiology, evidence-based medicine, food allergy, house dust mite, IgE, immunoglobulin E, immunotherapy, inhalant allergy, leukotriene, microbiome, occupational rhinitis, omalizumab, pediatric, perennial, pet dander, pollen, probiotic, rhinitis, rhinosinusitis, saline, seasonal, sensitization, sinusitis, socioeconomic, specific IgE, subcutaneous immunotherapy, sublingual immunotherapy, systematic review, rhinitis, total IgE, transcutaneous immunotherapy, validated survey

## I | EXECUTIVE SUMMARY

### I.A | Introduction

The International Consensus Statement on Allergy and Rhinology: Allergic Rhinitis 2023 (ICAR-Allergic Rhinitis 2023) was developed as an update to the original ICAR-Allergic Rhinitis 2018<sup>1</sup> document. The goal of this document is to summarize and critically review the best evidence related to allergic rhinitis (AR). Through a systematic approach including literature review, semi-blinded stepwise iterative review process, and consensus and oversight by associate editors, all steps of document development have been rigorous and of high quality.

ICAR-Allergic Rhinitis 2023 is not intended to be a clinical practice guideline, meta-analysis, or expert panel report. The ICAR authors have carefully reviewed all relevant literature and determined the strength of the available evidence. Based upon this evidence, where applicable, recommendations are made for various diagnostic and treatment options in the realm of AR. A secondary goal of this document is to identify updates in the field as compared to the previous ICAR-Allergic Rhinitis 2018 document and highlight advances in our understanding of AR, as well as its diagnosis and treatment. Through this in-depth investigation, we are also able to identify areas in which further work is needed.

Since the publication of ICAR-Allergic Rhinitis 2018, there are numerous new high-level publications in various aspects of AR. There have been updates in levels of evidence and recommendations. These findings, along with a comparison to the ICAR-Allergic Rhinitis 2018 available publications, and levels of evidence, are shown in the tables in this executive summary. Still, several important areas of future investigation remain.

### I.B | Methods

In the ICAR-Allergic Rhinitis 2023 update, there were a total of 144 individual topics assigned to 87 primary authors. A multidisciplinary group of expert authors from around the world, often with a notable publication record in the field, were invited to contribute to both authorship and iterative peer review aspects of the ICAR process. Topics were assigned as literature reviews, evidence-based reviews without recommendations, or evidence-based reviews with recommendations, depending on the available literature, strength of evidence, and type of intervention. Topics that had sufficient evidence to substantiate clinical recommendations were assigned as evidence-based reviews with recommendations, based on the work of Rudmik and Smith.<sup>2</sup>

For each section, authors were instructed to perform systematic reviews, which included the Ovid MEDLINE, EMBASE, and Cochrane Review databases, and generally followed PRISMA guidelines (Preferred Reporting for Systematic Reviews and Meta-Analyses).<sup>3</sup> Included studies were presented in table format, indicating the level of evidence. Systematic reviews, meta-analyses, and randomized controlled trials were noted as providing the highest levels of evidence. An aggregate grade of evidence was determined for each topic, and an evidence-based recommendation was made considering benefit, harm, and cost for each topic, where appropriate.<sup>4</sup>

Each section then underwent a stepwise review in a semi-blinded fashion by two additional experts. Consensus was reached after each stage in the iterative review process. The review process was overseen by an associate editor to ensure adherence to the ICAR methodology and assist in resolution of any concerns. Following completion of all topics, the individual sections were collated into major content areas (e.g., Evaluation and Diagnosis,

Management, Associated Conditions) and each major content area was reviewed by three to five associate editors. The final ICAR-Allergic Rhinitis 2023 document was then compiled and reviewed by all authors for consensus.

The ICAR process aims to be systematic, consistent, and thorough; however, certain limitations exist. The literature search for each topic was performed by the individual invited author for that topic. This has the potential to introduce some variability in search results despite detailed literature search instructions. Also, for some topics, there is extensive high-quality literature available. This may allow an aggregate grade of evidence to be delineated without listing every published study on that topic. In these cases, an exhaustive list of lower-level studies may not be provided in the evidence tables.

## I.C | Results

### I.C.1 | Definitions, classification, and differential diagnosis

AR is primarily driven by an immunoglobulin E (IgE)-mediated type 1 hypersensitivity response, due to an allergen exposure. Classically, seasonal AR was thought to be associated with outdoor allergens and perennial AR with indoor year-round exposure to allergens. However, climate change and polysensitization may make these classifications challenging. Intermittent AR is defined as symptoms for less than 4 days per week or less than four consecutive weeks. Persistent AR is defined as symptoms for more than 4 days per week for at least 1 month. Sensitization to allergens may be identified on skin or in vitro testing which assesses the presence of allergen-specific IgE (sIgE). However, many people that are sensitized do not exhibit allergy symptoms, so correlation with clinical symptoms upon allergen exposure is critical. Classic AR symptoms include sneezing, rhinorrhea, and nasal congestion/obstruction. These symptoms are non-specific, and the differential diagnosis of AR is broad. Section V of the ICAR-Allergic Rhinitis 2023 document explores AR definition, classification, and differential diagnosis (Table I.C.1).

### I.C.2 | Pathophysiology and mechanisms

Shortly after IgE receptor stimulation, mast cells secrete proteins due to stimulated gene transcription. Multiple cytokines and chemokines are released, which recruit inflammatory cells such as eosinophils, basophils, neutrophils, macrophages, and T cells.

Various inflammatory processes occur at different stages of AR. These processes are driven by the type 2 immune response. Considering the pathophysiology of AR, the

**TABLE I.C.1** Definition and differential diagnosis of allergic rhinitis

|  |   |
|--|---|
| <b>Definition of allergic rhinitis</b>             | Allergic rhinitis is an immunoglobulin E (IgE)-mediated, type 1 hypersensitivity response of the nasal mucosal membranes, resulting from allergen exposure in a sensitized individual. <sup>5</sup>   |
| <b>Differential diagnosis of allergic rhinitis</b> | <ul style="list-style-type: none"> <li>• Drug-induced rhinitis</li> <li>• Rhinitis medicamentosa</li> <li>• Occupational rhinitis</li> <li>• Chemical rhinitis</li> <li>• Smoke-induced rhinitis</li> <li>• Infectious rhinitis</li> <li>• Rhinitis of pregnancy</li> <li>• Hormonally induced rhinitis</li> <li>• Food and alcohol induced rhinitis</li> <li>• Non-allergic rhinitis with eosinophilia syndrome</li> <li>• Non-allergic rhinopathy and vasomotor rhinitis</li> <li>• Age-related rhinitis (i.e., elderly)</li> <li>• Empty nose syndrome</li> <li>• Atrophic rhinitis</li> <li>• Autoimmune, granulomatous, and vasculitic rhinitis</li> <li>• Rhinosinusitis</li> <li>• Non-rhinitis conditions (e.g., anatomical obstruction, neoplastic, cerebrospinal fluid rhinorrhea, foreign body, cystic fibrosis, primary ciliary dyskinesia, gastroesophageal reflux)</li> </ul> |

ICAR-Allergic Rhinitis 2023 document explores local and systemic IgE-mediated inflammation, cellular infiltrates, cytokines and soluble mediators, neural mechanisms, histologic and epithelial changes, epithelial barrier alterations, association with vitamin D, alterations in nitric oxide and the microbiome, as well as the unified airway concept. Section VI of the ICAR-Allergic Rhinitis 2023 document discusses AR pathophysiology and mechanisms.

### I.C.3 | Epidemiology

The prevalence of AR has been reported from 5% to 50% worldwide. Prevalence reporting is dependent on the method of diagnosis and age of participants studied, which may explain some of the variability in reported AR prevalence. There have been increased attempts to provide more uniformity in the terminology and diagnostic criteria for AR. The available literature suggests that AR had been previously increasing across the globe. While recent evidence indicates this upward trend may have leveled

**TABLE I.C.4.-1** Risk factors for the development of allergic rhinitis – comparison between 2018 and 2023

| Risk factor or exposure                       | Year | Number of listed studies | Aggregate grade of evidence | Interpretation  |
|---|------|--------------------------|-----------------------------|---|
| Genetics                                      | 2023 | 9                        | C                           | Multiple genes, variants, and their complex interactions contribute to the development of AR.   |
|   | 2018 | 5                        | C                           |   |
| Mites: in utero or early exposure             | 2023 | 7                        | C                           | Data inconclusive.  |
|   | 2018 | 6                        | C                           |   |
| Pollen: in utero or early exposure            | 2023 | 2                        | C                           | Data inconclusive.  |
|   | 2018 | 2                        | C                           |   |
| Animal dander: in utero or early exposure     | 2023 | 46                       | C                           | Data inconclusive.  |
|   | 2018 | 39                       | C                           |   |
| Fungal allergens: in utero or early exposure  | 2023 | 15                       | C                           | Data inconclusive.  |
|   | 2018 | 13                       | C                           |   |
| Restricted diet: in utero and early childhood | 2023 | 18                       | A                           | Maternal diet restriction while child is in utero is not a contributing factor to the development of AR. Food allergy during childhood is a risk factor for AR. |
|   | 2018 | 5                        | A                           |   |
| Pollution                                     | 2023 | 15                       | C                           | Data inconclusive.  |
|   | 2018 | 14                       | C                           |   |
| Tobacco smoke                                 | 2023 | 6 <sup>a</sup>           | C                           | Most studies did not identify a correlation between tobacco smoke and AR.   |
|   | 2018 | 7                        | C                           |   |
| Socioeconomic status                          | 2023 | 17                       | C                           | Most available studies suggest that higher SES is associated with increased risk of AR.   |
|   | 2018 | 10                       | C                           |   |

Abbreviations: AR, allergic rhinitis; SES, socioeconomic status.

<sup>a</sup>Studies included in systematic reviews were not separately listed in tables.**TABLE I.C.4.-2** Protective factors for the development of allergic rhinitis – comparison of 2018 and 2023

| Risk factor or exposure                    | Year | Number of listed studies | Aggregate grade of evidence | Policy level      | Interpretation  |
|--|------|--------------------------|-----------------------------|-------------------|---|
| Breastfeeding                              | 2023 | 7                        | C                           | Recommendation    | Recommendation due to various positive effects, and possible protective effects for AR.   |
|  | 2018 | 2                        | C                           | Option            |   |
| Pet exposure                               | 2023 | 5 <sup>a</sup>           | C                           | Option            | Conflicting evidence. Early pet exposure, especially dog exposure in non-allergic families early in childhood, may be protective. |
|  | 2018 | 6                        | C                           | No recommendation |   |
| Microbial diversity (“Hygiene Hypothesis”) | 2023 | 21                       | B                           | –                 | There is some evidence of the protective effect of the hygiene hypothesis on AR.  |
|  | 2018 | 15                       | B                           | –                 |   |

Abbreviations: AR, allergic rhinitis.

<sup>a</sup>Studies included in systematic reviews were not separately listed in tables.

off, notable geographic differences exist. The rate of AR typically increases with age until young adulthood. The effects of geographic influences on epidemiology of AR and the role of climate change are active areas of research. Section VII of the ICAR-Allergic Rhinitis 2023 document reviews the epidemiology of AR.

## I.C.4 | Risk factors and protective factors for the development of allergic rhinitis

Several risk factors for the development of AR have been investigated. There is conflicting data for many of these potential risk factors, and this area of work remains a topic

**TABLE I.C.5** Allergic rhinitis disease burden – comparison between 2018 and 2023

| Burden of AR              | Year | Number of listed studies | Aggregate grade of evidence | Policy level   | Interpretation                                   |
|---------------------------|------|--------------------------|-----------------------------|----------------|--|
| Effect on quality of life | 2023 | 56                       | B                           | Recommendation | Treatment of AR is recommended to improve QOL.   |
|                           | 2018 | 33                       | B                           | Recommendation |  |
| Effect on sleep           | 2023 | 63                       | B                           | Recommendation | Treatment of AR is recommended to improve sleep. |
|                           | 2018 | 46                       | B                           | Recommendation |  |

Abbreviations: AR, allergic rhinitis; QOL, quality of life.

of active investigation. Section **VIII** of the ICAR-Allergic Rhinitis 2023 document explores risk factors and potential protective factors for the development of AR (Tables **I.C.4-1** and **I.C.4-2**).

**Intervention:** Recommendation to expose or avoid pets for the prevention of AR in children cannot be provided based on current evidence.

### Breastfeeding

**Aggregate grade of evidence:** C (Level 2: 2 studies, level 3: 4 studies, level 4: 1 study)

**Benefit:** Benefits on general health of infant and possible protection against AR, especially in young children.

**Harm:** None.

**Cost:** Low.

**Benefits-harm assessment:** Slight preponderance of benefit over harm for protection against AR. Large preponderance of benefit over harm for breastfeeding for all infants, unless there is a contraindication. The benefit of breastfeeding for all infants inextricably influences this recommendation.

**Value judgments:** Evidence suggests that breastfeeding may reduce the risk of AR without harm.

**Policy level:** Recommendation for breastfeeding due to various positive effects on general health and possible protective effects on AR.

**Intervention:** Breastfeeding for at least 4–6 months should be encouraged unless contraindicated.

### Childhood exposure to pets

**Aggregate grade of evidence:** C (Level 2: 1 study, level 3: 2 studies, level 4: 2 studies)

**Benefit:** Exposure to pets at birth and in the first year of life has potential benefits of decreasing risk of AR.

**Harm:** Pet keeping in childhood could have a negative effect, especially in Asians.

**Cost:** Various.

**Benefits-harm assessment:** Difficulty distinguishing between benefits and harm.

**Value judgments:** There is conflicting evidence that childhood pet exposure prevents the development of AR.

**Policy level:** Option.

## I.C.5 | Disease burden

ICAR-Allergic Rhinitis 2023 reviewed the disease burden of AR as it relates to quality of life (QOL) and sleep disturbance. Several new studies have been added in each of these categories since ICAR-Allergic Rhinitis 2018. AR also has substantial impact at a societal level, which may be quantified in direct and indirect costs, absenteeism or presenteeism, and other measures. Individual and societal burdens of AR are significant and addressed further in the full ICAR-Allergic Rhinitis 2023 document (Table **I.C.5**).

### Disease burden – quality of life

**Aggregate grade of evidence:** B (Level 1: 6 studies, level 2: 35 studies, level 3: 15 studies)

**Benefit:** Successful treatment of AR leads to improved overall and disease specific QOL.

**Harm:** Depending on the specific treatments for AR, there are variable levels of harm.

**Cost:** Treatments for AR have variable costs.

**Benefits-harm assessment:** The benefits of treating patients with AR to improve QOL likely outweigh risks of treatment.

**Value judgments:** Validated measures of QOL should be utilized in future studies of treatments for AR.

**Policy level:** Recommendation.

**Intervention:** Validated measures of QOL should be utilized in future studies of treatments for AR.

### Disease burden – sleep disturbance

**Aggregate grade of evidence:** B (Level 2: 5 studies, level 3: 8 studies, level 4: 50 studies)

**Benefit:** AR negatively impacts sleep quality. Successful management of AR leads to decreased sleep disturbance in adults and children.



**Harm:** Medical management of AR is generally low risk and medications have low side-effect profiles. Allergen immunotherapy (AIT) is associated with rare serious adverse events.

**Cost:** Associated costs consist of the direct costs of allergy testing and medical management, and indirect cost of increased time and effort for AIT.

**Benefits-harm assessment:** The benefits of treating patients with AR may outweigh any associated risks.

**Value judgments:** In patients with AR, the successful control of symptoms with medical management or AIT can lead to important improvements in sleep disturbance. The level of available evidence is stronger for the adult population compared with the pediatric population.

**Policy level:** Treatment of AR to improve sleep disturbance – Recommended in adults. Option in children.

**Intervention:** Intranasal corticosteroids (INCS), oral antihistamines, montelukast, and AIT are appropriate options, when medically indicated, to improve sleep disturbance in patients with AR.

not delay treatment initiation. History should be combined with physical examination, which may not be possible in some scenarios such as telemedicine. Confirmation with diagnostic testing is required for progression to AIT or targeted avoidance therapy, or desirable with inadequate response to treatment.

**Policy level:** Recommendation.

**Intervention:** Despite low level evidence specifically addressing this area, history is essential in the diagnosis of AR.

### Physical examination

**Aggregate grade of evidence:** D (Level 4: 2 studies, level 5: 6 guidelines)

**Benefit:** Possible improved diagnosis of AR with physical examination findings, along with evaluation and/or exclusion of alternative diagnoses.

**Harm:** Possible patient discomfort from routine examination, not inclusive of endoscopy.

**Cost:** Minimal.

**Benefits-harm assessment:** Preponderance of benefit over harm, potential misdiagnosis, and inappropriate treatment if used in isolation.

**Value judgments:** Telemedicine is a safe and useful tool in pandemic conditions but does limit what can be gleaned from physical examination. Without the use of nasal endoscopy, it is possible some physical examination findings may be missed.

**Policy level:** Recommendation.

**Intervention:** When possible, physical examination should be performed with appropriate personal protective equipment to aid in the diagnosis of AR and exclusion of other conditions. When combined with patient history, it increases diagnostic accuracy and may exclude alternative causes of symptoms.

### Nasal endoscopy

**Aggregate grade of evidence:** C (Level 2: 2 studies, level 3: 1 study, level 4: 7 studies)

**Benefit:** Possible improved diagnosis with visualization of middle or inferior turbinate edema, pale/bluish discoloration, or isolated central compartment polypoid changes and/or edema, which have been associated with AR.

**Harm:** Possible patient discomfort.

**Cost:** Moderate equipment and processing costs, as well as procedural charges.

**Benefits-harm assessment:** Balance of benefit and harm.

## I.C.6 | Evaluation and diagnosis

A thorough history is critical to AR diagnosis. This should be complemented by an appropriate physical examination, and nasal endoscopy may also be considered. Various diagnostic testing modalities may also be employed to solidify a diagnosis of AR or when considering an alternate etiology for the patient's symptoms. A summary of various diagnostic modalities for AR is presented in Table I.C.6.

The section that follows includes the recommendation summaries for AR diagnostic modalities considered in the ICAR-Allergic Rhinitis 2023 document.

### Patient history

**Aggregate grade of evidence:** D (Level 4: 5 studies, level 5: 7 guidelines or expert recommendations)

**Benefit:** Improves accuracy of diagnosis, avoid unnecessary referrals, testing, or treatment.

**Harm:** Potential misdiagnosis or inappropriate treatment.

**Cost:** Minimal.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Using history to make a presumptive diagnosis of AR is reasonable and would



**Value judgments:** Nasal endoscopy may increase diagnostic sensitivity among children and adults with AR.

**Policy level:** Option.

**Intervention:** Nasal endoscopy may be considered as a diagnostic adjunct in the evaluation of patients with suspected AR.

### Radiologic studies

**Aggregate grade of evidence:** D (level 3: 1 study, level 4: 7 studies)

**Benefit:** Some radiologic findings, particularly those associated with central compartment edema/polypoidosis, may alert the clinician to the possibility of an associated allergic etiology.

**Harm:** Unnecessary radiation exposure, unnecessary cost.

**Cost:** High equipment and processing costs. Additional costs for interpretation of studies by radiologist.

**Benefits-harm assessment:** Preponderance of harm over benefit.

**Value judgments:** Long-term risks of ionizing radiation outweigh potential benefit.

**Policy level:** Recommendation against.

**Intervention:** Routine use of imaging is not recommended for the diagnosis of AR.

### Use of validated subjective instruments and patient-reported outcome measures

**Aggregate grade of evidence:** B (Level 1: 2 studies, level 2: 2 studies, level 3: 5 studies, level 4: 13 studies)

**Benefit:** Validated surveys offer a simple point-of-care option for screening and tracking symptoms, QOL, and control of allergic disease.

**Harm:** Minimal. Time to complete survey. Potential risk of misdiagnosis when based on survey data alone.

**Cost:** No financial burden to patients. Some fees associated with validated tests used for clinical research.

**Benefits-harm assessment:** Preponderance of benefit over harm. Risk of misdiagnosis leading to unnecessary additional testing. Likewise, there is a risk that false negative responses may lead to delay in testing and further management.

**Value judgments:** Validated surveys may be used as a screening tool and primary or secondary outcome measure.

**Policy level:** Recommendation.

**Intervention:** Validated surveys may be used to screen for AR, follow treatment outcomes and as a primary outcome measure for clinical trials. Specific tests are optimized for various clinico-pathological scenarios.

### Skin prick testing

**Aggregate grade of evidence:** B (Level 1: 1 study, level 3: 2 studies, level 4: 7 studies, level 5: 2 studies)

**Benefit:** Confirm AR diagnosis and direct appropriate pharmacologic therapy, initiation of AIT, as well as avoidance measures.

**Harm:** Adverse events from testing including discomfort, pruritus, erythema, worsening of asthma symptoms, anaphylaxis, inaccurate test results, and misinterpreted test results. See Table II.C. in full ICAR document.

**Cost:** Moderate cost of testing procedure.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Patients can benefit from identification of their specific sensitivities. Skin prick testing (SPT) is a quick and relatively comfortable way to test several antigens with accuracy similar to other available methods of testing.

**Policy level:** Recommendation.

**Intervention:** Regular use of the same SPT device type will allow clinicians to familiarize themselves with it and interpretation of results may therefore be more consistent. The use of standardized allergen extracts can further improve consistency of interpretation.

### Skin intradermal testing

**Aggregate grade of evidence:** C (Level 3: 7 studies, level 4: 13 studies)

**Benefit:** May improve identification of allergic sensitization in patients with low-level skin sensitivity or with non-standardized allergens.

**Harm:** Adverse events from testing including discomfort, pruritus, erythema, worsening of asthma symptoms, anaphylaxis, inaccurate test results, and misinterpreted test results. See Table II.C. in full ICAR document.

**Cost:** Moderate cost of testing procedure.

**Benefits-harm assessment:** Benefit over harm when used as a stand-alone diagnostic test, when used to confirm the results of SPT, and as a quantitative diagnostic test.

**Value judgments:** Intradermal skin tests may not perform as well as SPT in most clinical situations.

**Policy level:** Option for using intradermal testing as a stand-alone diagnostic test for individuals with suspected AR. Option for using intradermal testing as a confirmatory test following negative SPT for non-standardized allergens.

**Intervention:** Intradermal testing may be used to determine aeroallergen sensitization in individuals suspected of having AR.

### Blended skin testing techniques

**Aggregate grade of evidence:** D (Level 4: 7 studies)

**Benefit:** Ability to establish an endpoint in less time than intradermal dilutional testing, potential to determine allergen sensitization after negative SPT.

**Harm:** Adverse events from testing including discomfort, pruritus, erythema, worsening of asthma symptoms, anaphylaxis, inaccurate test results, and misinterpreted test results. Additional time and discomfort versus SPT alone. See Table II.C. in full ICAR document.

**Cost:** Moderate cost of testing procedure.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** While AIT can be based off SPT results alone, endpoint-based immunotherapy may have possible benefits of decreased time to therapeutic dosage.

**Policy level:** Option.

**Intervention:** Blended skin testing techniques, such as modified quantitative testing, are methods that can be used to determine a starting point for AIT or confirm allergic sensitization.

### Issues that may affect the performance and interpretation of skin tests – medications:

- *H<sub>1</sub> antihistamines* – Aggregate grade of evidence: A (Level 2: 3 studies, level 3: 3 studies, level 4: 1 study). Should be discontinued 2–7 days prior to testing.
- *H<sub>2</sub> antihistamines* – Aggregate grade of evidence: A (Level 2: 2 studies, level 3: 1 study, level 4: 1 study). Ranitidine may suppress skin whealing response, leading to false negative results. Should be discontinued 2 days prior to testing.
- *Topical antihistamines* – Aggregate grade of evidence: Unable to determine from one level 2 study. Should be discontinued 2 days prior to testing.
- *Anti-IgE (omalizumab)* – Aggregate grade of evidence: A (Level 2: 1 study, level 3: 1 study). Results in negative allergy skin test results.

May suppress skin whealing response for 4–6 months.

- *Leukotriene modifying agents* – Aggregate grade of evidence: A (Level 2: 2 studies, level 3: 1 study). May be continued during testing.
- *Tricyclic antidepressants* – Aggregate grade of evidence: B (Level 2: 1 study, level 4: 1 study). Antidepressants with antihistaminic properties suppress allergy skin test responses. Should be discontinued 7–14 days prior to testing.
- *Topical (cutaneous) corticosteroids* – Aggregate grade of evidence: A (Level 2: 3 studies, level 3: 1 study). Skin tests should not be placed at sites of chronic topical steroid treatment.
- *Systemic corticosteroids* – Aggregate grade of evidence: C (Level 2: 1 study, level 3: 1 study, level 4: 2 studies; conflicting results). Systemic corticosteroid treatment does not significantly impair skin test responses.
- *Selective serotonin reuptake inhibitors* – Aggregate grade of evidence: C (Level 3: 1 study, level 4: 1 study). Selective serotonin reuptake inhibitors do not suppress allergy skin test responses.
- *Benzodiazepines* – Aggregate grade of evidence: C (Level 4: 2 studies). May suppress skin test responses. Should be discontinued 7 days prior to testing.
- *Topical calcineurin inhibitors (tacrolimus, pimecrolimus)* – Aggregate grade of evidence: C (Level 2: 2 studies; conflicting results). Conflicting results regarding skin test suppression.

### Issues that may affect the performance and interpretation of skin tests – skin conditions:

Common sense dictates that allergy skin tests should not be performed at sites of active dermatitis, but clinical studies to investigate this phenomenon are lacking. There are insufficient studies published on this topic, and an Aggregate Grade of Evidence could not be assigned.

### Serum total immunoglobulin E

**Aggregate grade of evidence:** C (Level 2: 4 studies, level 3: 11 studies)

**Benefit:** Possibility to suspect allergy or atopy in a wide screening.

**Harm:** Cost of test, undergoing of venipuncture, low level does not exclude AR.

**Cost:** Low, dependent on country and local health-care environment.

**Benefits-harm assessment:** Slight preponderance of benefit over harm. In addition, the ratio of total to allergen-specific IgE (sIgE) may be useful to interpret the real value of sIgE production and predict treatment outcomes with AIT.

**Value judgments:** The evidence does not support routine use.

**Policy level:** Option.

**Intervention:** Assessment of total IgE may be useful to assess overall atopic status; furthermore, in selected cases it might help guide therapy (i.e., predict outcome of AIT).

### Serum allergen-specific immunoglobulin E

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 2 studies, level 3: 6 studies, level 4: 6 studies, level 5: 1 study)

**Benefit:** Confirms diagnosis and directs appropriate pharmacological therapy while possibly avoiding unnecessary/ineffective treatment, guides avoidance, directs AIT.

**Harm:** Adverse events from testing including discomfort from blood draw, inaccurate test results, false positive test results, misinterpreted test results.

**Cost:** Moderate cost of testing.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Patients can benefit from identification of their specific sensitivities. Further, in some patients who cannot undergo SPT, serum sIgE testing is a safe and effective alternative.

**Policy level:** Recommendation.

**Intervention:** Serum sIgE testing may be used in patients who cannot undergo allergy skin testing. The use of highly purified allergen or recombinants can increase the sensitivity, specificity, and diagnostic accuracy of sIgE tests. Rigorous proficiency testing on the part of laboratories may also improve accuracy.

### Nasal allergen-specific immunoglobulin E

**Aggregate grade of evidence:** C (Level 1: 1 study, level 2: 21 studies, level 3: 3 studies, level 4: 11 studies)

**Benefit:** Patients with non-allergic rhinitis found to have nasal sIgE may have local AR and could benefit from avoidance or AIT.

**Harm:** Measurement of nasal sIgE is minimally invasive. No significant adverse effects have been reported. Possible discomfort from sample collection.

**Cost:** Associated costs include the direct costs of testing and indirect cost of increased time and effort for performing nasal sIgE diagnostic test.

**Benefits-harm assessment:** The benefits of identifying patients with an allergic component to their rhinitis may outweigh associated risks.

**Value judgments:** In patients with non-allergic rhinitis who also have risk factors for atopic disease and have inadequate response to pharmacotherapy, testing for nasal sIgE may be helpful in confirming a diagnosis of local AR and allowing for treatment with AIT. There is no consensus for levels of nasal sIgE that indicate sensitivity.

**Policy level:** Option.

**Intervention:** Measurement of nasal sIgE is an option in patients with non-allergic rhinitis suspected of having local AR to support this diagnosis and guide AIT if pharmacologic therapies are inadequate. Consensus for levels of nasal sIgE indicating AR need to be established.

### Basophil activation test

**Aggregate grade of evidence:** C (Level 2: 5 studies, level 3: 13 studies, level 4: 1 study)

**Benefit:** May help diagnose AR in specific cases where common approaches are not possible or show conflicting results.

**Harm:** Discomfort of venipuncture.

**Cost:** Moderate cost of performing the test, plus venipuncture. Depending on the local situation and availability.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** The evidence does not support routine use for the diagnosis of AR or for following AIT response.

**Policy level:** Option.

**Intervention:** Application of basophil activation test in specific situations where other diagnostic procedures for AR are not possible or conflicting. Potentially useful for monitoring AIT if other methods fail or show conflicting results.

### Component resolved diagnostic testing

**Aggregate grade of evidence:** C (Level 2: 4 studies, level 3: 2 studies, level 4: 11 studies, level 5: 1 study)

**Benefit:** Reliable. May help in identification and selection of suitable allergens for AIT, as well as possibly improving safety of AIT.

**Harm:** Discomfort of venipuncture.

**Cost:** Moderate cost of testing, minimal cost of venipuncture; depends on local availability.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Molecular diagnosis may be a useful tool for assessment of AR in some scenarios, especially in polysensitized patients.

**Policy level:** Option.

**Intervention:** Component resolved diagnostic testing is an option for diagnosis of AR by specialists.

### Nasal provocation testing

**Aggregate grade of evidence:** C (Level 2: 1 study, level 3: 7 studies)

**Benefit:** May assist in confirming diagnosis of AR in specific cases when immunological tests are unavailable or unreliable. Nasal provocation testing is crucial in diagnosing occupational rhinitis and local AR.

**Harm:** Not necessary if first- and second-line tests are indicative for AR diagnosis.

**Cost:** Depending on the local situation and availability of equipment and staff, costs may be high.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** The evidence does not support routine use for diagnosis of AR, but provocation testing is useful for diagnosis of occupational rhinitis and local AR.

**Policy level:** Option for diagnosis of AR when skin or in vitro tests are equivocal or unreliable. Recommendation for diagnosis of local AR and occupational rhinitis.

**Intervention:** Application of nasal provocation testing is useful in local AR and to confirm occupational rhinitis.

### Nasal cytology

**Aggregate grade of evidence:** C (Level 1: 1 study, level 3: 3 studies, level 4: 3 studies)

**Benefit:** Low costs and low invasiveness. Could help to detect eosinophils in non-allergic rhinitis and to diagnose a mixed rhinitis.

**Harm:** Nasal cytology is minimally invasive and minimal adverse effects have been reported.

**Cost:** Associated costs include the direct cost of nasal cytology and indirect cost of increased time and effort for performing nasal cytology.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** The evidence does not support routine clinical use.

**Policy level:** Option.

**Intervention:** Nasal cytology could help in cases of non-allergic rhinitis to suspect local AR or in cases of AR to diagnose a mixed rhinitis. It could be considered an option in cases of negative SPT and/or serum sIgE to evaluate the presence of mucosal eosinophils and consideration of local AR or type 2 inflammation. The cut-off values for determining non-allergic rhinitis with eosinophilia syndrome (NARES) are not yet clear.

### Nasal histology

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 7 studies, level 4: 2 studies)

**Benefit:** May assist in evaluation of tissue eosinophilia and expression of mediators. May be useful in clinical research.

**Harm:** Small risk of complications (e.g., bleeding, infection).

**Cost:** Associated costs consist of the direct cost of nasal histology and indirect cost of increased time and effort for performing nasal histology.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** The evidence does not support routine clinical use.

**Policy level:** Recommendation against.

**Intervention:** Nasal histology may be helpful in clinical research or selected cases (e.g., evaluation of tissue eosinophils during surgery). Recommendation against in routine clinical practice for AR evaluation due to invasive nature of obtaining a specimen.

### Rhinomanometry

**Aggregate grade of evidence:** B (Level 1: 2 studies, level 2: 2 studies, level 3: 5 studies, level 4: 4 studies, level 5: 6 studies)

**Benefit:** Rhinomanometry is useful to improve patient selection for surgery, distinguish between structural and functional causes of nasal obstruction, diagnose nasal valve collapse, clarify conflicting symptoms and exam findings, use as a medicolegal tool and in nasal allergen challenges. Four-phase rhinomanometry correlates with subjective scores.

**Harm:** Low. Rhinomanometry has limited effectiveness in patients with complete nasal obstruction or septal perforation. The equipment is not portable and therefore requires a clinic visit and trained staff. The procedure may be considered time consuming.

**Cost:** High.



**Benefits-harm assessment:** Benefits outweigh harm.

**Value judgments:** For some patients, it may be important to avoid unnecessary costs in the diagnosis of AR; therefore, this procedure is less preferred.

**Policy level:** Option.

**Intervention:** Rhinomanometry is useful in distinguishing between structural and soft tissue causes of obstruction, when history and examination findings are not congruent, as well as a research tool. Better with individual nasal cavity assessment and four-phase rhinomanometry.

### Acoustic rhinometry

**Aggregate grade of evidence:** C (Level 2: 1 study, level 3: 5 studies, level 4: 3 studies, level 5: 2 studies)

**Benefit:** Improves patient selection for surgery, helps distinguish between structural and functional causes of nasal obstruction, evaluates a response in nasal allergen challenges, and functions as a medicolegal tool to demonstrate objective evidence of effectiveness of an intervention.

**Harm:** Low. Equipment is not portable therefore, requires a clinic visit and trained staff. Time-consuming. Leakage into sinuses may provide inaccurate results and lead to inappropriate treatment.

**Cost:** High.

**Benefits-harm assessment:** Benefits outweigh harm as harm is low.

**Value judgments:** For some patients, it may be important to avoid unnecessary cost in the diagnosis of AR, and thus acoustic rhinometry is less preferred.

**Policy level:** Option.

**Intervention:** Acoustic rhinometry is most useful in research setting as opposed to as a clinical diagnostic tool.

### Peak nasal inspiratory flow

**Aggregate grade of evidence:** B (Level 2: 2 studies, level 3: 4 studies, level 4: 1 study, level 5: 1 study)

**Benefit:** Can improve patient selection for surgery, can evaluate a response in nasal allergen challenges, and can be used as a medicolegal tool to demonstrate objective evidence of effectiveness of an intervention.

**Harm:** Low. Risk of missing valve collapse and septal deviation as causes of obstruction.

**Cost:** Low.

**Benefits-harm assessment:** Benefits likely to outweigh harm as harm is low.

**Value judgments:** Relies on patient effort and does not assess individual nasal cavities. Unable to evaluate nasal valve collapse.

**Policy level:** Option.

**Intervention:** Use in conjunction with patient reported outcome measures to improve utility.

### Nitric oxide measurements

**Aggregate grade of evidence:**

- Fractional exhaled nitric oxide (FeNO): D (Level 4: 7 studies)
- Nasal nitric oxide (nNO): C (Level 2: 2 studies, level 4: 6 studies)

**Benefit:** Possible benefit in differentiation of allergic and non-allergic rhinitis through non-invasive testing. Possible benefit in monitoring treatment response.

**Harm:** No studies have shown harm with either exam.

**Cost:**

- FeNO: Relatively high. FeNO analyzers are approximately \$7000–10,000 US, but testing is covered by some insurance plans.
- nNO: High. Chemiluminescence NO analyzers are approximately \$30,000–50,000 US, and clinical testing is not covered by insurance in the US.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** There is inconsistent evidence in the ability of FeNO or nNO to differentiate adults and children with AR and non-allergic rhinitis. Most studies were of low evidence or small impact. There is no agreed upon cut-off value when performing FeNO or nNO for the diagnosis of AR.

**Policy level:**

- FeNO: Recommend against for routine diagnosis of AR.
- nNO: Recommend against for routine diagnosis of AR.

**Intervention:** History and physical, diagnostic skin testing, or sIgE testing should be the first-line evaluation of AR. FeNO or nasal NO testing may provide additional diagnostic information if necessary but should not be routinely employed for AR diagnosis.



**TABLE I. C. 6** Diagnostic modalities for evaluation of allergic rhinitis – comparison between 2018 and 2023

| Diagnostic modality                           | Year | Number of listed studies | Aggregate grade of evidence | Policy level          | Interpretation   |
|---|------|--------------------------|-----------------------------|-----------------------|--|
| Clinical examination (history and physical)   | 2023 | 20                       | D                           | Recommendation        | While there is low level evidence, guideline documents support the recommendation of combined history and physical.                  |
|   | 2018 | 9                        | D                           | Recommendation        |  |
| Nasal endoscopy                               | 2023 | 10                       | C                           | Option                | Nasal endoscopy may be considered a diagnostic adjunct.  |
|   | 2018 | 5                        | D                           | Option                |  |
| Radiologic imaging                            | 2023 | 8                        | D                           | Recommend against     | Radiologic imaging is not recommended for the diagnosis of AR.   |
|   | 2018 | 0                        | n/a                         | Recommend against     |  |
| Use of validated survey instruments           | 2023 | 22                       | B                           | Recommendation        | Validated survey instruments can be used to screen for AR, follow treatment outcomes, and as an outcome measure for clinical trials. |
|   | 2018 | 10                       | A                           | Strong recommendation |  |
| Skin prick testing                            | 2023 | 12                       | B                           | Recommendation        | Skin prick testing is recommended for AR diagnosis.  |
|   | 2018 | 8                        | B                           | Recommendation        |  |
| Skin intradermal testing                      | 2023 | 20                       | C                           | Option                | Option for intradermal testing as a stand-alone test or confirmatory test.   |
|   | 2018 | 17                       | B                           | Option                |  |
| Blended skin testing techniques               | 2023 | 7                        | D                           | Option                | Modified quantitative testing is a technique that may be used to determine a safe starting dose for AIT.                             |
|   | 2018 | 5                        | D                           | Option                |  |
| Serum total IgE                               | 2023 | 15                       | C                           | Option                | Serum total IgE is an option to assess atopic status and guide therapy.  |
|   | 2018 | 15                       | C                           | Option                |  |
| Serum allergen-specific IgE                   | 2023 | 16                       | B                           | Recommendation        | Serum sIgE testing is recommended for allergy testing.   |
|   | 2018 | 7                        | B                           | Recommendation        |  |
| Correlation between skin and in vitro testing | 2023 | 19                       | B                           | –                     | Studies differ regarding the concordance of various allergy testing methods.   |
|   | 2018 | 19                       | B                           | –                     |  |
| Nasal sIgE                                    | 2023 | 36                       | C                           | Option                | Nasal sIgE is an option in patients with suspected AR.   |
|   | 2018 | 24                       | C                           | Option                |  |
| Basophil activation test                      | 2023 | 19                       | C                           | Option                | BAT may be used for diagnosis when first-line tests are discordant, and for monitoring response to AIT.                              |
|   | 2018 | 12                       | B                           | Option                |  |
| Component resolved diagnostic testing         | 2023 | 18                       | C                           | Option                | May improve selection of allergens for AIT, especially in polysensitized patients.   |
|   | 2018 | n/a                      | n/a                         | n/a                   |  |
| Nasal provocation testing                     | 2023 | 8                        | C                           | Option                | Option for diagnostic testing for AR. Recommended for diagnosis of occupational rhinitis and local AR.                               |
|   | 2018 | 4                        | C                           | n/a                   |  |

(Continues)

TABLE I.C.6 (Continued)

| Diagnostic modality         | Year | Number of listed studies | Aggregate grade of evidence | Policy level      | Interpretation   |
|-----------------------------|------|--------------------------|-----------------------------|-------------------|--|
| Nasal cytology              | 2023 | 7                        | C                           | Option            | May be considered with negative allergy testing results to assess for eosinophil levels.                           |
|                             | 2018 | 4                        | C                           | n/a               |  |
| Nasal histology             | 2023 | 10                       | B                           | Recommend against | Nasal histology is used for research on the pathophysiology of AR but is not recommended for routine clinical use. |
|                             | 2018 | 11                       | B                           | n/a               |  |
| Rhinomanometry              | 2023 | 19                       | B                           | Option            | Option for use in AR diagnosis.  |
|                             | 2018 | n/a                      | n/a                         | n/a               |  |
| Acoustic rhinometry         | 2023 | 11                       | C                           | Option            | Acoustic rhinometry is most useful in a research setting.  |
|                             | 2018 | n/a                      | n/a                         | n/a               |  |
| Peak nasal inspiratory flow | 2023 | 8                        | B                           | Option            | May be used with PROMs to improve utility.   |
|                             | 2018 | n/a                      | n/a                         | n/a               |  |
| FeNO                        | 2023 | 7                        | D                           | Recommend against | Should not be used routinely for the diagnosis of AR.  |
|                             | 2018 | n/a                      | n/a                         | n/a               |  |
| nNO                         | 2023 | 8                        | C                           | Recommend against | Should not be used routinely for the diagnosis of AR.  |
|                             | 2018 | n/a                      | n/a                         | n/a               |  |

Abbreviations: AR, allergic rhinitis; AIT, allergen immunotherapy; IgE, immunoglobulin E; sIgE, allergen-specific immunoglobulin E; BAT, basophil activation test; n/a, not applicable (not considered in ICAR-Allergic Rhinitis 2018 document); PROM, patient reported outcome measure; FeNO, fractional exhaled nitric oxide; nNO, nasal nitric oxide.

TABLE I.C.7.a Avoidance measures and environmental controls for the treatment of allergic rhinitis – comparison between 2018 and 2023

| Allergen or exposure | Year | Number of listed studies | Aggregate grade of evidence | Policy level   | Interpretation   |
|----------------------|------|--------------------------|-----------------------------|----------------|--|
| House dust mite      | 2023 | 14                       | B                           | Option         | Acaricides used independently or with other EC measures are an option for the treatment of AR.                                   |
|                      | 2018 | 12                       | B                           | Option         |  |
| Cockroach            | 2023 | 12                       | B                           | Option         | Combination of physical measures and education is an option for AR management.   |
|                      | 2018 | 11                       | B                           | Option         |  |
| Pets                 | 2023 | 5                        | C                           | Option         | Pet avoidance and EC strategies are an option for AR related to pets, especially in patients with diagnosed Fel d 1 sensitivity. |
|                      | 2018 | 3                        | B                           | Option         |  |
| Rodents              | 2023 | 15                       | C                           | Option         | Avoidance likely improves allergen exposure, option depending on circumstance (occupational).                                    |
|                      | 2018 | n/a                      | n/a                         | n/a            |  |
| Pollen               | 2023 | 4                        | B                           | Option         | Pollen avoidance is well tolerated and low cost.   |
|                      | 2018 | 3                        | B                           | Option         |  |
| Occupational         | 2023 | 5                        | C                           | Recommendation | Patients should avoid exposure to allergens in their occupational setting.   |
|                      | 2018 | n/a                      | n/a                         | n/a            |  |

Abbreviations: AR, allergic rhinitis; EC, environmental control; n/a, not applicable (not considered in ICAR-Allergic Rhinitis 2018 document)

## I.C.7 | Management

### I.C.7.a | Avoidance measures and environmental controls

Allergen avoidance is generally low risk and may provide some benefit in controlling AR symptoms. Both physical interventions and chemical applications may reduce allergen load in the environment, although assessment of the effects of these interventions on control of AR symptoms is lacking in some studies. ICAR-Allergic Rhinitis 2023 evaluated allergen avoidance and environmental control measures for house dust mite, cockroach, pets, rodents, pollen, and occupational allergens. Section XI.A of the ICAR-Allergic Rhinitis 2023 document summarizes studies of avoidance measures and environmental controls employed for the treatment of AR (Table I.C.7.a).

The section that follows includes recommendation summaries for allergen avoidance and environmental controls that are included in the ICAR-Allergic Rhinitis 2023 document.

#### Avoidance – house dust mite (HDM)

Aggregate grade of evidence: B (Level 1: 2 studies, level 2: 12 studies)

Benefit: Potential improvement in AR symptoms and QOL with reduced concentration of environmental HDM antigens.

Harm: None.

Cost: Low to moderate. However, cost-effectiveness was not evaluated.

Benefits-harm assessment: Benefit outweighs harm.

Value judgments: There is supporting evidence for the use of acaricides in reducing HDM concentration in children who have AR coexistent with asthma. In adults and children without concomitant asthma, the use of acaricides with/without bedroom-based control programs for reducing HDM concentration are promising, but further, high-quality studies are needed to evaluate clinical outcomes.

Policy level: Option.

Intervention: Acaricides used independently or alongside environmental control measures, such as air filtration devices, could be considered as options in the management AR.

#### Avoidance – cockroach

Aggregate grade of evidence: B (Level 1: 1 study, level 2: 8 studies, level 3: 2 studies, level 4: 1 study)

Benefit: Reduction in cockroach count but allergen concentrations (Bla g 1 and Bla g 2) often above acceptable levels for clinical benefits. No studies included clinical endpoints related to AR.

Harm: None noted.

Cost: Direct costs include multiple treatment applications or multi-interventional approaches. Indirect costs include potential time off work for interventions in home and substantial labor of cleaning measures to eradicate allergens.

Benefits-harm assessment: Balance of benefits and harms since lack of clear clinical benefits.

Value judgments: Control of cockroach populations especially in densely populated multi-family dwellings is important to control cockroach allergen levels.

Policy level: Option.

Intervention: Combination of physical measures (e.g., insecticide bait traps, house cleaning) and education-based methods seem to have the greatest efficacy. Additional research on single intervention approaches is needed with cost analysis, as well as investigation of clinical outcomes related to AR.

#### Avoidance – pets

Aggregate grade of evidence: C (Level 2: 2 studies, level 3: 2 studies, level 4: 1 study)

Benefit: Decreased environmental allergen exposure with possible reduction in symptoms and secondary prevention of asthma.

Harm: Emotional distress caused by removal of household pets. Financial and time costs of potentially ineffective intervention.

Cost: Low to moderate.

Benefits-harm assessment: Equivocal.

Value judgments: While several studies have demonstrated an association between environmental controls and reductions in environmental antigens, only a single, multi-modality randomized controlled trial has demonstrated clinical improvement in nasal symptoms among patients with Fel d 1 sensitivity. The secondary prevention and treatment of asthma in sensitized individuals must also be considered.

Policy level: Option.

Intervention: Pet avoidance and environmental control strategies, particularly multi-modality environmental controls among patients with diagnosed Fel d 1 sensitivity, may be presented as an option for the treatment of AR.

## Avoidance – rodents

Aggregate grade of evidence: C (Level 2: 5 studies, level 3: 5 studies, level 4: 4 studies, level 5: 1 study)

Benefit: Reduces rodent allergen levels (specifically mouse allergen) but no information on AR outcomes.

Harm: Reduction in patient QOL due to removal of pet rodent to whom patient is emotionally attached. Change in job position or role if primary rodent exposure is work-related.

Cost: Direct costs include the cost of interventions such as extermination and mitigating causal factors or loss of income if a job change occurs. Indirect costs include time off work for pest control appointments.

Benefits-harm assessment: Balance of benefit and harm.

Value judgments: Careful patient selection based on exposure history. Heterogeneity of integrated pest management protocols makes quantification of benefit difficult.

Policy level: Option.

Intervention: Avoidance likely improves rodent-specific allergen exposure, especially when the interaction can be eliminated such as when it is work-related or with a pet rodent. Integrated pest management should be considered in select patients, such as pediatric inner-city patients that suffer from asthma and are mouse sensitized.

## Avoidance – pollen

Aggregate grade of evidence: B (Level 1: 1 study, level 2: 3 studies)

Benefit: Decreased symptoms and medication use with potential for improved QOL.

Harm: Interventions may vary in cost and efficacy of each may be inadequately defined.

Cost: Generally low monetary cost depending on strategy.

Benefits-harm assessment: Equivocal, most interventions with lower harm but not well-defined benefits.

Value judgments: Most pollen avoidance measures are based on clinical and expert opinion although trial-based evidence is available for some interventions.

Policy level: Option.

Intervention: Pollen avoidance strategies are generally well tolerated and lower cost, non-medication-based interventions that may have benefit with minimal harm to the patient, but further ran-

domized controlled trials with larger populations would be needed to better characterize efficacy.

## Avoidance – occupational

Aggregate grade of evidence: C (Level 3: 5 studies)

Benefit: Decreased allergen exposure may lead to reduction in symptoms, improvement in QOL, and possible reduced likelihood of developing occupational asthma.

Harm: Potential for socioeconomic harm with loss of wages or requiring changes in occupation.

Cost: Individually may vary if avoidance results in loss of income; for employers, potentially high cost depending on interventions or environmental controls required.

Benefits-harm assessment: Where possible from a patient-centered perspective, in occupational rhinitis complete avoidance is likely beneficial in improving health quality compared to ongoing exposures.

Value judgments: Based primarily on observational studies, allergen avoidance or decreasing exposure is recommended for all patients but can be nuanced depending on the resulting socioeconomic impact.

Policy level: Recommendation.

Intervention: Patients should be counseled to avoid or decrease exposure to inciting agents in occupational respiratory disease.

## I.C.7.b | Pharmacotherapy and procedural options

Pharmacologic treatments are frequently employed to control AR symptoms. Depending on the specific therapy and geographic region, these may be available by prescription or over-the-counter. The evidence for pharmacologic options for AR has been reviewed (Table I.C.7.b).

The section that follows includes recommendation summaries for pharmacotherapies and procedural interventions that are included in the ICAR-Allergic Rhinitis 2023 document. A standard listing of side effect and adverse effects of most AR management options may be found in Table II.C. within the full ICAR-Allergic Rhinitis 2023 document.

## Oral H<sub>1</sub> antihistamines

Aggregate grade of evidence: A (Level 1: 19 studies, level 4: 5 studies)

Benefit: Reduction in symptoms of AR.

**Harm:** Compared to first-generation oral antihistamines, newer-generation antihistamines have fewer central nervous system and anticholinergic side effects. The side effects of first-generation antihistamines can be more pronounced in the elderly. See Table II.C. in full ICAR document.

**Cost:** Inexpensive. Given their improved side effect profile, newer-generation oral antihistamines also have lower indirect costs than first generation oral H<sub>1</sub> antihistamines.

**Benefits-harm assessment:** The benefits outweigh harm for use of newer-generation H<sub>1</sub> oral antihistamines for AR.

**Value judgments:** First-generation oral antihistamines are not recommended for the treatment of AR because of their central nervous system and anticholinergic side effects.

**Policy level:** Strong recommendation for the use of newer-generation oral antihistamines for AR.

**Intervention:** Newer-generation oral antihistamines can be considered in the treatment of AR.

## Oral H<sub>2</sub> antihistamines

**Aggregate grade of evidence:** B (Level 2: 7 studies)

**Benefit:** Decreased objective nasal resistance, and improved symptom control in 4 studies when used in combination with H<sub>1</sub> antagonists.

**Harm:** Drug-drug interaction (p450 inhibition, inhibited gastric secretion, and absorption).

**Cost:** Increased cost associated with H<sub>2</sub> antagonist over H<sub>1</sub> antagonist alone.

**Benefits-harm assessment:** Unclear benefit and possible harm.

**Value judgments:** No studies evaluating efficacy of H<sub>2</sub> antihistamines in context of INCS. There were 2 studies that showed no benefit for H<sub>2</sub> antagonist when used alone or as an additive to H<sub>1</sub> antagonist therapy.

**Policy level:** No recommendation. Available evidence does not adequately address the benefit of H<sub>2</sub> antihistamines in AR.

**Intervention:** Addition of an oral H<sub>2</sub> antagonist to an oral H<sub>1</sub> antagonist may improve symptom control in AR, but data is limited.

## Intranasal antihistamines

**Aggregate grade of evidence:** A (Level 2: 44 studies)

**Benefit:** Rapid onset; more effective for nasal congestion than oral antihistamines; more effective for ocular symptoms than INCS; consistent reduction in symptoms and improvement in

QOL in randomized controlled trials compared to placebo.

**Harm:** Patient tolerance, typically related to taste aversion; less effective for congestion than INCS. See Table II.C. in full ICAR document.

**Cost:** Low to moderate financial burden; available as prescription or nonprescription product.

**Benefits-harm assessment:** Preponderance of benefit over harm. Intranasal antihistamine as monotherapy is consistently more effective than placebo. Most studies show intranasal antihistamines superior to INCS for sneezing, itching, rhinorrhea, and ocular symptoms. Adverse effects are minor and infrequent. Generic prescription and over-the-counter formulations now available.

**Value judgments:** Extensive high-level evidence comparing intranasal antihistamine monotherapy to active and placebo controls demonstrates overall effectiveness and safety.

**Policy level:** Strong recommendation.

**Intervention:** Intranasal antihistamines may be used as first- or second-line therapy in the treatment of AR.

## Oral corticosteroids

**Aggregate grade of evidence:** B (Level 2: 6 studies, level 3: 1 study, level 4: 3 studies)

**Benefit:** Oral corticosteroids can attenuate symptoms of AR and ongoing allergen induced inflammation.

**Harm:** Oral corticosteroids have multiple potential adverse effects, including hypothalamic-pituitary axis suppression. Prolonged use may lead to growth retardation in pediatric populations. See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** The risks of oral corticosteroids outweigh the benefits, given similar symptomatic improvement observed with the use of safer INCS.

**Value judgments:** In the presence of effective symptom control using INCS, the risk of adverse effects from using oral corticosteroids for AR outweighs potential benefits.

**Policy level:** Strong recommendation against routine use.

**Intervention:** Although not recommended for routine use in AR, certain clinical scenarios may warrant the use of short courses of systemic corticosteroids, following a discussion of the risks and benefits with the patient. For example, oral



steroids could be considered in select patients with significant nasal obstruction that precludes adequate penetration of intranasal agents (corticosteroids or antihistamines). In these cases, a short course of systemic corticosteroids may improve congestion and facilitate access of topical medications. No evidence supports this suggestion, and thus careful clinical judgment and risk discussion are advocated.

### **Intranasal corticosteroid sprays**

Aggregate grade of evidence: A (Level 1: 18 studies, level 2: 29 studies, level 3: 3 studies)

Benefit: INCS sprays are effective in reducing nasal and ocular symptoms of AR. Studies have demonstrated superior efficacy compared to oral antihistamines and leukotriene receptor antagonists (LTRAs).

Harm: INCS sprays have undesirable local adverse effects, such as epistaxis, with increased frequency compared to placebo in prolonged administration studies. There are no apparent negative effects on the hypothalamic-pituitary axis. There might be some negative effects on short-term growth in children, but it is unclear whether these effects translate into long-term growth suppression. See Table II.C. in full ICAR document.

Cost: Low.

Benefits-harm assessment: The benefits of using INCS sprays outweigh the risks when used to treat seasonal or perennial AR.

Value judgments: INCS sprays are first line therapy for the treatment of AR by virtue of their superior efficacy in controlling nasal symptoms. Subjects with seasonal AR should start prophylactic treatment with INCS sprays several days before the pollen season with an evaluation of the patient's response a few weeks after initiation, including a nasal exam to evaluate for local irritation or mechanical trauma. Children receiving INCS sprays should be on the lowest effective dose to avoid negative growth effects.

Policy level: Strong recommendation.

Intervention: The demonstrated efficacy of INCS sprays, as well as their superiority over other agents, make them first-line therapy in the treatment of AR.

### **Intranasal corticosteroids: non-traditional application**

Aggregate grade of evidence: B (Level 2: 4 studies, level 3: 1 study)

Benefit: Nebulized steroids or those used via irrigation show some benefit in the treatment of AR in limited studies. Furthermore, steroids inhaled or exhaled through the nose in patients with asthma and rhinitis also show some benefit for rhinitis. Nasal steroid drops are not approved for treatment of rhinitis but are used in certain countries.

Harm: Nasal steroid drops have significant systemic side effects. See Table II.C. in full ICAR document.

Cost: Low.

Benefits-harm assessment: The risks of using corticosteroid nasal drops for AR outweigh the benefits. Limited evidence suggests that nasal steroid irrigations for rhinitis lead to significant improvement of symptoms. Scarce evidence does not support routine recommendation for this route of therapy.

Value judgments: In the presence of effective symptom control using traditional spray administration for INCS, there is no solid data to support other routes of administration.

Policy level: Recommendation against routine use.

Intervention: There is some evidence that inhaled steroids, when exhaled through the nose might improve AR symptoms. Similar benefit is seen when steroids are inhaled by first passing through the nose. These routes might be useful in patients with both rhinitis and asthma.

### **Injectable corticosteroids**

Aggregate grade of evidence: B (Level 1: 1 study, level 2: 11 studies, level 4: 2 studies)

Benefit: Injectable corticosteroids improved symptoms of AR in clinical studies.

Harm: Injectable corticosteroids have known undesirable adverse effects on the hypothalamic-pituitary axis, growth, osteoporosis, glycemic control, and other systemic adverse effects, for varied periods of time after injection. Intraturbinate corticosteroids have a small but potentially serious risk of ocular side effects including decline or loss of vision. See Table II.C. in full ICAR document.

Cost: Low.

Benefits-harm assessment: In routine management of AR, the risk of serious adverse effects outweighs the demonstrated clinical benefit.

Value judgments: Injectable corticosteroids are effective for the treatment of AR. However, given the risk of significant systemic adverse effects, the risk of serious ocular side effects, and the availability of effective alternatives (e.g., INCS sprays),

injectable corticosteroids are not recommended for the routine treatment of AR.

Policy level: Recommendation against.

Intervention: None.

### Oral decongestants

Aggregate grade of evidence: A (Level 2: 12 studies)

Benefit: Reduction of nasal congestion with pseudoephedrine. No benefit with phenylephrine.

Harm: Oral decongestants have known undesirable adverse effects. See Table II.C. in full ICAR document.

Cost: Low.

Benefits-harm assessment: Balance of benefit and harm for pseudoephedrine. Possible harm for phenylephrine.

Value judgments: Little evidence for benefit in controlling symptoms other than nasal congestion.

Policy level: Strong recommendation against for routine use in AR. In certain cases, combination therapy with an oral antihistamine may be beneficial to alleviate severe nasal congestion in short courses.

Intervention: Although not recommended for routine use in AR, pseudoephedrine can be effective in reducing nasal congestion in patients with AR; however, it should only be used as short-term/rescue therapy after a discussion of the risks and benefits with the patient (comorbidities) and consideration of alternative intranasal therapy options.

### Intranasal decongestants

Aggregate grade of evidence: B (Level 2: 10 studies, level 3: 2 studies) Limitation – only 3 studies included subjects with AR.

Benefit: Reduction in symptoms of nasal congestion/blockage and corresponding objective markers with intranasal decongestants compared to placebo.

Harm: Side effects include nasal discomfort/burning, dependency, dryness, hypertension, anxiety, and tremors. Potential for rebound congestion with long-term use. See Table II.C. in full ICAR document.

Cost: Low.

Benefits-harm assessment: Harm likely outweighs benefit if used long-term, with adverse effects appearing as early as 3 days.

Value judgments: Intranasal decongestants can be helpful for short-term relief of nasal congestion.

Policy level: Option for short-term use.

Intervention: Intranasal decongestants can provide effective short-term relief of nasal congestion in patients with AR during an acute flare but recommend against chronic use due to risk of rhinitis medicamentosa.

### Leukotriene receptor antagonists (LTRA)

Aggregate grade of evidence: A (Level 1: 13 studies; level 2: 21 studies)

Benefit: Consistent reduction in symptoms and improvement in QOL compared to placebo.

Harm: United States Food and Drug Administration (FDA) boxed warning regarding neuropsychiatric side effects, including suicidal ideation. Consistently inferior compared to INCS at symptom reduction and improvement in QOL. Equivalent or inferior effect compared to oral antihistamines in symptom reduction and improvement of QOL. See Table II.C. in full ICAR document.

Cost: Moderate.

Benefits-harm assessment: LTRAs are effective as monotherapy compared to placebo. However, there is a consistently inferior or equivalent effect to other, less expensive agents used as monotherapy. The FDA boxed warning is associated with LTRAs as well.

Value judgments: LTRAs are more effective than placebo at controlling both asthma and AR symptoms in patients with both conditions. However, in the light of significant concerns over its safety profile and the availability of effective alternatives such as INCS and oral antihistamines, evidence is lacking to recommend LTRAs as monotherapy in the management of AR.

Policy level: Recommendation against LTRAs as first-line monotherapy for patients with AR. Option for LTRA as monotherapy in patients with contraindications to other preferred treatments.

Intervention: LTRAs should not be used as monotherapy in the treatment of AR but can be considered in select situations where patients have contraindications to alternative treatments.

### Intranasal cromolyn

Aggregate grade of evidence: A (Level 2: 25 studies)

Benefit: Disodium cromoglycate (DSCG) is effective in reducing sneezing, rhinorrhea, and nasal congestion.

Harm: Rare local side effects.

Cost: Low.

**Benefits-harm assessment:** Preponderance of mild to moderate benefit over harm. Less effective than INCS and intranasal antihistamines.

**Value judgments:** DSCG is useful for preventative short-term use in adult patients, children (2 years and older), and pregnant patients with known exposure risks.

**Policy level:** Recommendation as a second-line treatment in AR.

**Intervention:** DSCG may be used as a second-line treatment for AR in patients who fail INCS or intranasal antihistamines, or for short-term preventative benefit prior to allergen exposures.

### **Intranasal anticholinergics (ipratropium bromide (IPB))**

**Aggregate grade of evidence:** A (Level 2: 10 studies, level 3: 2 studies)

**Benefit:** Reduction of rhinorrhea with topical anticholinergics.

**Harm:** Care should be taken to avoid overdosage leading to systemic side effects. See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** Preponderance of benefit over harm in AR patients with rhinorrhea.

**Value judgments:** Benefits limited to controlling rhinorrhea. Can be used as add on treatment for AR patients with persistent rhinorrhea despite first-line medical management.

**Policy level:** Option.

**Intervention:** IPB nasal spray may be used as an adjunct medication to INCS in AR patients with persistent rhinorrhea.

### **Biologic therapies**

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 8 studies, level 3: 2 studies)

**Benefit:** Omalizumab treatment resulted in improvement of symptoms, rescue medication, and QOL as a monotherapy. Dupilumab data is less robust and needs further investigation.

**Harm:** Local reaction at injection site and risk of anaphylaxis.

**Cost:** High.

**Benefits-harm assessment:** Benefit outweighs harm.

**Value judgments:** Biologic therapies show promise as a treatment option for AR; however, no biologic therapies have been approved by the US FDA for this indication.

**Policy level:** Option based upon published evidence, although not currently approved for this indication.

**Intervention:** Monoclonal antibody (biologic) therapies are not currently approved for the treatment of AR.

### **Intranasal saline**

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 17 studies)

**Benefit:** Improved nasal symptoms and QOL, reduction in oral antihistamine use, and improved mucociliary clearance. Well-tolerated with excellent safety profile.

**Harm:** Nasal irritation, sneezing, cough, and ear fullness. See Table II.C. in full ICAR document.

**Cost:** Minimal.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Nasal saline can and should be used as a first line treatment in patients with AR, either alone or combined with other pharmacologic treatments as evidence supports an additive effect. Hypertonic saline may be more effective in children. Data is otherwise inconclusive on optimal salinity, buffering, and frequency and volume of administration.

**Policy level:** Strong recommendation.

**Intervention:** Nasal saline is strongly recommended as part of the treatment strategy for AR.

### **Probiotics**

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 5 studies)

**Benefit:** Improved nasal/ocular symptoms or QOL in most studies.

**Harm:** Mild gastrointestinal side effects.

**Cost:** Low.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Minimal harm associated with probiotics. Heterogeneity across studies makes magnitude of benefit difficult to quantify. Variation in organism and dosing across trials prevents specific recommendations for treatment.

**Policy level:** Option.

**Intervention:** Consider adjuvant use of probiotics for patients with symptomatic seasonal or perennial AR.

## Combination oral antihistamine and oral decongestant

**Aggregate grade of evidence:** A (Level 2: 30 studies)

**Benefit:** Improved nasal congestion and total symptom scores with combination oral antihistamine-oral decongestants.

**Harm:** Oral decongestants can cause adverse events in patients with cardiac conditions, hypertension, or benign prostatic hypertrophy and are not indicated in patients under age 12 or pregnant patients. Oral antihistamines are not indicated in patients under 2 years of age, and caution should be exercised in patients aged 2–5 years old. See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** Combination oral antihistamine-oral decongestant medications carry relatively low risks of adverse events when used as needed for episodic AR symptoms in well-selected patients. Risk may be higher if used daily or in patients with certain comorbidities. There is not a preponderance of benefit or harm when used appropriately as a treatment option.

**Value judgments:** Oral antihistamine-oral decongestants may be an effective option for acute AR symptoms such as nasal congestion and sneezing. Caution should be exercised with long-term use.

**Policy level:** Option for episodic or acute AR symptoms.

**Intervention:** Combination oral antihistamine-oral decongestant medications may provide effective relief of nasal symptoms of AR on an episodic basis. Caution should be exercised in chronic or long-term use as the adverse effect profile of oral decongestants is greater for chronic use.

## Combination oral antihistamine and intranasal corticosteroid

**Aggregate grade of evidence:** A (Level 1: 1 study, level 2: 12 studies)

**Benefit:** The addition of oral antihistamine to INCS has not consistently demonstrated a benefit over INCS alone for symptoms of AR.

**Harm:** Oral antihistamines generally not recommended in patients under 2 years old, and attention to dosing is necessary in patients 2–12 years old. See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** Benefit likely outweighs potential harms in patients with significant nasal congestion symptoms in addition to symptoms such as sneezing and ocular itching. Addition of an

INCS may be limited benefit versus potential harm in patients without significant nasal congestion symptoms.

**Value judgments:** Adding oral antihistamine to INCS spray has not been demonstrated to confer additional benefit over INCS spray alone. INCS improves congestion with or without oral antihistamine.

**Policy level:** Option.

**Intervention:** Current evidence is mixed to support antihistamines as an additive therapy to INCS, as several randomized trials have not demonstrated a benefit over INCS alone for symptoms of AR.

## Combination oral antihistamine and leukotriene receptor antagonist

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 13 studies)

**Benefit:** Combination oral antihistamine-LTRA was superior in symptom reduction and QOL improvement versus placebo and versus either agent as monotherapy.

**Harm:** FDA boxed warning due to risks of mental health side effects limiting use for AR. See Table II.C. in full ICAR document.

**Cost:** Generic montelukast added to generic loratadine or cetirizine is more expensive per month than generic fluticasone furoate nasal sprays, according to National Average Drug Acquisition Cost data provided by the Centers for Medicare and Medicaid Services.

**Benefits-harm assessment:** Combination LTRA and oral antihistamine is superior to placebo, and superior to either agent as monotherapy. However, there is an inferior effect versus INCS, which is also less costly. In addition, there is a boxed warning associated with montelukast.

**Value judgments:** Combination therapy of LTRA and oral antihistamines is effective, but in light of concerns over the safety profile of montelukast, and the availability of effective alternatives such as INCS, evidence is lacking to recommend combination therapy in the management of AR.

**Policy level:** Recommendation against as first line therapy.

**Intervention:** Combination LTRA and oral antihistamines should not be used as first line therapy for AR but can be considered in patients with contraindications to other alternatives. This combination should be used judiciously after carefully weighing potential risks and benefits.



### Combination intranasal corticosteroid and intranasal antihistamine

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 18 studies, level 4: 3 studies)

**Benefit:** Rapid onset; more effective for relief of multiple symptoms than either INCS or intranasal antihistamine alone.

**Harm:** Patient tolerance, especially due to taste. See Table II.C. in full ICAR document.

**Cost:** Moderate financial burden for combined formulation. Concurrent use of individual intranasal antihistamine and corticosteroid sprays is likely a more economical option.

**Benefits-harm assessment:** Preponderance of benefit over harm. Combination therapy with intranasal antihistamine and INCS is consistently more effective than placebo or monotherapy. Low risk of non-serious adverse effects.

**Value judgments:** High-level evidence demonstrates that combination spray therapy with INCS plus intranasal antihistamine is more effective than monotherapy or placebo, as well as more effective than combination of INCS plus oral antihistamine. The increased financial cost and need for prescription limit the value of combination therapy as a routine first-line treatment for AR. When a combined formulation is financially prohibitive, the concurrent use of two separate formulations (antihistamine and corticosteroid) is an alternative option.

**Policy level:** Strong recommendation for the treatment of AR when monotherapy fails to control symptoms.

**Intervention:** Combination therapy with INCS and intranasal antihistamine may be used as second-line therapy in the treatment of AR when initial monotherapy with either INCS or antihistamine does not provide adequate control.

### Combination intranasal corticosteroid and leukotriene receptor antagonist

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 8 studies)

**Benefit:** Some studies demonstrate improvement of symptoms and QOL with combination therapy. One meta-analysis did not show benefit with the exception of ocular itching.

**Harm:** Boxed warning due to risks of serious neuropsychiatric events for LTRA limiting use for AR. See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** Boxed warning for AR limits use. If comorbid asthma and AR, treatment is an option with consideration of mental health risks.

**Value judgments:** Possibly useful for symptom control, especially in patients with comorbid asthma, however, boxed warning limits use in AR without asthma.

**Policy level:** Option as combination therapy if comorbid asthma present and mental health risks are considered. Not recommended for AR alone.

**Intervention:** Consider use in patients with AR and asthma, after weighing therapeutic benefits against risks of mental health adverse effects.

### Combination intranasal corticosteroid and intranasal decongestant

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 5 studies, level 3: 1 study)

**Benefit:** Some evidence in randomized studies of benefit from addition of intranasal decongestant to INCS therapy in refractory AR patients. The evidence regarding the magnitude of effect is unclear, and a meta-analysis that tried to estimate this effect was significantly limited by study heterogeneity and low sample size (two trials).

**Harm:** See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** Balance of benefit and harm with current evidence base.

**Value judgments:** While combination therapy of intranasal decongestant and INCS is superior to INCS therapy alone with low risk of tachyphylaxis in patients with refractory AR, the magnitude of effect is still unclear. There may be a role in patients with AR refractory to INCS and intranasal antihistamine combination therapy prior to consideration of surgery or in patients uninterested in surgery.

**Policy level:** Option.

**Intervention:** Short-term combination therapy with INCS and intranasal decongestant may be considered in patients with AR refractory to combination therapy with INCS and intranasal antihistamine prior to consideration of inferior turbinate reduction or in patients declining surgery.



## Combination intranasal corticosteroid and intranasal ipratropium bromide

**Aggregate grade of evidence:** Unable to determine based on one study. (Level 2: 1 study)

**Benefit:** Reduction of rhinorrhea in INCS-treatment-refractory AR.

**Harm:** Usually no systemic anticholinergic activity if administered intranasally in the recommended doses. See Table II.C. in full ICAR document.

**Cost:** Low.

**Benefits-harm assessment:** Benefit for combined INCS and IPB therapy in patients with treatment refractory AR and the main symptom of rhinorrhea.

**Value judgments:** No evidence for benefit in controlling symptoms other than rhinorrhea. Evidence is limited, but results are encouraging for patients with persistent rhinorrhea.

**Policy level:** Option.

**Intervention:** Combining IPB with beclomethasone dipropionate can be more effective than either agent alone for the treatment of rhinorrhea in refractory AR in children and adults. Although multiple consensus guidelines have recommended, and there is evidence to support this recommendation, it is important to note that there has only been one randomized controlled trial (RCT) to study the efficacy of combined INCS and IPB therapy compared to either agent alone, and this study was performed in a combined population of patients with AR and non-allergic rhinitis.

## Acupuncture

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 1 study)

**Benefit:** Improvement of QOL and symptoms. Fairly well tolerated with no systemic adverse effects.

**Harm:** Needle sticks associated with minor adverse events including skin irritation, erythema, subcutaneous hemorrhage, pruritus, numbness, fainting, and headache. Electroacupuncture can interfere with pacemakers and other implantable devices. Caution is recommended in pregnant patients as some acupoints can theoretically induce labor. Need for multiple treatments and possible ongoing treatment to maintain any benefit gained. Relatively long treatment period.

**Cost:** Moderate-high. Cost and time associated with acupuncture treatment; multiple treatments required.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** The evidence is generally supportive of acupuncture. Acupuncture may be appropriate for some patients to consider as an adjunct/alternative therapy.

**Policy level:** Option.

**Intervention:** In patients who are interested in avoiding medications, acupuncture can be suggested as a possible therapeutic adjunct.

## Honey

**Aggregate grade of evidence:** D (Level 2: 3 studies, conflicting evidence)

**Benefit:** Unclear as studies have shown differing results and include different preparations of honey in the trials. Local honey may be able to modulate symptoms and decrease need for antihistamines.

**Harm:** Potential compliance issues with patients not tolerating the level of sweetness. Potential risk of allergic reaction and rarely anaphylaxis. Caution should be exercised in pre-diabetics and diabetics for concern of elevated blood glucose levels.

**Cost:** Cost of honey and associated healthcare costs with increased consumption.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** More studies are required before honey intake can be widely recommended.

**Policy level:** No recommendation.

**Intervention:** None.

## Herbal therapies

**Aggregate grade of evidence:** Uncertain.

**Benefit:** Unclear, but some herbs may be able to provide symptomatic relief.

**Harm:** Some herbs are associated with mild side effects. Also, the safety, quality, and standardization of herbal remedies and supplements are unclear.

**Cost:** Cost of herbal supplements.

**Benefits-harm assessment:** Unknown.

**Value judgments:** There is a lack of sufficient evidence to recommend the use of herbal supplements in AR.

**Policy level:** No recommendation.

**Intervention:** None.

## Septoplasty/septorhinoplasty

Aggregate grade of evidence: C (Level 3: 1 study, level 4: 3 studies, level 5: 11 studies)

Benefit: Improved postoperative symptoms and nasal airway.

Harm: Risk of complications (e.g., septal hematoma or perforation, nasal dryness, cerebrospinal fluid leak, epistaxis, unfavorable aesthetic change); persistent obstruction.

Cost: Surgical/procedural costs, time off from work.

Benefits-harm assessment: Potential benefit must be weighed against low risk of harm and cost of procedure.

Value judgments: Properly selected patients with septal deviation impacting their nasal patency can experience improved nasal obstruction symptoms.

Policy level: Option for those with obstructive septal deviation.

Intervention: Septoplasty/septorhinoplasty may be considered in AR patients that have failed medical management and who have anatomic, obstructive features that may benefit from this intervention.

## Inferior turbinate (IT) surgery

Aggregate grade of evidence: B (Level 1: 4 studies, level 2: 13 studies, level 3: 18 studies, level 4: 50 studies)

Benefit: Improvement in rhinitis symptoms including nasal breathing, congestion, sneezing, and itching. Improved nasal cavity area via objective measures, as well as increased QOL via subjective measures.

Harm: Risk of complications (e.g., swelling, crusting, empty nose syndrome, epistaxis).

Cost: Surgical/procedural costs, potential time off from work.

Benefits-harm assessment: Potential benefit outweighs low risk of harm.

Value judgments: Current evidence suggests that patients with AR who suffer from IT hypertrophy will likely experience improvement in symptoms, nasal patency, and QOL.

Policy level: Recommendation in patients with medically refractory nasal obstruction.

Intervention: In AR patients with IT hypertrophy that have failed medical management, IT reduction is a safe and effective treatment to reduce symptoms and improve nasal function. More studies are warranted to directly compare IT surgery methods (e.g., radiofrequency abla-

tion, laser-assisted, microdebrider-assisted) for the most efficacious and long-lasting outcome.

## Vidian neurectomy, posterior nasal neurectomy

Aggregate grade of evidence: B (Level 2: 3 studies, level 3: 5 studies, level 4: 7 studies, level 5: 2 studies)

Benefit: Improvement in rhinorrhea.

Harm: Risk of complications (e.g., dry eye and decreased lacrimation, numbness in lip/palate, nasal dryness, damage to other nerves).

Cost: Surgical/procedural costs, potential time off from work.

Benefits-harm assessment: Potential benefit must be balanced with low risk of harm but consider that long-term results may be limited.

Value judgments: Patients may experience an improvement in symptoms.

Policy level: Option.

Intervention: Vidian neurectomy or posterior nasal neurectomy may be considered in AR patients that have failed medical management, particularly for rhinorrhea.

## Cryotherapy/radiofrequency ablation of posterior nasal nerve

Aggregate grade of evidence: C (Level 3: 2 studies, level 4: 4 studies, level 5: 5 studies)

Benefit: Improvement in rhinorrhea.

Harm: Risk of complications (e.g., epistaxis, temporary facial pain and swelling, headaches), limited long-term results.

Cost: Surgical/procedural costs, cost of device, potential time off from work.

Benefits-harm assessment: Potential benefit must be balanced with low risk of harm, especially considering limited long-term results.

Value judgments: Patients may experience an improvement in symptoms.

Policy level: Option.

Intervention: Cryoablation and radiofrequency ablation of the posterior nasal nerve may be considered in AR patients that have failed medical management, particularly for rhinorrhea.

## I.C.7.c | Allergen immunotherapy

Unlike allergen avoidance, environmental controls, and pharmacotherapy, AIT has the benefit of initiating and sustaining immunologic alterations. Following AIT, which involves scheduled administration of allergen extracts at effective doses for a specified time frame, controlled

TABLE I. C. 7. b Pharmacotherapy options for the treatment of allergic rhinitis – comparison between 2018 and 2023

| Medication  | Year | Number of listed studies | Aggregate grade of evidence | Policy level  | Interpretation   |
|---|------|--------------------------|-----------------------------|---|--|
| Oral H <sub>1</sub> antihistamines                      | 2023 | 24                       | A                           | Strong recommendation                                       | Newer-generation oral H <sub>1</sub> antihistamines are strongly recommended for AR treatment.   |
|   | 2018 | 21                       | A                           | Strong recommendation                                       |  |
| Oral H <sub>2</sub> antihistamines                      | 2023 | 7                        | B                           | No recommendation   | Insufficient data.   |
|   | 2018 | 6                        | B                           | No recommendation   |  |
| Intranasal antihistamines                               | 2023 | 44                       | A                           | Recommendation  | Intranasal antihistamines should be used as first- or second-line therapy for the treatment of AR.   |
|   | 2018 | 44                       | A                           | Recommendation  |  |
| Oral corticosteroids                                    | 2023 | 10                       | B                           | Strong recommendation against                               | Strongly recommend against use of oral steroids for routine AR care.   |
|   | 2018 | 9                        | B                           | Recommend against   |  |
| Injectable corticosteroids                              | 2023 | 14                       | B                           | Recommend against   | Systemic or intraturbinate corticosteroid injections are not recommended for routine AR treatment.   |
|   | 2018 | 13                       | B                           | Recommend against   |  |
| Intranasal corticosteroid spray                         | 2023 | 50                       | A                           | Strong recommendation                                       | INCS should be used as first-line therapy in the treatment of AR.  |
|   | 2018 | 53                       | A                           | Strong recommendation                                       |  |
| Intranasal corticosteroids, non-traditional application | 2023 | 5                        | B                           | Recommend against   | No evidence for non-traditional delivery application of intranasal steroids for AR.  |
|   | 2018 | n/a                      | n/a                         | n/a   |  |
| Oral decongestants                                      | 2023 | 12                       | A                           | Strong recommendation against                               | Not recommended for routine treatment AR. Short-term use of combination oral H <sub>1</sub> antihistamine and oral decongestant may be considered. |
|   | 2018 | 9                        | B                           | Option – pseudoephedrine; recommend against – phenylephrine |  |
| Topical intranasal decongestants                        | 2023 | 12                       | B                           | Option  | Option for short-term topical decongestant use.  |
|   | 2018 | 4                        | B                           | Option  |  |
| Leukotriene receptor antagonists                        | 2023 | 34                       | A                           | Recommend against   | LTRAs should not be used as monotherapy in the routine treatment of AR.  |
|   | 2018 | 31                       | A                           | Recommend against   |  |
| Cromolyn (DSCG)   | 2023 | 25                       | A                           | Recommended as a second-line treatment                      | DSCG may be considered as a second-line treatment for AR.  |
|   | 2018 | 22                       | A                           | Option  |  |
| Intranasal anticholinergic (IPB)                        | 2023 | 12                       | A                           | Option  | IPB nasal spray may be considered as an adjunct to INCS in perennial AR patients with persistent rhinorrhea.                                       |
|   | 2018 | 14                       | B                           | Option  |  |
| Biologics   | 2023 | 12                       | A                           | Option  | Option based on published evidence. However, omalizumab is not approved by the US FDA for the treatment of AR alone.                               |
|   | 2018 | 6                        | A                           | No indication   |  |

(Continues)

TABLE I. C. 7. b (Continued)

| Medication   | Year | Number of listed studies | Aggregate grade of evidence | Policy level          | Interpretation  |
|--|------|--------------------------|-----------------------------|-----------------------|---|
| Nasal saline   | 2023 | 21                       | A                           | Strong recommendation | Nasal saline is strongly recommended as part of the treatment strategy for AR.                  |
|  | 2018 | 12                       | A                           | Strong recommendation |   |
|  | 2023 | 9 <sup>a</sup>           | A                           | Option                | Consider adjuvant use of probiotics for AR treatment.   |
|  | 2018 | 28                       | A                           | Option                |   |
| Combination oral antihistamine and oral decongestant | 2023 | 30                       | A                           | Option                | Option for acute exacerbations with a primary symptom of nasal congestion.                      |
|  | 2018 | 21                       | A                           | Option                |   |
| Combination oral antihistamine and INCS              | 2023 | 13                       | A                           | Option                | Current data is mixed.  |
|  | 2018 | 5                        | B                           | Option                |   |
| Combination oral antihistamine and LTRA              | 2023 | 17                       | A                           | Recommend against     | Recommendation against as first line therapy.   |
|  | 2018 | 13                       | A                           | Option                |   |
| Combination INCS and intranasal antihistamine        | 2023 | 23                       | A                           | Strong recommendation | Strong recommendation for combination therapy when monotherapy fails to control AR symptoms.    |
|  | 2018 | 12                       | A                           | Strong recommendation |   |
| Combination INCS and LTRA                            | 2023 | 9                        | B                           | Option                | Option as combination therapy if comorbid asthma present and mental health risks are considered |
|  | 2018 | n/a                      | n/a                         | n/a                   | Option for short-term therapy.  |
| Combination INCS and intranasal decongestant         | 2023 | 7                        | B                           | Option                |   |
|  | 2018 | n/a                      | n/a                         | n/a                   |   |
| Combination INCS and intranasal ipratropium          | 2023 | 1                        | -                           | Option                | Limited evidence to support this recommendation.  |
|  | 2018 | n/a                      | n/a                         | n/a                   |   |
| Acupuncture  | 2023 | 5                        | A                           | Option                | Acupuncture may be suggested as a possible therapeutic adjunct to other therapy.                |
|  | 2018 | 2                        | B                           | Option                | Studies inconclusive.   |
| Honey  | 2023 | 3                        | B                           | No recommendation     |   |
|  | 2018 | 3                        | B                           | No recommendation     |   |
| Herbal therapies                                     | 2023 | -                        | -                           | No recommendation     | Insufficient evidence to recommend herbal remedies.   |
|  | 2018 | -                        | -                           | No recommendation     |   |

Abbreviations: AR, allergic rhinitis; DSCG, disodium cromoglycate; FDA, Food and Drug Administration; INCS, intranasal corticosteroids; IPB, ipratropium bromide; LTRA, leukotriene receptor antagonists; n/a, not applicable (not considered in ICAR-Allergic Rhinitis 2018 document); US, United States.

<sup>a</sup>Studies included in systematic reviews were not separately listed in tables

**TABLE I.C.7.c** Allergen immunotherapy for the treatment of allergic rhinitis – comparison between 2018 and 2023

| AIT method                            | Year | Number of listed studies | Aggregate grade of evidence | Policy level                       | Interpretation  |
|---------------------------------------|------|--------------------------|-----------------------------|------------------------------------|---|
| Subcutaneous immunotherapy (SCIT)     | 2023 | 77                       | A                           | Strong recommendation              | Strong recommendation for SCIT as compared to no therapy. Option for SCIT over SLIT.                |
|                                       | 2018 | 8                        | A                           | Strong recommendation              |   |
| Rush SCIT                             | 2023 | 20                       | B                           | Option                             | Option for rush SCIT in the appropriate patient.  |
|                                       | 2018 | n/a                      | n/a                         | n/a                                |   |
| Cluster SCIT                          | 2023 | 15                       | B                           | Option                             | Option for cluster SCIT with premedication strongly considered.                                     |
|                                       | 2018 | n/a                      | n/a                         | n/a                                |   |
| Sublingual immunotherapy (SLIT)       | 2023 | 30                       | A                           | Strong recommendation <sup>a</sup> | Strong recommendation for SLIT in patients unable to obtain adequate relief from pharmacotherapy.   |
|                                       | 2018 | 25                       | A                           | Strong recommendation              |   |
| SLIT tablets                          | 2023 | 15                       | A                           | Strong recommendation              | The evidence supports a strong recommendation for SLIT tablets for refractory AR.                   |
|                                       | 2018 | n/a                      | n/a                         | n/a                                |   |
| Aqueous SLIT                          | 2023 | 13                       | B                           | Recommendation                     | Aqueous SLIT recommended for refractory AR.   |
|                                       | 2018 | n/a                      | n/a                         | n/a                                |   |
| Trans/epicutaneous immunotherapy      | 2023 | 5                        | B                           | Recommend against                  | Trans/epicutaneous immunotherapy is currently not recommended for AR treatment.                     |
|                                       | 2018 | 4                        | B                           | Recommend against                  |   |
| Intralymphatic immunotherapy (ILIT)   | 2023 | 16                       | A                           | Option                             | ILIT may be a viable option for AR treatment, currently under investigation.                        |
|                                       | 2018 | 7                        | B                           | Option                             |   |
| Combination SCIT and biologic therapy | 2023 | 5                        | B                           | Option                             | Anti-IgE may be beneficial as a premedication prior to induction of cluster or rush SCIT protocols. |
|                                       | 2018 | 4                        | B                           | Option                             |   |

Abbreviations: AR, allergic rhinitis; ICAR, International Consensus Statement on Allergy and Rhinology; ILIT, intralymphatic immunotherapy; n/a, not applicable (not considered in ICAR-Allergic Rhinitis 2018 document); SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy.

<sup>a</sup>Specific recommendations for various SLIT preparations in full ICAR document.

trials demonstrate reduction in allergy symptoms and medication use.

The AIT portion of ICAR-Allergic Rhinitis 2023 discusses AIT candidacy, benefits, and contraindications. Allergen units and standardization are addressed, along with allergen extract adjuvants and modified allergen extracts. Overall, there is high level evidence supporting the use of AIT for AR (Table I.C.7.c).

### Conventional subcutaneous immunotherapy (SCIT)

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 46 studies, level 3: 29 studies)

**Benefit:** SCIT reduces symptom and medication use, as demonstrated in multiple high-quality studies.

**Harm:** Risks of SCIT include frequent local reactions and rare systemic reactions, which may be severe and potentially fatal if not managed appropriately. This risk must be discussed with patients prior to initiation of therapy.

**Cost:** SCIT is cost-effective, with some studies demonstrating value that dominates the alternative strategy with improved health outcomes at lower cost. Direct and indirect costs of AIT vary based on the third-party payer, the office/region, co-payment responsibilities, and travel/opportunity related costs in being able to adhere to the frequency of office visits required.

**Benefits-harm assessment:** For patients with symptoms lasting longer than a few weeks per year and for those who cannot obtain adequate relief



with symptomatic treatment or who prefer an immunomodulation option, benefits of SCIT outweigh harm. The potential benefit of secondary disease-modifying effects, especially in children and adolescents, should be considered.

**Value judgments:** A patient preference-sensitive approach to therapy is needed. Comparatively, the potential for harm and burden associated with medications are significantly lower, although the potential for benefit is also lower (with no potential for any disease-modifying effect or long-term benefit) as medications do not induce immunomodulation. Logistical issues surrounding time commitment involved with AIT may be prohibitive for some patients. The strength of evidence for SCIT efficacy, along with the benefit relative to cost, would support coverage by third party payers.

**Policy level:** Strong recommendation for SCIT as a patient preference-sensitive option for the treatment of AR.

Strong recommendation for SCIT over no therapy for the treatment of AR.

Option for SCIT over sublingual immunotherapy (SLIT) for the treatment of AR.

**Intervention:** SCIT is an appropriate treatment consideration for patients who have not obtained adequate relief with symptomatic therapy or who prefer this therapy as a primary management option, require prolonged weeks of treatment during the year, and/or wish to start treatment for the benefit of the potential secondary disease-modifying effects of SCIT.

### Rush subcutaneous immunotherapy

**Aggregate grade of evidence:** B (Level 2: 12 studies, level 3: 4 studies, level 4: 4 studies)

**Benefit:** Accelerates the time to reach therapeutic dosing which may improve compliance, lead to earlier clinical benefit, and be more convenient for the patient. Improvement of symptoms and decreased need for rescue medication.

**Harm:** Higher rates of local and systemic reactions with rush SCIT protocols compared to conventional and cluster SCIT. Inconvenience of visits to a medical facility to receive injections.

**Cost:** Direct costs may be similar or slightly less compared to conventional SCIT, which includes cost of extract preparation and injection visits. Indirect costs are improved due to the reduced number of appointment visits, which reduces work and school absenteeism.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Careful patient selection and shared decision making would reduce risks. Heterogeneity of protocols, extract types, and dosing across studies makes quantification of risk difficult.

**Policy level:** Option.

**Intervention:** Aeroallergen rush SCIT is an option for AR in appropriately selected patients that do not have adequate control of their symptoms with symptomatic therapies. If available at practice location, the use of depigmented-polymerized allergen extracts for rush SCIT has a better safety profile compared with standard extracts.

### Cluster subcutaneous immunotherapy

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 12 studies, level 4: 2 studies)

**Benefit:** Accelerates the time to reach therapeutic dosing which may improve compliance, lead to earlier clinical benefit, and be more convenient for the patient. Improvement of symptoms and decreased need for rescue medication. Similar safety profile compared to conventional SCIT.

**Harm:** Minimal harm with occasional, but mild, local adverse events and rare systemic adverse events when premedication is used. Inconvenience of visits to a medical facility to receive injections.

**Cost:** Direct costs may be similar, slightly more, or slightly less compared to conventional SCIT, depending on how the practicing provider bills for the services. This includes cost of extract preparation, injection visits, and possibly rapid desensitization codes. Indirect costs are lower due to the reduced number of appointment visits, which reduces work and school absenteeism.

**Benefits-harm assessment:** Preponderance of benefit over harm for patients that cannot achieve adequate relief with symptomatic management. Balance of benefit and harm compared to conventional SCIT but in slight favor of cluster SCIT due to convenience.

**Value judgments:** Careful patient selection and shared decision making would reduce risks. Heterogeneity of protocols, extract types, and dosing across studies makes risk quantification difficult.

**Policy level:** Option.

**Intervention:** Cluster SCIT can be safely implemented in clinical practice and offered to those patients eligible for SCIT that may prefer this

protocol compared to conventional build-up protocols due to convenience. Premedication should be strongly considered.

### **Sublingual immunotherapy (SLIT): general considerations**

Aggregate grade of evidence: A (Level 1: 17 studies, level 2: 12 studies, level 4: 1 study)

Due to heterogeneity of SLIT study reporting, it is difficult to separate out overall versus aqueous SLIT versus tablet SLIT.

Benefit: SLIT improves patient symptom scores, even as add-on treatment with rescue medication. SLIT reduces medication use. The effect of SLIT lasts for at least 2 years after a 3-year course of therapy. In AR patients, there is some evidence that SLIT reduces the frequency of onset of asthma and the development of new sensitizations up to 2 years after treatment termination. Benefit is generally higher than with single-drug pharmacotherapy; however, it may be less than with SCIT (low quality evidence).

Harm: Minimal harm with very frequent, but mild local adverse events, and very rare systemic adverse events. SLIT seems to be safer than SCIT.

Cost: Intermediate. SLIT becomes cost-effective compared to pharmacotherapy after several years of administration. Total costs seem to be lower than with SCIT.

Benefits-harm assessment: Benefit of treatment over placebo is small but tangible and occurs in addition to improvement with medication. There is a lasting effect at least 2 years off treatment. Minimal harm with SLIT, greater risk for SCIT.

Value judgments: SLIT improved patient symptoms with low risk for adverse events.

Policy level: Strong recommendation for the use of SLIT grass pollen tablet, ragweed tablet, HDM tablet, and tree pollen aqueous solution. Recommendation for SLIT for *Alternaria* allergy. Option for SLIT for animal allergy. Recommendation for dual-therapy SLIT in bi-allergic patients.

Intervention: Recommend tablet or aqueous SLIT in patients (adults and children) with seasonal and/or perennial AR who wish to reduce their symptoms and medication use, as well as possibly reduce the propensity to develop asthma or new allergen sensitizations.

### **Sublingual immunotherapy tablets**

Aggregate grade of evidence: A (Level 1: 11 studies, level 2: 4 studies)

Benefit: Improvement of symptoms, rescue medication, and QOL.

Harm: Local reaction at oral administration site and low risk of anaphylaxis.

Cost: Intermediate. More expensive than standard pharmacotherapy, but persistent benefit may result in cost-saving in the long-term.

Benefits-harm assessment: Benefit outweighs harm.

Value judgments: Useful for patients with severe or refractory symptoms of AR.

Policy level: Strong recommendation.

Intervention: SLIT tablets are recommended for patients with severe or refractory AR. Epinephrine auto-injector is recommended in the FDA labeling for approved tablets due to the rare but serious risk of anaphylaxis. Tablets for select antigens are available in various countries.

### **Aqueous sublingual immunotherapy**

Aggregate grade of evidence: B (Level 1: 7 studies, level 2: 5 studies, level 4: 1 study)

Benefit: Aqueous SLIT improves patient symptom scores and decreases rescue medication use. There is some indication of less benefit from aqueous versus tablet SLIT, but the lack of standardized dosing across multiple trials does not allow for adequate comparison.

Harm: Common mild to moderate local adverse events. Very rare cases of systemic adverse events. No reported cases of life-threatening reactions

Cost: Intermediate. More expensive than standard pharmacotherapy, but there are indications of lasting benefit and cost-saving in the long-term.

Benefits-harm assessment: Appreciable benefit in patient symptoms and minimal harm.

Value judgments: Aqueous SLIT improves patient symptoms and rescue medication usage with minimal risk of serious adverse events but common local mild adverse events. Single allergen therapy has been extensively tested. Multiallergen AIT requires future studies to validate its use.

Policy level: Recommendation.

Intervention: High-dose aqueous SLIT is recommended for those patients who wish to reduce their symptoms and rescue medication use.

### **Epicutaneous/transcutaneous immunotherapy**

Aggregate grade of evidence: B (Level 2: 5 studies)

Benefit: Epicutaneous AIT to grass pollen resulted in limited and variable improvement in symptoms,

medication use, and allergen provocation tests in patients with AR or conjunctivitis.

**Harm:** Epicutaneous AIT resulted in systemic and local reactions, with a relative risk of 4.65 and 2.29, respectively. Systemic reactions occurred in up to 14.6% of patients receiving grass transcutaneous AIT.

**Cost:** Unknown.

**Benefits-harm assessment:** There is limited and inconsistent data on benefit of the treatment, while there is a concerning rate of adverse effects. Three out of 4 studies on this topic were published by the same investigators from 2009 to 2015.

**Value judgments:** Epicutaneous AIT could offer a potential alternative to SCIT and SLIT, but further research is needed.

**Policy level:** Recommendation against.

**Intervention:** While epicutaneous AIT may potentially have a future clinical application in the treatment of AR, at this juncture there are limited studies that show variable and limited effectiveness, and a significant rate of adverse reactions. Given the above and the availability of alternative treatments, epicutaneous AIT is not recommended at this time.

### Intralymphatic immunotherapy

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 11 studies, level 4: 3 studies)

**Benefit:** Shorter treatment period, decreased number of injections, smaller amount of allergen, lower risk of adverse events versus SCIT.

**Harm:** Local reaction at injection site and risk of anaphylaxis.

**Cost:** Cost savings due to shorter treatment duration and fewer injections. Additional cost for training required.

**Benefits-harm assessment:** Benefit outweighs harm.

**Value judgments:** Apparent short-term favorable effect, but long-term effect is lacking.

**Policy level:** Option.

**Intervention:** More studies are essential to establish the long-term effects of ILIT.

### Combination subcutaneous immunotherapy and biologics

**Aggregate grade of evidence:** B (Level 2: 5 studies)

**Benefit:** Improved safety of accelerated cluster and rush SCIT protocols, with decreased symptom

and rescue medication scores among a carefully selected population.

**Harm:** Financial cost and low risk of anaphylactic reactions to omalizumab.

**Cost:** Moderate to high.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Combination therapy increases the safety of SCIT, with decreased systemic reactions following cluster and rush protocols. Associated treatment costs must be considered. While two high-quality RCTs have demonstrated improved symptom control with combination therapy over SCIT or anti-IgE alone, not all patients will require this approach. Rather, an individualized approach to patient management must be considered, with evaluation of alternative causes for persistent symptoms, such as unidentified allergen sensitivity. Also, the studies did not compare optimal medical treatment of AR (INCS, antihistamine, allergen avoidance measures) to combination therapy versus SCIT alone. The current evidence does not support the utilization of combination therapy for all patients failing to benefit from SCIT alone.

**Policy level:** Option.

**Intervention:** Current evidence supports that anti-IgE may be beneficial as a premedication prior to induction of cluster or rush SCIT protocols, and combination therapy may be advantageous as an option for carefully selected patients with persistent symptomatic AR following AIT. However, at the time of this writing, biologic therapies are not approved by the US FDA for AR alone. An individualized approach to patient management must be considered.

## I.C.8 | Pediatric considerations

The pediatric section is a new addition for ICAR-Allergic Rhinitis 2023 and encompasses several literature reviews. AR takes a few years to develop in children. A family history of AR, atopy, or asthma is important to discuss as children may be at an increased risk of developing AR or other allergic diseases. The “allergic march,” described as a specific sequence of atopic disorders, should be considered in children with clinical suspicion. Diagnosis may be challenging in the pediatric population, and some diagnostic clues include chapped lips from mouth breathing, fatigue, irritability, poor appetite, and attention issues.

**TABLE I. C. 9** Allergic rhinitis associated conditions – comparison between 2018 and 2023

| Condition  | Year | Number of listed studies | Aggregate grade of evidence | Interpretation  |
|--|------|--------------------------|-----------------------------|---|
| Asthma – association with rhinitis                           | 2023 | 17                       | B                           | Asthma is associated with AR and non-allergic rhinitis, due to the “unified airway” concept.  |
|  | 2018 | 7                        | C                           |   |
| Asthma – rhinitis as a risk factor                           | 2023 | 22                       | C                           | AR and non-allergic rhinitis are risk factors for developing asthma.  |
|  | 2018 | 13                       | C                           |   |
| Asthma – benefit of pharmacologic treatment for AR on asthma | 2023 | 28                       | A                           | See Section XIII.A.4. for specific recommendations.   |
|  | 2018 | –                        | –                           |   |
| Asthma – benefit of biologics for AR on asthma               | 2023 | 2                        | B                           | Omalizumab improves comorbid asthma.  |
|  | 2018 | n/a                      | n/a                         |   |
| Asthma – benefit of AIT for AR on asthma                     | 2023 | 13                       | A                           | Both SCIT and SLIT improve comorbid asthma.   |
|  | 2018 | n/a                      | n/a                         |   |
| Chronic rhinosinusitis without nasal polyps                  | 2023 | 10                       | D                           | Conflicting evidence for/against an association.  |
|  | 2018 | 10                       | D                           |   |
| Chronic rhinosinusitis with nasal polyps                     | 2023 | 21                       | D                           | Conflicting evidence for/against an association.  |
|  | 2018 | 21                       | D                           |   |
| Allergic fungal rhinosinusitis (AFRS)                        | 2023 | 15                       | C                           | Conflicting evidence, but allergy is thought to play an important role in AFRS.   |
|  | 2018 | n/a                      | n/a                         |   |
| Central compartment atopic disease (CCAD)                    | 2023 | 13                       | C                           | Conflicting evidence, but early studies generally support an association between AR and CCAD.   |
|  | 2018 | n/a                      | n/a                         |   |
| Aspirin exacerbated respiratory disease (AERD)               | 2023 | 6                        | C                           | High rate of concomitant atopy in AERD, however majority of AERD symptoms likely unrelated to AR.   |
|  | 2018 | n/a                      | n/a                         |   |
| Conjunctivitis   | 2023 | 12                       | C                           | Conjunctivitis is a frequently occurring comorbidity of AR, especially in children.   |
|  | 2018 | 7                        | C                           |   |
| Atopic dermatitis  | 2023 | 31                       | C                           | There is evidence for an association between AR and atopic dermatitis.  |
|  | 2018 | 20                       | C                           |   |
| Pollen food allergy syndrome (PFAS)                          | 2023 | 17                       | C                           | There is evidence for a link between pollen allergy and PFAS. Currently AIT is not recommended for the sole purpose of improved food tolerance. |
|  | 2018 | 12                       | B                           |   |
| Anaphylactic food allergy                                    | 2023 | 20                       | C                           | Evidence for AIT treatment for food allergies; see full ICAR section for details.   |
|  | 2018 | n/a                      | n/a                         |   |
| Adenoid hypertrophy  | 2023 | 13                       | C                           | Conflicting evidence for/against an association.  |
|  | 2018 | 11                       | C                           |   |
| Otologic conditions – Eustachian tube dysfunction            | 2023 | 16                       | C                           | There is a causal role for AR in the development of Eustachian tube dysfunction.  |
|  | 2018 | 7                        | C                           |   |
| Otologic conditions – otitis media                           | 2023 | 36                       | C                           | Relationship between AR and otitis media is unclear; however, allergy treatment has not been effective in resolving middle ear effusion.        |
|  | 2018 | 16                       | C                           |   |
| Otologic conditions – Meniere’s disease                      | 2023 | 12                       | C                           | Possible association between Meniere’s disease and AR; needs more rigorous investigation.   |
|  | 2018 | 8                        | C                           |   |
| Cough  | 2023 | 18                       | C                           | Conflicting evidence. Treatment of AR may improve associated cough.   |
|  | 2018 | 9                        | C                           |   |

(Continues)

TABLE I.C.9 (Continued)

| Condition                 | Year | Number of listed studies | Aggregate grade of evidence | Interpretation  |
|---------------------------|------|--------------------------|-----------------------------|---|
| Laryngeal disease         | 2023 | 23                       | C                           | There is increasing evidence for an association between AR and laryngeal disease.   |
|                           | 2018 | 18                       | C                           |   |
| Eosinophilic esophagitis  | 2023 | 35                       | C                           | Limited observational data suggests a potential association between aeroallergens and pathogenesis of eosinophilic esophagitis. |
|                           | 2018 | 13                       | C                           |   |
| Sleep disturbance and OSA | 2023 | 16 <sup>a</sup>          | B                           | Sleep disturbance is associated with AR.<br>Treatment of AR can improve sleep quality.  |
|                           | 2018 | 20                       | B                           |   |

Abbreviations: AERD, aspirin exacerbated respiratory disease; AFRS, allergic fungal rhinosinusitis; AIT, allergen immunotherapy; AR, allergic rhinitis; CCAD, central compartment atopic disease; OSA, obstructive sleep apnea; PFAS, pollen food allergy syndrome; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy.

<sup>a</sup>Studies included in systematic reviews were not separately listed in tables.

TABLE I.C.12 Summary of knowledge gaps and future research needs in allergic rhinitis, based on the work in ICAR-Allergic Rhinitis 2023

| Major content area                   | Knowledge gaps and future research needs  |
|--------------------------------------|---|
| <b>Epidemiology and risk factors</b> | <ul style="list-style-type: none"> <li>Improved understanding of the incidence of AR based on geographic location</li> <li>Evaluation of climate change effects on incidence and severity of AR</li> <li>Improved understanding of the relationship between genetics and environmental factors in the development of AR</li> <li>High quality longitudinal studies evaluating risk factors for development of AR</li> </ul>                                   |
| <b>Evaluation and diagnosis</b>      | <ul style="list-style-type: none"> <li>Increased understanding of hyposmia as a symptom of AR or a marker of its severity</li> <li>Further evaluation and validation of nasal sIgE testing for AR diagnosis</li> <li>Further work evaluating the use of novel AR testing techniques, such as BAT and mast cell activation testing, provocation testing, and objective measures of nasal air flow</li> <li>Improvement of low-cost diagnostic tools</li> </ul> |
| <b>Pediatrics</b>                    | <ul style="list-style-type: none"> <li>Improved treatment options for young children</li> <li>Improved interpretation of skin testing results in young children</li> <li>Optimizing treatment strategies for children who are polysensitized</li> <li>Further work developing allergen immunotherapy delivery routes appropriate and safe for children</li> </ul>   |
| <b>Management</b>                    | <ul style="list-style-type: none"> <li>Continued investigation of combination therapy options, including topical therapies</li> <li>Studies of comparative effectiveness and cost-effectiveness for AR treatments</li> <li>Further work directly comparing SCIT to SLIT in large-scale RCTs</li> <li>Standardization of rush and cluster SCIT protocols for aeroallergen immunotherapy</li> </ul>   |
| <b>Associated conditions</b>         | <ul style="list-style-type: none"> <li>Improved understanding of treatment effects of AR on specific comorbid CRSwNP subtypes/endotypes</li> <li>Continued work to determine the relationship of AR to ear disease</li> <li>Investigation of treatment effect of AR on cough</li> </ul>   |
| <b>COVID-19</b>                      | <ul style="list-style-type: none"> <li>Improved understanding of the aerosolization risk during nasal endoscopy</li> <li>Improved understanding of the risks of AR treatment, including allergen immunotherapy, during COVID infection</li> <li>A deeper understanding of the long-term effects of COVID on allergic diseases and their development</li> </ul>  |

Abbreviations: AR, allergic rhinitis; COVID, coronavirus disease 2019; BAT, basophil activation test; CRSwNP, chronic rhinosinusitis with nasal polyps; SCIT, subcutaneous immunotherapy; sIgE, allergen-specific immunoglobulin E; SLIT, sublingual immunotherapy.

Physical exam findings include posterior pharyngeal cobblestoning, clear nasal drainage, and enlarged/boggy inferior turbinates, “allergic” or “adenoid” facies, the allergic salute, allergic crease, allergic shiners, or Dennie–Morgan lines. The diagnosis of AR in children should be based on both clinical history and testing. SPT is generally accepted

as the preferred method of testing in children. Treatment options for children under age 2 are limited. For older children, treatment options are similar to the adult population. AIT is also an option for children with persistent symptoms. AIT may reduce the risk of asthma development in pediatric patients with AR.



ALLERGIC RHINITIS SUMMARY RECOMMENDATIONS

|                          | STRONGLY RECOMMENDED   | RECOMMENDED  | OPTION  | NOT RECOMMENDED   | INSUFFICIENT EVIDENCE  |
|--------------------------|--|--|---|---|--|
| Evaluation and Diagnosis |  | History and physical exam (low level evidence)<br>Skin prick testing – standardized allergen extracts improve consistency<br>Serum sIgE<br>Nasal provocation testing – for LAR, occupational rhinitis<br>Validated surveys | Nasal endoscopy<br>Intradermal testing – stand-alone or confirmatory following SPT<br>Blended skin testing techniques – semi-quantitative<br>Serum tIgE – for assessment of overall atopic status<br>Nasal sIgE – may be used to evaluate for LAR<br>Basophil activation testing<br>Nasal provocation testing<br>Nasal cytology<br>Rhinomanometry<br>Acoustic rhinometry<br>Peak nasal inspiratory flow – with PROMs  | Radiologic studies<br>Nasal histology<br>Fractional exhaled nitric oxide (FeNO)<br>Nasal NO   |  |
| Avoidance                |  | Occupational rhinitis – avoidance or decreased exposure  | House dust mite, cockroach, pets, rodents, pollen – allergen avoidance or environmental controls  |   | Oral H2 antihistamine – data does not adequately address benefit in AR |
| Pharmacotherapy          | Oral H1 antihistamines – newer generation<br>Intranasal antihistamines<br>Intranasal corticosteroid sprays (INCS)<br>Nasal saline<br>INCS + intranasal antihistamine – second line | Intranasal cromolyn (disodium cromoglycate) – second line, preventative  | Oral corticosteroids – short course for acute exacerbation<br>Intranasal decongestant – short course<br>Leukotriene receptor antagonist (LTRA) – when other options contraindicated<br>Intranasal anticholinergic (ipratropium bromide) – for rhinorrhea<br>Biologics – based on published evidence; not FDA approved<br>Probiotics – as adjunct treatment<br>Oral H1 antihistamine (2G) + PSE – short course<br>Oral H1 antihistamine (2G) + INCS<br>Oral H1 antihistamine (2G) + LTRA – when other options contraindicated<br>INCS + LTRA – when comorbid asthma present<br>INCS + intranasal decongestant – short course<br>INCS + intranasal anticholinergic – for rhinorrhea | Oral corticosteroids – routine use<br>Intranasal corticosteroids, non-traditional application<br>Injectable corticosteroids<br>Oral decongestant – routine use<br>Intranasal decongestant – routine use<br>LTRA – as first line monotherapy<br>Oral antihistamine (2G) + LTRA – as first line therapy<br>INCS + LTRA – for AR alone |  |
| Non-traditional          |  |  |   |   | Other complementary modalities<br>Honey<br>Herbal therapies            |
| Surgical                 |  | Inferior turbinate surgery – for refractory nasal obstruction  |   |   |  |
| Immunotherapy            | Subcutaneous immunotherapy (SCIT)<br>Sublingual immunotherapy (SLIT) – general<br>SLIT tablets – grass pollen, short ragweed, house dust mite<br>Aqueous SLIT for tree pollen      | High dose aqueous SLIT<br>Aqueous SLIT for Alternaria<br>SLIT tablet dual therapy  | Septoplasty/septorhinoplasty – for patients with obstructive septal deviation<br>Vidian neurectomy or posterior nasal neurectomy – for patients with bothersome rhinorrhea<br>Cryoblation and radiofrequency of the posterior nasal nerves – for patients with bothersome rhinorrhea<br>SCIT over SLIT<br>Aeroallergen rush SCIT<br>Aeroallergen cluster SCIT<br>Aqueous SLIT for animal allergy<br>Intralymphatic immunotherapy<br>Oral mucosal immunotherapy  | Epicutaneous immunotherapy<br>Oral immunotherapy<br>Inhaled immunotherapy   | Local nasal immunotherapy  |

INCS=intranasal corticosteroid; SCIT=subcutaneous immunotherapy; SLIT=sublingual immunotherapy; sIgE=sIgE=second generation; AR=allergic rhinitis

FIGURE I.C.11 Allergic Rhinitis Summary Recommendations

## I.C.9 | Associated conditions

There is evidence for the association of several comorbid conditions with AR, which are listed in Table I.C.9. Several additional conditions have been added since ICAR-Allergic Rhinitis 2018.

## I.C.10 | Special section on COVID-19

Coronavirus disease 2019 (COVID-19) case rates have changed practice strategies. AR has not been identified as a risk factor for severe COVID-19. However, there have been challenges with overlapping symptoms of AR and COVID-19. Telemedicine visits have been helpful for initial evaluation; however, many diagnostic techniques for AR require face-to-face encounters. Recommendations have continued to evolve during the pandemic. Standard therapies for AR were not shown to increase the risk of severe COVID-19. Additionally, anti-IgE therapy has not increased susceptibility or severity of COVID-19 infection.

## I.C.11 | Summary figure for allergic rhinitis diagnosis and management

See Figure I.C.11 for summary diagnosis and management options for AR, based upon current evidence.

## I.C.12 | Knowledge gaps

Evidence in the realm of AR continues to grow at a steady pace. We have seen substantial progress in many aspects of the AR literature in recent years. However, several knowledge gaps remain. Table I.C.12. lists knowledge gaps and future research needs that have been identified as a result of the work in ICAR-Allergic Rhinitis 2023.

## I.D | Discussion

In the executive summary for ICAR-Allergic Rhinitis 2023, we highlight the current evidence levels and recommendations (where applicable) for AR diagnosis, management, and associated conditions. Over 40 new topics have been added to this evidence-based assessment since the initial ICAR-Allergic Rhinitis 2018 publication. In many individual topic areas, numerous additional studies were identified and evaluated. In certain cases, the recommendation level changed. While these advances in our current literature are exciting, there are several knowledge gaps that remain – and there is still work to be done to further our understanding of various aspects of AR pathophysiology, epidemiology, disease burden, diagnosis, management, and associated conditions.

## I.E | Lay summary

### The International Consensus Statement on Allergy and Rhinology: Allergic Rhinitis 2023

ICAR-Allergic Rhinitis 2023 contains the most complete and up-to-date information on how allergic rhinitis develops, how medical teams can identify it, how it may be treated, and other conditions that can be seen with allergic rhinitis. The document has been written and reviewed by a large group of medical and research experts from around the world. ICAR-Allergic Rhinitis 2023 may be used by medical providers who treat allergic rhinitis.

#### *What is allergic rhinitis?*

Allergic rhinitis is a reaction that occurs from substances that we breathe in from the environment. Patients often have drainage and blockage from their nose, along with sneezing and itching. While there are many possible causes of these symptoms, allergic rhinitis is due to a specific trigger in the environment that the body is sensitive to. Allergic rhinitis may be associated with other diseases, such as asthma, sleep problems, sinus and ear problems, cough, and more.

#### *How common is allergic rhinitis?*

Allergic rhinitis is a common problem. Depending on the specific research study and the location where the study is done, allergic rhinitis has been reported in 5%–50% of the population. It is more common in children.

#### *How severe is allergic rhinitis?*

Allergic rhinitis can affect quality of life. It may also interrupt sleep. Allergic rhinitis medicines, other treatments, and medical visits cost money directly. There are added costs related to missing work or school – or not functioning as well at work. Research suggests that treating allergic rhinitis helps improve overall quality of life and sleep.

#### *How is allergic rhinitis treated?*

People may avoid their allergic triggers if they are aware of the specific things that they react to – and if these things can be easily avoided. Using different types of medications can also help control allergic symptoms. Immunotherapy, such as allergy shots or drops/tablets under the tongue, introduces the known allergen to the body in small amounts at first. Over time, the body will not react to the allergen. There are also some procedures and surgeries that can decrease drainage from the nose or improve breathing through the nose.

#### *What disorders are associated with allergic rhinitis?*

Asthma, atopic dermatitis (a condition of the skin), eye symptoms, food allergies, and sleep problems are all associated with allergic rhinitis. Some studies report that certain ear issues and sinus problems may be related to allergic rhinitis, although more studies should be done to understand these better.

## II | TABLE OF CONTENTS AND NAVIGATING THROUGH THE DOCUMENT

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## II.B | List of abbreviations

|          |   |        |  |
|----------|---|--------|--|
| AAO-HNSF | American Academy of Otolaryngology-Head and Neck Surgery Foundation | AU     | allergy units                                |
| AAP      | American Academy of Pediatrics                                      | BAT    | basophil activation test                     |
| AC       | allergic conjunctivitis   | BAU    | biologic allergy units                       |
| ACC      | allergen challenge chamber  | cAMP   | cyclic adenosine monophosphate               |
| ACEI     | angiotensin converting enzyme inhibitors                            | CBER   | Center for Biologics Evaluation and Research |
| AD       | atopic dermatitis   | CC     | central compartment                          |
| AERD     | aspirin-exacerbated respiratory disease                             | CCAD   | central compartment atopic disease           |
| AFRS     | allergic fungal rhinosinusitis                                      | CCL5   | C-C chemokine ligand-5                       |
| AH       | adenoid hypertrophy   | CD     | cluster of differentiation                   |
| AHI      | apnea-hypopnea index  | CDC    | Centers for Disease Control                  |
| AIDS     | acquired immunodeficiency syndrome                                  | cGMP   | cyclic guanosine monophosphate               |
| AIT      | allergen-specific immunotherapy                                     | CGRP   | calcitonin gene-related protein              |
| ANA      | antinuclear antibody  | CI     | confidence interval                          |
| ANCA     | anti-neutrophil cytoplasmic antibody                                | CMV    | cytomegalovirus                              |
| AP       | activator protein   | COPD   | chronic obstructive pulmonary disease        |
| AR       | allergic rhinitis   | COVID  | coronavirus disease                          |
| ARIA     | Allergic Rhinitis and its Impact on Asthma                          | COX    | cyclooxygenase                               |
| ARS      | acute rhinosinusitis  | CPAP   | continuous positive airway pressure          |
| ASHMI    | Anti-Asthma Simplified Herbal Medicine Intervention                 | CPT    | conjunctival provocation test                |
| ATH      | adenotonsillar hypertrophy  | CRD    | component-resolved diagnostics               |
|          |   | CRS    | chronic rhinosinusitis                       |
|          |   | CRSsNP | chronic rhinosinusitis without nasal polyps  |
|          |   | CRSwNP | chronic rhinosinusitis with nasal polyps     |

|                     |  |         |   |
|---------------------|--|---------|---|
| CS                  | combined score   | IL      | interleukin   |
| CSF                 | cerebrospinal fluid  | ILC     | innate lymphoid cell  |
| CT                  | computed tomography  | ILIT    | intralymphatic immunotherapy                                  |
| DAMP                | damage-associated molecular pattern                        | IMAP    | inferior meatus augmentation procedure                        |
| DSCG                | disodium cromoglycate                                      | INCS    | intranasal corticosteroid                                     |
| dsDNA               | double stranded DNA  | INDC    | intranasal decongestant                                       |
| EAACI               | European Academy of Allergy and Clinical Immunology        | iNOS    | inducible nitric oxide synthase                               |
| EBRR                | evidence-based review with recommendations                 | IPB     | ipratropium bromide   |
| ECHRS               | European Community Respiratory Health Survey               | ISAAC   | International Studies of Asthma and Allergies in Childhood    |
| ECP                 | eosinophil cationic protein                                | IT      | inferior turbinate  |
| EEC                 | environmental exposure chamber                             | ITAM    | immunoreceptor tyrosine-based activation motif                |
| EGPA                | eosinophilic granulomatosis with polyangiitis              | KNHANES | South Korean National Health and Nutrition Examination Survey |
| EGR                 | early growth response                                      | LAR     | local allergic rhinitis                                       |
| ELISA               | enzyme-linked immunosorbent assay                          | LMW     | low molecular weight  |
| eNOS                | endothelial nitric oxide synthase                          | LOE     | level of evidence   |
| ENS                 | empty nose syndrome  | LPR     | laryngopharyngeal reflux                                      |
| EoE                 | eosinophilic esophagitis                                   | LSR     | lipolysis-stimulated lipoprotein receptor                     |
| ET                  | Eustachian tube  | LTRA    | leukotriene receptor antagonist                               |
| ETD                 | Eustachian tube dysfunction                                | MBP     | major basic protein   |
| FDA                 | Food and Drug Administration                               | MCP     | monocyte chemoattractant protein                              |
| FeNO                | fractional exhaled nitric oxide                            | MD      | molecular diagnostics   |
| FEV <sub>1</sub>    | forced expiratory volume in 1 second                       | MEE     | middle ear effusion   |
| FITC                | fluorescein isothiocyanate                                 | MMP     | matrix metalloproteinase                                      |
| FOXP3               | forkhead-box P3  | MQT     | modified quantitative testing                                 |
| GA <sup>2</sup> LEN | Global Allergy and Asthma European Network                 | mRQLQ   | mini-Rhinoconjunctivitis Quality of Life Questionnaire        |
| GATA                | GATA binding protein                                       | MT      | middle turbinate  |
| GINA                | Global Initiative for Asthma                               | NARES   | non-allergic rhinitis with eosinophilia syndrome              |
| GITRL               | glucocorticoid-induced TNF receptor ligand                 | NC      | nasal cytology  |
| GM-CSF              | granulocyte-macrophage colony stimulating factor           | NF      | nuclear factor  |
| GPA                 | granulomatosis with polyangiitis                           | NFAT    | nuclear factor of activated T cells                           |
| GWAS                | genome-wide association studies                            | NGF     | neural growth factor  |
| HDM                 | house dust mite  | NH      | nasal histology   |
| HEPA                | high-efficiency particulate air [filtration]               | NHANES  | National Health and Nutrition Examination Survey              |
| HIV                 | human immunodeficiency virus                               | NK      | natural killer  |
| HMGB-1              | high mobility group box-1                                  | nNO     | nasal nitric oxide  |
| HMW                 | high molecular weight                                      | nNOS    | neuronal nitric oxide synthase                                |
| HNS                 | head and neck surgery                                      | NO      | nitric oxide  |
| HSP                 | heat shock protein   | NOS     | nitric oxide synthase   |
| ICAM                | intercellular adhesion molecule                            | NOSE    | Nasal Obstruction Symptom Evaluation                          |
| ICAR                | International Consensus Statement on Allergy and Rhinology | NPT     | nasal provocation test  |
| ICD                 | International Classification of Disease                    | NPV     | negative predictive value                                     |
| IDT                 | intra dermal dilutional testing                            | NSAID   | non-steroidal anti-inflammatory drug                          |
| IFN                 | interferon   | OAS     | oral allergy syndrome   |
| Ig                  | immunoglobulin   | OME     | otitis media with effusion                                    |
| IgE                 | immunoglobulin E   | OMIT    | oral mucosal immunotherapy                                    |
|                     |  | OR      | odds ratio  |
|                     |  | OSA     | obstructive sleep apnea                                       |

|            |  |
|------------|--|
| PAMD@      | precision allergy molecular diagnostic applications                        |
| PAMP       | pathogen-associated molecular pattern                                      |
| PDE        | phosphodiesterase  |
| PEF        | peak expiratory flow   |
| PFAS       | pollen food allergy syndrome   |
| PFT        | pulmonary function test  |
| PG         | prostaglandin  |
| PM         | particulate matter   |
| PNEF       | peak nasal expiratory flow   |
| PNIF       | peak nasal inspiratory flow  |
| PNN        | posterior nasal nerve  |
| PO         | per os (by mouth)  |
| ppb        | parts per billion  |
| ppm        | parts per million  |
| PPV        | positive predictive value  |
| 4PR        | four-phase rhinomanometry  |
| PROM       | patient reported outcome measure   |
| PRQLQ      | Pediatric Rhinoconjunctivitis Quality of Life Questionnaire                |
| PSG        | polysomnogram  |
| QALY       | quality adjusted life year   |
| QID        | four times daily   |
| QOL        | quality of life  |
| RANTES     | regulated upon activation, normal T cell expressed and presumably secreted |
| RAP        | Respiratory Allergy Prediction   |
| RAPP       | RhinAsthma Patient Perspectives  |
| RARS       | recurrent acute rhinosinusitis   |
| RAST       | radio allegro-sorbent test   |
| RCT        | randomized controlled trial  |
| RDI        | respiratory disturbance index  |
| REM        | rapid eye movement   |
| RMS        | rescue medication score  |
| RQLQ       | Rhinoconjunctivitis Quality of Life Questionnaire                          |
| RR         | relative risk  |
| RSDI       | Rhinosinusitis Disability Index  |
| RTSS       | Rhinitis Total Symptom Score   |
| SARS-CoV-2 | virus that causes COVID-19   |
| SCIT       | subcutaneous immunotherapy   |
| SDB        | sleep disordered breathing   |
| SES        | socioeconomic status   |
| sIgE       | allergen-specific immunoglobulin E   |
| sIgG       | allergen-specific immunoglobulin G   |
| SLIT       | sublingual immunotherapy   |
| SMA        | smooth muscle actin  |
| SMD        | standardized mean difference   |
| SNHL       | sensorineural hearing loss   |
| SNOT       | SinoNasal Outcome Test   |
| SNP        | single nucleotide polymorphism   |
| SPT        | skin prick test  |
| SRMA       | systematic review and meta-analysis  |

|      |  |
|------|--|
| STAT | signal transducer and activator of transcription |
| TARC | thymus and activation-regulated chemokine        |
| TCM  | Traditional Chinese Medicine                     |
| TGF  | transforming growth factor                       |
| Th   | T helper   |
| tIgE | total immunoglobulin E                           |
| TJ   | tight junction                                   |
| TLIA | tumor necrosis factor-like cytokine 1A           |
| TLR  | toll-like receptor                               |
| TNF  | tumor necrosis factor                            |
| TNSS | Total Nasal Symptom Score                        |
| TOSS | Total Ocular Symptom Score                       |
| TPRV | transient receptor potential vanilloid           |
| Treg | T regulatory cell                                |
| TRP  | transient receptor potential                     |
| TSLP | thymic stromal lymphopoietin                     |
| TSS  | total symptom score                              |
| UK   | United Kingdom                                   |
| US   | United States                                    |
| VAS  | visual analog scale                              |
| VCAM | vascular cell adhesion molecule                  |
| VCOS | validated clinical outcome survey                |
| VD3  | vitamin D  |
| VDR  | vitamin D receptor                               |
| VHI  | voice handicap index                             |
| WAO  | World Allergy Organization                       |
| WHO  | World Health Organization                        |
| ZO   | zonula occludens                                 |

## II.C | Possible adverse effects of common allergic rhinitis treatments

Various aspects of the International Consensus Statement on Allergy and Rhinology (ICAR): Allergic Rhinitis (ICAR-Allergic Rhinitis) 2023 document include possible side effects or treatment risks of interventions under consideration. In order to standardize listing of these potential side effects and treatment risks within the document text and recommendation summaries, Table II.C. defines known and typical side effects and adverse effects for commonly utilized treatment modalities that should be considered when determining policy level recommendations. Table II.C. may not include all possible risks of listed interventions.

## III | INTRODUCTION

The original ICAR-Allergic Rhinitis 2018 document was developed to summarize and critically review the best



**TABLE II. C** Possible side effects and adverse effects of common allergic rhinitis diagnostic modalities and treatments<sup>a</sup>

| Intervention                                   | Possible side effects and adverse effects  |
|--|--|
| <b>Allergy skin testing</b>                    | Discomfort, pruritis, prolonged skin reaction, systemic reaction (e.g., hives, wheezing), anaphylaxis, inaccurate test results, misinterpreted test results  |
| <b>Nasal saline</b>                            | Nasal irritation, sneezing, cough<br><i>For high volume nasal irrigations:</i> ear fullness, irrigation fluid transmission to middle ear   |
| <b>Systemic/oral corticosteroids</b>           | Increased appetite, weight gain, fluid retention, gastritis, sleep disturbance, restlessness, anxiety, depression, aggressiveness, psychosis, adrenal suppression, cataracts, glaucoma, hair/skin changes, easy bruising, acne, delayed wound healing, muscle weakness, change in body fat distribution, immunosuppression, hypertension, hyperglycemia/diabetes, osteopenia, osteoporosis, avascular necrosis of the hip, kidney stones |
| <b>Intranasal corticosteroids</b>              | Discomfort/burning, epistaxis, dryness, crusting, foul taste, headache, sore throat  |
| <b>Oral decongestants</b>                      | Irritability, anxiety, restlessness, sleep disturbance, hypertension, tachycardia, heart palpitations, drug–drug interactions, tremors<br><i>In young children:</i> tachycardia, seizures, loss of consciousness, death  |
| <b>Intranasal decongestants</b>                | Discomfort/burning, dependency, dryness, increased congestion, rhinitis medicamentosa, hypertension, anxiety, tremors  |
| <b>Oral H<sub>1</sub> antihistamines</b>       | Drowsiness, headache, dry mucous membranes, restlessness, anxiety, insomnia, tachyphylaxis, urinary retention  |
| <b>Intranasal H<sub>1</sub> antihistamines</b> | Discomfort/burning, drowsiness, dizziness, epistaxis, dryness, crusting, foul taste, headache, sore throat, sneezing, nausea   |
| <b>Intranasal ipratropium</b>                  | Nasal dryness/irritation, epistaxis, headache, dry mouth, sore throat, taste change, nausea, diarrhea, constipation, stomach cramps, anxiety, blurry vision, body aches, chills, cough, difficulty breathing, ear congestion   |
| <b>Leukotriene antagonists</b>                 | Behavior/mood alterations, agitation, depression, irritability, hallucinations, tremor, suicidal thoughts and behavior<br><i>For zileuton:</i> hepatotoxicity  |
| <b>Subcutaneous allergen immunotherapy</b>     | Redness/swelling at injection site, large local injection site reactions, sneezing, cough, throat swelling, wheezing, chest tightness, nausea, dizziness, anaphylaxis  |
| <b>Sublingual allergen immunotherapy</b>       | Lip/mouth/tongue irritation, mouth swelling, eye swelling/itching/redness, nausea, vomiting, stomach cramps, diarrhea, nasal congestion/itching, sneezing, increased mucus production, wheezing, cough, hives, skin itching, anaphylaxis   |

<sup>a</sup>May not include all possible risks of listed interventions

available evidence for allergic rhinitis (AR), including major content areas of epidemiology, risk factors, diagnosis, management, conditions associated with AR, and others. Since the publication of ICAR-Allergic Rhinitis 2018, the AR literature has continued to grow. We previously reported that there were 8212 publications related to AR between 2010 and the final writing of ICAR-Allergic Rhinitis 2018.<sup>1</sup> Between 2018 and June 2022, 5803 additional AR publications have been logged in PubMed. The methodology, results, evidence levels, and quality of scientific publications vary widely, and it can be challenging to distill important findings from such a large body of work. ICAR-Allergic Rhinitis 2023 aims to evaluate and summarize the AR evidence for each topic in a succinct format to provide the clinician, researcher, or medical professional with a reference document that contains useful, relevant information. Given the recent expansion of the AR literature, an update of the original ICAR-Allergic Rhinitis 2018 document was deemed appropriate.

When evaluating a scientific publication, it is important to critically assess the study methods and presentation of results, as these contribute to the evidence levels and ultimate recommendations for patient care. ICAR-Allergic Rhinitis 2023 aims to incorporate new high-level evidence into an updated document and utilizes this evidence, along with assessment of benefit, harm, and cost to determine recommendations for AR diagnostic and management strategies, where appropriate. ICAR-Allergic Rhinitis 2023 follows previously developed methodology that has produced multiple evidence-based reviews with recommendations (EBRR)<sup>2</sup> in the *International Forum of Allergy and Rhinology*, as well as several ICAR documents, including those covering topics of AR, rhinosinusitis, endoscopic skull base surgery, and olfaction.<sup>1,6–9</sup>

ICAR-Allergic Rhinitis 2023 was created by conducting systematic literature searches on 144 individual AR topics, by 87 primary authors and 40 additional consultant authors. Over 40 new topics have been added for this

ICAR-Allergic Rhinitis update, and the number of cited references has expanded by over 1400. Like previous ICAR documents, structured grading of evidence was performed, recommendations were created where appropriate, and each section underwent stepwise semi-blinded iterative review (blinded for initial peer review then un-blinded to reach consensus). Finally, a panel of editors critiqued each major content area, and the collated manuscript was circulated to all authors for review. The EBRR and ICAR methodology appears to be effective and robust and continues to be used regularly in evaluation of the rhinology and allergy literature.

Throughout the ICAR-Allergic Rhinitis 2023 document, it is evident that many AR topics have grown in literature citations compared to 2018. This may be noted by a simple increase in the number of publications; however, the reader will also recognize that many topic areas contain new systematic reviews and meta-analyses (SRMA) that have been published since ICAR-Allergic Rhinitis 2018. This is an exciting development, as SRMAs represent the highest level of evidence and, when performed with robust methodology, collate the available evidence into a single report that should be easily understood by the reader. Still, while some areas of AR have very strong evidence, others are lacking in high-level evidence.

It is important to recognize the limitations of ICAR-Allergic Rhinitis 2023. Recommendations in this document are based on the available evidence. Each recommendation is only as strong as the evidence that supports it and the population/sample included in the studies. Practicing evidence-based medicine takes into account the available evidence, along with clinical expertise and the patient's values and expectations.<sup>10</sup> ICAR-Allergic Rhinitis 2023 presents evidence-based recommendations, but it is not a manual, flowchart, or algorithm for care of an individual AR patient. The clinician should continue to evaluate and treat each AR patient individually, using an evidence-based foundation combined with clinical acumen/expertise and consideration of patient values and principles. Recommendations in ICAR-Allergic Rhinitis 2023, as in previous ICAR documents, do not define the standard of care or medical necessity, nor do they dictate the care of individual patients.

Through the ICAR-Allergic Rhinitis 2023 process, several gaps in knowledge have been identified and may encourage further research in AR. Additionally, some evidence grades have changed since 2018, and we anticipate that we will continue to see evidence grow and evolve in the future. Ultimately, improved patient outcomes should result as we continue to evaluate the growing body of AR literature.

## IV | METHODS

### IV.A | Topic development

The methods of ICAR-Allergic Rhinitis 2023 largely follow previous ICAR documents,<sup>1,6,7</sup> with utmost reliance on published evidence and minimal influence of expert opinion and other biases. The 2011 EBRR method described by Rudmik and Smith<sup>2</sup> is the foundation of ICAR and aims to evaluate existing literature on each AR topic, grade the evidence, and provide literature-based recommendations where appropriate.

To complete ICAR-Allergic Rhinitis 2023, the subject of AR was initially divided into 144 individual topics, representing 41 additional topics compared to ICAR-Allergic Rhinitis 2018. A primary author who is a recognized expert in allergy, rhinology, or the designated topic was assigned to evaluate each topic. Authors were initially selected via online literature searches for each ICAR-Allergic Rhinitis 2023 topic. Authors of high-quality publications in each topic area were invited as ICAR contributors. Other invited authors included experts in the EBRR process, experts in education on specific AR topic areas, and those with knowledge of the systematic review process. The invited primary author was able to choose a secondary/consultant author for each section if desired.

Certain topics, such as those providing background or definitions, were assigned as literature reviews without evidence grades or recommendations. Some topics were not appropriate for clinical recommendations and were assigned as evidence-based reviews without recommendations (EBRs). Topics that had evidence to inform clinical recommendations were assigned as EBRRs. For topics included in ICAR-Allergic Rhinitis 2018, the author was instructed to perform a new literature search and include updated evidence since the previous ICAR-Allergic Rhinitis document as well as any other relevant studies previously published. Aggregate grades of evidence and recommendations summaries were updated accordingly.

Creation of the content for each individual AR topic area began with a literature search. Authors received instructions to perform a systematic review of the literature for each topic area based upon Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) standardized guidelines.<sup>3</sup> Ovid MEDLINE (1947-2021), EMBASE (1974-2021), and Cochrane Review databases were included. The search began by identifying any previously published systematic reviews or guidelines pertaining to the assigned topic. Since clinical recommendations are best supported by high quality evidence, the search

TABLE IV.A.-1 Levels of evidence<sup>11</sup>

| Level | Diagnosis  | Therapy/prevention, etiology   |
|-------|--|--|
| 1     | Systematic review of cross-sectional studies with consistently applied reference standard and blinding | Systematic review of randomized trials or <i>n</i> -of-1 trials                    |
| 2     | Individual cross-sectional studies with consistently applied reference standard and blinding           | Randomized trial or observational study with dramatic effect                       |
| 3     | Cohort study or control arm of randomized trial <sup>a</sup>   | Non-randomized controlled cohort/follow-up study <sup>b</sup>                      |
| 4     | Case series or case-control studies, or poor-quality prognostic cohort study <sup>b</sup>              | Case series, case-control studies, or historically controlled studies <sup>b</sup> |
| 5     | n/a  | Mechanism-based reasoning  |

<sup>a</sup>Level may be graded down on the basis of study quality, imprecision, indirectness, because of inconsistency between studies, or because the absolute effect size is very small; level may be graded up if there is a large or very large effect size or if a significant dose-response relationship is demonstrated.

<sup>b</sup>As always, a systematic review is generally better than an individual study.

focused on identifying randomized controlled trials (RCT) and meta-analyses of RCTs to provide the highest level of evidence (LOE). Reference lists of all identified studies were examined to ensure all relevant studies were captured. If the authors felt that a non-English study should be included in the review, it was instructed that the paper be appropriately translated to minimize the risk of missing important data during the development of recommendations.<sup>3</sup>

To optimize transparency of the evidence, all included studies in EBR and EBRR topic sections are presented in a standardized table format and the quality of each study was evaluated to receive a level based on the Oxford LOEs (level 1–5, Table IV.A.-1).<sup>11</sup> Adjustments were made to the LOE due the quality of each study based on accepted standards, with specific changes often highlighted in the text or evidence tables.<sup>12</sup> At the completion of the systematic review and research quality evaluation for each EBR or EBRR topic, an aggregate grade of evidence (A–D) was produced for the topic based on the guidelines from the American Academy of Pediatrics (AAP) Steering Committee on Quality Improvement and Management<sup>4</sup> (Table IV.A.-2). For AR topics that addressed a diagnosis

TABLE IV.A.-2 Aggregate grade of evidence<sup>4</sup>

| Grade | Research quality   |
|-------|--|
| A     | Well-designed RCTs   |
| B     | RCTs with minor limitations<br>Overwhelming consistent evidence from observational studies |
| C     | Observational studies (case-control and cohort design)                                     |
| D     | Expert opinion<br>Case reports<br>Reasoning from first principles                          |

Abbreviation: RCT, randomized controlled trial.

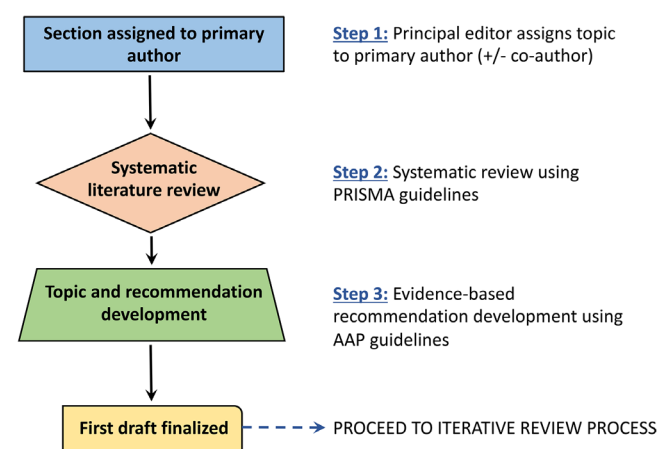


FIGURE IV.A Topic development (Stage 1). Abbreviations: AAP, American Academy of Pediatrics; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses

tic or therapeutic intervention and contained evidence to appropriately support formulation of a recommendation, the AAP guidelines for recommendation development were followed, thus completing the EBRR process<sup>4</sup> (Table IV.A.-3). Each evidence-based recommendation was formulated with consideration of the aggregate grade of evidence, benefit, harm, and cost. A summary of the EBRR topic development process is provided in Figure IV.A.

It is important to note that assignment of LOE for each publication is not always straightforward. In some instances, individual studies do not fit neatly into one of the Oxford LOE categories. Also, Oxford LOE grading has changed over time, adding complexity to the evidence grading when undertaking updates such as this one. This becomes even more difficult when evaluating certain documents that employ advanced systematic evidence searches to formulate guidelines, practice parameters, position papers, and recommendation documents (e.g., Clinical Practice Guidelines, ICAR statements, European Position Statements on Sinusitis). In these instances, even methodological experts may disagree on evidence levels – some seeing the document as a systematic review

**TABLE IV.A.-3** American Academy of Pediatrics defined strategy for recommendation development<sup>4</sup>

| Evidence quality  | Preponderance of benefit over harm | Balance of benefit and harm | Preponderance of harm over benefit |
|---|------------------------------------|-----------------------------|------------------------------------|
| A. Well-designed RCTs   | Strong recommendation              | Option                      | Strong recommendation against      |
| B. RCTs with minor limitations; overwhelmingly consistent evidence from observational studies | Recommendation                     |                             |                                    |
| C. Observational studies (case-control and cohort design)                                     | Recommendation against             |                             |                                    |
| D. Expert opinion, case reports, reasoning from first principles                              |                                    | Option                      | No recommendation                  |

Abbreviation: RCT, randomized controlled trial.

with a high evidence level, while others would assign a lower LOE typical of a consensus statement, guideline, or expert opinion. Furthermore, these documents often contain multiple subsections that vary in the amount and quality of available evidence. Therefore, when these types of documents are included in individual topic areas, the assigned LOEs may differ.

Throughout the ICAR-Allergic Rhinitis process, when a single publication was cited in multiple sections with differing LOEs initially assigned, this was returned to the authors/reviewers of each section for collective discussion. In some circumstances, the discussion resulted in the group deciding to revise the LOE to a consistent assignment across sections. In other cases, the groups supported their initial LOE assignment with appropriate reasoning – and the original LOE assignments remained. Therefore, the reader may notice occasional fluctuation in LOE assignment throughout the ICAR document.

#### IV.B | Iterative review

Following the development of the initial topic text and any associated evidence tables, evidence grades, and recommendations, each section underwent a two-stage online iterative review process using two independent reviewers that were initially blinded to the author's identity (Figure IV.B.). The purpose of the individual AR topic iterative review process was to evaluate the completeness of the identified literature and ensure any EBRR recommendations were appropriate. The content of the first draft from each topic section was reviewed by the first reviewer in a blinded fashion. The process was then unblinded, and necessary changes were agreed upon and incorporated by the initial author and this first reviewer – arriving at a consensus for the first stage. The revised topic section was subsequently reviewed by a second reviewer in a blinded fashion. Following the second review, the process was

again unblinded. Initial topic authors and both assigned reviewers agreed upon necessary changes before each section was considered finalized and appropriate to proceed into the final ICAR statement stage.

#### IV.C | ICAR-Allergic Rhinitis statement development

After the content of each of topic was reviewed and consensus reached amongst the initial author and two iterative reviewers, the principal editor (S.K.W.) compiled associated topics into major content areas. The first draft of each major content area (i.e., Evaluation and Diagnosis, Pharmacotherapy, Immunotherapy, etc.) then underwent additional reviews for consistency and flow by a group of three to five ICAR associate editors. Finally, the full draft of ICAR-Allergic Rhinitis 2023 was compiled and circulated to all authors. The final ICAR-Allergic Rhinitis 2023 manuscript was produced when all authors agreed upon the literature and final recommendations (Figure IV.C).

#### IV.D | Limitations of methods and data presentation

It is important to note that each topic author individually performed the literature search for his/her assigned topic. Therefore, search results may contain some inherent variability despite specific and detailed search instructions. Furthermore, while aiming to be as comprehensive as possible, this document may not present every study published on every topic. For certain topics, the literature is extensive and only high-quality studies or systematic reviews are listed. If the aggregate evidence on a topic reached a high evidence grade with only high-level studies, an exhaustive list of lower-level studies (or all studies ever performed) is not provided.



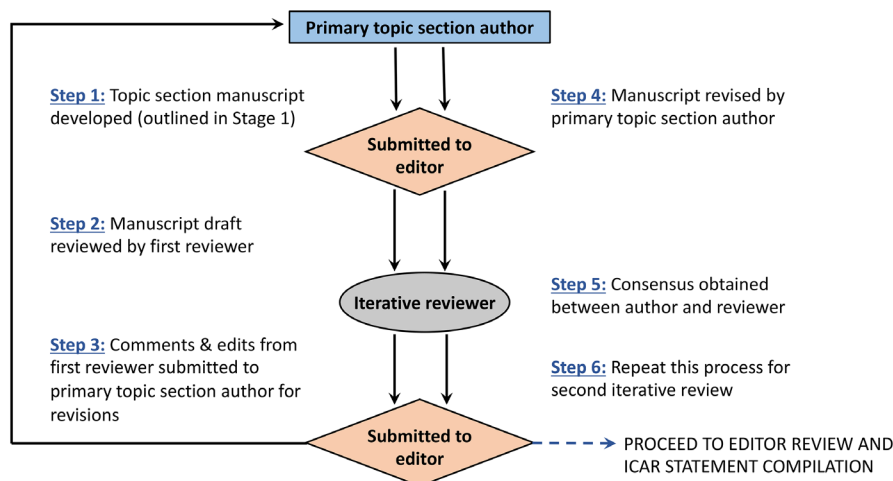


FIGURE IV.B Topic iterative review process (Stage 2)

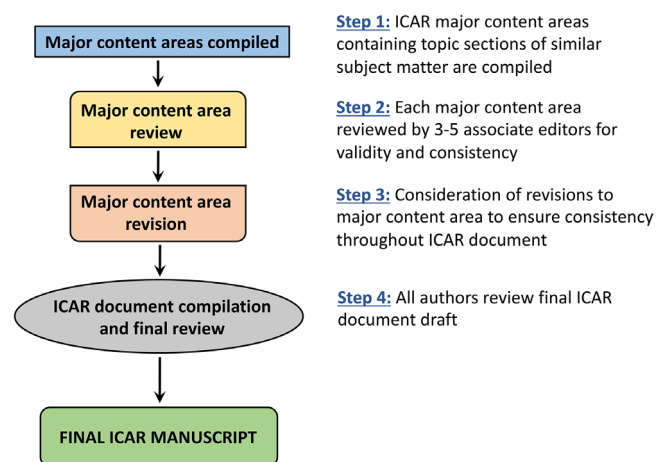


FIGURE IV.C ICAR-Allergic Rhinitis 2023 statement development (Stage 3). Abbreviation: ICAR, International Consensus Statement on Allergy and Rhinology

## V | DEFINITIONS, CLASSIFICATION, AND DIFFERENTIAL DIAGNOSIS OF ALLERGIC RHINITIS

### V.A | General definition and classification

#### V.A.1 | Definition, classification, and severity of allergic rhinitis

AR is an immunoglobulin E (IgE)-mediated, type 1 hypersensitivity response of the nasal mucosal membranes, resulting from allergen exposure in a sensitized individual.<sup>5</sup> Symptomatically, it is characterized by anterior or posterior rhinorrhea, nasal congestion/blockage, nasal pruritis, and sneezing.<sup>13</sup> AR is widely prevalent and can result in significant physical sequelae and recurrent

or persistent morbidities.<sup>5</sup> Additionally, it is strongly associated with asthma, supporting the unified airway theory which postulates that upper and lower airway inflammation share common pathophysiologic mechanisms.<sup>14</sup> (See Section VI.K. Unified Airway for additional information on this topic.)

The prevalence of AR ranges from approximately 5%–50% worldwide, with the highest incidence in the pediatric population.<sup>15</sup> While this range of AR prevalence is wide, it is important to recognize that published studies may vary in their definition of AR and some may define AR as sensitization to allergens. (See Section VII. Epidemiology of Allergic Rhinitis for additional information on this topic.) AR is essentially absent in infants and typically develops in school age children. Since sensitization takes years to develop, it is unlikely to manifest before 2 years of age. This is likely secondary to the rapidly evolving immune system inherent in a child's early development. AR often results from an overactive response of T helper (Th)-2 lymphocytes and initiation of a systemic IgE-driven reaction, which can dominate a child's immune system until completely mature.

In the atopic individual, exposure to allergens may prompt allergen-specific IgE (sIgE) production. Subsequent exposure triggers both early and late-stage reactions, leading to the clinical manifestations of AR. The early-stage reaction typically occurs within minutes after re-introduction of the sensitized allergen, producing a rapid onset of nasal itching, congestion, and rhinorrhea.<sup>16</sup> The late-stage reaction often occurs during the 4- to 8-h period after allergen re-introduction and results in congestion, hyposmia, increased anterior and posterior rhinorrhea, and nasal hyper-responsiveness. (See Section VI. Pathophysiology and Mechanisms of Allergic Rhinitis for additional information on this topic.)



Allergic Rhinitis and its Impact on Asthma (ARIA) proposals have categorized AR by presumed cause and the timing during which it occurs. Classically, this has been categorized as seasonal AR (i.e., hay fever) and perennial AR. *Seasonal AR* is typically associated with outdoor allergens, such as pollens, and usually occurs during seasons with high pollen counts.<sup>5</sup> *Perennial AR* is typically associated with indoor allergens, such as house dust mites (HDM), insects, and animal dander, and has been considered to occur consistently throughout the year.<sup>5</sup> Mold exposure may occur indoors or outdoors depending on the specific environmental situation.

Of note, the classification of seasonal versus perennial AR can potentially be in conflict. For example, seasonal AR may persist for longer periods secondary to the effects of climate change, with resultant prolonged elevations in pollen counts. Seasonal AR may also continue across multiple seasons secondary to polysensitization. Furthermore, manifestations of perennial allergy may not occur throughout the entire year. This is particularly the case for patients allergic to HDM, who may demonstrate mild or moderate/severe intermittent AR.<sup>17–20</sup>

Because of the priming effect on the nasal mucosa introduced by low levels of pollen exposure,<sup>21–26</sup> and minimal but persistent nasal inflammation in patients with “symptom-free rhinitis,”<sup>19,27,28</sup> symptoms may not occur entirely in conjunction with allergen exposure. This may result in non-specific exacerbations. Additionally, air pollution may also contribute to variations in allergen sensitivity, resulting in fluctuating symptom severity depending on location/air quality.<sup>29</sup> (See Section VIII.B.3. Risk Factors for Allergic Rhinitis – Pollution for additional information on this topic.)

Subsequently, ARIA proposed a new method of classification based on the length and persistence of symptoms.<sup>30</sup> *Intermittent AR* is characterized by symptoms for less than 4 days per week or less than four consecutive weeks. *Persistent AR* is characterized by symptoms occurring more than 4 days per week for at least four consecutive weeks.<sup>31</sup> Additionally, it was demonstrated that the previous categories of seasonal and perennial AR cannot be used along with the new classification of intermittent/persistent AR, as they do not represent the same stratification of the disease state. As such, intermittent AR and persistent AR are not synonymous with seasonal and perennial classifications.<sup>32–35</sup>

The ARIA guidelines have likewise proposed another stratification of severity (mild and moderate-severe) with respect to these disabilities.<sup>18</sup> AR can result in problematic symptoms, including sleep disturbance; impairment of daily, leisure, or sport activities; impairment of school or work; or troublesome symptoms. AR is considered mild

if none of these occur. If one or more of these symptoms exist, AR is classified as moderate–severe.

## V.A.2 | Sensitization versus clinical allergy

Atopic diseases comprise of a range of linked conditions presenting as multiple heterogeneous clinical phenotypes ranging from single organ to multi-system disease.<sup>36,37</sup> Currently used taxonomy is largely organ-based and does not fully take into account the mechanisms leading to symptoms.<sup>38</sup> For example, the 2016 Melbourne epidemic thunderstorm asthma event saw a dramatic increase in asthma-related hospitalizations and 10 deaths over a 30-h period.<sup>39</sup> Interestingly, most patients hospitalized with severe asthma attack did not have a diagnosis of asthma. They did have a diagnosis of AR<sup>40</sup> and allergen-specific immunotherapy (AIT) appeared to offer protection.<sup>41</sup> It can be postulated that these patients suffered from a single IgE-driven condition with a clear pathophysiological mechanism, for which there are available biomarkers (e.g., sIgE) and mechanism-based treatment (e.g., AIT).<sup>42</sup>

Although patients with AR and allergic asthma are by definition sensitized, many individuals with allergic sensitization do not have symptoms of allergic disease,<sup>43</sup> and in a proportion of patients with AR and allergic asthma, sensitization is not related to the presence or severity of symptoms.<sup>38</sup> Furthermore, the reliability of skin testing depends greatly on allergen extracts and methods used.<sup>44</sup> Thus, clinicians face a problem that sensitization on standard allergy tests does not prove that symptoms are caused by allergy. Some subtypes of allergic sensitization are benign and not associated with clinical symptoms, while others are pathologic and lead to a spectrum of disease from single-organ disease to allergic multi-morbidity.<sup>42</sup> (See Sections XI.D.11.a.ii. Multi-allergen Immunotherapy and XI.D.11.b.ii. Polysensitization and for additional information on this topic.)

Better ways of differentiating clinically significant sensitization are needed. Quantification of sensitization through standard diagnostic tests (i.e., sIgE titer, size of skin test wheal) can increase the specificity, both in terms of diagnostic accuracy and the capacity to predict the persistence of symptoms.<sup>45–48</sup> However, the problem of false-positive test results remains.<sup>48</sup> Currently, nasal allergen challenges is the most accurate way to confirm clinical allergy. Recent studies show that this is highly sensitive and specific, with negative and positive predictive values greater than 90%.<sup>49,50</sup> It can also be helpful in the diagnosis of local nasal allergy, which may otherwise be missed on skin testing or in vitro testing methods. However, in most

healthcare systems, this procedure is restricted to centers with specialist expertise.

We can now assess sensitization in greater detail using component-resolved diagnostics (CRD), which measures sIgE to multiple allergenic molecules and may be more informative than standard tests.<sup>51–55</sup> Recent novel analyses of CRD data demonstrated that the pattern of interaction between allergen component-specific IgEs predicts asthma<sup>56</sup> and that networks of interactions between sIgE to multiple components are predictors of asthma severity across the lifespan.<sup>57</sup> These findings offer clues about mechanisms contributing to presence and severity of allergic airway disease and suggest that it may be possible to develop biomarkers/prediction tools based on CRD to help in diagnosis,<sup>56</sup> severity assessment,<sup>57</sup> prediction of future risk,<sup>52</sup> and ultimately, the prediction of response to treatment.<sup>58</sup>

## V.B | Differential diagnosis

### V.B.1 | Drug induced rhinitis

Rhinitis secondary to systemic medications can be classified into local inflammatory, neurogenic, and idiopathic types.<sup>59–61</sup> The local inflammatory type occurs when usage of a drug causes a direct change in inflammatory mediators within the nasal mucosa. The neurogenic type occurs after use of a drug that systemically modulates neural stimulation, leading to downstream changes in the nasal mucosa. The idiopathic classification is applied when a well-defined mechanism has not been elucidated. Rhinitis medicamentosa and hormone-induced rhinitis are discussed in later sections (Table V.B.1).

**Local inflammatory type.** Systemic ingestion of non-steroidal anti-inflammatory drugs (NSAIDs) in specific patients can cause respiratory symptoms and may be associated with nasal polyposis and asthma due to abnormal arachidonic acid metabolism.<sup>62</sup> NSAIDs inhibit cyclooxygenase (COX)-1, leading to decreased prostaglandin (PG) E<sub>2</sub> and increased leukotriene production due to an imbalance toward the lipoxygenase pathway. Reduction in PGE<sub>2</sub>, and increased leukotriene C<sub>4</sub>, D<sub>4</sub>, and E<sub>4</sub> production contributes to eosinophilic and mast cell inflammation within the upper and lower respiratory tracts.<sup>59,63–65</sup>

**Neurogenic type.** Neurogenic-type non-allergic rhinitis is caused by drug-induced modulation of the autonomic nervous system. Antihypertensives and vasodilators are among the many classes of drugs that cause neurogenic drug-induced non-allergic rhinitis. Other non-specific drugs, such as psychotropics and immunosuppressants, have unknown direct mechanisms and are categorized as idiopathic type, but can also cause neuro-

modulatory effects. Modulation of the autonomic nervous system leads to downstream changes in the nasal mucosa, blood vessels, and secretory glands.<sup>66</sup>

**Alpha- and beta-adrenergic modulators.** Alpha- and  $\beta$ -adrenergic receptor modulators are indicated for various cardiovascular and respiratory diseases. The nasal mucosa is replete with sympathetic and parasympathetic end-units that influence nasal physiology during systemic drug use. Alpha- and  $\beta$ -adrenergic antagonists, and presynaptic  $\alpha$ -agonists cause decreased sympathetic tone and unopposed parasympathetic stimulation producing mucosal engorgement, nasal congestion, and rhinorrhea.<sup>67–69</sup>

**Phosphodiesterase inhibitors.** Phosphodiesterase (PDE) inhibitors prevent enzymatic breakdown of cyclic nucleotides. This inhibition has diverse effects including smooth muscle relaxation, vasodilation, and bronchodilation, making PDE inhibitors useful for numerous disease processes. PDE-3 and PDE-5 inhibitors are commonly used to treat intermittent claudication, heart failure, pulmonary hypertension, lower urinary tract symptoms, and erectile dysfunction.<sup>70,71</sup> PDE-3 and nonselective PDE inhibitors inhibit cyclic adenosine monophosphate (cAMP) hydrolysis, which ultimately prevents platelet aggregation and encourages vasodilation with increased extremity blood flow. PDE-5-specific inhibitors encourage smooth muscle relaxation through inhibition of nitric oxide (NO)-generated cyclic guanosine monophosphate (cGMP), causing vasodilation of the corpus cavernosum and pulmonary vasculature as well as changes in the lower urinary tract. NO/cyclic nucleotide mediated vasodilation occurs in the nasal mucosa causing nasal mucosal engorgement and edema<sup>72–76</sup> (Table V.B.1).

**Angiotensin converting enzyme inhibitors.** Angiotensin converting enzyme inhibitors (ACEI) inhibit the conversion of angiotensin I to angiotensin II in the lungs and are commonly used for cardiac and renal diseases. ACEI upregulate the formation of bradykinin, an inflammatory peptide that causes vasodilation and smooth muscle contraction.<sup>77</sup> Bradykinin B<sub>1</sub> and B<sub>2</sub> receptors have been demonstrated in nasal mucosa,<sup>78</sup> and bradykinin application to nasal mucosa has resulted in increased sneezing.<sup>74,79</sup> In addition to cough, rhinorrhea and nasal obstruction have been associated with ACEI.<sup>77</sup>

**Illicit drug use.** The nose provides a unique portal for illicit drug use due to well vascularized and easily accessible nasal mucosa. Applying a crushed solid, liquid, or aerosolized form of a drug to the nasal cavity avoids invasive intravascular or intramuscular administration. For some drugs, nasal administration increases bioavailability and shortens time to onset when compared to oral ingestion.<sup>80,81</sup> In contrast to oral agents, intranasal administration bypasses portal filtration.

**TABLE V.B.1** Drug-induced rhinitis medication list<sup>59,61,73</sup>

|  |   |   |  |
|--|---|---|--|
| <b>Local<br/>inflam-<br/>matory<br/>type</b> |   |   | NSAIDs (diclofenac, etodolac, fenoprofen, flurbiprofen, ibuprofen, indomethacin, ketoprofen, meclofenamate, naproxen, piroxicam, sulindac)<br>Aspirin<br>Ketolorac (if administered via nasolacrimal duct)   |
| <b>Neurogenic<br/>type</b>                   | <b><math>\alpha</math>- and <math>\beta</math>-adrenergic<br/>receptor<br/>modulators</b> | $\alpha$ -antagonists                             | Alfuzosin ( $\alpha$ -1)<br>Doxazosin ( $\alpha$ -1)<br>Indoramin ( $\alpha$ -1)<br>Phentolamine ( $\alpha$ -1, $\alpha$ -2)<br>Prazosin ( $\alpha$ -1)<br>Silodosin ( $\alpha$ -1)<br>Tamulosin ( $\alpha$ -1)  |
|  |   | Presynaptic $\alpha$ -2 agonists                  | Clonidine<br>Guanfacine<br>Methyl dopa<br>Piribedil  |
|  |   | $\beta$ -antagonists                              | Atenolol ( $\beta$ -1)<br>Bisoprolol ( $\beta$ -1)<br>Carvedilol ( $\beta$ -1, $\beta$ -2, $\alpha$ -1)<br>Labetolol ( $\beta$ -1, $\beta$ -2, $\alpha$ -1)<br>Metoprolol ( $\beta$ -1)<br>Pindolol ( $\beta$ -1, $\beta$ -2)<br>Propranolol ( $\beta$ -1, $\beta$ -2) |
|  |   | Presynaptic depletion of<br>norepinephrine stores | Guanethidine   |
|  | <b>Phosphodiesterase<br/>inhibitors</b>   | Phosphodiesterase-3<br>specific                   | Amrinone<br>Anagrelide<br>Cilostazol<br>Dipyridamole<br>Milrinone  |
|  |   | Phosphodiesterase-5<br>specific                   | Avanafil<br>Sildenafil<br>Tadalafil<br>Vardenafil  |
|  |   | Non-selective<br>phosphodiesterase                | Pentoxifylline<br>Theophylline   |
|  | <b>Angiotensin<br/>converting enzyme<br/>inhibitor</b>                                    |   | Benazepril<br>Captopril<br>Enalapril<br>Lisinopril<br>Quinapril<br>Ramipril  |
| <b>Idiopathic<br/>type</b>                   |   | Psychotropics                                     | Alprazolam<br>Amitriptyline<br>Chlorpromazine<br>Mianserin<br>Reserpine<br>Risperidone<br>Thioridazine   |
|  |   | Immunomodulators                                  | Cyclosporine   |
|  |   | Hormones  | Estrogen<br>Oral contraceptives  |
|  |   | Antihypertensives                                 | Amiloride<br>Chlorothiazide<br>Hydralazine<br>Hydrochlorothiazide  |
|  |   | Other   | Gabapentin<br>Ginkgo biloba  |

Cocaine is most commonly associated with nasal illicit drug use and exerts its effect by modulating dopamine transporters to inhibit synaptic reuptake, increasing dopamine for post-synaptic stimulation.<sup>82</sup> After application to nasal mucosa, cocaine is quickly metabolized by native mucosal esterases into its bioactive metabolite, which then passively diffuses across the nasal mucosa and the olfactory bulb, leading to elevated systemic and brain concentrations resulting in a psychotropic euphoria.<sup>83</sup> Cocaine-induced rhinitis is a result of vasoconstrictive events, which can be followed by rebound nasal mucosal edema and mucus production, similar to rhinitis medicamentosa.<sup>84–87</sup> In the repeat user, vasoconstriction, direct trauma compounded by anesthetic effects, and/or injury secondary to contaminants may result in tissue necrosis.<sup>88–91</sup> Similarly, prescription narcotics, antidepressants, anticholinergics, and psychostimulants can be abused by intranasal administration.<sup>78,92</sup> Tissue necrosis has also been associated with intranasal opioid and acetaminophen abuse.<sup>93–95</sup> Possible mechanisms of injury include hyperosmotic conditions, vasculitic-like inflammation, or direct injury secondary to talc.<sup>95,96</sup>

Drug-induced rhinitis is a subtype of non-allergic rhinitis that can cause mucosal edema, vasodilation, and inflammatory mediator production. Vasoconstriction and mucosal injury often accompany illicit drug use. Drug-induced rhinitis differs from AR as it is not allergen-induced nor dependent on IgE mechanisms, although symptomatology may be similar.

## V.B.2 | Rhinitis medicamentosa

Rhinitis medicamentosa is a drug-induced rhinitis resulting from prolonged topical intranasal decongestant (INDC) use.<sup>31,97</sup> Topical INDCs are readily available without a prescription and often lack appropriate warnings of prolonged use, potentially resulting in overuse and dependence. Although no consensus diagnostic criteria exist, rhinitis medicamentosa was originally associated with the triad of prolonged INDC use, persistent nasal obstruction, and rebound swelling of the nasal mucosa.<sup>97</sup> Patients present with nasal congestion, often lack rhinorrhea or sneezing, and may note reduced efficacy, or tachyphylaxis, with further use of INDCs.<sup>87,98,99</sup> Physical examination is variable, but often reveals nasal mucosal edema, erythema, and hyperemia (Table V.B.2).

**Nasal anatomy and physiology.** Vasculature within the nasal mucosa consists of resistance vessels (arterioles), whose sympathetic innervation is predominated by  $\alpha$ -2 adrenergic receptors, and capacitance vessels (venous sinusoids), that are innervated by  $\alpha$ -1 and  $\alpha$ -2 receptors.

**TABLE V.B.2** Intranasal decongestants associated with rhinitis medicamentosa<sup>31,97</sup>

| Class                   | Active drug     | Examples of OTC products in the United States containing this medication                    |
|-------------------------|-----------------|---|
| Sympathomimetic amines  | Phenylephrine   | Neo-synephrine<br>Vicks Sinex<br>Ephrine nasal drops  |
|                         | Pseudoephedrine |   |
|                         | Ephedrine       |   |
| Imidazoline derivatives | Oxymetazoline   | Afrin<br>Sudafed nasal decongestant<br>Mucinex Sinus-Max<br>Zicam extreme congestion relief |
|                         | Xylometazoline  | Otrivine and otrivin nasal spray  |
|                         | Naphazoline     | Privine nasal spray   |

Abbreviation: OTC, over-the-counter.

Stimulation of these receptors results in vasoconstriction with resultant decongestion due to decreased blood flow and increased sinusoid emptying.<sup>97,100</sup> The two classes of nasal decongestants are imidazolines and sympathomimetic amines. Imidazolines are  $\alpha$ -2 receptor agonists, while sympathomimetic amines encourage presynaptic norepinephrine release. Norepinephrine stimulates  $\alpha$ -adrenergic receptors and weakly stimulates  $\beta$ -adrenergic receptors. Both medication classes have a rapid onset, are potent, and are long-acting.<sup>97,101</sup>

The exact pathophysiologic mechanism causing rhinitis medicamentosa is unclear, although several hypotheses exist: (1) chronic vasoconstriction causes recurrent nasal tissue hypoxia and ischemia, which may cause interstitial edema; (2) changes in endothelial permeability may result in increased edema; and (3) continuous INDC use may decrease endogenous norepinephrine and downregulate  $\alpha$ -receptors, through negative neural feedback, causing decreased adrenergic responsiveness.<sup>86,87,97,100–102</sup> Inflammatory cells, local inflammatory mediators, uninhibited parasympathetic stimulation, and increased mucin production also contribute to symptomatology.

Histologic changes within the mucosa after prolonged INDC use include ciliary damage and ciliary loss, epithelial cell injury, epithelial metaplasia and hyperplasia, dilated intercellular spaces, goblet cell hyperplasia, and edema.<sup>103–105</sup> Benzalkonium chloride, an antimicrobial preservative used in many nasal sprays, has been implicated in the mechanism of rhinitis medicamentosa. Stud-



ies have demonstrated that benzalkonium chloride is toxic to nasal epithelium and induces mucosal edema, propagating rhinitis medicamentosa, although the data are inconclusive.<sup>106–110</sup> Neither duration, nor cumulative dose of INDC needed to initiate rhinitis medicamentosa is known. Rebound congestion has developed after 3 to 10 days of medication use,<sup>87,104</sup> but may not occur until after 30 days.<sup>111,112</sup> Other studies have demonstrated a lack of rebound congestion after 8 weeks of continuous use.<sup>111–114</sup> Furthermore, doubling the dose of intranasal imidazoline did not increase the extent of rebound edema.<sup>111</sup> Although inconclusive, studies suggest that INDC use should be discontinued after 3 days to avoid rebound congestion.<sup>98,115,116</sup>

**Treatment of rhinitis medicamentosa.** Despite the lack of formal treatment guidelines for rhinitis medicamentosa, discontinuation of INDCs is paramount. Patients should be educated regarding common over-the-counter products containing decongestants as labeling may be inadequate. Various treatments have been trialed including nasal cromolyn, nasal saline spray, oral/intranasal antihistamines, turbinate steroid injections, and oral/intranasal corticosteroids.<sup>98,100,117–122</sup> Intranasal corticosteroids (INCS) are the most common treatment for rhinitis medicamentosa. Many initiate INCSs while weaning INDCs.<sup>101,105,120–123</sup> Often there is an underlying undiagnosed rhinitis and/or anatomic issue that initiated decongestant use, and this should be addressed to relieve the drive to use INDCs. For refractory cases, oral steroids and inferior turbinate (IT) reduction have been considered.<sup>122</sup>

Rhinitis medicamentosa is typically associated with repeated exposure to INDCs, with increasing symptoms when the medication is withheld. In contrast, AR is classically associated with an allergic trigger with similar symptoms increasing upon allergen exposure and is dependent upon IgE-mediated inflammation. It is possible that both may coexist, and a careful history should be obtained regarding these triggers to obtain an accurate diagnosis and provide appropriate treatment.

### V.B.3 | Occupational rhinitis

Occupational rhinitis is an inflammatory disease of the nose, characterized by intermittent or persistent symptoms of nasal congestion, sneezing, rhinorrhea, itching, and/or variable nasal airflow obstruction due to causes and conditions attributable to a particular work environment.<sup>124,125</sup> While many social activities or hobbies can result in overlapping symptoms, stimuli that are encountered outside the workplace are not considered occupationally related.<sup>126</sup>

The pathophysiological mechanisms of occupational rhinitis are the same as other forms of chronic rhinitis although symptoms may be intimately tied to work exposure.<sup>1,124,126</sup> Occupational rhinitis may be classified as allergic, resulting from an immunological exposure to a sensitizing high molecular weight protein (HMW > 5 kDa) or non-allergic, mediated by non-immunological low molecular weight chemical irritant (LMW < 5 kDa).<sup>127,128</sup> Non-allergic occupational rhinitis is sometimes subdivided into annoyance (e.g., perfumes), irritant-induced (e.g., formaldehyde or smoke), or corrosive rhinitis (e.g., ammonia or acids), the latter of which may include permanent inflammation of the nasal mucosa, ulcerations, and perforation of the nasal septum.<sup>1,124</sup>

Cross sectional studies of various workers show a wide range of occupational rhinitis prevalence rates (3%–87%),<sup>124,126,129</sup> although rates are higher for HMW agents compared to lower for LMW agents.<sup>126</sup> Occupations and commonly implicated agents are reported in Table V.B.3.<sup>130–135</sup> Pre-existing AR or allergic asthma, baseline total IgE >150 kIU/L, or occupations with frequent exposure to animals have been shown to be risk factors for occupational rhinitis.<sup>136,137</sup>

Occupational rhinitis tends to be three times more prevalent than occupational asthma,<sup>129</sup> but the two disorders are often associated (up to 92% of cases).<sup>126</sup> In most cases, work-related nasal symptoms develop 5–6 months before the onset of bronchial symptoms.<sup>124,138</sup> Consequently, occupational rhinitis may be considered a marker of the likelihood of developing occupational asthma. Previous practice parameters and consensus documents suggest that workers in certain high-risk occupations be periodically monitored by survey and/or skin prick testing (SPT) so that risk mitigation strategies can reduce sensitization, and potentially limit progression of occupational rhinitis or the development of occupational asthma.<sup>1,139,140</sup>

The clinical presentation of occupational rhinitis does not differ from those of non-occupational chronic rhinitis. Diagnostic assessment must include a thorough clinical and occupational history, aimed to investigate the type of symptoms and work-related temporality, and to collect information on specific occupational exposures. Documentation of noxious compounds in the workplace should include examination of available Material Safety Data Sheets.<sup>124</sup> The presence of a latency period between beginning of occupational exposure and symptom onset (months or even years) suggests an immunologic mechanism. This contrasts to non-allergic irritant occupational rhinitis which may occur immediately upon first exposure.

Nasal endoscopy, assessing nasal patency, inflammation, and secretions minimize patient misclassification.<sup>1,141,142</sup> Sensitization to a suspected HMW agent by SPT may be preferred over serum sIgE assessment



**TABLE V.B.3** High risk occupations and causal agents for occupational rhinitis<sup>130–135</sup>

| Agents   | Occupation  |
|--|---|
| <b>Allergic agents (high molecular weight)</b>                     |   |
| Cereal flours  | Bakers, food industry                               |
| Laboratory animals (rat, mouse, monkey)                            | Laboratory workers                                  |
| Latex  | Health care workers                                 |
| Animal-derived allergens (horse, cat, dog), plant allergens, molds | Farmers, veterinarians                              |
| Shellfish, bony fish   | Seafood workers                                     |
| Biological enzymes   | Pharmaceutical and detergent industries             |
| <b>Non-allergic agents (low molecular weight)</b>                  |   |
| Persulfates  | Hairdressers  |
| Wood dust  | Carpentry, furniture making                         |
| Drugs  | Pharmaceutics, health care workers                  |
| Cigarette smoke  | Various occupations                                 |
| Formaldehyde   | Construction, morticians, hairdressers, agriculture |
| Exhaust pollutants   | Highway workers, mechanics                          |
| Benzene or toluene   | Painters  |
| Capsaicin  | Hot pepper workers                                  |
| Talc   | Cosmetic industry                                   |
| Ammonia, bleach or acids (corrosive)                               | Cleaners, chemical factory workers                  |
| Perfumes (annoyance)   | Department stores or hairdressers                   |

as skin testing has been reported to be more sensitive and specific in various reports.<sup>143–146</sup> However, the reliability of sIgE testing depends on the equipment, materials, and technique employed; therefore, a standardized approach and validated extracts are required, which are often not available especially for LMW agents.<sup>44,126,146–148</sup> A truly definitive diagnosis can only be established by objective demonstration of the causal relationship between rhinitis and the work environment through nasal provocation test (NPT) with the suspected agent(s). However, irritant triggers, LMW agents, and delayed type reactions are often not easily identified by NPT<sup>49,124,146,149,150</sup> (Figure V.B.3). Validated clinical assessment tools such as the Total Nasal Symptom Score (TNSS) or and/or sneeze counts administered pre-and-post exposure may aid in quantifying the severity of the response. At some institutions, rhinomanometry is also available to obtain additional quantitative data.

If NPT is negative, further evaluation of work-related changes in nasal parameters at the workplace is recommended, especially in the presence of a highly suggestive clinical history.<sup>151</sup> When possible, a formal site visit may allow the technician to directly observe the workplace environment, symptomatology, and Material Safety Data Sheets, and suggest specific workplace modifications. Due to the strict relationships between upper and lower airways, spirometry and exhaled NO assessment should be performed in patients with occupational rhinitis.<sup>1,126</sup>

The primary treatment of allergic occupational rhinitis is avoidance or reduction of culprit exposures.<sup>126</sup> Pharmacologic treatment does not differ from that of non-occupational rhinitis, although medications alone may be insufficient given the intensity and frequency of many workplace exposures.<sup>152</sup> In allergic occupational rhinitis due to HMW sensitizers, AIT may be considered when validated extracts are available.<sup>153</sup> However, AIT may have limitations in those individuals with continued high workplace exposure; therefore, simultaneous mitigation and avoidance strategies are essential.

Occupational rhinitis has both medical and socioeconomic implications,<sup>154</sup> and may be the cause of leaving work.<sup>155</sup> Since occupational rhinitis is acknowledged as a risk factor for the development of occupational asthma, the prevention and early identification of occupational rhinitis of exposed workers may provide an excellent opportunity to prevent the development of occupational asthma.<sup>156</sup> (See Section XI.A.6. Allergen Avoidance – Occupational for additional information on this topic.)

## V.B.4 | Chemical rhinitis

As exposure to environmental chemicals and pollutants increases in daily life, patients may present with rhinitis symptoms that do not necessarily fall within a traditional allergic profile. Chemicals may cause sensory irritation which can include congestion, sneezing, rhinorrhea, nasal discomfort, post-nasal drainage, headache, olfactory function, and epistaxis. This is often associated with lower airway symptoms and conjunctival irritation.<sup>126</sup> The differential diagnosis of chemical rhinitis is broad, including occupational rhinitis, but not all chemical rhinitis is occupational. Typically, the differential should include causes of both AR and non-allergic rhinitis, as well as mixed rhinitis, recurrent acute rhinosinusitis (RARS), and chronic rhinosinusitis (CRS).

Exposures at home and work are important elements to obtain in the history. There are many chemicals with which specific occupations are closely associated, and household chemicals may play a role as well. Volatile

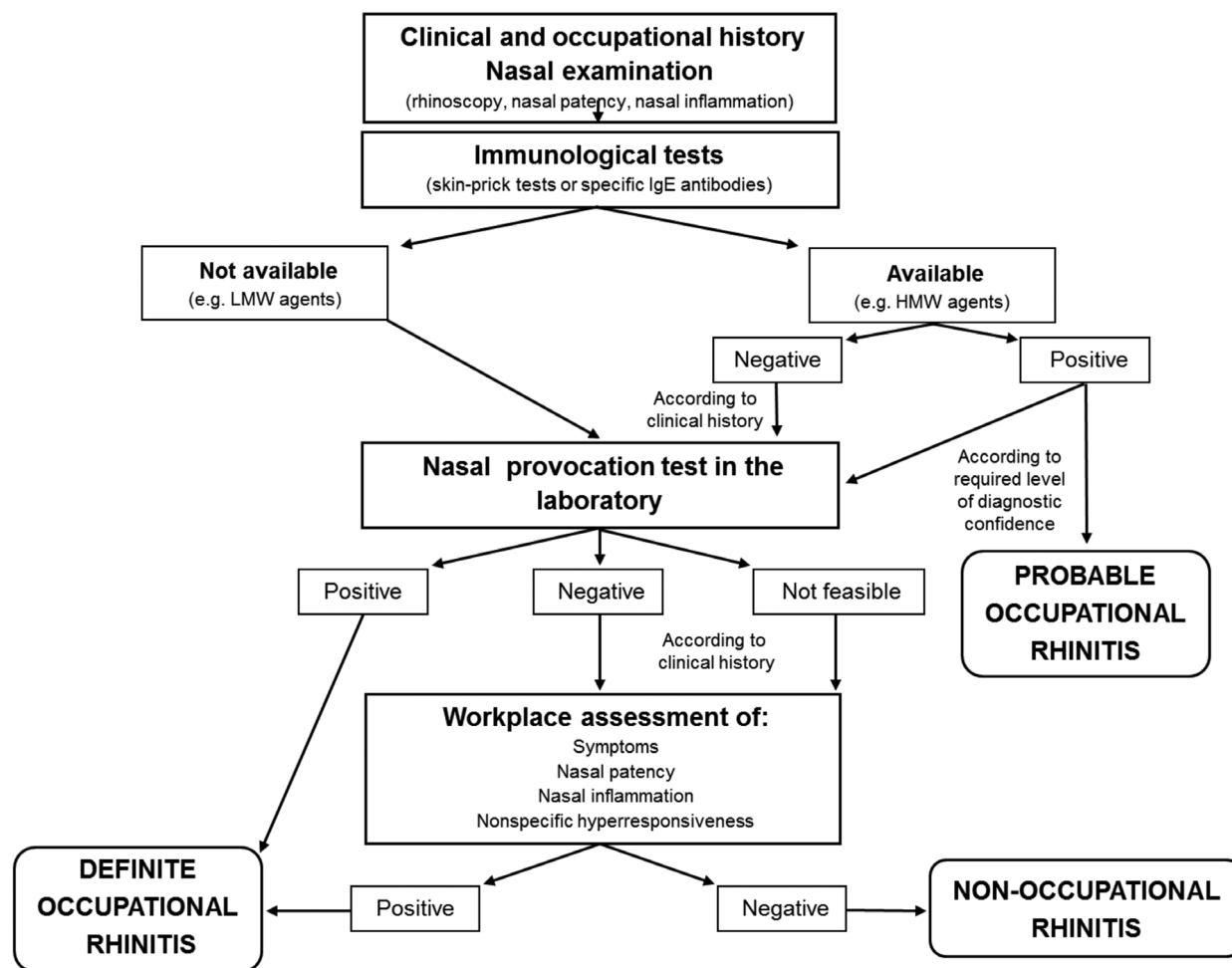


FIGURE V.B.3 Diagnostic algorithm for occupational rhinitis

organic compounds such as benzene, toluene, and the secondary production of formaldehyde can be found in cleaning products, furniture, plastics, flooring and can cause barrier dysfunction and inflammation in both the upper and lower airway.<sup>134,157,158</sup> Larger chemical particles greater than 10  $\mu\text{m}$  in diameter are generally deposited in the upper airway and agents such as ammonia, formaldehyde, nitrogen dioxide, or sulfur dioxide among others may readily disrupt the epithelial barrier.<sup>124</sup>

In general, inquiring about exposure to vapors, fumes, smoke, and dust can be helpful to determine if a patient has an element of chemical rhinitis. These responses are typically non-IgE-mediated by a reflex response which is often termed neurogenic inflammation.<sup>159</sup> A subset of these individuals involved in single exposure incidents may develop persistent and chronic symptoms. This phenomenon has been described as reactive upper airways dysfunction syndrome when only rhinitis symptoms are present, and reactive airways dysfunction syndrome when asthma-like symptoms are present.<sup>160,161</sup>

Chemicals known to cause respiratory inflammation and in some cases, allergic sensitization include

diisocyanates, acid anhydrides, some platinum salts, reactive dyes, and many cleaning products that are used in hospitals and in the pandemic era including glutaraldehyde, quaternary ammonium compounds, and chloramine.<sup>134,162–164</sup> There is still debate concerning the exact mechanism behind sensitization to these chemicals. However, smaller chemical compounds must associate with larger protein molecules in order to induce an immune response. As a result, evaluation of sensitization through skin testing and/or evaluation of sIgE can be helpful and in the future, immunoassays based on cellular responses may serve as better biomarkers of exposure to chemicals.<sup>165,166</sup>

### V.B.5 | Smoke induced rhinitis

Tobacco smoke exposure is associated with chronic rhinitis and CRS.<sup>167–169</sup> Other smoke exposure sources besides conventional cigarettes, cigars, and pipes include electronic cigarettes, vaping, and cannabis. Although there is limited research on these other methods of smoke

exposure, initial studies support that there may be an increased risk of rhinitis with some of these products and these exposures should be considered in the differential diagnosis.<sup>170,171</sup> Symptoms common to both AR and smoke-induced rhinitis include rhinorrhea and congestion, but smoke-induced rhinitis is not driven by IgE-mediated hypersensitivity which tends to also exhibit sneezing on exposure to a specific allergen.<sup>172–175</sup>

Symptoms of rhinitis are provoked by exposure to the chemicals in smoke and can correlate with serum cotinine levels in patients using tobacco.<sup>174</sup> Furthermore, smoking in combination with occupational irritants are additive risk factors for nasal symptoms and may be independent of allergic sensitization.<sup>175</sup> Although smoke-induced rhinitis does not require allergen sensitization, there has been at least one report of potential allergenic compounds in smoke.<sup>176</sup> Interestingly, active smokers show elevated total serum IgE, although they exhibit a lower skin test reactivity to specific allergens compared to non-smokers despite well documented increased rates of lower respiratory disorders such as asthma, cough, sputum production, and wheezing.<sup>177</sup> This may be due in part to the fact that tobacco smoke exposure results in decreased mucociliary clearance.<sup>178</sup>

One of the mechanisms to explain nasal irritation resulting from smoke exposure may be related to capsaicin-sensitive neurons in the nasal mucosa.<sup>179</sup> This neurogenic type of nasal inflammation is mediated by neuropeptides such as substance P, neurokinin A, and calcitonin gene-related peptide (CGRP). These mediators are released by sensory nerve fibers in the nose and result in vasodilation, edema, and inflammation.<sup>180</sup>

Patients who are reactive to tobacco exposure are identified by both subjective (congestion, rhinorrhea, sneezing) and an objective response (increased nasal resistance) to controlled challenge with tobacco smoke. In a prospective study, patients were defined as demonstrating reactivity if nasal resistance increased by more than 35% by acoustic rhinometry in response to tobacco smoke; patients with less than 5% increase in nasal resistance were defined as nonreactive.<sup>178</sup> Congestive responses have been demonstrated on challenge with both brief and prolonged exposure to tobacco smoke. In individuals who report a history of smoke induced rhinitis, only *brief* smoke exposure (45 parts per million [ppm] for 15 min) leads to increased nasal resistance as measured by posterior rhinometry (although there were no significant increases in histamine levels noted).<sup>181</sup> However, *prolonged* exposure to moderate levels of smoke (15 ppm for 2 h) induced a congestive response lasting for an hour or longer in both individuals with and without a history of smoke-induced rhinitis.<sup>178</sup> While objective response may be short lived, patients reported symptoms lasting hours to days follow-

ing exposure. Since significant symptom overlap exists, a thorough history and allergy testing can help further differentiate smoke-induced rhinitis from other types of rhinitis.

## V.B.6 | Infectious rhinitis

Infectious rhinitis is a very common diagnosis in general practice. Differences in onset and pathogenic cause lead to various pathophysiologies and forms. Common conditions in general practice are acute viral and bacterial rhinitis. Nasal symptoms include clear or discolored nasal discharge, nasal obstruction, postnasal drip, cough, and facial pressure depending on the etiology. These symptoms may also be present in non-infectious rhinitis; most commonly AR. This diagnostic distinction is important to avoid inappropriate treatment and diagnostic procedures. Distinctive clinical characteristics suggestive of AR are sneezing, nasal or ocular itching, the presence of an obvious allergic trigger, and the presence of recurrent seasonal-related symptoms – these symptoms are less frequent in infectious rhinitis.<sup>31,182</sup>

Rhinitis symptoms are the result of nasal mucosa and/or sinus inflammation. The mucosa of the nose and sinuses are contiguous. Thus, the clinical presentations of rhinitis and rhinosinusitis are overlapping, and it is difficult to differentiate between them. Infectious rhinitis or rhinosinusitis are classified by duration and pathogenic cause into subtypes including acute viral (common cold), post-viral, and bacterial.<sup>183</sup> (See Sections V.B.15. Differential Diagnosis - Rhinosinusitis and XIII.B. Associated Conditions - Rhinosinusitis for additional information on this topic.)

Acute viral rhinitis, or the common cold, is responsible for most acute infectious rhinitis, especially in children.<sup>31</sup> The incidence of acute viral rhinosinusitis is expected to be as high as 98%.<sup>184,185</sup> Common organisms are rhinovirus, adenovirus, influenza virus, and parainfluenza virus.<sup>120</sup> Viral rhinitis is a self-limited illness and only requires supportive treatment. Most symptoms resolve by day five; nasal discharge and cough may last longer.<sup>186</sup> Prolonged symptoms of more than 2 weeks duration suggest a non-infectious etiology or post-viral rhinosinusitis.

The relationship between viral infection and AR has been studied. The upregulation of intercellular adhesion molecule (ICAM)-1, which is the major human receptor of rhinovirus, was shown in patients with underlying allergic disease.<sup>187–189</sup> The increased expression of ICAM-1 was demonstrated in both upper and lower allergic airway diseases compared with healthy controls.<sup>190–192</sup> This enhances the susceptibility of airway epithelial cells to viral infection.

In some cases, viral rhinitis episodes are secondarily infected by bacterial organisms such as *Streptococcus pneumoniae*, *Haemophilus influenzae*, and *Moraxella catharralis*.<sup>184,185</sup> This occurs in 0.5%–2.0% of all viral infections.<sup>183,184</sup> Clinical presentation distinguishing viral from bacterial rhinitis/rhinosinusitis is often impossible.<sup>193–196</sup> Inappropriate prescribing of antibiotics and diagnostic tools is often secondary to misdiagnosis of the symptoms and signs of viral and bacterial origin with up to 60% starting a course of antibiotics at first symptom presentation.<sup>197–199</sup>

The possibility of bacterial infection increases if there is deterioration in symptoms after day 5.<sup>186</sup> Predicting criteria for bacterial infection have been suggested using clinical characteristics, the pattern of symptoms, and laboratory reports.<sup>183,200,201</sup> However, the maximum sensitivity and specificity only reach 69% and 81%, respectively, among various criteria.<sup>199,202</sup> Additionally, a collection of factors contribute to developing an infection of bacterial origin. These factors include dental infection or procedure, previous sinus surgery/nasogastric tube insertion/nasal packing, underlying immunodeficiency, structural nasal problems, and evidence of underlying nasal mucosa edema such as AR.<sup>186</sup>

## V.B.7 | Rhinitis of pregnancy and hormonally induced rhinitis

**Rhinitis of pregnancy.** Pregnancy-induced rhinitis describes nasal symptoms that occur during pregnancy, are independent of other etiologies for rhinitis, and remit after delivery.<sup>203–205</sup> Symptoms include rhinorrhea, sneezing, hyposmia, and nasal itching.<sup>206</sup> In a multicenter study of 599 previously asymptomatic women, prevalence of rhinitis of pregnancy was 22%.<sup>207</sup> A history of AR and smoking increase risk for its development.<sup>203–205</sup>

Quantifying the impact of pregnancy-induced rhinitis has been done objectively and subjectively. Acoustic rhinometry, rhinomanometry, peak nasal airflow measurements, and saccharin testing confirm that changes to nasal airway patency occur.<sup>205,206,208</sup> Electron microscopy demonstrates glandular hyperactivity, increased phagocytotic activity, and increased amounts of acid mucopolysaccharides in the ground substance.<sup>209</sup> Studies using validated patient reported outcome measures (PROMs) (e.g., Nasal Obstruction Symptom Evaluation [NOSE] scale, Rhinitis Quality of Life Questionnaire [RQLQ])<sup>208,210</sup> confirm the subjective component of pregnancy-induced rhinitis.<sup>205,206,208</sup>

The precise pathophysiology of pregnancy-induced rhinitis remains unknown.<sup>206,211,212</sup> Estrogen, progesterone, and placental growth hormonal have all been

implicated.<sup>203–205,208</sup> Increased expression of histamine receptors secondary to  $\beta$ -estradiol and progesterone in nasal epithelial and endothelial cells has been demonstrated and is proposed as a potential mechanism of nasal hyperreactivity in pregnancy-induced rhinitis.<sup>213</sup> Additionally, serum levels of placental growth hormone were significantly higher in patients with pregnancy-induced rhinitis throughout their pregnancy.<sup>214</sup>

Pregnancy-induced rhinitis has been implicated in potential risks for the mother and fetus.<sup>203,204,212</sup> Mouth breathing from pregnancy-induced rhinitis bypasses the benefits of nasal breathing, including preparation of inspired air for the lungs and NO release from the maxillary sinuses, which reduces pulmonary vascular resistance and contributes to increased pulmonary oxygenation.<sup>204,212</sup> Additionally, maternal sleep disruption, when severe, can be associated with snoring and obstructive sleep apnea (OSA) and may contribute to increased risk for pre-eclampsia and maternal hypertension.<sup>215</sup> Intrauterine growth retardation and decreased Apgar scores are also possible.<sup>203,215</sup>

Treatment is conservative and relies on education. Reassurance regarding the temporary nature of pregnancy-induced rhinitis is beneficial. Regular use of nasal saline lavage is safe and provides symptomatic relief.<sup>182,211,212</sup> Counseling against the routine use of oral and topical decongestants is critical due to the risk for congenital gastroschisis, pyloric stenosis, endocardial cushion defects, renal anomalies, and limb defects. These risks are greater in the first trimester, but caution should be maintained throughout the pregnancy.<sup>182,211,212</sup> INCS are generally considered safe for use during pregnancy; however, triamcinolone is associated with congenital respiratory defects.<sup>182</sup> A treatment option under investigation is topical hyaluronate, which facilitates mucociliary clearance and hydration. In a 2019 pilot study of pregnancy-induced rhinitis, sodium hyaluronate use decreased snoring, mucosa congestion, and nasal secretions and had no adverse events.<sup>216</sup> More studies are needed before recommending its routine use during pregnancy.

**Hormonally-induced rhinitis.** Cytological changes and cell turnover of the nasal epithelium during the phases of the menstrual cycle have been demonstrated. In general, estrogens are thought to cause nasal vascular engorgement, resulting in obstruction and rhinorrhea. As with pregnancy-induced rhinitis, the mechanism of these changes remains unclear.<sup>182,217–219</sup> The expression of histamine H<sub>1</sub>-receptors within the nasal epithelium and microvascular endothelial cells are increased in response to  $\beta$ -estradiol and progesterone. These hormones may also induce eosinophil migration and/or degranulation.<sup>217</sup>

Rhinitis can also occur in patients with endocrine pathologies. Hypothyroidism can cause hypertrophy of



mucous glands, increased submucosal connective tissue, and resultant nasal obstruction and rhinorrhea.<sup>217,218,220</sup> These patients may also have prolonged mucociliary clearance time.<sup>221</sup> Rhinitis with sinonasal mucosal hypertrophy and polyp formation can also be seen in acromegaly, though it is unclear if elevated serum levels of growth hormone are the cause.<sup>222</sup>

## V.B.8 | Food and alcohol induced rhinitis

**Food-induced rhinitis.** Gustatory rhinitis is characterized by watery, unilateral, and/or bilateral rhinorrhea within a few minutes after the ingestion of food, usually hot and spicy foods such as tabasco sauce, hot chili peppers, horseradish, red cayenne or black pepper, and other foods that contain capsaicin. The rhinorrhea lasts as long as the food is ingested.<sup>182,223–226</sup> Gustatory rhinitis can be confused with IgE-mediated food allergy, but there is no sneezing, pruritus, or facial pain and the time course of the rhinorrhea is self-limited.<sup>223</sup> There is also no associated disturbance of smell or taste.<sup>227</sup> Gustatory rhinitis occurs more often in patients with AR and patients who have a history of smoking, but not those with asthma or food allergies.<sup>225</sup>

The pathophysiology has been confirmed through pharmacologic observations and immunohistology studies to occur through a neural reflex arc initiated upon the stimulation of afferent sensory nerves. This leads to the stimulation of the parasympathetic efferent nerve supply to the submucosal glands in the nasal mucosa.<sup>224,226</sup> It is additionally possible that interactions between the sympathetic and parasympathetic nervous system could lead to uninhibited activity of the parasympathetic system with resultant rhinorrhea.<sup>226</sup> For example, the chemical capsaicin is known to cause gustatory rhinitis. The capsaicin receptor is a transient receptor potential vanilloid subtype 1 (TRPV1) receptor and exists in neuronal as well as non-neuronal cells along the nasal mucosa and oral epithelium.<sup>228</sup> A direct effect on goblet cell secretion may be triggered when capsaicin is ingested.<sup>227</sup> A well-known culprit of gustatory rhinitis is chili peppers, which contain capsaicin.<sup>227</sup> A variety of other foods are associated with gustatory rhinitis including horseradish, wasabi, black pepper, hot mustard, and vinegar.<sup>225,226</sup>

Treatment of gustatory rhinitis is avoidance of the inciting food. Topical anticholinergic medications such as ipratropium bromide (IPB) are used when avoidance is impractical.<sup>224,226,227</sup> The use of topical capsaicin and resection of the posterior nasal nerve (PNN) have been proposed as a last resort for intractable gustatory rhinitis.<sup>227,229</sup>

**Alcohol-induced rhinitis.** Exacerbation of respiratory symptoms after ingestion of alcohol occurs in approx-

imately 3%–4% of the general population. Among the nasal symptoms that occur, blockage is the most common and may be accompanied by rhinorrhea, sneezing and lower airway symptoms. This is reportedly more common in patients with AR, asthma, chronic obstructive pulmonary disease (COPD), and emphysema.<sup>230</sup> Up to 75% of aspirin-exacerbated respiratory disease (AERD) patients suffer exacerbations of respiratory symptoms when they consume alcohol.<sup>231–233</sup> Symptom exacerbations occur relatively soon after alcohol ingestion, are often associated with the ingestion of small volumes, and seem to correlate with peak blood alcohol levels.<sup>233</sup> Such symptoms can arise regardless of the type of alcohol ingested.<sup>230,232</sup> These reactions to alcohol consumption are more prevalent in chronic rhinosinusitis with nasal polyp (CRSwNP) patients who suffer with severe and recurrent disease and are related to the severity of upper airway inflammation.<sup>233</sup>

In AERD patients, the severity of aspirin-induced respiratory symptoms is positively correlated with the severity of alcohol-induced reactions.<sup>233</sup> Exacerbations of respiratory symptoms in response to alcohol have been shown to be decreased after aspirin-desensitization in patients with AERD.<sup>231</sup> Patients with AERD have elevated baseline cysteinyl leukotriene levels, which are proposed to mediate the upper and lower airway reactions to aspirin.<sup>231,232</sup> Cardet et al.<sup>232</sup> propose that cysteinyl leukotrienes mediate the response to alcohol in these patients as well, though the pathway for such a mechanism is unknown.

High alcohol consumption is observationally and genetically associated with high serum IgE levels, though not with allergic disease. Two possible mechanisms have been proposed as the etiology for this observation: (1) alcohol changes the balance of the Th1 and Th2 responses toward a Th2 immune response with a direct effect on B cells, or (2) alcohol induces increased uptake of endotoxins from the gut resulting in elevated IgE levels.<sup>234</sup>

## V.B.9 | Eosinophilic rhinitis and non-allergic rhinitis with eosinophilia syndrome (NARES)

Non-allergic rhinitis with eosinophilia syndrome (NARES) is a clinical disorder comprising symptoms consistent with perennial AR in which there is an absence of atopy but presence of local eosinophilia found on nasal cytology.<sup>235</sup> The pathophysiology of NARES is not well understood, but a key component involves chronic local eosinophilic, self-perpetuating inflammation, with non-specific histamine release. It is one of the most common type of inflammatory non-allergic rhinitis that was first described by Jacobs and colleagues in 1981.<sup>236</sup>

NARES patients report symptoms that are similar to those of perennial AR: nasal congestion, profuse



aqueous rhinorrhea, sneezing, and nasal and ocular pruritis. A prominent feature of NARES is olfactory dysfunction. NARES patients demonstrate significantly higher thresholds on olfactory testing than seasonal and perennial AR patients.<sup>237</sup> NARES is diagnosed by obtaining a careful history, findings on physical exam, not unlike those found in perennial AR patients (pale, boggy turbinates), and negative skin or in vitro allergy testing. Cytologic examination in NARES reveals the presence of prominent eosinophilia, usually 10%–20% on nasal smear, with a diagnostic criterion of 25% or more eosinophils.<sup>235,238</sup> In addition, nasal biopsies from these patients commonly show increased numbers of mast cells with prominent degranulation.<sup>239,240</sup>

Research has supported the role of chronic inflammation in the development of NARES. Though there is still a lack of understanding as to the exact pathophysiology, studies have shown an increased transendothelial migration of eosinophils in nasal lavage fluid, which are attracted and activated by chemokines and cytokines.<sup>241,242</sup> Specifically, NARES is characterized by elevated nasal fluid levels of tryptase (which is also seen in perennial AR) and eosinophilic cationic protein.<sup>243</sup> Elevated levels of interleukin (IL)-1 $\beta$ , IL-17, interferon (IFN)- $\gamma$ , tumor necrosis factor (TNF)- $\alpha$ , monocyte chemoattractant protein (MCP)-1, and RANTES (regulated upon activation, normal T cell expressed and presumably secreted) in nasal fluid were found in NARES compared to controls.<sup>244,245</sup>

A correlation between the concentration of RANTES with nasal symptoms and eosinophil counts in perennial AR patients has been shown.<sup>246</sup> However, levels of MCP-1 and RANTES were significantly higher in the nasal fluid of NARES compared to perennial AR subjects. Elevation of these cytokines correlated with the ratio of nasal symptom scores/percentage of eosinophils in NARES patients, where nasal symptoms of nasal obstruction, rhinorrhea, hyposmia, sneezing, and itching were each measured using a 3-point scale.<sup>246</sup> Several studies from European cohorts have found a lack of nasal mucosal IgE in NARES patients.<sup>247,248</sup> More recent studies of Chinese cohorts of NARES patients have found increased expression of Charcot-Leyden crystals which correlated with severity of symptoms and degree of eosinophilia.<sup>249</sup> Elevated cysteine protease inhibitor cystatin SN was also observed with greater loss of sense of smell.<sup>250</sup> Neuropeptide mediated eosinophil chemotaxis, including substance P, CGRP, and cholecystokinin octapeptide, has also been described as a contributing factor to the symptomatology in NARES patients.<sup>251</sup>

NARES may occur in isolation, but it can be associated with (and may be a precursor for) AERD.<sup>235</sup>

NARES has also been identified as a risk factor for the induction or exacerbation of OSA<sup>252</sup> and has been associated with increased tendency for lower airway hyperresponsiveness.<sup>253</sup>

The treatment of non-allergic rhinitis centers on its underlying cause. NARES is primarily treated with INCS, which decrease neutrophil and eosinophil chemotaxis, reduce mast cell and basophil mediator release, and result in decreased mucosal edema and local inflammation.<sup>254,255</sup> A combined analysis of three double-blind, randomized, prospective, placebo-controlled studies of 983 patients (309 of whom were classified as NARES) demonstrated a positive treatment effect using INCS with improvement in symptoms of nasal obstruction, postnasal drip, and rhinorrhea.<sup>256</sup> Additionally, the intranasal antihistamine azelastine and leukotriene receptor antagonists (LTRA) have been shown to reduce symptoms of rhinitis, including postnasal drainage, sneezing, rhinorrhea, and congestion.<sup>152,257–259</sup>

## V.B.10 | Non-allergic rhinopathy

Non-allergic rhinopathy/rhinitis is a chronic rhinitis made by a diagnosis of exclusion of other etiological factors. These include CRSwNP, NARES, AERD, infectious rhinitis, anatomical abnormalities, rhinitis medicamentosa, drug side effects, cerebrospinal fluid (CSF) rhinorrhea, and rhinitis of pregnancy. Clinical characteristics of non-allergic rhinopathy/rhinitis include primary symptoms of nasal congestion and rhinorrhea, postnasal drip in the absence of acid reflux, throat clearing, cough, Eustachian tube dysfunction (ETD), sneezing, hyposmia, and facial pressure/headache.<sup>67</sup> These symptoms may be perennial, persistent, or seasonal, and are typically elicited by defined triggers, such as cold air, climate changes (e.g., temperature, humidity, barometric pressure), strong smells, tobacco smoke, changes in sexual hormone levels, environmental pollutants, physical exercise, and alcohol. Notably, the lack of a defined trigger does not preclude the diagnosis of non-allergic rhinopathy.

The prevalence of non-allergic rhinopathy, the second most common form of rhinitis, is between 7% and 9.6% in the adult population in the United States (US) and Europe.<sup>34,60</sup> Vasomotor rhinitis is the most common cause of non-allergic rhinitis, and is found in 71% of cases.<sup>260–262</sup> Non-allergic rhinopathy occurs with a female-to-male ratio of 2:1 to 3:1<sup>67</sup> and is typically seen after the age of 20.<sup>263</sup> It is defined by the absence of an IgE-mediated immune response.<sup>152</sup> The term “non-allergic rhinopathy” has been suggested to replace vasomotor rhinitis, as allergic inflammation is absent in the pathogenesis, although vasomotor

causes may not account for the entirety of non-allergic rhinopathy/rhinitis cases.

The nasal mucosa of patients with non-allergic rhinopathy displays erythema and clear rhinorrhea. Allergy testing can be used to differentiate between non-allergic rhinopathy and AR. Vasomotor rhinitis, the most common subtype of non-allergic rhinopathy, has been linked to autonomic dysfunction and has been attributed to an imbalance between the parasympathetic and sympathetic systems.<sup>264</sup>

Local allergic rhinitis (LAR) is a distinct rhinitis that presents with features in between AR and non-allergic rhinopathy.<sup>265</sup> Patients with LAR demonstrate entopy or local IgE production in the nasal mucosa but lack skin test positivity. Individuals with LAR suffer from typical allergic symptoms upon allergen exposure but display a lack of systemic IgE sensitization. Local provocation is necessary to definitively exclude this diagnosis.<sup>265,266</sup> The prevalence of LAR among non-allergic rhinopathy has been reported to be 26.5%.<sup>267</sup> (See Section VI.A.3. Local IgE Production for additional information on this topic.) Additional forms of non-allergic rhinopathy include food-induced rhinorrhea and age-related rhinitis. (See Section V.B.8. Food and Alcohol Induced Rhinitis and Section V.B.11. Age-related Rhinitis for additional information on this topic.)

Neurosensory abnormalities are thought to play an important role the development of non-allergic rhinopathy.<sup>67</sup> In previous evaluation of central responses to olfactory stimuli, subjects with non-allergic rhinopathy underwent functional magnetic resonance imaging following exposure to different odors (vanilla and hickory smoke). Findings included increased blood flow to the olfactory cortex, leading to the hypothesis of an altered neurologic response.<sup>268,269</sup>

Medical management of non-allergic rhinopathy includes topical nasal sprays that have variable responses which have been used alone or in combination: INCS,<sup>256,270</sup> topical azelastine,<sup>271</sup> and IPB.<sup>272</sup> In addition adjunctive treatments include nasal saline sprays or lavage, especially with tenacious post nasal drip.<sup>264</sup>

For severely symptomatic patients refractory to medical therapy, surgical approaches targeting the vidian nerve and its branches have been shown to result in symptom control.<sup>229,273</sup> These include botulinum toxin injections which result in temporary symptom improvement, endoscopic vidian neurectomy, endoscopic posterior nasal neurectomy, and cryoablation of the posterior nasal nerve. Posterior nasal neurectomy is purported to result in lower rates of dry eye complications than vidian neurectomy.<sup>274</sup> Recent studies show that office based cryotherapy can achieve improvement in rhinorrhea and congestion for up to 1 year.<sup>275,276</sup>

## V.B.11 | Age-related rhinitis

As the percentage of the adult population aged 65 years and older continues to increase, so does the prevalence of diseases associated with aging. Specific to rhinologic disease, the physiological process of aging results in neural, hormonal, mucosal, and histologic alterations that cause morphological and functional changes in the nasal cavity.<sup>277,278</sup> This, in turn, can result in symptoms of rhinorrhea, nasal congestion, postnasal drip, dry nose, intranasal crusting, and decreased olfaction in the elderly population.<sup>279,280</sup>

**Rhinorrhea.** A questionnaire distributed to a cohort of adults in Pittsburgh demonstrated that 33% of the younger age group respondents ( $n = 76$ , mean age 19 years) regularly reported clear anterior nasal drainage as compared to 74% of the older age group respondents ( $n = 82$ , mean age 86 years).<sup>281</sup> It is known that autonomic function declines with age as  $\alpha$ - and  $\beta$ -receptors become less sensitive. Therefore, an imbalance of this system with decreased sympathetic tone and unopposed parasympathetic stimulation could result in a rise in glandular activity in the nasal cavity, leading to increased nasal drainage.<sup>281–284</sup> This mechanism is similar to the process classically termed “vasomotor rhinitis,” where the autonomic response to certain stimulants causes the nasal mucosal blood vessels to dilate and the mucus glands to become overactive, resulting in hypersecretion and excessive drainage.<sup>285</sup> Vasomotor rhinitis is the most common type of non-allergic rhinopathy/rhinitis, and the highest prevalence of non-allergic rhinopathy is seen in the elderly,<sup>260,280,286,287</sup> supporting an autonomic nervous system mechanism as the physiologic reason for increased rhinorrhea in this population.

**Nasal obstruction and congestion.** Other changes that occur in the aging nose include thicker mucus secondary to a decrease in body water content,<sup>288–290</sup> loss of nasal cartilage elasticity and tip support,<sup>278,280,290</sup> mucus stasis secondary to a less effective mucociliary clearance system,<sup>280,289,291</sup> and age-related central nervous system changes that affect the physiologic nasal cycle,<sup>288,292</sup> all of which can result in nasal obstruction/congestion.

**Nasal dryness and intranasal crusting.** Nasal dryness and intranasal crusting in the elderly often occurs due to decreases in mucosal blood flow and an increase in epithelial degeneration.<sup>293</sup> This, in turn, results in intranasal volume increase due to nasal mucosal atrophy.<sup>279</sup> Schrodter et al.<sup>294</sup> evaluated nasal mucosa samples from the middle turbinate (MT) of 40 healthy subjects 5–75 years old, and found an age-related increase in atrophic epithelium (only seen in patients over 40 years) with thickened basement membranes. Nasal crusting may also occur due to

a decrease in intranasal temperature and humidity in the aging nose.<sup>280</sup>

**Allergic rhinitis.** The worldwide growth of both the aging population and allergic disease has caused an increase in the prevalence of AR in the elderly,<sup>278</sup> with the prevalence estimated to be around 5%–10%.<sup>290,295</sup> However, epidemiologic data is overall lacking and AR in the elderly population is likely under-diagnosed and under-treated. Although there is symptomatic overlap between age-related rhinitis and AR in the elderly, AR is a type I hypersensitivity IgE-mediated reaction,<sup>296,297</sup> whereas age-related rhinitis is more similar to vasomotor or non-allergic rhinopathy/rhinitis in that allergens do not play a role in the aforementioned physiologic changes of the aging nose. AR in the elderly should be treated similarly to AR in the younger population, with INCS, oral and topical antihistamines,<sup>290,298</sup> and AIT.<sup>299</sup> For age-related/non-allergic rhinitis rhinorrhea, saline lavage and topical anticholinergics may be therapeutic.<sup>277</sup> However, both conditions can be concomitantly present in the elderly population, presenting as a “mixed rhinitis,” and should be considered in elderly patients who are refractory to typical medical management for a singular disease.

## V.B.12 | Atrophic rhinitis

Atrophic rhinitis is a chronic disease of the nose presenting with symptoms of nasal dryness and crusting, persistent fetid odor, recurrent epistaxis, and nasal obstruction.<sup>300,301</sup> It is characterized by progressive atrophy of the nasal mucosa and bone, leading to anatomically wider nasal airways, albeit many patients paradoxically complain about the symptom of nasal obstruction. Upon removing crusts, the nasal cavity appears enlarged, with significant atrophy of the nasal turbinates. Atrophic rhinitis can be classified into primary or if occurring as a sequela of a causative factor, secondary.<sup>302</sup> Both primary and secondary atrophic rhinitis are significantly different in their clinical presentation and underlying pathophysiology compared to AR.<sup>182</sup>

The prevalence of primary atrophic rhinitis varies across regions worldwide, with a higher prevalence in tropical countries such as India or Thailand compared to Europe or the US.<sup>303–307</sup> It is also more commonly found in young to middle-aged adults, with a predominance of females.<sup>303</sup> Primary atrophic rhinitis has also been linked to environmental and socioeconomic factors. For example, it has been more commonly found in industrial workers, those with lower socioeconomic status (SES), and those in rural areas.<sup>303</sup> While there are no universally accepted

guidelines for diagnosing primary atrophic rhinitis, it usually consists of a structured medical history and physical examination, including nasal endoscopy.<sup>306,308</sup>

The differentiation with secondary atrophic rhinitis includes the exclusion of potential causative etiologies related to secondary atrophic rhinitis, such as excessive nasal surgery, chronic granulomatous infections (e.g., tuberculosis, syphilis, leprosy), autoimmune/inflammatory disorders (e.g., granulomatosis with polyangiitis [GPA] or sarcoidosis), and excessive drug use (nasal sprays and cocaine).<sup>309</sup> Studies in the US on atrophic rhinitis patients revealed that secondary atrophic rhinitis accounted for more than 80% of atrophic rhinitis cases and was most commonly found in middle-aged adults.<sup>304</sup> Compared to the diagnosis of primary atrophic rhinitis, which mainly consists of excluding potential causative etiologies related to secondary atrophic rhinitis, a complete medical history to evaluate for causative factors represents the most correctly step for correctly diagnosing secondary atrophic rhinitis.<sup>300</sup>

To work up atrophic rhinitis, accurate and comprehensive medical history is important. Nasal endoscopy, cultures, and histopathology can also help clarify the diagnosis. Ly et al.<sup>310</sup> identified seven key symptoms that can be used to establish the diagnosis of atrophic rhinitis: purulence, nasal obstruction, history of nasal/sinus surgeries (at least two), crusting, recurrent epistaxis, smell loss, and chronic inflammatory disease of the upper airway. While more symptoms are associated with a higher sensitivity to diagnose atrophic rhinitis, the authors proposed that the presence of at least two symptoms (excluding nasal obstruction) enhances the sensitivity and specificity to 95% and 77%, respectively, to support the diagnosis of atrophic rhinitis.<sup>310</sup> Endoscopic findings usually include nasal crusting and enlarged lateral sidewalls.<sup>304</sup>

The underlying etiology and pathophysiology of primary atrophic rhinitis are still unknown, although persistent bacterial infection is commonly believed to be the causative agent. Microbiological cultures from the middle meatus can aid in the diagnosis.<sup>311</sup> The most common bacteria found in affected individuals is *Klebsiella ozaenae*,<sup>303,304,312,313</sup> albeit many other bacteria such as *Staphylococcus aureus* or *Pseudomonas aeruginosa* have also been isolated from nasal cultures.<sup>303,306</sup> Histopathological changes in both primary and secondary atrophic rhinitis may include partial or total squamous metaplasia, granulation tissue, atrophy, reduction of the seromucous glands, and vascular changes (e.g., reduced vascularity, dilated blood vessels, and in some cases endarteritis).<sup>309</sup> Interestingly, there have also been case reports which suggest primary atrophic rhinitis may have a genetic inheritance pattern.<sup>314</sup>

### V.B.13 | Empty nose syndrome

Empty nose syndrome (ENS) is a rare and complex acquired upper airway disease. “ENS” was coined nearly three decades ago to describe the “empty” or “wide open” nasal cavity examination and imaging in patients following turbinoplasty with excess loss of turbinate tissue or contour.<sup>304,315–319</sup> Clinically, it is characterized by a spectrum of debilitating symptoms like nasal burning, dryness, and crusting, accompanied by symptoms quite unique to ENS like severe suffocation, paradoxical sensation of nasal obstruction, or excessive nasal airflow (i.e., “nose feels too open”).<sup>304,320,321</sup>

ENS is linked to several IT reduction approaches, such as total turbinectomy, IT trimming, and radiofrequency ablation.<sup>321,322</sup> Presentation can be immediate or delayed, secondary to over-aggressive IT reduction or suboptimal post-surgical healing and scarring, respectively.<sup>316,323,324</sup> While ENS is mostly associated with inferior turbinoplasty (ENS-IT), ENS from MT tissue loss (ENS-MT) has been reported.<sup>317</sup>

The physiologic basis for perceiving reduced and/or unpleasant nasal breathing may be related to altered signaling through trigeminal sensory receptors, specifically TRPM8. Resultant aberrant thermosensation and neurosensory deprivation manifest as muted airflow sensation.<sup>325–330</sup> Damage to, and/or delayed recovery of, the trigeminal sensory nerve has also been implicated in the development of ENS in a minority of patients.<sup>331</sup> Additionally, objective shifts in nasal airflow support a novel “aberrant airflow” hypothesis.<sup>332–334</sup> Computational fluid dynamics modeling of nasal airflow demonstrates abnormally high velocity airflow to the middle meatus and dampened airflow vectors to the inferior meatus in ENS.

There has been welcome progress in the diagnosis and treatment of ENS in the past decade. In addition to a history of nasal surgery and abnormally expansive unilateral/bilateral nasal airway with concomitant IT tissue loss, thickened central nasal septum mucosa has been shown to be present in longstanding ENS.<sup>323</sup> The validated patient reported outcome measure Empty Nose Syndrome 6-item Questionnaire (ENS6Q) can be used to quantify the severity of six cardinal ENS symptoms on a 5-point Likert scale. A score  $\geq 11$  indicates ENS.<sup>320</sup> Placement of a cotton plug in the inferior meatus to simulate turbinate bulk (the cotton test) has been validated as an office-based tool to assess/alleviate ENS symptoms.<sup>335</sup> A positive blinded cotton test both confirms the ENS diagnosis and informs candidacy for possible treatment interventions.<sup>335</sup>

ENS has historically been a challenging disease to effectively treat due to debilitating nasal symptoms

and, in a minority of patients, concerning psychiatric overtones.<sup>336–340</sup> Past therapies were confined to reducing the daily burden of ENS symptoms via nasal maintenance strategies including moisturizers and emollients, increasing nasal airflow (supplemental oxygen, CPAP [continuous positive airway pressure] use), and psychiatric interventions like cognitive behavioral therapy.<sup>341,342</sup>

Current published interventions focus on restoring tissue volume to the truncated ITs or the adjacent inferior meatus. Submucosal injection of slow-resorbing gel fillers can be trialed for the effect of “transient turbinate augmentation” lasting 1–3 months.<sup>343</sup> A wide variety of biomaterials – including acellular dermis, implants, and xenografts – have been published as bulking options to sites of inferior meatus and IT tissue loss.<sup>344–349</sup> Importantly, a procedure originally reported by Houser,<sup>318</sup> now termed the inferior meatus augmentation procedure (IMAP), where missing turbinate contour is replaced with fashioned rounded rib grafts placed in the anterolateral nasal airway, has accumulated strong evidence for effectively treating ENS.<sup>350</sup> IMAP has yielded statistically significant short<sup>351</sup> and long<sup>352</sup> term reductions in the ENS6Q and the Sinonasal Outcome Test (SNOT)-22. Mechanistically, comparing computational fluid dynamics airflow modeling pre/post-surgery, the cotton test and IMAP procedures both normalize disordered vectors of ENS airflow,<sup>353</sup> highlighting a novel function of the turbinates in guiding and/or enhancing nasal airflow. Future ENS research will determine anatomic versus physiologic prognostic factors to identify “at risk” subpopulations for developing ENS<sup>336,337</sup> and design more nuanced airflow metrics for upper airway function in health and disease.

### V.B.14 | Autoimmune, granulomatous, and vasculitic rhinitis

**Differential diagnosis.** Vasculitic, granulomatous, and autoimmune diseases may cause non-specific sinonasal symptoms (e.g., nasal obstruction, rhinorrhea, facial pain, and loss of smell) often mimicking AR. Therefore, broadening the differential diagnosis to consider systemic etiologies when evaluating these sinonasal symptoms is crucial. Crusting, recurrent epistaxis, or negative skin and/or blood allergy tests are among the signs that should heighten one’s suspicion of alternative systemic diseases.<sup>354,355</sup>

**Granulomatosis with polyangiitis (GPA).** This is an uncommon disease with highest prevalence amongst people of Northern European descent, with men and women equally affected and incidence peaking in the seventh decade of life.<sup>356</sup> It is a chronic, relapsing, and idiopathic disease characterized by necrotizing and granulomatous inflammation affecting predominantly small to medium



sized blood vessels.<sup>357</sup> Potential triggers include *Staphylococcus aureus* as well as other infectious, environmental, chemical, or pharmacologic agents.

Sinonasal manifestations (e.g., nasal obstruction, crusting, epistaxis, anosmia, cacosmia, and paranasal sinus inflammation) are the presenting symptoms of GPA in about 73% of patients.<sup>358</sup> Recurrent serous otitis, mastoiditis causing hearing loss, and lower respiratory tract symptoms (e.g., cough, breathlessness, stridor, wheeze) occur in 80%–90% of patients.<sup>354,359</sup> Additionally, renal (75% of patients), ocular (50% of patients), and systemic manifestations (e.g., fever, arthritis, weight loss) are also possible.<sup>360</sup>

Diagnosis is often dependent on a multidisciplinary approach and based on a combination of suggestive local and systemic clinical manifestations, positive ANCA (anti-neutrophil cytoplasmic antibody) serology, and histological evidence of necrotizing vasculitis or glomerulonephritis by a positive organ biopsy (skin, lung, or kidney).<sup>361,362</sup>

Before the introduction of effective therapy, GPA was a potentially life-threatening disease. Treatment includes corticosteroids and immunosuppressive agents to induce remission. Cyclophosphamide and rituximab are often used for induction and maintenance. Patients can be transitioned to other immunosuppressive agents (e.g., azathioprine, mycophenolate, or methotrexate) with fewer potential side effects when disease remission is obtained.<sup>363</sup>

**Eosinophilic granulomatosis with polyangiitis (EGPA).** EGPA (formerly Churg–Strauss syndrome) is a small-vessel vasculitis. Defining features include eosinophil-rich, necrotizing granulomatous inflammation involving the respiratory tract. It is associated with asthma, eosinophilia, and CRSwNP. It is a rare disease with a prevalence of 10–15 people per million in Europe and appears in patients 40–60 years old.<sup>364</sup> EGPA has different triggers and frequently progresses through three stages gradually appearing over years. An initial phase with rhinitis (75%), asthma, and CRSwNP is often followed by peripheral eosinophilia and additional organ involvement, and finally diffuse clinical manifestations secondary to small vessel vasculitis.<sup>365</sup> Diagnosis should be suspected in patients with asthma, increased peripheral-blood eosinophil count (>10%) and pulmonary infiltrates.<sup>365</sup> CRSwNP is present in approximately 50% of patients. Nasal crusting, purulent, or bloody discharge can be present, but is less common than in GPA.<sup>366</sup> Treatment includes high doses of corticosteroids with rituximab in specific cases. Mepolizumab, an anti-IL-5 antibody, has shown efficacy in the eosinophilic inflammation and was approved for the treatment of EGPA in 2017 by the Food and Drug Administration (FDA).<sup>355,367</sup>

**Sarcoidosis.** This is chronic multisystem disorder characterized by bilateral hilar lymphadenopathy and pulmonary infiltrates. Ocular and skin lesions are more common in young and middle-aged adults.<sup>368</sup> Sinonasal involvement occurs in 1%–4% of cases and symptoms are non-specific: chronic crusting (70%–90%), nasal obstruction (80%–90%), anosmia (70%), and epistaxis (2%).<sup>355,357,369</sup> Aggressive non-caseating granulomas can cause hard or soft palate erosions as well as a saddle-nose deformity. Intranasal findings include erythematous, edematous, and friable mucosa, as well as submucosal yellow nodules (representative of intramucosal granulomas).<sup>370</sup> Diagnosis is usually made by a lung (transbronchial), skin, minor salivary gland, or lymph node biopsy.<sup>368</sup>

Sinonasal sarcoidosis treatment depends on its location, extension, and severity going from topical to systemic therapy (when nasal obstruction is severe). Endoscopic sinus surgery can be effective when medical treatment has failed, particularly in cases of sinus drainage blockage. Sinus surgery improves quality of life (QOL) but does not eradicate the disease nor prevent recurrence.<sup>371</sup> Biological therapy with anti-TNF agents has improved the therapeutic options in refractory organ-threatening sarcoidosis.<sup>371</sup>

**Systemic lupus erythematosus.** This is an autoimmune disease that predominantly affects women (10:1) with an incidence of 5.6 per 100,000 people.<sup>372</sup> Oral, nasal (nasal skin or vestibule), and pharyngeal mucosal lesions are seen in 9%–18% of cases.<sup>357,372</sup> Diagnosis requires a detailed medical history, physical examination, and laboratory tests (ANA [antinuclear antibody] or anti-dsDNA [double stranded DNA]).<sup>354,373</sup>

Therapy with corticosteroids, immunomodulators (e.g., prasterone, vitamin D, hydroxychloroquine), or immunosuppressants (e.g., azathioprine, cyclophosphamide, mycophenolate) is used for symptom control. Belimumab, an anti-BAFF (B cell activating factor) monoclonal antibody, is the only therapy currently utilized for extrarenal disease due to its modest effect on lupus activity.<sup>374</sup> Anifrolumab, an IFN-type 1 monoclonal antibody, has substantial evidence in effectively and safely treating moderate to severe active lupus.<sup>375</sup>

## V.B.15 | Rhinosinusitis

The symptoms of AR may overlap with those of rhinosinusitis.<sup>7,376</sup> Rhinosinusitis is a broad term that includes the diagnosis of acute rhinosinusitis (ARS), RARS, and CRS. Symptomatically, these conditions are characterized by nasal obstruction, nasal congestion, facial pressure or pain, anterior or posterior nasal discharge, and anosmia/hyposmia.<sup>7,183</sup> AR and rhinos-



inusitis have several overlapping symptoms, namely rhinorrhea and nasal congestion, which can make it challenging to differentiate these conditions.<sup>7,377,378</sup> It is important to differentiate between AR and rhinosinusitis to ensure the correct diagnosis and subsequent treatment.

ARS is defined as the sudden onset of sinonasal symptoms outlined above with associated sinonasal inflammation that lasts less than 4 weeks – it may be viral or bacterial in nature.<sup>7,183,184,201,379</sup> In ARS, nasal discharge is often unilateral and purulent.<sup>183,201</sup> Associated facial pressure and pain is described as moderate to severe.<sup>201</sup> Viral ARS is typically present for less than 10 days, whereas a longer duration of illness suggests bacterial ARS.<sup>183,201</sup> Progressive worsening over a short period of time (i.e., 5 days) is also suggestive of bacterial ARS.<sup>183,201</sup> RARS is defined as at least four episodes of ARS per year.<sup>183,201,379,380</sup> CRS is an inflammatory condition of the sinonasal cavity, defined as sinonasal inflammation persisting for more than 12 weeks with at least two of the sinonasal symptoms outlined above.<sup>7,183,184,201,379</sup> In addition, patients must have objective evidence of sinonasal inflammation on either nasal endoscopy (polyps, edema, mucopurulent rhinorrhea) or on computed tomography (CT) scan of the sinuses.<sup>183,184,201,379</sup>

Comparatively, AR is characterized by nasal obstruction, nasal congestion, clear watery rhinorrhea (anterior or posterior), and allergic symptoms such as nasal itching, sneezing, and allergic conjunctivitis.<sup>377,378</sup> AR is not typically associated with purulent or unilateral nasal discharge. Moderate to severe facial pain is also atypical and may indicate an episode of ARS or an acute exacerbation of CRS.<sup>7,183,201</sup> AR symptoms are variable in duration and tend to have daily and/or local environmental fluctuations.<sup>7,183,201</sup> As a result, AR symptoms have been classified by duration (intermittent vs. persistent) and severity. AR symptoms, in general, present for at least 1 h on most days; however, patients may have symptom-free intervals.<sup>377,378</sup> AR symptoms are also exacerbated by exposure to allergens in a time-dependent fashion.<sup>377</sup> The early reaction occurs immediately after exposure, lasting approximately 30 min (sneezing, nasal/ocular itching, rhinorrhea), while the late reaction occurs up to 6 h after exposure (nasal obstruction and congestion).<sup>377</sup> Superimposed late reactions from multiple exposures may blunt the manifestation of acute phase symptoms and make the diagnosis of AR less obvious.

When attempting to determine whether a patient has AR, ARS, RARS, or CRS, it is important to elicit the onset and duration of symptoms. A history of allergic symptoms or allergen exposure-related symptoms is more consistent with AR.<sup>377,378</sup> The development of acute, unilateral, moderate to severe symptoms, and nasal purulence may be

consistent with ARS or RARS.<sup>7,183,201</sup> A prolonged duration of symptoms (greater than 12 weeks) as well as presence of smell loss, which is not as common in AR, should raise suspicion for CRS and prompt further investigation.<sup>7,183,201</sup> Of note, these conditions are not mutually exclusive. It is possible to have concurrent AR and rhinosinusitis, and this should be considered when patient symptomatology or response to treatment does not fit a single diagnosis.<sup>7,183,376</sup> (See Section XIII.B. Associated Conditions – Chronic Rhinosinusitis for additional information on this topic.) Careful consideration of these symptoms and environmental triggers may help guide clinicians to the correct diagnoses.

## V.B.16 | Non-rhinitis conditions

There are a variety of non-rhinitis conditions which can be included in the differential diagnosis of AR. In general, non-rhinitis conditions can be differentiated from AR based on a thorough history and physical exam, with an emphasis on laterality, timing, and associated symptoms (Table V.B.16).

Anatomical conditions, such as septal deviation, turbinate hypertrophy, or nasal valve collapse, overlap symptomatically with AR largely by causing nasal obstruction.<sup>381</sup> Septal deviations often have an asymmetry in airflow, with one side being more obstructed than the other.<sup>382–384</sup> Nasal valve collapse is often associated with obstruction on inspiration or during exercise.<sup>381,382,385</sup> Some congenital anatomical abnormalities such as piriform aperture stenosis or choanal atresia also cause nasal obstruction, which typically results in lifelong symptoms, which may or may not be identified in childhood.<sup>386</sup> The majority of these structural conditions should be evident on a physical examination including nasal endoscopy.

Sinonasal neoplasms often present with nasal obstruction.<sup>387</sup> The differential for sinonasal masses is extensive, including papillomas, hemangiomas, encephaloceles, osseous lesions, congenital masses, carcinomas, melanomas, and lymphomas.<sup>381,384,387–389</sup> Sinonasal neoplasms are typically associated with unilateral nasal obstruction, but they can cause bilateral obstruction if they grow larger or if they block the nasopharynx.<sup>387</sup> When sinonasal neoplasms cause unilateral nasal obstruction, they can also be associated with unilateral rhinorrhea, which is more likely to be thick or mucopurulent.<sup>387</sup> Rarely, neoplasms can erode through the skull base and cause CSF rhinorrhea, discussed below.<sup>390,391</sup> The onset of symptoms in sinonasal neoplasms usually spans weeks to months with a progressive worsening of symptoms.<sup>387</sup> Associated symptoms including epistaxis, hyposmia, visual changes, epiphora,

**TABLE V.B.16** Allergic rhinitis differential diagnosis: non-rhinitis conditions

| Category                                | Examples   | Potential differentiating symptoms  |
|---|--|---|
| <b>Anatomical</b>                       | Septal deviation<br>Turbinate hypertrophy<br>Nasal valve collapse<br>Piriform aperture stenosis<br>Choanal atresia   | Asymmetric airflow<br>Obstruction on inspiration or during exercise   |
| <b>Masses and neoplastic conditions</b> | Papillomas<br>Hemangiomas<br>Encephaloceles<br>Osseous lesions (osteoma, fibrous dysplasia, ossifying fibroma)<br>Congenital masses (dermoid, dacryocystocele)<br>Carcinomas<br>Melanomas<br>Lymphomas | Unilateral nasal obstruction<br>Unilateral rhinorrhea<br>Mucopurulent rhinorrhea<br>Progressive worsening of symptoms<br>Epistaxis<br>Hypoesthesia<br>Visual changes<br>Epiphora<br>Trismus<br>Dental changes |
| <b>Other</b>                            | Cerebrospinal fluid<br>Retained foreign bodies<br>Rhinolithiasis<br>Primary ciliary dyskinesia<br>Cystic fibrosis<br>Gastroesophageal reflux disease<br>Laryngopharyngeal reflux disease               | Unilateral rhinorrhea<br>Positional rhinorrhea<br>Purulent nasal drainage<br>Systemic organ dysfunction<br>Retrosternal burning<br>Globus<br>Dysphagia  |

trismus, or dental changes should raise the clinical suspicion for a nasal mass versus AR.<sup>387,392,393</sup> These symptoms would be highly atypical for AR and would warrant a careful physical exam, endoscopy, and sinonasal imaging, which can localize the sinonasal lesion if present.<sup>387</sup>

There are a variety of other less common non-rhinitis conditions to consider in the evaluation of AR. CSF rhinorrhea is associated with episodes of thin, watery rhinorrhea, much like AR.<sup>394</sup> Unlike AR, CSF rhinorrhea is most commonly unilateral and often reproducible with positional maneuvers.<sup>394</sup> While many CSF leaks are spontaneous, a history of significant head trauma or previous sinonasal surgery preceding the onset of symptoms should raise suspicion for a CSF leak over AR.<sup>289,395</sup> Retained foreign bodies or rhinolithiasis can also cause nasal obstruction and rhinorrhea, though these are usually associated with unilateral symptoms and purulent nasal drainage.<sup>289,396,397</sup> Disorders which affect mucociliary clearance, including primary ciliary dyskinesia or cystic fibrosis, can also lead to nasal obstruction and rhinorrhea.<sup>398,399</sup> These persistent

rhinitis symptoms without allergic variation, with viscous secretions and systemic organ dysfunction are not consistent with AR and should raise suspicion for alternative diagnoses.<sup>382,398</sup>

There is increasing evidence suggesting an association between reflux disease and sinonasal symptoms.<sup>400</sup> Reflux disease (gastroesophageal, laryngopharyngeal) has been associated with nasal congestion and postnasal drip.<sup>401,402</sup> Congestion and inflammation of the nasal mucosa may result from acidic content directly affecting the mucosa or from esophageal-nasal reflexes triggered by the vagal nerve.<sup>400,402</sup> Reflux symptoms may warrant treatment but whether this improves sinonasal symptoms or not is unclear.<sup>400</sup>

While many of these non-rhinitis conditions have symptoms that overlap with AR, a careful assessment of the laterality, timing, and associated symptoms can help differentiate these conditions from AR. Similarly, a careful physical examination and nasal endoscopy will aid in identifying the correct diagnosis. A high degree of clinical suspicion will help clinicians accurately diagnose AR versus alternative diagnoses.

## VI | PATHOPHYSIOLOGY AND MECHANISMS

### VI.A | IgE-mediated allergic rhinitis

#### VI.A.1 | IgE/IgE-receptor cascade

In the last several years, much has been learned about the immunologic cascade that follows antigen cross-linking of IgE bound to cellular receptors. Three different IgE receptors have been described. The type I high-affinity IgE receptor (FcεRI) is found on mast cells and basophils through which it mediates cellular degranulation and cytokine production.<sup>403</sup> It is also found on dendritic cells and macrophages where it mediates the internalization of IgE-bound antigens for processing and presentation, and facilitates production of cytokines promoting the Th2 immune response.<sup>403</sup> The low affinity cluster of differentiation (CD)23/FcεRII receptor is found on macrophages and epithelial cells and mediates the uptake of IgE-antigen complexes.<sup>404</sup> FcεRIII is expressed by B cells and regulates IgE production and facilitates antigen processing and presentation.<sup>405</sup> This section will focus on the cascade that follows activation of the high-affinity receptor FcεRI.

FcεRI consists of an α chain which is a transmembrane protein that binds the IgE FC portion, a β chain which is a receptor-stabilizing and signal-amplifying subunit with four transmembrane domains, and disulfide-linked

dimeric  $\gamma$  chains which act as signal-triggering subunits.<sup>406</sup> Secreted IgE binds to Fc $\epsilon$ RI on mast cells or basophils. When an antigen binds or cross-links two IgE/Fc $\epsilon$ RI complexes, activation of mast cells and basophils is triggered and degranulation occurs causing the release of histamine, tryptase, cysteinyl leukotrienes, and platelet activating factors among others.<sup>405,407</sup> This process is known as the early allergic response and is associated with vasodilation, edema, and bronchoconstriction.<sup>405,407</sup>

Within the  $\beta$  and  $\gamma$  subunits of the Fc $\epsilon$ RI receptor is the immunoreceptor tyrosine-based activation motif (ITAM). Following receptor stimulation, ITAM on the  $\beta$  and  $\gamma$  subunits undergo phosphorylation by Src family protein tyrosine kinases and recruitment of another tyrosine kinase Syk.<sup>408</sup> Through conformational changes and tyrosine phosphorylation, Syk is activated.<sup>409</sup> Syk is critical for most activation events within the mast cell which lead to degranulation as well as the de novo synthesis and production of chemokines, cytokines, and lipid mediators.<sup>410,411</sup>

Within a few hours of IgE receptor stimulation by IgE cross-linking, activated mast cells secrete a large amount of newly synthesized proteins, a result of de novo gene transcription prompted by receptor stimulation.<sup>412,413</sup> Following stimulation of the Fc $\epsilon$ RI receptor, human mast cells have been demonstrated to upregulate 260 genes and downregulate 84 genes for up to 2 h.<sup>414</sup> The upregulated genes include gene sets encoding cell surface molecules, cytokines/chemokines, signaling molecules, transcription factors, proteases, and other enzymes.<sup>406</sup> The downregulated genes include gene sets involved in signal transduction, apoptosis, cell proliferation, and genes encoding receptors.<sup>415</sup>

Cross-linking of the Fc $\epsilon$ RI receptors by antigen-bound IgE leads to the activation of several transcription factors. These signal dependent transcription factors including signal transducer and activator of transcription (STAT)-5, nuclear factor of activated T cells (NFAT), activator protein (AP)-1, nuclear factor (NF)- $\kappa$ B, and early growth response (EGR)-2 function in Fc $\epsilon$ RI upregulated gene expression.<sup>416</sup> Ultimately, this complex process of de novo gene transcription and upregulation/downregulation of genes results in the production and release of cytokines and chemokines.<sup>417</sup> This includes IL-3, IL-4, IL-5, IL-13, C-C chemokine ligand-5 (CCL5), and granulocyte-macrophage colony stimulating factor (GM-CSF).<sup>418–420</sup> The effect of these cytokines and chemokines is the recruitment of inflammatory cells including eosinophils, basophils, neutrophils, macrophages, and T cells.<sup>418–420</sup> This is referred to as the late allergic response characterized by airway inflammation, hyperresponsiveness, airway remodeling, and mucus hypersecretion.<sup>407</sup>

## VI.A.2 | Systemic mechanisms and manifestations of allergic rhinitis

Allergic diseases such as asthma, atopic dermatitis (AD), and AR share a common inflammatory pathway involving the adaptive immune system mediated by sIgE. The adaptive immune system can generally be categorized into Th1, Th2, and Th17 responses, named after the Th cells that orchestrate the corresponding immune responses. The Th1 response provides defense against intracellular pathogens, and has IFN- $\gamma$  as its canonical cytokine.<sup>421</sup> The Th17 response also provides defense against pathogens, such as bacteria and fungi, and is characterized by neutrophilic inflammation and its canonical cytokine, IL-17. The Th2 response provides defense against parasites and is marked by the expression of IL-4, IL-5, and IL-13.<sup>421,422</sup> These ILs represent integral mediators responsible for driving IgE- and eosinophil-associated inflammation that often characterizes atopic disease.<sup>421</sup> Type 2 innate lymphoid cells (ILC2s) are a newly characterized group of effector cells of the innate immune response that also have the capacity to produce large quantities of the type 2 cytokines, especially IL-4, IL-5, and IL-13, playing a critical early role in the initiation of Th2 responses to aeroallergens during allergic inflammation.<sup>423–425</sup>

In AR, aeroallergens are inhaled onto the nasal mucosa. When mucosal epithelial integrity is disrupted, epithelial cells release alarmins and other damage-associated molecular patterns (DAMPs).<sup>426,427</sup> These mediators possess pro-inflammatory properties and have been shown to assist in initiating and maintaining a Th2 immune response.<sup>428,429</sup> For example, thymic stromal lymphopoietin (TSLP) is an important alarmin which can promote the recruitment of inflammatory cells (i.e., eosinophils, basophils, and mast cells) and the maturation of dendritic cells into Th2-promoting subtypes, further enhancing Th2 polarization.<sup>430–433</sup> It is theorized that in AR, this pathway is similarly activated and there are aeroallergens (e.g., dust mite allergens), that directly compromise the mucosa through protease activity or by activating pattern recognition receptors of which the toll-like receptor (TLR) family is the most well-known.<sup>434</sup>

On first exposure to an allergen, dendritic cells in the nasal mucosa process the allergen and then migrate to present it on MHC class II to naive helper T (Th0) cells in secondary lymphoid organs.<sup>422</sup> Once exposed to antigen/allergen in the appropriate costimulatory environment, Th0 cells become activated and differentiate into allergen-specific Th2 cells. Th2 differentiation requires co-stimulation via the interaction of CD28 on T cells with CD80 and CD86 on antigen presenting cells and the presence of IL-4.<sup>435,436</sup> IL-4 binds STAT-6 on Th0 cells which

activates the master switch GATA-3 (GATA-binding protein 3).<sup>430</sup> As a result, Th2 cells release cytokines such as IL-4, IL-5, and IL-13 which activate B cells and initiate IgE class switching.<sup>422,434</sup> Class switching occurs via upregulation of  $\epsilon$ -germline gene transcription and clonal expansion, as well as the interaction between surface CD40 ligand on T cells with surface CD40 on B cells. This process allows B cells to differentiate into plasma cells that produce sIgE.<sup>435</sup> The end result is the creation of a pool of memory Th2 and B cells.<sup>434</sup> sIgE is released into circulation and binds to high-affinity Fc $\epsilon$ RI IgE receptors on the surface of effector cells such as mast cells and basophils.<sup>434</sup> During IgE-mediated reactions, prostaglandin D2 (PGD2) which is mainly synthesized by mast cells has recently been shown to exert an important role in recruitment and activation of ILC2s, in addition to leukotrienes, and innate cytokines.<sup>437,438</sup> Crosslinking of IgE on the surface of these effector cells causes degranulation and the release of inflammatory mediators such as histamine and leukotrienes, resulting in classic symptoms of AR.

AR has traditionally been thought of as resulting from an immune response leading to systemic IgE production.<sup>439,440</sup> The classic example of systemic reactivity in AR is the cutaneous reaction elicited during traditional skin testing.<sup>441</sup> The concept of LAR is discussed in the section that follows.

### VI.A.3 | Local IgE production

When systemic allergen sensitization is present, sIgE is detected via serum in vitro testing or allergy skin testing. However, systemic allergy testing methods do not provide direct information regarding the target-organ immunological response.<sup>265,442–444</sup> Studies in recent decades support the concept of local IgE production. LAR is characterized by allergic nasal symptoms in patients with negative systemic allergy testing. However, in these patients, positive NPT and/or detection of nasal sIgE and/or positive basophil activation test (BAT) demonstrate a localized allergic response.<sup>265,443,445–449</sup>

Local IgE production has been demonstrated in patients with AR<sup>450–453</sup> and LAR.<sup>454–463</sup> In LAR, sIgE in nasal secretions has been confirmed after natural exposure,<sup>455,456</sup> after controlled exposure to aeroallergens by NPT,<sup>456,458–460,464</sup> and also during periods of non-exposure to aeroallergens.<sup>455,456</sup> It is theorized that in LAR individuals, sIgE produced at the mucosal level can be enough to sensitize nasal effector cells, but not to reach skin mast cells or to be detected in the free state in serum.<sup>465</sup>

The immunopathology of local sIgE production in LAR is not completely understood. Flow cytometry of nasal lavage confirms a nasal IgE-mediated inflammatory response in LAR patients, with increased eosinophils, basophils, mast cells, CD3+ and CD4+ T cells, and local sIgE, along with characteristic pro-inflammatory mediators such as tryptase and eosinophil cationic protein (ECP) during natural exposure to aeroallergens.<sup>444,454–466</sup>

NPT studies to assess potential mechanisms of local sIgE production have revealed characteristic immediate/early and late phases of the allergic response in LAR. In these patients, nasal mucosal reaction to administered allergen is immediate and occurs mostly by stimulation of IgE-coated mast cells and basophils. This results in the secretion of tryptase, histamine, cys-leukotriene, and PGD2, which then stimulate the local sensory nerve and vascular receptors in nasal mucosa. Mast cells secrete chemotactic agents and platelet activating factor, contributing to the development of inflammation with local production of sIgE and eosinophil activation.<sup>462</sup> As a result, serum IL-5 levels increase and IL-5 is transported into the pulmonary circulation, causing increased exhaled NO and bronchial hyperreactivity.<sup>461,463</sup> Finally, in a study by Campo et al.,<sup>467</sup> following NPT with nOle e 1 (the most significant allergen of *Olea europaea*), 83% of LAR *O. europaea* sensitized subjects responded. Further, ECP levels in nasal lavage significantly increased after NPT in LAR patients indicating that secretion of ECP following NPT could potentially act as a confirmatory biomarker.

Additional studies have shown that sIgE produced in the nasal mucosa of patients with LAR sensitized to HDM and pollens has the capability of binding to the Fc $\epsilon$ RI high-affinity receptor on basophils.<sup>450,468</sup> Furthermore, the sIgE-related mechanism of basophil activation in LAR has been demonstrated by performing BAT with wortmannin pretreatment, showing reversal of positive results when wortmannin was added to the assay.<sup>468</sup> These findings suggest that after local IgE production, basophils might be the first target cells for sIgE produced in the target organ transported from the site of inflammation (nasal mucosa) to the general circulation.<sup>469</sup>

Studies report LAR prevalence is approximately 26% in Mediterranean countries (Portugal, Spain, Italy and Greece)<sup>470</sup> and 7%-10% in Asian countries (China and Korea).<sup>150,471,472</sup> LAR may affect approximately 47% of children previously classified as non-allergic rhinitis.<sup>444,464,466,473,474</sup> Exposure to environmental factors such as temperature, humidity and pollution are associated with higher incidence of LAR.<sup>466,475</sup> There is a low rate of conversion (~3%) to systemic detection of allergen sensitivity, development of asthma, and worsening clinical progression is rarely seen.<sup>239,448,475–477</sup>



## VI.B | Non-IgE-mediated inflammation in allergic rhinitis

AR is thought of as mainly an IgE-driven response.<sup>478</sup> Nonetheless, our awareness and comprehension of the important contributions of the nasal innate immune response to the pathogenesis of AR has grown immensely in recent years.<sup>479</sup>

The pathophysiological mechanisms of inflammatory airway diseases are associated with large biological networks involving the environment and the host.<sup>480</sup> The nasal epithelium first encounters aeroallergens in the host. Disruption of epithelial barrier function by proteolytic mechanisms, lipid-binding activity, and interactions with polysaccharides and polysaccharide molecular recognition systems of allergens may allow allergen to penetrate into local tissues, perpetuating chronic and ongoing inflammatory processes.<sup>481,482</sup> This may also occur with irritants like chlorine<sup>483</sup> and air pollution.<sup>484</sup> Epithelial barrier dysfunction has been shown to contribute to the development of inflammatory diseases including AR.<sup>485</sup> However, additional research is needed to determine the extent to which primary (genetic) versus secondary (inflammatory) mechanisms drive barrier dysfunction.<sup>486</sup> (see Section VI.G. Epithelial Barrier Alterations for additional information on this topic.)

Epithelial cells act as a physical barrier toward inhaled allergens and actively contribute to airway inflammation by detecting and responding to environmental factors. Nasal epithelial cells bear TLR pattern recognition receptors.<sup>480,487,488</sup> Exposure of the nasal epithelium to molecules such as allergens and pathogens results in stimulation of TLRs and the production of alarmins: IL-25, IL-33, and TSLP, which in turn activate dendritic cells, T cells, and type 2 ILCs. ILCs are key players in the pathogenesis of Th2 type diseases like AR, CRSwNP, and asthma.<sup>489–491</sup> Three major subsets have been defined based on their phenotype and functional similarities to Th1 (ILC1), Th2 (ILC2), and Th17 (ILC3) cells. The release of the cytokines IL-25, IL-33, and TSLP by epithelial cells directly activate ILC2s, then they produce the prototypical type 2 cytokines IL-5 and IL-13.<sup>492</sup>

Allergen challenge in AR subjects induces increased numbers of peripheral blood ILC2s<sup>493,494</sup> and results in and influx of ILC2 in the nasal mucosa.<sup>495</sup> Pre-treatment with INCS attenuates allergen-induced increases in ILC2s in the nasal mucosa of AR patients.<sup>496</sup> ILC2s also contribute to epithelial barrier leakiness through IL-13.<sup>497</sup> Treatment with anti-IL13 has shown significant reduction of AR symptoms,<sup>498</sup> pointing to the important role of the innate immune system in the development of symptoms and signs of disease. AIT reduces ILC2's and increases IL-10-producing ILCs in the peripheral blood of AR patients.<sup>499</sup>

Moreover, the frequency of IL-10-producing ILCs correlated with improvement in clinical parameters. More novel therapies directed toward the innate immune system are in development for treatment of AR.<sup>480</sup>

## VI.C | Cellular inflammatory infiltrates

Various types of inflammation are involved at different AR stages, including sensitization, exacerbations, remodeling, and remission. Different mediators orchestrate a type 2 immune response.<sup>500</sup> Most commonly a type 2 inflammatory environment is observed with Th2 cells, M2 macrophages, eosinophils, and type 2 ILCs playing important roles.<sup>501</sup> Other patterns with mixed type 2 and type 3, or even type 1 may arise depending on the allergen protease activity and the microbial and inorganic environments.<sup>502,503</sup> As it is virtually impossible to define one inflammatory pattern, endotyping in AR seems highly important to drive personalized medicine.<sup>504</sup>

Cellular interactions are important, including the role of a defective barrier and the release of epithelial alarmins. IL-33 acts on Type 2 ILCs and promotes mast cell degranulation through inhibition of autophagy.<sup>505</sup> In the induction of a type 2 response, IL-25 acts on Th2 cells and ILC2s while TSLP mainly activates dendritic cells.<sup>500</sup>

Allergen-specific CD4+ T cells regulate multiple facets of allergen-specific responses: IgE production in B cells, regulation of eosinophilia by IL-5, and enhancement of type 2 inflammation by IL-9. Antigen-presenting cells, such as dendritic cells, are increased in frequency, higher in maturation markers CD40,<sup>506</sup> and loaded with sIgE contributing to atopy, while elimination of dendritic cells suppresses AR.<sup>507</sup> Dendritic cells are crucial in the initiation of a Th2 response, while basophils will merely amplify it.<sup>508</sup> Myeloid dendritic cells may activate ILC2s and plasmacytoid dendritic cells play important roles in AR through IL-2 and IL-6 pathway alterations.<sup>509</sup>

Innate and effector mechanisms affect allergic disease.<sup>510</sup> A skew toward Th2 with GATA-3 overexpression are hallmark findings in AR mucosa.<sup>511,512</sup> Tissue  $\gamma/\delta$ -T cells and CD4+ memory T cells are increased.<sup>513</sup> Different type 2 cytokines orchestrate the production of sIgE, eosinophilia, mucus, tissue migration of Th2 cells, and regulation of tight junctions (TJ) and barrier integrity.<sup>500,514–517</sup>

Distinct phenotypes of regulatory T cells (Treg) subsets include CD4+CD25+ Forkhead-box P3 (FOXP3)+ Tregs and type 1 Tregs.<sup>518–520</sup> Allergen-specific Tregs suppress other T cells, IgE, eosinophils, and dendritic cell maturation to control AR development. They increase in the mucosa after AIT correlating with clinical remission.<sup>521–523</sup> The ratio between effector and regulatory cell types



determines whether an allergic response is triggered. Regulatory B cells and Th17 cells may play important roles in intolerance and AR.<sup>524,525</sup> Increased levels of CD4+ T cells were identified in AR patients' blood with reduced CXCR3 expression.<sup>526</sup>

ILCs, introduced and described in prior sections, lack rearranged antigen receptor or lineage markers. In addition to their contribution to type 2 inflammation, ILC1s increase in local sinonasal infections and ILC3s increase in remodeling. ILC2s closely interact with epithelial cells and others leading to a type 2 favoring cytokine environment.<sup>527</sup> They particularly open epithelial barriers and make the tissues prone to environmental insults.

IgE-producing B cells reside in the lymphoid follicles of the Waldeyer's ring where antibodies are transferred to the mucosa.<sup>528</sup> However, B cells and plasma cells also produce IgE locally which is becoming a hallmark finding of AR.<sup>529</sup> In AR, numbers of circulating memory B cells were found to be increased.<sup>530</sup>

Major basic protein (MBP)-positive and activated eosinophils can increase locally during the pollen season. This increase is not observed in the T lymphocyte subsets, neutrophils, and macrophages. Yet, mast cells seem to infiltrate the mucosa and the submucosal layer similarly to eosinophils.<sup>531</sup>

Both mast cell and basophil granulocyte degranulation are relevant components of the early and late phases of a type I hypersensitivity reaction after an allergen is encountered and crosslinking of IgE occurs.<sup>532,533</sup> Basophils accumulate within 1 h after allergen provocation in the lamina propria.<sup>534</sup>

Adhesion molecules are upregulated and chemoattractants facilitate the influx of inflammatory cells during the late phase.<sup>535</sup> This allows for further accumulation of cells promoting remodeling with upregulation of matrix metalloproteinases and angiogenic factors.<sup>536</sup>

## VI.D | Cytokine network and soluble mediators

The pathophysiology of AR involves IgE-mediated inflammation which is a type 2 immune response. IgE crosslinking results in mast cell activation and release of inflammatory cytokines such as IL-4, IL-5, IL-6, IL-13, IL-25, and IL-33 as well as preformed bioactive mediators and newly formed mediators including histamine, leukotrienes, prostaglandins, and kinins. These cytokines regulate the allergic inflammatory cascade through induction of IgE synthesis, upregulation of IgE production, and production of other cytokines and chemokines from epithelial cells which results in the mucosal recruitment of inflammatory cells.<sup>537–539</sup> Numerous cell types act

as sources for type 2 cytokines including T cells, nasal epithelial cells, ILC2s, mast cells, and eosinophils.

Nasal epithelial cells secrete inflammatory cytokines including TSLP, IL-25, and IL-33.<sup>540</sup> TSLP is a critical upstream cytokine for ILC2s, mast cells, dendritic cells, T cells, and basophils.<sup>541–543</sup> IL-25, IL-33, and TSLP secreted by epithelial cells act on surrounding cells resulting in the release of IL-4, IL-5, and IL-13 which recruit additional inflammatory cells leading to a type 2 response.<sup>544</sup> Nasal epithelial cells are also a source for IL-1, IL-6, IL-8, and TNF- $\alpha$ , and through these signals, play a role in the migration and activation of eosinophils, basophils, and Th2 cells.<sup>545</sup>

ILC2s are tissue resident cells that can be stimulated to secrete IL-4, IL-5, and IL-13 by the alarmins TSLP, IL-25, and IL-33 (which are secreted by epithelial cells or myeloid dendritic cells) via the IL-33/ST2 pathway.<sup>509,544,546</sup> Survival factors or co-stimulators including IL-2, IL-4, IL-7, IL-9, TNF-like cytokine 1A (TL1A), and glucocorticoid-induced TNF receptor ligand (GITRL) serve to maintain basic functionality of ILC2s.<sup>501</sup> Both TL1A and GITRL are responsible for ILC2 proliferation and the release of type 2 cytokines from these cells.<sup>547</sup> IL-2, IL-7, and IL-9 are regulatory factors necessary for the development, maintenance, and survival of ILC2s.<sup>547</sup> IL-2 activates ILC2s and induces them to secrete IL-9, which is also critical for maintaining the activity and survival of ILC2s.<sup>489,548,549</sup>

Airway mast cells are a source of type 2 cytokines, proinflammatory cytokines, chemokines, and TSLP.<sup>537,550–552</sup> IL-13 from mast cells plays a role in mast cell-induced local IgE synthesis by B cells, which in turn upregulate Fc $\epsilon$ RI expression on mast cells.<sup>553</sup> Along with IL-4 and IL-13, TNF- $\alpha$ , a proinflammatory cytokine produced by mast cells, enhances the production of thymus and activation-regulated chemokine (TARC), TSLP, and eotaxin from epithelial cells.<sup>538</sup> This suggests a crucial interplay between mast cells and epithelial cells in promoting and regulating the allergic inflammatory cascade.

Both mast cells and epithelial cells directly produce or upregulate eosinophil chemoattractants including eotaxin, macrophage/monocyte chemotactic protein 4, RANTES, and cysteinyl leukotrienes.<sup>554–556</sup> Eosinophils are a key factor in type 2 inflammation and are regulated by IL-4, IL-5, and IL-13. These cells are also a major source of inflammatory cytokines including macrophage migration inhibitory factor, eosinophil peroxidase, and nerve growth factor.<sup>557,558</sup>

Finally, Th17 cells may play an important role in AR. The major cytokine of Th17 cells is IL-17. Six isoforms of IL-17 exist denoted as IL-17a to IL-17f.<sup>559</sup> Currently, it is understood that IL-17a and IL-17f play roles in allergic-type inflammation.<sup>559</sup> Studies have shown that the production of IL-1, IL-6, IL-8, matrix metalloproteinases, and TNF- $\alpha$

can be induced via IL-17 receptors on different cell types.<sup>525</sup> A recent systematic review by Hofmann et al.<sup>525</sup> evaluated 10 studies looking at IL-17 levels in either serum or nasal fluid in patients with AR. In all studies, elevated IL-17 levels in either serum or nasal fluid were observed in patients with AR compared to controls. These findings could indicate that Th17 cells and associated type 3 inflammation play a role in the pathophysiology of AR, but the exact role remains unclear.

## VI.E | Neural mechanisms

The pathophysiology of AR is heavily influenced by sensory neurons, axonal reflexes, and neurotransmitters.<sup>560</sup> The trigeminal sensory, sympathetic, and parasympathetic nervous systems work in concert to form a protective barrier in the upper airway mucosa and regulate epithelial, glandular, and vascular processes.<sup>561</sup> Branches of the trigeminal nerve innervate blood vessels and mucous membranes in the nasal cavity. The trigeminal nerve has nociceptive A $\delta$  and C fibers that are stimulated by physical and chemical ligands as well as products of allergic reactions.<sup>562</sup> Inflammatory mediators (e.g., bradykinin, histamine, acetylcholine, and capsaicin) are capable of activating sensory neurons in the trigeminal nerve, largely through TRP ion channels.<sup>563–566</sup> Through repeated depolarization, lasting changes develop in TRP channels as demonstrated for the TRP cation channel subfamily V member 1 (TRPV1) and subfamily A member 1 (TRPA1). This leads to hyperexcitability of neurons in AR patients through changes in stimulation threshold and membrane potentials.<sup>565,567</sup> Studies investigating treatment with intranasal capsaicin, the prototypic ligand for TRPV1, have demonstrated significant improvement in nasal congestion, sinus pressure, pain, and headache within 5 min after administration in patients with non-allergic and mixed rhinitis but not clearly in AR.<sup>568</sup> Furthermore, treatment with azelastine nose spray, approved by the FDA for treatment of AR and non-allergic rhinitis, has been shown to downregulate TRP receptors.<sup>563,564</sup>

Depolarization of these nociceptive channels on sensory nerves leads to the release of neuropeptides including substance P, CGRP, and neurokinin-A.<sup>564</sup> Substance P receptors are located on nasal epithelium, glands, and arterial and venous vessels, and sinusoidal vessels, which leads to glandular secretion, increased vessel permeability, edema, vasodilation, and further activation of inflammatory cells.<sup>562,566,567</sup> Substance P has been recognized as a short acting vasodilator while CGRP is a long-acting arterial vasodilator found in increased concentrations in AR patients compared to controls.<sup>567,569,570</sup> Substance P and CGRP also activate mast cells to release

more inflammatory mediators, such as histamine, that further propagate the hypersensitivity reaction.<sup>565</sup> Neurokinin A, a tachykinin that acts similarly to substance P, causes increased vascular permeability, vasodilation, bronchial smooth muscle contraction, mucus secretion, mast cell degranulation, as well as leukocyte chemotaxis and activation.<sup>562,564,567</sup> Understanding these biologic pathways has led to investigation of novel therapies including bradykinin antagonists and TRP receptor calcium ion channel blockers.<sup>567</sup>

Parasympathetic and sympathetic nerves also play a central role in the neural response to allergens. Acetylcholine and vasoactive intestinal peptide are released during the parasympathetic response leading to mucous cell secretion, vasodilation, and epithelial cell activation via muscarinic receptors found on the nasal epithelium, submucosal glands, and blood vessels.<sup>566,567</sup> Sympathetic nerves respond to neurokinin Y leading to vasoconstriction and nasal decongestion.<sup>567</sup> A widely accepted mechanism of non-allergic rhinitis has been an imbalance between the sympathetic and parasympathetic response leading to parasympathetic overactivity and manifests as nasal congestion, rhinorrhea, and postnasal drainage.<sup>571</sup>

The neuropeptides previously discussed are significantly increased in nasal lavage of AR patients compared to controls.<sup>569,572</sup> Upregulation of these inflammatory mediators and neuropeptides leads to peripheral sensitization of nerve fibers which can subsequently cause central sensitization or a lowered threshold for a given stimulus.<sup>569</sup> Neural growth factor (NGF) is a neurotrophin that leads to survival and growth of neurons that express an NGF receptor. Sources of NGF, such as mast cells and eosinophils, are chronically activated in AR patients and may account in part for the nasal hyper-responsiveness, increased sensory nerve concentration, and increase in neuropeptides that further propagate this inflammatory response.<sup>572–575</sup> Unfortunately, clinical trials investigating neuropeptide and TRP antagonists in seasonal AR have been unsuccessful this far.<sup>576–578</sup>

## VI.F | Histologic and epithelial changes

The nasal mucosa warms, conditions, and humidifies air entering the respiratory tract. It is also the first line of defense against pathogens, through both the innate and acquired immunity.<sup>579–581</sup> The structure of the nasal mucosa is well adapted to carry out these roles. The normal sinonasal epithelium forms a physical barrier, comprised of pseudostratified columnar ciliated and non-ciliated cells, goblet cells and basal cells. The epithelial cells are linked by apical junctional complexes.<sup>516</sup> At the superior nasal septum and superior turbinate, olfactory epithelium

is also present, which consists of bipolar olfactory receptor neurons, sustentacular (supporting) cells, basal cells, and Bowman glands.<sup>582</sup> Overlying the sinonasal epithelium is a mucus blanket, which consists of water, mucin glycoproteins, and antimicrobial peptides such as lactoferrin, lysozyme, and defensins.<sup>583</sup> The mucus blanket forms a double layer, consisting of an inner serous (sol or periciliary) layer and an outer viscous (gel) layer. The basement membrane separates the epithelium from the submucosa or lamina propria.

In the presence of conditions that impair mucosal integrity, the epithelium releases alarmins and other DAMPs or pathogen-associated molecular patterns (PAMPs) that initiate repair mechanisms and induce protective inflammation.<sup>434,584</sup> The epithelial inflammatory response to allergens is a key feature of AR. The histological characteristics of airway inflammation are commonly goblet cell hyperplasia, mucus hypersecretion, basal membrane thickening, and airway smooth muscle hyperplasia.<sup>585</sup> This inflammatory response translates into mucosal edema, increased mucosal secretions and hyperresponsiveness common in AR. Allergens (e.g., *Alternaria* and HDM) are shown to enhance the chemical mediator production from nasal epithelial cells, and these allergens may induce not only a type 2 inflammatory response but also other, for example, type 1, inflammatory responses in the nasal mucosa.<sup>586</sup> Nasal epithelial cells of AR patients showed increased expression of pro-inflammatory and IL-1 family cytokines at baseline and under stimulation, which could contribute to a microenvironment which is favorable for type 2 of inflammation.<sup>587</sup> Whether robust type 2 inflammation contributes to the development of airway remodeling in AR remains controversial. One study demonstrated that after repeated nasal allergen challenge, no differences were observed in epithelial integrity, reticular basement membrane thickness, glandular area, expression of markers of activation of airway remodeling including  $\alpha$ -smooth muscle actin (SMA), heat shock protein (HSP-47), extracellular matrix (matrix metalloproteinase [MMP]-7, MMP-9, and TIMP [metalloproteinase inhibitor]-1), angiogenesis, and lymphangiogenesis for AR patients compared with healthy controls.<sup>588</sup>

The nasal lavage samples from patients with ongoing grass pollen AR showed distinct gene expression profiles and functional gene pathways which reflect their anatomical and functional origins.<sup>589</sup> Mucin production, regulated by the mucin genes MUC5AC and MUC5B in particular, is upregulated by allergens.<sup>590</sup> Goblet cell hyperplasia in allergic airway inflammation is partially due to high expression of CD44v3, a surface marker for intermediate progenitor cells from basal cells.<sup>591</sup> AR may be associated with increased epithelial permeability or defective epithelial barriers as a result of decreased expression of

the TJ proteins occludin and zonula occludens (ZO)-1.<sup>485</sup> Impairment of ZO proteins are observed in AR patients and dysfunction of ZOs allows allergens to pass into the subepithelium.<sup>592</sup> This may also be mediated by various factors such as histone deacetylase activity<sup>593</sup> and deficiency of the MUC1 gene.<sup>594</sup> Some allergens, such as Der p 1 in HDM, have protease activity and can directly compromise the epithelial barrier.<sup>427</sup> Dysfunction of the epithelial barrier and allergen entry into the submucosa may trigger the inflammatory cascade observed in AR. (see Section VI.G. Epithelial Barrier Alterations for additional information on this topic.)

## VI.G | Epithelial barrier alterations

The epithelial barrier consists of different layers that defend against airborne pollutants, allergens, and pathogens, while maintaining homeostasis within the subepithelial compartment. Over 40 years ago, epithelial barrier leakiness was described in AR.<sup>595</sup> A defective epithelial barrier may facilitate allergens and pathogens entering the mucosa, thus perpetuating inflammation.

Within the supra-epithelial layer different proteins and peptides (including mucins) are found, mainly protecting against pathogens, but also against allergens. Furthermore, a large part of the nasal microbiome is found within this layer. However, improperly cleared bacteria and fungi may lead to colonization and activation of the adaptive immune system, accentuating the cycle of inflammation. Proinflammatory cytokines produced during allergic inflammation, in particular IL-13, are known to affect mucin expression (i.e., MUC5AC), leading to viscous secretions and impairment of mucociliary clearance.<sup>596</sup> Microbial derived short chain fatty acids also impact the epithelial barrier. Sodium butyrate leads to blocking of histone deacetylase, restoring defective TJs.<sup>597</sup> Synthetic histone deacetylase inhibitors show strong antiallergic effects in a HDM-sensitized mouse model.<sup>593</sup>

The epithelium itself creates the main barrier. Inter-cellular junctions are prerequisites of an intact barrier. TJs, adherens junctions, (hemi-)desmosomes, and gap junctions with their connecting proteins are the main determinants of an intact epithelial barrier. They also polarize the epithelium into an apical and basolateral compartment. TJs are defective in both AR and rhinosinusitis patients.<sup>485,514</sup> Disruption of different parts of the TJs in AR has been demonstrated microscopically and in functional analyses comparing diseased mucosa with healthy controls. Type 2 cytokines like IL-4 and IL-13 can disrupt the epithelial barrier leading to leakiness as shown by fluorescently labeled small molecule (fluorescein isothiocyanate [FITC])-dextran assays. Pollen peptidases and Der

**TABLE VI.G** Dysregulative processes affecting the epithelial barrier in allergic rhinitis

| Reference                        | Mediator                          | Affected protein              | Function        | Type of dysregulation |
|----------------------------------|-----------------------------------|-------------------------------|-----------------|-----------------------|
| Steelant et al. <sup>600</sup>   | IL-4                              | Occludin                      | TJ protein      | Downregulation        |
| Steelant et al. <sup>600</sup>   | IL-4                              | ZO-1                          | Adaptor protein | Downregulation        |
| Steelant et al. <sup>600</sup>   | IL-13                             | Occludin                      | TJ protein      | Downregulation        |
| Steelant et al. <sup>600</sup>   | IL-13                             | ZO-1                          | Adaptor protein | Downregulation        |
| Wang et al. <sup>597</sup>       | HDAC                              | Occludin                      | TJ protein      | Increased in AR       |
| Steelant et al. <sup>593</sup>   |                                   | Claudin-4, -7                 |                 | Decrease in TJ        |
| Wawrzyniak et al. <sup>606</sup> |                                   | ZO-1                          |                 |                       |
| Ohwada et al. <sup>601</sup>     | HMGB-1                            | Angulin1/LSR                  | TJ protein      | Downregulation        |
| Steelant et al. <sup>600</sup>   | Nasal secretions from AR patients | Unknown                       | Unknown         | TER decrease          |
| Henriquez et al. <sup>599</sup>  | HDM                               | Claudin-1<br>JAM-A            | TJ protein      | Downregulation        |
| Runswick et al. <sup>598</sup>   | Pollen                            | Occludin<br>ZO-1<br>Claudin-1 | TJ protein      | Disruption            |
| Steelant et al. <sup>600</sup>   | Histamine                         | Unknown                       | Unknown         | TER decrease          |
| Fukuoka et al. <sup>602</sup>    | Particulate matter 2.5            | ZO-1                          | TJ protein      | Downregulation        |
| Nur Husna et al. <sup>607</sup>  | Second-hand smoke                 | Claudin-7<br>Occludin         | TJ protein      | Downregulation        |
| Kamekura et al. <sup>603</sup>   | TSLP                              | Claudin-1,4,7<br>Occludin     | TJ protein      | Upregulation          |

Abbreviations: AR, allergic rhinitis; HDAC, histone deacetylase; HDM, house dust mite; HMGB-1, high mobility group box-1; IL, interleukin; JAM, junction adhesion molecule; LSR, lipolysis-stimulated lipoprotein receptor; TJ, tight junction; TSLP, thymic stromal lymphopoietin; ZO, zonula occludens.

p 1 were shown to actively disrupt the epithelial barrier specifically at the level of TJs.<sup>598,599</sup> Interestingly, fluticasone treatment of air-liquid interfaces in IL-4 exposed primary nasal epithelial cells could restore TJs even in the absence of inflammatory cells. INCS are also effective *ex vivo* in restoring the barrier in HDM-sensitive AR patients' derived mucosa (Table VI.G).

AR derived nasal secretions and histamine are strong disruptors of the epithelial barrier function.<sup>600</sup> Very recently, high mobility group box-1 (HMGB1), which is increased by transforming growth factor (TGF)- $\beta$ 1 in AR, was shown to disrupt the epithelial barrier by decreasing angulin-1/LSR (lipolysis-stimulated lipoprotein receptor) *in vitro* in human nasal epithelial cell cultures.<sup>601</sup> Even particulate matter (PM)-2.5, a very fine particle found in air pollution, affects the epithelial barrier in an AR mouse model by reducing ZO-1 expression.<sup>602</sup> TSLP seems to play an important role in AR; interestingly it increases TJ proteins thus preserving the epithelial barrier.<sup>603</sup> Finally, epithelial to mesenchymal transition has been shown to occur in type 2 CRS affecting the barrier function of the epithelium.<sup>604</sup> Similar findings are expected to occur in AR.<sup>605</sup>

There are several features of the epithelial barrier that seem impaired in AR and can contribute to the cycle

of inflammation at different levels of the epithelium. This may contribute to the recently observed increase in allergies worldwide.<sup>605</sup> The cause and consequence of a defective epithelial barrier in AR remains open for additional research.

## VI.H | Vitamin D

Vitamin D (VD3) circulates in its inactive form (25-VD3) and is converted to its active form (1,25-VD3) by 1- $\alpha$  hydroxylase. VD3 is obtained from two distinct sources, diet and ultraviolet-mediated synthesis in the epidermal layer of the skin.<sup>608</sup> In the skin, ultraviolet rays promote biochemical reactions converting 25-VD3 to 1,25-VD3. The liver and kidneys also play important roles in 1,25-VD3 synthesis. The active form of VD3 binds to vitamin D receptors (VDR), ultimately modulating gene transcription and expression.<sup>609</sup> VDRs are present in several organ systems including bone, skin, intestines, kidneys, brain, eyes, heart, pancreas, and immune cells.<sup>610</sup> VD3 is an important immune mediator influencing T cell activation, cytokine production, and B lymphocyte inhibition. VD3's role in AR has been a focus of investigation and the discovery of VDR on immune cells has led to research



aiming to elucidate the immunomodulatory action of 1,25-VD3.

Many immune cells, including macrophages and dendritic cells, are capable of synthesizing 1,25-VD3 potentially shaping adaptive immune responses.<sup>608</sup> While conflicting data exists, most studies suggest that type 1 inflammatory cytokines (e.g., IFN- $\gamma$ , IL-2, TNF- $\alpha$ , IL-12) are suppressed by exposure to 1,25-VD3 while type 2 cytokines are upregulated.<sup>611</sup> The impact of VD3 on the Th1/Th2 balance has been a focus of research as it may potentially explain, in part, the role of VD3 in allergic diseases. In recent studies Th17 and Treg cells have been implicated in the development of AR as well, and among the various T cells, elevated VDR expression is found on differentiated Th17 cells.<sup>612–614</sup>

Increasing numbers of epidemiological studies have linked VD3 levels with allergic disorders, especially asthma. Recent systematic reviews have demonstrated some support for VD3 in reducing asthma exacerbations, but further well-designed studies are required.<sup>615,616</sup> This has led to more recent investigations into the relationship between VD3 and AR.

Clinical studies investigating an association between VD3 and AR are conflicting. A recent clinical study investigating the relationship between VD3 levels and allergen sensitization to 59 aeroallergens in adults demonstrated no significant association after controlling for confounders (sex, age, and winter season).<sup>617</sup> A separate cross-sectional study looking at a pediatric population (<16 years old) found a high prevalence of vitamin D deficiency in children with asthma and AR.<sup>618</sup> A recent systematic review investigating VD3 levels in AR found that prior VD3 levels were not predictive of developing AR, but lower VD3 levels were associated with higher AR prevalence in children.<sup>619</sup> The precise relationship between VD3 and AR, however, is still a subject of investigation.

Similarly, the data on VD3 supplementation for AR is inconclusive. Multiple RCTs looking specifically at children with AR have demonstrated symptom improvement following VD3 supplementation.<sup>620,621</sup> However, a recent systematic review concluded that there is insufficient evidence to support VD3 supplementation for AR prevention.<sup>619</sup> Given the widespread prevalence of VD3 deficiency and its impact upon a spectrum of health aspects, physicians should consider evaluating VD3 levels, especially in children.

In summary, VD3 has critical immunomodulatory effects and has been implicated in other allergic disease processes such as asthma. There appears to be a stronger association between VD3 and AR in the pediatric population and assessing VD3 levels is a low-risk intervention that may provide useful information in the management of AR, as well as other aspects of health. Further research is needed to elucidate the relationship between AR and VD3.

## VI.I | Nitric oxide

The nose and paranasal sinuses are a major site of intrinsic NO production in human airways, and AR is characterized by increased release of NO.<sup>622–627</sup> NO plays several important roles in the maintenance of physiological homeostasis and regulation of airway inflammation<sup>628,629</sup> through the expression of three isoforms: neuronal NO synthase (nNOS), endothelial NO synthase (eNOS), and inducible NO synthase (iNOS).<sup>630</sup>

NO is a key molecular player in the primary host defense and its cytotoxic effects are essential to prevent pathogen infection.<sup>631–634</sup> However, the bacteriostatic or bactericidal effects of NO may be species-specific.<sup>635</sup> Recent studies demonstrate that bactericidal activities could elicit bitter taste receptor-activated downstream responses, enhancing the production of NO.<sup>636–638</sup> NO has also shown antiviral effects against DNA and RNA viruses, including SARS-CoV-2, by partially inhibiting virus replication.<sup>639–641</sup> Moreover, NO is an important modulator of epithelial ciliary beating – important for the clearance of pathogens – through activation of the SGC-GMPc-PKG pathway.<sup>642–645</sup> Based on these findings, NO plays a protective role against a variety of microbial infections<sup>631,646–650</sup> and has been considered an important mediator in pathophysiological events underlying inflammatory airway responses.<sup>651,652</sup>

NO also causes disruption of Treg cell-mediated tolerance. Accordingly, NO derived from iNOS and eNOS affects the differentiation of helper T cells and the effector functions of T lymphocytes.<sup>653,654</sup> The function of T cell mediated immunity can be regulated by endogenous NO at various concentrations.<sup>655–657</sup> NO secreted by activated dendritic cells plays a complicated role in restricting T cell activity, by inducing dendritic cell stimulatory capacity on T cells.<sup>658–663</sup> Therefore, NO might have potential impact in the regulation of inflammatory responses through its interaction with Treg cells.

NO further links innate and adaptive immunity, regulates the adaptive immune response,<sup>664–668</sup> and is believed to participate in both type 1 and type 2 immune responses, which may depend on the concentration of NO. Type 1 inflammation is triggered by low NO concentrations and inhibited by high concentrations,<sup>669–671</sup> whereas type 2 cell proliferation can be induced by higher NO concentrations.<sup>655,672–675</sup> Moreover, NO is involved in T cell differentiation at the transcriptional level, and high levels of NO may activate Th2 transcription factors, upregulating IL-4-mediated Th2 cell differentiation.<sup>669,670</sup> In this sense, NO is a key molecule in maintaining the Th1/Th2 balance that regulates the evolution of airway inflammation.

NO is also presumably involved in the regulation of various signaling pathways related to transcription factor



activation and gene expression, as well as posttranslational regulation. NF- $\kappa$ B is a key mediator regulated by NO in the airway epithelial inflammatory response, which is either increased or decreased after NO exposure, dependent on the NO concentration and the time of exposure.<sup>676</sup> NO increases IL-8 expression in airway epithelial cells, which may be important to initiate an inflammatory response in the airway epithelium.<sup>677,678</sup> In addition, the IL-33–ST2 axis is believed to control Th2 and Th17 immune responses in allergic airway diseases,<sup>679</sup> and the balance between oxidative stress and antioxidant responses plays a key role in controlling IL-33 release in airway epithelium.<sup>680</sup>

Therefore, expression of NO and NOS in innate and adaptive immune cells reveals new functions and modes of NO action. These are particularly notable in the control and escape of microbes, T lymphocyte differentiation, interaction with NO reaction partners, and regulation of NOS by micromilieu factors, micro RNAs, and “unexpected” cytokines. However, we only understand the “tip of the iceberg” regarding NO and its role in nasal mucosal physiopathology. (See Section X.G. Evaluation and Diagnosis – Exhaled Nitric Oxide for additional information on this topic.)

## VI.J | Microbiome

Humans are colonized by an estimated 100 trillion microorganisms.<sup>681</sup> The aggregate of these microorganisms that live on or within human tissue and fluids is termed the human microbiome. The microbiome is extraordinarily diverse – both within an individual at various anatomic sites and between individuals.<sup>682–685</sup> With modern technology we can use culture-independent high throughput sequencing techniques to gain insight into the composition of the microbiome among organs and individuals to try and understand its role in health and disease.

ICAR-Allergic Rhinitis 2018 presented a number of studies that linked the gut microbiome to the development of allergic disease, specifically in children.<sup>686–691</sup> However, differing methodologies, sample sizes, and culture techniques used in each study made it difficult to interpret results and draw conclusions.<sup>1</sup> In the years since then, the role of the microbiome in the development of AR has been further investigated.

In an analysis of gut microbial composition of adults with AR compared to healthy controls, Watts et al.<sup>692</sup> concluded that the AR cohort had reduced overall microbial diversity, with more abundant *Bacteroidetes* and decreased *Firmicutes* phyla. Similar results were reported by Zhou et al.<sup>693</sup> in a smaller patient series and by Hua et al.<sup>694</sup>

in an evaluation of the association of the gut microbiome and self-reported allergy utilizing data from the American Gut Project. The *Firmicutes* phyla is associated with butyrate production, which is an important regulator of the intestinal barrier via TJ modulation. It is hypothesized that decreased butyrate may lead to increased pro-inflammatory molecular activity in the submucosa.<sup>692</sup> In a mouse model studying the effect of intranasal sodium butyrate in AR, Wang et al.<sup>597</sup> demonstrate that nasal mucosal epithelial morphology improved and levels of pro-inflammatory markers corrected, supporting this proposed mechanism.

Although the gut is the most well studied microbiome, the nasal microbiome may also influence pathologic states, including allergic inflammation.<sup>695</sup> In a study comparing the nasal microbiome of patients with AR, CRS, and a control group, Gan et al.<sup>696</sup> did not find a significant difference in microorganism richness or diversity between the groups. Similarly, in a study evaluating the role of AIT on the nasal microbiome of patients with AR, Bender et al.<sup>697</sup> showed no difference in the nasal microbial richness between patients with AR and controls, although they did conclude that AR patients have more similar microbiomes to each other than to controls. Gan et al.<sup>696</sup> identified an association between *Spirochaetae* and AR, a higher abundance of *Pseudomonas* and *Peptostreptococcaceae* in AR, and lower abundance of *Lactobacillus* in AR. These findings may suggest a possible role of microbial dysbiosis as the pathogenesis of local mucosal inflammation. However, a mechanism for this is not yet elucidated and the validation of these results remains uncertain.

Interestingly, the differentially detected microorganism species in the adult population studied by Watts et al.<sup>692</sup> were not always consistent with those found in reports that included children.<sup>698</sup> The reason for this is unclear. Nonetheless, the microbes present in infancy cannot be extrapolated to adults. However, there is evidence that altered DNA methylation patterns in upper airway mucosal cells during infancy contributes to the development of AR into childhood.<sup>699</sup> Longitudinal studies to understand shifts in the microbiome of AR patients over time will be required.

While it seems apparent that microbiome biodiversity is associated with microbiome fitness and alterations are associated with disease states, including AR, there are studies that contradict this assertion.<sup>700</sup> Specific mechanisms of the microbe–host relationship are not well understood. Future research should provide a more complete understanding of the dynamic human microbiome during all ages and at all anatomic sites and its impact on AR. (See Section VIII.C.3. Hygiene Hypothesis and Section XI.B.9. Management – Probiotics for additional information on this topic.)

## VI.K | Unified airway

The upper and lower airways are linked anatomically, histologically, and immunologically to form a united airway system.<sup>701</sup> Inflammation in either the upper or lower airway influences the other, giving rise to the concept of united airway disease.<sup>701,702</sup> As the development of biological treatments options progresses, understanding the unified airway system has been recently underscored.<sup>703,704</sup>

The upper and lower airways share several histological features, such as in the mucosa, which is composed of columnar pseudo-stratified epithelium and ciliated cells on a basement membrane. Likewise, the submucosa of both airway portions consists of mucus glands, fibroblasts, and inflammatory cells. Differences in histology lie in the absence of smooth muscles in the upper airways, while the lower airways lack extensive sub-epithelial capillaries, arterial systems, and venous cavernous sinusoids, all of which are instrumental in oxygen exchange.

In the allergy realm, the concept of unified airway disease has arisen with the observation that upper and lower airway allergic diseases often coexist.<sup>705</sup> Indeed, evidence has uncovered the association between AR and asthma, as well as between CRS and asthma.<sup>705–707</sup> Moreover, both AR and non-allergic rhinitis have been suggested to be risk factors for asthma onset and asthma persistence, while CRSwNP has been suggested to share a common pathogenic mechanism.<sup>701</sup> Interestingly, both AR and asthma have similar hyperreactivity, further solidifying the concept a unified response between the upper and lower airways.<sup>708–710</sup>

Similarities between the upper and lower airways extend to endotypes, such as in type 2 immune responses. Type 2 inflammation is a prominent endotype in allergic diseases and can involve Th2 cells, type 2 B cells, IL-4 producing natural killer (NK)/T cells, basophils, eosinophils, mast cells, ILC2, IL-4, IL-5, IL-13, IL-25, IL-31, IL-33.<sup>478,492,711–713</sup> In general, the type 2 profile in AR and asthma is related to a good response to corticosteroids.<sup>714</sup> However, systemic corticosteroids carry serious adverse effects and side effects which generally outweigh the benefits especially in the upper airways.<sup>715,716</sup> Alternative type 2 inflammation-targeted treatments include anti-IgE antibodies, anti-IL5 (mepolizumab), and anti-IL4/13 (dupulimab), which have been used to treat asthma – a lower airway disease – with greater efficacy.<sup>703</sup> These drugs have also been shown to be effective in the treatment of upper airway disease such as CRSwNP, due to the similarities in endotype response between upper and lower airway inflammatory diseases.<sup>717,718</sup>

Shared characteristics between the upper and lower airways extend from acquired immune response to the role

of innate immunity like epithelial barrier function and innate lymphoid cells.<sup>719–723</sup> (See Section VI.B. Non-IgE-mediated Inflammation in Allergic Rhinitis for additional information on this topic.) Mechanisms proposed for the interaction between upper and lower airway dysfunction include altered breathing patterns, nasal-bronchial reflex, and uptake of inflammatory mediators in the systemic circulation.<sup>724</sup> Most convincingly, AR may result in nasal blockage and the preference for oral breathing, which is associated with asthma.<sup>725</sup> Additionally, small molecules such as molds and cat dander – which may pass through the upper airway into the lower airway – are associated with an increased risk for asthma; larger molecules such as tree and grass pollen are primarily associated with upper airway symptoms.<sup>726</sup> The evidence supporting other hypotheses are weak. Although a clear relationship exists between postnasal drip and cough, the relationship between nasal secretions and its contact with bronchial mucosa remains unclear, since radio-labeled allergen deposited in the upper airway it is not detected in the lower airway.<sup>727</sup> Instead, stimulation of pharyngo-laryngeal receptors has been suggested as the more likely cause of a postnasal drip-related cough.<sup>726</sup> Likewise, evidence supporting nasal-bronchial reflex as an important contributor to the unified airways is lacking. Nasal allergen challenge could be blocked with a vasoconstrictor but not with lidocaine, and the lower airway responses after allergen challenge were generally more delayed than would be expected following a nasal-bronchial reflex.<sup>726</sup>

Allergen provocation studies have provided a greater understanding of the nasal-bronchial interaction in allergic airway disease. In patients with AR, segmental bronchial provocation, as well as nasal provocation, induced allergic inflammation in both the nasal and bronchial mucosa.<sup>728–730</sup> Presumably, absorption of inflammatory mediators (e.g., IL-5 and eotaxin) from sites of inflammation into the systemic circulation results in the release of eosinophils, basophils, and their progenitor cells from the bone marrow.<sup>731</sup> The systemic allergic response is further characterized by increased expression of adhesion molecules, such as vascular cell adhesion molecule (VCAM)-1 and E-selectin, on nasal and bronchial endothelium, which facilitates the migration of inflammatory cells into the tissue.<sup>730</sup> Increases in CD34+ cells capable of eosinophil differentiation, as well as other circulatory mediators (IL-5, eotaxin, and cysteinyl leukotrienes), are associated with impaired lung function parameters and enhanced mucosal inflammation in asthmatic patients<sup>731</sup> and can be inhibited by local corticosteroids in rhinitis patients.<sup>732</sup> Supporting evidence suggests that treatment with biologics against type 2 inflammation has been shown to be effective in both asthma and eosinophilic

upper airway disease.<sup>703,733</sup> Overall, these studies demonstrate that AR is not a local disease but that the entire respiratory tract is involved, even in the absence of clinical asthma. Systemic factors, such as the number of blood eosinophils and atopy severity, are indicative of a more extensive airway disease.

## VII | EPIDEMIOLOGY OF ALLERGIC RHINITIS

### VII.A | Epidemiology of allergic rhinitis in adults

To assist in concretely defining the prevalence of AR in adults, recent literature has attempted to provide more uniformity in the terminology and diagnostic criteria used to identify it. The International Study of Asthma and Allergies in Childhood (ISAAC), ARIA, the European Community Respiratory Health Survey (ECHRS), and International Classification of Diseases (ICD) have recognized and adopted a more standardized definition and methodology for diagnosing AR in a given population.<sup>152,734,735</sup> As such, there has been more consistency in the response data obtained from study subjects and clarity in the criteria used in identifying AR. Nonetheless, the prevalence estimates of AR still differ widely across studies, with an approximate range of 5%–50%.<sup>736,737</sup>

As noted in ICAR-Allergic Rhinitis 2018,<sup>1</sup> differing AR definitions affect prevalence estimates. Incidence of physician-diagnosed AR, which entails the precondition of being diagnosed or informed of AR affliction, potentially underestimates AR, as reflected in the South Korean National Health and Nutrition Examination Survey (KNHANES) data from 2008 to 2012 (35.02% according to questionnaire responses and ARIA guidelines; 14.89% when “diagnosed with AR by a medical doctor”).<sup>738</sup> Likewise, the inclusion of at least one allergen test reaction (e.g., positive reaction to SPT) resulted in a lower prevalence estimates for AR in a Danish study in 2010 (AR, 39.0%; AR with SPT reaction, 25.9%), a Chinese study in 2018 (AR, 32.4%; AR with SPT reaction, 18.5%), and KNHANES data from 2008 to 2012 (current AR, 35.02%; AR based on allergy tests: 17.56%).<sup>738–740</sup> Identification of AR according to ICD codes from databases generally yielded lower estimates for AR (German AOK Saxony database study, 6.2%).<sup>741</sup> Conversely, estimates for lifetime AR were slightly higher than that of current AR, which was often defined as occurring within 12 months; this was observed in the Tromsø Study Fit Future 2, an expansion of the Tromsø Study (current AR, 26.0%; ever AR, 28.9%).<sup>742–744</sup>

Additionally, age ranges of given study samples may also capture subjects at different stages of the putative atopic

march.<sup>745</sup> KNHANES identified a falling AR prevalence from 21.1% in 20- to 29-year-olds, to 5.4% in over 60-year-olds.<sup>746</sup> Considering all age ranges, AR prevalence in a Swedish study of 18- to 65-year-olds was 24%, and 27.2% in an Iranian study of 20- to 65-year-olds.<sup>747,748</sup> Although time of year and study location may potentially affect the presence of allergens and manifestations of AR, this discrepancy can often be obviated by including the temporal range of any time “in the last 12 months.”

Notably, studies spanning longer periods of time have noted changes in the prevalence of AR. A Finnish study of conscripts’ medical data identified a 100-fold-increase in AR prevalence from 1966 to 1993, and reached an approximate plateau around 10.7% in 2017.<sup>749</sup> Similarly, in Italy, prevalence of AR increased from 16.2% in 1985–1988, to 20.2% in 1991–1993, to 37.4% in 2009–2011.<sup>750</sup> Another study comprising randomly selected ECRHS subjects estimated that prevalence for AR changed from 19.7% in 1990–1994, to 23.1% in 1999–2001, to 24.7% in 2010–2012, with an overall change of 5.1%.<sup>751</sup> In contrast, in Brazil the prevalence of ever having hay fever in adults decreased from 52.0% in 2011 to 43.3% in 2018.<sup>737</sup>

Overall, the AR prevalence in Asia ranges approximately 5%–35%, depending on the method of diagnosis. In Europe, the most recent estimates put AR prevalence at around 25%. Variations in the prevalence were likely due to differences in participants’ age, and thus the corresponding stage of the atopic march. Regardless, considering the data available, the worldwide prevalence of AR likely ranges between 5% and 50%.

### VII.B | Epidemiology of allergic rhinitis in children

Several studies have attempted to describe the incidence and prevalence of AR in the pediatric population. AR symptoms have been shown to manifest in children as young as 12 months of age.<sup>752</sup> A separate study of 1850, 18-month-olds found AR-like symptoms and biological evidence of atopy, giving an AR prevalence estimate of 9.1%.<sup>753</sup> Kulig et al.,<sup>754</sup> however, performed a multi-center longitudinal study in 587 children from birth to 7 years of age in Germany and posited that two periods of seasonal allergen exposure are typically required to develop clinically significant AR. In their cohort, no children were diagnosed with seasonal AR by age 1. The remission rate of AR in children is relatively low, cited as occurring at a rate of 12% by one study performed in 2024 children from ages 4 to 8 years old.<sup>755</sup>

Most studies regarding AR prevalence in children are cross-sectional in design, of which the Phase 1 and Phase 3 ISAAC remain among the largest undertaken to date.

Therein, patient-reported symptom questionnaires were administered to hundreds of thousands of children comprising two age groups (6–7-year-olds and 13–14-year-olds) in 98 countries.<sup>756–759</sup> The average prevalence of AR across all centers was 8.5% for 6–7-year-olds and 14.6% in 13–14-year-olds.<sup>756</sup> In the 6–7-year age group, the lowest current symptom prevalence was observed in the Indian subcontinent (4.2%) and the highest in Latin America (12.7%). In the 13–14-year age group, the lowest prevalence was in Northern and Eastern Europe (9.2%), and the highest regional prevalence rates were recorded in Africa (18%) and Latin America (17.3%). Several follow-up studies of similar design have been performed on smaller scales in several countries across the world. For instance, such survey-based epidemiologic studies have been performed in children from Costa Rica (42.6% prevalence), Japan (18.7% in 6–8-year-olds, 26.7% in 13–15-year-olds), United Arab Emirates (46.5% in 6–7-year-olds, 51.3% in 13–14-year-olds), Nigeria (19.4% in 6–17-year-olds), Brazil (range of 45.3%–35.4% in children over 10 years of age), and Ecuador (48% in 3–5-year-olds).<sup>760–765</sup> These studies also indicate an overall increase in AR prevalence with age into young adulthood. Recent Chinese studies have estimated an AR prevalence averaging 28.6% in 6–12-year-olds in Wuhan and 28.9% in 5–18-year-olds in Zhongshan.<sup>766,767</sup>

The regional variations in reported AR prevalence highlight some limitations in questionnaire-based, “open” studies of AR prevalence.<sup>768</sup> Many of these studies might be over- or underestimating prevalence of AR because of disparities in responder education and researcher definitions of AR.<sup>769</sup> Also, one must consider differences accounted for by measuring point prevalence and lifetime prevalence of AR. Pols et al.<sup>770</sup> investigated AR prevalence by using physician-diagnosed and treated atopic disease in a primary care database consisting of 478,076 children and found the peak point-prevalence of AR to be 5.7% at 18 years. The lifetime cumulative incidence in this study was much higher at 16%–22.5%. A separate study conducted by Kurukulaaratchy et al.<sup>771</sup> in the Isle of Wight birth cohort (1456 participants) performed SPT to define AR and observed prevalence from 5.4% at 4 years to 27.3% at 18 years. In a separate longitudinal study comprising 5471 children from birth to 10 years, de Jong et al.<sup>772</sup> estimated a prevalence of allergic sensitization to be 32.2% when using skin testing results and 12.4% when using physician diagnosis.

Taken together, the available evidence indicates that the prevalence of AR in children increases with age into young adulthood. Moreover, the prevalence of AR has previously been reported to be increasing across the globe. It should be noted, however, that recently published data indicate that this trend of increasing AR prevalence may not persist into the future, although substantial geographic

differences exist.<sup>773</sup> The underlying factors that determine prevalence are complex, multifactorial, and reviewed in detail in the sections that follow.

## VII.C | Geographic variation and effect of climate on prevalence of allergic rhinitis

The prevalence of AR varies significantly based on geographic location. However, other factors such as population density (urban vs. rural) can further alter AR rates within the same locale. One important challenge in meaningfully comparing AR rates between locations is the variability created by differences in study subject recruitment and method of diagnosing AR. For example, Bauchau and Durham,<sup>17</sup> who diagnosed patients via serological IgE testing after a positive telephone screen, reported that Belgium had an AR prevalence of 28.5% (the highest of the European countries he evaluated). On the other hand, Bousquet et al.,<sup>774</sup> who skin tested randomly sampled subjects, reported a rate in Belgium of 16.4%, one of the lowest of 15 countries examined.

Given the difficulty in standardizing AR prevalence studies across different locations, there have been major international efforts to examine national prevalence rates of AR using standardized methods (i.e., ECRHS and ISAAC). These studies show marked geographic variation with a higher prevalence of AR in “English speaking” countries (i.e., United Kingdom [UK], Australia, New Zealand), a higher rate in Western Europe than in Eastern Europe, and a higher prevalence in countries with higher rates of asthma and sensitization to seasonal allergens.<sup>775,776</sup> However, these studies have evaluated national rates from only one or a few centers within each country, and substantial intra-country variation may occur. For example, the prevalence of AR varies from 9.6% to 23.9% in 18 major cities in China.<sup>777</sup>

Geographic variation in AR prevalence may also be impacted by climate change, which has an association with lengthening pollen seasons, increasing pollen counts, and broadening/altering the typical vegetative species for a location.<sup>778</sup> Climate change has been estimated to be associated with increased seasonal pollen exposures, and as a result, sensitizations are anticipated to more than double in the next few decades, particularly in colder climates that previously were spared from higher rates of seasonal AR.<sup>779</sup> Additionally, this increased environmental exposure has been shown to be associated with an increased risk of AR as well as patient symptoms of atopic nasal diseases.<sup>780,781</sup>

When assessing geographic variations associated with AR, differentiating between seasonal and perennial AR is also an important consideration not examined in the ECRHS or ISAAC studies. Smaller studies over more



limited geographic regions which have examined perennial AR suggest increased sensitivity rates in urban settings and colder climates.<sup>782–785</sup> Li et al.<sup>783</sup> theorized that urban dwellers participate in more indoor activities compared to their rural counterparts, amplifying their exposure to dust mites and possibly leading to increased sensitization to these perennial allergens. Additionally, some reports suggest exposure to urban pollutants may be associated with increased AR in children.<sup>782</sup>

Latitude plays a more questionable role with regards to perennial AR. For example, the prevalence of persistent AR was found to be higher in both Northern Europe and Northern China compared to their southern counterparts.<sup>17,783</sup> This may occur because those in colder climates spend more time indoors, increasing their exposure to dust mites and other perennial allergens. However, it has also been reported that peak months for AR outpatient visits were the same in most regions of China, regardless of the latitude.<sup>786</sup> Latitude may also an important determinant of seasonal AR. Allergenic plants are often characteristic for certain locations and the pollen concentrations of various species depend on the climate of a specific region.<sup>778</sup>

Overall, improved knowledge of the geographic influences, seasonal variations, and the role of climate change on AR prevalence is important in that it allows patients to anticipate and better self-manage their symptoms through avoidance techniques and preemptive use of pharmacologic therapies.<sup>781,787</sup>

## VIII | RISK FACTORS AND PROTECTIVE FACTORS FOR ALLERGIC RHINITIS

### VIII.A | Genetics

Hereditary factors play a role in both AR and non-allergic rhinitis with presence of disease in family members being the strongest risk factor.<sup>788</sup> Studies on twins have shown that genetic factors account for up to 70%–80% of interindividual variability in susceptibility to development of AR.<sup>789,790</sup> However, no single gene or polymorphism can account entirely for the hereditary effect. Many genes, along with their respective variants and complex interactions, contribute to disease initiation, persistence, and severity. In this section, the current literature on the genetics of AR is reviewed, with a focus on recent large-scale genome-wide association studies (GWASs) and evidence for shared genetics between allergic diseases. In addition, gene–environment interaction effects and epigenetics studies are briefly covered.

### 1 | Single nucleotide polymorphisms (SNPs) associated with allergic rhinitis

*Genome-wide association studies.* GWASs, with their unbiased approach that includes hundreds of thousands of common variants, have successfully identified important genes for complex diseases over the past decade (<https://www.ebi.ac.uk/gwas/>). Thirty-four GWASs involving AR (or seasonal AR/hay fever) have been published up to November 2021, of which nine (one exome-sequencing project) reported genome-wide significant hits (Table VIII.A). SNPs in *LRRC32* (leucine-rich repeat-containing protein 32) have been strongly associated with AR in five of the GWASs,<sup>791–795</sup> as well as with asthma,<sup>792,796</sup> eczema,<sup>793,797</sup> and other allergy-related comorbidities.<sup>791,796,798</sup> *LRRC32* is known to regulate T cell proliferation, cytokine secretion, and TGF- $\beta$  activation.<sup>799</sup> These associations support the concept of shared genetic mechanisms for AR and other allergy-related diseases. This concept is further supported by a GWAS on self-reported cat, dust mite, and pollen sensitization (as well as AR), which revealed 16 shared susceptibility loci with strong association ( $p < 5 \times 10^{-8}$ ; *TLR*-locus top hit).<sup>792</sup> Strong overlap between top loci for sensitization and self-reported allergies also are found in two of the larger GWASs.<sup>792,800</sup> In a recent GWAS specifically designed to evaluate pleiotropy between asthma, eczema, and hay fever, a total number of 136 SNPs were identified at the genome-wide significant level (including 73 novel at the time), of which only six SNPs showed evidence for disease-specific effects.<sup>801</sup> In a follow-up study, additional novel loci for comorbid allergic disease were identified by applying a gene-based test of association.<sup>802</sup> The only larger exome-sequencing study published to date identified rare variants in *IL33*, a well-known gene associated with other types airway inflammation, including asthma.<sup>803</sup>

As expected, larger studies with better power allow for improved ability to accurately detect novel loci and potentially novel AR-related disease mechanisms. Recently, very large GWASs were able to confirm many of the previously identified susceptibility loci for AR, with top hits *HLA-DQB1/DQA1*, *IL1RL1*, *TLRI/10*, *WDR36*, and *LRRC32*.<sup>794,795</sup> A recent multi-institutional study comprising over 50,000 cases of AR identified the novel loci *IL7R*, which encodes the receptor for IL-7 (and TSLP) involved in immunoregulation, and *CXCR5*, a chemokine receptor involved in B cell migration.<sup>795</sup>

*Candidate gene studies.* The candidate gene approach for selecting disease-relevant genes is based on known molecular biology or gene function relevant to disease pathophysiology. Such studies in AR have identified several



TABLE VIII.A Key findings from genome-wide association studies on allergic rhinitis or hay fever

| Author                          | Year | Study design                           | Sample size  | Ethnicity              | Top SNPs for AR                               | p-value                                | Nearby gene(s)  | Protein function   | LOE |
|---------------------------------|------|--|--|------------------------|---|--|---|--|-----|
| Andiappan et al. <sup>823</sup> | 2011 | Nested case-control with replication   | 1132 AR cases<br>997 controls  | Chinese                | 1) rs811930<br>2) rs505101                    | 1) 7.3E-05<br>2) 1.3E-04               | 1) <i>MRPL4</i><br>2) <i>BCAP (PIK3AP1)</i>   | 1) Protein synthesis within the mitochondrion<br>2) Protein tyrosine kinase  | 3   |
| Ramasamy et al. <sup>793</sup>  | 2011 | Meta-analysis of four cohorts          | 3933 AR cases<br>8965 controls   | European ancestry      | 1) rs2155219<br>2) rs17513503<br>3) rs1044573 | 1) 3.8E-08<br>2) 7.4E-07<br>3) 9.7E-07 | 1) <i>LRRC32</i> or <i>CIILorf30</i><br>2) <i>TMEM232</i> or <i>SLCA25A46</i><br>3) <i>ENTPD6</i> | 1) <i>LRRC32</i> : T cell regulation, TGF- $\beta$ activity, <i>CIILorf30</i> : regulation of viral immunity and interferon pathways<br>2) Transmembrane protein<br>3) Catabolism of extracellular nucleotides | 3   |
| Hinds et al. <sup>792</sup>     | 2013 | Private company data (23andMe)         | 46,646 total (look-up association for AR of GWAS top hits for self-reported allergy) | >97% European ancestry | 1) rs1438673<br>2) rs2101521<br>3) rs10189629 | 1) 3.7E-19<br>2) 6.0E-17<br>3) 9.9E-15 | 1) <i>WDR36</i><br>2) <i>TLRL-TLR6 - TLRI0</i><br>3) <i>ILIRL2 - ILIRL1</i>                       | 1) Cellular processes and T cell activation<br>2) Pathogen recognition and activation of innate immunity<br>3) Pro-inflammatory effects, T helper cell function  | 3   |
| Ferreira et al. <sup>791</sup>  | 2014 | Meta-analysis of four cohorts/datasets | 16,513 hay fever cases<br>17,256 controls  | European ancestry      | 1) rs4833095<br>2) rs2155219<br>3) rs10197862 | 1) 4E-12<br>2) 7E-10<br>3) 2E-09       | 1) <i>TLRI</i><br>2) <i>LRRC32</i> or <i>CIILorf30</i><br>3) <i>ILIRL1</i>                        | 1) Pathogen recognition and activation of innate immunity<br>2) See above<br>3) Pro-inflammatory effects, T helper cell function   | 3   |

(Continues)

TABLE VIII.A (Continued)

| Author                            | Year | Study design                   | Sample size                         | Ethnicity                                       | Top SNPs for AR   | p-value  | Nearby gene(s)  | Protein function   | LOE |
|-----------------------------------|------|--------------------------------|-------------------------------------|---|---|--|---|--|-----|
| Bunyavanich et al. <sup>824</sup> | 2014 | Meta-analysis of seven cohorts | 2712 AR cases<br>2921 controls      | European ancestry, Latino (L), African American | 1) rs17133587<br>2) rs6583203<br>3) rs7780001   | 1) 4.5E-09 (L)<br>2) 1.4E-08 (L)<br>3) 2.0E-08 (all groups)  | 1) <i>AKR1E2</i><br>2) <i>DLG1</i><br>3) <i>FERD3L</i>  | 1) NAD(P)H-dependent oxido-reduction<br>2) Scaffolding protein involved in cell metabolism<br>3) Transcription factor  | 3   |
| Waage et al. <sup>795</sup>       | 2018 | Meta-analyses                  | 59,762 AR cases<br>152,358 controls | European ancestry                               | Top 5 SNPs in previously known loci (21 in total):<br>1) rs34004019<br>2) rs950881<br>3) rs5743618<br>4) rs1438673<br>5) rs7936323<br><br>Top 5 SNPs in novel loci (20 in total):<br>1) rs7717955<br>2) rs63406760<br>3) rs28361986<br>4) rs2070902<br>5) rs1504215 | Known loci:<br>1) 1.00 × 10 <sup>-30</sup><br>2) 1.74 × 10 <sup>-30</sup><br>3) 4.38 × 10 <sup>-27</sup><br>4) 3.15 × 10 <sup>-26</sup><br>5) 6.53 × 10 <sup>-24</sup><br><br>Novel loci:<br>1) 3.78 × 10 <sup>-32</sup><br>2) 2.54 × 10 <sup>-24</sup><br>3) 2.32 × 10 <sup>-23</sup><br>4) 6.19 × 10 <sup>-19</sup><br>5) 1.54 × 10 <sup>-18</sup> | Known loci:<br>1) <i>HLA-DQBI</i> ,<br><i>HLA-DQA1</i><br>2) <i>ILIRL1</i><br>3) <i>TLRI</i> , <i>TLRI0</i><br>4) <i>CAMK4</i> , <i>WDR36</i><br>5) <i>LRRRC32</i> , <i>C11orf30</i><br><br>Novel loci:<br>1) <i>CAPSL</i> , <i>IL7R</i><br>2) <i>CDK2API</i> , <i>C12orf65</i><br>3) <i>CXCR5</i> , <i>DDX6</i><br>4) <i>AL590741</i> ,<br><i>FCER1G</i><br>5) <i>BACH2</i> , <i>GJA10</i> | Known loci:<br>1) Antigen presentation<br>2) See above<br>3) See above<br>4) See above<br>5) See above<br><br>Novel loci:<br>1) CAPSL: Calcium ion binding involved in adipogenesis, IL7R: Receptor for IL-7 (and TSLP); immunoregulation<br>2) CDK2API: cell-cycle kinase inhibitor<br>3) CXCR5: Involved in B-cell migration, DDX6: Involved in RNA metabolism<br>4) FCER1G: Component of the high-affinity IgE receptor<br>5) BACH2: Transcriptional regulator, GJA10: Gap junction protein | 3   |

(Continues)

TABLE VIII.A (Continued)

| Author                          | Year | Study design                          | Sample size                                      | Ethnicity         | Top SNPs for AR   | p-value  | Nearby gene(s)   | Protein function  | LOE |
|---------------------------------|------|---------------------------------------|--|-------------------|---|--|--|---|-----|
| Johansson et al. <sup>794</sup> | 2019 | UK biobank                            | 18,915 hay fever cases<br>327,630 controls       | European ancestry | Top 5 SNPs in previously known loci (27 in total):<br>1) rs11236797<br>2) rs7728912<br>3) rs66819621<br>4) rs72823641<br>5) rs7744020<br><br>Novel locus (1 in total):<br>1) rs12920150 | Known loci:<br>1) 4.97E-32<br>2) 4.50E-26<br>3) 2.20E-25<br>4) 2.35E-25<br>5) 3.80E-25<br><br>Novel locus:<br>1) $1.02 \times 10^{-9}$ | Known loci:<br>1) <i>LRR32</i> , <i>EMSY</i><br>2) <i>WDR36</i><br>3) <i>TLRI</i><br>4) <i>ILIRL1</i> , <i>IL18RI</i><br>5) <i>HLA-DQBI</i><br><br>Novel locus:<br>1) <i>CBLNI</i> | Known loci:<br>1) See above<br>2) See above<br>3) See above<br>4) See above<br>5) See above<br><br>Novel locus:<br>1) Synaptic activity   | 3   |
| Sakaue et al. <sup>825</sup>    | 2021 | Japan biobank                         | 18,593 seasonal AR (pollinosis)<br>153,666 ctrls | Japanese          | 1) rs3213749<br>2) rs1050538<br>3) rs1140310<br>4) rs10519067   | 1) 4.35E-09<br>2) 3.08E-13<br>3) 8.21E-13<br>4) 3.67E-08   | 1) <i>CD207</i><br>2) <i>HLA-B</i><br>3) <i>HLA-DQBI</i><br>4) <i>RORA</i>   | 1) Antigen presentation<br>2) Antigen presentation<br>3) See above<br>4) Key regulator of embryonic development, cellular differentiation | 3   |
| Backman et al. <sup>803</sup>   | 2021 | UK Biobank (exome sequencing project) | 73,313 seasonal AR cases<br>280,381 controls     | European ancestry | 9:6255967:G:C   | 9.52E-27   | <i>IL33</i>  | Maturation and activation of immune cells, including Th2 cells.   | 3   |

Abbreviations: AR, allergic rhinitis; GWAS, genome-wide association study; IL, interleukin; LOE, level of evidence; SNP, single nucleotide polymorphism; TGF, transforming growth factor; Th2, T helper 2; TSLP, thymic stromal lymphopoietin; UK, United Kingdom.

well-replicated genes, as summarized previously.<sup>804–806</sup> Notably, results from many candidate gene studies often overlap with GWASs results. For example, SNPs in genes involved in antigen presentation (e.g., *HLA-DQA1*), pathogen recognition (e.g., *TLR2,7,8*), IL signaling, and pro-inflammatory signaling (e.g., *IL13*, *IL18*, *TSLP*) have been highlighted.<sup>804–810</sup> However, many of the candidate gene study findings have not been well-replicated across studies and populations.<sup>811,812</sup> This could be due to lack of power from small sample sizes, inconsistent phenotype definition, or lack of true disease association.

## 2 | Gene-environment interactions and epigenetic effects

Epigenetic mechanisms, defined as changes in phenotype or gene expression caused by mechanisms (e.g., methylation) other than changes in the underlying DNA sequence, have been proposed to constitute a link between genetic and environmental factors. Recent studies show that DNA methylation in children is very strongly influenced by well-known risk factors for allergic diseases, such as tobacco smoking/maternal smoking during pregnancy,<sup>813</sup> air pollution exposure,<sup>814</sup> and length of pregnancy.<sup>815</sup> However, it is not currently known if these methylation changes are part of a causal pathway in the development of AR (and asthma), or if these epigenetic biomarkers are simply markers of exposure. Still, several studies have convincingly linked methylation profiles to AR<sup>816–818</sup> and IgE-related outcomes.<sup>819,820</sup> Recently, methylation signatures in nasal epithelial brushes were shown to be strongly associated with AR (and also asthma).<sup>821</sup> Also, epigenetic studies have highlighted shared molecular mechanisms underlying asthma, eczema and AR pathophysiology.<sup>822</sup>

In summary, a family history of AR remains one of the strongest risk factors for disease development, and strong associations with genes involved in antigen presentation (e.g., *HLA* genes), T cell activation (e.g., *LRRC32*), and innate immunity (e.g., *TLRs*) have been identified. Shared genetic mechanisms for AR and other allergy-related diseases clearly exist. These novel findings lend insight into mechanisms underlying the pathogenesis of AR, as well as comorbid atopic conditions, and may aid drug discovery efforts for novel disease targets. With increasing evidence for the role of epigenetics in AR, future research should also focus on investigating mechanisms, thereby providing a functional explanation for the link between genetics variants, environmental exposures, and disease development.

### Risk factors – genetics

Aggregate grade of evidence: C (Level 3: 8 GWASs and 1 exome sequencing study. Candidate gene studies not assessed regarding grade of evidence, Table VIII.A).

## VIII.B | Risk factors

### VIII.B.1 | Inhalant allergens – in utero and early childhood exposure

#### VIII.B.1.a | Mites

While there have not been any major new studies published on this topic since 2016, three older prospective birth cohorts (not included in ICAR-Allergic Rhinitis 2018<sup>1</sup>) concur with the conclusion that there is no established association of early mite exposure and the development of AR.<sup>826–828</sup> Studies showing that early life dust mite exposure results in early sensitization (e.g., positive skin tests without symptoms) and AR later in childhood are often limited in that they fail to measure and account for dust mite allergen concentrations in the home.<sup>829</sup> Likewise, other studies implement dust mite reduction interventions without pre and post dust mite allergen measurements and/or combine environmental changes with dietary changes<sup>830–832</sup> (Table VIII.B.1.a).

It has been suggested that the effect of dust mite exposure on sensitization may follow a bell-shaped dose response curve, with both very low and very high exposure being protective.<sup>833–837</sup> Exposure levels that are less than 2 mg dust mite allergen/gram of house dust may be a “safe” level for atopic children for primary allergic disease prevention.<sup>838,839</sup> The risk of allergic disease in childhood may also depend upon mono- versus polysensitization at age 1 or 2.<sup>840</sup>

### Risk factors – in utero and early childhood exposure to mites

Aggregate grade of evidence: C (Level 3: 7 studies, Table VIII.B.1.a)

#### VIII.B.1.b | Pollen

Since ICAR-Allergic Rhinitis 2018,<sup>1</sup> no new studies were identified that addressed the impact of early pollen exposure on the development of AR; furthermore, the two previous studies were inconclusive.<sup>780,843</sup> While very few

**TABLE VIII. B.1.a** Evidence table – risk factors for development of allergic rhinitis: in utero and early childhood exposure to dust mites

| Study                         | Year | LOE | Study design                  | Study groups  | Clinical endpoints  | Conclusions <sup>a</sup>  |
|-------------------------------|------|-----|-------------------------------|---|---|---|
| Schoos et al. <sup>841</sup>  | 2016 | 3   | Prospective birth cohort      | 399 children (7–13 years old) from COPSAC study                   | Der p 1 in bed dust sample at 1 year<br>Der f 1 in bed dust sample at 1 year  | Der p 1: no association with AR at 13 years (OR 0.96; 95% CI 0.88–1.05)<br>Der f 1: borderline association with AR at 13 years (OR 0.89; 95% CI 0.79–1.0, $p = 0.05$ )                              |
| Illi et al. <sup>842</sup>    | 2014 | 3   | Prospective birth cohort      | 513 children (5 years old) from PAULA study                       | Dust mite allergen exposure at 3 months (measured as allergen levels in the living room floor and in the mother's or child's mattress)      | No association with current AR (OR not reported)  |
| Gehring et al. <sup>828</sup> | 2012 | 3   | Prospective birth cohort      | 416 children of atopic mothers (8 years old) from PIAMA study     | Der p 1 and Der f 1 exposure at 3 months (measured as levels in child's mattress)   | No association with AR at 8 years (OR presented in graphic format only)   |
| Toelle et al. <sup>826</sup>  | 2010 | 3   | Prospective birth cohort      | 450 children (8 years old) from Childhood Asthma Prevention Study | Dust mite exposure 0–5 years (measured as allergen levels in child's bed)   | No association with AR at age 8 (OR not reported; absolute risk reduction –4.5; 95% CI –12.9–4.0)   |
| Marinho et al. <sup>46</sup>  | 2007 | 3   | Whole-population birth cohort | 815 children (5 years old) from MAAS study                        | Der p exposure at 0–5 years (measured as allergen levels recovered from child's bed, child's bedroom floor, parental bed, and lounge floor) | No association at age 5 on multivariate analysis and no difference in atopic versus nonatopic CRC<br>In univariate analysis there was protective factor for current CRC (OR 0.81; 95% CI 0.68–0.98) |
| Marks et al. <sup>827</sup>   | 2006 | 3   | Prospective birth cohort      | 516 children (5 years old) from Childhood Asthma Prevention Study | Dust mite exposure at 0–5 years (measured as allergen levels recovered from child's bed)  | No association with AR at age 8 (RR 1.08; 95% CI 0.88–1.33)   |
| Kulig et al. <sup>754</sup>   | 2000 | 3   | Prospective birth cohort      | 587 children (7 years old) from MAAS study                        | Mite (Der p 1, Der f 1) exposure at 0–18 months (measured as allergen levels obtained from carpet dust samples)                             | No association with seasonal AR (OR not reported)   |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; COPSAC, Copenhagen Prospective Study on Asthma in Childhood; CRC, chronic rhinitis conjunctivitis; LOE, level of evidence; MAAS, Manchester Asthma and Allergy Study; OR, odds ratio; PAULA, Perinatal Asthma and Environment Long-term Allergy; PIAMA, Prevention and Incidence of Asthma and Mite Allergy; RR, relative risk.

<sup>a</sup>ORs are unadjusted and reported with 95% CI.



**TABLE VIII.B.1.b** Evidence table – risk factors for the development of allergic rhinitis: in utero and early childhood exposure to pollen

| Study                           | Year | LOE | Study design             | Study groups   | Clinical endpoints   | Conclusions <sup>a</sup>   |
|---------------------------------|------|-----|--------------------------|--|--|--|
| Erbas et al. <sup>780</sup>     | 2013 | 3   | Prospective birth cohort | 620 children (6–7 years old) from MACS RCT (with at least 1 first-degree family member with a history of eczema, asthma, hay fever, severe food allergy) | Pollen exposure <sup>b</sup> during infancy (0–3 months)   | Risk factor for hay fever (OR 1.14; 95% CI 1.001–1.29)   |
| Kihlstrom et al. <sup>843</sup> | 2002 | 4   | Cross-sectional          | 583 children with atopic heredity (4–5 years old)  | High-dose exposure to birch pollen at 0–3 months<br>High-dose exposure to birch pollen at 1 year | Exposure at 0–3 months: no association with allergic rhinoconjunctivitis (OR 1.0; 95% CI 0.6–1.8)<br>Exposure at 1 year: no association with allergic rhinoconjunctivitis (OR 1.3; 95% CI 0.8–2.2) |

Abbreviations: CI, confidence interval; LOE, level of evidence; MACS, Melbourne Atopy Cohort Study; OR, odds ratio; RCT, randomized controlled trial.

<sup>a</sup>ORs are adjusted and reported with 95% CI.

<sup>b</sup>Defined as birth “inside” or “outside” the pollen season and by measuring daily 24-h average pollen concentrations for grass and others (which include trees, weeds, and herbs).

studies longitudinally track pollen counts and the subsequent development of AR, several studies have demonstrated that the development of pollen sensitization in early life is associated with AR in later childhood.<sup>844,845</sup> In fact, following initial pollen sensitization in children, there is a progressive increase in both the level and number of pollen sensitizations.<sup>846</sup> While seasonal AR symptoms are rare before age 3, between 3 and 12 years, the percentage of new cases increases at a rate of approximately 2% per year.<sup>844,847,848</sup> With the environmental changes associated with global warming, such as increased length of pollination season, we are starting to see higher rates of pollen sensitization in young children which will likely lead to increased AR in adolescence and adulthood<sup>849</sup> (Table VIII.B.1.b).

Focusing on early life sensitization rather than pollen exposure may be a more productive research pathway. Sensitization to one or more allergenic molecules (e.g., Phl p 1) at age 4 has been shown to be a better predictor of AR at age 16, then a positive test to Timothy extract.<sup>850</sup> Likewise, higher levels of Bet v 1 or finding multiple pathogenesis-related class 10 allergens at age 4 helped to predict AR to birch in adolescence.<sup>851</sup> With the difficulty of conducting longitudinal pollen studies and the inability to control the year-to-year variation in pollen counts or the young child's level of exposure, the use of CRD in early childhood may

prove to be the best tool for predicting pollen-induced AR in adolescence and adulthood.

### **Risk factors – in utero and early childhood exposure to pollen**

Aggregate grade of evidence: C (Level 3: 1 study, level 4: 1 study; Table VIII.B.1.b)

### VIII.B.1.c | *Animal dander*

Since the ICAR-Allergic Rhinitis 2018,<sup>1</sup> high quality studies have found that early life exposure to animal dander may be protective from the development of AR,<sup>852–854</sup> while two lower quality studies concluded that it was a risk factor.<sup>855,856</sup> A 2020 systematic review and pooled analysis of five cohort studies found a protective effect for early life exposure to cats and dogs.<sup>852</sup> Two additional prospective birth cohorts found a similar protective effect.<sup>853,854</sup> Animal exposure during the first 2 years of life offers the best possibility for protection.<sup>840,853,854,857</sup> However, when reviewing all the major studies published since 2000 one finds that the majority of studies find early life animal dander exposure to be either a risk factor or unassociated with

the development of AR. One possibility for this disparity is that lower quality studies were unable to account for all the confounding factors (e.g., atopic family history; community prevalence of pets; pet gender and breed; number of household pets; exposure to other indoor allergens, irritants, microorganisms; and child's microbiome).<sup>858</sup> A combination of factors, such as the addition of probiotics to the child's diet, may enhance the protective effect of early animal dander exposure.<sup>859</sup> At this time, it is not possible to make evidence-based recommendations regarding early life animal exposure (Table VIII.B.1.c).

#### **Risk factors – in utero and early childhood exposure to pets**

*Aggregate grade of evidence:* C (Level 3: 18 studies, level 4: 28 studies\*; Table VIII.B.1.c)

\*Level 3 studies are listed in table; level 4 studies are referenced.

#### VIII.B.1.d | Fungal allergens

Further supporting the ICAR-Allergic Rhinitis 2018<sup>1</sup> conclusions, all newly reviewed studies, many having a higher evidence level, concluded that early life exposure to fungal allergens or dampness is a risk factor for AR.<sup>889–891</sup> Unfortunately, existing studies have not been able to establish a dose–response relationship for mold exposure and the subsequent development of AR nor have they been able to define a threshold below which no effect of mold exposure on the health of the general or high-risk population would be expected.<sup>892,893</sup> It may be that the presence of fungal diversity alone or in combination with microbial diversity could play an even greater role than levels of indoor mold.<sup>892</sup> The role of outdoor fungal spores, which can vary widely by geographical location, has rarely been considered. While most studies adjust for demographic characteristics, the co-exposure levels or symptoms produced by other allergens (e.g., HDM, pollen, pet dander) are rarely studied. Consistent results from well-designed longitudinal studies are needed before one can determine the causal effect of early life exposure to fungal components on the future development of AR (Table VIII.B.1.d).

#### **Risk factors – in utero and early childhood exposure to fungal allergens or dampness**

*Aggregate grade of evidence:* C (Level 3: 3 studies, level 4: 12 studies; Table VIII.B.1.d)

**Summary for the effect of inhalant allergens (in utero and early childhood exposure) as a risk factor**

**for the development of AR.** The impact of early inhalant allergen exposure (HDM, pollen, animal dander, fungal allergens) on the development of AR remains ambiguous. Early life allergen exposures identified as significant risk factors for AR at age 6 are often found to be insignificant by age 12 or later. Despite several in-depth reviews and a growing body of literature,<sup>852,892,900,901</sup> no definitive conclusions may be drawn regarding risk-benefit of early inhalant allergen exposure, and further research is welcomed to address this unmet need.

#### VIII.B.2 | Food allergens

Historically, there has been concern that highly allergenic foods in the maternal as well as the infant's diet would lead to the development of food allergy and subsequently to other atopic diseases, such as AR. Since ICAR-Allergic Rhinitis 2018,<sup>1</sup> six publications have looked at the effect of early introduction of specific foods (e.g., fish and peanut) and diverse foods into the infant's diet and the subsequent development of AR.<sup>902–907</sup> Older publications (not part of ICAR-Allergic Rhinitis 2018) have looked at the effect of fish and tree nuts in the maternal diet<sup>908–910</sup> and early introduction of specific or diverse foods into the infant's diet<sup>911–914</sup> (Table VIII.B.2).

A maternal diet that avoids or strictly limits highly allergenic foods, for example, cow's milk, egg, peanut, and fish has not been shown to reduce the risk of AR.<sup>909,915–917</sup> However, a maternal diet high in oily fish or tree nuts has been reported to reduce the risk of AR.<sup>908,918</sup>

Early sensitization to food has been linked to the development of AR in childhood.<sup>754,919,920</sup> A meta-analysis of high-risk infants found that food sensitization at age less than 24 months increased the risk of AR during childhood.<sup>919</sup> In a prospective birth cohort, food allergy at 4–10 years old, however, had no association with AR at age 18 or 26; whereas food sensitization (independent of symptoms) increased the risk of AR at both age 18 and 26.<sup>904</sup> Additional cohort studies have found that food sensitization at age less than 24 months, especially when combined with inhalant sensitization, increases the risk of AR in childhood.<sup>920–924</sup>

Multiple studies have evaluated the effect of early introduction of highly allergenic foods into the infant's diet. In a prospective RCT, cow's milk, egg, and peanut were avoided during the last trimester of pregnancy and during lactation and infants avoided milk, egg, peanut, and fish for 1, 2, 3, and 3 years respectively. By age 7, the food avoidance group had no reduced rates of AR.<sup>915</sup> In an open label RCT, there was no association of avoiding or consuming peanuts from 4 to 11 months on the risk of developing AR at age 5 years.<sup>903</sup>

**TABLE VIII.B.1.c** Evidence table – risk factors for the development of allergic rhinitis: in utero and early childhood exposure to animal dander

| Study   | Year | LOE | Study design  | Study groups   | Clinical endpoints  | Conclusions <sup>a</sup>   |
|---|------|-----|---|--|---|--|
| Early exposure to animal dander as a protective factor for AR (Level 3 studies listed. Level 4 studies referenced. <sup>860–865</sup> ) |      |     |   |  |   |  |
| Gao et al. <sup>852</sup>   | 2020 | 3   | Systematic review and pooled analysis of 5 cohort studies | Not provided (see individual studies)                                  | Exposure to dogs or cats in early life (0–5 years for 4 studies) or anytime (1 study)                                 | Cat exposure has a protective effect for AR (RR 0.60; 95% CI 0.33–0.86)<br>Dog exposure has a protective effect for AR (RR 0.68; 95% CI 0.44–0.90)   |
| Ojwang et al. <sup>853</sup>  | 2020 | 3   | Prospective birth cohort                                  | 3782 children (5 years old)  | Exposure at home to cats or dog or visit to building housing farm animals during first year of life                   | Dogs: protective factor for AR (OR 0.72; 95% CI 0.53–0.97)<br>Exposure to cats and farm animals non-significant  |
| Al-Tamprouri et al. <sup>854</sup>  | 2019 | 3   | Prospective birth cohort                                  | 834 children (13 years old)  | Exposure at home to cats or dogs during 1st year of life  | Cats; protective factor for AR (aOR 0.40; 95% CI 0.21–0.28, $p = 0.007$ )<br>Dogs; non-significant (aOR 0.82; 95% CI 0.47–1.45, $p = 0.503$ )  |
| Lodge et al. <sup>1006</sup>  | 2012 | 3   | Prospective birth cohort                                  | 620 children (12 years old) with a family history of allergic diseases | Exposure to cats or dogs at birth   | Borderline protective factor for hay fever (OR 0.7; 95% CI 0.5–1.02)<br>Stronger protective effects if children of non-sensitized fathers (OR cats alone 0.3; 95% CI 0.2–0.8); (OR cats or dogs 0.4; 95% CI 0.2–0.8) |
| Alm et al. <sup>857</sup>   | 2011 | 3   | Prospective birth cohort                                  | 4465 children (4–5 years old); 246 children with current AR            | Exposure to cats at 1 year  | Protective factor for AR (unadjusted OR 0.5; 95% CI 0.4–0.8; not significant in multivariate analysis)   |
| Lampi et al. <sup>866</sup>   | 2011 | 3   | Prospective birth cohort                                  | 5509 adults (31 years old)   | Exposure to farm animals (cows, pigs, sheep, poultry, minks)<br>Exposure to cats or dogs at age less than 7 years old | Farm animals: borderline protective factor for AR ever (OR 0.9; 95% CI, 0.7–1.03)<br>Cats & dogs: borderline protective factor for AR (OR 0.8; 95% CI 0.7–0.96); (OR dog 0.9; 95% CI 0.8–1.01)                       |
| Perzanowski et al. <sup>867b</sup>  | 2008 | 3   | Birth cohort  | 257 children (5 years old) from African American or Dominican mothers  | Cat ownership (up to age of health outcomes)  | Protective factor for AR at 5 years old (OR 0.4; 95% CI 0.2–0.9)   |

(Continues)

TABLE VIII.B.1.c (Continued)

| Study   | Year | LOE | Study design   | Study groups  | Clinical endpoints   | Conclusions <sup>a</sup>   |
|---|------|-----|--|---|--|--|
| Nafstad et al. <sup>868b</sup>  | 2001 | 3   | Birth cohort   | 2531 children (4 years old)                           | Exposure to cats at birth<br>Exposure to dogs at birth   | Cats: borderline protective factor for AR (OR 0.5; 95% CI 0.2–1.4)<br>Dogs: minimal protective factor for AR (OR 0.8; 95% CI 0.4–1.6)  |
| Early exposure to animal dander as a risk factor for AR. (All studies level 4 and are referenced. <sup>855,856,865,869–877</sup> )              |      |     |  |   |  |  |
| Early exposure to animal dander is not associated with AR (Level 3 studies listed. Level 4 studies referenced. <sup>869,871,873,878–884</sup> ) |      |     |  |   |  |  |
| Schoos et al. <sup>841</sup>  | 2016 | 3   | Prospective birth cohort                                 | 399 children (13 years old) from COPSAC study         | Prenatal (3rd trimester of pregnancy) and perinatal (at 1 year) cat exposure, and Fel d 1 in dust samples (at 1 year)<br>Prenatal (at 3rd trimester of pregnancy) and perinatal (at 1 year) dog exposure and Can f 1 in dust samples (at 1 year) | Cat: no association with AR at 13 years old (OR prenatal 1.2; 95% CI 0.44–3.82); (OR perinatal 1.33; 95% CI 0.53–3.42); (OR Fel d 1 1.10; 95% CI 1.2–4.96)<br>Dog: no association with AR at 13 years old (OR prenatal 0.95; 95% CI 0.21–4.3); (OR perinatal 0.86; 95% CI 0.19–3.89); (OR Can f 1 1.0; 95% CI 0.87–1.16) |
| Illi et al. <sup>842</sup>  | 2014 | 3   | Prospective birth cohort                                 | 513 children (5 years old) from PAULA study           | Cat allergen exposure at 3 months (measured as allergen levels in the living room floor and in the mother's or child's mattress) and cat ownership 0–1 years old   | No association with current AR and cat allergen exposure or cat ownership 0–1 years of age (OR not reported as value, only in figure)  |
| Kellberger et al. <sup>885</sup>  | 2012 | 3   | Prospective population-based cohort                      | 2810 adolescents (15–18 years old)                    | Pet (cat, dog, hamster, guinea pig, rabbit) ownership at 0–1 years old   | No association with incidence/persistence of physician-diagnosed AR  |
| Lodrup Carlsen et al. <sup>886</sup>  | 2012 | 3   | Prospective birth cohort (pooled analysis of 11 cohorts) | 22,840 children (6–10 years old)                      | Pet (cat, dog, bird, rodent) ownership at 0–2 years old  | No association with AR (OR cat only 1.02; 95% CI 0.8–1.3); (OR dog only 0.8; 95% CI 0.6–1.1); (OR cat and dog 0.8; 95% CI 0.4–1.4); (OR bird only 1.3; 95% CI 0.9–1.8); (OR rodent only 0.8; 95% CI 0.5–1.5)   |
| Lampi et al. <sup>866</sup>   | 2011 | 3   | Prospective birth cohort                                 | 5509 adults (31 years old)                            | Maternal work with farm animals (cows, pigs, sheep, poultry, minks) during pregnancy   | No association with AR (OR 0.9; 95% CI 0.7–1.2)  |
| Sandini et al. <sup>859</sup>   | 2011 | 3   | Prospective birth cohort                                 | 1223 children (5 years old) born to allergic families | Dog/cat at home at 0–2 years old or 0–5 years old  | No association with AR (OR 0–2 years 0.98; 95% CI 0.54–1.79); (OR 0–5 years 0.93; 95% CI 0.54–1.61)  |

(Continues)



TABLE VIII.B.1.c (Continued)

| Study                         | Year | LOE | Study design                  | Study groups  | Clinical endpoints   | Conclusions <sup>a</sup>   |
|-------------------------------|------|-----|-------------------------------|---|--|--|
| Chen et al. <sup>887b</sup>   | 2008 | 3   | Prospective birth cohorts     | 2355 children (6 years old) from GINI (intervention and nonintervention) and LISA studies | Dog ownership or regular contact outside home in first year of life  | No association with AR (LISA: OR dog ownership 0.5, 95% CI 0.2–1.2; OR regular contact 1.4, 95% CI 0.9–2.3); (GINI intervention: OR dog ownership 0.8, 95% CI 0.4–1.6; OR regular contact 1.3, 95% CI 0.8–1.9); (GINI nonintervention: OR dog ownership 0.9, 95% CI 0.4–2.0; OR regular contact 0.5, 95% CI 0.3–0.9) |
| Chen et al. <sup>888</sup>    | 2007 | 3   | Prospective birth cohort      | 2166 children (4–6 years old, hay fever: 66/1599) from LISA study                         | Cat allergen exposure at 3 months (measured as Fel d 1 levels from children's or parents' mattress)  | No association with doctor-diagnosed hay fever (OR parents' mattress 0.9; 95% CI 0.5–1.5); (OR children's mattress 0.7; 95% CI 0.4–1.1)  |
| Marinho et al. <sup>46b</sup> | 2007 | 3   | Whole-population birth cohort | 815 children (5 years old) from MAAS study  | Cat and dog ownership and major allergen exposure at 0–5 years old (measured as allergen levels recovered from child's bed, child's bedroom floor, parental bed, and lounge floor) | No association with current rhinoconjunctivitis (unadjusted OR cat ownership 1.14; 95% CI 0.71–1.83); (unadjusted OR Fel d 1 exposure 1.02; 95% CI 0.91–1.13); (unadjusted OR dog ownership 1.0; 95% CI 0.58–1.70); (unadjusted OR Can f 1 exposure 1.03; 95% CI 0.91–1.17)  |
| Kulig et al. <sup>754</sup>   | 2000 | 3   | Prospective birth cohort      | 587 children (7 years old) from MAAS study  | Cat (Fel d 1) exposure at 0–18 months (measured as allergen levels obtained from carpet dust samples)<br>Pets in household (at 18 months)  | Fel d 1 exposure: no association with SAR (OR not reported)<br>Pets in household: no association with SAR (OR not reported)  |

Abbreviations: aOR, adjusted odds ratio; AR, allergic rhinitis; CI, confidence interval; COPSAC, Copenhagen Prospective Study on Asthma in Childhood; GINI, German Infant Nutritional Intervention; LISA, Lifestyle-Immune-System-Allergy; LOE, level of evidence; MAAS, Manchester Asthma and Allergy Study; OR, odds ratio; PAULA, Perinatal Asthma and Environment Long-term Allergy; RR, relative risk; SAR, seasonal allergic rhinitis.

<sup>a</sup>All ORs are adjusted unless differently specified and are reported with 95% CI.

<sup>b</sup>Part of Gao meta-analysis.

**TABLE VIII.B.1.d** Evidence table – risk factors for development of allergic rhinitis: in utero and early childhood exposure to fungal allergens

| Study  | Year | LOE | Study design                                   | Study groups  | Clinical endpoints   | Conclusions <sup>a</sup>  |
|--|------|-----|--|---|--|---|
| Early exposure to fungal allergens as a risk factor for AR |      |     |  |   |  |   |
| Behbod et al. <sup>890</sup>                               | 2015 | 3   | Birth cohort                                   | 406 children (12–13 years old) asthmatic/allergic parents from metropolitan Boston, Massachusetts | Exposure to high levels of culturable <i>Aspergillus</i> in bedroom airborne dust at 0–3 months  | Risk factor for doctor-diagnosed AR (HR 1.39; 95% CI 1.11–1.74)   |
|  |      |     |  | 265 children (12–13 years old) asthmatic/allergic parents from metropolitan Boston, Massachusetts | Exposure to high levels of culturable <i>Cladosporium</i> from outdoor air at 0–3 months         | Risk factor for doctor-diagnosed AR (HR 2.12; 95% CI 1.14–3.92)   |
| Tischer et al. <sup>889</sup>                              | 2011 | 3   | Meta-analysis of six prospective birth cohorts | 30,746 children (3–10 years old)  | Exposure to visible mold and/or dampness at 0–2 years  | Risk factor for AR symptoms at age 6–8 years (OR 1.12; 95% CI 1.02–1.23) or at any point age 3–10 years (OR 1.18; 95% CI 1.09–1.28)   |
| Ellie et al. <sup>891</sup>                                | 2021 | 4   | Cross-sectional                                | 7366 children attending daycare/elementary school from CCHH (3–8 years old)                       | Perinatal home indoor exposure to visible mold/flooding damage/suspected moisture problem        | Risk factor for doctor-diagnosed rhinitis based on visible mold (OR 1.55; 95% CI 1.13–2.14); flooding damage (OR 2.2; 95% CI 1.38–3.25); moisture problem (OR 1.49; 95% CI 1.10–2.03) |
| Deng et al. <sup>894</sup>                                 | 2016 | 4   | Cross-sectional                                | 2598 children (3–6 years old) attending kindergarten  | Prenatal (whole pregnancy) or postnatal (from birth to current) exposure to indoor mold/dampness | Risk factors for rhinitis-like current symptoms: prenatal (OR 1.5; 95% CI 1.2–1.9); postnatal (OR 2.1; 95% CI 1.6–2.8)  |
| Lin et al. <sup>895</sup>                                  | 2016 | 4   | Cross-sectional                                | 4246 children (3–8 years old) from 18 daycare centers   | Visible indoor mold (weekly/sometimes vs. never) at 0–2 years                                    | Risk factor for new onset of rhinitis symptoms (OR 1.3; 95% CI 1.01–1.6)<br>Exposure was a significant risk factor for the remission of rhinitis (OR 0.6; 95% CI 0.3–0.9)             |
| Lam et al. <sup>883</sup>                                  | 2014 | 4   | Cross-sectional                                | 508 preschool children (4–6 years old)  | Exposure to moisture/mold <1 year  | Risk factor for rhinoconjunctivitis (OR 2.1; 95% CI 1.2–3.8)  |

(Continues)

TABLE VIII.B.1.d (Continued)

| Study  | Year | LOE | Study design    | Study groups   | Clinical endpoints  | Conclusions <sup>a</sup>  |
|--|------|-----|-----------------|--|---|---|
| Kim et al. <sup>882</sup>                                    | 2012 | 4   | Cross-sectional | 4554 school children (mean age 9.50 years old, SD 1.73)                            | Mold exposure in house during infancy   | Risk factor for current AR (OR 1.8; 95% CI 1.4–2.4)   |
| Lombardi et al. <sup>871</sup>                               | 2010 | 4   | Cross-sectional | 20,016 children (median age 7 years old) from SIDRIA-2 Study                       | Mold exposure at 0–1 year   | Risk factor for current rhinoconjunctivitis (unadjusted OR 1.4; 95% CI 1.2–1.6)                                   |
| Ibargoyen-Roteta et al. <sup>872</sup>                       | 2007 | 4   | Cross-sectional | 3360 school children (5–8 years old)   | Having mold on walls at 0–1 year  | Risk factor for allergic rhinoconjunctivitis (OR 2.5; 95% CI 1.5–4.0)   |
| Kuyucu et al. <sup>896</sup>                                 | 2006 | 4   | Cross-sectional | 2774 children (9–11 years old)   | Dampness/mold at 1 year   | Risk factor for AR (OR 1.7; 95% CI 1.3–2.3)   |
| Bornehag et al. <sup>897</sup>                               | 2005 | 4   | Cross-sectional | 10,851 children (1–6 years old)  | Visible mold or damp spots in the child's or parent's bedroom at 1–6 years  | Risk factor for rhinitis (OR 2.7; 95% CI 1.4–5.4)   |
| Early exposure to fungal allergens is not associated with AR |      |     |                 |  |   |   |
| Thacher et al. <sup>898</sup>                                | 2017 | 3   | Birth cohort    | 3798 adolescents (16 years old) from BAMSE study; 785 with AR                      | Exposure to mold or dampness at 2 months  | Risk factor for AR (OR 0.88; 95% CI 0.74–1.05, $p = 0.14$ ); and for NAR (OR 1.41; 95% CI 1.03–1.93, $p = 0.03$ ) |
| Deng et al. <sup>894</sup>                                   | 2016 | 4   | Cross-sectional | 2598 children (3–6 years old) attending kindergarten                               | Prenatal (during the whole pregnancy) or postnatal (from birth to the current) exposure to indoor mold or dampness  | No association with AR: prenatal (OR 0.7; 95% CI 0.4–1.1); postnasal (OR 1.0; 95% CI 0.6–1.7)                     |
| Yang et al. <sup>876</sup>                                   | 2014 | 4   | Cross-sectional | 7389 school children (mean age 13.9 years, SD 0.9)                                 | Mold exposure during infancy  | No association with AR (OR 0.99; 95% CI 0.8–1.3)  |
| Biagini et al. <sup>899</sup>                                | 2006 | 4   | Cross-sectional | 585 infants (1-year old) born to families with at least 1 parent with positive SPT | High mold exposure (mold in 1 room $\geq 0.2$ m <sup>2</sup> or a combined area of visible mold and water damage on the same surface $\geq 0.2$ m <sup>2</sup> ) during early infancy (average 7.5 months)<br>Low mold exposure (mold in one room $< 0.2$ m <sup>2</sup> or a combined area of visible mold and water damage on the same surface $< 0.2$ m <sup>2</sup> ) during early infancy (average 7.5 months) | No association with AR at low (OR 1.2; 95% CI 0.6–2.5) or high levels (OR 3.2; 95% CI 0.7–14.8)                   |

Abbreviations: AR, allergic rhinitis; BAMSE, Barn/Child Allergy Milieu Stockholm Epidemiology; CCHH, China Child Health and Home study; CI, confidence interval; HR, hazard ratio; LOE, level of evidence; NAR, non-allergic rhinitis; OR, odds ratio; SD, standard deviation; SIDRIA-2, Studi Italiani sui Disturbi Respiratori del l'Infanzia el Ambiente; SPT, skin prick test.

<sup>a</sup>ORs are adjusted unless otherwise specified.

**TABLE VIII. B.2** Evidence table – risk factors for development of allergic rhinitis: in utero and early childhood exposure to food allergens

| Study                                       | Year | LOE | Study design   | Study groups  | Clinical endpoints   | Conclusions   |
|---|------|-----|--|---|--|---|
| du Toit et al. <sup>903</sup>               | 2018 | 2   | Randomized, open-label, controlled trial                 | 640 children (60 months of age)   | Diet containing or avoiding peanut/peanut products from 4–11 months until 60 months of age in high-risk infants  | Risk of developing AR at age 60 months not significantly different between those who consumed or those who avoided peanut/peanut products   |
| Alduraywish et al. <sup>919</sup>           | 2016 | 2   | Meta-analysis of high-risk birth cohorts                 | 2621 children (4–8 years old), 4 birth cohorts  | Food sensitization in first 2 years of life  | Risk factor for AR (OR 3.1; 95% CI 1.9–4.9)   |
| Ierodiakonou et al. <sup>902</sup>          | 2016 | 2   | SRMA of observational studies, subgroup analysis (GRADE) | 10,313 children (4 years or younger); 3112 children (5–14 years old)  | Introduction of dietary fish before 6–12 months old  | Reduced risk for AR at age ≤4 years (OR 0.59; 95% CI 0.40–0.87; high heterogeneity [ $I^2 = 59\%$ ])<br>Reduced risk for AR at age 5–14 years (OR 0.68; 95% CI 0.47–0.98)<br>In sensitivity analysis excluding studies with high/unclear risk bias, the reduced risk for AR at age ≤4 was not significant |
| Zeiger and Heller <sup>915</sup>            | 1995 | 2   | RCT  | 165 children (7 years old):<br>59 food avoidance<br>106 standard diet   | Maternal avoidance of cow's milk, egg, and peanut during last trimester of pregnancy and lactation; infant avoidance of cow's milk until age 1 year, egg until age 2 years, and fish until age 3 years | No association with development of AR by age 7 years<br>Children with food allergy by age 4 years had a higher prevalence of AR and asthma at 7 years   |
| Lilja et al. <sup>916</sup>                 | 1989 | 2   | RCT  | 163 infants (18 months old) of high-risk mothers:<br>79 mothers with egg and milk restricted diet<br>83 had daily ingestion of one egg and 11 oz milk | Maternal diet very low in egg and milk during last 3 months of pregnancy   | No association with the development of AR at 18 months  |
| Falth-Magnusson and Kjellman <sup>917</sup> | 1987 | 2   | RCT  | 212 infants (18 months old) of high-risk mothers:<br>104 mothers on milk and egg avoidance diet<br>108 mothers on normal diet including milk and egg  | Maternal diet avoiding egg and milk from 28 weeks of pregnancy to delivery and low levels egg and cow's milk during 6 months of lactation  | No association with the development of rhinoconjunctivitis at 18 months   |

(Continues)



TABLE VIII.B.2 (Continued)

| Study                           | Year | LOE | Study design             | Study groups                         | Clinical endpoints   | Conclusions  |
|---------------------------------|------|-----|--------------------------|--------------------------------------|--|--|
| Ekelund et al. <sup>926</sup>   | 2021 | 3   | Prospective birth cohort | 6796 children (6 years old)          | Effect of timing of introducing complementary foods into infant's diet | No association of timing of introducing complementary foods into the diet and AR at age 6  |
| Fong et al. <sup>904</sup>      | 2021 | 3   | Prospective birth cohort | 1456 adults (age 18–26 years old)    | Food allergy or food allergen sensitization at age 4–10 years          | No association with food allergy at age 4 and 10 and rhinitis at age 18 or 26<br>Food allergen sensitization at age 4 increased risk for rhinitis at age 18 (OR 3.93; 95% CI 1.58–9.78, $p = 0.003$ )<br>Food allergen sensitization at age 10 increased risk for rhinitis at age 18 (OR 13.26; 95% CI 4.60–38.25, $p < 0.001$ ) and at age 26 (OR 2.59; 95% CI 1.26–5.30, $p = 0.009$ ) |
| Oien et al. <sup>906</sup>      | 2019 | 3   | Prospective birth cohort | 2245 children (6 years old)          | Effect of early introduction of fish into infant's diet                | Earlier versus later introduction of fish into the diet (e.g., <9 months vs. 12 months) is associated with reduced risk of allergic rhinoconjunctivitis (OR 0.86; 95% CI 0.75–0.98)  |
| Markevych et al. <sup>907</sup> | 2017 | 3   | Prospective birth cohort | 2518 children (age 3–15 years old)   | Diet diversity within the first 12 months of life                      | In children with early skin symptoms, the introduction of 8 food groups before 12 months reduced the risk of AR (OR 0.73; 95% CI 0.46–1.14)<br>In children without early skin symptoms, high food diversity increased the risk of AR (3rd vs. lowest quartile for foods introduced: OR 2.12; 95% CI 1.04–4.29)   |
| Nwaru et al. <sup>911</sup>     | 2014 | 3   | Prospective birth cohort | 442 high-risk children (6 years old) | Effect of dietary diversity throughout the first 12 months of life     | Less diet diversity increased risk of AR at age 6<br>If <7 (vs. >8) food items in diet at 6 months ( $p = 0.02$ )<br>If <10 (vs. >11) food items in diet at 12 months ( $p < 0.001$ )  |

(Continues)

TABLE VIII.B.2 (Continued)

| Study                          | Year | LOE | Study design                  | Study groups                  | Clinical endpoints  | Conclusions   |
|--------------------------------|------|-----|-------------------------------|-------------------------------|---|---|
| Roduit et al. <sup>912</sup>   | 2014 | 3   | Prospective birth cohort      | 848 children (6 years old)    | Effect of dietary diversity throughout the first 12 months of life  | No association with AR at age 6 if $\geq 6$ (vs. 0–5) food items in diet at 12 months ( $p = 0.31$ )  |
| Maslova et al. <sup>909</sup>  | 2013 | 3   | Population-based birth cohort | 11,269 children (7 years old) | Maternal diet with avoidance or very low to very high fish intake from pregnancy weeks 12–30  | Maternal diet low in fish intake (weekly and monthly) reduced the risk of AR at age 7 (OR 0.80; 95% CI 0.5–1.3)<br>Maternal diet high in fish intake or total avoidance of fish was not associated with AR  |
| Nwaru et al. <sup>913</sup>    | 2013 | 3   | Prospective birth cohort      | 3112 children (5 years old)   | Effect of early introduction of cereals, fish, and egg into the infant's diet   | Introduction of rye, oat, barley <5–5.5 months associated with reduced risk of AR (OR 0.66; 95% CI 0.50–0.87)<br>Introduction of fish <9 months associated with reduced risk of AR (OR 0.63; 95% CI, 0.48–0.84)<br>Introduction of egg <11 months associated with reduced risk of AR (OR 0.72; 95% CI 0.55–0.94)<br>Note: study also included in Ierodiakonou et al. <sup>902</sup> systematic review |
| Maslova et al. <sup>908</sup>  | 2012 | 3   | Population-based birth cohort | 38,389 children (7 years old) | Maternal diet to include $\geq 1$ serving tree nuts/week or to have $\geq 1$ serving of peanuts/pistachios/w from mid-pregnancy to delivery | Maternal tree nut ingestion associated with reduced risk for self-reported AR at age 7 (OR 0.80; 95% CI 0.64–1.01)<br>Maternal ingestion of peanuts/pistachios had no association with self-reported AR at age 7  |
| Virtanen et al. <sup>914</sup> | 2010 | 3   | Prospective birth cohort      | 1288 children (5 years old)   | Introduction of foods into infants' diet and association with AR at age 5   | Introduction of fish $\leq 6$ months or between 6 and 8.5 months associated with a dose dependent reduced risk of AR at age 5 (6 months: HR 0.34; 95% CI 0.22–0.54) (6–8.5 months: HR 0.28; 95% CI 0.57–0.70)   |

(Continues)

TABLE VIII.B.2 (Continued)

| Study                          | Year | LOE | Study design                               | Study groups                | Clinical endpoints   | Conclusions   |
|--------------------------------|------|-----|--|-----------------------------|--|---|
| Zutavern et al. <sup>925</sup> | 2008 | 3   | Population-based, prospective birth cohort | 2073 children (6 years old) | Delayed introduction of solid food beyond 4–6 months   | No association with the development of AR at age 6  |
| Willers et al. <sup>918</sup>  | 2007 | 3   | Longitudinal birth cohort                  | 1253 children (5 years old) | Maternal intake of oily fish $\geq 1\times$ /week versus avoidance of fish from weeks 20–32 of pregnancy | Maternal diet high in oily fish reduced the risk of AR at age 5 (OR 0.37; 95% CI 0.14–0.98) |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; GRADE, Grading of Recommendations, Assessment, Development and Evaluations; HR, hazard ratio; LOE, level of evidence; OR, odds ratio; RCT, randomized controlled trial; SRMA, systematic review and meta-analysis.

In a subgroup meta-analysis of observational studies, the introduction of fish into the infant's diet before 6–12 months was associated with a reduced risk for AR at 4 and 14 years.<sup>902</sup> Three additional prospective birth cohort studies support this conclusion.<sup>906,913,914</sup> One prospective birth cohort found that introduction of rye, oat, and barley before 5–5.5 months and egg before 11 months reduced the risk of AR at 5 years old.<sup>913</sup> However, there are conflicting conclusions regarding the timing of introduction of complementary foods and risk for AR.<sup>925,926</sup>

While guidelines have recommended that all infants have a diverse diet, the evidence is both limited and conflicting on whether this reduces the risk of AR.<sup>927</sup> Food diversity has been reported to increase,<sup>907</sup> decrease,<sup>911</sup> decrease if there are concurrent skin symptoms,<sup>907</sup> or have no effect<sup>912</sup> on the risk of developing AR in childhood.

Current guidelines as well as a Cochrane systematic review recommend an unrestricted maternal diet during pregnancy as avoidance of highly allergenic foods is unlikely to substantially reduce the risk of atopic disease, including AR, in the offspring.<sup>928–931</sup> Furthermore, it is recommended that complementary foods are introduced into the diet of all infants, regardless of atopic risk, at 4–6 months of age as avoidance or delayed introduction has not been shown to reduce atopic disease.<sup>928</sup> Guidelines have not made recommendation on the early introduction into the infant's diet of any specific foods to prevent the development of AR.

### Risk factors – in utero and early childhood exposure to food allergens

*Aggregate grade of evidence:* A (Level 2: 6 studies, level 3: 12 studies; Table VIII.B.2)

## VIII.B.3 | Pollution

According to the World Health Organization (WHO), air pollution is defined as “contamination of the indoor or outdoor environment by any chemical, physical, or biological agent that modifies the natural characteristics of the atmosphere.”<sup>932</sup> Pollutants, produced through traffic-related combustion and industrial activity, generally include NO and nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), carbon monoxide and dioxide (CO and CO<sub>2</sub>), as well as PM <10  $\mu$ m (PM<sub>10</sub>) and PM <2.5  $\mu$ m (PM<sub>2.5</sub>). The effect of air pollution on human morbidity is well-known, though the relationship with AR is complex.<sup>1,933,934</sup> It is thought that through oxidative stress pathways, pollutants may stimulate the expression of antioxidant genes and recruitment of inflammatory cells to the nasal mucosa, though the mechanisms remain unclear.<sup>935,936</sup>

At the time of ICAR-Allergic Rhinitis 2018,<sup>1</sup> the strongest evidence in the literature suggested minimal or no significant associations between air pollutants and AR development.<sup>782,937–941</sup> Kim et al.<sup>942</sup> found that the incidence of AR was not significantly associated with exposure to air pollutants, while Codispoti et al.<sup>943</sup> reported that diesel exhaust particle exposure at age 1 was associated with allergen sensitization at ages 2 and 3, though not to a significant degree. In a pooled prospective cohort, air pollution was reported to not be associated with adverse effects on rhinoconjunctivitis.<sup>944</sup>

In more recent years, the interest in understanding a potential relationship between air pollution and AR has further increased. Li et al.<sup>945</sup> reported a positive association between air pollution and AR while Burte et al.<sup>946</sup> found that individuals with AR living in highly polluted areas were more likely to experience more severe nasal symptoms. Evaluating environmental air pollutants from 2013 to 2015, Teng et al.<sup>947</sup> reported that levels of PM are strongly associated with the prevalence of AR. In another study, ozone and NO<sub>2</sub>, oxidant air pollutants, were associated with an 8% increased risk of AR.<sup>948</sup> A meta-analysis by

Zou et al.<sup>949</sup> reported increased AR prevalence in children with exposure to high levels of NO<sub>2</sub>, SO<sub>2</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub>. This was further supported by an SRMA by Lin et al.<sup>950</sup> who reported that PM<sub>2.5</sub> exposure may be correlated with childhood AR. Hao et al.<sup>951</sup> studied children aged 2–4 years and found that those with family stress and boys compared to girls were particularly vulnerable to increased risk of AR with early exposure to traffic-related air pollution (Table VIII.B.3).

Co-exposure of diesel exhaust and indoor or outdoor inhalant allergens were found to induce changes in lung protein concentrations, alter DNA methylation patterns of bronchial epithelial cells, and result in lung function impairment.<sup>952–954</sup> In a controlled allergen challenge facility study by Ellis et al.,<sup>955</sup> participants with ragweed-induced AR aggravated by exposure to diesel exhaust particle were effectively treated with fexofenadine hydrochloride, resulting in reduced AR symptoms, compared to placebo.

The evidence demonstrating the role of air pollution on AR severity has certainly advanced. In 2018, the European Institute of Innovation and Technology launched the “Impact of air POLLution on sleep, Asthma and Rhinitis” (POLLAR) project, in efforts to use machine learning to better evaluate the relationship between sleep disorders, air pollution, and AR across six European countries.<sup>956</sup> The recognition of the impact of pollution on AR is highlighted by the 2020 consensus paper published in the *World Allergy Organization Journal* which summarizes strategies to manage pollution-induced AR symptoms.<sup>957</sup>

Much of the current literature demonstrating the detrimental effects of air pollution on AR prevalence and severity has been from Europe and Asia. As air pollution affects all countries, future studies from all continents are needed to explore this global problem.

### **Risk factors – pollution**

*Aggregate grade of evidence:* C (Level 3: 8 studies, level 4: 7 studies; Table VIII.B.3)

## **VIII.B.4 | Tobacco smoke**

Most prospective cohort studies and systematic reviews presented in ICAR-Allergic Rhinitis 2018<sup>1</sup> have found no correlation between active or passive tobacco smoke and AR.<sup>962–965</sup> One study suggested that tobacco smoke may have a protective effect against the development of AR.<sup>966</sup> Similarly, pathophysiology studies examining this relationship have contradictory findings. It has been shown

that tobacco smoke negatively impacts the barrier function of the bronchial epithelium leading to increased allergen penetration.<sup>967</sup> A recent study in an AR mouse model showed that intranasal exposure to a tobacco smoke solution exacerbated the allergic response and increased eosinophil levels and IL-5 expression in the respiratory epithelium.<sup>968</sup> Conversely, nicotine has been shown to suppress type 2 responses to allergens, effectively acting as an immunosuppressant.<sup>969</sup>

Since the last ICAR-Allergic Rhinitis 2018,<sup>1</sup> two large meta-analyses have investigated the impact of tobacco smoke on AR.<sup>970,971</sup> Skaaby et al.<sup>970</sup> performed a Mendelian randomization meta-analysis of data from 22 studies in the Causal Analysis Research in Tobacco and Alcohol (CARTA) consortium and the UK Biobank. The smoking-increasing allele of rs1051730/rs16969968 was associated with a lower odds ratio of AR in current smokers. They saw similar results in their observational analysis; current smokers had a lower risk of hay fever than never smokers, and, accordingly, they saw an inverse dose-response relationship between smoking heaviness and hay fever. These results suggest that smoking may decrease the risk of AR. Zhou et al.<sup>971</sup> also systematically reviewed 16 studies in a meta-analysis of maternal tobacco smoke exposure during pregnancy and AR. This study found that maternal passive smoking during pregnancy but not maternal active smoking during pregnancy increases the risk of their offspring developing AR (Table VIII.B.4).

Recent birth cohort and prospective cohort studies have contributed to our understanding of tobacco's effect on AR development. A meta-analysis was performed on the Mechanisms of the Development of ALLergy consortium,<sup>972</sup> including five European birth cohort studies and 10,080 participants followed from pregnancy to 14–16 years of age. In this cohort, maternal smoking was not associated with a significant increase in rhinoconjunctivitis during childhood and adolescence. However, in children who developed AR, maternal smoking of 10 or more cigarettes per day during pregnancy was associated with persistent, rather than transient, rhinoconjunctivitis. Abramson et al.<sup>172</sup> performed an analysis of questionnaire and sIgE data from the Swiss Cohort Study on Air Pollution and Lung and Heart Diseases in Adults (SAPALDIA) to assess secondhand smoking's impact on AR risk. They found that while those with AR were significantly less likely to be current or former smokers, there were no significant associations between secondhand smoking and AR.

It is known that AR represents a risk factor for asthma onset or worsening. A cross-sectional study by Ciprandi et al.<sup>973</sup> reported a clustering analysis to identify the subset of patients with AR at a higher risk of asthma development. This subset of patients had characteristics that

**TABLE VIII. B.3** Evidence table – risk factors for development of allergic rhinitis: pollution

| Study                           | Year | LOE | Study design                                       | Study groups  | Clinical endpoints   | Conclusions  |
|---------------------------------|------|-----|--|---|--|--|
| Li et al. <sup>945 a</sup>      | 2022 | 3   | SRMA, cross-sectional, and cohort studies          | Exposure to air pollutants (PM <sub>2.5</sub> , PM <sub>10</sub> , NO <sub>2</sub> , SO <sub>2</sub> , O <sub>3</sub> , and CO) on the prevalence of AR across ages | Diagnosis of AR  | Air pollution positively associated with AR prevalence   |
| Lin et al. <sup>950b</sup>      | 2021 | 3   | SRMA, cross-sectional, and cohort studies          | Exposure to PM <sub>2.5</sub> and PM <sub>10</sub> :<br>High exposure<br>Low exposure   | Diagnosis of AR among children   | Particulate matter exposure may increase prevalence of childhood AR, with PM <sub>2.5</sub> having greater effect                              |
| To et al. <sup>948</sup>        | 2020 | 3   | Prospective cohort                                 | Exposure to oxidant air pollutants:<br>High exposure<br>Low exposure  | Diagnosis of AR, birth through adolescence   | Oxidant air pollutants, specifically O <sub>3</sub> and NO <sub>2</sub> , associated with an 8% increased risk of AR                           |
| Zou et al. <sup>949c</sup>      | 2018 | 3   | Meta-analysis, cross-sectional, and cohort studies | Exposure to NO <sub>2</sub> , SO <sub>2</sub> , PM <sub>10</sub> , or PM <sub>2.5</sub> :<br>High exposure<br>Low exposure  | Self-reported diagnosis of AR  | Air pollution (specifically NO <sub>2</sub> , SO <sub>2</sub> , PM <sub>10</sub> , and PM <sub>2.5</sub> ) increase the risk of AR in children |
| Teng et al. <sup>947</sup>      | 2017 | 3   | Time-series study                                  | Exposure to PM <sub>2.5</sub> and PM <sub>10</sub> , SO <sub>2</sub> , NO <sub>2</sub> , and O <sub>3</sub> :<br>High exposure<br>Low exposure                      | Diagnosis of AR from 2013 to 2015  | Significant association between levels of particulate pollutants and prevalence of AR  |
| Codispoti et al. <sup>943</sup> | 2015 | 3   | Prospective cohort                                 | High DEP exposure (≥66th percentile)<br>Low DEP exposure (<66th percentile)   | Development of AR from age 1 to 4  | DEP exposure at age 1 associated with allergen sensitization at ages 2 and 3, though not significantly   |
| Gehring et al. <sup>944</sup>   | 2015 | 3   | Prospective birth cohort                           | Exposure to NO <sub>2</sub> , PM <sub>2.5</sub> , and PM <sub>10</sub> :<br>High exposure<br>Low exposure   | Effect of air pollution on rhinoconjunctivitis in ages 4 to 14-16                    | Air pollution not associated with adverse effects on rhinoconjunctivitis   |
| Kim et al. <sup>942</sup>       | 2011 | 3   | Prospective pediatric cohort                       | Exposure to NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub> , CO, PM <sub>10</sub> :<br>Metropolitan cities<br>Industrial areas                                  | AR sensitization during 2-year timespan  | Exposure to ozone in industrial areas associated with AR   |
| Hao et al. <sup>951</sup>       | 2021 | 4   | Case-control                                       | Exposure to PM <sub>10</sub> and NO <sub>2</sub> in males with or without family stress:<br>High exposure<br>Low exposure   | Diagnosis or parent-reported symptoms of AR at age 2–4 years                         | Early exposure to PM <sub>10</sub> and NO <sub>2</sub> among young boys with family stress may increase risk of AR                             |
| Singh et al. <sup>939</sup>     | 2018 | 4   | Cross-sectional                                    | Frequent passage of trucks near home (almost all day)   | Prevalence and severity of AR and rhinoconjunctivitis in children ages 6–7 and 13–14 | Frequent passage of trucks near home associated with AR in both age groups   |

(Continues)



TABLE VIII.B.3 (Continued)

| Study                          | Year | LOE | Study design    | Study groups  | Clinical endpoints  | Conclusions   |
|--------------------------------|------|-----|-----------------|---|---|---|
| Chiang et al. <sup>938</sup>   | 2016 | 4   | Case-control    | Exposure to SO <sub>2</sub> :<br>High exposure<br>Low exposure  | AR diagnosis in children 11–14 years old  | Children exposed to higher levels of SO <sub>2</sub> had significantly higher incidence of AR   |
| Kim et al. <sup>782</sup>      | 2016 | 4   | Cross-sectional | Daily concentrations of SO <sub>2</sub> , NO <sub>2</sub> , O <sub>3</sub> , CO, and PM <sub>10</sub> :<br>High exposure<br>Low exposure  | Development of AR by age 6–7  | Exposure to CO within the first year of life associated with increased risk of AR   |
| Jung et al. <sup>940</sup>     | 2015 | 4   | Cross-sectional | Traffic-related air pollution exposure within 200 m home area:<br>Distance from main road (<75, 75–150, 150–225, or >225 m)<br>Length of main road (0, 1–165, 165–254, and >254 m)<br>Proportion of the main road area (0, 1–1.94, 1.94–3.58, and >3.58%) | Measurements of pulmonary functions and allergic sensitization in children 6–14 years old | Positive association between distance to and the length of main road with the prevalence of AR  |
| Shirinde et al. <sup>941</sup> | 2015 | 4   | Cross-sectional | Frequency of trucks passing near homes on weekdays (traffic-related air pollution):<br>Never<br>Seldom<br>Frequently through the day<br>Almost all day  | Self-reported AR in children 13–14 years old  | Frequency of trucks passing near residences almost all day on weekdays significantly associated with rhinitis                                   |
| Anderson et al. <sup>937</sup> | 2010 | 4   | Cross-sectional | Exposure to PM <sub>10</sub> :<br>High exposure<br>Low exposure   | Prevalence of rhinoconjunctivitis in age groups 6–7 and 13–14 years                       | Positive association between PM <sub>10</sub> and hay fever in the 6–7-year age group and rhinoconjunctivitis/atopy in the 13–14-year age group |

Abbreviations: AR, allergic rhinitis; DEP, diesel exhaust particles; LOE, level of evidence; PM, particulate matter; SRMA, systematic review and meta-analysis.

<sup>a</sup>The following individual studies from ICAR-Allergic Rhinitis 2018 are included in this SRMA: Kim et al.,<sup>942</sup> Chung et al.,<sup>958</sup> Deng et al.,<sup>894</sup> Liu et al.,<sup>959</sup> Wang et al.<sup>960</sup>

<sup>b</sup>The following individual studies from ICAR-Allergic Rhinitis 2018 are included in this SRMA: Chung et al.,<sup>958</sup> Deng et al.,<sup>894</sup> Liu et al.,<sup>959</sup> Kim et al.<sup>961</sup>

<sup>c</sup>The following individual studies from ICAR 2018 are included in this meta-analysis: Chung et al.,<sup>958</sup> Deng et al.,<sup>894</sup> Liu et al.,<sup>959</sup> Wang et al.,<sup>960</sup> Kim et al.<sup>961</sup>

included longer AR history and smoking, among others that also represent risk factors for evolving asthma. These results suggest that smoking may be a possible risk factor for asthma development in people with AR.

Another area of interest is electronic cigarettes and heated tobacco products and their impact on AR. In 2020, a survey study of Korean youth reported that current

smokers of conventional tobacco cigarettes had a higher risk of AR than those using heated tobacco products and electronic cigarettes. However, the use of heated tobacco products and electronic cigarettes among conventional tobacco smokers increases the apparent risk of AR and asthma.<sup>974</sup> Future research should focus on understanding the effects of these new products on a mechanistic level.

**TABLE VIII.B.4** Evidence table – risk factors for development of allergic rhinitis: tobacco smoke

| Study <sup>a</sup>             | Year | LOE | Study design                                  | Study groups   | Clinical endpoints   | Conclusions   |
|--------------------------------|------|-----|---|--|--|---|
| Zhou et al. <sup>971</sup>     | 2021 | 2   | SR, case-control, and cross-sectional studies | Active maternal smoking during pregnancy<br>Passive maternal smoking during pregnancy  | AR diagnosis in offspring  | Passive maternal smoking during pregnancy significantly associated with AR in offspring<br>Cross-sectional studies: active maternal smoking during pregnancy significantly associated with AR in offspring                  |
| Thacher et al. <sup>972</sup>  | 2018 | 2   | Meta-analysis, birth cohort studies           | Maternal smoking during pregnancy<br>Exposure to passive smoke during infancy  | Self-reported rhinoconjunctivitis in first 14–16 years of life   | Maternal smoking during pregnancy not associated with rhinoconjunctivitis<br>Maternal smoking of ≥10 cigarettes/day during pregnancy associated with children developing persistent rhinoconjunctivitis                     |
| Skaaby et al. <sup>970</sup>   | 2017 | 2   | Meta-analysis, population-based studies       | Never smokers<br>Former smokers<br>Current smokers<br>Ever smokers   | Association between smoking-associated SNPs and disease outcomes (hay fever, asthma, and allergic sensitization) | Current smokers had lower risk of hay fever and allergic sensitization than never smokers<br>Current smokers had lower risks of hay fever and allergic sensitization per smoking-increasing allele                          |
| Abramson et al. <sup>172</sup> | 2016 | 3   | Cross-sectional birth cohort                  | Active smoking<br>Non-smoker<br>Ex-smoker<br>Current smoker  | Self-reported AR and detectable sIgE   | No independent association between passive smoking and AR<br>Non-smoker and ex-smoker status associated with a greater risk of AR than current smoker   |
| Chung et al. <sup>974</sup>    | 2020 | 4   | Cross-sectional                               | Korean students aged 13–18 years classified on tobacco product user status:<br>Conventional cigarette<br>Electronic cigarette<br>Heated tobacco products | AR and asthma risk   | Heated tobacco product and electronic cigarette use in combination with tobacco smoking using conventional cigarette associated with an increased risk of AR and asthma compared to each individual type of tobacco smoking |
| Ciprandi et al. <sup>973</sup> | 2019 | 4   | Cross-sectional                               | Patients with AR   | Asthma risk  | Cluster including smoking, among other factors, is associated with asthma risk  |

Abbreviations: AR, allergic rhinitis; LOE, level of evidence; sIgE, allergen-specific IgE; SNP, single nucleotide polymorphism; SR, systematic review.

<sup>a</sup>Studies included in systematic reviews and meta-analyses are not listed separately in the evidence table.

In summary, there have been few large prospective cohort studies or systematic reviews examining the effect of tobacco smoke exposure on the development of AR since ICAR-Allergic Rhinitis 2018. The studies presented

herein predominantly found no correlation between active or passive tobacco smoke and AR. However, some studies suggest that tobacco may decrease AR risk, a finding that warrants further investigation.

### Risk factors – tobacco smoke

*Aggregate grade of evidence:* C (Level 2: 3 studies, level 3: 1 study, level 4: 2 studies; Table VIII.B.4)

## VIII.B.5 | Socioeconomic factors

SES describes the social standing of a group or individual and is determined by a combination of income, occupation, and education. The association of SES with AR was described as early as the 1800s.<sup>975</sup> The concept of SES and its correlation with AR is similar to the hygiene hypothesis, which theorizes that a potential reduction in an individual's microbial colonization can result in an increase in allergic disease (discussed below).<sup>976</sup> (See Section VIII.C.3. Hygiene Hypothesis for additional information on this topic.) As an example, Wee et al.<sup>977</sup> conducted a large cross-sectional study in over 60,000 school-aged children and found that higher SES was associated with both improved hand hygiene and increased odds of developing AR. The role of SES in the development of AR has additional, complex underpinnings, and likely accounts for variations in a multitude of factors, including housing conditions, air quality, water supply, education, and access to care, to name a few (Table VIII.B.5).

The ISAAC studies are among the largest multi-institutional studies evaluating prevalence of AR in children across the globe. Phase 1 and 3 ISAAC studies examined prevalence patterns of AR in ~1.2 million children in 98 countries.<sup>756–759</sup> Like most studies of AR prevalence, these studies were open, survey-based cross-sectional studies. A post-hoc analysis of the ISAAC Phase 1 and 3 study data found a positive correlation between a country's gross national income per capita and national prevalence of AR. However, while statistically significant, the correlation was weak ( $r = 0.328$  for 6–7 years,  $0.206$  for 13–14 years).<sup>758</sup>

Chen et al.<sup>978</sup> performed a large survey-based cross-sectional study in 173,859 adults participating in a Kaiser Permanente multiphasic health check-up from 1964 and 1972. Their study used educational level as a marker for SES and found that post-graduate education was associated with increased odds of hay fever. A subsequent study by Li et al.<sup>979</sup> conducted in 23,971 children aged 6–13 years old in eight metropolitan cities in China found that both parental education and household income per capita predicted a higher prevalence of allergic disease. Hammer-Helmich et al.<sup>980</sup> performed a cross-sectional, survey-based study of SES and its association with hay fever in 9720 participants aged 3, 6, 11, and 15 years in Denmark. They found parental education level was a socioeconomic factor associated with

increased risk of hay fever (OR 1.68). Income showed no association.

Studies of SES and its impact on risk of AR highlight the role that study participant education may play on the reporting of AR symptoms, or its diagnosis. This is illustrated by a study performed by Mercer et al.,<sup>981</sup> who evaluated 4947 children aged 13–14 in South Africa and found that residents living in low SES, but attending high SES schools, showed significantly higher prevalence of rhinitis symptoms than children in low SES schools. This suggests that education and access to medical care may affect differences in reporting in survey-based, cross-sectional studies.

Not all studies have demonstrated a positive relationship of AR with higher SES. A cross-sectional study performed in Bolu, Turkey including 1403 subjects observed that poor living conditions and income was associated with a greater risk of self-reported AR.<sup>982</sup> Similarly, Lewis et al.<sup>983</sup> examined allergen sensitization patterns in 458 adult women and found that lower SES was associated with increases in tIgE, number of allergen sensitizations, and sIgE levels. In a separate prospective cohort study performed in 4089 families in Sweden, Almqvist et al.<sup>984</sup> found increased SES (using parent occupation as a measure of SES) to be associated with lower risk of AR at age 4. Similarly, a prospective cohort performed by Grabenhenrich et al.<sup>848</sup> among 941 children up to age 20 in Germany showed no association between SES and AR development. And finally, using IgE-based sensitivity testing (in addition to symptom-based testing), Ahn et al.<sup>784</sup> found that only high income (and not education or occupation) was associated with symptom-based AR, but not IgE-based AR.

Thus, while most of the available evidence indicates that higher SES is associated with increased risk of AR, the data is not uniform. SES is related to a myriad of factors, many of which play an important role in the development of AR.

### Risk factors – socioeconomic factors

*Aggregate grade of evidence:* C (Level 2: 7 studies, level 3: 9 studies, level 4: 1 study; Table VIII.B.5)

## VIII.C | Protective factors

### VIII.C.1 | Breastfeeding

Breastfeeding is considered to have several benefits for mothers and infants. WHO guidelines recommend breastfeeding for 6 months and European Academy of Allergy and Clinical Immunology (EAACI) guidelines

**TABLE VIII.B.5** Evidence table – risk factors for development of allergic rhinitis: socioeconomic factors

| Study                                | Year | LOE | Study design       | Study groups  | Clinical endpoints                                     | Conclusions  |
|--------------------------------------|------|-----|--------------------|---|--|--|
| Wee et al. <sup>977</sup>            | 2020 | 2   | Cross-sectional    | Children ( <i>n</i> = 60,392), South Korea                | Prevalence of AR                                       | Wealth and education associated with greater hand hygiene and greater odds of AR                               |
| Ahn et al. <sup>784</sup>            | 2016 | 2   | Cross-sectional    | Children and adults ( <i>n</i> = 35,511), South Korea     | Symptom- and IgE-based AR                              | Higher income associated with symptom-based AR but not IgE-based AR  |
| Lee et al. <sup>985</sup>            | 2016 | 2   | Cross-sectional    | Children ( <i>n</i> = 75,643), South Korea                | Prevalence of AR                                       | Greater affluence and education increased risk of AR   |
| Li et al. <sup>979</sup>             | 2011 | 2   | Cross-sectional    | Children ( <i>n</i> = 23,791), China                      | Prevalence of AR                                       | Parental education, income predicts increased AR prevalence  |
| Braback et al. <sup>986</sup>        | 2005 | 2   | Cross-sectional    | Young adults ( <i>n</i> = 1,239,705)                      | Prevalence of AR                                       | Decreased association between low SES and AR with time   |
| Mercer et al. <sup>981</sup>         | 2004 | 2   | Cross-sectional    | Children ( <i>n</i> = 4947)                               | Prevalence of AR symptoms                              | Education associated with AR   |
| Chen et al. <sup>978</sup>           | 2002 | 2   | Cross-sectional    | Adults ( <i>n</i> = 173,859), Northern California, US     | Age-adjusted prevalence of AR                          | Post-graduate education positively associated with hay fever in adult men and women                            |
| Penaranda et al. <sup>987</sup>      | 2016 | 3   | Cross-sectional    | Children ( <i>n</i> = 1576) and adults ( <i>n</i> = 3153) | Prevalence of AR                                       | Children, adolescents, and adults from higher SES had increased odds of reporting AR symptoms                  |
| Grabenherrich et al. <sup>848</sup>  | 2015 | 3   | Prospective cohort | Children ( <i>n</i> = 941), Germany                       | Prevalence of AR                                       | Parental income and education had no association with AR development   |
| Hammer-Helmich et al. <sup>980</sup> | 2014 | 3   | Cross-sectional    | Children ( <i>n</i> = 9720), Denmark                      | Prevalence of hay fever symptoms at 3, 6, 11, 15 years | Children born to parents of low education had greater odds of developing hay fever; no association with income |
| Mallol et al. <sup>758</sup>         | 2013 | 3   | Cross-sectional    | Children (approximately 1.2 million), global              | Prevalence of AR symptoms                              | Country affluence showed positive correlation with AR symptoms   |
| Almqvist et al. <sup>984</sup>       | 2005 | 3   | Prospective cohort | Children ( <i>n</i> = 4089 families), Sweden              | Prevalence of AR at 4 years                            | Higher SES decreases risk of AR  |
| Lewis et al. <sup>983</sup>          | 2001 | 3   | Cross-sectional    | Adults ( <i>n</i> = 458), North America                   | Prevalence of allergen sensitivities                   | Sensitivity is associated with lower income and education level  |

(Continues)

TABLE VIII.B.5 (Continued)

| Study                            | Year | LOE | Study design       | Study groups                                    | Clinical endpoints                                | Conclusions  |
|----------------------------------|------|-----|--------------------|---|---|--|
| Bergmann et al. <sup>988</sup>   | 2000 | 3   | Prospective cohort | Children and adults ( <i>n</i> = 1314 families) | Prevalence of AR symptoms and sensitivity testing | Higher SES (as measured by family education, occupation, and income level) is associated with AR in adults, but not their children |
| Lewis and Britton <sup>989</sup> | 1998 | 3   | Prospective cohort | Children ( <i>n</i> = 6000), British Isles      | Prevalence of AR symptoms                         | Social advantage independently predicts risk of AR   |
| Goh et al. <sup>990</sup>        | 1996 | 3   | Cross-sectional    | Children ( <i>n</i> = 6238), Singapore          | Prevalence of AR                                  | Higher SES associated with better housing and higher household income  |
| Talay et al. <sup>982</sup>      | 2014 | 4   | Cross-sectional    | Adults ( <i>n</i> = 1403), Turkey               | Prevalence of AR symptoms                         | Poor living conditions and low income were associated with increased odds of current AR  |

Abbreviations: AR, allergic rhinitis; IgE, immunoglobulin E; LOE, level of evidence; SES, socioeconomic status; US, United States.

advise exclusive breastfeeding for 4–6 months.<sup>991,992</sup> ICAR-Allergic Rhinitis 2018 also documented that breastfeeding has been strongly recommended due to its multiple benefits in general; the policy level was “option” for the specific purpose of AR prevention.<sup>1</sup> Several mechanisms have been suggested to explain how breastfeeding might prevent allergic disease. Breast milk contains immunomodulatory factors that stimulate host defense mechanisms and immune response.<sup>993,994</sup> Although the association of breastfeeding with the development of allergic disease has been investigated in many studies, there is no consensus on whether breastfeeding is effective in preventing AR.

A recent SRMA revealed that exclusive or non-exclusive breastfeeding for 6 or more months may have protective effects on the development of AR up to 18 years of age.<sup>995</sup> A 2019 systematic review that included one cluster RCT and five prospective cohort studies examined the relationship between shorter versus longer durations of any human milk feeding (whether or not it was fed at the breast) and AR in childhood.<sup>996</sup> The only statistically significant association was found by Codispoti et al.,<sup>997</sup> noting that longer duration of breastfeeding was associated with a lower risk of AR in 3-year-old African Americans (odds ratio [OR] 0.8; 95% CI 0.6–0.9). The authors stated that published data are insufficient to determine whether the duration of any human milk feeding was associated with AR<sup>996</sup> (Table VIII.C.1).

The results from a questionnaire-based cross-sectional study of 4–6-year-old Shanghai children suggested that

exclusive breastfeeding for greater than 6 months reduced the risk of hay fever (OR 0.93; 95% CI 0.89–0.97) and rhinitis (OR 0.97; 95% CI 0.94–0.99) compared to those who were never breastfed.<sup>998</sup> Food Allergy and Intolerance Research (FAIR) birth cohort in the Isle of Wight, UK, also showed exclusive breastfeeding for greater than 4 months reduced the risk of rhinitis (OR 0.36; 95% CI 0.18–0.71) from birth up to 10 years of age.<sup>991</sup> A recent cohort study of children with AR compared to non-allergic rhinitis in Korea showed that breastfeeding for 12 or more months had a significantly lower prevalence of AR compared with breastfeeding for less than 6 months, and the association was still valid, accounting for age, sex, mode of delivery, number of siblings, parental atopy history, and living area (OR 0.54; 95% CI 0.34–0.88).<sup>999</sup> However, in one study using a large population-based cohort (336,364 participants) from the UK, researchers found that breastfeeding increased the risk of hay fever when adjusted for body mass index, birth weight, SES, home area, and year of birth (OR 1.11; 95% CI 1.06–1.16).<sup>1000</sup>

These inconsistencies in studies, which are mainly observational surveys, can possibly be influenced by demographic, socioeconomic, educational, ethnic, cultural, psychological status, and study design.<sup>999,1001,1002</sup> In addition, since it is difficult to distinguish between AR and viral respiratory infection at a young age, the protective effect of breastfeeding against viral infection has possibly been confused as a protective effect on AR.<sup>1003</sup> Furthermore, differences in methodological factors such



**TABLE VIII.C.1** Evidence table – protective factors against development of allergic rhinitis: breastfeeding

| Study <sup>a</sup>            | Year | LOE | Study design             | Study groups   | Clinical endpoints  | Conclusions  |
|-------------------------------|------|-----|--------------------------|--|---|--|
| Hoang et al. <sup>995</sup>   | 2022 | 2   | SRMA                     | 23 observational studies: 161,611 children aged 2–18 years   | Association between prolonged breastfeeding and AR symptoms later in life                                     | Prolonged breastfeeding (at least 6 months) provides protection against AR   |
| Gungor et al. <sup>996</sup>  | 2019 | 2   | Systematic review        | One cluster RCT and 5 prospective cohort studies: children aged 3–9 years, varied by study                                 | Association of AR with duration of any human milk in childhood  | Limited evidence does not suggest associations between the duration of any human milk feeding and AR in childhood  |
| Ekelund et al. <sup>926</sup> | 2021 | 3   | Prospective cohort       | PACT study: 6802 children at 2 and 6 years of age  | Association between breastfeeding duration and AR   | Longer breastfeeding (≥6 months) associated with a reduced risk of AR up to 6 years  |
| Han et al. <sup>999</sup>     | 2019 | 3   | Prospective cohort       | ARCO-kids study: 1374 children aged 4–12 years   | Association between breastfeeding duration and development of AR in childhood                                 | Long-term breastfeeding (≥12 months) associated with lower risk of developing childhood AR   |
| Ek et al. <sup>1000</sup>     | 2018 | 3   | Population-based cohort  | 336,364 Caucasian participants aged 37–73 years  | Association between breastfeeding and risk of hay fever   | Breastfeeding associated with increased risk for hay fever   |
| Bion et al. <sup>991</sup>    | 2016 | 3   | Prospective birth cohort | IoW cohort: 1456 subjects at the ages of 1 or 2, 4, 10, and 18<br>FAIR cohort: 988 subjects at the ages of 1, 2, 3, and 10 | Effects of breastfeeding on long-term outcome for rhinitis  | Protective effect of breastfeeding on long-term allergic outcomes is inconsistent, but exclusive breastfeeding for >4 months protects against repeated rhinitis in the FAIR cohort |
| Huang et al. <sup>998</sup>   | 2017 | 4   | Cross-sectional          | CCHH study: 13,335 children aged 4–6 years in China  | Association between breastfeeding durations and prevalence of hay fever and rhinitis among preschool children | Children exclusively breastfed >6 months had reduced risk of hay fever and rhinitis  |

Abbreviations: AR, allergic rhinitis; ARCO, Allergic Rhinitis Cohort; CCHH, China, Children, Homes, Health; FAIR, Food Allergy and Intolerance Research; IoW, Isle of Wight; LOE, level of evidence; PACT, Prevention of Allergy among Children in Trondheim; RCT, randomized controlled trial; SRMA, systematic review and meta-analysis.

<sup>a</sup>The systematic reviews in this table are appropriately inclusive of previously published studies on this topic.

as duration of breastfeeding, any or exclusive breastfeeding, diagnostic criteria of AR, comorbid allergic disease, and the follow-up period may account for discrepancies in assessing the association between breastfeeding and AR.

Overall, considering the literature review on the association between breastfeeding and AR, breastfeeding should be recommended due to various positive effects on general health and possible protective effects on AR.

### Protective factors – breastfeeding

Aggregate grade of evidence: C (Level 2: 2 studies, level 3: 4 studies, level 4: 1 study; Table VIII.C.1)

Benefit: Benefits on general health of infant and possible protection against AR, especially in young children.

Harm: None.

**Cost:** Low.

**Benefits-harm assessment:** Slight preponderance of benefit over harm for protection against AR. Large preponderance of benefit over harm for breastfeeding for all infants, unless there is a contraindication. The benefit of breastfeeding for all infants inextricably influences this recommendation.

**Value judgments:** Evidence suggests that breastfeeding may reduce the risk of AR without harm.

**Policy level:** Recommendation for breastfeeding due to various positive effects on general health and possible protective effects on AR.

**Intervention:** Breastfeeding for at least 4–6 months should be encouraged unless contraindicated.

for avoidance behavior.<sup>1009</sup> However, these results should be interpreted with caution because of ethnic differences, family inheritance, and other environmental risk factors that may confound of the association between pet keeping and AR. Although the exact mechanism of the effects of pet exposure on allergic disease remains unclear, it has been suggested that environmental exposure may increase or decrease the risk of AR according to the stage of immune system development.<sup>852,1010–1012</sup>

Overall, the causal relationship between pet exposure in childhood and the protective effect of AR is inconsistent; thus, no strong advice can be provided regarding childhood exposure to pets. Nevertheless, pet exposure at birth or in the first year of life may reduce the risk of AR.

## VIII.C.2 | Childhood exposure to pets

Pet-keeping families are concerned about the effects of pets on their children with regard to allergic diseases; however, the recommendations of guidelines for AR in relation to childhood pet exposure remain conflicting.<sup>1,1004,1005</sup> ICAR-Allergic Rhinitis 2018 stated that early pet exposure may reduce the development of AR and its protective effect is stronger in non-allergic families with dog exposure.<sup>1</sup>

A recent SRMA investigating the association between pet exposure and the risk of AR revealed the protective effect of early cat exposure (RR 0.60; 95% CI 0.33–0.86) or dog exposure (RR 0.68; 95% CI 0.44–0.90) on the development of AR.<sup>852</sup> Furthermore, early cat ownership in the first 2 years of life has been associated with a significantly lower risk of AR compared to non-ownership (OR 0.51; 95% CI 0.28–0.92)<sup>860</sup> (Table VIII.C.2).

A prospective birth cohort study in Finland revealed that having a dog in the house in the first year of life seemed to protect against AR (OR 0.72; 95% CI 0.53–0.97) by the age of 5 years compared to those without.<sup>853</sup> Additional studies support the finding that exposure to pets during childhood reduces the risk of AR.<sup>1006,1007</sup> Nevertheless, these studies did not make a firm conclusion about the protective effect of pet exposure on the development of AR. Heterogeneous factors such as the timing of exposure, duration of exposure, animal species, dose of exposure (number of household pets, environmental exposure vs. ownership), and avoidance behavior may be the reason.<sup>852,1008</sup>

Furthermore, some studies have shown conflicting results. A cross-sectional survey conducted in first graders (6–8 years old) in Taiwan demonstrated that having a cat in the first year of life was associated with an increased risk of AR.<sup>856</sup> In addition, one study in Chinese children aged 0–8 years old showed a negative effect of pet keeping (aOR 3.60; 95% CI 2.07–6.27) for AR after adjustment

### Protective factors – childhood exposure to pets

**Aggregate grade of evidence:** C (Level 2: 1 study, level 3: 2 studies, level 4: 2 studies; Table VIII.C.2)

**Benefit:** Exposure to pets at birth and in the first year of life has potential benefits of decreasing risk of AR.

**Harm:** Pet keeping in childhood could have a negative effect, especially in Asians.

**Cost:** Various.

**Benefits-harm assessment:** Difficulty distinguishing between benefits and harm.

**Value judgment:** There is conflicting evidence that childhood pet exposure prevents the development of AR.

**Policy level:** Option.

**Intervention:** Recommendation to expose or avoid pets for the prevention of AR in children cannot be provided based on current evidence.

## VIII.C.3 | Hygiene hypothesis

The *hygiene hypothesis* originated from the observation that frequent and recurrent infections in early childhood appear to protect against the development of AR later in life.<sup>1013</sup> Over time, the *hygiene hypothesis* evolved to the *biodiversity hypothesis*, which expands the scope from the protective effect of infection from single microbes to the protective effect of microbial variety during development.<sup>1014</sup> The *microbiota hypothesis* was later proposed to confine the causative microbes specifically to those living in or on the human body and their impact on our immune system.<sup>691,699</sup>

**TABLE VIII. C. 2** Evidence table – protective factors against development of allergic rhinitis: childhood exposure to pets

| Study <sup>a</sup>              | Year | LOE | Study design                              | Study groups  | Clinical endpoints   | Conclusions  |
|---------------------------------|------|-----|---|---|--|--|
| Dharmage et al. <sup>1012</sup> | 2012 | 2   | Systematic review                         | 19 studies: 9 longitudinal, 8 cross-sectional, 8 case-control studies | Association between cat exposure and AR  | Inconsistent association<br>Cat exposure during the first year may be protective against AR or sensitization       |
| Gao et al. <sup>852</sup>       | 2020 | 3   | SRMA                                      | 6 studies reported rhinitis: 1 case-control, 5 cohort studies         | Association between exposure to cats or dogs and AR  | Potential protective effect of exposure to cats and dogs, especially early cat ownership, on the development of AR |
| Ojwang et al. <sup>853</sup>    | 2020 | 3   | Prospective population-based birth cohort | Finnish DIPP study  | Association between exposure to indoor pets and farm animals during infancy and the risk of allergy by age 5 | Having a dog in the house in the first year of life associated with reduced risk of developing AR by age 5 years   |
| Ho and Wu <sup>856</sup>        | 2021 | 4   | Cross-sectional                           | 23,630 Taiwanese children aged 6–8 years                              | Association of AR with cat or dog keeping during the first year of life or in the past 12 months             | Having a cat in the first year of life may increase the risk of rhinitis   |
| Luo et al. <sup>1009</sup>      | 2018 | 4   | Cross-sectional                           | 7366 Chinese children aged 0–8 years                                  | Relationship between pet keeping in childhood and allergy  | Negative effect of pet keeping on diagnosed rhinitis after adjustment for avoidance behavior                       |

Abbreviations: AR, allergic rhinitis; DIPP, Type I Diabetes Prediction and Prevention; LOE, level of evidence; SRMA, systematic review and meta-analysis.

<sup>a</sup>The systematic reviews in this table are appropriately inclusive of previously published studies on this topic.

An SRMA was conducted to determine the effect of the number of siblings on AR development; this analysis assessed 53 studies with 300,062 participants.<sup>1015</sup> They saw a strong inverse association between many siblings (three or more) and the development of AR. Similarly, a large international cohort study based on questionnaire data for children aged 6–7 and 13–14 years also saw an inverse association between the number of siblings and AR but only in affluent countries<sup>1016</sup> (Table VIII.C.3).

It has also been observed in several studies that exposure to early-life farming may protect against childhood allergic diseases particularly, exposure to farm animals and stables.<sup>1017–1027</sup> In a recent meta-analysis by Campbell et al.,<sup>1017</sup> the risk of sensitization measured by sIgE or SPT in childhood or adulthood was 40% lower among children who had lived on a farm during the first year of life. Further, a 2017 US case-control study showed farm exposure in utero provides even greater protection against sensitization in adulthood.<sup>1018</sup> While an isolated exposure to bacterial endotoxin was claimed to have a

similar protective effect, the results thus far have been inconclusive.<sup>1028,1029</sup>

Increased diversity in the gut and skin microbiome has been associated with a protective effect on atopy.<sup>686,689,691,694,1030–1032</sup> Recently, three large cohort studies have reported that reduced bacterial diversity in the infant's intestinal flora within the first 6 years of life predisposes them to a higher risk of developing AR.<sup>687,691,1033</sup> Notwithstanding this, a meta-analysis of 29 trials did not find supplementation of probiotics to pregnant mothers or infants beneficial in preventing atopy.<sup>1034</sup> A publicly available American Gut Project questionnaire and database was used in a study to determine the fecal microbiota richness and composition in adults with AR.<sup>694</sup> They found an imbalance (dysbiosis) of gut flora with higher *Bacteriodes* and reduced *Clostridia* taxa in this population. In addition, the role of *Helicobacter pylori* has been investigated, with inconsistent findings.<sup>1035–1037</sup> Interestingly, in a meta-analysis of 21 studies assessing the association between *H. pylori* infection and allergic dis-

**TABLE VIII. C.3** Evidence table – protective factors against the development of allergic rhinitis: hygiene hypothesis

| Study                                | Year | LOE | Study design        | Study groups   | Clinical endpoints  | Conclusions   |
|--------------------------------------|------|-----|---------------------|--|---|---|
| Campbell et al. <sup>1017</sup>      | 2015 | 1   | SRMA                | 29 studies:<br>26 cross-sectional, 3 longitudinal<br>Meta-analysis of 8 studies  | Association of farm exposure with sensitization in childhood or adulthood   | Protective effect of farm exposure in infancy on allergic disease outcomes in childhood and adulthood in majority of the studies<br>Exposure during adulthood had no consistent relationship with sensitization   |
| Cuello-Garcia et al. <sup>1034</sup> | 2015 | 1   | SRMA                | 29 RCTs in infants   | Association of AR with probiotic supplementation to pregnant mothers, breastfeeding women, or infants   | No effect on allergies  |
| Lionetti et al. <sup>1037</sup>      | 2014 | 1   | SRMA                | 21 studies: 11 case-control, 10 cross-sectional  | Relationship between <i>H. pylori</i> and atopy/allergic diseases   | Some evidence of inverse association between atopy/allergic diseases and <i>H. pylori</i> infection<br>Inconsistent pooled results from case-control and cross-sectional studies require further investigation  |
| Karmaus and Botezan <sup>1015</sup>  | 2002 | 1   | SRMA                | 53 studies:<br>Hay fever, 17 studies, <i>n</i> = 253,304<br>Sensitization, 16 studies, <i>n</i> = 46,758   | Association of sensitization and AR with three or more siblings versus no siblings  | Higher number of siblings was associated with less atopy<br>Effect was not explained by hygiene factors   |
| House et al. <sup>1018</sup>         | 2017 | 3   | Nested case-control | Farmers and spouses:<br>Cases: asthma, <i>n</i> = 1198<br>Controls: no asthma, <i>n</i> = 2031   | Association of sensitization, rhinitis, eczema, and asthma with living on a farm when born and with being exposed to farm environment when mother was performing farm activities during pregnancy | Early-life farm exposure associated with less atopy<br>No association with asthma   |
| Ruokolainen et al. <sup>1038</sup>   | 2017 | 3   | Cross-sectional     | Follow-up of earlier cross-sectional study, 98 children in Finnish and 82 children in Russian Karelia<br>Additional samples from 88 children in Russia | Difference of nasal and skin microbiota composition and diversity between Finnish and Russian young people<br>Association of sensitization with microbiota  | Lower prevalence of allergic diseases and sensitization remained throughout 10 years follow-up<br>Higher abundance and microbial diversity in Russia may explain the difference<br><i>Acinetobacter lwoffii</i> oligotype profile differed in Finnish sensitized subjects<br>Causal relationship not proven |

(Continues)

TABLE VIII.C.3 (Continued)

| Study                           | Year | LOE | Study design               | Study groups   | Clinical endpoints   | Conclusions  |
|---------------------------------|------|-----|----------------------------|--|--|--|
| Fujimura et al. <sup>689</sup>  | 2016 | 3   | Prospective cohort         | 298 children followed until age 4 years  | Association of sensitization and asthma at age 2 years with fecal microbiota in neonates targeted at age 1 month ( $n = 130$ ) or 6 months ( $n = 168$ ) | Suggests that reduced colonization of <i>Bifidobacteria</i> , <i>Lactobacillus</i> , <i>Faecalibacterium</i> , <i>Akkermansia</i> , and <i>Malaznesia</i> during the neonatal period may influence the risk of multi-sensitization predictive for asthma |
| Hua et al. <sup>694</sup>       | 2016 | 3   | Cross-sectional            | 1879 adult subjects  | Association of seasonal allergy with fecal microbial biodiversity  | Reduced fecal biodiversity and altered composition associated with increased allergy<br>No association with asthma and eczema  |
| Arrieta et al. <sup>1030</sup>  | 2015 | 3   | Nested case-control        | 319 children followed from birth until 5 years of age  | Association of sensitization and wheezing at 1 year with fecal microbiota at age 3 months and 1 year   | Suggests that reduced colonization of <i>Faecalibacterium</i> , <i>Lachnospira</i> , <i>Veillonella</i> , and <i>Rothia</i> during the first 3 months of life may increase the risk of atopic asthma   |
| Strachan et al. <sup>1016</sup> | 2015 | 3   | Cross-sectional            | Children aged 6–7 years in 31 countries ( $n = 210,200$ ), and 13–14 years in 52 countries ( $n = 337,226$ ) | Association of hay fever with three or more siblings versus no siblings  | Protective effect of older and total number of siblings on self-reported allergic rhinitis<br>Effect significantly stronger in affluent countries  |
| Valkonen et al. <sup>1039</sup> | 2015 | 3   | Stratified cross-sectional | GABRIELA-study, 224 children aged 6–12 years   | Association of sensitization with mattress bacterial diversity   | Exposure to more diverse bacterial flora associated with less sensitization  |
| Holster et al. <sup>1035</sup>  | 2012 | 3   | Prospective cohort         | 545 Dutch children   | Association between <i>H. pylori</i> and AR  | No association between <i>H. pylori</i> and AR   |
| Bisgaard et al. <sup>691</sup>  | 2011 | 3   | Prospective cohort         | 253 high asthma risk children followed from birth to age 7 years   | Association of sensitization and AR with high fecal microbial biodiversity   | Reduced bacterial diversity associated with higher risk of sensitization and AR in childhood   |
| Ege et al. <sup>1040</sup>      | 2011 | 3   | Cross-sectional            | PARSIFAL study: 489 rural and suburban children<br>GABRIELA study: 444 rural children                        | Association of sensitization with microbes in mattress (PARSIFAL) and in airborne dust (GABRIELA)  | Farm children had less asthma and atopy<br>Indoor microbial exposure much higher and diverse in farm homes<br>Microbial diversity related to asthma but not to atopy   |
| Tischer et al. <sup>1029</sup>  | 2011 | 3   | Nested case-control        | 678 children at the age 6 years from German ( $n = 346$ ) and Dutch ( $n = 332$ ) birth cohorts              | Association of rhinitis and asthma with mattress dust biological components of mold and endotoxin  | Inconsistent results<br>Microbial exposures at home had different effects on allergy in German and Dutch birth cohorts   |

(Continues)



TABLE VIII.C.3 (Continued)

| Study                                | Year | LOE | Study design       | Study groups   | Clinical endpoints   | Conclusions   |
|--------------------------------------|------|-----|--------------------|--|--|---|
| von Hertzen et al. <sup>1041</sup>   | 2007 | 3   | Cross-sectional    | 563 children aged 7–16 years in Finnish and Russian Karelia                                      | Association of sensitization with microbial content in drinking water samples from school kitchens | Microbial count much higher and sensitization much lower in Russia<br>High count of microbes associated with less atopy   |
| Akiner et al. <sup>1036</sup>        | 2020 | 4   | Cross-sectional    | 274 children and adults  | Association between <i>H. pylori</i> infection and allergy   | Positive correlation between <i>H. pylori</i> infection and AR  |
| Abrahamsson et al. <sup>686</sup>    | 2014 | 4   | Case-control       | 47 infants (20 with IgE-associated eczema and 27 healthy controls) followed until 7 years of age | Association of sensitization, asthma, and AR with fecal diversity in infancy                       | Low microbial diversity associated with asthma later in childhood<br>No association with sensitization or rhinitis  |
| Sjogren et al. <sup>687</sup>        | 2009 | 4   | Prospective cohort | 47 Swedish infants followed up to 5 years of age   | Protective effect of early infancy gut microbiota against development of AR                        | Diverse gut microbiota early in life might prevent allergy development  |
| Simpson and Martinez <sup>1028</sup> | 2010 | 5   | Narrative review   | 6 rural studies, 10 urban studies  | Association of sensitization with exposure to endotoxin  | Exposure to endotoxin protective in over 50% of the studies<br>Other farming-associated factors related to reduced risk to sensitization independently<br>Endotoxin may be marker of other protective factors |
| Stsepetova et al. <sup>1033</sup>    | 2007 | 5   | Cross-sectional    | 40 Estonian children   | Composition of intestinal microbiota in allergic and non-allergic children                         | Less diverse gut microbiota associated with allergic children   |

Abbreviations: AR, allergic rhinitis; GABRIELA, Multidisciplinary Study to Identify the Genetic and Environmental Causes of Asthma in the European Community Advanced Study; IgE, immunoglobulin E; LOE, level of evidence; PARSIFAL, Prevention of Allergy-Risk Factors for Sensitization in Children Related to Farming and Anthroposophic Lifestyle; RCT, randomized controlled trial; SRMA, systematic review and meta-analysis.

eases, a significant inverse association was found between *H. pylori* infection with atopy from the case-control studies while an association was seen between allergic disease and *H. pylori* infection from the cross-sectional studies.<sup>1037</sup>

Lower biodiversity on the skin and in the home living environment is associated with an increased risk of atopy.<sup>1031</sup> Ruokolainen et al.<sup>1038</sup> performed a comparative study of the microbiota of skin and nose in randomly selected school children from urban and rural areas. They saw that rural school children had increased microbial diversity on their skin and in their noses and this was associated with lower allergy prevalence compared urban school children.

In summary, there is some evidence of the protective effect of the hygiene hypothesis on AR from epidemiological studies but more studies that evaluate causality are needed. (See Section VI.J. Microbiome and Section XI.B.9. Probiotics for additional information on this topic.)

### Protective factors – hygiene hypothesis

Aggregate grade of evidence: B (Level 1: 4 studies, level 3: 12 studies, level 4: 3 studies, level 5: 2 studies; Table VIII.C.3)

## IX | ALLERGIC RHINITIS DISEASE BURDEN

### IX.A | Individual burden

#### IX.A.1 | Quality of life

High quality evidence evaluating the impact of AR on QOL continues to show AR patients suffer from decreased general and disease-specific QOL due to impacts on physical and mental health.<sup>1042–1047</sup> These studies also show that treatment of AR with INCS, oral antihistamines, and AIT leads to improved QOL. Validation of QOL metrics in AR continues. There has been a trend toward use of disease specific QOL metrics, especially the RQLQ.<sup>1048</sup> As this has become more accepted, the use of general health related QOL metrics such as Short Form 12 and 36 (SF-12/36) has decreased.<sup>1049,1050</sup> A measure of QOL used in CRS, the SNOT-22, has now been studied in AR.<sup>1051</sup> This study showed SNOT-22 was able to assess QOL and response to treatment in AR. Olfaction, an objective measure of QOL also typically used in CRS, has also been studied in AR recently. Olfactory dysfunction was identified in 44% of patients with AR.<sup>1052</sup> The use of SNOT-22 and objective measures of olfaction could simplify implementation of QOL monitoring for both diseases from a clinical standpoint (Table IX.A.1).

Despite the availability of disease specific QOL instruments, many studies continue to rely on unvalidated methods to assess QOL. This leads to difficulty comparing outcomes between some studies. A recent SRMA evaluated the outcomes of medical therapy with INCS, oral antihistamines, or AIT for AR. Treatment with oral antihistamines and AIT had a statistically significant impact on QOL. Despite near universal acceptance of INCS for the treatment of AR, meta-analysis of the impact of INCS on QOL could not be performed due to a lack of available data.<sup>1043</sup> There are numerous individual RCTs evaluating the effect of INCS,<sup>1053</sup> oral antihistamines,<sup>1054–1057</sup> and AIT.<sup>1058–1061</sup> The overarching findings in these individual RCTs is that these treatments improve QOL.

While numerous studies exist comparing changes in symptoms with treatment for AR,<sup>1062</sup> direct, head-to-head comparisons of changes in QOL with different treatments for AR are lacking. There is only one study comparing the impact of monotherapy with INCS (mometasone) to combination therapy with INCS and oral antihistamine (mometasone + levocetirizine) or INCS and

leukotriene D4 receptor antagonist (mometasone + montelukast) on QOL as measured with the 14-question mini-RQLQ. This study found that polytherapy with mometasone and levocetirizine or montelukast improved QOL more than mometasone alone; no difference was seen between montelukast or levocetirizine when added to mometasone.<sup>1063</sup>

New evidence evaluating the impact of AR on QOL in children and in the parents of children with AR is emerging. As expected, these studies show impacts on QOL in this population. More surprisingly, they show impacts on parental QOL as well.<sup>1064–1067</sup> In one study, parents overestimate their children's QOL.<sup>1068</sup> This focus on assessing QOL in children and adolescents with AR was built on prior work measuring general QOL in children with instruments such as KINDL.<sup>1069</sup> Disease-specific instruments (Pediatric Rhinoconjunctivitis Quality of Life Questionnaire [PRQLQ] and RhinAsthma Patient Perspective [RAPP]-children) have now been developed to measure the impact of AR on QOL in pediatric and adolescent populations.<sup>1064,1070</sup> In children and adolescents with persistent AR, those with nasal obstruction secondary to septal deviation or turbinate hypertrophy have the worst QOL.<sup>1067</sup> Nasal endoscopy should be considered in patients in this population not responding to therapy to ensure nasal obstruction is not contributing.

Variations in QOL in AR patients have not been prospectively studied over time. Most studies are either cross-sectional or have short follow-up periods with few time points at which QOL is assessed. Control groups from RCTs and meta-analyses of RCTs can provide insight into long-term variation in QOL in AR, however. Two RCTs have studied the effect of oral antihistamines with a follow-up period of at least 6 months.<sup>1056,1057</sup> These RCTs show that both the placebo and treatment groups experience clinically and statistically significant improvements in generic and disease specific QOL, but the improvement is greater in the treatment arm. A more recent meta-analysis of a combination INCS and intranasal antihistamine showed short-term but not long-term QOL improvement with this treatment.<sup>1042</sup> This latter finding, however, was based on a single study.<sup>1071</sup> AIT RCTs have longer follow-up periods (12 months to 3 years) and show similar results, with placebo patients either remaining at baseline or improving to a lesser degree than the treatment arms.<sup>1058,1059,1061</sup> As expected, patients with seasonal AR have worse QOL during seasons in which they are exposed to allergens and improved QOL outside of these seasons.<sup>1072</sup>

**TABLE IX.A.1** Evidence table – individual burden of allergic rhinitis: quality of life

| Study                                | Year | LOE | Study design    | Study groups   | Clinical endpoints                               | Conclusions  |
|--------------------------------------|------|-----|-----------------|--|--|--|
| Chen et al. <sup>1042</sup>          | 2022 | 1   | SRMA            | 51 full text manuscripts screened, 5 studies with data extracted ( <i>n</i> = 2055), 1947–2021       | TNSS, TOSS, RQLQ, RCAT                           | Intranasal antihistamine-INCS provides short-term but not long-term QOL improvement  |
| Zhang et al. <sup>1043</sup>         | 2022 | 1   | SRMA            | 2671 full text manuscripts screened, 22 studies with data extracted ( <i>n</i> = 4673), 1947–2020    | TNSS, VAS, RQLQ, PNIF                            | Improvement in symptom scores and PNIF are seen with INCS treatment<br>Oral antihistamines improve symptom scores and QOL<br>Studies on the impact of INCS on QOL are lacking  |
| Li et al. <sup>1044</sup>            | 2021 | 1   | SR              | 1341 full text manuscripts screened, 171 studies with data extracted ( <i>n</i> = 33,843), 1947–2020 | RQLQ, TNSS, VAS, PNIF, nasal airflow             | AR has a greater impact on PROMs than non-allergic rhinitis<br>Subdomain impacts are variable<br>PROMs do not correlate with demographics, comorbidities, or nasal airflow   |
| Calderon et al. <sup>1045</sup>      | 2019 | 1   | SR              | 102 full text manuscripts screened, 55 studies reviewed, 1997–2018                                   | Symptom, medication, disease control, QOL scores | Symptom and medication scores have not been validated in AR<br>Disease control and QOL scores have been extensively validated<br>Use of disease control or QOL scores as a primary end point in clinical trials will require a paradigm shift in clinical and regulatory communities |
| Linneberg et al. <sup>1046</sup>     | 2016 | 1   | SR              | 544 full text manuscripts screened, 50 studies with data extracted, 1886–2014                        | RQLQ, mini-RQLQ, SF-36, SF-12, cost data         | Patients with AR suffer from decreased QOL in terms of both physical and mental health<br>Those with perennial HDM allergy had decreased QOL compared to those with seasonal pollen allergy  |
| Hahn-Pedersen et al. <sup>1047</sup> | 2014 | 1   | SR              | 544 full text manuscripts screened, 50 studies with data extracted, 2000–2014                        | RQLQ, SF-36, cost data                           | AR patients have significantly worse general and disease-specific QOL with physical, practical and activity domains most affected<br>SCIT improves QOL and symptoms  |
| Aruthra and Kumar <sup>1073</sup>    | 2021 | 2   | Cross-sectional | AR, <i>n</i> = 40  | RQLQ   | AR negatively impacts QOL  |

(Continues)

TABLE IX.A.1 (Continued)

| Study                                    | Year | LOE | Study design    | Study groups  | Clinical endpoints   | Conclusions   |
|--|------|-----|-----------------|---|--|---|
| Passali et al. <sup>1052</sup>           | 2021 | 2   | Cross-sectional | AR, <i>n</i> = 1063   | Sniffin' Sticks olfactory test                               | Olfactory dysfunction in 44% of AR patients   |
| Bosnic-Anticevich et al. <sup>1065</sup> | 2020 | 2   | Cross-sectional | Children with AR, <i>n</i> = 1541   | ISAAC, Healthy Days questionnaire, CARATKids, ARIA, ARIA VAS | Parent-perceived burden of AR in their children is high<br>Driven by inadequate symptom control and misconceptions about AR treatment |
| Pedregal-Mallo et al. <sup>1058</sup>    | 2020 | 2   | Open-label CT   | HDM AR ( <i>n</i> = 103):<br>AIT, <i>n</i> = 52<br>Control, <i>n</i> = 51   | Mini-RQLQ, ESPRINT-15  | AIT provides larger improvements in HRQOL than symptomatic treatment  |
| Sikorska-Szaflik et al. <sup>1068</sup>  | 2020 | 2   | Cross-sectional | Children with AR, <i>n</i> = 208  | T4SS, VAS, KINDL   | AR negatively impacts QOL<br>Parents overestimate their children's QOL  |
| Hwang et al. <sup>1066</sup>             | 2019 | 2   | Cross-sectional | Parents with children in daycare or primary school, <i>n</i> = 22,904   | EQ-5D-5L, EQ VAS   | Parents of children with AR have lower HRQOL  |
| Segall et al. <sup>1071</sup>            | 2019 | 2   | DBRCT           | Perennial AR ( <i>n</i> = 601):<br>Olopatadine-mometasone, <i>n</i> = 400<br>Placebo, pH 3.7, <i>n</i> = 100<br>Placebo, pH 7.0, <i>n</i> = 101 | TNSS, PNSS, RQLQ   | Treatment led to improved symptom and QOL scores at 6-weeks but QOL improvements not significant at 52-weeks                          |
| Zhu et al. <sup>1074</sup>               | 2019 | 2   | Open-label RCT  | AR ( <i>n</i> = 255):<br>ARCT group, <i>n</i> = 126<br>Control, <i>n</i> = 129  | ARCT, RQLQ, medication adherence, BIP-Q                      | Stepping down medical therapy in patients with controlled AR results in similar clinical outcomes at reduced cost                     |
| Bousquet et al. <sup>1075</sup>          | 2018 | 2   | Cross-sectional | Users of <i>Allergy Diary</i> smartphone app, <i>n</i> = 1287   | EQ-5D VAS, WPAIAS  | Mobile technology measuring ARIA score can be used to detect severe AR that impacts QOL   |
| Hoehle et al. <sup>1076</sup>            | 2017 | 2   | Cross-sectional | AR, <i>n</i> = 150  | EQ-5D VAS, SNOT-22, NOSE, RCAT                               | Sleep and otologic symptoms have the greatest negative impact on QOL  |
| Filanowicz et al. <sup>1077</sup>        | 2016 | 2   | Cross-sectional | SCIT ( <i>n</i> = 200):<br>Allergic asthma, <i>n</i> = 101<br>AR, <i>n</i> = 99   | RQLQ   | QOL significantly affected by AR<br>SCIT significantly improved QOL in asthma and AR  |
| Jaruvongvanich et al. <sup>1078</sup>    | 2016 | 2   | Cross-sectional | AR, <i>n</i> = 200  | SF-12, TSS   | Extra-nasal symptoms in AR correlate with physical and mental health QOL domains  |

(Continues)

TABLE IX.A.1 (Continued)

| Study                                     | Year | LOE | Study design     | Study groups  | Clinical endpoints   | Conclusions  |
|---|------|-----|------------------|---|--|--|
| Song et al. <sup>1079</sup>               | 2015 | 2   | Cross-sectional  | Adolescents ( $n = 6407$ ):<br>Likely AR from stratified sample, $n = 515$<br>Cluster sample, $n = 814$             | VAS  | AR in 15.8%–19.4%<br>AR impacts QOL, sleep, emotions, and memory   |
| Bousquet et al. <sup>1054</sup>           | 2013 | 2   | RCT              | AR ( $n = 716$ ):<br>Desloratadine, $n = 360$<br>Placebo, $n = 356$   | Symptoms scores, sleep questionnaire, RQLQ, WPAI-AS        | Desloratadine improves symptoms, QOL, and functional impairment  |
| Bousquet et al. <sup>1080</sup>           | 2013 | 2   | Cross-sectional  | AR, $n = 900$   | VAS, RQLQ, TSS   | 20% mild intermittent, 17% mild persistent, 15% moderate–severe intermittent, 48% moderate-severe persistent<br>Severity and duration of AR impact on QOL<br>Ocular symptoms impact RQLQ more than nasal obstruction<br>Sneezing/rhinorrhea do not impact RQLQ |
| Katellaris et al. <sup>1081</sup>         | 2013 | 2   | Cross-sectional  | AR, $n = 303$   | Telephone or in-person interviews                          | AR impacts work/school performance, general QOL, and sleep quality   |
| Tatar et al. <sup>1063</sup>              | 2013 | 2   | RCT              | AR ( $n = 56$ ):<br>Mometasone, $n = 14$<br>Mometasone-levocetirizine, $n = 21$<br>Mometasone-montelukast, $n = 21$ | Mini-RQLQ<br>TSS   | QOL significantly affected by AR<br>Combination of mometasone with levocetirizine or montelukast improves QOL more than mometasone alone   |
| de la Hoz Caballer et al. <sup>1082</sup> | 2012 | 2   | Cross-sectional  | Primary care patients, $n = 616$  | SF-36, generic HRQOL, WPAI                                 | AR impacts productivity to a greater magnitude than hypertension and DM type II, but less than the impact of depression  |
| Meltzer et al. <sup>1083</sup>            | 2012 | 2   | Cross-sectional  | Nasal allergy, $n = 522$<br>Control, $n = 400$  | Non-validated phone interview questions                    | Patients with AR rate overall health lower, have worse sleep function, and decreased productivity than those without AR  |
| Yamada et al. <sup>1053</sup>             | 2012 | 2   | DBRCT, crossover | Perennial AR ( $n = 57$ ):<br>mometasone  | TSS, Japanese RQLQ, ESS, QOL score, nasal nitric oxide     | Nasal mometasone improves nasal symptoms, QOL, and sleep quality; and decreases nitric oxide   |
| Hoiby et al. <sup>1059</sup>              | 2010 | 2   | DBRCT            | AR ( $n = 53$ ):<br>SCIT, $n = 27$<br>Placebo, $n = 26$   | Symptom score, RQLQ, medication score, immunologic markers | SCIT reduces symptom and medication scores and improves QOL compared to placebo  |

(Continues)



TABLE IX.A.1 (Continued)

| Study                            | Year | LOE | Study design    | Study groups   | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|-----------------|--|--|--|
| Holmberg et al. <sup>1055</sup>  | 2009 | 2   | DBRCT           | AR ( <i>n</i> = 584):<br>Desloratadine,<br><i>n</i> = 293<br>Placebo, <i>n</i> = 291   | RQLQ, symptom score  | Desloratadine improves RQLQ and symptom score significantly compared to placebo  |
| Stull et al. <sup>1084</sup>     | 2009 | 2   | Cross-sectional | AR, <i>n</i> = 404   | Symptom scale, nocturnal RQLQ, WPAI, MOS-12 Sleep, PANAS-X | Nasal congestion more strongly correlated to outcomes<br>Ocular symptoms can have significant impact on QOL  |
| Witt et al. <sup>1085</sup>      | 2009 | 2   | RCT             | AR ( <i>n</i> = 981):<br>Acupuncture,<br><i>n</i> = 487<br>Control, <i>n</i> = 494   | SF-36  | Acupuncture improves QOL more than control at 3 months   |
| Brinkhaus et al. <sup>1086</sup> | 2008 | 2   | RCT, crossover  | AR ( <i>n</i> = 5237):<br>Randomized ( <i>n</i> = 1068); acupuncture ( <i>n</i> = 487); control ( <i>n</i> = 494)<br>Not randomized, received acupuncture ( <i>n</i> = 4256) | RQLQ, SF-36  | QOL significantly affected by AR<br>Acupuncture group improved more than conventional medical care   |
| Petersen et al. <sup>1087</sup>  | 2008 | 2   | Cross-sectional | AR, <i>n</i> = 248<br>AR and asthma, <i>n</i> = 121  | RQLQ, 15D  | AR patients have worse QOL during allergen exposure<br>15D generates more comprehensive view of impact on QOL than RQLQ  |
| Ciprandi et al. <sup>1088</sup>  | 2007 | 2   | Cross-sectional | AR, <i>n</i> = 123   | RQLQ   | QOL significantly affected by AR<br>Greater than two sensitivities, eosinophil count, and nasal flow related to QOL<br>Eye symptoms correlate most strongly to QOL |
| Canonica et al. <sup>1056</sup>  | 2006 | 2   | DBRCT           | AR ( <i>n</i> = 551):<br>Levocetirizine, <i>n</i> = 278<br>Placebo, <i>n</i> = 273   | RQLQ, SF-36  | QOL significantly affected by AR<br>Levocetirizine improves QOL compared to placebo  |
| Colas et al. <sup>1061</sup>     | 2006 | 2   | DBRCT           | AR ( <i>n</i> = 60):<br>SCIT, <i>n</i> = 41<br>Control, <i>n</i> = 19  | RQLQ, symptoms score, medication score, VAS, SPTs          | QOL significantly affected by AR<br>SCIT improves RQLQ, symptom and medication scores  |
| Di Rienzo et al. <sup>1060</sup> | 2006 | 2   | DBRCT           | AR ( <i>n</i> = 34):<br>SLIT, <i>n</i> = 19<br>Placebo, <i>n</i> = 15  | RQLQ   | QOL significantly affected by AR<br>SLIT improved QOL compared to placebo  |
| Bachert et al. <sup>1057</sup>   | 2004 | 2   | DBRCT           | Persistent AR ( <i>n</i> = 551):<br>Levocetirizine, <i>n</i> = 278<br>Placebo, <i>n</i> = 273  | SF-36, RQLQ, TSS   | Levocetirizine improves QOL and decreases symptom scores and disease-related costs   |

(Continues)

TABLE IX.A.1 (Continued)

| Study                                 | Year | LOE | Study design          | Study groups   | Clinical endpoints  | Conclusions  |
|---------------------------------------|------|-----|-----------------------|--|---|--|
| Radcliffe et al. <sup>1089</sup>      | 2003 | 2   | DBRCT                 | Seasonal AR ( $n = 183$ ):<br>Enzyme potentiated desensitization, $n = 90$<br>Placebo, $n = 93$  | RQLQ, problem-free days   | Enzyme potentiated desensitization does not improve QOL or symptom scores compared to placebo  |
| Gerth van Wijk et al. <sup>1090</sup> | 2000 | 2   | DBRCT                 | Perennial AR ( $n = 26$ ):<br>Capsaicin, $n = 13$<br>Control, $n = 13$   | Nasal challenge, VAS, RQL, immunologic markers                    | Capsaicin does not sufficiently control rhinitis symptoms  |
| Leynaert et al. <sup>1091</sup>       | 2000 | 2   | Cross-sectional       | Young adults ( $n = 850$ ):<br>AR but not asthma, $n = 240$<br>AR and asthma, $n = 76$<br>Neither AR nor asthma, $n = 349$   | SF-36   | Both asthma and AR impact QOL<br>AR impacts emotional and mental health, social activities, and activities of daily living<br>Comorbid asthma caused more physical limitations than AR alone |
| Juniper et al. <sup>1048</sup>        | 1991 | 2   | DBRCT                 | AR ( $n = 145$ ):<br>RQLQ questionnaire development ( $n = 85$ )<br>Validation ( $n = 60$ ):<br>beclomethasone 200 $\mu$ g qDay ( $n = 30$ );<br>beclomethasone 400 $\mu$ g PRN ( $n = 30$ ) | RQLQ  | Patients experience impaired QOL through systemic, sleep, emotional symptoms, and practical/activity limitations<br>Beclomethasone use correlated to RQLQ                                    |
| Fasola et al. <sup>1064</sup>         | 2020 | 3   | Cohort                | Children with AR and asthma, $n = 50$  | RhinAsthma-children, PAQLQ, PRQLQ, KiddyKINDL, KidKINDL, VAS, GRC | RAPP-children is a valid, five-item questionnaire for assessing HRQOL in children aged 6–11 years with concomitant asthma and rhinitis   |
| Husain et al. <sup>1051</sup>         | 2020 | 3   | Cohort                | Persistent AR, $n = 353$   | SNOT-22, EQ-5D, EQ-5D VAS, RCAT                                   | SNOT-22 has utility to assess QOL and symptom control in AR  |
| Cuesta-Herranz et al. <sup>1092</sup> | 2019 | 3   | Cohort                | AR undergoing SCIT, $n = 120$  | RQLQ, ARIA  | SCIT treatment increases QOL<br>Reduction in asthma symptoms with SCIT   |
| Gillman et al. <sup>1093</sup>        | 2019 | 3   | Non-randomized cohort | Nasal obstruction ( $n = 67$ ):<br>Allergic, $n = 34$<br>Nonallergic, $n = 33$   | NOSE, EOB, mini-RQLQ  | AR patients have worse allergy related QOL compared to non-allergic patients<br>After septoplasty and IT reduction allergy related QOL improves  |
| Baiardini et al. <sup>1094</sup>      | 2017 | 3   | Cohort                | Children with AR, $n = 100$  | Novel, unvalidated HRQOL survey                                   | RhinAsthma-Children has good validity and internal consistency, can capture impacts of respiratory allergy on HRQOL  |

(Continues)

TABLE IX.A.1 (Continued)

| Study                               | Year | LOE | Study design          | Study groups  | Clinical endpoints                                   | Conclusions   |
|-------------------------------------|------|-----|-----------------------|---|--|---|
| Novakova et al. <sup>1095</sup>     | 2017 | 3   | Cohort                | AR treated with SLIT, <i>n</i> = 191  | RQLQ   | SLIT significantly improved QOL   |
| Schwanke et al. <sup>1096</sup>     | 2017 | 3   | Non-randomized cohort | AR ( <i>n</i> = 40):<br>SCIT, <i>n</i> = 29<br>SLIT, <i>n</i> = 11  | RQLQ   | Only SCIT had a statistically significant improvement in QOL<br>Study limited by small sample size  |
| Valls-Mateus et al. <sup>1067</sup> | 2017 | 3   | Cohort                | Children and adolescents with persistent AR undergoing medical treatment ( <i>n</i> = 142):<br>Responders, <i>n</i> = 49<br>Non-responders, <i>n</i> = 93 | VAS, PRQLQ, AdolRQLQ                                 | Lack of response to medical treatment has a large impact on QOL<br>Septal deviation and IT hypertrophy is associated with worst QOL                     |
| Bukstein et al. <sup>1097</sup>     | 2016 | 3   | Non-randomized cohort | Perennial AR treated with beclomethasone nasal spray, <i>n</i> = 527  | RCAT, treatment satisfaction, WPAI, PSQI, mini-RQLQ  | Beclomethasone improves QOL, school-related activities, satisfaction, productivity, sleep quality   |
| Cingi et al. <sup>1098</sup>        | 2013 | 3   | Non-randomized cohort | Perennial AR treated with desloratadine-montelukast, <i>n</i> = 40  | Acoustic rhinometry, RQLQ                            | Desloratadine-montelukast improves nasal obstruction and QOL  |
| Demoly et al. <sup>1099</sup>       | 2013 | 3   | Cohort                | AR, <i>n</i> = 990  | VAS, RQLQ, TSS                                       | VAS can detect QOL variations with high sensitivity   |
| Ciprandi et al. <sup>1100</sup>     | 2010 | 3   | Cohort                | AR undergoing SLIT, <i>n</i> = 167  | RQLQ   | QOL significantly affected by AR<br>SLIT improves QOL and symptoms  |
| Cadario et al. <sup>1101</sup>      | 2008 | 3   | Cohort                | AR undergoing SLIT, <i>n</i> = 40   | Non-validated patient satisfaction survey, VAS, RQOL | QOL significantly affected by AR<br>SLIT improves QOL and symptoms  |
| Laforest et al. <sup>1102</sup>     | 2005 | 3   | Cohort                | Seasonal AR, <i>n</i> = 83<br>Asthma, <i>n</i> = 52   | Mini-RQLQ, SF-12                                     | QOL significantly affected by seasonal AR and asthma<br>Female gender, rural residence, lower education levels associated with worse QOL in seasonal AR |
| Majani et al. <sup>1072</sup>       | 2001 | 3   | Cohort                | Seasonal AR, <i>n</i> = 33  | SF-36, SAT-P   | QOL significantly affected by AR during peak season   |

Abbreviations: AdolRQLQ, Adolescent Rhinoconjunctivitis Quality of Life Questionnaire; AIT, allergen immunotherapy; AR, allergic rhinitis; ARIA, Allergic Rhinitis and its Impact on Asthma; ARCT, Allergic Rhinitis Control Test; BIP-Q, Brief Illness Perception Questionnaire; CARATKids, Control of Allergic Rhinitis and Asthma Test for Children; CT, controlled trial; 15D, Generic 15 Dimension Instrument for measuring health related quality of life; DBRCT, double blind randomized controlled trial; DM, diabetes mellitus; EOB, Ease-of-Breathing scale; EQ-5D, Euro-QOL 5-dimension questionnaire; ESPRINT-15, Cuestionario Español de Calidad de Vida en RINiTis; ESS, Epworth Sleepiness Scale; GRC, Global Rating of Change scale; HDM, house dust mite; HRQOL, health-related quality of life; INCS, intranasal corticosteroid; ISAAC, International Study of Asthma and Allergies in Childhood questionnaire; IT, inferior turbinate; LOE, level of evidence; MOS-12 Sleep, Medical Outcomes Study 12-Item Sleep Scale; NOSE, Nasal Obstruction Severity Evaluation; PANAS-X, Positive and Negative Affect Schedule-Expanded Form; PAQLQ, Pediatric Asthma Quality of Life Questionnaire; PNIF, peak nasal inspiratory flow; PNSS, Physician-assessed Nasal Symptom Score; PRN, as needed; PRQLQ, Pediatric Rhinoconjunctivitis Quality of Life Questionnaire; PROMs, patient reported outcome measures; PSQI, Pittsburgh Sleep Quality Index; qDay, daily; QOL, quality of life; RCAT, Rhinitis Control Assessment Test; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; RQOL, Rhinitis Quality of Life; SAT-P, Satisfaction Profile; SCIT, subcutaneous immunotherapy; SF-12/36, Short Form (12 or 36 questions); SLIT, sublingual immunotherapy; SNOT-22, Sinonasal Outcome Test 22-item; SPT, skin prick test; SR, systematic review; SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score; TOSS, Total Ocular Symptom Score; TSS, Total Symptom Score; T4SS, Total 4 Symptom Score; VAS, visual analog scale; WPAI, Work Productivity and Activity questionnaire; WPAIAS, Work Productivity and Activity Allergy Specific questionnaire.

### Disease burden – quality of life

**Aggregate grade of evidence:** B (Level 1: 6 studies, level 2: 35 studies, level 3: 15 studies; Table IX.A.1)

**Benefit:** Successful treatment of AR leads to improved overall and disease specific QOL.

**Harm:** Depending on the specific treatments for AR, there are variable levels of harm (Table II.C).

**Cost:** Treatments for AR have variable costs.

**Benefits-harm assessment:** The benefits of treating patients with AR to improve QOL likely outweigh risks of treatment.

**Value judgments:** Validated measures of QOL should be utilized in future studies of treatments for AR.

**Policy level:** Recommendation.

**Intervention:** Validated measures of QOL should be utilized in future studies of treatments for AR.

## IX.A.2 | Sleep disturbance

AR affects 20%–30% of adults and children with OSA and sleep disordered breathing (SDB).<sup>1103,1104</sup> Multiple studies have investigated the relationship between AR and sleep in adults and children. The general conclusion from the aggregate data is that similar to overall and rhinitis specific QOL, AR negatively impacts sleep quality, and the successful treatment of AR reduces sleep disturbance. Overall, the data is of low to moderate strength, with the overall quality of the data being higher for adults than for the pediatric population. For the adult population, there is strong evidence supporting the conclusion that AR negatively impacts sleep.<sup>1105–1109</sup> This data deals with subjective reporting of daytime sleepiness, sleep quality, and symptoms usually through validated tools, in the setting of testing the effect of INCS and montelukast (Tables IX.A.2.-1 and IX.A.2.-2).

In children, lower quality data suggest that AR is associated with sleep disturbance in the form of increased risk of snoring, SDB, and OSA. However, the findings here are not uniform, with some studies suggesting that while the prevalence of AR is high in the OSA population, AR might not impact disease severity.<sup>1104,1110</sup> Furthermore, AR has been suggested to be a risk factor for deterioration of OSA QOL after adenotonsillectomy.<sup>1111</sup> Additionally, AR may increase the risk of nocturnal enuresis in children.<sup>1112</sup>

Two studies looked at variations in sleep symptoms with changes in nasal inflammation over time. Nasal cytokine level alterations are associated with changes in the polysomnogram (PSG)<sup>1113</sup> and AR patients have worse PSG parameters and sleep disturbance when their symp-

toms are present or during their peak allergen season.<sup>1114</sup>

The data on PSG parameters in adults is mixed. Most studies that perform PSG found that AR worsens PSG parameters.<sup>1103,1113–1122</sup>; however, two studies found either no difference or a modest change.<sup>1123,1124</sup>

AR patients have improvements of sleep quality, daytime sleepiness, sinonasal symptoms, and QOL after treatment with INCS<sup>1105–1107,1125</sup> or a combination of INCS and montelukast.<sup>1105</sup> Additionally, AR has been associated with worse sleep fragmentation<sup>1118,1126</sup> and snoring.<sup>1116,1127</sup> In addition to reducing sleep disturbance, treatment of AR has been suggested to also improve CPAP compliance.<sup>1128</sup> (See Section XIII.K. Associated Conditions – Sleep Disturbance for additional information on this topic.)

### Disease burden - sleep disturbance

**Aggregate grade of evidence:** B (Level 2: 5 studies, level 3: 8 studies, level 4: 50 studies Tables IX.A.2.-1 and IX.A.2.-2).

**Benefit:** AR negatively impacts sleep quality. Successful management of AR leads to decreased sleep disturbance in adults and children.

**Harm:** Medical management of AR is generally low risk and medications have low side-effect profiles. AIT is associated with rare serious adverse events (Table II.C).

**Cost:** Associated costs consist of the direct costs of allergy testing and medical management, and indirect cost of increased time and effort for AIT.

**Benefits-harm assessment:** The benefits of treating patients with AR may outweigh any associated risks.

**Value judgments:** In patients with AR, the successful control of symptoms with medical management or AIT can lead to important improvements in sleep disturbance. The level of available evidence is stronger for the adult population compared with the pediatric population.

**Policy level:** Treatment of AR to improve sleep disturbance – Recommended in adults. Option in children.

**Intervention:** INCS, oral antihistamines, montelukast, and AIT are appropriate options, when medically indicated, to improve sleep disturbance in patients with AR.

## IX.B | Societal burden

AR has a high prevalence globally and imposes negative effects on QOL and therefore a burden to individuals and

**TABLE IX.A.2.-1** Evidence table – individual burden of allergic rhinitis: sleep (adults)

| Study                               | Year | LOE | Study design           | Study groups  | Clinical endpoints  | Conclusions   |
|-------------------------------------|------|-----|------------------------|---|---|---|
| Fried et al. <sup>1129</sup>        | 2022 | 2   | SRMA                   | 28 articles, <i>n</i> = 8515<br>AR patients   | RQLQ, ESS, PSQI   | Treatment of AR improves subjective sleep quality   |
| Liu et al. <sup>1120</sup>          | 2020 | 2   | SRMA                   | 27 articles, <i>n</i> = 19,444,043  | Sleep duration, sleep quality, PSQI, PSG, daytime functioning | AR associated with more sleep disturbances and lower sleep efficiency, worse daytime function<br>Overall study quality low to very low  |
| Shanqun et al. <sup>1105</sup>      | 2009 | 2   | Placebo-controlled RCT | AR and OSA ( <i>n</i> = 89):<br>Montelukast-budesonide, <i>n</i> = 44<br>Placebo, <i>n</i> = 45 | ESS, RQLQ, RSS, CSAQLI, symptoms diary                        | Montelukast-budesonide improves AR and OSA QOL, sleep quality and daytime somnolence  |
| Mansfield and Posey <sup>1109</sup> | 2007 | 2   | Placebo-controlled RCT | Fluticasone, <i>n</i> = 16<br>Placebo, <i>n</i> = 16  | TOVA, ESS, TSS  | Fluticasone improves daytime sleepiness, cognitive performance, and nasal symptoms  |
| Munoz-Cano et al. <sup>1130</sup>   | 2018 | 3   | Prospective cohort     | AR, <i>n</i> = 670  | Sleep quality, MOSSS  | AR symptoms negatively impact sleep quality   |
| Parikh et al. <sup>1128</sup>       | 2014 | 3   | Prospective cohort     | OSA and rhinitis, <i>n</i> = 43   | ESS, symptoms scores, CPAP compliance                         | Control of rhinitis (with varying regimens of INCS, antihistamines, leukotrienes inhibitors, anticholinergics, etc.) important for OSA control<br>Rhinitis control assessed via symptoms scores, OSA control assessed via ESS<br>No difference between AR and non-allergic rhinitis |
| Acar et al. <sup>1115</sup>         | 2013 | 3   | Prospective cohort     | OSA and AR treated with INCS, <i>n</i> = 80   | ESS, PSG  | INCS improve sleep quality and AR symptoms<br>Addition of antihistamine did not have effect   |
| Colas et al. <sup>1131</sup>        | 2012 | 3   | Prospective cohort     | AR, <i>n</i> = 2275   | TSS, RQLQ, PSQI   | AR disease severity has strong relationship with sleep disturbance  |
| Gurevich et al. <sup>1106</sup>     | 2005 | 3   | Crossover trial        | Perennial AR, crossover trial of nasal budesonide, <i>n</i> = 26                                | ESS, sleep diary, questionnaire                               | Budesonide reduces nasal congestion, daytime somnolence/fatigue, and improves sleep quality   |
| Hughes et al. <sup>1107</sup>       | 2003 | 3   | Crossover trial        | Perennial AR, crossover trial of nasal budesonide and placebo, <i>n</i> = 22                    | ESS, FOSQ, RQLQ, symptom diary                                | Budesonide improves daytime fatigue and sleep quality   |
| Craig et al. <sup>1108</sup>        | 1998 | 3   | Crossover trial        | AR, crossover trial of nasal flunisolide and placebo, <i>n</i> = 20                             | Symptom and sleep diary                                       | INCS improve symptoms and subjective sleep compared to controls   |
| Berson et al. <sup>1121</sup>       | 2020 | 4   | Case-control           | AR with HDM allergy, <i>n</i> = 47<br>Control, <i>n</i> = 53                                    | PSG   | AR leads to increased risk of moderate/severe respiratory disturbances during sleep   |

(Continues)



TABLE IX.A.2.-1 (Continued)

| Study                          | Year | LOE | Study design                 | Study groups  | Clinical endpoints                                    | Conclusions   |
|--------------------------------|------|-----|------------------------------|---|---|---|
| Pace et al. <sup>1122</sup>    | 2020 | 4   | Case-control                 | AR, <i>n</i> = 20<br>NARES, <i>n</i> = 20<br>Control, <i>n</i> = 20         | PSG   | 60% of NARES, 25% of AR, and 10% of control patients had OSA  |
| Romano et al. <sup>1132</sup>  | 2019 | 4   | Survey study                 | AR, <i>n</i> = 511  | Sleep questionnaire                                   | AR negatively impacts sleep metrics and daily functioning   |
| Berson et al. <sup>1119</sup>  | 2018 | 4   | Case-control                 | AR, <i>n</i> = 67<br>Non-allergic rhinitis, <i>n</i> = 33                   | ESS, PSG  | AR worsens sleep quality  |
| Roxbury et al. <sup>1133</sup> | 2018 | 4   | Survey study                 | Subjects from NHANES database, <i>n</i> = 5563, 36.5% with self-reported AR | Sleep questionnaire (latency, duration, habits, etc.) | AR associated with poor sleep parameters (prolonged latency, insomnia, OSA, sleep disturbances, medication use, daytime function) |
| Bozkurt et al. <sup>1124</sup> | 2017 | 4   | Case-control                 | Persistent AR and OSA symptoms, <i>n</i> = 150<br>Control, <i>n</i> = 95    | SPT, PSG  | Persistent AR did not affect PSG parameters compared to controls  |
| Gadi et al. <sup>1135</sup>    | 2017 | 4   | Cross-sectional              | Sleep clinic patients, <i>n</i> = 157                                       | History, laboratory testing                           | 62% OSA<br>53% AR in OSA<br>No difference in AR/atopy between OSA and non-OSA   |
| Leger et al. <sup>1134</sup>   | 2017 | 4   | Prospective, cross-sectional | Adults with AR, <i>n</i> = 907  | ESS, insomnia severity, sleep questionnaire           | AR induced by HDM (especially severe and persistent) negatively impacts sleep   |
| Zheng et al. <sup>1103</sup>   | 2017 | 4   | Cross-sectional              | OSA, <i>n</i> = 240, 27% with AR  | PSG   | AR does not influence severity of OSA   |
| Lavigne et al. <sup>1117</sup> | 2013 | 4   | Case-control                 | OSA and AR, <i>n</i> = 34<br>OSA without rhinitis, <i>n</i> = 21            | PSG, nasal biopsies                                   | In AR, INCS reduce nasal inflammation and improve PSG parameters  |
| Park et al. <sup>1136</sup>    | 2012 | 4   | Case-control                 | OSA and AR, <i>n</i> = 37<br>OSA without rhinitis, <i>n</i> = 75            | ESS, stress, score, fatigue score, coping score, RQLQ | AR in OSA increases stress and fatigue, worsens sleepiness, and QOL   |
| Meng et al. <sup>1123</sup>    | 2011 | 4   | Case-control                 | Persistent AR, <i>n</i> = 98<br>Control, <i>n</i> = 30                      | PSG   | PSG parameters showed modest changes in persistent AR patients  |
| Rimmer et al. <sup>1126</sup>  | 2009 | 4   | Case-control                 | Persistent AR, <i>n</i> = 10<br>Control, <i>n</i> = 10                      | Actigraphy  | AR has increased sleep fragmentation and reduced sleep quality  |
| Udaka et al. <sup>1137</sup>   | 2007 | 4   | Survey study                 | Daytime workers, <i>n</i> = 3442  | Questionnaire, ESS, SF-36                             | Severity of nasal obstruction (non-validated questionnaire) correlates with worse ESS and lower QOL                               |
| Leger et al. <sup>1138</sup>   | 2006 | 4   | Controlled, cross-sectional  | AR, <i>n</i> = 591  | SDQ, ESS, symptom score                               | All dimensions of sleep impaired by AR<br>Disease severity correlated with degree of sleep impairment                             |

(Continues)

TABLE IX.A.2.-1 (Continued)

| Study                             | Year | LOE | Study design                  | Study groups   | Clinical endpoints                         | Conclusions  |
|-----------------------------------|------|-----|-------------------------------|--|--|--|
| Canova et al. <sup>1139</sup>     | 2004 | 4   | Case-control                  | OSA, <i>n</i> = 72<br>COPD controls, <i>n</i> = 44                             | Symptom score, spirometry, SPT             | OSA more likely to be sensitized to perennial allergens (11% in OSA vs. 2.3% COPD)                   |
| Mintz et al. <sup>1140</sup>      | 2004 | 4   | Uncontrolled open-label study | AR, <i>n</i> = 651   | NRQLQ, PSQI                                | Treatment with triamcinolone improves nocturnal rhinitis QOL and sleep quality                       |
| Stuck et al. <sup>1141</sup>      | 2004 | 4   | Case-control                  | Seasonal AR, <i>n</i> = 25<br>Control, <i>n</i> = 25                           | ESS, SF-36, PSG                            | Seasonal AR leads to increased daytime sleepiness compared to controls                               |
| Krouse et al. <sup>1113</sup>     | 2002 | 4   | Case-control                  | AR, <i>n</i> = 4<br>Control, <i>n</i> = 4                                      | PSG, serum, and nasal cytokines            | Differing cytokine levels associated with variations in PSG  |
| Camhi et al. <sup>1127</sup>      | 2000 | 4   | Survey study                  | Subjects from TESOAD with sleep problems/snoring, <i>n</i> = 437               | Questionnaire                              | AR risk factor for snoring   |
| Young et al. <sup>1116</sup>      | 1997 | 4   | Survey and case series        | Survey subjects, <i>n</i> = 4297<br>Objective testing subjects, <i>n</i> = 911 | Questionnaire, PSG                         | AR and nasal obstruction associated with snoring, daytime sleepiness, and SDB                        |
| Janson et al. <sup>1142</sup>     | 1996 | 4   | Cross-sectional study         | Random sample of the ECRHS, <i>n</i> = 2661                                    | SPT, methacholine challenge, questionnaire | AR independently associated with difficulty initiating sleep and daytime sleepiness (OR 2.0)         |
| McNicholas et al. <sup>1114</sup> | 1982 | 4   | Case series                   | AR, <i>n</i> = 7   | Nasal resistance, PSG                      | When symptoms present, AR patients have worse OSA symptoms<br>AR patients have high nasal resistance |
| Lavie et al. <sup>1118</sup>      | 1981 | 4   | Case-control                  | AR, <i>n</i> = 14<br>Control, <i>n</i> = 7                                     | PSG  | AR patients had 10-fold increase in micro-arousals versus controls                                   |

Abbreviations: AR, allergic rhinitis; COPD, chronic obstructive pulmonary disease; CPAP, continuous positive airway pressure; CSAQLI, Calgary Sleep Apnea Quality of Life Index; ECRHS, European Community Respiratory Health Survey; ESS, Epworth Sleepiness Scale; FOSQ, Functional Outcomes of Sleep Questionnaire; HDM, house dust mite; INCS, intranasal corticosteroid; LOE, level of evidence; MOSSS, Medical Outcomes Study Sleep Scale; NARES, non-allergic rhinitis with eosinophilia; NHANES, National Health and Nutrition Examination Survey; NRQLQ, Nocturnal Rhinoconjunctivitis Quality of Life Questionnaire; OR, odds ratio; OSA, obstructive sleep apnea; PSG, polysomnogram; PSQI, Pittsburgh Sleep Quality Index; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; RSS, Rhinitis Symptom Score; SDB, sleep disordered breathing; SDQ, Sleep Disorders Questionnaire; SF-36, 36-item Short Form Survey; SPT, skin prick test; SRMA, systematic review and meta-analysis; TESOAD, Tucson Epidemiology Study of Obstructive Airway Disease; TOVA, Test of Variables Attention; TSS: total symptom score.

society. Due to its chronicity and prevalence, AR poses a significant socioeconomic burden.<sup>1167,1168</sup> The true burden of AR involves direct, indirect, and societal costs. Direct costs relate to financial expenditures on healthcare related to AR, including the diagnosis, prevention, and management of disease. Indirect costs are due to loss of productivity related to disease including job loss, absenteeism, and presenteeism. Additional costs include costs due to reduced QOL and societal costs related to an individual's symptoms and subsequent reduced QOL.<sup>1169–1172</sup>

In the US, AR is the fifth most burdensome chronic condition when considering total cost.<sup>1173</sup> Direct costs of AR in the US exceed \$4.5 billion per year.<sup>1174–1178</sup> Likewise, AR represents a large direct economic burden in several other countries.<sup>1171,1179,1180</sup> Medication expense makes up most of the direct cost, but additional costs include office visits, testing, and procedures.<sup>1005</sup> These costs are even higher when considering patients with related illnesses such as asthma, allergic conjunctivitis, and CRS.<sup>1169,1181,1182</sup> Despite many treatments being available over-the-counter,

**TABLE IX.A.2.-2** Evidence table – individual burden of allergic rhinitis: sleep (children)

| Study                                     | Year | LOE | Study design            | Study groups   | Clinical endpoints                               | Conclusions   |
|---|------|-----|-------------------------|--|--|---|
| Lin et al. <sup>1143</sup>                | 2013 | 2   | SRMA                    | 18 articles  | Association between AR and SDB                   | Most studies show association between AR and SDB in children, but all studies were low level of evidence  |
| Lai et al. <sup>1112</sup>                | 2018 | 3   | Controlled cohort study | AR, <i>n</i> = 327,928<br>Non-allergic rhinitis, <i>n</i> = 327,061                    | Questionnaire on nocturnal enuresis              | AR increases risk of nocturnal enuresis   |
| Lee et al. <sup>1144</sup>                | 2021 | 4   | Survey study            | Adolescents, <i>n</i> = 1936, 23.7% with AR  | Sleep questionnaire                              | AR associated with inappropriate sleep duration   |
| Giraldo-Cadavid et al. <sup>1145</sup>    | 2020 | 4   | Cross-sectional         | AR children at high altitude, <i>n</i> = 99  | PSG  | AR in children at high altitude associated with more severe OSA   |
| Liu et al. <sup>1104</sup>                | 2020 | 4   | Case-control            | SDB, <i>n</i> = 660, 25.8% with AR and SBD, 19.4% with AR and OSA                      | PSG, sleep questionnaire                         | AR has high prevalence in SDB group but does not impact severity of sleep disorders                       |
| Bilgilişoğlu Filiz et al. <sup>1110</sup> | 2018 | 4   | Case-control            | AR, <i>n</i> = 143<br>Control, <i>n</i> = 144  | PSQI, IRLSSG                                     | AR did not impact restless leg syndrome or sleep quality  |
| Perikleous et al. <sup>1146</sup>         | 2018 | 4   | Cross-sectional         | Asthma, <i>n</i> = 65<br>AR, <i>n</i> = 18<br>Asthma + AR, <i>n</i> = 57               | ACT, PSQ, sleep-related breathing disorder scale | AR in children with asthma increased SDB  |
| Leger et al. <sup>1134</sup>              | 2017 | 4   | Cross-sectional         | Children with AR, <i>n</i> = 843   | ESS, insomnia severity, sleep questionnaire      | AR induced by HDM (particularly severe and persistent) negatively impacts sleep                           |
| Di Francesco and Alvarez <sup>1147</sup>  | 2016 | 4   | Case series             | SDB undergoing T&A, <i>n</i> = 135   | PSG  | AR affected REM sleep in children with SDB without OSA<br>AR is not an aggravating factor in AHI severity |
| Chimenz et al. <sup>1148</sup>            | 2015 | 4   | Case series             | AR and adenoid grade I-II, <i>n</i> = 32<br>AR and adenoid grade III-IV, <i>n</i> = 27 | History  | AR may influence development of nocturnal enuresis  |
| Kim and Han <sup>1111</sup>               | 2015 | 4   | Prospective cohort      | SDB undergoing T&A, <i>n</i> = 70  | OSA-18, SPT, questionnaire                       | AR may be risk factor for deterioration of OSA QOL after T&A  |
| Koinis-Mitchell et al. <sup>1149</sup>    | 2015 | 4   | Cross-sectional         | Non-white Latino and African American urban children, <i>n</i> = 195                   | Clinical evaluation and follow-up                | Poor AR and asthma control related to high frequency of sleep problems and poor sleep hygiene             |
| Poachanukoon et al. <sup>1150</sup>       | 2015 | 4   | Case-control            | AR, <i>n</i> = 65<br>Control, <i>n</i> = 104   | Questionnaire                                    | Higher incidence of sleep disturbance in AR   |

(Continues)

TABLE IX.A.2.-2 (Continued)

| Study                                | Year | LOE | Study design    | Study groups   | Clinical endpoints      | Conclusions  |
|--------------------------------------|------|-----|-----------------|--|-------------------------|--|
| Kwon et al. <sup>1151</sup>          | 2013 | 4   | Survey study    | Children with AR, <i>n</i> = 85,002  | National survey data    | Association between late sleep time and short sleep duration with AR                         |
| Bhattacharjee et al. <sup>1152</sup> | 2010 | 4   | Cross-sectional | Children undergoing T&A for OSA, <i>n</i> = 578                                  | PSG                     | 39% of OSA children have AR pre-operatively  |
| Li et al. <sup>1153</sup>            | 2010 | 4   | Survey study    | Children, <i>n</i> = 6349  | Questionnaire           | HS associated with AR (OR 2.9; 95% CI 2.0–4.2)   |
| Vichyanond et al. <sup>1154</sup>    | 2010 | 4   | Case series     | Children with rhinitis, <i>n</i> = 302   | History                 | Upper airway obstruction associated with non-allergic rhinitis                               |
| Barone et al. <sup>1155</sup>        | 2009 | 4   | Case-control    | Children from sleep disorders clinic, <i>n</i> = 149<br>Controls, <i>n</i> = 139 | PSG                     | AR associated with OSA, OR 2.24  |
| Sogut et al. <sup>1156</sup>         | 2009 | 4   | Cross-sectional | Turkish children, <i>n</i> = 1030  | Questionnaire           | AR associated with HS (OR 3.7; 95% CI 1–13)  |
| Liukkonen et al. <sup>1157</sup>     | 2008 | 4   | Cross-sectional | Children in Helsinki, <i>n</i> = 2100  | Questionnaire           | AR more common in snorers  |
| Kalra et al. <sup>1158</sup>         | 2006 | 4   | Cross-sectional | Children in CCAAPS, <i>n</i> = 681   | Questionnaire           | 29% of patients with HS have positive SPT, significant association                           |
| Goldbart et al. <sup>1159</sup>      | 2005 | 4   | Case series     | SDB, <i>n</i> = 24   | PSG, lateral neck x-ray | Montelukast treatment for 16 weeks decreased adenoid size and respiratory sleep disturbances |
| Ng et al. <sup>1160</sup>            | 2005 | 4   | Cross-sectional | School children, <i>n</i> = 3047   | Questionnaire           | AR associated with witnessed apnea   |
| Sogut et al. <sup>1161</sup>         | 2005 | 4   | Cross-sectional | Turkish children, <i>n</i> = 1198  | Questionnaire           | AR associated with HS (OR 4.23; 95% CI 2.14–8.35)  |
| Chng et al. <sup>1162</sup>          | 2004 | 4   | Cross-sectional | School children, <i>n</i> = 11,114   | Questionnaire           | Snoring in 34%, AR associated with snoring (OR 2.9; 95% CI 2.06–4.08)                        |
| Kidon et al. <sup>1163</sup>         | 2004 | 4   | Cross-sectional | Children with AR undergoing SPT, <i>n</i> = 202                                  | History                 | 17% of AR patients reported HS   |
| Mansfield et al. <sup>1164</sup>     | 2004 | 4   | Case series     | Children with AR, <i>n</i> = 14  | PSG, RQLQ               | Treating AR decreases AHI  |
| Anuntaseree et al. <sup>1165</sup>   | 2001 | 4   | Cross-sectional | Randomly selected children, <i>n</i> = 1142                                      | PSG, questionnaire      | Prevalence of HS 8.5%, OSA 0.69%. OR 5.27 in children with AR                                |
| McColley et al. <sup>1166</sup>      | 1997 | 4   | Case series     | Children with HS, <i>n</i> = 39  | PSG                     | Positive skin test associated with OSA   |

Abbreviations: ACT, Asthma Control Test; AHI, apnea-hypopnea index; AR, allergic rhinitis; CCAAPS, Cincinnati Allergy and Air Pollution Study; CI, confidence interval; ESS, Epworth Sleepiness Scale; HDM, house dust mite; HS, habitual snoring; IRLSSG, international restless leg syndrome study group criteria; LOE, level of evidence; OR, odds ratio; OSA, obstructive sleep apnea; OSA-18, 18-item quality of life survey for obstructive sleep apnea; PSG, polysomnogram; PSQI, Pittsburgh Sleep Quality Index; QOL, quality of life; REM, rapid eye movement sleep; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SDB, sleep disordered breathing; SPT, skin prick test; SRMA, systematic review and meta-analysis; T&A, tonsillectomy and adenoidectomy.

US medication costs for only AR are estimated to exceed \$1 billion (US),<sup>1175</sup> and patients with AR are also more likely to utilize clinic visits, further driving direct costs.<sup>1174,1183</sup>

AR leads to increased direct costs in countries around the world.<sup>1169</sup> A 2021 US study demonstrated that AR patients had annual mean costs of \$218 (US) for clinic visits and procedures, and additional \$111 (US) for medications.<sup>1175</sup> In a 2020 Dutch study comparing 350 AR patients to controls, those with AR spent an additional €208 per year in direct costs.<sup>1179</sup> In a 2016 study of 8001 Swedish residents, direct costs attributable to AR were €210 per individual per year.<sup>747</sup> A 2017 French study demonstrated median direct costs of €159 for AR without asthma and €375 for AR with asthma.<sup>1184</sup> Studies from Turkey showed increased costs of \$79 to \$139 (US) for AR patients.<sup>1185</sup> Studies from South Korea and India also demonstrate significant direct costs.<sup>1186–1188</sup>

Despite its perception as a nuisance disorder, AR has significant effect on QOL and accounts for substantial indirect costs related to missed work or school and poorer productivity. AR results in 3.5 million missed workdays and 2 million missed school days.<sup>1189</sup> However, indirect costs account for a larger proportion of the burden of AR than the direct costs.<sup>1178</sup> In the US, AR has been shown to contribute to greater than \$5 billion (US) in lost productivity yearly.<sup>1190</sup> These costs include absenteeism, but health impairments of AR are often not severe enough to cause absenteeism. AR symptoms can interfere with cognitive functioning, resulting in fatigue and impaired learning, concentration, and critical thinking leading to presenteeism or reduced productivity while at work.<sup>1191</sup> As such, presenteeism accounts for the majority of reduced productivity related to AR.<sup>1192–1194</sup>

In the US, AR is the most prevalent condition among the workforce, and accounted for 52 symptomatic days per year with a mean productivity loss of \$518 (US) per employee per year.<sup>1195</sup> In the UK, impaired productivity and/or missed work occurred as a result of AR in 52% of patients.<sup>1183</sup> In India, 37% percent of surveyed patients with AR endorsed presenteeism and AR was responsible for \$460 (US) loss per patient annually.<sup>1188</sup> In Sweden, indirect costs were calculated to be €751 per patient annually.<sup>747</sup> In The Netherlands, indirect costs were estimated to be €3681 per patient annually, and presenteeism accounted for the majority of lost productivity.<sup>1179</sup> In a Spanish study, presenteeism made up 95% of the loss in productivity and was estimated €1772 per year.<sup>1192</sup>

Additionally, there are indirect economic losses that come from caregivers missing work while a child is absent from school. In a Swedish study, the cost of caregiver absenteeism comprised 19% of the mean total costs per year. The cost related to caregiver absenteeism was highest for women aged 30–44 years.<sup>1196</sup>

AR is also the most prevalent chronic disorder among children, as such it has a significant impact on education.<sup>1197,1198</sup> On any given day in the US, approximately 10,000 children are absent from school because of AR.<sup>1199</sup> AR can alter sleep quality resulting in daytime sleepiness, impaired cognition, and poorer memory in children that significantly affects the learning process and impacts school performance.<sup>1120,1198,1200</sup> Even when present during school hours, children with AR exhibit decreased productivity. Conditions associated with AR such as rhinosinusitis, ETD and associated conductive hearing loss may enhance the learning dysfunction.<sup>1198</sup>

Additionally, AR has been associated with negative impact on mental health with functional decline as well as major depression, further reducing overall QOL.<sup>1076,1201,1202</sup> This relationship has been shown in studies from Europe, the US, and Asia.<sup>1202</sup>

AR represents a significant personal and socioeconomic burden that will likely worsen as the prevalence continues to increase.<sup>1203,1204</sup> It can reduce productivity and QOL in affected patients and contribute to comorbid conditions. This results in a significant impact to the overall health system.<sup>1199</sup>

## X | EVALUATION AND DIAGNOSIS

### X.A | History and physical examination

#### X.A.1 | History

A crucial component in the diagnosis of suspected AR rests on clinical history.<sup>5,31,1005,1205,1206</sup> This includes symptoms experienced, timing of symptoms, duration, frequency, patient occupation/school/home environmental exposures that elicit symptoms, and any measures or medications that improve or worsen symptoms.<sup>5,31,1005,1205–1207</sup> Other comorbid conditions in the past medical history, such as asthma, OSA, family history of atopic disorders, and medications currently taken should be gathered.<sup>5,31,1005,1205–1207</sup> Patient response to self-treatment with over-the-counter medications is helpful information, and with advancing technology mobile applications may allow for the potential collection of patient symptomatology to identify symptom patterns that may be very useful for treating providers.<sup>1208</sup>

Classic symptoms of AR include nasal congestion or obstruction, nasal pruritis, rhinorrhea, and sneezing. In addition, patients may complain of other symptoms associated with comorbidities including ocular pruritis, erythema, and/or tearing (allergic conjunctivitis), oral cavity or pharyngeal pruritis (allergic pharyngitis), throat clearing, and wheezing or cough (reactive airway



disease and/or asthma).<sup>5,31,1005,1205–1207</sup> Snoring or sleep-disordered breathing, aural congestion or pruritis, and wheezing are other frequent symptoms.<sup>5,31,1206,1207</sup> In the coronavirus disease 2019 (COVID-19) era, symptoms of hyposmia or anosmia, cough, and/or sore throat, which potentially may also be associated with AR, may cause confusion, and should prompt consideration for other diagnoses, such as active COVID-19 infection.<sup>1207,1209,1210</sup>

Patients with suspected AR will commonly present with multiple complaints, frequently with two or more symptoms.<sup>1207,1208,1210</sup> Perennial AR patients have a tendency to report more congestive symptoms (sinus pressure, nasal blockage/congestion, and snoring) than seasonal AR patients.<sup>1209</sup> Also, perennial AR patients more frequently complain of sore throat, cough, sneezing, rhinorrhea, and postnasal drip.<sup>1207</sup> Prior to the COVID-19 pandemic, symptoms of rhinorrhea, sneezing, sniffing, hyposmia/anosmia, nasal obstruction, and itchy nose ranked highest in diagnostic utility among symptoms of AR; however, the diagnostic utility of hyposmia/anosmia, nasal obstruction, and congestion may be less given the overlap in COVID-19 symptomatology.<sup>1207,1209,1211</sup>

Despite the dearth of high-level evidence, many guidelines suggest that history of two or more symptoms consistent with AR is sufficient for making the diagnosis of AR.<sup>5,31,1005,1205,1210,1211</sup> (Table X.A.1). Since AR lacks pathognomonic physical examination findings, physical examination alone to diagnose AR has been shown to have poor predictive value.<sup>1212</sup> The reliability and predictive value of the patient history for AR exceeds that of the physical exam alone.<sup>1212</sup> In clinical practice, the presumptive diagnosis of AR is often made by only history, even more so during the pandemic with increased utilization of telemedicine where a physical examination is limited.<sup>1210,1211,1213</sup>

### Patient history

**Aggregate grade of evidence:** D (Level 4: 5 studies, level 5: 7 guidelines or expert recommendations; Table X.A.1)

**Benefit:** Improves accuracy of diagnosis, avoids unnecessary referrals, testing, or treatment.

**Harm:** Potential misdiagnosis or inappropriate treatment.

**Cost:** Minimal.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Using history to make a presumptive diagnosis of AR is reasonable and would not delay treatment initiation. History should be combined with physical examination, which

may not be possible in some scenarios such as telemedicine. Confirmation with diagnostic testing is required for progression to AIT or targeted avoidance therapy, or desirable with inadequate response to treatment.

**Policy level:** Recommendation.

**Intervention:** Despite low level evidence specifically addressing this area, history is essential in the diagnosis of AR.

## X.A.2 | Physical examination

Whenever possible, it is important to include physical examination as part of the evaluation of suspected AR patients.<sup>5,31,1005,1205,1210,1213</sup> Telemedicine may complicate this part of the evaluation, but a limited visual examination may be obtained.<sup>1213</sup> An assessment of head and neck organ systems should be completed with the use of any necessary personal protective equipment.<sup>31,1005,1205,1213</sup> If there are patient complaints of wheezing or coughing with allergic triggers or comorbid conditions of asthma, the physical examination may include auscultation of the lungs.<sup>5</sup>

An unremarkable physical examination is common for AR patients, particularly those with intermittent exposure.<sup>1209</sup> Observation alone may reveal possible signs suggestive of AR, which can be useful during telemedicine visits. These signs include mouth-breathing, nasal itching or a transverse supratip nasal crease, throat clearing, periorbital edema, or “allergic shiners” (dark discoloration of the lower lids and periorbital area).<sup>31,1205</sup> Ear examination may reveal retraction of the tympanic membrane or transudative fluid, although evidence for association of effusion with AR is low level. Anterior rhinoscopy may reveal IT hypertrophy, congested/edematous nasal mucosa, purplish or bluish nasal mucosa, and clear rhinorrhea.<sup>31,1005,1205</sup> Eye examination may reveal conjunctival erythema and/or chemosis.<sup>31,1205</sup>

Physical examination by itself is more variable and poorly predictive of the diagnosis of AR when compared to history-taking, with the average sensitivity, specificity, positive predictive value (PPV), and negative predictive values (NPV) of the patient history higher than those of the physical examination.<sup>1212</sup> Most guidelines recommend a physical examination as part of the diagnosis of AR, despite a lack of high level evidence; however, pandemic conditions and the utilization of telemedicine may limit the completeness or possibility of physical examination<sup>1213</sup> (Table X.A.2). Without a physical examination, other potential causes of symptoms such as CRS may not be fully evaluated or eliminated, so if there are limits placed by

**TABLE X.A.1** Evidence table – use of history taking in the diagnosis of allergic rhinitis

| Study                           | Year | LOE            | Study design           | Study groups   | Clinical endpoints   | Conclusions   |
|---------------------------------|------|----------------|------------------------|--|--|---|
| Bousquet et al. <sup>1208</sup> | 2018 | 4              | Observational          | Adults with AR and asthma symptoms                                 | VAS of five categories   | Strong correlations between severity of categories of global assessment, eye, nose, and work  |
| Costa et al. <sup>1211</sup>    | 2011 | 4              | Cohort                 | Adults with AR   | Physician interview and structured questionnaire                                 | Many patients diagnosed on history alone without confirmatory testing   |
| Raza et al. <sup>1212</sup>     | 2011 | 4              | Cross-sectional        | Adults with AR   | History<br>Physical examination<br>SPT   | Physical examination alone yields unreliable and inconsistent results in diagnosing AR  |
| Shatz <sup>1207</sup>           | 2007 | 4              | Survey                 | Adults and children >12 years old with AR<br>Physicians of group 1 | Self-completed patient questionnaire<br>Physician record                         | Persistent AR patients reported more symptoms than intermittent AR patients   |
| Ng et al. <sup>1209</sup>       | 2000 | 4              | Case-control           | Adults with AR   | History<br>Physical examination<br>SPT<br>sIgE                                   | Rhinorrhea, sneezing, sniffing, impaired sense of smell, blocked nose, edematous nasal mucosa, and itchy nose ranked highest diagnostic utility |
| Scadding et al. <sup>1210</sup> | 2020 | 5              | Expert recommendations |  | Recommendations for allergic disease and AIT during the COVID-19 pandemic        | Overlap between COVID and allergic symptoms can be confusing<br>Evaluation and treatment of allergic disease can be managed during a pandemic   |
| Shaker et al. <sup>1213</sup>   | 2020 | 5              | Expert recommendations |  | Recommendations for atopic disorder evaluation/care during the COVID-19 pandemic | Evaluation and treatment require triage and adjust, when necessary, from face-to-face visits to telemedicine                                    |
| Scadding et al. <sup>1206</sup> | 2017 | 5              | Guideline              |  | Recommendations for management of AR and non-allergic rhinitis                   | AR diagnosis is made by history and physical examination, supported by diagnostic tests   |
| Seidman et al. <sup>1005</sup>  | 2015 | 5 <sup>a</sup> | Guideline              |  | Recommendations on diagnosis and treatment of AR                                 | Clinical diagnosis of AR made with a history and physical examination   |

(Continues)

TABLE X.A.1 (Continued)

| Study                        | Year | LOE | Study design | Study groups | Clinical endpoints   | Conclusions  |
|------------------------------|------|-----|--------------|--------------|--|--|
| Wallace et al. <sup>31</sup> | 2008 | 5   | Guideline    |              | Recommendations on the diagnosis and treatment of rhinitis                 | Thorough allergic history remains the best diagnostic tool available                 |
| Small et al. <sup>1205</sup> | 2007 | 5   | Guideline    |              | Recommendations on diagnosis and treatment of rhinitis                     | History of allergic symptoms is essential in the diagnosis of AR                     |
| Bousquet et al. <sup>5</sup> | 2001 | 5   | Guideline    |              | Recommendations on the diagnosis and treatment of AR in asthmatic patients | Symptom type and timing (obtained through history) is essential to correct diagnosis |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; COVID-19, coronavirus disease 2019; LOE, level of evidence; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test; VAS, visual analog scale.

<sup>a</sup>Seidman et al. Clinical Practice Guideline LOE upgraded to 4 in other ICAR sections; although recommended, direct evidence for history and physical exam in AR remains poor and substantiates LOE 5 designation in this section.

telemedicine, additional diagnostic measures may need to be considered, such as a CT scan of the sinuses. A patient history combined with a physical examination improves diagnostic accuracy.<sup>1212</sup>

### Physical examination

**Aggregate grade of evidence:** D (Level 4: 2 studies, level 5: 6 guidelines; Table X.A.2)

**Benefit:** Possible improved diagnosis of AR with physical examination findings, along with evaluation and/or exclusion of alternative diagnoses.

**Harm:** Possible patient discomfort from routine examination, not inclusive of endoscopy.

**Cost:** Minimal.

**Benefits-harm assessment:** Preponderance of benefit over harm, potential misdiagnosis, and inappropriate treatment if used in isolation.

**Value judgments:** Telemedicine is a safe and useful tool in pandemic conditions but does limit what can be gleaned from physical examination. Without the use of nasal endoscopy, it is possible some physical examination findings may be missed.

**Policy level:** Recommendation.

**Intervention:** When possible, physical examination should be performed with appropriate personal protective equipment to aid in the diagnosis of AR and exclusion of other conditions. When combined with patient history, it increases diagnostic accuracy and may exclude alternative causes of symptoms.

### X.A.3 | Nasal endoscopy

Diagnostic nasal endoscopy may complement the evaluation of patients with suspected AR. Several case series and cross-sectional studies have evaluated the association of endoscopic findings with the diagnosis and severity of AR (Table X.A.3).

Ziade et al.<sup>1214</sup> studied a prospective cohort of adult patients with AR symptoms and skin testing confirmation, showing that mucosal edema and bluish discoloration of the ITs were highly predictive of the severity of AR disease ( $p < 0.05$ ) when comparing patients with mild versus moderate/severe AR. Conversely, early studies by Jareoncharsri et al.<sup>1215</sup> and Eren et al.<sup>1216</sup> evaluated a population of adults and children with AR confirmed by allergy testing, concluding that findings of nasal endoscopy do not provide a reliable diagnosis or correlate with specific nasal symptoms of AR.

Additionally, Ameli et al.<sup>1217</sup> evaluated a large cohort of children with suspected AR and confirmed with skin testing, reporting that endoscopic findings of IT or MT septal contact as well as pale mucosa and large adenoid volume were highly predictive for AR. Notably, there were conflicting results in a previous study by the same group that reported no predictive role of pale mucosa as an endoscopic sign for AR.<sup>1218</sup> The possible explanation could be related to the smaller sample analyzed in the previous study.

Polypoid change of the MT has also been correlated with the diagnosis of AR as shown by White et al.,<sup>1219</sup> who described 16 patients with polypoid changes/polyps of the MT, all of which had positive allergy testing. Hamizan et al.<sup>1220</sup> reported that multifocal, diffuse, and polypoid edema – the highest grades of MT edema – had

**TABLE X.A.2** Evidence table – use of physical examination in the diagnosis of allergic rhinitis

| Study                           | Year | LOE            | Study design           | Study groups   | Clinical endpoints   | Conclusions  |
|---------------------------------|------|----------------|------------------------|----------------|--|--|
| Raza et al. <sup>1212</sup>     | 2011 | 4              | Cross-sectional        | Adults with AR | History<br>Physical examination<br>SPT   | Physical examination alone yields unreliable and inconsistent results in diagnosing AR   |
| Ng et al. <sup>1209</sup>       | 2000 | 4              | Case-control           | Adults with AR | History<br>Physical examination<br>SPT<br>sIgE                                       | Physical examination is performed to eliminate other potential causes of symptoms  |
| Shaker et al. <sup>1213</sup>   | 2020 | 5              | Expert recommendations |                | Recommendations for atopic disorder evaluation and care during the COVID-19 pandemic | Evaluation and treatment require triage and adjust, when necessary, from face-to-face visits to telemedicine                               |
| Scadding et al. <sup>1206</sup> | 2017 | 5              | Guidelines             |                | Recommendations for management of AR and non-allergic rhinitis                       | AR diagnosis is made by history and physical examination, supported by diagnostic tests  |
| Seidman et al. <sup>1005</sup>  | 2015 | 5 <sup>a</sup> | Guidelines             |                | Recommendations on diagnosis and treatment of AR                                     | Clinical diagnosis of AR made with history and physical examination  |
| Wallace et al. <sup>31</sup>    | 2008 | 5              | Guidelines             |                | Recommendations on the diagnosis and treatment of rhinitis                           | All organ systems potentially affected by AR should be examined<br><br>Typical allergic findings are supportive of but not specific for AR |
| Small et al. <sup>1205</sup>    | 2007 | 5              | Guidelines             |                | Recommendations on diagnosis and treatment of rhinitis                               | Physical examination findings aid in supporting the diagnosis of AR  |
| Bousquet et al. <sup>5</sup>    | 2001 | 5              | Guidelines             |                | Recommendations on the diagnosis and treatment of AR in asthmatic patients           | Lung examination is recommended in asthmatic patients with symptoms of AR  |

Abbreviations: AR, allergic rhinitis; COVID-19, coronavirus disease 2019; LOE, level of evidence; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test.

<sup>a</sup>Seidman et al. Clinical Practice Guideline LOE upgraded to 4 in other ICAR sections; although recommended, direct evidence for history and physical exam in AR remains poor and substantiates LOE 5 designation in this section.

the strongest association with allergy, with positive predictive values of 85.15%, 91.7%, and 88.9%, respectively. Brunner et al.<sup>1221</sup> compared the clinical characteristics of patients with isolated polypoid change of the MT versus paranasal sinonasal polyposis, finding a higher prevalence of AR in patients with polypoid MT changes compared

to patients with conventional sinonasal polyposis (83% vs. 34%,  $p < 0.001$ ).

Central compartment atopic disease (CCAD), first described in the multi-institutional case series by DelGaudio et al.<sup>1222</sup> in 2017, is a phenotype of nasal inflammatory disease which presents with isolated polypoid changes

**TABLE X.A.3** Evidence table – use of nasal endoscopy in the diagnosis of allergic rhinitis

| Study                                | Year | LOE | Study design                | Study groups   | Clinical endpoints                                      | Conclusions  |
|--------------------------------------|------|-----|-----------------------------|--|---|--|
| Ameli et al. <sup>1217</sup>         | 2019 | 2   | Prospective cross-sectional | Children with suspected AR                           | Nasal endoscopy<br>Allergy testing                      | Middle turbinate contact, pale nasal mucosa, and large adenoid volume were predictive for AR           |
| Ziade et al. <sup>1214</sup>         | 2016 | 2   | Prospective cross-sectional | Adults with rhinitis and nasal obstruction           | Nasal endoscopy<br>Allergy testing                      | Inferior turbinate mucosal edema and bluish discoloration were predictive of AR severity               |
| Hamizan et al. <sup>1220</sup>       | 2017 | 3   | Cross-sectional             | Adults with rhinitis and nasal obstruction           | Nasal endoscopy<br>Allergy testing                      | Middle turbinate edema is useful as a nasal endoscopic feature to predict presence of inhalant allergy |
| DelGaudio et al. <sup>1223</sup>     | 2019 | 4   | Case series                 | Adults with AERD with suspected CCAD and AR          | Nasal endoscopy<br>Allergy testing                      | CCAD endoscopic findings in AERD were significantly associated with clinical allergy                   |
| Brunner et al. <sup>1221</sup>       | 2017 | 4   | Case series                 | Adults with PCMT or paranasal sinus polyposis        | Nasal endoscopy<br>Allergy testing<br>Total eosinophils | PCMT has a greater association with AR compared to sinonasal polyposis                                 |
| DelGaudio et al. <sup>1222</sup>     | 2017 | 4   | Case series                 | Adults with central compartment polypoid edema       | Nasal endoscopy<br>Allergy testing<br>CT scan           | Edema and polypoid changes of the central compartment are strongly associated with inhalant allergy    |
| White et al. <sup>1219</sup>         | 2014 | 4   | Case series                 | Adults with isolated middle turbinate polypoid edema | Nasal endoscopy<br>Allergy testing                      | Isolated middle turbinate polypoid edema is associated with positive allergy testing                   |
| Eren et al. <sup>1216</sup>          | 2013 | 4   | Case series                 | Adults with rhinitis                                 | Nasal endoscopy<br>AR diagnosis                         | Nasal endoscopic findings do not provide reliable diagnosis of AR                                      |
| Ameli et al. <sup>1218</sup>         | 2011 | 4   | Case series                 | Children with suspected AR                           | Nasal endoscopy<br>AR diagnosis                         | Inferior or middle turbinate septal contact was predictive for AR, whereas pale turbinates were not    |
| Jareoncharsri et al. <sup>1215</sup> | 1999 | 4   | Case series                 | Adults and children with perennial AR                | Nasal endoscopy<br>Nasal symptoms                       | No significant correlation between individual symptoms and endoscopic findings                         |

Abbreviations: AERD, aspirin exacerbated respiratory disease; AR, allergic rhinitis; CCAD, central compartment atopic disease; CT, computed tomography; LOE, level of evidence; PCMT, polypoid changes of the middle turbinate.



involving the superior nasal septum with or without the MT and/or superior turbinate, and is strongly associated with inhalant allergy. All patients in the series had positive allergy testing. In a subsequent case series, the same authors found that 81.9% of patients with AERD had central involvement of disease, with 100% of patients with endoscopic central compartment disease having clinical AR.<sup>1223</sup> (See Section XIII.B.3. Central Compartment Atopic Disease for additional information on this topic.)

Despite early inconsistent reports, the current body of evidence has shown that certain nasal endoscopy findings, particularly central compartment polypoid changes, are predictive factors for the presence and severity of AR and nasal endoscopy may aid in the identification or exclusion of other possible causes of symptoms, such as nasal polyposis or CRS.

### Nasal endoscopy

Aggregate grade of evidence: C (Level 2: 2 studies, level 3: 1 study, level 4: 7 studies; Table X.A.3)

Benefit: Possible improved diagnosis with visualization of middle or inferior turbinate edema, pale/bluish discoloration, or isolated central compartment polypoid changes and/or edema, which have been associated with AR.

Harm: Possible patient discomfort.

Cost: Moderate equipment and processing costs, as well as procedural charges.

Benefits-harm assessment: Balance of benefit and harm.

Value judgments: Nasal endoscopy may increase diagnostic sensitivity among children and adults with allergic rhinitis.

Policy level: Option.

Intervention: Nasal endoscopy may be considered as a diagnostic adjunct in the evaluation of patients with suspected AR.

central compartment mucosa and aeroallergen reactivity, resulting in the CRS phenotype of CCAD.<sup>1224–1228</sup> Other studies have described evidence of radiographic changes among patients with known AR, including the association for smaller maxillary sinuses and enlargement of the septal swell region.<sup>1229,1230</sup>

Radiology studies incur additional cost and demonstrate little diagnostic value for AR. There is also concern for ionizing radiation with CT scanning, along with risk for future malignancy.<sup>1231–1233</sup> These factors preclude the routine utilization of radiographic studies for the diagnosis of AR.

### Radiologic studies

Aggregate grade of evidence: D (Level 3: 1 study, level 4: 7 studies; Table X.A.4)

Benefit: Some radiologic findings, particularly those associated with central compartment edema/polyposis, may alert the clinician to the possibility of an associated allergic etiology.

Harm: Unnecessary radiation exposure, unnecessary cost.

Cost: High equipment and processing costs. Additional costs for interpretation of studies by radiologist.

Benefits-harm assessment: Preponderance of harm over benefit.

Value judgments: Long-term risks of ionizing radiation outweigh potential benefit.

Policy level: Recommendation against.

Intervention: Routine use of imaging is not recommended for the diagnosis of AR.

## X.A.4 | Radiologic studies

Radiographic workup is not recommended for the routine diagnosis of AR. Although some radiographic findings have been associated with AR, there are no high-quality studies demonstrating a role for imaging in the diagnosis of AR.

For patients that undergo imaging, certain radiologic patterns described in the literature may indicate an allergic role in their disease process. Several studies have demonstrated association between inflammatory changes to the

## X.B | Skin testing

### X.B.1 | Skin prick testing

SPT, in conjunction with clinical history and physical examination, can confirm the diagnosis of AR and help to differentiate AR from non-allergic types of rhinitis. The confirmation of an IgE-mediated process can guide avoidance measures and direct appropriate pharmacologic therapy. Allergy testing is crucial for the initiation of AIT, and therefore, skin testing should be utilized in eligible patients when AIT is being considered.

SPT is performed with lancets, which come in a variety of forms. Generally, lancets are designed to limit skin penetration depth to 1 mm. However, varying amounts of pressure applied to the delivery device can alter the depth of skin penetration, which ultimately influences the skin reaction to an antigen.<sup>1235</sup> Prick testing devices

**TABLE X.A.4** Evidence table – use of radiologic studies in the diagnosis of allergic rhinitis

| Study                            | Year | LOE | Study design    | Study groups   | Clinical endpoints                             | Conclusions   |
|----------------------------------|------|-----|-----------------|--|--|---|
| Lee et al. <sup>1227</sup>       | 2021 | 3   | Cross-sectional | Children with CRS  | Radiologic evidence of CCAD<br>Allergy testing | Radiologic CCAD phenotype in children is associated with allergen sensitivity and asthma  |
| Abdullah et al. <sup>1228</sup>  | 2020 | 4   | Cross-sectional | Patients with CRSwNP   | Nasal endoscopy<br>CT scan<br>Allergy testing  | Allergic phenotype of CRSwNP has worse symptomatic and radiologic disease burden  |
| Hizli et al. <sup>1230</sup>     | 2020 | 4   | Cross-sectional | Patients with IT hypertrophy with and without AR                   | CT scan<br>Allergy testing                     | Septal body areas were greatest in patients with AR   |
| Roland et al. <sup>1226</sup>    | 2020 | 4   | Cross-sectional | Patients with CRSwNP   | CT scan  | CT scans can identify patients with CCAD phenotype due to low Lund–MacKay scores, septal disease, and oblique middle turbinates |
| Hamizan et al. <sup>1224</sup>   | 2018 | 4   | Cross-sectional | CRS patients without sinus surgery                                 | CT scan<br>Allergy testing                     | Central radiologic disease patterns associated with inhalant allergy  |
| Sharhan et al. <sup>1234</sup>   | 2018 | 4   | Cross-sectional | Patients with septal deviation                                     | CT scan<br>Allergy testing                     | IT size is not associated with AR   |
| DelGaudio et al. <sup>1222</sup> | 2017 | 4   | Case Series     | Patients with sinonasal symptoms and CT imaging of central disease | CT scan<br>Allergy testing                     | Radiographic central compartment disease is associated with inhalant allergy  |
| Kaymakci et al. <sup>1229</sup>  | 2015 | 4   | Cross-sectional | Patients with nasal symptoms and suspected AR                      | Allergy testing<br>CT scan                     | Patients with AR showed smaller overall maxillary sinus volumes   |

Abbreviations: AR, allergic rhinitis; CCAD, central compartment atopic disease; CRS, chronic rhinosinusitis; CRSwNP, chronic rhinosinusitis with nasal polyposis; CT, computed tomography; IT, inferior turbinate; LOE, level of evidence.

can come as single or multiple lancet devices. Multiple lancet devices have the advantage of being able to rapidly apply multiple antigens to the skin at one time with a more consistent amount of pressure.<sup>1236,1237</sup> Wheal size, sensitivity, and reproducibility all differ from one device to another; therefore, any clinician performing SPT must thoroughly familiarize themselves with the testing device they choose to utilize in their practice.<sup>1236–1238</sup> The lancet can be dipped into a well containing an antigen and then applied to the skin, or droplets of antigen can be placed on the skin and then using the lancet, a prick made through the droplet. When an antigen is applied to the skin of a sensitized patient, the antigen cross-links IgE antibodies on the surface of cutaneous mast cells resulting in degranula-

tion and release of mediators (including histamine) which leads to the formation of a wheal and flare reaction within 15–20 min.<sup>1239,1240</sup>

The volar surfaces of the forearms and the back are the most common testing sites for SPT. Choice of site is directed by the age and size of the patient, the presence of active skin conditions in a testing location, or significant tattooing in the testing area, which could impact interpretation. Reactivity of different body sites can vary, as the back is overall more reactive than the forearm. Within each site, there may be variability as well, as middle and upper parts of the back are more reactive than the lower back. Tests should be applied 2 cm or greater apart as placing them closer to one another can allow spreading of allergen

solution between test sites.<sup>1241</sup> After approximately 20 min, the results are read by measuring the size of the wheal by its greatest diameter. Wheals that are greater than or equal to 3 mm in diameter, when compared to the negative control, are considered positive.

The number and choice of antigens used in testing vary considerably between clinical practices. A panel of antigens representing an appropriate geographical profile of allergens that a patient would routinely be exposed to is recommended. Positive (histamine) and negative (saline, 50% glycerin or 50% glycerinated human serum albumin with saline) controls should always be included. Regarding allergen extracts, variability in quality and potency between commercially available extracts has been demonstrated.<sup>1242,1243</sup> Therefore, whenever possible, standardized allergens should be used.<sup>1244</sup> With advancements in molecular biology, new techniques for extraction, characterization, and production of allergens have been developed allowing for production of recombinant or purified allergens which may increase the sensitivity, specificity, and diagnostic accuracy of tests.<sup>1245</sup>

Given the limited depth of penetration, SPT is safe with very rare reports of anaphylaxis and no reported fatalities.<sup>1246</sup> SPT can be performed in any age group and is of value in pediatric populations given the speed at which multiple antigens can be applied and the limited discomfort experienced during testing. Aside from an excellent safety profile, SPT has reported sensitivity and specificity of around 80%.<sup>1244,1246,1247</sup> It is felt to be more sensitive than serum sIgE testing with the added benefits of lower cost and immediate results.<sup>1246,1248,1249</sup> Despite numerous studies aimed at comparing SPT, single intradermal tests, and serum sIgE testing, evidence marking one form of testing as superior to the others is lacking.<sup>1005</sup>

Skin testing is not appropriate in all patients. Absolute contraindications to SPT in the evaluation of AR include uncontrolled or severe asthma, severe or unstable cardiovascular disease, and pregnancy. Skin conditions including dermatographia and AD are relative contraindications to SPT given the possibility of false positives. Concurrent  $\beta$ -blocker therapy is also a relative contraindication.<sup>1250</sup> Certain medications and skin conditions can interfere with skin testing and are covered in detail in other sections. (See Section X.B.4. Issues that may Affect the Performance or Interpretation of Skin Tests for additional information on this topic.)

Several errors may occur during SPT and impact the results and reliability. Since heterogeneity can be introduced when using multiple different test devices, it is recommended that the same device type can be used routinely in one's clinical practice to improve the reliability, comparability, and interpretation of testing.<sup>1251</sup> Personnel who apply tests should be appropriately trained and periodically monitored for quality control. Common errors

with SPT include placing the test sites too close together (less than 2 cm), pressing too hard or creating deep punctures that cause bleeding, insufficient penetration of the skin by the puncture instrument, and spreading of allergen solutions across the field during the test by wiping away the solution.<sup>1251</sup>

There is a large body of evidence detailing the use of SPT in clinical practice. Based upon several prospective studies and systematic reviews, SPT has been demonstrated to be a safe method of allergy testing with sensitivity and specificity of greater than 80% (Table X.B.1). It has not been shown to be inferior to serum sIgE testing or single intradermal testing and is less expensive than serum sIgE testing. SPT does carry a risk of anaphylaxis, but no deaths from SPT have been reported. It is also associated with some discomfort during testing; however, the discomfort is generally less than that experienced during an intradermal test. Reviewing the available literature, a preponderance of benefit over harm exists for SPT. Therefore, the use of SPT is recommended in situations where the diagnosis of AR needs to be confirmed or a patient with presumed AR has failed appropriate empiric medical therapy and AIT is being considered.

### Skin prick testing

**Aggregate grade of evidence:** B (Level 1: 1 study, level 3: 2 studies, level 4: 7 studies, level 5: 2 studies; Table X.B.1)

**Benefit:** Confirm AR diagnosis and direct appropriate pharmacologic therapy, initiation of AIT, as well as avoidance measures.

**Harm:** Adverse events from testing including discomfort, pruritus, erythema, worsening of asthma symptoms, anaphylaxis, inaccurate test results, and misinterpreted test results. See Table II.C.

**Cost:** Moderate cost of testing procedure.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Patients can benefit from identification of their specific sensitivities. SPT is a quick and relatively comfortable way to test several antigens with accuracy similar to other available methods of testing.

**Policy level:** Recommendation.

**Intervention:** Regular use of the same SPT device type will allow clinicians to familiarize themselves with it and interpretation of results may therefore be more consistent. The use of standardized allergen extracts can further improve consistency of interpretation.

**TABLE X.B.1** Evidence table – use of skin prick testing in the diagnosis of allergic rhinitis

| Study                            | Year | LOE            | Study design             | Study groups   | Clinical endpoints  | Conclusions   |
|----------------------------------|------|----------------|--------------------------|--|---|---|
| Nevis et al. <sup>1252</sup>     | 2016 | 1              | SRMA                     | Studies evaluating the diagnostic accuracy of SPT                        | Accuracy of SPT   | Pooled estimate for SPT sensitivity and specificity was 85% and 77%, respectively<br>SPT is accurate in discriminating subjects with or without AR  |
| Wood et al. <sup>143</sup>       | 1999 | 3              | Prospective cohort       | Patients with cat allergy determined by history and a cat-exposure model | Compared predictive values of SPT, intradermal test and RAST in the diagnosis of cat allergy                        | SPT and RAST values exhibited excellent efficiency in diagnosis of cat allergy<br>Single intradermal added little to the diagnostic evaluation<br>Overall sensitivity and specificity of SPT was 79% and 91%, respectively  |
| Tschopp et al. <sup>1249</sup>   | 1998 | 3              | Prospective cohort       | Randomly selected sample of 8329 Swiss adults                            | Compared the sensitivity, specificity, PPV and NPV of SPT, IgE levels and fluoroenzyme immunoassay in diagnosing AR | Sensitivity of fluoroenzyme immunoassay was significantly higher than SPT and IgE<br>However, SPT was significantly more specific and had a better PPV<br>SPT was the most efficient test to diagnose AR  |
| Seidman et al. <sup>1005</sup>   | 2015 | 4 <sup>a</sup> | Guideline                | N/A  | N/A   | Clinicians should perform and interpret or refer for sIgE (skin or blood) allergy testing for patients with a clinical diagnosis of AR who do not respond to empiric treatment, or the diagnosis is uncertain<br>Aggregate evidence grade B                           |
| Bernstein et al. <sup>1246</sup> | 2008 | 4 <sup>a</sup> | Practice parameter       | N/A  | N/A   | Sensitivity of SPT ranges from 85%–87%, specificity ranges between 79% and 86%<br>Many studies have verified the sensitivity and specificity of SPT<br>Aggregate evidence grade B   |
| Gungor et al. <sup>1253</sup>    | 2004 | 4              | Prospective case-control | NPT positive<br>NPT negative   | Sensitivity and specificity of SPT versus SET for diagnosing AR   | SPT was more sensitive (85.3% vs. 79.4%) and specific (78.6% vs. 67.9%) than SET as a screening procedure for multiple antigens<br>SPT had a greater PPV (82.9% vs. 75%) and NPV (81.5% vs. 73%) than SET<br>None of these differences were statistically significant |

(Continues)

TABLE X.B.1 (Continued)

| Study                              | Year | LOE | Study design             | Study groups   | Clinical endpoints   | Conclusions  |
|------------------------------------|------|-----|--------------------------|--|--|--|
| Krouse et al. <sup>1254</sup>      | 2004 | 4   | Prospective case-control | <i>Alternaria</i> SPT positive<br><i>Alternaria</i> single intradermal #2 positive<br><i>Alternaria</i> negative | Acoustic rhinometry of minimal cross-sectional area of nasal cavity  | Analysis of NPT showed sensitivity of 42% and specificity of 44% for SPT using <i>Alternaria</i> antigen   |
| Krouse et al. <sup>1255</sup>      | 2004 | 4   | Prospective case-control | Timothy grass SPT positive<br>Timothy grass single intradermal #2 positive<br>Timothy grass negative             | Acoustic rhinometry of minimal cross-sectional area of nasal cavity  | Analysis of NPT showed sensitivity of 87% and specificity of 86% with multi-test application of Timothy grass antigen  |
| Zarei et al. <sup>1256</sup>       | 2004 | 4   | Prospective case-control | NPT positive<br>NPT negative   | Wheal size that best identifies clinical allergy to cat based on NPT   | On SPT with cat antigen, a wheal size of $\geq 3$ mm had a sensitivity of 100% and specificity of 74.1%; improved with increasing size of wheal  |
| Pumhirun et al. <sup>1257</sup>    | 2000 | 4   | Prospective case-control | Perennial rhinitis patients  | Compared sensitivity and specificity of intradermal test to SPT and sIgE assay for <i>D. pteronyssinus</i> and <i>D. farinae</i> | SPT for <i>D. pteronyssinus</i> and <i>D. farinae</i> were 90.4% and 86.4% sensitive and 99.5% and 93.1% specific, respectively<br>This compared to sensitivity of 96.3% and 88.9% and specificity of 96.2% and 88.9% of sIgE assay  |
| Ansotegui et al. <sup>1251</sup>   | 2020 | 5   | Position paper           | N/A  | N/A  | For type I IgE-mediated allergic disease, skin tests are first-line approach for indicating the presence of allergen specific IgE antibodies<br>In vitro serum IgE detection with the use of highly purified allergen or recombinants is an alternative diagnostic procedure |
| Heinzerling et al. <sup>1258</sup> | 2013 | 5   | Review                   | N/A  | N/A  | SPT is a reliable method to diagnose AR with specificity of 70%–95% and sensitivity of 80%–90% for inhalant allergies<br>Further standardization of SPT is needed  |

Abbreviations: AR, allergic rhinitis; IgE, immunoglobulin E; LOE, level of evidence; N/A, not applicable; NPT, nasal provocation test; NPV, negative predictive value; PPV, positive predictive value; RAST, radio allegro-sorbent test; s, allergen-specific; SET, skin endpoint titration; SPT, skin prick test; SRMA, systematic review and meta-analysis.

<sup>a</sup>LOE upgraded from typical assignment of 5 due to systematic review of the literature, extensive history of guideline development, and peer review process.



## X.B.2 | Intradermal skin testing

Intradermal skin testing is one of the oldest forms of allergy testing, originally described in 1911. In this technique, 0.02–0.05 ml of diluted allergen extract is introduced into the dermis with a needle. The dilutions used are 100- to 1000-fold less concentrated than those used for SPT. The response is measured at 10–15 min after injection. A significant wheal and flare reaction suggests the presence of preformed IgE bound to the surface of cutaneous mast cells, and thus a type 1 hypersensitivity to the tested allergen. Intradermal testing is considered to be more sensitive than SPT, but not necessarily more capable of identifying clinically relevant allergy.<sup>1246</sup> Intradermal testing may be used as a primary diagnostic modality and its performance for some allergens, such as *Alternaria*, may be similar to SPT or in vitro testing.<sup>1259</sup> A more common approach is to perform intradermal testing after a negative SPT to identify lower level allergic sensitivity. Some allergists also use intradermal testing in a titrated fashion (using multiple allergen dilutions) with the goal of more accurately quantifying allergic sensitization or as a means to select a starting dose for AIT.<sup>1260</sup> Intradermal dilutional testing (IDT) is roughly equivalent to SPT in the diagnosis of inhalant allergy,<sup>1253</sup> and IDT endpoint correlates with SPT wheal size.<sup>1261</sup> However, the role of intradermal testing for aeroallergen sensitivity is controversial due to concerns about the performance characteristics (sensitivity and specificity) of single intradermal tests relative to SPT.<sup>1262</sup>

As with any skin test, intradermal skin testing should be performed in conjunction with appropriate positive and negative controls. A negative control should include appropriately diluted test solutions (e.g., glycerin for aqueous glycerinated extracts). A positive control should contain diluted histamine base (e.g., 10 mg/ml).<sup>1246</sup> Measurement of the wheal and flare response is used to determine a positive result; however, thresholds for a positive test may vary because studies have not been performed to standardize test grading. A wheal size 2–4 mm larger than the negative control is often used as the threshold for a positive test.<sup>1246,1262</sup>

Assessment of the sensitivity and specificity of intradermal testing is hampered by multiple variables in the published studies. These include the concentration and volume of allergen injected, the definitions of a positive test, variation in allergens tested, and the “gold standard” comparator used for analysis.<sup>1263</sup> As a stand-alone diagnostic test for AR, using studies with nasal provocation as the reference standard, estimates for sensitivity for intradermal testing range between 60% and 79%, while specificity is in the range of 68%–69%.<sup>143,1253</sup> In comparison, a meta-analysis of SPT trials had pooled estimates of 88.4% sensitivity and 77.1% specificity for SPT,<sup>1264</sup> suggesting superiority of SPT as a stand-alone allergy diagnostic

test. Nevertheless, intradermal tests are still used when a highly sensitive skin test is desired. This may be particularly important when testing with non-standardized allergen extracts (e.g., molds, trees) (Table X.B.2).

Intradermal tests are also employed when SPT is negative but history strongly suggests an allergic sensitivity, and may be particularly useful in patients with lower skin sensitivity.<sup>1246</sup> Negative intradermal testing may be helpful in ruling out IgE-mediated disease.<sup>1262</sup> On the other hand, the addition of intradermal testing in the setting of SPT negativity may result in 20% more positive allergy skin testing results, and the clinical significance of these results is an important question that needs to be resolved.<sup>1265</sup> Positive intradermal tests may merely be due to non-specific irritant phenomena.

Because intradermal testing has traditionally been considered more sensitive than SPT, it is often used as an add-on test in the setting of a negative SPT result when allergy is suspected. Theoretically, an intradermal test will be able to identify a clinically significant sensitivity that is otherwise not detected on SPT. However, many studies have failed to show an added benefit of intradermal testing in this setting. For example, Krouse et al.<sup>1255</sup> showed that adding intradermal testing to SPT only increased the sensitivity from 87% to 93% for Timothy grass allergy when nasal provocation was used as the comparator. In a similar study with *Alternaria*, Krouse et al.<sup>1254</sup> determined that adding intradermal testing to SPT increased the sensitivity from 42% to 58%. These studies suggest marginal increase in sensitivity that may vary based upon the allergen being tested.

Nelson et al.<sup>1266</sup> studied individuals with a history of seasonal AR and clinical history of grass allergy. One group had negative SPT but positive intradermal tests, while another group had negative SPT and negative intradermal tests. In both groups, 11% of individuals had a positive nasal challenge with timothy grass, demonstrating that the addition of an intradermal test did not improve the diagnostic accuracy of skin testing as judged by the “gold standard” of nasal provocation plus clinical history. Additionally, in a study of patients with clinical cat allergy and negative SPT, a positive intradermal test did not increase the likelihood of a positive cat allergen challenge.<sup>143</sup> There was no difference between those who had positive or negative intradermal testing (24% vs. 31%). Thus, while about 30% of patients with a clear clinical history of cat allergy had a positive cat allergen challenge despite a negative SPT, the addition of an intradermal test did not improve the diagnostic accuracy of skin testing.

Schwindt et al.<sup>1267</sup> studied 97 subjects with allergic rhinoconjunctivitis symptoms. SPT was followed by intradermal testing if SPT was negative. If patients were SPT negative and intradermal test positive, a nasal challenge was performed against five different allergens. If SPT with the multi-test II device was negative, only 17% of

**TABLE X.B.2** Evidence table – use of intradermal skin testing in the diagnosis of allergic rhinitis

| Study                                  | Year | LOE | Study design         | Study groups   | Clinical endpoints   | Conclusions  |
|--|------|-----|----------------------|--|--|--|
| Larrabee and Reisacher <sup>1265</sup> | 2015 | 3   | Retrospective cohort | 87 patients with AR who underwent IDST after (–) SPT   | IDST positivity  | 21% more were IDST(+) compared to SPT  |
| Sharma et al. <sup>1269</sup>          | 2008 | 3   | Cohort               | 69 mouse lab workers   | Nasal challenge compared to SPT, IDST, sIgE                                    | SPT better than IDST or sIgE in predicting (+) nasal challenge   |
| Schwindt et al. <sup>1267</sup>        | 2005 | 3   | Cohort               | 97 subjects: SPT followed by IDST if SPT(–) and IDST(+) nasal challenge performed for five allergens | Using history as gold standard, SPT, IDST and nasal challenge results compared | If SPT(–), only 17% had (+) IDST that corresponded with history<br>None corresponded with (+) nasal challenge<br>If SPT(–), then (+) IDST unlikely to identify clinically relevant sensitivity                       |
| Simons et al. <sup>1270</sup>          | 2004 | 3   | Retrospective cohort | 34 patients tested for aeroallergen sensitivity with IDT and SPT                                     | Comparison of SPT and IDT  | 100% had at least one positive IDT; 50% negative on SPT<br>More patients tested positive on IDT versus SPT<br>SPT wheal size and IDT endpoint correlated for several allergens<br>IDT may be more sensitive than SPT |
| Wood et al. <sup>143</sup>             | 1999 | 3   | Prospective cohort   | 120 patients with symptoms from cat exposure   | Cat exposure challenge, symptom scores, FEV <sub>1</sub>                       | IDST added little value beyond SPT and RAST  |
| Niemeijer et al. <sup>1263</sup>       | 1993 | 3   | Cohort               | 497 patients with suspected allergy<br>Standardized grass pollen, tree pollen, cat, HDM tested       | IDST, RAST, clinical history   | Ideal cutoff for positive IDST is wheal diameter 0.7 times the size of histamine control<br>IDST has 83% predictive value versus RAST and 77% predictive value versus history  |
| Niemeijer et al. <sup>1271</sup>       | 1993 | 3   | Cohort               | 41 patients tested with varying concentrations of Phleum and <i>D. pteronyssinus</i>                 | SPT, IDST, sIgE<br>Adjusted wheal sizes compared to RAST class score           | Optimum concentration of tested allergens was 1:10 for SPT, 1:1000 for IDST  |
| Hurst and McDaniel <sup>1272</sup>     | 2021 | 4   | Case series          | 371 patients with AR, asthma, chronic otitis media with effusion                                     | SPT, IDT results compared to AIT outcomes                                      | 52% more sensitizations detected with IDT<br>Patients who had (–) SPT with (+) IDT responded to AIT  |

(Continues)

TABLE X.B.2 (Continued)

| Study                            | Year | LOE | Study design             | Study groups   | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|--------------------------|--|--|--|
| Erel et al. <sup>1273</sup>      | 2017 | 4   | Case series              | 4223 patients with AR or asthma  | Rate of (+) IDST if (–) SPT  | 44% of (–) SPT had a (+) IDST, mostly seen in HDM and fungal allergy   |
| Peltier and Ryan <sup>1261</sup> | 2007 | 4   | Cohort                   | 134 volunteers<br>Simultaneous SPT and IDT for five common allergens   | SPT wheal size versus IDT endpoint   | IDT endpoint correlates with SPT wheal size  |
| Peltier and Ryan <sup>1274</sup> | 2006 | 4   | Cohort                   | 86 volunteers tested simultaneously for mold allergens with SPT and IDT  | SPT wheal size versus IDT endpoint   | If clinical symptoms, SPT wheal size and IDT endpoint correlated<br>IDT identified 10% more positive results compared to SPT alone |
| Seshul et al. <sup>1275</sup>    | 2006 | 4   | Case series              | 134 patients with suspected allergy screened with SPT then IDT   | IDT performed if SPT (+)   | 93% of SPT(+) were also IDT(+)<br>SPT wheal size had low-moderate correlation with IDT endpoint                                    |
| Purohit et al. <sup>1276</sup>   | 2005 | 4   | Cohort                   | 18 patients with birch allergy<br>sIgE against rBet v 1, IDT, basophil histamine release assay                                     | Correlations among IDT endpoint, serum sIgE, provocation thresholds for basophil histamine release | IDT endpoint correlated with basophil histamine release<br>IDT endpoint did not correlate with rBet v 1 serum sIgE                 |
| Gungor et al. <sup>1253</sup>    | 2004 | 4   | Case series              | 62 patients with ragweed allergy   | Nasal provocation, rhinomanometry  | Sensitivity and specificity of IDT comparable to SPT   |
| Krouse et al. <sup>1255</sup>    | 2004 | 4   | Prospective case-control | 37 patients with Timothy grass allergy:<br>Group I: SPT(+)<br>Group II: SPT(–), IDST(+)<br>Group III: SPT(–), IDST(–)              | SPT and IDST compared with nasal provocation   | IDST after SPT increased the sensitivity from 87% to 93%   |
| Krouse et al. <sup>1254</sup>    | 2004 | 4   | Prospective case-control | 44 patients with AR:<br>Group I: SPT(+)<br>Group II: SPT(–), IDST(+)<br>Group III: SPT(–), IDST(–)                                 | Nasal allergen provocation for <i>Alternaria</i> compared to skin tests                            | IDST after SPT increased the sensitivity from 42% to 58%   |
| Nelson et al. <sup>1266</sup>    | 1996 | 4   | Prospective case-control | 70 subjects:<br>Group I: SAR, SPT(–), IDST(+)<br>Group II: SAR, SPT(+)<br>Group III: SAR, SPT(–), IDST(+)<br>Group IV: no rhinitis | Nasal challenge with Timothy grass compared to skin tests  | (+) IDST after (–) SPT did not indicate the presence of clinically significant sensitivity   |

(Continues)

TABLE X.B.2 (Continued)

| Study                           | Year | LOE | Study design             | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------|------|-----|--------------------------|---|--|---|
| Escudero et al. <sup>1259</sup> | 1993 | 4   | Prospective case-control | 66 patients, 31 with <i>Alternaria</i> allergy<br>SPT, IDST, challenge tests, sIgE  | Comparison of test methods versus clinical history and nasal/bronchial challenge                     | SPT, IDST, and challenge more sensitive than serum sIgE<br>All testing methods had similar specificity  |
| Brown et al. <sup>1277</sup>    | 1979 | 4   | Case series              | 311 subjects with and without allergy complaints  | SPT versus IDST (if prick negative), paper radioimmunosorbent test, or RAST                          | No relationship between sIgE and SPT(-)/IDST(+) results   |
| Reddy et al. <sup>1278</sup>    | 1978 | 4   | Case series              | 34 patients with perennial rhinitis, (-) SPT for 60 allergens but with at least one positive IDST evaluated with RAST, nasal provocation, leukocyte histamine release | RAST, nasal provocation, and leukocyte histamine release compared to IDST positivity, SPT negativity | SPT(-)/IDST(+) did not have a positive RAST nor a positive leukocyte histamine release<br>In contrast, (+) SPT was associated with (+) RAST and leukocyte histamine release assay<br>When SPT(-), (+) IDST not likely to indicate the presence of allergy |

(-), negative; (+), positive. Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; FEV<sub>1</sub>, forced expiratory volume in one second; HDM, house dust mite; IDST, intradermal skin test; IDT, intradermal dilutional testing; LOE, level of evidence; RAST, radioallergosorbent test; SAR, seasonal allergic rhinitis; sIgE, allergen-specific immunoglobulin E.

subjects had a positive intradermal test that corresponded with clinical history. None of these positive intradermal results corresponded with a positive nasal challenge. Taken together, these studies suggest that intradermal testing may not improve the diagnosis of allergy in subjects with a negative SPT.

Intradermal testing for inhalant allergens is considered safe. However, systemic reactions, such as anaphylaxis, and even death, have been reported after intradermal testing. The risks of intradermal testing may be reduced by testing with more dilute solutions in individuals with suspected high-level sensitivity or by performing SPT as an initial screening test. The risk of intradermal testing is significantly higher in medication allergy and IgE-mediated food allergy and therefore not recommended.<sup>1268</sup>

In summary, intradermal testing is an option for the diagnosis of AR due to aeroallergens, especially when using non-standardized allergen extracts. This form of testing demonstrates no clear superiority over SPT when comparing sensitivity and specificity, though results may vary by allergen tested. Single dilution intradermal testing has not been adequately studied in comparison to IDT, though IDT results may approximate SPT results, especially in

patients with high level sensitivity. For some allergens such as *Alternaria*, there appears to be a gain in sensitivity when intradermal testing is used as a confirmatory test following negative SPT.

### Intradermal skin testing

**Aggregate grade of evidence:** C (Level 3: 7 studies, level 4: 13 studies; Table X.B.2)

**Benefit:** May improve identification of allergic sensitization in patients with low-level skin sensitivity or with non-standardized allergens.

**Harm:** Adverse events from testing including discomfort, pruritus, erythema, worsening of asthma symptoms, anaphylaxis, inaccurate test results, and misinterpreted test results. See Table II.C.

**Cost:** Moderate cost of testing procedure.

**Benefits-harm assessment:** Benefit over harm when used as a stand-alone diagnostic test, when used to confirm the results of SPT, and as a quantitative diagnostic test.

**Value judgments:** Intradermal skin tests may not perform as well as SPT in most clinical situations.

**Policy level:** Option for using intradermal testing as a stand-alone diagnostic test for individuals with suspected AR. Option for using intradermal testing as a confirmatory test following negative SPT for non-standardized allergens.

**Intervention:** Intradermal testing may be used to determine aeroallergen sensitization in individuals suspected of having AR.

Krouse and Krouse<sup>1280</sup> as a method to establish an “end-point” for a specified allergen, was described as “modified quantitative testing” (MQT) and serves as an example of a blended technique. MQT involves an algorithm where SPT is used initially to apply an antigen. Depending upon the SPT result, an intradermal test may or may not be applied.<sup>1261,1274,1279,1280</sup> With these results, the algorithm is used to determine an endpoint for each antigen tested.<sup>1261,1274,1279,1280</sup> The endpoint is considered to be a safe starting point for AIT.<sup>1280</sup> Other protocols may combine the use of SPT and intradermal testing but not for the purposes of establishing an endpoint.<sup>1273,1281</sup> Instead, an intradermal test may be used following a negative SPT to determine allergen sensitization.<sup>1273,1281</sup>

AIT based on the results of MQT has shown to be successful and to induce immune system changes in line with other skin testing techniques.<sup>1280</sup> However, literature is

### X.B.3 | Blended skin testing techniques

The combined use of SPT and intradermal testing for a specific allergen is referred to as “blended” allergy testing.<sup>1261,1274,1279</sup> One example, originally described by

**TABLE X.B.3** Evidence table – use of blended skin testing techniques in the diagnosis of allergic rhinitis

| Study                                | Year | LOE | Study design                | Study groups                                      | Clinical endpoints   | Conclusions   |
|--------------------------------------|------|-----|-----------------------------|---|--|---|
| Erel et al. <sup>1273</sup>          | 2017 | 4   | Case series                 | 4233 adult patients with AR ± asthma              | ID test placed following negative SPT for individual antigens  | 44% of patients with negative SPT had positive result with follow up ID test                                    |
| Tantilipikorn et al. <sup>1281</sup> | 2015 | 4   | Case series                 | 82 adult patients with AR and negative SPT to HDM | ID to HDM<br>sIgE to HDM                                       | Fair to moderate correlation to HDM sIgE<br>ID test after negative SPT can be considered an alternative to sIgE |
| Fornadley <sup>1279</sup>            | 2014 | 4   | Review                      | Skin testing techniques                           | Review of various skin testing techniques                      | MQT has been shown to be a valid form of skin testing   |
| Lewis et al. <sup>1282</sup>         | 2008 | 4   | Cost-effectiveness analysis | Skin testing techniques                           | Comparison of sIgE, IDT, MQT from a payer perspective          | MQT most cost-effective when AR prevalence is 20% or higher   |
| Peltier and Ryan <sup>1261</sup>     | 2007 | 4   | Cohort                      | 134 adults with AR                                | IDT with five antigens<br>MQT protocol with five antigens      | MQT is a safe alternative to IDT for determining starting doses for AIT   |
| Krouse, et al. <sup>1280</sup>       | 2006 | 4   | Case series                 | Nine adults with AR                               | MQT<br>sIgE and sIgG4 for three antigens<br>SNOT-20, AOS, RSDI | MQT-based AIT results in immune system changes and QOL improvements   |
| Peltier and Ryan <sup>1274</sup>     | 2006 | 4   | Cohort                      | 86 adults with AR                                 | IDT with six mold antigens<br>MQT with six mold antigens       | MQT is a safe alternative to IDT for determining starting doses for AIT for fungal allergens                    |

Abbreviations: AIT, allergen immunotherapy; AOS, Allergy Outcome Scale; AR, allergic rhinitis; HDM, house dust mite; ID, intradermal; IDT, intradermal dilutional testing; LOE, level of evidence; MQT, modified quantitative testing; QOL, quality of life; RSDI, Rhinosinusitis Disability Index; sIgE, allergen-specific immunoglobulin E; sIgG4, allergen-specific IgG4; SNOT-20, Sinonasal Outcome Test (20 item); SPT, skin prick test.



lacking in protocols involving blended skin testing (Table X.B.3).

Specifically for MQT, advantages attributed to it include the provision of both qualitative data (sensitization to a specific allergen) and quantitative data (testing endpoint upon which AIT starting dose can be based) in less time than IDT.<sup>1261,1274,1279</sup> Disadvantages include the additional risk and time involved in placing intradermal tests. MQT has been shown to be more cost-effective when the prevalence of AR in a population is 20% or higher when compared to IDT and in vitro testing methods.<sup>1206,1282</sup>

### Blended skin testing techniques

Aggregate grade of evidence: D (Level 4: 7 studies; Table X.B.3)

Benefit: Ability to establish an endpoint in less time than intradermal dilutional testing, potential to determine allergen sensitization after negative SPT.

Harm: Adverse events from testing including discomfort, pruritus, erythema, worsening of asthma symptoms, anaphylaxis, inaccurate test results, and misinterpreted test results. Additional time and discomfort versus SPT alone. See Table II.C.

Cost: Moderate cost of testing procedure.

Benefits-harm assessment: Preponderance of benefit over harm.

Value judgments: While AIT can be based off SPT results alone, endpoint-based AIT may have possible benefits of decreased time to therapeutic dosage.

Policy level: Option.

Intervention: Blended skin testing techniques, such as MQT, are methods that can be used to determine a starting point for AIT or confirm allergic sensitization.

duration of suppression dependent on the tissue concentration and half-life of the medication.<sup>1285</sup> Orally ingested antihistamines typically suppress skin test responses for 2–7 days after stopping the medication.<sup>1286,1287</sup> Topical antihistamines may also suppress skin wheal and flare responses.<sup>1288</sup> Furthermore, H<sub>2</sub> receptor antagonists like ranitidine can reduce skin whealing responses,<sup>1289,1290</sup> and a combined suppressive effect of H<sub>1</sub> and H<sub>2</sub> antihistamines on skin whealing has been demonstrated.<sup>1291</sup> Antidepressants with antihistaminic properties (such as doxepin) impair the wheal and flare,<sup>1292</sup> but newer antidepressant classes such as selective serotonin reuptake inhibitors do not alter allergy skin test reactivity<sup>1293</sup> (Tables X.B.4.a.-1 and X.B.4.a.-2).

Omalizumab, a monoclonal anti-IgE antibody, suppresses the allergy the skin test response by interfering with IgE-mediated mast cell degranulation. A placebo-controlled RCT noted significant reduction in the allergen-induced skin wheal response after 4 months of omalizumab<sup>1294</sup>; whereas skin test response returned to normal within 8 weeks of discontinuation of omalizumab in another study.<sup>1250</sup>

Hill and Krouse<sup>1295</sup> and Simons et al.<sup>1296</sup> found no effect of montelukast on intradermal skin tests, and Cuhadaroglu et al.<sup>1297</sup> noted that allergic patients treated with zafirlukast had no change in SPT results. Therefore, leukotriene modifying agents do not appear to affect skin test results.

Most studies indicate that systemic steroid treatment does not alter skin test results,<sup>1298,1299</sup> but some less rigorous retrospective studies contradict these findings.<sup>1300,1301</sup> Topical steroid treatment does suppress the wheal and flare reaction in treated skin areas, according to several studies.<sup>1302–1305</sup> Allergy skin tests should not be performed in areas that are being treated with topical steroid medications in order to avoid false negative results.

Several classes of medications have not been adequately studied with respect to their effect on allergy skin test responses. Benzodiazepines have been implicated as possibly suppressing skin test responses.<sup>1306,1307</sup> Calcineurin inhibitors demonstrate conflicting findings. Tacrolimus has been shown to inhibit SPT whealing,<sup>1305</sup> whereas pimecrolimus does not appear to affect skin whealing responses.<sup>1308</sup> Herbal preparations are understudied in this area, so it is unclear which of these agents could interfere with allergy skin test responses. More et al.<sup>1309</sup> performed a double-blind placebo-controlled, single dose crossover study in 15 healthy volunteers, examining the histamine induced skin test response. None of the 23 herbal supplements evaluated suppressed the histamine induced wheal response.

All allergy skin testing should be performed after application of appropriate positive controls (e.g., histamine)

## X.B.4 | Issues that may affect the performance or interpretation of skin tests

### X.B.4.a | Medications

Medications that inhibit mast cell degranulation or block histamine H<sub>1</sub> receptors antagonists may suppress appropriate skin test responses. For this reason, it is important to assess the medications patients are taking prior to allergy skin testing.

There is substantial variation in the suppressive effects that H<sub>1</sub> antihistamines have on the allergen and histamine induced wheal and flare responses,<sup>1283,1284</sup> with the

**TABLE X.B.4.a.-1** Timing of medication discontinuation prior to allergy skin testing

|  |  |
|--|--|
| <b>H<sub>1</sub> antihistamines</b>                              | Should be discontinued 2–7 days prior to testing.<br><i>Aggregate grade of evidence:</i> A (Level 2: 3 studies, level 3: 3 studies, level 4: 1 study)  |
| <b>H<sub>2</sub> antihistamines</b>                              | Ranitidine may suppress skin whealing response, leading to false negative results. Should be discontinued 2 days prior to testing.<br><i>Aggregate grade of evidence:</i> A (Level 2: 2 studies, level 3: 1 study, level 4: 1 study) |
| <b>Topical antihistamines (nasal, ocular)</b>                    | Should be discontinued 2 days prior to testing.<br><i>Aggregate grade of evidence:</i> Unable to determine from one level 2 study.   |
| <b>Anti-IgE (omalizumab)</b>                                     | Results in negative allergy skin test results. May suppress skin whealing response for 4–6 months.<br><i>Aggregate grade of evidence:</i> A (Level 2: 1 study, level 3: 1 study)   |
| <b>Leukotriene modifying agents</b>                              | May be continued during testing.<br><i>Aggregate grade of evidence:</i> A (Level 2: 2 studies, level 3: 1 study)   |
| <b>Tricyclic antidepressants</b>                                 | Antidepressants with antihistaminic properties suppress allergy skin test responses. Should be discontinued 7–14 days prior to testing.<br><i>Aggregate grade of evidence:</i> B (Level 2: 1 study, level 4: 1 study)                |
| <b>Topical (cutaneous) corticosteroids</b>                       | Skin tests should not be placed at sites of chronic topical steroid treatment.<br><i>Aggregate grade of evidence:</i> A (Level 2: 3 studies, level 3: 1 study)   |
| <b>Systemic corticosteroids</b>                                  | Systemic corticosteroid treatment does not significantly impair skin test responses.<br><i>Aggregate grade of evidence:</i> C (Level 2: 1 study, level 3: 1 study, level 4: 2 studies; conflicting results)                          |
| <b>Selective serotonin reuptake inhibitors (SSRIs)</b>           | Do not suppress allergy skin test responses.<br><i>Aggregate grade of evidence:</i> C (Level 3: 1 study, level 4: 1 study)   |
| <b>Benzodiazepines</b>   | May suppress skin test responses. Should be discontinued 7 days prior to testing.<br><i>Aggregate grade of evidence:</i> C (Level 4: 2 studies)  |
| <b>Topical calcineurin Inhibitors (tacrolimus, pimecrolimus)</b> | Conflicting results regarding skin test suppression.<br><i>Aggregate grade of evidence:</i> C (Level 2: 2 studies; conflicting results)  |

to verify that the histamine induced skin test reaction is intact at the time of testing. This practice helps to mitigate against unknown factors – potentially medications – causing inappropriate interpretation of skin test results.

#### X.B.4.b | Skin conditions

Allergy skin tests rely upon the wheal and flare reaction induced by allergen-specific mast cell degranulation. However, mast cell degranulation can occur via a variety of non-immunologic mechanisms including minor skin trauma. Individuals with an exaggerated “triple response of Lewis” are considered to have “dermatographia” or “urticaria factitia,” and may comprise 2%–5% of the population.<sup>1246</sup> Dermatographism may interfere with interpretation of allergy skin tests. Therefore, a negative control test should also be performed at the time of skin testing. In general, the negative control test consists of a prick with an applicator device (including the diluent), or placement of an intradermal wheal with inert diluent, in the case of intradermal testing. While an allergen induced skin wheal and flare may be compared to that induced by a test with mere diluent, results must always be interpreted with caution in the setting of dermatographia.

The skin of patients with other urticarias, AD, allergic contact dermatitis, etc. also may not respond appropri-

ately to the trauma, histamine, glycerin, or allergen that are inherent in skin testing. Skin reactions could be exaggerated, or the effect of allergen-induced mast cell degranulation could be obscured. Common sense dictates that allergy skin tests should not be performed at sites of active dermatitis, but clinical studies to investigate this phenomenon are lacking.<sup>1311</sup> In some cases, it may be preferable to perform in vitro sIgE testing in patient with skin disease or dermatographism, but this is not based on data or outcomes from controlled studies.

#### Issues that may affect the performance or interpretation of skin tests – skin conditions

*Aggregate grade of evidence:* N/A (no identified studies)

*Benefit:* Correct identification of aeroallergen sensitivity.

*Harm:* Discomfort of skin test.

*Cost:* Low-moderate.

*Benefits-harm assessment:* Accurate skin test results justify discomfort and negligible cost of control tests.

**Value judgments:** In vitro allergy tests may be more appropriate than skin tests, in patients with dermatographia, urticaria, or other generalized dermatitis.

**Policy level:** Recommendation.

**Intervention:** Allergy skin tests should be performed in areas without active dermatitis or other lesions. Positive and negative control tests should be used in conjunction with allergy skin testing for AR.

## X.C | In vitro testing

### X.C.1 | Serum total IgE

IgE is the hallmark immunoglobulin in atopic disease. Atopy, or reactivity to otherwise innocent allergens can be determined by dermal reactivity (e.g., SPT), or by determining sIgE to a certain allergen in serum. The total IgE (tIgE) level in serum can also be determined. As atopy is not disease-specific, the question arises whether serum tIgE has any place in the evaluation and diagnosis of AR.

From the literature, roughly two study approaches to determine the role of tIgE are identified: population-based studies (e.g., birth cohorts, school health surveys, or general population approaches) and hospital-based studies including patients visiting otorhinolaryngology or allergy clinics. Data from the first approach show conflicting evidence. In some studies, tIgE is related to AR diagnosis,<sup>1312–1315</sup> in others it is less clear.<sup>1316,1317</sup> Moreover, it seems from these studies that other comorbidities, especially asthma, give rise to elevated tIgE.<sup>1314,1315</sup> However, the presence of asthma is not accounted for in most studies, possibly confounding the outcomes. Another weakness of population-based studies is that the diagnosis of AR depends on questionnaires, symptom scores, or self-reported diagnosis. This might lead to overdiagnosis of AR in these studies as the distinction with non-allergic rhinitis, common colds, or other nasal diseases can be challenging (Table X.C.1).

Hospital-based studies have the advantage of improved diagnostics but have the risk of selection bias. At any rate, these studies also show a mixed picture about the role of tIgE in the diagnosis of AR. Overall, the levels of tIgE are higher in AR versus non-allergic rhinitis<sup>1318–1320</sup> or versus controls.<sup>1321,1322</sup> Some studies investigated the correlation between serum sIgE and tIgE<sup>1323,1324</sup> showing a good overall fit. In hospital-based studies, the influence of asthma is seen as well<sup>1325</sup> but again not accounted for in most reports.

Taken together, an elevated tIgE is indicative of an atopic condition,<sup>1326</sup> though not necessarily AR specifically. As

such, tIgE is not required in the diagnostic pathway for AR. Many authors conclude that obtaining a serum tIgE can be helpful but is only a preliminary or supportive criterion for AR. Especially if an SPT is performed, there seems to be little added value of obtaining a serum tIgE, as it requires venipuncture which can be bothersome for children. In population-based studies, tIgE can be supportive of AR, given that the study methodology allows for differentiation between atopic conditions such as asthma or AD in the study population.

Although in general obtaining a serum tIgE is not advised as a routine diagnostic approach, it can be needed or helpful in specific situations. For example, it has been suggested that monitoring of the efficiency of AIT may be done by evaluating the ratio between sIgE and tIgE; this is discussed in detail in a position paper from EAACI.<sup>1327</sup> Allergic broncho-pulmonary aspergillosis is the only clinical condition described to date, where the presence of high levels of tIgE is strictly related to disease severity.<sup>1251</sup> However, these specific cases are exceptions to the rule that serum tIgE is not needed for the diagnosis and evaluation of AR.

#### Serum total IgE

**Aggregate grade of evidence:** C (Level 2: 4 studies, level 3: 11 studies; Table X.C.1)

**Benefit:** Possibility to suspect allergy or atopy in a wide screening.

**Harm:** Cost of test, undergoing of venipuncture, low level does not exclude AR.

**Cost:** Low, dependent on country and local health-care environment.

**Benefits-harm assessment:** Slight preponderance of benefit over harm. In addition, the ratio tIgE/sIgE may be useful to interpret the real value of sIgE production and predict treatment outcomes with AIT.

**Value judgments:** The evidence does not support routine use.

**Policy level:** Option.

**Intervention:** Assessment of tIgE may be useful to assess overall atopic status; furthermore, in selected cases it might help guide therapy (i.e., monitor efficacy of AIT).

### X.C.2 | Serum allergen-specific IgE

Determining the presence of sIgE that verifies allergen sensitization is the cornerstone of diagnostic testing in

**TABLE X.B.4.a.-2** Evidence table – medication effect on skin testing response

| Study                                | Year | LOE | Study design                     | Study groups  | Clinical endpoints   | Conclusions   |
|--------------------------------------|------|-----|----------------------------------|---|--|---|
| Gradman and Wolthers <sup>1305</sup> | 2008 | 2   | Randomized crossover, cohort     | 12 children with atopic eczema treated with topical mometasone or tacrolimus ×2 weeks | SPT for 10 allergens   | Topical mometasone and tacrolimus reduced wheal diameter<br>Topical mometasone reduced histamine-induced wheal  |
| Kupczyk et al. <sup>1290</sup>       | 2007 | 2   | DBRCT, crossover                 | 21 atopic subjects treated with ranitidine, loratadine, or placebo ×5 days            | Wheal, flare, pruritis following SPT with histamine and allergen             | Ranitidine: reduced wheal (41%), flare (16%), allergen-induced wheal (23%), and flare (22%)<br>Loratadine: reduced wheal (51%), flare (33%), allergen-induced wheal (40%), and flare (44%)<br>Ranitidine and loratadine both reduced pruritis score |
| Spergel et al. <sup>1308</sup>       | 2004 | 2   | DBRCT, within subject comparison | 12 adults with AD and AR or asthma  | Allergen SPT wheal and flare, before/after topical 1% pimecrolimus cream     | 1% pimecrolimus cream does not significantly impact SPT results   |
| Hill and Krouse <sup>1295</sup>      | 2003 | 2   | DBRCT                            | 23 atopic subjects treated with loratadine, montelukast, or placebo                   | Intradermal whealing response  | Loratadine, but not montelukast, reduced the intradermal wheal diameter after allergen injection  |
| More et al. <sup>1309</sup>          | 2003 | 2   | RCT                              | 15 subjects received single-blind dose of placebo, fexofenadine, 23 other herbals     | Histamine 1 mg/ml wheal at baseline and 4 h after dose of herbal preparation | Fexofenadine significantly reduced SPT wheal size versus placebo<br>None of the 23 herbal preparations showed significant effect on wheal size versus placebo   |
| Noga et al. <sup>1294</sup>          | 2003 | 2   | DBRCT                            | 35 moderate–severe asthmatics treated with placebo or omalizumab                      | SPT for allergen before and 16 weeks after treatment                         | Omalizumab caused significant reduction in SPT wheal size versus placebo  |
| Pearlman et al. <sup>1288</sup>      | 2003 | 2   | RCT                              | 78 patients with seasonal AR: single dose versus 2 weeks of azelastine nasal spray    | Inhibition of histamine induced wheal  | 2 weeks of azelastine inhibited wheal/flare from histamine, returned to baseline at 48 h after cessation  |

(Continues)

TABLE X.B.4.a.-2 (Continued)

| Study                                 | Year | LOE | Study design              | Study groups  | Clinical endpoints   | Conclusions  |
|---------------------------------------|------|-----|---------------------------|---|--|--|
| Simons et al. <sup>1296</sup>         | 2001 | 2   | DBRCT, crossover          | 12 allergic participants treated with fexofenadine, montelukast, or placebo   | Intradermal histamine, LTD4, allergen, placebo injection           | Montelukast did not significantly decrease early or late phase cutaneous allergic responses<br>Fexofenadine significantly decreased early and late responses |
| Simons and Simons <sup>1310</sup>     | 1997 | 2   | DBRCT, crossover          | 20 adult males received single dose oral fexofenadine or loratadine   | SPT response   | Fexofenadine and loratadine both inhibited SPT wheal and flare response for 24 h   |
| Miller and Nelson <sup>1289</sup>     | 1989 | 2   | DBRCT                     | 23 healthy subjects treated with ranitidine or placebo ×7 doses   | Histamine and compound 48/80 induced SPT wheal and flare           | Ranitidine reduced histamine wheal and flare by 22%<br>No significant reduction in compound 48/80 wheal and flare  |
| Pipkorn et al. <sup>1304</sup>        | 1989 | 2   | DBRCT, placebo-controlled | 10 patients with AR treated with clobetasol cream or placebo BID ×2–4 weeks   | Allergen SPT wheal and flare                                       | Clobetasol treated skin had reduced wheal and flare response<br>Histamine induced wheal reduced at 4 weeks by topical steroid                                |
| Rao et al. <sup>1292</sup>            | 1988 | 2   | Randomized trial          | 33 healthy subjects received single dose desipramine or doxepin   | Daily histamine SPT  | Desipramine inhibits wheal response for 2 days<br>Doxepin inhibits wheal response for 4 days   |
| Andersson and Pipkorn <sup>1303</sup> | 1987 | 2   | DBRCT                     | 17 patients with AR treated with topical clobetasol ×1 week   | Histamine SPT<br>Allergen SPT                                      | Topical clobetasol significantly suppresses allergen induced wheal and flare response  |
| Slott and Zweiman <sup>1299</sup>     | 1974 | 2   | DBRCT, crossover          | 15 atopic patients treated with methylprednisolone  | Intradermal wheal size for histamine, allergen, and compound 48/80 | No effect of 7 days methylprednisolone on intradermal wheal size   |
| Cook et al. <sup>1286</sup>           | 1973 | 2   | DBRCT                     | 18 adults with skin test positive AR treated with chlorpheniramine, triphenylamine, promethazine, hydroxyzine, or diphenhydramine ×3 days | Intradermal wheal size suppression                                 | All antihistamines suppressed wheal size to varying degrees<br>Hydroxyzine suppressed responses for 4 days after cessation versus 2 days for diphenhydramine |

(Continues)



TABLE X.B.4.a.-2 (Continued)

| Study                               | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|-------------------------------------|------|-----|--------------|---|--|---|
| Isik et al. <sup>1293</sup>         | 2011 | 3   | Cohort       | 24 subjects started on SSRIs for depression   | Histamine and allergen induced SPT wheal responses                         | SSRIs fluoxetine, sertraline, and escitalopram did not significantly affect SPT whealing responses  |
| Corren et al. <sup>1250</sup>       | 2008 | 3   | Cohort       | 40 patients with perennial AR undergoing omalizumab treatment   | Dust mite allergen skin test reactivity                                    | Omalizumab significantly reduces allergy skin test reactivity   |
| Narasimha et al. <sup>1302</sup>    | 2005 | 3   | Cohort       | 26 subjects treated with topical clobetasol application   | Histamine induced wheal response   | Topical clobetasol inhibited SPT whealing response to histamine at the site of topical application; dose- and duration-dependent  |
| Cuhadaroglu et al. <sup>1297</sup>  | 2001 | 3   | Cohort       | Zafirlukast 20 mg BID for at least 5 days:<br>Nine patients with AR/asthma<br>Eight controls  | SPT to histamine and allergens   | Zafirlukast did not suppress histamine or allergen induced wheal and flare response   |
| Des Roches et al. <sup>1298</sup>   | 1996 | 3   | Case-control | Long-term systemic steroids:<br>33 patients with steroid dependent asthma<br>66 in matched cohort   | Codeine and dust mite induced SPT response                                 | Systemic steroid therapy does not alter SPT reactivity to codeine or allergen   |
| Harvey and Schocket <sup>1291</sup> | 1990 | 3   | Cohort       | 10 healthy subjects treated with hydroxyzine, cimetidine, or both   | Titrated intradermal histamine wheal                                       | Hydroxyzine inhibited cutaneous wheal response to histamine, cimetidine did not<br>Two drugs together significantly reduced whealing versus either alone                            |
| Almind et al. <sup>1287</sup>       | 1988 | 3   | Cohort       | 23 healthy individuals treated with dex-chlorpheniramine, astemizole, cyproheptadine, loratidine, or terfenadine ×2 days                            | Effect on histamine SPT wheal<br>Duration of SPT wheal suppression         | All antihistamines suppressed SPT wheal response to histamine<br>Duration of suppression exceeded 72 h for all agents tested  |
| Long et al. <sup>1283</sup>         | 1985 | 3   | Cohort       | 18 subjects, 10 had positive SPT to grass or ragweed allergens<br>Six different antihistamines<br>Pretreatment with hydroxyzine or chlorpheniramine | Effect on SPT wheal and flare reaction to histamine, morphine, or allergen | Antihistamines varied in their ability to suppress SPT wheal response<br>Administration of hydroxyzine for 3 weeks reduced skin test suppression, suggesting induction of tolerance |

(Continues)

TABLE X.B.4.a.-2 (Continued)

| Study                               | Year | LOE | Study design         | Study groups   | Clinical endpoints                                  | Conclusions   |
|-------------------------------------|------|-----|----------------------|--|---|---|
| Phillips et al. <sup>1284</sup>     | 1983 | 3   | Cohort               | 10 atopic subjects received injection of ketotifen, clemastine, chlorpheniramine, or sodium cromoglycate | Inhibition of allergen and histamine induced wheals | Ketotifen, clemastine, and chlorpheniramine but not sodium cromoglycate significantly inhibit skin whealing responses   |
| Geng et al. <sup>1301</sup>         | 2015 | 4   | Case-control         | 52 cases with negative histamine control tests<br>125 controls   | Predictors of negative histamine control test       | ICU stay, systemic steroid use, H <sub>2</sub> blockers, and older age associated with negative histamine control test  |
| Shah et al. <sup>1306</sup>         | 2010 | 4   | Retrospective cohort | Histamine SPT responses in patients with exposure to a variety of medications                            | SPT wheal area and SPT positivity                   | H <sub>1</sub> antagonists impaired whealing responses within 3 days of discontinuation<br>Tricyclic antidepressants, benzodiazepines, mirtazapine, quetiapine had wheal suppression<br>Other SSRIs and SNRIs as well as H <sub>2</sub> antagonists not independently associated with wheal suppression |
| Duenas-Laita et al. <sup>1307</sup> | 2009 | 4   | Uncontrolled cohort  | 42 drug abusers taking alprazolam TID  | Histamine (10 mg/ml) SPT and allergen skin tests    | All subjects taking alprazolam had negative histamine SPTs<br>Incomplete data reported  |
| Olson et al. <sup>1300</sup>        | 1990 | 4   | Retrospective cohort | Skin test with codeine and histamine:<br>25 atopic patients on chronic systemic steroids<br>25 controls  | Intradermal skin test reactivity                    | Chronic systemic steroid use reduces codeine induced wheal response but not histamine induced wheal response  |

Abbreviations: AD, atopic dermatitis; AR, allergic rhinitis; BID, twice daily; DBRCT, double-blind randomized controlled trial; ICU, intensive care unit; LOE, level of evidence; SPT, skin prick test; RCT, randomized controlled trial; LTD4, leukotriene D4; SNRI, selective norepinephrine reuptake inhibitor; SSRI, selective serotonin reuptake inhibitor; TID, three times daily.

suspected allergic conditions. The assessment of sIgE can be done by skin tests, serological immunoassays, and/or cellular immunoassays.<sup>1251</sup>

Serological immunoassays detect and measure the level of serum sIgE. Innovations in molecular biology have revolutionized the procurement, characterization, and production of allergens through recombinant and phage

methods.<sup>1328</sup> The ability to perform serum sIgE immunoassays with recombinant or highly purified allergens has increased the sensitivity, specificity, and diagnostic accuracy of these tests.<sup>1245</sup> Additionally, development of miniature computer-driven autoanalyzers and nanotechnology-based devices, enhanced signal detection instrumentation, and new solid phase chip and particle materials have

**TABLE X.C.1** Evidence table – use of serum total immunoglobulin E in the diagnosis of allergic rhinitis

| Study                          | Year | LOE | Study design                  | Study groups  | Clinical endpoints   | Conclusions  |
|--------------------------------|------|-----|-------------------------------|---|--|--|
| Jacobs et al. <sup>1315</sup>  | 2014 | 2   | Cross-sectional               | 547 children (6–14 years old) from randomly selected households:<br>265 with AR (per ARIA), (+)SPT<br>192 with asthma   | Correlation between tIgE and AR ± asthma                                 | tIgE significantly associated with AR in children with asthma (OR 2.3; 95% CI 1.5–3.5)<br>AR can be diagnosed if tIgE ≥ 100 kU/L both in asthmatics (PPV 85.1%, NPV 68%) and non-asthmatics (PPV 77.8%, NPV 90.9%) |
| Tu et al. <sup>1316</sup>      | 2013 | 2   | Population-based cohort       | 1321 children (5–18 years old) from PATCH study; rhinitis based on self-reported diagnosis and/or medication use for AR   | Correlation between tIgE and AR  | tIgE for diagnosing AR: AUC: 0.70 (0.67–0.73), optimal cut-off 89.0 U/ml<br>Overall insufficient accuracy of tIgE to detect allergic diseases regardless of cutoff value   |
| Salo et al. <sup>1314</sup>    | 2011 | 2   | Cross-sectional               | 7398 subjects (>6 years old) from NHANES 2005–2006; hay fever and allergies defined as self-reported doctor-diagnosed   | Association of tIgE level with current hay fever                         | Association of current hay fever and 10-fold increase of tIgE (OR 1.86; 95% CI 1.44–2.41)<br>ORs for different age, race, and gender groups not relevantly different<br>Highest tIgE and sIgE found in asthmatics  |
| Marinho et al. <sup>1313</sup> | 2007 | 2   | Whole-population birth cohort | 478 children (5 years) from MAAS  | tIgE levels and correlation with current rhinitis or rhinoconjunctivitis | Borderline association between tIgE and current rhinitis (OR 1.2; 95% CI 1.02–1.3) or current rhinoconjunctivitis (OR 1.3; 95% CI 1.1–1.5), not significant in multivariate analysis                               |
| Qamar et al. <sup>1322</sup>   | 2020 | 3   | Prospective case-control      | 221 consecutive patients from otolaryngology department:<br>121 with AR (per ARIA), (+)SPT; mean age 25.3 (5–45) years; 41.3% with asthma<br>100 controls; mean age 24.9 (8–41) years | tIgE levels in AR versus controls  | Mean tIgE in AR 493.30 ± 258.55 versus 228.12 ± 81.85 IU/ml in controls ( $p < 0.001$ )<br>tIgE >150 IU/ml: 82.4% sensitivity, 71.7% specificity, 73.6% PPV, 81.0% NPV   |
| Sharma et al. <sup>1321</sup>  | 2019 | 3   | Retrospective case-control    | 155 patients, mean age 33.2 years:<br>113 AR cases (per ARIA)<br>42 controls  | tIgE levels in AR versus controls  | Mean log tIgE in cases: 5.65 (tIgE 814.36 IU/ml), and in controls: 4.43 (tIgE 96.62 IU/ml), $p < 0.001$<br>No difference between age groups  |

(Continues)

TABLE X.C.1 (Continued)

| Study                            | Year | LOE | Study design                       | Study groups   | Clinical endpoints   | Conclusions   |
|----------------------------------|------|-----|------------------------------------|--|--|---|
| Li et al. <sup>1320</sup>        | 2016 | 3   | Retrospective cohort               | 610 adults, 349 with AR, median age 27.0 (23.0–42.0) years, from otolaryngology department   | tIgE levels in AR versus NAR   | tIgE: AR 166.0 (58.4–422.5) IU/ml, NAR 68.8 (24.5–141.0) IU/ml, $p < 0.001$   |
| Park et al. <sup>1317</sup>      | 2016 | 3   | Follow-up of cross-sectional study | 567 schoolchildren from 3rd/4th grade of elementary schools at first study, now from 5th/6th grade   | Correlation of tIgE at baseline and development of allergic symptoms at follow-up                              | In 191 children without allergic sensitization initially, tIgE >17.7 IU/ml associated with risk for allergic sensitization (46.3% sensitivity; 85.3% specificity; OR 4.8) tIgE may be helpful to predict sensitization but not complaints |
| Chung et al. <sup>1324</sup>     | 2014 | 3   | Retrospective cohort               | 1073 patients, mean age 36.9 (1–91) years from an otolaryngology clinic (2006–2010), symptoms and findings consistent with AR  | Correlation between sIgE and tIgE  | tIgE >150 IU/ml: AUC 0.88, 89.6% PPV, ~52% NPV (estimated from figure)<br>tIgE <10 IU/ml: 89.6% NPV   |
| Karli et al. <sup>1323</sup>     | 2013 | 3   | Retrospective cohort               | 295 patients, mean age 33.9 (6–80) years, with at least two nasal complaints (itching, obstruction, runny discharge, sneezing) and/or positive findings on anterior rhinoscopy | Correlation between sIgE (for inhalant and food allergens) and tIgE, categorized as <20, 20–100, and >100 U/ml | 23.7% had tIgE <20 U/ml<br>38.3% had tIgE between 20 and 100 U/ml<br>33.8% had tIgE >100 U/ml<br>108 had positive sIgE for inhalant allergens, 85.2% of these had tIgE above 20 U/ml  |
| Demirjian et al. <sup>1326</sup> | 2012 | 3   | Prospective cohort                 | 125 consecutive patients, mean age 57 years, referred to allergy/immunology clinic, 89 with AR by SPT  | tIgE as predictor of atopy   | tIgE levels >140 IU/ml is suggestive of an atopic etiology for patients with rhinitis signs/symptoms  |
| Jung et al. <sup>1319</sup>      | 2011 | 3   | Prospective cohort                 | 442 consecutive patients with AR symptoms, median age 33 (8–76) years, from otolaryngology department  | Discrimination of AR (defined as symptoms with positive sIgE)  | tIgE of 98.7 IU/ml strong predictor of AR: AUC 0.79 (0.74–0.83), 75.2% sensitivity, 69.7% specificity, OR 6.93 (95% CI 4.29–9.62), 71.3% PPV, 73.7% NPV<br>tIgE (IU/ml): AR $468.6 \pm 733.4$ , NAR $118.4 \pm 180.8$ , $p < 0.001$       |

(Continues)

TABLE X.C.1 (Continued)

| Study                                  | Year | LOE | Study design               | Study groups  | Clinical endpoints  | Conclusions   |
|--|------|-----|----------------------------|---|---|---|
| Kalpaklioglu and Kavut <sup>1318</sup> | 2009 | 3   | Retrospective case-control | 323 consecutive and unselected patients from tertiary clinic, mean age 31.8 years, 205 with AR, asthma equally present in both groups | tIgE levels between AR and NAR  | tIgE: AR 261 (359), NAR 126 (172), $p < 0.01$<br>Differences in complaints and seasonality between AR and NAR |
| Satwani et al. <sup>1325</sup>         | 2009 | 3   | Cross-sectional            | 258 patients from pediatric medicine unit, 0.5–12 years old, 172 with AR based on complaints, 92.2% with asthma                       | Correlation between elevated (higher than non-specified reference values) tIgE and AR | No association between tIgE and AR<br>Strong association of tIgE with asthma                                  |
| Ando and Shima <sup>1312</sup>         | 2007 | 3   | Cross-sectional            | 370 school children, 9–10 years old, 98 with AR<br>No information on overlap with asthma or atopic eczema                             | tIgE levels between AR and healthy controls   | tIgE: AR 230.4 (157.6–337.0), patients without rhinitis 96.5 (76.9–121.1), $p < 0.001$                        |

Abbreviations: AR, allergic rhinitis; ARIA, Allergic Rhinitis and its Impact on Asthma; AUC, area under the curve; CI, confidence interval; LOE, level of evidence; MAAS, Manchester Asthma and Allergy Study; NAR, non-allergic rhinitis; NHANES, National Health and Nutrition Examination Survey; NPV, negative predictive value; OR, odds ratio; PATCH, Prediction of Allergies in Taiwanese Children; PPV, positive predictive value; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test; tIgE, total immunoglobulin E.

improved the diagnostic accuracy and consistency of in vitro tests.<sup>1329,1330</sup> Furthermore, increased knowledge of molecular allergen components allow clinicians to predict the risk of severe allergic reactions and to identify the most appropriate AIT extract selections for each patient.<sup>1330</sup>

Derived from the original radio allegro-sorbent test (RAST), new methods of sIgE immunoassay, like enzyme-linked immunosorbent assay (ELISA), fluorescent enzyme immunoassays, and/or chemiluminescent assays are available. These measurements of serum sIgE can be done using single allergen (singleplex: one assay per sample) or through a predefined panel that includes several allergens (multiplex: multiple assays per sample). Singleplex tests allow the clinician to choose select allergens as dictated by the clinical history.<sup>1251</sup> Multiplex tests provide results of a broad array of preselected allergens.

The multiplex test is important in diagnosis of polysensitized patients. Multiplex platforms are slowly being implemented in many allergy care centers outside of research and tertiary care centers, although currently the most widely used systems are singleplex. Some, like Thermo Fisher ImmunoCAP, have an extensive amount of scientific literature demonstrating their efficacy.<sup>1331</sup> Each test has certain characteristics based on the detection method used, the dynamic range of reading of the instrument, time and conditions for the incubation, amount of aller-

gen in the tube, and characteristics of the anti-IgE.<sup>1251,1330</sup> There are three different kinds of serum sIgE assays available: qualitative, semi-quantitative, and quantitative. Qualitative assays are useful to determine if the patient is sensitized to common allergens, providing positive, negative, or borderline sIgE results to a mix of allergens without measuring the IgE concentration. Semi-quantitative assays grade response by reporting a series of classes (e.g., class I–VI). Quantitative assays report sIgE antibody concentration. Most singleplex platforms are quantitative assays; multiplex is semi-quantitative.

Multiplex platforms or panels of 10–12 selected allergens (i.e., pollens, cat, and mite) will detect up to 95% of patients who would have been identified on a larger battery.<sup>1332,1333</sup> If the test is negative, absence of allergy is probable.<sup>1329</sup>

Serum sIgE testing may also be beneficial for selecting allergens for AIT. In polysensitized patients, it can be difficult to determine the most relevant allergen(s) on SPT. In these situations, molecular allergy using components will help to discriminate the most relevant allergens and thus better guide AIT.<sup>1334</sup> In addition, serum sIgE seems to correlate with the severity of AR symptoms.<sup>1335–1339</sup> Since patients with more severe symptoms appear to respond better to AIT than those with milder symptoms, serum sIgE may help in the selection of candidates for AIT and possibly predicting the response.<sup>1335,1340</sup>



SPT has advantages and disadvantages when compared to sIgE tests. As a general concept, SPT is more sensitive, whereas serum sIgE detection is more quantitative than SPT.<sup>1251</sup>

There are several advantages of serum sIgE over skin testing. The safety profile is excellent as the risk for anaphylaxis is non-existent. It is the preferred testing method in individuals at high risk for anaphylaxis.<sup>1341</sup> Undergoing SPT is also limited by the presence of certain medical conditions.<sup>1341</sup> When SPT is contraindicated, serum sIgE testing offers a safe and effective option for determining the presence of IgE-mediated hypersensitivities. Additionally, where certain medications can alter SPT results, serum sIgE testing is not similarly impacted. Finally, in very young patients in which SPT may prove too stressful, serum sIgE can be considered.

There are some important limitations to serum sIgE testing. While patients are accepting of both in vitro and in vivo allergy testing, many prefer SPT because it allows for immediate feedback and visible results.<sup>1340</sup> Unless molecular allergy diagnostic approach with allergenic components is used (precision allergy medicine diagnosis or PAMD@),<sup>1330</sup> serum sIgE to regular allergens cannot accurately predict the risk of severe allergic reaction. If PAMD@ is not used, cross-reacting allergens and polysensitizations can confound in vitro testing, leading to false positive results.<sup>1342</sup>

While SPT results may vary based on the quality of the extracts, as well as clinicians administering and interpreting the test, serum sIgE testing results can vary from one laboratory to another. One study sent blinded samples of the same sera, diluted and undiluted, to 6 major commercial laboratories and compared the results to the expected curve from an ideal assay. Out of the six laboratories, only two demonstrated precision and accuracy in their results.<sup>1343</sup> Further studies have demonstrated poor agreement on results from testing the same sera by different commercially available assay systems.<sup>1343–1345</sup> These factors introduce notable heterogeneity in serum sIgE testing. Clinicians should be familiar with the platform used for serum sIgE testing at their institution and to understand any limitations inherent to that platform.

Studies have shown that serum sIgE testing has a sensitivity range of 67%–96% and specificity range of 80%–100%.<sup>143,1249,1257,1345,1346</sup> Further, serum sIgE correlates well with NPT and SPT for AR diagnosis.<sup>1249,1257,1278,1345,1347</sup> While there is good evidence to show that serum sIgE is often equivalent to SPT, it is generally accepted that SPT is more sensitive.<sup>143,1005,1348</sup> A recent position paper from the World Allergy Organization (WAO) stated that skin tests are still considered first line and that serum sIgE testing should be considered as a complementary or alternative diagnostic tool.<sup>1251</sup> Based on the literature, serum sIgE testing is a reasonable alterna-

tive to SPT and is safe to use in patients who are not candidates for SPT. All sIgE tests should be evaluated within the framework of a patient's clinical history (Table X.C.2).

### Serum allergen-specific IgE

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 2 studies, level 3: 6 studies, level 4: 6 studies, level 5: 1 study; Table X.C.2)

**Benefit:** Confirms diagnosis and directs appropriate pharmacological therapy while possibly avoiding unnecessary/ineffective treatment, guides avoidance, directs AIT.

**Harm:** Adverse events from testing including discomfort from blood draw, inaccurate test results, false positive test results, misinterpreted test results.

**Cost:** Moderate cost of testing.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Patients can benefit from identification of their specific sensitivities. Further, in some patients who cannot undergo SPT, serum sIgE testing is a safe and effective alternative.

**Policy level:** Recommendation.

**Intervention:** Serum sIgE testing may be used in patients who cannot undergo allergy skin testing. Use of highly purified allergen or recombinants can increase the sensitivity, specificity, and diagnostic accuracy of sIgE tests. Rigorous proficiency testing on the part of laboratories may also improve accuracy.

## X.C.3 | Nasal allergen-specific IgE

AR is frequently diagnosed by history alone in clinical practice.<sup>182</sup> When objective testing for confirmation of the diagnosis is needed, SPT or in vitro testing for serum sIgE is performed. However, the nasal mucosa of patients with AR has been shown to produce sIgE locally, providing a potential alternative method for objective testing for AR.<sup>450–453,529,1354</sup>

Collection of nasal secretions is typically done by nasal lavage, through absorption of the secretions with absorbent materials, or directly with solid sIgE testing substrates.<sup>458,1355–1357</sup> Collection of mucosal tissue can be achieved with either tissue biopsy or with a cytology brush.<sup>450,1358</sup> There is no consensus on which technique is superior, and most appear to yield similar results in identifying nasal sIgE.<sup>1359,1360</sup> Cut-off values for nasal sIgE levels

**TABLE X.C.2** Evidence table – use of serum allergen-specific immunoglobulin E in the diagnosis of allergic rhinitis

| Study                               | Year | LOE | Study design                     | Study groups  | Clinical endpoints  | Conclusions  |
|-------------------------------------|------|-----|----------------------------------|---|---|--|
| Tian et al. <sup>1349</sup>         | 2017 | 1   | SRMA                             | Studies assessing performance characteristics of sIgE for Der p       | Diagnostic accuracy of Der p 1 sIgE and Der p 2 sIgE measurement in to diagnose <i>D. pteryonyssinus</i> allergy            | Der p 1: sensitivity 84%, specificity 97%, diagnostic OR 166.57, AUSROC 0.94<br>Der p 2: sensitivity 87%, specificity 100%, diagnostic OR 17342.35, AUSROC 0.98                              |
| Knight et al. <sup>1350</sup>       | 2018 | 2   | Prospective cohort, single-blind | 232 allergic patients with prior SPT                                  | sIgE measured by HYTEC, 288 compared to SPT   | SPT and sIgE showed >70% concordance (range 74%–88% per allergen)<br>sIgE: sensitivity 57%–95%, specificity 82%–97%, PPV 21%–92%, NPV ≥90%   |
| van Hage et al. <sup>1351</sup>     | 2017 | 2   | Prospective cohort, single-blind | Batches of positive and negative serum                                | Consistency of performance and results for ImmunoCAP ISAC 112 across multiple testing sites                                 | Good consistency in analytical performance across sites<br>Low frequency of false positives (0.014%)   |
| Chinoy et al. <sup>1352</sup>       | 2005 | 3   | Prospective cohort               | 118 patients with AR and/or bronchial asthma                          | Compare skin test reactivity with serum sIgE  | For four indoor allergens, skin test more sensitive than RAST<br>Skin test and RAST scores had weak to moderate correlation  |
| Wood et al. <sup>143</sup>          | 1999 | 3   | Prospective cohort               | Patients with cat allergy determined by history<br>Cat exposure model | Compared the predictive values of SPT, ID, and RAST in diagnosis of cat allergy   | SPT and RAST values had excellent efficiency in cat allergy diagnosis<br>ID added little to the diagnostic evaluation<br>Sensitivity and specificity of RAST were 69% and 100%, respectively |
| Tschopp et al. <sup>1249</sup>      | 1998 | 3   | Prospective cohort               | Randomly selected sample of 8329 Swiss adults                         | Compared the sensitivity, specificity, PPV, and NPV of SPT, total IgE levels, and fluoroenzyme immunoassay in diagnosing AR | Sensitivity of fluoroenzyme immunoassay significantly higher than SPT and total IgE<br>SPT was more specific and had better PPV<br>SPT was the most efficient test to diagnose AR            |
| Ferguson and Murray <sup>1347</sup> | 1986 | 3   | Prospective cohort               | 168 children with clinical suspicion of allergy to cats and/or dogs   | Compared the predictive values of skin tests and RASTs in children with history of allergy to cats and/or dogs              | RAST sensitivity 71%–74%, specificity 88%–90%<br>SPT sensitivity 68%–76%, specificity 83%–86%  |

(Continues)

TABLE X.C.2 (Continued)

| Study                            | Year | LOE            | Study design                | Study groups                                  | Clinical endpoints  | Conclusions  |
|----------------------------------|------|----------------|-----------------------------|---|---|--|
| Ownby and Bailey <sup>1346</sup> | 1986 | 3              | Prospective cohort          | Children aged 4–19 years                      | Diagnostic levels by MAST and RAST were compared to skin test reactions for ragweed, grass, house dust mite | MAST: sensitivity 59%, specificity 97%, efficiency 72%<br>RAST: sensitivity 67%, specificity 97%, efficiency 78%<br>Neither MAST nor RAST was as sensitive as skin test  |
| Wide et al. <sup>1348</sup>      | 1967 | 3              | Prospective cohort          | 31 allergic patients                          | Acoustic rhinometry of minimal nasal cavity cross-sectional area  | Good correlation between provocation tests and in vitro tests for allergy  |
| Bignardi et al. <sup>1353</sup>  | 2019 | 4              | Retrospective cohort        | 793 patients referred for respiratory allergy | SPT and sIgE by IFMA procedure for five allergens   | Using SPT result as the target condition, statistically significant values of AUC were found for sIgE, ranging from 0.84 to 0.94   |
| Nam and Lee <sup>144</sup>       | 2017 | 4              | Retrospective cohort        | 2635 patients who underwent SPT and sIgE      | sIgE measured by Phadia CAP compared to SPT   | Moderate agreement between SPT and sIgE (75.8%)<br>Sensitivity of CAP higher than SPT wheal size (72.8%)<br>Specificity of CAP higher than SPT wheal size (78.2%)<br>SPT mean wheal size and sIgE levels correlated for all allergens except <i>T. putrescentiae</i> |
| Seidman et al. <sup>1005</sup>   | 2015 | 4 <sup>a</sup> | Clinical practice guideline | N/A   | N/A   | Clinicians should perform and interpret or refer for sIgE (skin or blood) allergy testing for patients with a clinical diagnosis of AR who do not respond to empiric treatment, or the diagnosis is uncertain<br>Aggregate level of evidence grade B                 |
| Bernstein et al. <sup>1246</sup> | 2008 | 4 <sup>a</sup> | Review-practice parameter   | N/A   | N/A   | Sensitivity of serum sIgE ranges 50%–90% with an average of 70%–75%<br>sIgE may be used with history and physical for diagnosis of allergy and may be preferable in certain clinical conditions<br>Aggregate level of evidence grade B–C                             |

(Continues)

TABLE X.C.2 (Continued)

| Study                            | Year | LOE | Study design                              | Study groups   | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|---|--|--|--|
| Pumhirun et al. <sup>1257</sup>  | 2000 | 4   | Prospective case-control                  | Perennial rhinitis patients  | Compared sensitivity and specificity of ID to SPT and sIgE assay for <i>D. pteronyssinus</i> and <i>D. farinae</i> | Serum sIgE for <i>D. pteronyssinus</i> and <i>D. farinae</i> had sensitivity of 96.3% and 88.9%, specificity of 96.2%, and 88.9%<br>SPT sensitivity 90.4% and 86.4%, specificity of 99.5% and 93.1%                                |
| Reddy et al. <sup>1278</sup>     | 1978 | 4   | Prospective case series                   | 34 patients with perennial rhinitis but negative SPT<br>19 patients with perennial rhinitis and positive SPT<br>Healthy controls | Determine the clinical relevance of positive intracutaneous test when epicutaneous test is negative                | Good agreement between SPT, RAST, and NPT<br>Poor agreement between positive ID at 1:1000 concentration and SPT, RAST, and NPT   |
| Ansotegui et al. <sup>1251</sup> | 2020 | 5   | World Allergy Organization position paper | N/A  | N/A  | For type I IgE-mediated allergic disease, skin tests are considered first-line approach for presence of sIgE antibodies<br>In vitro serum IgE detection with the use of highly purified allergen or recombinants is an alternative |

Abbreviations: AR, allergic rhinitis; AUSROC, areas under the summary receiver operating curve; ID, intradermal; IgE, immunoglobulin E; LOE, level of evidence; MAST, multiple allegro-sorbent test; NPT, nasal provocation test; NPV, negative predictive value; OR, odds ratio; PPV, positive predictive value; RAST, radio allergo-sorbent test; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test; SRMA, systematic review and meta-analysis.

<sup>a</sup>LOE upgraded due to established methodology, several rounds of review, long history of EBM guideline development.

that indicate a diagnosis of AR are debated and consensus has yet to be established. It is generally accepted that levels of nasal sIgE will be lower than levels of serum sIgE in patients with AR<sup>1357,1361,1362</sup> (Table X.C.3).

Outside of a few circumstances, the clinical utility of nasal sIgE testing in patients with AR is limited. However, in patients with negative SPT and negative serum sIgE with a history suggestive of AR, nasal sIgE testing may detect sIgE in their nasal secretions and/or mucosa.<sup>446,455,456,458,461,463,473,1356,1363</sup> This phenomenon is referred to as LAR. LAR is a type of rhinitis characterized by typical allergic symptoms with local sIgE production and positive response to NPT, without positive SPT or serum sIgE testing.<sup>445</sup> (See Section VI.A.3. Local IgE Production and Section X.D.2. Local Allergen Challenge Testing for additional information on these topics.) The strictest diagnostic criteria for LAR require a positive NPT and evidence of sIgE in nasal secretions or nasal mucosa, as some studies have shown sIgE in control patients with negative results on NPT.<sup>248,1365–1367</sup>

Currently, patients with negative SPT and/or negative serum sIgE testing are given the diagnosis of non-allergic rhinitis. Several studies have investigated the results of nasal sIgE testing in patients with non-allergic rhinitis to achieve a greater understanding of what portion of patients diagnosed with non-allergic rhinitis have evidence of LAR. A recent systematic review of studies that measured nasal sIgE in mucus collected from the nasal cavity in patients diagnosed with non-allergic rhinitis showed sIgE to be present in 7.4%–13.4% of subjects.<sup>1368</sup> The results of this study contrast with a 2017 systematic review that analyzed the results of NPT in patients with AR and non-allergic rhinitis. The 2017 study found 24.7% of patients with non-allergic rhinitis had positive NPT.<sup>267</sup> This analysis did not include measurements of nasal sIgE limiting direct comparison to the more recent study. The origin of this disagreement between these two reviews is unclear but may be related to low quantities of nasal sIgE in nasal secretions or flaws in the methodology for testing for nasal sIgE.

**TABLE X.C.3** Evidence table – nasal allergen-specific IgE the diagnosis of allergic rhinitis

| Study                                   | Year | LOE | Study design    | Study groups  | Clinical endpoints                                       | Conclusions  |
|---|------|-----|-----------------|---|--|--|
| Hamizan et al. <sup>1368</sup>          | 2019 | 1   | SRMA            | 21 studies included<br>Data extracted from 14 studies<br>484 subjects with NAR<br>1946–2017   | Nasal sIgE   | Nasal sIgE present in 7.4%–13.4% of NAR subjects<br>Patients with a personal or family history of atopy or allergy should be considered for nasal sIgE |
| Eckrich et al. <sup>248</sup>           | 2020 | 2   | Cross-sectional | Collection via cotton swab:<br>NAR, <i>n</i> = 21<br>AR, <i>n</i> = 24<br>Control, <i>n</i> = 25  | NPT, nasal tIgE, nasal sIgE, serum tIgE, serum sIgE      | Nasal sIgE present in subjects with AR but not those with NAR, challenging LAR concept   |
| Santamaria et al. <sup>1366</sup>       | 2020 | 2   | Cross-sectional | Collection via nasal lavage:<br>AR, <i>n</i> = 25<br>NAR, <i>n</i> = 25<br>Control, <i>n</i> = 18   | NPT, nasal sIgE, serum sIgE, SPT                         | Nasal sIgE does not predict response to NPT in patients with NAR   |
| Schiavi et al. <sup>1370</sup>          | 2020 | 2   | RCT             | Collection technique not reported:<br>SLIT<br>Control   | NPT, nasal sIgE, rhinomanometry, spirometry              | Nasal sIgE is reduced after a course of SLIT   |
| Hamizan et al. <sup>1361</sup>          | 2019 | 2   | Cross-sectional | Collection via inferior turbinate biopsy:<br>AR, <i>n</i> = 154<br>Asymptomatic, <i>n</i> = 6   | Nasal sIgE, serum sIgE and/or SPT                        | sIgE testing of inferior turbinate biopsy with a threshold of 0.1 kUA/L is a sensitive test for detection of AR  |
| Campo et al. <sup>1357</sup>            | 2018 | 2   | Cross-sectional | Collection via direct application of sIgE solid phase testing substrate:<br>LAR, <i>n</i> = 14<br>AR, <i>n</i> = 20<br>Control, <i>n</i> = 16     | Nasal sIgE   | Nasal sIgE $\geq 0.1450$ kUA/L is an optimum cut point for differentiating subjects with LAR and AR from controls                                      |
| Gelardi et al. <sup>1365</sup>          | 2016 | 2   | Cross-sectional | Collection via nasal mucosa curette:<br>AR, <i>n</i> = 15<br>NAR, <i>n</i> = 12<br>Control, <i>n</i> = 14   | Symptom VAS, SPT, serum sIgE, nasal sIgE, nasal cytology | Nasal sIgE was detected in control subjects<br>Nasal sIgE may be spontaneous in NAR and not indicate the presence of LAR                               |
| Kim et al. <sup>1367</sup>              | 2016 | 2   | Cross-sectional | Collection via cotton ball:<br>NPT positive, <i>n</i> = 39<br>NPT negative, <i>n</i> = 21   | NPT, nasal sIgE  | Nasal sIgE detected in all patients, no difference between NPT groups<br>No comparison pre- and post-NPT performed                                     |
| Krajewska-Wojtys et al. <sup>1364</sup> | 2016 | 2   | Cross-sectional | Collection via nasal lavage:<br>NAR adolescents, <i>n</i> = 101<br>AR, <i>n</i> = 115   | NPT, nasal sIgE  | Nasal sIgE detected in 53% of subjects diagnosed with NAR<br>Levels of nasal sIgE increased after NPT  |
| Lee et al. <sup>1371</sup>              | 2016 | 2   | Cross-sectional | Collection via nasal lavage:<br>NAR children, <i>n</i> = 12<br>AR children, <i>n</i> = 15<br>NAR adults, <i>n</i> = 9<br>AR adults, <i>n</i> = 15 | Nasal sIgE   | AR with higher nasal sIgE to HDM than NAR, no difference between adults and children<br>Correlation between nasal and serum IgE only in children       |

(Continues)



TABLE X.C.3 (Continued)

| Study                          | Year | LOE | Study design    | Study groups  | Clinical endpoints  | Conclusions  |
|--------------------------------|------|-----|-----------------|---|---|--|
| Bozek et al. <sup>459</sup>    | 2015 | 2   | Cross-sectional | Collection via nasal lavage:<br>Elderly patients with rhinitis, <i>n</i> = 219  | NPT, nasal sIgE   | LAR and AR common in elderly patients (21% with LAR, 40.2% with AR, and 38.8% with NAR)                        |
| Sakaida et al. <sup>1372</sup> | 2014 | 2   | Cross-sectional | Collection via suction of nasal secretions:<br>Symptomatic, <i>n</i> = 24<br>Asymptomatic but sensitized, <i>n</i> = 9<br>Not sensitized, <i>n</i> = 13 | Nasal sIgE  | 93% had nasal sIgE, higher levels in sensitized subjects, correlation between nasal and serum sIgE             |
| Fuiano et al. <sup>1363</sup>  | 2012 | 2   | Cross-sectional | Collection via cellulose membrane:<br>Perennial AR, children, <i>n</i> = 20<br>Perennial NAR, children, <i>n</i> = 36                                   | NPT, nasal sIgE   | Nasal sIgE to <i>Alternaria</i> detected in 69% of positive NPT  |
| Lopez et al. <sup>461</sup>    | 2010 | 2   | Cross-sectional | Collection via nasal lavage:<br>LAR, <i>n</i> = 40<br>Control, <i>n</i> = 50  | NPT, nasal sIgE, total nasal IgE, tryptase, ECP, symptoms | Nasal sIgE present in patients with LAR<br>Levels of sIgE increase after NPT in some patients with LAR         |
| Powe et al. <sup>1373</sup>    | 2010 | 2   | Cross-sectional | Collection via cotton ball:<br>AR, <i>n</i> = 90<br>NARES, <i>n</i> = 90<br>Control, <i>n</i> = 90  | Nasal immunoglobulin free light chains                    | Free light chains increased in AR and NAR nasal mucosa, suggesting role in hypersensitivity                    |
| Ahn et al. <sup>1374</sup>     | 2009 | 2   | Cross-sectional | Collection via mucosal biopsy:<br>AFRS, <i>n</i> = 11<br>CRSsNP, <i>n</i> = 8<br>Control, <i>n</i> = 9  | Nasal sIgE, tIgE, histologic immunolocalization           | Nasal sIgE to fungi and other antigens found in mucosa of subjects with AFRS                                   |
| Rondon et al. <sup>463</sup>   | 2009 | 2   | Cross-sectional | Collection via nasal lavage:<br>LAR, <i>n</i> = 30<br>Control, <i>n</i> = 30  | Nasal sIgE, tIgE, tryptase, ECP                           | 30% with nasal sIgE<br>LAR have local production of sIgE, mast cell/eosinophil activation                      |
| Rondon et al. <sup>455</sup>   | 2008 | 2   | Cross-sectional | Collection via nasal lavage:<br>Seasonal NAR, <i>n</i> = 32<br>AR to pollen, <i>n</i> = 35<br>AR to HDM, <i>n</i> = 30<br>Control, <i>n</i> = 50        | NPT, nasal sIgE   | Nasal sIgE to grass pollen detected in 35% NAR patients with positive NPT, and with similar sIgE profile as AR |
| Rondon et al. <sup>456</sup>   | 2007 | 2   | Cross-sectional | Collection via nasal lavage:<br>NAR, <i>n</i> = 50<br>AR to HDM, <i>n</i> = 30<br>Control, <i>n</i> = 30  | NPT, nasal sIgE   | Nasal sIgE to HDM detected in 22% of patients with NAR with positive NPT                                       |
| Powe et al. <sup>446</sup>     | 2003 | 2   | Cross-sectional | Collection via mucosal biopsy:<br>NAR, <i>n</i> = 10<br>AR, <i>n</i> = 11<br>Control, <i>n</i> = 12   | Nasal sIgE  | Nasal sIgE to grass detected in 30% of patients with NAR<br>No nasal sIgE to HDM detected                      |

(Continues)

TABLE X.C.3 (Continued)

| Study                               | Year | LOE | Study design                    | Study groups  | Clinical endpoints                                    | Conclusions   |
|-------------------------------------|------|-----|---------------------------------|---|---|---|
| KleinJan et al. <sup>529</sup>      | 2000 | 2   | Cross-sectional                 | Collection via mucosal biopsy:<br>Seasonal AR, <i>n</i> = 12<br>Perennial AR, <i>n</i> = 16<br>Control, <i>n</i> = 12 | Nasal B and plasma cells with IgE                     | sIgE produced in nasal tissue of AR patients but not healthy controls   |
| KleinJan et al. <sup>1354</sup>     | 1997 | 2   | Cross-sectional                 | Collection via mucosal biopsy:<br>Seasonal AR, <i>n</i> = 11<br>Perennial AR, <i>n</i> = 10<br>Control, <i>n</i> = 10 | Nasal sIgE to grass and HDM                           | sIgE to grass and HDM found in seasonal and perennial AR subjects, respectively   |
| Takhar et al. <sup>453</sup>        | 2005 | 3   | Cross-sectional, nonconsecutive | Collection via mucosal biopsy:<br>AR, <i>n</i> = 12<br>Control, <i>n</i> = 4  | Nasal mRNA and gene transcripts                       | Allergen stimulates local class switching to IgE in the nasal mucosa  |
| Durham et al. <sup>451</sup>        | 1997 | 3   | Cross-sectional, nonconsecutive | Collection via mucosal biopsy:<br>AR, <i>n</i> = 21<br>Control, <i>n</i> = 10   | NPT, nasal IgE heavy chain                            | Local IgE synthesis and cytokine regulation occur in the nasal mucosa of AR patients  |
| Huggins and Brostoff <sup>458</sup> | 1975 | 3   | Cross-sectional, nonconsecutive | Collection via filter paper:<br>NAR, <i>n</i> = 14<br>AR, <i>n</i> = 6<br>Control, <i>n</i> = 5                       | SPT, NPT, serum and nasal sIgE to HDM                 | Nasal sIgE in AR and NAR patients with positive NPT, but not in controls  |
| Castelli et al. <sup>1375</sup>     | 2020 | 4   | Case series                     | Collection via nasal sponge:<br>Children and adults with seasonal AR, <i>n</i> = 161                                  | Nasal sIgE, serum sIgE, nasal secretion total protein | Microarray testing of nasal secretion is feasible for detection of sIgE, high specificity but low sensitivity versus serum sIgE                                     |
| Hamizan et al. <sup>1359</sup>      | 2019 | 4   | Case series                     | Adults undergoing turbinate surgery ( <i>n</i> = 157), collection techniques:<br>Cytology brush<br>Nasal biopsy       | Nasal sIgE, serum sIgE, SPT                           | Cytology brush collection had similar results to tissue biopsy on sIgE testing  |
| Saricilar et al. <sup>1362</sup>    | 2018 | 4   | Case series                     | Adults with nasal obstruction ( <i>n</i> = 47), collection techniques:<br>Cytology brush<br>Curette<br>Dental brush   | Nasal sIgE, SPT, serum sIgE, total protein            | Cytology brush collects more protein from nasal mucosa than curette or dental brush<br>Cut point 0.14 kUA/L gave a sensitivity of 75% and specificity of 86% for AR |
| Ahn et al. <sup>1356</sup>          | 2017 | 4   | Case series                     | Children with rhinitis:<br>Spray, <i>n</i> = 30<br>Cotton swab, <i>n</i> = 52   | Nasal sIgE, serum sIgE, SPT                           | Nasal sIgE correlates with serum sIgE with either collection method<br>LAR identified in a subset of patients with NAR  |
| Becker et al. <sup>247</sup>        | 2016 | 4   | Case series                     | Collection via cotton ball:<br>NARES, <i>n</i> = 19   | Nasal sIgE  | No detectable nasal sIgE in any of the patients   |
| Ota et al. <sup>1358</sup>          | 2016 | 4   | Case series                     | Collection via mucosal biopsy:<br>AR, <i>n</i> = 11   | Nasal and serum sIgE                                  | Detection of sIgE in inferior turbinate mucosa and serum  |

(Continues)

TABLE X.C.3 (Continued)

| Study                        | Year | LOE | Study design | Study groups   | Clinical endpoints                                     | Conclusions  |
|------------------------------|------|-----|--------------|--|--|--|
| Zicari et al. <sup>473</sup> | 2016 | 4   | Case series  | Collection via nasal lavage:<br>NAR children, <i>n</i> = 20                      | NPT, nasal sIgE  | 66.7% had positive NPT; of these, 75% had nasal sIgE to HDM and/or grass pollen                            |
| Reisacher <sup>1360</sup>    | 2012 | 4   | Case series  | Collection via mucosal brush:<br>AR, <i>n</i> = 18                               | Nasal sIgE, SPT  | Nasal sIgE in 75% of subjects<br>Local sIgE is found in subjects with negative SPT                         |
| Coker et al. <sup>450</sup>  | 2003 | 4   | Case-control | Collection via mucosal biopsy:<br>AR, <i>n</i> = 6<br>Control, <i>n</i> = 1      | Nasal IgE heavy chain                                  | Somatic hypermutation, clonal expansion, and class switching occurs within the nasal mucosa of AR patients |
| Sensi et al. <sup>1376</sup> | 1994 | 4   | Case series  | Collection via nasal lavage:<br>Children with asthma and rhinitis, <i>n</i> = 18 | Nasal and serum sIgE measured after allergen avoidance | Nasal sIgE may be more sensitive marker of antigen exposure than serum sIgE                                |
| Platts-Mills <sup>452</sup>  | 1979 | 4   | Case series  | Collection via nasal lavage:<br>AR, <i>n</i> = 50                                | Nasal IgG, IgA, and IgE                                | Antibody response in AR patients is local in the nasal mucosa  |

Abbreviations: AFRS, allergic fungal rhinosinusitis; AR, allergic rhinitis; CRSsNP, chronic rhinosinusitis without nasal polyps; ECP, eosinophil cationic protein; HDM, house dust mite; Ig, immunoglobulin; IgE, immunoglobulin E; LAR, local allergic rhinitis; LOE, level of evidence; NAR, non-allergic rhinitis; NARES, non-allergic rhinitis with eosinophilia syndrome; NPT, nasal provocation test; RCT, randomized controlled trial; sIgE, allergen-specific immunoglobulin E; SLIT, sublingual immunotherapy; SPT, skin prick test; SRMA, systematic review and meta-analysis; tIgE, total immunoglobulin E; VAS, visual analog scale.

Differentiating LAR from non-allergic rhinitis is important in patients with symptoms of rhinitis that are not adequately managed with pharmacologic therapy. While both would typically respond to treatment, identification of offending allergens in LAR may permit allergen avoidance and/or allow for treatment with AIT. Patients who are classified as non-allergic rhinitis would not typically be candidates for AIT; however, for patients with LAR, treatment with AIT is an option.<sup>445</sup> In this population, early studies suggest that AIT can decrease symptoms and medication usage and improve QOL.<sup>1369</sup> Therefore, in patients with symptoms of AR but negative SPT and/or negative in vitro testing for serum sIgE whose symptoms are not fully controlled on appropriate pharmacologic therapy, assessment of nasal sIgE to investigate for possible LAR could be considered.

### Nasal allergen-specific IgE

**Aggregate grade of evidence:** C (Level 1: 1 study, level 2: 21 studies, level 3: 3 studies, level 4: 11 studies; Table X.C.3)

**Benefit:** Patients with non-allergic rhinitis found to have nasal sIgE may have LAR and could benefit from avoidance or AIT.

**Harm:** Measurement of nasal sIgE is minimally invasive. No significant adverse effects have been reported. Possible discomfort from sample collection.

**Cost:** Associated costs include the direct costs of testing and indirect cost of increased time and effort for performing nasal sIgE diagnostic test.

**Benefits-harm assessment:** The benefits of identifying patients with an allergic component to their rhinitis may outweigh associated risks.

**Value judgments:** In patients with non-allergic rhinitis who also have risk factors for atopic disease and have inadequate response to pharmacotherapy, testing for nasal sIgE may be helpful in confirming a diagnosis of LAR and allowing for treatment with AIT. There is no consensus for levels of nasal sIgE that indicate sensitivity.

**Policy level:** Option.

**Intervention:** Measurement of nasal sIgE is an option in patients with non-allergic rhinitis suspected of having LAR to support this diagnosis and guide AIT if pharmacologic therapies are inadequate. Consensus for levels of nasal sIgE indicating AR need to be established.

## X.C.4 | Correlation between skin testing and in vitro sIgE testing

Factors that influence sensitivity and specificity of SPT include patient demographics, technician expertise, specific methodologies employed, quality of reagents, and what allergen is being tested.<sup>147,1377–1382</sup> SPT wheal size and sensitivity depend on the choice of control reagents used for testing, specific device selection, angle of penetration, amount of allergen, and skill of the technician.<sup>147,1251,1378</sup> A 2016 SRMA indicates that SPT is an accurate test that when utilized along with a detailed clinical history, helps confirm the diagnosis AR.<sup>1252</sup>

The performance and reliability of serum sIgE testing depends on choice of reagents, age of equipment, and patient demographics.<sup>1269</sup> Sensitivity and specificity are affected by the cutoff value of a positive test.<sup>1383</sup> In a Korean population, SPT was found to be superior to ImmunoCAP for measuring HDM sensitivity if the patient was less than 30 years of age; for the group older than age 50, ImmunoCAP was more sensitive.<sup>1384</sup>

Several studies have compared serum sIgE to SPT.<sup>143,144,1350,1353,1383,1385,1386</sup> Both techniques yield good sensitivity and are generally well correlated; however, interpretation of the results depends to some extent upon the gold standard reference used to define allergic status, namely environmental chambers, nasal challenge, and validated questionnaires.

Microarray allergy testing systems have been introduced more recently to offer a comprehensive in vitro allergen test panel. There are several commercially available multiplex platforms: Thermo Fisher ImmunoCAP ISAC (Immuno-solid phase Allergen Chip) which contains 112 allergen molecules; MADx Allergen Explorer 2 (ALEX2) containing 117 purified allergens plus 178 allergenic components and Euroline microstrips.<sup>1330</sup> The implementation of molecular allergy diagnostic approach (PAMD@) is increasingly entering into routine care.

Selection and interpretation of allergen testing is not based on sensitivity and specificity alone. The intended physiological mechanism to be evaluated also needs to be considered. SPT measures end-organ pathological mechanisms associated with sIgE bound to the surface of mast cells. Serum sIgE and microarray approaches measure circulating IgE that may or may not represent downstream allergic inflammatory responses.

The average pooled sensitivity of SPT is 85% which tends to be slightly higher than that of serum sIgE.<sup>1252</sup> This can vary depending on the allergen being tested and the characteristics of the patient. SPT is often chosen as the first line diagnostic instrument to detect sensitivity to aeroaller-

gens based on accuracy, convenience, cost, and speed. In cases where dermatographism is present and/or patients are unable to wean off medications that affect skin testing, serum sIgE testing may be a better choice.

The role of small volume blood testing through emerging microarray multiplex (multiple assays per sample) technology is evolving. Multiplex assays are especially suited for use in patients with complex sensitization patterns or symptoms. In polysensitized patients, PAMD@ makes it possible to distinguish between primary and cross-sensitization. This is very important for appropriate prescription of AIT. Specific molecular sensitization patterns obtained in multiplex platforms may predict the risk for AR and asthma. PAMD@ is beginning to be used worldwide.

### Correlation between skin testing and in vitro sIgE testing

*Aggregate grade of evidence:* B (Level 1: 3 studies, level 2: 5 studies, level 3: 4 studies, level 4: 5 studies, level 5: 2 studies, Table X.C.4)

## X.C.5 | Basophil activation testing

The BAT is an in vitro test for reactivity to specific allergens. It uses the propensity of activated basophils to express CD63 or CD203c. A BAT may have various ways of reporting results: the number of activated basophils as a full number or dichotomized (negative/positive, often at a cut-off of 10% or 15%) and dose–response curves to indicate basophil sensitivity to increasing allergen extract concentrations. As such, BAT is a functional measurement. Per allergen, different concentrations and cut-offs might be needed, making the comparison of studies challenging at times.

BAT is often performed in food, medication, and insect venom allergies, as it avoids bothersome or high-risk provocations. To diagnose AR, the clinical history, along with measurement of sIgE or skin testing is usually sufficient. As these tests are inexpensive, fast, and safe, one may wonder whether there is a place for BAT in diagnosis of AR.<sup>1389</sup>

In HDM sensitive children, BAT has excellent sensitivity (82%–100%) and specificity (96%–100%).<sup>1390</sup> Similar findings were reached in 31 grass pollen sensitive adults: sensitivity 87%–100% and specificity 100%.<sup>1391</sup> In a combined study in 47 children with HDM and/or grass pollen

**TABLE X.C.4** Evidence table – correlation between skin testing and in vitro sIgE testing

| Study                                | Year | LOE | Study design         | Study groups    | Clinical endpoints                     | Conclusions   |
|--------------------------------------|------|-----|----------------------|-----------------|--|---|
| Nevis et al. <sup>1252</sup>         | 2016 | 1   | Systematic review    | AR              | SPT accuracy                           | Various factors determine SPT accuracy  |
| Westwood et al. <sup>1331</sup>      | 2016 | 1   | Systematic review    | AR              | Microarray results                     | Utility and cost of microarray testing needs further validation                                     |
| Gendo et al. <sup>1387</sup>         | 2004 | 1   | Systematic review    | AR              | Utility of allergy testing             | History and pre-test probability determine allergy testing utility                                  |
| Knight et al. <sup>1350</sup>        | 2018 | 2   | Cross-sectional      | AR              | Concordance between SPT and sIgE       | Overall concordance between SPT and sIgE was >70%   |
| Tversky et al. <sup>147</sup>        | 2015 | 2   | RCT                  | All subjects    | Wheal and flare of various devices     | Results of SPT depend on device, technique and control reagents chosen                              |
| de Vos et al. <sup>1388</sup>        | 2013 | 2   | Cross-sectional      | AR and asthma   | Concordance of SPT and serology        | SPT and serology are discordant   |
| Jung et al. <sup>1384</sup>          | 2010 | 2   | Cross-sectional      | HDM allergies   | ImmunoCAP versus SPT                   | Sensitivity and specificity depend on demographics of patients                                      |
| Pastorello et al. <sup>1385</sup>    | 1995 | 2   | Cross-sectional      | AR              | ImmunoCAP versus SPT                   | Specific IgE accuracy depend on cutoff values   |
| Haxel et al. <sup>1386</sup>         | 2016 | 3   | Retrospective cohort | AR              | Nasal challenge versus SPT versus RAST | Nasal challenge should be performed to confirm eligibility to HDM AIT                               |
| Sharma et al. <sup>1269</sup>        | 2008 | 3   | Cohort               | Mouse allergies | RAST versus SPT versus ID              | Sensitivity and specificity differ among various tests  |
| McCann et al. <sup>1382</sup>        | 2002 | 3   | Cohort               | AR              | SPT measurements                       | SPT results are not reproducible across centers   |
| Wood et al. <sup>143</sup>           | 1999 | 3   | Cohort               | Cat allergies   | RAST versus SPT versus ID              | Sensitivity and specificity differ among various tests  |
| Bignardi et al. <sup>1353</sup>      | 2019 | 4   | Case series          | AR              | SPT and sIgE                           | SPT and sIgE are fairly concordant; different sensitivity and specificity depending on the allergen |
| Nam and Lee <sup>144</sup>           | 2017 | 4   | Case series          | AR              | SPT and sIgE                           | Higher sensitivity and specificity of sIgE than SPT   |
| Tantilipikorn et al. <sup>1281</sup> | 2015 | 4   | Case series          | AR              | ID versus in vitro                     | ID testing has higher sensitivity and lower specificity than sIgE for HDM                           |
| Choi et al. <sup>1383</sup>          | 2005 | 4   | Case series          | HDM allergies   | RAST versus SPT                        | sIgE cutoff level determines sensitivity and specificity  |
| Nelson et al. <sup>1266</sup>        | 1996 | 4   | Case series          | AR to grass     | ID versus challenge                    | ID positive may not be relevant if SPT negative   |

(Continues)



TABLE X.C.4 (Continued)

| Study                              | Year | LOE | Study design                               | Study groups | Clinical endpoints | Conclusions                                       |
|------------------------------------|------|-----|--|--------------|--------------------|---|
| Ansotegui et al. <sup>1251</sup>   | 2020 | 5   | World Allergy Organization position paper  | N/A          | N/A                | SPT is considered the first-line approach         |
| Steering Committee <sup>1330</sup> | 2020 | 5   | World Allergy Organization consensus paper | N/A          | N/A                | PAMD@ can be important in polysensitized patients |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; HDM, house dust mite; ID, intradermal; LOE, level of evidence; PAMD@, precision allergy molecular diagnostic applications; RCT, randomized controlled trial; RAST, radio allegro-sorbent test; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test.

allergy, sensitivity of BAT for HDM allergy was 90%, with 73% specificity at a cut-off of 12.5% activated basophils, whereas sensitivity for grass pollen was 96%, with 93% specificity at 11% cut-off.<sup>1392</sup> BAT is also able to distinguish between AR based on HDM allergy and irrelevant HDM-sensitization.<sup>1393</sup> For birch allergy, BAT sensitivity was shown to increase after the pollen season compared to placebo.<sup>1394</sup> Results of BAT are valid in both in-season and pre-season measurements.<sup>1395</sup> A more general approach with a mixed group of 30 allergic children with aeroallergen AR or asthma showed increased levels of activated basophils compared to controls<sup>1396</sup> (Table X.C.5).

These studies show that BAT can be used as a diagnostic tool in AR. The usefulness of BAT as evaluation for the effect of treatment (especially AIT) is less clear.

In a very small study with Japanese cedar AR patients, clinical effects were not correlated to BAT outcomes.<sup>1397</sup> In a double-blind RCT with 98 grass pollen sensitive patients receiving sublingual immunotherapy (SLIT) or placebo, there were no differences in BAT outcomes after 2 and 4 months of therapy.<sup>1398</sup> In another study, long-term differences were found between HDM and grass pollen sensitive patients treated with dual SLIT or placebo; basophil activation in the treatment group was significantly decreased after 24 months compared with baseline.<sup>1399</sup> SLIT for *Parietaria* showed reduced basophil activation in 16 patients after 12 months of treatment.<sup>1400</sup>

For grass pollen subcutaneous immunotherapy (SCIT), some changes were found in BAT outcomes in 16 patients after 9 months of follow-up compared to placebo, but these changes were not correlated to clinical outcomes.<sup>1401</sup> In another study with 50 grass pollen sensitized patients, SCIT gave a clear reduction in BAT outcomes 3–5 years after treatment.<sup>1402</sup> These results were confirmed in a smaller study with 18 patients treated with grass pollen SCIT; here, early changes in BAT outcomes were related to late clinical improvement.<sup>1403</sup>

In HDM-sensitized patients, no apparent changes in BAT outcomes 24 months after SCIT were found, whereas in mugwort-sensitized patients, basophil reactivity was reduced at this timepoint.<sup>1404</sup> Feng et al.<sup>1405</sup> were able to find changes in basophil activation after 2 years of SCIT for HDM in 35 patients. Two months of SCIT in HDM sensitive patients with ( $n = 24$ ) or without ( $n = 19$ ) other sensitizations showed improved clinical scores but increased BAT outcomes, especially in polysensitized patients.<sup>1406</sup> When comparing SCIT and SLIT in grass pollen sensitive patients, both lowered basophil sensitivity compared to controls at 15 months. However, the effect was larger in SCIT.<sup>1407</sup>

The evidence summarized above suggests that BAT is possibly of value in long-term outcomes of AIT and possibly more sensitive in SCIT treated patients. However, the lack of correlation of BAT outcomes to clinical parameters in many studies shows that the application in BAT to evaluate AIT in clinical practice is not obvious.

The studies mentioned above used either CD63 or CD203c positivity as marker for basophil activation. In a small study with 16 SLIT-treated patients, both markers were compared, showing that both were sensitive to treatment, but only CD203c data were correlated to clinical improvement.<sup>1400</sup> Ma and Qiao<sup>1408</sup> used a mixed cohort of 18 children treated for AR showing that both CD63 and CD203c-based BAT correlated to clinical remission of symptoms. This suggests that technical choices in the execution of BAT influence outcomes and usability in practice.

In summary, the role of BAT in the diagnosis and evaluation of AR in clinical practice is limited. In most cases a detailed history with sIgE measurements or skin testing will suffice. In specific cases (e.g., contraindication for skin testing or conflicting results), though, BAT could be considered. The use of BAT to monitor reactivity to treatment is not advised in daily clinical practice.

**TABLE X.C.5** Evidence table – use of basophil activation testing in the diagnosis of allergic rhinitis

| Study                                | Year | LOE | Study design       | Study groups   | Clinical endpoints   | Conclusions  |
|--------------------------------------|------|-----|--------------------|--|--|--|
| Mahmood et al. <sup>1394</sup>       | 2019 | 2   | DBRCT              | Blood donors with birch pollen allergy, pre-seasonal supplementation with <i>Agaricus blazei</i> murill extract ( $n = 27$ ) or placebo ( $n = 27$ ) | BAT sensitivity to birch allergen                            | BAT based on CD63 positivity, positive cut-off 10% increase versus baseline<br>Sensitivity to birch allergen in placebo group enhanced after season<br>BAT assay can be used as a sensitivity marker in pollen allergy   |
| Aasbjerg et al. <sup>1407</sup>      | 2014 | 2   | RCT                | 40 patients with grass pollen AR treated with SCIT ( $n = 15$ ), SLIT ( $n = 15$ ), or control ( $n = 10$ )  | Changes in serum measurements including BAT                  | BAT based on CD63 or CD203c positivity<br>SCIT and SLIT lowered basophil sensitivity versus controls; effect larger in SCIT<br>BAT outcomes not correlated to other markers  |
| Kepil Ozdemir et al. <sup>1401</sup> | 2014 | 2   | DBRCT              | 31 patients with grass pollen AR (28 polysensitized) treated with preseasonal SCIT ( $n = 16$ ) or placebo ( $n = 15$ )                              | Change in BAT and symptom scores                             | BAT based on CD203c positivity<br>Activated basophil levels not correlated to clinical outcomes  |
| Swamy et al. <sup>1399</sup>         | 2012 | 2   | RCT, phase 1       | 30 AR subjects with HDM and Timothy grass allergy treated with dual SLIT ( $n = 20$ ) or placebo ( $n = 10$ )  | Clinical outcomes and laboratory markers, including BAT      | BAT based on CD203c positivity<br>HDM SLIT decreased basophil activation in treatment group at 24 months versus baseline<br>BAT can be useful to monitor changes from SLIT   |
| Van Overtvelt et al. <sup>1398</sup> | 2011 | 2   | DBRCT              | 98 patients with grass pollen AR treated with SLIT or placebo for 4 months   | Basophil activation after 2 and 4 months of therapy          | BAT based on CD203c positivity<br>No significant changes in basophil activation between groups at any of the time points   |
| Ma and Qiao <sup>1408</sup>          | 2021 | 3   | Prospective cohort | 18 children (aged 3–13 years) with SPT positive AR treated with regular treatment, which could include AIT, until clinical remission obtained        | Change of BAT outcomes with clinical remission of complaints | BAT based on CD63 or CD203c positivity<br>CD63: positive basophils before treatment 74.35% (52.0–81.8), after treatment 41.5% (24.5–80.4), $p < 0.05$<br>CD203c: positive basophils before treatment 69.2% (43.7–81.3), after treatment 42.1% (15.2–81.0), $p < 0.05$<br>BAT may be used as biological indicator for therapeutic effects |

(Continues)

TABLE X.C.5 (Continued)

| Study                         | Year | LOE | Study design                 | Study groups   | Clinical endpoints   | Conclusions   |
|-------------------------------|------|-----|------------------------------|--|--|---|
| Qiao and Chen <sup>1396</sup> | 2021 | 3   | Prospective cohort           | Children with AR or asthma ( $n = 30$ ) and healthy controls ( $n = 15$ ), no information on treatment status                    | Difference in baseline basophil activation   | BAT based on CD203c positivity<br>Activated basophils in allergic children 91.1% versus 6.10% in controls, $p < 0.05$   |
| Schmid et al. <sup>1403</sup> | 2021 | 3   | Randomized, open prospective | Adults with grass pollen AR treated with SCIT ( $n = 18$ ) or controls ( $n = 6$ )   | Effect of SCIT on BAT outcomes   | BAT based on CD63 positivity<br>BAT in SCIT group: 447-fold decrease in basophil sensitivity in first year of treatment, remained 100-fold lower than baseline and 10-fold lower during the follow-up year, $p = 0.03$<br>Decrease in basophil sensitivity after 3 weeks of SCIT predicted long-term improvement<br>BAT can predict clinical response to SCIT |
| Feng et al. <sup>1405</sup>   | 2020 | 3   | Prospective cohort           | 55 subjects HDM asthma and/or AR; 21 patients under 15 years and 34 adults, SCIT ( $n = 35$ ) and regular treatment ( $n = 20$ ) | Changes in basophil reactivity up to 2 years of SCIT compared to regular treatment                           | BAT based on CD63 positivity<br>0.15 $\mu\text{g/ml}$ allergen concentration: basophil activation decreased in the SCIT group from week 16 to 104<br>15 $\mu\text{g/ml}$ allergen concentration: no changes in SCIT or control group<br>Basophil sensitivity can be used as marker for SCIT efficacy  |
| Zidarn et al. <sup>1393</sup> | 2019 | 3   | Prospective cohort           | Subjects with positive SPT to HDM with ( $n = 17$ ) or without ( $n = 19$ ) symptoms, and controls ( $n = 13$ )                  | Usefulness of BAT to distinguish between AR and irrelevant HDM sensitization                                 | BAT based on CD63 positivity<br>BAT threshold $>15\%$ , 3.33 ng/ml in symptomatic patients, 33.3 ng/ml in asymptomatic group<br>BAT can help clinicians to distinguish between HDM-AR patients and asymptomatic subjects  |
| Caruso et al. <sup>1400</sup> | 2018 | 3   | Prospective cohort           | Patients with AR sensitized to Parietaria by SPT ( $n = 26$ ), receiving SLIT ( $n = 16$ ) or regular treatment ( $n = 10$ )     | Changes in basophil reactivity after 12 months of SLIT compared to regular treatment, relation with symptoms | BAT based on CD63 or CD203c positivity<br>Both CD63 and CD203c BAT showed reduced activation after 12 months of SLIT versus control<br>Symptom reduction only related to reduced basophil activation based on CD203c  |

(Continues)

TABLE X.C.5 (Continued)

| Study                           | Year | LOE | Study design          | Study groups  | Clinical endpoints  | Conclusions  |
|---------------------------------|------|-----|-----------------------|---|---|--|
| Kim et al. <sup>1404</sup>      | 2018 | 3   | Prospective cohort    | 17 patients with sensitivity for HDM ( $n = 10$ ), mugwort ( $n = 3$ ), or both ( $n = 4$ ), receiving SCIT   | Changes in basophil reactivity after 12 and 24 months of SCIT               | BAT based on CD63 positivity<br>For HDM, no change observed<br>For mugwort, SCIT basophil reactivity was reduced after 24 months of SCIT<br>Basophil response not useful for reflecting clinical response of AIT for HDM and mugwort   |
| Ogulur et al. <sup>1392</sup>   | 2017 | 3   | Prospective cohort    | 47 children with AR ( $\pm$ asthma and AD) sensitized to HDM and/or grass pollen, 15 children without atopy (negative SPT)                                | Performance of BAT to diagnose AR   | BAT based on CD63 positivity<br>Cut-off for HDM: 12.5% activated basophils, AUC 0.94, sensitivity 90%, specificity 73%, PPV 0.70, NPV 0.91<br>Cut-off for grass pollen: 11% activated basophils, AUC: 0.94, sensitivity 96%, specificity 93%, PPV 0.98, NPV 0.88   |
| Soyyigit et al. <sup>1406</sup> | 2016 | 3   | Prospective cohort    | Adult patients with AR $\pm$ asthma, SPT positive for HDM only ( $n = 19$ ) or for HDM and other inhalant allergens ( $n = 24$ ), HDM SCIT versus placebo | Changes in BAT per group (mono/polysensitized) by placebo or SCIT treatment | BAT based on CD203c positivity<br>Polysensitized pts had significantly higher baseline BAT reactivity to 1.6 and 0.16 mg/ml allergen<br>After SCIT, BAT at 1.6 mg/ml of allergen significantly increased in the polysensitized   |
| Zidarn et al. <sup>1402</sup>   | 2015 | 3   | Non-randomized cohort | 50 adult patients with grass pollen AR treated with SCIT ( $n = 30$ ) or regular treatment ( $n = 20$ ), followed 1–2 years after SCIT completion         | Changes in BAT  | BAT based on CD63 positivity<br>At 0.1 $\mu$ g/ml grass pollen, baseline versus end of study nonsignificant<br>At 1.0 $\mu$ g/ml grass pollen: baseline 56.2% (2.6–92.6), end of study 12.1% (0.9–88.6), $p = 0.004$<br>At 10 $\mu$ g/ml grass pollen: baseline 89.7% (14.2–100), end of study 67.3% (5.6–96.6), $p = 0.008$<br>BAT is a possible biomarker for long-term clinical tolerance in AR |

(Continues)

TABLE X.C.5 (Continued)

| Study                                 | Year | LOE            | Study design       | Study groups  | Clinical endpoints                                  | Conclusions  |
|---------------------------------------|------|----------------|--------------------|---|---|--|
| Özdemir et al. <sup>1391</sup>        | 2011 | 3              | Prospective cohort | 31 adult patients with seasonal AR for grass pollen without asthma and nine healthy controls  | Feasibility of BAT to diagnose grass pollen allergy | BAT based on CD203c positivity<br>At various concentrations of grass pollen extract, BAT distinguishes AR from control, with 100% specificity, sensitivity 87%–100%  |
| González-Muñoz et al. <sup>1390</sup> | 2008 | 3              | Prospective cohort | 24 children with HDM-based AR and/or asthma, atopic control group of 23 children with HDM negative SPT but positive to other allergens, non-allergic controls | Quality of BAT to diagnose HDM allergy              | BAT based on CD63 positivity<br>Best testing parameters for HDM versus atopic controls: at 8% activated basophils as cut-off with 16 µg/ml allergen concentration, AUC: 1.0, sensitivity 100%, specificity 100%<br>Analysis of allergen-induced CD63 upregulation by flow cytometry is reliable for diagnosis of HDM allergy in pediatric patients |
| Saporta et al. <sup>1395</sup>        | 2001 | 3              | Prospective cohort | 13 adult patients with seasonal AR  | Variance of BAT results pre- and in-season          | BAT based on CD63 positivity<br>BAT test at the peak of activation higher pre-season than in-season (85.4% [77.2–92.5] vs. 62.2% [58.0–72.8], $p = 0.01$ )<br>BAT can be used both pre-season and in-season to diagnose seasonal AR  |
| Nagao et al. <sup>1397</sup>          | 2008 | 4 <sup>a</sup> | Prospective cohort | 9 patients with allergy to Japanese cedar pollen receiving rush SCIT with 12 months follow-up   | Effect of rush SCIT on BAT results                  | BAT based on CD203c positivity<br>Reduction of CD203c expression was found after SCIT in four patients<br>Does not confirm BAT is useful for monitoring all patients   |

Abbreviations: AD, atopic dermatitis; AIT, allergen immunotherapy; AR, allergic rhinitis; AUC, area under the curve; BAT, basophil activation test; CD, cluster of differentiation; DBRCT, double-blind randomized controlled trial; HDM, house dust mite; LOE, level of evidence; NPV, negative predictive value; PPV, positive predictive value; RCT, randomized controlled trial; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy; SPT, skin prick test.

<sup>a</sup>LOE downgraded due to very small number of patients.



### Basophil activation testing

**Aggregate grade of evidence:** C (Level 2: 5 studies, level 3: 13 studies, level 4: 1 study; Table X.C.5)

**Benefit:** May help diagnose AR in specific cases where common approaches are not possible or show conflicting results.

**Harm:** Discomfort of venipuncture.

**Cost:** Moderate cost of performing the test, plus venipuncture. Cost depends on the local situation and availability.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** The evidence does not support routine use for the diagnosis of AR or for following AIT response.

**Policy level:** Option.

**Intervention:** Application of BAT in specific situations where other diagnostic procedures for AR are not possible or conflicting. Potentially useful for monitoring AIT if other methods fail or show conflicting results.

## X.C.6 | Component resolved diagnostic testing

The implementation of molecular allergy diagnostic approach, or PAMD@, is increasingly entering into routine clinical care.<sup>1330</sup> Although PAMD@ may initially appear complex to interpret, with increasing experience, the information gained is relevant and allows improved management of allergic diseases. By measuring sIgE to purified natural or recombinant allergens, PAMD@ allows clinicians to evaluate allergen sensitization at the individual protein level, thus allowing potential identification of disease-eliciting molecules.

In addition to potentially improving diagnostic accuracy, molecular diagnostics (MD) can also aid in distinguishing cross-reactivity phenomena from true co-sensitization and resolving low-risk markers from high-risk markers of disease activity. When compared to diagnosis based on sIgE determination and/or SPT with raw commercial extracts, MD may improve the identification of disease-causing allergen sources and the prescription of AIT.<sup>1330,1409–1412</sup> Changes in AIT prescriptions as a result of MD have demonstrated cost-effectiveness.<sup>1413</sup> A real-life study showed that although SPT was less expensive, MD allowed a more precise prescription of AIT, which substantially reduced treatment costs and the combined

costs for diagnosis and treatment.<sup>1414</sup> MD may also aid with risk stratification by identifying certain patterns of sensitization to pollen allergens that are at higher risk of adverse reaction during AIT.<sup>1415,1416</sup> Clinicians should keep in mind that all in vitro test results should be evaluated in context of the clinical history since allergen sensitization does not necessarily imply clinical symptoms.

Patients with a broader polyclonal IgE sensitization pattern to mites, epithelia, and pollen allergens have a trend toward more severe disease and more comorbidities.<sup>51,1417</sup> The presence of IgE antibodies against allergenic molecules may be determined using a singleplex or multiplex measurement platform (ISAC, ThermoFisher-Scientific, Uppsala, Sweden; Alex<sup>2</sup> MacroArray Diagnostics, Vienna, Austria). It should be noted that the results of singleplex and multiplex platforms are not interchangeable, and, in general, sensitivity is higher for singleplex platforms.<sup>1330,1409</sup> Singleplex platforms are quantitative assays and multiplex are semi-quantitative.

In the case of mite sensitivity, Der p 1 and Der p 2 for *D. pteronyssinus* sensitize the majority of mite-allergic patients, with double sensitization to groups 1 and 2 being common.<sup>1418</sup> Recently, Der p 23 has been described also as a frequent allergen and associated with increased asthma risk.<sup>1330,1419</sup> Other good markers of sensitization are Lep d 2 for *Lepidoglyphus destructor* (storage mite, with limited cross-reactivity with other HDMs)<sup>1420</sup> and Blo t 5 for *Blomia tropicalis* (non-Pyroglyphidae mite).<sup>1421</sup> Der p 10 is a tropomyosin, which can cause cross-reaction with tropomyosin from crustaceans (shrimp, crab, lobster) and mollusks (oyster, mussel, scallop), but it is not a marker of sensitization to mites.<sup>1422,1423</sup> A better clinical response to AIT was observed in patients sensitized only to Der p 1 and/or Der p 2, when compared to patients with a broader IgE response.<sup>1424</sup>

In dog allergy, patients display a more complex pattern, with several allergens being recognized by around 50% of patients and 25% of patients being monosensitized to Can f 5.<sup>1425–1428</sup> The pattern of sensitization should be kept in mind since the content of dog allergens in AIT extracts is very heterogeneous.<sup>1429</sup> In the case of cat allergic patients, Fel d 1 is clearly the major allergen, but other allergens also seem important such as Fel d 4 and Fel d 7.<sup>1430–1432</sup> A list of dog, cat, and horse aeroallergens is shown in Table X.C.6.-1.

Allergens related to sensitization to cockroaches are Bla g 1, Bla g 2, Bla g 4, and Bla g 5, although in certain populations, tropomyosins (Bla g 7 and/or Per a 7) can be important.<sup>1433</sup>

Alt a 1 is a major allergen that is recognized in approximately 80%–100% of *Alternaria*-allergic patients.<sup>1434</sup> There

**TABLE X.C.6.-1** Mammalian allergens (www.allergen.org)

|                       | Specific component                                | Percent sensitization          | Cross-reactivity                  |
|-----------------------|---|--------------------------------|-----------------------------------|
| <b>Dog</b>            | Can f 1 (lipocalin) <sup>a</sup>                  | 50%–90%                        | Fel d 7                           |
|                       | Can f 2 (lipocalin) <sup>a</sup>                  | 20%–33%                        |                                   |
|                       | Can f 3 (serum albumin) <sup>a</sup>              | 25%–59%                        | 70%–80% with other serum albumins |
|                       | Can f 4 (lipocalin)                               | 35%–46%                        |                                   |
|                       | Can f 5 (arginine esterase, prostatic kallikrein) | 30%–70%; monosensitization 25% |                                   |
|                       | Can f 6 (lipocalin) <sup>a</sup>                  | 23%–61%                        | Fel d 4 and Equ c 1               |
|                       | Can f 7 (epididymal secretory protein EI)         | 17%                            |                                   |
| <b>Cat</b>            | Fel d 1 (secretoglobulin) <sup>a</sup>            | 90%; monosensitization 30%     |                                   |
|                       | Fel d 2 (serum albumin) <sup>a</sup>              | 14%–54%                        | 70%–80% with other serum albumins |
|                       | Fel d 3 (cystatin)                                | 10%–38%                        |                                   |
|                       | Fel d 4 (lipocalin) <sup>a</sup>                  | 63%; monosensitization 6%      | Can f 6 and Equ c 1               |
|                       | Fel d 5W (IgA)                                    | 38%                            |                                   |
|                       | Fel d 6W (IgM)                                    | ?                              |                                   |
|                       | Fel d 7 (lipocalin) <sup>a</sup>                  | 38%                            | Can f 1                           |
| <b>Domestic horse</b> | Fel d 8 (latherin-like protein)                   | 19%                            |                                   |
|                       | Equ c 1 (lipocalin) <sup>a</sup>                  | 76%–100%                       | Can f 6 and Fel d 4               |
|                       | Equ c 2 (lipocalin)                               | 50%                            |                                   |
|                       | Equ c 3 (serum albumin) <sup>a</sup>              | 36%                            | 70–80% with other serum albumins  |
|                       | Equ c 4 (latherin)                                | 77%                            |                                   |
|                       | Equ c 6 (lysozyme)                                | ?                              |                                   |

<sup>a</sup>Allergens currently available for molecular diagnosis.

are 23 *Aspergillus fumigatus* allergens, but the main ones are Asp f 1, Asp f 2, Asp f 3, Asp f 4, and Asp f 6, with Asp f 1 being the most important.<sup>1409,1435</sup>

Markers of sensitization to several pollens are summarized in Table X.C.6.-2. Sensitization to profilin has been associated with more severe respiratory symptoms in grass-allergic patients, as well as sensitization to the minor olive allergens Ole e 7 and Ole e 9.<sup>1416,1436</sup> Specific markers of sensitization to grass pollen include IgE antibodies to Phl p 1 and/or Phl p 5. Phl p 6 is contained only in Pooideae grasses and Phl p 4 can be used as a marker of sensitization to non-Pooideae grasses. As allergens from groups 1, 2, 5, and 6 are only expressed in grasses and not in other plants, they detect a genuine sensitization to grasses.<sup>1437</sup>

In summary, PAMD@ in AR can help to better define the sensitization, better predict disease severity, better select patients and allergens for AIT and may predict the efficacy of AIT. However, it is not recommended for routine use in daily clinical practice at this time.

### Component resolved diagnostic testing

**Aggregate grade of evidence:** C (Level 2: 4 studies, level 3: 2 studies, level 4: 11 studies, level 5: 1 study; Table X.C.6.-3)

**Benefit:** Reliable. May help in identification and selection of suitable allergens for AIT, as well as possibly improving safety of AIT.

**Harm:** Discomfort of venipuncture.

**Cost:** Moderate cost of testing, minimal cost of venipuncture; depends on local availability.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Molecular diagnosis may be a useful tool for assessment of AR in some scenarios, especially in polysensitized patients.

**Policy level:** Option.

**Intervention:** Component resolved diagnostic testing is an option for diagnosis of AR by specialists.

TABLE X.C.6.-2 Pollen allergens

| Pollen                      | Specific components                             | Percent sensitization <sup>1330</sup> | Cross-reactivity components   |
|-----------------------------|---|---------------------------------------|---|
| Ragweed                     | Amb a 1 (peptate lyase) <sup>a</sup>            | 100%                                  | Amb 1 and Art v 6<br>Amb v 8 (profilins)<br>Amb v 9 (polcalcins)  |
|                             | Amb a 4 (defensin-like)                         | 20%–40%                               |   |
|                             | Amb a 6 (LTP)                                   | 20%                                   |   |
|                             | Amb a 8 (profilin)                              | 35%–50%                               |   |
|                             | Amb a 9 (polcalcin)                             | 10%–15%                               |   |
|                             | Amb a 10 (polcacin)                             | 10%–15%                               |   |
|                             | Amb a 11 (cysteine protease)                    | 66%                                   |   |
| Mugwort                     | Art v 1 (defensin) <sup>a</sup>                 | 95%                                   | Art v 3 (ltps)<br>Art v 4 (profilins)<br>Art v 5 (polcalcins)<br>Art v 6 and Amb 1  |
|                             | Art v 3 (LTP) <sup>a</sup>                      | 22%–70%                               |   |
|                             | Art v 4 (profilin)                              | 35%                                   |   |
|                             | Art v 5 (polcalcin)                             | 10%–28%                               |   |
|                             | Art v 6 (peptate lyase)                         | 26%                                   |   |
| Parietaria, wall pellitory  | Par j 1 (LTP)                                   | 95%                                   | Par j 2 (ltp)<br>Par j 3 (profilins)<br>Par j 4 (polcalcins)  |
|                             | Par j 2 (LTP) <sup>a</sup>                      | 80%                                   |   |
|                             | Par j 3 (profilin)                              | ?                                     |   |
|                             | Par j 4 (polcalcin)                             | 6%                                    |   |
| Russian thistle or saltwort | Sal k 1 (Pectinesterase) <sup>a</sup>           | 70%                                   | Sal k 4 (profillins)  |
|                             | Sal k 4 (profilin)                              | 46%                                   |   |
|                             | Sal k 5 (Ole-1 like)                            | 30%–60%                               |   |
| Goosefoot                   | Che a 1 (trypsin inhibitor)                     | 70%                                   | Chea a 2 (profilins)  |
|                             | Che a 2 (profilin)                              | 55%                                   |   |
|                             | Che a 3 (polcalcin)                             | 46%                                   |   |
| Timothy                     | Phl p 1 (expansin) <sup>a</sup>                 | 95%                                   | Phl p 4 (berberines)<br>Phl p 7 (polcalcins)<br>Phl p 11 (trypsin inhibitors)<br>Phl p 12 (profilin)<br>Phl p 5 & Phl p 2 & Phl p 6 |
|                             | Ph l p 2 (?)                                    | 55%                                   |   |
|                             | Phl p 3 (?)                                     | 60%                                   |   |
|                             | Phl p 4 (berberine bridge enzymes) <sup>a</sup> | 70%                                   |   |
|                             | Phl p 5 (ribonuclease) <sup>a</sup>             | 50%–95%                               |   |
|                             | Phl p 6 (?) <sup>a</sup>                        | 44%–75%                               |   |
|                             | Ph l p 7 (polcalcin) <sup>a</sup>               | 10%                                   |   |
|                             | Ph l p 11 (Ole-1 like)                          | 32%–43%                               |   |
|                             | Ph l p 12 (profilin) <sup>a</sup>               | 15%                                   |   |
| Bermuda grass               | Ph l p 13 (polygalacturonase)                   | 50%                                   | Cyn d 1 and Phl p 1   |
|                             | Cyn d 1 (expansin) <sup>a</sup>                 | 100%                                  |   |
|                             | Cyn d 4 (berberine bridge enzyme)               | 100%                                  |   |
| Alder                       | Aln g 1 (PR-10)                                 | 100%                                  | Aln g 1 (PR 10)   |
|                             | Aln g 4 (polcalcin)                             | 18%                                   |   |
| Birch                       | Bet v 1 (PR-10) <sup>a</sup>                    | 95%                                   | Bet v 1 (PR10)<br>Bet v 2 (profilins)<br>Bet v 4 (polcalcins)   |
|                             | Bet v 2 (profilin) <sup>a</sup>                 | 22%                                   |   |
|                             | Bet v 3 (polcalcin) <sup>a</sup>                | 10%                                   |   |
|                             | Bet v 4 (polcalcin)                             | 5%                                    |   |
|                             | Bet v 6 (isoflavone reductase)                  | 32%                                   |   |
|                             | Bet v 7 (cyclophilin)                           | 21%                                   |   |
| Olive                       | Ole e 1 (trypsin inhibitors) <sup>a</sup>       | 90%                                   | Ole e 2 (profilins)<br>Ole e 3 (polcalcins)   |
|                             | Ole e 2 (profilin)                              | 50%                                   |   |
|                             | Ole e 3 (polcalcin)                             | ?                                     |   |
|                             | Ole e 4 (?)                                     | 80%                                   |   |
|                             | Ole e 5 (superoxide dismutase)                  | 35%                                   |   |
|                             | Ole e 6 (?)                                     | 15%                                   |   |
|                             | Ole e 7 (LTP) <sup>a</sup>                      | 47%                                   |   |
|                             | Ole e 8 (polcalcin)                             | ?                                     |   |
|                             | Ole e 9 (glucanase) <sup>a</sup>                | 68%                                   |   |
|                             | Ole e 10 (X8 domain protein)                    | 90%                                   |   |
|                             | Ole 11 (pectin methylesterase)                  | ?                                     |   |
|                             | Ole e 12 (isoflavone reductase)                 | 4–33%                                 |   |

(Continues)

TABLE X.C.6.-2 (Continued)

| Pollen         | Specific components                        | Percent sensitization <sup>1330</sup> | Cross-reactivity components                       |
|----------------|--|---------------------------------------|---|
| Japanese cedar | Cry j 1 (pectate lyases)                   | 98%                                   | Japanese cedar, mountain cedar and cypress pollen |
|                | Cry j 2 (polygalacturonase)                | 82%                                   |   |
| Cypress        | Cup a 1 (pectate lysases) <sup>a</sup>     | 100%                                  | Cup a 4 and polcalcins                            |
|                | Cup a 3 (thaumatin-like)                   | 50%                                   |   |
|                | Cup a 4 (polcalcin)                        | 10%                                   |   |
| Ash            | Fra e 1 (Ole 1-like)                       | 87%                                   | Fra e 1 and ole e 1                               |
| Plane tree     | Pla a 1 (invertase inhibitor) <sup>a</sup> | 87%                                   | Pla a 3 (ltp)                                     |
|                | Pla a 2 (polygalacturonases) <sup>a</sup>  | 83%                                   |   |
|                | Pla a 3 (LTP) <sup>a</sup>                 | 45%                                   |   |

Abbreviation: LTP, lipid transfer protein.

<sup>a</sup>Allergens currently available for molecular diagnosis.

## X.D | Allergen challenge testing

### X.D.1 | Environmental exposure chambers (allergen challenge chambers)

Environmental exposure chambers (EEC) have been used for decades to study the impact of exposures to well-defined atmospheres of a variety of substances such as allergens, particulate and gaseous air pollutants, chemicals, or climate conditions. Valid exposure conditions with high temporal and spatial stability are technically demanding, limiting the number of EECs worldwide. In addition to the opportunity to use EEC for mechanistic studies on the effect of environmental pollutants on human health, it is also an interesting way to do efficacy testing of new drugs by allergen challenge in the chamber setting with induction of symptoms in patients with allergic disease. Presently, there are 15 allergen challenge chamber (ACC) facilities around the globe focusing on allergen exposure.<sup>1451</sup>

Our understanding of the pathophysiology of allergic diseases has been enhanced by ACC studies. A prime example of this is knowledge gained that controlled allergen exposure exacerbates AD.<sup>1452</sup> Also, the impact of exposure with pollen allergen fragments<sup>1453</sup> and the aggravating effect of diesel exhaust particles on AR symptoms have been shown.<sup>955</sup> Furthermore, the importance of the integrity of the epithelial barrier for induction of local and systemic inflammatory responses has been investigated in patients with allergic rhinoconjunctivitis using the ACC setting,<sup>1454</sup> as well as severity phenotypes of allergic asthma and rhinoconjunctivitis.<sup>1455,1456</sup>

The use of ACC in clinical trials for efficacy testing of investigational new drugs and their acceptance by regulatory authorities is peremptorily dependent on the technical and clinical validation of ACCs. ACC have been intensively validated regarding specificity and dose-dependency of symptom induction, as well as technical aspects such as temporal stability and spatial homogeneity of the allergen

exposure.<sup>1457–1465</sup> Also, repeatability of outcome measures in the ACC has been systematically investigated and verified for TNSS,<sup>1466</sup> peak nasal inspiratory flow (PNIF),<sup>1467</sup> conjunctivitis symptoms,<sup>1468,1469</sup> and inflammatory nasal biomarkers.<sup>1470</sup> Remarkably, epigenetic changes in peripheral blood mononuclear cells and nasal epithelia after allergen challenge have recently been demonstrated, with baseline epigenetic status predicting symptom severity.<sup>1471</sup> Given the level of technical and clinical validation, ACCs have been used in clinical drug development to study pharmacological properties of new drugs during phase 2 trials, such as optimal dose,<sup>1472–1474</sup> onset of action,<sup>1475–1481</sup> and duration of action.<sup>1482–1484</sup> In this respect, numerous clinical trials have been conducted using parallel-group or cross-over designs in order to test the efficacy of drugs with prophylactic therapeutic potential, such as INCS,<sup>1485–1489</sup> or with immediate therapeutic activity, such as antihistamines.<sup>1490–1496</sup> Novel anti-inflammatory compounds,<sup>1497–1501</sup> drug-free nasal fluids,<sup>1502,1503</sup> and probiotics<sup>1504,1505</sup> have also been tested by this method. Additionally, the efficacy of AIT<sup>1506–1517</sup> and air cleaners<sup>1518,1519</sup> has been tested, as well as the influence of allergic nasal symptoms on the absorption of nasally applied drugs.<sup>1520</sup> Major advantages in the ACC setting compared to field studies are better signal-to-noise ratios, a safeguarded minimum level of symptomatology in the ACC, and reproducibility of symptoms through allergen dose consistency allowing intra-individual comparisons.

A variety of validation studies of allergen atmospheres in ACCs have been published, including grass,<sup>1457,1462</sup> birch,<sup>1458</sup> HDM,<sup>1463,1521,1522</sup> Japanese cypress,<sup>1523</sup> and ragweed.<sup>1524</sup> While regulatory authorities accept the use of ACC in phase 2 of drug development, they have been reluctant to approve them in pivotal phase 3 studies because their clinical validation is still imperfect.<sup>1525–1527</sup> Differences between natural exposure and ACC studies exist, for example, with regards to exposure time (continuous versus intermittent), exposure atmosphere complexity (natural mix versus artificial purity), selection of study

**TABLE X.C.6.-3** Evidence table – component resolved diagnostic testing for the diagnosis of allergic rhinitis

| Study                                    | Year | LOE | Study design        | Study groups  | Clinical endpoints  | Conclusions  |
|--|------|-----|---------------------|---|---|--|
| Martinez-Cañavate et al. <sup>1438</sup> | 2018 | 2   | Observational study | 281 children with seasonal AR, positive SPT to olive and grass pollen   | sIgE to Phl p 1 + 5, Ole e 1, and Phl p 7 + 12<br>Composition of AIT  | When the molecular diagnosis results were known, specialists altered prescribed AIT in 52.87% of cases   |
| Moreno et al. <sup>1439</sup>            | 2014 | 2   | Observational study | 1263 patients with seasonal AR, positive SPT to grass and olive pollens   | sIgE levels to Ole e 1 and Phl p 1 + 5<br>Comparison before and after obtaining the sIgE results  | 71.2% of patients positive to Ole e 1 and Phl p 1 + 5<br>14% positive only to Phl p 1 + 5<br>12% positive only to Ole e 1<br>In 56.8% of patients, AIT would be changed based on in vitro data |
| Stringari et al. <sup>1440</sup>         | 2014 | 2   | Observational study | 651 children with moderate-to-severe pollen-related AR, positive SPT to grass, cypress, olive, mugwort, pellitory, and/or Betulaceae pollen | IgE sensitization to Phl p 1, Phl p 5, Bet v 1, Cup a 1, Art v 1, Ole e 1, Par j 2, and Phl p 12 (profilin)<br>AIT prescription was modeled on SPT responses first and then remodeled considering CRD | After CRD, AIT prescription or composition was changed in 42%  |
| Letran et al. <sup>1441</sup>            | 2013 | 2   | Observational study | 175 patients with a diagnosis of spring pollinosis  | SPT<br>In vitro study of the application of a specific recombinant IgE protocol (nOle e 1, rPhl p 1-5b, rPhl p 12, rPhl p 7, and rPru p 3)  | Choice of immunotherapy was changed in more than 50% of patients   |
| Nolte et al. <sup>1442</sup>             | 2015 | 3   | Cohort              | 1905 subjects screened for a Timothy grass SLIT trial   | Serum sIgE measured post hoc by ImmunoCAP ISAC<br>Symptom and medication score during pollen season<br>Adverse events   | Trend toward higher efficacy and increased treatment related adverse events in subjects with higher pretreatment Phl p IgE levels  |
| Sastre et al. <sup>1416</sup>            | 2015 | 3   | Cohort              | 192 patients with rhinitis and/or asthma sensitized to grass pollen receiving 4-week up dosing with five injections                         | Adverse drug reactions evaluated following EAACI guidelines   | Sensitization to Phl p 1 + Phl p 5 or Phl p 1 + Phl p 5 + Phl p 12 significantly associated with a higher frequency of local or systemic reactions ( $p = 0.001$ )                             |
| Rodinkova et al. <sup>1443</sup>         | 2022 | 4   | Case series         | 10,651 Ukrainian adults and children with HDM allergy   | Pattern of sensitization to individual molecules and geographical location  | Simultaneous sensitization to Der f 2 and Der p 2 allergens most common<br>The established pattern of population sensitization to HDM in Ukraine is a good prognostic marker of AIT efficacy   |

(Continues)



TABLE X.C.6.-3 (Continued)

| Study                                      | Year | LOE | Study design              | Study groups   | Clinical endpoints   | Conclusions  |
|--|------|-----|---------------------------|--|--|--|
| Rodriguez-Dominguez et al. <sup>1424</sup> | 2020 | 4   | Case series               | Patients with HDM allergy undergoing AIT   | Serum and nasal secretion samples at baseline, 7, 15, 33, and 52 weeks while undergoing AIT tested for IgE and IgG reactivity to 15 microarrayed HDM allergen molecules                              | Patients sensitized exclusively to Der p 1 and/or Der p 2 but not to any of the other important HDM allergens (e.g., Der p 5, Der p 7, Der p 21, and Der p 23) showed greater reduction in symptoms after 1 year of treatment (median VAS score reduction of 59.33%) than did patients with additional sensitizations to Der p 5, Der p 7, Der p 21, and/or Der p 23 |
| Arroabarren et al. <sup>1444</sup>         | 2019 | 4   | Retrospective case series | Patients with HDM-induced respiratory allergy who received AIT extract for at least 3 years    | Serum levels of <i>D. pteronyssinus</i> components (Der p 1, Der p 2, Der p 10, and Der p 23 and Lep d 2) VAS and/or the Global Score of Combined Rhinitis and Asthma Symptoms and Rescue Medication | No association between the clinical efficacy of AIT based on HDM and sensitization to mite allergens   |
| Chen et al. <sup>1445</sup>                | 2019 | 4   | Retrospective case series | Patients with HDM allergy treated with AIT in a double-blind placebo-controlled clinical study | Post hoc analysis of serum IgE and IgG reactivity against a comprehensive panel of HDM allergens Respiratory symptoms during controlled HDM exposure in the Vienna Challenge Chamber                 | Der p 1, Der p 2, and Der p 23 were the most frequently recognized <i>D. pteronyssinus</i> allergens AIT performed with HDM extracts inducing IgG antibodies mainly to Der p 1 and Der p 2 was beneficial for patients sensitized exclusively to Der p 1 and/or Der p 2 but not those sensitized to other HDM allergens  |
| diCoste et al. <sup>1446</sup>             | 2017 | 4   | Case series               | 36 patients with allergic rhinoconjunctivitis treated with SLIT                                | sIgE to Phl p 1, 2, 4, 5, 6, 7, 11, and 12 Symptom and medication scores evaluated before and after one year of SLIT   | SLIT with a grass pollen is efficacious irrespective of patient's baseline sensitization to either single or multiple grass pollen molecular allergens Patients with few sensitizations have greater improvement in combined symptom and medication score  |

(Continues)

TABLE X.C.6.-3 (Continued)

| Study                              | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|------------------------------------|------|-----|--------------|--|--|---|
| Saltabayeva et al. <sup>1414</sup> | 2017 | 4   | Case series  | 95 patients with pollen-induced allergy  | SPT with a local panel of tree pollen, grass pollen, and weed pollen allergen extracts<br>sIgE for marker allergen molecules (nArt v 1, nArt v 3, rAmb a 1, rPhl p 1, rPhl p 5, rBet v 1)<br>Direct and indirect costs | Costs for SPT-based diagnosis lower than the costs for allergen molecule-based sIgE<br>Allergen molecule-based serology was more precise in detecting disease-causing allergen sources  |
| Uriarte and Sastre <sup>1427</sup> | 2016 | 4   | Case series  | 159 patients with rhinitis/asthma sensitized to dog, cat, and horse  | sIgE to whole extracts and to pet recombinant allergens  | Can f 1 associated with persistent rhinitis<br>Can f 2 associated with asthma diagnosis<br>Can f 3 associated with moderate/severe rhinitis and asthma diagnosis<br>Can f 5 associated with persistent and moderate/severe rhinitis<br>Fel d 2 associated with moderate/severe rhinitis and asthma diagnosis<br>Equ c 1 associated with moderate/severe rhinitis<br>Equ c 3 associated with persistent rhinitis, asthma diagnosis and severe asthma |
| Darsow et al. <sup>1447</sup>      | 2014 | 4   | Cases series | Sera of 101 adults with grass pollen allergy   | sIgE against Timothy grass pollen: rPhl p 1, rPhl p 2, nPhl p 4, rPhl p 5b, rPhl p 6, rPhl p 7, rPhl p 11, and rPhl p 12<br>Nasal and conjunctival provocation tests   | Increased number of sensitizations to Timothy grass allergens correlated to a positive reaction in the conjunctival (4.9 vs. 3.6, $p = 0.003$ ) and nasal provocation tests (4.5 vs. 2.2, $p = 0.0175$ )  |
| Sastre et al. <sup>1448</sup>      | 2012 | 4   | Case series  | 141 patients with allergic rhinoconjunctivitis and/or asthma sensitized to pollen with or without concomitant food allergy | SPT<br>Micro-array-based panel of allergens (ISAC)<br>Indication of AIT and use of allergens following EAACI recommendations, based on clinical history and SPT results before and after obtaining the ISAC results    | Agreement in AIT indication before and after ISAC results found in only 46% of patients<br>Very low agreement regarding indication and use of allergens for AIT before and after performing molecular diagnosis   |

(Continues)

TABLE X.C.6.-3 (Continued)

| Study                          | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|--------------------------------|------|-----|--------------|---|--|---|
| Tripodi et al. <sup>1449</sup> | 2012 | 4   | Case series  | 200 children with grass pollen AR, asthma, or both ascertained through validated questionnaires | SPT<br>sIgE assays with nine pollen extracts<br>Sera reacting against P pratense were tested for the individual molecules (rPhl p 1, rPhl p 2, rPhl p 4, nPhl p 4, rPhl p 5b, rPhl p 6, rPhl p 7, rPhl p 11, and Phl p 12)<br>sIgE individual sensitization profiles matched against an experimental AIT preparation containing Phl p 1, Phl p 2, Phl p 5, and Phl p 6 | Molecular profile of the experimental AIT preparation matched only 4% of patients   |
| Duffort et al. <sup>1450</sup> | 2006 | 4   | Case series  | Olive pollen extract batches from several suppliers were analyzed                               | Not applicable   | Batches analyzed for Ole e 1 and Ole e 9 content as well as biological activity<br>10-fold variation between the extreme values was found for the biological activity of the batches analyzed<br>Ole e 1 concentration showed a 25-fold variation<br>Variability of Ole e 9 concentration extremely high, up to 161 times |
| Schoos et al. <sup>1428</sup>  | 2021 | 5   | Review       | Studies on CRD for pet components published between 1997 and mid-2020                           | Not applicable   | CRD has a role in developing patient-tailored treatment that could reduce health care costs, save time for patients, reduce adverse effects, and improve patient quality of life  |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; CRD, component resolved diagnostics; EAACI, European Academy of Allergy and Clinical Immunology; HDM, house dust mite; Ig, immunoglobulin; LOE, level of evidence; sIgE, allergen-specific immunoglobulin E; SLIT, sublingual immunotherapy; SPT, skin prick test; VAS, visual analog scale.

population (all-comers vs. allergen challenge responders), and sample size (higher in field studies than in ACC to achieve comparable statistical power). To promote the implementation of ACC in phase 3 clinical trials, an EAACI initiated task force gathers and evaluates data on their clinical validation. Minimal technical requirements have already been identified.<sup>1528</sup> Hybrid approaches combining ACC and field study might provide proper robustness to determine drug efficacy.<sup>1451,1529</sup>

In summary, numerous well-designed RCTs using technically validated ACCs for efficacy testing of investiga-

tional new drugs with detailed analysis of dose–response, onset of action, and duration of action underline the value of ACCs in clinical drug development of AR medicines.

## X.D.2 | Local allergen challenge testing

Challenging target organs with allergens could demonstrate reactivity when SPT and/or serum sIgE tests are unconvincing or inconsistent with patient symptoms and exam. NPT and conjunctival provocation test (CPT) may

be used for AR and rhinoconjunctivitis diagnosis, respectively, in these circumstances.<sup>50,1530,1531</sup>

NPT aims to reproduce the upper airway response to nasal allergen exposure.<sup>1532,1533</sup> The only test fulfilling such requirements directly is the EEC; allergens administered during NPT usually exceed the levels of natural exposure. (See Section X.D.1. Environmental Exposure Chambers for additional information on this topic.) NPT can be administered by several devices: syringes, droppers, sprays, or disks, each with limitations.<sup>1532</sup> Positive NPT can be assessed by symptom scales, rhinometry, PNIF, nasal lavage inflammatory markers, and nasal nitric oxide (nNO).<sup>1533</sup> NPT contraindications include acute rhinosinusitis, recent AR exacerbation, history of anaphylactic reactions, severe general diseases (cardiopulmonary diseases with reduced lung capacity), and pregnancy.<sup>1534</sup> Reported sensitivities and specificities of NPT range between 83.7%–93.3.% and 72.7%–100%, respectively (Table X.D.2). A standardized NPT, suggested by Gosepath et al.,<sup>1534</sup> has been defined by the EAACI position paper, although NPT utilization for AR diagnosis may decrease due to emerging tools like molecular allergy diagnostics and BAT.<sup>1389,1535–1537</sup>

The characteristics and safety of NPT were investigated in 518 children and 5830 adults by Eguiluz-Gracia et al.,<sup>49</sup> with 11,499 challenges and only four local adverse reactions noted. Reproducibility, positive and negative predictive values of three consecutive NPT in 710 subjects were 97.32%, 100%, and 92.91%, respectively, with no false-positive results. Comparison between NPT and EEC in patients with cat allergy resulted in similar clinical and immunological responses. The authors suggested that selecting a specific allergen challenge method should depend on the study objectives and costs when investigating cat allergy.<sup>1538</sup> Regarding HDM, Wanjun et al.<sup>1539</sup> studied the relationship between the severity of AR and various diagnostic tests noting that NPT, SPT wheal size, and serum sIgE correlated with each other; only NPT was associated with the nasal symptom severity. Joo et al.<sup>1540</sup> evaluated the EAACI NPT protocol, concluding that standardized NPT could help diagnose AR caused by HDM. Finally, Xiao et al.<sup>1541</sup> found that, in assessing HDM allergic patients' candidacy for AIT, NPT is valuable and safe for confirming the diagnosis before treatment, especially in Der p 1-positive or low sIgE patients.

NPT is crucial in diagnosing occupational rhinitis and LAR. Occupational rhinitis diagnosis requires "objective demonstration of the causal relationship between rhinitis and the work environment through NPT with the suspected agent(s)."<sup>1542</sup> Occupational rhinitis diagnosis is challenging and should be suspected in patients with adult-onset rhinitis; NPT is the gold standard for diagnosis when immunological tests are unavailable or unreliable.<sup>128</sup>

For LAR, the SPT and serum sIgE are negative and diagnosis requires the measurement of local IgE in nasal secretions or a positive NPT.<sup>469</sup> Measuring local sIgE in the clinic is not readily available or practical, making NPT critical. Of note, NPT with HDM, pollens, and *Alternaria* was positive in 100% of 22 adults with previously diagnosed LAR<sup>1543</sup>; however, in 28 children with non-allergic rhinitis, NPT was positive in only 25% of subjects.<sup>474</sup> In another study involving 62 symptomatic patients with negative SPT, the prevalence of LAR to HDM was 24.2%, with sneezing noted as a more dominant symptom in LAR versus non-allergic rhinitis.<sup>1544</sup>

CPT is generally performed by instilling 20–30  $\mu$ l of an allergen solution into the inferolateral quadrant of the conjunctiva, using a control diluent in the contralateral eye.<sup>1530</sup> A positive CPT response results in a reaction 5–20 min after testing with ocular itching/pruritis, tearing, redness/conjunctival erythema, and possibly edema. A study of 20 children with seasonal rhinoconjunctivitis tested three times with CPT reported good reproducibility.<sup>1545</sup> CPT sensitivity and specificity in HDM-allergic patients were reported as 90% and 100%, respectively.<sup>1546</sup> A systematic review contributed to the EAACI guidelines for the practice of CPT with grade B evidence for identifying the allergen trigger.<sup>1547</sup> It was concluded that allergists should be more familiar with CPT due to its simplicity. However, symptom scales need to be validated, allergen extract standardization should be improved, and CPT indications in patients with non-allergic conjunctivitis remain uncertain. Only one recent trial has been published which assessed a group of children monosensitized to Can f 5 from dogs. Interestingly, reference SPT and CPT demonstrated different reactions to male and female dog extracts, suggesting tolerance to female dogs.<sup>1548</sup>

### Local allergen challenge testing (provocation testing)

**Aggregate grade of evidence:** C (Level 2: 1 study, level 3: 7 studies; Table X.D.2)

**Benefit:** May assist in confirming diagnosis of AR in specific cases when immunological tests are unavailable or unreliable. NPT is crucial in diagnosing occupational rhinitis and LAR.

**Harm:** Not necessary if first- and second- line tests are indicative for AR diagnosis.

**Cost:** Depending on the local situation and availability of equipment and staff, costs may be high.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** The evidence does not support routine use for diagnosis of AR, but provoca-

tion testing is useful for diagnosis of occupational rhinitis and LAR.

**Policy level:** Option for diagnosis of AR when skin or in vitro tests are equivocal or unreliable. Recommendation for diagnosis of LAR and occupational rhinitis.

**Intervention:** Application of NPT is useful in LAR and to confirm occupational rhinitis.

## X.E | Nasal cytology and histology

Nasal cytology (NC) is a diagnostic procedure that evaluates cell types present in the nasal mucosa.<sup>1553</sup> NC starts with sampling the surface cells of the nasal mucosa; typically with a Rhino-probe (Arlington Scientific, Springville, UT, USA).<sup>1554 1005</sup> After sampling, staining using the May–Grunwald–Giemsa method allows identification of inflammatory (i.e., eosinophils, neutrophils, mast cells, and lymphocytes) and normal cells (ciliated and mucinous). At least 50 microscopic fields of the slides are then examined through a 1000× optical microscope.<sup>1553</sup> NC may directly detect bacteria, viruses, and fungi, as well as biofilms, demonstrating that biofilm is present not only in infectious rhinitis, but also in inflammatory and/or immune-mediated diseases.<sup>1555</sup> Specific cytological patterns can aid in classifying various forms of rhinitis, including AR, non-allergic rhinitis, and overlapping forms. The predominant cell type assessed by NC in AR is the eosinophil, followed by mast cells and basophils.<sup>1556–1559</sup> Elevated nasal eosinophil counts had an OR of 1.14 (95% CI 1.10–1.18) of identifying AR.<sup>1557</sup> NC in poly-allergic patients showed a more intense inflammatory infiltrate than in mono-allergic patients,<sup>1558</sup> and demonstrated seasonal changes of inflammatory cells, probably due to changes in allergen exposure.<sup>1560</sup>

Studies on NC performance in diagnosing AR or non-allergic rhinitis are limited (Table X.E.-1). In 2021, a study on 387 patients assessed the diagnostic performance of NC showing 100% sensitivity (95% CI 97–100), 49.6% specificity (95% CI 43%–56%); PPV of 56% (95% CI 50%–62%), and NPV of 100% (95% CI 96%–100%) with a non-allergic rhinitis prevalence of 39%.<sup>1561</sup> The accuracy of the test was 69.5% (95% CI 64.6%–74.0%). Such performance does not help to identify when it might be valuable to use, particularly with poor PPV. The ability of the NC to identify subjects affected by non-allergic rhinitis helps the clinician to inform the patient about the possibility or the reason for the low efficacy of the AR therapy in mixed rhinitis. NC has been evolving in the last years, and novel approaches have recently been proposed using nasal scraping to collect

samples for measurement of inflammatory mediators and cytokines.<sup>1562,1563</sup>

Nasal histology (NH) was the only technique to study nasal tissues and cells for many decades. Biopsy-based investigations in the 1990s allowed researchers to define the role of the different inflammatory cells in AR.<sup>531</sup> After a tissue sample is taken from the MT, it is placed in buffered formalin and then stained with reagents (Giemsa, hematoxylin/eosin, periodic acid-Schiff, Masson trichrome, azure A, and chloroacetate esterase).<sup>454,1564</sup> The slides are then examined by an optical double-headed light microscope.

NC made it possible to obtain similar information as NH but without the potential risk for bleeding and allowing sequential sampling. Furthermore, following allergen challenge, NC revealed an increase in inflammatory cells not detected by histology; thus suggesting that the nasal secretions, which the NC collects together with the cells, and the nasal mucosa may represent two distinct cellular compartments with different expression of inflammatory cells.<sup>1565</sup> While NH is useful in pathophysiology research, it is hardly feasible for routine clinical use due to the expertise in tissue sampling and biopsy processing required.<sup>1566</sup> Table X.E.-2 shows studies on AR as evaluated by NH.

### Nasal cytology

**Aggregate grade of evidence:** C (Level 1: 1 study, level 3: 3 studies, level 4: 3 studies; Table X.E.-1)

**Benefit:** Low costs and low invasiveness. Could help to detect eosinophils in non-allergic rhinitis and to diagnose a mixed rhinitis.

**Harm:** NC is minimally invasive and minimal adverse effects have been reported.

**Cost:** Associated costs include the direct cost of NC and indirect cost of increased time and effort for performing NC.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** The evidence does not support routine clinical use.

**Policy level:** Option.

**Intervention:** NC could help in cases of non-allergic rhinitis to suspect LAR or in cases of AR to diagnose a mixed rhinitis. It could be considered an option in cases of negative SPT and/or serum sIgE to evaluate the presence of mucosal eosinophils and consideration of LAR or type 2 inflammation. The cut-off values for determining NARES are not yet clear.



**TABLE X.D.2** Evidence table – provocation testing for the diagnosis of allergic rhinitis

| Study                               | Year | LOE | Study design         | Study groups   | Clinical endpoints   | Conclusions  |
|-------------------------------------|------|-----|----------------------|--|--|--|
| Larson et al. <sup>1538</sup>       | 2020 | 2   | RCT                  | Patients with cat allergy:<br>24 patients: NPT then EEC<br>12 patients: EEC then NPT<br>28-day delay between test modalities | TNSS<br>PNIF<br>Expression of cytokine and chemokine genes   | EEC showed higher magnitude in TNSS and PNIF than NPT<br>RT-PCR showed type 2 immune response after both types of allergen challenge   |
| Gelis et al. <sup>1549</sup>        | 2022 | 3   | Cohort               | 45 patients with shrimp allergy<br>10 controls   | Sensitivity and specificity of NPT by VAS of symptoms<br>Sensitivity and specificity of NPT by acoustic rhinometry                   | NPT had 90% sensitivity and 89% specificity according to EAACI criteria  |
| Joo et al. <sup>1540</sup>          | 2021 | 3   | Cohort               | 13 patients with HDM allergy<br>13 with non-allergic rhinitis<br>Assessments at 15 and 30 minutes                            | Sensitivity and specificity of NPT by VAS of symptoms<br>Sensitivity and specificity of NPT by PNIF, MCA, TNV by acoustic rhinometry | Sensitivity and specificity of NPT by VAS ranged 38.5%–100% and 86.4%–100%, respectively<br>Sensitivity and specificity of NPT by PNIF, MCA, and TNV ranged 69.2%–100% and 72.7%–90.9%, respectively; TNV most effective   |
| Eguiluz-Gracia et al. <sup>49</sup> | 2019 | 3   | Retrospective cohort | 11,499 patients undergoing NPT:<br>10,963 allergic patients<br>536 healthy controls  | NPT PPV and NPV<br>Reproducibility of NPT<br>Safety of NPT   | PPV: 100%, NPV: 92.91%<br>Reproducibility: three consecutive NPTs (710 patients): 97.35% concordance, no difference between spray or micropipette<br>Safety: 4 with palatine pruritus, 2 with uvular edema, 1 with uvular and lingual edema, no lower airway AEs noted |
| Krzych-Fałta et al. <sup>1550</sup> | 2016 | 3   | Cohort               | 30 patients with aeroallergen allergy<br>30 controls   | Sensitivity and specificity of NPT by optical rhinometry<br>Sensitivity and specificity of NPT by TNSS                               | TNSS had 93.3% sensitivity and 77.4% specificity, optical rhinometry had 100% sensitivity and specificity for diagnosis of AR  |
| de Blay et al. <sup>1551</sup>      | 2015 | 3   | Cohort               | 49 patients with HDM allergy<br>39 controls  | Sensitivity and specificity of NPT-R by clinical symptoms and rhinomanometry<br>Safety   | NPT-R had a sensitivity of 83.7% and a specificity of 100%<br>No adverse reactions   |

(Continues)

TABLE X.D.2 (Continued)

| Study                          | Year | LOE | Study design | Study groups  | Clinical endpoints  | Conclusions  |
|--------------------------------|------|-----|--------------|---|---|--|
| Jang and Kim <sup>150</sup>    | 2015 | 3   | Cohort       | 99 strongly positive SPT<br>53 weakly positive SPT<br>110 negative SPT to HDM | Sensitivity and specificity of NPT by acoustic rhinometry<br>Sensitivity and specificity of NPT by TNSS | Diagnosis of AR:<br>TNSS $\geq 6.5$ : 90.6% sensitivity, 77.4% specificity<br>Acoustic rhinometry:<br>73.4% sensitivity, 58.1% specificity |
| Agarwal et al. <sup>1552</sup> | 2013 | 3   | Cohort       | 11 patients with mold allergy<br>11 controls                                  | Results of NPT by optical rhinometry  | No significant difference between allergic and control subjects  |

Abbreviations: AR, allergic rhinitis; EAACI, European Academy of Allergy and Clinical Immunology; EEC, environmental exposure chamber; HDM, house dust mite; LOE, level of evidence; MCA, minimal cross-sectional area; NPT, nasal provocation test; NPT-R, rapid nasal provocation test; PNIF, peak nasal inspiratory flow; RCT, randomized controlled trial; RT-PCR, reverse transcriptase polymerase chain reaction; SPT, skin prick test; TNSS, Total Nasal Symptom Score; TNV, total nasal volume; VAS, visual analog scale.

### Nasal histology

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 7 studies, level 4: 2 studies; Table X.E.-2)

**Benefit:** May assist in evaluation of tissue eosinophilia and expression of mediators. May be useful in clinical research.

**Harm:** Small risk of complications (e.g., bleeding, infection).

**Cost:** Associated costs consist of the direct cost of NH and indirect cost of increased time and effort for performing NH.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** The evidence does not support routine clinical use.

**Policy level:** Recommendation against.

**Intervention:** NH may be helpful in clinical research or selected cases (e.g., evaluation of tissue eosinophils during surgery). Recommendation against in routine clinical practice for AR evaluation due to invasive nature of obtaining a specimen.

developed which measure physiologic parameters (e.g., peak nasal inspiratory/expiratory flow [PNIF/PNEF], air-flow resistance or rhinomanometry) and non-physiologic parameters (e.g., nasal cavity cross-sectional area and volume, or acoustic rhinometry). These measures may be utilized pre- and post-decongestion to distinguish between nasal obstruction secondary to dynamic or fixed structural deformities. Objective tests can also be used to assess the effectiveness of interventions or treatments, to provide objective data when clinical examination findings are not consistent with patient symptoms, and to evaluate a response in NPT and as a medicolegal tool.

**Rhinomanometry.** This involves the objective measure of nasal airflow resistance or the ratio of nasal airway pressure to flow. A clinical classification for five classes of nasal obstruction based on rhinomanometry measures in the reference population has been published by a European group.<sup>1580,1581</sup> Rhinomanometry can be used in adults and children, and normative/reference values exist for both.<sup>1582–1589</sup> However, reference values vary widely as rhinomanometry results depend on factors such as ethnicity, height, sex, smoking status, adenoid tissue, and age.<sup>1584,1590</sup>

Rhinomanometry has certain disadvantages. It is expensive, time consuming and requires trained personnel.<sup>142</sup> Further, rhinomanometry is ineffective in the presence of complete obstruction of one or both nasal cavities or in the presence of a septal perforation.

Traditionally, nasal resistance has been calculated on one single volume value at one single pressure (i.e., 75 or 150 Pa). This is no longer recommended as this represents a portion of the curve where the pressure/volume flux

## X.F | Rhinometry, acoustic rhinometry, and peak nasal inspiratory flow

Subjective measures of nasal obstruction have proven difficult to quantify as patient perceptions vary widely and often do not correlate with examination findings. Therefore, objective measures of nasal obstruction have been

**TABLE X.E.-1** Evidence table – nasal cytology for the diagnosis of allergic rhinitis

| Study                                 | Year | LOE | Study design       | Study groups   | Clinical endpoints  | Conclusions   |
|---------------------------------------|------|-----|--------------------|--|---|---|
| De Corso et al. <sup>1567</sup>       | 2022 | 1   | Systematic review  | 26 experimental and clinical studies   | Cut-off values of local eosinophil count to determine a diagnosis of NARES              | Too much heterogeneity in sampling and cut-off values<br>Eosinophil count should be reported as an absolute value for at least 10 fields  |
| Ciofalo et al. <sup>1561</sup>        | 2022 | 3   | Cohort             | 387 patients: 215 with nasal symptoms<br>172 controls                                      | Diagnostic performance of NC to diagnose NAR  | NC for the diagnosis of NAR: sensitivity 100%, specificity 49.6%, PPV 56%, NPV 100%, accuracy 69.5%   |
| Phothijindakul et al. <sup>1568</sup> | 2019 | 3   | Prospective cohort | 48 NAR patients with negative SPT  | Diagnostic performance of NC (vs. NPT with three allergens) to diagnose LAR             | Nasal eosinophilia for the diagnosis of LAR: sensitivity 80%, specificity 57.14%, PPV 57.14%, NPV 80%   |
| Di Lorenzo et al. <sup>1557</sup>     | 2011 | 3   | Cohort             | AR, <i>n</i> = 1107<br>NAR, <i>n</i> = 404   | NC eosinophil count   | High eosinophil count had OR of 1.14 (95% CI 1.10–1.18) to identify AR  |
| Gelardi et al. <sup>1558</sup>        | 2015 | 4   | Case–control       | AR patients, <i>n</i> = 83: Monosensitized, <i>n</i> = 35<br>Polysensitized, <i>n</i> = 48 | Comparison of NC cell counts  | Higher number of eosinophils ( <i>p</i> = 0.005) and mast cells ( <i>p</i> = 0.001) in polysensitized patients  |
| Gelardi et al. <sup>1569</sup>        | 2014 | 4   | Cohort             | Patients with overlapping AR and NAR, <i>n</i> = 671                                       | Sneezing in response to nasal endoscopy according to type of rhinitis found on cytology | Significantly higher rate of sneezing in patients with NARES, NARMA, and NARESMA ( <i>p</i> < 0.01)   |
| Gelardi et al. <sup>1559</sup>        | 2011 | 4   | Case–control       | AR patients, <i>n</i> = 62: Mild, <i>n</i> = 30<br>Moderate–severe, <i>n</i> = 32          | Association of cell counts with ARIA stage of disease                                   | Moderate–severe AR: significantly higher number of eosinophils ( <i>p</i> = 0.01), mast cells ( <i>p</i> = 0.001), neutrophils ( <i>p</i> = 0.046), and lymphocytes ( <i>p</i> = 0.001) |

Abbreviations: AR, allergic rhinitis; ARIA, Allergic Rhinitis and its Impact on Asthma; CI, confidence interval; LAR, local allergic rhinitis; LOE, level of evidence; NAR, non-allergic rhinitis; NARES, non-allergic rhinitis with eosinophilia syndrome; NARESMA, non-allergic rhinitis with eosinophils and mast cells; NARMA, non-allergic rhinitis with mast cells; NC, nasal cytology; NPT, nasal provocation test; NPV, negative predictive value; OR, odds ratio; PPV, positive predictive value; SPT, skin prick test.

relationship is non-linear and a pressure of 150 Pa is often not achieved in normal relaxed breathing cycles.<sup>1580,1591</sup> To address these limitations, four-phase rhinomanometry (4PR) measures airflow resistance throughout the breathing cycle in four phases: the accelerating inspiratory phase, decelerating inspiratory phase, accelerating expiratory phase and decelerating expiratory phase.<sup>1580,1581</sup> Logarithmic measures taken during 4PR correlate significantly with subjective scores of nasal obstruction.<sup>1592</sup> 4PR overcomes many of the limitations of standard rhi-

nomanometry; however, more studies using and validating 4PR and evaluating nasal cavities individually are required.

**Acoustic rhinometry.** This is a measure of nasal cavity volume, geometry, and cross-sectional area. Acoustic rhinometry can also localize the site of obstruction. Results of acoustic rhinometry are impacted by septal perforation and therefore, endoscopic examination is vital prior to acoustic rhinometry use. Acoustic rhinomanometry is limited in that it provides a static measure of a dynamic

**TABLE X.E.-2** Evidence table – nasal histology in the pathophysiology of allergic rhinitis

| Study                            | Year | LOE | Study design      | Study groups   | Clinical endpoints  | Conclusions   |
|----------------------------------|------|-----|-------------------|--|---|---|
| McHugh et al. <sup>1570</sup>    | 2020 | 1   | Systematic review | 18 studies   | Identify and confirm clinical comorbid conditions associated with eosinophilic CRS                          | Odds of a patient having AR, aspirin sensitivity, asthma, and nasal polyposis significantly higher with increased tissue eosinophilia   |
| Sivam et al. <sup>1571</sup>     | 2010 | 2   | DBRCT             | 17 patients with SAR: Mometasone, <i>n</i> = 10<br>Placebo, <i>n</i> = 7                     | Olfactory function<br>Histological analysis of olfactory region   | Subjects receiving mometasone showed significantly lower numbers of eosinophils in the olfactory specimens  |
| Uller et al. <sup>1572</sup>     | 2010 | 2   | DBRCT             | 21 patients, grass or birch pollen AR: Budesonide, <i>n</i> = 10<br>Placebo, <i>n</i> = 11   | Mucosal eosinophilia  | Placebo: epithelial and subepithelial eosinophilia remained three days after allergen challenge<br>Budesonide: eosinophilia reduced versus placebo  |
| Asai et al. <sup>1573</sup>      | 2008 | 2   | RCT               | 19 patients, ragweed pollen AR: AIT, <i>n</i> = 12<br>Placebo, <i>n</i> = 7                  | Allergen-induced CD4+–, CD4+ CD25+–, IL-10–, TGF-β+ cells in nasal biopsies pre- and post-pollen season     | No histologic differences at baseline<br>After pollen season: AIT group had increase in CD4+CD25+ cells versus placebo group and versus baseline  |
| Rak et al. <sup>1574</sup>       | 2005 | 2   | RCT               | 41 patients with birch pollen AR: AIT versus budesonide in double-blind double-dummy fashion | CD1a+, IgE+ and FcεRI+ cells before and during birch pollen season  | Budesonide showed significantly fewer CD1a+, IgE+, FcεRI+ cells during pollen season compared to preseason and compared to in-season AIT group  |
| Plewako et al. <sup>1575</sup>   | 2002 | 2   | RCT, single-blind | 30 patients with grass pollen AR: Omalizumab, <i>n</i> = 19<br>Placebo, <i>n</i> = 11        | Anti-CD4, CD8, anti-eosinophil peroxidase, anti-human neutrophil lipocalin, IgE and FcεRI in nasal biopsies | Eosinophil peroxidase-positive staining cells significantly increased in the placebo-treated group but not in the actively treated group  |
| Pullerits et al. <sup>1576</sup> | 2001 | 2   | RCT               | 21 patients with grass pollen AR: Beclomethasone, <i>n</i> = 16<br>Placebo, <i>n</i> = 5     | IL-16 expression during the pollen season   | Prior to pollen season, IL-16 expression significantly higher in AR patients versus controls<br>Pollen season increased IL-16 and CD4+ cells in placebo group, but not beclomethasone group |

(Continues)

TABLE X.E.-2 (Continued)

| Study                            | Year | LOE | Study design | Study groups  | Clinical endpoints  | Conclusions   |
|----------------------------------|------|-----|--------------|---|---|---|
| Wilson et al. <sup>1577</sup>    | 2001 | 2   | RCT          | 37 patients with grass pollen AR:<br>AIT, <i>n</i> = 20<br>Placebo, <i>n</i> = 17         | Eosinophils, CD25+, CD3+ and IL-5 mRNA expression in nasal biopsies   | 400% increase in eosinophils during pollen season in placebo group, 20% increase in AIT group<br>Seasonal increase also observed for CD25+ cells, CD3+ cells, and IL-5 mRNA-expressing cells in placebo group |
| Radulovic et al. <sup>1578</sup> | 2008 | 4   | Case-control | 22 patients with grass pollen AR:<br>AIT, <i>n</i> = 13<br>Control, <i>n</i> = 9          | Foxp3+CD25+ and Foxp3+CD4+ cells in during and out of pollen season   | During pollen season, Foxp3+CD25+ and Foxp3+CD4+ cells significantly increased in AIT group versus baseline<br>Out of season, Foxp3+CD25+ and Foxp3+CD4+ cells greater in AIT group versus controls           |
| Till et al. <sup>1579</sup>      | 2001 | 4   | Case-control | 46 patients with grass pollen AR:<br>Fluticasone, <i>n</i> = 23<br>Control, <i>n</i> = 23 | Nasal mucosal antigen-presenting cells, epithelial CD1a+ Langerhans cells, CD68+ macrophages, CD20+ B cells | Significant increase in CD1a+ Langerhans cells during the pollen season   |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; CD, cluster of differentiation; CRS, chronic rhinosinusitis; DBRCT, double-blind randomized controlled trial; IgE, immunoglobulin E; IL, interleukin; LOE, level of evidence; RCT, randomized controlled trial; SAR, seasonal allergic rhinitis; TGF, transforming growth factor.

process.<sup>1593</sup> Further, acoustic rhinometry may overestimate the cross-sectional area of the posterior nasal cavity due to leakage into patent sinuses.<sup>1594</sup>

**Peak nasal inspiratory and expiratory flow.** PNIF/PNEF is a test which carries the advantages of relatively low cost and ease of use. A minimally clinically important difference of 20 L/min has been defined and a lack of improvement of 20 L/min or 20% after decongestion may indicate a structural cause of obstruction.<sup>1595–1597</sup> An SRMA reported mean PNIF values in normal adults of 128.4 and 97.5 L/min for obstructed adults.<sup>1598</sup> However, standardized values have yielded inconsistent results due to multiple confounding factors including patient effort, pulmonary status, nasal valve collapse, smoking, height, and recent physical exercise.<sup>1599,1600</sup> It would appear that PNEF correlates best with symptoms of nasal obstruction.<sup>1601</sup> PNIF/PNEF measures should be supported by subjective measures to improve diagnostic accuracy.<sup>1602</sup>

In summary, many papers have reported a lack of correlation between objective measures of nasal patency

and subjective perceptions of nasal obstruction.<sup>1603</sup> Possible reasons for this discrepancy include the failure to accommodate septal deviations and to evaluate individual nasal cavities separately and measuring values at one single pressure rather than the entire breathing cycle. In fact, correlations between objective and subjective measures have been found when nasal cavities were assessed individually.<sup>1592,1603–1606</sup> It has also been shown that patient symptoms do not necessarily correlate with the degree of measured obstruction.<sup>1592,1604,1607</sup> This discordance has been illustrated in studies that applied substances such as menthol or local anaesthetic to the nasal mucosa, resulting in a subjective change in nasal airflow with no corresponding change in resistance.<sup>1608–1614</sup> Therefore, nasal cavity volume, airflow, and resistance may only be a few of many factors contributing to the sensation of nasal obstruction.<sup>1593</sup> Finally, whilst symptoms are paramount, objective measures of the nasal airway are useful beyond correlating with patient symptoms. They are useful in identifying or excluding other causes of nasal obstruction (such as psychiatric or sensory pathology), in nasal aller-



gen challenges, in patient selection for surgery, and in the research setting.<sup>1615</sup>

### Rhinomanometry

**Aggregate grade of evidence:** B (Level 1: 2 studies, level 2: 2 studies, level 3: 5 studies, level 4: 4 studies, level 5: 6 studies; Table X.F.-1)

**Benefit:** Rhinomanometry is useful to improve patient selection for surgery, distinguish between structural and functional causes of nasal obstruction, diagnose nasal valve collapse, clarify conflicting symptoms and exam findings, use as a medicolegal tool and in nasal allergen challenges. Four-phase rhinomanometry correlates with subjective scores.

**Harm:** Low. Rhinomanometry has limited effectiveness in patients with complete nasal obstruction or septal perforation. The equipment is not portable and therefore requires a clinic visit and trained staff. The procedure may be considered time consuming.

**Cost:** High.

**Benefits-harm assessment:** Benefits outweigh harm.

**Value judgments:** For some patients, it may be important to avoid unnecessary costs in the diagnosis of AR; therefore, this procedure is less preferred.

**Policy level:** Option.

**Intervention:** Rhinomanometry is useful in distinguishing between structural and soft tissue causes of obstruction, when history and examination findings are not congruent, as well as a research tool. Better with individual nasal cavity assessment and 4PR.

consuming. Leakage into sinuses may provide inaccurate results and lead to inappropriate treatment.

**Cost:** High.

**Benefits-harm assessment:** Benefits outweigh harm as harm is low.

**Value judgments:** For some patients, it may be important to avoid unnecessary cost in the diagnosis of AR, and thus acoustic rhinometry is less preferred.

**Policy level:** Option.

**Intervention:** Acoustic rhinometry is most useful in research setting as opposed to as a clinical diagnostic tool.

### Peak nasal inspiratory flow

**Aggregate grade of evidence:** B (Level 2: 2 studies, level 3: 4 studies, level 4: 1 study, level 5: 1 study; Table X.F.-3)

**Benefit:** Can improve patient selection for surgery, can evaluate a response in nasal allergen challenges, and can be used as a medicolegal tool to demonstrate objective evidence of effectiveness of an intervention.

**Harm:** Low. Risk of missing valve collapse and septal deviation as causes of obstruction.

**Cost:** Low.

**Benefits-harm assessment:** Benefits likely to outweigh harm as harm is low.

**Value judgments:** Relies on patient effort and does not assess individual nasal cavities. Unable to evaluate nasal valve collapse.

**Policy level:** Option.

**Intervention:** Use in conjunction with PROMs to improve utility.

### Acoustic rhinometry

**Aggregate grade of evidence:** C (Level 2: 1 study, level 3: 5 studies, level 4: 3 studies, level 5: 2 studies; Table X.F.-2)

**Benefit:** Improves patient selection for surgery, helps distinguish between structural and functional causes of nasal obstruction, evaluates a response in nasal allergen challenges, and functions as a medicolegal tool to demonstrate objective evidence of effectiveness of an intervention.

**Harm:** Low. Equipment is not portable therefore, requires a clinic visit and trained staff. Time-

## X.G | Exhaled nitric oxide

NO is a volatile gas which functions as a vasodilator, bronchodilator, neurotransmitter, and inflammatory mediator in the airway.<sup>1634</sup> NO is formed in the upper and lower respiratory tract with high concentrations found in the nasal cavity and paranasal sinuses,<sup>1635–1637</sup> and NO synthase is upregulated in ciliated respiratory epithelium and inflammatory cells in atopic patients. In adults, sex, menstrual cycle, pregnancy, recent consumption of high nitrate foods, recent exercise, and tobacco exposure may

**TABLE X.F. - 1** Evidence table – use of rhinomanometry for the diagnosis of allergic rhinitis

| Study                               | Year              | LOE            | Study design                                | Study groups  | Clinical endpoints  | Conclusions  |
|-------------------------------------|-------------------|----------------|---|---|---|--|
| Mohan et al. <sup>1593</sup>        | 2018              | 1              | Systematic review                           | Studies of nasal obstruction in patients >14 years old using subjective and objective measures, 2012–2017 | N/A   | No objective measures can be considered criterion standard and are insufficient to assess nasal obstruction  |
| Van Spronsen et al. <sup>1616</sup> | 2008 <sup>a</sup> | 1              | Evidence-based review applying GRADE system | Studies evaluating the correlation between RM and subjective measures of nasal obstruction                | RM, PNIF, ARM, VAS, questionnaires                            | RM and PNIF correlate better with subjective measures of nasal obstruction than ARM, AR not specifically assessed  |
| Ta et al. <sup>1617</sup>           | 2021              | 2 <sup>b</sup> | Systematic review                           | Patients with sinonasal disorders, including AR   | PROMs (VAS, NOSE) and RM                                      | Weak to moderate correlation between RM and PROMs<br>One paper reported a strong correlation between VAS and AAR in AR patients<br>Routine AAR not recommended   |
| Vogt et al. <sup>1618</sup>         | 2002              | 2              | Cross-sectional                             | Pooled data from RM tests (not specifically AR patients), <i>n</i> = 5000                                 | RM (specifically Reff and VR)                                 | LReff and LVR are normally distributed and correlated with VAS obstruction scores<br>Flow measures at 75 and 150 Pa did not correlate with VAS   |
| Iyer and Athavale <sup>1619</sup>   | 2020              | 3              | Prospective prevalence cohort               | AR, <i>n</i> = 32   | AAR, spirometry, histamine challenge test                     | 94% of moderate-severe AR had significantly elevated resistance versus 56% of mild AR patients   |
| Pantin et al. <sup>1620</sup>       | 2019              | 3              | Prospective validating cohort               | AR and asthma, AR without asthma, <i>n</i> = 24   | NAC, cytokines, ARM at 3 cm, RM, FEV <sub>1</sub> , TNSS, NSS | No significant association between RM and symptom scores<br>RM had poor-fair reproducibility, not a practical test   |
| Garcia et al. <sup>1605</sup>       | 2016 <sup>a</sup> | 3              | In vitro prospective cohort                 | CFD simulations based on 3D CT models, nasal obstruction patients pre- and post-surgery, <i>n</i> = 15    | ARM and RM, NOSE, VAS (accounting for individual nostrils)    | Post-op increase in mCSA accompanied by reduction in resistance, values correlated moderately on the most obstructed side<br>Improvement in objective measures correlated with improvements in subjective patency measures |

(Continues)

TABLE X.F.-1 (Continued)

| Study                              | Year | LOE            | Study design   | Study groups  | Clinical endpoints   | Conclusions   |
|------------------------------------|------|----------------|--|---|--|---|
| Wong and Eccles <sup>1621</sup>    | 2014 | 3 <sup>c</sup> | In vitro, non-randomized comparative cross-sectional | Comparison of classic RM versus 4PR in measures of nasal resistance, $n = 4$ models       | Nasal airway resistance using classic RM and 4PR                           | High level of conformity between values using both methods  |
| Canakcioglu et al. <sup>1603</sup> | 2009 | 3              | Prospective cohort                                   | 7283 adult patients (mean age 31.72 years) with nasal obstruction, including AR $\pm$ NSD | AAR at 150 Pa  | No difference in airway resistance between AR and non-AR groups if there were no NSDs<br>Resistance higher in all groups with NSD   |
| Brindisi et al. <sup>1622</sup>    | 2021 | 4              | Case-control   | AR or AR+asthma, 6–12 years old, gender matched controls, $n = 160$                       | nNO, FEV <sub>1</sub> , AAR  | Significant difference in nasal flow in AR versus controls (lower nasal flow in AR)<br>Mild negative correlation between nNO and mean nasal flow                          |
| Hou et al. <sup>625</sup>          | 2018 | 4              | Prospective case-control                             | Patients with AR and controls, $n = 106$  | VAS, AAR at 75 Pa, nNO, ECP  | Nasal resistance is a strong predictor of nasal obstruction and nNO; was also different between nostrils and was higher on the nostril with lower nNO                     |
| Wandalsen et al. <sup>1623</sup>   | 2016 | 4              | Case-control validation                              | Children with AR undergoing NPT (7–18 years old) and controls, $n = 40$                   | ARM, RM  | Comparing ARM to AAR, a cut-off to end the NPT represented by a reduction of 19%–21% in nasal volume in the first 5 cm had highest sensitivity and specificity            |
| Passali et al. <sup>1604</sup>     | 2000 | 4 <sup>d</sup> | Prospective cohort                                   | Patients with nasal obstruction, $n = 60$   | AAR at 150 Pa, ARM, MCCT, VAS  | AAR significantly distinguished AR patients from patients with structural anomalies<br>AAR more reliable than ARM in evaluating patency<br>VAS did not correlate with AAR |
| Malizia et al. <sup>1624</sup>     | 2021 | 5 <sup>e</sup> | Narrative review                                     | Studies using RM to diagnose and manage AR in children                                    | Utility of RM as a POCT for the diagnosis of AR in children<br>Eosinophils | Eosinophil number correlated with nasal flow<br>RM supported results of NPT<br>Cost and training for RM require further exploration                                       |

(Continues)

TABLE X.F. - 1 (Continued)

| Study                           | Year | LOE            | Study design                     | Study groups   | Clinical endpoints   | Conclusions  |
|---------------------------------|------|----------------|----------------------------------|--|--|--|
| Rimmer et al. <sup>1582</sup>   | 2019 | 5              | Position paper                   | Papers comparing AAR and 4PR<br>Papers evaluating the correlation between symptoms and RM measures | N/A  | VR correlates best with obstructive symptoms<br>No difference in outcomes between 4PR and AAR (need for more studies comparing these methods)<br>Nasal resistance reduces with age and is lower in girls                     |
| Valero et al. <sup>1625</sup>   | 2018 | 5              | Position paper                   | Patients with nasal obstruction, including AR  | Evaluation of nasal obstruction                                    | No agreement on reference values<br>Normal range of values presented<br>Recommend 4PR for parameters that better correlate with subjective measures  |
| Badorrek et al. <sup>1470</sup> | 2017 | 5 <sup>f</sup> | Prospective case-control         | Patients with AR and controls in pollen challenge chamber, <i>n</i> = 34                           | TNSS and AAR at 150 Pa   | TNSS increased and nasal flow reduced in AR patients and not in controls<br>No correlation calculated  |
| Takeno et al. <sup>1626</sup>   | 2017 | 5 <sup>g</sup> | Retrospective case-control       | Patients with AR ± asthma and healthy controls, <i>n</i> = 119                                     | FeNO and nNO, symptom severity, AAR at 100 Pa and total resistance | No significant difference in nasal airway resistance across all groups   |
| Demirbas et al. <sup>1627</sup> | 2011 | 5              | Expert opinion/literature review |  | N/A  | RM is useful for diagnosis and assessment of treatments<br>RM correlates poorly with subjective findings<br>Single-point measures are not representative of the entire nasal breath<br>4PR correlates with nasal obstruction |

Abbreviations: AAR, anterior active rhinomanometry; AR, allergic rhinitis; ARM, acoustic rhinometry; CFD, computational fluid dynamics; CT, computed tomography; ECP, eosinophil cationic protein; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; GRADE, Grading of Recommendations Assessment, Development and Evaluation; L, logarithmic value; LOE, level of evidence; MCCT, mucociliary clearance time; mCSA, mean cross-sectional area; N/A, not applicable; NAC, nasal allergen challenge; nNO, nasal nitric oxide; NOSE, Nasal Obstruction Symptom Evaluation; NPT, nasal provocation test; NSD, nasal septal deviation; NSS, nasal symptom score; PNIF, peak nasal inspiratory flow; POCT, point of care test; 4PR, four phase rhinomanometry; PROM, patient reported outcome measure; Reff, effective resistance; RM, rhinomanometry; TNSS, Total Nasal Symptom Score; VAS, visual analog scale; VR, vertex resistance.

<sup>a</sup>Paper not included in systematic review.<sup>1617</sup>

<sup>b</sup>LOE downgraded due to failure to include relevant studies and for misclassifying one included study.

<sup>c</sup>LOE downgraded as not blinded and study was in vitro using a nasal model which excludes the elasticity of the human nose which impacts nasal obstruction throughout all phases of nasal breathing.

<sup>d</sup>LOE downgraded as not all patients in the AR group were diagnosed with SPT or RAST.

<sup>e</sup>LOE downgraded as only included 3 studies.

<sup>f</sup>LOE downgraded due to the limited number of patients.

<sup>g</sup>LOE downgraded as retrospective and not blinded.

**TABLE X.F. - 2** Evidence table – use of acoustic rhinometry for the diagnosis of allergic rhinitis

| Study                                 | Year              | LOE            | Study design                  | Study groups   | Clinical endpoints  | Conclusions  |
|---------------------------------------|-------------------|----------------|-------------------------------|--|---|--|
| Ta et al. <sup>1617</sup>             | 2021              | 2 <sup>a</sup> | Systematic review             | Patients with sinonasal disorders, including AR  | Correlation between ARM and PROMs   | Majority (9) studies showed no correlation with PROMs<br>4 studies showed variable strength of significant correlation<br>In AR patients a weak-moderate correlation with PROMs was found                        |
| Eguiluz-Gracia et al. <sup>1628</sup> | 2021              | 3              | Validation cohort             | AR, non-AR and controls, <i>n</i> = 1895   | Discriminative power and pre- and post-test predictive power of NAC<br>Optimal cut-off points for positivity<br>NOSS, ARM | ARM differentiated AR from non-AR (sensitivity 99.7%, specificity 100%, PPV 100%, NPV 99.2%) and controls (sensitivity 99.7%, specificity 100%, PPV 100%, NPV 98.9%)<br>ARM better diagnostic accuracy than NOSS |
| Pantin et al. <sup>1620</sup>         | 2019              | 3              | Prospective validating cohort | AR with asthma<br>AR without asthma, <i>n</i> = 24   | NAC, cytokines, ARM at 3 cm, RM (posterior and passive anterior RM), FEV <sub>1</sub> , TNSS, NSS                         | ARM closely associated with symptom scores<br>ARM had excellent reproducibility  |
| Aksoy et al. <sup>1606</sup>          | 2018              | 3              | Prospective cohort            | Children 8–18 years old with seasonal AR, <i>n</i> = 37  | Hyposmia score, TNSS, nasal obstruction score, ARM and CCCRC tests during and out of pollen season                        | ARM scores reduced significantly during pollen season<br>Only right sided volume scores correlated significantly with nasal obstruction score<br>No correlations between ARM and TNSS or CCCRC                   |
| Garcia et al. <sup>1605</sup>         | 2016 <sup>#</sup> | 3              | In vitro prospective cohort   | CFD simulations based on 3D CT models, nasal obstruction patients pre- and post-surgery, <i>n</i> = 15 | ARM and RM, NOSE, VAS (accounting for individual nostrils)  | Modest correlation between mCSA and VAS on the most obstructed side<br>Critical area beyond which constriction will increase resistance = 0.37 cm <sup>2</sup>   |
| Isaac et al. <sup>1629</sup>          | 2015              | 3 <sup>b</sup> | Cohort                        | Children with nasal obstruction, 7–14 years old, <i>n</i> = 65   | Correlation between ARM, symptoms, endoscopic findings<br>VAS   | Significant correlations between endoscopic scores and mCSA before decongestion<br>No correlation between mCSA and VAS scores  |

(Continues)



TABLE X.F.-2 (Continued)

| Study                            | Year | LOE            | Study design                          | Study groups  | Clinical endpoints                      | Conclusions  |
|----------------------------------|------|----------------|---------------------------------------|---|---|--|
| Wandalsen et al. <sup>1623</sup> | 2016 | 4              | Case-control validation               | Children with AR and controls undergoing NPT, 7–18 years old, <i>n</i> = 40   | ARM, RM                                 | Comparing ARM to AAR, cut-off to end NPT represented by reduction of 19%–21% in nasal volume in the first 5 cm had the highest sensitivity and specificity |
| Wandalsen et al. <sup>1630</sup> | 2012 | 4              | Prospective case-control              | Children with AR and controls undergoing NPT, 6–18 years old, <i>n</i> = 40   | Correlation between AAR (75 Pa) and ARM | Moderate-strong negative correlation in AR patients between nasal resistance and volume and mCSA between 2.2–5.4 cm  |
| Passali et al. <sup>1604</sup>   | 2000 | 4 <sup>c</sup> | Prospective cohort                    | Patients with nasal obstruction, <i>n</i> = 60  | AAR at 150 Pa, ARM, MCCT, VAS           | AR patients had statistically different volumes between left and right nostrils  |
| Valero et al. <sup>1625</sup>    | 2018 | 5              | Position paper                        | Patients with nasal obstruction (including AR)  | Evaluation of nasal obstruction         | ARM better than RM for NPT   |
| Ozturk et al. <sup>1631</sup>    | 2004 | 5 <sup>d</sup> | Prospective case-control intervention | Children aged 7–18 years with grass pollen AR and age-matched healthy controls, <i>n</i> = 52<br>Impact of triamcinalone acetate nasal spray on nasal congestion during pollen season | ARM and PROMs                           | No association between symptom (congestion) scores and ARM found<br>Paper not included in systematic review <sup>1617</sup>                                |

Abbreviations: AAR, anterior active rhinomanometry; AR, allergic rhinitis; ARM, acoustic rhinometry; CCCRC, Connecticut Chemosensory Clinical Research Center; CFD, computational fluid dynamics; CT, computed tomography; FEV<sub>1</sub>, forced expiratory volume in 1 second; LOE, level of evidence; MCCT, mucociliary clearance time; mCSA, mean cross-sectional area; NAC, nasal allergen challenge; NOSE, Nasal Obstruction Symptom Evaluation; NOSS, Lebel nasal ocular symptom score; NSS, nasal symptom score; NPT, nasal provocation test; NPV, negative predictive value; PPV, positive predictive value; PROM, patient reported outcome measure; RM, rhinomanometry; TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

<sup>a</sup>LOE downgraded due to failure to include relevant studies and for misclassifying one included study.

<sup>b</sup>Study used unvalidated subjective scoring systems, was not blinded and only 22% of population had AR.

<sup>c</sup>LOE downgraded as no data provided for correlation analysis.

<sup>d</sup>LOE downgraded due to uneven groups.

modify NO levels.<sup>1638</sup> Height and body surface area may also modify NO in pediatric population.<sup>1638–1641</sup>

**Fractional exhaled nitric oxide (FeNO).** FeNO is a measurement of NO in orally exhaled breath. The American Thoracic Society published recommendations for FeNO measurement.<sup>1642</sup> Briefly, the participant inhales through a NO filter to remove ambient NO. Then exhalation through a flow restrictor results in airflow limitation and creates a positive pressure exhalation, closing the velum and preventing contamination of the measurement with nasal NO. The orally exhaled breath is analyzed.

Although FeNO is highly variable in the healthy population, elevated levels are indicative of various types of inflammation in the respiratory tract. Elevated levels are found in AR, asthma, COPD, bronchiectasis, pulmonary sarcoidosis, and acute lung allograft rejection.<sup>1643</sup> FeNO is primarily utilized in the diagnosis and monitoring of therapeutic response and compliance in asthma,<sup>1644–1647</sup> but recent research has attempted to expand this testing for diagnosis of AR. Small studies have shown increased FeNO in AR patients, especially those with concomitant asthma.<sup>1648–1651</sup> This finding was also seen in a large population study from The Netherlands which showed

**TABLE X.F. - 3** Evidence table – use of peak nasal inspiratory flow for the diagnosis of allergic rhinitis

| Study   | Year              | LOE            | Study design                                  | Study groups  | Clinical endpoints  | Conclusions   |
|---|-------------------|----------------|---|---|---|---|
| Mo et al. <sup>1598</sup>                     | 2021              | 2 <sup>a</sup> | SRMA  | Studies reporting PNIF values for healthy and obstructed patients | Mean PNIF value in obstructed and unobstructed adult patients             | Mean PNIF values for normal adult population 128.4 L/min, and for obstructed population 97.5 L/min  |
| Ta et al. <sup>1617</sup>                     | 2021              | 2 <sup>b</sup> | Systematic review                             | Patients with sinonasal disorders (including AR)                  | Correlation between PROMs (VAS, NOSE) and PNIF                            | Weak correlation between PNIF and PROMs in AR<br>More research required evaluating correlation between PNIF and PROMs   |
| Wong et al. <sup>1602</sup>                   | 2021              | 3 <sup>c</sup> | Cross-sectional, blinded                      | Rhinitis and control, <i>n</i> = 256                              | PNIF, SNOT-22, VAS  | PNIF cut-off of $\leq 95$ L/min diagnostic for AR (72% sensitivity, 80% specificity, 64% PPV, 76% NPV)<br>Diagnostic accuracy of PNIF increased to 97.6% when combined with SNOT-22 or VAS<br>Weak correlation between PNIF and SNOT-22 and VAS |
| Sikorska-Szaflik and Sozanska <sup>1632</sup> | 2020              | 3              | Prospective cohort                            | Children with AR, <i>n</i> = 208                                  | PNIF, QOL (KINDL-R questionnaire)   | Strong correlation between PNIF and age, weight, and height<br>Weak negative correlation between PNIF and QOL   |
| Neighbour et al. <sup>1633</sup>              | 2018              | 3              | Non controlled, non-randomized clinical trial | AR undergoing AIT, <i>n</i> = 19                                  | TNSS, PNIF  | Modest correlation between TNSS and PNIF  |
| Boelke et al. <sup>1467</sup>                 | 2017 <sup>d</sup> | 3 <sup>e</sup> | DBRCT   | Patients with AR, <i>n</i> = 86                                   | PNIF in patients in allergy exposure chamber, PROMs                       | Provocation with allergens resulted in significant reduction in PNIF<br>Changes in PNIF correlated with changes in PROMs  |
| Kirtsreesakul et al. <sup>1597</sup>          | 2020              | 4 <sup>f</sup> | Prospective cohort                            | Patients with AR, <i>n</i> = 100, 15–60 years old                 | Symptoms (Likert scale), PNEF, PNIF, NMCCTs before and after decongestion | PNEF improved more after decongestion and had better inverse correlation with NMCCTs than PNIF<br>MCID of PNEF 27.93 L/min and of PNIF 19.74 L/min  |

(Continues)

TABLE X.F.-3 (Continued)

| Study                         | Year | LOE | Study design   | Study groups      | Clinical endpoints                      | Conclusions  |
|-------------------------------|------|-----|----------------|-------------------|---|--|
| Valero et al. <sup>1625</sup> | 2018 | 5   | Position paper | Nasal obstruction | Objective measures of nasal obstruction | PNIF correlates with nasal resistance<br>Not useful in the presence of valve collapse or severe obstruction<br>Controversial correlation with VAS<br>Better correlation with SNOT-22 and NOSE scores |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; KINDL-R, generic assessment of health related quality of life for children and adolescents; LOE, level of evidence; MCID, minimal clinically important difference; NMCCT, nasal mucociliary clearance time; NOSE, Nasal Obstruction Symptom Evaluation; NPV, negative predictive value; PNEF, peak nasal expiratory flow; PNIF, peak nasal inspiratory flow; PPV, positive predictive value; PROM, patient reported outcome measure; QOL, quality of life; SNOT-22, Sinonasal Outcome Test (22 item); SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

<sup>a</sup>LOE downgraded due to heterogeneity of included studies.

<sup>b</sup>LOE downgraded due to failure to include relevant studies and for misclassifying one included study.

<sup>c</sup>LOE downgrade due to vague inclusion criteria.

<sup>d</sup>Paper excluded from both systematic reviews.<sup>1598,1617</sup>

<sup>e</sup>LOE downgraded as study involved grass pollen exposure, yet participants were atopic to grass and/or birch pollen and/or HDM.

<sup>f</sup>LOE downgraded due to lack of blinding and significant gender asymmetry.

independent association of elevated FeNO in patients with positive skin testing, eczema, or AR<sup>1643</sup> (Table X.G.-1).

FeNO is positively correlated with symptoms of AR and allergic sensitization in pediatric patients, with one study showing a sensitivity and specificity of 81.1% and 78.6%, respectively, at a FeNO cut-off level of 18.4 ppb.<sup>1641</sup> Pediatric patients also show decreased FeNO after appropriate medical therapy.<sup>1652-1654</sup>

There are potential cofounders when using FeNO as a biomarker. First, a wide variety of normal results for FeNO are possible in a given population and are influenced by age, sex, smoking status, and lab sampling.<sup>1655</sup> Additionally, there is no agreed upon cut off to indicate an abnormal result for the diagnosis of AR versus asthma.<sup>1642</sup>

**Nasal nitric oxide (nNO).** Due to the non-invasive nature of NO measurement, there is interest in using this tool to differentiate allergic and non-allergic rhinitis. nNO is measured by chemiluminescence. A small catheter is placed into one nostril and ambient nasal gas is measured while the patient orally exhales through a flow resistor tube to ensure the velum is closed and only nasal cavity gas is measured.<sup>1656</sup> nNO is reduced in several rhinologic diseases, including primary ciliary dyskinesia and cystic fibrosis, but is elevated in AR.<sup>1652,1656-1658</sup>

Three small case-control studies have shown significant increase in nNO when comparing non-atopic healthy adults with atopic adults without asthma.<sup>1657,1659,1660</sup> Additionally, two systematic reviews (total  $n = 953$  and  $n = 4093$ , respectively) showed significant increase in nNO in healthy controls versus patients with AR.<sup>1661,1662</sup> However, these results conflict with other small case-control

studies showing no difference.<sup>1663-1665</sup> There is a reported nNO increase during pollen season in AR patients,<sup>1660</sup> and reduction after appropriate medical treatment of atopy<sup>1638</sup> (Table X.G.-2).

Various factors influence nNO values including medication use, recent allergen exposure, recent viral respiratory infection, and concomitant asthma. Additionally, there is no standardized application of nNO measurement, with groups performing testing on a variety of analyzers with variations in sampling flow rate and carbon dioxide monitoring.<sup>1666</sup> Even small differences in testing application dramatically changes captured NO, making comparisons across research groups and establishment of normative values challenging.<sup>1656</sup> There is currently no agreed upon cut off point for the diagnosis of AR.

### Nitric oxide measurements

#### Aggregate grade of evidence:

- Fractional exhaled nitric oxide (FeNO): D (Level 4: 7 studies; Table X.G.-1)
- Nasal nitric oxide (nNO): C (Level 2: 2 studies, level 4: 6 studies; Table X.G.-2)

**Benefit:** Possible benefit in differentiation of allergic and non-allergic rhinitis through non-invasive testing. Possible benefit in monitoring treatment response.

**Harm:** No studies have shown harm with either exam.

**Cost:**

- FeNO: Relatively high. FeNO analyzers are approximately \$7000–10,000 US, but testing is covered by some insurance plans.
- nNO: High. Chemiluminescence NO analyzers are approximately \$30,000–50,000 US, and clinical testing is not covered by insurance in the US.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** There is inconsistent evidence in the ability of FeNO or nNO to differentiate adults and children with AR and non-allergic rhinitis. Most studies were of low evidence or small impact. There is no agreed upon cut-off value when performing FeNO or nNO for the diagnosis of AR.

**Policy level:**

- FeNO: Recommend against for routine diagnosis of AR.
- nNO: Recommend against for routine diagnosis of AR.

**Intervention:** History and physical, diagnostic skin testing, or sIgE testing should be the first line evaluation of AR. FeNO or nasal NO testing may provide additional diagnostic information if necessary but should not be routinely employed for AR diagnosis.

## X.H | Use of validated subjective instruments and patient reported outcome measures

Validated clinical outcome surveys (VCOS) are simple, effective tools that may be used to evaluate and screen patients with suspected or known AR. They can be helpful in establishing a diagnosis of AR, assessing severity, or evaluating treatment response. Typical survey questions inquire about symptoms such as congestion, rhinorrhea, and sneezing; the questions may be referring to that instant, or to a time period of days or weeks. Although objective testing such as allergy skin testing and sIgE serology can help confirm or rule out the diagnosis, clinical history is indispensable in the evaluation of AR.<sup>1668</sup> In resource-poor settings, SPT, serologic testing, or other advanced technologies may not be available to confirm the diagnosis.<sup>143,1331,1384,1669</sup> Furthermore, VCOS offer a more structured and standardized means of obtaining the clinical history and assessing treatment response.

These PROMs focus on varying aspects of AR.<sup>1670</sup> They may primarily be symptom severity surveys such as the TNSS, or health-related QOL questionnaires such as the RQLQ. Surveys of medication usage (Daily Medication Score), disease prediction (Respiratory Allergy Prediction [RAP]), and disease control (Rhinitis Control Test) are also available. VCOS can be cross-validated with more objective tools such as NPT and SPT. These instruments are routinely utilized in clinical trials as objective, standardized measures to assess the efficacy of AR medications and are widely accepted in the academic allergy and rhinology community.<sup>1671–1676</sup> Recently, VCOS have been adapted for use in smartphone applications that track AR symptomatology and medication use.<sup>1677–1682</sup>

Table X.H.-1 lists several frequently used VCOS, outlining the targeted disease, number of questions, score range, symptoms, and/or medication questions included, and the context in which each is typically employed.<sup>1064,1094,1099,1683–1698</sup> The TNSS is typically administered as a daily survey comprised of only four questions focusing on runny nose, nasal itching, sneezing, and congestion. Some studies have used the TNSS as a reflective score calculated as the average of both the 12-h nighttime and 12-h daytime average (rTNSS). The TNSS can be combined with questions about rescue medication use to yield the Daily Combined Score and the Total Combined Rhinitis Score. Both have been used in many therapeutic intervention studies. The RQLQ is a more comprehensive survey that asks the patient to reflect upon the past week and includes global QOL questions.<sup>1048</sup> It can be administered either in the office or at home so that it may be easier to obtain daily scores. A limitation of this test may be potential recall bias attributable to the 7-day recall period (Table X.H.-2).

The Control of Allergic Rhinitis and Asthma Test (CARAT-10) evaluates rhinoconjunctivitis and asthma symptoms with a recall period of the preceding 4 weeks giving a broader evaluation of seasonal symptom control.<sup>1691</sup> The RAP test is a 9-question survey incorporating upper and lower respiratory queries as well as a question about medication use. It was validated in a study in which primary care physicians used it as a screening tool to determine whether patients needed referral for allergy testing.<sup>1695</sup>

If conjunctivitis is to be assessed simultaneously with rhinitis symptoms, then the Rhinoconjunctivitis Total Symptom Score (RTSS) can be combined with Rescue Medication Score (RMS) to yield the combined score (CS).<sup>1696</sup> The Rhinosinusitis Disability Index (RSDI) was initially developed for CRS, but was validated for AR, non-allergic rhinitis, and nasal obstruction. It has the unique prop-

**TABLE X.G.-1** Evidence table – use of fractional exhaled nitric oxide in allergic rhinitis

| Study                           | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|---------------------------------|------|-----|--------------|--|---|---|
| Jang et al. <sup>1650</sup>     | 2020 | 4   | Case-control | Pediatric patients with:<br>Allergic asthma, <i>n</i> = 29<br>Asthma+AR, <i>n</i> = 38<br>AR, <i>n</i> = 43<br>Healthy controls, <i>n</i> = 28   | Laboratory evaluation (eosinophil, IgE)<br>SPT<br>Spirometry<br>FeNO                      | Elevated FeNO in allergic asthma and asthma + AR versus AR and healthy controls<br>No difference in FeNO between AR and healthy controls  |
| Choi et al. <sup>1651</sup>     | 2011 | 4   | Case-control | Pediatric patients:<br>Asthma, <i>n</i> = 118<br>AR, <i>n</i> = 79<br>Healthy control, <i>n</i> = 74   | Laboratory evaluation (eosinophils, IgE)<br>Spirometry<br>FeNO                            | Elevated FeNO in asthma and AR versus healthy controls<br>FeNO positively correlated to total IgE, number of positive SPTs, and peripheral eosinophils  |
| Bencova et al. <sup>1648</sup>  | 2009 | 4   | Case-control | Atopic individuals without asthma, <i>n</i> = 79<br>Non-atopic controls, <i>n</i> = 54   | FeNO in pollen season<br>FeNO out of season<br>FeNO off and on medical therapy            | Atopic individuals had elevated FeNO out of pollen season versus controls<br>FeNO in atopic individuals increased in allergy season<br>FeNO decreased with topical steroid and oral antihistamine treatment                         |
| Hervas et al. <sup>1667</sup>   | 2008 | 4   | Case-control | Healthy children<br>Asymptomatic atopy<br>AR without recent exacerbation<br>AR with one exacerbation in last month<br>Allergic asthma without rhinitis<br>Allergic asthma with rhinitis<br>All groups, <i>n</i> = 15 | Allergy sensitization<br>FeNO<br>Spirometry   | All groups had statistically higher FeNO versus controls<br>FeNO higher in patients with active AR, allergic asthma without rhinitis, and allergic asthma and rhinitis versus asymptomatic atopy and AR without recent exacerbation |
| Van Asch et al. <sup>1643</sup> | 2008 | 4   | Cohort       | Netherlands birth cohort, 1982–1983<br>Participants examined at age 21, <i>n</i> = 361   | Atopic status: history of asthma, allergy, eczema<br>Medication use<br>Spirometry<br>FeNO | History of eczema, AR, smoking, atopic sensitization positively correlated with elevated FeNO<br>Median FeNO higher in atopic asthma and eczema versus control  |
| Franklin et al. <sup>1641</sup> | 2003 | 4   | Cohort       | Australian birth cohort<br>Participants examined at age 11, <i>n</i> = 155   | Spirometry<br>FeNO<br>Eosinophils<br>SPT  | Elevated FeNO in children with asthma, atopy, recent wheeze versus controls<br>FeNO >18.4 ppb had 81.1% sensitivity and 78.6% specificity for diagnosis of AR   |

(Continues)



TABLE X.G.-1 (Continued)

| Study                         | Year | LOE | Study design | Study groups   | Clinical endpoints | Conclusions  |
|-------------------------------|------|-----|--------------|--|--------------------|--|
| Martin et al. <sup>1659</sup> | 1996 | 4   | Case-control | Atopic individuals without asthma, <i>n</i> = 32<br>Non-atopic controls, <i>n</i> = 18 | FeNO<br>Nasal NO   | Atopic individuals had higher FeNO in baseline oral breathing, breath-holding 10 s, breath-holding 60 s, and nasal breathing |

Abbreviations: AR, allergic rhinitis; FeNO, fractional exhaled nitric oxide; IgE, immunoglobulin E; LOE, level of evidence; NO, nitric oxide; SPT, skin prick test.

erty of evaluating sexual function in AR patients.<sup>1697,1698</sup> The SNOT-22 has also been validated for use in AR patients.<sup>1051</sup>

In summary, VCOS are simple, effective tools that may be used to assist in making the diagnosis of AR, and in evaluating the efficacy of various therapies.

#### Use of validated subjective instruments and patient-reported outcome measures

**Aggregate grade of evidence:** B (Level 1: 2 studies, level 2: 2 studies, level 3: 5 studies, level 4: 13 studies; Table X.H.-2)

**Benefit:** Validated surveys offer a simple point-of-care option for screening and tracking symptoms, QOL, and control of allergic disease.

**Harm:** Minimal. Time to complete survey. Potential risk of misdiagnosis when based on survey data alone.

**Cost:** No financial burden to patients. Some fees associated with validated tests used for clinical research.

**Benefits-harm assessment:** Preponderance of benefit over harm. Risk of misdiagnosis leading to unnecessary additional testing. Likewise, there is a risk that false negative responses may lead to delay in testing and further management.

**Value judgments:** Validated surveys may be used as a screening tool and primary or secondary outcome measure.

**Policy level:** Recommendation.

**Intervention:** Validated surveys may be used to screen for AR, follow treatment outcomes and as a primary outcome measure for clinical trials. Specific tests are optimized for various clinico-pathological scenarios.

## XI | MANAGEMENT

### XI.A | Allergen avoidance and environmental controls

#### XI.A.1 | House dust mites

HDMs are a common trigger for AR.<sup>1702</sup> Therefore, reducing exposure to HDM through physical barriers and chemical treatments are potentially important options in the management of AR<sup>1702-1706</sup> (Table XI.A.1).

Physical techniques for HDM reduction, including heating, ventilation, barrier methods, air filtration, vacuuming, and ionizers, have shown inconsistent results for the treatment of AR.<sup>1707-1713</sup> While several interventions have reduced the concentration of environmental HDM antigens,<sup>1707-1711</sup> an associated improvement in clinical symptoms has not been reliably demonstrated. Ghazala et al.<sup>1707</sup> and Terreehorst et al.<sup>1711</sup> demonstrated a reduction in HDM antigen concentration with impermeable bedding as an isolated intervention but found no clinical benefits. Similar findings were reported by Antonicelli et al.<sup>1714</sup> following a trial of high-efficiency particulate air (HEPA) filtration.

Acaricides in household cleaners have been utilized as a chemical technique to reduce HDM concentration. Geller-Bernstein et al.<sup>1715</sup> evaluated an acaricide spray in the bedrooms of patients with HDM sensitization, demonstrating improved mean symptom scores versus control patients without acaricide. Similar findings were reported by Kneist et al.<sup>1708</sup> Using a crossover study design, Chen et al.<sup>1716</sup> investigated an acaricide containing bag placed beneath bed mattresses in children with AR and asthma, reporting improved AR symptom scores and disease specific QOL (measured using the RQLQ) for those in the intervention group compared to control.

Overall, no serious adverse effects were reported from the evaluated interventions. None of the studies evaluated cost-effectiveness.

Recent findings, as well as a 2010 Cochrane review<sup>1717</sup> suggest acaricides, either as a single measure or in combination with other measures, are the most effective

**TABLE X.G.-2** Evidence table – use of nasal nitric oxide in allergic rhinitis

| Study                              | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|------------------------------------|------|-----|--------------|---|--|---|
| Wang et al. <sup>1662</sup>        | 2021 | 2   | SRMA         | Studies that measured nNO in AR and healthy control patients  | nNO in AR, NAR, and controls<br>Multiple subgroup comparisons including NO analyzer type, sampling technique, flow rates | 9 studies showed significantly higher nNO in AR versus control and NAR<br>4 studies listed cut-off values to discriminate between AR and healthy controls |
| Ambrosino et al. <sup>1661</sup>   | 2020 | 2   | SRMA         | Studies that measured nNO in AR and healthy control patients  | nNO via aspiration method in AR and controls<br>nNO via exhalation method in AR and controls                             | 30 studies showed significantly higher nNO using aspiration method<br>12 studies showed significantly higher nNO using exhalation method                  |
| Kalpakioglu et al. <sup>1660</sup> | 2021 | 4   | Case-control | AR, <i>n</i> = 337<br>NAR, <i>n</i> = 106   | TNSS<br>nNO during pollen season and during off season   | AR had significantly higher nNO levels versus NAR<br>nNO significantly increased during pollen season in allergic patients                                |
| Lee et al. <sup>1657</sup>         | 2012 | 4   | Case-control | AR, <i>n</i> = 35<br>Healthy controls, <i>n</i> = 34  | nNO<br>FeNO<br>Laboratory evaluation (eosinophils, IgE)  | nNO significantly higher in AR<br>FeNO significantly higher in AR   |
| Moody et al. <sup>1664</sup>       | 2006 | 4   | Case-control | Perennial AR<br>Non-atopic subjects   | Validated symptom questionnaire<br>FeNO<br>nNO   | nNO levels were not elevated in subjects with perennial AR versus non-atopics<br>nNO was higher in HDM and cat allergic subjects                          |
| Maniscalco et al. <sup>1663</sup>  | 2001 | 4   | Case-control | Topical administration of NO-synthase inhibitor to determine effect on nasal airway resistance:<br>Non-atopic controls, <i>n</i> = 9<br>Seasonal AR, <i>n</i> = 7 | nNO concentration measured pre/post NO-synthase inhibitor<br>Nasal airway resistance                                     | Baseline nNO concentration in AR was not significantly different from control group   |
| Henriksen et al. <sup>1665</sup>   | 1999 | 4   | Case-control | Pediatric patients with:<br>Seasonal AR, <i>n</i> = 19<br>Perennial AR, <i>n</i> = 27<br>Healthy controls, <i>n</i> = 12  | Spirometry<br>nNO and FeNO   | FeNO was significantly higher in AR children versus controls<br>nNO was not different in AR versus controls   |
| Baraldi et al. <sup>1654</sup>     | 1998 | 4   | Case-control | Pediatric patients with:<br>AR, <i>n</i> = 21<br>Healthy controls, <i>n</i> = 21  | nNO at baseline<br>nNO after 10 days of topical steroid or topical antihistamine   | nNO significantly higher in AR versus controls<br>Topical steroid significantly decreased nNO<br>No difference in nNO with antihistamine                  |

Abbreviations: AR, allergic rhinitis; FeNO, fractional exhaled nitric oxide; HDM, house dust mite; IgE, immunoglobulin E; LOE, level of evidence; NAR, non-allergic rhinitis; nNO, nasal nitric oxide; NO, nitric oxide; SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score.

**TABLE X.H.-1** Validated surveys used to diagnose AR or evaluate disease severity and treatment

| Survey   | Disease targeted     | Number of questions | Symptom questions | Medication questions | Scoring range     | Comments and indications  |
|--|----------------------|---------------------|-------------------|----------------------|-------------------|---|
| TNSS: Total Nasal Symptom Score  | AR                   | 4                   | Yes               | No                   | 0–12              | Simple daily symptom score to evaluate AR severity and control; used in clinical trials |
| DMS: Daily Medication Score  | AR, AC, asthma       | Varies              | No                | Yes                  | 0–36 <sup>a</sup> | Varies depending on medication scoring  |
| DCS: Daily Combined Score  | AR, AC, asthma       | Varies              | Yes               | Yes                  | 0–48 <sup>a</sup> | Combined symptom and medication score for clinical trials                               |
| TCRS: Total Combined Rhinitis Score  | AR                   | Varies              | Yes               | Yes                  | 0–24 <sup>a</sup> | The sum of the combined symptoms medication scores                                      |
| Mini-RQLQ: Mini-Rhinoconjunctivitis Quality of Life Questionnaire                        | Rhinoconjunctivitis  | 14                  | Yes               | No                   | 0–84              | Shortened version of RQLQ often used in clinical trials                                 |
| RQLQ: Rhinoconjunctivitis Quality of Life Questionnaire                                  | Rhinoconjunctivitis  | 28                  | Yes               | No                   | 0–168             | Reflective assessment of previous week's symptoms; often used in clinical trials        |
| RhinAsthma (RhinAsthma children also available)  | Rhinitis, asthma     | 30                  | Yes               | No                   | 120               | Able to differentiate patients with rhinitis from those with both rhinitis and asthma   |
| VAS: Visual Analog Scale   | Rhinitis             | 1 or more           | Yes               | No                   | 0–10 cm           | Tool may be used to evaluate multiple symptomatology                                    |
| RCAT: Rhinitis Control Assessment Test   | AR, NAR              | 6                   | Yes               | No                   | 6–30 <sup>b</sup> | Self-assessment of rhinitis symptom control   |
| ARCT: Allergic Rhinitis Control Test   | AR                   | 5                   | Yes               | Yes                  | 5–25 <sup>b</sup> | Self-assessment of ongoing AR symptoms control  |
| CARAT-10: Control of Allergic Rhinitis and Asthma Test; CARATKids available for children | AR, NAR, asthma      | 10                  | Yes               | Yes                  | 0–30 <sup>b</sup> | Used to compare groups in clinical trials   |
| ACS: Allergy Control Score   | Rhinitis, AC, asthma | 10+ meds            | Yes               | Yes                  | 0–60              | Combined tool used for clinical trials and daily clinical practice                      |
| RC-ACS: Rhinoconjunctivitis Allergy Control Score  | Rhinitis, AC         | 7+ meds             | Yes               | Yes                  | 0–42              | Similar to ACS but without asthma related questions                                     |
| RAP: Respiratory Allergy Prediction  | AR, asthma           | 9+ meds             | Yes               | Yes                  | 0–9               | Used to determine the need for referral and additional testing                          |
| SFAR: Symptom Score for Allergic Rhinitis  | AR                   | 8                   | Yes               | No                   | 0–16              | Weighted score used to detect prevalence of AR  |
| RMS: Rescue Medication Score   | Rhinoconjunctivitis  | Meds                | No                | Yes                  | 0–3               | Evaluates medication use only   |

(Continues)

TABLE X.H.-1 (Continued)

| Survey  | Disease targeted                         | Number of questions | Symptom questions | Medication questions | Scoring range | Comments and indications                                 |
|---|--|---------------------|-------------------|----------------------|---------------|--|
| RTSS:<br>Rhinoconjunctivitis<br>Total Symptom Score               | Rhinoconjunctivitis                      | 6                   | Yes               | No                   | 0–18          | Evaluates symptoms only                                  |
| CS: Combined Score  | Rhinoconjunctivitis                      | 6+ meds             | Yes               | Yes                  | 0–3           | Combined scores of RTSS/6 + RMS/2                        |
| RSDI: Rhinosinusitis<br>Disability Index                          | AR, CRS, NAR                             | 30                  | Yes               | No                   | 0–120         | Physical, function, emotional subscales and total scores |
| SNOT-22: Sinonasal<br>Outcome Test,<br>22-item                    | CRS, AR                                  | 22                  | Yes               | No                   | 0–110         | Includes rhinologic and non-rhinologic domains           |
| Global Assessment:<br>Global Assessment of<br>Severity of Allergy | Total nasal and<br>non-nasal<br>symptoms | 1                   | Yes               | No                   | 1–7           | Single question about rhinitis severity                  |

Abbreviations: AC, allergic conjunctivitis; AR, allergic rhinitis; CRS, chronic rhinosinusitis; NAR, non-allergic rhinitis

<sup>a</sup>Maximum score may vary depending on specific number of symptom related questions and specific medication score included.

<sup>b</sup>Higher score equates to better control of disease. A score of 0 denotes zero control of symptoms.

intervention for reducing HDM levels and improving AR symptoms.

### Allergen avoidance and environmental controls – house dust mite

**Aggregate grade of evidence:** B (Level 1: 2 studies, level 2: 12 studies; Table XI.A.1)

**Benefit:** Potential improvement in AR symptoms and QOL with reduced concentration of environmental HDM antigens.

**Harm:** None.

**Cost:** Low to moderate. However, cost-effectiveness was not evaluated.

**Benefits-harm assessment:** Benefit outweighs harm.

**Value judgments:** There is supporting evidence for the use of acaricides in reducing HDM concentration in children who have AR coexistent with asthma. In adults and children without concomitant asthma, the use of acaricides with/without bedroom-based control programs for reducing HDM concentration are promising, but further, high-quality studies are needed to evaluate clinical outcomes.

**Policy level:** Option.

**Intervention:** Acaricides used independently or alongside environmental control measures, such as air filtration devices, could be considered as options in the management AR.

### XI.A.2 | Cockroach

Measures to control cockroach allergen concentrations within the home environment have been targeted at eliminating infestations and abating cockroach allergen. The three main intervention strategies used are: (1) education-based methods consisting of house cleaning measures and sealing cracks and crevices in highly infested areas; (2) physical methods using insecticides or bait traps; and (3) treatments combining education-based interventions with physical methods.<sup>1720</sup> The greatest challenges in controlling cockroach infestation and reducing allergen concentrations are in densely populated inner-city areas that contain multi-occupant housing.<sup>1721,1722</sup>

Most studies contain one or more interventions focused on German cockroach (*Blattella germanica* antigen 1 and 2 [Bla g 1, Bla g 2]) allergen levels,<sup>1723–1731</sup> however some studies included treatments targeted at reducing multiple allergens (e.g., HDM, cockroach, rodent, cat, and dog).<sup>1732,1733</sup> The majority of studies were RCTs designed to evaluate the efficacy of specific environmental control measures in reducing environmental allergens. These studies used a variety of interventions that included home-based education as well as physical methods such as pest control and insecticides.<sup>1723–1728,1732,1733</sup> Although Bla g 1 and Bla g 2 allergen levels were reduced below 8 U/g in some homes, clinical benefits in sensitized individuals were not achieved.<sup>1724,1727–1730</sup> One study found Bla g 1 concentrations could be decreased below targeted thresholds for most apartments using a building-wide cockroach control program<sup>1731</sup> (Table XI.A.2).

**TABLE X.H.-2** Evidence table – use of validated clinical outcome surveys for the diagnosis of allergic rhinitis

| Study                                 | Year | LOE | Study design      | Study groups  | Clinical endpoints  | Conclusions  |
|---------------------------------------|------|-----|-------------------|---|---|--|
| Calderon et al. <sup>1645</sup>       | 2019 | 1   | Systematic review | AR  | Combined symptom-medication score for evaluating efficacy of AIT  | Symptom scores have not been extensively validated<br>No publications describing the validation of medication score<br>Disease control scales extensively validated in AR but have disadvantages as primary efficacy criteria in clinical trials |
| Calderon et al. <sup>1675</sup>       | 2014 | 1   | Systematic review | Seasonal AR   | Comparison of scoring systems used in clinical trials investigating SLIT efficacy for seasonal AR                     | Multiple differences in trial scoring methods/design, making comparison difficult  |
| Fonseca et al. <sup>1691</sup>        | 2010 | 2   | Cross-sectional   | Adults with AR and asthma   | CARAT-10, medical evaluation ACT, VAS   | CARAT-10 has high internal consistency and good concurrent validity, making it useful to compare groups in clinical studies  |
| Annesi-Maesano et al. <sup>1688</sup> | 2002 | 2   | Cross-sectional   | AR confirmed by physician & SPT<br>Individuals by telephone interview | SFAR  | SFAR value $\geq 7$ allowed satisfactory discrimination between AR from those without (sensitivity 74%, specificity 83%, PPV 84%, NPV 74%)   |
| Sousa-Pinto et al. <sup>1680</sup>    | 2021 | 3   | Cohort            | 17,780 app users with AR  | Daily VAS assessed in app and concurrent validity was assessed by correlation with EQ-5D, CARAT, and WPAI-AS          | Concurrent validity was moderate-high<br>Intra-rater reliability intraclass correlation coefficients ranged between 0.870 (VAS of global allergy symptoms) and 0.937 (VAS of allergy symptoms on sleep)  |
| Bedard et al. <sup>1677</sup>         | 2019 | 3   | Cohort            | 9121 AR patients in 22 countries                                      | Mobile phone app daily VAS for:<br>Overall allergic symptoms<br>Nasal, ocular, asthma symptoms<br>Work<br>Medications | Confirms the usefulness of app in accessing and assessing behavior in patients with AR   |

(Continues)



TABLE X.H.-2 (Continued)

| Study                             | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------|--|--|---|
| Galimberti et al. <sup>1695</sup> | 2015 | 3   | Cohort       | AR, AC, asthma   | Evaluation of RAP test used by PCPs to suggest allergy   | RAP test is valid for screening allergic disease<br>RAP test is useful for physicians other than allergists when evaluating rhinitis, suggesting need for allergy testing   |
| Devillier et al. <sup>1690</sup>  | 2014 | 3   | Cohort       | 806 children, adolescents and adults with grass-pollen-induced ARC | MCID of RTSS   | RTSS versus RQLQ showed MCID of 1<br>MCID of RTSS determined with anchor-based methods (using the GRCS and the RQLQ) and a distribution-based method  |
| Demoly et al. <sup>1099</sup>     | 2013 | 3   | Cohort       | 902 AR pts   | Self-assessment global score for AR control (five items scored from 1 to 5 assessing the rhinitis over the 2 previous weeks) | Self-assessment score for AR control was sensitive to change and correlated to the clinical expression of rhinitis<br>Suggests self-completion questionnaire could be used to determine level of AR control   |
| Fasola et al. <sup>1064</sup>     | 2020 | 4   | Case series  | Children with comorbid asthma and rhinitis                         | RAPP-children, RHINASTHMA, PAQLQ, CACT, KiddyKindl, VAS  | RAPP-children is a valid, five-item questionnaire for assessing HRQOL in children 6–11 years with concomitant asthma and rhinitis   |
| Glattacker et al. <sup>1678</sup> | 2020 | 4   | Case series  | App users with pollen AR   | Usability and changes in QOL, health literacy, and self-efficacy obtained through an app in Germany                          | Perceived subjective improvements due to the app:<br>55.9% reported being better informed about their allergy<br>27.3% noted improved QOL<br>33.6% reported better coping with their allergy<br>28.0% felt better prepared for physician consultation |
| Husain et al. <sup>1051</sup>     | 2020 | 4   | Case series  | Patients with AR   | SNOT-22, EQ-5D, RCAT   | SNOT-22 reliable and responsive in patients with AR   |

(Continues)

TABLE X.H.-2 (Continued)

| Study                            | Year | LOE | Study design | Study groups                                    | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|--------------|---|--|--|
| Kupczyk et al. <sup>1699</sup>   | 2020 | 4   | Case series  | Patients with asthma and rhinitis               | Polish RAPP, SF-12, ACT, VAS, GRS  | Confirmed reliability and validity of the Polish version of RAPP, useful tool in the assessment of HRQOL in patients with asthma + AR  |
| Tosca et al. <sup>1693</sup>     | 2020 | 4   | Case series  | Children and adolescents from 3 allergy centers | CARAT, CARATkids, ACT, CACT, GINA disease control classification, VAS; and lung function | CARAT and CARATkids are disease-control measurements that give additional information to other tests   |
| Werner et al. <sup>1700</sup>    | 2018 | 4   | Case series  | Asthma patients with and without AR             | CARAT-10, ACQ, ACT, AQLQ(S)  | German version of the CARAT-10 is an acceptable, reliable, and valid tool<br>Recommended use in asthma patients with AR  |
| Bousquet et al. <sup>1679</sup>  | 2017 | 4   | Case series  | 1136 app users                                  | VAS-global, VAS-nasal, VAS-ocular, VAS-asthma, VAS-work                                  | Significant correlation between VAS-global and VAS-work<br>Significant correlation between VAS-work and WPAI-AS  |
| Emons et al. <sup>1701</sup>     | 2017 | 4   | Case series  | 6–18 years old with asthma ± AR                 | CARATkids, ACT, VAS  | CARATkids questionnaire is a reliable and valid tool to assess AR and asthma control among Dutch children; can also be used in adolescents   |
| Devillier et al. <sup>1676</sup> | 2016 | 4   | Case series  | AR: children, adolescents, and adults           | RTSS, VAS, RQLQ  | Although symptom perception differed in children versus older patients, assessments of treatment outcomes (RTSS, VAS, RQLQ) similar in all age groups<br>VAS correlated well with the weekly mean RTSS and correlated moderately with the weekly mean RQLQ |
| Meltzer et al. <sup>1686</sup>   | 2013 | 4   | Case series  | AR, non-allergic rhinitis                       | RCAT, TNSS, Physician's Global Assessment  | RCAT demonstrated adequate reliability, validity, and responsiveness; deemed acceptable and appropriate by patients  |

(Continues)

TABLE X.H.-2 (Continued)

| Study                            | Year | LOE | Study design | Study groups  | Clinical endpoints  | Conclusions   |
|----------------------------------|------|-----|--------------|---|---|---|
| Hafner et al. <sup>1683</sup>    | 2011 | 4   | Case-control | 121 subjects:<br>81 with ARC<br>40 controls                         | ACS, pollen counts, global allergy severity, QOL, allergy-related medical consultations | Significant correlation between ACS and global allergy severity, QOL, and allergy-related medical consultations ( $p < 0.0001$ ); scores were highly related to pollen counts<br>ACS showed a good retest reliability and discriminated between patients with allergy and healthy controls (sensitivity 97%, specificity 87%) |
| Bousquet et al. <sup>1689</sup>  | 2007 | 4   | Case series  | AR categorized according to ARIA guidelines                         | VAS, RQLQ   | A simple and quantitative method (VAS) can be used for the quantitative evaluation of severity of AR  |
| Baiardini et al. <sup>1692</sup> | 2003 | 4   | Case series  | 148 consecutive patients:<br>46 asthma<br>53 ARC<br>49 asthma + ARC | RHINASTHMA  | RHINASTHMA differentiates patients with rhinitis from those with rhinitis + asthma<br>In stable condition, RHINASTHMA showed good reliability   |

Abbreviations: AC, allergic conjunctivitis; ACQ, Asthma Control Questionnaire; ACT, Asthma Control Test; AIT, allergen immunotherapy; AQLQ, Asthma Quality of Life Questionnaire; app, application; AR, allergic rhinitis; ARC, allergic rhinoconjunctivitis; CACT, Childhood Asthma Control Test; CARAT, Control of Allergic Rhinitis and Asthma Test; EQ-5D, Euro-QOL 5-dimension questionnaire; GCRS, global rating of change scale; GINA, Global Initiative for Asthma; GRS, global rating scale; HRQOL, health related quality of life; LOE, level of evidence; MCID, minimal clinically important difference; NPV, negative predictive value; PAQLQ, Pediatric Asthma Quality of Life Questionnaire; PCP, primary care provider; PPV, positive predictive value; QOL, quality of life; RAP, Respiratory Allergy Prediction; RAPP, RhinAsthma Patient Perspective; RCAT, Rhinitis Control Assessment Test; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; RTSS, Rhinoconjunctivitis Total Symptom Score; SF-12, 12-item Short Form Survey; SFAR, Score For Allergic Rhinitis; SLIT, sublingual immunotherapy; SNOT-22, Sinonasal Outcome Test (22 item); SPT, skin prick test; TNSS, Total Nasal Symptom Score; VAS, visual analog scale; WPAI-AS, Work Productivity and Activity Impairment Allergic Specific Questionnaire.

The most effective treatment for eliminating infestation and reducing allergen load was professional pest control.<sup>1725</sup> In one study that monitored cockroach populations and allergen concentrations over a 12-month period, findings revealed that insecticide bait traps placed by professional entomologists were more effective in reducing cockroach populations and cockroach allergen compared to dwellings that received numerous commercial applications of insecticide formulations to baseboards, cracks, and crevices.<sup>1723</sup> Bait traps, including labor and monitoring costs, were estimated to be less expensive than commercially applied insecticide sprays.<sup>1723</sup> The expense of integrated home management that consists of professional cleaning, education, and pest control was not found to be cost-effective. Thus, most investigators focused on assessing the efficacy of single interventions, such as extermination alone, in assessing potential cost benefits.<sup>1725,1734</sup>

Arbes et al.<sup>1725</sup> and Sever et al.<sup>1734</sup> have noted that these measures were not found to be cost effective. Detailed information may be found in their publications, as this discussion was beyond the scope of this section. Families often had difficulty adhering to home-based intervention regimens over the course of the study, which reduced the efficacy of these treatments and subsequently resulted in increased cockroach allergen levels.<sup>1728</sup>

Although cockroach count could be significantly reduced in single-family homes using bait traps, reinfestation and high allergen levels remained an ongoing problem in multi-family buildings.<sup>1730</sup> Effectively controlling cockroach infestation and allergen levels within multi-family buildings and apartments requires implementation of a building-wide management program.<sup>1731</sup> Thus, it is difficult to dramatically reduce cockroach allergen levels in the home unless a significant reduction

**TABLE XI.A.1** Evidence table – allergen avoidance: house dust mite

| Study                             | Year | LOE | Study design                                  | Study groups  | Clinical endpoints  | Conclusions  |
|-----------------------------------|------|-----|---|---|---|--|
| Nurmatov et al. <sup>1702</sup>   | 2012 | 1   | SR of RCTs                                    | HDM impermeable bedding, 4 studies<br>Acaricides, 2 studies<br>HEPA filtration, 2 studies<br>Acaricides and HDM impermeable bedding in isolation and combination, 1 study | HDM load<br>Symptom scores<br>Medication scores<br>Disease-specific QOL                   | Environmental controls significantly reduced HDM load<br>Acaricides most effective single method<br>Combination therapies more effective than single interventions and may offer symptom relief          |
| Sheikh et al. <sup>1717</sup>     | 2010 | 1   | SR of RCTs                                    | RCTs examining the effectiveness of environmental measures for HDM  | Symptoms  | Acaricides are the most effective method as a single measure or in combination with other measures to decrease HDM and improve symptoms  |
| Chen et al. <sup>1716</sup>       | 2021 | 2   | Randomized, double-blind, cross-placebo trial | Children with AR+asthma, acaricide containing bag under bed mattress, <i>n</i> = 25<br>Children with AR+asthma, placebo bag under bed mattress, <i>n</i> = 25             | Symptom scores<br>HDM concentration<br>Disease specific QOL<br>Adverse events             | Acaricide group: improvement in rhinitis symptoms, QOL scores versus placebo group; decline in HDM antigen was reportedly “more obvious”<br>No severe adverse events reported                            |
| Jeon et al. <sup>1713</sup>       | 2019 | 2   | Single-blind parallel RCT                     | Children with AR, daily vacuuming of room and bed mattress, <i>n</i> = 20<br>Children with AR, daily vacuuming of room only, <i>n</i> = 20                                | Symptom scores<br>Vacuum dust weight<br>HDM (Der p 1 and f 1) concentration               | Symptoms were lower in the intervention group after the 2-week trial<br>Weight of dust collected was less for the intervention group<br>Concentrations of Der p 1 and f 1 did not change in either group |
| Berings et al. <sup>1712</sup>    | 2017 | 2   | Pilot, double-blind, crossover RCT            | Adults with AR and probiotic impregnated bedding, <i>n</i> = 20<br>Adults with AR and placebo bedding, <i>n</i> = 20  | HDM (Der p 1) concentration<br>Symptom scores<br>QOL scores<br>Use of reliever medication | No difference in HDM levels between intervention and placebo bedding<br>Differences in secondary outcome measures between intervention and placebo not significant                                       |
| Stillerman et al. <sup>1718</sup> | 2010 | 2   | Double-blind crossover RCT                    | Adults with atopy and PAF<br>Same adults with atopy, without PAF  | Nasal symptoms<br>Nocturnal RQLQ  | PAF associated with improved nasal symptom and QOL scores  |

(Continues)

TABLE XI.A.1 (Continued)

| Study                                   | Year | LOE | Study design                               | Study groups  | Clinical endpoints   | Conclusions  |
|---|------|-----|--|---|--|--|
| Brehler and Kniest <sup>1709</sup>      | 2006 | 2   | Double-blind, parallel group RCT           | Children with atopy and HDM impermeable bedding<br>Children with atopy without HDM impermeable bedding  | Allergy symptom scores<br>Use of anti-allergic medication  | HDM impermeable bedding associated with significant reduction in symptom scores<br>No change in anti-allergic drug utilization |
| Ghazala et al. <sup>1707</sup>          | 2004 | 2   | Randomized crossover study                 | Adults with atopy and use of impermeable encasings<br>Adults with atopy without use of impermeable encasings                                      | Allergen (Der p 1, Der f 1 and mite group 2) content<br>Subjective clinical complaint  | Impermeable encasings significantly reduce allergen concentration, without difference in subjective symptom scores             |
| Terreehorst et al. <sup>1711</sup>      | 2003 | 2   | Double-blind RCT                           | Children with atopy and HDM impermeable bedding<br>Children with atopy without HDM impermeable bedding  | Rhinitis-specific VAS<br>Daily symptom score<br>Nasal allergen provocation<br>Der p 1 and Der f 1 concentration                                | Impermeable encasings significantly reduce allergen concentration, without difference in symptoms or nasal provocation testing |
| Moon and Choi <sup>1709</sup>           | 1999 | 2   | Open RCT                                   | Adults and children with atopy and multi-modality environmental control<br>Adults and children with atopy and verbal advice on allergen avoidance | Change in HDM load<br>Daily rhinitis symptom scores  | Multi-modality environmental control associated with reductions in mean HDM concentration and nasal symptom scores             |
| Geller-Bernstein et al. <sup>1715</sup> | 1995 | 2   | Double-blind RCT                           | Children with atopy and bedroom sprayed with Acardust acaricide<br>Children with atopy without acaricide  | Daily rhinitis and asthma symptom scores<br>Medication use<br>Twice weekly PEF   | Acaricide associated with decreased mean symptom scores  |
| Kniest et al. <sup>1708</sup>           | 1992 | 2   | Double-blind matched-pair controlled trial | Adults and children with atopy and intensive home cleaning plus acaricide<br>Adults and children with atopy and intensive home cleaning alone     | Daily symptoms and medication scores<br>Physician assessment<br>Total and mite-specific IgE<br>Blood and nasal eosinophils<br>Guanine exposure | Acaricide associated with improvement in all outcome measures except for mite-specific IgE                                     |
| Antonicelli et al. <sup>1714</sup>      | 1991 | 2   | Randomized crossover study                 | Adults and children with atopy and HEPA filtration<br>Adults and children with atopy without HEPA filtration                                      | HDM concentration<br>Rhinitis and asthma symptom score   | HEPA filtration had no significant effect on rhinitis symptom scores   |

(Continues)



TABLE XI.A.1 (Continued)

| Study                          | Year | LOE | Study design               | Study groups   | Clinical endpoints   | Conclusions  |
|--------------------------------|------|-----|----------------------------|--|--|--|
| Reisman et al. <sup>1710</sup> | 1990 | 2   | Double-blind crossover RCT | Adults with atopy and Enviracare HEPA filtration<br>Adults with atopy and placebo filtration | Particulate counts in bedroom air<br>Symptom and medication scores<br>Patients' subjective response to treatment | Enviracare HEPA filtration associated with improved particulate counts and symptom/medication scores |

Abbreviations: AR, allergic rhinitis; HDM, house dust mite; HEPA, high-efficiency particulate air; IgE, immunoglobulin E; LOE, level of evidence; PAF, personal air filtration; PEF, peak expiratory flow; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SR, systematic review; VAS, visual analog scale.

in cockroach counts is maintained over time.<sup>1723</sup> Most studies did not include clinical endpoints. However, those that did evaluate clinical outcomes focused on asthma symptoms, hospitalizations or emergency room visits, and medication usage.<sup>1732,1733</sup> No studies included any assessment of symptoms or clinical endpoints associated with AR.

### Allergen avoidance and environmental controls – cockroach

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 8 studies, level 3: 2 studies, level 4: 1 study; Table XI.A.2)

**Benefit:** Reduction in cockroach count but allergen concentrations (Bla g 1 and Bla g 2) often above acceptable levels for clinical benefits. No studies included clinical endpoints related to AR.

**Harm:** None noted.

**Cost:** Direct costs include multiple treatment applications or multi-interventional approaches. Indirect costs include potential time off work for interventions in home and labor intensity of cleaning measures to eradicate allergens.

**Benefits-harm assessment:** Balance of benefits and harms since lack of clear clinical benefits.

**Value judgments:** Control of cockroach populations especially in densely populated multi-family dwellings is important to control cockroach allergen levels.

**Policy level:** Option.

**Intervention:** Combination of physical measures (e.g., insecticide bait traps, house cleaning) and education-based methods seem to have the greatest efficacy. Additional research on single intervention approaches is needed with cost analysis, as well as investigation of clinical outcomes related to AR.

### XI.A.3 | Pets

Pet avoidance and environmental control represent treatment options for AR due to animal allergy. Pet removal is a commonly cited strategy without high-quality outcomes evaluation and is associated with extremely poor compliance.<sup>1706,1735–1737</sup> One study evaluated compliance of 288 sensitized patients with pet removal recommendations; only 4% of those with direct exposure to home animals adhered to removal recommendations.<sup>1735</sup> However, pet avoidance has shown benefit in the secondary prevention of asthma among previously sensitized individuals and current asthma treatment guidelines recommend pet removal from a sensitized individual's home<sup>1738,1739</sup> (Table XI.A.3).

Environmental controls have been evaluated as strategies to decrease antigen exposure and symptoms of AR with mixed results. While most pet allergen environmental control studies focus on cats, less evidence is available for other allergenic pets, such as dogs, birds, and others. The utility of multi-modality environmental control (cat avoidance, weekly cleaning with removal of carpeting and upholstered furniture, etc.) was studied in 40 patients diagnosed with cat (Fel d 1) sensitization and resulted in significant improvements in nasal airflow and clinical symptoms.<sup>1740</sup> However, single-modality environmental control has not been associated with improved symptoms despite identified reductions in environmental antigens. Wood et al.<sup>1741</sup> evaluated HEPA filtration in a high-quality randomized controlled study of 35 patients with Fel d 1 sensitization, finding unchanged nasal symptom scores, sleep disturbance, rescue medication usage, and spirometry following a 3-month trial. Likewise, there is not good evidence to support the impact of dog allergen mitigation on improvement in clinical symptoms. Several studies of lower-quality evidence have evaluated the duration of antigen reduction following pet washing, finding that washing of cats and dogs must be completed at least twice weekly to maintain significant reductions in environmental antigens.<sup>1742,1743</sup>

**TABLE XI.A.2** Evidence table – allergen avoidance: cockroach

| Study                            | Year | LOE | Study design   | Study groups   | Clinical endpoints   | Conclusions   |
|----------------------------------|------|-----|----------------|--|--|---|
| Le Cann et al. <sup>1720</sup>   | 2017 | 1   | SR of RCTs     | Home group interventions:<br>Education-based methods<br>Physical methods<br>Combination of both<br>Interventions, also included control measures for multiple allergens (HDM, CR, cat, dog)          | Allergic and respiratory symptoms (cough, daytime symptoms, wheeze, nighttime symptoms)<br>Lung function<br>Medication use<br>Urgent care use for respiratory symptoms | Supported effectiveness of home interventions in decreasing respiratory symptoms and urgent care use  |
| Sever et al. <sup>1723</sup>     | 2007 | 2   | RCT            | Insecticide baits placed by entomologists and CR monitoring<br>Pest control by randomly assigned commercial company<br>Control group   | No direct clinical endpoints   | Significant reduction in CR counts in both treatment groups compared to control<br>Insecticide bait traps more effective in reducing CR infestation than application of spray<br>Elimination of CR populations results in greater reduction in CR allergen and exposure |
| Eggleston et al. <sup>1732</sup> | 2005 | 2   | RCT            | Home-based education, CR and rodent extermination, mattress and pillow encasings, HEPA filters<br>Control: no intervention until end of study  | Primary outcome: Blag 1 allergen level<br>Secondary outcome: asthma symptoms   | CR allergen reduced by 51% at 6 months in treatment group but not sustained at 1 year<br>Modest effect on morbidity   |
| McConnell et al. <sup>1724</sup> | 2005 | 2   | RCT            | Education-based intervention for caregivers (sealing cracks and crevices, cleaning with bleach solutions, insecticide bait traps)<br>Comparison group  | No direct clinical endpoints   | 60% reduction in CR count in intervention group<br>Greatest reduction in allergen level in homes with heavier CR infestation<br>Levels still higher than median level associated with severe symptoms   |
| Arbes et al. <sup>1725</sup>     | 2004 | 2   | RCT, crossover | Combined intervention: occupant education, entomologist insecticide bait placement, professional cleaning<br>Control: no intervention for months 0–6, insecticide bait application at months 6 and 9 | No direct clinical endpoints   | CR allergen levels reduced in 6 months with professional cleaning and insecticide bait traps<br>Lower CR allergen levels maintained at 12 months with bait traps alone  |

(Continues)

TABLE XI.A.2 (Continued)

| Study                            | Year | LOE | Study design                             | Study groups   | Clinical endpoints                             | Conclusions   |
|----------------------------------|------|-----|--|--|--|---|
| Morgan et al. <sup>1733</sup>    | 2004 | 2   | RCT, blocked randomization               | Education-based intervention for caregivers (environmental remediation for multiple allergens), professional pest control provided for CR-sensitized children<br>Control group: evaluation only        | Asthma symptoms<br>Use of health care services | Intervention group: reduced levels of CR allergen in bedroom were strongly correlated with decreased asthma-related morbidity   |
| McConnell et al. <sup>1726</sup> | 2003 | 2   | RCT                                      | Professional cleaning and professional pest control (insecticide bait traps)<br>Professional cleaning and bait traps with no insecticide (placebo group)<br>No cleaning or bait traps (control group)  | No direct clinical endpoints                   | CR allergen concentration after professional cleaning and insecticides was low<br>Decreased CR count in insecticide bait treatment group<br>Homes with high initial CR counts had larger reductions in Bla g 2 CR allergen concentration<br>Professional cleaning may help in homes with heavier CR infestation |
| Wood et al. <sup>1727</sup>      | 2001 | 2   | RCT                                      | Professional cleaning; insecticide bait traps, sodium hypochlorite<br>Control homes: no cleaning, extermination, or bleach solution  | No direct clinical endpoints                   | Professional extermination treatments reduced CR numbers and reduced median allergen levels by 80%–90%<br>Cleaning solution did not add any improvements<br>Unclear if this level of reduction is sufficient to have clinical benefits in CR-sensitized individuals   |
| Gergen et al. <sup>1728</sup>    | 1999 | 2   | RCT – Phase II of a multi-city study     | Education based intervention for parents on asthma triggers, environmental controls, professional pest control, instruction on house cleaning protocol before and after extermination<br>Control group | No direct clinical endpoints                   | CR allergen levels decreased within 6 months but returned or exceeded baseline levels by 12 months<br>Compliance with cleaning protocol was poor  |
| Wang et al. <sup>1731</sup>      | 2020 | 3   | Single group, non-controlled time series | Building-wide CR control management program  | No direct clinical endpoints                   | CR count reduced by 97.9% at 6 months and 99.9% at 12 months<br>Bla g 1 and Bla g 2 concentrations significantly reduced from 0 to 6 months and 6 to 12 months  |

(Continues)

TABLE XI.A.2 (Continued)

| Study                            | Year | LOE | Study design                                       | Study groups   | Clinical endpoints           | Conclusions  |
|----------------------------------|------|-----|--|--|------------------------------|--|
| Williams et al. <sup>1730</sup>  | 1999 | 3   | Single-blind, nonrandom stratified placebo control | Bait traps with insecticide<br>Identical appearing placebo bait traps  | No direct clinical endpoints | Treated homes had a significant decrease in number of CR compared to placebo, which continued for 6 months<br>Minimal reduction in Blag 1 and Blag 2 allergen concentration<br>No significant difference between active and placebo homes                                      |
| Eggleston et al. <sup>1729</sup> | 1999 | 4   | Prospective case-control                           | Professional cleaning followed by professional pest control treatments | No direct clinical endpoints | CR numbers can be eliminated in most inner-city homes with insecticides applied by professional pest control technicians<br>CR allergen levels decreased by 78%–93% over 8 months but mean allergen concentrations were still above threshold associated with asthma morbidity |

Abbreviations: CR, cockroach; HDM, house dust mite; HEPA, high-efficiency particulate air; LOE, level of evidence; RCT, randomized controlled trial; SR, systematic review.

### Allergen avoidance and environmental controls – pets

**Aggregate grade of evidence:** C (Level 2: 2 studies, level 3: 2 studies, level 4: 1 study; Table XI.A.3)

**Benefit:** Decreased environmental allergen exposure with possible reduction in symptoms and secondary prevention of asthma.

**Harm:** Emotional distress caused by removal of household pets. Financial and time costs of potentially ineffective intervention.

**Cost:** Low to moderate.

**Benefits-harm assessment:** Equivocal.

**Value judgments:** While several studies have demonstrated an association between environmental controls and reductions in environmental antigens, only a single, multi-modality RCT has demonstrated clinical improvement in nasal symptoms among patients with Fel d 1 sensitivity. The secondary prevention and treatment of asthma in sensitized individuals must also be considered.

**Policy level:** Option.

**Intervention:** Pet avoidance and environmental control strategies, particularly multi-modality environmental controls among patients with diagnosed Fel d 1 sensitivity, may be presented as an option for the treatment of AR.

### XI.A.4 | Rodents

Only a few high-quality studies have been published on rodent (i.e., mouse, rat, guinea pig, and hamster) avoidance and interventions to reduce exposure specifically related to AR. Most studies focus on changes in mouse allergen levels and asthma-related outcomes in inner-city children, which may not directly correlate with AR symptoms in other populations.<sup>1732,1744–1748</sup> While some RCTs have been conducted for mouse allergen, none have been performed for non-mouse rodent allergens. Demonstrating efficacy of rodent avoidance or interventions targeted to reduce exposure is difficult as most environmental interventions lead to non-specific removal of multiple allergens<sup>140</sup> (Table XI.A.4).

TABLE XI.A.3 Evidence table – allergen avoidance: pets

| Study                               | Year | LOE | Study design                     | Study groups  | Clinical endpoints   | Conclusions   |
|-------------------------------------|------|-----|----------------------------------|---|--|---|
| Bjornsdottir et al. <sup>1740</sup> | 2003 | 2   | RCT                              | Cat allergic patients with EC<br>Cat allergic patients with unchanged environment                       | Environmental (settled dust) Fel d 1 levels<br>Nasal inspiratory flow<br>Nasal symptoms              | Multi-modality EC associated with decreased allergen concentration, and improvement in nasal inspiratory flow and patient symptoms              |
| Wood et al. <sup>1741</sup>         | 1998 | 2   | RCT                              | Cat sensitive adults with HEPA filter<br>Cat sensitive adults with placebo                              | Cat allergen levels (airborne and settled dust)<br>Symptom scores<br>Medication scores<br>Spirometry | HEPA filters associated with reduced airborne, but not settled dust, cat allergen levels without effect on disease activity                     |
| Hodson et al. <sup>1743</sup>       | 1999 | 3   | Non-randomized controlled cohort | Newly washed dogs undergoing daily collection of hair clippings and air assessment for seven days       | Can f 1 levels from dog hair and circulating air   | Dog washing must occur twice weekly to maintain reductions in allergen levels   |
| Avner et al. <sup>1742</sup>        | 1997 | 3   | Non-randomized controlled cohort | Cats undergoing weekly:<br>Veterinary washing<br>Immersion washing<br>Immersion followed by 3 min rinse | Fel d 1 levels from cat hair and circulating air   | Washing cats by immersion removes significant allergen reduces the quantity of airborne Fel d 1<br>Fel d 1 decrease is not maintained at 1 week |
| Sanchez et al. <sup>1735</sup>      | 2015 | 4   | Cohort                           | Patients with diagnosed allergy   | Sensitization to household animals<br>Compliance with avoidance recommendations and EC               | Avoidance recommendations may be impractical with high rates of sensitization, indirect exposure, and low rates of compliance                   |

Abbreviations: EC, environmental controls; HEPA, high-efficiency particulate air; LOE, level of evidence; RCT, randomized controlled trial.

Observation studies of early exposure to rodents in childhood have yielded mixed results when evaluating future risk of rodent sensitization and the development of AR or allergic asthma.<sup>879,885,886,1749</sup> Larger controlled studies are needed.

**Avoidance of workplace rodent exposure.** Removal of rodent exposure is a management option for AR and asthma in those that are sensitized; however, as exposure can occur in various environments, comprehensively accomplishing this is challenging. When exposure primarily occurs at the workplace (e.g., laboratory worker handling rodents), reduction of allergen exposure can be accomplished by changing jobs or roles, use of personal protective devices, maintaining ventilation systems, and proper staff training.<sup>140,1750</sup>

**Rodents as pets or pests.** As various rodents can be kept as pets, many sensitized individuals or their caregivers are reluctant to remove the rodent from the living

space, similar to other furry animals.<sup>1735,1751</sup> Conversely, individuals are generally willing to comply with recommendations to remove things they consider pests. Rodent predators such as cats can reduce rodent populations but are unlikely to eliminate an infestation. One observational inner-city study showed that the number of cats and cat allergen levels are inversely correlated with mouse allergen levels.<sup>1752</sup> No clinical outcomes were reported in this study. No recommendations can be made at this time, but the risks likely outweigh potential benefit due to the high reported co-sensitization rate for cat and mouse allergens, which could lead to worsening of allergic symptoms with cat introduction.<sup>1752</sup>

**Integrated pest management for rodent infestation.** Integrated pest management encompasses the initial removal of allergen reservoirs and habit modifications to reduce the risk of infestation recurrence.<sup>140</sup> These interventions include home-based education, rodent



**TABLE XI.A.4** Evidence table – allergen avoidance: rodents

| Study                               | Year | LOE | Study design     | Study groups  | Clinical endpoints  | Conclusions  |
|-------------------------------------|------|-----|------------------|---|---|--|
| Matsui et al. <sup>1744</sup>       | 2017 | 2   | RCT              | Professional integrated pest management + pest management education<br>Pest management education alone    | Primary outcome: maximal asthma symptom days<br>Secondary outcomes: mouse antigen levels, spirometry measurements                                     | No significant difference in any outcome measure between the interventions   |
| DiMango et al. <sup>1748</sup>      | 2016 | 2   | RCT              | Multifaceted indoor allergen avoidance measures<br>Sham intervention                                      | Allergen levels (cat, dog, HDM, CR, mouse)<br>Asthma-related outcomes (medication score, FEV <sub>1</sub> change, symptom scores, FeNO score and QOL) | Intervention group had a more significant decrease in allergen levels versus sham<br>No change in medication requirements or other asthma clinical measures  |
| Pongracic et al. <sup>1746</sup>    | 2008 | 2   | RCT              | Home rodent-specific environmental interventions<br>No specific interventions                             | Mouse allergen levels (Mus m 1)<br>Asthma-related outcomes  | Significant decrease in Mus m 1 levels by 27.3% on the bedroom floor; no difference was found for allergen levels on the bed<br>Reduction was associated with less missed school and sleep disruption but not medical utilization or asthma symptoms |
| Eggleston et al. <sup>1732</sup>    | 2005 | 2   | RCT              | Home-based education, CR and rodent extermination, mattress and pillow encasings, HEPA filters<br>Control | Asthma symptoms   | Mouse antigen not reduced despite application of effective rodenticide at 12 months<br>Conclusions could not be drawn on asthma-related outcomes based on rodent extermination measures alone  |
| Phipatanakul et al. <sup>1747</sup> | 2004 | 2   | RCT              | Integrated pest management interventions<br>No rodent-specific interventions                              | No clinical endpoints measured  | Mouse allergen levels were significantly decreased by 78.8% with intervention versus control   |
| Grant et al. <sup>1745</sup>        | 2020 | 3   | RCT <sup>a</sup> | Professional integrated pest management + education<br>Education alone                                    | Lung function   | Mouse allergen reduction was related to an increase in prebronchodilator FEV <sub>1</sub>  |
| Jacobs et al. <sup>1749</sup>       | 2014 | 3   | Cross-sectional  | 511 children (6-14 years old)   | Mouse allergen exposure and risk of AR  | Higher mouse allergen levels were associated with 25% decreased odds of AR   |

(Continues)

TABLE XI.A.4 (Continued)

| Study                                 | Year | LOE            | Study design                                   | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------------|------|----------------|--|---|--|---|
| Kellberger et al. <sup>885</sup>      | 2012 | 3              | Prospective population-based cohort            | 2810 adolescents (15–18 years old)  | Incidence and persistence of physician-diagnosed AR at age 15–18             | Furry animal (hamster, guinea pig, rabbit) ownership had no association with incidence/persistence of physician-diagnosed AR  |
| Lodrup-Carlsen et al. <sup>886</sup>  | 2012 | 3              | Prospective birth cohort (pooled analysis)     | 1989–1997: 11 European birth cohorts; 11,489 participants aged 6–10 years               | Incidence of asthma, AR, and allergic sensitization during 6–10 years of age | Rodent exposure is protective against sensitization to inhalant allergens in general<br>No association with clinical AR (OR rodent only exposure 0.8; 95% CI 0.5–1.5) |
| Bertelsen et al. <sup>1751</sup>      | 2010 | 3              | Observational cohort                           | 1019 children, pet ownership  | No clinical endpoints measured   | In children with AR, having an older sibling was associated with keeping or acquiring a furry pet   |
| Sanchez et al. <sup>1735</sup>        | 2015 | 4              | Observational ambispective cohort <sup>b</sup> | Patients with allergic sensitization to pets  | Allergen sensitization to pets   | Low sensitization rate to hamsters<br>Most pet owners refused removal of their pet after provider recommendation due to emotional attachment                          |
| Phipatanakul et al. <sup>140</sup>    | 2012 | 4 <sup>c</sup> | Evidence-based search                          | Exposure reduction of rodents   | Not applicable   | Reduction in rodent allergen exposure seems critical to mitigate symptoms but demonstrating efficacy remains challenging  |
| Curtin-Brosnan et al. <sup>1752</sup> | 2009 | 4              | Case series                                    | Inner-city children with asthma   | No clinical endpoints measured   | Inverse correlation between number of cats in household and cat allergen levels compared to mouse allergen levels   |
| Anyo et al. <sup>879</sup>            | 2002 | 4              | Observational cross-sectional                  | 2729 primary school-aged children using parent-completed questionnaire on pet ownership | Allergen sensitization, symptoms, and atopic diagnoses                       | Furry pet (cat, dog, rodent) ownership associated with a lower risk of sensitization to pollen  |
| Sakaguchi et al. <sup>1750</sup>      | 1989 | 5              | Mechanism-based reasoning                      | Various dust respirators used for mouse housing room samples                            | No clinical endpoints measured   | Respirators successfully removed between 65–100% of mouse allergens   |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; CR, cockroach; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; HDM, house dust mite; HEPA, high-efficiency particulate air; LOE, level of evidence; OR, odds ratio; QOL, quality of life; RCT, randomized controlled trial.

<sup>a</sup>LOE downgraded due to selective outcome reporting.

<sup>b</sup>LOE downgraded due to selective sampling.

<sup>c</sup>LOE upgraded due to established methodology, several rounds of review, long history of EBM guideline development.

extermination via traps and rodenticide, HEPA filtration, sealing of holes and cracks with copper mesh, and thorough cleaning. Singular interventions, such as placing rodent traps alone, are unlikely to provide meaningful benefit, which is consistent with cockroach allergen mitigation literature.<sup>140</sup> (See Section XI.A.2. Allergen Avoidance – Cockroach for additional information on this topic.)

Several RCTs have been performed to evaluate the efficacy of integrated pest management in reducing indoor allergen levels; however, only six specifically address mouse allergen.<sup>1732,1744–1748</sup> Integrated pest management methods were highly variable between these studies, making direct comparisons difficult. In addition, the outcome measures evaluated were primarily mouse antigen levels and asthma-related outcomes (no rhinitis outcomes were reported) in low-income, inner-city populations, which limits the generalizability of the results. Three out of the six showed a reduction of mouse antigen levels with integrated pest management, one did not report this outcome, and two showed no significant difference. Asthma-related clinical endpoint results were mixed, but 1 study that utilized extensive integrated pest management interventions showed an increase in FEV<sub>1</sub> (forced expiratory volume in 1 second) in inner-city children when  $\geq 75\%$  reduction of mouse allergen levels was achieved.<sup>1745</sup>

In summary, avoidance measures for work-related exposures and pet rodent exposures may have significant benefit. For rodent infestations, integrated pest management reduces mouse allergen levels in the household, but meaningful clinical improvement remains unclear in mouse-sensitized patients.<sup>1732,1744–1748</sup> The generalizability of rodent-specific integrated pest management RCTs is very limited as they all mainly included low-income, inner-city populations in the northeastern US. No well-conducted studies have evaluated allergen reduction interventions for other rodents. Future research should concentrate on the effects of integrated pest management on rodent allergen levels in non-inner-city populations, rhinitis outcomes, and determining which interventions are highest yield to maximize cost-efficiency.

### Allergen avoidance and environmental controls – rodents

**Aggregate grade of evidence:** C (Level 2: 5 studies, level 3: 5 studies, level 4: 4 studies, level 5: 1 study; Table XI.A.4)

**Benefit:** Reduces rodent allergen levels (specifically mouse allergen) but no information on AR outcomes.

**Harm:** Reduction in QOL of patient due to removal of pet rodent to whom patient is emotionally attached. Change in job position or role if primary rodent exposure is work-related.

**Cost:** Direct costs include the cost of interventions such as extermination and mitigating causal factors or loss of income if a job change occurs. Indirect costs include time off work for pest control appointments.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Careful patient selection based on exposure history. Heterogeneity of integrated pest management protocols makes quantification of benefit difficult.

**Policy level:** Option.

**Intervention:** Avoidance likely improves rodent-specific allergen exposure, especially when the interaction can be eliminated such as when it is work-related or with a pet rodent. Integrated pest management should be considered in select patients, such as pediatric inner-city patients that suffer from asthma and are mouse sensitized.

## XI.A.5 | Pollen

For pollen sensitized patients, avoidance or environmental control measures are often the first recommended intervention to decrease exposure and symptoms.<sup>31</sup> This approach is derived from the experience in which nasal or inhalational allergen challenges induce inflammatory changes and clinical symptoms after exposure.<sup>152</sup> Education and avoidance measures often involve personal behavior changes, particularly when pollen counts are elevated. While complete avoidance of pollen triggers is rarely achievable, it also has undesirable consequences such as avoiding the outdoors.<sup>1753</sup> A more realistic goal is a reduction in exposure to pollens rather than complete elimination.<sup>1754</sup> Further, evidence supporting such recommendations is often limited to expert opinion and clinical experience.

Dominant aeroallergens may vary significantly by geographical location, climate, and season. Understanding an individual's specific sensitization pattern is best characterized by the combination of history and physical examination along with skin testing or serum sIgE testing. This combined with local pollen data can guide when a patient may be most likely exposed to a particular allergen and, therefore, when avoidance measures may be most effective. Local pollen counts can be ascertained by

various sources including local media, phone applications, and trusted internet websites.

Practical interventions for pollen avoidance include keeping windows in homes and cars closed, drying clothes indoors, and staying inside when possible.<sup>1755</sup> Cabin air filters in cars, pollen screens, eyeglasses, and mouth-nose covering masks may reduce exposures.<sup>1756</sup> Pollen counts tend to be higher on sunny, windy days with lower humidity.<sup>31</sup> HEPA filters in air purifiers can decrease exposure and, when studied in *Artemisia* pollen sensitized patients, led to decreased allergy symptom scores compared to placebo filters.<sup>1757</sup> For individuals able to change immediate landscaping, choosing entomophilous or insect pollinated plants may be helpful in addition to selecting plants less likely to induce allergic symptoms.<sup>1758</sup> While allergen avoidance is endorsed by national and international guidelines,<sup>182,1759</sup> the clinical efficacy of these interventions has not been rigorously evaluated.

The previously mentioned pollen avoidance approaches apply more generally to one's surroundings. There have also been attempts with physical barriers in direct or close contact with mucosal membrane surfaces where pollens may adhere and cascade immune responses. One study enrolled 70 individuals with seasonal AR (primarily to grass) or polysensitized individuals without perennial sensitizations, where patients were randomized to receive wraparound eyeglasses in addition to medical treatment versus medical treatment alone for three successive pollen seasons.<sup>1760</sup> Patients provided wraparound glasses had improved ocular and nasal symptoms, in addition to improved RQLQ compared to medical therapy alone. Nasal filters have also been used as an avoidance tool to prevent symptoms of AR. In a randomized, double-blind placebo-controlled crossover trial, 65 grass sensitized adults were monitored in a natural exposure setting at a park while either wearing a nasal filter or placebo.<sup>1761</sup> Patients wearing nasal filters had significantly reduced TNSS scores compared to placebo. Other barrier protection measures have been assessed, including cellulose powder applied to the nose, pollen blocker cream, and microemulsion. In a systematic review, 15 RCTs involving data of these measures from 1154 patients were assessed with subgroup analysis according to the type of barrier protection studied.<sup>1762</sup> Compared to placebo, the barrier protection methods assessed each had improved symptom control by meta-analysis without increased adverse events (of note, nasal filter was not analyzed by meta-analysis due to insufficient data). Most of the included studies were small with heterogeneous study designs, but overall barrier methods may offer non-pharmacologic, symptomatic improvement to motivated patients (Table XI.A.5).

### Allergen avoidance and environmental controls – pollen

Aggregate grade of evidence: B (Level 1: 1 study, level 2: 3 studies; Table XI.A.5)

Benefit: Decreased symptoms and medication use with potential for improved QOL.

Harm: Interventions may vary in cost and efficacy of each may be inadequately defined.

Cost: Generally low monetary cost depending on strategy.

Benefits-harm assessment: Equivocal, most interventions with lower harm but not well-defined benefits.

Value judgments: Most pollen avoidance measures are based on clinical and expert opinion although trial-based evidence is available for some interventions.

Policy level: Option.

Intervention: Pollen avoidance strategies are generally well tolerated and lower cost, non-medication-based interventions that may have benefit with minimal harm to the patient, but further RCTs with larger populations would be needed to better characterize efficacy.

## XI.A.6 | Occupational

Occupational rhinitis may be secondary to allergic or irritant responses and has been associated with a variety of agents, including animals, particulate matter from woods, grains, chemicals, and other substances.<sup>152</sup> Early diagnosis is crucial not only for managing rhinitis symptoms but also potentially preventing the development of coexisting occupational asthma.<sup>124,1764</sup> Regarding management, the most common strategy is avoidance or implementation of environmental controls. However, it is critical to prevent sensitization through appropriate occupational hygiene and safety practices with surveillance of symptoms and exposures in high risk environments.<sup>1765</sup>

Accurate diagnosis of occupational rhinitis may be suggested by periods of improvement during work avoidance such as planned time away from the workplace, when not exposed regularly to occupational allergens. NPT may be pursued but the validity of this testing is often poorly defined.<sup>31</sup> For patients with high clinical suspicion of occupational rhinitis, complete avoidance is recommended as the safest and most effective therapeutic option. If this is not possible due to socioeconomic consequences or otherwise, environmental control measures to reduce

**TABLE XI.A.5** Evidence table – allergen avoidance: pollen

| Study                         | Year | LOE | Study design                 | Study groups  | Clinical endpoints   | Conclusions   |
|-------------------------------|------|-----|------------------------------|---|--|---|
| Chen et al. <sup>1762</sup>   | 2020 | 1   | SRMA                         | 15 RCTs evaluating barrier protection methods   | Nasal symptom scores<br>QOL<br>Peak nasal inspiratory flow | Cellulose powder, microemulsion, pollen blocker cream provided symptomatic improvement versus control |
| Chen et al. <sup>1763</sup>   | 2018 | 2   | RCT, double-blind            | 90 patients with <i>Artemisia</i> (mugwort) sensitization randomized to HEPA air purifier use versus placebo air filter | Symptom severity and QOL<br>RQLQ                           | Allergy symptom scores significantly improved with HEPA air filter use                                |
| Comert et al. <sup>1760</sup> | 2016 | 2   | RCT                          | 70 patients with seasonal AR randomized to medical therapy alone versus medical therapy + wraparound eyeglasses         | Symptom scores<br>Rescue medication use<br>RQLQ            | Wraparound eyeglasses improved symptoms, QOL, and rescue medication use versus medical therapy alone  |
| Kenney et al. <sup>1761</sup> | 2015 | 2   | RCT, double-blind, crossover | 65 grass allergic patients randomized to wearing nasal filters at a park on 2 successive days                           | TNSS   | In a natural exposure setting, nasal filters reduced TNSS versus placebo                              |

Abbreviations: AR, allergic rhinitis; HEPA, high-efficiency particulate air; LOE, level of evidence; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score.

exposure may be an acceptable alternative.<sup>126</sup> This may be accomplished with escalating interventions, starting with avoidance by the use of less problematic materials, improving ventilation of the areas involved, reducing time spent working with implicated materials, or utilizing protective gear for the patient.<sup>1764</sup>

Symptom improvement has been reported in clinical settings following effective avoidance. In a prospective study, 20 patients with specific inhalation challenge-confirmed occupational rhinitis (exposures including flour, animal proteins, tea, isocyanates, resins, and acrylates) were assessed at diagnosis and follow-up, with a mean time interval of  $4.7 \pm 1.3$  years.<sup>1766</sup> At follow-up assessment, all patients had been removed from exposure and reported significant decreases in nasal symptoms and improvement in QOL. Similarly, a separate Finnish cohort of 119 patients was diagnosed with occupational rhinitis (exposures including flour, animal proteins, storage mites, latex, flowers or indoor plants, dried egg powder, organic acid anhydrides with human serum proteins, abache wood dust, human dandruff, and enzymes) with an average of

10 years since diagnosis. Health-related QOL for those no longer exposed to occupational allergens was similar to healthy controls, while it was impaired among those with continued exposures.<sup>1767</sup> Thus, complete avoidance appears to improve rhinitis symptoms and QOL, and when feasible, may be the best approach (Table XI.A.6).

However, if complete avoidance is not able to be achieved, there can be benefit to treatment approaches including decreased levels of exposure. In a group of 36 patients with latex-induced occupational asthma and a median follow up time of 56 months, 20 subjects with reduced exposure had improved asthma severity along with reduced rhinitis symptom severity scores.<sup>1768</sup> The other 16 patients without ongoing exposure (defined as latex gloves never used in the working environment) also had improvement in asthma and rhinitis symptom severity but had more loss of income and work disability. In a separate cross-sectional survey of patients with occupational asthma to platinum salts, transfer to low-exposure areas at work resulted in improved rhinitis symptoms



**TABLE XI.A.6** Evidence table – allergen avoidance: occupational

| Study                             | Year | LOE | Study design                      | Study groups  | Clinical endpoints  | Conclusions   |
|-----------------------------------|------|-----|-----------------------------------|---|---|---|
| Castano et al. <sup>1766</sup>    | 2013 | 3   | Prospective, observational cohort | 20 patients with confirmed OR   | Changes in nasal symptoms<br>Disease specific QOL<br>Nasal patency and inflammation   | In OR, cessation of exposure led to improved QOL, rhinitis symptoms, and general well being                       |
| Airaksinen et al. <sup>1767</sup> | 2009 | 3   | Observational cohort              | 119 patients with OR in registry-based questionnaire                                  | Changes in general and disease specific health related QOL survey   | QOL was improved, similar to healthy controls in patients with OR who did not have ongoing occupational exposures |
| Vandenplas et al. <sup>1768</sup> | 2002 | 3   | Observational cohort              | 36 patients with latex induced occupational asthma with reduced or no exposure        | Lung function assessment<br>Questionnaire based asthma and rhinitis severity  | Either reduced exposure or avoidance resulted in improvement in asthma and rhinitis symptoms                      |
| Merget et al. <sup>1769</sup>     | 1999 | 3   | Cross-sectional                   | 83 patients with platinum salt induced asthma with varying levels of reduced exposure | Lung function and bronchial hyperresponsiveness<br>Skin and serum specific testing<br>Reported symptoms of asthma, rhinitis | Rhinitis, conjunctivitis, dermatitis symptoms improved with decreased exposure while asthma did not               |
| Taivainen et al. <sup>1770</sup>  | 1998 | 3   | Prospective, open interventional  | 33 agricultural workers with asthma (24 with occupational asthma)                     | Asthma symptoms by peak expiratory flow rates<br>Daily rhinitis symptoms  | Powered dust respirator helmets diminished rhinitis symptoms and improved morning peak flow                       |

Abbreviations: LOE, level of evidence; OR, occupational rhinitis; QOL, quality of life.

compared to high exposure areas.<sup>1769</sup> Where avoidance or decreased exposure by job location is not achievable, personal protective equipment may be sufficient to decrease symptoms of occupational rhinitis. In a group of agricultural workers, predominately with occupational asthma to cow dander or grains, use of a powered dust respirator helmet worn over a period of 10 months resulted in significantly reduced rhinitis symptoms and improved morning peak flow rate.<sup>1770</sup>

Overall, while most of the evidence is limited to small observational studies, complete avoidance of an inciting agent in occupational rhinitis likely provides the best improvement in symptoms and QOL and should be pursued when possible. Alternatively, occupation-specific interventions to decrease exposure may offer benefit to patients when complete avoidance cannot be accomplished. Further characterization of levels of exposure and most effective means of decreasing exposure is needed. (See Section V.B.3 Occupational Rhinitis for additional information on this topic.)

### Allergen avoidance and environmental controls – occupational

**Aggregate grade of evidence:** C (Level 3: 5 studies; Table XI.A.6)

**Benefit:** Decreased allergen exposure may lead to reduction in symptoms, improvement in QOL, and possible reduced likelihood of developing occupational asthma.

**Harm:** Potential for socioeconomic harm with loss of wages or requiring changes in occupation.

**Cost:** Individually may vary if avoidance results in loss of income; for employers, potentially high cost depending on interventions or environmental controls required.

**Benefits-harm assessment:** Where possible from a patient-centered perspective, in occupational rhinitis complete avoidance is likely beneficial in improving health quality compared to ongoing exposures.

**Value judgments:** Based primarily on observational studies, allergen avoidance or decreasing exposure is recommended for all patients but can be nuanced depending on the resulting socioeconomic impact.

**Policy level:** Recommendation.

**Intervention:** Patients should be counseled to avoid or decrease exposure to inciting agents in occupational respiratory disease.

## XI.B | Pharmacotherapy

### XI.B.1 | Antihistamines

#### XI.B.1.a | Oral $H_1$ antihistamines

In AR, sIgE binds to mast cells and basophils which triggers the release of histamine. The effects of histamine include vasodilation, smooth muscle bronchoconstriction, increased endothelial permeability, and sensory nerve stimulation, contributing to the classic symptoms of AR.<sup>1771</sup> Antihistamines are inverse agonists of histamine and cause histamine receptors to convert to an inactive state.<sup>1772</sup> Antihistamines are classified as first, second, and third generation. However, herein we classify the second and third generation as newer-generation antihistamines (Table XI.B.1.a.-1). First-generation antihistamines (e.g., diphenhydramine and chlorpheniramine) have anticholinergic side effects and can cross the blood-brain barrier, resulting in central nervous system effects such as sedation and drowsiness.<sup>1773,1774</sup> These side effects

can be more pronounced in the elderly, so first-generation antihistamines should be used with caution.<sup>293</sup> Newer-generation antihistamines (e.g., bilastine, cetirizine, desloratadine, fexofenadine, levocetirizine, and loratadine) block peripheral  $H_1$  receptors without crossing the blood-brain barrier which prevents central nervous system side effects. Several newer-generation antihistamines are metabolized in the liver by cytochrome p450 enzymes. As a result, prescribers should be conscious of concomitant administration of other drugs that are either processed by cytochrome p450 or drugs that are cytochrome p450 inducers because concurrent administration can either increase or decrease the plasma concentration of the antihistamine.<sup>1774</sup>

Given their use since the 1940s, there are numerous RCTs regarding the use of oral antihistamines for the management of AR. With this in mind, a summary of the highest grade of evidence published is provided (Table XI.B.1.a.-2).

There are several published guidelines regarding the use of oral antihistamines for the management of AR. In 2004 the ARIA group and EAACI released recommendations regarding the pharmacological criteria that commonly used AR medications should meet. Taking into consideration the efficacy, safety, and pharmacology, newer-generation antihistamines were shown to have a favorable risk-benefit profile and were recommended over first-generation oral antihistamines for the treatment of AR.<sup>1775</sup> The 2015 American Academy of Otolaryngology-Head and Neck Surgery Foundation (AAO-HNSF) Clinical Practice Guidelines and the 2019 Canadian Society of Allergy and Clinical Immunology position statement

**TABLE XI.B.1.a.-1** List of commonly used newer-generation antihistamines<sup>1005</sup>

| Antihistamine            | Onset (h) | Duration (h) | Drug Interactions | Elimination (h) | Dosage                 |  |
|--------------------------|-----------|--------------|-------------------|-----------------|------------------------|--|
|                          |           |              |                   |                 | Adults                 | Children   |
| Bilastine                | 2         | 24           | Unlikely          | 14.5            | 20 mg QD               | N/A  |
| Cetirizine (Zyrtec)      | 0.7       | >24          | Unlikely          | 6.5–10          | 5–10 mg QD             | 2–5 years; 2.5 mg or 5 mg QD<br>6–12 y: 5–10 mg QD                       |
| Desloratadine (Clarinet) | 2–2.6     | >24          | Unlikely          | 27              | 5 mg QD                | 2–5 years: 1.25 mg QD<br>6–11 years: 2.5 mg QD                           |
| Fexofenadine (Allegra)   | 1–3       | >24          | Unlikely          | 11–15           | 60 mg BID or 180 mg QD | 2–11 years: 30 mg BID  |
| Levocetirizine (Xyzal)   | 0.7       | >24          | Unlikely          | 7               | 5 mg QD                | 2–5 years: 1.25 mg QD<br>6–11 years: 2.5 mg QD<br>≥12 years: 2.5–5 mg QD |
| Loratadine (Claritin)    | 2         | >24          | Unlikely          | 7.8             | 10 mg QD or 5 mg BID   | 2–5 years; 5 mg QD<br>≥6 years; 10 mg QD                                 |

Abbreviations: BID, twice daily; QD, daily.

also recommended newer-generation antihistamines over first-generation antihistamines for the management of AR.<sup>1005,1773</sup>

The ARIA guidelines 2010 revision made a strong recommendation for newer-generation antihistamines that are non-sedating and do not interact with cytochrome p450.<sup>1004</sup> The ARIA guidelines 2016 revision made several recommendations regarding when to consider the use of oral antihistamines, taking into context other drugs available for the management of seasonal and perennial AR.<sup>1167</sup> In 2020, the ARIA group published the first GRADE-based guidelines that integrated real-world patient-reported experience and clinical studies to inform the management of AR.<sup>1182</sup> It provided a treatment algorithm that, in a nuanced manner, considered a patient's symptom severity with past and current medication use to clarify the role of newer-generation antihistamines for the management of AR.<sup>1182</sup> The standard dosing for newer-generation antihistamines is listed in Table XI.B.1.a-1.

The decision on which newer-generation antihistamine to prescribe should be individualized to the patient and the dosing, drug interactions, side effects, the onset of action, and cost should be considered. A large study that examined all e-prescriptions of oral antihistamines ( $n = 2280$ ) in Poland in 2018 found that approximately one in five prescriptions was not redeemed.<sup>1776</sup> This finding suggests the need for further studies regarding patient adherence to oral antihistamines, noting that various factors could influence patient adherence including lack of trust in the prescriber, cost and availability of the medication over the counter.

Excluding oral antihistamines only available by prescription, the cost of most newer-generation oral antihistamines is similar at ~\$2 per day.<sup>1777</sup> As newer-generation oral antihistamines have fewer central nervous system side effects than first-generation oral antihistamines, their indirect costs to society are lower than first-generation oral antihistamines.<sup>1771,1774,1777</sup> The indirect costs amongst newer-generation oral antihistamines are similar given the similar side effect profiles.

### Oral H<sub>1</sub> antihistamines

**Aggregate grade of evidence:** A (Level 1: 19 studies, level 4: 5 studies; Table XI.B.1.a.-2)

**Benefit:** Reduction in symptoms of AR.

**Harm:** Compared to first-generation oral antihistamines, newer-generation antihistamines have fewer central nervous system and anticholinergic side effects. The side effects of first-generation

antihistamines can be more pronounced in the elderly. See Table II.C.

**Cost:** Inexpensive. Given their improved side effect profile, newer-generation oral antihistamines also have lower indirect costs than first-generation oral H<sub>1</sub> antihistamines.

**Benefits-harm assessment:** The benefits outweigh harm for use of newer-generation H<sub>1</sub> oral antihistamines for AR.

**Value judgments:** First-generation oral antihistamines are not recommended for the treatment of AR because of their central nervous system and anticholinergic side effects.

**Policy level:** Strong recommendation for the use of newer-generation oral antihistamines for AR.

**Intervention:** Newer-generation oral antihistamines can be considered in the treatment of AR.

### XI.B.1.b | Oral H<sub>2</sub> antihistamines

Our understanding of the role of the H<sub>2</sub> receptor in mediating histamine-related nasal symptoms in AR is limited. There is no data comparing H<sub>2</sub>-receptor antagonism efficacy to common first line therapy such as INCS, and only a few relatively small studies have investigated the impact of H<sub>2</sub>-receptor antagonism. Most importantly, the clinical significance of the changes associated with H<sub>2</sub> antihistamines has not been clearly defined. Nonetheless, H<sub>2</sub> antihistamines possess relatively low risk (drug-drug interactions through decreased gastric acidity and inhibition of cytochrome p450)<sup>1797</sup> and low cost and have been supported by some studies for use in patients with recalcitrant nasal airway obstruction in combination with oral H<sub>1</sub> antihistamines.

There have been several RCTs that investigated the efficacy of H<sub>2</sub> antihistamines in improving objective measures such as nasal airway resistance and nasal secretion. Wood-Baker et al.<sup>1798</sup> compared oral cetirizine to oral ranitidine. Objective measures of nasal airway resistance showed greater improvement with ranitidine; however, objective measures of nasal secretion decreased more with cetirizine. Despite very few studies showing efficacy of H<sub>2</sub> blockers alone, several studies have emphasized their potential utility in combination with H<sub>1</sub> antagonists. Taylor-Clark et al.<sup>1799</sup> found similar improvement in nasal airway resistance between cetirizine and ranitidine, but a significant improvement with the use of combination therapy. Wang et al.<sup>1800</sup> also showed improvement in nasal airflow with combination therapy of cimetidine and cetirizine. Havas et al.<sup>1801</sup> measured the nasal airflow resistive response to topical histamine and also found that combined histamine antagonism with diphenhydramine

**TABLE XI.B.1.a.-2** Evidence table – oral H<sub>1</sub> antihistamines for allergic rhinitis

| Study                            | Year | LOE | Study design             | Study groups   | Clinical endpoints  | Conclusions   |
|----------------------------------|------|-----|--------------------------|--|---|---|
| Zhang et al. <sup>1043</sup>     | 2022 | 1   | SR of 22 RCTs            | Adult patients ( <i>n</i> = 4673) treated with:<br>INCS<br>OAH<br>AIT  | TNSS<br>VAS<br>RQLQ<br>PNIF   | OAH treatment resulted in statistical but not clinically meaningful improvement in RQLQ<br>PNIF was not statistically or clinically significant   |
| Miligkos et al. <sup>1778</sup>  | 2021 | 1   | SR of 45 RCTs            | Children ≤12 years old on:<br>OAH<br>Montelukast<br>Placebo  | Adverse events<br>Drug-related adverse events<br>Treatment discontinuations                     | Newer-generation OAHs have a favorable safety and tolerability profile  |
| Sastre <sup>1779</sup>           | 2020 | 1   | SR of 15 RCTs            | Adolescent and adult patients treated with ebastine  | Relief of allergy symptoms<br>Safety and tolerability   | Ebastine is an effective and well-tolerated newer-generation antihistamine for the treatment of AR  |
| Mullol et al. <sup>1780</sup>    | 2015 | 1   | SR of 12 clinical trials | Patients with AR (≥6 years old) treated with rupatadine  | Relief of allergy symptoms<br>ARIA criteria<br>Adverse events                                   | Rupatadine is recommended for use in adults and children for persistent, intermittent, seasonal, and perennial AR   |
| Ridolo et al. <sup>1781</sup>    | 2015 | 1   | SR of 4 RCTs             | Adult patients treated with:<br>Bilastine<br>Cetirizine<br>Desloratadine   | Subjective and objective measures<br>TNSS<br>RQLQ   | Bilastine has similar efficacy to other second-generation oral antihistamines<br>Improved TNSS & RQLQ, good safety profile  |
| Compalati et al. <sup>1782</sup> | 2013 | 1   | SR of 10 RCTs            | Patients ( <i>n</i> = 2573; ≥6 years old) treated with rupatadine  | Relief of allergy symptoms<br>Adverse events  | Favorable risk-benefit ratio for rupatadine in treating AR  |
| Mosges et al. <sup>1783</sup>    | 2013 | 1   | SR of 10 clinical trials | Patients ( <i>n</i> = 140,853; ≥12 years old) treated with:<br>Desloratadine<br>Ebastine<br>Fexofenadine<br>Levocetirizine | TSS<br>TNSS   | Second-generation levocetirizine significantly improved symptom scores, especially in severe AR   |
| Compalati et al. <sup>1784</sup> | 2011 | 1   | SR of 8 RCTs             | Patients ( <i>n</i> = 3532; ≥5 years old) treated with fexofenadine  | TSS<br>Individual symptoms (sneezing, rhinorrhea, itching congestion)<br>Adverse events         | Fexofenadine has good efficacy with improvement in outcome measures<br>No significant adverse events versus placebo   |
| Ferrer <sup>1785</sup>           | 2011 | 1   | SR of 8 RCTs             | Pediatric and adult patients treated with:<br>Levocetirizine<br>Desloratadine<br>Fexofenadine                              | TSS<br>PNIF<br>Decongestion test<br>QOL<br>Pruritus<br>ESS<br>Wheal and flare<br>Adverse events | Oral newer-generation antihistamines are well tolerated in adults and children<br>Improvement in QOL and nasal obstruction<br>Benefits outweigh harm<br>Very low risk of sedation<br>No QT prolongation |

(Continues)

TABLE XI.B.1.a.-2 (Continued)

| Study                                       | Year | LOE | Study design             | Study groups   | Clinical endpoints  | Conclusions  |
|---|------|-----|--------------------------|--|---|--|
| Mosges et al. <sup>1786</sup>               | 2011 | 1   | SR of 7 RCTs             | AR patients ( $n = 2238$ ; $\geq 6$ years old treated with:<br>Levocetirizine<br>Loratadine  | TSS<br>DNS<br>DES   | Improvement in TSS, total five symptoms score, daytime nasal symptoms, and QOL   |
| Bachert <sup>1787</sup>                     | 2009 | 1   | SR of 26 clinical trials | Patients ( $\geq 6$ years old) treated with:<br>Desloratadine<br>Fexofenadine<br>Levocetirizine<br>Cetirizine<br>Loratadine<br>Terfenadine | TSS<br>PNIF<br>TSSC (with nasal obstruction)<br>Nasal congestion and obstruction  | OAH efficacious for improving subjective and objective measures, effective in relieving nasal congestion associated with AR                          |
| Katiyar and Prakash <sup>1788</sup>         | 2009 | 1   | SR of 5 RCTs             | Patients ( $\geq 12$ years old) treated with:<br>Rupatadine<br>Ebastine<br>Cetirizine<br>Loratadine<br>Desloratadine                       | ARIA criteria evaluated for:<br>Intermittent, persistent, seasonal, perennial AR<br>TSS<br>DTSSm<br>DSSm<br>QT changes                                    | Rupatadine is a non-sedative, efficacious, and safe OAH for AR   |
| Bachert and van Cauwenberge <sup>1789</sup> | 2007 | 1   | SR of 8 RCT              | Patients ( $\geq 12$ years old) treated with desloratadine   | Reviewed multiple outcomes in relation to the ARIA definitions of AR:<br>TSS<br>TNSS<br>TNNSS<br>PNIF<br>Intermittent, persistent, seasonal, perennial AR | Desloratadine is well tolerated and efficacious for intermittent and persistent AR with reductions in congestion, TSS, TNSS, TNNSS, and improved QOL |
| Canonica et al. <sup>1790</sup>             | 2007 | 1   | SR of 13 RCTs            | Patients ( $n = 3108$ , $\geq 12$ years old) treated with desloratadine  | TSS<br>TNSS<br>Nasal airflow  | Reduction in TSS, TNSS, and improved nasal airflow   |
| Patou et al. <sup>1791</sup>                | 2006 | 1   | SR of 4 RCTs             | Adult patients ( $n = 782$ ) treated with levocetirizine   | Nasal obstruction   | Improved nasal obstruction under artificial and natural allergen exposure  |
| Hore et al. <sup>1792</sup>                 | 2005 | 1   | SR of 7 RCT              | Adult patients treated with OAH or placebo   | Nasal obstruction   | OAH improve nasal obstruction by 22% over placebo  |
| Passalacqua and Canonica <sup>1793</sup>    | 2005 | 1   | SR of 8 RCTs             | Patients ( $\geq 6$ years old) treated with:<br>Levocetirizine<br>Desloratadine  | Nasal symptoms<br>Wheal flare response<br>QOL<br>TSS  | Improved QOL and TSS for seasonal/perennial AR<br>Levocetirizine has a faster onset  |

(Continues)



TABLE XI.B.1.a.-2 (Continued)

| Study                           | Year | LOE | Study design   | Study groups   | Clinical endpoints   | Conclusions   |
|---------------------------------|------|-----|--|--|--|---|
| Greisner <sup>1794</sup>        | 2004 | 1   | SR of 5 RCTs   | Patients ( $\geq 13$ years old) treated with:<br>Cetirizine<br>Desloratadine<br>Fexofenadine<br>Loratadine | Onset of action  | Inconsistent results, onset of action is dependent upon how it is defined and measured  |
| Limon et al. <sup>1795</sup>    | 2003 | 1   | SR of 9 RCTs   | Patients ( $\geq 12$ years old) treated with desloratadine   | TSS<br>TNSS<br>TNNSS<br>Nasal congestion and airflow<br>TASS | Desloratadine is a safe and efficacious for patients with seasonal/perennial AR<br>Improved TSS, TNSS and TNNSS, TASS, nasal congestion<br>Nasal congestion excluded in PAR group |
| Bedard et al. <sup>1677</sup>   | 2019 | 4   | Cross-sectional  | Patients using INCS and/or OAH who completed a mobile allergy diary ( $n = 9122$ )                         | VAS  | Increased medication use associated with increased symptoms<br>Patients treat themselves as needed for symptoms despite physicians recommending long-term treatment               |
| Scadding <sup>1796</sup>        | 2015 | 4   | Review of CS: ARIA, EAACI, Royal College of Paediatrics and Child Health | Oral antihistamines  | –  | Second-generation, non-sedating, antihistamines are recommended for mild-moderate AR and in combination for severe AR; sedating antihistamines should not be used                 |
| Seidman et al. <sup>1005</sup>  | 2015 | 4   | SR with guideline (9 CPGs, 81 SR, and 177 RCTs)                          | Patients ( $\geq 2$ years old) treated with OAH  | Relieving allergy symptoms<br>Adverse events                 | Strong recommendation to use non-sedating OAH, benefits outweigh harm   |
| Brozek et al. <sup>1004</sup>   | 2010 | 4   | Guideline  | OAH  | –  | Strong recommendation to use second-generation OAH that do not cause sedation and do not interact with cytochrome p450 enzyme   |
| Bousquet et al. <sup>1775</sup> | 2004 | 4   | ARIA/EAACI criteria for antihistamines                                   | Desloratadine  | ARIA/EAACI criteria efficacy, safety, pharmacology           | Desloratadine recommended for treating patients with AR   |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; ARIA, Allergic Rhinitis and its Impact on Asthma; CPG, clinical practice guideline; CS, consensus statement; DES, daytime eye symptoms; DNS, daytime nasal symptoms; DSSm, Mean Daily Symptom Score; DTSSm, Mean Total Daily Symptom Score; EAACI, European Academy of Allergy and Clinical Immunology; ESS, Epworth Sleepiness Scale; INCS, intranasal corticosteroid; LOE, level of evidence; OAH, oral antihistamine; PNIF, peak nasal inspiratory flow; QOL, quality of life; QT, measure of time between the onset of ventricular depolarization and completion of ventricular repolarization on electrocardiogram; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SR, systematic review; TASS, Total Asthma Symptom Score; TNNSS, Total Non-Nasal Symptom Score; TNSS, Total Nasal Symptom Score; TSS, Total Symptom Score; TSSC, Total Symptom Severity Complex; VAS, visual analog scale.

hydrochloride and cimetidine was significantly more effective in reducing the nasal resistive response than H<sub>1</sub> antagonist alone. However, not all data regarding combination therapy has been conclusive with other studies finding no improvement in nasal airflow with the addition of an H<sub>2</sub> antihistamine.<sup>1802,1803</sup> Moreover, the clinical significance of these objective measures remain unclear (Table XI.B.1.b).

Alternatively, several studies have investigated the impact of H<sub>2</sub> antagonism on symptoms by employing PROMs. Subjects were asked to report some combination of congestion, blockage, itch, drainage, sneeze, eye symptoms, and asthma with a categorical severity measure. Three of the four studies examined symptoms after nasal allergen challenge, and none of these demonstrated efficacy of H<sub>2</sub> antihistamines in diminishing allergic symptoms, either alone, or conjunction with an H<sub>1</sub> antihistamine.<sup>1800,1802–1804</sup> The majority of RCTs investigating the efficacy of H<sub>2</sub> antihistamines are within the context of pre-treatment of a patient prior to a nasal histamine or allergen challenge. Only one study investigated the impact of an H<sub>2</sub> antagonist, cimetidine, in conjunction with chlorpheniramine in a real-world setting. Carpenter et al.<sup>1804</sup> randomized 23 subjects with known late-summer AR to receive alternating 2-week courses of either chlorpheniramine plus placebo during the season, or chlorpheniramine plus cimetidine. Symptom scores were recorded twice daily along with adjuvant medical therapies taken (specifically, oral corticosteroids). A significant reduction in medication use was reported by patients receiving both H<sub>1</sub> and H<sub>2</sub> antagonists (28 corticosteroid days vs. 44 corticosteroid days,  $p < 0.02$ ) and decreased symptoms scores during one of the 8 weeks when weed pollen counts were high. A limitation of this study is its utilization of a first-generation antihistamine which is no longer utilized as first-line treatment of rhinitis symptoms. No current studies exist comparing INCS with second-generation antihistamines in combination with H<sub>2</sub> blockers.

The data existing on the use of H<sub>2</sub> antihistamines in AR is limited in scope and quality, with very little addition to the literature in the past decade. The objective findings of improved nasal airway resistance suggest that the H<sub>2</sub> histamine receptor does modulate nasal tissue response to histamine.<sup>1798–1801</sup> However, the clinical significance of this mechanism is not clear, particularly in the context of modern treatment algorithms.<sup>1800–1804</sup> Given the relatively manageable side effect profile and costs of H<sub>2</sub> antihistamines, they may offer patients with otherwise recalcitrant AR symptoms an additional treatment option. However, additional investigation on the efficacy of H<sub>2</sub> antihistamines in combination with other topical medications may be beneficial in the future.

## Oral H<sub>2</sub> antihistamines

**Aggregate grade of evidence:** B (Level 2: 7 studies; Table XI.B.1.b)

**Benefit:** Decreased objective nasal resistance, and improved symptom control in 4 studies when used in combination with H<sub>1</sub> antagonists.

**Harm:** Drug–drug interaction (p450 inhibition, inhibited gastric secretion and absorption). See Table II.C.

**Cost:** Increased cost associated with H<sub>2</sub> antagonist over H<sub>1</sub> antagonist alone.

**Benefits-harm assessment:** Unclear benefit and possible harm.

**Value judgments:** No studies evaluating efficacy of H<sub>2</sub> antihistamines in context of INCS. There were two studies that showed no benefit for H<sub>2</sub> antagonist when used alone or as an additive to H<sub>1</sub> antagonist therapy.

**Policy level:** No recommendation. Available evidence does not adequately address the benefit of H<sub>2</sub> antihistamines in AR.

**Intervention:** Addition of an oral H<sub>2</sub> antagonist to an oral H<sub>1</sub> antagonist may improve symptom control in AR, but data is limited.

### XI.B.1.c | Intranasal antihistamines

Two formulations of intranasal antihistamine are currently available in North America for use as a topical spray, azelastine hydrochloride, and olopatadine hydrochloride. The English-language literature was systematically reviewed for clinical trials of either of these formulations for the treatment of AR. A total of 44 papers were identified that reported results of RCTs of intranasal antihistamine monotherapy. This included 24 studies with an active treatment comparator arm<sup>1479,1805–1827</sup> and 29 studies with an inactive placebo arm.<sup>1808,1809,1812–1814,1816,1818,1820,1822,1824,1825,1828–1845</sup>

Monotherapy with azelastine was reported in 37 studies<sup>1479,1805,1806,1808,1810–1816,1818–1828,1831–1836,1840–1848</sup>

while monotherapy with olopatadine was reported in 10 studies.<sup>1807,1809,1829,1830,1833,1835,1837–1839,1847</sup> Some studies utilized multiple active treatment arms of antihistamine and/or corticosteroid (Table XI.B.1.c).

Patient-reported symptom scores or QOL assessments were the most frequently utilized outcome measures in the included studies. The most common outcome measure was the TNSS (23 studies), which summarizes the severity of the cardinal symptoms of sneezing, itching, congestion, and runny nose. Other outcome measures included the RQLQ (seven studies), the Total Ocular Symptom

**TABLE XI.B.1.b** Evidence table – oral H<sub>2</sub> antihistamines for allergic rhinitis

| Study                               | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions  |
|-------------------------------------|------|-----|--------------|--|--|--|
| Taylor-Clark et al. <sup>1799</sup> | 2005 | 2   | RCT          | Histamine challenge with<br>premedication:<br>PO cetirizine<br>PO ranitidine<br>PO cetirizine + PO ranitidine<br>Placebo                     | Nasal airway resistance  | Cetirizine and ranitidine improve nasal resistance alone<br>Cetirizine-ranitidine combination improves nasal resistance beyond either alone  |
| Juliusson and Bende <sup>1802</sup> | 1996 | 2   | RCT          | Allergy challenge with<br>premedication:<br>PO terfenadine<br>PO cimetidine<br>PO terfenadine + PO cimetidine<br>Placebo                     | Laser Doppler flowmetry<br>Allergic symptoms   | No difference in symptoms or flowmetry with cimetidine<br>No additive effect of cimetidine with terfenadine  |
| Wang et al. <sup>1800</sup>         | 1996 | 2   | RCT          | Allergy challenge with<br>premedication:<br>PO cetirizine<br>PO cetirizine + PO cimetidine   | Symptoms (itching, sneezing, rhinorrhea, congestion)<br>Sneeze count<br>Nasal airway resistance            | Combination of cetirizine-cimetidine improved nasal airway resistance and nasal airflow over cetirizine alone  |
| Wood-Baker et al. <sup>1798</sup>   | 1996 | 2   | RCT          | Allergy challenge with<br>premedication:<br>PO cetirizine<br>PO ranitidine   | Nasal lavage fluid protein concentration<br>Nasal airway resistance  | Ranitidine improved nasal resistance more than cetirizine<br>Cetirizine decreased total protein and albumin more than ranitidine   |
| Havas et al. <sup>1801</sup>        | 1986 | 2   | RCT          | Histamine challenge with<br>premedication:<br>PO diphenhydramine hydrochloride + PO cimetidine<br>PO diphenhydramine hydrochloride + placebo | Nasal airway resistance  | Combination of diphenhydramine-cimetidine was more effective in reducing the nasal resistance to topical histamine than diphenhydramine alone ( $p < 0.001$ )<br>Diphenhydramine increased the resistance of the unprovoked nose, whereas combined diphenhydramine-cimetidine produced no significant change |
| Carpenter et al. <sup>1804</sup>    | 1983 | 2   | RCT          | During allergy season medicated with:<br>PO chlorpheniramine<br>PO chlorpheniramine + PO cimetidine  | Symptoms (rhinorrhea, sneezing, nasal congestion, nasal pruritus, eye discomfort)<br>Rescue medication use | Reduced symptoms and medication scores in chlorpheniramine-cimetidine  |
| Brooks et al. <sup>1803</sup>       | 1982 | 2   | RCT          | Allergy challenge with<br>premedication:<br>PO cimetidine<br>Placebo   | Symptoms (congestion, itch, drainage, sneeze)<br>Nasal airway resistance<br>Nasal secretion weight         | No difference in subjective scores<br>Increased secretion and sneeze count, no difference in nasal resistance  |

Abbreviations: LOE, level of evidence; PO, per os (by mouth); RCT, randomized controlled trial.

**TABLE XI.B.1.c** Evidence table – intranasal antihistamines for allergic rhinitis

| Study                                  | Year | LOE | Study design              | Study groups   | Clinical endpoints                       | Conclusions   |
|--|------|-----|---------------------------|--|--|---|
| Carr et al. <sup>1805</sup>            | 2012 | 2   | DBRCT (post-hoc analysis) | Azelastine 0.28 mg<br>BID<br>Fluticasone propionate 0.1 mg<br>spray BID  | rTNSS<br>rTOSS<br>RQLQ                   | Fluticasone superior to azelastine for improving rhinorrhea; comparable symptom and QOL improvement                                       |
| Han et al. <sup>1846</sup>             | 2011 | 2   | DBRCT                     | Azelastine 0.1%<br>Levocabastine hydrochloride<br>0.05% spray  | rTNSS                                    | Comparable symptom improvement  |
| Howland et al. <sup>1828</sup>         | 2011 | 2   | DBRCT                     | Azelastine 0.82 mg<br>BID<br>Placebo   | rTNSS<br>rTOSS<br>RQLQ                   | Azelastine superior to placebo for nasal and eye symptoms and QOL   |
| Meltzer et al. <sup>1829</sup>         | 2011 | 2   | DBRCT                     | Olopatadine 1.33 mg<br>BID<br>Placebo  | rTNSS<br>rTOSS<br>PRQLQ<br>CGTSQ-AR      | Olopatadine superior to placebo in reducing symptoms in children, improving QOL, and satisfying caregivers                                |
| Kalpaklioglu and Kavut <sup>1806</sup> | 2010 | 2   | Single-blind RCT          | Azelastine 0.56 mg<br>BID<br>Triamcinolone acetonide 0.22 mg<br>spray QD   | TNSS<br>PNIF<br>ESS<br>SF-36<br>mRQLQ    | Comparable improvement in nasal symptoms, PNIF, ESS and QOL; azelastine superior for ocular symptoms                                      |
| Berger et al. <sup>1830</sup>          | 2009 | 2   | DBRCT                     | Olopatadine 1.33 mg<br>BID<br>Olopatadine 2.66 mg<br>BID<br>Placebo  | TNSS<br>TOSS<br>PRQLQ<br>CGTSQ-AR<br>SGA | Olopatadine superior to placebo in reducing symptoms in children, improving QOL, and satisfying caregivers                                |
| Bernstein et al. <sup>1831</sup>       | 2009 | 2   | DBRCT                     | Azelastine 0.28 mg<br>BID<br>Reformulated azelastine 0.28 mg<br>BID<br>Azelastine 0.56 mg<br>BID<br>Reformulated azelastine 0.56 mg<br>BID<br>Placebo 2 sprays | TNSS                                     | Both azelastine spray formulations superior to placebo; dose–response effect was seen; no difference in bitter taste between formulations |
| Kaliner et al. <sup>1807</sup>         | 2009 | 2   | DBRCT                     | Olopatadine 2.66 mg<br>BID<br>Fluticasone 0.2 mg<br>spray QD   | rTNSS<br>rTOSS                           | Both treatments improve symptoms; faster onset for olopatadine  |
| Shah et al. <sup>1832</sup>            | 2009 | 2   | DBRCT                     | Azelastine 0.82 mg<br>BID<br>Azelastine 0.56 mg<br>BID<br>Placebo  | TNSS                                     | Both azelastine doses superior to placebo; greater improvement with higher dose   |
| Shah et al. <sup>1833</sup>            | 2009 | 2   | DBRCT                     | Olopatadine 2.66 mg<br>BID<br>Azelastine 0.56 mg<br>BID<br>Placebo   | TNSS                                     | Both treatments superior to placebo; no difference between treatments; less bitter taste with olopatadine                                 |

(Continues)

TABLE XI.B.1.c (Continued)

| Study                            | Year | LOE | Study design | Study groups  | Clinical endpoints                   | Conclusions   |
|----------------------------------|------|-----|--------------|---|--------------------------------------|---|
| van Bavel et al. <sup>1834</sup> | 2009 | 2   | DBRCT        | Azelastine 0.82 mg QD<br>Placebo  | TNSS                                 | Azelastine superior to placebo  |
| Meltzer et al. <sup>1847</sup>   | 2008 | 2   | DBRCT        | Olopatadine 2.66 mg BID<br>Azelastine 0.56 mg BID                       | Sensory perception                   | Olopatadine favored for taste, aftertaste, and likelihood of use  |
| Pipkorn et al. <sup>1835</sup>   | 2008 | 2   | DBRCT        | Olopatadine 0.1%<br>Olopatadine 0.2%<br>Azelastine 0.1%<br>Placebo      | 4-item symptom score<br>Nasal lavage | Both olopatadine doses superior to placebo for reducing symptoms; higher concentration inhibits mast cell degranulation |
| Lumry et al. <sup>1836</sup>     | 2007 | 2   | DBRCT        | Azelastine 0.28 mg QD<br>Azelastine 0.28 mg BID<br>Placebo              | TNSS                                 | Azelastine both doses superior to placebo   |
| Patel et al. <sup>1808</sup>     | 2007 | 2   | DBRCT        | Azelastine 0.56 mg QD<br>Mometasone furoate 0.2 mg spray QD<br>Placebo  | TNSS                                 | Azelastine superior to mometasone and placebo   |
| Patel et al. <sup>1809</sup>     | 2007 | 2   | DBRCT        | Olopatadine 2.66 mg QD<br>Mometasone furoate 0.2 mg spray QD<br>Placebo | TNSS<br>Patient satisfaction         | Olopatadine superior to placebo and mometasone in reducing symptoms; faster onset for olopatadine                       |
| Berger et al. <sup>1810</sup>    | 2006 | 2   | DBRCT        | Azelastine 0.56 mg BID<br>Cetirizine 10 mg tablet QD                    | TNSS<br>RQLQ                         | Azelastine superior for sneezing and nasal congestion; azelastine superior for QOL                                      |
| Hampel et al. <sup>1837</sup>    | 2006 | 2   | DBRCT        | Olopatadine 2.66 mg BID<br>Olopatadine 1.77 mg BID<br>Placebo           | Total symptom score<br>RQLQ          | Olopatadine (both doses) superior to placebo in majority of domains for QOL improvement                                 |
| Horak et al. <sup>1479</sup>     | 2006 | 2   | DBRCT        | Azelastine 0.4 mg QD<br>Desloratadine 5 mg tablet QD<br>Placebo spray   | TNSS                                 | Azelastine superior to desloratadine and placebo  |
| Corren et al. <sup>1811</sup>    | 2005 | 2   | DBRCT        | Azelastine 0.56 mg BID<br>Cetirizine 10 mg tablet QD                    | TNSS<br>RQLQ                         | Azelastine superior cetirizine for symptoms and QOL   |
| Meltzer et al. <sup>1838</sup>   | 2005 | 2   | DBRCT        | Olopatadine 2.66 mg BID<br>Olopatadine 1.77 mg BID<br>Placebo           | TNSS<br>RQLQ                         | Olopatadine (both doses) superior to placebo for symptoms and QOL improvement   |

(Continues)



TABLE XI.B.1.c (Continued)

| Study                                 | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------------|------|-----|--------------|---|--|---|
| Ratner et al. <sup>1839</sup>         | 2005 | 2   | DBRCT        | Olopatadine 2.66 mg<br>BID<br>Olopatadine 1.77 mg<br>BID<br>Placebo   | TNSS   | Olopatadine (both doses)<br>superior to placebo   |
| LaForce<br>et al. <sup>1812</sup>     | 2004 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Azelastine 0.56 mg<br>BID + fexofenadine<br>60 mg tablet BID<br>Placebo spray +<br>placebo tablet  | TNSS   | Azelastine superior to<br>placebo; no additional<br>benefit of adding oral<br>fexofenadine to<br>azelastine<br>monotherapy  |
| Berger et al. <sup>1813</sup>         | 2003 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Azelastine 0.56 mg<br>BID + loratadine<br>10 mg tablet<br>Desloratadine 5 mg<br>tablet + placebo<br>spray<br>Placebo spray +<br>placebo tablet | TNSS   | All treatments superior to<br>placebo; azelastine at<br>least as effective as<br>desloratadine; no<br>additional benefit of<br>adding oral loratadine<br>to azelastine<br>monotherapy |
| Saengpanich<br>et al. <sup>1840</sup> | 2002 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Placebo  | TNSS<br>Nasal lavage<br>Response to<br>methacholine<br>challenge | Azelastine superior to<br>placebo for symptoms;<br>no effect on nasal<br>eosinophils or<br>cytokines; azelastine<br>inhibits methacholine<br>response                                 |
| Falser et al. <sup>1848</sup>         | 2001 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Levocabastine 0.2 mg<br>spray BID  | 10-item symptom<br>score<br>Global assessment                    | Azelastine superior to<br>levocabastine   |
| Berlin et al. <sup>1814</sup>         | 2000 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Flunisolide 0.116 mg<br>spray BID<br>Placebo   | 9-item symptom score   | Flunisolide superior to<br>azelastine; both<br>treatments superior to<br>placebo  |
| Golden<br>et al. <sup>1841</sup>      | 2000 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Placebo  | RSS<br>ESS   | Azelastine superior to<br>placebo for improving<br>rhinorrhea and sleep<br>quality  |
| Berger et al. <sup>1815</sup>         | 1999 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Loratadine 10 mg<br>tablet QD +<br>beclomethasone<br>dipropionate<br>0.168 mg spray BID  | 5-item symptom score<br>Global evaluation                        | Azelastine at least as<br>effective as<br>combination therapy<br>with loratadine plus<br>beclomethasone spray   |
| Stern et al. <sup>1816</sup>          | 1998 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Budesonide 0.256 mg<br>spray QD<br>Placebo   | 3-item symptom score   | Budesonide superior to<br>azelastine; both<br>treatments superior to<br>placebo   |

(Continues)

TABLE XI.B.1.c (Continued)

| Study                               | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|-------------------------------------|------|-----|--------------|--|--|---|
| Herman et al. <sup>1842</sup>       | 1997 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Placebo   | TNSS   | Azelastine superior to placebo for children   |
| Newson-Smith et al. <sup>1843</sup> | 1997 | 2   | DBRCT        | Azelastine 0.56 mg<br>BID<br>Beclomethasone<br>0.2 mg spray BID<br>Placebo   | 6-item symptom score   | Beclomethasone superior to azelastine for long-term symptom improvement; both treatments superior to placebo; azelastine more rapid onset   |
| Weiler and Meltzer <sup>1844</sup>  | 1997 | 2   | DBRCT        | Azelastine 0.56 mg<br>spray BID +<br>azelastine 0.5 mg<br>tablet BID<br>Placebo spray +<br>azelastine 0.5 mg<br>tablet BID | 13-item symptom<br>score   | Azelastine spray showed limited benefit over placebo in patients already treated with systemic azelastine   |
| LaForce et al. <sup>1818</sup>      | 1996 | 2   | DBRCT        | Azelastine 0.56 mg<br>QD<br>Azelastine 0.56 mg<br>BID<br>Chlorpheniramine<br>12 mg tablet BID<br>Placebo                   | 8-item symptom score   | Azelastine superior to placebo at both doses; no comparison with chlorpheniramine   |
| Charpin et al. <sup>1819</sup>      | 1995 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Cetirizine 10 mg<br>tablet QD   | 8-item symptom score   | Azelastine superior for nasal stuffiness and rhinorrhea; no difference in other symptoms  |
| Pelucchi et al. <sup>1820</sup>     | 1995 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Beclomethasone<br>dipropionate 0.1 mg<br>spray BID<br>Placebo                                 | 8-item symptom score<br>Nasal lavage<br>Response to<br>methacholine<br>challenge | Azelastine superior to placebo and comparable to beclomethasone for symptom improvement; neither treatment prevented bronchial responsiveness; no effect of azelastine on eosinophils |
| Gastpar et al. <sup>1821</sup>      | 1994 | 2   | DBRCT        | Azelastine 0.28 mg<br>QD<br>Terfenadine 60 mg<br>tablet QD   | 13-item symptom<br>score   | Comparable symptom improvement  |
| Meltzer et al. <sup>1822</sup>      | 1994 | 2   | DBRCT        | Azelastine 0.28 mg<br>QD<br>Azelastine 0.28 mg<br>BID<br>Chlorpheniramine<br>12 mg tablet BID<br>Placebo                   | 11-item symptom<br>score   | Azelastine comparable to chlorpheniramine and superior to placebo at both doses   |

(Continues)

TABLE XI.B.1.c (Continued)

| Study                                | Year | LOE | Study design | Study groups   | Clinical endpoints                            | Conclusions   |
|--------------------------------------|------|-----|--------------|--|---|---|
| Passali and Piragine <sup>1823</sup> | 1994 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Cetirizine 10 mg<br>tablet QD                                 | 13-item symptom<br>score                      | Azelastine at least as<br>effective as cetirizine   |
| Ratner et al. <sup>1845</sup>        | 1994 | 2   | DBRCT        | Azelastine 0.28 mg<br>QD<br>Azelastine 0.28 mg<br>BID<br>Placebo                           | 8-item symptom score                          | Azelastine twice-daily<br>superior to placebo   |
| Davies et al. <sup>1824</sup>        | 1993 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Beclomethasone<br>dipropionate 0.1 mg<br>spray BID<br>Placebo | TNSS<br>Rhinomanometry                        | Azelastine superior to<br>beclomethasone and<br>placebo for symptoms;<br>no change in airway<br>resistance with either<br>treatment         |
| Dorow et al. <sup>1825</sup>         | 1993 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Budesonide 0.10 mg<br>spray BID<br>Placebo                    | 13-item symptom<br>score                      | Azelastine comparable to<br>budesonide for nasal<br>symptoms and superior<br>for ocular symptoms;<br>both treatments<br>superior to placebo |
| Gambar-della <sup>1826</sup>         | 1993 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Loratadine 10 mg<br>tablet QD                                 | 12-item symptom<br>score<br>Global assessment | Azelastine at least as<br>effective as loratadine   |
| Gastpar<br>et al. <sup>1827</sup>    | 1993 | 2   | DBRCT        | Azelastine 0.28 mg<br>BID<br>Budesonide 0.10 mg<br>spray BID                               | 10-item symptom<br>score<br>Nasal flow rate   | Azelastine at least as<br>effective as budesonide<br>for symptoms; flow rate<br>improved in both<br>treatment groups                        |

Abbreviations: BID, twice daily; CGTSQ-AR, Caregiver Treatment Satisfaction Questionnaire for Allergic Rhinitis; DBRCT, double-blind randomized controlled trial; ESS, Epworth Sleepiness Scale; LOE, level of evidence; mRQLQ, mini-Rhinoconjunctivitis Quality of Life Questionnaire; PNIF, peak nasal inspiratory flow; PRQLQ, Pediatric Rhinoconjunctivitis Quality of Life Questionnaire; QD, daily; QOL, quality of life; r, reflective; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SF-36, 36-item Short Form Survey; SGA, Subject Global Assessment; TNSS, Total Nasal Symptom Score; TOSS, Total Ocular Symptom Score.

Score (TOSS, five studies), the Caregiver Treatment Satisfaction Questionnaire (two studies), the Pediatric RQLQ (one study), the SF-36 (one study), the ESS (one study), the Rhinitis Severity Score (one study), and a Subjective Global Assessment (one study). Multiple studies, particularly those published more than 20 years ago, relied upon arbitrary, non-validated symptom scores for reporting treatment outcomes (19 studies). A minority of studies included objective measures such as nasal lavage (three studies), response to methacholine challenge (two studies), nasal flow rate (two studies), and rhinomanometry (one study).

The most frequent treatment duration was 14 days in the included studies, with a range from 2 days to 8 weeks. Study enrollment ranged from 20 to 1188 subjects. In the 29 studies using placebo as a compar-

ison group,<sup>1808,1809,1812–1814,1816,1818,1820,1822,1824,1825,1828–1845</sup> intranasal antihistamine showed superiority for the primary outcome of nasal symptom improvement. An active treatment comparator of a different medication was used in 24 studies.<sup>1479,1805–1827</sup> The intranasal antihistamine spray treatment group consistently had a more rapid onset of action than the treatment comparator, occurring as early as 15 min after administration, although this was not reported in all studies. Azelastine and olopatadine were directly compared in three studies, with no significant difference in symptom relief between agents.<sup>1833,1835,1847</sup> Azelastine was compared with an experimental formulation of intranasal levocabastine in two additional studies, with either comparable or superior results for azelastine.<sup>1846,1848</sup> Levocabastine is not available as a commercial product.

The active treatment comparators utilized in 24 studies consisted of an INCS or oral antihistamine. Twelve studies compared intranasal antihistamine with INCS, with the primary outcome of nasal symptom improvement favoring antihistamine in two studies,<sup>1808,1809</sup> INCS in three studies,<sup>1814,1816,1843</sup> and showing equivalency in seven studies.<sup>1805–1807,1820,1824,1825,1827</sup> Superiority of the antihistamine for treating ocular symptoms was found in two studies, one of which was nearly 30 years old.<sup>1806,1825</sup> The three studies showing superiority of INCS were over 20 years old and reported outcomes using heterogeneous non-validated symptom scores.

Intranasal antihistamine was compared to oral antihistamine monotherapy in eight studies, with superiority of intranasal antihistamine in three studies,<sup>1810,1811,1819</sup> and equivalency in five studies.<sup>1813,1821–1823,1826</sup> One study included a treatment arm with oral chlorpheniramine as a positive control without intent to compare efficacy with azelastine.<sup>1818</sup> Azelastine monotherapy was at least as effective as combination therapy in a single study comparing azelastine spray versus oral loratadine plus intranasal beclomethasone.<sup>1815</sup> Combination therapy with intranasal azelastine plus oral antihistamine was not found to confer additional benefit in two studies compared to intranasal azelastine monotherapy.<sup>1812,1813</sup> An overall dose–response relationship was found in 11 studies that included comparison of multiple dose concentrations of intranasal antihistamine.<sup>1818,1822,1830–1832,1835–1839,1845</sup>

Most of the included studies set a minimum enrollment age of 12 years or older. Three studies that included children aged between 6 and 12 years old found superiority of intranasal antihistamine to placebo in improving symptoms and QOL.<sup>1829,1830,1842</sup>

No study reported any serious adverse effects from use of an intranasal antihistamine. These formulations are noted to be generally well tolerated, with taste aversion being the most reported adverse effect. One study that compared a reformulated vehicle against the commercially available form of azelastine found no difference in taste aversion.<sup>1831</sup> Olopatadine was reported to have better sensory attributes than azelastine in one study.<sup>1847</sup> Other reported adverse effects were uncommon, with somnolence, headache, epistaxis, and nasal discomfort each occurring in less than 10% of patients treated with azelastine or olopatadine (Table II.C).

In 2021, the US FDA approved azelastine hydrochloride as an over-the-counter formulation, making intranasal antihistamines available for the first time without a prescription. This change may remove some financial barriers to patient use and improve access to this medication as a treatment option for AR.

## Intranasal antihistamines

**Aggregate grade of evidence:** A (Level 2: 44 studies; Table XI.B.1.c)

**Benefit:** Rapid onset; more effective for nasal congestion than oral antihistamines; more effective for ocular symptoms than INCS; consistent reduction in symptoms and improvement in QOL in RCTs compared to placebo.

**Harm:** Patient tolerance, typically related to taste aversion; less effective for congestion than INCS. See Table II.C.

**Cost:** Low to moderate financial burden; available as prescription or nonprescription product.

**Benefits-harm assessment:** Preponderance of benefit over harm. Intranasal antihistamine as monotherapy is consistently more effective than placebo. Most studies show intranasal antihistamines superior to INCS for sneezing, itching, rhinorrhea, and ocular symptoms. Adverse effects are minor and infrequent. Generic prescription and over-the-counter formulations now available.

**Value judgments:** Extensive high-level evidence comparing intranasal antihistamine monotherapy to active and placebo controls demonstrates overall effectiveness and safety.

**Policy level:** Strong recommendation.

**Intervention:** Intranasal antihistamines may be used as first- or second-line therapy in the treatment of AR.

## XI.B.2 | Corticosteroids

### XI.B.2.a | Oral corticosteroids

Early work using the nasal challenge model has elucidated the anti-inflammatory effects of oral corticosteroids in AR. Pipkorn et al.<sup>1849</sup> premedicated patients with seasonal AR with either prednisone or placebo for 2 days prior to an allergen challenge. When compared to placebo, patients receiving prednisone demonstrated a significant reduction in sneezing as well as reduced levels of histamine and other mediators of vascular permeability in nasal lavages during the late phase response. Active treatment also reduced the priming response to consecutive allergen challenges. In similar placebo-controlled studies, Bascom et al.<sup>1850,1851</sup> demonstrated a reduction in the influx of eosinophils and levels of eosinophil mediators (MBP and eosinophil derived neurotoxin) in nasal secretions during the late phase response in patients receiving 60 mg

oral prednisone for 2 days prior to nasal challenge (Table XI.B.2.a).

The efficacy of oral corticosteroids in seasonal clinical disease has also been demonstrated with less rigorous studies that did not include a placebo control. Schwartz et al.<sup>1852</sup> demonstrated that 15 days of cortisone (25 mg QID [four times daily]) during the ragweed season resulted in significant relief of symptoms in 21 of 25 patients. Schiller and Lowell<sup>1853</sup> showed that cortisone (100 mg daily) for 4 day courses during the pollen season resulted in rhinitis symptom relief in 42 of 51 patients. Twenty of those patients had a relapse of symptoms within 7 days of cessation of therapy.<sup>1853</sup> Oral hydrocortisone (40–80 mg daily) has been shown to reduce symptoms of ragweed allergies.<sup>1854</sup> In a placebo-controlled study performed during the ragweed season, Brooks et al.<sup>1855</sup> compared the efficacy of methylprednisolone (6, 12, or 24 mg PO [per os, by mouth] daily for 5 days) to placebo in controlling nasal symptoms. They reported a significant reduction in congestion, postnasal drainage, and ocular symptoms compared to placebo after 6 and 12 mg doses. The higher, 24 mg, dose was more effective and resulted in a significant reduction in all symptoms queried (congestion, runny nose, sneezing, itching, postnasal drainage, and ocular symptoms) compared to placebo. Snyman et al.<sup>1856</sup> performed a parallel, double blind study comparing betamethasone 1 mg alone to a combination of betamethasone and loratadine and loratadine alone in patients with severe AR. The group on oral steroids had a significant improvement from baseline in total nasal symptoms and was superior to loratadine alone.

Although effective, oral corticosteroids have well recognized systemic adverse events,<sup>152</sup> and therefore, their use has been largely replaced by intranasal preparations (Table II.C). In a double-blind, placebo-controlled trial conducted during the ragweed season, the effect of intranasal flunisolide and its oral dose bioequivalent (an oral dose that would lead to similar systemic levels) were compared.<sup>1857</sup> The intranasal preparation reduced rhinitis symptoms compared to placebo whereas the oral dosing did not, suggesting that INCS achieve their benefit primarily through local activity as opposed to systemic bioavailability.

Karaki et al.<sup>1858</sup> compared the efficacy of INCS to systemic steroids by performing an open label, parallel, randomized trial during the cedar pollen season in Japan. Patients were randomized to receive loratadine 10 mg daily alone, loratadine with intranasal mometasone furoate (200 µg once daily), or loratadine with oral betamethasone 0.25 mg twice daily for 1 week. Participants receiving any form of steroids demonstrated significantly reduced symptoms of sneezing, rhinorrhea, and nasal obstruction compared to loratadine alone, with no significant difference between the intranasal and oral preparations noted. The oral steroid was more effective than the INCS, however, in controlling allergic eye symptoms.

In summary, oral corticosteroids are effective for the treatment of AR. However, given the significant systemic adverse effects related to using these agents for prolonged periods of time, and the availability of effective and less systemically available intranasal preparations, oral corticosteroids are not recommended for the routine treatment of AR.

### Oral corticosteroids

**Aggregate grade of evidence:** B (Level 2: 6 studies, level 3: 1 study, level 4: 3 studies; Table XI.B.2.a)

**Benefit:** Oral corticosteroids can attenuate symptoms of AR and ongoing allergen induced inflammation.

**Harm:** Oral corticosteroids have multiple potential adverse effects, including hypothalamic-pituitary axis suppression. Prolonged use may lead to growth retardation in pediatric populations. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** The risks of oral corticosteroids outweigh the benefits, given similar symptomatic improvement observed with the use of safer INCS.

**Value judgments:** In the presence of effective symptom control using INCS, the risk of adverse effects from using oral corticosteroids for AR outweighs potential benefits.

**Policy level:** Strong recommendation against routine use.

**Intervention:** Although not recommended for routine use in AR, certain clinical scenarios may warrant the use of short courses of systemic corticosteroids, following a discussion of the risks and benefits with the patient. For example, oral steroids could be considered in select patients with significant nasal obstruction that precludes adequate penetration of intranasal agents (corticosteroids or antihistamines). In these cases, a short course of systemic corticosteroids may improve congestion and facilitate access of topical medications. No evidence supports this suggestion, and thus careful clinical judgement and risk discussion are advocated.

### XI.B.2.b | Intranasal corticosteroids

XI.B.2.b.i | *Traditional spray application.* INCS have potent anti-inflammatory properties and lead to a significant reduction in mediator and cytokine release along with a significant inhibition in the recruitment of inflammatory cells to nasal secretions and the nasal



**TABLE XI.B.2.a** Evidence table – oral corticosteroids for allergic rhinitis

| Study                           | Year | LOE | Study design  | Study groups  | Clinical endpoints  | Conclusions  |
|---------------------------------|------|-----|---|---|---|--|
| Snyman et al. <sup>1856</sup>   | 2004 | 2   | Parallel, double-blind, active controlled multicenter study | Patients with severe AR treated for 5–7 days ( <i>n</i> = 299):<br>Betamethasone 1.0 mg<br>Betamethasone 1.0 mg + loratadine 10 mg<br>Betamethasone 0.5 mg + loratadine 10 mg<br>Loratadine 10 mg | Total symptom scores<br>Nasal obstruction<br>Doctor and patient perception of improvement   | Regimens with oral steroids had significant improvement of total nasal symptoms better than loratadine alone           |
| Brooks et al. <sup>1855</sup>   | 1993 | 2   | Placebo-controlled, parallel group study                    | Patients with SAR during the season ( <i>n</i> = 31): methylprednisolone 6, 12, 24 mg QD x 5 days   | Symptom scores  | All doses more effective than placebo in reducing symptoms; highest dose was most effective                            |
| Bascom et al. <sup>1851</sup>   | 1989 | 2   | Placebo-controlled, crossover, nasal challenge study        | SAR out of season ( <i>n</i> = 13): prednisone 60 mg PO QD for 2 days   | Eosinophils, levels of MBP and EDN in nasal lavages   | Prednisone reduced the number of eosinophils and mediator levels after allergen challenge                              |
| Bascom et al. <sup>1850</sup>   | 1988 | 2   | Placebo-controlled, crossover, nasal challenge study        | SAR out of season ( <i>n</i> = 10): prednisone 60 mg PO daily for 2 days  | Neutrophils, eosinophils, and mononuclear cells in nasal lavages  | Prednisone reduced the influx of eosinophils into nasal secretions after allergen challenge                            |
| Pipkorn et al. <sup>1849</sup>  | 1987 | 2   | Placebo-controlled, crossover, nasal challenge study        | SAR out of season ( <i>n</i> = 13): prednisone 60 mg PO daily for 2 days  | Sneezes; levels of histamine, TAME-esterase, kinins, PGD <sub>2</sub> , LTC <sub>4</sub> /D <sub>4</sub> , albumin in nasal lavages | Prednisone inhibited the late phase response to nasal allergen challenge   |
| Kwaselow et al. <sup>1857</sup> | 1985 | 2   | Multicenter, randomized, double-blind, placebo-controlled   | Patients with SAR during season ( <i>n</i> = 99):<br>Oral flunisolide 500 µg BID<br>Intranasal flunisolide 50 µg per nostril BID x 4 weeks  | Symptom scores  | Intranasal preparation only one to show efficacy in reducing rhinitis symptoms   |
| Karaki et al. <sup>1858</sup>   | 2013 | 3   | Open label, parallel, randomized trial                      | Patients with SAR during season ( <i>n</i> = 72):<br>Loratadine 10 mg daily<br>Loratadine + intranasal MF 200 µg QD<br>Loratadine + PO betamethasone 0.25 mg BID                                  | Symptom scores  | Groups on steroids had lower symptoms compared to loratadine alone<br>No significant difference between steroid groups |

(Continues)

TABLE XI.B.2.a (Continued)

| Study                               | Year | LOE | Study design              | Study groups  | Clinical endpoints | Conclusions                            |
|-------------------------------------|------|-----|---------------------------|---|--------------------|--|
| Schwartz <sup>1854</sup>            | 1954 | 4   | Observational case series | Patients with SAR during season ( $n = 10$ ): hydrocortisone 40 to 80 mg QD | Symptom relief     | 7/10 patients reported symptom relief  |
| Schiller and Lowell <sup>1853</sup> | 1953 | 4   | Observational case series | Patients with SAR during season ( $n = 51$ ): cortisone 100 mg QD x 4 days  | Symptom relief     | 42/51 patients reported symptom relief |
| Schwartz et al. <sup>1852</sup>     | 1952 | 4   | Observational case series | Patients with SAR during season ( $n = 25$ ): cortisone 100 mg QD x 15 days | Symptom relief     | 21/25 patients reported symptom relief |

Abbreviations: AR, allergic rhinitis; BID, twice daily; EDN, eosinophil derived neurotoxin; LOE, level of evidence; LTC<sub>4</sub>/D<sub>4</sub>, leukotriene C<sub>4</sub>/D<sub>4</sub>; MBP, major basic protein; MF, mometasone furoate; PGD<sub>2</sub>, prostaglandin D<sub>2</sub>; PO, per os (by mouth); QD, daily; SAR, seasonal allergic rhinitis; TAME, N-a-p-tosyl-L-arginine methyl ester.

mucosa.<sup>255,496,1859–1861</sup> INCS also reduce the antigen-induced hyperresponsiveness of the nasal mucosa to subsequent challenge.<sup>255,1862,1863</sup>

Clinical trials in adults and children have demonstrated the effectiveness of INCS in the reduction of nasal symptoms in AR.<sup>1864–1866</sup> INCS also significantly improve patients' QOL<sup>1865,1867,1868</sup> and sleep.<sup>1053,1107,1108,1869,1870</sup> Onset of action starts at time points ranging from 3–5 h to 60 h after dosing.<sup>1871–1874</sup> Although the continuous daily use of INCS is overall superior,<sup>1875,1876</sup> studies have demonstrated the superiority of as needed use of intranasal fluticasone propionate over placebo<sup>1877,1878</sup> and one study showed equivalence of as needed to continuous dosing<sup>1879</sup> (Table XI.B.2.b.i-1).

INCS have beneficial effects on allergic eye symptoms,<sup>1880–1883</sup> secondary to a reduction in the naso-ocular reflex.<sup>1884</sup> This effect is not equal among preparations.<sup>1885</sup> Some, but not all, studies have suggested that INCS improve asthma control measures and asthma exacerbations<sup>1886–1888</sup> (Table XI.B.2.b.i-2).

In comparative studies there are no significant differences in efficacy between the available agents,<sup>1867</sup> and one study shows an advantage of using double dosing.<sup>1889</sup> INCS have shown superior efficacy to H<sub>1</sub> antihistamines in controlling nasal symptoms, including nasal congestion, with no significant difference in the relief of ocular symptoms.<sup>1890–1892</sup> However, for fast relief of nasal congestion (1 h after dosing) a combination of loratadine-pseudoephedrine was superior to intranasal fluticasone propionate.<sup>1488</sup> INCS are more effective than LTRAs<sup>1892–1894</sup> (Table XI.B.2.b.i-3).

Different preparations of INCS are comparable in efficacy, making sensory attributes an important factor in patient preference.<sup>1895</sup> These include aftertaste, nose runout, throat rundown, and odor; there are minor differences between preparations.<sup>1896</sup> Two intranasal non-

aqueous preparations with hydrofluoroalkane aerosols, beclomethasone dipropionate, and ciclesonide address some of these concerns.<sup>1097,1897–1901</sup>

The most common side effects of INCS are a result of local irritation and include dryness, burning, stinging, blood-tinged secretions, and epistaxis (Table II.C). The incidence of epistaxis with different preparations ranges 4%–8% over short treatment periods (2–12 weeks) with no differences between placebo and active therapy.<sup>1902,1903</sup> In studies carried over 1 year, epistaxis is as high as 20%.<sup>1904,1905</sup> Septal perforations are rare complications of INCS.<sup>82</sup> In a systematic review of biopsy studies in patients using INCS, none of the studies that evaluated atrophy of the nasal mucosa reported any atrophy with INCS.<sup>1906</sup> Studies in adults and children evaluating effects of INCS on the hypothalamic pituitary axis and adrenal insufficiency show no clinically relevant adverse effects.<sup>1905,1907–1919</sup> Although there exists a report of association between INCS use and development of posterior subcapsular cataracts,<sup>1920</sup> two systematic reviews of controlled trials did not demonstrate a clinically relevant impact of INCS on either ocular pressure, glaucoma, lens opacity, or cataract formation.<sup>1921,1922</sup> Therefore, it is reasonable to use these agents with caution in patients with increased intraocular pressure, glaucoma, or cataracts. The effect of INCS on growth in children has been investigated in controlled short-term (2–4 weeks) and long-term (12 months) studies. A meta-analysis of eight RCTs showed that in the short-term, mean growth was significantly lower among children using INCS compared to placebo in trials using knemometry ( $n = 4$ ), but that in the long-term, there was no significant growth difference in studies using stadiometry ( $n = 4$ ).<sup>1923</sup> The data suggest that INCS might have deleterious effects on short-term growth in children, but the heterogeneity of the results in the stadiometry studies (two studies show growth increase and

**TABLE XI.B.2.b.i.-1** Evidence table – intranasal corticosteroids (spray) for allergic rhinitis: clinical efficacy

| Study                              | Year | LOE | Study design                  | Study groups   | Clinical endpoints  | Conclusions   |
|------------------------------------|------|-----|-------------------------------|--|---|---|
| Rachelefsky et al. <sup>1868</sup> | 2013 | 1   | Systematic review             | 16 trials, children 2–18 years old with AR ( $n = 2290$ seasonal AR, $n = 800$ perennial AR)   | Controlled studies $\geq 2$ weeks<br>Measures assessing impairment and/or risk of comorbidities | INCS improved risk outcomes associated with asthma and OSA  |
| Rodrigo and Neffen <sup>1865</sup> | 2011 | 1   | SRMA                          | 16 trials, $n = 5348$ patients<br>FFNS versus placebo<br>Seasonal AR (7 studies), perennial AR (9 studies)<br>Adolescents and adults (13 studies, $\geq 12$ years old), pediatric patients (3 studies) | Primary: rTNSS, iTNSS, rTOSS, iTOSS<br>Secondary: QOL, adverse effects                          | FFNS significantly improved rTOSS, iTNSS, iTNSS versus placebo in patients with seasonal and perennial AR<br>FFNS led to greater improvements in QOL<br>FFNS had a favorable safety profile |
| Penagos et al. <sup>1864</sup>     | 2008 | 1   | Meta-analysis of DBRCTs       | 16 trials, $n = 2998$ patients with AR<br>MFNS, $n = 1534$<br>Placebo, $n = 1464$  | TNSS<br>Individual nasal symptoms<br>TNNSS  | MFNS significantly reduced TNSS, TNNSS, nasal stuffiness and congestion, rhinorrhea, sneezing, nasal itching  |
| Thongngarm et al. <sup>1879</sup>  | 2021 | 2   | RCT                           | Patients with perennial AR, $n = 108$ , 6-week trial<br>FFNS daily x1 week, then as needed<br>FFNS daily x6 weeks  | Primary: TNSS<br>Secondary: PNIF, RQLQ  | TNSS between the 2 groups not significant at week 6<br>FFNS-daily group had higher mean change in PNIF than FFNS-as-needed group at week 6<br>Both groups had similar improvement in RQLQ   |
| Urdaneta et al. <sup>1866</sup>    | 2019 | 2   | Post-hoc analysis of two RCTs | Patients with seasonal AR and moderate–severe nasal congestion, $n = 684$<br>MFNS versus placebo x15 days  | Change from baseline in morning and evening reflective nasal congestion scores                  | MFNS had significantly more patients who experienced $>30\%$ and $>50\%$ response in nasal congestion<br>In MFNS group, response greater during second week of treatment versus first       |
| Yamada et al. <sup>1053</sup>      | 2012 | 2   | DBRCT, crossover              | Patients with perennial AR, $n = 57$<br>MFNS versus placebo x14 days   | Nasal symptom scores<br>QOL<br>Sleep quality<br>ESS   | MFNS significantly improved nasal symptoms, QOL, sleep quality<br>Significant reduction of ESS observed in the MFNS group with high sleep disturbance                                       |

(Continues)

TABLE XI.B.2.b.i.-1 (Continued)

| Study                           | Year | LOE | Study design          | Study groups  | Clinical endpoints  | Conclusions   |
|---------------------------------|------|-----|-----------------------|---|---|---|
| Meltzer et al. <sup>1870</sup>  | 2010 | 2   | DBRCT, parallel group | Adults with moderate perennial AR & disturbed sleep, $n = 30$<br>MFNS 200 $\mu\text{g}$ daily versus placebo x4 weeks                               | Primary: AHI<br>Secondary: TNSS, nighttime symptom score, daytime PNIF, nighttime flow limitation index, RQLQ, ESS, WPAI-AS                             | AHI was not significantly different between groups<br>MFNS significantly improved morning & evening TNSS, nasal obstruction/blockage/congestion, daily PNIF, ESS, RQLQ, and two of five WPAI-AS domains |
| Kaiser et al. <sup>1873</sup>   | 2007 | 2   | DBRCT, parallel group | Patients $\geq 12$ years old with fall seasonal AR, $n = 299$<br>FFNS 110 $\mu\text{g}$ daily versus placebo  | Nasal and ocular symptoms<br>rTNSS, iTNSS, rTOSS  | FFNS produced significantly greater improvements in daily rTNSS and rTOSS, morning pre-dose iTNSS, and patient-rated overall response to therapy  |
| Craig et al. <sup>1869</sup>    | 2003 | 2   | DBRCT                 | Patients with perennial AR, $n = 32$<br>Fluticasone NS 100 $\mu\text{g}$ per nostril daily versus placebo   | Questionnaires, QOL instruments, daily diary, ESS, polysomnography  | Fluticasone improved subjective sleep versus placebo<br>No difference in the AHI in treated subjects  |
| Dykewicz et al. <sup>1878</sup> | 2003 | 2   | DBRCT                 | Patients $\geq 12$ years old with seasonal AR in the fall, $n = 241$<br>FPNS 200 $\mu\text{g}$ as needed x4 weeks                                   | TNSS  | FPNS group had significantly greater reduction in TNSS and individual symptoms  |
| Hughes et al. <sup>1107</sup>   | 2003 | 2   | DBRCT, crossover      | Patients with perennial AR, $n = 22$<br>Budesonide 128 $\mu\text{g}/\text{day}$ versus placebo x8 weeks   | ESS; Functional Outcomes of Sleep Questionnaire; RQLQ; diary of nasal symptoms, sleep problems, daytime fatigue   | Budesonide significantly improved daytime fatigue, somnolence, and quality of sleep versus placebo  |
| Fokkens et al. <sup>1872</sup>  | 2002 | 2   | DBRCT, parallel group | Patients 6–16 years old with perennial AR, $n = 202$<br>BANS 128 $\mu\text{g}$ daily versus placebo   | Daily PNIF, nasal symptom scores, overall evaluation of treatment efficacy<br>Subset of patients ( $n = 76$ ), QOL measured by validated questionnaires | BANS significantly more effective than placebo in improving PNIF, nasal symptoms, and overall evaluation of treatment efficacy<br>Onset within 12 h for symptoms and within 48 h for PNIF               |
| Day et al. <sup>1871</sup>      | 2000 | 2   | DBRCT, parallel group | Ragweed-sensitive subjects, $n = 217$<br>BANS (64 and 256 $\mu\text{g}$ ) versus placebo<br>Allergen challenge model in environmental exposure unit | Combined nasal score, individual nasal symptoms, overall evaluation of treatment efficacy reported by participants, PNIF                                | At 7–12 h, BANS better than placebo in reducing combined nasal and blocked nose symptoms<br>For PNIF, time to onset of action was shortest for BANS 256 $\mu\text{g}$                                   |

(Continues)

TABLE XI.B.2.b.i.-1 (Continued)

| Study                            | Year | LOE | Study design                  | Study groups  | Clinical endpoints  | Conclusions  |
|----------------------------------|------|-----|-------------------------------|---|---|--|
| Jen et al. <sup>1877</sup>       | 2000 | 2   | DBRCT parallel group          | Adults with seasonal AR to ragweed, $n = 52$<br>FPNS or placebo as-needed<br>Study conducted in season  | Nasal symptom score, QOL, number of eosinophils and level of eosinophilic cationic protein in nasal lavage                | Nasal symptom score reduced and QOL improved with FPNS versus placebo<br>Eosinophil number significantly lower with FPNS versus placebo at final visit   |
| Craig et al. <sup>1108</sup>     | 1998 | 2   | DBRCT                         | Patients with perennial AR treated with INCS versus placebo, $n = 20$   | Daily symptom diary focused on nasal symptoms, sleep, and daytime sleepiness  | Nasal congestion and subjective sleep improved significantly in INCS group   |
| Day and Carrillo <sup>1874</sup> | 1998 | 2   | DBRCT, parallel group         | Adults with perennial AR, $n = 273$<br>BANS<br>FPNS<br>Placebo<br>8–14 days (baseline), 6 weeks (treatment)   | Mean combined nasal symptom scores (nasal blockage, runny nose, and sneezing)   | BANS decreased nasal symptoms more than FPNS<br>Both treatments decreased nasal symptoms versus placebo<br>Adverse events were mild and transient  |
| Juniper et al. <sup>1875</sup>   | 1990 | 2   | DBRCT, parallel group         | Ragweed-sensitive adults, $n = 60$<br>Aqueous BDNS 200 $\mu\text{g}$ BID<br>Aqueous BDNS 100 $\mu\text{g}$ as needed, up to 400 $\mu\text{g}$ daily | Sneezing, stuffy nose, rhinorrhea, measured by a daily diary<br>QOL questionnaires<br>Rescue medication use (terfenadine) | Nasal symptoms, QOL, and rescue medication use significantly better in the regular-treated group versus to the as-needed group   |
| Herman <sup>1867</sup>           | 2007 | 3   | Review of RCTs                | 14 studies<br>Patients with seasonal and perennial AR<br>Treated with once-daily BANS, MFNS, FPNS, or TANS  | Different endpoints for different studies   | All four INCSS administered once daily were effective and well tolerated in adult patients<br>Similar efficacy and adverse event profiles<br>Based on sensory attributes, patients preferred BANS and TANS   |
| Juniper et al. <sup>1876</sup>   | 1993 | 3   | Unblinded RCT, parallel group | Adults with ragweed pollen-induced rhinitis, $n = 60$<br>BDNS 400 $\mu\text{g}$ daily<br>BDNS as-needed<br>Study performed in-season                | Daily symptoms and medication use<br>QOL<br>Patient satisfaction with symptom control                                     | 27% of patients in as-needed group reported unsatisfactory symptom control, worse QOL, increased medication use<br>No obvious predictors of unsatisfactory control identified<br>Patients who achieved satisfactory control in as-needed group had similar symptom and QOL scores to daily use group |

Abbreviations: AHI, apnea-hypopnea index; AR, allergic rhinitis; BANS, budesonide aqueous nasal spray; BDNS, beclomethasone dipropionate nasal spray; BID, twice daily; DBRCT, double-blind randomized controlled trial; ESS, Epworth Sleepiness Scale; FFNS, fluticasone furoate nasal spray; FPNS, fluticasone propionate nasal spray; i, instantaneous; INCS, intranasal corticosteroid; LOE, level of evidence; MFNS, mometasone furoate nasal spray; OSA, obstructive sleep apnea; PNIF, peak nasal inspiratory flow; QOL, quality of life; r, reflective; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SRMA, systematic review and meta-analysis; TANS, triamcinolone aqueous nasal spray; TNSS, Total Nasal Symptom Score; TNNSS, Total Non-Nasal Symptom Score; TOSS, Total Ocular Symptom Score; WPAI-AS, Work Productivity and Activity Impairment-Allergy Specific.



**TABLE XI.B.2.b.i.-2** Evidence table – intranasal corticosteroids (spray) for allergic rhinitis: effect on comorbidities (ocular symptoms and asthma)

| Study                             | Year | LOE | Study design                          | Study groups   | Clinical endpoints  | Conclusions   |
|-----------------------------------|------|-----|---------------------------------------|--|---|---|
| Bielory et al. <sup>1883</sup>    | 2020 | 1   | Meta-analysis of 8 RCTs               | Patients with seasonal AR ( $n = 1727$ ) treated for $\geq 2$ weeks:<br>TANS 220 $\mu\text{g}$ daily, $n = 859$<br>FPNS 200 $\mu\text{g}$ daily, $n = 327$<br>Placebo, $n = 541$ | Mean change in total or individual (tearing, redness, and itching) eye symptoms                             | Total eye symptom reduction greater with TANS than placebo<br>Significant reductions in tearing, but not itching or redness, observed with TANS versus placebo<br>No significant difference between TANS and FPNS for total ocular symptoms                                 |
| Lohia et al. <sup>1887</sup>      | 2013 | 1   | SRMA                                  | Patients with AR and asthma, 18 trials, $n = 2162$ patients  | Pulmonary function, bronchial reactivity, asthma symptom scores, asthma specific QOL, rescue medication use | INCS spray significantly improved FEV <sub>1</sub> , bronchial challenge, asthma symptom scores, morning/evening peak expiratory flow, and rescue medication use<br>No significant changes in asthma outcomes with addition of INCS spray to orally inhaled corticosteroids |
| Bielory et al. <sup>1881</sup>    | 2011 | 1   | Meta-analysis of 10 RCTs              | Patients with seasonal AR (6 studies) and perennial AR (4 studies), $n = 3132$<br>MFNS 200 $\mu\text{g}$ daily   | Severity of reflective ocular symptoms (itching/burning, redness, and tearing/watering)                     | Overall treatment effect was significant for all three individual ocular symptoms in the seasonal and perennial AR studies  |
| DeWester et al. <sup>1880</sup>   | 2003 | 1   | Pooled data from 7 multicenter DBRCTs | Each study evaluated the efficacy of FPNS 200 $\mu\text{g}$ daily in the treatment of nasal and ocular symptoms in patients with seasonal AR                                     | Clinician-rated TOSS (itching, tearing, redness, and puffiness) at 7 and 14 days of therapy                 | FPNS group had significantly greater mean change in the TOSS and all four individual symptom scores versus placebo at both time points  |
| Taramarcas et al. <sup>1886</sup> | 2003 | 1   | Meta-analysis of RCTs                 | Subjects with asthma and AR, 14 trials, $n = 477$<br>INCS versus placebo or traditional asthma treatments  | Asthma outcomes: symptoms, FEV <sub>1</sub> , peak expiratory flow, methacholine test                       | Meta-analysis for asthma outcomes failed to show a statistically significant benefit of INCS  |
| Ratner et al. <sup>1882</sup>     | 2015 | 2   | DBRCT                                 | Patients with seasonal AR, $n = 614$<br>FPNS 200 $\mu\text{g}$ x14 days<br>Placebo   | rTOSS   | FPNS more efficacious in reducing the ocular symptoms of AR versus placebo  |

(Continues)

TABLE XI.B.2.b.i.-2 (Continued)

| Study                          | Year | LOE | Study design            | Study groups  | Clinical endpoints   | Conclusions  |
|--------------------------------|------|-----|-------------------------|---|--|--|
| Baroody et al. <sup>1884</sup> | 2009 | 2   | DBRCT                   | Subjects with seasonal AR outside of their allergy season, $n = 20$ , underwent allergen challenge after 1 week of treatment<br>FFNS 110 $\mu\text{g}$ daily<br>Placebo | Nasal and ocular symptoms after allergen challenge                               | Pretreatment with FFNS significantly reduced eye symptoms following nasal allergen challenge   |
| Yu et al. <sup>1888</sup>      | 2019 | 3   | Population-based cohort | Patients ( $n = 10,708$ ; years 2000-2012) with asthma who had used asthma controller and followed for 1 year:<br>AR, $n = 5429$<br>No AR, $n = 5279$                   | Occurrence of asthma exacerbations<br>Medication use tracked in patients with AR | AR with INCS and/or antihistamine group (but not AR without treatment) was found to have a lower risk of asthma exacerbations than patients without AR<br>Use of INCS and/or antihistamines was associated with significant reduction in exacerbations among AR patients aged 2–6 and 7–18 years |

Abbreviations: AR, allergic rhinitis; DBRCT, double-blind randomized controlled trial; FEV<sub>1</sub>, forced expiratory volume in one second; FFNS, fluticasone furoate nasal spray; FPNS, fluticasone propionate nasal spray; INCS, intranasal corticosteroid; LOE, level of evidence; QOL, quality of life; r, reflective; RCT, randomized controlled trial; SRMA, systematic review and meta-analysis; TANS, triamcinolone acetone nasal spray; TOSS, Total Ocular Symptom Score.

two show growth decrease) makes the effects on long-term growth suppression unclear. It is therefore wise to check growth periodically in children on long-term INCS (Table XI.B.2.b.i.-4).

### Intranasal corticosteroid spray

**Aggregate grade of evidence:** A (Level 1: 18 studies, level 2: 29 studies, level 3: 3 studies; Tables XI.B.2.b.i.-1, XI.B.2.b.i.-2, XI.B.2.b.i.-3, and XI.B.2.b.i.-4)

**Benefit:** INCS are effective in reducing nasal and ocular symptoms of AR. Studies have demonstrated superior efficacy compared to oral antihistamines and LTRAs.

**Harm:** INCS sprays have undesirable local adverse effects, such as epistaxis, with increased frequency compared to placebo in prolonged administration studies. There are no apparent negative effects on the hypothalamic-pituitary axis. There might be some negative effects on short-term growth in children, but it is unclear whether these effects translate into long-term growth suppression. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** The benefits of using INCS outweigh the risks when used to treat seasonal or perennial AR.

**Value judgments:** INCS are first line therapy for the treatment of AR by virtue of their superior efficacy in controlling nasal symptoms. Subjects with seasonal AR should start prophylactic treatment with INCS several days before the pollen season with an evaluation of the patient's response a few weeks after initiation, including a nasal exam to evaluate for local irritation or mechanical trauma. Children receiving INCS should be on the lowest effective dose to avoid negative growth effects.

**Policy level:** Strong recommendation.

**Intervention:** The demonstrated efficacy of INCS, as well as their superiority over other agents, make them first line therapy in the treatment of AR.

XI.B.2.b.ii | *Non-traditional application.* INCS are typically administered with metered devices for AR. Alternate routes of delivery (irrigation and nebulization) have been studied. Periasamy et al.<sup>1924</sup> conducted a prospective, single center double-blind RCT in 52 patients with AR.

**TABLE XI.B.2.b.i.-3** Evidence table – intranasal corticosteroids (spray) for allergic rhinitis: comparison to other agents

| Study                                      | Year | LOE | Study design          | Study groups   | Clinical endpoints  | Conclusions   |
|--|------|-----|-----------------------|--|---|---|
| Khattiyawit-tayakun et al. <sup>1889</sup> | 2019 | 1   | SRMA                  | 12 studies, <i>n</i> = 4166<br>5 pediatric studies, <i>n</i> = 1868<br>5 adult studies, <i>n</i> = 1414<br>2 studies with mixed populations, <i>n</i> = 884<br>Double- versus standard-dose INCS | TNSS<br>TOSS<br>Adverse events  | Adults: TNSS and TOSS scores favored double-dose INCS<br>Pediatric: TNSS, no difference; TOSS, insufficient data for analysis   |
| Benninger et al. <sup>1892</sup>           | 2010 | 1   | SR of RCTs            | 38 studies of seasonal AR, <i>n</i> = 11,980 adults and 946 children<br>12 studies of perennial AR, <i>n</i> = 3800 adults and 366 children<br>US medications for AR                             | TNSS  | INCS produce the greatest improvements in nasal symptoms in patients with seasonal AR<br>INCS effective for perennial AR, but the data were of variable quality; oral antihistamines may be equally effective for some patients                 |
| Wilson et al. <sup>1893</sup>              | 2004 | 1   | SRMA                  | 11 studies on seasonal AR<br>8 evaluating LTRA alone or with other treatments versus placebo or other treatments, <i>n</i> = 3924<br>3 evaluating LTRA plus antihistamine, <i>n</i> = 80         | Composite daily rhinitis symptom scores<br>Rhinitis-specific QOL  | LTRAs modestly better than placebo, and as effective as antihistamines<br>LTRAs less effective than INCS for symptoms and QOL in patients with seasonal AR  |
| Yanez and Rodrigo <sup>1891</sup>          | 2002 | 1   | SR of RCTs            | 9 studies, AR patients, <i>n</i> = 648<br>INCS versus topical antihistamines   | Total nasal symptoms, sneezing, rhinorrhea, itching, nasal blockage   | INCS produced greater relief of nasal symptoms versus topical antihistamines<br>No difference in relief of the ocular symptoms  |
| Weiner et al. <sup>1890</sup>              | 1998 | 1   | Meta-analysis of RCTs | 16 trials, subjects with AR, <i>n</i> = 2267<br>INCS versus oral antihistamines  | Nasal blockage, nasal discharge, sneezing, nasal itch, postnasal drip, nasal discomfort, total nasal symptoms, nasal resistance, eye symptoms, global ratings | INCS had greater relief than oral antihistamines in nasal blockage, discharge, sneezing, nasal itch, postnasal drip, total nasal symptoms<br>No significant differences between treatments for nasal discomfort, nasal resistance, eye symptoms |

(Continues)

TABLE XI.B.2.b.i.-3 (Continued)

| Study                             | Year | LOE | Study design                                       | Study groups   | Clinical endpoints                                       | Conclusions  |
|-----------------------------------|------|-----|--|--|--|--|
| Ng et al. <sup>1488</sup>         | 2021 | 2   | DBRCT, crossover                                   | Patients with ragweed AR challenged in environmental exposure chamber<br>Randomized to receive one of four treatment sequences (loratadine 5 mg-pseudoephedrine 120 mg [LP] tablet, placebo tablet, FPNS 2 sprays in each nostril, placebo spray), <i>n</i> = 82 | Percent change in PNIF from baseline to 4 h after dosing | Average change in PNIF was 31% with LP, significantly greater than with placebo and FPNS (12% and 15%, respectively) |
| Bhattachan et al. <sup>1894</sup> | 2020 | 2   | Prospective, randomized, parallel, cross-sectional | Patients with AR treated for 1 month, <i>n</i> = 126<br>MFNS<br>Oral montelukast   | TNSS   | Significant reduction of TNSS versus baseline in both groups<br>MFNS significantly more effective than montelukast   |

Abbreviations: AR, allergic rhinitis; DBRCT, double-blind randomized controlled trial; FPNS, fluticasone propionate nasal spray; INCS, intranasal corticosteroid; LOE, level of evidence; LP, loratadine-pseudoephedrine; LTRA, leukotriene receptor antagonist; MFNS, mometasone furoate nasal spray; PNIF, peak nasal inspiratory flow; RCT, randomized controlled trial; SR, systematic review; SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score; TOSS, Total Ocular Symptom Score; US, United States.

Patients received buffered hypertonic saline nasal irrigation (60 ml each nostril twice daily) with either a placebo or a budesonide respule (0.5 mg/2 ml) for 4 weeks. Patients were assessed using the SNOT-22 questionnaire, visual analog scale (VAS) for sneezing, nasal obstruction, itching, and nasal discharge, and nasal endoscopy findings. SNOT-22, VAS, and endoscopy score improved from baseline in both groups. The group on budesonide had significantly more improvement than the saline only group in SNOT-22 and VAS but not endoscopy scores. Study results suggest a beneficial effect of saline irrigations on AR symptoms that is enhanced when steroids are added (Table XI.B.2.b.ii).

Brown et al.<sup>1925</sup> investigated the effect of budesonide administered by nebulization in patients with perennial AR. Patients received either budesonide (0.25 mg) or placebo (saline) delivered by nebulization once daily for 4 weeks. The patients on budesonide had significant increases in PNIF, decreases in symptoms and improvement in QOL compared to baseline but the changes were not significantly different from placebo.

Some studies evaluated the effect of corticosteroids in patients with both asthma and AR. Profita et al.<sup>1926</sup> randomized children with rhinitis and asthma to either nebulized beclomethasone (administered via face mask breathing through mouth and nose) or placebo twice daily for 4 weeks. Compared to baseline, concentrations of nasal IL-5 were significantly decreased, and nasal

pH levels were significantly increased after beclomethasone treatment. Nasal symptom scores showed a significant reduction in obstruction, sneezing, and rhinorrhea after treatment with beclomethasone dipropionate, but no change after placebo. When the data were compared between beclomethasone and placebo groups, there were significant differences in favor of beclomethasone in nasal IL-5 and pH but not symptom scores. The significance of nasal pH increase is not clear but could lead to better mucociliary function.<sup>1927</sup> Active treatment did improve FEV<sub>1</sub> and asthma symptoms. In a similar study, Camargos et al.<sup>1928</sup> randomized patients with AR and asthma to either fluticasone propionate hydrofluoroalkane (FP-HFA) (100–150 µg) inhaled through the nose (mouth closed) using a large volume spacer attached to a face mask or a nasal spray of isotonic saline plus oral inhalation of FP-HFA through a mouthpiece attached to the same spacer. After 8 weeks of treatment, there was a significant improvement in AR scores and nasal peak flow in the group who received FP-HFA through the nose compared to the group who received FP by mouth inhalation. There was a significant reduction in asthma scores and increase in FEV<sub>1</sub> values in both groups. Shaikh<sup>1929</sup> performed an open, parallel crossover trial in patients with asthma and rhinitis and compared budesonide administered inhaled/intranasal to budesonide inhaler alone, exhaled through the nose. When exhaled through the nose,

**TABLE XI.B.2.b.i.-4** Evidence table – intranasal corticosteroids (spray) for allergic rhinitis: side effects and adverse events

| Study                             | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------|--|--|---|
| Sampieri et al. <sup>1919</sup>   | 2022 | 1   | SRMA         | 39 trials, <i>n</i> = 1678, years of 1946–2020<br>1st and 2nd generation INCS effect on adrenal insufficiency<br>Length of use: short (<1 month), medium (1–2 months), long (>12 months)   | AI (morning serum cortisol<br><550nmol/L and <80nmol/L, with and without adrenocorticotrophic hormone stimulation) | Pooled AI 0.70%<br>Short-term use: 0.48%<br>Medium-term use: 1.13%<br>Long-term use: 1.67%  |
| Valenzuela et al. <sup>1922</sup> | 2019 | 1   | SRMA         | 10 studies for qualitative synthesis, 4 studies for meta-analysis, <i>n</i> = 2226, years of 1947–2018<br>INCS versus placebo for rhinitis and their effect on IOP, cataracts, or glaucoma | Increased IOP above 20 mm Hg, or formation of posterior subcapsular cataracts                                      | RR of elevated IOP with INCS was 2.24 versus placebo, nonsignificant increase<br>Absolute increased incidence of elevated IOP for INCS was 0.8%<br>No cases of glaucoma in placebo or INCS at 12 months<br>Absolute increased incidence of developing posterior subcapsular cataract was 0.02%, nonsignificant increase |
| Ahmadi et al. <sup>1921</sup>     | 2015 | 1   | SR           | 19 studies (10 RCTs, 1 case–control, 8 case series), years of 1974–2013  | IOP, lens opacity, glaucoma, or cataract incidence   | In studies that reported data on glaucoma, IOP, cataracts, or lens opacity, none demonstrated changes versus control  |
| Mener et al. <sup>1923</sup>      | 2015 | 1   | SR of RCTs   | 8 studies, <i>n</i> = 755, years of 1988–2013<br>Knemometry, <i>n</i> = 342<br>Stadiometry, <i>n</i> = 413<br>INCS for AR in children 3–12 years old                                       | Interval change in growth  | Knemometry: mean growth significantly lower among children using INCS versus placebo<br>Stadiometry: no significant growth difference in INCS versus placebo  |
| Verkerk et al. <sup>1906</sup>    | 2015 | 1   | SR           | 34 studies (11 RCTs, 5 cohort, 20 case series), years of 1946–2013<br>21 studies of rhinitis patients<br>13 studies of CRS patients<br>INCS with or without control group                  | Histopathology assessment  | No histological evidence for deleterious effects of INCS on human nasal mucosa<br>Significant reduction in odds of developing squamous metaplasia with INCS   |

(Continues)



TABLE XI.B.2.b.i.-4 (Continued)

| Study                            | Year | LOE | Study design                 | Study groups  | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|------------------------------|---|--|--|
| Hampel et al. <sup>1918</sup>    | 2015 | 2   | DBRCT                        | Patients with perennial AR (6–11 years old) treated for 6 weeks:<br>BDP nasal aerosol 80 µg/day, n = 67<br>Placebo, n = 32    | Change from baseline in 24-h serum cortisol  | No decrease in serum cortisol from baseline in either group<br>Serum cortisol concentration–time profiles similar for placebo and BDP groups at baseline and week 6                              |
| Meltzer et al. <sup>1903</sup>   | 2009 | 2   | Sub-analysis of three DBRCTs | Children (6–11 years old) with AR, n = 948<br>Once-daily treatment with either FFNS 55 µg, FFNS 110 µg, or placebo            | Adverse event monitoring, nasal examinations, ophthalmic examinations, 24-h urine cortisol, serum cortisol | Epistaxis 4% in active and placebo groups<br>No difference between groups for IOP<br>No posterior subcapsular cataracts<br>No difference in HPA measures between groups                          |
| Ratner et al. <sup>1905</sup>    | 2009 | 2   | RCT                          | Children (6–11 years old) with perennial AR treated for 12 months, n = 255<br>MFNS 100 µg daily<br>BDPNS 168 µg daily         | Symptom control and safety   | Appropriate symptom control in both groups<br>Incidence of epistaxis was 12.7% with MFNS and 9.4% for BDPNS  |
| Tripathy et al. <sup>1917</sup>  | 2009 | 2   | DBRCT, parallel group        | Children (2–11 years old) with perennial AR treated for 6 weeks, n = 112<br>FFNS 110 µg daily<br>Placebo                      | 24-h serum and urine cortisol  | FFNS non-inferior to placebo for 24-h serum cortisol change from baseline<br>24-h urine cortisol excretion similar between groups  |
| Weinstein et al. <sup>1916</sup> | 2009 | 2   | DBRCT, parallel group        | Children (2–5 years old) with perennial AR treated for 4 weeks, n = 474<br>TANS 110 µg daily<br>Placebo                       | Adverse events, morning serum cortisol, growth via stadiometry   | Adverse events comparable between treatment groups<br>No significant change from baseline in stimulated serum cortisol<br>Distribution of children by stature-for-age percentile remained stable |
| Maspero et al. <sup>1902</sup>   | 2008 | 2   | DBRCT                        | Children (2–11 years old) with perennial AR treated for 12 weeks, n = 558<br>FFNS 110 µg daily<br>FFNS 55 µg daily<br>Placebo | Nasal symptom scores<br>Nasal and ophthalmic examinations, HPA assessments                                 | Epistaxis 6% in all groups<br>No significant ophthalmic or HPA related side effects in the treated subjects<br>FFNS 55 µg reduced nasal symptoms significantly versus placebo                    |

(Continues)

TABLE XI.B.2.b.i.-4 (Continued)

| Study                             | Year | LOE | Study design                     | Study groups  | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|----------------------------------|---|--|---|
| Patel et al. <sup>1915</sup>      | 2008 | 2   | DBRCT, parallel group            | Patients (12–65 years old) with perennial AR, <i>n</i> = 112<br>FFNS 110 µg daily for 6 weeks<br>Prednisone 10 mg daily for last 7 days of study<br>Placebo | Change in 24-h serum cortisol and 24-h urine free and total cortisol, 6-beta hydroxycortisol excretion, plasma concentration of FF | FFNS noninferior to placebo for serum cortisol; prednisone significantly reduced ratio from baseline<br>Change from baseline in 24-h urinary cortisol excretion similar in FFNS and placebo groups<br>Plasma levels of FF undetectable after 6 weeks of treatment |
| Chervinsky et al. <sup>1914</sup> | 2007 | 2   | DBRCT                            | Patients (≥12 years old) with perennial AR treated up to 52 weeks, <i>n</i> = 663<br>Ciclesonide 200 µg daily<br>Placebo                                    | Adverse events and exam findings, 24-h urine free cortisol, morning plasma cortisol, IOP, lens opacification                       | No clinically relevant differences between ciclesonide and placebo groups   |
| Kim et al. <sup>1913</sup>        | 2007 | 2   | Two phase 3 RCTs, parallel group | Children (2–5 years old) with perennial AR treated for 6 or 12 weeks<br>Ciclesonide 200 µg daily  | Cortisol levels<br>Systemic exposure of ciclesonide and its active metabolite, des-CIC, examined at end of 6-week study            | Changes in plasma or urine cortisol levels with ciclesonide were not significantly different from placebo<br>Serum concentrations of ciclesonide and des-CIC were below the lower limit of quantification in many samples   |
| Rosenblut et al. <sup>1904</sup>  | 2007 | 2   | DBRCT, parallel group            | Patients with perennial AR treated for 12 months, <i>n</i> = 806<br>FFNS 110 µg<br>Placebo  | Adverse events, 24-h urine cortisol, nasal and ophthalmic examinations, electrocardiograms, clinical laboratory tests              | Incidence of adverse events similar to placebo, except epistaxis (active treatment 20%)<br>No clinically meaningful differences in ophthalmic parameters and 24-h urine cortisol excretion  |
| Galant et al. <sup>1912</sup>     | 2003 | 2   | DBRCT                            | Children (2–3 years old) with AR treated for 6 weeks, <i>n</i> = 65<br>FPNS 200 µg daily<br>Placebo   | 12-h creatinine-corrected urine free cortisol  | No significant difference between FPNS and placebo  |

Abbreviations: AI, adrenal insufficiency; AR, allergic rhinitis; BDPNS, beclomethasone dipropionate nasal spray; CRS, chronic rhinosinusitis; DBRCT, double-blind randomized controlled trial; FF, fluticasone furoate; FFNS, fluticasone furoate nasal spray; FPNS, fluticasone propionate; HPA, hypothalamic-pituitary axis; INCS, intranasal corticosteroids; IOP, intraocular pressure; LOE, level of evidence; MFNS, mometasone furoate nasal spray; RCT, randomized controlled trial; RR, relative risk; SR, systematic review; SRMA, systematic review and meta-analysis; TANS, triamcinolone acetonide nasal spray.

**TABLE XI.B.2.b.ii** Evidence table – intranasal corticosteroids (non-traditional application) for allergic rhinitis

| Study                            | Year | LOE | Study design                | Study groups  | Clinical endpoints  | Conclusions   |
|----------------------------------|------|-----|-----------------------------|---|---|---|
| Periasamy et al. <sup>1924</sup> | 2020 | 2   | DBRCT, single center        | Patients with AR ( $n = 52$ ) treated with BID irrigations for 4 weeks:<br>Hypertonic saline nasal irrigation (60 ml/nostril)<br>Hypertonic saline nasal irrigation (60 ml/nostril) with budesonide (0.5 mg/2 ml)   | SNOT-22<br>VAS: sneezing, nasal obstruction, itching, discharge<br>Nasal endoscopy              | SNOT-22, VAS, endoscopy improved from baseline in both groups<br>Budesonide group improved significantly over saline only group in SNOT-22 and VAS  |
| Brown et al. <sup>1925</sup>     | 2014 | 2   | DBRCT, parallel pilot study | Patients with perennial AR ( $n = 40$ ) treated with NasoNeb daily for 26 days:<br>Budesonide (0.25 mg)<br>Placebo (saline)   | rTNSS<br>PNIF<br>RQLQ<br>Acoustic rhinometry  | Improvement in TNSS and PNIF greater for budesonide group but did not reach significance<br>RQLQ improved in both groups, no significant difference between groups<br>Acoustic rhinometry showed no significant difference between groups |
| Profita et al. <sup>1926</sup>   | 2013 | 2   | DBRCT                       | Children with grass AR/asthma ( $n = 40$ ):<br>Nebulized BDP (400 $\mu$ g BID)<br>Placebo<br>*Treatment for 4 weeks after a 2-week run-in<br>*Inhalation via nose and mouth   | Nasal and oral FeNO<br>PFTs<br>Nasal and oral pH and IL-5<br>Nasal and bronchial symptom scores | Nasal IL-5 significantly reduced & nasal pH significantly increased with BDP<br>Reduction in nasal obstruction, sneezing, rhinorrhea with BDP, no change with placebo, no significant difference between groups                           |
| Camargos et al. <sup>1928</sup>  | 2007 | 2   | RCT                         | Patients with AR/asthma ( $n = 60$ , 6–18 years old) treated BID $\times 8$ weeks:<br>FP-HFA (100–150 $\mu$ g) inhaled through the nose (mouth closed) using large volume spacer attached to face mask<br>Nasal spray isotonic saline plus oral inhalation of FP-HFA through a mouthpiece attached to the same spacer | AR scores<br>Asthma scores<br>PNIF<br>FEV <sub>1</sub>  | Significant improvement in AR scores and PNIF in the nasal FP-HFA group<br>Significant reduction in asthma scores and increase in FEV <sub>1</sub> in both groups   |

(Continues)

TABLE XI.B.2.b.ii (Continued)

| Study                  | Year | LOE | Study design                           | Study groups   | Clinical endpoints                                  | Conclusions  |
|------------------------|------|-----|--|--|---|--|
| Shaikh <sup>1929</sup> | 1999 | 3   | Open, parallel, comparative, crossover | Patients with perennial AR/asthma ( $n = 49$ ):<br>Budesonide MDI + budesonide nasal spray<br>Budesonide inhaler alone, with instructions to exhale through the nose | Symptom scores<br>PNIF<br>Medication dose reduction | Budesonide inhaler exhaled through the nose resulted in improved symptoms and PNIF; these were significantly less than the group using budesonide nasal spray and MDI<br>Exhaling budesonide through the nose resulted in a 40.1% reduction of dose requirement for budesonide nasal spray ( $p < 0.001$ ) |

Abbreviations: AR, allergic rhinitis; BDP, beclomethasone dipropionate; BID, twice daily; DBRCT, double-blind randomized controlled trial; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; FP-HFA, fluticasone propionate hydrofluoroalkane; IL, interleukin; LOE, level of evidence; MDI, metered dose inhaler; PFT, pulmonary function test; PNIF, peak nasal inspiratory flow; r, reflective; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SNOT-22, Sinonasal Outcome Test (22 item); TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

budesonide resulted in an improvement in nasal symptoms and nasal flow to a lesser extent than using intranasal budesonide but allowed for a significant reduction in the dose of intranasal budesonide required to improve nasal symptoms.

INCS are also used in drop form, usually for treatment of nasal polyps. In a few cases where they were used for AR, there was systemic absorption leading to unfavorable side effects such as growth inhibition and adrenal suppression<sup>1930</sup> or iatrogenic Cushing syndrome.<sup>1931</sup> In a study comparing fluticasone propionate administered as nasal drops or aqueous spray, the drops had eight times more systemic bioavailability than the spray.<sup>1932</sup>

### Intranasal corticosteroid, non-traditional application

**Aggregate grade of evidence:** B (Level 2: 4 studies, level 3: 1 study; Table XI.B.2.b.ii). Some studies noted in the text were not performed in patients with AR or were case reports so are not summarized in the table.

**Benefit:** Nebulized steroids or those used via irrigation show some benefit in the treatment of AR in limited studies. Furthermore, steroids inhaled or exhaled through the nose in patients with asthma and rhinitis also show some benefit for rhinitis. Nasal steroid drops are not approved for treatment of rhinitis but are used in certain countries.

**Harm:** Nasal steroid drops have significant systemic side effects.

**Cost:** Low.

**Benefits-harm assessment:** The risks of using corticosteroid nasal drops for AR outweigh the benefits. Limited evidence suggests that nasal steroid irrigations for rhinitis lead to significant improvement of symptoms. Scarce evidence does not support routine recommendation for this route of therapy.

**Value judgments:** In the presence of effective symptom control using traditional spray administration for INCS, there is no solid data to support other routes of administration.

**Policy level:** Recommendation against routine use.

**Intervention:** There is some evidence that inhaled steroids, when exhaled through the nose might improve AR symptoms. Similar benefit is seen when steroids are inhaled by first passing through the nose. These routes might be useful in patients with both rhinitis and asthma.

### XI.B.2.c | Injectable corticosteroids

Corticosteroids have been injected intramuscularly or into the turbinates for management of AR. Several early studies demonstrated significant improvement in subjective allergy symptoms after intramuscular corticosteroid injections. Four of these studies were single center RCTs with a placebo arm and modest numbers of participants<sup>1933–1936</sup> (Table XI.B.2.c).

**TABLE XI.B.2.c** Evidence table – injectable corticosteroids for allergic rhinitis

| Study                          | Year | LOE | Study design                                   | Study groups   | Clinical endpoints  | Conclusions   |
|--------------------------------|------|-----|--|--|---|---|
| Bayoumy et al. <sup>1943</sup> | 2021 | 1   | SR   | 10 RCTs of IM corticosteroid use in SAR:<br>IM corticosteroids, <i>n</i> = 387<br>Non-IM corticosteroids, <i>n</i> = 44<br>Placebo, <i>n</i> = 77  | Improvement of symptoms and/or patient satisfaction   | 6 studies showed superiority of IM corticosteroids versus placebo or other therapies<br>4 studies showed equal efficacy outcomes versus controls<br>SR judged inconclusive because of the epidemiological high risk of bias and older studies             |
| Yang et al. <sup>1951</sup>    | 2008 | 2   | Randomized, placebo-controlled single-blind    | Patients with perennial AR ( <i>n</i> = 39) received intraturbinate injections:<br>Botox A (25 units each turbinate)<br>Triamcinolone (20 mg each turbinate)<br>Isotonic saline (1 cc each turbinate)                          | Symptoms of rhinorrhea, nasal obstruction, sneezing, itching at 1, 4, 8, 12, 16 and 20 weeks              | Botox improved nasal symptoms for the longest time post-injection<br>Steroid injection was better than placebo but duration of action was shorter than Botox  |
| Laursen et al. <sup>1936</sup> | 1988 | 2   | Double-blind, double-dummy, placebo-controlled | Patients with SAR during season ( <i>n</i> = 30):<br>Intranasal beclomethasone dipropionate (400 µg daily x4 weeks)<br>IM injection of 2 ml betamethasone dipropionate/betamethasone disodium phosphate at beginning of season | Symptom scores (nasal blockage, rhinorrhea, sneezing, nasal itching, eye itching)                         | Depot injection was significantly more effective than placebo and intranasal preparation  |
| Pichler et al. <sup>1942</sup> | 1988 | 2   | Double-blind, comparative                      | Patients with SAR ( <i>n</i> = 30) treated x3 weeks:<br>Budesonide nasal spray (400 µg/day)<br>Methylprednisolone acetate IM 80 mg   | Daily symptom scores (sneezing, nasal blockage, runny nose, itchy nose, red eyes, runny eyes, itchy eyes) | Methylprednisolone was as effective as budesonide in controlling symptoms and decreasing rescue medications<br>Methylprednisolone-treated patients had a significantly lower cortisol value after 7 days but retained normal response to ACTH-stimulation |
| Borum et al. <sup>1934</sup>   | 1987 | 2   | Double-blind, placebo-controlled, parallel     | Patients with SAR during 2 consecutive allergy seasons ( <i>n</i> = 24), received injections each season:<br>Methylprednisolone IM 80 mg<br>Placebo  | Sneezing and nose blowing during the day<br>Reflective symptom scores at end of day                       | Marked beneficial effect of active treatment on nasal blockage lasting >4 weeks, moderate effect on eye symptoms<br>Effect obtained irrespective of timing of therapy<br>Best to administer as soon as symptoms start during the season                   |

(Continues)



TABLE XI.B.2.c (Continued)

| Study                                 | Year | LOE | Study design                                  | Study groups  | Clinical endpoints  | Conclusions   |
|---------------------------------------|------|-----|---|---|---|---|
| Laursen et al. <sup>1941</sup>        | 1987 | 2   | Randomized, double-blind comparative          | Patients with SAR during season ( $n = 37$ ):<br>Oral prednisolone 7.5 mg PO daily x3 weeks<br>Single IM injection of 2 ml betamethasone dipropionate/betamethasone disodium phosphate at start beginning of season   | PNIF<br>Symptom scores (nasal blockage, nasal running, sneezing, nasal itching, eye symptoms)<br>ACTH at 3 weeks            | Both treatments significantly reduced nasal and ocular symptoms compared to baseline, with no significant differences between groups<br>Significant suppression of adrenal function with oral steroid treatment                                     |
| Ohlander et al. <sup>1938</sup>       | 1980 | 2   | Prospective, randomized, parallel group       | Patients with SAR during season ( $n = 60$ ) received one of three long-acting injections:<br>Betamethasone dipropionate (5 mg)<br>Betamethasone disodium phosphate-acetate (3–3 mg)<br>Methylprednisolone acetate (4 mg)   | Symptom scores (rhinorrhea, congestion, ocular symptoms) at 1, 2, 4 weeks<br>Cortisol and glucose blood levels ( $n = 38$ ) | All treatments led to significant reductions in nose and eye symptoms during season, no difference between groups<br>All preparations suppressed endogenous cortisol, in some cases >14 days post-injection, 2/3 injections increased blood glucose |
| Kronholm <sup>1937</sup>              | 1979 | 2   | Prospective, parallel, randomized, open label | Patients with SAR during season ( $n = 42$ ), season onset injection:<br>IM betamethasone dipropionate/betamethasone phosphate (5 and 2 mg/ml)<br>Methylprednisolone acetate (40 mg/ml)   | Weekly nasal and ocular symptoms x5 weeks   | Both preparations significantly reduced nasal and ocular symptoms<br>Betamethasone combination was more effective   |
| Axelsson and Lindholm <sup>1935</sup> | 1972 | 2   | RCT   | Patients with allergic and vasomotor rhinitis ( $n = 38$ ):<br>Triamcinolone acetonide 40 mg<br>Placebo   | Subjective nasal symptoms 10 days post-injection  | Significant improvement in nasal symptoms, especially in patients with AR in the actively treated group   |
| Hermance et al. <sup>1939</sup>       | 1969 | 2   | Randomized trial                              | Patients with perennial AR ( $n = 70$ ) given IM:<br>Dexamethasone (8 or 16 mg)<br>Cortisone acetate (10 mg)  | Subjective symptom relief (complete, marked, moderate, slight, no relief)   | More complete and marked relief with dexamethasone preparations versus cortisone acetate  |
| Chervinsky <sup>1940</sup>            | 1968 | 2   | Randomized, comparative                       | Patients with SAR ( $n = 97$ ) poorly responsive to hyposensitization or with no previous treatment received single injection:<br>Methylprednisone 80 mg<br>Betamethasone phosphate-acetate (6–6 mg)<br>Dexamethasone acetate-phosphate disodium (16–4 mg)<br>Dexamethasone acetate 16 mg | Patient satisfaction (none, poor, fair, good, excellent) at 2 weeks   | All treatments were beneficial with no difference between them  |

(Continues)

TABLE XI.B.2.c (Continued)

| Study                           | Year | LOE | Study design                                      | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------|------|-----|---|---|--|---|
| Brown et al. <sup>1933</sup>    | 1960 | 2   | RCT   | Adults with ragweed allergy ( $n = 95$ ) poorly responsive to hyposensitization or with no prior treatment received 3 weekly IM injections at season start: Depo-methylprednisolone (80 mg) Cholesterol | Symptom score evaluation by patients (none, slight, moderate, severe)                              | Significantly more patients in the active group evaluated symptoms as none and slight, compared to placebo  |
| Moss et al. <sup>1956</sup>     | 2015 | 4   | Retrospective case series & literature review     | Patients ( $n = 78$ ) with chronic rhinitis or sinusitis underwent 237 intra-turbinate or intra-polyp triamcinolone acetonide injections (April 2008 to June 2013)                                      | Patients report of clinical improvement and adverse events   | 84% of patients reported clinical improvement<br>One of the intra-polyp injections resulted in a transient visual change, resolved spontaneously<br>Literature review: 117,669 injections, three with visual complications (0.003%); all resolved spontaneously, no permanent visual deficits |
| Aasbjerg et al. <sup>1945</sup> | 2013 | 4   | Retrospective study of Danish National Registries | Patients receiving IM steroid injections in April–July or AIT to grass or birch pollen ( $n = 47,382$ ; 1995–2011)  | Incidence and relative risk of osteoporosis, diabetes, tendon rupture, respiratory tract infection | Relative risk and incidence osteoporosis and diabetes were higher in allergic individuals receiving at least one depot corticosteroid injection during the allergy season versus those receiving AIT  |

Abbreviations: ACTH, adrenal corticotrophic hormone; AIT, allergen immunotherapy; AR, allergic rhinitis; IM, intramuscular; LOE, level of evidence; PO, per os (by mouth); PNIF, peak nasal inspiratory flow; RCT, randomized controlled trial; SAR, seasonal allergic rhinitis; SR, systematic review.

Studies comparing different intramuscular steroid preparations have showed improvement of symptoms with all variations but some differences in efficacy among them.<sup>1937–1940</sup> When compared to other agents, intramuscular corticosteroids demonstrated similar or superior efficacy in controlling symptoms of AR. Specifically, pre-seasonal betamethasone injection was as effective as daily oral prednisolone<sup>1941</sup> and more effective than daily intranasal beclomethasone dipropionate in controlling nasal itching, congestion, rhinorrhea and eye symptoms.<sup>1936</sup> In another seasonal study, a single injection of methylprednisolone was as effective as intranasal budesonide over a 3 week treatment period.<sup>1942</sup> Although these studies show a favorable effect of intramuscular steroids on symptoms of AR, a recent systematic review was inconclusive based on a high risk of bias of the available studies that mostly dated back to more than 30 years ago.<sup>1943</sup>

Injectable corticosteroid preparations have significant potential side effects which can include adrenal suppression and growth retardation<sup>1944</sup> (Table II.C). Injectable corticosteroids affected adrenal function in two out of four relevant studies<sup>1938,1942</sup> (Table XI.B.2.c). Evidence from a study of Danish National Registries shows that the relative risk and incidence of both osteoporosis and diabetes were higher in allergic individuals receiving at least one depot corticosteroid injection yearly for three consecutive years during the allergy season compared to those receiving AIT.<sup>1945</sup> Laursen et al.<sup>1941</sup> reported that ACTH testing performed at 3 weeks showed significant suppression of adrenal function in the oral steroid treatment group but no evidence of suppression after a single corticosteroid injection. This discrepancy may relate to the short-lasting adrenal suppression after a single injection of corticosteroids compared to continuous administration of the oral formulation, although Kronholm<sup>1937</sup> also did not

show any effect of intramuscular preparations on adrenal function.

Corticosteroid injection into the nasal turbinates has also been studied for the management of AR; however, this route is less widely utilized than previously observed. Several early reports detailed significant improvement in symptoms of AR in a large proportion of patients who received intra-turbinate injections of various steroid formulations.<sup>1946–1950</sup> A placebo-controlled, single-blind RCT showed that intra-turbinate injections of botulinum toxin A or triamcinolone in patients with perennial AR resulted in improved control of nasal symptoms, including nasal congestion, compared to isotonic saline, although botulinum toxin had the longest duration of clinical effect.<sup>1951</sup>

Enthusiasm for intra-turbinate steroid injection has been tempered by reports of orbital complications associated with intra-turbinate, but not intramuscular, deposition. Complications have included transient visual loss and diplopia<sup>1952</sup>; blurred vision and temporary blindness<sup>1953</sup>; and temporary distorted vision, decreased visual acuity, and paresis of the medial rectus.<sup>1953</sup> Martin reported on the rapid onset of ocular pain, blurred vision, and decreased visual acuity after an intra-turbinate injection of triamcinolone acetonide.<sup>1954</sup> Symptoms were caused by choroidal and retinal arterial embolization and resolved completely within 24 h. A more recent report detailed progression of glaucoma-related optic neuropathy after intra-turbinate injection associated with chorioretinal microvascular embolism.<sup>1955</sup> The mechanism of embolization is likely related to retrograde flow from the anterior tip of the IT to the ophthalmic artery, followed by anterograde flow with the particles lodging in the end arteries of the choroid and retinal vessels. Larger particle size steroids (e.g., methylprednisolone) are thought to present higher risk than smaller sized particles (e.g., triamcinolone).<sup>1954</sup> Moss et al.<sup>1956</sup> reported on personal experience with 152 turbinate and 85 intra-polyp injections of triamcinolone acetonide, noting one transient subjective decrease in vision after intra-polyp injection. They reviewed the literature for an estimated 117,000 individual intra-turbinate and polyp injections and reported an estimated visual complication rate of 0.003% (three instances), with a 0.00% (0 instances) rate of permanent visual complications.

### Injectable corticosteroids

Aggregate grade of evidence: B (Level 1: 1 study, level 2: 11 studies, level 4: 2 studies; Table XI.B.2.c)

Benefit: Injectable corticosteroids improved symptoms of AR in clinical studies.

Harm: Injectable corticosteroids have known undesirable adverse effects on the hypothalamic-pituitary axis, growth, osteoporosis, glycemic control, and other systemic adverse effects, for varied periods of time after injection. Intraturbinate corticosteroids have a small but potentially serious risk of ocular side effects including decline or loss of vision. See Table II.C.

Cost: Low.

Benefits-harm assessment: In routine management of AR, the risk of serious adverse effects outweighs the demonstrated clinical benefit.

Value judgments: Injectable corticosteroids are effective for the treatment of AR. However, given the risk of significant systemic adverse effects, the risk of serious ocular side effects, and the availability of effective alternatives (e.g., INCS), injectable corticosteroids are not recommended for the routine treatment of AR.

Policy level: Recommendation against.

Intervention: None.

## XI.B.3 | Decongestants

### XI.B.3.a | Oral decongestants

Oral decongestants are medications that act on adrenergic receptors, which leads to vasoconstriction of small blood vessels (such as those in the nasal mucosa), resulting in relief of nasal congestion symptoms in AR patients. The most commonly used oral decongestants are pseudoephedrine and phenylephrine, which are sympathomimetic vasoconstrictors that differ in their selectivity to adrenoceptors.<sup>1957</sup> Due to the oral administration of pseudoephedrine and phenylephrine, both drugs act systemically and can lead to side effects such as insomnia, headache, nervousness, anxiety, tremors, palpitations, urinary retention, increased blood pressure, and other adverse effects<sup>1005,1958–1960</sup> (Table II.C).

Our review of the literature found 12 studies that evaluate the use of oral decongestants in AR and are summarized in Table XI.B.3.a. Individual studies evaluating the effect of oral decongestants in AR patients as monotherapy during allergy season have shown that pseudoephedrine monotherapy led to improved symptom scores (total nasal symptom and individual symptom scores) compared to baseline.<sup>1960–1964</sup> One study also compared pseudoephedrine monotherapy against placebo and found that pseudoephedrine monotherapy is more effective in reducing total nasal symptom and nasal stuffiness scores than placebo.<sup>1959</sup> With regard to the comparison of pseudoephedrine monotherapy

**TABLE XI.B.3.a** Evidence table – oral decongestants for allergic rhinitis

| Study                             | Year | LOE | Study design   | Study groups  | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|----------------|---|--|---|
| Meltzer et al. <sup>1969</sup>    | 2015 | 2   | Open-label RCT | SAR during season ( $n = 539$ , 18–77 years old):<br>PE HCL 10 mg<br>PE HCL 20 mg<br>PE HCL 30 mg<br>PE HCL 40 mg<br>Placebo<br>Study protocol: every 4 h, up to 6 tablets/24 h | Daily reflective nasal congestion score  | PE HCL is not significantly better than placebo at relieving nasal congestion in adults with SAR  |
| Grubbe et al. <sup>1962</sup>     | 2009 | 2   | DBRCT          | SAR during season ( $n = 598$ , 12–76 years old):<br>Desloratadine 2.5 mg + PSE 120 mg BID<br>Desloratadine 5.0 mg + placebo tablet daily<br>PSE 120 mg BID                     | Total symptom score (excluding nasal congestion)<br>Nasal congestion score                           | Desloratadine-PSE was more effective in reducing SAR symptoms, including nasal congestion, than the individual components alone<br>Monotherapies were equal to each other and improved symptom scores versus baseline |
| Mucha et al. <sup>1965</sup>      | 2006 | 2   | DBRCT          | SAR during season ( $n = 58$ , 18–45 years old):<br>Montelukast 10 mg daily<br>PSE HCL 240 mg sustained release daily   | RQLQ<br>Nocturnal RQLQ<br>Total symptom score<br>PNIF  | PSE and montelukast were nearly equally effective and improved QOL scores, PNIF, symptom scores compared to baseline<br>PSE controlled nasal congestion better than montelukast                                       |
| Pleskow et al. <sup>1970</sup>    | 2005 | 2   | DBRCT          | SAR during season ( $n = 1047$ , 12–78 years old):<br>Desloratadine 5 mg + PSE 240 mg sustained release daily<br>Desloratadine 5 mg daily<br>PSE 240 mg sustained release daily | Total symptom score (excluding nasal congestion)<br>Nasal congestion score                           | Desloratadine-PSE provided additional benefit over individual components alone<br>Monotherapies were equally effective and led to improved symptom scores versus baseline   |
| Sussman et al. <sup>1964</sup>    | 1999 | 2   | RCT            | SAR during season ( $n = 651$ , 12–66 years old):<br>Fexofenadine HCL 60 mg BID<br>PSE HCL 120 mg BID<br>Fexofenadine HCL 60 mg + PSE HCL 120 mg BID                            | Total symptom score (excluding nasal congestion)<br>Nasal congestion score                           | Fexofenadine-PSE provided additional benefit over individual components alone<br>Monotherapies were equally effective and led to improved symptom scores versus baseline  |
| Grosclaude et al. <sup>1960</sup> | 1997 | 2   | DBRCT          | SAR during season ( $n = 687$ , 9–66 years old):<br>Cetirizine 5 mg BID<br>PSE retard 120 mg BID<br>Cetirizine 5 mg + PSE retard 120 mg BID                                     | Patient symptom assessment: nasal obstruction, sneezing, rhinorrhea, nasal pruritus, ocular pruritus | Cetirizine-PSE provided additional benefit over individual components alone<br>Monotherapies were equally effective and led to improved symptoms versus baseline  |

(Continues)

TABLE XI.B.3.a (Continued)

| Study                           | Year | LOE | Study design     | Study groups  | Clinical endpoints  | Conclusions  |
|---------------------------------|------|-----|------------------|---|---|--|
| Bertrand et al. <sup>1963</sup> | 1996 | 2   | DBRCT            | Perennial AR ( $n = 215$ , 12–65 years old):<br>Cetirizine 5 mg + PSE retard 120 mg BID<br>Cetirizine 5 mg BID<br>PSE retard 120 mg BID   | Most severe symptom score   | Cetirizine-PSE was more effective than treatment with each individual agent<br>Cetirizine monotherapy was more effective than PSE in relieving sneezing, nasal pruritis, ocular pruritis   |
| Dockhorn et al. <sup>1961</sup> | 1996 | 2   | DBRCT            | SAR during season ( $n = 702$ , 12–73 years old):<br>Acrivastine 8 mg + PSE HCL 60 mg QID<br>Acrivastine 8 mg QID<br>PSE HCL 60 mg QID<br>Placebo QID   | Diary symptom score<br>Allergy symptom score<br>Nasal congestion score  | Acrivastine-PSE more effective in reducing symptom scores than treatment with each individual agent<br>PSE more effective than acrivastine in reducing diary symptom scores and nasal symptom scores, equally effective in reducing allergy symptom score<br>Both monotherapies were more effective than placebo |
| Bronsky et al. <sup>1959</sup>  | 1995 | 2   | DBRCT            | SAR season ( $n = 879$ , 12–82 years old):<br>Loratadine 10 mg + PSE sulfate 240 mg extended release daily<br>Loratadine 10 mg daily<br>PSE sulfate 120 mg daily<br>Placebo daily   | Total symptoms score (nasal plus non-nasal scores)  | Loratadine-PSE more effective than either of its components alone, or placebo, in treating SAR<br>Loratadine and PSE monotherapy similarly effective<br>Three active treatment groups had better therapeutic response than placebo   |
| Howarth et al. <sup>1968</sup>  | 1993 | 2   | DBRCT, crossover | Allergen challenge with premedication:<br>*First part – AR ( $n = 12$ , 12–40 years old)<br>PSE 60 mg<br>Placebo, pretreatment<br>Study protocol: 6 tablets on 2 days before challenge, 1 tablet on the morning of challenge day<br>*Second part – perennial AR ( $n = 17$ , 19–56 years old)<br>PSE 120 mg<br>Terfenadine 60 mg<br>PSE 120 mg + terfenadine 60 mg<br>Placebo<br>Study protocol: 5 doses of medication BID on the 2 days before challenge, 1 dose on the morning of challenge day | First part: nasal airway resistance after challenge<br>Second part: nasal itching, sneezing, rhinorrhea, blockage | There is benefit of combination therapy (PSE-terfenadine) over each individual component when administered alone for all nasal symptoms associated with AR   |

(Continues)



TABLE XI.B.3.a (Continued)

| Study                          | Year | LOE | Study design     | Study groups  | Clinical endpoints          | Conclusions   |
|--------------------------------|------|-----|------------------|---|-----------------------------|---|
| Henauer et al. <sup>1966</sup> | 1991 | 2   | RCT, crossover   | Allergen challenge with premedication, SAR ( $n = 13$ , mean age 13 years):<br>Terfenadine 60 mg rapid release + PSE 120 mg controlled release<br>Terfenadine 60 mg rapid release<br>PSE 120 mg controlled release<br>Placebo<br>Study protocol: 5 doses of medication – BID dosing, on the 2 days before challenge, one dose on the morning of challenge day | Allergic reaction threshold | Terfenadine-PSE was more effective than the individual components when administered alone<br>Terfenadine monotherapy was more effective than PSE monotherapy<br>Both therapies were more effective than placebo |
| Empey et al. <sup>1967</sup>   | 1984 | 2   | DBRCT, crossover | Allergen challenge with premedication, SAR ( $n = 18, 19–38$ years old):<br>Tripolidine 2.5 mg + PSE 60 mg<br>Tripolidine 2.5 mg<br>PSE 60 mg<br>Placebo  | Nasal airway resistance     | Tripolidine-PSE and its individual components were superior to placebo in reducing the increase in nasal resistance after histamine challenge   |

Abbreviations: AR, allergic rhinitis; BID, twice daily; DBRCT, double-blind randomized controlled trial; HCL, hydrochloride; LOE, level of evidence; PE, phenylephrine; PNIF, peak nasal inspiratory flow; PSE, pseudoephedrine; QID, four times daily; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; QOL, quality of life; RCT, randomized controlled trial; SAR, seasonal allergic rhinitis.

against the combination therapy, including an oral antihistamine and pseudoephedrine, studies have shown that pseudoephedrine monotherapy is less effective than combination therapy in treating primary outcomes such as total nasal symptom and individual symptom scores.<sup>1959–1964</sup>

Studies on the effectiveness of oral decongestants in AR patients as premedication monotherapy before allergy challenge have shown that pseudoephedrine is equally effective compared to montelukast<sup>1965</sup> and more effective than placebo<sup>1966,1967</sup> in treating primary outcomes. One study showed that pseudoephedrine monotherapy was less effective than a combination therapy of an oral antihistamine and pseudoephedrine,<sup>1966</sup> while another study showed no difference in outcome.<sup>1967</sup> The results in head-to-head comparisons between antihistamine and pseudoephedrine monotherapy are contradictory. While some studies showed that antihistamine monotherapy was more efficient than pseudoephedrine,<sup>1961,1966</sup> other studies have had different findings.<sup>1960–1962,1964,1968</sup> Nonetheless, either monotherapy (i.e., pseudoephedrine or antihistamine) was more effective than placebo.<sup>1959,1961,1966,1967</sup> Interestingly, an analysis of the effectiveness of phenylephrine compared to placebo has shown that phenylephrine (up to 40 mg six

times daily) is not superior to placebo in relieving nasal congestion symptoms in AR patients.<sup>1969</sup>

### Oral decongestants

**Aggregate grade of evidence:** A (Level 2: 12 studies; Table XI.B.3.a)

**Benefit:** Reduction of nasal congestion with pseudoephedrine. No benefit with phenylephrine.

**Harm:** Oral decongestants have known undesirable adverse effects. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Balance of benefit and harm for pseudoephedrine. Possible harm for phenylephrine.

**Value judgments:** Little evidence for benefit in controlling symptoms other than nasal congestion.

**Policy level:** Strong recommendation against for routine use in AR. In certain cases, combination therapy with an oral antihistamine may be beneficial to alleviate severe nasal congestion in short courses.

**Intervention:** Although not recommended for routine use in AR, pseudoephedrine can be effective in reducing nasal congestion in patients with AR; however, it should only be used as short-term/rescue therapy after a discussion of the risks and benefits with the patient (comorbidities) and consideration of alternative intranasal therapy options.

#### XI.B.3.b | Intranasal decongestants

INDC – oxymetazoline, xylometazoline, and phenylephrine – are  $\alpha$ -adrenergic agonists acting as topical vasoconstrictors reducing edema/tissue thickness.<sup>182</sup> The highest level of evidence consists of seven RCTs<sup>1971–1977</sup> looking at short-term effects of INDC. There are also three RCTs<sup>111,1978,1979</sup> and two cohort studies<sup>123,1980</sup> evaluating prolonged effects of INDC.

Clinically, short-term use results in reduction of nasal congestion/blockage, with little to no effect on allergic symptoms such as sneezing, rhinorrhea, or nasal itching.<sup>1971,1972,1974,1975</sup> Onset of action is within 10 min,<sup>1973</sup> and duration of the effect lasts up to 12 h.<sup>1977</sup> There are also improvements in objective measures of nasal congestion/blockage, including nasal airway resistance, measures of nasal cavity volume for airflow, and PNIF.<sup>1972–1976</sup> Measures of nasal cavity volume for airflow exhibit a clear dose–response relationship across doses ranging from 6.25 to 50  $\mu$ g, with nasal airway resistance requiring a higher threshold dose of 25  $\mu$ g before significant changes in nasal patency are seen.<sup>1974</sup> Despite oxymetazoline's vasoconstrictive effects, it does not seem to affect histamine-induced plasma exudation.<sup>1971</sup> The majority of studies compared INDC to placebo,<sup>1971–1974,1976</sup> but Barnes et al.<sup>1975</sup> found that the decongestant response was stronger for intranasal xylometazoline after 15 min than daily administration of intranasal mometasone furoate after 28 days. It is worth noting that only three studies included patients with AR,<sup>1975–1977</sup> the remainder consisted of healthy participants.<sup>1971–1974</sup>

Rhinitis medicamentosa, which is a condition thought to result from prolonged usage of INDC, is characterized by an increase in symptomatic nasal congestion, thereby precluding a recommendation for long-term use of these medications. Studies to identify the duration of intranasal decongestant use that leads to rhinitis medicamentosa have shown variable results. Some studies show prolonged use (up to 6 weeks) does not produce any symptoms of rebound nasal congestion or objective markers of impaired decongestant response.<sup>123,1978,1980</sup> Another study, however, noted development of rhinitis medicamentosa after as little as 3 days of use.<sup>111</sup> This may be due to nasal hyperreactivity and mucosal swelling. Additionally, Graf et al.<sup>1979</sup> looked

at the impact of the presence of the preservative benzalkonium chloride, which can be found in INDC sprays. Compared to oxymetazoline and placebo nasal sprays, a nasal spray with benzalkonium chloride alone induces mucosal swelling, suggesting the presence of this preservative may aggravate rhinitis medicamentosa. (See Section V.B.2 Rhinitis Medicamentosa for additional information on this topic.)

Known adverse effects of INDC include nasal discomfort/burning, dependency, dryness, increased congestion, rhinitis medicamentosa, hypertension, anxiety, and tremors (Table II.C). One study noted significantly decreased ciliary beat frequencies at 1000  $\mu$ g/ml, but no significant difference at 500  $\mu$ g/ml.<sup>1981</sup> The 500  $\mu$ g/ml (0.5 mg/ml, 0.05%) concentration is typical for available formulations. In sum, while intranasal decongestants are effective at reducing nasal congestion, short-term use of the medication, approximately 3 days or less, is recommended to avoid the potential for rebound nasal congestion and rhinitis medicamentosa.<sup>111</sup>

#### Intranasal decongestants

**Aggregate grade of evidence:** B (Level 2: 10 studies, level 3: 2 studies; Table XI.B.3.b). Limitation – only 3 studies included subjects with AR.

**Benefit:** Reduction in symptoms of nasal congestion/blockage and corresponding objective markers with INDC compared to placebo.

**Harm:** Side effects include nasal discomfort/burning, dependency, dryness, hypertension, anxiety, and tremors. See Table II.C. Potential for rebound congestion with long-term use.

**Cost:** Low.

**Benefits-harm assessment:** Harm likely outweighs benefit if used long-term, with adverse effects appearing as early as 3 days.

**Value judgments:** INDC can be helpful for short-term relief of nasal congestion.

**Policy level:** Option for short-term use.

**Intervention:** INDC can provide effective short-term relief of nasal congestion in patients with AR during an acute flare but recommend against chronic use due to risk of rhinitis medicamentosa.

#### XI.B.4 | Leukotriene receptor antagonists

LTRAs have been studied in the treatment of AR. Montelukast is approved by the US FDA for the treatment of seasonal AR in adults and children over 2 years of age, and

**TABLE XI.B.3.b** Evidence table – intranasal decongestants for allergic rhinitis<sup>a</sup>

| Study                               | Year | LOE | Study design     | Study groups  | Clinical endpoints   | Conclusions   |
|-------------------------------------|------|-----|------------------|---|--|---|
| Druce et al. <sup>1977</sup>        | 2018 | 2   | DBRCT            | Acute coryzal rhinitis ( <i>n</i> = 128; 42 with concomitant AR):<br>Intranasal oxymetazoline<br>Isotonic saline          | Subjective nasal congestion<br>Objective nasal flow rate   | Up to 12 h post-treatment, there was a significant improvement in subjective nasal congestion and objective nasal flow rate versus control            |
| Gomez-Hervas et al. <sup>1973</sup> | 2015 | 2   | DBRCT, crossover | Healthy participants ( <i>n</i> = 8):<br>Intranasal oxymetazoline<br>Placebo  | PNIF during exercise<br>Parameters of exercise performance (e.g., oxygen consumption, ventilatory pattern, efficiency) | 10 min after use, nasal airflow trended toward improvement with oxymetazoline, but this did not translate to improvements in exercise performance     |
| Pritchard et al. <sup>1976</sup>    | 2014 | 2   | RCT              | Nasal congestion due to upper respiratory infection or hay fever ( <i>n</i> = 21):<br>Intranasal oxymetazoline<br>Placebo | Inferior turbinate total volume<br>Middle turbinate total volume   | Up to and including 12 h post-treatment, there was a significant reduction in inferior and middle turbinate volumes with oxymetazoline versus placebo |
| Barnes et al. <sup>1975</sup>       | 2005 | 2   | DBRCT, crossover | AR ( <i>n</i> = 36):<br>Intranasal xylometazoline<br>Intranasal mometasone furoate (daily x28 days)                       | PNIF<br>Nasal forced inspiratory volume in 1 s<br>Nasal blockage score   | Xylometazoline 15-min response was stronger for all endpoints than mometasone furoate 28-day response   |
| Watanabe et al. <sup>1978</sup>     | 2003 | 2   | DBRCT            | Healthy participants ( <i>n</i> = 30):<br>Intranasal oxymetazoline TID x4 weeks<br>Placebo                                | Subjective nasal blockage<br>PNIF<br>Airway resistance<br>Airway volume  | Following 4 weeks of treatment, no significant nasal blockage or impaired decongestant response with oxymetazoline versus placebo                     |
| Bickford et al. <sup>1972</sup>     | 1999 | 2   | DBRCT, crossover | Healthy participants ( <i>n</i> = 20):<br>Intranasal oxymetazoline<br>Placebo   | Nasal airway resistance<br>Nasal cavity cross-sectional area and volume<br>Subjective congestion                       | Up to 120 min after treatment, all endpoints were significantly improved with oxymetazoline versus placebo  |
| Taverner et al. <sup>1974</sup>     | 1999 | 2   | DBRCT            | Healthy participants ( <i>n</i> = 125):<br>Intranasal oxymetazoline<br>Placebo  | Nasal airway resistance<br>Nasal cavity cross-sectional area and volume<br>Subjective congestion                       | Up to 120 min after treatment, all endpoints except subjective nasal congestion were significantly improved with oxymetazoline versus placebo         |

(Continues)

TABLE XI.B.3.b (Continued)

| Study                           | Year | LOE | Study design      | Study groups  | Clinical endpoints  | Conclusions   |
|---------------------------------|------|-----|-------------------|---|---|---|
| Morris et al. <sup>111</sup>    | 1997 | 2   | DBRCT             | Healthy participants ( <i>n</i> = 50):<br>Intranasal oxymetazoline daily x7 days<br>Intranasal oxymetazoline every other day x7 days<br>Placebo | Nasal airway resistance<br>Subjective scaling of nasal patency<br>Clinical visual examination | Evidence of rebound nasal congestion (higher nasal airway resistance) was found following 3 days of both daily and intermittent oxymetazoline treatment   |
| Graf and Hallen <sup>1979</sup> | 1996 | 2   | DBRCT             | Healthy participants ( <i>n</i> = 30):<br>Intranasal oxymetazoline TID x28 days<br>Intranasal benzalkonium chloride TID x28 days<br>Placebo     | Nasal mucosal swelling<br>Subjective nasal stuffiness and secretions<br>Nasal reactivity      | Following 28 days of treatment (long-term), subjective nasal stuffiness, secretions, and reactivity were greatest with oxymetazoline<br>Increase in nasal mucosal swelling with benzalkonium chloride alone |
| Svensson et al. <sup>1971</sup> | 1992 | 2   | DBRCT, crossover  | Healthy participants ( <i>n</i> = 12):<br>Intranasal oxymetazoline<br>Placebo   | Nasal symptoms (sneezing, nasal secretion, blockage)<br>Histamine-induced plasma exudation    | Up to 130 min after treatment, there was a significant decrease in nasal blockage but not any of the other endpoints  |
| Yoo et al. <sup>123</sup>       | 1997 | 3   | Individual cohort | Healthy participants ( <i>n</i> = 10):<br>Intranasal oxymetazoline nightly x4 weeks   | Subjective history<br>Physical exam<br>Anterior rhinomanometry                                | All subjects remained responsive to oxymetazoline 4 weeks and 8 weeks after the study began   |
| Petruson <sup>1980</sup>        | 1981 | 3   | Individual cohort | Intranasal xylometazoline TID x6 weeks, <i>n</i> = 20   | Posterior rhinomanometry  | Following 6 weeks of treatment, all subjects remained responsive based on posterior rhinomanometry  |

Abbreviations: AR, allergic rhinitis; DBRCT, double-blind randomized controlled trial; LOE, level of evidence; PNIF, peak nasal inspiratory flow; RCT, randomized controlled trial; TID, three times daily.

<sup>a</sup>Limitation – only 3 of the listed studies specifically addressed the use of intranasal decongestants in patients with AR.

for perennial AR in adults and children over 6 months of age. Other LTRAs include pranlukast (approved for treatment of AR in Japan) and zafirlukast (FDA-approved for treatment of asthma).

Since the 2018 ICAR-Allergic Rhinitis consensus statement,<sup>1</sup> the body of evidence surrounding LTRA monotherapy has grown. A systematic search revealed 15 SRMAs of RCTs published since 2014. This gave a total of 34 studies examining the use of LTRA in AR which are considered high-level evidence (Table XI.B.4).

Most recent studies<sup>1982–1986</sup> demonstrate concordance with previous findings that LTRA monotherapy is superior to placebo in controlling symptoms and improving QOL in

both seasonal and perennial AR, except a single RCT<sup>1987</sup> which showed no difference between the two. Yoshihara et al.<sup>1988</sup> found that LTRA showed promise as a prophylactic agent in children with seasonal AR when administered before the Japanese cedar pollen season.

However, there remains consistent evidence that LTRA is inferior to INCS in terms of symptom reduction and QOL improvement.<sup>1894,1989,1990</sup> In a RCT by Chen et al.,<sup>1989</sup> LTRA was inferior to INCS in improving acoustic rhinometry readings, concentrations of inflammatory mediators in nasal secretions, and the inflammatory cell composition (Th1, Th2, Treg) from turbinate brush cytology. Dalgic et al.<sup>1991</sup> found

**TABLE XI.B.4** Evidence table – leukotriene receptor antagonists for allergic rhinitis

| Study                                  | Year | LOE | Study design          | Study groups   | Clinical endpoints                | Conclusions  |
|--|------|-----|-----------------------|--|-----------------------------------|--|
| Feng et al. <sup>1992</sup>            | 2021 | 1   | SR of RCTs            | LTRA<br>OAH  | Symptoms<br>QOL<br>Adverse events | LTRA superior for nighttime symptoms<br>OAH superior for daytime symptoms  |
| Meltzer et al. <sup>1982</sup>         | 2021 | 1   | SR of RCTs            | LTRA<br>INCS<br>OAH<br>Intranasal antihistamine<br>OAH + decongestant<br>Intranasal antihistamine + INCS<br>SLIT tablet<br>Placebo | TNSS                              | Adult SAR: LTRA inferior to OAH, INCS, SLIT, combination therapy<br>Adult perennial AR: LTRA similar to OAH, inferior to INCS and SLIT<br>Pediatric SAR: LTRA superior to INCS, intranasal antihistamine (alone and with INCS), SLIT |
| Krishna-moorthy et al. <sup>1983</sup> | 2020 | 1   | SR of RCTs            | Montelukast<br>Montelukast + OAH<br>INCS<br>Placebo  | Symptoms (day, night, composite)  | LTRA superior to placebo<br>OAH superior to LTRA except for nighttime symptoms<br>INCS superior to LTRA<br>LTRA-OAH superior to LTRA or OAH monotherapy  |
| Durham et al. <sup>1986</sup>          | 2016 | 1   | Pooled analysis       | Montelukast<br>OAH<br>INCS<br>SLIT<br>Placebo  | TNSS                              | LTRA superior to placebo<br>LTRA inferior to OAH, INCS, SLIT   |
| Wei <sup>1985</sup>                    | 2016 | 1   | Pooled analysis       | Montelukast<br>OAH<br>Montelukast + OAH<br>Placebo   | Symptoms                          | LTRA superior to placebo<br>LTRA superior to OAH for nighttime symptoms<br>LTRA similar to OAH for composite symptoms<br>LTRA-OAH superior to LTRA alone for nighttime symptoms  |
| Xiao et al. <sup>1993</sup>            | 2016 | 1   | Network meta-analysis | Montelukast<br>OAH   | Symptoms                          | LTRA inferior to OAH   |
| Devillier et al. <sup>1995</sup>       | 2014 | 1   | SR of RCTs            | LTRA<br>SLIT<br>Placebo  | Symptoms                          | SLIT superior to LTRA<br>LTRA superior to placebo  |
| Xu et al. <sup>1994</sup>              | 2014 | 1   | SR of RCTs            | Montelukast<br>OAH   | Symptoms                          | In SAR, OAH superior for daytime symptoms and LTRA superior for nighttime symptoms   |
| Goodman et al. <sup>1999</sup>         | 2008 | 1   | SR of RCTs            | Montelukast<br>Levocetirizine<br>Desloratadine<br>Fexofenadine   | Symptoms<br>Cost                  | Montelukast has higher incremental cost-effectiveness ratio than levocetirizine and desloratadine  |

(Continues)



TABLE XI.B.4 (Continued)

| Study                                  | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|--|------|-----|--------------|--|---|---|
| Grainger and Drake-Lee <sup>2000</sup> | 2006 | 1   | SR of RCTs   | Montelukast<br>OAH<br>INCS<br>Placebo  | Symptoms<br>QOL   | Montelukast improved symptoms and QOL compared to placebo<br>Montelukast was inferior to OAH and INCS                           |
| Rodrigo and Yanez <sup>2001</sup>      | 2006 | 1   | SR of RCTs   | LTRA<br>OAH<br>INCS<br>Placebo   | Symptoms<br>QOL   | LTRA improved symptoms and QOL compared to placebo<br>LTRA was equally effective to OAH and inferior to INCS                    |
| Wilson et al. <sup>1893</sup>          | 2004 | 1   | SR of RCTs   | Montelukast<br>OAH<br>INCS<br>Placebo  | Symptoms<br>QOL   | Montelukast improved QOL compared to placebo, and was inferior to OAH and INCS  |
| Gonyeau and Partisan <sup>2002</sup>   | 2003 | 1   | SR of RCTs   | Montelukast<br>INCS<br>Placebo   | Symptoms  | Montelukast was more effective than placebo in reducing symptoms, but was inferior to INCS                                      |
| Bhattachan et al. <sup>1894</sup>      | 2020 | 2   | RCT          | Montelukast<br>INCS  | TNSS  | INCS superior to LTRA for symptom reduction   |
| Li et al. <sup>1996</sup>              | 2020 | 2   | RCT          | Montelukast<br>Chinese acupoint application<br>Combination therapy                       | Symptoms<br>Serum IL-4, IFN- $\gamma$ , Th1/Th2                                 | Combination LTRA and Chinese acupoint application superior to either therapy alone  |
| Chen et al. <sup>1989</sup>            | 2018 | 2   | RCT          | Montelukast<br>INCS<br>INCS half dose + montelukast                                      | Symptoms<br>Acoustic rhinometry<br>FeNO<br>Serum ECP, histamine, cysLT, Th1/Th2 | LTRA alone inferior to INCS for overall nasal symptoms<br>Combination therapy superior to monotherapy                           |
| Hashiguchi et al. <sup>1987</sup>      | 2018 | 2   | RCT          | Montelukast<br>Placebo   | Symptoms  | No difference in LTRA versus placebo  |
| Dalgic et al. <sup>1991</sup>          | 2017 | 2   | RCT          | Montelukast<br>INCS<br>Montelukast + INCS  | Olfactory testing   | No change with LTRA monotherapy<br>Combination therapy was superior to INCS   |
| Okubo et al. <sup>1984</sup>           | 2017 | 2   | RCT          | ONO-4053 (anti-PGD2)<br>Pranlukast<br>Placebo  | Symptoms  | Pranlukast superior to placebo<br>ONO-4053 superior to pranlukast   |
| Yoshihara et al. <sup>1988</sup>       | 2017 | 2   | RCT          | Long-term pranlukast<br>Rescue therapy with pranlukast<br>Rescue therapy with loratadine | Symptoms  | In children under 15 with asthma and SAR, long-term LTRA is superior to rescue treatment with LTRA or OAH during allergy season |
| Jindal et al. <sup>1990</sup>          | 2016 | 2   | RCT          | Montelukast<br>INCS  | Symptoms  | INCS superior to LTRA   |

(Continues)

TABLE XI.B.4 (Continued)

| Study                              | Year | LOE | Study design | Study groups   | Clinical endpoints                                | Conclusions  |
|------------------------------------|------|-----|--------------|--|---|--|
| Endo et al. <sup>2003</sup>        | 2012 | 2   | RCT          | Pranlukast<br>Placebo  | Symptoms  | Following artificial introduction of allergen, pranlukast prevented and reduced symptoms versus placebo  |
| Wakabayashi et al. <sup>2004</sup> | 2012 | 2   | RCT          | Pranlukast<br>Placebo  | Symptoms  | Following artificial introduction of allergen in children, pranlukast prevented and reduced symptoms versus placebo  |
| Day et al. <sup>2005</sup>         | 2008 | 2   | RCT          | Montelukast<br>Levocetirizine<br>Placebo                     | Symptoms  | Both montelukast and levocetirizine improved symptoms following artificial allergen exposure<br>Levocetirizine was more effective than montelukast   |
| Jiang <sup>2006</sup>              | 2006 | 2   | RCT          | Zafirlukast<br>Loratadine<br>Loratadine +<br>pseudoephedrine | Symptoms<br>Acoustic rhinometry<br>Rhinomanometry | All treatment groups had a significant reduction of pre-treatment symptoms<br>Zafirlukast was superior at reduction of nasal congestion<br>No difference in acoustic rhinometry or rhinomanometry among groups |
| Mucha et al. <sup>1965</sup>       | 2006 | 2   | RCT          | Montelukast<br>Pseudoephedrine                               | Symptoms<br>QOL<br>PNIF                           | Montelukast and pseudoephedrine had equivalent improvement of symptoms (except pseudoephedrine more effective for nasal congestion), QOL, PNIF   |
| Patel et al. <sup>2007</sup>       | 2005 | 2   | RCT          | Montelukast<br>Placebo                                       | Symptoms<br>QOL                                   | Montelukast was more effective than placebo in reducing symptoms and improving QOL in patients with perennial AR   |
| Chervinsky et al. <sup>2008</sup>  | 2004 | 2   | RCT          | Montelukast<br>Placebo                                       | Symptoms<br>Pollen count                          | Montelukast was more effective than placebo in reducing symptoms<br>Effect size related to amount of pollen exposure   |

(Continues)

TABLE XI.B.4 (Continued)

| Study                                | Year | LOE | Study design | Study groups                             | Clinical endpoints                             | Conclusions   |
|--------------------------------------|------|-----|--------------|--|--|---|
| Philip et al. <sup>2009</sup>        | 2004 | 2   | RCT          | Montelukast<br>Placebo                   | Symptoms<br>Rhinitis QOL<br>Asthma QOL         | Montelukast improved symptoms, rhinitis QOL, and asthma QOL versus placebo in patients with SAR and asthma  |
| Ratner et al. <sup>2010</sup>        | 2003 | 2   | RCT          | Montelukast<br>Fluticasone               | Symptoms<br>QOL                                | Fluticasone was more effective than montelukast in reducing symptoms and improving QOL  |
| van Adelsberg et al. <sup>2011</sup> | 2003 | 2   | RCT          | Montelukast<br>Loratadine<br>Placebo     | Symptoms<br>QOL                                | Montelukast was more effective than placebo at improving symptoms and QOL<br>Montelukast was not directly compared to loratadine                                |
| van Adelsberg et al. <sup>2012</sup> | 2003 | 2   | RCT          | Montelukast<br>Loratadine<br>Placebo     | Symptoms<br>QOL                                | Montelukast was more effective than placebo at improving symptoms and QOL<br>Montelukast was not directly compared to loratadine                                |
| Philip et al. <sup>2013</sup>        | 2002 | 2   | RCT          | Montelukast<br>Loratadine<br>Placebo     | Symptoms<br>QOL<br>Peripheral eosinophil count | Montelukast was more effective than placebo at reducing eosinophil count, and improving symptoms and QOL<br>Montelukast was not directly compared to loratadine |
| Pullerits et al. <sup>2014</sup>     | 1999 | 2   | RCT          | Zafirlukast<br>Beclomethasone<br>Placebo | Symptoms<br>Tissue eosinophilia                | Zafirlukast was not different from placebo in symptoms or tissue eosinophilia<br>Both were inferior to intranasal beclomethasone                                |

Abbreviations: AR, allergic rhinitis; cysLT, cysteinyl leukotriene; ECP, eosinophil cationic protein; FeNO, fractional exhaled nitric oxide; IFN, interferon; IL, interleukin; INCS, intranasal corticosteroid; LOE, level of evidence; LTRA, leukotriene receptor antagonist; OAH, oral antihistamine; PGD<sub>2</sub>, prostaglandin D<sub>2</sub>; PNIF, peak nasal inspiratory flow; QOL, quality of life; RCT, randomized controlled trial; SAR, seasonal allergic rhinitis; SLIT, sublingual immunotherapy; SR, systematic review; Th, T helper; TNSS, Total Nasal Symptom Score.

LTRA to be inferior to INCS in improving olfactory function in patients with seasonal AR. In comparison to oral antihistamines, there remains mixed evidence for relative efficacy,<sup>1992–1994</sup> with recent studies favoring oral antihistamines. Comparing diurnal symptoms of AR, Feng et al.<sup>1992</sup> found LTRA to be superior to oral antihistamines for controlling nighttime symptoms, but inferior for daytime symptoms. LTRA monotherapy was further compared against AIT and found to be inferior

for symptom control.<sup>1982,1995</sup> Li et al.<sup>1996</sup> compared LTRA monotherapy to acupoint-application of Chinese herbal medication and found no difference in symptom control for children with perennial AR.

In March 2020, the US FDA announced a safety concern regarding montelukast and potential serious neuropsychiatric events, including suicidal thoughts. A boxed warning, the FDA's most prominent warning, was added to prescribing information. The FDA advised further that in AR,

montelukast should be reserved for patients who are not treated effectively with or cannot tolerate other allergy medications.<sup>1997</sup>

In their 2015 Clinical Practice Guidelines for AR, the AAO-HNSF recommended against LTRA monotherapy, as it was less effective than other first-line medications and more costly.<sup>1005</sup> In 2020, this guideline was endorsed by the American Academy of Family Physicians.<sup>1998</sup> In the same year, the Joint Task Force on Practice Parameters issued an update recommending against the selection of LTRA as initial treatment of AR.<sup>182</sup>

While LTRA monotherapy has been consistently shown to be superior to placebo for the treatment of AR, there is now significant evidence that alternative agents such as INCS are superior and less costly.<sup>1</sup> Given the increased risk profile of LTRA highlighted by the FDA boxed warning, LTRA monotherapy is not recommended as first-line therapy for patients with AR but may be considered in selected patients who have contraindications to both oral antihistamines and INCS.

### Leukotriene receptor antagonists

**Aggregate grade of evidence:** A (Level 1: 13 studies, level 2: 21 studies; Table XI.B.4)

**Benefit:** Consistent reduction in symptoms and improvement in QOL compared to placebo.

**Harm:** FDA boxed warning regarding neuropsychiatric side effects, including suicidal ideation. Consistently inferior compared to INCS at symptom reduction and improvement in QOL. Equivalent or inferior effect compared to oral antihistamines in symptom reduction and improvement of QOL. See Table II.C.

**Cost:** Moderate.

**Benefits-harm assessment:** LTRAs are effective as monotherapy compared to placebo. However, there is a consistently inferior or equivalent effect to other, less expensive agents used as monotherapy. Also, there is an FDA boxed warning associated with LTRAs.

**Value judgments:** LTRAs are more effective than placebo at controlling both asthma and AR symptoms in patients with both conditions. However, in the light of significant concerns over its safety profile and the availability of effective alternatives such as INCS and oral antihistamines, evidence is lacking to recommend LTRAs as monotherapy in the management of AR.

**Policy level:** Recommendation against LTRAs as first-line monotherapy for patients with AR.

Option for LTRA as monotherapy in patients with contraindications to other preferred treatments.

**Intervention:** LTRAs should not be used as monotherapy in the treatment of AR but can be considered in select situations where patients have contraindications to alternative treatments.

### XI.B.5 | Intranasal cromolyn

Disodium cromoglycate (DSCG) [synonyms: cromolyn sodium, sodium cromoglycate, disodium 4,4'-dioxo-5,5'-(2-hydroxytrimethylenedioxy)di(4H-chromene-2-carboxylate)] is a mast cell stabilizer that inhibits the release of mast cell mediators that promote IgE-mediated inflammation.<sup>2015,2016</sup> DSCG is FDA-approved for adults and children (2 years and older) for the prevention and relief of nasal symptoms of AR and is available as an over-the-counter nasal spray. It has a rapid onset of action with efficacy lasting up to 8 h, taken as one spray 3-6 times daily, and is primarily used to prevent the onset of symptoms prior to allergen exposure, but it also can be used to treat symptoms once they occur.<sup>2017-2020</sup>

DSCG exhibits an excellent safety profile with only minor adverse effects including nasopharyngeal irritation, sneezing, rhinorrhea, and headache. There are very rare reports of immediate IgE-mediated reaction to the medication.<sup>2021,2022</sup> Due to its high safety profile, this medication can be considered for very young children and pregnant patients.<sup>2023,2024</sup>

DSCG has been shown to be more effective than placebo in patients with seasonal AR in controlling nasal symptoms of sneezing, rhinorrhea, and nasal congestion as treatment during their peak allergy season.<sup>2025-2029</sup> The largest double-blind placebo-controlled trial included 1150 patients with seasonal AR treated for 2 weeks (580 patients on DSCG, 570 treated with placebo).<sup>2025</sup> Patients received DSCG as a 4% nasal solution, one spray every 4-6 h, no more than six times per day. DSCG was significantly better than placebo in controlling overall symptoms ( $p = 0.02$ ), sneezing ( $p = 0.01$ ), and nasal congestion ( $p = 0.03$ ). Studies on the superiority of DSCG versus placebo in perennial AR have been controversial and with relatively small sample sizes.<sup>2030-2034</sup> In the most recent study that demonstrated a benefit of DSCG in perennial AR ( $n = 14$ ), DCSG resulted in significant improvement in the symptom scores of runny nose, nasal congestion, sneezing, and nose blowing, when compared to placebo ( $p < 0.005$ ).<sup>2030</sup> Additionally, factors that were found to be associated with a good clinical response to the medication included: (1) patients with higher IgE levels, (2) patients with markedly positive skin test reactions to foods and animal dander

compared to pollen allergy, and (3) female gender<sup>2030</sup> (Table XI.B.5).

In a small study, DSCG demonstrated similar efficacy for controlling nasal symptoms compared to oral antihistamines and significantly reduced the number of nasal eosinophils, whereas oral antihistamines did not.<sup>2035</sup> When compared to intranasal antihistamines<sup>2036,2037</sup> and INCS,<sup>2031,2037–2046</sup> DSCG has been shown to be less effective in controlling nasal symptoms. Ultimately, the role of DSCG as a primary treatment for AR is limited given its lower efficacy when compared to INCS and potential compliance challenges secondary to a frequent dosing regimen. The medication can also be administered as a preventive strategy, prior to allergen exposure to reduce the development of AR symptoms.

### Intranasal cromolyn

**Aggregate grade of evidence:** A (Level 2: 25 studies; Table XI.B.5)

**Benefit:** DSCG is effective in reducing sneezing, rhinorrhea, and nasal congestion.

**Harm:** Rare local side effects.

**Cost:** Low.

**Benefits-harm assessment:** Preponderance of mild to moderate benefit over harm. Less effective than INCS and intranasal antihistamines.

**Value judgments:** DSCG is useful for preventative short-term use in adult patients, children (2 years and older), and pregnant patients with known exposure risks.

**Policy level:** Recommendation as a second-line treatment in AR.

**Intervention:** DSCG may be used as a second-line treatment for AR in patients who fail INCS or intranasal antihistamines, or for short-term preventative benefit prior to allergen exposures.

## XI.B.6 | Intranasal anticholinergics

IPB is a synthetic quaternary ammonium anticholinergic compound that is related to atropine. Effects of IPB have been explored prior to nasal methacholine challenge in patients with AR. It was found to reduce rhinorrhea and sneezing with no effects on nasal airway resistance.<sup>2050,2051</sup> In addition, administration of IPB resulted in the reduction of rhinorrhea following cold air exposure and following

the ingestion of hot soup, which suggested that this type of rhinorrhea is mediated through a reflex leading to hypersecretion from nasal glands.<sup>2052</sup> IPB is effective in controlling anterior rhinorrhea with no effect on nasal congestion or sneezing.<sup>2053–2058</sup> IPB is available at 0.03% and 0.06% concentration and is effective in adults and children with perennial rhinitis (0.03%) and common cold (0.06%).<sup>2056,2059</sup> It has a quick onset of action and short half-life and can be administered up to six times per day, with less than 10% absorption over a range of 84–336 µg/day.<sup>2060</sup>

Intranasal IPB is poorly absorbed, and systemic side effects have not been observed with therapeutic dosing, as plasma concentrations of greater than 1.8 ng/ml are needed to produce systemic anticholinergic effects.<sup>2060</sup> However, care should be taken to avoid overdosage that could lead to high serum concentrations of ipratropium. Side effects of topical IPB are mostly local (Table II.C).

IPB is FDA-approved for the treatment of seasonal AR in both adults and children (5 years and older). IPB also controls rhinorrhea in children and adults with perennial AR.

The largest study that compared IPB to placebo was conducted on perennial AR and perennial non-allergic rhinitis in pediatric patients aged 6–18 years.<sup>2061</sup> A total of 204 patients were included in this double-blind RCT, divided equally between IPB and placebo subgroups. There was a significant reduction in the severity and duration of rhinorrhea and improvement in QOL in the IPB group. The effect was more pronounced in the perennial non-allergic rhinitis group compared to the perennial AR group (Table XI.B.6).

Evidence on the efficacy of IPB in seasonal AR is derived from two studies, a prospective study and a double-blind RCT. The prospective study included a total of 230 children aged 2–5 years old with seasonal or perennial AR and found that IPB was safe and effective in controlling rhinorrhea.<sup>2059</sup> In the double-blind crossover trial ( $n = 24$ ), adults aged 18–49 with seasonal AR, perennial AR, and non-allergic perennial rhinitis the local pretreatment with IPB effect on methacholine challenge was studied.<sup>2051</sup> IPB was found to be more effective than placebo in suppressing sneezing and nasal hypersecretion with no effect on nasal airway resistance.

When compared to other medications for treating AR, IPB has been shown to be equally effective compared to INCS with respect to nasal drainage. Despite its beneficial effects on rhinorrhea and sneezing, IPB was shown to be inferior to INCS in controlling sneezing.<sup>2062</sup> No head-to-head studies have compared IPB to other AR medications.



**TABLE XI.B.5** Evidence table – intranasal cromolyn for allergic rhinitis

| Study                           | Year | LOE | Study design        | Study groups  | Clinical endpoints                             | Conclusions   |
|---------------------------------|------|-----|---------------------|---|--|---|
| Lejeune et al. <sup>2030</sup>  | 2015 | 2   | DBRCT               | Adults with mild-moderate persistent AR mono-sensitized to HDM:<br>DSCG QID, <i>n</i> = 14<br>Placebo, <i>n</i> = 7   | Nasal symptoms                                 | DSCG was more efficacious than placebo  |
| Pistios et al. <sup>2046</sup>  | 2006 | 2   | RCT                 | Patients with moderate-severe SAR (12–57 years old):<br>MF 200 µg each nostril daily, <i>n</i> = 34<br>Nedocromil sodium 1.3 mg each nostril TID, <i>n</i> = 27         | Nasal symptoms                                 | MF was more efficacious than DSCG   |
| Lange et al. <sup>2037</sup>    | 2005 | 2   | RCT                 | Patients with SAR (18–65 years old):<br>MF 200 µg daily, <i>n</i> = 41<br>Levocabastine HCL 200 µg BID, <i>n</i> = 40<br>DSCG 5.6 mg QID, <i>n</i> = 42                 | Symptom scores<br>PNIF                         | MF was most efficacious<br>Levocabastine was equivalent to DSCG, except levocabastine was more effective for daytime sneezing |
| Meltzer et al. <sup>2025</sup>  | 2002 | 2   | DBRCT               | Patients with SAR (>12 years old):<br>DSCG 4%, one spray q4–6 h, <i>n</i> = 580<br>Placebo, <i>n</i> = 570  | Nasal symptoms                                 | DSCG was more efficacious than placebo  |
| Fisher <sup>2038</sup>          | 1994 | 2   | RCT, blinded        | Patients with SAR (6–15 years old):<br>DSCG six times daily (31.2 mg per day), <i>n</i> = 26<br>Budesonide BID (400 µg per day), <i>n</i> = 30                          | Nasal symptoms                                 | Budesonide was more efficacious than DSCG   |
| Bousquet et al. <sup>2039</sup> | 1993 | 2   | DBRCT<br>No placebo | Patients with SAR:<br>FP 200 µg QD, <i>n</i> = 110<br>DSCG 5.2 mg QID, <i>n</i> = 108   | Nasal/ocular symptoms<br>Rescue medication use | FP was more efficacious for all symptoms except nasal discharge<br>No difference in rescue medication use                     |
| Orgel et al. <sup>2035</sup>    | 1991 | 2   | DBRCT               | Patients with AR (12–56 years old):<br>DSCG 4%, one spray each nostril QID<br>Terfenadine PO BID  | Nasal symptoms                                 | No difference between groups  |
| Schata et al. <sup>2036</sup>   | 1991 | 2   | DBRCT               | Patients with SAR:<br>Levocabastine HCL 0.5 mg/ml, two sprays each nostril QID, <i>n</i> = 18<br>DSCG 20 mg/ml, two sprays QID, <i>n</i> = 19<br>Placebo, <i>n</i> = 20 | Nasal/ocular symptoms                          | Levocabastine was most efficacious  |

(Continues)

TABLE XI.B.5 (Continued)

| Study                               | Year | LOE | Study design     | Study groups  | Clinical endpoints                      | Conclusions   |
|-------------------------------------|------|-----|------------------|---|---|---|
| Schuller et al. <sup>2047</sup>     | 1990 | 2   | DBRCT            | Patients with SAR (12–65 years old):<br>Nedocromil 1%, <i>n</i> = 80<br>DSCG 4%, one spray QID, <i>n</i> = 76<br>Placebo, <i>n</i> = 77   | Nasal symptoms                          | Nedocromil and DSCG were more efficacious than placebo<br>Nedocromil was equivalent to DSCG             |
| Welsh et al. <sup>2040</sup>        | 1987 | 2   | RCT              | Patients with SAR (12–50 years old):<br>BDP two sprays BID (336 µg/day), <i>n</i> = 26<br>Flunisolide two sprays BID (200 µg/day), <i>n</i> = 26<br>DSCG one spray QID (41.6 mg/day), <i>n</i> = 26<br>Placebo, <i>n</i> = 22 | Symptom score<br>Medication use         | All active treatments were better than placebo<br>DSCG was the least effective of the active treatments |
| Bjerrum and Illum <sup>2041</sup>   | 1985 | 2   | DBRCT            | Patients with SAR (15–55 years old):<br>Budesonide 200 µg BID, <i>n</i> = 22<br>DSCG 5.2 mg, five times daily, <i>n</i> = 21  | Nasal symptoms                          | Budesonide was more efficacious than DSCG   |
| Morrow-Brown et al. <sup>2042</sup> | 1984 | 2   | RCT              | Patients with SAR: (11–71 years old):<br>BDP two sprays BID (400 µg/day), <i>n</i> = 47<br>DSCG 2.6 mg, six times daily, <i>n</i> = 39  | Symptom score<br>Medication use         | BDP was more efficacious for symptoms than DSCG<br>No difference in rescue medications between groups   |
| Chandra et al. <sup>2026</sup>      | 1982 | 2   | DBRCT, crossover | Patients with SAR ( <i>n</i> = 47, 9–41 years old):<br>DSCG 4%, one spray q3–4 h<br>Placebo   | Nasal symptoms<br>Medication use        | DSCG was more efficacious than placebo for all endpoints  |
| Brown et al. <sup>2043</sup>        | 1981 | 2   | RCT              | Patients with SAR:<br>DSCG 2.6 mg, six times daily, <i>n</i> = 29<br>Flunisolide spray 25 µg BID, <i>n</i> = 38   | Nasal symptoms                          | Flunisolide was more efficacious than DSCG  |
| Tandon and Strahan <sup>2031</sup>  | 1980 | 2   | DBRCT, crossover | Perennial AR due to animal dander ( <i>n</i> = 14, 13–45 years old):<br>BDP 50 µg QID<br>DSCG 10 mg QID   | Nasal symptoms                          | BDP was more efficacious than DSCG  |
| Craig et al. <sup>2048</sup>        | 1977 | 2   | DBRCT            | Patients with SAR:<br>DSCG 5.2 mg, six times daily, <i>n</i> = 22<br>Placebo, <i>n</i> = 17   | Nasal symptoms<br>Rescue medication use | No difference between groups  |
| Handelman et al. <sup>2027</sup>    | 1977 | 2   | DBRCT            | Patients with SAR (6–51 years old):<br>DSCG 62.4 mg, six times daily, <i>n</i> = 45<br>Placebo, <i>n</i> = 45   | Symptom score<br>Rescue medication use  | DSCG was more efficacious than placebo  |

(Continues)

TABLE XI.B.5 (Continued)

| Study                                | Year | LOE | Study design     | Study groups  | Clinical endpoints                     | Conclusions   |
|--------------------------------------|------|-----|------------------|---|--|---|
| McDowell and Spitz <sup>2032</sup>   | 1977 | 2   | DBRCT, crossover | Patients with perennial AR ( $n = 12$ , 17–71 years old):<br>DSCG 2.5 mg, six times daily<br>Placebo  | Nasal symptoms<br>Cytology             | No significant difference in most patients  |
| Nizami and Baboo <sup>2028</sup>     | 1977 | 2   | DBRCT, crossover | Patients with SAR ( $n = 92$ , 7–59 years old):<br>DSCG 10 mg QID<br>Placebo  | Nasal symptoms                         | DSCG was more efficacious than placebo  |
| Posey and Nelson <sup>2049</sup>     | 1977 | 2   | DBRCT            | Patients with SAR ( $n = 32$ , 12–54 years old):<br>DSCG 4%, six times daily, $n = 17$<br>Placebo, $n = 15$   | Symptom score<br>Rescue medication use | No difference except for in-season use of rescue medications in DSCG group                      |
| Warland and Kapstad <sup>2033</sup>  | 1977 | 2   | DBRCT, crossover | Perennial AR ( $n = 17$ , 15–57 years old):<br>DSCG 10 mg QID<br>Placebo  | Nasal symptoms                         | No difference between groups  |
| Cohan et al. <sup>2034</sup>         | 1976 | 2   | DBRCT, crossover | Perennial AR ( $n = 34$ , 16–37 years old):<br>DSCG 4%, six times daily<br>Placebo  | Symptom score<br>Rescue medication use | DSCG was more efficacious than placebo  |
| Knight et al. <sup>2029</sup>        | 1976 | 2   | DBRCT            | Patients with SAR (10–59 years old):<br>DSCG 10 mg QID, $n = 36$<br>Placebo, $n = 41$   | Nasal symptoms                         | DSCG was more efficacious than placebo for all endpoints  |
| Wilson and Walker <sup>2044</sup>    | 1976 | 2   | RCT              | Adults with SAR:<br>DSCG 10 mg QID, $n = 10$<br>Beclomethasone valerate 100 $\mu$ g BID, $n = 10$   | Nasal symptoms                         | Beclomethasone was more efficacious than DSCG   |
| Frankland and Walker <sup>2045</sup> | 1975 | 2   | DBRCT            | Adults with SAR:<br>DSCG 10 $\mu$ g in each nostril QID (80 $\mu$ g total daily dose), $n = 14$<br>Beclomethasone valerate 100 $\mu$ g in each nostril BID (400 $\mu$ g total daily dose), $n = 19$ | Nasal symptoms<br>PNIF                 | Betamethasone was more efficacious for symptom control<br>No difference between groups for PNIF |

Abbreviations: AR, allergic rhinitis; BDP, beclomethasone dipropionate; BID, twice daily; DBRCT, double-blind randomized controlled trial; DSCG, disodium cromoglycate; FP, fluticasone propionate; HCL, hydrochloride; HDM, house dust mite; LOE, level of evidence; MF, mometasone furoate; PNIF, peak nasal inspiratory flow; QID, four times daily; RCT, randomized controlled trial; SAR, seasonal allergic rhinitis; TID, three times daily.

**TABLE XI.B.6** Evidence table – ipratropium bromide for allergic rhinitis

| Study                              | Year | LOE | Study design             | Study groups  | Clinical endpoints                      | Conclusions   |
|------------------------------------|------|-----|--------------------------|---|---|---|
| Dockhorn et al. <sup>2063</sup>    | 1999 | 2   | DBRCT                    | Perennial AR (8–75 years old):<br>IPB 0.03% (42 µg) two sprays TID + BDP 82 µg BID, <i>n</i> = 109<br>IPB 0.03% (42 µg) two sprays TID, <i>n</i> = 222<br>BDP 82 µg BID, <i>n</i> = 222<br>Placebo, <i>n</i> = 55 | Rhinorrhea                              | IPB more effective than placebo<br>Combined use of IPB with BDP more effective than either agent alone for controlling rhinorrhea |
| Milgrom et al. <sup>2062</sup>     | 1999 | 2   | RCT, blinded, no placebo | Perennial AR, non-allergic perennial rhinitis (6–18 years old):<br>IPB 0.03% (42 µg) two sprays BID, <i>n</i> = 75<br>BDP, <i>n</i> = 71  | Nasal symptoms<br>QOL                   | Equally effective in controlling rhinorrhea and improving QOL<br>BDP more effective in controlling sneezing                       |
| Finn et al. <sup>2064</sup>        | 1998 | 2   | DBRCT, crossover         | Perennial AR, ( <i>n</i> = 205, 18–75 years old):<br>IPB 0.03% (42 µg) TID + terfenadine 60 mg PO BID<br>Placebo + terfenadine  | Nasal symptoms                          | Control of rhinorrhea and sneezing better in IPB-terfenadine<br>No differences in nasal congestion                                |
| Kaiser et al. <sup>2056</sup>      | 1998 | 2   | DBRCT                    | Adults with perennial AR:<br>IPB 0.03% (42 µg) TID<br>IPB 0.06% (84 µg) TID<br>Placebo  | Nasal symptoms                          | High and low dose IPB resulted in significant reduction of nasal hypersecretion   |
| Meltzer et al. <sup>2061</sup>     | 1997 | 2   | DBRCT                    | Perennial AR and non-allergic rhinitis (6–18 years old):<br>IPB 0.03% (42 µg) two sprays BID, <i>n</i> = 102<br>Placebo, <i>n</i> = 102   | Nasal symptoms<br>Medication use<br>QOL | IPB reduced symptoms, with a modest effect noted in perennial AR  |
| Gorski et al. <sup>2065</sup>      | 1993 | 2   | DBRCT                    | Perennial AR ( <i>n</i> = 18, 23–33 years old):<br>IPB 80 µg QID<br>Placebo   | Sneezing                                | IPB resulted in increase in nasal reactivity to histamine, increase in number of sneezes  |
| Meltzer et al. <sup>2066</sup>     | 1992 | 2   | DBRCT                    | Perennial AR (18–70 years old):<br>IPB 21 µg ( <i>n</i> = 48) or 42 µg ( <i>n</i> = 54), one spray TID<br>Placebo ( <i>n</i> = 53)  | Nasal symptoms                          | IPB effective in controlling rhinorrhea   |
| Sanwiskarja et al. <sup>2051</sup> | 1986 | 2   | DBRCT, crossover         | Seasonal or perennial AR ( <i>n</i> = 14), perennial non-allergic rhinitis ( <i>n</i> = 14), 18–49 years old:<br>IPB 80 µg QID<br>Placebo   | Nasal symptoms                          | IPB has suppressive effects on sneezing and hypersecretion but no influence on nasal airway resistance                            |

(Continues)

TABLE XI.B.6 (Continued)

| Study                                 | Year | LOE | Study design   | Study groups  | Clinical endpoints                      | Conclusions   |
|---------------------------------------|------|-----|----------------|---|---|---|
| Schultz Larsen et al. <sup>2067</sup> | 1983 | 2   | RCT, crossover | Perennial AR ( $n = 20$ , 23–84 years old):<br>IPB 80 $\mu\text{g}$ QID<br>Placebo  | Nasal symptoms                          | IPB effective in controlling rhinorrhea   |
| Borum et al. <sup>2068</sup>          | 1979 | 2   | RCT, crossover | Perennial AR ( $n = 20$ , 18–82 years old):<br>IPB 20 $\mu\text{g}$ , one spray QID<br>Placebo  | Nasal symptoms                          | Significant effect on rhinorrhea<br>No effect on other symptoms   |
| Kim et al. <sup>2059</sup>            | 2005 | 3   | Prospective    | Common cold, seasonal/perennial AR ( $n = 230$ , 2–5 years old):<br>Allergy group – IPB 0.06% (42 $\mu\text{g}$ ) one spray TID for 14 days, $n = 187$            | Nasal symptoms                          | IPB effective in controlling rhinorrhea   |
| Kaiser et al. <sup>2057</sup>         | 1995 | 3   | Prospective    | Perennial AR ( $n = 219$ , 18–75 years old):<br>First 6 months: IPB 0.06% (84 $\mu\text{g}$ ) TID<br>6 months–1 year: lowest dose of IPB that controls rhinorrhea | Nasal symptoms<br>Medication use<br>QOL | IPB effective in controlling rhinorrhea, congestion, PND, sneezing<br>Reduction in medication use, improvement in QOL |

Abbreviations: AR, allergic rhinitis; BDP, beclomethasone dipropionate; BID, twice daily; DBRCT, double-blind randomized controlled trial; IPB, ipratropium bromide; LOE, level of evidence; PND, postnasal drainage; PO, per os (by mouth); QID, four times daily; QOL, quality of life; RCT, randomized controlled trial; TIC, three times daily.

### Intranasal anticholinergics (ipratropium bromide)

**Aggregate grade of evidence:** A (Level 2: 10 studies; level 3: 2 studies; Table XI.B.6)

**Benefit:** Reduction of rhinorrhea with topical anticholinergics.

**Harm:** Care should be taken to avoid overdosage leading to systemic side effects. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Preponderance of benefit over harm in AR patients with rhinorrhea.

**Value judgments:** Benefits limited to controlling rhinorrhea. Can be used as add on treatment for AR patients with persistent rhinorrhea despite first line medical management.

**Policy level:** Option.

**Intervention:** IPB nasal spray may be used as an adjunct medication to INCS in AR patients with persistent rhinorrhea.

## XI.B.7 | Biologics

The biologics investigated for treating allergic conditions include omalizumab, mepolizumab, dupilumab, benralizumab, and reslizumab.<sup>2069</sup> These compounds work by targeting specific components of the pathways involved in type 2 inflammation. Omalizumab acts on IgE; dupilumab on the IL-4 receptor  $\alpha$  subunit (recognized by IL-4 and IL-13); and mepolizumab, benralizumab, and reslizumab on IL-5 or its receptor.<sup>2069</sup> Only omalizumab and dupilumab have been studied specifically for AR. Biologics are currently FDA approved for the treatment of moderate to severe persistent asthma, AD, CRSwNP, chronic idiopathic urticaria, and eosinophilic esophagitis (EoE), but not for AR.<sup>2070</sup>

Omalizumab interferes with the allergic cascade by binding the serum free IgE molecules and preventing them from attaching to mast cells and basophils.<sup>2071</sup> Trials using omalizumab as a monotherapy in treating AR have been favorable (Table XI.B.7.-1). Two systematic reviews demonstrated decreased use of rescue medication, improvement of overall symptoms and QOL in patients treated with



**TABLE XI.B.7.-1** Evidence table – omalizumab for allergic rhinitis

| Study                             | Year | LOE | Study design | Study groups                             | Clinical endpoints                              | Conclusions  |
|-----------------------------------|------|-----|--------------|--|---|--|
| Yu et al. <sup>2073</sup>         | 2020 | 1   | SRMA         | Omalizumab<br>Placebo<br><i>n</i> = 3458 | Symptoms<br>Rescue medication<br>QOL            | Omalizumab superior to placebo<br>Generally well tolerated                             |
| Tsabori et al. <sup>2072</sup>    | 2014 | 1   | SRMA         | Omalizumab<br>Placebo<br><i>n</i> = 2870 | Symptoms<br>Rescue medication<br>QOL            | Omalizumab superior to placebo<br>Generally well tolerated                             |
| Casale et al. <sup>2087</sup>     | 2006 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Adverse events                      | Omalizumab superior to placebo<br>Well tolerated                                       |
| Okubo et al. <sup>2078</sup>      | 2006 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Rescue medication                   | Omalizumab effective and well tolerated in cedar pollen AR                             |
| Chervinsky et al. <sup>2077</sup> | 2003 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Rescue medication<br>QOL            | Omalizumab effective and well tolerated in perennial AR                                |
| Kuehr et al. <sup>2088</sup>      | 2002 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Rescue medication<br>Adverse events | Omalizumab superior to placebo<br>Well tolerated                                       |
| Casale et al. <sup>2076</sup>     | 2001 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Rescue medication<br>QOL            | Dose-finding trial, 300 mg dose effective in improving symptoms and QOL versus placebo |
| Adelroth et al. <sup>2075</sup>   | 2000 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Rescue medication<br>QOL            | Omalizumab superior to placebo in improving symptoms and QOL<br>Well tolerated         |
| Casale et al. <sup>2074</sup>     | 1997 | 2   | RCT          | Omalizumab<br>Placebo                    | Symptoms<br>Rescue medication<br>QOL            | First dose-finding study<br>Safety confirmed   |

Abbreviations: AR, allergic rhinitis; LOE, level of evidence; QOL, quality of life; RCT, randomized controlled trial; SRMA, systematic review and meta-analysis.

omalizumab.<sup>2072,2073</sup> The effectiveness of omalizumab monotherapy was assessed for both seasonal and perennial AR.<sup>2074–2078</sup> Omalizumab monotherapy achieved significant improvement of nasal symptom score, ocular symptom score, medication symptom score, and QOL with the corresponding reduction of emergency drug use and serum IgE levels. Together with the marked reduction of free serum IgE level, there was notable inhibition of specific inflammatory mediators tryptase and ECP in the nasal secretions.<sup>2079,2080</sup> When compared to suplatast tosilate, a selective Th2 cytokine inhibitor (a drug sometimes used as a prophylaxis for atopic asthma), omalizumab was superior in treating patients with seasonal AR.<sup>2081</sup>

Studies showed favorable safety profiles with adverse events such as local injection site reactions and anaphylaxis, with no significant difference observed compared to placebo. The dosing is based on the serum tIgE level (IU/ml) and the body weight (kg) prior to the initiation of treatment where most studies used dosing from 75 to 375 mg of omalizumab administered every 2–4 weeks and

mean duration of treatment of 16 weeks. Given the weight-based dosing regimen, cost of treatment with omalizumab varies between \$10,000 and \$32,000 per year.<sup>2082</sup>

Omalizumab has been evaluated as a combination therapy with AIT. This is addressed in Section XI.D.10. Combination Biologic Therapy and Subcutaneous Immunotherapy.

Another biologic investigated for the treatment of allergic airway diseases is dupilumab, which works through binding of IL-4Rα to inhibit IL-4 and IL-13.<sup>2083</sup> Dupilumab was shown to be effective when administered as an adjunct treatment in patients with uncontrolled persistent asthma and comorbid AR.<sup>2084</sup> Similar findings were observed in a post hoc analysis of patients having uncontrolled moderate-to-severe asthma and comorbid perennial AR receiving add on dupilumab therapy.<sup>2085</sup> In another multicenter trial, combination therapy did not significantly improve total symptom score but it resulted in better tolerance to AIT with less withdrawal and fewer requirement of rescue medicine.<sup>2086</sup> These results suggest dupilumab

**TABLE XI.B.7.-2** Evidence table – dupilumab for allergic rhinitis

| Study                            | Year | LOE | Study design                        | Study groups  | Clinical endpoints     | Conclusions  |
|----------------------------------|------|-----|-------------------------------------|---|------------------------|--|
| Corren et al. <sup>2086</sup>    | 2021 | 2   | Phase 2a RCT                        | SCIT + dupilumab<br>SCIT<br>Placebo<br><i>n</i> = 103                       | TNSS                   | No difference between SCIT-dupilumab versus SCIT alone for TNSS<br>Reduction of rescue treatment with SCIT-dupilumab versus SCIT alone |
| Busse et al. <sup>2085</sup>     | 2020 | 3   | Post hoc analysis of phase 3 study  | Add on therapy with dupilumab 200 mg or 300 mg<br>Placebo<br><i>n</i> = 814 | RQLQ<br>Total and sIgE | Both dupilumab doses superior to placebo   |
| Weinstein et al. <sup>2084</sup> | 2018 | 3   | Post hoc analysis of phase 2b study | Dupilumab 200 mg or 300 mg<br>Placebo<br><i>n</i> = 392                     | SNOT-22                | Dupilumab 300 mg superior to placebo<br>No difference between dupilumab 200 mg and placebo<br>Generally well tolerated                 |

Abbreviations: LOE, level of evidence; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SCIT, subcutaneous immunotherapy; sIgE, allergen-specific immunoglobulin E; SNOT-22, Sinonasal Outcome Test (22 item); TNSS, Total Nasal Symptom Score.

may have a role in treating AR, at the time of this writing it is not FDA approved for this indication (Table XI.B.7.-2).

In treating refractory AR that has failed optimal pharmacological treatment, biologics show promising results. Omalizumab has been the most studied and appears to be efficacious in symptom reduction, medicine use, and improvement in QOL with favorable safety profile. Current limitations in the widespread use of biologics for the treatment of AR are related mostly to the high cost of treatment and lack of FDA approval. In addition, it is foreseeable that the use of biologics will be long-term and once discontinued the symptoms may recur. Although there is no subgroup analysis to determine the efficacy of biologics in AR with comorbid bronchial asthma, the cost to benefit analysis is expected to improve considerably in such cases.<sup>2072</sup>

### Biologics

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 8 studies, level 3: 2 studies; Tables XI.B.7.-1 and XI.B.7.-2)

**Benefit:** Omalizumab treatment resulted in improvement of symptoms, rescue medication and QOL as a monotherapy. Dupilumab data is less robust and needs further investigation.

**Harm:** Local reaction at injection site and risk of anaphylaxis.

**Cost:** High.

**Benefits-harm assessment:** Benefit outweighs harm.

**Value judgments:** Biologic therapies show promise as a treatment option for AR; however, no biologic therapies have been approved by the US FDA for this indication.

**Policy level:** Option based upon published evidence, although not currently approved for this indication.

**Intervention:** Monoclonal antibody (biologic) therapies are not currently approved for the treatment of AR.

## XI.B.8 | Intranasal saline

Nasal saline is a frequently utilized therapy in the treatment of AR. The term “nasal saline,” however, encompasses a wide variety of therapeutic regimens. These can include differences in solution characteristics, such as salinity (hypertonic versus isotonic/normal saline) and buffering (buffered versus non-buffered), and differences in frequency, volume, and mode of administration.

This review included only level 1 and 2 evidence published in the English language evaluating nasal saline in the treatment of AR. Search methodologies identified nine RCTs in adults<sup>2089–2097</sup> (Table XI.B.8.-1) and one systematic review<sup>2098</sup> and eight RCTs<sup>2099–2106</sup> in children (Table XI.B.8.-2). Three SRMAs<sup>2107–2109</sup> have been performed including both adults and children

**TABLE XI.B.8.-1** Evidence table – nasal saline for allergic rhinitis in adults

| Study                                  | Year | LOE | Study design        | Study groups   | Clinical endpoints  | Conclusions   |
|--|------|-----|---------------------|--|---|---|
| Yata et al. <sup>2097</sup>            | 2021 | 2   | DBRCT               | Patients with AR:<br>3% saline irrigations<br>BID<br>0.9% saline irrigations<br>BID<br>*All groups received<br>oral antihistamine  | VAS: nasal<br>congestion,<br>rhinorrhea<br>Inferior turbinate size<br>Peak nasal expiratory<br>flow | At 2 weeks, no significant<br>differences in any of<br>the outcomes between<br>groups   |
| Sansila<br>et al. <sup>2095</sup>      | 2020 | 2   | SBRCT               | Patients with AR:<br>1.8% self-prepared<br>hypertonic saline<br>irrigations BID<br>0.9% commercial<br>isotonic saline<br>irrigation BID<br>*All groups continued<br>to use medications<br>for control  | QOL (Rcq-36)<br>TNSS  | At 4 weeks, 1.8% saline<br>group had significantly<br>better QOL and<br>congestion symptom<br>scores versus 0.9%<br>saline formula  |
| Di Berardino<br>et al. <sup>2094</sup> | 2017 | 2   | RCT, no blinding    | Patients with SAR:<br>Hypertonic saline<br>spray TID<br>No local or intranasal<br>treatment  | Symptom score<br>Oral antihistamine<br>use<br>Mucociliary clearance<br>time                         | Symptoms, oral<br>antihistamine use,<br>mucociliary clearance<br>times significantly<br>better in hypertonic<br>saline group  |
| Lin et al. <sup>2096</sup>             | 2017 | 2   | RCT, no blinding    | Patients with<br>persistent AR:<br>Saline irrigation BID<br>INCS BID   | Nasal symptom score<br>mini-RQLQ  | After 30 days, nasal<br>symptom scores similar<br>RQLQ significantly better<br>with INCS versus saline<br>irrigation  |
| Chusakul<br>et al. <sup>2093</sup>     | 2013 | 2   | DBRCT,<br>crossover | Patients with AR:<br>Nonbuffered isotonic<br>saline irrigations<br>BID (pH 6.2–6.4)<br>Buffered isotonic<br>saline irrigations<br>with mild alkalinity<br>BID (pH 7.2–7.4)<br>Buffered isotonic<br>saline irrigations<br>with alkalinity BID<br>(pH 8.2–8.4) | Nasal symptom score<br>Mucociliary clearance<br>time<br>Nasal patency<br>Patient preference         | After 10 days, nasal<br>symptoms improved<br>from baseline only by<br>buffered isotonic saline<br>with mild alkalinity,<br>which was significantly<br>preferred by patients |
| Garavello<br>et al. <sup>2092</sup>    | 2010 | 2   | RCT, no blinding    | Pregnant women with<br>SAR:<br>Hypertonic saline<br>irrigations TID<br>No local therapy  | Nasal symptom score<br>Oral antihistamine<br>use<br>Nasal resistance                                | Over 6 weeks, hypertonic<br>saline irrigations<br>improved nasal<br>symptoms, oral<br>antihistamine use, and<br>nasal resistance, versus<br>no local therapy                |
| Ural et al. <sup>2091</sup>            | 2009 | 2   | RCT, no blinding    | Patients with<br>perennial AR:<br>Hypertonic saline<br>irrigations BID<br>Isotonic saline<br>irrigations BID   | Mucociliary clearance<br>time   | After 10 days, isotonic<br>saline significantly<br>improved mucociliary<br>clearance times;<br>hypertonic saline did<br>not   |

(Continues)

TABLE XI.B.8.-1 (Continued)

| Study                           | Year | LOE | Study design     | Study groups  | Clinical endpoints                         | Conclusions  |
|---------------------------------|------|-----|------------------|---|--|--|
| Cordray et al. <sup>2089</sup>  | 2005 | 2   | SBRCT            | Patients with SAR:<br>Dead Sea saline spray<br>TID<br>Aqueous triamcinolone spray daily<br>Placebo nasal saline spray TID | RQLQ                                       | After 7 days, Dead Sea saline group had clinically and statistically significant overall improvement from baseline but not as pronounced as the triamcinolone group, no improvement in the placebo group |
| Rogkakou et al. <sup>2090</sup> | 2005 | 2   | RCT, no blinding | Patients with persistent AR:<br>Hypertonic saline spray QID<br>No saline<br>*All groups received cetirizine               | Nasal symptoms<br>RHINASTHMA Questionnaire | Addition of hypertonic saline resulted in a significant improvement in nasal symptoms and QOL  |

Abbreviations: AR, allergic rhinitis; BID, twice daily; DBRCT, double-blind randomized controlled trial; INCS, intranasal corticosteroid; LOE, level of evidence; QID, four times daily; QOL, quality of life; Rcq-36, Rhinoconjunctivitis Quality of Life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SAR, seasonal allergic rhinitis; SBRCT, single-blind randomized controlled trial; TID, three times daily; TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

(Table XI.B.8.-3). Compared to no irrigations, all found symptoms and patient-reported disease severity were significantly better in the saline irrigation group.<sup>2107–2109</sup> Hermelingmeier et al.<sup>2107</sup> also identified a 24%–100% reduction in medication usage, as well as an improvement of 30%–37% in QOL, and suggested that children may benefit less than adults.

**Adult population.** All studies found improvements in clinical outcomes with the utilization of nasal saline, with formulas varying in salinity, buffering, and frequency, volume, and mode of administration. Studies also varied in the types of AR evaluated.<sup>2089–2097</sup> Compared to no intranasal treatment, hypertonic saline was found to significantly improve outcomes, including nasal symptoms, QOL, and oral antihistamine use.<sup>2090,2092,2094</sup> Ural et al.<sup>2091</sup> further compared hypertonic and isotonic saline irrigations, finding improved mucociliary clearance with the isotonic solution only. Looking at subjective outcomes with hypertonic versus isotonic solutions, however, Cordray et al.<sup>2089</sup> and Sansila et al.<sup>2095</sup> found QOL and symptom score were better with hypertonic solutions. Finally, Yata et al.<sup>2097</sup> evaluated both subjective and objective outcomes and found no difference between hypertonic and isotonic saline irrigations. Focusing on isotonic saline with various degrees of buffering, Chusakul et al.<sup>2093</sup> found that after 10 days buffered isotonic saline with mild alkalinity had the greatest impact on reducing nasal symptom scores and was preferred by most patients. Both Cordray et al.<sup>2089</sup> and Lin et al.<sup>2096</sup> found INCS had similar effi-

cacy in improving nasal symptoms but showed statistically significant improvement in QOL outcomes compared to saline spray.

**Pediatric population.** All studies found an improvement in clinical outcomes with the incorporation of nasal saline.<sup>2098–2106</sup> Compared to no irrigations, hypertonic and isotonic saline were found to improve outcomes, including nasal symptoms, oral antihistamine use, and QOL.<sup>2100,2101,2106</sup> Supporting these findings, a 2019 SRMA found significantly better nasal symptom scores and a lower rate of rescue antihistamine use with hypertonic saline irrigations compared to the control group (isotonic saline and no irrigations).<sup>2098</sup> Further, studies have shown that that hypertonic saline irrigations resulted in a greater improvement in nasal symptom scores in children than isotonic saline.<sup>2102,2103,2105</sup> Finally, Li et al.<sup>2099</sup> and Chen et al.<sup>2104</sup> found an additive effect in the utilization of nasal saline spray as an adjunct to INCS when compared to either therapy independently.

Overall, there is substantial evidence to support the use of nasal saline in the treatment of AR. In adults, the data is conflicting regarding optimal salinity of the solution. In children, there is some data to support a hypertonic solution being more effective. Although nasal saline demonstrates improvement in symptoms and QOL outcomes when used alone, it is often implemented with other therapies, such as INCS, intranasal antihistamines, or oral antihistamines. In both adults and children, nasal saline appears to have an additive effect when used in

**TABLE XI.B.8.-2** Evidence table – nasal saline for allergic rhinitis in children

| Study  | Year | LOE | Study design     | Study groups  | Clinical endpoints   | Conclusions  |
|--|------|-----|------------------|---|--|--|
| Li et al. <sup>2098</sup>                    | 2019 | 1   | SRMA             | Patients with AR:<br>Hypertonic saline irrigations<br>Control (isotonic saline, no irrigations)   | Nasal symptom score<br>Rescue antihistamine use  | Hypertonic saline group had significantly better nasal symptom scores and a lower rate of rescue antihistamine use versus control group                              |
| Jung et al. <sup>2106</sup>                  | 2020 | 2   | RCT, no blinding | Patients with AR:<br>Isotonic saline irrigations daily<br>No irrigations<br>*All groups received montelukast, levocetirizine, inhaled glucocorticoid            | PC20<br>QOL scores (Asthma Control Test, Questionnaire for Quality-of-Life Specific to Allergic Rhinitis in Korean Children)<br>FeNO | After 12 weeks, PC20 and QOL scores significantly improved in irrigation group versus baseline<br>No significant change differences in any endpoints between groups  |
| Malizia et al. <sup>2105</sup>               | 2017 | 2   | RCT, no blinding | Patients with AR:<br>Buffered hypertonic saline spray BID<br>Normal saline spray BID  | Total 5 symptom score<br>Nasal cytology<br>Pediatric RQLQ<br>Pittsburgh Sleep Quality Index  | After 21 days, symptom scores significantly better in the buffered hypertonic group versus normal saline group   |
| Chen et al. <sup>2104</sup>                  | 2014 | 2   | RCT, no blinding | Patients with persistent AR:<br>INCS daily<br>Seawater spray daily<br>Both  | Nasal symptom score<br>Nasal signs   | After 3 months, all groups improved<br>Combination therapy group had more significant improvements than other arms   |
| Marchisio et al. <sup>2102</sup>             | 2012 | 2   | SBRCT            | Patients with SAR:<br>Hypertonic saline irrigations BID<br>Normal saline irrigations BID<br>No irrigations  | Nasal symptom score<br>Turbinate, adenoid hypertrophy, middle ear effusion<br>Oral antihistamine use                                 | After 4 weeks, hypertonic saline significantly better in improving all endpoints<br>Nasal symptom score significantly improved in normal saline versus control group |
| Satdhabudha and Poachanukoon <sup>2103</sup> | 2012 | 2   | DBRCT            | Patients with AR:<br>Buffered hypertonic saline BID<br>Normal saline irrigations BID<br>*All groups allowed to continue to use previous medications for control | Saccharin clearance time<br>TNSS<br>QOL score (Rcq-36)<br>Oral antihistamine use   | Over 4 weeks, greater improvement in saccharin clearance time and symptoms with buffered hypertonic saline<br>No significant difference in QOL or antihistamine use  |
| Li et al. <sup>2099</sup>                    | 2009 | 2   | RCT, no blinding | Persistent AR:<br>INCS daily<br>Isotonic saline irrigations BID<br>Both<br>*All groups received oral antihistamine  | Nasal symptom score<br>Mucociliary clearance<br>Nasal secretions   | After 12 weeks, all groups improved<br>Combination therapy group had more significant improvement than other arms  |

(Continues)



TABLE XI.B.8.-2 (Continued)

| Study                            | Year | LOE | Study design     | Study groups  | Clinical endpoints                            | Conclusions   |
|----------------------------------|------|-----|------------------|---|---|---|
| Garavello et al. <sup>2101</sup> | 2005 | 2   | RCT, no blinding | Patients with SAR:<br>Hypertonic saline irrigations TID<br>No irrigations | Nasal symptom score<br>Oral antihistamine use | After 7 weeks, hypertonic saline irrigations during pollen season had a significant improvement in nasal symptoms and oral antihistamine versus no therapy    |
| Garavello et al. <sup>2100</sup> | 2003 | 2   | RCT, no blinding | Patients with SAR:<br>Hypertonic saline irrigations TID<br>No irrigations | Nasal symptom score<br>Oral antihistamine use | Over 5 weeks, hypertonic saline irrigations during pollen season had a significant improvement in nasal symptoms and oral antihistamine use versus no therapy |

Abbreviations: AR, allergic rhinitis; BID, twice daily; DBRCT, double-blind randomized controlled trial; FeNO, fractional exhaled nitric oxide; INCS, intranasal corticosteroid; LOE, level of evidence; PC20, provocative concentrations of methacholine causing a 20% decrease in FEV<sub>1</sub>; QOL, quality of life; Rcq-36, Rhinoconjunctivitis Quality of Life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SAR, seasonal allergic rhinitis; SBRCT, single-blind randomized controlled trial; SRMA, systematic review and meta-analysis; TID, three times daily; TNSS, Total Nasal Symptom Score.

TABLE XI.B.8.-3 Evidence table – nasal saline for allergic rhinitis in adults and children

| Study                                  | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|--|------|-----|--------------|--|---|---|
| Wang et al. <sup>2109</sup>            | 2020 | 1   | SRMA         | Patients with AR,<br>multiple comparisons:<br>Saline versus no irrigations<br>Saline irrigation versus INCS<br>Hypertonic versus isotonic saline | Nasal symptom score   | Symptom scores significantly better with saline irrigation versus no irrigation in adults and children<br><br>INCS was superior to saline irrigation in adults but similar in children<br><br>Hypertonic saline was superior in efficacy to isotonic saline |
| Head et al. <sup>2108</sup>            | 2018 | 1   | SRMA         | Patients with AR:<br>Saline irrigations<br>No irrigations  | Patient-reported disease severity<br>Common adverse events          | Saline irrigations may reduce patient-reported disease severity versus no saline irrigation at up to 3 months in adults and children, with no reported adverse effects  |
| Hermeling-meier et al. <sup>2107</sup> | 2012 | 1   | SRMA         | Patients with AR:<br>Saline irrigations<br>No irrigations  | Nasal symptom score<br>Medicine use<br>Mucociliary clearance<br>QOL | Up to 7 weeks, saline irrigations improve nasal symptoms, medicine use, and mucociliary clearance time, versus no therapy<br>Children benefit less than adults  |

Abbreviations: AR, allergic rhinitis; INCS, intranasal corticosteroid; LOE, level of evidence; QOL, quality of life; SRMA, systematic review and meta-analysis.

combination with other standard AR treatments. Further, nasal saline is of relatively low cost and has an excellent safety profile. While adverse effects are rare, they can include nasal irritation, sneezing, cough, and ear fullness (Table II.C).

### Intranasal saline

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 17 studies; Tables XI.B.8.-1, XI.B.8.-2, and XI.B.8.-3)

**Benefit:** Improved nasal symptoms and QOL, reduction in oral antihistamine use, and improved mucociliary clearance. Well-tolerated with excellent safety profile.

**Harm:** Nasal irritation, sneezing, cough, and ear fullness. See Table II.C.

**Cost:** Minimal.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Nasal saline can and should be used as a first line treatment in patients with AR, either alone or combined with other pharmacologic treatments as evidence supports an additive effect. Hypertonic saline may be more effective in children. Data is otherwise inconclusive on optimal salinity, buffering, and frequency and volume of administration.

**Policy level:** Strong recommendation.

**Intervention:** Nasal saline is strongly recommended as part of the treatment strategy for AR.

## XI.B.9 | Probiotics

The relationship between the microbiome and the development of atopy is complex and incompletely understood. The hygiene hypothesis theorizes that modern sanitized living conditions reduce microbial exposure resulting in inadequate immune priming. Low biodiversity in early life affects the immune system and can result in a pro-inflammatory response, including allergic oversensitization. Conversely, appropriate microbial exposure in infancy influences gut biodiversity, thereby increasing regulatory T cell action and immune tolerance. (See Section VI.J. Microbiome and Section VIII.C.3. Hygiene Hypothesis for additional information on this topic.)

Probiotics induce immunomodulatory effects on gut-associated lymphoid tissue. The gut microbiome and

the immune system interact via dendritic cells, regulatory T cells, bacterial metabolites, and cytokines. Probiotic exposure induces a Th1 response via IL-12, IFN- $\gamma$ , with upregulation of Treg cells via IL-10 and TGF- $\beta$ . Furthermore, the allergy-associated Th2 pathway is suppressed through downregulation of IL-4, tIgE, IgG1, and IgA.<sup>2110</sup>

Numerous RCTs have examined the therapeutic role of probiotic administration for the control of AR symptoms. Several high-quality meta-analyses have been performed on aggregate data from RCTs. Results in children and adults have been mixed.

Guvenc et al.<sup>2111</sup> performed a meta-analysis of 22 RCTs comprising 2242 patient aged 2–65 years with seasonal or perennial AR who were treated with daily probiotic or placebo in addition to standard allergy therapies for 4 weeks to 12 months. The primary outcomes of the study were nasal/ocular symptom scores and QOL. Seventeen trials demonstrated clinical benefit of probiotics with improvement in nasal symptoms (standardized mean difference [SMD])  $-1.23$ ,  $p < 0.001$ , ocular symptoms (SMD  $-1.84$ ,  $p < 0.001$ ), total QOL (SMD  $-1.84$ ,  $p < 0.001$ ), nasal QOL (SMD  $-2.30$ ,  $p = 0.006$ ), and ocular QOL (SMD  $-3.11$ ,  $p = 0.005$ ).

Zajac et al.<sup>2112</sup> performed a meta-analysis of 21 RCTs and two randomized crossover studies that included 1919 adult and pediatric patients with seasonal or perennial AR. Patients were treated with 3 weeks to 12 months of probiotic or placebo. The primary outcomes were validated QOL, symptom scores, and immunologic variables. Seventeen studies demonstrated clinical benefit of probiotics for AR. Meta-analysis demonstrated improvement in RQLQ global score (SMD  $-2.23$ ,  $p = 0.02$ ) and RQLQ nasal symptom score (SMD  $-1.21$ ,  $p < 0.00001$ ). No effect of probiotic administration was found for Rhinitis Total Symptom Score, tIgE, or sIgE.

Du et al.<sup>2113</sup> published a meta-analysis of 19 RCTs comprising a total of 5264 healthy children treated with at least 6 months of probiotic or placebo. Ten RCTs reported no difference in the risk of developing AR (RR 1.03;  $p = 0.83$ ) or a positive SPT (RR 0.74;  $p = 0.13$ ) after administration of oral probiotics.

Zuccotti et al.<sup>2114</sup> reported a meta-analysis of 17 RCTs comparing probiotics versus placebo in 4755 children. The primary endpoint was to determine if supplementation of probiotics in pregnancy or early infancy reduced the relative risk of eczema, asthma, wheezing, and rhinoconjunctivitis. No significant difference in terms of prevention of asthma, wheezing or rhinoconjunctivitis was noted (RR 0.91;  $p = 0.53$ ), whereas the relative risk of eczema in the treatment group was significantly lower than controls (RR = 0.78;  $p = 0.0003$ ).

Probiotics are inexpensive and well tolerated in patients with minimal side effects (e.g., flatulence, diarrhea, and abdominal pain). The data from meta-analyses and RCTs suggests a potential benefit of probiotics in reduction of symptoms of seasonal and perennial AR in both adults and children but interpretation is limited by the heterogeneity of age, diagnosis, interventions, and outcomes included in the studies. The current data indicate that administration of probiotics in infancy does not reduce the diagnosis of most atopic diseases, with exception of eczema.

### Probiotics

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 5 studies; Table XI.B.9)

**Benefit:** Improved nasal/ocular symptoms or QOL in most studies.

**Harm:** Mild gastrointestinal side effects.

**Cost:** Low.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Minimal harm associated with probiotics. Heterogeneity across studies makes magnitude of benefit difficult to quantify. Variation in organism and dosing across trials prevents specific recommendations for treatment.

**Policy level:** Option.

**Intervention:** Consider adjuvant use of probiotics for patients with symptomatic seasonal or perennial AR.

symptoms of AR while concomitantly improving nasal airflow.<sup>296,1488,2120,2121</sup>

RCTs have demonstrated that combination antihistamine-decongestant medications including fexofenadine-pseudoephedrine, desloratadine-pseudoephedrine, cetirizine-pseudoephedrine, loratadine-pseudoephedrine, and others reduce AR symptoms including rhinorrhea, nasal congestion, nasal itching, and sneezing when compared to placebo.<sup>1477,1492,1959,1960,1962–1964,1968,1970,2121–2130</sup>

Combination oral antihistamine-oral decongestant medications have also been shown to reduce nasal congestion symptoms versus oral antihistamine alone or versus oral decongestant alone.<sup>1477,1492,1959,1960,1962–1964,1968,1970,2121–2130</sup>

Studies have also demonstrated that once daily dosing of combination oral antihistamine-oral decongestant medications are statistically equivalent to twice daily dosing with regard to symptom relief<sup>2131,2132</sup> and that different antihistamine-decongestant combinations are statistically equivalent in improving symptom scores.<sup>2132–2136</sup>

In some studies, oral antihistamine-oral decongestant combination medications are reported to be superior to INCS with regard to improving AR symptoms, particularly nasal congestion.<sup>1488,2137,2138</sup>

In contrast, cetirizine-pseudoephedrine was not superior to xylometazoline nasal decongestant spray alone in improving nasal airflow and nasal obstruction symptoms<sup>2139</sup> (Table XI.B.10.a).

Oral antihistamines may cause sedation and dry mouth, especially in the case of first-generation antihistamines such as doxylamine and diphenhydramine; oral antihistamines may also cause urinary retention.<sup>296,2120</sup> Oral decongestants, through their actions on  $\alpha$ -1 receptors may cause palpitations, insomnia, jitteriness, and dry mouth. Oral decongestants or oral antihistamine-decongestant combinations are typically not recommended by their manufacturers in patients under 12 years old, while oral antihistamines other than cetirizine are typically not recommended in patients under age 2.<sup>296,2120</sup> Over-the-counter sales of oral decongestants and oral antihistamine-oral decongestant combinations are typically monitored or restricted given their potential use in the illicit manufacture of methamphetamines. Oral decongestants should be used with caution in pregnant patients and patients with cardiac arrhythmias, hypertension, or benign prostatic hypertrophy. Oral antihistamines should be used with caution in patients with preexisting cardiac conditions, patients taking monoamine oxidase inhibitors, narcotic pain medications or other sedating medications, and some antiseizure medications<sup>296,2120</sup> (Table II.C).

## XI.B.10 | Combination therapy

### XI.B.10.a | Oral antihistamine and oral decongestant

Oral antihistamines, commonly used for treatment of AR, target the H<sub>1</sub> histamine receptor, block histamine receptor binding, and prevent histamine-mediated symptoms of AR such as pruritus, sneezing, vasodilation, and flushing. The effect of oral antihistamines on nasal obstruction in AR may be less pronounced. Oral decongestants such as phenylephrine or pseudoephedrine, which are typically sympathomimetic drugs that target  $\alpha$ -1 receptors causing blood vessel constriction, cause more pronounced nasal decongestion. Oral antihistamines can thus be combined with oral decongestants to reduce histamine-mediated

**TABLE XI.B.9** Evidence table – probiotics for allergic rhinitis

| Study <sup>a</sup>                          | Year | LOE | Study design          | Study groups  | Clinical endpoints  | Conclusions  |
|---|------|-----|-----------------------|---|---|--|
| Du et al. <sup>2113</sup>                   | 2019 | 1   | SRMA                  | 17 RCTs, 5264 children  | Clinical diagnosis of asthma, wheeze, AR, positive SPT    | No reduction of asthma, wheeze, AR, or positive SPT with probiotic   |
| Zuccotti et al. <sup>2114</sup>             | 2016 | 1   | SRMA                  | 17 RCTs:<br>Probiotic, <i>n</i> = 2381<br>Control, <i>n</i> = 2374  | Eczema, prevention of asthma and rhinoconjunctivitis      | Lower relative risk for eczema with probiotic versus control<br>No significant difference in prevention of asthma or rhinoconjunctivitis |
| Guvenç et al. <sup>2111</sup>               | 2015 | 1   | SRMA                  | 22 DBRCTs, 2242 patients  | Total nasal and ocular symptom scores<br>QOL              | Probiotics showed significant reduction of nasal and ocular symptom scores versus placebo  |
| Zajac et al. <sup>2112</sup>                | 2015 | 1   | SRMA                  | 21 RCTs, two crossover studies, 1919 patients   | RQLQ<br>RTSS<br>Total IgE                                 | Improvement in RQLQ with probiotic versus placebo<br>No effect on RTSS or total IgE  |
| Anania et al. <sup>2115</sup>               | 2021 | 2   | RCT                   | 250 children with AR on conventional therapy:<br>Probiotic<br>Placebo   | Nasal symptom score                                       | Probiotic group had significant reduction in nasal symptom score   |
| Jalali et al. <sup>2116</sup>               | 2019 | 2   | Randomized, crossover | 152 patients with persistent AR   | SF-36<br>SNOT-22<br>CARAT                                 | SF-36 improved versus baseline in both groups<br>Probiotic group showed more reduction in SNOT-22 and CARAT                              |
| Sumadiono et al. <sup>2117</sup>            | 2018 | 2   | RCT                   | Three groups:<br>Cetirizine, <i>n</i> = 15<br>Cetirizine + Protexin probiotic, <i>n</i> = 26<br>Cetirizine + AIT, <i>n</i> = 23 | Symptoms of AR (sneezing, rhinorrhea, itchy nose)         | Certizine-probiotic had significant improvement in AR symptoms versus cetirizine alone   |
| Dennis-Wall et al. <sup>2118</sup>          | 2017 | 2   | DBRCT                 | <i>n</i> = 173 participants: probiotic versus placebo for 8 weeks   | mRQLQ scores<br>Changes in immune markers (IgE and IL-10) | Probiotic group reported an improvement in the mRQLQ   |
| Miraglia Del Giudice et al. <sup>2119</sup> | 2017 | 2   | RCT                   | Probiotic versus placebo, <i>n</i> = 40 children  | Total symptom score<br>mRQLQ                              | Improvement in AR symptoms and QOL with probiotic  |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; CARAT, Control of Allergic Rhinitis and Asthma Test; DBRCT, double-blind randomized controlled trial; IgE, immunoglobulin E; IL, interleukin; LOE, level of evidence; mRQLQ, mini Rhinoconjunctivitis Quality of Life Questionnaire; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; RTSS, Rhinitis Total Symptom Score; SF-36, 36-item Short Form Survey; SNOT-22, Sinonasal Outcome Test (22 item); SPT, skin prick test; SRMA, systematic review and meta-analysis.

<sup>a</sup>Relevant prior studies included in SRMAs.

**TABLE XI.B.10.a** Evidence table – combination therapy: oral antihistamine and oral decongestant

| Study                             | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions  |
|-----------------------------------|------|-----|--------------|---|--|--|
| Ng et al. <sup>1488</sup>         | 2021 | 2   | RCT          | Loratadine-PSE<br>Placebo tablet<br>Fluticasone propionate nasal spray<br>Placebo nasal spray<br>( <i>n</i> = 82) | TSS<br>PNIF  | Loratadine-PSE improved PNIF versus placebo tablet and versus fluticasone nasal spray<br>PNIF was not significantly different for fluticasone versus placebo nasal spray   |
| North et al. <sup>2121</sup>      | 2014 | 2   | RCT          | PF-03654764 (histamine receptor-3 antagonist) + fexofenadine<br>Fexofenadine-PSE<br>Placebo<br>( <i>n</i> = 80)   | TNSS<br>Nasal congestion                                   | PF-03654764-fexofenadine did not significantly reduce nasal congestion or TNSS versus fexofenadine-PSE<br>Fexofenadine-PSE significantly reduced congestion and TNSS versus placebo<br>PF-03654764-fexofenadine significantly improved TNSS, but not congestion versus placebo |
| Grubbe et al. <sup>1962</sup>     | 2009 | 2   | RCT          | Desloratadine-PSE<br>Desloratadine + placebo tablet<br>PSE<br>( <i>n</i> = 598)                                   | TSS (without nasal congestion)<br>Nasal congestion         | Desloratadine-PSE significantly reduced TSS and nasal congestion versus desloratadine-placebo and versus PSE   |
| Chen et al. <sup>2131</sup>       | 2007 | 2   | RCT          | Loratadine-PSE Qday<br>Loratadine-PSE BID<br>( <i>n</i> = 48)   | TSS  | TSS improved in both groups with no statistically significant difference   |
| Chiang et al. <sup>2132</sup>     | 2006 | 2   | RCT          | Cetirizine-PSE<br>Loratadine-PSE<br>( <i>n</i> = 51)  | TNSS   | Both groups statistically equivalent in symptom scores   |
| Nathan et al. <sup>2122</sup>     | 2006 | 2   | RCT          | Cetirizine-PSE<br>Placebo<br>( <i>n</i> = 274)  | Total and asthma symptoms<br>PFTs<br>Asthma QOL            | Cetirizine-PSE significantly reduced seasonal AR symptoms and asthma symptom/QOL scores  |
| Chervinsky et al. <sup>2123</sup> | 2005 | 2   | RCT          | Desloratadine-PSE<br>Desloratadine<br>PSE<br>( <i>n</i> = 650)  | TSS  | Desloratadine-PSE significantly reduced TSS and non-nasal symptom scores versus desloratadine or PSE alone   |
| Pleskow et al. <sup>1970</sup>    | 2005 | 2   | RCT          | Desloratadine-PSE<br>Desloratadine<br>PSE<br>( <i>n</i> = 1047)   | TSS<br>Morning instantaneous TSS<br>Nasal congestion score | Desloratadine-PSE superior to desloratadine or PSE in reducing TSS and nasal congestion  |

(Continues)



TABLE XI.B.10.a (Continued)

| Study                             | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------|--|--|---|
| Zieglmayer et al. <sup>2137</sup> | 2005 | 2   | RCT          | Cetirizine-prolonged-release PSE<br>Budesonide nasal spray<br>( <i>n</i> = 36)     | Nasal congestion<br>Rhinomanometry<br>Nasal cavity images  | Cetirizine-PSE more effective than budesonide in reducing nasal congestion during house dust mite exposure  |
| Moinuddin et al. <sup>2133</sup>  | 2004 | 2   | RCT          | Fexofenadine-PSE<br>Loratadine-montelukast<br>( <i>n</i> = 72)                     | RQLQ<br>Nasal symptoms<br>PNIF   | Fexofenadine-PSE and loratadine-montelukast equivalent in improving RQLQ, total symptom PNIF<br>Loratadine-montelukast superior in improving sleep  |
| Meltzer et al. <sup>2124</sup>    | 2003 | 2   | RCT          | Clemastine-PSE-acetaminophen<br>PSE-acetaminophen<br>Placebo<br>( <i>n</i> = 298)  | Major symptom complex score  | Clemastine-PSE-acetaminophen significantly reduced major symptom complex score versus PSE-acetaminophen or placebo  |
| Berkowitz et al. <sup>1477</sup>  | 2002 | 2   | RCT          | Fexofenadine-PSE<br>Placebo<br>( <i>n</i> = 298)                                   | Major symptom complex score<br>Total symptom complex score<br>Individual symptoms                              | Fexofenadine-PSE significantly improved all symptoms following allergen exposure  |
| Stübner et al. <sup>2139</sup>    | 2001 | 2   | RCT          | Cetirizine-prolonged-release PSE<br>Xylometazoline nasal spray<br>( <i>n</i> = 36) | Nasal congestion<br>Nasal cavity photographs<br>Nasal airflow<br>Nasal secretions<br>Nasal and ocular symptoms | Cetirizine-PSE was not superior to xylometazoline in nasal cavity appearance or nasal airflow<br>Cetirizine-PSE significantly improved nasal secretions and ocular symptoms but not nasal obstruction versus xylometazoline |
| McFadden et al. <sup>2125</sup>   | 2000 | 2   | RCT          | Loratadine-PSE<br>Placebo<br>( <i>n</i> = 20)                                      | Acoustic rhinometry<br>QOL<br>Inferior turbinate photographs   | Loratadine-PSE significantly improved nasal edema, nasal secretions, nasal and ocular symptoms, and rhinoconjunctivitis versus placebo  |
| Sussman et al. <sup>1964</sup>    | 1999 | 2   | RCT          | Fexofenadine-PSE<br>Fexofenadine<br>PSE<br>( <i>n</i> = 651)                       | TSS<br>Nasal congestion  | Fexofenadine-PSE significantly improved TSS and nasal congestion symptoms versus fexofenadine or PSE alone<br>Fexofenadine-PSE improved daily activities and work productivity versus fexofenadine or PSE                   |

(Continues)

TABLE XI.B.10.a (Continued)

| Study                             | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------|---|--|---|
| Horak et al. <sup>1492</sup>      | 1998 | 2   | RCT          | Cetirizine-PSE<br>Placebo<br>( <i>n</i> = 24)                             | Nasal obstruction<br>Nasal patency/airflow                             | Cetirizine-PSE significantly improved nasal airflow and nasal obstruction symptoms versus placebo   |
| Kaiser et al. <sup>2140</sup>     | 1998 | 2   | RCT          | Loratadine-PSE Qday<br>Loratadine-PSE BID<br>Placebo<br>( <i>n</i> = 469) | Total nasal and non-nasal symptom scores                               | Loratadine-PSE daily or BID was superior to placebo in reducing symptom scores  |
| Serra et al. <sup>2126</sup>      | 1998 | 2   | RCT          | Loratadine-PSE<br>Placebo<br>( <i>n</i> = 40)                             | Nasal symptoms/signs<br>TSS  | Loratadine-PSE significantly improved signs and TSS versus placebo<br>Both placebo and loratadine-PSE improved nasal symptoms   |
| Corren et al. <sup>2127</sup>     | 1997 | 2   | RCT          | Loratadine-PSE<br>Placebo<br>( <i>n</i> = 193)                            | Nasal and pulmonary symptoms<br>Albuterol use<br>PEF, FEV <sub>1</sub> | Loratadine-PSE significantly reduced symptoms and improved PEF and FEV <sub>1</sub> versus placebo  |
| Grosclaude et al. <sup>1960</sup> | 1997 | 2   | RCT          | Cetirizine-PSE<br>Cetirizine<br>PSE<br>( <i>n</i> = 687)                  | Daily congestion, sneezing, rhinorrhea, nasal itching, ocular itching  | Cetirizine-PSE significantly improved symptoms versus cetirizine or PSE alone   |
| Bertrand et al. <sup>1963</sup>   | 1996 | 2   | RCT          | Cetirizine-PSE<br>Cetirizine<br>PSE<br>( <i>n</i> = 210)                  | Daily symptom scores   | Cetirizine-PSE significantly reduced symptoms and increased symptom-free days versus cetirizine or PSE alone  |
| Simola et al. <sup>2134</sup>     | 1996 | 2   | RCT          | Astemizole-PSE<br>Brompheniramine-phenylpropanolamine<br>( <i>n</i> = 64) | Nasal and eye symptoms   | Astemizole-PSE equivalent to brompheniramine for nasal obstruction symptoms<br>Brompheniramine-phenylpropanolamine superior to astemizole-PSE for rhinorrhea and itchy eyes |
| Williams et al. <sup>2128</sup>   | 1996 | 2   | RCT          | Acrivastine-PSE<br>Acrivastine<br>PSE<br>Placebo<br>( <i>n</i> = 676)     | TSS  | Acrivastine-PSE significantly more effective than acrivastine, PSE, and placebo in reducing AR symptoms   |

(Continues)

**TABLE XI.B.10.a** (Continued)

| Study                           | Year | LOE | Study design | Study groups  | Clinical endpoints                         | Conclusions  |
|---------------------------------|------|-----|--------------|---|--|--|
| Bronsky et al. <sup>1959</sup>  | 1995 | 2   | RCT          | Loratadine-PSE<br>Loratadine<br>PSE<br>Placebo<br>(n = 874)               | Total, nasal, and non-nasal symptom scores | Loratadine-PSE superior to loratadine, PSE, and placebo in improving symptom scores  |
| Negrini et al. <sup>2138</sup>  | 1995 | 2   | RCT          | Astemizole-PSE<br>Beclomethasone nasal spray<br>(n = 204)                 | TNSS<br>VAS                                | Astemizole-PSE more effective than beclomethasone nasal spray in reducing ocular symptoms and reduced need for rescue vasoconstrictor eyedrops |
| Prevost et al. <sup>2135</sup>  | 1994 | 2   | RCT          | Loratadine-PSE<br>Chlorpheniramine-PSE<br>(n = 131)                       | TSS  | Loratadine-PSE was equally effective versus chlorpheniramine-PSE in improving TSS  |
| Howarth et al. <sup>1968</sup>  | 1993 | 2   | RCT          | Terfenadine-PSE<br>Terfenadine<br>PSE<br>Placebo<br>(n = 14)              | TSS  | Terfenadine-PSE significantly improved all symptoms versus placebo   |
| Segal et al. <sup>2136</sup>    | 1993 | 2   | RCT          | Terfenadine-PSE<br>Clemastine-phenylpropanolamine<br>Placebo<br>(n = 178) | TSS  | Terfenadine-PSE and clemastine-phenylpropanolamine equally effective in improving TSS, both superior to placebo                                |
| Grossman et al. <sup>2129</sup> | 1989 | 2   | RCT          | Loratadine-PSE<br>Placebo<br>(n = 264)                                    | Nasal and non-nasal symptoms               | Loratadine-PSE significantly reduced nasal and non-nasal symptoms scores versus placebo  |
| Storms et al. <sup>2130</sup>   | 1989 | 2   | RCT          | Loratadine-PSE<br>Loratadine<br>PSE<br>Placebo<br>(n = 435)               | TSS  | Loratadine-PSE more effective than loratadine, PSE, or placebo in reducing TSS   |

Abbreviations: BID, twice daily; FEV<sub>1</sub>, forced expiratory volume in 1 second; LOE, level of evidence; PEF, peak expiratory flow; PFT, pulmonary function test; PNIF, peak nasal inspiratory flow; PSE; pseudoephedrine; Qday, daily; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; TNSS, Total Nasal Symptom Score; TSS, total symptom score; VAS, visual analog scale.;

### Combination oral antihistamine and oral decongestant

**Aggregate grade of evidence:** A (Level 2: 30 studies; Table XI.B.10.a)

**Benefit:** Improved nasal congestion and total symptom scores (TSS) with combination oral antihistamine-oral decongestants.

**Harm:** Oral decongestants can cause adverse events in patients with cardiac conditions, hypertension, or benign prostatic hypertrophy and are

not indicated in patients under age 12 or pregnant patients. Oral antihistamines are not indicated in patients under 2 years of age, and caution should be exercised in patients aged 2–5 years old. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Combination oral antihistamine-oral decongestant medications carry relatively low risks of adverse events when used as needed for episodic AR symptoms in well-selected patients. Risk may be higher if used daily or in patients with certain comorbidities.

There is not a preponderance of benefit or harm when used appropriately as a treatment option.

**Value judgments:** Oral antihistamine-oral decongestants may be an effective option for acute AR symptoms such as nasal congestion and sneezing. Caution should be exercised with long-term use.

**Policy level:** Option for episodic or acute AR symptoms.

**Intervention:** Combination oral antihistamine-oral decongestant medications may provide effective relief of nasal symptoms of AR on an episodic basis. Caution should be exercised in chronic or long-term use as the adverse effect profile of oral decongestants is greater for chronic use.

#### XI.B.10.b | Oral antihistamine and intranasal corticosteroid

A combination of an oral antihistamine with INCS is a commonly used treatment option for patients with AR. First-generation antihistamines include diphenhydramine, chlorpheniramine, and hydroxyzine, while newer second-generation medications include cetirizine, levocetirizine, fexofenadine, loratadine, and desloratadine. Typically, second-generation antihistamines are preferred given their improved safety profile compared to first-generation antihistamines. INCS reduce inflammatory mediator and cytokine release; decrease the recruitment of nasal eosinophils, neutrophils, basophils, lymphocytes, monocytes, and macrophages; and can decrease hyperresponsive effects to antigen challenge. INCS have an excellent safety profile and low systemic absorption.

There have been several RCTs examining the use of oral antihistamine-INCS combinations in the treatments of AR. Pinar et al.<sup>2141</sup> used TNSS, rhinoconjunctivitis scores, and PNIF to compare 4 groups: (1) intranasal mometasone-oral desloratadine, (2) intranasal mometasone-oral montelukast, (3) intranasal mometasone alone, and (4) placebo. This study found that intranasal mometasone with desloratadine or montelukast was superior to intranasal mometasone alone or placebo for improving TNSS and QOL (Table XI.B.10.b).

Anolik<sup>2142</sup> examined TNSS and TSS in patients treated with intranasal mometasone-oral loratadine, intranasal mometasone alone, oral loratadine alone, or placebo. This study noted that intranasal mometasone plus loratadine and intranasal nasal mometasone alone were statistically equivalent for TNSS and TSS. All treatment groups were superior to placebo in improving TNSS and TSS. The study also reported that intranasal mometasone and mometasone-loratadine were superior to loratadine alone or placebo for TNSS and TSS, while loratadine alone was superior to placebo for TNSS.<sup>2142</sup>

Barnes et al.<sup>2143</sup> compared RQLQ scores, PNIF, TNSS, and nNO in patients treated with intranasal fluticasone-oral cetirizine versus intranasal fluticasone-oral placebo. Their study found that nasal symptom score was statistically equivalent for cetirizine-fluticasone patients versus fluticasone-placebo patients.

Di Lorenzo et al.<sup>2144</sup> evaluated five groups: (1) oral cetirizine-intranasal fluticasone, (2) oral montelukast-intranasal fluticasone, (3) intranasal fluticasone alone, (4) oral cetirizine-oral montelukast, or (5) placebo. This study reported that all treatment groups were superior to the placebo group in improving TSS and rhinorrhea, sneezing, and nasal itching scores. They also noted that the fluticasone alone and fluticasone-cetirizine groups were superior to placebo or cetirizine-montelukast in improving TSS, nasal congestion on waking, and daily nasal congestion.

Ratner et al.<sup>2145</sup> examined intranasal fluticasone-oral loratadine versus fluticasone alone, loratadine alone, or placebo. They found that fluticasone and fluticasone-loratadine were superior to loratadine only and placebo groups for clinician and patient total and individual nasal symptom scores, and that loratadine alone was equivalent to placebo for nasal symptom score. QOL improvement was greater for fluticasone and fluticasone-loratadine compared to loratadine alone or placebo. QOL improvement was statistically equivalent for fluticasone-loratadine versus fluticasone.

A SRMA in 2018 by Seresirikachorn et al.<sup>2146</sup> showed no added benefit for oral antihistamines plus INCS. This is in contrast to intranasal antihistamines plus INCS, which did show additional benefit. Potential side effects of oral antihistamine with INCS combinations are typically low and are included in the combined table of AR treatment side effects (Table II.C).

#### Combination oral antihistamine and intranasal corticosteroid

**Aggregate grade of evidence:** A (Level 1: 1 study, level 2: 12 studies; Table XI.B.10.b)

**Benefit:** The addition of oral antihistamine to INCS has not consistently demonstrated a benefit over INCS alone for symptoms of AR.

**Harm:** Oral antihistamines generally not recommended in patients under 2 years old, and attention to dosing is necessary in patients 2–12 years old. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Benefit likely outweighs potential harms in patients with significant nasal congestion symptoms in addition to symptoms such as sneezing and ocular itching. Addition of an INCS may be limited benefit versus potential harm

in patients without significant nasal congestion symptoms.

**Value judgments:** Adding oral antihistamine to INCS spray has not been demonstrated to confer additional benefit over INCS spray alone. INCS improves congestion with or without oral antihistamine.

**Policy level:** Option.

**Intervention:** Current evidence is mixed to support antihistamines as an additive therapy to INCS, as several randomized trials have not demonstrated a benefit over INCS alone for symptoms of AR.

### XI.B.10.c | Oral antihistamine and leukotriene receptor antagonist

The combination of oral antihistamine-LTRA in the treatment of AR was reviewed as a therapeutic option in the previous ICAR-Allergic Rhinitis 2018 consensus statement.<sup>1</sup> An updated systematic search revealed three additional systematic reviews and two RCTs,<sup>1983,1985,2153–2155</sup> giving a total of 17 studies meeting criteria for level 1 or 2 evidence (Table XI.B.10.c).

Combination oral antihistamine-LTRA has been shown to be superior to placebo in multiple RCTs. Recent studies have sought to clarify the comparative efficacy of combination therapy against monotherapy with LTRA or oral antihistamines, which was previously unclear. Compared to LTRA alone, Kim et al.<sup>2153</sup> found that oral antihistamine-LTRA therapy was superior in reducing nasal symptoms. However, in asthmatic patients, no difference was reported between the two treatment arms in improving spirometry readings or Asthma Control Test scores.

Krishnamoorthy et al.<sup>1983</sup> found that oral antihistamine-LTRA therapy was superior to monotherapy with either LTRA or oral antihistamines in improving daytime and nighttime symptoms of AR, as well as ocular symptoms. Additional systematic reviews by Liu et al.<sup>2154</sup> and Wei<sup>1985</sup> are concordant with these findings.

There have been no new studies comparing combination oral antihistamine-LTRA therapy to monotherapy with INCS. Previous evidence suggests that combination therapy is equivalent to, or less effective than INCS alone for reduction of symptoms and nasal eosinophil counts.<sup>1893,2144,2156,2157</sup> Comparing different antihistamines with LTRA, Mahatme et al.<sup>2155</sup> found that fexofenadine added to LTRA led to a greater decrease in symptoms, although the combination with levocetirizine was more cost-effective.

Regarding objective measures, there is mixed evidence for the use of combination oral antihistamine-LTRA. Cingi et al.<sup>2158</sup> found that combination oral antihistamine-LTRA was superior to oral antihistamines alone in reducing nasal resistance on rhinomanometric testing, and Li et al.<sup>2159</sup>

found that the former was superior to the latter in increasing nasal volume as measured by acoustic rhinometry. However, Moinuddin et al.<sup>2133</sup> found that there was no significant difference in PNIF values between the two. Combination oral antihistamine-LTRA was superior to placebo in reducing peripheral and nasal eosinophil counts, but inferior to INCS<sup>2144</sup> and equivalent to oral antihistamines alone.<sup>2153</sup>

It is important to note that in the Joint Task Force Practice Parameters,<sup>182</sup> INCS were recommended when symptoms were not controlled with an oral antihistamine alone. Although the combination of LTRA and oral antihistamines was previously found to be well tolerated with minimal concerns for drug interactions,<sup>1</sup> recent concerns regarding the safety of LTRA have been raised, with the US FDA now requiring a boxed warning for serious neuropsychiatric events on montelukast.<sup>1997</sup>

Overall, the combination of oral antihistamine-LTRA is an effective therapy option when compared to placebo. However, in view of the adverse effect profile of montelukast, we recommend the consideration of other efficacious agents such as INCS which have been shown to result in superior symptom control, and that combination oral antihistamine-LTRA therapy be reserved for rare patients with contraindications to alternative treatments.

### Combination oral antihistamine and leukotriene receptor antagonist

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 13 studies; Table XI.B.10.c)

**Benefit:** Combination oral antihistamine-LTRA was superior in symptom reduction and QOL improvement versus placebo and versus either agent as monotherapy.

**Harm:** Boxed warning due to risks of mental health side effects limiting use for AR. See Table II.C.

**Cost:** Generic montelukast added to generic loratadine or cetirizine is more expensive per month than generic fluticasone furoate nasal sprays, according to National Average Drug Acquisition Cost data provided by the Centers for Medicare and Medicaid Services.

**Benefits-harm assessment:** Combination LTRA and oral antihistamine is superior to placebo, and superior to either agent as monotherapy. However, there is an inferior effect versus INCS, which is also less costly. In addition, there is a boxed warning associated with montelukast.

**Value judgments:** Combination therapy of LTRA and oral antihistamines is effective, but in light



of concerns over the safety profile of montelukast, and the availability of effective alternatives such as INCS, evidence is lacking to recommend combination therapy in the management of AR.

**Policy level:** Recommendation against as first line therapy.

**Intervention:** Combination LTRA and oral antihistamines should not be used as first line therapy for AR but can be considered in patients with contraindications to other alternatives. This combination should be used judiciously after carefully weighing potential risks and benefits.

#### XI.B.10.d | *Intranasal corticosteroid and intranasal antihistamine*

Combination therapy of INCS plus intranasal antihistamine spray is available for the treatment of AR. One combined formulation is currently available in North America for intranasal use as a combination of azelastine hydrochloride and fluticasone propionate (AzeFlu). This agent is alternatively designated in the literature as MP-AzeFlu or MP29-02 and is marketed in the US under the trade name Dymista (Viatris, Canonsburg, PA). A second combination of olopatadine and mometasone (OloMom) was FDA approved in January 2022 and is marketed in the US under the trade name Ryaltris (Glenmark Pharmaceuticals, Mahwah, NJ).

A systematic review of the English-language literature was performed for clinical trials of combination INCS and intranasal antihistamine for the treatment of AR. A total of 18 RCTs (16 double-blind, two non-blinded) evaluated the efficacy of combination therapy against either placebo or active control.<sup>1071,1480,2165–2180</sup> An additional three observational studies reported outcomes of AzeFlu as a single treatment arm.<sup>2181–2183</sup> This evidence has been summarized in two previous systematic reviews<sup>2146,2184</sup> (Table XI.B.10.d).

Patient-reported symptom scores and QOL assessments are the most commonly reported outcome measures. The most common outcome measure was the TNSS (16 studies), which records the severity of runny nose, sneezing, itching, and congestion. Other outcome measures included the TOSS Score (eight studies), VAS (four studies), the RQLQ (seven studies), the PRQLQ (one study), and odor threshold/discrimination/identification score (one study).

The majority of included studies enrolled patients with a minimum age of 12 years or older. Most studies reported outcomes from 14 days of treatment, with the exception of two studies with a 3-month duration<sup>2180,2183</sup> and one study with a 52-week duration.<sup>2180</sup> The number of subjects in each study ranged from 47 to 3398. Aze-

Flu as a single formulation was compared to placebo in seven studies, with primary outcomes showing superiority to placebo in all studies.<sup>2169–2171,2173–2176</sup> Superiority of combination therapy with AzeFlu was also demonstrated over active treatment with fluticasone propionate monotherapy in six studies.<sup>2172–2174,2176,2178,2180</sup> Similarly, superiority of combination therapy with AzeFlu was demonstrated over active treatment with azelastine hydrochloride monotherapy in four studies.<sup>2173,2174,2176,2180</sup> A single study evaluated combination therapy with non-proprietary azelastine hydrochloride and fluticasone propionate applied using two separate spray bottles, which found superiority over either azelastine or fluticasone as monotherapy.<sup>2178</sup>

OloMom was compared to olopatadine or mometasone monotherapy in four studies, all of which showed superiority of the combination therapy.<sup>2165–2168</sup> One study comparing AzeFlu with OloMom found comparable symptom reduction.<sup>2168</sup> AzeFlu was directly compared to combination therapy with intranasal olopatadine and fluticasone in one study, with no significant difference in symptom relief between treatment groups.<sup>2177</sup> An experimental combination of solubilized azelastine and budesonide was found in a single study to be superior to either a suspension-type formulation of azelastine and budesonide or placebo.<sup>2175</sup> A recent meta-analysis found that intranasal antihistamines plus INCS is superior to oral antihistamines plus INCS in improving nasal symptoms in patients with AR.<sup>2185</sup>

Current FDA approval for the AzeFlu combined formulation extends to children ages 6 years and up, although indications for monotherapy are as low as 4 years for fluticasone and 6 months for azelastine. Children aged 6 to 12 years old were evaluated in two studies, with superiority of AzeFlu over placebo in improving symptoms and QOL.<sup>2170,2180</sup> Several studies reported time to onset of AzeFlu was more rapid than INCS alone.

No study reported serious adverse effects from the use of combination INCS plus intranasal antihistamine. This combination therapy was generally well tolerated, with the most common adverse effect being taste aversion. Other reported adverse effects occurred in less than 5% of cases in any study, and included somnolence, headache, epistaxis, and nasal discomfort (Table II.C). One study that compared combination therapy of fluticasone propionate with either azelastine or olopatadine reported more treatment-related events for the azelastine group than the olopatadine group.<sup>2177</sup> Ocular changes such as increased intraocular pressure and cataract formation are unlikely; nonetheless, caution may be warranted in patients with a history of glaucoma.<sup>1922</sup> Additional specific patient factors may be considered when selecting options for combination therapy.

**TABLE XI.B.10.b** Evidence table – combination therapy: oral antihistamine and intranasal corticosteroid

| Study                                   | Year | LOE | Study design | Study groups  | Clinical endpoints                                   | Conclusions   |
|---|------|-----|--------------|---|--|---|
| Seresirika-chorn et al. <sup>2146</sup> | 2018 | 1   | SRMA         | ICNS alone<br>INCS-OAH<br>INCS-IAH  | TNSS<br>TOSS<br>Disease specific QOL<br>PNIF         | INCS-IAH decreased<br>TNSS and TOSS<br>No difference in disease<br>specific QOL, PNIF,<br>adverse events  |
| Wang and Zhang <sup>2147</sup>          | 2015 | 2   | RCT          | Montelukast-<br>desloratadine-nasal<br>budesonide<br>Desloratadine-nasal<br>budesonide<br>( <i>n</i> = 70)              | Nasal symptom scores<br>RQLQ<br>Total effective rate | Montelukast-<br>desloratadine-<br>budesonide superior to<br>desloratadine-<br>budesonide in nasal<br>symptom<br>improvement,<br>improvement in RQLQ,<br>total effective rate  |
| Modgill et al. <sup>2148</sup>          | 2010 | 2   | RCT          | Montelukast-nasal<br>fluticasone<br>Cetirizine-nasal<br>fluticasone<br>Nasal fluticasone<br>( <i>n</i> = 90)            | Daytime and<br>nighttime symptom<br>scores           | Montelukast-fluticasone<br>superior to fluticasone<br>alone and<br>cetirizine-fluticasone<br>for nighttime AR<br>symptoms, and<br>equivalent to<br>fluticasone or<br>cetirizine-fluticasone<br>for TSS<br>Fluticasone and<br>fluticasone-cetirizine<br>equivalent for TSS |
| Anolik <sup>2142</sup>                  | 2008 | 2   | RCT          | Loratadine-nasal<br>mometasone<br>Nasal mometasone<br>Loratadine<br>Placebo<br>( <i>n</i> = 702)                        | Daily TNSS and TSS                                   | All treatment groups<br>superior to placebo for<br>TNSS and TSS<br>Loratadine-mometasone<br>and mometasone alone<br>equivalent for TNSS<br>and TSS, both superior<br>to loratadine alone and<br>placebo   |
| Pinar et al. <sup>2141</sup>            | 2008 | 2   | RCT          | Montelukast-nasal<br>mometasone<br>Desloratadine-nasal<br>mometasone<br>Nasal mometasone<br>Placebo<br>( <i>n</i> = 95) | TNSS<br>Rhinoconjunctivitis<br>scores<br>PNIF        | Desloratadine-<br>mometasone and<br>montelukast-<br>mometasone superior<br>to mometasone alone<br>or placebo for symptom<br>scores and QOL  |
| Barnes et al. <sup>2143</sup>           | 2006 | 2   | RCT          | Cetirizine-nasal<br>fluticasone<br>Placebo-nasal<br>fluticasone<br>( <i>n</i> = 27)                                     | RQLQ<br>PNIF<br>TNSS<br>Nasal nitric oxide           | Symptom scores<br>equivalent for<br>cetirizine-fluticasone<br>versus<br>fluticasone-placebo   |

(Continues)

TABLE XI.B.10.b (Continued)

| Study                             | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------|--|--|---|
| Benitez et al. <sup>2149</sup>    | 2005 | 2   | RCT          | Zafirlukast-nasal<br>budesonide<br>Loratadine-PSE-nasal<br>budesonide<br>( <i>n</i> = 36)  | Rhinitis and asthma<br>symptoms<br>Blood eosinophils<br>PFTs<br>Nasal cytology | Both groups had<br>improved nasal<br>symptoms;<br>zafirlukast-budesonide<br>superior to loratadine-<br>PSE-budesonide<br>Both groups equivalent<br>for bronchial<br>symptoms, cough,<br>wheezing,<br>breathlessness<br>Both groups had<br>improved blood & nasal<br>eosinophilia, FEV <sub>1</sub>  |
| Di Lorenzo et al. <sup>2144</sup> | 2004 | 2   | RCT          | Cetirizine-nasal<br>fluticasone<br>Montelukast-nasal<br>fluticasone<br>Cetirizine-<br>montelukast<br>Nasal fluticasone<br>Placebo<br>( <i>n</i> = 100) | Symptoms<br>Eosinophil count<br>ECP in nasal lavage                            | All treatment groups<br>superior to placebo in<br>improving symptoms,<br>rhinorrhea, sneezing,<br>nasal itching scores<br>Groups treated with<br>fluticasone alone or as<br>combination therapy<br>superior to placebo or<br>cetirizine-montelukast<br>for TSS, nasal<br>congestion on waking,<br>daily nasal congestion<br>Combination of<br>cetirizine-fluticasone<br>showed no added<br>benefit versus<br>fluticasone alone for<br>TSS |
| Lanier et al. <sup>2150</sup>     | 2002 | 2   | RCT          | Fexofenadine-nasal<br>fluticasone<br>Nasal fluticasone-<br>olopatadine<br>Placebo<br>( <i>n</i> = 80)  | Ocular itching<br>Ocular redness<br>Nasal symptoms                             | Fluticasone-olopatadine<br>improved ocular<br>itching versus<br>fexofenadine-<br>fluticasone<br>Ocular redness scores<br>similar for<br>fluticasone-olopatadine<br>versus fexofenadine-<br>fluticasone<br>Both treatment groups<br>improved ocular<br>redness versus placebo<br>and had similar efficacy<br>for TNSS  |
| Wilson et al. <sup>2151</sup>     | 2000 | 2   | RCT          | Cetirizine-nasal<br>mometasone<br>Cetirizine-<br>montelukast<br>Cetirizine<br>( <i>n</i> = 38)   | PNIF<br>Symptom diary  | Cetirizine-mometasone<br>statistically equivalent<br>to cetirizine alone for<br>PNIF and seasonal AR<br>symptoms  |

(Continues)

TABLE XI.B.10.b (Continued)

| Study                             | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------|--|--|---|
| Berger et al. <sup>1815</sup>     | 1999 | 2   | RCT          | Loratadine-nasal<br>beclomethasone<br>Nasal azelastine<br>( <i>n</i> = 3210)                       | Physician assessment<br>of need for rescue<br>mediation<br>Patient global<br>evaluation                                      | Need for rescue<br>medication and the<br>patient assessment of<br>efficacy statistically<br>equivalent for both<br>groups   |
| Ratner et al. <sup>2145</sup>     | 1998 | 2   | RCT          | Loratadine-nasal<br>fluticasone<br>Nasal fluticasone<br>Loratadine<br>Placebo<br>( <i>n</i> = 600) | Clinician- and<br>patient-rated total<br>and individual<br>nasal symptom<br>scores<br>RQLQ                                   | Fluticasone and<br>loratadine-fluticasone<br>superior to loratadine<br>alone and placebo for<br>clinician and patient<br>total and individual<br>NSS<br>Loratadine alone<br>equivalent to placebo<br>for NSS<br>RQLQ improvement<br>greater for fluticasone<br>and<br>loratadine-fluticasone<br>versus loratadine alone<br>or placebo<br>RQLQ improvement<br>statistically equivalent<br>for<br>loratadine-fluticasone<br>versus fluticasone<br>No significant benefit of<br>loratadine-fluticasone<br>over fluticasone alone |
| Juniper<br>et al. <sup>2152</sup> | 1989 | 2   | RCT          | Astemizole-nasal<br>beclomethasone<br>Nasal<br>beclomethasone<br>Astemizole<br>( <i>n</i> = 90)    | Nasal and ocular daily<br>symptoms<br>Use of rescue nasal<br>steroid spray or<br>antihistamine-<br>decongestant eye<br>drops | Sneezing, nasal<br>obstruction, rhinorrhea<br>significantly improved,<br>and less rescue nasal<br>spray needed with<br>beclomethasone alone<br>versus astemizole alone<br>Astemizole-<br>beclomethasone<br>equivalent to<br>beclomethasone alone<br>for rhinitis symptoms<br>Eye symptoms and eye<br>drop use improved for<br>patients taking<br>astemizole-<br>beclomethasone or<br>astemizole alone versus<br>beclomethasone alone  |

Abbreviations: AR, allergic rhinitis; ECP, eosinophil cationic protein; FEV<sub>1</sub>, forced expiratory volume in 1 second; IAH, intranasal antihistamine; INCS, intranasal corticosteroid; LOE, level of evidence; NSS, nasal symptom score; OAH, oral antihistamine; PFT, pulmonary function test; PNIF, peak nasal inspiratory flow; PSE, pseudoephedrine; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score; TOSS, Total Ocular Symptom Score; TSS, total symptom score.

**TABLE XI.B.10.c** Evidence table – combination therapy: oral antihistamine and leukotriene receptor antagonist

| Study                                  | Year | LOE | Study design | Study groups   | Clinical endpoints                              | Conclusions  |
|--|------|-----|--------------|--|---|--|
| Krishna-moorthy et al. <sup>1983</sup> | 2020 | 1   | SR of RCTs   | Montelukast-OAH<br>Montelukast<br>INCS<br>Placebo      | Symptoms (day, night, composite)                | LTRA superior to placebo<br>OAH superior to LTRA except for night symptoms<br>INCS superior to LTRA<br>LTRA-OAH superior to LTRA or OAH monotherapy  |
| Liu et al. <sup>2154</sup>             | 2018 | 1   | SR of RCTs   | Montelukast-OAH<br>OAH                                 | Symptoms  | LTRA-OAH superior to OAH alone   |
| Wei <sup>1985</sup>                    | 2016 | 1   | SR of RCTs   | Montelukast-OAH<br>Montelukast<br>OAH<br>Placebo       | Symptoms  | LTRA superior to placebo<br>LTRA superior to OAH for night symptoms<br>LTRA similar to OAH for composite symptoms<br>LTRA-OAH superior to LTRA alone for night symptoms<br>No difference for composite |
| Wilson et al. <sup>1893</sup>          | 2004 | 1   | SR of RCTs   | LTRA-OAH<br>LTRA<br>OAH<br>INCS                        | Symptoms<br>QOL                                 | Combination therapy improved symptoms versus LTRA or OAH alone<br>No difference in standardized QOL measures<br>No difference in symptoms for combination therapy versus INCS                          |
| Kim et al. <sup>2153</sup>             | 2018 | 2   | RCT          | Montelukast-cetirizine<br>Montelukast                  | Symptoms<br>Asthma Control Test<br>Spirometry   | Combination therapy superior to LTRA alone for nasal symptoms<br>No difference in Asthma Control Test or spirometry  |
| Mahatme et al. <sup>2155</sup>         | 2016 | 2   | RCT          | Montelukast-levocetirizine<br>Montelukast-fexofenadine | Symptoms  | Both reduced symptoms<br>LTRA-fexofenadine greater decrease in symptoms<br>LTRA-levocetirizine more cost effective   |
| Ciebiada et al. <sup>2160</sup>        | 2013 | 2   | RCT          | Montelukast-OAH<br>Montelukast<br>OAH<br>Placebo       | Symptoms<br>ICAM-1 levels<br>Nasal eosinophilia | All active treatments superior to placebo at reducing symptoms, ICAM-1 levels, eosinophilia<br>Active treatments not statistically different from each other   |

(Continues)



**TABLE XI.B.10.c** (Continued)

| Study                                | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions  |
|--------------------------------------|------|-----|--------------|---|--|--|
| Yamamoto et al. <sup>2161</sup>      | 2012 | 2   | RCT          | Montelukast-loratadine<br>Montelukast-placebo   | Symptoms   | Active combination therapy with improved total symptom score, and specifically sneezing and rhinorrhea   |
| Cingi et al. <sup>2158</sup>         | 2010 | 2   | RCT          | Fexofenadine-montelukast<br>Fexofenadine-placebo<br>Fexofenadine                                      | Symptoms<br>Rhinomanometry                                     | Combination therapy improved symptoms and decreased nasal resistance compared to fexofenadine alone or with placebo  |
| Li et al. <sup>2159</sup>            | 2009 | 2   | RCT          | Fexofenadine-montelukast<br>Fexofenadine  | Symptoms<br>Acoustic rhinometry<br>Cytokine levels             | Combination therapy improved symptoms, increased nasal volume by acoustic rhinometry<br>No difference in cytokine levels   |
| Lu et al. <sup>2156</sup>            | 2009 | 2   | RCT          | Montelukast-loratadine<br>INCS<br>Montelukast<br>Loratadine<br>Placebo                                | Symptoms<br>QOL  | Combination therapy improved symptoms more than placebo and montelukast alone<br>No difference compared to loratadine alone<br>Combination therapy inferior to intranasal beclomethasone |
| Watanasomsiri et al. <sup>2162</sup> | 2008 | 2   | RCT          | Montelukast-loratadine<br>Loratadine-placebo  | Symptoms<br>Turbinate hypertrophy                              | No difference in symptoms in children treated with combination therapy or antihistamine alone<br>Turbinate swelling significantly reduced in combination therapy arm                     |
| Di Lorenzo et al. <sup>2144</sup>    | 2004 | 2   | RCT          | Montelukast-cetirizine<br>Fluticasone<br>Fluticasone-cetirizine<br>Fluticasone-montelukast<br>Placebo | Symptoms<br>Peripheral eosinophilia<br>Nasal eosinophil counts | Montelukast-cetirizine improved symptoms and decreased nasal eosinophil counts compared to placebo<br>Generally inferior to fluticasone alone or in combination                          |
| Moinuddin et al. <sup>2133</sup>     | 2004 | 2   | RCT          | Montelukast-loratadine<br>Fexofenadine-pseudoephedrine  | Symptoms<br>QOL<br>PNIF  | No significant difference between treatment groups for symptoms, QOL, PNIF<br>Montelukast-loratadine reduced sleep domain symptoms   |

(Continues)

TABLE XI.B.10.c (Continued)

| Study                              | Year | LOE | Study design | Study groups   | Clinical endpoints                                    | Conclusions   |
|------------------------------------|------|-----|--------------|--|---|---|
| Saengpanich et al. <sup>2157</sup> | 2003 | 2   | RCT          | Montelukast-loratadine<br>Fluticasone                          | Symptoms<br>Nasal eosinophil count<br>Nasal ECP level | No difference in total symptom score, although nasal symptoms were reduced in fluticasone group<br>Decreased eosinophil cell count and ECP level in fluticasone group   |
| Nayak et al. <sup>2163</sup>       | 2002 | 2   | RCT          | Montelukast-loratadine<br>Montelukast<br>Loratadine<br>Placebo | Symptoms<br>QOL<br>Peripheral eosinophilia            | Combination therapy decreased symptoms and improved QOL versus placebo<br>Effect did not reach statistical significance versus monotherapy<br>Combination therapy decreased peripheral eosinophilia versus placebo and loratadine alone |
| Meltzer et al. <sup>2164</sup>     | 2000 | 2   | RCT          | Montelukast-loratadine<br>Montelukast<br>Loratadine<br>Placebo | Symptoms<br>QOL                                       | Combination therapy improved symptoms and QOL versus placebo<br>Combination therapy not directly compared to monotherapy  |

Abbreviations: ECP, eosinophil cationic protein; ICAM, intercellular adhesion molecule; INCS, intranasal corticosteroid; LOE, level of evidence; LTRA, leukotriene receptor antagonist; OAH, oral antihistamine; PNIF, peak nasal inspiratory flow; QOL, quality of life; RCT, randomized controlled trial; SR, systematic review.

### Combination intranasal corticosteroid and intranasal antihistamine

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 18 studies, level 4: 3 studies; Table XI.B.10.d)

**Benefit:** Rapid onset; more effective for relief of multiple symptoms than either INCS or intranasal antihistamine alone.

**Harm:** Patient tolerance, especially due to taste. See Table II.C.

**Cost:** Moderate financial burden for combined formulation. Concurrent use of individual intranasal antihistamine and corticosteroid sprays is likely a more economical option.

**Benefits-harm assessment:** Preponderance of benefit over harm. Combination therapy with intranasal antihistamine and INCS is consistently more effective than placebo or monotherapy. Low risk of non-serious adverse effects.

**Value judgments:** High-level evidence demonstrates that combination spray therapy with INCS plus intranasal antihistamine is more effective than monotherapy or placebo, as well as more effective than combination of INCS plus oral antihistamine. The increased financial cost and need for prescription limit the value of combination therapy as a routine first-line treatment for AR. When a combined formulation is financially prohibitive, the concurrent use of two separate formulations (antihistamine and corticosteroid) is an alternative option.

**Policy level:** Strong recommendation for the treatment of AR when monotherapy fails to control symptoms.

**Intervention:** Combination therapy with INCS and intranasal antihistamine may be used as second-line therapy in the treatment of AR when initial monotherapy with either INCS or antihistamine does not provide adequate control.

**TABLE XI.B.10.d** Evidence table – combination therapy: intranasal corticosteroid and intranasal antihistamine

| Study                                   | Year | LOE | Study design   | Study groups                                   | Clinical endpoints  | Conclusions  |
|---|------|-----|----------------|--|---|--|
| Debbaneh et al. <sup>2184</sup>         | 2019 | 1   | SR             | AzeFlu<br>Azelastrine<br>FP<br>Placebo         | TNSS  | AzeFlu superior to either spray alone for symptom improvement  |
| Seresirika-chorn et al. <sup>2146</sup> | 2018 | 1   | SR             | Antihistamine-INCS<br>INCS                     | TNSS<br>TOSS<br>RQLQ  | Antihistamine-INCS superior to INCS for nasal and ocular symptom improvement<br>No difference in QOL improvement               |
| Andrews et al. <sup>2165</sup>          | 2020 | 2   | DBRCT          | OloMom<br>Olopatadine<br>Mometasone<br>Placebo | rTNSS<br>rTOSS<br>RQLQ  | OloMom superior to monotherapy or placebo for symptom and QOL improvement  |
| Gross et al. <sup>2167</sup>            | 2019 | 2   | DBRCT          | OloMom<br>Olopatadine<br>Mometasone<br>Placebo | rTNSS<br>iTNSS<br>PNSS<br>RQLQ<br>RCAT                          | OloMom superior to monotherapy or placebo for symptom and QOL improvement  |
| Hampel et al. <sup>2166</sup>           | 2019 | 2   | DBRCT          | OloMom<br>Olopatadine<br>Mometasone<br>Placebo | rTNSS<br>rTOSS<br>PNSS<br>RQLQ                                  | OloMom superior to olopatadine or placebo for symptom and QOL improvement<br>OloMom superior to mometasone for QOL improvement |
| Ilyina et al. <sup>2179</sup>           | 2019 | 2   | Nonblinded RCT | AzeFlu<br>Azelastrine                          | rTNSS<br>rTOSS<br>RQLQ<br>EQ-5D                                 | AzeFlu superior to azelastrine for moderate-to-severe symptom and QOL improvement  |
| Patel et al. <sup>2168</sup>            | 2019 | 2   | DBRCT          | OloMom<br>AzeFlu<br>Olopatadine<br>Placebo     | iTNSS   | OloMom superior to olopatadine or placebo for symptom improvement<br>AzeFlu also superior to olopatadine or placebo            |
| Segall et al. <sup>1071</sup>           | 2019 | 2   | DBRCT          | OloMom<br>Placebo                              | rTNSS<br>PNSS<br>RQLQ   | OloMom superior to placebo for symptom and QOL improvement   |
| Bousquet et al. <sup>1480</sup>         | 2018 | 2   | DBRCT          | AzeFlu<br>Loratadine-FP                        | TNSS<br>TOSS<br>VAS   | AzeFlu superior to loratadine-FP, more rapid onset of action   |
| Kortekaas Krohn et al. <sup>2169</sup>  | 2018 | 2   | DBRCT          | AzeFlu<br>Placebo                              | Nasal airflow<br>Substance P level<br>$\beta$ -hexamidase level | AzeFlu superior to placebo for reducing inflammatory mediators and nasal hyperreactivity                                       |
| Berger et al. <sup>2170</sup>           | 2016 | 2   | DBRCT          | AzeFlu<br>Placebo                              | rTNSS<br>rTOSS<br>PRQLQ   | AzeFlu superior to placebo for symptoms and QOL improvement in children<br>Symptoms improved when children self-rate           |

(Continues)

TABLE XI.B.10.d (Continued)

| Study                            | Year | LOE | Study design              | Study groups  | Clinical endpoints         | Conclusions  |
|----------------------------------|------|-----|---------------------------|---|----------------------------|--|
| Berger et al. <sup>2180</sup>    | 2016 | 2   | Nonblinded RCT            | AzeFlu<br>FP  | Total symptom score        | AzeFlu superior to fluticasone for children; faster onset  |
| Meltzer et al. <sup>2171</sup>   | 2013 | 2   | DBRCT                     | AzeFlu<br>Placebo   | rTNSS<br>rTOSS             | AzeFlu superior to placebo for all symptoms  |
| Price et al. <sup>2172</sup>     | 2013 | 2   | DBRCT                     | AzeFlu<br>FP  | rTNSS<br>Symptom-free days | AzeFlu superior to fluticasone for symptom reduction; faster onset   |
| Carr et al. <sup>2173</sup>      | 2012 | 2   | DBRCT                     | AzeFlu<br>Azelastine<br>FP<br>Placebo   | rTNSS<br>rTOSS<br>RQLQ     | AzeFlu superior to either spray alone for symptom and QOL improvement; faster onset                              |
| Meltzer et al. <sup>2174</sup>   | 2012 | 2   | DBRCT                     | AzeFlu<br>Azelastine<br>FP<br>Placebo   | rTNSS<br>rTOSS<br>RQLQ     | AzeFlu superior to either spray alone for symptom and QOL improvement  |
| Salapatek et al. <sup>2175</sup> | 2011 | 2   | DBRCT                     | Solubilized<br>azelastine-<br>budesonide<br>(CDX-313)<br>Azelastine-<br>budesonide<br>suspension<br>Placebo | TNSS                       | Both treatments superior to placebo<br>CDX-313 superior to suspension-type spray for symptoms and speed of onset |
| Hampel et al. <sup>2176</sup>    | 2010 | 2   | DBRCT                     | AzeFlu<br>Azelastine<br>FP<br>Placebo   | TNSS                       | AzeFlu superior to either spray alone, all treatments superior to placebo  |
| LaForce et al. <sup>2177</sup>   | 2010 | 2   | DBRCT                     | AzeFlu<br>Olopatadine-FP  | TNSS                       | No difference between treatments   |
| Ratner et al. <sup>2178</sup>    | 2008 | 2   | DBRCT                     | Azelastine-FP<br>Azelastine<br>FP   | TNSS                       | Combination superior to either agent alone   |
| Klimek et al. <sup>2183</sup>    | 2017 | 4   | Prospective observational | AzeFlu  | TDI score<br>VAS symptoms  | Olfactory function improved after 1 month  |
| Klimek et al. <sup>2181</sup>    | 2016 | 4   | Prospective observational | AzeFlu  | VAS                        | 76% of subjects had symptom control after 14 days; significant improvement from baseline                         |
| Klimek et al. <sup>2182</sup>    | 2015 | 4   | Prospective observational | AzeFlu  | VAS                        | Rapid symptom relief across all age groups   |

Abbreviations: AzeFlu, azelastine-fluticasone; DBRCT, double-blind randomized controlled trial; EQ-5D, Euro-QOL 5-dimension questionnaire; FP, fluticasone propionate; i, instantaneous; INCS, intranasal corticosteroid; LOE, level of evidence; OloMom, olopatadine mometasone; PNSS, physician-assessed nasal symptom score; PRQLQ, Pediatric Rhinoconjunctivitis Quality of Life Questionnaire; QOL, quality of life; r, reflective; RCAT, Rhinitis Control Assessment Test; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SR, systematic review; TDI, threshold/discrimination/identification; TNSS, Total Nasal Symptom Score; TOSS, Total Ocular Symptom Score; VAS, visual analog scale.

**TABLE XI.B.10.e** Evidence table – combination therapy: intranasal corticosteroid and leukotriene receptor antagonist

| Study                                       | Year | LOE | Study design  | Study groups   | Clinical endpoints                       | Conclusions   |
|---|------|-----|---------------|--|--|---|
| Seresirika-chorn et al. <sup>2186</sup>     | 2021 | 1   | Meta-analysis | Montelukast-fluticasone INCS<br>Montelukast-budesonide INCS  | Nasal symptoms<br>Ocular symptoms<br>QOL | No additional benefit to add-on montelukast except for improvement in ocular symptom scores   |
| Chen et al. <sup>2187</sup>                 | 2021 | 2   | RCT           | Montelukast-budesonide INCS<br>Budesonide INCS   | Symptoms<br>Nasal cavity volume<br>FeNO  | Combination therapy had superior improvement  |
| Chen et al. <sup>1989</sup>                 | 2018 | 2   | RCT           | Montelukast-budesonide INCS<br>Budesonide INCS   | Symptoms<br>Nasal cavity volume<br>FeNO  | Combination therapy had superior improvement  |
| Dalgic et al. <sup>1991</sup>               | 2017 | 2   | RCT           | Montelukast-mometasone INCS<br>Montelukast   | Olfactory function                       | No additional benefit to add-on montelukast   |
| Florincescu-Gheorghe et al. <sup>2190</sup> | 2014 | 2   | RCT           | Montelukast-mometasone INCS<br>Desloratadine-mometasone INCS<br>Mometasone INCS                      | Symptoms<br>Immune markers               | No additional benefit to add-on montelukast   |
| Goh et al. <sup>2188</sup>                  | 2014 | 2   | RCT           | Montelukast-fluticasone INCS<br>Fluticasone INCS   | Symptoms<br>QOL                          | Combination therapy had superior improvement  |
| Esteitie et al. <sup>2189</sup>             | 2010 | 2   | RCT           | Montelukast-fluticasone INCS<br>Fluticasone INCS   | Symptoms<br>QOL                          | No additional benefit to add-on montelukast   |
| Pinar et al. <sup>2141</sup>                | 2008 | 2   | RCT           | Montelukast-mometasone INCS<br>Desloratadine-mometasone INCS<br>Mometasone INCS                      | Symptoms<br>QOL<br>Nasal peak flow       | Add-on montelukast had superior improvement in symptoms and QOL at 1 month, but at 3 months all active treatment groups were equivalent |
| Di Lorenzo et al. <sup>2144</sup>           | 2004 | 2   | RCT           | Montelukast-cetirizine<br>Montelukast-fluticasone INCS<br>Cetirizine-fluticasone INCS<br>Fluticasone | Symptoms<br>Immune markers               | No additional benefit to add-on montelukast   |

Abbreviations: FeNO, fractional exhaled nitric oxide; INCS, intranasal corticosteroid; LOE, level of evidence; QOL, quality of life; RCT, randomized controlled trial.

### XI.B.10.e | Intranasal corticosteroid and leukotriene receptor antagonist

LTRAs have been studied in conjunction with INCS for the treatment of AR. Montelukast is the only LTRA approved by the FDA for the treatment of seasonal AR in adults and children over 2 years of age, and for perennial AR in adults and children over 6 months of age. However, a boxed warning from the FDA in 2020 advises restricting use of montelukast for AR due to serious neuropsychiatric events, ranging from behavioral changes to suicidal thoughts or behavior.<sup>1997</sup> For patients with both asthma

and AR, LTRAs may be considered with awareness of the mental health risks.

Montelukast has been studied in combination with INCS to determine if add-on therapy to INCS provides improved outcomes. Nasal symptoms, olfaction, QOL, nasal airflow measures, and immunologic markers have been used to compare combination therapy with LTRA and INCS to INCS monotherapy for AR – with conflicting results reported in controlled trials. There is one meta-analysis<sup>2186</sup> and eight controlled trials<sup>1989,1991,2141,2144,2187–2190</sup> where montelukast was



studied as add-on therapy to INCS. The meta-analysis included four studies that used fluticasone propionate and one that used budesonide as the INCS; all used oral montelukast as the LTRA. No difference was demonstrated in nasal symptoms, disease specific QOL, or adverse effects, when comparing combination therapy with LTRA and INCS to INCS as monotherapy.<sup>2186</sup> However, significant improvement in ocular symptoms with combination therapy was reported in one RCT included in the meta-analysis (Table XI.B.10.e).

Four trials demonstrated benefit with LTRA added to INCS.<sup>1989,2141,2187,2188</sup> Chen et al.<sup>1989</sup> studied budesonide alone or in combination with montelukast. Outcome measures of symptoms, nasal cavity volume, and expired NO all demonstrated improvement in with combination therapy. A follow-up study by Chen et al.<sup>2187</sup> showed similar favorable outcomes in all three outcomes categories for combination therapy. Goh et al.<sup>2188</sup> reported an RCT with fluticasone propionate compared to montelukast-fluticasone propionate; combination therapy demonstrated improvement in symptom scores and QOL. Pinar et al.<sup>2141</sup> reported a trial with mometasone alone or in combination with desloratadine or montelukast. Add-on montelukast had superior improvement in symptoms and QOL compared to all other active treatment groups after 1 month of treatment but not at 3 months (when all active treatment groups showed comparable efficacy).

Four other studies did not show additional benefit with add-on montelukast.<sup>1991,2144,2189,2190</sup> Di Lorenzo et al.<sup>2144</sup> studied symptoms and eosinophil-specific inflammatory markers in four cohorts: fluticasone propionate alone, cetirizine-fluticasone propionate, montelukast-fluticasone propionate, and cetirizine-montelukast. There was no additional benefit to add-on montelukast besides a decrease in nasal itching with the combination therapy of montelukast-fluticasone propionate compared to fluticasone propionate alone. Inflammatory markers were not different when LTRA was added to INCS.

Esteitie et al.<sup>2189</sup> studied symptoms and QOL in patients on fluticasone propionate compared to montelukast-fluticasone propionate. There was no additional benefit to add-on montelukast for nasal symptom scores and QOL measures.

Dalgic et al.<sup>1991</sup> studied objective measures of olfactory function in patients on mometasone furoate, montelukast, or montelukast-mometasone. They found no difference in olfactory function with combination therapy. Florincescu-Gheorghe et al.<sup>2190</sup> studied eosinophils in nasal secretions and symptoms in patients on mometasone furoate, desloratadine-mometasone furoate, and montelukast-mometasone furoate. There was no additional benefit to adding montelukast to mometasone furoate for all outcomes measured.

Overall, there are varying outcomes from trials reporting combination therapy with LTRA and INCS. Differences in the corticosteroid preparation may affect study findings – two studies with budesonide had favorable outcomes, whereas those with fluticasone propionate and mometasone furoate had variable outcomes. There was heterogeneity between the studies with variations in allergy sensitizations and seasonal symptoms, and the studies had modest sample sizes. Given the FDA boxed warning<sup>1997</sup> and variable study outcomes, use of LTRA with INCS should primarily be considered for patients with comorbid asthma, rather than AR alone. Proper counselling regarding mental health risks to patients and families, highlighting the importance of monitoring for any neuropsychiatric symptoms regardless of prior history of psychiatric disorders.

### Combination intranasal corticosteroid and leukotriene receptor antagonist

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 8 studies; Table XI.B.10.e)

**Benefit:** Some studies demonstrate improvement of symptoms and QOL with combination therapy. One meta-analysis did not show benefit with the exception of ocular itching.

**Harm:** Boxed warning due to risks of serious neuropsychiatric events limiting use for AR. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Boxed warning for AR limits use. If comorbid asthma and AR, treatment is an option with consideration of mental health risks.

**Value judgments:** Possibly useful for symptom control, especially in patients with comorbid asthma, however, boxed warning limits use in AR without asthma.

**Policy level:** Option as combination therapy if comorbid asthma present and mental health risks are considered. Not recommended for AR alone.

**Intervention:** Consider use in patients with AR and asthma, after weighing therapeutic benefits against risks of mental health adverse effects.

### XI.B.10.f | Intranasal corticosteroid and intranasal decongestant

Combination therapy of INCS and INDC is used less frequently in clinical practice for the treatment of refrac-

tory AR. Most INDC (e.g., oxymetazoline, phenylephrine, and xylometazoline) are  $\alpha$ -receptor agonists, and decrease nasal congestion by reducing nasal mucosal volume through sympathomimetic vasoconstriction of mucosal blood vessels.<sup>2191</sup> Prolonged use of INDCs alone has been shown to cause rhinitis medicamentosa,<sup>114</sup> or rebound rhinitis symptoms that respond increasingly poorly to INDCs. INCSs, on the other hand, as detailed in the preceding sections, have been widely validated and shown to be safe and effective in the first-line treatment of AR.

In patients refractory to first-line therapy, several RCTs have examined combination therapy using INCS and INDC. Five RCTs, varying in size from 23 to 705 participants, showed that combination therapy with INCS and INDC was significantly more effective in improving nasal symptom scores compared to INCS alone.<sup>2192–2196</sup> Three of these studies also reported no rhinitis medicamentosa in patients receiving combination therapy.<sup>2193,2194,2196</sup> In contrast, Baroody et al.<sup>2197</sup> in a 2011 randomized cohort with refractory AR, showed that TNSS improved with fluticasone-oxymetazoline compared to placebo or oxymetazoline alone, but not over fluticasone alone. Additionally, while Meltzer et al.<sup>2194</sup> showed combination therapy to be superior to mometasone alone in their AR cohort, they did not demonstrate a dose-dependent relationship of oxymetazoline as part of the combination therapy in reducing nasal congestion (Table XI.B.10.f).

This controversy extends to higher level evidence as well. A 2018 SRMA of two studies by Khattiyawittayakun et al.<sup>2198</sup> determined that there was no demonstrable benefit to the addition of an INDC to INCS, and an IT reduction should be recommended in AR patients refractory to first-line therapy with INCS. Several limitations in the current data exist that make comparing published RCTs challenging, including heterogeneity of methods and medications used, inconsistency between studies in their cohort construction (some including seasonal and perennial AR and others including non-allergic rhinitis), and differences in antihistamine use in various trials. This is reflected in the measured statements issued in current guidelines. The 2020 Joint Task Force Practice Parameter on Rhinitis suggests that combination therapy of INCS-INDC can be offered for up to 4 weeks to patients with nasal congestion unresponsive to INCS or INCS-intranasal antihistamine combination therapy.<sup>182</sup> The 2015 AAO-HNSF Clinical Practice Guideline for AR cautions that such combination therapy with INDC should be limited to a few days to prevent rebound congestion.<sup>1005</sup>

### Combination intranasal corticosteroid and intranasal decongestant

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 5 studies, level 3: 1 study; Table XI.B.10.f)

**Benefit:** Some evidence in randomized studies of benefit from addition of INDC to INCS therapy in refractory AR patients. The evidence regarding the magnitude of effect is unclear, and a meta-analysis that tried to estimate this effect was significantly limited by study heterogeneity and low sample size (two trials).

**Harm:** See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Balance of benefit and harm with current evidence base.

**Value judgments:** While combination therapy of INDC and INCS is superior to INCS therapy alone with low risk of tachyphylaxis in patients with refractory AR, the magnitude of effect is still unclear. There may be a role in patients with AR refractory to INCS and intranasal antihistamine combination therapy prior to consideration of surgery or in patients uninterested in surgery.

**Policy level:** Option.

**Intervention:** Short-term combination therapy with INCS and INDC may be considered in patients with AR refractory to combination therapy with INCS and intranasal antihistamine prior to consideration of IT reduction or in patients declining surgery.

### XI.B.10.g | Intranasal corticosteroid and intranasal ipratropium

Current treatment algorithms for children<sup>2199,2200</sup> and adult patients<sup>182,1005</sup> with moderate to severe AR with insufficient symptom control or treatment failure with INCS monotherapy uniformly recommend adding nasal IPB to the established INCS therapy if one of the main symptoms is predominant or refractory rhinorrhea. Although most guidelines recommend the combined use of both INCS and IPB in those patients, only one study assessed the effectiveness of this combination therapy in AR patients. Dockhorn et al.<sup>2063</sup> conducted a double-blind RCT in patients with AR and non-allergic rhinitis and demonstrated that the combination therapy of 14 days of IPB 0.03%, 42  $\mu$ g per nostril TID and beclomethasone dipropionate, 84  $\mu$ g per nostril BID was superior

**TABLE XI.B.10.f** Evidence table – combination therapy: intranasal corticosteroid and intranasal decongestant

| Study                                      | Year | LOE            | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|--|------|----------------|--------------|--|---|---|
| Khattiyawitta-yakun et al. <sup>2198</sup> | 2018 | 1              | SRMA         | Six RCTs:<br>INCS-INDC<br>INCS   | TNSS, rhinorrhea, itching, sneezing                                   | Two studies in meta-analysis<br>Combination therapy did not show benefit over INCS alone  |
| Kirtsreesakul et al. <sup>2192</sup>       | 2016 | 2              | RCT          | 68 participants:<br>Mometasone furoate-oxymetazoline nasal spray<br>Mometasone furoate-placebo nasal spray   | TNSS, PNIF, nasal mucociliary clearance time, total nasal polyp score | Combination therapy significantly more effective in improving blocked nose, hyposmia, mucociliary clearance, and total nasal polyp score  |
| Thongngarm et al. <sup>2196</sup>          | 2016 | 2              | RCT          | 50 participants:<br>Budesonide-oxymetazoline nasal spray-oral cetirizine<br>Budesonide-placebo nasal spray-oral cetirizine   | Nasal symptom score, PNIF, RQLQ                                       | Combination therapy significantly more effective than budesonide-cetirizine, particularly in AR subgroup  |
| Meltzer et al. <sup>2194</sup>             | 2013 | 2              | RCT          | 705 participants:<br>Mometasone-oxymetazoline (3 sprays pn Qday) nasal spray<br>Mometasone-oxymetazoline (1 spray pn Qday) nasal spray<br>Mometasone nasal spray<br>Oxymetazoline (2 sprays pn BID) nasal spray<br>Placebo | TNSS  | Combination therapy significantly more effective in improving nasal congestion than mometasone alone, oxymetazoline alone, and placebo<br>No dose-dependent relationship seen with oxymetazoline in combination therapy |
| Matreja et al. <sup>2193</sup>             | 2012 | 2              | RCT          | 123 participants:<br>Fluticasone nasal spray<br>Fluticasone-oxymetazoline nasal spray  | Nasal symptom score (daytime, nighttime, composite)                   | Combination therapy significantly more effective in improving daytime, nighttime, and composite nasal symptoms versus fluticasone alone   |
| Baroody et al. <sup>2197</sup>             | 2011 | 2              | RCT          | 60 participants:<br>Fluticasone nasal spray<br>Oxymetazoline nasal spray<br>Fluticasone-oxymetazoline nasal spray<br>Placebo   | TNSS, acoustic rhinometry, PNIF                                       | Combination therapy significantly more effective in improving nasal congestion than placebo or oxymetazoline alone<br>No significant improvement over fluticasone alone   |
| Rael et al. <sup>2195</sup>                | 2011 | 3 <sup>a</sup> | RCT          | 23 participants:<br>Mometasone nasal spray<br>Mometasone-oxymetazoline nasal spray   | Mini-RQLQ   | Combination therapy significantly more effective in improving nasal congestion than mometasone alone<br>No rhinitis medicamentosa observed  |

Abbreviations: AR, allergic rhinitis; BID, twice daily; INCS, intranasal corticosteroid; INDC, intranasal decongestant; LOE, level of evidence; pn, per nostril; PNIF, peak nasal inspiratory flow; Qday, daily; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SRMA, systematic review and meta-analysis; TNSS, Total Nasal Symptom Score.

<sup>a</sup>Downgraded LOE due to very small size of RCT and lack of AR/non-allergic rhinitis subgroup analysis.

**TABLE XI.B.10.g** Evidence table – combination therapy: intranasal corticosteroid and intranasal ipratropium

| Study                           | Year | LOE | Study design | Study groups   | Clinical endpoints                                      | Conclusions  |
|---------------------------------|------|-----|--------------|--|---|--|
| Dockhorn et al. <sup>2063</sup> | 1999 | 2   | DBRCT        | Perennial AR ( $n = 279$ ), non-allergic rhinitis ( $n = 274$ ); 8–74 years old:<br>IPB 0.03% [42 $\mu$ g pn TID] + BDP [84 $\mu$ g pn BID], ( $n = 207$ )<br>IPB 0.03% [42 $\mu$ g pn TID] + placebo, ( $n = 103$ )<br>BDP [84 $\mu$ g pn BID] + placebo, ( $n = 109$ )<br>Placebo, ( $n = 106$ ) | Severity and duration of rhinorrhea (patient-perceived) | Combining IPB with BDP is more effective than either agent alone for the treatment of rhinorrhea |

Abbreviations: AR, allergic rhinitis; BDP, beclomethasone dipropionate; BID, twice daily; DBRCT, double-blind randomized controlled trial; IPB, ipratropium bromide; LOE, level of evidence; pn, per nostril; TID, three times daily.

to either agent alone, or placebo, in reducing the severity and duration of rhinorrhea. The combination therapy resulted in a clinically relevant reduction in severity and duration of rhinorrhea in 74% and 66% of patients, respectively, compared to 57% and 50% for IPB monotherapy, 64% and 54% for beclomethasone dipropionate monotherapy, and 47% and 38% for placebo. Of note, in evaluation of nasal congestion alone, combination therapy was more effective than IBP monotherapy or placebo, but not statistically better than beclomethasone dipropionate alone. Similarly, better improvements in QOL PROMs, including the SF-36 Health Survey and the RQLQ, were seen in the combination therapy group relative to monotherapy or placebo. The QOL effects of the combination therapy were most pronounced on the three RQLQ questions that focus on rhinorrhea. A clinically relevant improvement from: “somewhat troubled-extremely troubled” at baseline to “not troubled-hardly troubled” after 2 weeks of treatment was found in 48.8% of patients with the combined treatment compared to 38.9%, 25.2%, and 16% in the IPB, beclomethasone dipropionate, and placebo groups. The combination therapy was generally well tolerated. The most reported adverse effects included nasal dryness, epistaxis, blood-streaked sputum, nasal irritation, and congestion (Table II.C). Interestingly, the percentage of patients reporting these adverse events was comparable to the treatment groups receiving monotherapy. Of note, this study population included patients with both AR and non-allergic rhinitis and therefore these conclusions may only apply to this combination population. Nonetheless, as there is only evidence that the combination therapy effectively controls rhinorrhea, add-on IPB should only be prescribed if one of the predominant refractory symptoms is rhinorrhea (Table XI.B.10.g).

### Combination intranasal corticosteroid and intranasal ipratropium

**Aggregate grade of evidence:** Unable to determine based on one study. (Level 2: 1 study; Table XI.B.10.g)

**Benefit:** Reduction of rhinorrhea in INCS-treatment-refractory AR.

**Harm:** Usually no systemic anticholinergic activity if administered intranasally in the recommended doses. See Table II.C.

**Cost:** Low.

**Benefits-harm assessment:** Benefit for combined INCS and IPB therapy in patients with treatment refractory AR and the main symptom of rhinorrhea.

**Value judgments:** No evidence for benefit in controlling symptoms other than rhinorrhea. Evidence is limited, but results are encouraging for patients with persistent rhinorrhea.

**Policy level:** Option.

**Intervention:** Combining IPB with beclomethasone dipropionate can be more effective than either agent alone for the treatment of rhinorrhea in refractory AR in children and adults. Although multiple consensus guidelines have recommended, and there is evidence to support this recommendation, it is important to note that there has only been one RCT to study the efficacy of combined INCS and IPB therapy compared to either agent alone, and this study was performed in a combined population of patients with AR and non-allergic rhinitis.



## XI.B.11 | Non-traditional and alternative therapies

### XI.B.11.a | Acupuncture

Since the 5th century BC, acupuncture has been used as a therapeutic modality for otolaryngologic disorders.<sup>2201</sup> A central tenet of Traditional Chinese Medicine (TCM) is the concept of *qi*, which represents the body's vital energy and flows through a network of meridians beneath the skin.<sup>2202</sup> Acupuncture involves insertion of thin needles at specific acupoints located along these meridians with the goal of achieving a therapeutic “*de qi*” effect.<sup>2203</sup> Studies have shown that acupuncture may potentially reset the Th2-Th1 imbalance by modulating IgE and IL-10 levels in patients with AR significantly more than controls.<sup>2204,2205</sup> Acupuncture has an excellent safety profile with only mild reported adverse effects.<sup>2205,2206</sup>

Several SRMAs have been performed on acupuncture for the treatment of AR. In 2008, Roberts et al.<sup>2206</sup> reviewed seven RCTs and found a high degree of heterogeneity between studies with most studies being of low quality. No overall effects of acupuncture on AR symptom scores or use of relief medications were identified. In 2009, Lee et al.<sup>2207</sup> performed a systematic review with pooled analysis of 152 patients demonstrating that the results of acupuncture for AR are mixed – with acupuncture superior to sham acupuncture in symptom scores for perennial AR, but not for seasonal AR. In 2015, a meta-analysis by Feng et al.<sup>2205</sup>, which included 13 studies, showed a significant improvement of nasal symptoms, RQLQ scores, and use of rescue medications in the group receiving acupuncture. This meta-analysis included data from a large multicenter RCT ( $n = 422$ ) demonstrating improvement of seasonal AR with true acupuncture.<sup>2208</sup> In 2020, a systematic review by Wu et al.<sup>2209</sup> analyzed 15 RCTs and found acupuncture as a useful adjunct to allopathic standard of care or as monotherapy for AR. Yin et al.<sup>2210</sup> reviewed 39 studies, which included several studies from China and a meta-analysis showing that acupuncture was superior to sham acupuncture with improvement in nasal symptom and RQLQ scores (Table XI.B.11.a).

Most important to note is the paucity of trials with head-to-head comparisons between acupuncture and standard conventional AR medication, with most RCTs using medication primarily as rescue treatment. The uncontrolled use of AR medications can significantly impact outcomes and underscores the critical need for comparative effectiveness research, as prioritized by the National Academy of Medicine.<sup>2211</sup>

### Acupuncture

**Aggregate grade of evidence:** A (Level 1: 4 studies, level 2: 1 study; Table XI.B.11.a)

**Benefit:** Improvement of QOL and symptoms. Fairly well tolerated with no systemic adverse effects.

**Harm:** Needle sticks associated with minor adverse events including skin irritation, erythema, subcutaneous hemorrhage, pruritus, numbness, fainting, and headache. Electroacupuncture can interfere with pacemakers and other implantable devices. Caution is recommended in pregnant patients as some acupoints can theoretically induce labor. Need for multiple treatments and possible ongoing treatment to maintain any benefit gained. Relatively long treatment period.

**Cost:** Moderate-high. Cost and time associated with acupuncture treatment; multiple treatments required.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** The evidence is generally supportive of acupuncture. Acupuncture may be appropriate for some patients to consider as an adjunct/alternative therapy.

**Policy level:** Option.

**Intervention:** In patients who are interested in avoiding medications, acupuncture can be suggested as a possible therapeutic adjunct.

### XI.B.11.b | Other complementary modalities

Several SRMAs and RCTs have been performed on complementary interventions other than traditional acupuncture. These include: (1) ear acupressure<sup>2212</sup>; (2) acupoint catgut implantation<sup>2213</sup>; (3) acupoint herbal patching<sup>2214</sup>; (4) sphenopalatine ganglion acupuncture – a modern version of acupuncture developed by a Chinese otolaryngologist in the 1960s and first reported in 1990 for the treatment of AR<sup>2215–2218</sup>; and (5) moxibustion/thunder fire moxibustion – a therapy based upon TCM theory that entails the burning of mugwort leaves as a warming treatment to promote circulation of *qi*.<sup>2210,2219,2220</sup> SRMA results are mixed, with several of the SRMAs including studies of low methodological quality or high risk of bias (Table XI.B.11.b).



**TABLE XI.B.11.a** Evidence table – acupuncture for allergic rhinitis

| Study <sup>a</sup>             | Year | LOE            | Study design                       | Study groups  | Clinical endpoints                                    | Conclusions   |
|--------------------------------|------|----------------|------------------------------------|---|---|---|
| Wu et al. <sup>2209</sup>      | 2020 | 1              | SR                                 | Acupuncture<br>Sham acupuncture<br>No acupuncture<br>Conventional medication (1 RCT)            | Nasal symptom scores<br>RQLQ                          | Significant efficacy in traditional acupuncture groups<br>Acupuncture and loratadine both had significant improvement in symptoms<br>Acupuncture had lasting improvement after 10 weeks |
| Feng et al. <sup>2205</sup>    | 2015 | 1              | SRMA                               | Acupuncture<br>Sham acupuncture   | Nasal symptom scores<br>RQLQ<br>Rescue medication use | Significant reduction in nasal symptoms, improvement in RQLQ scores and use of rescue medications with acupuncture  |
| Lee et al. <sup>2207</sup>     | 2009 | 1              | SR                                 | Acupuncture<br>Sham acupuncture<br>Conventional medication (2 RCTs)                             | Nasal symptom scores<br>RQLQ<br>Rescue medication use | Favorable effects of acupuncture on symptom scores for perennial AR, but not for seasonal AR  |
| Roberts et al. <sup>2206</sup> | 2008 | 1              | SRMA                               | Acupuncture<br>Sham acupuncture   | AR symptom scores<br>Rescue medication use            | No overall effect on AR symptom scores or need for rescue medications   |
| Yin et al. <sup>2210</sup>     | 2020 | 2 <sup>b</sup> | SRMA (including Chinese databases) | Acupuncture<br>Sham acupuncture<br>Moxibustion<br>Electroacupuncture<br>Conventional medication | Nasal symptom scores<br>RQLQ                          | All acupuncture methods superior to sham acupuncture for nasal symptoms and RQLQ  |

Abbreviations: AR, allergic rhinitis; LOE, level of evidence; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SR, systematic review; SRMA, systematic review and meta-analysis.

<sup>a</sup>Relevant prior studies are included in the SRMAs.

<sup>b</sup>LOE downgraded due to unclear risk of bias for allocation concealment; insufficient blinding of participants, personnel, and outcome assessments; short treatment duration (most studies 2–4 weeks) and lack of follow up.

### Other complementary modalities

**Aggregate grade of evidence:** Uncertain. Various complementary modalities assessed. Studies included in several SRMAs had poor methodological quality or high risk of bias.

**Benefit:** Unclear but some of these complementary therapies may be able to provide symptomatic relief.

**Harm:** Minimal side effects reported.

**Cost:** Moderate-high cost of therapies with multiple treatments required.

**Benefits-harm assessment:** Unknown.

**Value judgments:** There is lack of sufficient evidence to recommend the use of these interventions in AR.

**Policy level:** No recommendation.

**Intervention:** None.

### XI.B.11.c | Honey

A long-held belief has been that honey is effective in treating symptoms of AR; however, evidence for this is scarce. It is postulated that environmental antigens contained within locally produced honey could, when ingested regularly, lead to the development of tolerance in a manner similar to SLIT.<sup>1246</sup> Primary sources of antigens can include pollen and microflora from the digestive tract of

**TABLE XI.B.11.b** Evidence table – other complementary medicine treatments for allergic rhinitis

| Study <sup>a</sup>           | Year | LOE            | Study design                       | Study groups   | Clinical endpoints   | Conclusions  |
|------------------------------|------|----------------|------------------------------------|--|--|--|
| Yin et al. <sup>2210</sup>   | 2020 | 2 <sup>b</sup> | SRMA (including Chinese databases) | Acupuncture<br>Sham acupuncture<br>Moxibustion<br>Electroacupuncture<br>Conventional medication                                  | Nasal symptom scores<br>RQLQ   | All acupuncture methods superior to sham acupuncture for nasal symptoms and RQLQ<br>Moxibustion or manual acupuncture plus conventional medicine most effective for AR |
| Fu et al. <sup>2215</sup>    | 2019 | 2 <sup>c</sup> | SRMA (including Chinese databases) | Acupuncture of SGA acupoint<br>Sham acupuncture<br>Acupuncture of other acupoints<br>Conventional medicine                       | TNSS<br>RQLQ<br>VAS<br>Total effective rate<br>Improvement of disease classification | Acupuncture to the SGA alone was more effective than control groups  |
| Yuan et al. <sup>2220</sup>  | 2020 | 3 <sup>d</sup> | SRMA                               | TFM alone<br>TFM + conventional therapy<br>Sham TFM<br>No treatment<br>Placebo   | TNSS<br>VAS<br>Secondary outcomes: TNNSS, RQLQ, VAS                                  | TFM showed a significant difference in symptom score<br>All included studies had low methodological quality  |
| Zhou et al. <sup>2214</sup>  | 2015 | 3 <sup>e</sup> | SRMA                               | Acupoint herbal patching + conventional medicine<br>Acupoint herbal patching<br>Conventional medicine<br>Placebo<br>No treatment | Recurrence rate of AR<br>Symptoms<br>RQLQ<br>SF-36                                   | Acupoint herbal patching effective, both alone and with Western medicine, more than placebo and Western medicine alone<br>No adverse reactions<br>High risk of bias    |
| Zhang et al. <sup>2218</sup> | 2020 | 4 <sup>d</sup> | SRMA (including Chinese databases) | Acupuncture of SGA acupoint<br>Manual acupuncture<br>Appoint catgut embedding<br>Acupoint herb application<br>Western medicine   | Nasal symptoms (3-point Likert scale)<br>Global AR symptoms (binary assessment)      | Acupuncture of SGA acupoint had the highest improvement of global AR symptoms<br>Most studies had extremely low methodological quality                                 |
| Li et al. <sup>2213</sup>    | 2014 | 4 <sup>f</sup> | SR                                 | Catgut implantation at acupoints<br>Conventional medicine<br>Moxibustion in mid-summer   | Improvement in AR symptom<br>Clinical efficacy rate                                  | No conclusion could be made due to several methodological shortcomings and risk of bias for one included trial   |

(Continues)

TABLE XI.B.11.b (Continued)

| Study <sup>a</sup>           | Year | LOE            | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|------------------------------|------|----------------|--------------|--|---|---|
| Zhang et al. <sup>2212</sup> | 2010 | 4 <sup>g</sup> | SR           | Ear acupuncture<br>Body acupuncture<br>Sham acupuncture<br>Chinese herbal medicine<br>Conventional medication<br>No intervention | % effectiveness<br>Total symptom severity score (1 study) | No conclusion could be made due to low methodological quality of included studies |

Abbreviations: AR, allergic rhinitis; LOE, level of evidence; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SF-36, 36-item Short Form Survey; SGA, sphenopalatine ganglion acupuncture; SR, systematic review; SRMA, systematic review and meta-analysis; TFM, thunder fire moxibustion; TNNSS, Total Non-Nasal Symptom Score; TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

<sup>a</sup>Relevant prior studies are included in the SRMAs.

<sup>b</sup>LOE downgraded due to unclear risk of bias for allocation concealment; insufficient blinding of participants, personnel, and outcome assessments; short treatment duration (most studies were 2–4 weeks) and lack of follow up.

<sup>c</sup>LOE downgraded due to lack of blinding of participants, personnel, outcome assessments; allocation concealment; attrition bias with incomplete outcome data.

<sup>d</sup>LOE downgraded due to lack of blinding of participants, personnel, outcome assessments; allocation concealment; selective reporting bias.

<sup>e</sup>LOE downgraded due to high risk of bias, including lack of details about randomization, allocation concealment, no intention-to-treat analysis, proper blinding in the majority of included studies, and heterogeneity of study subjects with AR.

<sup>f</sup>LOE downgraded since only one RCT met inclusion criteria for SR, with high risk of bias due to lack of validated outcome measure, details about randomization, allocation concealment, blinding of participants and personnel, selective reporting bias, and no intention-to-treat analysis.

<sup>g</sup>LOE downgraded due to lack of validated outcome measure, details about randomization, no blinding of participants in all 5 studies included in SR, and no intention-to-treat analysis.

honeybees, which typically contains microorganisms present in dust, air, and flowers.<sup>2221</sup> It is important to note, however, that heavy insect-borne pollens do not meet Thomen's postulates, as they are not airborne and hence should not be able to induce allergic sensitivity. Studies in animals have demonstrated the ability of honey to suppress IgE antibody responses against different allergens and to inhibit IgE-mediated mast cell activation,<sup>2222–2224</sup> while studies in humans have demonstrated various anti-inflammatory properties of honey.<sup>2225,2226</sup>

There have been three RCTs looking at honey in the treatment of AR. The studies all differed on geographic location, length of treatment, dose of honey, and timing with respect to specific allergy seasons. One double-blind RCT<sup>2227</sup> and an additional RCT<sup>2228</sup> showed a significant decrease in total symptoms scores in the treatment group compared to control. In contrast, another double-blind RCT<sup>2229</sup> found no benefit of honey ingestion for the relief of AR symptoms compared to controls (Table XI.B.11.c).

Of note, it has been reported that higher doses (50–80 g daily intake) of honey are required to achieve health benefits from honey,<sup>2230</sup> and only the trial by Asha'ari et al.<sup>2227</sup> dosed patients at that level. In addition, the benefit of birch pollen honey in the trial by Saarinen et al.<sup>2228</sup> might be explained by a specific immunotolerance developed during oral intake of birch pollen with honey acting as a vehicle.

## Honey

Aggregate grade of evidence: D (Level 2: 3 studies, conflicting evidence; Table XI.B.11.c)

Benefit: Unclear as studies have shown differing results and include different preparations of honey in the trials. Local honey may be able to modulate symptoms and decrease need for antihistamines.

Harm: Potential compliance issues with patients not tolerating the level of sweetness. Potential risk of allergic reaction and rarely anaphylaxis. Caution should be exercised in pre-diabetics and diabetics for concern of elevated blood glucose levels.

Cost: Cost of honey and associated healthcare costs with increased consumption.

Benefits-harm assessment: Balance of benefit and harm.

Value judgments: More studies are required before honey intake can be widely recommended.

Policy level: No recommendation.

Intervention: None.

## XI.B.11.d | Herbal therapies

There are a vast number of studies looking at the effectiveness of various herbs and supplements in the treatment of AR; however, most are small and of poor quality. Herbal

**TABLE XI.B.11.c** Evidence table – honey for allergic rhinitis

| Study                           | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|---------------------------------|------|-----|--------------|--|---|---|
| Asha'ari et al. <sup>2227</sup> | 2013 | 2   | DBRCT        | Honey<br>Placebo   | AR symptom scores   | Improvement in overall and individual AR symptoms with honey  |
| Saarinen et al. <sup>2228</sup> | 2011 | 2   | RCT          | Birch pollen honey<br>Regular honey<br>No honey  | Daily AR symptoms<br>Number of asymptomatic days<br>Rescue medication use | Birch pollen honey significantly lowered total symptom score and decreased use of rescue medications<br>Honey groups had significantly more asymptomatic days |
| Rajan et al. <sup>2229</sup>    | 2002 | 2   | DBRCT        | Locally collected, unpasteurized, unfiltered honey<br>Nationally collected, pasteurized, filtered honey<br>Placebo | Daily AR symptoms<br>Rescue medication use                                | No significant difference in AR symptoms or need for rescue medication  |

Abbreviations: AR, allergic rhinitis; DBRCT, double-blind randomized controlled trial; LOE, level of evidence; RCT, randomized controlled trial.

remedies that have been subjected to more rigorous study are summarized in Table XI.B.11.d.

Herbs often contain active pharmacologic ingredients, which can be difficult to measure clinically.<sup>2231</sup> Given the lack of robust and repeated large double-blind placebo-controlled RCTs for any particular herbal remedy, further research is needed before recommendations can be made regarding routine use of any particular herb or supplement.

### Herbal therapies

Aggregate grade of evidence: Uncertain.

Benefit: Unclear, but some herbs may be able to provide symptomatic relief.

Harm: Some herbs are associated with mild side effects. Also, the safety, quality and standardization of herbal remedies and supplements are unclear.

Cost: Cost of herbal supplements.

Benefits-harm assessment: Unknown.

Value judgments: There is a lack of sufficient evidence to recommend the use of herbal supplements in AR.

Policy level: No recommendation.

Intervention: None.

### XI.B.11.e | Guideline summary recommendations for non-traditional and alternative therapies

See Table XI.B.11.e. for a summary of current guideline recommendations for non-traditional and alternative therapies for AR.

## XI.C | Intranasal procedural interventions

Although medical therapy has largely been considered the cornerstone of treatment for AR, surgical/procedural management may play a role when patients are refractory to medical treatment. In these instances, surgery aims to improve structural problems that may lead to nasal obstruction/congestion, or to directly address physiologic causes of symptoms (e.g., rhinorrhea, mucosal swelling).

The literature surrounding the role of septoplasty/septorhinoplasty as a structural treatment for AR has expanded recently. While early evidence suggested that AR patients may benefit less from septoplasty/septorhinoplasty than non-AR counterparts,<sup>2285–2287</sup> most of the recent literature suggests the contrary,<sup>1093,2288–2296</sup> with overall low complication rates.<sup>2297,2298</sup> Kim et al.<sup>2299</sup> found that AR patients with septal deviation that underwent septoplasty with turbino-plasty had greater improvement in nasal obstruction than those that who underwent turbino-plasty alone. Nevertheless, the evidence is low-quality overall, with a preponderance of retrospective case series and no RCTs.

TABLE XI.B.11.d Herbs and supplements used in the treatment of allergic rhinitis

| Herb  | Mechanism of action   | Evidence <sup>a</sup>  | Side effects   |
|---|---|--|--|
| Apple polyphenols                                   | Inhibits release of histamine from mast cells and basophils   | DBRPCT investigated drinking apple polyphenols (50 or 200 mg daily); improvement in sneezing, nasal discharge, turbinate swelling <sup>2232</sup>  | Rash, soft stool, headache, changes in hematocrit, increased uric acid levels                  |
| <i>Astragalus membranaceus</i>                      | Unknown   | DBRPCT comparing 80 mg daily x6 weeks; improvement in rhinorrhea, TSS, QOL <sup>2233</sup>   | Pharyngitis, rhinosinusitis  |
| Aller-7   | Possible antioxidant and anti-inflammatory pathways <sup>2234–2236</sup>  | Two DBRPCTs showed some relief of symptoms with Aller-7, but some contradictory findings present <sup>2237</sup>   | Dry mouth, gastric discomfort  |
| Benifuuki green tea                                 | Catechins, EGCG and polyphenols inhibit type I and type IV hypersensitivity reactions <sup>2238,2239</sup>  | DBRPCT showed 700 ml Benifuuki green tea daily significantly reduced AR symptoms, improved QOL, suppressed peripheral eosinophils <sup>2240</sup>  | None reported  |
| Biminne   | Unknown   | DBRPCT showed 12 weeks of Biminne significantly reduced sneezing <sup>2241</sup>   | None reported  |
| Butterbur ( <i>Petasites hybridus</i> )             | Inhibits leukotriene/histamine synthesis and mast cell degranulation <sup>2242</sup>  | Three DBRPCTs showed Butterbur was effective in alleviating symptoms, attenuating PNIF recovery, and reducing maximum % PNIF decrease from baseline after adenosine monophosphate challenge; two clinical trials showed butterbur was similar to antihistamine for improving QOL and symptom relief; <sup>2231,2237</sup> one DBRPCT demonstrated no benefit for PNIF, symptoms, QOL <sup>2237</sup><br>Six RCTs reviewed: five compared butterbur to placebo; four found butterbur to be superior to placebo. Three RCTs compared butterbur to antihistamines with no difference found between groups <sup>2209</sup> | Hepatic toxicity, headache, gastric upset, headache, itchy eyes, diarrhea, fatigue, drowsiness |
| Capsaicin   | Thought to desensitize and deplete sensory C-fibers and myelinated A- $\delta$ fibers, acting as a blocking agent of neuropeptides <sup>2243–2245</sup> | No evidence of a therapeutic effect of intranasal capsaicin in AR <sup>1090,2209,2245</sup>  | Mucosal irritation, burning, lacrimation, coughing   |
| Chlorophyll c2 ( <i>Sargassum horneri</i> )         | Possibly inhibits degranulation of mast cells and basophils   | DBRPCT showed 0.7 mg Chlorophyll c2 daily significantly decreased the need for rescue medications after 8 weeks, but no difference in QOL <sup>2246</sup>  | None reported  |
| Cinnamon bark, Spanish needle, acerola (ClearGuard) | Inhibits production of prostaglandin D2 <sup>2247</sup>   | DBRPCT showed 450 mg CG TID comparable to loratadine 10 mg in symptom reduction; CG prevented increase in prostaglandin D2 release following nasal allergen challenge <sup>2247</sup>  | None reported  |
| Conjugated linoleic acid                            | Immune-modulating effects of humoral and cellular immune responses, decreased in vitro production of TNF- $\alpha$ , IFN- $\gamma$ , IL-5               | DBRPCT showed that consuming 2 g conjugated linoleic acid daily before and during birch pollen season improves sneezing and wellbeing <sup>2248</sup>  | None reported  |

(Continues)



TABLE XI.B.11.d (Continued)

| Herb                                     | Mechanism of action   | Evidence <sup>a</sup>  | Side effects   |
|--|---|--|--|
| Grapeseed extract                        | Unknown   | DBRPCT showed no benefit of 100 mg grapeseed extract BID on nasal symptoms, need for rescue medications, QOL <sup>2249</sup>   | None reported  |
| Isoquercitrin                            | Flavonoid with anti-allergic and antioxidant effects  | DBRPCT demonstrated 100 mg Isoquercitrin significantly improved ocular symptoms but not nasal symptoms <sup>2250,2251</sup>  | None reported  |
| Ginger                                   | Anti-allergic activity, suppression of mast cell infiltration and release of IgE  | DBRPCT showed significant improvement of symptom and RQLQ scores for both ginger extract (500 mg) and loratadine, but there was no significant difference between them <sup>2252</sup>   | Eructation, dry mouth and throat   |
| Methylsulfonyl-methane                   | Organosulfur compound with anti-inflammatory properties and reported to block the formation of inflammasomes  | DBRPCT demonstrated that 3 g daily for 2 weeks provided significant relief of AR symptoms and objective nasal obstruction measurements <sup>2253</sup>   | None reported  |
| <i>Nigella sativa</i> (Black seed)       | Inhibits histamine release from rat macrophages <sup>2254</sup><br>Thymoquinone may inhibit Th2 cytokines and eosinophil infiltration in airways <sup>2255</sup>            | <i>N. sativa</i> capsules (two DBRPCTs) and <i>N. sativa</i> nasal drops (one DBRPCT) improve AR symptoms <sup>2256–2258</sup> ; one DBRPCT did not find significant differences between treatment and placebo <sup>2256</sup> | Gastrointestinal complaints with oral intake, nasal dryness with topical drops |
| <i>Perilla frutescens</i>                | Polyphenolic phytochemicals such as rosmarinic acid inhibit inflammatory processes and the allergic reaction <sup>2259–2262</sup>   | DBRPCT showed 50 mg or 200 mg <i>P. frutescens</i> enriched for rosmarinic acid did not significantly improve symptom scores <sup>2263</sup>   | None reported  |
| Probiotics                               | Downregulation of IL-5 and allergen-specific IgG4 <sup>2264,2265</sup>  | See Section XI.B.9. Probiotics for additional information on this topic  |  |
| RCM-101                                  | Inhibits histamine release and prostaglandin E2 production <sup>2266,2267</sup>   | DBRPCT showed 4 tablets of RCM-101 TID for 8 weeks significantly improved symptom scores and RQLQ <sup>2268</sup>  | Mild gastrointestinal side effects   |
| Spirulina                                | Reduces IL-4 levels, inhibits histamine release from mast cells <sup>2269</sup><br>Enhanced IgA levels and IFN-γ, natural killer cell damage were increased <sup>2270</sup> | DBRPCT showed 2000 mg daily Spirulina significantly improved sneezing, rhinorrhea, congestion, and nasal itching <sup>2271</sup>   | None reported  |
| Ten-Cha ( <i>Rubus suavissimus</i> )     | Inhibits cyclooxygenase activity and histamine release by mast cells <sup>2272</sup>  | DBRPCT showed no significant improvement in symptom scores, RQLQ, or need for antihistamine with 400 mg daily of Ten-Cha extract <sup>2273</sup>   | None reported  |
| TJ-19 <sup>b</sup>                       | Inhibits histamine signaling and IL-4 and IL-5 expression in a rat model <sup>2274</sup>  | DBRPCT showed 3g TJ-19 TID significantly improved sneezing, stuffy nose and rhinorrhea <sup>2275</sup>   | None reported  |
| Tinofend ( <i>Tinospora cordifolia</i> ) | Possibly through anti-inflammatory effects <sup>2276</sup>  | DBRPCT showed 300 mg Tinofend x8 weeks significantly improved AR symptoms, also decreased eosinophils, neutrophils, goblet cells on nasal smear <sup>2276</sup>  | Leukocytosis   |

(Continues)

TABLE XI.B.11.d (Continued)

| Herb                                   | Mechanism of action  | Evidence <sup>a</sup>  | Side effects                      |
|--|--|--|-----------------------------------|
| Tomato extract                         | Possibly inhibits histamine release  | DBRPCT showed 360 mg Tomato extract daily x8 weeks decreased sneezing score, rhinorrhea, nasal obstruction <sup>2277</sup>   | None reported                     |
| <i>Urtica dioica</i> (stinging nettle) | In vitro: antagonist/negative agonist activity against histamine-1 receptor, inhibits mast cell tryptase, prevents mast cell degranulation, inhibits prostaglandin formation <sup>2278</sup> | DBRPCT showed symptom improvement over placebo at 1 h <sup>2279</sup><br>One systematic review showed no significant intergroup differences <sup>2237</sup>  | None reported                     |
| Vitamin C (ascorbic acid)              | Acts as a water-soluble antioxidant with immune modulating effects <sup>2280</sup>   | DBRPCT showed that 2-week nasal application of ascorbic acid reduced nasal edema, mucus secretion, nasal obstruction <sup>2280</sup>   | Diarrhea and abdominal distention |
| Vitamin D                              | Thought to have immunomodulatory effects   | DBRPCT demonstrated that 5 months of vitamin D 1000 IU daily in children with grass pollen-related AR had a significant reduction in symptom and medication scores; however, study had significant bias <sup>621</sup><br>See Section VI.H. Vitamin D for additional information on this topic | None reported                     |
| Vitamin E                              | Unknown  | One DBRPCT showed that 800 mg per day of vitamin E had no effect on ocular symptoms but improved nasal symptoms; no reduction in medications reported <sup>2281</sup><br>Another DBRPCT showed 400 IU per day of vitamin E had no effect on nasal symptoms or IgE levels <sup>2282</sup>       | None reported                     |

Abbreviations: AR, allergic rhinitis; BID, twice daily; DBRPCT, double-blind randomized placebo-controlled trial; EGCG, epigallocatechin-3-O-gallate; IFN, interferon; Ig, immunoglobulin; IL, interleukin; PNIF, peak nasal inspiratory flow; QOL, quality of life; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; Th2, T helper 2; TID, three times daily; TNF, tumor necrosis factor; TSS, Total Symptom Score.

<sup>a</sup>All listed studies LOE 2.

<sup>b</sup>Not available in US; contains ephedra.

Furthermore, many applicable studies did not directly evaluate the role of septoplasty/septorhinoplasty in AR, but instead include it peripherally in the analysis. Therefore, in the properly selected patient, septoplasty/septorhinoplasty may represent an option at best (Table XI.C.-1).

IT surgery can improve symptoms by structurally reducing nasal obstruction/congestion caused by enlarged turbinates, reducing volume of mucosal tissue that reacts with allergens, and allow improved accommodation of AR-induced turbinate swelling.<sup>2300</sup> Inferior turbinate reduction is done via various surgical techniques: (1) bony lateral outfracture; (2) energy-related submucous reduction techniques (e.g., radiofrequency ablation, electrocautery, coblation, laser-assisted); (3) microdebrider-assisted submucous reduction, and (4) bony and submucosal resection, including medial flap turbinate reduction.<sup>2301</sup> Total turbinatec-

tomy or turbinate resection was not covered as part of this review as they are typically not performed for inflammatory disease.

There are numerous studies investigating the efficacy of IT surgery for AR. Bony outfracture, the most atraumatic and conservative IT surgery,<sup>2301</sup> can reduce the distance between IT and lateral nasal wall and enlarge the dimensions of the nasal airway when performed alone<sup>2302,2303</sup> or in conjunction with other techniques.<sup>2304,2305</sup> IT surgery via energy-related techniques<sup>2304–2363</sup> and via direct tissue removal<sup>1093,2296,2299,2303,2307,2310,2331,2332,2335,2336,2338,2344,2364–2376</sup> have both been extensively studied, with reported high efficacy in reducing symptoms and increasing nasal volume and airflow with minimal complications. Of note, botulinum toxin injection<sup>2377–2379</sup> and high-intensity focused ultrasound may also provide symptomatic relief,<sup>2380,2381</sup> though there remains limited evidence for

**TABLE XI.B.11.e** Summary of clinical practice guideline recommendations for non-traditional and alternative therapies for allergic rhinitis

| Organization  | Year | Statement  | Guideline methodology  |
|---|------|--|--|
| American Academy of Otolaryngology – Head and Neck Surgery Foundation <sup>1005</sup> | 2015 | Acupuncture: Clinicians may offer acupuncture as an option, or refer to a clinician who can offer acupuncture, for patients with AR who are interested in nonpharmacologic therapy<br>Herbal Therapy: No recommendation regarding the use of herbal therapy for patients with AR | Systematic review of several EBM databases, with supplementation from journal article reference lists<br>Guideline Implementability Appraisal and Extractor methodological standard<br>AAP method for recommendation development<br>Grading based upon Oxford Centre for EBM       |
| Chinese Society of Allergy Guidelines <sup>2283</sup>                                 | 2018 | Acupuncture is a safe treatment option, and most of the acupuncture methods employed can improve AR symptoms<br>Chinese herbal medicine needs to be assessed and confirmed by larger well-controlled multicenter trials  | Lack of description regarding guideline methodology, EBM review and literature search process  |
| China Association of Acupuncture and Moxibustion <sup>2284</sup>                      | 2021 | Acupuncture can be recommended for distinct types or phases of AR but attention should be paid to the selection of acupoints<br>Moxibustion was found suitable for the distinct types or phases of AR  | Lack of description regarding EBM literature review and search process (unable to find referenced appendices)<br>Guideline primarily discusses TCM pattern differentiation and associated acupoints for treatment<br>GRADE methodology<br>Expert consensus panel of acupuncturists |

Abbreviations: AAP, American Academy of Pediatrics; AR, allergic rhinitis; EBM, evidence-based medicine; GRADE, Grading of Recommendations, Assessment, Development and Evaluation; TCM, Traditional Chinese Medicine.

their utility. As such, the current literature suggests that, in the properly selected AR patient with concomitant IT hypertrophy, IT surgery is an effective and safe treatment to reduce symptoms and improve QOL. More rigorous studies are warranted to directly compare various IT reduction techniques for optimal and durable outcomes (Table XI.C.-2).

Another structural target is the nasoseptal swell body, with newer interventions directed toward volumetric reduction to improve airflow. Though ablation of the swell body (whether through radiofrequency, laser, or coblation) has shown promise in reducing symptoms,<sup>2382–2386</sup> its effectiveness has yet to be tested with an AR-specific cohort. However, the advent of devices intended for office use (e.g., Vivaer, Aerin Medical, Sunnyvale, CA) may provide opportunities for further study.

Rhinorrhea, as part of both AR and non-allergic rhinitis, may arise from overactivity of parasympathetic nerve fibers originating from the vidian nerve. A vidian neurectomy with permanent sectioning of the most proximally accessible nerve segment is a potential surgical approach to reduce rhinorrhea in these patients.<sup>2386</sup> Evidence pub-

lished from 2011 onwards provides support regarding its use in AR patients. Observational studies and a non-randomized controlled trial found that AR patients experienced improvements in sneezing, nasal discharge, obstruction, itching, and QOL.<sup>2375,2387–2390</sup> An RCT and another non-randomized controlled trial of patients with both AR and CRSwNP found similar results, as well as improvement on pulmonary functions tests.<sup>2391,2392</sup> There remains some concern that symptom recurrence may be high based on earlier studies,<sup>2393</sup> especially with longer-term follow up, though this remains in contention and recent series have reported durable outcomes. Additionally, vidian neurectomy also carries the risk of dry eye due to the rami lacrimales that diverge from the nerve.<sup>2394</sup> Though recent evidence suggests that the properly selected patient does not experience symptomatic dry eye postoperatively,<sup>2395</sup> newer, more directed techniques targeting distal nerve segments have been developed. Specifically, the PNN, a branch of the vidian, appears to be an appropriate target given its specific nasal innervation. Though there is no study that evaluates vidian and PNN neurectomy head-to-head in AR

**TABLE XI.C.-1** Evidence table – septoplasty/septorhinoplasty in patients with allergic rhinitis

| Study                             | Year | LOE            | Study design              | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|----------------|---------------------------|--|--|---|
| Gillman et al. <sup>1093</sup>    | 2019 | 3              | Prospective cohort        | Septoplasty and turbinate reduction patients:<br>With AR<br>Without AR   | NOSE<br>Ease-of-Breathing<br>Likert scale<br>mini-RQLQ   | Both groups improved in all three endpoints post-operatively, no statistical difference in degree of improvement for both cohorts       |
| Sokoya et al. <sup>2292</sup>     | 2018 | 4              | Retrospective case series | Open septorhinoplasty patients:<br>With AR<br>Without AR   | NOSE   | No difference in post-operative NOSE scores between AR and non-AR groups  |
| Kim et al. <sup>2299</sup>        | 2011 | 4              | Prospective case-control  | Patients with AR:<br>Septoplasty + turbinoplasty<br>Turbinoplasty alone  | VAS: nasal obstruction, rhinorrhea, sneezing, itching<br>Rescue medication use<br>Rhinasthma Questionnaire | More improvement in nasal obstruction and Rhinasthma score for those that also underwent septoplasty<br>No difference in rescue med use |
| Karatzanis et al. <sup>2286</sup> | 2009 | 4              | Prospective case series   | Septoplasty patients:<br>With AR<br>Without AR   | NOSE<br>Active anterior rhinomanometry   | Non-AR subjects showed more improvement than AR subjects in both endpoints  |
| Eren et al. <sup>2298</sup>       | 2022 | 5 <sup>a</sup> | Retrospective case series | Heterogenous case series of patients undergoing septoplasty or septorhinoplasty ± turbinoplasty, including those with AR       | Septal perforation rates   | No AR patient had a septal perforation  |
| Kim et al. <sup>2295</sup>        | 2021 | 5 <sup>b</sup> | Prospective case series   | Heterogenous case series of OSA patients undergoing septoplasty + IT reduction, including those with AR                        | Successful intervention defined as post-op AHI of <20/h and reduction of ≥50%                              | Patients with AR had a statistically higher rate of success, though total sample was only 35 patients, and success seen in only 5       |
| Gerecci et al. <sup>2294</sup>    | 2019 | 5 <sup>a</sup> | Retrospective case series | Heterogenous case series of patients undergoing septorhinoplasty, including those with AR                                      | NOSE   | Post-operative NOSE scores for the AR group not significantly greater than non-AR group   |
| Kokubo et al. <sup>2293</sup>     | 2019 | 5 <sup>a</sup> | Prospective case series   | Heterogenous case series of patients undergoing septorhinoplasty, including those with AR                                      | UPSIT<br>VAS for smell perception  | AR did not affect improvement in either endpoint<br>VAS improved post-operatively<br>No improvement in UPSIT                            |
| Manteghi et al. <sup>2291</sup>   | 2018 | 5 <sup>a</sup> | Prospective case series   | Heterogenous pediatrics case series of patients undergoing functional septorhinoplasty or septoplasty, including those with AR | NOSE   | AR did not independently affect change in NOSE scores in children   |

(Continues)

TABLE XI.C.-1 (Continued)

| Study                                  | Year | LOE            | Study design              | Study groups  | Clinical endpoints                                  | Conclusions   |
|--|------|----------------|---------------------------|---|---|---|
| Bugten et al. <sup>2290</sup>          | 2016 | 5 <sup>a</sup> | Prospective case-control  | Patients undergoing septoplasty ± turbinate reduction, including those with AR<br>Healthy controls          | SNOT-20<br>VAS<br>Patient satisfaction with surgery | SNOT-20 scores did not differ between AR and non-AR patients post-operatively<br>AR patients were still bothered by nasal blockage and facial pressure more often |
| Mondina et al. <sup>2287</sup>         | 2012 | 5 <sup>a</sup> | Prospective case series   | Heterogenous case series of patients undergoing septoplasty over a 1-year period, including those with AR   | NOSE<br>RhinoQOL                                    | Improvement in NOSE and RhinoQOL with septoplasty<br>AR associated with decreased improvement   |
| Topal et al. <sup>2297</sup>           | 2011 | 5 <sup>c</sup> | Retrospective case series | Heterogenous case series of patients undergoing septoplasty over a 3-year period, including those with AR   | Septal perforation rate                             | Septal perforation rates are low, and comparable between those with and without AR  |
| Stewart et al. <sup>2289</sup>         | 2004 | 5 <sup>a</sup> | Prospective case series   | Heterogenous case series of patients undergoing septoplasty, including those with AR                        | NOSE  | AR did not independently affect change in NOSE scores   |
| Fjermedal et al. <sup>2285</sup>       | 1988 | 5 <sup>a</sup> | Retrospective case series | Heterogenous case series of patients undergoing septoplasty or submucous resection, including those with AR | Patient satisfaction<br>Symptom questionnaire       | AR patients were less satisfied post-operatively compared to non-AR patients, and had unchanged nasal secretion   |
| Stoksted and Gutierrez <sup>2288</sup> | 1983 | 5 <sup>a</sup> | Retrospective case series | Heterogenous case series of patients undergoing septorhinoplasty, including those with AR                   | Evaluation of normal nasal passages                 | Patients with AR reached post-operative normal nasal passages at lower rates  |

Abbreviations: AHI, apnea hypopnea index; AR, allergic rhinitis; IT, inferior turbinate; LOE, level of evidence; NOSE, Nasal Obstruction Symptom Evaluation; OSA, obstructive sleep apnea; RhinoQOL, Rhinosinusitis Quality of Life Survey; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SNOT-20, Sinonasal Outcome Test (20 items); UPSIT, University of Pennsylvania Smell Identification Test; VAS, visual analog scale.

<sup>a</sup>LOE downgraded due to indirectness of evidence owing to a heterogenous sample that was not focused on AR patients.

<sup>b</sup>LOE downgraded due to inclusion criteria of a unique population and low sample size.

<sup>c</sup>LOE downgraded due to indirectness of evidence owing to a heterogenous sample that was not focused on AR patients, as well as low number in the outcome of interest.

patients, PNN neurectomy has been similarly shown to be effective for reducing symptoms,<sup>229,2374,2396–2401</sup> though one non-randomized controlled trial did not find a benefit to adding PNN neurectomy to microdebrider-assisted turbinoplasty.<sup>2402</sup> Given the evidence, neurectomy is an option for treating refractory rhinorrhea following failed medical management (Tables XI.C.-3 and XI.C.-4).

Alternatively, energy-based ablation of the PNN (RhinAer, Aerin Medical, Sunnyvale, CA) utilizing radiofrequency or cryotherapy (ClariFix, Stryker, Kalamazoo, MI) are office-based alternatives to direct nerve section. The earliest report of utilizing cryotherapy for

this indication was by Terao et al.<sup>2403</sup> in 1983. Studies utilizing cryoablation, including a randomized, sham-controlled trial, have shown improvement in symptoms and QOL.<sup>275,2404–2409</sup> Though no study specifically evaluated an AR-specific cohort, many performed subgroup analysis (which showed similar improvement) or controlled for the presence of AR (which showed that AR did not modify outcomes). Similar results were seen with radiofrequency ablation, also in the form of a randomized, sham-controlled trial.<sup>2410,2411</sup> In-office endoscopic laser ablation of the PNN has also been reported with positive improvement.<sup>2412</sup> These procedures seem to be



well-tolerated, with minimal complication risk.<sup>2413</sup> There is also evidence to suggest that appropriate response to IPB nasal spray seems to correlate with improved cryotherapy treatment response.<sup>2409</sup> Ultimately, as the current evidence is largely based on industry-sponsored studies with limited long-term data, these interventions remain an option for properly selected patients (Table XI.C.-5).

### Septoplasty/septorhinoplasty

Aggregate grade of evidence: C (Level 3: 1 study, level 4: 3 studies, level 5: 11 studies; Table XI.C.-1)

Benefit: Improved postoperative symptoms and nasal airway.

Harm: Risk of complications (e.g., septal hematoma or perforation, nasal dryness, cerebrospinal fluid leak, epistaxis, unfavorable aesthetic change); persistent obstruction.

Cost: Surgical/procedural costs, time off from work.

Benefits-harm assessment: Potential benefit must be weighed against low risk of harm and cost of procedure.

Value judgments: Properly selected patients with septal deviation impacting their nasal patency can experience improved nasal obstruction symptoms.

Policy level: Option for those with obstructive septal deviation.

Intervention: Septoplasty/septorhinoplasty may be considered in AR patients that have failed medical management and who have anatomic, obstructive features that may benefit from this intervention.

### Inferior turbinate surgery

Aggregate grade of evidence: B (Level 1: 4 studies, level 2: 13 studies, level 3: 18 studies, level 4: 50 studies\*; Table XI.C.-2)

\*Level 1, 2, and 3 studies are listed in the table; level 4 studies are referenced.

Benefit: Improvement in rhinitis symptoms including nasal breathing, congestion, sneezing, and itching. Improved nasal cavity area via objective measures, as well as increased QOL via subjective measures.

Harm: Risk of complications (e.g., swelling, crusting, empty nose syndrome, epistaxis).

Cost: Surgical/procedural costs, potential time off from work.

Benefits-harm assessment: Potential benefit outweighs low risk of harm.

Value judgments: Current evidence suggests that patients with AR who suffer from IT hypertrophy will likely experience improvement in symptoms, nasal patency, and QOL.

Policy level: Recommendation in patients with medically refractory nasal obstruction.

Intervention: In AR patients with IT hypertrophy that have failed medical management, IT reduction is a safe and effective treatment to reduce symptoms and improve nasal function. More studies are warranted to directly compare IT surgery methods (e.g., radiofrequency ablation, laser-assisted, microdebrider-assisted) for the most efficacious and long-lasting outcome.

### Neurectomy (vidian neurectomy, posterior nasal neurectomy)

Aggregate grade of evidence: B (Level 2: 3 studies, level 3: 5 studies, level 4: 7 studies, level 5: 2 studies; Tables XI.C.-3 and XI.C.-4)

Benefit: Improvement in rhinorrhea.

Harm: Risk of complications (e.g., dry eye and decreased lacrimation, numbness in lip/palate, nasal dryness, damage to other nerves).

Cost: Surgical/procedural costs, potential time off from work.

Benefits-harm assessment: Potential benefit must be balanced with low risk of harm but consider that long-term results may be limited.

Value judgments: Patients may experience an improvement in symptoms.

Policy level: Option.

Intervention: Vidian neurectomy or PNN neurectomy may be considered in AR patients that have failed medical management, particularly for rhinorrhea.

### Cryotherapy/radiofrequency ablation of the posterior nasal nerve

Aggregate grade of evidence: C (Level 3: 2 studies, level 4: 4 studies, level 5: 5 studies; Table XI.C.-5)

Benefit: Improvement in rhinorrhea.

**Harm:** Risk of complications (e.g., epistaxis, temporary facial pain and swelling, and headaches), limited long-term results.

**Cost:** Surgical/procedural costs, cost of device, potential time off from work.

**Benefits-harm assessment:** Potential benefit must be balanced with low risk of harm, especially considering limited long-term results.

**Value judgments:** Patients may experience an improvement in symptoms

**Policy level:** Option.

**Intervention:** Cryoablation and radiofrequency ablation of the PNN may be considered in AR patients that have failed medical management, particularly for rhinorrhea.

controlled RCT, there was no difference in symptom scores in patients who discontinued AIT after 4 years of use and those who continued it.<sup>2423</sup>

One perceived benefit, and perhaps indication, for AIT has been the long-held theory that it may prevent or reduce the development of new allergic disease. However, a recent meta-analysis of 32 studies found no conclusive evidence that AIT reduced the risk of long-term new allergic disease and sensitizations both in the pediatric and adult population.<sup>2426</sup> This study did find a reduction in short-term risk of developing asthma in patients with diagnosed AR (RR 0.4; 95% CI 0.30–0.54). There is evidence from other studies indicating that AIT helps reduce the risk of development of asthma.<sup>2427,2428</sup> In a double-blind RCT of 812 children (5–12 years old) with clinically relevant AR and no history of asthma, patients were treated with 3 years of grass SLIT versus placebo with 2 years of follow-up. The SLIT group had a significantly reduced risk of experiencing asthma symptoms or using asthma medication during the treatment and at the end of the 5-year period.<sup>2429</sup>

Clinicians should be aware that there is a subset of patients for whom AIT is not an option. Absolute and relative contraindications for AIT are addressed in Section **XI.D.3** Contraindications to Allergen Immunotherapy.

There is limited evidence for the efficacy of AIT for the treatment of AR in children younger than 5. However, there is data to show the efficacy and safety of both SLIT and SCIT in children 5 years and older.<sup>2430,2431</sup> Patient adherence with AIT can be challenging, so consideration of risks and benefits, QOL impairment, financial concerns, and patient preference are important in treatment selection.

## XI.D | Immunotherapy

### XI.D.1 | Allergen immunotherapy candidacy

Of the three primary modalities used to manage AR – allergen avoidance, pharmacotherapy, and AIT – immunotherapy is the only treatment that has a disease-modifying effect through induction of immunologic tolerance.<sup>2418</sup> AIT may be considered when a patient has an IgE-positive skin or in vitro test to an allergen that can be correlated with a patient's exposures and symptoms. The presence of sIgE antibodies alone indicates sensitivity to the allergen but may not result in clinically significant allergic symptoms.

Most position papers on AIT recommend its use in patients with moderate to severe symptoms that are not controlled with avoidance and/or pharmacotherapy.<sup>2418,2419</sup> However, there is evidence that SCIT is at least as potent as pharmacotherapy in controlling symptoms of seasonal AR as early as the first season after initiating treatment.<sup>2420</sup> Although there is no direct evidence that AIT is as effective as pharmacotherapy as a primary treatment for AR, most RCTs evaluating the efficacy of SLIT or SCIT showed improvement in symptoms and/or medication requirement compared to placebo. One caveat to these studies is the fact that patients in the placebo groups were allowed to use allergy medications and were essentially a pharmacotherapy treatment group rather than a true placebo group.<sup>2421,2422</sup>

Patients who have adverse reactions to traditional pharmacotherapy or decline long-term medication use are also excellent candidates for AIT. There is strong evidence of decreased medication use up to 3 years after stopping both SCIT and SLIT.<sup>2423–2425</sup> In a double-blind, placebo-

### XI.D.2 | Benefits of allergen immunotherapy for allergic rhinitis

SCIT is the best studied form of AIT and is effective for AR and rhinoconjunctivitis, allergic asthma, and Hymenoptera venom allergy.<sup>2432</sup> SCIT has been practiced for over a century using aqueous extracts of the naturally occurring allergens; its effectiveness and safety have improved over time with the advent of extract standardization and research into mechanisms of action.<sup>2433</sup> SCIT involves the repeated subcutaneous injection of the allergen extract in question, beginning with very small doses of allergen and gradually increasing to higher doses. This is followed by repeated injections of the highest or maintenance dose for periods of 3–5 years to reduce symptoms upon exposure to that allergen. Clinical and physiological improvement can be demonstrated shortly after the patient reaches a maintenance dose.<sup>2419</sup> AIT can also be provided

**TABLE XI.C.-2** Evidence table – inferior turbinate reduction/surgery in patients with allergic rhinitis

| Study                                 | Year | LOE | Study design           | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------------|------|-----|------------------------|---|--|---|
| Sinno et al. <sup>2335</sup>          | 2016 | 1   | SR                     | Total turbinectomy<br>Partial turbinectomy<br>Manual submucous resection<br>Microdebrider submucous resection<br>Electrocautery<br>Laser<br>Cryotherapy<br>RFA<br>Turbinate outfracture | Change in nasal airflow or conductance<br>Nasal resistance<br>Nasal volume<br>Symptoms       | Turbinectomy (partial/total) and submucosal resection had increased crusting and epistaxis<br>More conservative treatments such as cryotherapy and submucous diathermy failed to provide long-term results<br>Submucous resection and RFA decreased nasal resistance and preserved mucosal function<br>No support for outfracture alone |
| Acevedo et al. <sup>2331</sup>        | 2015 | 1   | SRMA                   | RFA turbinoplasty<br>Microdebrider-assisted turbinoplasty   | Nasal obstruction, nasal airflow, volume, resistance   | Positive short-term improvement for both techniques, with no difference between them  |
| Jose and Coates-worth <sup>2414</sup> | 2010 | 1   | Cochrane review        | Isolated IT surgery using any technique   | Improvement in subjective sensation of nasal patency   | No studies met inclusion criteria<br>No conclusions due to insufficient data  |
| Hytonen et al. <sup>2311</sup>        | 2009 | 1   | SR                     | RFA turbinoplasty   | Symptom questionnaires<br>Acoustic rhinometry<br>Rhinomanometry                              | Nasal RFA reduced IT mucous membrane volume and may decrease subjective symptoms and nasal blockage, with only minor discomfort and side effects  |
| Ghosh et al. <sup>2296</sup>          | 2021 | 2   | Prospective randomized | Septoplasty with bilateral microdebrider inferior turbinoplasty<br>Septoplasty alone  | Nasal obstruction<br>NOSE score<br>Subjective performance parameters<br>Overall satisfaction | Greater improvement in NOSE scores in group with septum and turbinate surgery<br>Greater improvement in overall satisfaction at 3 months but not subsequently<br>Similar change in subjective performance parameters  |
| Kang et al. <sup>2341</sup>           | 2019 | 2   | Prospective RCT        | Septoplasty with sham turbinate surgery<br>Septoplasty with RFA turbinoplasty   | Systemic scores for AR<br>NOSE   | Both scores improved in the two groups, with no difference between the groups   |
| de Moura et al. <sup>2371</sup>       | 2018 | 2   | RCT                    | Septorhinoplasty ± partial inferior turbinectomy  | NOSE<br>QOL<br>Rhinoplasty outcome evaluation  | Both groups had significant but comparable improvement in NOSE score, QOL, rhinoplasty outcome domains  |

(Continues)

TABLE XI.C.-2 (Continued)

| Study                                 | Year | LOE | Study design           | Study groups   | Clinical endpoints   | Conclusions  |
|---------------------------------------|------|-----|------------------------|--|--|--|
| Banhiran et al. <sup>2334</sup>       | 2015 | 2   | Prospective randomized | RFA turbinoplasty<br>Bipolar radiofrequency turbinoplasty                          | Nasal obstruction severity/frequency<br>Nasal discharge<br>Sneezing<br>Hyposmia<br>Postnasal drip<br>Acoustic rhinometry | Similar subjective and objective outcomes between groups   |
| Kaymakci et al. <sup>2304</sup>       | 2014 | 2   | Prospective randomized | RFA turbinoplasty with lateral displacement<br>RFA turbinoplasty alone             | Severity/frequency of nasal obstruction  | Post-operative nasal obstruction frequency/severity were significantly lower in RFA with lateral turbinate displacement versus RFA alone                       |
| Abtahi et al. <sup>2378</sup>         | 2013 | 2   | Open label, randomized | Botox injections into: Septum<br>IT  | AR symptoms<br>QOL   | Both groups experienced significant but comparable improvements in symptoms<br>More adverse events in IT group   |
| Lavinsky-Wolff et al. <sup>2323</sup> | 2013 | 2   | RCT                    | Primary septorhinoplasty ± IT reduction via submucosal diathermy                   | Nasal obstruction<br>Rhinoplasty outcome evaluation<br>NOSE<br>QOL   | Both groups had significant symptomatic improvement, regardless of IT reduction  |
| Lee <sup>2364</sup>                   | 2013 | 2   | Prospective randomized | Microdebrider-assisted inferior turbinoplasty:<br>Intraturbinate<br>Extraturbinate | Nasal obstruction, rhinorrhea, sneezing, nasal itching, postnasal drip<br>Acoustic rhinometry                            | Symptomatic improvement significantly higher with extraturbinate treatment<br>Acoustic rhinometry showed significant but comparable improvement in both groups |
| Wei et al. <sup>2380</sup>            | 2013 | 2   | Cohort                 | Regular dose high-intensity focused ultrasound<br>Increased dose                   | Nasal obstruction, sneezing, rhinorrhea<br>Patient satisfaction  | Symptoms significantly improved at 3 months and 1 year<br>Patients receiving increased dose were more satisfied and had less eosinophils and submucous glands  |
| Chusakul et al. <sup>2352</sup>       | 2011 | 2   | Prospective RCT        | INCS<br>KTP-laser IT surgery   | Histopathologic evaluation   | Significant reduction in eosinophil influx after nasal challenge only seen with KTP laser IT surgery   |
| Gunhan et al. <sup>2316</sup>         | 2011 | 2   | Prospective randomized | INCS<br>RFA turbinoplasty  | Anterior rhinomanometry<br>Nasal congestion<br>QOL   | RFA turbinoplasty provided more reduction in nasal congestion<br>QOL scores improved in both groups  |
| Liu et al. <sup>2310</sup>            | 2009 | 2   | RCT                    | Microdebrider-assisted turbinoplasty<br>RFA inferior turbinoplasty                 | Nasal obstruction, sneezing, rhinorrhea, snoring<br>Anterior rhinomanometry<br>Saccharin transit time                    | Microdebrider-assisted inferior turbinoplasty was more effective than RFA in decreasing nasal symptoms 1–3 years postoperatively                               |

(Continues)

TABLE XI.C.-2 (Continued)

| Study                                    | Year | LOE | Study design             | Study groups  | Clinical endpoints   | Conclusions   |
|--|------|-----|--------------------------|---|--|---|
| Unal et al. <sup>2379</sup>              | 2003 | 2   | RCT                      | Turbinate injections:<br>Low dose Botox<br>Medium dose Botox<br>Isotonic saline                     | AR symptoms<br>Rhinoscopy exam   | Rhinorrhea, nasal obstruction, sneezing improved significantly with low and medium dose Botox   |
| Whelan et al. <sup>2344</sup>            | 2021 | 3   | Prospective cohort       | IT reduction in AR and non-allergic rhinitis patients via submucosal:<br>Coblation<br>Microdebrider | NOSE<br>Nasal breathing  | No difference in daily medications between the techniques<br>NOSE score decreased regardless of technique   |
| Gillman et al. <sup>1093</sup>           | 2019 | 3   | Prospective cohort       | IT reduction (via microdebrider) with septoplasty in AR and non-allergic rhinitis patients          | NOSE<br>QOL<br>Ease of breathing   | Both groups had significant improvement in NOSE score, QOL, and ease of breathing, with comparable change between groups  |
| Suzuki et al. <sup>2372</sup>            | 2019 | 3   | Case-control             | Submucosal turbinoplasty with resection of PNN branches in IT<br>Submucosal turbinoplasty alone     | Nasal obstruction, sneezing, nose blowing, mouth breathing, hyposmia                               | Rhinorrhea severity, detection threshold, and recognition threshold significantly lower after resection of the PNN with turbinoplasty   |
| Zhong et al. <sup>2340</sup>             | 2019 | 3   | Case-control             | High-intensity focused ultrasound<br>Plasma RFA   | Nasal obstruction, nasal discharge, sneezing, pain<br>QOL<br>Nasal endoscopy                       | Compared to plasma RFA, high-intensity focused ultrasound significantly reduces nasal symptoms and improves QOL   |
| Parthasarathi et al. <sup>2365</sup>     | 2017 | 3   | Case-control             | Microdebrider IT surgery with or without septoplasty in:<br>AR<br>Non-allergic rhinitis             | SNOT-22<br>Nasal obstruction<br>Global nasal function<br>Nasal airflow                             | Nasal obstruction, SNOT-22, global nasal function, rhinitis/facial symptoms, sleep, psychological function improved in both groups<br>Global nasal function greater in AR group |
| Hamerschmidt et al. <sup>2376</sup>      | 2016 | 3   | Prospective cohort       | Inferior turbinoplasty via turbinectomy scissors:<br>AR<br>No AR                                    | Nasal obstruction, snoring, facial pressure, smell alteration, sneezing, nasal itching, runny nose | Nasal obstruction, snoring, facial pressure, sneezing, nasal itching, runny nose, and smell improved, with no reported difference between the groups                            |
| Shah et al. <sup>2333</sup>              | 2015 | 3   | Prospective cohort       | Radiofrequency coblation<br>Intramural bipolar cautery  | Nasal obstruction, pain<br>Acoustic rhinometry<br>Nasal endoscopy                                  | Radiofrequency coblation significantly less painful with less crusting<br>Both had similar improvement in nasal obstruction symptom and rhinometry                              |
| Di Rienzo Businco et al. <sup>2317</sup> | 2014 | 3   | Prospective case-control | RFA IT reduction with medical therapy<br>Medical therapy only                                       | Nasal obstruction, hydropneumorrhea, sneezing, itching<br>Rhinomanometry                           | Greater efficacy achieved in RFA group, especially in reducing turbinate volume   |

(Continues)



TABLE XI.C.-2 (Continued)

| Study                                    | Year | LOE | Study design               | Study groups  | Clinical endpoints   | Conclusions   |
|--|------|-----|----------------------------|---|--|---|
| Tan et al. <sup>2375</sup>               | 2012 | 3   | Prospective cohort         | Vidian neurectomy<br>Turbinectomy and/or septoplasty<br>Medical management                                      | QOL  | Significant improvement in all groups, with highest improvement in vidian neurectomy group  |
| Langille and El-Hakim <sup>2415</sup>    | 2011 | 3   | Retrospective cohort       | Inferior turbinoplasty ± adenoidectomy  | Glasgow children's benefit inventory   | QOL improvement in both groups regardless of adenoidectomy  |
| Di Rienzo Businco et al. <sup>2416</sup> | 2010 | 3   | Prospective cohort         | RFA IT reduction with medical therapy<br>Medical therapy only   | Nasal obstruction, itching, rhinorrhea, sneezing<br>Rhinoendoscopy<br>Rhinomanometry   | RFA group had more improvement in rhinoendoscopy clinical score   |
| Chen et al. <sup>2369</sup>              | 2008 | 3   | Retrospective cohort       | Microdebrider inferior turbinoplasty with lateralization<br>IT submucous resection                              | VAS<br>Anterior rhinomanometry<br>Saccharin test   | Both groups experienced significant improvement in nasal obstruction, sneezing, rhinorrhea, snoring, rhinomanometric score, saccharin transit time<br>No differences between groups |
| Tani et al. <sup>2309</sup>              | 2008 | 3   | Case-control               | Coblation-assisted versus laser-assisted inferior turbinoplasty   | Nasal symptoms   | Both groups had symptom improvement at one month, but only coblation group had persistent improvement at 1-2 years  |
| Sroka et al. <sup>2351</sup>             | 2007 | 3   | Retrospective case-control | Ho:YAG laser<br>Diode laser   | Nasal obstruction, rhinorrhea, olfaction, sneezing, itching of nose and eyes, headache<br>Quality of life<br>Anterior rhinomanometry | Both groups had significant increase in nasal airflow at 6 months, but only Diode laser had persistent symptomatic relief at 3 years  |
| Ding et al. <sup>2349</sup>              | 2005 | 3   | Case-control               | Septoplasty or nasal polypectomy ± RFA turbinoplasty  | Nasal obstruction, rhinitis symptoms via Haikou standard   | First group (with RFA) had significantly higher improvement in nasal obstruction  |
| Takeno et al. <sup>2360</sup>            | 2003 | 3   | Prospective cohort         | CO <sub>2</sub> laser on AR allergic to house dust mites and Japanese cedar pollen versus house dust mites only | Rhinorrhea, sneezing, nasal obstruction<br>Acoustic rhinometry   | Significant reduction in symptoms and increase in nasal cavity volume in both groups, less pronounced in pollen group   |
| Janda et al. <sup>2358</sup>             | 2002 | 3   | Case-control               | Ho:YAG laser<br>Diode laser   | Rhinitis symptoms<br>Allergy test<br>Rhinomanometry<br>Acoustic rhinometry   | Significant but comparable improvement of nasal airflow in both groups<br>Patients with vasomotor rhinitis had better outcomes than AR  |

(Continues)

TABLE XI.C.-2 (Continued)

| Study  | Year | LOE | Study design         | Study groups   | Clinical endpoints   | Conclusions   |
|--|------|-----|----------------------|--|--|---|
| Passali et al. <sup>2307</sup>   | 1999 | 3   | Retrospective cohort | Electrocautery versus cryotherapy versus laser versus submucosal resection ( $\pm$ lateral displacement)<br>Turbinectomy | Rhinomanometry<br>Acoustic rhinometry<br>Mucociliary transport time<br>Secretory IgA<br>Symptoms | Submucosal resection with lateral displacement of the IT had the greatest improvement in nasal respiratory function with the lowest long-term complications |
| LOE 4 <sup>a</sup> studies <sup>2302,2303,2305,2306,2308,2312–2315,2318–2322,2324–2330,2332,2336–2339,2342,2343,2345–2348,2350,2353–2357,2359,2361–2363,2366–2368,2370,2373,2374,2377,2381</sup> |      |     |                      |  |  |   |

Abbreviations: AR, allergic rhinitis; INCS, intranasal corticosteroid; IT, inferior turbinate; LOE, level of evidence; NOSE, Nasal Obstruction Symptom Evaluation; PNN, posterior nasal nerve; QOL, quality of life; RCT, randomized controlled trial; RFA, radiofrequency ablation; SNOT-22, Sinusnasal Outcome Test (22 item); SR, systematic review; SRMA, systematic review and meta-analysis; VAS, visual analog scale.

<sup>a</sup>LOE 4 studies referenced due to extensive number of studies in this group and multiple higher LOE studies included in the table.

in the sublingual form [SLIT]; dissolvable tablets are FDA approved for a limited number of allergens.<sup>2434</sup>

In contrast to other treatment options for allergic disease, AIT helps achieve sustained immunological changes, by altering the immune system's response and inducing long-lasting immune tolerance to allergens. Despite extensive experience with this therapy and decades of research, the mechanisms underlying clinical improvement have not been fully elucidated. Although less mechanistic research exists for SLIT compared with SCIT, data suggest that both forms of AIT induce similar immunologic changes. These include a reduction in mast cell and basophil degranulation; an initial increase then decrease in sIgE and increase in allergen-specific IgG (sIgG) blocking antibodies; generation of allergen-specific regulatory T and B cells and suppression of allergen-specific effector T cell subsets and ILCs; and reduction in tissue mast cells and eosinophils accompanied by a decrease in type I skin test reactivity.<sup>2435,2436</sup> The clinically evident changes occur earlier with SCIT, and more pronounced sIgG4 responses are observed compared with SLIT.<sup>2437</sup>

The effectiveness of AIT for the treatment of AR is supported by an extensive body of evidence and is generally measured via improvement in allergy symptoms and reduction in allergy medication use.<sup>2438–2440</sup> Although meta-analyses conclude that AIT is effective, this positive judgment of efficacy (and safety) should be limited to products tested in the clinical trials. It is incorrect to make a general assumption that all forms of AIT are effective since this may lead to the clinical use of products that have not been properly studied.<sup>1</sup>

The severity and duration of AR symptoms, as well as coexisting medical conditions such as asthma, should be considered in assessing the need for AIT.<sup>2419</sup> The decision to initiate AIT depends on a number of factors, including but not limited to patient's preference, adherence, response to avoidance measures, medication requirements, and adverse effects of medications. Patients

should be evaluated at least every 12 months while receiving AIT.<sup>182</sup> While many patients experience sustained clinical remission of their allergic disease after discontinuing AIT, others may relapse. A decision about continuation of effective AIT should generally be made after the initial period of 3–5 years of treatment.<sup>182</sup>

As noted in the preceding section, a 2017 meta-analysis evaluating the preventative effects of AIT (SCIT and SLIT) found evidence of a reduction in the short-term (<2 years) risk of developing asthma among patients with AR.<sup>2426</sup> The analysis also examined the longer term risk of asthma development, as well as the ability of AIT to prevent the occurrence of a first allergic disease in sensitized but asymptomatic individuals or to prevent sensitization to new allergens. There were trends toward benefit but inconclusive findings regarding these measures.

### XI.D.3 | Contraindications to allergen immunotherapy

Contraindications to AIT are uncommon but must be reviewed in all patients prior to initiating treatment. For both SLIT and SCIT, the adverse event of greatest severity is anaphylaxis. Therefore, many of the absolute and relative contraindications to AIT are directly related to this risk, including uncontrolled asthma, concomitant  $\beta$ -blocker use, contraindication to injectable epinephrine, and pregnancy.

Uncontrolled asthma may be the single most important risk factor. There were fewer severe injection reactions reported among practices that routinely screened for and withheld injections from patients with asthma that was not controlled.<sup>2441</sup> Most fatal reactions were associated with bronchospasm and/or respiratory failure.<sup>2441,2442</sup>

Due to the inability to engage the  $\beta$ -adrenergic receptor with injectable epinephrine,  $\beta$ -blocker use is considered a relative contraindication for AIT. Since approximately 0.1%

**TABLE XI.C.-3** Evidence table – vidian neurectomy in patients with allergic rhinitis

| Study                               | Year | LOE | Study design                    | Study groups  | Clinical endpoints   | Conclusions   |
|-------------------------------------|------|-----|---------------------------------|---|--|---|
| Maimaitiaili et al. <sup>2391</sup> | 2020 | 2   | RCT                             | Patients with AR + CRSwNP who underwent nasal polypectomy, sinus surgery, and septoplasty (when indicated):<br>No further treatment<br>Vidian neurectomy  | VAS: nasal symptoms<br>TNSS<br>PFT, methacholine challenge       | Vidian neurectomy group had greater improvement in VAS nasal obstruction & rhinorrhea, but not sneezing or itching<br>TNSS was significantly improved in vidian neurectomy group versus controls<br>Number of patients with PFT impairment reduced more significantly in vidian neurectomy group  |
| Qi et al. <sup>2392</sup>           | 2021 | 3   | Non-randomized controlled trial | Patients with AR + CRSwNP underwent nasal polypectomies and inferior turbinate submucosal ablation and septoplasty (when indicated):<br>No further treatment<br>Selective vidian neurectomy (posterior nasal nerve and pharyngeal branch) | VAS: nasal symptoms<br>Lund–Kennedy scores<br>Lund–Mackay scores | All endpoints were significantly more improved in neurectomy cohort, with no increase in complications<br>Cure/recovery rate significantly higher in neurectomy group   |
| Tan et al. <sup>2375</sup>          | 2012 | 3   | Non-randomized controlled trial | AR patients chose to undergo one of the following:<br>Bilateral endoscopic vidian neurectomy<br>Partial inferior turbinectomy and/or septoplasty<br>Conservative treatment  | RQLQ<br>VAS for QOL<br>Patient-reported improvement in symptoms  | Both the neurectomy and septoplasty/turbinelectomy group experienced improvement in RQLQ and VAS post-op<br>Neurectomy group showed significantly greater improvement than septoplasty/turbinelectomy<br>Similar results were reported with symptom assessment  |
| Shen et al. <sup>2390</sup>         | 2021 | 4   | Retrospective cohort            | AR patients who underwent:<br>Bilateral endoscopic vidian neurectomy<br>Subcutaneous immunotherapy  | VAS for nasal and ocular symptoms<br>RQLQ                        | Both groups showed improvement in VAS; neurectomy showed higher clinical impact in improving nasal obstruction, rhinorrhea, eye itching, lacrimation<br>Both groups experienced significantly improved RQLQ score<br>No difference in improvement at 4 months, but there was a statistically significant difference at 12 months, neurectomy showed greater improvement |

(Continues)

TABLE XI.C.-3 (Continued)

| Study                      | Year | LOE | Study design              | Study groups   | Clinical endpoints   | Conclusions   |
|----------------------------|------|-----|---------------------------|--|--|---|
| Ai et al. <sup>2389</sup>  | 2018 | 4   | Retrospective cohort      | Patient with AR and asthma who has received:<br>Conservative medical treatment<br>Bilateral endoscopic vidian neurectomy   | RQLQ<br>VAS<br>TASS<br>AQLQ<br>Medication scores                                   | Neurectomy group experienced significant improvement in RQLQ, VAS, AQLQ, and medication scores versus medical management<br>No difference in pre- and post-treatment TASS was noted in either group |
| Su et al. <sup>2388</sup>  | 2011 | 4   | Retrospective case series | AR patients who underwent endoscopic vidian neurectomies   | VAS: sneezing, nasal discharge, nasal obstruction, itchy eyes/nose, postnasal drip | Significant improvement in all symptoms   |
| Lai et al. <sup>2387</sup> | 2017 | 5   | Retrospective cohort      | Rhinitis patients (including those with AR) who underwent vidian neurectomy via:<br>Cold instrumentation<br>Laser ablation | VAS: nasal obstruction, itching, sneezing, rhinorrhea                              | Both groups experienced improvement<br>No comparison of results between groups<br>No AR-specific subgroup analysis  |

Abbreviations: AQLQ, Asthma Quality of Life Questionnaire; AR, allergic rhinitis; CRSwNP, chronic rhinosinusitis with nasal polyposis; LOE, level of evidence; PFT, pulmonary function test; QOL, quality of life; RCT, randomized controlled trial; TASS, Total Asthma Symptom Score; TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

of allergy injections may lead to systemic symptoms, and 0.003% can be considered severe, the ability to emergently treat these reactions with epinephrine when indicated is essential.<sup>2443</sup>  $\beta$ -blocker use does not appear to increase the likelihood of systemic reactions but, although not consistently observed, may be associated with higher anaphylaxis severity.<sup>2444,2445</sup> Thus, the lack of effect of typical subcutaneous epinephrine dosing in a  $\beta$ -blocked patient creates the treatment dilemma.

Although there is some variability, some guidelines consider active systemic autoimmune diseases and active malignancy as contraindications to AIT.<sup>2446</sup> This is based on case reports and case series and generally lower quality evidence that the risk of anaphylaxis from AIT is greater in patients with these conditions or that the immunomodulatory effect might negatively affect the underlying disease process. Successful AIT has been reported in several patients with malignancy.<sup>2447</sup> Similarly, the theoretical concerns in autoimmune disease are offset by several case series demonstrating relative safety and effectiveness.<sup>2448</sup> Furthermore, in a large observational study of 1888 patients, there was no increase in the development of autoimmune disease in AR treated with AIT over a 20 year observation period.<sup>2449</sup>

Initiating AIT during pregnancy is contraindicated although most consensus documents state that continuing maintenance immunotherapy during pregnancy is not

contraindicated.<sup>2418,2419</sup> Avoiding the initiation of AIT is presumably based on the concern that severe anaphylaxis is more likely to occur during buildup immunotherapy and that anaphylaxis, or treatment thereof, could harm the developing fetus. There are limited data to guide decision making, but in a cohort of 102 pregnancies during AIT, there were no increased fetal complications compared with untreated pregnancies. Three patients had systemic reactions requiring epinephrine – none resulting in pregnancy complication.<sup>2450</sup> A more recent study demonstrated the relative safety of SLIT initiated during pregnancy.<sup>2451</sup>

SLIT is available for several allergens as an FDA approved tablet. Contraindications for this therapy include unstable or uncontrolled asthma. Therapy should not be initiated in a patient with a medical condition impairing recovery from anaphylaxis, or in those for whom epinephrine or  $\beta$ -agonist therapy might be less effective.<sup>2452</sup> SLIT tablets are also contraindicated in patients with EoE.<sup>2452–2455</sup>

There are a variety of relative contraindications that merit shared decision making. Cardiovascular disease, systemic autoimmune diseases in remission, severe psychiatric disorders, poor adherence, primary and secondary immunodeficiencies, and a history of serious systemic reactions to AIT have all been considered as relative contraindications. A 2019 EAACI task force summary also reviews some additional considerations. ACEI therapy in

**TABLE XI.C.-4** Evidence table – posterior nasal neurectomy in patients with allergic rhinitis

| Study                            | Year | LOE | Study design                    | Study groups   | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|---------------------------------|--|--|--|
| Hua et al. <sup>2397</sup>       | 2022 | 2   | RCT                             | AR patients that underwent either:<br>PNN neurectomy<br>PNN neurectomy + pharyngeal branch neurectomy  | VAS: rhinorrhea, nasal obstruction, sneezing, nasal itching<br>RQLQ<br>Asthma control<br>Chronic cough | VAS, RQLQ, asthma control improved significantly in both cohorts, but no difference between cohorts<br>Chronic cough significantly improved in PNN + pharyngeal branch neurectomy versus PNN alone   |
| Marshak et al. <sup>229</sup>    | 2016 | 2   | SR                              | 8 studies with pre-post-intervention comparisons, <i>n</i> = 529 patients who underwent vidian or PNN neurectomy for AR or non-allergic rhinitis   | Multiple endpoints   | SNOT-22 and sinus symptom questionnaire improved (1 study)<br>RQLQ improved (2 studies)<br>Nasal obstruction improved (5 of 7 studies)<br>Sneezing improved (4 of 6 studies)<br>Itching improved (2 of 3 studies)<br>Post-nasal drip improved (1 of 4 studies)<br>No AR-specific subgroup analysis |
| Li et al. <sup>2399</sup>        | 2019 | 3   | Non-randomized controlled trial | AR patients with CRSwNP:<br>FESS<br>FESS + PNN neurectomy  | VAS<br>RQLQ<br>SNOT-22   | All endpoints significantly improved for both groups<br>Sneezing- and rhinorrhea-specific VAS scores significantly more improved with FESS + PNN neurectomy  |
| Albu et al. <sup>2402</sup>      | 2014 | 3   | Non-randomized controlled trial | AR patients that underwent:<br>Endoscopic microdebrider-assisted inferior turbinoplasty<br>Endoscopic microdebrider-assisted inferior turbinoplasty + PNN neurectomy                                   | VAS: nasal obstruction, rhinorrhea, sneezing, snoring<br>RQLQ<br>Nasal mucociliary transport           | Both groups improved in VAS and RQLQ<br>Mucociliary clearance decreased significantly in both groups<br>No significant difference between groups   |
| Kobayashi et al. <sup>2417</sup> | 2012 | 3   | Non-randomized controlled trial | AR patients that underwent:<br>Selective resection of peripheral branches of PNN via submucous turbinectomy (local anesthesia)<br>Total resection of PNN + submucous turbinectomy (general anesthesia) | Subjective patient ratings of sneezing, rhinorrhea, and nasal obstruction                              | Both groups experienced significant improvements in all symptoms<br>No significant difference between the two groups (may be secondary to low sample size)   |

(Continues)



TABLE XI.C.-4 (Continued)

| Study                           | Year | LOE | Study design              | Study groups   | Clinical endpoints  | Conclusions   |
|---------------------------------|------|-----|---------------------------|--|---|---|
| Wang et al. <sup>2398</sup>     | 2020 | 4   | Prospective case series   | AR patients that underwent endoscopic PNN neurectomy   | VAS for rhinorrhea and sneezing   | Significant improvements in rhinorrhea and sneezing   |
| Ogi et al. <sup>2401</sup>      | 2019 | 4   | Retrospective case series | AR patients that underwent endoscopic submucous inferior turbinectomy and PNN neurectomy   | Symptoms: sneezing, rhinorrhea, nasal obstruction   | Significant improvement in all symptoms up to 3 years post-treatment  |
| Takahara et al. <sup>2400</sup> | 2017 | 4   | Retrospective case series | AR patients that underwent PNN neurectomy after submucous inferior turbinectomy  | TNSS  | TNSS significantly improved   |
| Ogawa et al. <sup>2374</sup>    | 2007 | 4   | Retrospective case series | AR patients with inferior turbinate hypertrophy that underwent submucous turbinectomy combined with PNN neurectomy   | Symptoms (sneezing, rhinorrhea, nasal obstruction, severity), as classified by Okuda's criteria<br>Cytokine levels and histopathology | Significant improvement in all symptoms<br>Many cytokines (e.g., IL-5) significantly decreased and inflammatory cells decreased   |
| Makihara et al. <sup>2396</sup> | 2021 | 5   | Retrospective case series | AR patients that underwent:<br>PNN trunk resection in an underwater environment<br>Resection of peripheral branches of PNN<br>*All patients also underwent submucous inferior turbinectomy | Subjective symptoms (rhinorrhea, sneezing, nasal obstruction)<br>Medication use   | All symptoms and medication scores improved in both groups<br>PNN trunk resection showed significantly greater improvement in medication scores, sneezing symptoms, and rhinorrhea symptoms (but not nasal obstruction) |

Abbreviations: AR, allergic rhinitis; CRSwNP, chronic rhinosinusitis with nasal polyps; FESS, functional endoscopic sinus surgery; LOE, level of evidence; PNN, posterior nasal nerve; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SNOT-22, Sinonasal Outcome Test (22 item); SR, systematic review; TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

venom immunotherapy is a relative contraindication, but not for aeroallergen immunotherapy.<sup>2446</sup> Inability to communicate symptoms that might herald the beginning of anaphylaxis are a potential contraindication and might be especially challenging in very young children (less than 5 years old). Human immunodeficiency virus (HIV) is usually not considered a contraindication unless the patient has acquired immunodeficiency syndrome (AIDS). This and other chronic infections should be factored into the overall risk/benefit evaluation.

## XI.D.4 | Allergen extracts

### XI.D.4.a | Overview, units, and standardization

**Overview.** Allergy testing began with pollen grains placed on the conjunctiva.<sup>2456,2457</sup> As skin testing and SCIT

evolved, injectable allergen extracts were required. Inhaled allergenic particles are composed of a heterogeneous mixture of allergenic and non-allergenic proteins and macromolecules. Allergen extracts are created by refining raw materials and extracting proteins in a solution.<sup>2458</sup>

There are multiple sources of variance in allergen extracts. The composition of allergenic proteins can vary, conferring different degrees of total antigenicity through genetic or epigenetic mechanisms.<sup>2459,2460</sup> Impurities in the source materials, such as mold growing on pollen granules or bacteria on cat pelts, may affect immunogenicity.<sup>2461</sup> Variation also occurs in the raw material collection<sup>2460</sup> and in the extraction process.<sup>2458,2459,2462,2463</sup> Additionally, there is biologic variation in individual sensitizations to major and minor allergens within a source. Only a very small fraction of the proteins extracted are allergenic.<sup>2458</sup> Given that the antigenic

**TABLE XI.C.-5** Evidence table – cryotherapy/radiofrequency ablation of the posterior nasal nerves in patients with allergic rhinitis

| Study                              | Year | LOE            | Study design                      | Study groups  | Clinical endpoints   | Conclusions   |
|------------------------------------|------|----------------|-----------------------------------|---|--|---|
| Del Signore et al. <sup>2405</sup> | 2022 | 3              | Randomized, sham-controlled trial | Chronic rhinitis patients, including AR:<br>Cryotherapy of PNN<br>Sham procedure                  | rTNSS (responders: $\geq 30\%$ improvement)<br>RQLQ (responders: $\geq 0.5$ -point improvement)<br>NOSE (responders: $\geq 20\%$ improvement in at least one category) | Cryotherapy had significantly greater improvement in all three categories versus sham surgery<br>Presence of AR did not affect whether cryotherapy led to improvement |
| Ehmer et al. <sup>2410</sup>       | 2022 | 4              | Prospective case series           | Heterogenous group undergoing radiofrequency neurolysis of PNN, including those with AR           | rTNSS  | Significant improvement in TNSS, with 100% of patients improving at least 1 point at 52 weeks<br>AR subgroup analysis revealed improvement                            |
| Stolovitzky et al. <sup>2411</sup> | 2021 | 3              | Randomized, sham-controlled trial | Chronic rhinitis patients, including AR:<br>Radiofrequency neurolysis of PNN<br>Sham procedure    | rTNSS (responders: $\geq 30\%$ improvement)  | Radiofrequency neurolysis led to statistically higher response rate versus sham surgery<br>No subgroup analysis on AR patients  |
| Ow et al. <sup>2406</sup>          | 2021 | 4              | Prospective case series           | Heterogenous group undergoing cryotherapy of PNN, including those with AR                         | rTNSS<br>RQLQ<br>Physician-derived CGI-I   | Statistical improvement in rTNSS and RQLQ<br>Physicians deemed improvement in 80% of patients<br>Results did not differ when stratified by presence of AR             |
| Chang et al. <sup>2408</sup>       | 2020 | 4              | Prospective case series           | Heterogenous group undergoing cryotherapy of PNN, including those with AR                         | rTNSS<br>RQLQ  | rTNSS and RQLQ significantly improved<br>Subgroup analysis of AR patients revealed improvement  |
| Hwang et al. <sup>275</sup>        | 2017 | 4              | Prospective case series           | Heterogenous group undergoing cryotherapy of PNN, including those with AR                         | TNSS   | Significantly improved TNSS scores<br>Subgroup analysis of AR patients revealed improvement as well   |
| Gerka Stuyt et al. <sup>2407</sup> | 2021 | 5 <sup>a</sup> | Prospective case series           | Heterogenous group undergoing cryotherapy of PNN, including those with AR                         | TNSS   | TNSS significantly improved<br>Results improved, but did not reach statistical significance, within AR subgroup (sample size was only 3 for this subgroup)            |
| Krespi et al. <sup>2412</sup>      | 2020 | 5 <sup>a</sup> | Prospective case series           | Heterogenous group undergoing in-office endoscopic laser ablation of PNN, including those with AR | TNSS   | Significantly improved TNSS scores<br>No score breakdown for AR patients specifically   |

(Continues)

TABLE XI.C.-5 (Continued)

| Study                        | Year | LOE            | Study design              | Study groups   | Clinical endpoints  | Conclusions  |
|------------------------------|------|----------------|---------------------------|--|---|--|
| Yen et al. <sup>2404</sup>   | 2020 | 5 <sup>a</sup> | Prospective case series   | Heterogenous group undergoing cryotherapy of PNN at middle and inferior meatus, including those with AR          | rTNSS<br>NOSE<br>SNOT-22<br>VAS for rhinorrhea, congestion<br>mini-RQLQ<br>Physician-derived CGI-I<br>Endoscopic images | Significant improvements in all surveys<br>Physicians deemed improvement in 89.7% of patients<br>36% of inferior turbinates had reduced congestion on endoscopy<br>No subgroup analysis of AR patients |
| Yoo et al. <sup>2409</sup>   | 2020 | 5 <sup>a</sup> | Retrospective case series | Heterogenous group undergoing cryotherapy of PNN after failure of ipratropium, including those with AR           | Runny nose score from SNOT-22   | Runny nose score significantly improved<br>Presence of AR did not affect the odds of improvement   |
| Terao et al. <sup>2403</sup> | 1983 | 5 <sup>a</sup> | Prospective case series   | Patients with vasomotor rhinitis (including AR patients) who underwent cryotherapy of PNN via a self-made device | Symptoms  | Excellent-to-good result in 75.5% of subjects<br>No subgroup analysis for AR patients  |

Abbreviations: AR, allergic rhinitis; CGI-I, Clinical Global Impressions-Improvement Scale; LOE, level of evidence; NOSE, Nasal Obstruction Symptom Evaluation; PNN, posterior nasal nerve; r, reflective; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SNOT-22, Sinonasal Outcome Test (22 item); TNSS, Total Nasal Symptom Score; VAS, visual analog scale.

<sup>a</sup>LOE downgraded due to indirectness of evidence owing to a heterogenous sample that was not focused on AR patients.

composition of allergen extracts is not uniformly assessed, assuring extracts are both safe and effective is challenging.

**Units and potency.** Allergen extracts are labeled with a variety of units, many of which do not convey information about allergenic content or allergenic potency. Potency can refer to the qualitative allergenicity of a source material's proteins or the quantitative concentration of allergens in an extract. Measures of an allergen extract may refer to quantity of extracted material in the solution (a concentration) or be standardized to the biologic activity in allergic individuals. The different techniques of assessing allergen extracts lead to multiple types of units, which can be grouped into non-standardized, standardized, and proprietary.

**Non-standardized allergen extracts.** The majority of allergen extracts available in the US are non-standardized. Allergen extracts are regulated by the Center for Biologics Evaluation and Research (CBER) under the US FDA.<sup>2464</sup> The FDA requires that allergen extracts list the biologic source, a potency unit, and an expiration date. This labeling allows for significant variation between manufacturers and between lots produced by the same manufacturer.

There are two US non-standardized units, weight/volume (w/v) and protein nitrogen units (PNU). Weight/volume refers to the ratio of grams of dry raw material to milliliters of extract solvent. An allergen extract labeled 1:20 w/v indicates for every 1 g of raw material (e.g., pollen) 20 ml of extract solvent was used. This does not provide direct information about the amount of allergenic protein in the extract nor its reactivity in allergic individuals. However, it implies a reproducible extraction methodology was employed.<sup>2458</sup> PNU is the second most common non-standardized unit currently used in the US. PNU refers to an assay of the precipitable protein nitrogen by phosphotungstic acid that correlates with the total protein in the extract. While most of the protein is non-allergenic, the total protein is another method to quantitate an allergen extract's content.<sup>2458</sup>

In Europe, many manufacturers use proprietary units and internal quality controls which must utilize a validated assay.<sup>2459</sup> This European manufacturer based quality control is known as "In House Reference Preparation" or "IHRP."<sup>2460</sup> However, the European Medical Agency has been developing a standardized framework based on protein homology rather than source species.<sup>2465</sup> The

European Union is also developing additional allergen standards with the WHO starting with Bet v 1 and Phl p 5a.<sup>2465</sup> Extract units in Europe, the US, and other countries vary without agreed upon references available for conversion.

**Standardized allergen extracts.** Standardized allergen extracts in the US are tested by the manufacturers to be within a reference range (70%–140%) when compared to a standard provided by the FDA's CBER. Standardized inhalant allergens within the US include cat, *Dermatophagoides pteronyssinus*, *Dermatophagoides farinae*, short ragweed, and multiple grass species.<sup>2465</sup>

The CBER creates the reference standardized extract through skin testing in known “highly allergic” individuals. They use serial intradermal skin testing with three-fold titrations and measure potency by how many dilutions are needed to produce a flare reaction measured by adding the largest diameter and its 90° (orthogonal) diameter. The orthogonal sums are plotted for each dilution and a best-fit line drawn. The concentration that corresponds to where the orthogonal sum of the flare totals 50 mm (ID<sub>50</sub>EAL) determines the units listed in either allergy units (AU) or biologic allergy units (BAU). AU is used for HDM historically. A mean ID<sub>50</sub>EAL of fourteen three-fold dilutions is defined as 100,000 BAUs/ml and 12 three-fold dilutions 10,000 BAUs/ml.<sup>2465</sup> Manufacturers then compare their extract lots to the CBER allergen standard through competition ELISA using pooled serum IgE from known allergic subjects.

The process is different for extracts where the major allergen reactivity strongly correlates with overall allergen reactivity (cat and ragweed). A major allergen is defined as a specific protein that elicits an allergic reaction in more than 50% of individuals allergic to that species. If there is a major allergen that correlates strongly with the population's clinical reactivity, the manufacturer compares their extract to the CBER's standard by gel electrophoresis employing monoclonal IgG antibodies to the major allergen protein.<sup>2464</sup> When standardized by major allergen, the units are listed in µg/ml (Fel d 1 for cat; Antigen E or Amb a 1 for ragweed). For cat extracts, the presence of Fel d 2 is also required. Also, cat extract with 10–19.9 Fed d 1 U/ml is designated as 10,000 BAU/ml. Short ragweed extract of 350 Amb a 1 U/ml is designated as 100,000 BAU/ml.<sup>2461</sup>

Some allergen extracts in Europe use the Nordic method where 10,000 biologically standardized units/ml is comparable to a SPT response elicited by 10 mg/ml of histamine.<sup>2465</sup> Most allergen extracts in Europe are proprietary; however, the European effort to develop cross-product comparability is summarized nicely by Zimmer et al.<sup>2461</sup> The WHO has identified allergen standardization as a problem and the European Union

funds a project known as CREATE to “develop certified reference materials for allergenic products and validation of methods for their quantification.”<sup>2466,2467</sup>

In summary, there is not an international consensus on allergen units or standardization for allergen extracts. While cross-manufacturer standardization and biologic potency labeling increase manufacturing costs, it is widely agreed that greater standardization would benefit patient efficacy and safety. Variations in allergen extracts between manufacturers may discourage medical providers from changing vendors, thus reducing competition's effect on price. Non-standardized and proprietary units also complicate the interpretation of published efficacy and safety studies. As of 2022, multiple opaquely referenced allergen units remain in use worldwide. (See Section [XI.D.11.a.i](#). Allergen Standardization and Heterogeneity for additional information on this topic.)

#### XI.D.4.b | Allergen extract adjuvants

Although AIT is an effective treatment for AR, it is not without limitations including cumbersome up-dosing regimens, systemic reactions, and variable efficacy.<sup>1668</sup> Adjuvants are chemicals and proteins that may enhance the safety, convenience, and immunological effects of AIT.<sup>2468–2474</sup> Effective AIT attenuates pro-inflammatory Th2 responses in favor of tolerogenic Treg responses. This immunological transformation can be enhanced with adjuvants that are subdivided into several broad categories (Table [XI.D.4.b](#)).

Of the potential adjuvants listed, several have reached Phase 1 or Phase 2 clinical trials for treating AR. Some have already received FDA approval for use in modern infectious disease vaccines. Next generation AIT products may very well incorporate adjuvants in combination with peptides and other allergenic molecules. A few adjuvants deserve specific mention.

**Mineral salts and crystalline molecules.** Alum (aluminum hydroxide salt) was the first adjuvant to be tested in AIT and has recently been considered for COVID-19 vaccines.<sup>2475,2476</sup> Early studies with alum-precipitated extracts demonstrated an augmented immunologic response but with some undesirable IgE-mediated response that hindered its therapeutic application.<sup>2475,2477</sup> Microcrystalline tyrosine has been tested as an alternative with less IgE production.<sup>2470,2476</sup> Alum formulations are currently being considered for certain allergen peptide vaccines.

**Toll like receptor constructs.** It has been proposed that danger signal molecules synthesized from virus, parasites, and bacteria and used in combination with allergens could help induce tolerance by augmenting TLR mediated innate immune responses.<sup>2473,2478–2480</sup> Tversky et al.<sup>2481,2482</sup> showed that traditional SCIT alone results in

TABLE XI.D.4.b Potential adjuvants for allergen immunotherapy

| Category           | Adjuvant                    | Examples and comments   |
|--------------------|-----------------------------|---|
| Salts and crystals | Aluminum hydroxide (Alum)   | Early studies showed augmented immune responses   |
|                    | Calcium phosphate           | Shown to have some immunogenicity enhancement with less IgE stimulation   |
|                    | Microcrystalline structures | Microcrystalline tyrosine   |
| Transfer vehicles  | Liposomes                   | Oligo mannose-coated liposomes  |
|                    | Nanoparticles               | Poly lactose co-glycolide, many others  |
|                    | Carbohydrate particles      | Chitosan  |
|                    | Amino acid particles        | Cationic peptides, protamine  |
|                    | Dendrimers                  | Highly ordered synthetic molecules that are typically spherical and can be made to be water soluble   |
|                    | Oil-in-water emulsion       | Oil emulsions such as MF59, AS03, CAF01, and Montanide ISA induce local inflammation while simultaneously acting as a long-term depot agent to prolong the distribution of allergen   |
| Immunostimulatory  | TLR-9 agonists              | CpG oligodeoxynucleotide (CpG-ODN) has been employed in several direct disease modifying and allergen immunotherapy approaches by increasing tolerogenic cytokines including interferons. QbG10 is a synthetic virus like particle derived from bacterial DNA |
|                    | TLR-7 agonists              | Virus like particles; single stranded viral RNA stimulates TLR-7 and stimulates the production of type I interferons can be used singly or in combination with allergens  |
|                    | TLR-4 agonists              | Monophosphoryl Lipid A fraction derived from bacterial lipopolysaccharide works as a TLR-4 agonist. Monophosphoryl lipid derived from bacterial DNA or RNA stimulate dendritic cells and other antigen-presenting cells to increase Th1 cytokines             |
|                    | C-type lectin receptors     | Mannan mannose polysaccharide that acts as C-type lectin ligand to enhance antigen presentation and increase tolerogenic cytokines  |
|                    | DNA and mRNA vaccines       | DNA and mRNA vaccines such as COVID-19 vaccine can be engineered to encode allergenic proteins but often are composed of CpG repeats that can also simultaneously induce TLR responses  |
|                    | Imidazoquinones             | Acts as functional adjuvant for TSLP mediated allergic T cell responses   |
|                    | Heat killed bacteria        | Heat killed mycobacteria, heat killed <i>E. coli</i> , heat killed <i>Listeria monocytogenes</i>  |
| Natural derived    | Probiotics                  | Ingested microbial products have shown some limited benefit in reducing eczema and other atopic disease. Microbial adjuncts proposed to enhance the efficacy of food allergen immunotherapy   |
|                    | Vitamin D                   | Vitamin D3 has been shown to reduce effector T cell stimulation and cytokine production and promote the effect of allergoid in mice   |
|                    | Amino acids                 | L-tyrosine bound to allergen acts as a short-depot forming adjuvant and indirectly increases IgG production   |
|                    | Chinese herbs               | ASHMI   |

Abbreviations: ASHMI, Anti-Asthma Simplified Herbal Medicine Intervention; Ig, immunoglobulin; TLR, toll-like receptor; TSLP, thymic stromal lymphopoietin.

a partial restoration in the impaired TLR function demonstrated among AR sufferers and that this effect could potentially be augmented with certain adjuvants.

Among the specific TLR targeted clinical studies, Creticos et al.<sup>2483</sup> first reported a study using synthetic bacterial derived DNA (CpG oligodeoxynucleotide) bound to ragweed protein Amb a 1 designed to upregulate the immunostimulatory responses via TLR-9. This TLR-9 agonist bound to Amb a 1 (Tolamba) was administered in a

double-blind, placebo-controlled study of ragweed-allergic subjects with a single season 6-injection regimen. Efficacy was observed over two ragweed seasons indicating that the vaccine conferred some clinical tolerance. A follow-up study did not reach statistical significance.<sup>2484</sup> In 2021, Leonard et al.<sup>2485</sup> reported on the use of CpG and a Fel d 1 specific mouse immunotherapy model to elucidate important signaling elements that may be capitalized upon moving forward.



CYT003-QbG10 is another TLR targeted immunotherapeutic product in development for the treatment of AR and asthma. It is based on Cytos Biotechnology's modified Immunodrug platform, which incorporates virus-like particle Qb, a TLR-9 immunostimulatory DNA sequence to induce targeted T cell responses. In a Phase 2b double-blind, placebo-controlled study of 300 patients with allergic rhinoconjunctivitis, QbG10 was shown to be safe, well-tolerated and efficacious.<sup>2486</sup>

A TLR-4 adjuvant has also been in clinical development (Pollinex Quattro, Allergy Therapeutics).<sup>2487</sup> This construct is comprised of monophosphoryl lipid A and formulated with pollen allergoids. A large grass study showed significant improvement in symptom and medication scores versus placebo.<sup>2488</sup> A brief ragweed trial also showed positive clinical effect.<sup>1517</sup>

**Nanoparticle based constructs.** Synthetic nanoparticles have been proffered since 1959 to deliver a host physiologically active substances including vaccines.<sup>2489,2490</sup> A successful recent example of this is the use of liposomes to deliver mRNA encoded spike protein instructions in the Pfizer and Moderna COVID-19 vaccines. This same approach has been proposed to deliver genetic instructions encoding allergenic proteins for immunotherapy. These so-called allergen "vaccines" have the potential to synergistically activate TLR receptors while simultaneously encoding allergenic proteins.

**Naturally occurring adjuvants.** Certain naturally occurring immune modulators have been shown to act as potential adjuvants. Nutritional compounds and probiotics may be ingested directly or administered subcutaneously in tandem with allergen.<sup>2491,2492</sup> One example is VD3 which has been shown to reduce effector T cell stimulation and cytokine production and promote the effect of AIT in both mice and humans.<sup>2493–2495</sup> One mouse immunotherapy study successfully employed the use of Fel d 1 covalently bound to VD3.<sup>2496</sup> (See Section VI.H. Vitamin D for additional information on this topic.)

Components isolated from *Ganoderma Lucidum*, a Chinese herb contained in Anti-Asthma Simplified Herbal Medicine Intervention (ASHMI), induce levels of IL-10, IFN- $\gamma$ , and Foxp3 in response to environmental allergens.<sup>2497</sup> Like TLR ligands, ASHMI has shown some limited effectiveness in treating certain allergic diseases by itself without the presence of an allergen.<sup>2498</sup> However, because of its unique tolerogenic cytokine profile, ASHMI and other naturally occurring herb combinations may also prove to be advantageous when used as an adjuvant for AIT.

In summary, various adjuvants have been proposed and studied in animal models and tested in humans, but there is currently no adjuvant FDA approved for use in AIT.

Improving the immunologic profiles of immunotherapies while maintaining safety standards remains challenging. Recent Phase 1 and Phase 2 studies have been reported for select adjuvants, and there is promise for future AIT protocols to incorporate adjuvants which outperform traditional therapies.

#### XI.D.4.c | *Modified allergen extracts*

Traditionally the disease-modifying capability and potential for long-lasting therapeutic effect of AIT has been accomplished via SCIT or SLIT with native, unmodified extracts. However, reliance on native extracts has limitations for widespread use including production costs and availability, as well as consistency and comparability among extracts.<sup>2499</sup> Furthermore, while generally safe, AIT with natural extracts has the potential for inducing hypersensitivity reactions that can rarely be life-threatening. The use of modified allergen extracts has been studied as an alternative to native extracts as a means of providing improved AIT efficacy, safety, and reliability. This section discussed several approaches of modified allergen extracts.

**Recombinant allergen extracts.** Recombinant-derived allergens rely on recombinant DNA technology to produce clones of natural allergens in the case of wild type recombinant allergens, or clones of partial allergen sequences in hypoallergenic recombinant allergens. For wild type recombinant allergens, this technique produces consistent structures that preserve allergenic epitopes and potencies.<sup>2500</sup> However, the disadvantage is that as a clone, there is potential for inducing hypersensitivity reactions. Hypoallergenic recombinant extracts, on the other hand, maintain certain T cell epitopes but may induce less IgE driven responses.<sup>2501</sup> Immunotherapy trials using recombinant birch and Timothy grass allergens have been reported. Timothy grass AIT with recombinant allergen induced immunologic changes, including increased IgG4 and down trending sIgE while decreasing symptoms and medication use compared to placebo.<sup>2502,2503</sup> Similarly for birch AIT, recombinant allergen use resulted in reduced rhinoconjunctivitis symptoms and rescue medication use, with symptom improvement similar to treatment with natural extract; immunological changes included increased IgG levels compared to placebo.<sup>2504,2505</sup> Together, these studies show potential for comparable performance of recombinant allergen extracts, with the advantage over natural extract of using a more consistent, pure allergen that could be precisely dosed.

**Synthetic peptides.** These are linear fragments of amino acids derived from T cell epitopes of allergens. Peptides do not induce early phase responses because they lack the conformational structure to bind to IgE receptors. When used for AIT, they do not generate a

robust blocking IgG but do have the capability of inducing immunologic T cell changes. AIT with synthetic peptides has been studied for several allergens including cat, grass, HDM, ragweed, and birch with somewhat inconsistent efficacy. Grass allergen peptides were effective in reducing rhinoconjunctivitis symptom scores when injected at 2-week intervals over a brief trial,<sup>1511</sup> and ragweed peptide therapy improved symptom scores compared to natural extract and placebo.<sup>2506</sup> Birch pollen pre-seasonal treatment induced immunologic changes, but clinical symptoms were not significantly improved.<sup>2507</sup> Cat peptide AIT in particular had promising initial results reducing symptoms in sensitized individuals, but Phase 3 data of one product did not significantly outperform the placebo group.<sup>1516,2508–2510</sup> Longer sequences, termed contiguous overlapping peptides, have been alternatively used in an attempt to generate a more robust immunogenic response; birch AIT resulted in improved symptom scores and medication use as well as induction of IgG antibodies.<sup>2511–2513</sup>

**Allergoids.** These involve native allergens that have been modified or denatured with the use of additional chemical agents, such as aldehydes and polyethylene glycol. These modified structures have the potential to retain immunogenicity, largely via T cell responses, but also decrease the risk for IgE-mediated reactions. In addition to improved safety, this may offer ability to decrease the number of injections required during a build-up period.<sup>2514</sup> While immediate hypersensitivity reactions are reduced, late phase adverse reactions can still occur.<sup>2515</sup> Allergoid preparations have been evaluated to several different allergens. Initially utilized in ragweed allergic patients, allergoid preparations reduced symptom scores and increased blocking antibodies.<sup>2516,2517</sup> Subsequent studies with grass pollen allergoid also showed effectiveness in reducing clinical symptom scores and medication use.<sup>2477,2518,2519</sup> Allergoids in HDM allergic patients also demonstrated improved symptom scores, in both subcutaneous and sublingual routes.<sup>2520,2521</sup> More recently, in an open label study a glutaraldehyde-modified allergoid in birch pollen allergic patients induced initial humoral responses as well as T cell augmentation of IL-10 production.<sup>2522</sup> While allergoids are commercially available in Europe, standardization criteria have been a limiting factor in receiving regulatory approval in the US.

**Encapsulated allergens.** Encapsulation of allergens involves use of nanoparticles or microparticles to envelop allergens of interest which can then be injected or ingested orally. This process has the potential to decrease the dose required for immunologic responses, protect the allergen from degradation, and improve uptake of allergen while limiting adverse reactions.<sup>2523</sup> Encapsulation can be accomplished with biodegradable nanoparticles including

synthetic or natural polymers, liposomes, and virus-like particles, or with nonbiodegradable nanoparticles such as dendrimers or carbon-based particles.<sup>2524</sup> Most of the research involving encapsulated allergens has yet to be evaluated in human trials.<sup>2469</sup> In one study, a liposome encapsulated HDM extract was evaluated in patients with asthma, who had improved symptom scores over a 12-month period compared to placebo.<sup>2525</sup> Separately, an oral microencapsulated form of Timothy grass allergen was used to treat patients with AR over a period of 10 weeks; patients in the active treatment group experienced decreased symptom scores compared to placebo.<sup>2526</sup> Limited human trial data suggest that encapsulated allergens may induce immune responses but further understanding of their role in AIT is needed.<sup>2474</sup>

Overall, a variety of modified allergen extracts hold promising clinical and immunologic findings. Further research is needed involving larger clinical groups to study the efficacy and safety of these agents as compared to the native allergen extracts.

## XI.D.5 | Subcutaneous immunotherapy for allergic rhinitis

### XI.D.5.a | Conventional subcutaneous immunotherapy for allergic rhinitis

**Efficacy.** Over the past 68 years,<sup>2527</sup> multiple RCTs have supported the therapeutic efficacy of SCIT for AR.<sup>2419</sup> SCIT efficacy is contingent upon an appropriate treatment duration and dose, with an optimal target maintenance dose between 5 and 20  $\mu$ g of major allergen for each clinically relevant aeroallergen.<sup>2419</sup> SCIT has been associated with effective symptom amelioration and potential disease modification that can persist after stopping treatment.<sup>2419</sup>

Evidence suggests that a SCIT treatment duration of 3–5 years is appropriate.<sup>2419</sup> A clinically significant relapse rate has been observed with SCIT discontinuation prior to 3 years.<sup>2528</sup> Currently, there are no validated biomarkers to reliably identify when SCIT can be discontinued and clinical remission sustained. The determination to discontinue SCIT in patients who have responded should balance the potential for benefit with the potential for harm and burden, in an open discussion with patient participation in the medical decision-making process.

High-quality data have substantiated the therapeutic utility of SCIT for AR patients with particular aeroallergens and certain formulations. Therefore, SCIT efficacy for AR treatment is contextual, and should not be interpreted as an “umbrella” description based on favorable outcomes observed in RCTs focused on a limited number of products.<sup>2529</sup>

SCIT is efficacious for AR sensitive to pollen, mold, HDM, and animal allergens.<sup>1206,2419,2529–2534</sup> Such efficacy has been demonstrated based on rigorous RCTs for pollens (e.g., ragweed, grass, and birch), cat, and HDM (*Dermatophagoides farinae* and *Dermatophagoides pteronyssinus*), where a standardized extract target concentration is available and was studied. However, these data cannot be interpreted as a “class effect” that necessarily extends to other aeroallergens. Data supporting the SCIT efficacy for dog, cockroach, and mold spores (particularly *Alternaria* and *Cladosporium*) are encouraging, but limited, and additional studies are needed to substantiate the therapeutic efficacy of SCIT for AR related to these inhalant allergens.<sup>1206,2419,2530–2533</sup>

The majority of RCTs supporting SCIT for AR have been studies of single aeroallergens.<sup>2419</sup> There have been very few studies of multi-allergen SCIT, which are heterogeneous and suffer from methodological shortcomings. While multi-allergen SCIT is a mainstay of clinical practice in the US, and patients report favorable treatment benefits, additional high-quality studies are needed to provide rigorous support for the efficacy of multi-allergen SCIT in treating AR.

**Safety.** SCIT is associated with localized reactions occurring in the majority of patients.<sup>2419</sup> Evidence indicates local reactions do not reliably predict occurrence of subsequent systemic reactions; dosage adjustment is not typically required after their occurrence.<sup>2419</sup> While there is a low risk for systemic reactions from SCIT, potentially life-threatening and fatal reactions may occur. Non-fatal systemic reactions occur at a rate of approximately 2 per 1000 injections in patients receiving SCIT.<sup>2419</sup> Severe grade 4 anaphylactic reactions occur in approximately 1 per million injections, and fatal reactions in approximately 1 in 23 million injection visits.<sup>2535,2536</sup>

Risk factors for systemic reactions from SCIT include poorly controlled asthma, exquisite aeroallergen sensitivity, concomitant  $\beta$ -blocker use, rush SCIT protocols, prior systemic reaction, high dose SCIT, injection from a new SCIT vial (i.e., higher potency), and dosing error.<sup>2419,2535–2537</sup> A recent decline in fatal systemic reaction rate has been observed, which has been attributed to greater awareness and identification of patients with risk factors.<sup>2536</sup>

**Cost-effectiveness.** Data support SCIT as a cost-effective intervention, in large part due to the potential for reductions in long-term symptom burden, disease complications, disease progression, and medication costs. US studies demonstrate SCIT superiority over alternative approaches – providing clinical benefit while improving health outcomes.<sup>2538,2539</sup> However, practice variation may produce cost disparities. As an example, some physicians may require SCIT patients to be provided a self-injectable epinephrine prescription, which has not been shown

to be cost-effective (incremental cost-effectiveness ratio \$669,327,730 per QALY [quality adjusted life year]).<sup>2540</sup>

**Evidence.** Dhimi et al.<sup>2438</sup> undertook a systematic review appraising SCIT efficacy for AR, with 61 robustly conducted double-blind RCTs of SCIT satisfying inclusion criteria (Table XI.D.5.a). Study quality was high, with the majority of RCTs having low risk of bias. Significant improvements were seen in symptom scores (standardized mean difference [SMD]  $-0.65$  [95% CI  $-0.86, -0.43$ ]), medication use (SMD  $-0.52$  [95% CI  $-0.75, -0.29$ ]), combined symptom/medication score (SMD  $-0.51$  [95% CI  $-0.77, -0.26$ ]), and QOL (SMD  $-0.35$  [95% CI  $-0.74, -0.04$ ]; six trials). Analysis of safety was obfuscated by variation in reporting of adverse effects. In 19 RCTs, the overall relative risk of adverse events was 1.58 (95% CI 1.13, 2.20). Local adverse event relative risk was 2.21 (95% CI 1.43–3.41, nine RCTs). Systemic adverse event relative risk was 1.15 (95% CI 0.67–2.00, 15 RCTs). This systematic review provides evidence for short-term benefit in symptoms and medication reliance, as well as a limited effect on disease specific QOL.

Several studies imply SCIT for AR is associated with continued benefit after stopping treatment, including a reduced risk for developing asthma<sup>2541,2542</sup> and new allergen sensitivities.<sup>2543,2544</sup> However, data meta-analyzed by Dhimi et al.<sup>2438</sup> are more limited in terms of persistence of benefit in symptoms scores after treatment discontinuation. Additional studies are required to support this important and desirable outcome of SCIT treatment.

An updated systematic review of RCTs of SCIT for AR was performed from January 1, 2015, through October 1, 2021. All studies did not evaluate clinical endpoints, heterogeneity between studies was significant, and there was variable risk of bias. In general, studies demonstrated significant SCIT treatment benefit across age groups.<sup>1671,2545,2546</sup> Arroabarren et al.<sup>2425</sup> evaluated children 5–15 years old in a prospective study comparing a 3-year versus a 5-year course of SCIT, demonstrating a 44% reduction in symptom and medication scores from baseline after 3 years of therapy ( $p = 0.002$ ) and a 50% decrease after 5 years of therapy ( $p = 0.001$ ). Wang and Shi<sup>2547</sup> reported 77% reduction in TNSS in children with a similar decrease in medication scores. In an elderly cohort, Bozek et al.<sup>2548</sup> evaluated subjects 65–75 years old with moderate or severe intermittent AR, comparing 3 years of grass SCIT to placebo and finding a 41% decrease in combined symptom and medication scores versus baseline ( $p = 0.004$ ).

Recent evidence demonstrates SCIT benefit for HDM and grass allergens.<sup>1403,1510,2425,2548–2550</sup> Kim et al.<sup>2550</sup> demonstrated through network meta-analysis that efficacy of SCIT for HDM was greater than SLIT drops or tablets.

Recent studies support the safety of SCIT; however, the rate of SCIT-associated hypersensitivity reactions has shown a wide range. In the study by Arroabarren et al.,<sup>2425</sup>

**TABLE XI.D.5.a** Evidence table – subcutaneous immunotherapy for allergic rhinitis

| Study                           | Year | LOE | Study design          | Study groups  | Clinical endpoints                                      | Conclusions   |
|---------------------------------|------|-----|-----------------------|---|---|---|
| Kim et al. <sup>2550</sup>      | 2021 | 1   | Network meta-analysis | SCIT<br>SLIT  | Symptoms<br>Medication use                              | All forms of AIT were effective, with SCIT providing greater benefit  |
| Dhami et al. <sup>2438</sup>    | 2017 | 1   | SRMA                  | SCIT<br>Comparator  | Symptoms<br>Medication use                              | SCIT group had improvement in symptom and medication scores   |
| Corren et al. <sup>2086</sup>   | 2021 | 2   | DBRCT                 | Pollen SCIT<br>Pollen SLIT + dupilumab<br>Dupilumab<br>Placebo    | Symptom scores following nasal challenge                | Dupilumab did not provide additional symptom benefit to SCIT<br>Fewer dupilumab patients required epinephrine   |
| Shamji et al. <sup>2552</sup>   | 2021 | 2   | DBRCT                 | Timothy grass pollen SCIT<br>Timothy grass pollen SLIT<br>Placebo | Combined symptom and medication scores<br>sIgA and sIgG | AIT groups had improvement in symptom scores that did not persist after treatment discontinuation   |
| Xian et al. <sup>2546</sup>     | 2020 | 2   | DBRCT                 | HDM SCIT<br>HDM SLIT<br>Placebo                                   | Combined symptom and medication scores                  | Patients receiving SCIT experienced improvement in symptoms and medications versus placebo  |
| Worm et al. <sup>2545</sup>     | 2019 | 2   | DBRCT                 | Birch pollen SCIT<br>Placebo                                      | Combined symptom and medication scores                  | Overall, SCIT group had improvement in symptom and medication scores that was not statistically significant<br>For subjects residing in high pollen count areas, a statistically significant benefit was recorded |
| Bozek et al. <sup>2549</sup>    | 2017 | 2   | DBRCT                 | HDM SCIT<br>Placebo   | Symptoms<br>Medication use                              | SCIT group had improvement in symptom and medication scores   |
| Pfaar et al. <sup>1510</sup>    | 2017 | 2   | Dose-finding DBRCT    | Grass pollen SCIT<br>Placebo                                      | Combined symptom scores<br>Skin testing                 | SCIT group had improvement in symptom and medication scores   |
| Scadding et al. <sup>1671</sup> | 2017 | 2   | DBRCT                 | Grass pollen SCIT<br>Grass pollen SLIT<br>Placebo                 | Symptom scores  | AIT group had improvement in symptom scores, but this did not reach statistical significance  |
| Rondon et al. <sup>2553</sup>   | 2016 | 2   | DBRCT                 | HDM SCIT<br>Placebo   | Symptoms<br>Medication use                              | SCIT group had improvement in symptom and medication scores   |

(Continues)

TABLE XI.D.5.a (Continued)

| Study                                 | Year | LOE | Study design | Study groups                                | Clinical endpoints                      | Conclusions  |
|---------------------------------------|------|-----|--------------|---|---|--|
| Kleine-Tebbe et al. <sup>2554</sup>   | 2014 | 2   | DBRCT        | Grass pollen SCIT<br>Placebo                | Symptoms<br>Medication use              | SCIT did not result in a statistically significant improvement in symptoms or medications          |
| Klimek et al. <sup>2555</sup>         | 2014 | 2   | DBRCT        | Grass pollen SCIT<br>Placebo                | Combined symptom and medication scores  | SCIT group had improvement in symptom and medication scores  |
| Patel et al. <sup>1516</sup>          | 2013 | 2   | DBRCT        | Fel d 1 antigen SCIT<br>Placebo             | Symptom scores                          | SCIT group had improvement in symptom scores   |
| Tworek et al. <sup>2556</sup>         | 2013 | 2   | DBRCT        | Perennial SCIT<br>Pre-seasonal SCIT         | Combined symptoms and medication scores | Perennial SCIT was more effective than pre-seasonal SCIT in reducing symptom and medication scores |
| James et al. <sup>2557</sup>          | 2011 | 2   | DBRCT        | Grass pollen SCIT<br>Placebo                | Symptoms<br>Medication use              | SCIT group had improvement in symptoms   |
| Kuna et al. <sup>2558</sup>           | 2011 | 2   | DBRCT        | <i>Alternaria</i> SCIT<br>Placebo           | Combined symptom and medication scores  | SCIT group had improvement in symptom and medication scores  |
| Hoiby et al. <sup>1059</sup>          | 2010 | 2   | DBRCT        | Birch pollen SCIT<br>Placebo                | Symptoms<br>Medication use              | SCIT group had improvement in symptom and medication scores  |
| Pfaar et al. <sup>2559</sup>          | 2010 | 2   | DBRCT        | Tree pollen SCIT<br>Placebo                 | Combined symptom and medication scores  | SCIT group had improvement in symptom and medication scores  |
| Riechelmann et al. <sup>2520</sup>    | 2010 | 2   | DBRCT        | Glutaraldehyde-modified HDM SCIT<br>Placebo | Symptoms<br>Medication use              | SCIT group had improvement in symptom and medication scores  |
| Tabar et al. <sup>2560</sup>          | 2008 | 2   | DBRCT        | <i>Alternaria</i> SCIT<br>Placebo           | Symptoms<br>Medication use              | SCIT group had improvement in symptom and medication scores  |
| Charpin et al. <sup>2561</sup>        | 2007 | 2   | DBRCT        | Tree pollen SCIT<br>Placebo                 | Clinical symptoms                       | SCIT group had improvement in symptom scores   |
| Powell et al. <sup>2562</sup>         | 2007 | 2   | DBRCT        | Grass pollen immunotherapy<br>Placebo       | Combined symptom and medication scores  | SCIT group had improvement in symptom and medication scores  |
| Colas et al. <sup>1061</sup>          | 2006 | 2   | DBRCT        | Tree pollen SCIT<br>Placebo                 | Clinical symptoms                       | SCIT group had improvement in symptom scores   |
| Alvarez-Cuesta et al. <sup>2563</sup> | 2005 | 2   | RCT          | Pollen SCIT<br>Placebo                      | QOL<br>Skin test response               | Symptom scores and medication scores were significantly reduced, QOL improved                      |

(Continues)



TABLE XI.D.5.a (Continued)

| Study                              | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions  |
|------------------------------------|------|-----|--------------|--|--|--|
| Corrigan et al. <sup>2477</sup>    | 2005 | 2   | DBRCT        | Grass pollen SCIT<br>Placebo                         | Symptoms<br>Medication use<br>sIgG   | SCIT group had improvement in symptom and medication scores  |
| Dokic et al. <sup>2564</sup>       | 2005 | 2   | DBRCT        | HDM SCIT<br>Placebo                                  | Symptoms<br>Medication use<br>Nasal challenge<br>SPT<br>sIgG4                                  | SCIT group had improvement in symptom and medication scores  |
| Ferrer et al. <sup>2565</sup>      | 2005 | 2   | DBRCT        | Parietaria pollen SCIT<br>Placebo                    | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores  |
| Tabar et al. <sup>2566</sup>       | 2005 | 2   | DBRCT        | Cluster HDM SCIT<br>Conventional HDM SCIT            | Symptoms<br>Medication use   | Cluster and conventional SCIT schedule resulted in similar symptom and medication scores                         |
| Crimi et al. <sup>2567</sup>       | 2004 | 2   | DBRCT        | Parietaria pollen SCIT<br>Placebo                    | Symptoms<br>Medication use<br>Methacholine responsiveness<br>Eosinophilia and sputum cytokines | SCIT group had improvement in symptom and medication scores<br>SCIT may decrease asthma progression              |
| Mirone et al. <sup>2568</sup>      | 2004 | 2   | DBRCT        | Ambrosia pollen SCIT<br>Placebo                      | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores  |
| Radcliffe et al. <sup>1089</sup>   | 2003 | 2   | DBRCT        | Enzyme potentiated mixed inhalant extract<br>Placebo | Symptoms<br>QOL<br>Skin testing  | SCIT group had no significant improvement over placebo with two injections of enzyme potentiated desensitization |
| Varney et al. <sup>2569</sup>      | 2003 | 2   | DBRCT        | HDM SCIT<br>Placebo                                  | Symptoms<br>Medication use<br>Skin test reactivity   | SCIT group had improvement in symptom and medication scores  |
| Arvidsson et al. <sup>2570</sup>   | 2002 | 2   | DBRCT        | Birch pollen SCIT<br>Placebo                         | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores  |
| Bodtger et al. <sup>2571</sup>     | 2002 | 2   | DBRCT        | Birch pollen SCIT<br>Placebo                         | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores  |
| Drachenberg et al. <sup>2572</sup> | 2002 | 2   | DBRCT        | Tree pollen SCIT<br>Placebo                          | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores  |
| Drachenberg et al. <sup>2478</sup> | 2001 | 2   | DBRCT        | Grass pollen SCIT<br>Placebo                         | Symptoms<br>Medication use<br>Skin testing<br>IgG  | SCIT group had improvement in symptom and medication scores  |

(Continues)

TABLE XI.D.5.a (Continued)

| Study                             | Year | LOE | Study design             | Study groups                      | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|--------------------------|-----------------------------------|--|---|
| Leynadier et al. <sup>2573</sup>  | 2001 | 2   | DBRCT                    | Grass pollen SCIT<br>Placebo      | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Walker et al. <sup>2574</sup>     | 2001 | 2   | DBRCT                    | Grass pollen SCIT<br>Placebo      | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Durham et al. <sup>2423</sup>     | 1999 | 2   | DBRCT                    | Grass pollen SCIT<br>Placebo      | Symptoms<br>Medication use<br>Conjunctival response<br>Immediate and late skin test response | SCIT group had improvement in symptom and medication scores   |
| Balda et al. <sup>2575</sup>      | 1998 | 2   | DBRCT                    | Tree pollen SCIT<br>Placebo       | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Zenner et al. <sup>2576</sup>     | 1997 | 2   | DBRCT                    | Pollen SCIT<br>Placebo            | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Olsen et al. <sup>2577</sup>      | 1995 | 2   | DBRCT                    | Pollen SCIT<br>Placebo            | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Ortolani et al. <sup>2578</sup>   | 1994 | 2   | DBRCT                    | Parietaria pollen SCIT<br>Placebo | Combined symptom and medication scores<br>Skin, nasal, and conjunctival provocation          | SCIT group had improvement in symptom and medication scores   |
| Pastorello et al. <sup>2579</sup> | 1992 | 2   | DBRCT                    | Grass pollen SCIT<br>Placebo      | Combined symptom and medication scores<br>Nasal provocation                                  | SCIT group had improvement in symptom and medication scores   |
| Varney et al. <sup>2580</sup>     | 1991 | 2   | DBRCT                    | Pollen SCIT<br>Placebo            | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Grammer et al. <sup>2581</sup>    | 1983 | 2   | DBRCT                    | Grass pollen SCIT<br>Placebo      | Clinical symptoms  | SCIT group had improvement in symptom scores  |
| Grammer et al. <sup>2517</sup>    | 1982 | 2   | DBRCT                    | Ragweed pollen SCIT<br>Placebo    | Clinical symptoms  | SCIT group had improvement in symptom scores  |
| Weyer et al. <sup>2582</sup>      | 1981 | 2   | DBRCT                    | Grass pollen SCIT<br>Placebo      | Combined symptoms and medication scores  | SCIT group had improvement in symptom and medication scores   |
| Schmid et al. <sup>1403</sup>     | 2021 | 3   | Placebo-controlled study | Grass pollen SCIT<br>Placebo      | Combined symptom and medication scores<br>Nasal challenge<br>Basophil sensitivity            | Decrease in basophil sensitivity after 3 weeks predicted improvement in symptom and medication scores |

(Continues)

TABLE XI.D.5.a (Continued)

| Study                              | Year | LOE            | Study design                              | Study groups                           | Clinical endpoints  | Conclusions   |
|------------------------------------|------|----------------|---|--|---|---|
| Wang and Shi <sup>2547</sup>       | 2017 | 3              | Randomized prospective trial              | Multi-allergen SCIT<br>HDM SLIT        | Symptoms<br>Medication use  | Patients receiving SCIT had improvement in symptoms and medications compared to baseline        |
| Bozek et al. <sup>2548</sup>       | 2016 | 3              | RCT                                       | Grass pollen SCIT<br>Placebo           | Symptoms<br>Medication use  | SCIT group had improvement in symptom and medication scores                                     |
| Moreno et al. <sup>2583</sup>      | 2016 | 3              | Double-blind, randomized dose-range study | HDM SCIT regimens, 5 dosing groups     | Nasal provocation   | A dose-response in allergen concentration needed to induce nasal provocation was observed       |
| Arroabarren et al. <sup>2425</sup> | 2015 | 3              | Randomized comparative trial              | HDM SCIT x3 years<br>HDM SCIT x5 years | Symptoms<br>Medication use  | Symptom and medication scores improved in both groups   |
| Pfaar et al. <sup>2584</sup>       | 2012 | 3 <sup>a</sup> | DBRCT                                     | Grass pollen SCIT<br>Placebo           | Combined symptom and medication scores  | SCIT group had improvement in symptom and medication scores                                     |
| DuBuske et al. <sup>2585</sup>     | 2011 | 3              | Placebo-controlled study                  | Grass pollen SCIT<br>Placebo           | Symptoms<br>Medication use  | SCIT group had improvement in symptom and medication scores                                     |
| Ceuppens et al. <sup>2586</sup>    | 2009 | 3 <sup>a</sup> | DBRCT                                     | Birch pollen SCIT<br>Placebo           | Symptoms<br>sIgG  | SCIT group had reduced symptom scores   |
| Pauli et al. <sup>2504</sup>       | 2008 | 3 <sup>a</sup> | DBRCT                                     | Birch pollen SCIT<br>Placebo           | Symptoms<br>Medication use<br>Skin testing  | SCIT group had improvement in symptom and medication scores                                     |
| Chakraborty et al. <sup>2587</sup> | 2006 | 3 <sup>a</sup> | DBRCT                                     | Pollen SCIT<br>Placebo                 | Symptoms<br>Medication use<br>sIgE and IgG, total IgE<br>Skin test response<br>FEV <sub>1</sub> | SCIT group had improvement in symptom and medication scores                                     |
| Frew et al. <sup>2588</sup>        | 2006 | 3 <sup>a</sup> | DBRCT                                     | Pollen SCIT<br>Placebo                 | Symptoms<br>Medication use  | SCIT group had improvement in symptom and medication scores                                     |
| Jutel et al. <sup>2502</sup>       | 2005 | 3 <sup>a</sup> | DBRCT                                     | Grass pollen SCIT<br>Placebo           | Symptoms<br>Medication use  | SCIT group had improvement in symptom and medication scores                                     |
| Rak et al. <sup>2589</sup>         | 2001 | 3 <sup>a</sup> | DBRCT                                     | Pollen SCIT<br>Nasal steroid           | Symptoms<br>Medication use  | Nasal steroid was more effective than a short course of pre-seasonal SCIT in improving symptoms |

(Continues)

TABLE XI.D.5.a (Continued)

| Study                             | Year | LOE            | Study design                | Study groups                      | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|----------------|-----------------------------|-----------------------------------|--|---|
| Ariano et al. <sup>2590</sup>     | 1999 | 3              | Double blind, observational | Parietaria pollen SCIT<br>Placebo | Clinical effectiveness   | Significant reduction of symptoms and medications was noted during pollen seasons in patients receiving SCIT                                |
| Tari et al. <sup>2591</sup>       | 1997 | 3 <sup>a</sup> | DBRCT                       | Parietaria pollen SCIT<br>Placebo | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Dolz et al. <sup>2592</sup>       | 1996 | 3 <sup>a</sup> | DBRCT                       | Grass pollen SCIT<br>Placebo      | Symptoms<br>Medication use<br>Conjunctival and bronchial challenge<br>End-point cutaneous tests<br>sIg | SCIT group had improvement in symptom and medication scores   |
| Brunet et al. <sup>2593</sup>     | 1992 | 3 <sup>a</sup> | DBRCT                       | Ragweed pollen SCIT<br>Placebo    | Symptoms<br>Nasal provocation<br>sIgE and sIgG<br>Basophil histamine release                           | SCIT group had reduced symptom scores   |
| Bousquet et al. <sup>2594</sup>   | 1991 | 3 <sup>a</sup> | DBRCT                       | Pollen SCIT<br>Placebo            | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Iliopoulos et al. <sup>2595</sup> | 1991 | 3 <sup>a</sup> | DBRCT                       | Pollen SCIT<br>Placebo            | Symptoms<br>Medication use<br>sIgE and sIgG  | SCIT group had improvement in symptoms, but epinephrine was used in 19% of subjects   |
| Bousquet et al. <sup>2518</sup>   | 1990 | 3 <sup>a</sup> | DBRCT                       | Grass pollen SCIT<br>Placebo      | Symptoms<br>Medication use   | SCIT group had improvement in symptom and medication scores   |
| Fell and Brostoff <sup>2596</sup> | 1990 | 3 <sup>a</sup> | DBRCT                       | Pollen SCIT<br>Placebo            | Symptoms<br>Nasal challenge  | SCIT group had improvement in symptom scores  |
| Horst et al. <sup>2597</sup>      | 1990 | 3 <sup>a</sup> | DBRCT                       | <i>Alternaria</i> SCIT<br>Placebo | Global symptom and medication scores<br>Skin tests<br>sIgG   | SCIT group had improvement in symptom and medication scores   |
| Juniper et al. <sup>2598</sup>    | 1990 | 3 <sup>a</sup> | DBRCT                       | Pollen SCIT<br>Nasal steroid      | Symptoms<br>Medication use   | SCIT group had less improvement than the nasal steroid group, but the duration of SCIT was only 6 weeks before and during the pollen season |
| Bousquet et al. <sup>2519</sup>   | 1989 | 3 <sup>a</sup> | DBRCT                       | Grass pollen SCIT<br>Placebo      | Symptoms<br>Medication use   | SCIT group had reduced symptoms and decreased medications but a higher rate of adverse reactions  |

(Continues)

TABLE XI.D.5.a (Continued)

| Study                           | Year | LOE            | Study design             | Study groups                   | Clinical endpoints                                | Conclusions  |
|---------------------------------|------|----------------|--------------------------|--------------------------------|---|--|
| Ewan et al. <sup>2599</sup>     | 1988 | 3 <sup>a</sup> | DBRCT                    | HDM SCIT<br>Placebo            | Symptoms<br>Nasal challenge<br>Skin test response | SCIT group had improvement in symptom scores   |
| Bousquet et al. <sup>2600</sup> | 1987 | 3 <sup>a</sup> | DBRCT                    | Grass pollen SCIT<br>Placebo   | Symptoms<br>Medication use                        | SCIT group had reduced symptoms and decreased medications but a higher rate of adverse reactions |
| Grammer et al. <sup>2601</sup>  | 1987 | 3 <sup>a</sup> | DBRCT                    | Ragweed pollen SCIT<br>Placebo | Symptoms<br>Medication use                        | SCIT group had improvement in symptom and medication scores                                      |
| Grammer et al. <sup>2602</sup>  | 1984 | 3              | Placebo-controlled study | Ragweed pollen SCIT<br>Placebo | Clinical symptoms                                 | SCIT group had improvement in symptoms   |
| Metzger et al. <sup>2603</sup>  | 1981 | 3 <sup>a</sup> | DBRCT                    | Ragweed pollen SCIT<br>Placebo | Clinical symptoms                                 | SCIT group had improvement in symptoms   |

Abbreviations: AIT, allergen immunotherapy; DBRCT, double-blind randomized controlled trial; FEV<sub>1</sub>, forced expiratory volume in 1 second; HDM, house dust mite; Ig, immunoglobulin; LOE, level of evidence; QOL, quality of life; RCT, randomized controlled trial; s, allergen-specific; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy; SPT, skin prick test; SRMA, systematic review and meta-analysis.

<sup>a</sup>LOE downgraded for placebo- or comparator-controlled studies due to loss to follow-up, insufficient description of blinding or protocol adherence, selective outcome reporting, use of unvalidated outcome measures, selective recruitment, or indirectness of outcome measures

systemic adverse effects were noted in 2.5% of patients overall, while Scadding et al.<sup>1671</sup> reported hypersensitivity events (mostly mild) in 47.2% of subjects with grade 3 systemic reactions in 5.5%.

**Values and preferences.** While the recommendation for AIT is strong with high certainty evidence, given the potential for harm associated with potentially life-threatening anaphylaxis (with very rare SCIT associated fatality), and the burden associated with receiving SCIT, patient preference is important. Comparatively, the potential for harm and burden associated with medications is lower; the potential for benefit is also lower, with no potential for disease-modifying immunomodulation. Some patients may prefer safety and a reduced risk of therapy-associated anaphylaxis, despite reduced therapeutic efficacy. Patient motivation and choice are important considerations in AR treatment.

**Summary.** ICAR-Allergic Rhinitis 2018<sup>1</sup> recommended SCIT for AR with an Aggregate Grade of Evidence “A.” Recently, evidence has continued to accrue in support of the therapeutic efficacy of SCIT in properly selected patients with AR, across age ranges and with selected standardized allergens. SCIT carries a strong recommendation and high certainty of evidence. The data concerning safety support a favorable potential for benefit with SCIT in patients with AR compared with the potential for harm or burden, though patients started and continued on SCIT must be counseled on the risk of anaphylaxis

and potential fatality and presented treatment alternatives that may be safer though less efficacious. It should be noted that while SCIT remains the predominant method for AIT administration in the US, in the past two decades SLIT became the dominant approach for AIT in several European countries<sup>2551</sup>; recommendations for SLIT in Europe include tablet formulations and sublingual drops.<sup>2418</sup> Additional studies are required to substantiate the long-term effectiveness of SCIT for AR, including its potential for reducing risk for future development of asthma and sensitization to novel antigens in monosensitized patients treated with SCIT, and the safety and efficacy of multi-allergen SCIT.

### Conventional subcutaneous immunotherapy

**Aggregate grade of evidence:** A (Level 1: 2 studies, level 2: 46 studies, level 3: 29 studies; Table XI.D.5.a)

**Benefit:** SCIT reduces symptom and medication use, as demonstrated in multiple high-quality studies.

**Harm:** Risks of SCIT include frequent local reactions and rare systemic reactions, which may be severe and potentially fatal if not managed appro-



propriately. This risk must be discussed with patients prior to initiation of therapy. See Table II.C.

**Cost:** SCIT is cost-effective, with some studies demonstrating value that dominates the alternative strategy with improved health outcomes at lower cost. Direct and indirect costs of AIT vary based on the third-party payer, the office/region, co-payment responsibilities, and travel/opportunity related costs in being able to adhere to the frequency of office visits required.

**Benefits-harm assessment:** For patients with symptoms lasting longer than a few weeks per year and for those who cannot obtain adequate relief with symptomatic treatment or who prefer an immunomodulation option, benefits of SCIT outweigh harm. The potential benefit of secondary disease-modifying effects, especially in children and adolescents, should be considered.

**Value judgments:** A patient preference-sensitive approach to therapy is needed. Comparatively, the potential for harm and burden associated with medications are significantly lower, although the potential for benefit is also lower (with no potential for any disease-modifying effect or long-term benefit) as medications do not induce immunomodulation. Logistical issues surrounding time commitment involved with AIT may be prohibitive for some patients. The strength of evidence for SCIT efficacy, along with the benefit relative to cost, would support coverage by third party payers.

**Policy level:** Strong recommendation for SCIT as a patient preference-sensitive option for the treatment of AR.

Strong recommendation for SCIT over no therapy for the treatment of AR.

Option for SCIT over SLIT for the treatment of AR.

**Intervention:** SCIT is an appropriate treatment consideration for patients who have not obtained adequate relief with symptomatic therapy or who prefer this therapy as a primary management option, require prolonged weeks of treatment during the year, and/or wish to start treatment for the benefit of the potential secondary disease-modifying effects of SCIT.

immunotherapy.<sup>2604</sup> Evaluating rush SCIT for aeroallergen immunotherapy is difficult due to study heterogeneity with escalation protocols, target doses, premedication regimens, and extracts utilized. Furthermore, there remains a lack of standardization of what constitutes rush SCIT versus other immunotherapy protocols.

The main benefit of rush SCIT is the expedited build-up phase, decreasing the time to reach maintenance dosing and office visits required. Patient convenience is improved, but evidence has not yet determined if the expedited process leads to more rapid clinical improvement. Potential disadvantages include increased risk of systemic reactions, higher staff/resource utilization, and decreased long-term compliance with one study at a military medical center citing a decrease from 80% (conventional schedule) to 48% (rush schedule).<sup>2605</sup>

**Efficacy and safety.** Aeroallergen rush SCIT has demonstrated effectiveness for AR and asthma.<sup>2604</sup> The majority of double-blind RCTs utilized single-allergen extracts, primarily grass pollen.<sup>2584,2592,2600,2606</sup> Other allergens investigated include ragweed, various tree pollens, *Alternaria*, cat, dog, and HDM.<sup>2087,2594,2597,2607–2611</sup> These studies report significant benefit over placebo in clinical outcomes (most commonly reported with combined symptom-medication scores), SPT, and provocation challenges (Table XI.D.5.b).

Safety remains a limiting factor for aeroallergen rush SCIT due to a greater risk of systemic reactions, which range 15%–100% of patients without premedication for standardized extracts, depot preparations, and allergoids.<sup>2604</sup> This improves to 12%–38% when using routine premedication.<sup>2612</sup> Depigmented-polymerized extracts have a significantly better safety profile with systemic reactions occurring in less than 2% of patients.<sup>2584,2606,2608,2613</sup> Local reactions do not appear to predict systemic reactions and delayed systemic reactions are reported rarely with rush SCIT.<sup>2608</sup> Only one double-blind RCT specifically evaluated safety and efficacy of rush versus conventional SCIT.<sup>2609</sup> In this small Der p 1 trial ( $n = 18$ ), the efficacy was similar, but the rush SCIT group had significantly higher side effect scores without any severe systemic reactions. One retrospective observational study found an increase in systemic reactions on subsequent doses following initial rush SCIT, although additional studies are needed due to the variability in rush SCIT protocols.<sup>2614</sup>

**Rush, ultra-rush, and modified rush.** Rush SCIT has traditionally been defined as achieving target therapeutic dose within 1–3 days<sup>1,2419</sup>; however, lack of universal standardization has led to variations of rush SCIT schedules. Modified rush designates accelerated SCIT protocols that reach a target dose within 3 days, then follow a more conventional build-up to reach maintenance. Ultra-rush

#### XI.D.5.b | Rush subcutaneous immunotherapy for allergic rhinitis

Rush SCIT rapidly reaches the target therapeutic dose by administering incremental allergen doses over a much shorter period compared to conventional SCIT. Rush SCIT has successfully been implemented for venom

**TABLE XI.D.5.b** Evidence table – rush subcutaneous immunotherapy for allergic rhinitis

| Study                              | Year | LOE | Study design         | Study groups   | Clinical endpoints  | Conclusions   |
|------------------------------------|------|-----|----------------------|--|---|---|
| Pfaar et al. <sup>2606</sup>       | 2013 | 2   | DBRCT                | Rush SCIT:<br>Pre-seasonal<br>depigmented-<br>polymerized birch<br>and grass pollen<br>extract<br>Placebo  | Combined symptom<br>and medication<br>score   | Significantly improved<br>combined scores in<br>peak season at year 2<br>versus placebo<br>Higher rates of mild SRs<br>in therapy arm but<br>none required specific<br>treatment  |
| Pfaar et al. <sup>2584</sup>       | 2012 | 2   | DBRCT                | Rush SCIT:<br>Pre-seasonal<br>depigmented<br>polymerized grass<br>pollen<br>Placebo  | Combined symptom<br>and medication<br>score   | Significantly improved<br>combined scores in<br>peak season at year 2<br>versus placebo<br>Higher rates of mild SRs<br>in treatment arm but no<br>grade 3 or 4 reactions  |
| Klunker<br>et al. <sup>2607</sup>  | 2007 | 2   | DBRCT                | Rush SCIT:<br>Ragweed SCIT +<br>anti-IgE mAb<br>Placebo SCIT +<br>anti-IgE mAb<br>Ragweed SCIT +<br>placebo anti-IgE<br>mAb<br>Placebo SCIT +<br>placebo anti-IgE<br>mAb | Ragweed<br>hypersensitivity via<br>IgE-facilitated<br>allergen binding<br>assay<br>sIgG4  | Combination therapy<br>enhanced the<br>inhibition of sIgE<br>binding for 42 weeks<br>after discontinuation  |
| Casale et al. <sup>2087</sup>      | 2006 | 2   | DBRCT                | Rush SCIT:<br>Ragweed SCIT +<br>anti-IgE mAb<br>Placebo SCIT +<br>anti-IgE mAb<br>Ragweed SCIT +<br>placebo anti-IgE<br>mAb<br>Placebo SCIT +<br>placebo anti-IgE<br>mAb | Daily allergy<br>symptom scores<br>Adverse events   | Pretreatment with<br>omalizumab resulted in<br>a five-fold decrease in<br>risk of rush SCIT<br>associated anaphylaxis<br>Combination therapy<br>associated with<br>significant reduction in<br>symptom severity<br>versus AIT alone |
| Cox <sup>2604</sup>                | 2006 | 2   | Systematic<br>review | AR, asthma,<br>Hymenoptera,<br>imported fire ant<br>Adults and children<br>RCTs, observational<br>cohorts, case series   | Combined symptom-<br>medication score<br>SR rate<br>Cutaneous testing<br>Provocation<br>challenges<br>sIgE and sIgG                               | SR rate significantly<br>higher for rush SCIT<br>(27%–100%)<br>Baseline FEV <sub>1</sub> <80% and<br>high skin test reactivity<br>are predictive of SR<br>Premedication reduced<br>risk of SRs with rush<br>SCIT                    |
| Akmanlar<br>et al. <sup>2609</sup> | 2000 | 2   | RCT                  | Der p 1 rush SCIT<br>Der p 1 conventional<br>SCIT  | Combined symptom<br>and medication<br>score<br>Lung function<br>Side effect score<br>Cutaneous testing<br>Bronchial provocation<br>sIgE and sIgG4 | Similar efficacy between<br>rush and conventional<br>SCIT<br>Significantly higher side<br>effect score was seen in<br>the rush SCIT group<br>Three had mild SRs<br>No severe reactions  |

(Continues)

TABLE XI.D.5.b (Continued)

| Study                           | Year | LOE | Study design | Study groups  | Clinical endpoints  | Conclusions   |
|---------------------------------|------|-----|--------------|---|---|---|
| Dolz et al. <sup>2592</sup>     | 1996 | 2   | DBRCT        | Grass pollen rush<br>SCIT<br>Placebo  | End-point cutaneous testing<br>Conjunctival and bronchial provocation<br>Adverse reactions<br>Symptom scores            | Significant improvement in all clinical outcomes for treatment group but 7/15 (46.7%) had mild to moderate SRs during build-up requiring epinephrine  |
| Portnoy et al. <sup>2615</sup>  | 1994 | 2   | DBRCT        | Combination H <sub>1</sub> and H <sub>2</sub> antihistamines and prednisone capsule<br>premedication for rush SCIT<br>Lactose capsule (placebo) for rush SCIT | SR rate and severity  | Significant decline in SRs in premedication group from 73% to 27%   |
| Bousquet et al. <sup>2594</sup> | 1991 | 2   | DBRCT        | Placebo-grass pollen rush SCIT<br>Placebo-multiple pollens rush SCIT<br>Grass pollen rush SCIT<br>Multiple pollens rush SCIT                                  | Combined symptom-medication scores<br>Nasal provocation challenge   | Only monosensitized patients receiving grass pollen extract showed significant improvement over placebo<br>Polysensitized patients had a nonsignificant improvement                             |
| Horst et al. <sup>2597</sup>    | 1990 | 2   | DBRCT        | <i>Alternaria</i> rush SCIT<br>Placebo  | Symptom-medication scores<br>Nasal provocation challenge<br>Skin end-point titration<br><i>Alternaria</i> sIgE and sIgG | Rush SCIT with <i>Alternaria</i> showed a significant benefit in all clinical outcome measures<br>15.4% of patients developed SRs in the treatment group versus 0 in the placebo arm            |
| Lilja et al. <sup>2610</sup>    | 1989 | 2   | DBRCT        | Animal-dander rush SCIT<br>Placebo (transferred to active arm after 1 year)   | Skin prick test<br>Allergen and histamine bronchial challenges  | Improvement in skin prick test and bronchial challenges for treatment group at 1 and 2 year follow-up periods   |
| Bousquet et al. <sup>2600</sup> | 1987 | 2   | DBRCT        | Six-mixed grass pollen allergoid prepared by mild formalinization<br>rush SCIT<br>Standard orchard grass pollen extract<br>rush SCIT<br>Placebo               | Symptom scores<br>Skin test titration<br>sIgE and sIgG  | Rush SCIT with both formalinized allergoid and standardized allergen extract showed significant improvement versus placebo<br>Nearly 2-fold increase in SRs for patients treated with allergoid |

(Continues)

TABLE XI.D.5.b (Continued)

| Study                                 | Year | LOE            | Study design                      | Study groups   | Clinical endpoints               | Conclusions  |
|---------------------------------------|------|----------------|-----------------------------------|--|----------------------------------|--|
| Morais-Almeida et al. <sup>2608</sup> | 2016 | 3              | Observational cohort              | Children with AR   | Local and systemic reaction rate | Depigmented-polymerized extracts are safe in children utilizing an ultra-rush protocol without premedication<br>Two cases of mild SRs out of 100 patients  |
| Casanovas et al. <sup>2613</sup>      | 2006 | 3              | Observational cohort              | Rhinoconjunctivitis and/or asthma patients sensitized to HDM and/or pollen   | Local and systemic reaction rate | Depigmented and polymerized allergen extracts can be safely administered via an ultra-rush schedule, reaching the maximum dose within two injections on day 1 without the need for premedication |
| Hejjaoui et al. <sup>2616</sup>       | 1990 | 3              | Non-randomized, controlled cohort | Rush SCIT without preventive measures<br>Rush SCIT + premedication<br>Rush SCIT + premedication + preventive measures<br>Rush SCIT step protocol + premedication + preventive measures | SR rate and severity             | Premedication with methylprednisolone, ketotifen and theophylline decreased SRs by 55% for HDM rush SCIT<br>Further improvements occurred with dose adjustments for large local reactions        |
| Bousquet et al. <sup>2611</sup>       | 1989 | 3              | Observational cohort              | HDM-allergic patients with asthma<br>Adults and children   | SR rate and severity             | 38% SRs in cohort with eight cases of anaphylactic shock   |
| Cook et al. <sup>2614</sup>           | 2017 | 4              | Case series                       | Rush SCIT  | SR rate                          | Increased rate of SRs on subsequent doses after initial rush SCIT  |
| Winslow et al. <sup>2612</sup>        | 2016 | 4              | Case series                       | AR and asthma<br>Adults and children   | SR rate and severity             | Per-patient incidence of SRs was four-fold higher in rush SCIT patients compared to conventional and cluster protocols despite premedication use   |
| Cox et al. <sup>2419</sup>            | 2011 | 4 <sup>a</sup> | Evidence-based search             | AIT<br>RCTs, observational cohorts, case series  | Not applicable                   | Rush schedules can achieve maintenance dose more quickly than conventional SCIT<br>Rush schedules with inhalant allergens associated with increased risk of systemic reactions                   |

(Continues)

TABLE XI.D.5.b (Continued)

| Study                       | Year | LOE | Study design | Study groups   | Clinical endpoints | Conclusions  |
|-----------------------------|------|-----|--------------|----------------|--------------------|--|
| More et al. <sup>2605</sup> | 2002 | 4   | Case series  | Adults with AR | Compliance rate    | Patients receiving conventional SCIT were more compliant than those on rush SCIT, 80.0% versus 48.4%, respectively |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; DBRCT, double-blind randomized controlled trial; FEV<sub>1</sub>, forced expiratory volume in 1 second; HDM, house dust mite; IgE, immunoglobulin E; IgG, immunoglobulin G; LOE, level of evidence; mAb, monoclonal antibody; s, allergen-specific; SCIT, subcutaneous immunotherapy; SR, systemic reaction; RCT, randomized controlled trial.

<sup>a</sup>Upgraded from LOE 5 due to established methodology, several rounds of review, long history of evidence-based guideline development.

classifies those that attain maintenance dose within several hours.

Due to the increased risk of systemic reactions with ultra-rush, traditional extracts have not generally been used. Depigmented-polymerized extracts, which are approved and commercially available in several regions of Europe, have been utilized via an ultra-rush protocol with good efficacy in adults and children.<sup>2584,2606,2608,2613</sup> Local reactions occurred in 21%–70.4% of patients, while systemic reactions ranged 2%–12.7%; all considered non-severe (no grade 3 or 4 reactions).

**Pre-medication for rush SCIT.** Limited studies specifically evaluated the effects of premedication on aeroallergen rush SCIT.<sup>2615,2616</sup> Premedication regimens varied, including H<sub>1</sub> and H<sub>2</sub> histamine antagonists, systemic steroids, theophylline, and anti-IgE monoclonal antibodies.

In one double-blind, placebo-controlled study of 22 children undergoing multiallergen rush SCIT over 1.5 days, a significant reduction in systemic reactions was observed in those receiving pretreatment with astemizole, ranitidine, and prednisone versus placebo (27% vs. 73%, respectively).<sup>2615</sup> A larger non-randomized study involving children and adults undergoing rush SCIT to *Dermatophagoides pteronyssinus* evaluated the effects of premedication (methylprednisolone, ketotifen, and theophylline) and preventive measures (modifying dosing schedule after local reactions of >10 cm) on systemic reaction rates.<sup>2616</sup> The systemic reaction rate declined from 36% of patients with rush SCIT alone to 16% of patients that received premedication. This further declined to 7.3% when preventive measures were added to the premedication regimen.

Omalizumab has also been investigated as part of a 9-week pretreatment regimen for ragweed rush SCIT.<sup>2087,2607</sup> A five-fold reduction in anaphylaxis was reported for the omalizumab-premedicated group compared to the placebo-premedicated group. Combination omalizumab and rush SCIT also led to lower symptom severity scores compared to either intervention alone.

In summary, rush SCIT has increasing availability globally with moderate evidence demonstrating improvement in clinical/immunologic outcomes versus placebo. The lack of SRMAs is notable and a key research need. There is also insufficient data directly comparing rush to conventional SCIT. Systemic reactions are a limiting factor but can be mitigated with premedication, use of depigmented-polymerized extracts, and careful patient selection. Due to the heterogeneity of rush SCIT protocols, extract types, and premedication regimens, studying rush SCIT remains challenging.

### Rush subcutaneous immunotherapy

**Aggregate grade of evidence:** B (Level 2: 12 studies, level 3: 4 studies, level 4: 4 studies; Table XI.D.5.b)

**Benefit:** Accelerates the time to reach therapeutic dosing which may improve compliance, lead to earlier clinical benefit, and be more convenient for the patient. Improvement of symptoms and decreased need for rescue medication.

**Harm:** Higher rates of local and systemic reactions with rush SCIT protocols compared to conventional and cluster SCIT. Inconvenience of visits to a medical facility to receive injections.

**Cost:** Direct costs may be similar or slightly less compared to conventional SCIT, which includes cost of extract preparation and injection visits. Indirect costs are improved due to the reduced number of appointment visits, which reduces work and school absenteeism.

**Benefits-harm assessment:** Balance of benefit and harm.

**Value judgments:** Careful patient selection and shared decision making would reduce risks. Heterogeneity of protocols, extract types, and dosing across studies makes quantification of risk difficult.



**Policy level:** Option.

**Intervention:** Aeroallergen rush SCIT is an option for AR in appropriately selected patients that do not have adequate control of their symptoms with symptomatic therapies. If available at practice location, the use of depigmented-polymerized allergen extracts for rush SCIT has a better safety profile compared with standard extracts.

#### XI.D.5.c | Cluster subcutaneous immunotherapy for allergic rhinitis

Cluster SCIT is a method to shorten the build-up phase for SCIT. Cluster schedules entail two or more injections during each visit on non-consecutive days. Typically, target maintenance dosing can be reached in 4–8 weeks. This improves convenience for patients and may lead to more rapid symptom improvement, without a significant rise in systemic reactions when premedication is used.<sup>2617–2619</sup>

**Efficacy and safety.** Like rush SCIT, cluster SCIT is difficult to study due to the heterogeneity of study protocols, extract types, target maintenance dosing, and premedication regimens. One SRMA evaluated the cluster SCIT efficacy for single allergen extracts and included eight RCTs comparing cluster SCIT to conventional SCIT or placebo.<sup>2617</sup> While no differences were found between cluster SCIT and placebo for symptom and medication scores, the high level of heterogeneity between the studies creates difficulty with interpretation. Several individual RCTs showed benefit in symptom, medication, and QOL benefit, consistent with other forms of SCIT.<sup>2620,2621</sup> Two additional RCTs not included in the meta-analysis show improvement in symptom/medication scores for cluster SCIT over placebo using depot or polymerized pollen extracts.<sup>2555,2571</sup> Compared to conventional SCIT, cluster SCIT demonstrates similar efficacy for multiple extracts including pollens and HDM.<sup>2566,2617,2622–2624</sup> Cluster and rush SCIT have not been directly compared in RCTs (Table XI.D.5.c).

Two meta-analyses of RCTs and observational studies have assessed cluster SCIT safety.<sup>2617,2618</sup> When evaluating for local and systemic adverse reactions by number of patients, no difference was found with cluster versus conventional SCIT. The meta-analysis by Jiang et al.<sup>2618</sup> showed a lower rate of grade 1 systemic and local adverse reactions if analysis is done per injection. Additional studies are needed to further explore these findings, as non-randomized studies may favor inclusion of less vulnerable patient populations in the cluster cohort. High heterogeneity was noted which limits study conclusions.

A more recent RCT from China and large retrospective study of a multiple-physician practice in the US with over 2.5 million injections given during the study period showed no difference in systemic reactions between cluster and conventional SCIT on a per-patient basis, but the retrospective trial did show a slightly increased risk on a per-injection basis.<sup>2612,2623</sup> Minimal data is available on delayed reactions with cluster SCIT and no conclusions can be drawn.<sup>2618,2625</sup>

**Factors that affect systemic reactions with cluster SCIT.** Only one RCT specifically assessed the use of premedication in cluster SCIT with standardized pollen extracts.<sup>2626</sup> Use of loratadine prior to cluster dosing showed a decline in systemic reactions from 79% of patients to 33% for the study duration.<sup>2626</sup> While no life-threatening systemic reactions occurred, there was a reduction in severity of systemic reactions with premedication. Other RCTs and observational studies had high variability in premedication regimens (e.g., oral antihistamines, oral systemic steroids, and leukotriene modifying agents) and most do not provide relevant information. Timing of the premedication has not been directly studied.<sup>2604</sup>

Other factors may affect the frequency and severity of systemic reactions during cluster SCIT including dosing frequency, extract formulation (standardized, depot, polymerized), number of injections administered during a cluster session, and number of clusters given to reach maintenance.<sup>2604</sup> Currently there is insufficient data to draw any conclusions, but this should be an area of emphasis for future research.

In summary, cluster SCIT has a similar safety profile as conventional SCIT and fewer systemic reactions than rush SCIT.<sup>2612,2618,2622</sup> Importantly, the safety of cluster SCIT is comparable to standard regimens overall because the number of injections required for buildup can be less, not because the per injection risk is necessarily lower. Additionally, premedication use appears to be necessary to reach this comparable safety profile for cluster SCIT. Some practices may translate this as the need to observe patients during cluster sessions more closely and for longer periods. Efficacy remains difficult to investigate due to the significant study heterogeneity but does appear to be similar to conventional SCIT, which is strongly recommended to manage refractory AR. Standardization of cluster protocols through additional large-scale RCTs should be a key area of research as there remain many understudied topics including dosing frequency, number of injections per visit, and the optimal duration of the build-up phase.

**TABLE XI.D.5.c** Evidence table – cluster subcutaneous immunotherapy for allergic rhinitis

| Study                         | Year | LOE            | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|-------------------------------|------|----------------|--------------|--|---|---|
| Jiang et al. <sup>2618</sup>  | 2019 | 1              | SRMA         | Relationship of cluster SCIT and adverse reactions                                     | Not applicable  | Rates of local and systemic reactions are similar or slightly better for cluster versus conventional SCIT   |
| Yu et al. <sup>2622</sup>     | 2021 | 2              | RCT          | Children and adults<br>Mixed allergen conventional SCIT<br>Mixed allergen cluster SCIT | Symptom scores<br>SPT<br>Adverse reactions  | Conventional and cluster SCIT have similar efficacies and no significant difference in SRs  |
| Fan et al. <sup>2619</sup>    | 2017 | 2              | RCT          | HDM cluster SCIT<br>HDM conventional SCIT  | Nasal mucosa scores<br>Local reactions<br>SRs   | Cluster SCIT group had improvement of symptoms at 6 weeks versus conventional SCIT<br><br>No conclusive difference in SR rate   |
| Feng et al. <sup>2617</sup>   | 2014 | 2 <sup>a</sup> | SRMA         | Efficacy and safety of cluster SCIT versus conventional SCIT or placebo                | Not applicable  | Similar efficacy and safety of cluster SCIT versus conventional SCIT<br>Improved QOL for cluster SCIT versus placebo<br>Nonsignificant trend for improved symptom and medication scores |
| Klimek et al. <sup>2555</sup> | 2014 | 2              | DBRCT        | Cluster SCIT with grass/rye polymerized antigen<br>Placebo                             | Combined symptom and medication score<br>Rescue medication use<br>Total rhinoconjunctivitis symptom score | Improvement in symptoms and medication usage versus placebo   |
| Wang et al. <sup>2624</sup>   | 2011 | 2              | RCT          | HDM cluster SCIT<br>HDM conventional SCIT  | Symptom and medication scores<br>Local reactions<br>SRs<br>HDM-specific IgE and IgG4                      | Cluster group achieved clinical efficacy with improved symptom and medication scores earlier than conventional SCIT group with similar safety profiles                                  |
| Zhang et al. <sup>2623</sup>  | 2009 | 2              | RCT          | HDM cluster SCIT<br>HDM conventional SCIT  | QOL<br>Cutaneous reactivity<br>sIgE to Der p  | Time to maintenance decreased by 57% with cluster SCIT, more rapid improvement of clinical symptoms and medication use<br><br>Adverse reactions were similar in the two groups          |
| Subiza et al. <sup>2621</sup> | 2008 | 2              | RCT          | Grass mix cluster SCIT<br>Placebo  | Nasal provocation test  | Significant increase in threshold concentration for positive provocation  |

(Continues)

TABLE XI.D.5.c (Continued)

| Study                             | Year | LOE            | Study design      | Study groups   | Clinical endpoints  | Conclusions   |
|-----------------------------------|------|----------------|-------------------|--|---|---|
| Cox <sup>2604</sup>               | 2006 | 2 <sup>b</sup> | Systematic review | Adults and children<br>AR, asthma,<br>Hymenoptera,<br>imported fire ant<br>RCTs, observation<br>cohorts, case series               | Combined symptom-<br>medication score<br>SR rate<br>Cutaneous testing<br>Provocation<br>challenges<br>sIgE and sIgG                     | Similar risk of SRs for<br>cluster SCIT versus<br>conventional SCIT   |
| Tabar et al. <sup>2566</sup>      | 2005 | 2              | DBRCT             | Der p cluster SCIT<br>Der p conventional<br>SCIT   | Adverse reactions<br>Symptom-medication<br>scores<br>Peak flow<br>SPT<br>sIgE   | Reduction in time to<br>maintenance dose by<br>47% using cluster SCIT<br>Similar efficacy and SR<br>rate in both groups   |
| Nanda et al. <sup>2620</sup>      | 2004 | 2              | DBRCT             | Cat hair and dander:<br>Cluster SCIT 0.6 µg<br>Fel d 1<br>Cluster SCIT 3 µg Fel<br>d 1<br>Cluster SCIT 15 µg Fel<br>d 1<br>Placebo | Skin prick test<br>Titrated nasal<br>challenge<br>sIgE and sIgG4<br>Intranasal cytokines<br>(TGF-β, IL-10,<br>IFN-γ, IL-4, and<br>IL-5) | Significant and<br>dose-dependent<br>differences were seen<br>with total symptom<br>scores on nasal<br>challenge and SPT with<br>cat extract                                |
| Bodtger<br>et al. <sup>2571</sup> | 2002 | 2              | DBRCT             | Depot birch extract:<br>Cluster SCIT<br>Placebo  | Symptom score<br>Medication score<br>Conjunctival<br>sensitivity<br>SPT<br>SRs  | Treatment group showed<br>improvement in all<br>categories versus<br>placebo, with similar<br>rates of adverse events   |
| Nielsen et<br>al. <sup>2626</sup> | 1996 | 2              | DBRCT             | Birch or grass cluster<br>SCIT + loratadine<br>Birch or grass cluster<br>SCIT + placebo  | Rate of SRs   | Pretreatment with<br>loratadine decreased<br>frequency and severity<br>of SRs   |
| Cook et al. <sup>2625</sup>       | 2017 | 4              | Case series       | Timing of SRs to<br>aeroallergen<br>immunotherapy  | Rate of SRs   | 52.8% of SRs occurred<br>after at least 30 min<br>from the injection time   |
| Winslow<br>et al. <sup>2612</sup> | 2016 | 4              | Case series       | AR and asthma<br>Adults and children   | SR rate and severity  | Per-patient incidence of<br>SRs was four-fold<br>higher in rush SCIT<br>patients compared to<br>conventional and<br>cluster SCIT protocols,<br>despite premedication<br>use |

Abbreviations: AR, allergic rhinitis; DBRCT, double-blind randomized controlled trial; HDM, house dust mite; IFN, interferon; Ig, immunoglobulin; IL, interleukin; LOE, level of evidence; QOL, quality of life; RCT, randomized controlled trial; s, allergen-specific; SCIT, subcutaneous immunotherapy; SPT, skin prick test; SR, systemic reaction; SRMA, systematic review and meta-analysis; TGF, transforming growth factor.

<sup>a</sup>LOE downgraded due to heterogeneity of included studies included.

<sup>b</sup>LOE downgraded due to inconsistency of results.

### Cluster subcutaneous immunotherapy

**Aggregate grade of evidence:** B (Level 1: 1 study, level 2: 12 studies, level 4: 2 studies; Table XI.D.5.c)

**Benefit:** Accelerates the time to reach therapeutic dosing which may improve compliance, lead to earlier clinical benefit, and be more convenient for the patient. Improvement of symptoms and decreased need for rescue medication. Similar safety profile compared to conventional SCIT.

**Harm:** Minimal harm with occasional, but mild, local adverse events, and rare systemic adverse events when premedication is used. Inconvenience of visits to a medical facility to receive injections.

**Cost:** Direct costs may be similar, slightly more, or slightly less compared to conventional SCIT, depending on how the practicing provider bills for the services. This includes cost of extract preparation, injection visits, and possibly rapid desensitization codes. Indirect costs are lower due to the reduced number of appointment visits, which reduces work and school absenteeism.

**Benefits-harm assessment:** Preponderance of benefit over harm for patients that cannot achieve adequate relief with symptomatic management. Balance of benefit and harm compared to conventional SCIT but in slight favor of cluster SCIT due to convenience.

**Value judgments:** Careful patient selection and shared decision making would reduce risks. Heterogeneity of protocols, extract types, and dosing across studies makes risk quantification difficult.

**Policy level:** Option.

**Intervention:** Cluster SCIT can be safely implemented in clinical practice and offered to those patients eligible for SCIT that may prefer this protocol compared to conventional build-up protocols due to convenience. Premedication should be strongly considered.

## XI.D.6 | Sublingual immunotherapy for allergic rhinitis

### XI.D.6.a | Sublingual immunotherapy for allergic rhinitis – general efficacy

While SCIT was first practiced over a century ago by Noon et al.,<sup>2457,2627</sup> the first double-blind placebo-controlled trial of SLIT dates from 1986 by Scadding and Brostoff.<sup>2628</sup> Over the next two decades several small trials were conducted.

From 2006 onward, the “big trials” finally demonstrated the clinical efficacy and safety of SLIT.<sup>2629,2630</sup> Since then, a wealth of high-quality SLIT trials have been conducted.<sup>2631</sup>

In ICAR-Allergic Rhinitis 2018,<sup>1</sup> the joint outcomes of the best quality trials gathered in over two dozen SRMAs on SLIT were presented. Since then, further trials have been conducted taking better care to define the exact dosing, focus on specific allergens, and separate the two different sublingual administration routes: aqueous or tablets. In this section, evidence for SLIT efficacy in general is reviewed, and subsections on aqueous and tablet SLIT follow. SRMAs were primarily analyzed. Several RCTs that have been published since ICAR-Allergic Rhinitis 2018 were added as well. For the interpretation of the SMD of meta-analyses, an effect size 0.3-0.5 indicates a mild effect, 0.5-0.8 indicates a moderate effect, and above 0.8 indicates a large effect the intervention on the disease.<sup>2632</sup>

Table XI.D.6.a.-1 shows the cumulative recent evidence from SRMAs, primarily over the past 5 years. Additional notable studies prior to ICAR-Allergic Rhinitis 2018 are also listed. Combined evidence previously published in ICAR-Allergic Rhinitis 2018 is presented in Table XI.D.6.a.-2 for an Aggregate Grade of Evidence of SLIT efficacy in general.

**Efficacy in adults.** The majority of the SRMAs show mild-to-moderate symptom and medication reduction in patients on SLIT compared to placebo. Symptom score improvements have also been demonstrated to be higher with longer treatment duration (greater than 12 months treatment, SMD = 0.70).<sup>2421</sup> All subjects, both those in the SLIT and in the placebo arms, had open access to rescue medication. As such, symptom reduction with SLIT comes on top of the symptom improvement obtained with rescue medication. SLIT efficacy in adults is judged to be grade A, with mild-to-moderate impact.

**Efficacy in children.** Studies on SLIT efficacy in children were previously limited by the heterogeneity of trials and the considerable risk of bias.<sup>2633</sup> In addition to the ICAR-Allergic Rhinitis 2018 evidence demonstrating moderate efficacy for symptom relief in pollen and HDM liquid SLIT<sup>2634</sup> and grass pollen tablet SLIT,<sup>2635</sup> there is additional evidence for a moderate reduction in symptoms and medication scores in pediatric perennial AR.<sup>2636,2637</sup> SLIT efficacy in children is judged to be grade A, with moderate impact.

**Efficacy of SLIT over pharmacotherapy.** For perennial AR, HDM SLIT tablets are more effective than antihistamines, LTRAs, and INCS. For seasonal AR, grass pollen and ragweed tablet SLIT are almost as effective as INCS and more effective than the other pharmacotherapies.<sup>1986</sup> An additional study showed that the 5-grass tablet had the highest relative clinical impact on symptom score over all

**TABLE XI.D.6.a.-1** Evidence table – recent high-level studies of sublingual immunotherapy for allergic rhinitis (aqueous and tablet formulations)

| Study                                     | Year | LOE | Study design  | Study groups  | Clinical endpoints   | Conclusions  |
|---|------|-----|---------------|---|--|--|
| Aqueous and tablet SLIT reported together |      |     |               |   |  |  |
| Kim et al. <sup>2661</sup>                | 2021 | 1   | SR            | SLIT aqueous and tablet HDM for mono- or poly-sensitized AR<br>9 RCTs   | Primary: symptoms<br>Secondary: QOL, medication scores                     | Effective in mono- and poly-sensitized subjects<br>No significant difference in efficacy of single allergen SLIT for mono- versus poly-sensitized AR   |
| Chen et al. <sup>2636</sup>               | 2020 | 1   | SRMA          | SLIT for HDM tablet versus placebo in children with perennial AR<br>16 RCTs   | Symptoms<br>Medication use<br>Adverse events                               | Improved symptom ( $p = 0.0001$ ) and medication ( $p < 0.00001$ ) scores<br>More frequent adverse events (1.08–1.68 times more)   |
| Dhami et al. <sup>2438</sup>              | 2017 | 1   | SRMA          | AIT for AR and ARC Antigen versus placebo or other comparator<br>61 SCIT trials, 71 SLIT (aqueous and tablet) trials      | Primary: symptoms, medication use<br>Secondary: cost-effectiveness, safety | Improved symptom scores: SMD $-0.48 [-0.61, -0.36]$<br>Improved medication scores: SMD $-0.31 [-0.44, -0.18]$<br>Risk for bias present (For aqueous and tablet separately, see below)  |
| Feng et al. <sup>2637</sup>               | 2017 | 1   | MA of 26 RCTs | Pediatric AR<br>SCIT and SLIT, all allergens<br>Tablets included<br>26 RCTs   | Symptoms<br>Medication use<br>Adverse events                               | Improved symptom scores: SMD $-0.55 [-0.86, -0.25]$<br>Improved medication scores: SMD $-0.67 [-0.96, -0.38]$<br>No significant difference between pre-co-seasonal and continuous SLIT for seasonal AR<br>Similar adverse events in SLIT and placebo (1167 versus 1025), oral pruritis most common |
| Kristiansen et al. <sup>2426</sup>        | 2017 | 1   | SRMA          | SLIT, SCIT, oral AIT<br>Numerous antigens versus placebo<br>17 RCTs, 15 controlled before-after for prevention of allergy | Development of asthma<br>Development of new sensitizations                 | No significant reduction for AIT to prevent new sensitizations<br>Long-term ( $\geq 2$ years): inconclusive evidence for the prevention outcomes<br>Short-term ( $< 2$ years post-treatment) prevention: SLIT reduces the risk of those with AR developing asthma (RR 0.40; 95% CI 0.30–0.54)      |
| Boldovjácová et al. <sup>2662</sup>       | 2021 | 2   | SRMA          | AR in adults<br>Grass pollen SLIT versus placebo<br>6 RCTs  | Symptoms<br>QOL<br>Adverse events  | SLIT improved symptoms ( $p < 0.05$ ) in 5/6 studies and QOL ( $p < 0.05$ ) in 4/6 studies<br>SLIT demonstrated safety<br>High risk of bias in 50% of studies  |

(Continues)



TABLE XI.D.6.a.-1 (Continued)

| Study                                       | Year | LOE | Study design                  | Study groups   | Clinical endpoints   | Conclusions   |
|---|------|-----|-------------------------------|--|--|---|
| Ji et al. <sup>2644</sup>                   | 2019 | 2   | SRMA                          | SCIT versus SLIT for AR<br>20 RCTs   | Symptoms<br>VAS<br>Adverse events  | Nasal symptoms, VAS, compliance: no significant difference between SCIT and SLIT<br>Adverse reactions lower with SLIT (RR 1.79; 95% CI 1.42–2.26, $p < 0.05$ )  |
| Blanco et al. <sup>2663</sup>               | 2018 | 2   | SR                            | Pediatric and adult DBRCT SLIT for respiratory allergy<br>112 RCTs   | Symptoms<br>Medication use   | SLIT effective for HDM and grass pollen<br>Disease modifying effect lasts 2 years after 3-year course<br>Preventive effect reducing asthma incidence in AR patients<br>No major safety concerns   |
| Aqueous and tablet SLIT reported separately |      |     |                               |  |  |   |
| Kim et al. <sup>2550</sup>                  | 2021 | 1   | SRMA, network MA              | HDM AIT for AR   | Symptoms<br>Medication use   | HDM SCIT and SLIT<br>Aqueous: symptoms SMD $-0.461$ (95% CI $-0.795$ , $-0.127$ )<br>Tablet: symptoms $-0.329$ (95% CI $-0.426$ , $-0.231$ )<br>In network MA SCIT more effective than aqueous SLIT and tablets   |
| Dhami et al. <sup>2438</sup>                | 2017 | 1   | SRMA                          | AIT for AR and ARC Antigen versus placebo or other comparator<br>61 SCIT trials, 71 SLIT (aqueous and tablet) trials | Primary: symptoms, medication use<br>Secondary: cost-effectiveness, safety | Symptoms:<br>Aqueous: SMD $-0.42$ (95% CI $-0.68$ , $-0.15$ )<br>Tablets: SMD $-0.53$ (95% CI $-0.73$ , $-0.34$ )<br>Medication:<br>Aqueous: SMD $-0.42$ (95% CI $-0.68$ , $-0.15$ )<br>Tablets: SMD $-0.53$ (95% CI $-0.73$ , $-0.34$ )<br>SLIT is likely to be cost-effective |
| Nelson et al. <sup>2639</sup>               | 2015 | 1   | Network meta-analysis of RCTs | Grass pollen allergy: SLIT tablets versus placebo<br>SLIT aqueous versus placebo<br>SCIT versus placebo              | ARC symptoms and medication use  | Symptom and medication scores with SCIT, SLIT aqueous, and tablets all reduced versus placebo, except for symptom score with SLIT aqueous   |
| Di Bona et al. <sup>2638</sup>              | 2012 | 1   | MA-based comparison           | Grass pollen seasonal AR: SCIT versus placebo<br>SLIT versus placebo   | Symptoms<br>Medication use   | Indirect modest evidence that SCIT is more effective for seasonal AR than SLIT (aqueous) and SLIT (tablet) for symptom and medication score reduction   |

(Continues)

TABLE XI.D.6.a.-1 (Continued)

| Study                                 | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|---------------------------------------|------|-----|--------------|--|---|---|
| Radulovic et al. <sup>2664</sup>      | 2011 | 1   | SR of RCTs   | SLIT for AR  | Symptoms<br>Medication use                                    | Symptoms:<br>Aqueous: SMD $-0.35$ (95% CI $-0.42, -0.28$ )<br>Tablets: SMD $-0.48$ (95% CI $-0.58, -0.38$ )<br>Medication:<br>Aqueous: SMD $-0.01$ (CI $-0.05, 0.4$ )<br>Tablets: SMD $-0.33$ (95% CI $-0.46, -0.2$ )<br>SLIT appears safe for AR |
| Di Bona et al. <sup>2665</sup>        | 2010 | 1   | MA of RCTs   | Grass pollen:<br>SLIT versus placebo   | Symptoms<br>Medication use                                    | Symptoms:<br>Aqueous: median SMD $-0.11$<br>Tablets: median SMD $-0.43$<br>Medication:<br>Aqueous: median SMD $-0.28$<br>Tablets: median SMD $-0.30$  |
| Aqueous alone                         |      |     |              |  |   |   |
| Lin et al. <sup>2666</sup>            | 2013 | 1   | SR of RCTs   | Aqueous SLIT for<br>ARC and asthma   | Symptoms<br>Medication use                                    | Moderate evidence of<br>aqueous SLIT improving<br>rhinitis symptom score<br>and medication usage  |
| Ortiz et al. <sup>2667</sup>          | 2018 | 2   | RCT          | Single or multiple<br>allergen aqueous<br>SLIT for<br>polysensitized AR        | Symptoms<br>Medication use                                    | Significant improvement in<br>symptom scores for all<br>treatment group<br>No significant difference<br>between treatment groups  |
| Li et al. <sup>2668</sup>             | 2014 | 2   | RCT          | SLIT for mono- or<br>poly-sensitized<br>HDM AR                                 | Symptoms<br>Medication use                                    | Significant benefit of SLIT<br>over placebo in mono- and<br>poly-sensitized HDM AR<br>without significant<br>difference in symptom or<br>medication scores  |
| Kim et al. <sup>2634</sup>            | 2013 | 2   | SR of RCTs   | SCIT and SLIT in the<br>treatment of<br>pediatric asthma<br>and ARC            | Symptoms<br>Medication use                                    | Moderate-strength evidence<br>that aqueous SLIT<br>improves rhinitis<br>symptoms and decreases<br>medication usage  |
| Amar et al. <sup>2669</sup>           | 2009 | 2   | RCT          | Single- or<br>multiple-allergen<br>SLIT for Timothy<br>grass pollen AR         | Symptoms<br>Medication use<br>Inflammatory<br>markers         | No significant difference in<br>medication or symptom<br>scores in either treatment<br>group versus placebo<br>Significant improvement in<br>inflammatory markers in<br>monotherapy group   |
| Moreno-Ancillo et al. <sup>2670</sup> | 2007 | 2   | RCT          | Single- or<br>multiple-allergen<br>SLIT for<br>polysensitized AR<br>and asthma | Symptoms<br>Medication use<br>PFTs<br>Inflammatory<br>markers | Improvement in clinical<br>symptoms and<br>inflammation significantly<br>greater in multi- versus<br>single-allergen group  |

(Continues)

TABLE XI.D.6.a.-1 (Continued)

| Study                            | Year | LOE            | Study design    | Study groups  | Clinical endpoints                      | Conclusions   |
|----------------------------------|------|----------------|-----------------|---|---|---|
| Lee et al. <sup>2671</sup>       | 2011 | 4              | Case series     | SLIT for mono- or poly-sensitized HDM AR  | Symptoms<br>Medication use              | Significant benefit of SLIT over placebo in mono- and poly-sensitized HDM AR without significant difference in symptom or medication scores   |
| Tablet alone                     |      |                |                 |   |   |   |
| Meltzer et al. <sup>1982</sup>   | 2021 | 1              | SRMA of DBRCT   | Seasonal or perennial AR in adults and adolescents:<br>INCS<br>INCS + INAH<br>Oral AH<br>LTRA<br>Tablet-SLIT<br>Placebo | TNSS<br>Random effect MA versus placebo | Seasonal AR: TNSS reduction (95% CI; $T =$ number of trials)<br>INCS 1.38 (1.18–1.58; T39)<br>INCS-INAH 1.34 (1.15–1.54; T4)<br>INAH 0.72 (0.56–0.89; T13)<br>Oral AH 0.62 (0.35–0.90; T18)<br>SLIT tablets 0.57 (0.41–0.73; T4)<br>LTRA 0.48 (0.36–0.60; T10)<br>Perennial AR: TNSS reduction (95% CI; $T =$ number of trials)<br>INCS 0.82 (0.66–0.97; T14)<br>SLIT tablet 0.65 (0.42–0.88; T3)<br>Oral AH 0.27 (0.11–0.42; T3) |
| Chen et al. <sup>2636</sup>      | 2020 | 1              | SRMA            | SLIT for HDM Children with perennial AR<br>16 RCTs<br>2 tablets   | TNSS<br>TMS<br>Adverse events           | Subgroup analyses showed only tablet studies improved ocular symptoms (See aqueous and tablet SLIT reported together)   |
| Li et al. <sup>2672</sup>        | 2018 | 1              | SRMA            | SLIT in adults with AR<br>7 RCTs, 5 evaluated in MA   | Symptoms<br>QOL<br>IgE levels           | SLIT tablets decrease rhinitis symptoms<br>IgE levels unchanged   |
| Di Bona et al. <sup>2646</sup>   | 2015 | 1              | MA of RCTs      | Seasonal AR: Grass pollen SLIT tablets versus placebo   | Symptoms<br>Medication use              | Small improvement in symptom and medication scores versus placebo: SMD $-0.28$ ( $-0.37$ , $-0.19$ ; $p < 0.001$ ) and SMD $-0.24$ ( $-0.31$ , $-0.17$ ; $p < 0.001$ )<br>7/2259 SLIT patients were given epinephrine for adverse events  |
| Devillier et al. <sup>1995</sup> | 2014 | 1              | MA of RCTs      | Pollen SLIT versus pharmacotherapy versus placebo for seasonal AR   | Relative clinical impact                | Clinical impact: 5-grass tablet $>$ INCS $>$ Timothy grass tablet $>$ montelukast $>$ antihistamines  |
| Nelson <sup>2532</sup>           | 2018 | 2 <sup>a</sup> | SR of 15 DBRCTs | HDM SCIT (3 trials)<br>SLIT tablets (12 trials)   | Symptoms<br>Medication use              | Effectiveness of SCIT and SLIT tablets established  |

(Continues)

TABLE XI.D.6.a.-1 (Continued)

| Study                                 | Year | LOE | Study design              | Study groups   | Clinical endpoints   | Conclusions  |
|---------------------------------------|------|-----|---------------------------|--|--|--|
| Durham et al. <sup>1986</sup>         | 2016 | 2   | Pooled analysis from RCTs | Seasonal AR: grass or ragweed SLIT tablet versus pharmacotherapy <sup>b</sup><br>Perennial AR: HDM SLIT tablet versus pharmacotherapy <sup>b</sup> | TNSS versus placebo  | Seasonal AR: SLIT numerically greater than montelukast and AH; almost equal to MFNS<br>Perennial AR: SLIT effect numerically greater than all pharmacotherapy  |
| Maloney et al. <sup>2651</sup>        | 2015 | 2   | Pooled analysis from RCTs | Grass SLIT tablet versus placebo<br>Grass SLIT in AR patients with (24%) and without (76%) mild asthma   | TEAEs<br>Local and systemic allergic reactions<br>Asthma related TRAEs | Severe asthma-related TRAE in 6/120 SLIT and 2/60 placebo<br>No difference in TRAE in SLIT-treated with or without asthma<br>Adults and children were included |
| Dranitsaris and Ellis <sup>2640</sup> | 2014 | 2   | SR of RCTs                | Grass pollen for seasonal AR:<br>Tablet (Timothy only)<br>Tablet (5-grass)<br>SCIT<br>Placebo<br>Indirect comparison                               | Efficacy<br>Safety<br>Cost for Canadian setting                        | Symptoms: All AIT treatments < placebo<br>Costs for 5-grass tablet < costs Timothy grass tablet and SCIT   |

Abbreviations: AH, antihistamine; AIT, allergen immunotherapy; AR, allergic rhinitis; ARC, allergic rhinoconjunctivitis; CI, confidence interval; DBRCT, double-blind randomized controlled trial; HDM, house dust mite; IAH, intranasal antihistamine; IgE, immunoglobulin E; INCS, intranasal corticosteroid; LOE, level of evidence; LTRA, leukotriene receptor antagonist; MA, meta-analysis; MFNS, mometasone furoate nasal spray; PFT, pulmonary function test; QOL, quality of life; RCT, randomized controlled trial; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy; SMD, standardized mean difference; SR, systematic review; SRMA, systematic review and meta-analysis; TEAS, treatment emergent adverse events; TMS, Total Medication Score; TNSS, Total Nasal Symptom Score; TRAE, treatment related adverse event; VAS, visual analog scale.

<sup>a</sup>LOE downgraded due to no meta-analysis, not limited to SLIT or AR alone.

<sup>b</sup>Antihistamines, montelukast, mometasone furoate nasal spray.

other pharmacotherapy treatments.<sup>1995</sup> SLIT efficacy over pharmacotherapy is judged to be grade B.

**Efficacy of SLIT compared to SCIT.** Several investigators have tried to compare the efficacy of SLIT against that of SCIT.<sup>2638–2643</sup> Most meta-analyses show superiority of SCIT over SLIT, but they are of low grade evidence as they are based on indirect comparisons.<sup>2644</sup> There are very few direct head-to-head randomized trials comparing both treatments. One recent head-to-head study was powered for the comparison against the placebo-group, but not for SCIT versus SLIT.<sup>1671</sup> In children, SCIT seems more effective than SLIT, but the quality of evidence is low.<sup>2634</sup> SLIT efficacy compared to SCIT is judged to be grade B, with low grade evidence of SCIT superiority.

**Short-term preventative effects of SLIT.** There is moderate grade evidence for a high impact of SLIT in patients with AR to prevent them from developing asthma, during three years of treatment and within the first 2 years off-treatment.<sup>2426</sup> However, there is no evidence for primary prevention with SLIT, nor for long-term secondary preventative effects. For the development of new sensitizations,

there are a few systematic reviews. The most comprehensive meta-analysis showed only a tendency for SLIT, and the effect did not withstand the sensitivity analysis,<sup>2426</sup> while another systematic review found only low-grade evidence.<sup>2645</sup> Evidence for short-term preventative effects of SLIT is judged to be grade B.

**SLIT safety.** Rare systemic and serious adverse events have been reported with SLIT. In general, meta-analyses, including the most recent in 2019,<sup>2644</sup> found SLIT to be safer than SCIT. In the complete dataset of systemic reviews, there were seven reports of the use of epinephrine in the SLIT group.<sup>2646</sup> There was no administration of epinephrine in trials outside of the US. There were several reports of symptoms suggestive of anaphylaxis with the first grass pollen tablet<sup>2647,2648</sup> and three with the first HDM tablet; this supports the recommendation in the package insert for administration under the supervision of a physician with experience in the diagnosis and treatment of allergic diseases and observation in the office for at least 30 min following the initial dose.<sup>2649</sup> Starting SLIT in-season seemed to be safe. Although

**TABLE XI.D.6.a.-2** Established aggregate grade of evidence from ICAR-Allergic Rhinitis 2018<sup>1</sup>

|   | <b>Aggregate grade of evidence</b>  | <b>Direction of impact</b> | <b>Magnitude of impact<sup>a</sup></b>              | <b>Recommendation, accounting for harm (minimal) and cost (moderate)</b> |
|---|---|----------------------------|---|--|
| SLIT is effective for the reduction of symptoms of AR in adults   | A   | Yes                        | Low impact  | Strong recommendation  |
|   | Lin, <sup>2666</sup> Radulovic, <sup>2664</sup> Di Bona, <sup>2646,2665</sup> Nelson, <sup>2639</sup> Calderon <sup>2643</sup>  |                            |   |  |
| SLIT is effective for the reduction of symptoms of AR in children   | B   | Yes                        | Low impact  | Recommendation   |
|   | Kim, <sup>2634</sup> Larenas-Linnemann <sup>2635</sup> ; not enough evidence: Roder <sup>2673</sup>   |                            |   |  |
| SLIT is safe for the treatment of AR in adults  | A   | Yes                        | –   | Safety profile is very good  |
|   | Many of the systematic reviews included safety evaluation<br>Makatsori <sup>2652</sup> – same drop-out rates SLIT versus placebo  |                            |   |  |
| SLIT is safe for the treatment of AR in children  | B   | Yes                        | –   | Safety profile is very good  |
|   | Systematic reviews (Kim, <sup>2634</sup> Larenas-Linnemann, <sup>2635</sup> Roder <sup>2673</sup> ) all included safety evaluation<br>Makatsori <sup>2652</sup> – same drop-out rates SLIT versus placebo   |                            |   |  |
| SCIT is more effective than SLIT  | A   | Yes                        | Weak evidence                                       | Recommendation   |
|   | Chelladurai, <sup>2641</sup> Dretzke, <sup>2674</sup> Calderon (HDM), <sup>2643</sup> Kim (children) <sup>2634</sup><br>Grass pollen tablets/drops versus SCIT: Di Bona <sup>2638</sup><br>SCIT equivalent to grass pollen tablets only, drops less effective: Nelson <sup>2639</sup> |                            |   |  |
| SLIT is safer than SCIT   | B   | Yes                        | Weak evidence                                       | Recommendation   |
|   | Aasbjerg <sup>2642</sup>  |                            |   |  |
| Total cost of SLIT is less than SCIT  | A   | Yes                        | Moderate evidence                                   | Recommendation   |
|   | Meadows (UK setting), <sup>2657</sup> Dranitsaris (Canadian setting) <sup>2640</sup>  |                            |   |  |
| It is safe to continue SLIT during pregnancy  | B   | No added risk              | Moderate evidence                                   | Recommendation   |
|   | Oykhman <sup>2654</sup>   |                            |   |  |
| It is safe to start SLIT during the season  | B   | Slightly added risk        | Moderate evidence                                   | Option   |
|   | Creticos <sup>2650</sup>  |                            |   |  |
| Tablet SLIT is more effective than pharmacotherapy  | A   | Yes                        | Moderate: antihistamines, montelukast<br>Weak: INCS | Recommendation   |
|   | Devillier (pollen tablet SLIT), <sup>1995</sup> Durham (grass pollen or ragweed tablet SLIT) <sup>1986</sup><br>Exception: in seasonal AR; INCS as efficacious as tablet SLIT   |                            |   |  |
| SLIT is cost-effective in the first year  | B   | No                         | Moderate evidence                                   | Option (considering its long-term benefit)                               |
|   | Meadows, <sup>2657</sup> Dranitsaris <sup>2640</sup>  |                            |   |  |
| SLIT is cost-effective after several years of treatment   | B   | Yes                        | Weak-moderate evidence                              | Recommendation   |
|   | Meadows, <sup>2657</sup> Dranitsaris <sup>2640</sup>  |                            |   |  |
| SLIT has a long-term effect beyond 3-years' application   | B   | Yes                        | Moderate evidence                                   | Recommendation   |
|   | Durham, <sup>2675</sup> Didier <sup>2676</sup>  |                            |   |  |
| SLIT has a preventive effect; reduces the development of asthma in patients with AR 2 years after a 3-year treatment course | B   | Yes                        | Weak effect   | Recommendation   |
|   | Kristiansen <sup>2426</sup><br>(New evidence since ICAR-Allergic Rhinitis 2018)   |                            |   |  |

(Continues)



TABLE XI.D.6.a.-2 (Continued)

|   | Aggregate grade of evidence  | Direction of impact | Magnitude of impact <sup>a</sup> | Recommendation, accounting for harm (minimal) and cost (moderate) |
|---|--|---------------------|----------------------------------|---|
| SLIT with grass pollen is effective for seasonal AR   | A  | Yes                 | Low impact                       | Strong recommendation <sup>b</sup>                                |
|   | Di Bona, <sup>2646,2665</sup> Nelson, <sup>2639</sup> Durham <sup>1986</sup>                       |                     |                                  |   |
| SLIT with tree pollen is effective for seasonal AR    | A  | Yes                 | Moderate effect                  | Strong recommendation <sup>b</sup>                                |
|   | Valovirta <sup>2677</sup>  |                     |                                  |   |
| SLIT with ragweed pollen is effective for seasonal AR | A  | Yes                 | Moderate effect                  | Strong recommendation <sup>b</sup>                                |
|   | Durham, <sup>1986</sup> Nolte, <sup>2678</sup> Creticos, <sup>2679</sup> Skoner <sup>2680</sup>    |                     |                                  |   |
| SLIT with HDM is effective for AR                     | A  | Yes                 | Low impact                       | Strong recommendation <sup>b</sup>                                |
|   | Nolte, <sup>1515</sup> Bergmann, <sup>2681</sup> Mosbech, <sup>1673</sup> Calderon <sup>2643</sup> |                     |                                  |   |
| SLIT with animals is effective for AR                 | X  | No data             | No data                          | Option  |
|   | No separate data in SRMAs; no recent trials  |                     |                                  |   |
| SLIT with fungi is effective for AR                   | B  | Yes                 | Weak evidence                    | Option  |
|   | No separate data in SRMAs; Cortellini <sup>2682</sup>  |                     |                                  |   |

Abbreviations: AR, allergic rhinitis; HDM, house dust mite; INCS, intranasal corticosteroid; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy; SRMA, systematic review and meta-analysis.

<sup>a</sup>For those variables with meta-analysis: according to Cohen's classification: low impact SMD 0.2–0.5, moderate 0.5–0.8, high above 0.8. For those with only systematic review: strength of evidence.

<sup>b</sup>Considering the added long-term post-treatment effect and the possible preventive effects on the development of asthma and new sensitizations.

there were two serious treatment related adverse events with co-seasonal SLIT initiation, none needed epinephrine administration.<sup>2650</sup>

Grass pollen SLIT tablets were noted to be equally safe in AR patients with and without mild asthma.<sup>2651</sup> Dropout rates have been raised as a concern for trial safety, but there is no evidence of differences in drop-out rates between SLIT and placebo groups.<sup>2652</sup> There have been a few case-reports of EoE after a course of grass pollen SLIT tablets.<sup>2653</sup> Continuing SLIT during pregnancy did not increase the incidence of adverse outcomes during delivery nor alter the risk of developing atopic disease in the offspring. However, there is insufficient data to draw conclusions about safety and efficacy in pregnant women.<sup>2654</sup>

Evidence that SLIT is generally safe is judged to be grade A. Evidence that SLIT is safer than SCIT is judged to be grade B.

**Cost-effectiveness of SLIT.** The meta-analysis comparing the efficacy and cost-savings of the 5-grass SLIT tablet versus the Timothy grass tablet has several flaws, making direct comparison of outcomes not possible.<sup>2655,2656</sup> The 5-grass tablet was associated with cost savings against year-round SCIT, seasonal SCIT, and the Timothy grass tablet during the first year of therapy, which persisted during the second and third year of treatment. The higher costs

for SCIT were due to elevated indirect costs from missing working hours and transportation costs related to in-office SCIT administration. The higher costs for the Timothy grass tablet are due to the year-round dosing versus the pre- and co-seasonal 6-month total dosing of the 5-grass tablet.

After a previous positive UK meta-analysis on costs,<sup>2657</sup> a more recent one also concluded that the body of evidence suggests that SLIT and SCIT could be considered cost-effective using the National Institute for Health and Clinical Excellence cost-effectiveness threshold of £20,000 per QALY.<sup>2658</sup>

**Additional data not included in systematic reviews.** Investigators showed after a 3-year course of Japanese cedar pollen tablet SLIT, there was a reduction in symptom-medication score of 45.3% one year post-treatment and 34.0% two years post-treatment ( $p < 0.001$ ).<sup>2659</sup> A post-hoc analysis demonstrated symptom and medication reduction with the birch SLIT tablet during the oak pollen season in adults with allergic rhinoconjunctivitis.<sup>2660</sup>

There have been several studies on immunologic changes and biomarkers for AIT. There seems to be a differential induction of allergen-specific antibody responses after grass pollen AIT, with SCIT primarily inducing sIgG4 and SLIT inducing sIgA.<sup>2552</sup>

### Sublingual immunotherapy – general

**Aggregate grade of evidence for SLIT overall:** A (Level 1: 17 studies, level 2: 12 studies, level 4: 1 study; Tables XI.D.6.a.-1 and XI.D.6.a.-2)

Due to heterogeneity of SLIT study reporting, it is difficult to separate out overall versus aqueous SLIT versus tablet SLIT.

**Benefit:** SLIT improves patient symptom scores, even as add-on treatment with rescue medication. SLIT reduces medication use. The effect of SLIT lasts for at least 2 years after a 3-year course of therapy. In AR patients, there is some evidence that SLIT reduces the frequency of onset of asthma and the development of new sensitizations up to 2 years after treatment termination. Benefit is generally higher than with single-drug pharmacotherapy; however, it may be less than with SCIT (low quality evidence).

**Harm:** Minimal harm with very frequent, but mild local adverse events, and very rare systemic adverse events. SLIT seems to be safer than SCIT. See Table II.C.

**Cost:** Intermediate. SLIT becomes cost-effective compared to pharmacotherapy after several years of administration. Total costs seem to be lower than with SCIT.

**Benefits-harm assessment:** Benefit of treatment over placebo is small but tangible and occurs in addition to improvement with medication. There is a lasting effect at least 2 years off treatment. Minimal harm with SLIT, greater risk for SCIT.

**Value judgments:** SLIT improved patient symptoms with low risk for adverse events.

**Policy level:** Strong recommendation for use of SLIT grass pollen tablet, ragweed tablet, HDM tablet, and tree pollen aqueous solution. Recommendation for SLIT for *Alternaria* allergy. Option for SLIT for animal allergy. Recommendation for dual-therapy SLIT in bi-allergic patients.

**Intervention:** Recommend tablet or aqueous SLIT in patients (adults and children) with seasonal and/or perennial AR who wish to reduce their symptoms and medication use, as well as possibly reduce the propensity to develop asthma or new allergen sensitizations.

FDA-approved tablets encompass Timothy grass, short ragweed, a 5-grass combination, and HDM allergens. Administration schedules and age ranges of approved use vary based on the specific tablet prescribed.

Since 2017, numerous SRMAs were identified for SLIT tablets (Table XI.D.6.a.-1). Eight reported both aqueous and tablet SLIT,<sup>2426,2438,2636,2637,2644,2661–2663</sup> six presented aqueous and tablet SLIT separately,<sup>2438,2550,2638,2639,2664,2665</sup> and nine reported on tablet SLIT alone.<sup>1982,1986,1995,2532,2636,2640,2646,2651,2672</sup> All studies reported outcomes for HDM, grass pollen, and/or ragweed pollen. There were no SRMAs for birch or Japanese cedar pollen tablets. Studies focusing only on SLIT tablets demonstrated safety and efficacy for HDM, grass pollen, and ragweed pollen. Improvement in symptom scores, medication scores, and QOL metrics are evident with minimal adverse reactions.

Meltzer et al.<sup>1982</sup> published a meta-analysis evaluating the efficacy of pharmacotherapies and SLIT tablets versus placebo on nasal symptoms in seasonal and perennial AR. Active treatments significantly improved nasal symptoms versus placebo. Trial heterogeneity and publication bias limited comparison of treatment classes. Of note, comparison groups were not equally matched. SLIT is generally used for pharmacotherapy-recalcitrant patients, resulting in a more severe group using SLIT. Additionally, patients often use supplement SLIT with rescue medications, confounding individual comparison of medical treatments.

Analysis of pediatric studies demonstrated that HDM SLIT reduced symptoms and medication scores versus placebo, with a slight increase in adverse reactions.<sup>2636</sup> A similar study of HDM SLIT tablets in adults<sup>2672</sup> showed improvement in symptom scores and QOL compared to placebo. Nelson et al.<sup>2532</sup> published a systematic review of 12 double-blind RCTs for HDM SLIT tablets and concluded that efficacy was established with all 12 studies, with statistically significant symptom score improvement.

SRMAs including SLIT tablet and aqueous preparations also reported favorable outcomes for symptoms scores, medications, and QOL. Findings for aqueous SLIT are discussed in the next section.

Examples of dose-response studies for grass pollen and HDM tablets include those by Didier et al.,<sup>2630</sup> Horak et al.,<sup>2683</sup> Malling et al.,<sup>2684</sup> and Bergmann et al.<sup>2681</sup> Dose-finding studies aim to identify effective therapeutic doses while minimizing adverse effects.

The efficacy findings from 2017 to 2022 SLIT tablet studies are consistent with the findings reported in the first ICAR-Allergic Rhinitis 2018.<sup>1</sup> The majority of the SRMAs show mild-to-moderate efficacy of SLIT tablets over placebo. There is strong evidence that grass pollen

### XI.D.6.b | Sublingual immunotherapy for allergic rhinitis – tablets

SLIT tablets have been studied for HDM, as well as short ragweed, grass, birch, and Japanese cedar pollens. US

SLIT tablets and HDM tablets reduce symptoms of AR in children.

Rare systemic and serious adverse events have been reported with SLIT, but in general, meta-analyses found SLIT to be safer than SCIT. One study found seven of 2259 patients on grass pollen SLIT tablets were given epinephrine for treatment related adverse effects.<sup>2646</sup> Presence of mild asthma did not affect adverse reactions for grass pollen SLIT tablets.<sup>2651</sup> Starting SLIT in-season is generally deemed to be safe; although there were two serious treatment related adverse events with co-seasonal SLIT initiation, none needed epinephrine.<sup>2650</sup>

SLIT tablet options are limited compared to off-label aqueous SLIT extracts. Since HDM is the only tablet approved for patients with non-seasonal AR, data regarding polysensitized patients is important. Kim et al.<sup>2661</sup> reported a meta-analysis of HDM AIT in mono- or polysensitized patients. Nine studies, five SLIT and four SCIT, revealed no differences for nasal symptom score, medication use, and QOL scores between mono- and polysensitized patients.

The use of multiple concurrent SLIT tablets (Timothy grass and short ragweed) has been studied by Maloney et al.<sup>2651</sup> Simultaneous co-administration within 5 min did not result in severe swelling, systemic allergic reactions, asthma attacks, or reactions requiring epinephrine. Gotoh et al.<sup>2685</sup> reported the first study of dual administration of SLIT tablets for perennial and seasonal AR using HDM and Japanese cedar pollen tablets administered alone and as dual therapy. The percentage of subjects with adverse events and reactions was similar between the two groups and between the two periods of monotherapy and dual therapy. There were no serious events and immunologic marker responses were not altered by co-administration of tablets. These studies provide support for the contention that co-administration of tablets does not adversely affect the safety or efficacy of tablet SLIT.

### Sublingual immunotherapy – tablets

**Aggregate grade of evidence:** A (Level 1: 11 studies, level 2: 4 studies; Table XI.D.6.a.-1)

**Benefit:** Improvement of symptoms, rescue medication, and QOL.

**Harm:** Local reaction at oral administration site and low risk of anaphylaxis.

**Cost:** Intermediate. More expensive than standard pharmacotherapy, but persistent benefit may result in cost-saving in the long-term.

**Benefits-harm assessment:** Benefit outweighs harm.

**Value judgments:** Useful for patients with severe or refractory symptoms of AR.

**Policy level:** Strong recommendation.

**Intervention:** SLIT tablets are recommended for patients with severe or refractory AR. Epinephrine auto-injector is recommended in the FDA labeling for approved tablets due to the rare but serious risk of anaphylaxis. Tablets for select antigens are available in various countries.

### XI.D.6.c | Sublingual immunotherapy for allergic rhinitis – aqueous

SLIT can be administered via tablets or aqueous drops. Like sublingual tablets, this offers easy at-home administration with a similar safety profile. While some aqueous extracts are approved for use in Europe, aqueous SLIT products are not FDA approved in the US; many providers currently use subcutaneous allergen extracts off-label for sublingual desensitization.<sup>2686</sup>

Aqueous SLIT has a mild to moderate effect on improving patient symptoms and reducing medication usage.<sup>2438,2634,2638,2665,2666</sup> Although it is difficult to compare studies due to methodologic or extract differences, improvement in symptom/medication outcomes is prevalent across most studies. The FDA has approved SLIT tablets for HDM, grass pollen, and ragweed pollen allergy – these antigens have standardized dosages; however, many allergens cannot be treated with the limited number of available tablets. Additionally, there is currently no head-to-head data comparing aqueous SLIT to tablet SLIT. Some meta-analyses have undertaken subgroup analysis between aqueous SLIT and tablet SLIT and found both to be effective without clear superiority of one over the other.<sup>2438,2639</sup>

Aqueous SLIT seems to be efficacious for adults and children. An earlier meta-analysis noted no significant improvement in symptom score for children treated with SLIT.<sup>2665</sup> However, most of the included studies had a low monthly allergen dose that has been shown to be ineffective in subsequent meta-analyses.<sup>2438,2638,2639,2666</sup> Lack of dosing standardization across multiple studies in different countries using extracts from various manufacturers has led to heterogeneity in aqueous SLIT data<sup>2687</sup> (Table XI.D.6.a.-1).

Leatherman et al.<sup>2686</sup> provided recommendations for effective doses of aqueous SLIT based on micrograms per day administered in RCTs that demonstrated efficacy. Published and recommended dosing ranges for common allergens are shown in Table XI.D.6.c. However, many allergens such as cat, dog, mold/fungi, and cockroach did not have enough data to provide specific recommendations.<sup>2686</sup> There is expert opinion that for allergens without current

**TABLE XI.D.6.c** Recommended SLIT dosing ( $\mu\text{g}/\text{day}$ )<sup>2686</sup>

| Allergen                | Published dosing range ( $\mu\text{g}/\text{day}$ ) | Recommended daily dose range ( $\mu\text{g}/\text{day}$ ) |
|-------------------------|---|---|
| <i>D. pteronyssinus</i> | 0.32–47   | 16 (10–28)  |
| <i>D. farinae</i>       | 0.07–121  | 16 (10–28)  |
| Timothy grass           | 15–30   | 15–30   |
| Bermuda grass           | 5–40  | 18  |
| Ragweed                 | 12–124  | 15–50   |
| Pollen                  | 5–40  | 18  |

effective ranges, daily SLIT dose equal to the monthly SCIT dose may be in the effective dose range; further studies should validate this.<sup>2419</sup>

While single allergen SLIT has been shown to be effective in both monosensitized and polysensitized patients,<sup>2661,2668,2671</sup> there is equivocal evidence on added benefit of multi-allergen immunotherapy in the polyallergic patient. This is pertinent to tablet SLIT as well because of the limited number of antigens available as tablets. Most RCTs demonstrate significant benefit over placebo with multi-allergen SLIT but have not compared monotherapy to polytherapy. One open-label, controlled trial in patients with grass and birch sensitization randomized patients to treatment with grass pollen, birch pollen, grass and birch pollen, or placebo.<sup>2688</sup> Monotherapy with grass or birch showed clinically significant improvement and nasal eosinophil reduction versus baseline, but polytherapy with grass and birch showed improvement over the monotherapy groups. Alternatively, comparing Timothy extract alone or with nine additional pollen extracts against a placebo group demonstrated secondary outcome efficacy (e.g., SPT reactivity, nasal challenge, sIgE) in favor of the mono-Timothy group, though neither treatment group showed symptom/medication improvement over placebo, as the grass pollen season was too mild.<sup>2669</sup> Another study randomized polysensitized patients to single, pauci, or multi-allergen SLIT.<sup>2667</sup> Symptom scores significantly improved in all groups, yet there was no significant efficacy difference shown for single versus pauci- versus multi-allergen SLIT. Of note, this study had only 16 patients total and follow up was 9 months. Further study is needed to determine the role of monotherapy or polytherapy SLIT on specific seasonal symptoms and QOL measures over several seasons.

Safety of aqueous SLIT is comparable to its SCIT and tablet SLIT counterparts. There is no standardized mechanism of reporting safety outcomes across RCTs but reported adverse outcomes have been modest. Local reactions range 0.2%–97%. Life-threatening reactions or anaphylaxis were largely absent from most meta-analyses<sup>2664,2666</sup> except for one meta-analysis of SCIT

and SLIT for grass allergens<sup>2638</sup> which found one case of anaphylaxis in the SLIT group. Notably the SCIT group had 12 cases of anaphylaxis and the placebo group had two cases, suggesting that the risk of anaphylaxis in SLIT is significantly lower than in SCIT.<sup>2638</sup> There were no cases of anaphylaxis or life-threatening events in children<sup>2634</sup> (Table II.C).

### Sublingual immunotherapy – aqueous

**Aggregate grade of evidence:** B (Level 1: 7 studies, level 2: 5 studies, level 4: 1 study; Table XI.D.6.a.-1)

**Benefit:** Aqueous SLIT improves patient symptom scores and decreases rescue medication use. There is some indication of less benefit from aqueous versus tablet SLIT, but the lack of standardized dosing across multiple trials does not allow for adequate comparison.

**Harm:** Common mild to moderate local adverse events. Very rare cases of systemic adverse events. No reported cases of life-threatening reactions. See Table II.C.

**Cost:** Intermediate. More expensive than standard pharmacotherapy, but there are indications of lasting benefit and cost-saving in the long-term.

**Benefits-harm assessment:** Appreciable benefit in patient symptoms and minimal harm.

**Value judgments:** Aqueous SLIT improves patient symptoms and rescue medication usage with minimal risk of serious adverse events but common local mild adverse events. Single allergen therapy has been extensively tested. Multiallergen AIT requires future studies to validate its use.

**Policy level:** Recommendation.

**Intervention:** High-dose aqueous SLIT is recommended for those patients who wish to reduce their symptoms and rescue medication use.

## XI.D.7 | Subcutaneous versus sublingual allergen immunotherapy for allergic rhinitis – comparison table

Table XI.D.6.d.

## XI.D.7 | Epicutaneous/transcutaneous immunotherapy

Epicutaneous or transcutaneous immunotherapy is a non-invasive form of AIT that consists of the application of allergens to the skin without involving injections.



**TABLE XI.D.6.d** Comparison – subcutaneous versus sublingual immunotherapy

|  | Subcutaneous immunotherapy   | Sublingual immunotherapy   |
|--|--|--|
| <b>Efficacy</b>                                | Significant efficacy over placebo <sup>1517,2561,2573,2689</sup>   | Significant efficacy over placebo <sup>1694,2690,2691</sup>  |
|  | Both demonstrate efficacy over placebo for allergic rhinoconjunctivitis and other allergic conditions, but head-to-head data are lacking <sup>2422,2634,2644,2674,2692–2695, a</sup><br>Low grade evidence for SCIT superiority          |  |
| <b>Side effects</b><br>[Table II.C.]           | Redness/swelling at injection site, large local injection site reactions, sneezing, cough, throat swelling, wheezing, chest tightness, nausea, dizziness, anaphylaxis  | Lip/mouth/tongue irritation, mouth swelling, eye swelling/itching/redness, nausea, vomiting, stomach cramps, diarrhea, nasal congestion/itching, sneezing, increased mucus production, wheezing, cough, hives, skin itching, anaphylaxis, eosinophilic esophagitis |
| <b>Safety</b>                                  | Increased risk of systemic reactions compared with SLIT<br>Prescription of epinephrine autoinjector for delayed reactions at physician's discretion <sup>2419</sup>  | Decreased risk of systemic reactions compared with SCIT<br>Epinephrine autoinjector mandated in the US by the FDA for tablet SLIT <sup>2696 b</sup>  |
|  | At office visits, consider peak expiratory flow tests or spirometry in patients with asthma (no treatment or testing if exacerbation) <sup>2419</sup>  |  |
| <b>Cost<sup>c</sup></b>                        | Lower direct cost to patient, but may be comparable or higher in total (e.g., indirect costs) <sup>2640,2697,2698 d</sup><br>Lower initial ICER (e.g., first 6 years) <sup>2657</sup>  | Higher direct cost to patient, but may be comparable or lower in total (e.g., indirect costs) <sup>2640,2697,2698 d</sup><br>Higher initial ICER (e.g., first 6 years) <sup>2657</sup>   |
|  | Cost-effectiveness threshold: £20,000–30,000/QALY by year 6 <sup>2657,2658</sup>   |  |
| <b>Covered by insurance?<sup>2697c</sup></b>   | Yes  | Aqueous: no<br>Tablet: yes   |
| <b>Convenience</b>                             | Less convenient (recurring office visits for injections: weekly during build-up phase, every 2–4 weeks during maintenance phase) <sup>2419</sup>   | More convenient (self-administered daily at home)<br>Preferable for those opposed to injections (e.g., children)   |
| <b>Testing considerations</b>                  | Skin allergy test or in vitro testing to determine sensitization (SPT) and possible titration of starting dose (IDT or MQT/blended techniques)   | Skin allergy test or in vitro testing to determine sensitization only (SPT)  |
|  | Other laboratory tests and repeat skin tests not routinely performed <sup>e</sup>  |  |
| <b>Equipment considerations<sup>2419</sup></b> | May need supplies for IDT or MQT depending on treatment paradigm<br>Needs vial preparation supplies for serial dilutions<br>Need injection supplies  | May be performed with SPT results only<br>Substantially more antigen needed for aqueous SLIT preparations<br>Need antigen delivery device (dropper)<br>For SLIT tablets essentially no administration supplies needed  |
|  | Appropriate equipment and medications for anaphylaxis treatment <sup>f</sup>   |  |
| <b>Length of therapy</b>                       | Longer build up phase with conventional SCIT and cluster protocols   | Shorter build up phase   |
|  | Maintenance: ≥3 years, up to 5 years <sup>2693,2699–2702</sup>   |  |
| <b>Adherence to therapy</b>                    | More easily monitored (in office)<br>Most common reason for discontinuation is inconvenience <sup>2703</sup>   | Less easily monitored (at home)<br>Adherence may be improved with more frequent clinic visits, improving therapy availability, and mitigating concerns about clinical efficacy <sup>2704,2705</sup>  |
|  | Overall adherence rates are similar, but conflicting data depends on how adherence is measured <sup>2703,2706–2708 g</sup><br>Patients should be re-evaluated at least every 6–12 months while receiving immunotherapy <sup>2419 h</sup> |  |

(Continues)



TABLE XI.D.6.d (Continued)

|   | Subcutaneous immunotherapy  | Sublingual immunotherapy   |
|---|---|--|
| <b>Mechanism of action</b>                            | Subcutaneous (systemic) injection<br>IgG, IgG4 antibody induction <sup>2552</sup>   | Sublingual (local) administration <sup>2709</sup><br>IgA1, IgA2 antibody induction <sup>2552</sup> |
|   | Allergen extracts presented to immune system induce allergen desensitization and immunologic tolerance <sup>2693,2699,2700</sup>  |  |
| <b>FDA-approved allergens</b> <sup>2710,2711c,i</sup> | Animal dander (e.g., cat)<br>Insect venom (e.g., honeybee, wasp, hornet, yellow jacket, mixed vespidae)<br>Pollen (e.g., grass, ragweed)<br>House dust mite ( <i>Dermatophagoides pteronyssinus</i> , <i>D. farinae</i> )   | Pollen (grass, ragweed)<br>House dust mite   |
| <b>Indications</b> <sup>2693,2700</sup>               | Verification of IgE-mediated sensitization (e.g., skin or in vitro testing) and bothersome symptoms upon exposure<br>Availability of standardized or high-quality allergen extracts<br>Proof of efficacy of planned allergen immunotherapy for the respective indication and age group<br>Allergen avoidance not possible or inadequate   |  |
| <b>Contraindications</b> <sup>2693,2700</sup>         | See below   | Acute, severe inflammatory disorder of oral cavity<br>Chronic disease of oral mucosa               |
|   | Diseases in which epinephrine is contraindicated (except insect venom allergies)<br>Treatment with $\beta$ -blockers (local or systemic) is a relative contraindication<br>Partially controlled or uncontrolled bronchial asthma<br>Severe autoimmune diseases, immune defects, immunodeficiencies, immune suppression<br>Malignant neoplastic diseases with current disease relevance<br>History of serious systemic reactions to allergen immunotherapy<br>Insufficient adherence to therapy<br>Acute infections (e.g., gastroenteritis)<br>Eosinophilic esophagitis <sup>l</sup><br>Pregnancy <sup>k</sup><br>Preparation-specific contraindications (see product information leaflet) |  |

Abbreviations: FDA, Food and Drug Administration; IDT, intradermal dilutional test; IECR, incremental cost-effectiveness ratio; Ig, immunoglobulin; MQT, modified quantitative test; QALY, quality adjusted life year; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy; SPT, skin prick test; US, United States.

<sup>a</sup>No significant difference in patient outcomes (symptom score, medication score, combined symptom-medication score, quality of life). Some studies demonstrated indirect or low-grade evidence of greater efficacy with SCIT than SLIT,<sup>2638,2641</sup> but the most recent meta-analyses did not demonstrate superiority of one over the other.<sup>2422,2644</sup> Overall there is a lack of RCTs directly comparing the efficacy of SCIT to SLIT.

<sup>b</sup>This is not a requirement for SLIT prescribed in Europe.<sup>2707</sup> Controversy exists regarding whether epinephrine autoinjectors are warranted for patients on SLIT due to factors such as the rarity of systemic allergic reactions,<sup>2712</sup> costs exceeding that of SLIT therapy, and poor compliance with purchasing/carrying autoinjectors.<sup>2696,2713</sup> Patients should be educated specifically regarding when and how to use epinephrine.

<sup>c</sup>May vary by geographic region. Examples provided in the table refer to the US unless otherwise stated.

<sup>d</sup>Indirect costs include travel expenses and loss of productivity. Some studies found that overall SLIT was more cost effective than SCIT.<sup>2640</sup>

<sup>e</sup>Some tests, such as titrated SPT, titrated nasal allergen challenge, and sIgG4 measurement, have been shown to correlate with clinical efficacy or predict future response.<sup>2620,2714,2715</sup>

<sup>f</sup>Required for all office administrations (e.g., all SCIT, first dose SLIT). Example equipment: stethoscope and sphygmomanometer; aqueous epinephrine 1:1000 weight/volume (i.e., the primary treatment for anaphylaxis); tourniquet, syringes, large bore (14 gauge) needles, and intravenous catheters; equipment to administer oxygen by mask; intravenous fluid set-up; antihistamine for injection (second-line treatment); glucocorticoids for intramuscular or intravenous administration (second-line treatment); equipment to maintain an airway appropriate for the supervising clinician's expertise and skill; glucagon kit for patients on  $\beta$ -blockers.

<sup>g</sup>Conflicting studies have shown SCIT to have higher adherence,<sup>2716,2717</sup> SLIT to have higher adherence,<sup>2718,2719</sup> or both to have comparable compliance.<sup>2708,2720</sup>

<sup>h</sup>To assess efficacy and compliance, reinforce safe administration, and determine whether treatment adjustments or discontinuations are warranted.

<sup>i</sup>SCIT allergens listed are standardized (compared to a US reference standard for potency). Other SCIT allergens demonstrated to be effective in placebo-controlled studies include molds (e.g., *Alternaria*, *Cladosporium*), insects (e.g., cockroach, imported fire ant), dog dander, and tree pollen.<sup>2721,2722</sup> May use SCIT extracts off label for SLIT.

<sup>j</sup>Contraindication for SLIT. Limited evidence suggests SCIT should not typically be recommended for patients with eosinophilic esophagitis. However, SCIT may benefit some patients with eosinophilic esophagitis.<sup>2723</sup>

<sup>k</sup>Considered a contraindication for initiating AIT, though it may be continued during pregnancy at stable/maintenance doses. Only in isolated cases may SCIT be initiated during pregnancy.<sup>2419,2700</sup>

Allergen is applied through patches kept on the skin for several hours. The epidermal barrier is usually impermeable to molecules larger than 500 Da.<sup>2724</sup> In order to increase/improve antigen delivery to the immune cells of the epidermis and dermis, different techniques have been used including adhesive tape stripping, abrasion of the skin, and sweat accumulation through patch application.<sup>2469,2725</sup> Newly engineered techniques are being evaluated for the delivery of powder-based AIT into the epidermis with minimal skin reaction, including microneedle arrays and laser-mediated microporation; these have primarily been studied in food allergy (peanut).<sup>2726</sup> To date, four clinical trials of aeroallergen epicutaneous AIT have been published (three of them by the same group of investigators) reporting the efficacy of grass pollen extract coated patches in varying doses, numbers of weekly patches, and duration in contact with the skin<sup>2727</sup> (Table XI.D.7).

The first pilot study of aeroallergen epicutaneous AIT was a monocentric, placebo-controlled, double-blind trial of 37 adults with positive SPT and nasal challenge tests to grass pollen randomized to treatment with allergen or placebo patches.<sup>2728</sup> Symptom scores after NPT scores showed notable reduction in the grass-treated patients, but the difference was not statistically significant. Grass-treated patients had improved subjective symptom scores, both after the pollen seasons of 2006 ( $p = 0.02$ ) and 2007 ( $p = 0.005$ ). Eczema at application sites was significantly higher in the treatment arm; there were no serious adverse events.

A second monocentric double-blind study randomized 15 children to grass epicutaneous AIT versus placebo.<sup>2729</sup> There were no significant differences in skin test wheal size between groups before and after treatment. Both groups had an increase in symptoms, but the treatment group had lower rhinorrhea, nasal obstruction, dyspnea, and ocular tearing. The treatment group had a significant reduction in antihistamine use ( $p = 0.019$ ). There were no systemic or local reactions.

A third monocentric trial randomized 132 adults to placebo, low, medium, or high dose grass extract patches. Significant improvement in rhinoconjunctivitis symptoms was found only in the high dose treated patients one year later ( $p = 0.017$ ).<sup>2730</sup> There were no differences in conjunctival provocation test, SPT, or rescue medication use. Local reactions were more frequent in high dose treated patients and decreased with subsequent applications. Systemic reactions treated with intravenous antihistamines and corticosteroids occurred in 8.3% of patients.

A fourth monocentric double-blind RCT randomized 98 adults to grass patches or placebo.<sup>2731</sup> There was a 48% improvement in seasonal symptom scores in the first year (placebo 10%) but no significant differences in combined

treatment and medication scores. CPT scores improved after the first year in the active treatment group. Allergen-specific IgG4 was significantly increased in the active treatment group only during the first pollen season; sIgE did not show any variation. Local adverse events occurred in 18%; eight systemic reactions led to study exclusion.

A systematic review of the efficacy and safety of epicutaneous AIT for food and pollen allergy; the four clinical trials above on grass allergy were included.<sup>2732</sup> Given the lack of original data on means and standard deviation of symptom scores, a meta-analysis on the efficacy was not possible and the authors concluded that the effectiveness of epicutaneous AIT for grass pollen allergy is unclear. Subgroup analyses concluded that epicutaneous grass pollen AIT significantly increased the risk of local (relative risk [RR] 2.29; 95% 1.05–4.96) and systemic (RR 4.65; 95% CI 1.10–19.64) adverse reactions. It is interesting to note that the cited clinical trials were conducted more than 10 years ago suggesting little progress in this area for AR.

### **Epicutaneous/transcutaneous immunotherapy**

*Aggregate grade of evidence:* B (Level 2: 5 studies; Table XI.D.7)

*Benefit:* Epicutaneous AIT to grass pollen resulted in limited and variable improvement in symptoms, medication use, and allergen provocation tests in patients with AR or conjunctivitis.

*Harm:* Epicutaneous AIT resulted in systemic and local reactions, with a RR of 4.65 and 2.29, respectively. Systemic reactions occurred in up to 14.6% of patients receiving grass transcutaneous AIT.

*Cost:* Unknown.

*Benefits-harm assessment:* There is limited and inconsistent data on benefit of the treatment, while there is a concerning rate of adverse effects. Three out of 4 studies on this topic were published by the same investigators from 2009 to 2015.

*Value judgments:* Epicutaneous AIT could offer a potential alternative to SCIT and SLIT, but further research is needed.

*Policy level:* Recommendation against.

*Intervention:* While epicutaneous AIT may potentially have a future clinical application in the treatment of AR, at this juncture there are limited studies that show variable and limited effectiveness, and a significant rate of adverse reactions. Given the above and the availability of alternative treatments, epicutaneous AIT is not recommended at this time.

**TABLE XI.D.7** Evidence table – epicutaneous/transcutaneous immunotherapy for the treatment of allergic rhinitis

| Study                            | Year | LOE            | Study design | Study groups   | Clinical endpoints                                    | Conclusions   |
|----------------------------------|------|----------------|--------------|--|---|---|
| Xiong et al. <sup>2732</sup>     | 2020 | 2 <sup>a</sup> | SR           | Grass patches, 4 studies<br>Placebo, 4 studies   | Symptom score (3 of 4 studies)<br>Adverse events      | Clinical efficacy unclear<br>Significant increase in risk of systemic (RR 4.65) and local (RR 2.29) adverse reactions   |
| Senti et al. <sup>2731</sup>     | 2015 | 2              | DBRCT        | Adults, 6 weekly patches kept on for 8 h:<br>Grass patches, <i>n</i> = 48<br>Placebo patches, <i>n</i> = 50  | Symptoms<br>CPT                                       | Symptom score improved in treatment arm in year 1, not significantly different from control in year 2<br>CPT improved in treatment group<br>Systemic reactions occurred in seven treatment (14.6%) and one control patients |
| Senti et al. <sup>2730</sup>     | 2012 | 2              | DBRCT        | Adults, 6 weekly patches kept on for 8 h:<br>Placebo patches, <i>n</i> = 33<br>Low dose grass patches, <i>n</i> = 33<br>Medium dose grass patches, <i>n</i> = 33<br>High dose grass patches, <i>n</i> = 33 | Symptoms<br>Medication use<br>SPT<br>CPT              | Symptoms improved only in highest dose group<br>No difference in medication use, SPT, or CPT<br>Local reactions common<br>Systemic reactions occurred in 8.3%   |
| Agostinis et al. <sup>2729</sup> | 2010 | 2              | DBRCT        | Children, 12 weekly patches kept on for 24 h:<br>Grass patches, <i>n</i> = 15<br>Placebo patches, <i>n</i> = 15  | Symptoms<br>Antihistamine use<br>Skin test wheal size | No difference in skin wheal size at study end<br>Treatment group had less symptoms and antihistamine use  |
| Senti et al. <sup>2728</sup>     | 2009 | 2              | DBRCT        | Adults, 12 weekly patches kept on for 48 h, skin stripped six times:<br>Grass patches, <i>n</i> = 21<br>Placebo patches, <i>n</i> = 17   | Symptoms<br>NPT                                       | No significant difference in NPT<br>Subjective symptom score improved<br>More local reactions (eczema) in treatment group   |

Abbreviations: CPT, conjunctival provocation test; DBRCT, double-blind randomized controlled trial; LOE, level of evidence; NPT, nasal provocation test; RR, relative risk; SR, systematic review; SPT, skin prick test.

<sup>a</sup>LOE downgraded due to lack of consistency in study inclusion and heterogeneity of outcome measurements (symptom scores).

## XI.D.8 | Intralymphatic immunotherapy

Notwithstanding the long-term benefits to AR patients by AIT, the recommended treatment duration of 3–5 years is time consuming, expensive, and demands strict adherence from patients.<sup>2528</sup> SCIT requires monthly maintenance injections, and SLIT requires daily oral intake. Intralymphatic immunotherapy (ILIT) was introduced to address these concerns. ILIT involves the application of low dose allergens via ultrasound-guided injection into the lymph

nodes, mainly the inguinal nodes. The treatment protocol of ILIT has a shorter duration, usually comprising three injections over a period of 8 weeks.<sup>2733</sup> The cumulative dose for ILIT is dramatically lower than that used for conventional AIT and there are significantly fewer adverse events.<sup>2734</sup>

Thus far, two systematic reviews are available (Table XI.D.8). The first systematic review included 11 trials and two cohorts in a qualitative and quantitative analyses of 483 participants with the average age of 33 years.<sup>2734</sup> The

**TABLE XI.D.8** Evidence table – intralymphatic immunotherapy for the treatment of allergic rhinitis

| Study                            | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions  |
|----------------------------------|------|-----|--------------|--|---|--|
| Aini et al. <sup>2735</sup>      | 2021 | 1   | SRMA         | ILIT<br>Placebo<br>SCIT  | CSMS<br>Symptoms<br>Medication use<br>Overall improvement score<br>QOL<br>Adverse events            | No difference versus placebo<br>Generally well-tolerated<br>ILIT had fewer adverse events versus SCIT  |
| Hoang et al. <sup>2734</sup>     | 2021 | 1   | SRMA         | ILIT<br>Placebo<br>SCIT  | CSMS<br>Symptoms<br>Medication use<br>VAS<br>QOL<br>Serum IgG4/IgE levels<br>Adverse events         | Short-term improvement in CSMS and VAS in ILIT but no long-term difference<br>Increased IgG4 at short-term but no effect on IgE level in ILIT<br>ILIT had fewer adverse events versus SCIT |
| Skaarup et al. <sup>2744</sup>   | 2021 | 2   | RCT, blinded | Grass pollen induced AR, <i>n</i> = 36:<br>Aluminum hydroxide adsorbed, depot pollen vaccine<br>Placebo                            | CSMS<br>Rescue medication use<br>NPT<br>Serum IgG4/IgE level  | Reduction in CSMS and use of rescue medication<br>No effect on nasal reactivity<br>Increased IgG4/IgE level<br>No effect of booster dose   |
| Konradsen et al. <sup>2743</sup> | 2020 | 2   | RCT, blinded | Birch or Timothy pollen induced AR, <i>n</i> = 14:<br>Aluminum hydroxide adsorbed, depot birch- or grass-pollen vaccine<br>Placebo | Symptoms<br>Medication use<br>NPT<br>Serum IgG4/IgE level   | Reduction in symptom and medication score<br>Reduction in nasal reactivity<br>Increased IgG4 level<br>No effect on IgE level   |
| Terada et al. <sup>2746</sup>    | 2020 | 2   | RCT, open    | Japanese cedar pollinosis, <i>n</i> = 12:<br>Aluminum hydroxide adsorbed, depot pollen vaccine<br>Placebo                          | Symptom-medication score<br>VAS<br>NPT<br>Serum IgG4/IgE level<br>Adverse events                    | Improvement in symptoms<br>Reduction in nasal reactivity<br>No effect on VAS<br>Increased IgG/IgE levels<br>Safe and well-tolerated  |
| Thompson et al. <sup>2745</sup>  | 2020 | 2   | RCT, blinded | Mountain cedar pollinosis, <i>n</i> = 21:<br>Aluminum hydroxide adsorbed, depot pollen vaccine<br>Placebo                          | Total combined score<br>Serum IgE level<br>Adverse events   | Improvement in symptoms<br>No effect on IgE level<br>Safe and well-tolerated   |
| Hellkvist et al. <sup>2742</sup> | 2018 | 2   | RCT, blinded | Birch and grass pollen induced AR, <i>n</i> = 60:<br>Aluminum hydroxide adsorbed, birch- or grass-pollen vaccine<br>Placebo        | Total nasal symptom score<br>NPT<br>Serum IgG4/IgE level<br>Rescue medication use<br>Adverse events | Improvement in symptoms<br>Reduction in nasal reactivity<br>Increased IgG4 level<br>Transient increase in IgE level<br>Safe to inject two different allergens concurrently                 |

(Continues)

TABLE XI.D.8 (Continued)

| Study                            | Year | LOE | Study design                 | Study groups   | Clinical endpoints   | Conclusions   |
|----------------------------------|------|-----|------------------------------|--|--|---|
| Hylander et al. <sup>2741</sup>  | 2016 | 2   | RCT, blinded                 | Birch or grass pollen induced AR, <i>n</i> = 36:<br>Aluminum hydroxide adsorbed, depot birch- or grass-pollen vaccine<br>Placebo   | Seasonal allergic symptoms by VAS<br>Safety of injections<br>Nasal symptom score<br>NPT<br>Serum IgE and IgG4 level<br>Rescue medication use | ILIT is effective and safe<br>Marked reduction of seasonal allergic symptoms  |
| Patterson et al. <sup>2740</sup> | 2016 | 2   | RCT, blinded                 | Adolescents, grass pollen induced AR, <i>n</i> = 15:<br>Aluminum hydroxide-adsorbed grass pollen extract<br>Placebo  | Patient diary score of allergy and asthma symptoms and medication use<br>Local and systemic symptoms score after injections                  | ILIT is effective and safe, with notably low adverse reactions  |
| Hylander et al. <sup>2736</sup>  | 2013 | 2   | Pilot study and RCT, blinded | Birch pollen/grass pollen induced AR, pilot <i>n</i> = 6, RCT <i>n</i> = 15:<br>Three intralymphatic inguinal injections of 1000 SQU birch pollen or grass pollen<br>Placebo   | Seasonal allergic symptoms by VAS<br>SPT<br>Validated rhinitis QOL questionnaire   | ILIT is effective and safe  |
| Witten et al. <sup>2739</sup>    | 2013 | 2   | RCT, blinded                 | Grass pollen induced AR, <i>n</i> = 45:<br>Six injections of 1000 SQU of depot grass pollen extract at a minimal interval of 14 days<br>Three injections of 1000 SQU followed by three injections of placebo<br>Six injections of placebo                                      | CSMS<br>Global seasonal assessment<br>RQLQ   | ILIT produced immunological changes but no improvement in symptoms  |
| Senti et al. <sup>2738</sup>     | 2012 | 2   | RCT, blinded                 | Cat dander induced AR, <i>n</i> = 20:<br>MAT-Fel d 1<br>Placebo (saline in alum)   | Immunological parameters<br>Systemic adverse events<br>NPT<br>SPT<br>Validated rhinitis QOL questionnaire                                    | ILIT with MAT-Fel d 1 (recombinant major cat dander allergen fused to a modular antigen transporter) was safe and induced allergen tolerance after three injections |
| Senti et al. <sup>2737</sup>     | 2008 | 2   | RCT, open                    | Grass pollen induced AR, <i>n</i> = 165:<br>Three 0.1-ml injections with 1000 SQU of aluminum hydroxide-adsorbed grass pollen extract injected into lymph node at day 0 and after 4 and 8 weeks<br>54 subcutaneous injections over 3 years (cumulative dose of 4,031,540 SQU). | Seasonal allergic symptoms by VAS<br>Adverse events<br>Safety of injections<br>Rescue medication use<br>SPT<br>Grass-specific IgE levels     | ILIT enhanced safety and efficacy of immunotherapy and reduced treatment time from 3 years to 8 weeks   |

(Continues)



TABLE XI.D.8 (Continued)

| Study                         | Year | LOE | Study design                        | Study groups  | Clinical endpoints  | Conclusions  |
|-------------------------------|------|-----|-------------------------------------|---|---|--|
| Wang et al. <sup>2747</sup>   | 2019 | 4   | Pilot study, open, no control group | House dust mite induced AR, <i>n</i> = 81:<br>Aluminum hydroxide adsorbed, depot birch- or grass-pollen vaccine   | Symptom score<br>QOL score<br>Rescue medication use<br>Adverse events                     | Improvement in symptoms and QOL score<br>Decreased rescue medication use<br>Safe and well-tolerated  |
| Lee et al. <sup>2748</sup>    | 2017 | 4   | Pilot study, open, no control group | House dust mite, cat, and dog induced AR, <i>n</i> = 11:<br>Aluminum hydroxide adsorbed, <i>D. farinae</i> , <i>D. pteronyssinus</i> , cat, dog vaccine | SNOT-20<br>RQLQ<br>Rescue medication use<br>NPT<br>Serum IgG4/IgE level<br>Adverse events | Improvement in SNOT-20 and RQLQ<br>Decreased rescue medication use<br>Reduction in nasal reactivity<br>Increased IgG4/IgE to house dust mite<br>No effect on IgG4/IgE to cat and dog |
| Schmid et al. <sup>2749</sup> | 2016 | 4   | Pilot study, open, no control group | Grass pollen induced AR, <i>n</i> = 7:<br>Three injections of 1000 SQU of allergen, dose interval 23–36 days  | CSMS<br>RQLQ<br>Number of IgE+ and IgE- plasmablasts specific for grass                   | ILIT may induce allergen specific plasmablasts<br>Confirms an effect on provocation of mast cells in skin and nasal mucosa during the ensuing winter                                 |

Abbreviations: AR, allergic rhinitis; CSMS, combined symptom-medication score; IgE, immunoglobulin E; IgG4, immunoglobulin G4; ILIT, intralymphatic immunotherapy; LOE, level of evidence; NPT, nasal provocation test; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SCIT, subcutaneous immunotherapy; SNOT-20, Sinonasal Outcome Test; SPT, skin prick test; SQU, standardized quality units; SRMA-systematic review and meta-analysis; VAS, visual analog scale.

second systematic review involved quantitative analysis of 11 trials with 452 participants aged 15 years and above.<sup>2735</sup> The outcomes assessed in both reviews include the combined symptom-medication score, symptom score, VAS, medication score, overall improvement score, medication reduction, QOL, sIgE level, sIgG level, and adverse events. The overall level of evidence of the included trials ranged from very low to moderate.

ILIT was administered by injecting aluminum hydroxide-adsorbed antigen vaccine into inguinal lymph nodes for all patients under ultrasound guidance.<sup>2736–2746</sup> In one pilot study, the cervical lymph nodes were used as the injected site.<sup>2747</sup> Single allergen was evaluated in seven trials,<sup>2737–2740,2744–2746</sup> two different allergens assessed simultaneously in four trials,<sup>2736,2741–2743</sup> and one trial assessed two different allergens individually.<sup>2742</sup> Grass pollen extract was injected in eight trials,<sup>2736,2737,2739–2744</sup> cedar pollen extract in two trials,<sup>2745,2746</sup> birch pollen extract in four trials,<sup>2736,2741–2743</sup> and cat dander allergen extract (MAT-Fel d 1) in one trial.<sup>2738</sup> Placebo injections were used in all but two trials<sup>2736,2737</sup> which used SCIT as control groups.

All trials performed three injections at 4-week intervals except for one trial which used a 2-week interval. Short-term relief of the combined symptoms and medi-

cation score was achieved in the 4-week but not for the 2-week interval.<sup>2734</sup> Increased sIgG4 levels have been associated with the effectiveness of AIT.<sup>1327</sup> While a short-term increase of sIgG4 level has been documented following ILIT, there has not been any medium-term or long-term effects.<sup>2734</sup> The reduction of sIgE in the short, medium, and long-term is frequently reported with SCIT; however, this has been notably absent with ILIT.<sup>2734,2737</sup>

ILIT was shown to confer short-term relief of AR symptoms in one review.<sup>2734</sup> Despite being safe and well tolerated, both meta-analyses determined that the efficacy of ILIT for long-term relief of AR symptoms was inconclusive.<sup>2734,2735</sup> The safety of ILIT and reported adverse events were investigated in all eleven trials. While more local reactions were noted from ILIT compared to placebo, systemic adverse events were similar in both the ILIT and placebo groups.<sup>2734</sup> The major advantage in favor of ILIT compared to SCIT is fewer adverse effects of local and systemic reactions<sup>2737</sup> compared to SCIT. At present, there is no trial comparing ILIT versus SLIT with regard to adverse effects. Overall, two anaphylactic events have been reported for ILIT but no deaths.<sup>2748</sup> The anaphylaxis following ILIT transpired following the first injection in one patient and following the second injection in another patient, both patients receiving non-standardized aqueous

allergen extract compared to aluminum-based extract used in most trials.

ILIT trials varied as to the dose of allergen administered and the interval between injections. Increased efficacy was associated with a 4-week (vs. 2-week) interval, and future trials should use and establish a standard treatment regimen. Another shortcoming is a lack of standardization of clinical endpoints. The use of standardized assessment such as combined symptoms-medication score could better reflect the actual potential of ILIT. The high heterogeneity among the trials could be due, in part, to the use of different allergens. The immunogenicity effect may differ between allergens when administered as a single or multiple allergens. One trial used both grass and birch allergen to treat polysensitized patients and found elevated sIgE and sIgG4 levels for grass pollen but not for birch pollen.<sup>2742</sup> ILIT could be beneficial as an alternative to other forms of AIT due to its shorter treatment period, reduced number of injections, and fewer adverse events; however, the long-term efficacy has to be supported by more studies prior to its incorporation into clinical practice.

### **Intralymphatic immunotherapy**

Aggregate grade of evidence: A (Level 1: 2 studies, level 2: 11 studies, level 4: 3 studies; Table XI.D.8)

Benefit: Shorter treatment period, decreased number of injections, smaller amount of allergen, lower risk of adverse events versus SCIT.

Harm: Local reaction at injection site and risk of anaphylaxis.

Cost: Cost savings due to shorter treatment duration and fewer injections. Additional cost for training required.

Benefits-harm assessment: Benefit outweighs harm.

Value judgments: Apparent short-term favorable effect, but long-term effect is lacking.

Policy level: Option.

Intervention: More studies are essential to establish the long-term effects of ILIT.

## **XI.D.9 | Other forms of immunotherapy – oral, nasal, inhaled**

Oral, nasal, and inhaled (intra-bronchial) routes of AIT administration have been investigated for AR to bypass

some challenges of SCIT, including resource utilization and discomfort. Today, SCIT remains commonly used while these alternative techniques have been largely supplanted by SLIT and are relegated to primarily historical significance.<sup>2419</sup>

Oral, nasal, and inhaled AIT involve the topical absorption of allergen extracts via the oral cavity/gastrointestinal tract, nasal cavity, or bronchial mucosa, respectively. RCTs have evaluated oral/gastrointestinal AIT for the treatment of birch,<sup>2750</sup> cat,<sup>2751</sup> and ragweed<sup>2752</sup> allergy without a significant decline in nasal symptoms, improvement in provocation testing, or reduction in medication utilization. Moreover, oral/gastrointestinal allergen administration requires extract concentrations approaching 200-times greater than SCIT, and is associated with adverse gastrointestinal side effects.<sup>2419,2751</sup> In contrast to AR, the efficacy of oral/gastrointestinal immunotherapy has been demonstrated for the treatment of food hypersensitivity<sup>2753</sup> (Table XI.D.9).

Oral mucosal immunotherapy (OMIT) is an alternative form of AIT distinct from both SLIT and oral/gastrointestinal administration. OMIT utilizes a glycerin-based toothpaste vehicle to introduce antigen to high-density antigen processing oral Langerhans cells in the oral vestibular and buccal mucosa.<sup>2754</sup> Theoretical benefits include induction of immune tolerance using lower antigen concentrations, decreased local side effects, and higher adherence versus SLIT.<sup>2755</sup> Currently, OMIT has been investigated in a single pilot study versus SLIT with findings of clinically significant improvements in disease specific QOL measures and a significant rise in specific IgG4 over the first 6 months of treatment.<sup>2756</sup> No adverse events were reported, and there were no significant differences between outcome measures for both treatment arms.<sup>2756</sup> Further study is needed to define the role of OMIT in the treatment of AR.

Local nasal AIT has been established as an effective and well-tolerated approach for the treatment of pollen and HDM hypersensitivity in adults.<sup>2757,2758</sup> However, high rates of local adverse reactions have been identified in pediatric patients and may limit patient compliance, with one study finding that 43.9% of children abandoned this treatment option within the first year of therapy.<sup>2716</sup> No high quality studies of inhaled/intra-bronchial AIT exist for the treatment of AR, with current studies limited to the treatment of allergic asthma.<sup>2759</sup>

Current evidence suggests limited utility of oral/gastrointestinal, nasal, and inhaled AIT in the treatment of AR due to limited efficacy, increased adverse events, and poor treatment compliance. However, OMIT

**TABLE XI.D.9** Evidence table – oral, nasal, and inhaled immunotherapy for allergic rhinitis

| Study                              | Year | LOE            | Study design | Study groups   | Clinical endpoints   | Conclusions  |
|------------------------------------|------|----------------|--------------|--|--|--|
| Van Deusen et al. <sup>2752</sup>  | 1997 | 2              | RCT          | Ragweed induced AR:<br>Oral AIT<br>Placebo   | Symptoms<br>Medication use<br>NPT<br>sIgE<br>sIgG<br>sIgG4   | Oral AIT demonstrated serologic response to therapy<br><br>No significant differences in symptom or medication scores versus placebo   |
| Oppenheimer et al. <sup>2751</sup> | 1994 | 2              | RCT          | Patients with cat allergy:<br>Oral AIT<br>Placebo                                  | Symptoms<br>SPT<br>sIgE<br>sIgG  | Oral AIT is not effective for cat allergy<br><br>No significant differences in outcome measures versus placebo   |
| Taudorf et al. <sup>2750</sup>     | 1987 | 2              | RCT          | Birch pollen induced AR:<br>Oral AIT<br>Placebo                                    | Symptoms<br>Medication use<br>SPT<br>NPT<br>CPT  | Oral AIT for birch pollen allergy demonstrated significant improvement in SPT, CPT and eye symptoms; non-significant improvement in NPT and nasal symptoms   |
| Reisacher et al. <sup>2756</sup>   | 2016 | 3              | Cohort       | AR patients:<br>OMIT<br>SLIT   | Symptoms<br>Medication use<br>QOL<br>SPT<br>Total IgE<br>sIgE<br>sIgG4   | OMIT and SLIT produced similar changes in symptom, medication, and QOL scores<br><br>Similar improvements in SPT and serologic response  |
| Passalacqua et al. <sup>2757</sup> | 1995 | 3 <sup>a</sup> | RCT          | Parietaria induced allergy:<br>Local nasal AIT<br>Placebo                          | Symptoms<br>Inflammatory cell infiltration on nasal scrapings following NPT<br><br>sIgE<br>sIgG<br>Soluble ICAM-1<br>Soluble ECP | Local nasal AIT reduced eosinophilic and neutrophilic mucosal infiltration following NPT<br><br>Soluble ICAM-1 levels significantly reduced versus placebo<br><br>Symptom scores were significantly reduced with local nasal AIT |
| Andri et al. <sup>2758</sup>       | 1993 | 3 <sup>a</sup> | RCT          | Dermatophagoides induced allergy:<br>Local nasal AIT (powdered antigen)<br>Placebo | Symptoms<br>Medication use<br>SPT<br>NPT<br>sIgE   | Local nasal AIT significantly reduced total symptom scores, nasal symptom scores, and medication scores after 26 weeks of therapy<br><br>No significant differences identified in SPT or sIgE                                    |

Abbreviations: AIT, allergen-specific immunotherapy; AR, allergic rhinitis; CPT, conjunctival provocation test; ECP, eosinophil cationic protein; IgE, immunoglobulin E; ICAM, intercellular adhesion molecule; LOE, level of evidence; NPT, nasal provocation test; OMIT, oral mucosal immunotherapy; QOL, quality of life; RCT, randomized controlled trial; sIgG, specific immunoglobulin G; SPT, skin prick test; sIgE, specific immunoglobulin E; SLIT, sublingual immunotherapy.

<sup>a</sup>LOE downgraded due to small sample size

represents a possible alternative to SCIT/SLIT warranting further study.

### Other forms of immunotherapy – oral, nasal, inhaled

**Aggregate grade of evidence:** B (Level 2: 3 studies, level 3: 3 studies; Table XI.D.9)

**Benefit:** OMIT and local nasal AIT represent alternative AIT administration methods for individuals who are unable to comply with SCIT or SLIT treatment regimens. Oral AIT has not consistently shown benefit for the treatment of AR. Inhaled AIT has not demonstrated benefit for the treatment of AR.

**Harm:** OMIT may be associated with increased cost to patients due to non-standard preparation methods. Oral AIT is associated with increased risk of gastrointestinal side effects and treatment noncompliance and has not consistently demonstrated benefit for AR symptoms. Inhaled AIT has not shown benefit for AR.

**Cost:** Moderate.

**Benefits-harm assessment:** OMIT equivocal to SLIT; possible benefit for local nasal AIT with low risk for harm; balance of harm over benefit for oral AIT and inhaled AIT.

**Value judgments:** While a single study has demonstrated OMIT to be non-inferior to SLIT in objective and subjective patient outcomes, further study of OMIT is needed to substantiate these results prior to widespread clinical use. Local nasal AIT may have utility for the treatment of AR not associated with additional atopic symptoms; however, further study is needed to demonstrate clinical efficacy. Oral AIT and inhaled IT do not appear to be beneficial for the treatment of AR.

**Policy level:** Option for OMIT as an alternative to SCIT or SLIT, pending additional studies. Local nasal AIT has not shown benefit as alternative to SCIT or SLIT at present, further study may find benefit for patients with AR without additional atopic symptoms. Recommend against oral AIT. Recommend against inhaled AIT.

**Intervention:** OMIT may be presented as an option for the administration of AIT in patients unable to tolerate SCIT or SLIT; further study is encouraged. Local nasal AIT has not yet shown clinical efficacy for the treatment of AR relative to con-

ventional forms of immunotherapy; further study may yet find benefit. Oral AIT and inhaled AIT do not appear to be effective for the treatment of AR.

### XI.D.10 | Combination therapy – monoclonal antibody (biologic) therapy and subcutaneous immunotherapy

There are currently six biologics/monoclonal antibodies approved by the US FDA for the treatment of asthma and allergic diseases: omalizumab (anti-IgE), mepolizumab (anti-IL5), reslizumab (anti-IL5), benralizumab (anti-IL5R $\alpha$ ), dupilumab (anti-IL4R $\alpha$ ), and tezepelumab (anti-TSLP). Omalizumab, mepolizumab, and dupilumab are also approved for the treatment of CRSwNP, and benralizumab is pending approval for this indication.<sup>2760</sup>

None of the six biologics are approved as an adjunctive therapy to AIT. However, there have been several studies examining the concomitant use of AIT with omalizumab. The only other biologic to be studied in this manner is dupilumab, and only in a single study. In a Phase 2a, multicenter, double-blind, placebo-controlled, parallel-group study conducted in 103 adults with grass pollen-induced seasonal AR, patients were randomized 1:1:1 to SCIT, dupilumab (300 mg every 2 weeks), SCIT plus dupilumab, or placebo. SCIT was administered using an 8-week cluster protocol (escalating doses of 1–3 SCIT injections weekly to approximately 20  $\mu$ g Phl p 5) followed by 8 weeks of maintenance injections. The investigators found that 16 weeks of SCIT plus dupilumab may improve SCIT tolerability but did not incrementally reduce post-allergen challenge nasal symptoms compared with SCIT alone<sup>2086</sup> (Table XI.D.10).

The remainder of this section will focus on the efficacy and safety of the combination of omalizumab plus AIT. Prior to many of the studies examining the combination, omalizumab as a standalone therapy was shown to be effective for the treatment of seasonal and perennial AR.<sup>2076,2077</sup>

The first clinical trial that investigated the effects of omalizumab plus AIT was conducted by Kuehr et al.<sup>2088</sup> In this double-blind placebo-controlled multisite RCT, 221 patients aged 6–17 years with moderate to severe AR and sensitization to birch and grass pollen were randomized to one of four different treatments: SCIT (either grass or birch pollen), starting at least 14 weeks before the local birch pollen season and after the 12-week SCIT titration phase, and either omalizumab or placebo therapy was added. This combination therapy with SCIT and

**TABLE XI.D.10** Evidence table – combination monoclonal antibody (biologic) therapy and subcutaneous immunotherapy for allergic rhinitis

| Study                            | Year         | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions  |
|----------------------------------|--------------|-----|--------------|--|---|--|
| Corren et al. <sup>2086</sup>    | 2021         | 2   | RCT          | Adults, grass pollen induced AR:<br>SCIT<br>Dupilumab (300 mg every 2 weeks)<br>SCIT + dupilumab<br>Placebo  | Change from pre-treatment baseline in AUC<br>TNSS 0–1 h following nasal allergen challenge with Timothy grass extract | Dupilumab may improve SCIT tolerability but did not reduce post-allergen challenge nasal symptoms versus SCIT alone  |
| Massanari et al. <sup>2767</sup> | 2010         | 2   | RCT          | Adults, poorly controlled moderate persistent allergic asthma undergoing cluster SCIT:<br>Omalizumab pretreatment<br>Placebo   | Incidence of systemic allergic reactions  | Omalizumab pretreatment associated with a lower incidence of systemic reactions and higher likelihood of reaching maintenance SCIT dose  |
| Kopp et al. <sup>2765,2766</sup> | 2013<br>2009 | 2   | RCT          | Adults and adolescents, grass pollen induced AR/asthma undergoing depigmented grass SCIT:<br>Omalizumab<br>Placebo   | Sum of daily scores for symptom severity and rescue medication use (symptom load)                                     | Combination therapy of omalizumab-SCIT reduced daily symptom load, improved control of rhinoconjunctivitis and asthma, improved QOL  |
| Casale et al. <sup>2087</sup>    | 2006         | 2   | RCT          | Adults, ragweed induced AR:<br>Omalizumab pretreatment + rush SCIT<br>Omalizumab pretreatment + placebo SCIT<br>Placebo omalizumab + rush SCIT<br>Placebo omalizumab + placebo SCIT  | Daily symptom severity<br>Incidence of adverse events   | Pretreatment with omalizumab resulted in five-fold decreased risk of rush SCIT associated anaphylaxis<br>Combination therapy associated with reduction in symptom severity versus SCIT alone |
| Kuehr et al. <sup>2088</sup>     | 2002         | 2   | RCT          | Children and adolescents, seasonal AR:<br>SCIT-birch followed by omalizumab<br>SCIT-birch followed by placebo<br>SCIT-grass followed by omalizumab<br>SCIT-grass followed by placebo | Daily symptom severity<br>Rescue medication use   | Combination therapy is clinically superior to either component monotherapy, with reduced symptom severity and rescue medication scores   |

Abbreviations: AR, allergic rhinitis; AUC, area under the curve; LOE, level of evidence; QOL, quality of life; RCT, randomized controlled trial; SCIT, subcutaneous immunotherapy; TNSS, Total Nasal Symptom Score.



omalizumab or placebo lasted 24 weeks. Combination therapy with omalizumab reduced symptom load over the two pollen seasons (birch and grass) by 48% over SCIT alone ( $p < 0.001$ ). Combination therapy also reduced the need for rescue medication, days with allergy symptoms, and symptom severity compared with SCIT alone ( $p < 0.001$ ). A safety analyses of these data indicated that redness and swelling at the SCIT injection sites appeared significantly more often in the placebo group versus the omalizumab group ( $p < 0.05$ ) suggesting a positive effect of omalizumab on local reactions induced by SCIT.<sup>2761</sup> Subgroup analysis of grass allergic patients confirmed the primary study results.<sup>2762</sup>

Because omalizumab reduces free IgE resulting in a decrease in the high affinity IgE receptor, FcεR1, pretreatment with omalizumab should allow for safer and more effective AIT.<sup>2763,2764</sup> Casale et al.<sup>2087</sup> conducted a three-center, double-blind placebo-controlled RCT in patients with ragweed-induced seasonal AR to examine whether omalizumab given 9 weeks before rush SCIT (1-day rush, maximal dose 1.2–4.0 μg Amb a 1), followed by 12 weeks of dual omalizumab and SCIT, is safer and more effective than AIT alone. Patients receiving both omalizumab and SCIT showed a significant improvement in severity scores during the ragweed season compared with those receiving SCIT alone (0.69 vs. 0.86;  $p = 0.044$ ). Omalizumab pretreatment resulted in fewer adverse events during rush SCIT, and a post hoc analysis found a five-fold decrease in risk of anaphylaxis caused by ragweed SCIT (SCIT alone 25.6% vs. SCIT with omalizumab 5.6%;  $p = 0.03$ ). The combination also resulted in prolonged inhibition of allergen-IgE binding compared with either treatment alone, events that might contribute to enhanced efficacy.<sup>2607</sup>

Kopp et al. performed a double-blind, placebo-controlled, multicenter RCT of omalizumab versus placebo in combination with depigmented SCIT during the grass pollen season in patients with seasonal AR and co-morbid seasonal allergic asthma. Omalizumab or placebo was started 2 weeks before SCIT, and the entire treatment lasted 18 weeks. Combination therapy reduced daily symptom load by 39% ( $p < 0.05$ ), improved control of rhinoconjunctivitis and asthma, and improved QOL, but no significant improvements in SCIT safety were observed.<sup>2765,2766</sup>

Massanari et al.<sup>2767</sup> conducted a study to evaluate the efficacy of omalizumab in improving the safety and tolerability of SCIT given to a high-risk population of adults with persistent asthma uncontrolled on inhaled corticosteroids. This multicenter, double-blind, parallel-group study randomized patients to treatment with omalizumab or placebo for 8 weeks, after which they received SCIT to at least one of three perennial aeroallergens (cat, dog,

HDM) according to a 4-week, 18-injection cluster regimen, followed by 7 weeks of maintenance therapy. Use of omalizumab was associated with 50% fewer systemic allergic reactions to AIT and enabled more patients to achieve the target immunotherapy maintenance dose.

### Combination biologic therapy and subcutaneous immunotherapy

**Aggregate grade of evidence:** B (Level 2: 5 studies; Table XI.D.10)

**Benefit:** Improved safety of accelerated cluster and rush SCIT protocols, with decreased symptom and rescue medication scores among a carefully selected population.

**Harm:** Financial cost and low risk of anaphylactic reactions to omalizumab.

**Cost:** Moderate to high.

**Benefits-harm assessment:** Preponderance of benefit over harm.

**Value judgments:** Combination therapy increases the safety of SCIT, with decreased systemic reactions following cluster and rush protocols. Associated treatment costs must be considered. While two high-quality RCTs have demonstrated improved symptom control with combination therapy over SCIT or anti-IgE alone, not all patients will require this approach. Rather, an individualized approach to patient management must be considered, with evaluation of alternative causes for persistent symptoms, such as unidentified allergen sensitivity. Also, the studies did not compare optimal medical treatment of AR (INCS, antihistamine, allergen avoidance measures) to combination therapy versus SCIT alone. The current evidence does not support the utilization of combination therapy for all patients failing to benefit from SCIT alone.

**Policy level:** Option

**Intervention:** Current evidence supports that anti-IgE may be beneficial as a premedication prior to induction of cluster or rush SCIT protocols, and combination therapy may be advantageous as an option for carefully selected patients with persistent symptomatic AR following AIT. However, at the time of this writing, biologic therapies are not approved by the US FDA for AR alone. An individualized approach to patient management must be considered.

## XI.D.11 | Efficacy considerations for immunotherapy

### XI.D.11.a | *Extract factors*

#### XI.D.11.a.i | *Allergen standardization and heterogeneity.*

Although the efficacy of AIT is well-established, one factor that limits its widespread application is the heterogeneity of natural allergen extracts. Maintenance of product-specific standardization (or batch-to-batch consistency) and cross-product standardization (or consistency among products from different manufacturers) both pose unique challenges. This is due, in large part, to the natural origin of allergen product from biologic sources.<sup>2460,2461</sup>

Traditionally, the active ingredients of AIT extracts have been mixtures of crude proteins and allergens extracted from biological sources, such as pollens, animal dander, or HDM. In fact, prior to the 1970s it was common practice for allergists to manufacture their own extracts using allergen materials provided by regional suppliers.<sup>2499</sup> Understandably, this resulted in a high degree of variability among allergen extracts.

Even now with extraction methods subject to regulatory standards, allergen extracts remain heterogeneous. Today, allergens are still manufactured by extracting mixtures of allergen and other proteins from biological sources. Impurities in source materials may exist, and there is biologic variability in the raw material. While there is inherent variance in the product related to the sourcing and collection of allergenic materials, the extraction process has become more standardized across the industry.<sup>2768</sup> Extraction typically occurs using Coca solution (physiologic saline, bicarbonate buffer, and phenol) with or without glycerin. All allergen extracts must be sterilized and must contain bacteriostatic and fungistatic preservative. In the US, manufacturers typically use phenol at 0.2%–0.5% with or without 50% glycerin. These extracts may then be used unmodified, as is the case with most US extracts, or they may be treated with aldehydes and then processed with or without an adjuvant, such as aluminum hydroxide, as is the case with a majority of European SCIT extracts.<sup>2460,2499</sup>

In the US, the CBER is responsible for the regulation of allergenic extracts. Two important features of CBER's regulatory program have focused on the establishment of safe, consistent allergen manufacturing processes, as well as allergen standardization. The primary purpose of allergen standardization is to characterize the biologic potency of allergen extracts in a consistent manner. CBER mandates which test defines potency and the units by which potency is assigned. For example, one allergen may have potency determined by ELISA, while another may be determined by IDT (ID<sub>50</sub>EAL). These standardization practices then result in potency measurements in either BAU or AU. This

aids in decreasing variability among lots as well as across manufacturers. In the US, 19 allergen extracts are currently standardized. These include HDM, cat pelt and cat hair, grasses, ragweed, and venoms. A majority of allergens in the US remain non-standardized and carry labeled units (PNU or weight/volume) that do not correlate with biologic activity or potency.<sup>2461</sup> One caveat to CBER's standardization effort is the fact that potency units are typically assigned based on only one or two major allergen proteins, such as Fel d 1 for cat or Amb a 1 for ragweed. Even with strides made toward standardization, limitations persist and CBER continues to investigate novel approaches toward determining extract potency.

Further complicating efforts to minimize antigen heterogeneity and facilitate intercontinental evidence-based recommendations, US standardization efforts are difficult to compare with European and other global standardization practices. In fact, standardization in Europe is largely based on in-house references, and different units based on biological activity are utilized.<sup>2499</sup> Since no international consensus is established for the standardization of extracts, comparison of different products is difficult, and this variability interferes with intelligent interpretation of published studies across the continents. The CRE-ATE project aimed to support the introduction of major allergen-based standardization using recombinant or purified natural allergens as reference materials, as well as to validate existing ELISA tests for the measurement of major allergens.<sup>2467</sup>

One additional evolving challenge is the practice (more widespread in Europe) of modifying aeroallergen extracts via formulation with adjuvants or allergoids, as well as the use of recombinant allergens. While these novel approaches to allergen preparation may ultimately lead to improved safety and efficacy of AIT, there is currently no sufficient evidence to show clear advantage over the use of crude allergen extract in a majority of cases.<sup>2469</sup> These modifications further contribute to questions regarding the impact on efficacy of AIT, as well as allergen standardization and heterogeneity. (See Section XI.D.4. Allergen Extracts for additional information on this topic.)

#### XI.D.11.a.ii | *Multi-allergen immunotherapy.*

The approach to treatment of polysensitized patients has been the subject of international debate. In the US, it is common practice for allergists to first characterize a sensitization profile, and subsequently provide multi-allergen immunotherapy, whereby several allergen extracts are administered simultaneously throughout the treatment course. Conversely, a common practice in Europe entails identification of the most clinically problematic allergen followed by single-allergen administration.<sup>2419,2769</sup> If a

single allergen cannot be identified as the predominant culprit for allergic symptoms, additional extracts may be given so long as they are administered at separate sites with at least 30-min intervals.<sup>2770,2771</sup> The Allermix survey conducted across 16 countries in 2016 revealed that 98% of providers reported management of polyallergic patients. Approximately 58% of these providers used single-allergen immunotherapy while the remaining 42% used multi-allergen immunotherapy.<sup>2772</sup>

Given that polysensitized patients are not necessarily polyallergic, the overuse and efficacy of multi-allergen immunotherapy has been questioned. Skin testing or sIgE blood tests may be positive but may not correlate with clinical symptoms or disease. Furthermore, positive testing may reflect cross-reactivity with proteins within other allergens that are not associated with symptoms. CRD may play an important role in clarifying the primary sensitizations but is not widely available.<sup>1409</sup> The multi-allergen approach is scientifically supported by four double-blind placebo-controlled RCTs from the 1960s to 1980s (two studies with AR). These trials demonstrated significant improvement in patients who received mixtures of multiple, unrelated allergen extracts, but these studies were done prior to better standardization of extracts.<sup>2773–2776</sup> More recent studies based in Spain have also supported multi-allergen immunotherapy.<sup>2777,2778</sup> A systematic review in 2009 evaluated 13 multi-allergen immunotherapy studies (11 SCIT, one SLIT, and one both) and corroborated that co-administration of two extracts is in fact clinically effective.<sup>2779</sup> Nevertheless, the results were less clear when more than two extracts were administered contemporaneously, a practice often used by US allergists. In fact, a survey comprising 670 patients across six US and Canadian practices reported a mean of 18 extracts in their mixtures.<sup>34,2780</sup>

Although few prior studies have directly evaluated multi-allergen immunotherapy compared to single-allergen immunotherapy in polysensitized AR patients, there is growing evidence that the efficacy of these two strategies may not differ. Potential limitations in multi-allergen SLIT were highlighted in a previous double-blind placebo-controlled RCT in which efficacy outcomes were suboptimal compared to single-allergen SLIT.<sup>2669</sup> Ortiz et al.<sup>2667</sup> recently demonstrated that despite significant improvement in allergic symptoms across all subject groups, there was no significant difference observed in efficacy of single-allergen SLIT versus pauci-allergen (three to six allergens) or multi-allergen SLIT in polysensitized patients. Additionally, Wang and Shi<sup>2547</sup> concluded that single-allergen SLIT response is comparable to multi-allergen SCIT in children with AR secondary to HDM.<sup>1721</sup> On the other hand, several studies, including a meta-analysis for HDM, have substantiated comparable efficacy

of single-allergen immunotherapy in monosensitized and polysensitized AR patients.<sup>1406,2661,2668,2671,2684,2781,2782</sup>

A clear knowledge gap is the need for further evidence to support the use of multi-allergen immunotherapy in polysensitized patients.<sup>2769</sup> Unfortunately, well-controlled studies in the polysensitized population are difficult to design and conduct. Sensitization profiles can vary drastically among patients, resulting in a heterogeneous population that is difficult to investigate. Moreover, comparison of single-allergen immunotherapy versus multi-allergen immunotherapy is challenging as each unique polysensitization profile contains a different single dominant allergen to target which in turn may be difficult to distinguish clinically. At the time of this writing, there were 11 active or recruiting clinical trials investigating efficacy of AIT in AR patients (five SCIT, two SLIT, one both SCIT and SLIT, and three ILIT).<sup>2783</sup> None of the studies compare single-allergen to multi-allergen IT.

If multi-allergen SCIT is administered, several considerations must be accounted for prior to the mixing process.<sup>2771,2784</sup> First, one must be careful to maintain therapeutic amounts of each allergen in the mixture. Second, the chosen preservative must be compatible with all allergens in the mixture. Moreover, attention must be paid to the proteolytic activity of fungal and some insect body extracts. When extracts with greater proteolytic activity are mixed with certain allergens susceptible to proteolysis such as pollen, mite, and animal dander allergens, the effective concentrations in the extract mixture may be reduced.<sup>2785,2786</sup>

Given the widely varied practice patterns and challenges inherent in the study of polysensitized individuals, the evidence supporting multi-allergen immunotherapy is not as strong as that supporting single-antigen immunotherapy strategies. Although it is difficult to directly compare multi-allergen and single-allergen treatment strategies, the literature strongly supports the efficacy of single-antigen immunotherapy even in polysensitized patients, while there remains a need for more careful analysis of the efficacy of multi-allergen immunotherapy. (See Section [XI.D.11.b.ii](#). Polysensitization for additional information on this topic.)

#### XI.D.11.b | Patient factors

**XI.D.11.b.i | Patient age.** Patient age is not a contraindication for AIT, but unique characteristics of the extremes of age merit discussion. First, older adult patients with multiple or particular comorbidities might be regarded as having a higher risk associated with AIT. Second, immunosenescence is also a concern, as older adults may theoretically have reduced benefit due to a less plastic immune response from the intended immunomodulatory effects of AIT. Yet, multiple studies in older adults have confirmed AIT is



effective in treating clinical symptoms with associated positive effects on immunologic biomarkers. In four separate RCTs, Bozek et al. demonstrated the clinical effects of SLIT and SCIT for dust mite and grass pollen mixture in patients ranging 60–75 years of age, showing improvement in TNSS and medication usage, as well as an increase in antigen-specific IgG4 levels.<sup>2548,2549,2690,2787</sup> These effects remained durable 3 years after completing a 3-year course of SCIT.<sup>299</sup>

In children, several studies have demonstrated AIT has short-term and long-term effectiveness, including decreasing the dose of inhaled corticosteroids in asthmatic patients.<sup>2788–2793</sup> Literature supports the efficacy of both SCIT and SLIT in the pediatric population.<sup>2438</sup> There is no lower age limit delineated in the US for initiating SCIT, but FDA-approved SLIT products are only approved beginning at age 5.

Pediatric AIT may have additional benefit of prolonged disease modifying effects. In the Preventive Allergy Treatment (PAT) study, 205 children aged 5–13 with rhinoconjunctivitis to birch and/or grass pollen were randomized to AIT versus pharmacotherapy. AIT patients had less asthma symptoms, improved methacholine response, and potential for asthma prevention.<sup>2794,2795</sup> SLIT using a grass tablet was shown to have a similar asthma prevention effect in the Grass immunotherapy tablet Asthma Prevention (GAP) trial.<sup>2429</sup> Similarly, in a retrospective analysis of 1099 children with AR receiving grass pollen SLIT tablets were compared with 27,475 rhinitis-control patients only 1.8% of SLIT treated children developed asthma versus 5.3% of control patients.<sup>2796</sup> A meta-analysis concluded that AIT decreases the risk of neo-sensitization and asthma development in the short-term (asthma RR 0.40; neo-sensitization RR 0.72), although the long-term benefit is unclear.<sup>2426</sup>

Safety and tolerability are important considerations in the pediatric population. In a retrospective evaluation of systemic reactions in pediatric and adult patients, the unadjusted systemic reaction rate was higher in children (0.2%) but not when adjusted for asthma, gender and phase of SCIT.<sup>2797</sup> In a Chinese population, systemic reactions were more common in younger children (3.28% of injections) compared with adolescents (1.47% of injections) but were treatable without requiring hospitalization.<sup>2798</sup> AIT is not customarily initiated in infants and toddlers given fears of the child not being able to communicate symptoms, in particular those of systemic reactions, and concerns that injections may be poorly tolerated in very young children.<sup>2419</sup> Every potential pediatric AIT case merits consideration of balancing the potential benefits versus risks and inviting child and parent to participate in shared decision-making to express their values and preferences regarding the trade-offs of AIT, which are likely quite individualized. Similar pro-

cesses and considerations are recommended for older adults.

**XI.D.11.b.ii | Polysensitization.** Polysensitization, or sensitization to more than one allergen, is common in the general population, and a factor which potentially challenges AIT efficacy. In an effort to identify the prevalence of sensitization in the general population, a 2010 study showed that among 11,355 participants in the first ECRHS, 57%–67.8% of the population was not sensitized to any test allergens, 16.2%–19.6% were monosensitized, and 23.8%–25.3% were polysensitized.<sup>2799</sup> Similarly, the National Health and Nutrition Examination Survey III (NHANES) studied skin sensitization to common aeroallergens in the US general population. Among the 10,863 participants 45.7% were not sensitized to any test allergens, 15.5% were monosensitized, and 38.8% were polysensitized.<sup>2800</sup> Hence, polysensitization appears to be more prevalent than monosensitization in the general population. More recent evidence suggests that polysensitization may be an entirely distinct phenotype compared to monosensitization, possibly predictive of more severe comorbid allergic disease expression.<sup>418,2771,2801</sup>

Once polysensitization is established via skin testing or sIgE testing, the conundrum facing allergists is whether this polysensitization represents true polyallergy. To have polyallergy, the individual must have relevant symptoms upon exposure to two or more specific, sensitizing allergens.

In some patients showing positive test responses to multiple allergens, this may be caused by cross-reactivity to highly conserved proteins, or panallergens. These related proteins, which have highly conserved sequence regions and structures, trigger IgE cross-recognition. Separating the clinical relevance of positive test responses to pollens known to demonstrate cross-reactivity can be challenging because the seasonality of symptoms may overlap.<sup>2802</sup> New technologies focused on CRD may prove useful in determining whether cross-reactive allergens are the cause of polysensitization, and may help to direct AIT decisions.<sup>2803</sup>

The issue of whether the polyallergic patient is best treated with more than one (or even several) clinically relevant allergens versus a single allergen deemed most responsible for the patient's symptoms, is a subject of debate, and one characterized by trans-continental practice variations. The predominant approach in the US is to treat the polyallergic patient with multiple allergens simultaneously, while the European approach is to focus AIT on one, or at most two, clinically significant allergens.<sup>2769</sup>

While the published literature comparing the efficacy of single- or multi-allergen immunotherapy in the polysensitized patient continues to evolve, there are published guidelines which can help to direct practical

decision making. Not unexpectedly, these guidelines reflect regional bias. The 2018 EAACI Guidelines on Allergen Immunotherapy specify that polysensitized patients who are monoallergic receive AIT only for the specific allergen driving their symptoms. The EAACI guidelines further specify that for the polyallergic patient sensitized to two homologous allergens (i.e., two grass pollens), a single allergen preparation or a mixture of two homologous allergens may be used, and for the polyallergic patient sensitized to allergens which are not homologous, AIT should be limited to one or two of the clinically most important allergens administered separately at distinct anatomic locations and separated by 30–60 min.<sup>2418</sup> Similarly, the 2010 Global Allergy and Asthma European Network (GA<sup>2</sup>LEN)/EAACI pocket guide does not recommend the use of allergen mixtures in AIT.<sup>2770</sup> The Practice Parameter Third Update guidelines developed by the Joint Task Force<sup>2419</sup> acknowledges that there have been few studies investigating the efficacy of multiallergen SCIT, and that these studies have considerable heterogeneity, yielding conflicting results. The Practice Parameter emphasizes the importance of treating patients with only *relevant* allergens but does not discourage prescribing multi-allergen immunotherapy in properly selected patients. (See Section XI.D.11.a.ii. Multi-allergen Immunotherapy for additional information on this topic.)

XI.D.11.b.iii | *Adherence to therapy.* Adherence to AIT is variable and dependent upon route of administration, SLIT versus SCIT, dosing frequency/regimen, patient characteristics, and AIT-associated adverse events. A review of the literature indicates no reported prospective double-blind, placebo-controlled RCT examining and/or comparing the adherence of SLIT versus SCIT as the primary endpoint. However, there are data on the adherence of AIT in prospective double-blind, placebo-controlled RCT of clinical efficacy, but these data are somewhat artificial in that adherence is closely monitored and patients are selected based on criteria that would promote better compliance to therapy. Furthermore, since optimal efficacy of either SLIT or SCIT is not appreciated until a minimum of 2 and optimally 3 years of therapy, adherence rates must be determined over a prolonged period. AIT adherence is reported to be much lower in real-life studies versus clinical trials. For example, in an analysis of sales figures from two SLIT manufacturers in Italy that account for more than 60% of the Italian immunotherapy market, sales decreased from 100% at the start to approximately 44% in the first year, 28% in the second year, and 13% in the third year. This indicates that less than 20% of patients were adherent to the prescribed SLIT regimen.<sup>2804</sup>

A non-interventional, prospective, observational, multicenter, open label study examined the adherence of 399

patients (236 adults and 163 children) with moderate-to-severe grass-induced allergic rhinoconjunctivitis to a 3-year regimen of grass SLIT tablets. The authors found that only 55% of patients completed the 3-year treatment period.<sup>2805</sup> These data are similar to many retrospective analyses of adherence to SLIT at the end of a 3-year regimen, ranging 10%–61%<sup>2806–2808</sup> and illustrate that even though self-administration of AIT could be advantageous over injections requiring office visits, adherence is a significant problem.

The adherence rate to SCIT regimens have also been studied in retrospective and a few prospective uncontrolled studies. In a real-world study examining claims data, 103,207 patients were reported to have at least one AIT claim, but only approximately 44% of these patients reached maintenance AIT. There was no follow-up of these patients to determine how many of the 56% that reached maintenance continued AIT for a full 3 years.<sup>2809</sup> A retrospective cohort analysis of a German longitudinal prescription database indicated that at the end of 3 years, adherence to SCIT was 35%–37%, and higher than that reported for SLIT (10%–18%).<sup>2810</sup> A data management retrospective study compared adherence to SCIT and SLIT at the end of 3 years and found that SLIT patients had a higher dropout rate (39%) versus SCIT (32.4%).<sup>2808</sup> In a retrospective analysis of a community pharmacy database, only 18% of 6486 patients starting AIT reached a minimal duration of 3 years, 23% for SCIT and 7% for SLIT.<sup>2717</sup> A retrospective analysis compared attrition rates in patients prescribed SCIT or SLIT found at the end of the prescribed period, attrition rates were similar, 45% and 41%, respectively.<sup>2811</sup> Another retrospective analysis comparing SLIT versus SCIT adherence found that only about 30% of patients completed a 3-year course of either therapy.<sup>2812</sup>

Overall, the strength of evidence is low since most studies involved retrospective analyses and none reported efficacy outcomes. However, data strongly suggest that adherence to either regimen of AIT is very low which likely results in poorer efficacy. Reasons for the poor adherence are many and include inconvenience of taking a daily medication (SLIT) or frequent office visits (SCIT), adverse events especially during the first months of therapy, cost, and perceived lack of benefit.

XI.D.11.b.iv | *Pregnancy.* AR and asthma affect 20%–30% of women of childbearing age and are considered two of the most common medical conditions that can affect pregnancy.<sup>2813</sup> One-third of these women will suffer from worsening symptoms during pregnancy<sup>2814</sup> and up to 20% will experience exacerbations of asthma resulting in hospitalization or even death.<sup>2815</sup> AIT is an effective treatment option for AR, and its role in pregnancy continues to be investigated. The evidence regarding the efficacy



and safety of AIT during pregnancy is scarce with a single large-scale prospective study published to date. In the most recent Practice Parameter update, it is stated that AIT can be continued, but not initiated, in the pregnant patient. Furthermore, if pregnancy occurs during the build-up phase and the patient has not reached a therapeutic dose, discontinuation of AIT should be considered.<sup>2419</sup>

The first study to assess the safety of AIT in pregnancy was published in 1978 by Metzger et al.<sup>2816</sup> This retrospective study analyzed the incidence of prematurity, toxemia, abortion, neonatal death, and congenital malformation in 90 atopic women who received SCIT during their pregnancy compared to a group of 147 untreated atopic mothers. No significant difference in these outcomes was found between the two groups suggesting that continuation of AIT during pregnancy was safe.

Over the next 10 years questions regarding the safety of AIT during pregnancy continued. In 1993, Shaikh et al.<sup>2450</sup> published a retrospective study that investigated 81 atopic women who underwent SCIT during pregnancy, for a total of 109 pregnancies. Similar variables as the Metzger et al.<sup>2816</sup> study were analyzed, and when compared to the control group of 60 patients (82 pregnancies) who declined AIT, the incidence of prematurity, gestational hypertension, and proteinuria were actually lower. Of note, only seven of the 109 pregnancies initiated SCIT for the first-time during pregnancy. This study supported that SCIT was not only safe during pregnancy, but control of allergies and asthma during pregnancy may decrease adverse perinatal outcomes.

To date, only one RCT has been performed to demonstrate the safety of starting SLIT in the pregnant population. Shaikh et al.<sup>2451</sup> separated 280 atopic women (326 total pregnancies) into one of three groups: 155 patients received SLIT during 185 pregnancies (with 24 patients receiving SLIT for the first time during pregnancy). The remaining patients were separated into two control groups, receiving either daily budesonide (group A) or rescue inhaled salbutamol (group B). The study showed no significant differences in perinatal outcomes, suggesting that both initiation and continuation of SLIT was safe during pregnancy. Although this study concludes that initiation of SLIT during pregnancy is safe, it is important to note that only 24 patients, 13% of the treatment group, fell into the initiation arm of the study.

Continuation of AIT during pregnancy has not shown to be harmful to either the mother or the fetus. There is limited data, however, to draw conclusions regarding the safety of first-time initiation of AIT during pregnancy. Lastly, no conclusion can be made regarding the effects of pregnancy on efficacy of AIT due to lack of literature.<sup>2551</sup>

## XII | PEDIATRIC CONSIDERATIONS IN ALLERGIC RHINITIS

### XII.A | History and physical exam

As repeated exposure to allergens is required, AR takes a few years to develop in children. Food and indoor allergies are more common in children under the age of 3, with seasonal outdoor allergy risk increasing after the age of 3.<sup>2817</sup> A family history of AR, atopy, or asthma is important to assess as children may be at an increased risk of developing AR or other allergic diseases.<sup>2818</sup> The future development of AR should be considered in children exhibiting signs of the “allergic march”.<sup>2819</sup> Certain risk factors may have a link to the development of AR in children. (See Sections VIII. A-B. Risk Factors for Allergic Rhinitis for additional information on this topic.)

Common findings consistent with AR in children include nasal congestion, sneezing, postnasal drip, cough, sniffing, throat clearing, palatal click, and mouth breathing.<sup>2820–2824</sup> Defining a seasonal timeline or triggers for symptoms can help identify a cause and help determine if rhinitis is allergic or non-allergic in nature.<sup>2818</sup>

Although evidence is conflicting and variable, there are several conditions possibly associated with AR in children, which should be assessed during clinical evaluation. The most common comorbidities associated with childhood AR are asthma, conjunctivitis, and AD.<sup>2823</sup> Other comorbidities include rhinosinusitis, SDB, ETD, otitis media, and oral allergy syndrome.<sup>1143,2817,2825,2826</sup> Oral allergy syndrome may be suspected in patients with mouth itching or swelling after eating raw fruits or vegetables.<sup>2825</sup>

There is data to suggest that AR is more common in children with otitis media with effusion (OME) than those without. While the results vary based on the age of the children studied, this highlights the importance of ear evaluation during the physical exam.<sup>2826–2828</sup> (See Section XIII.G.2. Otitis Media for additional information on this topic.) Similarly, the association of adenoid hypertrophy (AH) with AR is debated, but some studies have suggested the importance of the correlation between these two diseases.<sup>1143,2826,2829–2831</sup> (See Section XIII.F. Adenoid Hypertrophy for additional information on this topic.) This may help to explain the association between AR and OSA in children.

Diagnosing AR in the pediatric population may be challenging due to difficulty clearly communicating symptoms. There is also overlap of symptoms with frequent illnesses experienced in childhood, for example, upper respiratory infection. Diagnostic clues, which may be reported by a parent or caregiver include chapped lips from

mouth breathing, fatigue, irritability, poor appetite, and attention issues.<sup>2818,2820</sup>

After a complete history, there are several elements of the physical exam that may aid in diagnosis. An important aspect of the physical exam is to rule out other etiologies of nasal obstruction and rhinitis such as nasal foreign body or choanal atresia.<sup>2818</sup> Some physical exam findings are similar to the adult population including posterior pharyngeal cobblestoning, clear nasal drainage, serous middle ear effusions, and enlarged/boggy ITs.<sup>2818,2820</sup> Specifically in the pediatric population, “allergic” or “adenoid facies” may be present, characterized by mouth breathing, high-arched palate, and dental malocclusion. Additionally, the “allergic salute” is defined as repeated rubbing of the nose, which can lead to a transverse nasal crease or “allergic crease.”<sup>2832</sup> “Allergic shiners” are caused by infraorbital venous stasis and Dennie-Morgan lines are folds below the lower eyelids suggesting allergic conjunctivitis (AC).<sup>2818–2820,2822,2833</sup> Voice changes including hoarseness and hyponasality are common in pediatric AR.<sup>2821</sup> Anterior rhinoscopy can reveal IT boggy, paleness, and/or hypertrophy.<sup>2818</sup> Nasal endoscopy has been evaluated as a tool for diagnosis in pediatric AR, with IT and MT contact with other nasal structures as predictive factors for positive SPT results.<sup>1218</sup> There are no specific recommendations for the use of nasal endoscopy in children with suspected AR, but this assessment may be important in ruling out other, less common, causes of nasal obstruction or rhinitis.

Of note, one important goal of early diagnosis of AR is to identify young children at risk of developing other allergic disorders.<sup>2834</sup> Non-allergic rhinitis, viral URI, and anatomical causes of nasal obstruction should be on the differential diagnosis in children evaluated for AR.<sup>2820</sup>

## XII.B | Diagnostic techniques

Allergy testing recommendations for the pediatric population are similar to those for adults. Allergy testing should be considered in children with insufficient response to medical treatment.<sup>2835</sup> The EAACI Section on Pediatrics recommends that allergy testing be considered in children presenting with AR clinical symptoms and signs in order to initiate treatment and lifestyle changes, such as avoidance of allergens. Clinical practice guidelines exclude children younger than 2 years of age as causes of rhinitis may be different in this population. However, there are no age limits for allergy testing and young children are eligible.<sup>1005</sup>

The diagnosis of AR in children should be based on both clinical history and testing. Allergy testing without clinical suspicion has been shown to lead to false-positive SPT

results over 50% of the time.<sup>2826</sup> SPT is generally accepted as the preferred method of testing in children; it is faster and less painful than intradermal testing, and it is less expensive than in vitro serum testing.<sup>2833</sup> Although intradermal testing or SPT may be considered in the pediatric population, SPT is often considered superior due to ease, minimal discomfort, and timeliness of results. There are indications for in vitro testing in children as there are in adults, including skin disorders (e.g., dermatographism, dermatitis at the proposed testing site) and medication usage (e.g., inability to hold antihistamines for testing). It is also important to note that a positive SPT in a young child will result in a smaller wheal size than in an older child or adult due to relatively lower circulating IgE levels.<sup>2818</sup>

There is limited data regarding nasal eosinophil and basophil levels for the purpose of AR diagnosis. Nasal eosinophilia has been associated with AR in children but is not widely used to diagnose AR.<sup>1371,2836–2838</sup> Additionally, nasal basophilic metachromatic cells have shown high sensitivity for AR.<sup>2818,2839</sup> While there is limited data on BAT in general, and it is considered an option for AR diagnosis in adults; one small pediatric study has shown that BAT has sensitivity and specificity of 90% and 73%, respectively.<sup>1392</sup>

## XII.C | Pharmacotherapy

Most patients with symptoms of AR will use some form of pharmacotherapy for satisfactory symptom control. The specific management of each patient is influenced by the frequency and intensity of symptoms, response to treatment, the presence of comorbid conditions as well as the patient's age and preference. Current pharmacologic options in the treatment of AR include INCS, intranasal and oral antihistamines, decongestants, mast cell stabilizers, intranasal anticholinergics, and LTRAs.<sup>182,1182,2822</sup>

**Children less than 2 years of age.** In this age group AR is less prevalent, but children may have frequent bouts of allergy-type symptoms including rhinorrhea, sneezing, itchy eyes, etc. which could be due to other, more common triggers, such as recurrent viral illness, AH, or rhinosinusitis. Before treating a young child for AR, other causes should be investigated and ruled out.

The pharmacologic options for AR in children under 2 years old are limited. Second- and third-generation antihistamines such as cetirizine, levocetirizine, and desloratadine have indications down to 6 months of age and are an option in the treatment of the young patient with AR. First-generation antihistamines (diphenhydramine, chlorpheniramine) have the disadvantage of being lipophilic and cross the brain–blood barrier. Unwanted side effects of these medications make them difficult and dangerous

to use and not indicated in children less than 2 years old (Table II.C).

**Children 2 years old and older.** For the older child, treatment of AR is very similar to that in the adult patient and depends largely on the frequency and severity of symptoms.

*Mild or episodic symptoms* may be treated with medications aimed at addressing the specific symptom(s). A second- or third-generation antihistamine may be used on an as needed basis for rhinitis, sneezing, and itchy watery eyes. Intranasal antihistamine preparations are another option in children over the age of 5 (azelastine 0.1%) and 6 years old (olopatadine); benefits include targeted delivery, decreased side effects, and rapid onset of action.<sup>182,1182,1479,2840</sup> Intranasal antihistamines have been recommended over oral antihistamines in the appropriate patient population.<sup>1005,1182</sup>

For *persistent or moderate-to-severe symptoms*, INCS are recommended as the best single therapy in the treatment of allergic symptoms affecting QOL.<sup>182,1005,1182,2822</sup> The effectiveness of INCS in the reduction of nasal symptoms including sneezing, itching, rhinorrhea, and congestion in children with AR has been demonstrated.<sup>1864,1865,1867,2841</sup> INCS are usually well tolerated; however, because adverse effects are possible, growth in children using INCS should be monitored and dosages should be tapered to the lowest effective dose in all patients.

INCS preparations approved for children aged 2 years and older include mometasone furoate, triamcinolone acetonide, and fluticasone furoate. Most others are indicated for children aged 6 years and older, except for fluticasone propionate and beclomethasone dipropionate, which are indicated down to age 4 years.

*When response to initial INCS is suboptimal*, a second agent can be considered. Options include intranasal or oral antihistamines, combination intranasal INCS/antihistamine, or antihistamine/decongestant products. The choice should be made based on the persistent symptoms being addressed, patient preference, possible side effects and coexistent conditions (Table II.C).

LTRAs, such as montelukast, have been used in the management of AR and asthma. LTRA efficacy has been shown to be less effective than INCS, but more effective than placebo.<sup>182,1182,2000,2001,2010,2822</sup> Due to its potential for neuropsychiatric effects, the US FDA has recommended against the use of montelukast in patients with AR in favor of other treatment options. In the latest Clinical Practice Guideline on AR published by the AAO-HNSF, montelukast is not recommended as first line therapy.<sup>1005</sup>

Cromolyn nasal spray is a mast cell stabilizer that can inhibit the allergic response. It is most effective when used as a preventive measure when allergy exposure is anticipated. It has a low side effect profile (sneezing, bad

taste, etc.), but due to its short half-life must be administered three to six times daily. It has been approved for use in children as young as 2 years old. Though less effective than INCS or second-generation antihistamines, some parents and clinicians prefer it due to its excellent safety profile.<sup>182,2037,2046</sup>

IPB nasal spray has been shown to decrease rhinorrhea. It has a quick onset of action and must be used frequently. It is not recommended as a first-line drug in AR but has had some success in patients with profuse rhinorrhea not otherwise controlled with INCS. It has been shown to be more effective when combined with a nasal steroid than when either medication is used alone in the treatment of chronic rhinitis.<sup>2063</sup> It is indicated down to age 5 years.

Oral decongestants are also a consideration in the treatment of AR, but due to their side effect profile and potential for central nervous system stimulation in the pediatric population, the risk/benefit ratio should be carefully considered when used in children between the ages of 2 and 6 year old.<sup>182,2842,2843</sup> Oral decongestants are not recommended in younger children (Table II.C).

## XII.D | Immunotherapy

AIT is a treatment option when other strategies, such as avoidance and pharmacotherapy, have failed. It may also be considered for patients who cannot tolerate standard therapies, those who want to avoid prolonged use of medications, and those wishing to obtain a lasting response by modifying the immunologic process.<sup>2419</sup> Consideration for AIT should only be undertaken in patients with documented sIgE response to aeroallergens correlating with the patient's allergic symptoms. As long as these recommendations are followed, AIT is an option for allergic patients regardless of age. However, due to the required environmental exposure for the development of clinically relevant sensitization(s) to aeroallergens, combined with the limited evidence for the efficacy of AIT for AR in children under 5 years of age, the decision to provide AIT should consider the above factors along with a discussion with the family regarding its limitations and safety concerns.

Modalities for AIT administration include SCIT and SLIT (available in the form of a dissolvable tablet or as a liquid extract). Both options are available for adults and children, with specific age indications depending on the individual SLIT tablet. Usually patient demographics, preference, and treatment goals are used to guide the choice of AIT modality. For example, in young children who may be traumatized by or unable to tolerate repeated injections, and who may be unable to report early symptoms of an allergic reaction, SLIT may be considered due to its ease of administration and superior safety profile.<sup>2650</sup>

Dosing of SCIT and SLIT liquid extract is the same in the adult and pediatric populations. SLIT tablets currently available in the United States for use in children include a single grass (Timothy) tablet, a multi-grass (sweet vernal, orchard, perennial rye, Timothy, Kentucky bluegrass) tablet, and a short ragweed tablet, all indicated down to age 5 years. The HDM tablet available for adults has not received approval for pediatric use as of this writing.

Though the literature regarding efficacy of AIT is less robust in the pediatric population, it has been shown to be effective in the treatment of AR,<sup>2666,2673,2844</sup> and both SCIT and SLIT have resulted in improved control of comorbid conditions such as asthma and AC.<sup>1005</sup> Of particular interest is the research that has demonstrated that AIT has the potential added benefit of decreasing the development of asthma in pediatric patients with AR, as well as reducing the onset of new allergen sensitizations although additional studies are warranted.<sup>2426,2845,2846</sup>

In all populations, potential contraindications to AIT (SCIT and SLIT) include uncontrolled or poorly controlled asthma, active autoimmune disorders, and malignancy.<sup>2847</sup> EoE is also a contraindication to SLIT.<sup>2452–2455</sup> Special consideration should be given when treating patients with cardiovascular disease, those on  $\beta$ -blocker medications, and those with partially controlled asthma due to their impaired ability to respond to resuscitation efforts should an allergic reaction occur.<sup>2419</sup>

Challenges systematically being addressed in the practice of adult AIT extend to the pediatric population. These include the use of one or multiple allergens in the treatment of AR; whether mixtures of multiple allergens can compromise efficacy; the standardization of the allergen extracts for consistency, quality, and potency; and effective dose ranges for the pertinent allergens used.<sup>2418</sup>

### XIII | ASSOCIATED CONDITIONS

#### XIII.A | Asthma

##### XIII.A.1 | Asthma definition

Asthma is a common chronic lung disease comprising a heterogeneous group of phenotypes, including allergic and non-allergic, and further subtypes based on demographic, clinical, and/or pathophysiological characteristics.<sup>2848</sup> The definition of asthma has appreciably changed over time.<sup>2849</sup> The latest Global Initiative for Asthma (GINA) Guidelines define asthma as “a heterogenous disease, usually characterized by chronic airway inflammation. It is defined by the history of respiratory symptoms such as wheeze, shortness of breath, chest tightness and cough that vary over time and in intensity, together with variable expiratory airflow limitation.”<sup>2850</sup>

In addition to the aforementioned respiratory symptoms, a diagnosis of asthma typically requires evidence of variable obstruction of expiratory airflow, by bronchodilator reversibility testing or bronchial hyperreactivity tests.<sup>2850</sup> In clinical practice patients have a variety of clinical presentations, and when patients are well, most tests show no abnormalities.<sup>2851</sup> Increasingly, asthma is being recognized as a disease of airway inflammation and disordered immunology, as well as aberrant physiology, with combinations of “treatable traits” in different patients.<sup>2852</sup> Most patients have mild or moderate disease. A small proportion (up to 10%) has severe disease that is refractory to standard inhaled medications. These patients have more severe symptoms, frequent exacerbations and need more intensive treatment regimens.<sup>2853</sup>

#### XIII.A.2 | Asthma association with allergic and non-allergic rhinitis

AR and non-allergic rhinitis have been established as important comorbidities of asthma. Increasingly, there has been a shift toward conceptualizing multimorbid chronic upper airway inflammation and asthma as a single “unified airway” pathology affecting both the upper and lower airway. (See Section VI.K Unified Airway for additional information on this topic).

The prevalence of comorbid AR and asthma varies. Recent population-based studies have shown rates between 20.3% and 93.5%.<sup>763,2854–2858</sup> In one study, AR was found to be an independent determinant of current asthma among adults (OR 7.72; 95% CI 6.56–9.09,  $p < 0.001$ ).<sup>763</sup> Some studies have shown that patients with comorbid AR tend to have poorer asthma control, a greater number of exacerbations per year, and more visits to the emergency department.<sup>2859–2862</sup> Interestingly, the association of allergy with asthma weakens with more severe asthma<sup>2863</sup> (Table XIII.A.2).

Non-allergic rhinitis is also commonly associated with comorbid asthma.<sup>2864,2865</sup> Increasingly, asthma is being considered a multifactorial disease with variable endotype and phenotype presentations, particularly with regards to aberrant type 2 inflammation, which may or may not be allergic.<sup>2866,2867</sup> The functional relevance of this upper airway association can be summarized as follows:

- (i) In line with the unified airway hypothesis, allergen and irritant challenge to the nose and upper airway elicits lower airway inflammation through shared immunological and neurogenic pathways.<sup>2868</sup>
- (ii) Nasal obstruction results in mouth breathing, which leads to reduced filtration and humidification of inspired air, facilitating reactive lower airways.<sup>2869</sup>



**TABLE XIII.A.2** Evidence table – asthma association with allergic and non-allergic rhinitis

| Study                            | Year | LOE | Study design                                     | Study groups  | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|--|---|--|--|
| Shen et al. <sup>2873</sup>      | 2019 | 1   | Meta-analysis of cross-sectional studies         | General public, asthma patients, <i>n</i> = 3182  | Asthma + AR prevalence   | Asthma and AR are often comorbid diseases<br>Asthma + AR prevalence 39%  |
| Tohinidik et al. <sup>2855</sup> | 2019 | 1   | Meta-analysis of case-control and cohort studies | AR patients, <i>n</i> = 274,489   | Association between AR and asthma                              | History of AR strongly associated with asthma, OR 3.82   |
| Kou et al. <sup>2874</sup>       | 2018 | 1   | Meta-analysis of cross-sectional studies         | General public  | Prevalence of AR in pediatric asthma patients                  | 54.9% prevalence of AR in pediatric asthma<br>Prevalence of AR higher in children with asthma than prevalence of asthma in children with AR  |
| Machluf et al. <sup>2856</sup>   | 2020 | 2   | Cross-sectional                                  | Mild versus moderate-to-severe adolescent asthma patients, <i>n</i> = 113,671             | AR association with asthma                                     | AR associated with increased risk of developing moderate-to-severe asthma<br>Differences between mild and moderate-to-severe asthma enhance asthma phenotype characterization with respect to comorbidities                  |
| Heck et al. <sup>2857</sup>      | 2017 | 2   | Cross-sectional                                  | Asthma patients in general population, <i>n</i> = 79,299                                  | AR association with asthma                                     | Bronchial asthma associated with AR, OR 7.02<br>Allergic comorbidities should be considered in management of bronchial asthma  |
| Pols et al. <sup>2858</sup>      | 2017 | 2   | Cross-sectional                                  | Pediatric AR patients versus age- and gender-matched population controls, <i>n</i> = 7887 | AR association with asthma symptoms                            | Airway symptoms significantly more frequent in children with asthma<br>Increased risk of asthma-associated symptoms in children with AR: shortness of breath/dyspnea, OR 2.7; wheezing, OR 4.3                               |
| Carr et al. <sup>2875</sup>      | 2019 | 3   | Prospective cohort                               | Childhood rhinitis (AR and NAR) patients followed from age 6 to 32, <i>n</i> = 521        | Risk of asthma development in patients with childhood rhinitis | Childhood rhinitis (AR and NAR) confers significant risk of asthma development in adulthood  |
| Togias et al. <sup>2864</sup>    | 2019 | 3   | Prospective cohort                               | Pediatric asthma patients followed for 1 year, <i>n</i> = 749                             | Rhinitis in pediatric asthma patients                          | Rhinitis in 93.5%<br>Perennial AR most common and most severe (34.2%)<br>NAR least common and least severe (11.3%)<br>Rhinitis almost ubiquitous in urban children with asthma; activity tracks that of lower airway disease |

(Continues)



TABLE XIII.A.2 (Continued)

| Study                           | Year | LOE | Study design              | Study groups  | Clinical endpoints                        | Conclusions   |
|---------------------------------|------|-----|---------------------------|---|---|---|
| Tosca et al. <sup>2876</sup>    | 2019 | 3   | Prospective cohort        | Pediatric allergy patients, <i>n</i> = 619                      | Rhinitis association with asthma          | 88% of children with asthma had rhinitis<br>Rhinitis frequently associated with asthma in children  |
| Ji et al. <sup>2880</sup>       | 2020 | 4   | Retrospective case series | Pediatric asthma/wheezing patients, <i>n</i> = 333,029          | AR association with asthma                | 5.5% of asthma/wheezing patients had AR<br>Comorbidity of allergic diseases common  |
| Kisiel et al. <sup>2877</sup>   | 2020 | 4   | Cross-sectional           | Primary care asthma patients, <i>n</i> = 1291                   | Prevalence of rhinitis in asthma patients | 70.7% rhinitis prevalence in asthma patients  |
| Pedersen et al. <sup>2854</sup> | 2020 | 4   | Cross-sectional           | General public, <i>n</i> = 7275                                 | Prevalence of rhinitis and asthma         | 7% asthma and 4% rhinitis prevalence<br>Higher prevalence of rhinitis in asthma patients versus without (20.3% versus 2.9%, OR 8.39)<br>Atopic disease burden high<br>Asthma and rhinitis strongly associated with each other |
| Heffler et al. <sup>2878</sup>  | 2019 | 4   | Prospective case series   | Asthma patients, <i>n</i> = 437                                 | Comorbidities in asthma patients          | Rhinitis in 70%<br>High frequency of comorbidities in patients with asthma  |
| Huang et al. <sup>2879</sup>    | 2019 | 4   | Cross-sectional survey    | General public, <i>n</i> = 57,779                               | Asthma prevalence, AR association         | Overall asthma prevalence 4.2%<br>AR associated with asthma, OR 3.06  |
| Ozoh et al. <sup>763</sup>      | 2019 | 4   | Cross-sectional           | General public, <i>n</i> = 20,063                               | AR association with asthma                | 74.7% of those with clinical asthma have AR<br>AR is an independent determinant of current asthma among adults  |
| Sonia et al. <sup>2881</sup>    | 2018 | 4   | Cross-sectional           | General public, <i>n</i> = 4470                                 | Rhinitis association with asthma          | 48.8% of those with asthma have rhinitis<br>Strong association between asthma and rhinitis  |
| Ziyab <sup>2882</sup>           | 2017 | 4   | Cross-sectional           | Young adults (age 18–26) in the general public, <i>n</i> = 1154 | Rhinitis association with asthma          | Concurrent asthma and rhinitis in 5.1%<br>Allergic multimorbidity common  |

Relevant studies prior to 2017 are included in the listed meta-analyses. Abbreviations: AR, allergic rhinitis; LOE, level of evidence; NAR, non-allergic rhinitis; OR, odds ratio.

(iii) Nasal blockage resulting in mouth breathing can be associated with breathing pattern disorders and increased breathlessness in patients with asthma.<sup>2868,2869</sup>

Several recent molecular studies have shed light on the mechanisms underlying the phenomenon of this multimorbidity. GWAS studies have demonstrated independent risk variants, which are common between asthma, AR, and

eczema.<sup>801</sup> Moreover, gene expression analyses suggest that type 2 mediated inflammation has a similar molecular basis across disease types.<sup>2870</sup> These findings underscore the proposed “one airway” model, which recognizes similar disease mechanisms occurring in both the upper airway and the lower airway.<sup>2871</sup>

In summary, upper airway symptoms can impact asthma disease control and patient QOL.<sup>2872</sup> Assessment and treatment via a multidisciplinary approach,

encompassing pulmonologists, allergists, immunologists, otolaryngologists/rhinologists, should be considered.

### **Asthma association with allergic and non-allergic rhinitis**

*Aggregate grade of evidence:* B (Level 1: 3 studies, level 2: 3 studies, level 3: 3 studies, level 4: 8 studies; Table XIII.A.2)

### **XIII.A.3 | Allergic rhinitis and asthma – association of risk factors**

Up to 30% of patients with AR develop asthma.<sup>900</sup> Indeed, several large epidemiological studies have demonstrated that AR is an independent risk factor for developing asthma. Specifically, persistent AR appears to portend a significantly greater risk for development of asthma compared to intermittent AR<sup>845</sup> (Table XIII.A.3).

The Children's Respiratory Study showed that there is a doubling of the risk of developing asthma by age 11 when AR is diagnosed by a physician during infancy.<sup>2883</sup> Rhinitis is also a significant risk factor for adult-onset asthma whether patients are atopic or non-atopic.<sup>2884–2887</sup> In contrast, in childhood, asthma is frequently associated with allergy.<sup>2883,2888</sup> Limited data fail to demonstrate a relationship between a diagnosis of AR and severity of comorbid asthma.<sup>2889</sup> Nevertheless, data on whether the severity of AR itself impacts the prevalence of comorbid asthma remains conflicting.<sup>2890,2891</sup>

Asthma and AR have overlapping risk factors. Aeroallergen sensitization may be the most important and has been demonstrated among adults and children across different geographic regions and populations around the world.<sup>845,2892,2893</sup> Indeed, most inhaled allergens are associated with both nasal and bronchial hyperresponsiveness.<sup>2894</sup> Occupational rhinitis is also a risk factor for occupational asthma caused by HMW agents.<sup>124</sup> Genetic polymorphisms common to AR and asthma, such as unique subtypes of deregulated circulating microRNAs, may also provide a mechanistic link between the two disease processes.<sup>2895</sup>

There is growing evidence that exposure to traffic related air pollutants, (i.e., black carbon, NO<sub>2</sub>, NO, SO<sub>2</sub>, CO, CO<sub>2</sub>, and PM) may increase the risk of developing both asthma and AR. Nevertheless, additional studies with improved study designs incorporating confounder variables (e.g., allergens), and standardized definitions of traffic related air pollutants are needed.<sup>2896–2898</sup> (See Section VIII.B.3. Pollution for additional information on this topic.)

Similarly, a cross-sectional study of 325 non-asthmatic AR patients suggest that cigarette smoking may be an independent risk factor for the development of new asthma among patients with AR, although confirmatory studies are still needed.<sup>2899</sup> (see Section VIII.B.4. Tobacco Smoke for additional information on this topic.)

In summary, AR is a significant risk factor for asthma. However, there is currently limited evidence for the role of traffic related air pollutants and smoking as additional risk factors in the development of asthma among patients with AR.

### **Allergic rhinitis and asthma – association of risk factors**

*Aggregate grade of evidence:* C (Level 2: 3 studies, level 3: 19 studies; Table XIII.A.3)

### **XIII.A.4 | Treatment of allergic rhinitis and its effect on asthma**

AR and asthma are linked both epidemiologically and pathophysiologically along one common airway.<sup>2906–2910</sup> Indeed, there is a body of evidence to suggest that the following AR therapies may benefit both conditions: INCS,<sup>1887,2911–2913</sup> intranasal antihistamine,<sup>2914</sup> oral antihistamines,<sup>2915,2916</sup> LTRAs,<sup>2917</sup> and AIT.<sup>2634,2918,2919</sup> AIT has shown promising results in altering the course of the allergic inflammation seen in both AR and asthma.<sup>2794,2845,2920</sup> There is extensive literature in this area; therefore, this section focuses primarily on prospective randomized trials and systematic reviews to minimize inherent biases and weaknesses of retrospective studies.<sup>2921</sup>

### **Allergen avoidance**

Allergen avoidance is often recommended for allergies, specifically for AR and allergic asthma.<sup>182,297,2922</sup> Despite being intuitive and having reasonable biological plausibility, the actual evidence for benefit in AR and asthma is limited. No benefit was identified for chemical or physical methods to reduce HDM methods in a 2008 Cochrane review examining randomized trials of subjects with asthma.<sup>2923</sup> Similarly, single allergen avoidance or elimination plans such as removing or washing pets, mattress coverings, removing carpeting, and use of HEPA filters have not shown strong evidence-based clinical benefit for reducing asthma and/or AR symptoms, although there are some exceptions (e.g., acaricides for HDM allergy).<sup>152,2923,2924</sup> Nevertheless, there is theoretical benefit of reducing allergen exposure, a paucity of data

**TABLE XIII.A.3** Evidence table – allergic rhinitis risk association with asthma

| Study                                  | Year | LOE | Study design                 | Study groups   | Clinical endpoints   | Conclusions   |
|--|------|-----|------------------------------|--|--|---|
| Guerra et al. <sup>2885</sup>          | 2005 | 2   | Nested case-control          | Longitudinal cohort                                    | Asthma onset   | Rhinitis is a significant risk factor for adult-onset asthma in atopic and nonatopic subjects   |
| Arshad et al. <sup>2893</sup>          | 2001 | 2   | Cohort                       | Birth cohort   | Atopy and development of allergic diseases (asthma, AR, eczema) by age 4   | Atopy is significantly associated with AR (OR 5.85; CI 3.42–10.00) and asthma (OR 4.56; CI 3.16–6.57)   |
| Wright et al. <sup>2883</sup>          | 1994 | 2   | Cohort                       | Birth cohort   | Respiratory symptoms at age 6  | Development of asthma in the child (OR 4.06; CI 2.06–7.99)  |
| Ma et al. <sup>2900</sup>              | 2021 | 3   | Cross-sectional              | Adults with AR, asthma, AR + asthma in northern China  | Risk factors for AR, asthma, and AR+asthma   | Sensitization to pollen is a risk factor for both AR (OR 16.23; CI 10.15–25.96) and AR + asthma (OR 6.16; CI 1.28–29.66)  |
| Nordeide Kuiper et al. <sup>2898</sup> | 2021 | 3   | Cohort                       | Adult patients from the RHINESSA study (Norway/Sweden) | Impact of air pollution and greenness from birth to adulthood on prevalence of rhinitis, adult asthma, and lung function | Exposure to air pollutants associated with increased risk of developing asthma attacks, rhinitis, and decreased lung function   |
| Sio et al. <sup>2892</sup>             | 2021 | 3   | Cross-sectional              | General population (Malaysian/Singaporean)             | Impact of fungal aeroallergen exposure on risk of developing AR and asthma   | Exposure to fungal aeroallergens conveyed a significant increased risk of developing AR (OR 1.66; CI 1.17–2.33) and asthma (OR 1.69; CI 1.18–2.41)  |
| Wang et al. <sup>2897</sup>            | 2021 | 3   | Cross-sectional              | General population of young adults (China)             | Impact of health and home environment on risk of developing asthma and AR  | Exposure to NO <sub>2</sub> , urbanization and traffic exhaust increased risk of developing asthma and AR   |
| Lipiec et al. <sup>845</sup>           | 2020 | 3   | Multicenter, cross-sectional | Children and adults in Poland with AR and asthma       | Exposure to airborne allergens as risk factor for development of AR and asthma   | Exposure to airborne allergens is a risk factor for development of AR and asthma<br>Persistent AR portends a greater risk of developing comorbid asthma compared to intermittent AR across all ages |
| Deng et al. <sup>2896</sup>            | 2016 | 3   | Cohort                       | Children with AR (China)                               | Impact of exposure to TRAP on prevalence of AR   | Exposure to TRAP in early life (pregnancy and first year of life) may increase likelihood of developing AR in childhood   |

(Continues)

TABLE XIII.A.3 (Continued)

| Study                             | Year | LOE | Study design    | Study groups                                 | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|-----------------|--|--|---|
| Panganiban et al. <sup>2895</sup> | 2016 | 3   | Cohort          | Adults with AR, asthma, AR + asthma, control | Differentially expressed microRNA in blood serum                   | Same 10 circulating microRNA deregulated in both asthma and AR  |
| Ibanez et al. <sup>2901</sup>     | 2013 | 3   | Cross-sectional | Children with AR                             | Associated diseases  | Asthma present in 49.5% of AR patients  |
| Jarvis et al. <sup>1817</sup>     | 2012 | 3   | Cross-sectional | General population                           | Self-reported current asthma                                       | Asthma associated with chronic rhinosinusitis   |
| Rochat et al. <sup>2888</sup>     | 2010 | 3   | Cohort          | Birth cohort                                 | Development of wheezing  | AR is a predictor for subsequent wheezing onset   |
| Polosa et al. <sup>2899</sup>     | 2008 | 3   | Cross-sectional | Adult smokers with AR versus AR + asthma     | Risk factors for AR + asthma                                       | Cigarette smoking is a risk factor for the development of new asthma among AR patients (OR 2.98; CI 1.81–4.92)                                    |
| Shaaban et al. <sup>2865</sup>    | 2008 | 3   | Cohort          | Population-based study                       | Frequency of asthma  | Rhinitis ( $\pm$ atopy) is a powerful predictor of adult-onset asthma   |
| Burgess et al. <sup>2902</sup>    | 2007 | 3   | Cohort          | General population                           | Incidence of asthma in preadolescence, adolescence, or adult life  | Childhood AR increased the likelihood of new-onset asthma   |
| Shaaban et al. <sup>2887</sup>    | 2007 | 3   | Cohort          | General population                           | Changes in bronchial hyperresponsiveness in non-asthmatic subjects | AR associated with increased onset bronchial hyperresponsiveness  |
| Bodtger et al. <sup>2903</sup>    | 2006 | 3   | Cohort          | Population-based study                       | Rhinitis onset   | Asymptomatic sensitization, but not non-allergic rhinitis, was a risk factor for later development of AR  |
| Porsbjerg et al. <sup>2904</sup>  | 2006 | 3   | Cohort          | Random population sample                     | Asthma prevalence  | Presence of bronchial hyperresponsiveness and concomitant atopic manifestations in childhood increases the risk of developing asthma in adulthood |
| Toren et al. <sup>2886</sup>      | 2002 | 3   | Case-control    | General population                           | Adult-onset physician-diagnosed asthma                             | Non-infectious rhinitis and current smoking, especially among non-atopics, are associated with increased risk for adult-onset asthma              |

(Continues)

TABLE XIII.A.3 (Continued)

| Study                            | Year | LOE | Study design | Study groups        | Clinical endpoints                                   | Conclusions  |
|----------------------------------|------|-----|--------------|---------------------|--|--|
| Plaschke et al. <sup>2905</sup>  | 2000 | 3   | Cohort       | Random sample       | Risk factors and onset or remission of AR and asthma | AR, sensitization to pets, and smoking were risk factors for onset of asthma   |
| Settipane et al. <sup>2884</sup> | 2000 | 3   | Cohort       | University students | Asthma development                                   | Allergic asthma depends on elevated IgE, eosinophilia, airway hyperresponsiveness, exposure to allergens, and the predominance of the Th2 pathway of immunologic reactions |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; IgE, immunoglobulin E; LOE, level of evidence; NO<sub>2</sub>, nitrogen dioxide; OR, odds ratio; RHINESSA, Respiratory Health in Northern Europe, Spain and Australia study; TRAP, traffic related air pollutants.

on multimodality approaches to reduce allergen load, and minimal downside to attempting these various techniques. (See Section XI.A. Allergen Avoidance for additional information on this topic.) Allergen avoidance is mentioned here for completeness in discussing treatment modalities for AR with an effect on asthma, but given poor evidence of effect, an aggregate grade of evidence and literature summary table are deferred.

### Pharmacotherapy

**Oral H<sub>1</sub> antihistamines.** Six RCTs were identified that specifically evaluated H<sub>1</sub> antihistamines for the treatment of asthma in the context of coexistent AR.<sup>2925–2930</sup> Cetirizine and loratadine are the two most highly studied second-generation antihistamines used concomitantly in AR and asthma. Elevated histamine levels after allergen challenge are associated with bronchoconstriction responses in acute asthma episodes. Cetirizine also has bronchodilatory effects which are significant both as monotherapy and in combination with albuterol.<sup>2931</sup> Despite biological plausibility of antihistamines as effective treatment and improvement in subjective asthma symptoms, objective measures using PFT and PEF have failed to demonstrate significant improvements.<sup>2929,2932,2933</sup> Antihistamines may also have a preventive effect on the development of asthma in atopic patients.<sup>2934</sup> In a subgroup analysis, the Early Treatment of the Atopic Child trial found a near 50% reduced risk of developing asthma among cetirizine-treated patients with grass pollen and HDM sensitivities. (See Section XI.B.1. Antihistamines for additional information on this topic.) (Table XIII.A.4-1).

**Oral corticosteroids.** Oral corticosteroids are commonly used in asthma patients who are inadequately controlled with bronchodilators and inhaled corticosteroids.<sup>2935</sup> They are also effective for symptoms of

rhinitis.<sup>1855</sup> Due to the side-effect profile associated with these medications, especially with increasing duration of use,<sup>2936</sup> oral steroids are not recommended for the routine treatment of AR. For these reasons, an aggregate grade of evidence and evidence summary table are deferred. (See Section XI.B.2.a. Oral Corticosteroids for additional information on this topic.)

**Intranasal corticosteroids.** In the 1980s, INCS were reported to improve asthma symptoms in patients with coexistent AR and asthma.<sup>2040,2937</sup> Two meta-analyses and 12 RCTs address the potential “unified airway” effect of INCS on asthma, and a single historical cohort study evaluates the impact of combination INCS and intranasal antihistamine on asthma outcomes in patients with both AR and asthma.<sup>1886,1887,1990,2911,2913,2914,2938–2946</sup> A 2003 Cochrane review evaluated the efficacy of INCS on asthma outcomes in patients with coexistent rhinitis, finding no significant improvement in asthma outcomes with INCS.<sup>1886</sup> Heterogeneity in study designs may have limited the findings of this meta-analysis and explain the discrepancy of the results compared to high-quality RCTs. Alternatively, a 2013 SRMA demonstrated improvements in asthma outcomes with the use of INCS compared to placebo in patients with asthma and AR, although the addition of INCS to inhaled corticosteroids was not associated with improved asthma outcomes.<sup>1887</sup> Patient education was noted to be important as patients with concomitant AR and asthma who received training on the proper use of INCS and education on the relationship of AR and asthma demonstrated significant reductions in asthma symptoms and albuterol use compared to patients receiving INCS without additional education.<sup>2947</sup> Finally, intranasal azelastine-fluticasone propionate spray is a known effective treatment for AR alone. Recently, a pre-post historical cohort also reported its potential utility in asthmatics with AR, demonstrating a significant reduction



**TABLE XIII.A.4.-1** Evidence table – antihistamines for asthma treatment in coexistent asthma and allergic rhinitis

| Study                                | Year | LOE            | Study design | Study groups   | Clinical endpoints   | Conclusions  |
|--------------------------------------|------|----------------|--------------|--|--|--|
| Pasquali et al. <sup>2925</sup>      | 2006 | 2              | RCT          | Persistent AR and asthma, <i>n</i> = 50:<br>Levocetirizine 5 mg<br>Placebo                                   | Daily rhinitis and asthma symptoms<br>QOL by Rhinasthma questionnaire<br>QOL by SF-36                      | Rhinitis and asthma symptoms reduced with levocetirizine<br>Rhinasthma QOL score reduced with levocetirizine<br>No differences in SF-36                                      |
| Baena-Cagnani et al. <sup>2926</sup> | 2003 | 2              | RCT          | Seasonal AR and asthma, <i>n</i> = 924:<br>Desloratadine 5 mg<br>Montelukast 10 mg<br>Placebo                | TASS<br>FEV <sub>1</sub><br>$\beta$ -agonist use   | Desloratadine versus placebo: reduction in mean TASS, improvement in FEV <sub>1</sub> , reduction in $\beta$ -agonist use<br>Desloratadine versus montelukast: no difference |
| Berger et al. <sup>2927</sup>        | 2002 | 2              | RCT          | AR and asthma, <i>n</i> = 326:<br>Desloratadine 5 mg<br>Placebo  | TSS<br>Asthma symptom scores<br>$\beta$ -agonist use   | Desloratadine reduced rhinitis symptoms and asthma TSS<br>Desloratadine reduced $\beta$ -agonist use   |
| Grant et al. <sup>2928</sup>         | 1995 | 2              | RCT          | AR and asthma, <i>n</i> = 186:<br>Cetirizine 10 mg<br>Placebo  | Rhinitis and asthma symptoms<br>Spirometry   | Cetirizine improved asthma symptoms<br>No differences in objective measures  |
| Aubier et al. <sup>2929</sup>        | 2001 | 3 <sup>a</sup> | RCT          | Seasonal AR and asthma, <i>n</i> = 12:<br>Cetirizine crossover to placebo<br>Placebo crossover to cetirizine | BHR <sup>b</sup><br>NBI <sup>c</sup>   | Cetirizine increased BHR<br>Cetirizine reduced NBI versus placebo at 6 h   |
| Aaronson <sup>2930</sup>             | 1996 | 3 <sup>a</sup> | RCT          | AR and perennial asthma, <i>n</i> = 28:<br>Cetirizine 20 mg<br>Placebo                                       | Daily rhinitis and asthma symptoms<br>Medication use<br>PEFR, PC <sub>20</sub> , PFTs<br>Asthma management | Cetirizine reduced asthma and rhinitis symptoms<br>No difference in albuterol use<br>No difference in PFTs, PC <sub>20</sub> , PEFR<br>No difference in asthma management    |

Abbreviations: AR, allergic rhinitis; BHR, bronchial hyperresponsiveness; FEV<sub>1</sub>, forced expiratory volume in 1 second; LOE, level of evidence; NBI, nasal blocking index; PC<sub>20</sub> and PD<sub>20</sub>, provocation “concentration” or “dose” of methacholine causing a 20% decrease in FEV<sub>1</sub>; PFT, pulmonary function test; PEFR, peak expiratory flow rate; QOL, quality of life; RCT, randomized controlled trial; SF-36, 36-item Short Form Survey; TASS, Total Asthma Symptom Score; TSS, Total Symptom Score.

<sup>a</sup>LOE downgraded due to small sample size, no power analysis or power calculation, which limits interpretation of negative findings.

<sup>b</sup>BHR measured as methacholine PD<sub>20</sub>.

<sup>c</sup>NBI measured using peak expiratory flow meter and calculated as (oral peak flow – nasal peak flow)/(oral peak flow).

in acute respiratory events and rescue inhaler medication usage, as well as an increase in the overall number of well-controlled asthmatics<sup>2914</sup> (See Section XI.B.2.b. Intranasal Corticosteroids for additional information on this topic.) (Table XIII.A.4.-2).

**Leukotriene receptor antagonists.** LTRAs (montelukast and zafirlukast), often in combination with

topical corticosteroids, have demonstrated benefit for the treatment of both asthma and AR, consistent with efficacy in addressing inflammation in the “unified airway.”<sup>2948</sup> ARIA 2008 guidelines supported the effectiveness of montelukast in treating patients with asthma and AR, finding improvement of both nasal and bronchial symptoms as well as reduction of  $\beta$ -agonist use.<sup>152</sup> The 2010 ARIA

**TABLE XIII.A.4.-2** Evidence table – intranasal corticosteroids for asthma treatment in coexistent asthma and allergic rhinitis

| Study                                       | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|---|------|-----|--------------|---|--|---|
| Lohia et al. <sup>1887</sup>                | 2013 | 1   | SRMA         | 18 RCTs, <i>n</i> = 2162:<br>INCS versus placebo<br>INCS spray + oral ICS<br>versus oral ICS<br>alone<br>Nasal INH steroid<br>versus placebo  | Asthma symptoms<br>Rescue medication<br>use<br>FEV <sub>1</sub> , PEF, PC <sub>20</sub><br>QOL   | INCS improved FEV <sub>1</sub> ,<br>PC <sub>20</sub> , asthma symptom<br>scores, and rescue<br>medication use<br>No asthma outcome<br>changes with INCS +<br>oral ICS versus oral ICS<br>alone<br>Nasal INH steroid<br>improved PEF |
| Taramarcas<br>and<br>Gibson <sup>1886</sup> | 2003 | 1   | SRMA         | 14 RCTs:<br>INCS versus placebo<br>INCS versus<br>conventional<br>asthma treatment<br>INCS plus<br>conventional versus<br>conventional alone  | Asthma symptoms<br>$\beta$ -agonist use<br>Asthma exacerbations<br>QOL<br>FEV <sub>1</sub> , PEF, PC <sub>20</sub> , PD <sub>20</sub><br>Inflammatory<br>markers                             | Non-significant symptom<br>improvement INCS<br>versus placebo<br>No difference in FEV <sub>1</sub> ,<br>PEF, PC <sub>20</sub> , PD <sub>20</sub>  |
| Jindal et al. <sup>1990</sup>               | 2016 | 2   | RCT          | AR and asthma,<br><i>n</i> = 120:<br>FP INCS 200 $\mu$ g BID<br>MON 10 mg PO QHS  | Symptom scores of<br>rhinitis and asthma<br>PEF  | Reduction in asthma<br>symptom severity score<br>with FP versus MON<br>Increase in PEF with FP<br>versus MON  |
| Dahl et al. <sup>2938</sup>                 | 2005 | 2   | RCT          | Pollen-induced AR<br>and asthma,<br><i>n</i> = 262:<br>INFP 200 $\mu$ g daily +<br>IHFP 250 $\mu$ g BID<br>INFP + inhaled<br>placebo<br>Intranasal placebo +<br>IHFP<br>Intranasal placebo +<br>inhaled placebo                           | Asthma and AR<br>symptoms<br>PFTs<br>Methacholine BHR<br>PEF   | Increased PEF for IHFP +<br>INFP versus other<br>groups<br>PEF increase for IHFP<br>versus no IHFP<br>FEV <sub>1</sub> higher with IHFP<br>Increased BHR with<br>INFP; no increase with<br>IHFP                                     |
| Nathan<br>et al. <sup>2939</sup>            | 2005 | 2   | RCT          | Seasonal AR and<br>persistent asthma,<br><i>n</i> = 863; all received<br>FSC:<br>INFP 200 $\mu$ g and FSC<br>daily<br>MON 10 mg + FSC<br>Placebo + FSC  | Daily PEF<br>Daily asthma and AR<br>symptoms<br>Rescue albuterol use   | INFP added to FSC<br>improved nasal<br>symptoms<br>No asthma outcome<br>improvement with<br>INFP addition to FSC  |
| Stelmach<br>et al. <sup>2940</sup>          | 2005 | 2   | RCT          | Perennial AR and<br>mild-to-moderate<br>persistent asthma,<br><i>n</i> = 59:<br>Nasal Bdp 400 $\mu$ g +<br>placebo MDI<br>Placebo nasal spray +<br>Bdp MDI 1000 $\mu$ g<br>Bdp nasal spray<br>400 $\mu$ g + Bdp MDI<br>1000 $\mu$ g daily | Asthma and AR<br>symptom scores<br>PEF<br>FEV <sub>1</sub> and BHR (PC <sub>20</sub> )<br>Proxy indicators of<br>asthma-related<br>morbidity (work<br>absence,<br>emergency visits,<br>etc.) | Reductions of AR and<br>asthma symptoms in all<br>groups<br>No change PEF or BHR<br>Increased FEV <sub>1</sub> with<br>nasal Bdp alone and for<br>Bdp MDI alone<br>Asthma morbidity<br>reduced for all                              |

(Continues)

TABLE XIII.A.4.-2 (Continued)

| Study                             | Year | LOE            | Study design               | Study groups   | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|----------------|----------------------------|--|--|---|
| Thio et al. <sup>2941</sup>       | 2000 | 2              | RCT                        | Two grass pollen seasons of treatment (season 1, $n = 21$ ; season 2, $n = 67$ ):<br>FP nasal spray 200 $\mu\text{g}$<br>Bdp nasal spray 400 $\mu\text{g}$<br>Placebo nasal spray  | Asthma scores<br>Use of prn salbutamol<br>Methacholine PD <sub>20</sub><br>FEV <sub>1</sub>                      | No difference in asthma scores or as-needed salbutamol for all groups<br>PD <sub>20</sub> not significantly different<br>FEV <sub>1</sub> increased with FP and BDP in season 2   |
| De Jong et al. <sup>2914</sup>    | 2020 | 3              | Pre/post-historical cohort | Patients with AR and asthma, $n = 1188$ , 1 year before and 1 year after initiation of azelastine/fluticasone propionate nasal spray   | Acute respiratory events<br>Asthma exacerbations   | Pre versus post:<br>Significant reduction acute respiratory events<br>No difference in asthma exacerbations<br>Significant improvement in well-controlled asthmatics<br>Significant reduction in short acting $\beta_2$ -agonists |
| Kersten et al. <sup>2911</sup>    | 2012 | 3 <sup>a</sup> | RCT                        | AR and mild-to-moderate exercise exacerbated asthma, $n = 32$ :<br>Fluticasone furoate nasal spray<br>Placebo nasal spray  | Exercise induced FEV <sub>1</sub> change<br>AUC of FEV <sub>1</sub> curve<br>ACQ score<br>PAQLQ score<br>FeNO    | Exercise-induced decrease in FEV <sub>1</sub> reduced with FP<br>No difference in FEV <sub>1</sub> , ACQ, PAQLQ, FeNO   |
| Baiardini et al. <sup>2942</sup>  | 2011 | 3 <sup>a</sup> | RCT                        | Moderate/severe persistent AR with intermittent asthma, $n = 47$ :<br>MFNS nasal spray 200 $\mu\text{g}$ per day<br>Placebo nasal spray  | QOL by GS<br>Symptom scores<br>Rhinasthma scores of RAI, LA, and UA <sup>a</sup><br>Rescue asthma medication use | GS score reduction with MFNS<br>LA score decreased with MFNS<br>No difference MFNS versus placebo for rescue meds   |
| Nair et al. <sup>2943</sup>       | 2010 | 3 <sup>a</sup> | RCT                        | Persistent AR and asthma, $n = 25$ :<br>INH FP 100 $\mu\text{g}/\text{day}$ + placebo nasal spray<br>INH FP 500 $\mu\text{g}/\text{day}$ + placebo nasal spray<br>INH FP 100 $\mu\text{g}/\text{day}$ + FP INCS 200 $\mu\text{g}/\text{day}$ | Methacholine PC <sub>20</sub><br>FeNO<br>PNIF<br>FEV <sub>1</sub><br>Asthma and rhinitis QOL                     | PC <sub>20</sub> improvement in all groups<br>No PC <sub>20</sub> improvement with INCS and INH steroid versus INH FP alone<br>No change in asthma QOL<br>FeNO and PNIF reduced only with INCS                                    |
| Agondi et al. <sup>2944</sup>     | 2008 | 3 <sup>a</sup> | RCT                        | AR and asthma, $n = 33$ :<br>Bdp nasal spray 400 $\mu\text{g}$ per day<br>Placebo nasal spray  | Rhinitis and asthma symptom scores<br>Rescue medication use<br>BHR (histamine provocation)                       | Changes with Bdp versus placebo:<br>Asthma symptoms reduced<br>Medication use decreased<br>BHR reduced  |
| Pedroletti et al. <sup>2945</sup> | 2008 | 3 <sup>a</sup> | RCT                        | Perennial rhinitis and allergic asthma, $n = 40$ :<br>MFNS<br>Placebo  | FeNO<br>ECP in nasal lavage<br>PEF<br>FEV <sub>1</sub>   | No difference in FeNO for MFNS versus placebo<br>Nasal ECP reduced<br>No difference in PEF or FEV <sub>1</sub>  |

(Continues)

TABLE XIII.A.4.-2 (Continued)

| Study                         | Year | LOE            | Study design | Study groups   | Clinical endpoints  | Conclusions  |
|-------------------------------|------|----------------|--------------|--|---|--|
| Watson et al. <sup>2946</sup> | 1993 | 3 <sup>a</sup> | RCT          | AR and controlled asthma, <i>n</i> = 21:<br>Intranasal Bdp 100 µg twice daily, then placebo<br>Placebo nasal spray, then intranasal Bdp 100 µg twice daily | Asthma and rhinitis symptoms<br>PC <sub>20</sub><br>Bdp deposition <sup>b</sup> | No difference in asthma symptoms with Bdp<br>PC <sub>20</sub> improved with Bdp<br>Evening asthma symptoms reduced with Bdp                          |
| Corren et al. <sup>2913</sup> | 1992 | 3 <sup>a</sup> | RCT          | Mild seasonal AR and asthma, <i>n</i> = 18:<br>Placebo nasal spray (vehicle of Bdp formulation)<br>Bdp nasal spray   | Nasal and chest symptoms<br>NBI<br>BHR (PC <sub>20</sub> )                      | PC <sub>20</sub> decreased over pollen season with placebo, not Bdp<br>AM NBI decreased with placebo, improved with Bdp<br>No difference in symptoms |

Abbreviations: ACQ, Asthma Control Questionnaire; AR, allergic rhinitis; AUC, area under the curve; Bdp, beclomethasone dipropionate; BHR, bronchial hyperresponsiveness; BID, twice daily; ECP, eosinophil cationic protein; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; FP, fluticasone propionate; FSC, inhaled fluticasone propionate and salmeterol; GS, Rhinasthma global summary; ICS, inhaled corticosteroid; INCS, intranasal corticosteroid; INFP, intranasal fluticasone propionate; INH, inhaled; LA, lower airway; LOE, level of evidence; MDI, metered dose inhaler; MFNS, mometasone furoate nasal spray; MON, montelukast; NBI, nasal blocking index (based on PEF and calculated as (oral peak flow – nasal peak flow)/(oral peak flow)); PAQLQ, Pediatric Asthma Quality of Life Questionnaire; PC<sub>20</sub> and PD<sub>20</sub>, provocation “concentration” or “dose” of methacholine causing a 20% decrease in FEV<sub>1</sub>; PEF, peak expiratory flow; PFT, pulmonary function test; PNIF, peak nasal inspiratory flow; PO, per os (by mouth); QHS, each night; QOL, quality of life; RAI, respiratory allergy impact; RCT, randomized controlled trial; SRMA, systematic review and meta-analysis; UA, upper airway.

<sup>a</sup>LOE downgraded due to small sample size.

<sup>b</sup>Radiolabeled Bdp <2% deposition in lungs, 20%–50% in nasal cavity, and 48%–78% swallowed.

update specified that LTRAs are not recommended over other first-line therapies for the respective conditions, recommending treatment of asthma and AR with a nasal and inhaled corticosteroid as first-line therapies, rather than an LTRA to treat both conditions.<sup>1004</sup> A more recent review in 2015 also identified some utility of LTRAs for patients with concomitant AR and asthma.<sup>2949</sup> However, the limited additional benefit must be weighed against added cost and an FDA boxed warning regarding serious neuropsychiatric events when comparing inhaled corticosteroids to LTRAs for single-modality treatment of asthma in patients with comorbid AR<sup>1004</sup> (See Section XI.B.4. Leukotriene Receptor Antagonists for additional information on this topic) (Table XIII.A.4.-3).

- Leukotriene receptor antagonists (Level 2: 7 studies; Table XIII.A.4.-3)

### Biologics

**Omalizumab.** Omalizumab is a monoclonal anti-IgE antibody which binds free IgE, preventing interactions with high-affinity IgE receptors and resulting in receptor downregulation on inflammatory cells.<sup>2950</sup> Omalizumab has demonstrated effectiveness separately for asthma as well as AR.<sup>2076,2950–2953</sup> There are several published studies evaluating omalizumab in AR or asthma,<sup>2950,2954</sup> with one RCT specifically evaluating the efficacy of omalizumab in patients with concomitant moderate-to-severe asthma and persistent AR.<sup>2955</sup> Omalizumab as an adjunct to SCIT has also been evaluated.<sup>2765</sup> Both studies show a reduction in symptoms as well as an improvement in QOL measures.<sup>2765,2955</sup> Additional biologics are currently in varying stages of development/emergence with further evaluation needed to determine their role for the treatment of coexistent AR and asthma. (See Sections XI.B.7. Biologics and XI.D.10. Combination Biologic Therapy and Subcutaneous Immunotherapy for additional information on this topic.) (Table XIII.A.4.-4).

### Pharmacotherapy treatment of AR and its effect on asthma

#### *Aggregate grade of evidence: A*

- Oral H<sub>1</sub> antihistamines (Level 2: 4 studies, level 3: 2 studies; Table XIII.A.4.-1)
- Intranasal corticosteroids (Level 1: 2 studies, level 2: 5 studies, level 3: 8 studies; Table XIII.A.4.-2)

**TABLE XIII.A.4.-3** Evidence table – leukotriene receptor antagonists for asthma treatment in coexistent asthma and allergic rhinitis

| Study                                | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions  |
|--------------------------------------|------|-----|--------------|--|---|--|
| Kim et al. <sup>2153</sup>           | 2018 | 2   | RCT          | Perennial AR and mild to moderate asthma, <i>n</i> = 228:<br>MON 10 mg<br>MON 10 mg + levocetirizine 5 mg                                    | Mean daytime and nighttime nasal symptom score<br>Mean composite symptom score<br>Overall assessment<br>AR<br>FEV <sub>1</sub> , FVC, FEV <sub>1</sub> /FVC<br>Asthma control test<br>Rescue medication usage | MON-levocetirizine safe and more effective than MON alone across all observed endpoints  |
| Jindal et al. <sup>1990</sup>        | 2016 | 2   | RCT          | AR and asthma, <i>n</i> = 120:<br>FP INCS 200 µg BID<br>MON 10 mg PO QHS   | Symptom scores of rhinitis and asthma<br>PEF  | Reduction in asthma symptom severity score with FP versus MON<br>Increase in PEF with FP versus MON  |
| Katial et al. <sup>2964</sup>        | 2010 | 2   | RCT          | Seasonal AR and asthma, <i>n</i> = 1385:<br>FSC 100/50 µg BID<br>FSC BID + FPNS 200 µg daily<br>FSC BID + MON 10 mg daily<br>MON 10 mg daily | PEF<br>Rescue albuterol use<br>Asthma and rhinitis symptoms   | No additional improvements in asthma with MON-FSC<br>FSC improved all outcome measures versus MON  |
| Price et al. <sup>2965</sup>         | 2006 | 2   | RCT          | Asthma symptoms despite ICS, subgroup with coexistent AR, <i>n</i> = 889:<br>MON + budesonide<br>Double-dose budesonide                      | Improvement in AM PEF versus baseline   | PEF had greater increase from baseline in MON-budesonide versus double-dose budesonide   |
| Nathan et al. <sup>2939</sup>        | 2005 | 2   | RCT          | Seasonal AR and persistent asthma, <i>n</i> = 863; all received FSC:<br>INFP 200 µg and FSC daily<br>MON 10 mg + FSC<br>Placebo + FSC        | Daily PEF<br>Daily asthma and AR symptoms<br>Rescue albuterol use   | INFP added to FSC improved nasal symptoms<br>No asthma outcome improvement with INFP addition to FSC   |
| Philip et al. <sup>2009</sup>        | 2004 | 2   | RCT          | Seasonal AR and asthma, <i>n</i> = 831:<br>MON 10 mg daily<br>Placebo  | Rhinitis symptoms<br>RQLQ<br>Global evaluations of asthma<br>β-agonist use  | Global evaluation of asthma by patients and physicians improved with MON<br>Reduction in β-agonist use with MON  |
| Baena-Cagnani et al. <sup>2926</sup> | 2003 | 2   | RCT          | Seasonal AR and asthma, <i>n</i> = 924:<br>Desloratadine 5 mg<br>MON 10 mg<br>Placebo  | TASS<br>FEV <sub>1</sub><br>β-agonist use   | Desloratadine versus placebo:<br>Reduction in mean TASS<br>Improvement in FEV <sub>1</sub><br>Reduction in β-agonist use<br>Desloratadine versus MON: no differences |

Abbreviations: AR, allergic rhinitis; BID, twice daily; FEV<sub>1</sub>, forced expiratory volume in 1 second; FP, fluticasone propionate; FPNS, fluticasone propionate nasal spray; FSC, inhaled fluticasone propionate and salmeterol; FVC, forced vital capacity; ICS, inhaled corticosteroid; INCS, intranasal corticosteroid; INFP, intranasal fluticasone propionate; LOE, level of evidence; MON, montelukast; PEF, peak expiratory flow; PO, per os (by mouth); QHS, each night; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; TASS, Total Asthma Symptom Score.



**TABLE XIII.A.4.-4** Evidence table – omalizumab for asthma treatment in coexistent asthma and allergic rhinitis

| Study                          | Year | LOE | Study design | Study groups   | Clinical endpoints  | Conclusions   |
|--------------------------------|------|-----|--------------|--|---|---|
| Kopp et al. <sup>2765</sup>    | 2009 | 2   | RCT          | AR and seasonal asthma, <i>n</i> = 140, all patients received SCIT:<br>SCIT + omalizumab<br>SCIT + placebo | AR and asthma symptoms<br>Rescue medication use<br>PEF<br>Patient and provider GETE<br>Asthma symptoms by ACQ<br>Disease-specific QOL by AQLQ and RQLQ<br>PFTs            | Omalizumab addition to SCIT:<br>Reduced symptom severity<br>No difference in rescue medication use<br>Improved QOL by ACQ and AQLQ<br>No difference in FEV <sub>1</sub> or mean PEF |
| Vignola et al. <sup>2955</sup> | 2004 | 2   | RCT          | Moderate-to-severe persistent AR and allergic asthma, <i>n</i> = 405:<br>Omalizumab<br>Placebo             | Asthma exacerbations<br>AQLQ score<br>RQLQ score<br>Rescue medication use<br>Symptom scores<br>Patient and investigator GETE<br>ICS use<br>FEV <sub>1</sub> , FVC, AM PEF | Omalizumab:<br>Reduced asthma exacerbations<br>Increased AQLQ and RQLQ<br>Reduced asthma symptoms<br>Increased FEV <sub>1</sub> , FVC, PEF<br>No difference in $\beta$ -agonist use |

Abbreviations: ACQ, Asthma Control Questionnaire; AQLQ, Asthma Quality of Life Questionnaire; AR, allergic rhinitis; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; GETE, global evaluation of treatment effectiveness; ICS, inhaled corticosteroid; LOE, level of evidence; PEF, peak expiratory flow; PFT, pulmonary function test; QOL, quality of life; RCT, randomized controlled trial; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SCIT, subcutaneous immunotherapy.

### Biologic treatment of AR and its effect on asthma

Aggregate grade of evidence: B (Level 2: 2 studies; Table XIII.A.4.-4)

**\*\*Note:** There is high level evidence with multiple RCTs and reviews for asthma individually, but only one RCT specifically evaluating omalizumab versus placebo in patients with concurrent conditions.

### Allergen immunotherapy

Both SCIT and SLIT improve control of AR and comorbid asthma.<sup>2438,2440,2663,2956,2957</sup> Several studies indicate that AIT, often in addition to traditional antihistamine pharmacotherapies, may help halt the progression of allergic disease, including prevention of new allergic sensitivities and the development of asthma.<sup>2426,2428,2541,2794,2795,2845,2920,2958,2959</sup> However, several systematic reviews have concluded that the evidence for AIT possible prevention of further allergic sensitization is low, due to limited analyses of asthma exacerbations, mixed population recruitment, and a focus on mild disease only.<sup>2645,2960,2961</sup> Further evaluation is required to assess

safety in patients with uncontrolled asthma.<sup>2961</sup> Of note, the 2010 ARIA statement recommended both SCIT and SLIT for the treatment of asthma in patients with AR and asthma.<sup>1004</sup> The 2019 GINA guidelines recommend adding HDM SLIT for adult patients with AR and FEV<sub>1</sub> >70% who are suboptimally controlled on high dose inhaled corticosteroids.<sup>2962</sup> Finally, the National Heart Lung and Blood Institute Expert Panel conditionally recommends SCIT as an adjunct treatment to standard pharmacotherapy for those 5 years and older with mild to moderate persistent asthma who show clear evidence of a relationship between symptoms and exposure to an allergen to which the individual is sensitive.<sup>2963</sup> (See Section XI.D. Allergen Immunotherapy for additional information on this topic.) (Table XIII.A.4.-5).

### Allergen immunotherapy treatment of AR and its effect on asthma

Aggregate grade of evidence: A (Level 1: 7 studies, level 2: 3 studies, level 3: 3 studies; Table XIII.A.4.-5)

**TABLE XIII.A.4.-5** Evidence table – allergen immunotherapy for asthma treatment in coexistent asthma and allergic rhinitis

| Study                              | Year | LOE | Study design      | Study groups   | Clinical endpoints  | Conclusions   |
|------------------------------------|------|-----|-------------------|--|---|---|
| Fortescue et al. <sup>2961</sup>   | 2020 | 1   | Systematic review | Systematic review of 66 RCTs (mild or intermittent asthma ± AR)  | Asthma exacerbations and QOL<br>Adverse effects<br>Asthma symptoms and medication usage   | Limited evidence: asthma exacerbations and QOL<br>SLIT may be safe for well-controlled, mild-to-moderate asthma; further evaluation needed to assess safety in uncontrolled asthma  |
| Blanco et al. <sup>2663</sup>      | 2018 | 1   | Systematic review | Systematic review of 112 RCTs: AR ± asthma<br>Asthma mild-to-moderate or moderate-persistent when present  | Efficacy of SLIT (symptoms, medication usage)<br>Safety of SLIT (adverse events)  | SLIT reduced AR-related symptoms and medication usage<br>SLIT reduced ICS dose and improved asthma control among AR + asthma patients<br>Results durable within 2 years post-SLIT<br>Few local and mild-moderate adverse events |
| Di Bona et al. <sup>2645</sup>     | 2017 | 1   | Systematic review | Systematic review of 18 studies (4 RCT, 10 prospective, 2 retrospective, 2 observational):<br>Mono- or polysensitized AR patients ± asthma, treated with AIT versus not treated with AIT | New allergic sensitization  | Low evidence that AIT prevents further allergic sensitization among mono- and polysensitized patients with AR   |
| Di Lorenzo et al. <sup>2960</sup>  | 2017 | 1   | Systematic review | Systematic review of 8 studies (1 RCT, 7 prospective):<br>Monosensitized children ± asthma with HDM sensitivity, treated with AIT versus not treated with AIT                            | New allergic sensitization  | Low evidence that AIT prevents further allergic sensitization among children monosensitized to HDM  |
| Kristiansen et al. <sup>2426</sup> | 2017 | 1   | Systematic review | Systematic review of 32 studies (17 RCTs, 15 controlled before-after studies):<br>SLIT or SCIT versus no intervention, placebo, or comparator  | Development of first or new allergic disease in setting of previous allergic condition ≤2 years after completion AIT (short-term) and ≥2 years after completion AIT (long-term) | Overall AIT did not significantly reduce development of first allergic disease<br>Among those with AR, AIT significantly reduced risk of developing asthma within 2 years of treatment; long-term impact unclear                |

(Continues)

TABLE XIII.A.4.-5 (Continued)

| Study                            | Year | LOE | Study design  | Study groups  | Clinical endpoints   | Conclusions   |
|----------------------------------|------|-----|---|---|--|---|
| Erekosima et al. <sup>2956</sup> | 2014 | 1   | Systematic review   | Systematic review of 61 RCTs (26 specifically asthma and rhinitis): SCIT versus placebo SCIT versus pharmacotherapy                 | Asthma and RC symptoms and medication use<br>Safety of SCIT  | Asthma plus rhinitis/RC symptoms and medications reduced with SCIT <sup>a</sup><br>Most adverse reactions mild  |
| Lin et al. <sup>2666</sup>       | 2013 | 1   | Systematic review   | Systematic review of 63 RCTs: SLIT versus placebo SLIT versus pharmacotherapy   | Asthma and rhinitis/RC symptoms<br>Combined medication use plus symptoms   | Asthma and rhinitis/RC symptoms reduced with SLIT <sup>b</sup><br>Medication plus symptom scores reduced with SLIT <sup>b</sup>   |
| Marogna et al. <sup>2845</sup>   | 2008 | 2   | RCT   | Rhinitis ± intermittent asthma, <i>n</i> = 216: Standard drug therapy control group<br>Standard drug therapy plus SLIT <sup>c</sup> | Development of persistent asthma (not at baseline)<br>Symptom and medication scores<br>Daily medication use<br>New sensitization | Persistent asthma incidence lower with SLIT versus control<br>Methacholine-positive patients after 3 years reduced with SLIT<br>Lower symptom and medication scores with SLIT |
| Novembre et al. <sup>2920</sup>  | 2004 | 2   | RCT   | RC, no asthma, <i>n</i> = 97: SLIT; maintenance 3 years<br>Standard symptomatic treatment   | Symptoms<br>Rescue medication use<br>Development of asthma   | Rescue medication use reduced with SLIT<br>Relative risk of asthma after 3 years greater in control group versus SLIT   |
| Moller et al. <sup>2794</sup>    | 2002 | 2   | RCT   | RC ± asthma, <i>n</i> = 191: SCIT<br>Control  | Development of asthma (if none at trial start)<br>BHR by PC <sub>20</sub><br>VAS of symptoms                                     | Asthma incidence greater in controls<br>BHR improved with SCIT after 1 year pollen season   |
| Sidenius et al. <sup>2957</sup>  | 2021 | 3   | Non-interventional, prospective, multicenter, observational study | AR with ( <i>n</i> = 83) or without asthma ( <i>n</i> = 115), 1 year treatment SQ HDM SLIT  | Adverse events<br>AR symptoms<br>Asthma symptoms<br>Asthma control   | SQ HDM SLIT is safe and well tolerated<br>SQ HDM SLIT decreases AR and asthma symptoms and medication usage<br>SQ HDM SLIT improves asthma control                            |
| Inal et al. <sup>2958</sup>      | 2007 | 3   | Non-randomized, prospective, parallel group, open study           | AR and/or mild-to-moderate asthma. HDM sensitization, <i>n</i> = 147: SCIT<br>Medication only                                       | Asthma and rhinitis medication use<br>Atopy (HDM skin prick)<br>Development of asthma  | Decreased asthma medication use with SCIT<br>Improved atopy scores with SCIT<br>Asthma incidence nearly half with SCIT  |

(Continues)

TABLE XIII.A.4.-5 (Continued)

| Study                            | Year | LOE            | Study design | Study groups  | Clinical endpoints  | Conclusions   |
|----------------------------------|------|----------------|--------------|---|---|---|
| Grembiale et al. <sup>2918</sup> | 2000 | 3 <sup>d</sup> | RCT          | AR and BHR to methacholine, HDM allergy, <i>n</i> = 44:<br>SCIT (HDM allergen extract)<br>Placebo | BHR by PD <sub>20</sub><br>Serum IgE levels<br>Rescue medication use<br>Additional visits for symptoms<br>Development of asthma | BHR increased with SCIT<br>No HDM IgE difference<br>Increased medication use and visits with placebo<br>No difference in asthma incidence |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; BHR, bronchial hyperreactivity; HDM, house dust mite; ICS, inhaled corticosteroid; IgE, immunoglobulin E; LOE, level of evidence; PC<sub>20</sub> and PD<sub>20</sub>, provocation “concentration” or “dose” of methacholine causing a 20% decrease in FEV<sub>1</sub>; QOL, quality of life; RC, rhinoconjunctivitis; RCT, randomized controlled trial; SCIT, subcutaneous immunotherapy; SLIT, sublingual immunotherapy; VAS, visual analog scale.

<sup>a</sup>Strength of evidence moderate to high for asthma-focused studies and rhinitis-focused studies, respectively.

<sup>b</sup>Strength of evidence is moderate for both comparisons.

<sup>c</sup>SLIT administered as sublingual drops of standardized allergen for a build-up phase and then continued for maintenance phase.

<sup>d</sup>LOE downgraded due to small sample size.

## XIII.B | Rhinosinusitis

### XIII.B.1 | General association of allergic rhinitis with chronic rhinosinusitis

AR may be associated with CRS in several clinical settings.<sup>7</sup> CRS is a condition of the sinonasal cavity characterized by persistent inflammation. While the causes of inflammation vary, CRSwNP is generally associated with type 2 mediated inflammation, while CRSsNP tends to have less predominance of type 2 inflammation.<sup>7,183</sup> AR is predominantly driven by type 2 mediated inflammation and is thought to potentially be an inciting factor in the development of CRS, though the relationship remains unclear.<sup>376,2966</sup> This section will discuss the overall association between AR and CRSsNP as well as CRSwNP.

**Allergic rhinitis and chronic rhinosinusitis without nasal polyposis.** Since the previous iteration of ICAR-AR, there have been no new studies examining CRSsNP and AR.<sup>376,2966</sup> There are no controlled studies examining the role of AR in the development of CRSsNP and no studies showing that the treatment of allergic disease alters the progression of CRSsNP, or vice versa.<sup>1,7</sup> The Wilson et al.<sup>2967</sup> review continues to provide the most robust assessment of the relationship between allergy and CRSsNP, reporting four studies that supported an association between allergy and CRSsNP and five that do not. Because the correlation remains unclear, allergy testing is listed as an option in CRSsNP patients based on the theoretical benefit of identifying and treating comorbid allergic disease<sup>7,2967</sup> (Table XIII.B.1.-1).

#### Associated conditions – chronic rhinosinusitis without nasal polyps

Aggregate grade of evidence: D (Level 2: 1 study, level 3: 1 study, level 4: 8 studies, conflicting evidence; Table XIII.B.1.-1) Table adapted from Wilson et al.<sup>2967</sup>

**Allergic rhinitis and chronic rhinosinusitis with nasal polyposis.** The pathogenesis of CRSwNP is strongly associated with type 2 inflammation.<sup>7,183</sup> Additionally, nasal polyps have high levels of tissue eosinophils, as well as mast cells and basophils.<sup>7,183</sup> AR follows a similar inflammatory pathway and this suggests there may be a pathophysiologic similarities between CRSwNP and AR.<sup>1,7,183</sup> However, the clinical evidence for or against an association between AR and CRSwNP has been mixed.<sup>1,7</sup> Similar to CRSsNP, there have been no new studies specifically examining CRSwNP and AR since ICAR-Allergic Rhinitis 2018.<sup>1</sup> There is an expanding area of research on CCAD. (See Section XIII.B.3. Central Compartment Atopic Disease for additional information on this topic.) The evidence for a relationship between AR and CRSwNP remains conflicted. Ten studies support an association while ten do not, or have equivocal findings.<sup>2967</sup> Hypersensitivity to HDM, cockroach, and *Candida* have been associated with CRSwNP. Despite the overlapping pathophysiologic features between allergy and CRSwNP, conflicting evidence exists regarding an association between AR and CRSwNP. Allergy testing remains an option in CRSwNP patients based on the theoretical benefit of identifying and treating comorbid allergic

**TABLE XIII.B.1.-1** Evidence table – association between allergic rhinitis and chronic rhinosinusitis without nasal polyposis

| Study  | Year | LOE | Study design              | Study groups  | Clinical endpoints   | Conclusions  |
|--|------|-----|---------------------------|---|--|--|
| Baroody et al. <sup>2968</sup>                 | 2008 | 2   | RCT                       | CRSsNP with or without ragweed allergy, <i>n</i> = 18 | Reactivity in ragweed season determined by symptoms and sinus inflammation   | Allergic patients have increased reactivity and sinonasal inflammation in ragweed season       |
| Wilson et al. <sup>2967</sup>                  | 2014 | 3   | Systematic review         | CRSsNP with or without allergy                        | Association between CRSsNP and allergy                                       | Conflicting evidence, no clear association   |
| Tan et al. <sup>2969</sup>                     | 2011 | 4   | Prospective case-control  | CRSsNP with or without allergy, <i>n</i> = 63         | Rates of atopy in rhinitis versus CRSsNP                                     | No significant difference in rates of atopy (72% in rhinitis, 79% in CRSsNP)                   |
| Pearlman et al. <sup>2970</sup>                | 2009 | 4   | Prospective case series   | CRSsNP with or without allergy, <i>n</i> = 115        | CT scores  | No difference in CT scores   |
| Gelincik et al. <sup>2971</sup>                | 2008 | 4   | Prospective case series   | CRSsNP with or without allergy, <i>n</i> = 66         | Prevalence of CRSsNP in allergic and non-allergic rhinitis patients          | CRSsNP equally prevalence in allergic (43%) and non-allergic (50%) rhinitis patients           |
| Kirtsreesakul and Rutta-naphol <sup>2972</sup> | 2008 | 4   | Retrospective case series | CRSsNP with or without allergy, <i>n</i> = 198        | Sinus x-rays<br>Nasal endoscopy  | Allergic patients had a higher incidence of abnormal sinus x-rays                              |
| Robinson et al. <sup>2973</sup>                | 2006 | 4   | Prospective case series   | CRSsNP with or without allergy, <i>n</i> = 193        | Lund-Mackay CT scores<br>Symptom scores                                      | Allergy not associated with CT findings or symptoms scores                                     |
| Alho et al. <sup>2974</sup>                    | 2004 | 4   | Prospective case series   | CRSsNP with or without allergy, <i>n</i> = 48         | CT findings during viral URTI<br>Incidence of <i>S. aureus</i> sensitization | Allergic patients had higher CT scores and higher incidences of <i>S. aureus</i> sensitization |
| Van Zele et al. <sup>2975</sup>                | 2004 | 4   | Prospective case-control  | CRSsNP with or without allergy, <i>n</i> = 31         | Rates of <i>S. aureus</i> colonization                                       | No difference in colonization rates  |
| Berrettini et al. <sup>2976</sup>              | 1999 | 4   | Prospective case-control  | CRSsNP with or without allergy, <i>n</i> = 77         | CT scan findings<br>Nasal endoscopy<br>Nasal swabs<br>Rhinomanometry         | Increased CT evidence of sinusitis in allergy (68%) versus non-allergic (33%) patients         |

Abbreviations: CRSsNP, chronic rhinosinusitis without nasal polyps; CT, computed tomography; LOE, level of evidence; RCT, randomized controlled trial; URTI, upper respiratory tract infection.

disease, especially since allergy may be seen in these patients<sup>7,2967</sup> (Table XIII.B.1.-2).

#### Associated conditions – chronic rhinosinusitis with nasal polyps

*Aggregate grade of evidence:* D (Level 3: 5 studies, level 4: 16 studies, conflicting evidence; Table XIII.B.1.-2) Table adapted from Wilson et al.<sup>2967</sup>

In summary, the association between AR and CRSwNP or CRSsNP remains unclear, with conflicting evidence.

The available literature is limited by varying definitions of allergy versus AR as well as a failure to separate CRSwNP and CRSsNP. Studies that combined CRSwNP and CRSsNP in their evaluation of a potential CRS-AR association were excluded from the Wilson et al.<sup>2967</sup> review and the ICAR-Allergic Rhinitis 2018<sup>1</sup> and are not included here. As our understanding of CRS endotypes and inflammatory patterns evolves, it becomes more pertinent to specify the relationship of AR with specific CRS disease processes (allergic fungal rhinosinusitis [AFRS], CCAD, AERD), which are discussed in the following sections.

Despite the unclear relationship, the diagnosis and treatment of comorbid allergy is an option in rhinosinusitis patients balancing the cost and low evidence with the low



**TABLE XIII. B.1. - 2** Evidence table – association between allergic rhinitis and chronic rhinosinusitis with nasal polyposis

| Study                                     | Year | LOE | Study design              | Study groups  | Clinical endpoints  | Conclusions  |
|---|------|-----|---------------------------|---|---|--|
| Al-Qudah <sup>2977</sup>                  | 2016 | 3   | Prospective cohort study  | CRSwNP compared to CRSsNP, <i>n</i> = 155             | Rates of food sensitivity   | No difference between allergic and non-allergic patients |
| Li et al. <sup>2978</sup>                 | 2016 | 3   | Prospective cohort study  | CRSwNP with or without allergy, <i>n</i> = 210        | Nasal endoscopy<br>CT scores<br>Serum inflammatory markers  | No difference between allergic and non-allergic patients |
| Wilson et al. <sup>2967</sup>             | 2014 | 3   | Systematic review         | CRSwNP with or without allergy                        | Association between CRSwNP and allergy  | Conflicting evidence, no clear association               |
| Houser and Keen <sup>2979</sup>           | 2008 | 3   | Retrospective case series | CRSwNP with or without allergy, <i>n</i> = 373        | Nasal polyposis   | AR associated with the development of nasal polyposis    |
| Kirtsree-sakul <sup>2980</sup>            | 2002 | 3   | Prospective cohort study  | CRSwNP with or without allergy, <i>n</i> = 68         | Response to budesonide nasal sprays (sneezing, oral and nasal peak flow, overall response to therapy) | Improved response in non-allergic patients               |
| Gorgulu et al. <sup>2981</sup>            | 2012 | 4   | Prospective case-control  | CRSwNP compared to controls, <i>n</i> = 60            | Rate of allergen sensitivity  | No difference between allergic and non-allergic patients |
| Lill et al. <sup>2982</sup>               | 2011 | 4   | Prospective case-control  | CRSwNP compared to controls, <i>n</i> = 50            | Rates of food sensitivity   | Higher rate of milk sensitivity in CRSwNP                |
| Tan et al. <sup>2969</sup>                | 2011 | 4   | Prospective case-control  | CRSwNP with or without allergy, <i>n</i> = 62         | Rates and number of antigen sensitivity   | No difference in rates of sensitivity                    |
| Munoz del Castillo et al. <sup>2983</sup> | 2009 | 4   | Prospective case-control  | CRSwNP compared to controls, <i>n</i> = 190           | Rates of allergy compared to control  | Higher rates of allergy in CRSwNP versus control         |
| Pearlman et al. <sup>2970</sup>           | 2009 | 4   | Prospective case series   | CRSwNP with or without allergy, <i>n</i> = 40         | Prevalence of CRSwNP in allergic or non-allergic patients   | No difference between allergic and non-allergic patients |
| Bonfils and Malinvaud <sup>2984</sup>     | 2008 | 4   | Prospective case series   | CRSwNP with or without allergy, <i>n</i> = 63         | Postoperative course<br>Recurrence  | No difference between allergic and non-allergic patients |
| Erbek et al. <sup>2985</sup>              | 2007 | 4   | Retrospective case series | CRSwNP with or without allergy, <i>n</i> = 83         | Polyp size<br>Symptom scores<br>Recurrence  | No difference between allergic and non-allergic patients |
| Bonfils et al. <sup>2986</sup>            | 2006 | 4   | Prospective case series   | CRSwNP with or without allergy, <i>n</i> = 180        | Endoscopy<br>CT scores  | No difference between allergic and non-allergic patients |
| Collins et al. <sup>2987</sup>            | 2006 | 4   | Prospective case-control  | CRSwNP compared to controls, <i>n</i> = 40            | Rates of food sensitivity   | Higher rates of food sensitivity in CRSwNP               |
| Van Zele et al. <sup>2975</sup>           | 2004 | 4   | Prospective case-control  | CRSwNP compared to CRSsNP and controls, <i>n</i> = 55 | Rates of <i>S. aureus</i> colonization  | Higher rates of colonization in CRSwNP                   |
| Asero and Bottazzi <sup>2988</sup>        | 2001 | 4   | Prospective case-control  | CRSwNP compared to non-polyp controls, <i>n</i> = 68  | Rates of <i>Candida</i> and house dust sensitivity  | Higher rates of sensitivity in CRSwNP                    |

(Continues)

TABLE XIII.B.1.-2 (Continued)

| Study                              | Year | LOE | Study design             | Study groups  | Clinical endpoints                                     | Conclusions  |
|------------------------------------|------|-----|--------------------------|---|--|--|
| Vogels et al. <sup>2989</sup>      | 2001 | 4   | Prospective case-control | CRSwNP with or without allergy, <i>n</i> = 39       | Rates of asthma in allergic or non-allergic patients   | Higher rates of asthma in allergic patients  |
| Asero and Bottazzi <sup>2990</sup> | 2000 | 4   | Prospective case-control | CRSwNP compared to allergic controls, <i>n</i> = 20 | Rates of <i>Candida</i> sensitivity                    | Higher rates of sensitivity in CRSwNP  |
| Pang et al. <sup>2991</sup>        | 2000 | 4   | Prospective case-control | CRSwNP compared to controls, <i>n</i> = 80          | Rates of food sensitivity                              | Higher rates of food sensitivity in CRSwNP   |
| Pumhirun et al. <sup>2992</sup>    | 1999 | 4   | Prospective case-control | CRSwNP compared to controls, <i>n</i> = 40          | Incidence of house dust and cockroach allergy          | Higher rates of allergy in CRSwNP compared to control  |
| Keith et al. <sup>2993</sup>       | 1994 | 4   | Prospective case-control | CRSwNP with or without allergy, <i>n</i> = 64       | Symptom scores<br>Serum levels of inflammatory markers | No difference except in patients with ragweed allergy<br>Ragweed positive patients had increased symptom scores and serum levels |

Abbreviations: AR, allergic rhinitis; CT, computed tomography; CRSwNP, chronic rhinosinusitis with nasal polyps; LOE, level of evidence.

risk of allergic rhinosinusitis treatment and the theoretical benefits of reducing allergic sinonasal inflammation.<sup>7</sup>

### XIII.B.2 | Allergic fungal rhinosinusitis

AFRS is a non-invasive, chronic, hypertrophic form of rhinosinusitis that affects immunocompetent hosts and is associated with an IgE-mediated local inflammatory response to extramucosal fungi present in the sinonasal cavities.<sup>2994,2995</sup> The Bent and Kuhn criteria are the most commonly cited diagnostic criteria for AFRS and include type I IgE-mediated hypersensitivity, recognizing that the diagnosis of AFRS requires a positive allergy history<sup>2996</sup> and that type I hypersensitivity can be used to distinguish IgE-mediated forms of rhinosinusitis, such as AFRS and CCAD, from other forms of non-IgE-mediated rhinosinusitis.<sup>2997</sup>

Various studies have demonstrated the importance of IgE in the pathophysiology of AFRS, with both systemic and local IgE and fungal sIgE production consistently shown to be elevated in this disease process.<sup>2998–3000</sup> Additionally, it has been determined that most AFRS patients have detectable fungal sIgE in their allergic mucin.<sup>3001,3002</sup> Wise et al.<sup>3003</sup> further established that there is a significant increase in localized IgE staining of the sinus epithelium and subepithelium in AFRS patients compared to controls and CRSsNP patients. The role of type I hypersensitivity in AFRS, even in the absence of positive serum sIgE to fungal allergens, has also been demonstrated<sup>3004,3005</sup> (Table XIII.B.2).

Although generally both CRSsNP and CRSwNP have been found to have an equivocal association with allergy,<sup>2967</sup> 100% of AFRS patients in a study by Marcus et al.<sup>1225</sup> demonstrated positive allergy testing. Allergy testing and treatment is not recommended in CRS unless there are concurrent AR symptoms and sensitivities, respectively,<sup>6</sup> but some data support a role for AIT in improving AFRS patient outcomes in terms of reliance on systemic or topical corticosteroids, need for revision surgery, sinonasal crusting, QOL scores, and objective endoscopy scores.<sup>3006,3007</sup> Still, a systematic review by Gan et al.<sup>3008</sup> reported a grade C in quality of evidence for AIT in AFRS, so it is considered an option in refractory AFRS cases.

The exact role of allergy and fungal hypersensitivity in the pathogenesis of AFRS has long been debated, partially due to a vague understanding of eosinophilic mucin CRS subtypes, including those classified as CRS with eosinophilic mucin but without the presence of fungi. Furthermore, eosinophilic mucin and polyps, which must be present to diagnose AFRS, can occur in the absence of allergy.<sup>3009,3010</sup> Pant et al.<sup>3010</sup> showed that elevated IgG3 levels specific to *Alternaria alternata* and *Aspergillus fumigatus* could distinguish eosinophilic mucin CRS from control groups, which suggests a possible fungal-specific non-allergic immune response in AFRS, and Clark et al.<sup>3011</sup> found significantly higher levels of *Staphylococcus aureus* in AFRS patients as compared to non-AFRS patients, again suggesting a different type of immune mechanism in the pathophysiology of AFRS. In addition, with improved fungal culture techniques, some

**TABLE XIII.B.2** Evidence table – association between allergic rhinitis and allergic fungal rhinosinusitis

| Study                            | Year | LOE            | Study design            | Study groups   | Clinical endpoints   | Conclusions   |
|----------------------------------|------|----------------|-------------------------|--|--|---|
| Gan et al. <sup>3008</sup>       | 2014 | 2 <sup>a</sup> | Systematic review       | Adults, AFRS (Bent and Kuhn <sup>2996</sup> criteria), post-sinus surgery, clearly defined endpoint  | Efficacy of six medical modalities for AFRS: oral steroids, INCS, oral antifungals, topical antifungals, AIT, leukotriene modulators                       | Recommend: systemic and standard INCS<br>Option: nonstandard INCS, oral antifungals, AIT<br>No recommendation: topical antifungals, leukotriene modulators  |
| Chang and Fang <sup>3004</sup>   | 2008 | 3              | Prospective cohort      | CRSwNP patients, $n = 34$ :<br>AFRS<br>Fungal sinusitis<br>CRS   | sIgE profile of maxillary sinus mucosa<br>Allergic symptoms<br>Fungal hyphae<br>Eosinophilic mucin   | All AFRS patients had allergic symptoms and positive sIgE to mites or house dust<br>None had positive serum sIgE to <i>Aspergillus</i><br>85.7% had tissue sIgE to <i>Aspergillus</i>   |
| Wise et al. <sup>3003</sup>      | 2008 | 3              | Prospective comparative | Sinus mucosa from: AFRS patients, $n = 11$<br>CRSwNP patients, $n = 8$<br>Controls, $n = 9$  | Tissue assessed for: IgE localization by immunohistochemistry<br>sIgE to 14 common antigens  | More IgE staining in AFRS sinus epi-/subepithelium versus controls and CRSwNP<br>AFRS sinus tissue had more sIgE versus control for 7 of 14 antigens ( $p < 0.05$ ) and total IgE ( $p = 0.004$ )   |
| Saravanan et al. <sup>2997</sup> | 2006 | 3              | Prospective comparative | 70 consecutive patients with CRS $\pm$ polyps:<br>M+F+ (likely AFRS, $n=36$ )<br>M+F- (likely EMCRS, $n=12$ )<br>M-F+ (likely sinus mycetoma, $n=4$ )<br>M-F- (CRS from other causes, $n=18$ )   | Skin test against aspergillin antigen, $n = 47$<br>Histopathologic monitoring for the presence of mucin<br>Mycologic monitoring for the presence of fungus | Type 1 hypersensitivity was significantly associated with the AFRS group ( $p < 0.05$ )   |
| Pant et al. <sup>3010</sup>      | 2005 | 3              | Prospective comparative | EMCRS patients grouped based on $\pm$ fungi within mucin and systemic fungal-sIgE:<br>AFRS, $n = 12$<br>AFRS-like, $n = 5$<br>Non-allergic fungal eosinophilic sinusitis, $n = 8$<br>Nonallergic, nonfungal eosinophilic sinusitis, $n = 5$<br>Healthy control, $n = 15$<br>Diseased control, $n = 41$ | <i>Alternaria alternata</i> and <i>Aspergillus fumigatus</i> -specific serum IgE, IgG, IgM, and IgA levels   | Fungal-specific IgG and IgA levels higher in EMCRS versus healthy controls but not versus diseased controls<br>Fungal-specific IgG3 levels elevated in all EMCRS subgroups versus controls ( $p < 0.0001$ )<br>Fungal-sIgE levels not significantly different between fungal-allergic EMCRS and diseased controls |

(Continues)

TABLE XIII.B.2 (Continued)

| Study                                | Year | LOE | Study design             | Study groups  | Clinical endpoints  | Conclusions   |
|--------------------------------------|------|-----|--------------------------|---|---|---|
| Collins et al. <sup>3002</sup>       | 2004 | 3   | Prospective cohort       | 86 consecutive patients with polyps and “fungal-like” mucin   | Mucin tested for fungal-sIgE and fungal culture<br>Serum fungal-sIgE and total IgE, eosinophil count, CRP, and ECP levels   | AFRS patients more likely to have fungal-sIgE in sinus mucin (17/24, 71%, $p = 0.02$ )<br>In fungal culture (+) patients, positive mucin fungal-sIgE associated with systemic fungal allergy ( $p = 0.005$ )<br>Mean ECP and total IgE elevated in AFRS group   |
| Stewart and Hunsaker <sup>3000</sup> | 2002 | 3   | Prospective cohort       | AFRS, $n = 13$<br>AFRS-like, $n = 11$<br>Non-AFRS polypoid CRS, $n = 27$<br>Non-polyp controls, $n = 28$ (17 with AR, 11 non-atopic)  | Fungal sIgG and sIgE using a 9-mold RAST panel  | Among patients with polypoid CRS, patients with AFRS had increased sIgE levels to an average of five molds versus 0.1 mold in those without AFRS  |
| Ponikau et al. <sup>3012</sup>       | 1999 | 3   | Prospective cohort       | 210 consecutive patients with CRS   | Detection of fungi in nasal lavage<br>Value of allergy testing in AFRS diagnosis  | Fungal cultures positive in 96% of CRS patients<br>AFRS diagnosed in 93% of 101 consecutive surgical cases with CRS based on histopathologic findings and culture results<br>Type 1 hypersensitivity not prevalent in majority of AFRS patients   |
| Folker et al. <sup>3007</sup>        | 1998 | 3   | Prospective case control | AFRS patients treated with sinus surgery, corticosteroids, antibiotics as needed, $n = 22$ :<br>Postoperative AIT<br>No postoperative AIT   | Objective outcomes based on EMSS<br>Sinusitis-specific QOL scale (CSS)<br>Reliance on systemic and topical corticosteroids  | Improvement in treatment group:<br>EMSS $p < 0.001$<br>CSS $p = 0.002$<br>Reliance on systemic ( $p < 0.001$ ) and topical ( $p = 0.043$ ) corticosteroids to control disease   |
| Mabry et al. <sup>3006</sup>         | 1998 | 3   | Prospective cohort       | AFRS patients post-sinus surgery had allergy testing for 11 fungal and 12 nonfungal antigens, then AIT for 1–36 months ( $n = 23$ ; 15 still on AIT at publication)<br>Patients with early discontinuation of AIT | Need for systemic or topical nasal steroids<br>Nasal crusting, accumulation of allergic mucin or debris in the sinus cavities, mucosal edema, or reformation of polyps<br>Need for repeat surgery | No adverse events or deleterious effects of AIT<br>Treatment group: revision surgery (two patients), methylprednisone (one patient)<br>Control group: two patients with frequent use of oral steroids and recommendation for revision surgery, one patient with recurrent disease at 4 months post-op |

(Continues)

TABLE XIII.B.2 (Continued)

| Study                            | Year | LOE | Study design              | Study groups   | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|---------------------------|--|--|--|
| Marcus et al. <sup>1225</sup>    | 2020 | 4   | Retrospective             | 252 polyp patients who underwent allergy testing:<br>AERD, <i>n</i> = 75<br>AFRS, <i>n</i> = 70<br>CCAD, <i>n</i> = 27<br>CRSwNP NOS, <i>n</i> = 75<br>CRSwNP/CC, <i>n</i> = 5 | Positive allergy history and testing   | Positive allergy history and testing:<br>AERD 82.6%, 77.3%<br>AFRS 100%, 100%<br>CCAD 97.6%, 92.6%<br>CRSwNP NOS 56.1%, 88%<br>CRSwNP/CC 84.6%, 80%  |
| Clark et al. <sup>3011</sup>     | 2013 | 4   | Retrospective case series | AFRS patients, <i>n</i> = 19<br>CRSwNP patients, <i>n</i> = 21   | Bacterial cultures<br>Fungal cultures  | <i>S. aureus</i> more prevalent in the AFRS group versus non-AFRS group (63.2% versus 24.1%, <i>p</i> = 0.005)   |
| Hutcheson et al. <sup>2998</sup> | 2010 | 4   | Case-control              | AFRS patients, <i>n</i> = 64<br>CRS patients, <i>n</i> = 35  | Serum total IgE<br>IgG anti- <i>Alternaria</i> -specific antibodies<br>IgE antifungal antibodies | Mean serum total IgE, IgG anti- <i>Alternaria</i> -specific antibodies, and IgE antifungal bands increased in AFRS versus CRS patients   |
| Cody et al. <sup>3013</sup>      | 1994 | 4   | Retrospective cohort      | 789 histologic specimens, 44 had allergic mucin:<br>AFRS based on fungal hyphae in mucin or positive fungal culture, <i>n</i> = 26<br>AFRS-like mucin, <i>n</i> = 18           | Culture results of 31 of the 44 AFRS patients  | 19 of the 31 had negative culture results  |
| Manning et al. <sup>2999</sup>   | 1993 | 4   | Case-control              | AFRS patients with positive fungal cultures, <i>n</i> = 16<br>Control patients with similar clinical findings but no histologic or culture evidence of AFRS, <i>n</i> = 5      | RAST to multiple fungal antigens   | All AFRS patients RAST-positive to at least one fungal antigen in the family of their cultured organism<br>No control patient was RAST-positive to either dematiaceous or <i>Aspergillus</i> fungal antigens |

Abbreviations: AERD, aspirin exacerbated respiratory disease; AFRS, allergic fungal rhinosinusitis; AIT, allergen immunotherapy; CC, central compartment; CCAD, central compartment atopic disease; CRP, C-reactive protein; CRS, chronic rhinosinusitis; CRSsNP, chronic rhinosinusitis without nasal polyps; CRSwNP, chronic rhinosinusitis with nasal polyps; CSS, Chronic Sinusitis Survey; ECP, eosinophilic cationic protein; EMCRS, eosinophilic mucin chronic rhinosinusitis; EMSS, endoscopic mucosal staging system; F, fungal/mycelial element; Ig, immunoglobulin; INCS, intranasal corticosteroid; LOE, level of evidence; M, allergic mucin; NOS, not otherwise specified; QOL, quality of life; RAST, radioallergosorbent test; sIgE, allergen-specific immunoglobulin E.

<sup>a</sup>LOE downgraded due to inclusion of cohort studies primarily.

studies report the presence of fungi in nearly 100% of non-AFRS CRS patients and control subjects, further complicating the true role of fungi in AFRS.<sup>3009,3012-3014</sup> Despite these debates, there is evidence demonstrating the important role allergy and type 2 inflammation play in the pathophysiology, diagnosis, and treatment of AFRS.<sup>3015</sup>

#### Associated conditions – allergic fungal rhinosinusitis

Aggregate grade of evidence: C (Level 2: 1 study, level 3: 9 studies, level 4: 5 studies; Table XIII.B.2)



### XIII.B.3 | Central compartment atopic disease

CCAD is a distinct variant of CRS described as polypoid changes of central compartment (CC) structures where airflow is most prominent, including the MT, superior turbinate, and or/posterosuperior nasal septum. There is relative disease sparing of the peripheral sinus cavities, and studies suggest a strong association with allergy.<sup>1222</sup> In 2014 White et al.<sup>1219</sup> first described the association between allergy and isolated MT polypoid edema, with 16/16 patients having allergen sensitization. Hamizan et al.<sup>1220</sup> found that MT edema/polypoid has a high specificity and positive predictive value for the presence of inhalant allergy, with the highest grades of MT edema having the strongest association. In comparing patients with isolated MT polyposis to those with paranasal sinus polyposis, Brunner et al.<sup>1221</sup> found clinically distinct features as patients with isolated MT polyposis were more commonly younger, female, had lower Lund–Mackay CT scores, and had a significantly higher association with AR compared to those with diffuse polyposis ( $p < 0.001$ ) (Table XIII.B.3).

In 2017, DelGaudio et al.<sup>1222</sup> introduced the term CCAD to describe this distinct variant of sinonasal disease. Further progression of CCAD results in involvement of the sinuses by lateralization or polypoid changes of the MT causing secondary obstruction of the sinuses in a medial to lateral progression. In a multi-institutional case series including 15 patients, all patients had symptoms consistent with AR and allergen sensitization was seen in the 14 patients who underwent allergy testing. Based on computational fluid dynamics, the proposed pathophysiology is a local immune response related to antigen deposition in CC structures exposed to inhaled allergens.<sup>1222</sup> To further characterize CCAD, Roland et al.<sup>1226</sup> described radiologic features that differentiate CCAD from other CRSwNP subtypes, including oblique MT orientation, septal involvement, and lower Lund–Mackay score.

While there is conflicting data regarding the association between allergy and CRS in general, there is evidence to support an association between allergy and CCAD. In a subtype analysis of patients with CRSwNP, Marcus et al.<sup>1225</sup> reported significantly higher allergy prevalence in patients with CCAD compared with CRSwNP not otherwise specified ( $p < 0.001$ ). In patients with radiologic features of CCAD, Hamizan et al.<sup>1224</sup> noted a significantly higher association with allergen sensitization compared to the non-CCAD group ( $p = 0.03$ ). Abdullah et al.<sup>1228</sup> reported similar results with 100% of patients with CCAD having sensitization to HDM, compared to only 13.6% of non-CCAD patients ( $p = 0.00$ ). Additionally, Lee et al.<sup>1227</sup> found higher blood eosinophil and serum IgE levels, and higher prevalence of allergen sensitization in pedi-

atric patients with CCAD compared to non-CCAD ( $p = 0.008$ ). While no association between CCAD and allergy sensitization was noted in CRS patients in East Asia, patients with CCAD had significantly higher peripheral eosinophils ( $p = 0.001$ ), tissue eosinophils ( $p = 0.005$ ), and IL-13 ( $p < 0.05$ ) and IL-5 levels ( $p < 0.05$ ) in MT tissue compared to the non-CCAD group, suggesting an eosinophilic/type 2 inflammatory response.<sup>3016</sup> Radiologic features can be predictive of CCAD, but edema/polypoid of the CC on endoscopy remains the current diagnostic standard. In a study by Lin et al.,<sup>3016</sup> patients with minor CC radiologic findings and essentially normal endoscopy were included in the CC-CRSsNP group, which may not meet the definition of CCAD according to DelGaudio et al.<sup>1222</sup> While CCAD is a distinct variant of sinonasal disease, CC disease can be found in other processes such as AERD and respiratory epithelial adenomatoid hamartoma, with studies reporting a positive association with AR.<sup>1223,3017,3018</sup>

#### Associated conditions – central compartment atopic disease

*Aggregate grade of evidence:* C (Level 3: 2 studies, level 4: 11 studies; Table XIII.B.3)

### XIII.B.4 | Aspirin exacerbated respiratory disease

AERD is a chronic inflammatory condition that includes the tetrad of asthma, nasal polyposis, eosinophilic rhinosinusitis, and a non-IgE-mediated reaction to inhibitors of the COX-1 enzyme.<sup>3019</sup> Although considered an inflammatory disease that results from dysregulation of arachidonic acid metabolism leading to an overproduction of leukotrienes and not a true allergic condition, there are data that suggest an association between AERD and IgE-mediated allergy.

Historically, Samter and Beers reported the prevalence of atopy in AERD as less than 3% ( $n = 182$ ) using the criteria of positive SPT, and either a family history of atopy or a correlation between allergen exposure and clinical symptoms.<sup>3020</sup> However, recent evidence supports a higher atopic rate in AERD.<sup>3021–3024</sup> In one cohort, 200 of 300 (66%) AERD subjects had a history of positive SPT,<sup>3022</sup> and in a latent class analysis of AERD sub-phenotypes, 105 of 201 (52.2%) patients had positive aeroallergen SPT responses,<sup>3021</sup> with the most common allergen being HDM (29.6%).<sup>3024</sup> In another study that evaluated personal atopic history, SPT, and elevated total and specific IgE,

**TABLE XIII.B.3** Evidence table – association between allergic rhinitis and central compartment atopic disease

| Study                           | Year | LOE | Study design    | Study groups   | Clinical endpoints   | Conclusions   |
|---------------------------------|------|-----|-----------------|--|--|---|
| Lee et al. <sup>1227</sup>      | 2021 | 3   | Cross-sectional | Pediatric CRS subtypes, <i>n</i> = 82  | Allergen sensitivity<br>Peripheral eos<br>tIgE<br>CT and endoscopy<br>pattern of disease           | Increased peripheral eos ( <i>p</i> = 0.020), serum IgE ( <i>p</i> = 0.23) in CCAD versus non-CCAD<br>Higher prevalence of allergen sensitization in CCAD (87.1%) versus non-CCAD (62.4%) ( <i>p</i> = 0.008) |
| Hamizan et al. <sup>1220</sup>  | 2017 | 3   | Cross-sectional | Patients with rhinitis and negative CT scan, <i>n</i> = 187                      | Allergen sensitivity<br>Endoscopic MT<br>edema grading   | MT edema/polyps associated with inhalant allergy; higher grades have stronger association<br>PPV 85.1%, specificity 94.7%, and sensitivity 23.4% determined multifocal MT edema as a cutoff on ROC analysis   |
| Lin et al. <sup>3016</sup>      | 2021 | 4   | Case-control    | CRS subtypes, <i>n</i> = 67:<br>CC CRS<br>Non-CC CRS                             | Symptoms<br>SNOT-22<br>Peripheral eos<br>Allergen sensitivity<br>L-M score<br>Inflammatory markers | CC CRS higher peripheral eos ( <i>p</i> = 0.001), tissue eos ( <i>p</i> = 0.005), MT IL-13 and MT/polyp IL-5 versus non-CC CRS<br>No difference in allergen sensitization in CC and non-CC CRS                |
| Makary et al. <sup>3017</sup>   | 2021 | 4   | Case-control    | Eosinophilic CRS subtypes, <i>n</i> = 200:<br>AERD<br>AFRS<br>eCRSwNP<br>Control | Radiologic pattern of disease and CC involvement   | Preop and postop CC distance significantly higher in AERD compared to controls, AFRS, and eCRSwNP ( <i>p</i> < 0.0001)  |
| Abdullah et al. <sup>1228</sup> | 2020 | 4   | Case-control    | CRSwNP, <i>n</i> = 38  | Allergen sensitivity<br>CT and endoscopy<br>pattern of disease                                     | Increased allergen sensitivity in CCAD (100%) versus non-CCAD pattern (13.6%) ( <i>p</i> = 0.00)<br>CCAD associated with higher rates of MT polypoid edema ( <i>p</i> = 0.009–0.017)                          |
| Marcus et al. <sup>1225</sup>   | 2020 | 4   | Case-control    | CRSwNP subtypes, <i>n</i> = 356:<br>AFRS<br>AERD<br>CCAD<br>CRSwNP NOS           | Allergy and asthma prevalence by subtype   | Allergen sensitivity increased in CCAD, AERD and AFRS compared with CRSwNP NOS ( <i>p</i> < 0.001)<br>CCAD significantly higher association with allergy ( <i>p</i> < 0.001) than CRSwNP NOS                  |

(Continues)

TABLE XIII.B.3 (Continued)

| Study                            | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions  |
|----------------------------------|------|-----|--------------|---|--|--|
| Roland et al. <sup>1226</sup>    | 2020 | 4   | Case-control | CRSwNP subtypes, <i>n</i> = 356:<br>AFRS<br>AERD<br>CCAD<br>CRSwNP NOS      | CT pattern of opacification  | CCAD radiologically associated with oblique MT orientation, septal involvement, and lower L-M score  |
| Schertzer et al. <sup>3018</sup> | 2020 | 4   | Case series  | REAH, <i>n</i> = 26   | CCAD involvement in REAH   | 94.7% of REAH patients had clinical AR<br>CCAD identified in 19.2% of REAH patients  |
| DelGaudio et al. <sup>1223</sup> | 2019 | 4   | Case series  | AERD, <i>n</i> = 72   | CC involvement in AERD   | 80.6% AERD patients had CC disease<br>CC findings in AERD are associated with clinical allergy ( <i>p</i> < 0.0001)  |
| Hamizan et al. <sup>1224</sup>   | 2018 | 4   | Case series  | CRS, <i>n</i> = 112   | CT disease pattern: diffuse versus central<br>Allergen sensitivity                     | CCAD higher association with allergen sensitization versus non-CCAD (73.53% versus 53.16%, <i>p</i> = 0.03)<br>Central disease was associated with allergen sensitization ( <i>p</i> = 0.03, specificity 90.82%, PPV 73.53%).                      |
| Brunner et al. <sup>1221</sup>   | 2017 | 4   | Case series  | <i>n</i> = 67<br>Diffuse sinonasal polyposis<br>Isolated MT polypoid change | Demographics<br>Presence of CRS, AR, asthma<br>SNOT-22, NOSE<br>L-M score<br>Eos, tIgE | Isolated MT polypoid patients had greater association with AR versus diffuse paranasal sinus polyposis (83% versus 34%, <i>p</i> < 0.001)<br>Isolated MT polypoid patients: more commonly female, younger, lower L-M score, lower incidence of CRS |
| DelGaudio et al. <sup>1222</sup> | 2017 | 4   | Case series  | CCAD, <i>n</i> = 15   | Characteristics of CCAD  | Introduced the term CCAD<br>100% of patients had allergy symptoms<br>93.3% had positive allergy testing  |
| White et al. <sup>1219</sup>     | 2014 | 4   | Case series  | Isolated MT polyps/polypoid edema, <i>n</i> = 25                            | Allergen sensitivity   | First described strong association between allergy and isolated MT polypoid edema/polyps<br>100% undergoing allergy testing positive for inhalant allergy  |

Abbreviations: AERD, aspirin exacerbated respiratory disease; AFRS, allergic fungal rhinosinusitis; AR, allergic rhinitis; CC, central compartment; CCAD, central compartment atopic disease; CRS, chronic rhinosinusitis; CRSwNP, chronic rhinosinusitis with nasal polyps; CT, computed tomography; eCRSwNP, eosinophilic chronic rhinosinusitis with nasal polyps; eos, eosinophils; IgE, immunoglobulin E; IL, interleukin; L-M, Lund-Mackay CT score; LOE, level of evidence; MT, middle turbinate; NOS, not otherwise specified; NOSE, Nasal Obstruction Symptom Evaluation; PPV, positive predictive value; REAH, respiratory epithelioid adenomatous hamartoma; ROC, receiver-operating characteristic curve; SNOT, Sinonasal Outcome Test; tIgE, total immunoglobulin E.

AERD subjects had a higher rate of atopy than controls (53.9% vs. 14%,  $p < 0.001$ )<sup>3025</sup> (Table XIII.B.4).

When compared to other forms of CRS, greater rates of physician diagnosed AR and positive SPT were found in AERD subjects when compared with CRSwNP subjects (80% vs. 66%,  $p < 0.001$ ).<sup>3026</sup> Recently, a retrospective study investigated the prevalence of atopy in patients with various CRS phenotypes ( $n = 380$ ) and found that a significantly higher percentage of atopic CRS patients had AERD (9.4% atopic vs. 1.1% non-atopic subjects).<sup>3027</sup>

Although the aforementioned studies demonstrate a higher rate of atopy in AERD compared to other forms of CRS, it should be noted that AERD is not driven by sIgE-mediated reactions. Even though local IgE levels within AERD nasal polyps are significantly elevated when compared with nasal tissue from other CRSwNP patients and healthy controls, this does not reflect atopic status.<sup>3028</sup> Similarly, serum tIgE is often elevated in AERD patients but does not discriminate atopic from non-atopic AERD populations.<sup>3021</sup>

The understanding that AERD is not driven by traditional atopic mechanisms has important ramifications regarding treatment. In a survey of 190 patients with AERD, 86 (45%) of respondents had concomitant AR treated with AIT.<sup>3029</sup> More than half did not perceive any clinical benefit, and only 8% reported significant efficacy. This contrasts with non-AERD patients with AR, in whom rates of improvement with AIT are greater than 80%.<sup>2419</sup> The high failure rate of AIT in AERD suggests that amelioration of any atopic component of their symptoms is overwhelmed by the non-allergic AERD mechanisms. Although it is important to note that AIT has not been properly studied as a treatment option for AERD.

In summary, despite the high rate of concomitant atopy in AERD, symptoms related to inhalant sensitization are not responsible for the majority of AERD symptoms. Therefore, allergen-directed therapies, such as standard AIT, are unlikely to be efficacious for most AERD patients. Nevertheless, clinicians should elicit atopic histories for contributory comorbid AR, as recent expert guidance suggests routine allergy testing in AERD for sensitization to inhalant allergens.<sup>3030</sup> However, AIT may only be highest yield for candidates with obvious seasonal variation to their symptoms and identifiable environmental triggers.

#### Associated conditions – aspirin exacerbated respiratory disease

*Aggregate grade of evidence:* C (Level 3: 3 studies, level 4: 3 studies; Table XIII.B.4)

### XIII.C | Conjunctivitis

Although the association between AR and AC is well recognized, accurate insight into ocular allergy prevalence is complicated by multiple factors.<sup>3031,3032</sup> Most prevalence studies use variable definitions of AC and may employ several different assessment questionnaires. Additionally, most studies do not distinguish specifically between AR and AC symptoms. Rather, AC is considered a secondary manifestation of AR.<sup>756,773</sup> There is phenotypic diversity of both AR and AC, with very few studies adequately characterizing the phenotypes of their study samples. Further, many epidemiologic studies are based solely on subjective questionnaires rather than incorporating objective evidence of allergic sensitization (Table XIII.C).

Overall, there is a significant burden of associated AC in patients with AR. In the US, the 1988–1994 NHANES III survey ( $n = 33,994$ ) found a 30% prevalence of concomitant AR and AC.<sup>3033</sup> Isolated ocular symptoms were reported by 6%, more frequently in patients over 50 years old – which may be attributable to dry eye and concomitant ocular conditions contributing to symptom severity. AC was associated with skin test positivity to all allergen classes except mold.

Similar AC prevalence trends are echoed globally,<sup>3034–3039</sup> with higher rates noted in some studies. In one report, 95% of 187 Australian patients with allergist-diagnosed AR reported ocular allergy.<sup>3040</sup> A Swiss survey of hay fever patients showed 85% prevalence of concomitant nasal and eye symptoms.<sup>3041</sup> A cross-sectional Italian study of 2150 adolescents determined that more than half of the respondents with AR also had AC.<sup>3038</sup> Comorbid AC also conferred an increased risk of asthma (OR 5.23) versus AR alone (OR 2.28).<sup>3038</sup>

The largest global data source regarding the AR–AC association derives from the ISAAC investigations, a series of worldwide studies established in 1991 with the aim of investigating the epidemiology of allergic diseases. ISAAC used a standardized questionnaire and obtained unified assessments of the time trends of the global prevalence in different regions or countries. Current rhinoconjunctivitis was defined as self-reported “current rhinitis” along with a positive answer to “In the past 12 months, has this nose problem been accompanied by itchy-watery eyes?”

ISAAC Phase 1 reported AC prevalence in 257,800 children aged 6–7 years in 91 centers (38 countries) and 463,801 children aged 13–14 years in 155 centers (56 countries). Although the ISAAC survey was not validated for the diagnosis of AC, ISAAC studies support the frequent association of AR with itchy/watery eyes; Phase 1 results revealed that ocular symptoms affect 33%–50% of children with AR.<sup>759</sup> ISAAC Phase 3 analyzed temporal trends in prevalence of allergic rhinoconjunctivitis over 7 years in

**TABLE XIII.B.4** Evidence table – association between allergic rhinitis and aspirin exacerbated respiratory disease

| Study                            | Year | LOE            | Study design         | Study groups   | Clinical endpoints   | Conclusions   |
|----------------------------------|------|----------------|----------------------|--|--|---|
| Brown et al. <sup>3027</sup>     | 2021 | 3              | Retrospective cohort | 380 CRS patients, including 28 patients with comorbid AERD   | Prevalence of atopy in CRS subtypes<br>Clinical characteristics, histopathology, serum IgE, symptom and radiographic scores<br>Atopy defined by clinical symptoms + SPT                | 75.3% of CRS patients were atopic<br>Polysensitization in 76.2%<br>27/28 AERD patients atopic   |
| Stevens et al. <sup>3026</sup>   | 2017 | 3              | Retrospective cohort | US patients with CRSwNP: AERD, <i>n</i> = 171<br>CRSwNP + asthma, <i>n</i> = 412<br>CRSwNP, <i>n</i> = 459 | Clinical characteristics in AERD patients versus CRSwNP patients ± comorbid asthma<br>Atopy defined by physician-diagnosed AR on chart review + SPT                                    | AR: AERD (85%) versus CRSwNP (66%)<br>SPT positivity: AERD (83%) versus CRSwNP (66%)  |
| Bochenek et al. <sup>3025</sup>  | 1996 | 3              | Observational cohort | Polish cohort: 120 NSAID-sensitive patients (78 AERD, 42 pyrazolone sensitive)<br>50 controls              | Atopy defined by personal/family atopic history, skin testing, serum tIgE and sIgE   | Prevalence of atopy in AERD 46.2%–66.7% depending on defining criteria<br>Atopy more frequent in AERD versus controls   |
| Jakiela et al. <sup>3023</sup>   | 2021 | 4 <sup>a</sup> | Observational cohort | Polish cohort: AERD, <i>n</i> = 22<br>NSAID-tolerant asthma, <i>n</i> = 22<br>Controls, <i>n</i> = 11      | Distinguish inflammatory sub-endotypes of lower airway inflammation in AERD<br>SPT, spirometry, nasal lavage, bronchoscopy<br>Cytokine and eicosanoid levels in bronchoalveolar lavage | 36% of AERD patients with positive SPT<br>SPT positivity did not differ between eosinophilic and non-eosinophilic AERD endotypes  |
| DelGaudio et al. <sup>1223</sup> | 2019 | 4              | Retrospective cohort | US cohort, 72 AERD patients  | Describe CC involvement and association with atopic status in AERD<br>Atopy defined based on personal history of AR and positive SPT   | 80.6% of AERD subjects had CC disease<br>100% of CC-AERD patients had atopic history, 93.8% had positive SPT<br>Lower rate of atopy in non-CC patients ( <i>p</i> < 0.0001) |

(Continues)



TABLE XIII.B.4 (Continued)

| Study                       | Year | LOE            | Study design         | Study groups   | Clinical endpoints  | Conclusions   |
|-----------------------------|------|----------------|----------------------|--|---|---|
| Dona et al. <sup>3024</sup> | 2018 | 4 <sup>b</sup> | Observational cohort | Spanish cohort, 880 patients with NSAID hypersensitivity: 108 with comorbid AERD 511 with NSAID-induced anaphylaxis 261 with blended reactions | Clinical characteristics of NSAID hypersensitivity Rates of concomitant rhinitis, asthma, nasal polyps, atopy Atopic status assessed with SPT | Positive SPT in 54.6% of AERD patients Dust mite was most common allergen (29.6%) |

Abbreviations: AERD, aspirin exacerbated respiratory disease; AR, allergic rhinitis; CC, central compartment; CRS, chronic rhinosinusitis; CRSwNP, chronic rhinosinusitis with nasal polyposis; IgE, immunoglobulin E; LOE, level of evidence; NSAID, non-steroidal anti-inflammatory drug; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test; tIgE, total immunoglobulin E; US, United States.

<sup>a</sup>LOE downgraded due to very limited study sample.

<sup>b</sup>LOE downgraded due to poor inclusion criteria.

the two age groups ( $n = 498,083$ ). There was a global increase in rhinoconjunctivitis prevalence, with considerable heterogeneity between test centers. The average overall prevalence of allergic rhinoconjunctivitis was 14.6% for adolescents.<sup>756</sup>

Recently, the Global Asthma Network used ISAAC methodology to update the prevalence of pediatric atopic diseases.<sup>773</sup> The study surveyed 74,361 adolescents and 45,434 6–7-year-olds from 27 centers (14 countries). Overall, the prevalence of current rhinoconjunctivitis had decreased slightly from ISAAC Phase 3 among young children (−0.44%) and adolescents (−1.32%). Additionally, an analysis of 2914 patients from the Alergológica 2015 study revealed AC in one-third of participants, and AC was associated with AR in 88%.<sup>3042</sup> The duration and severity of AC was also associated with that of AR ( $p < 0.001$ ).

Underreporting of ocular allergy may be attributable to symptom variability and increased attention to non-ocular allergy symptoms. Although the burden of illness (i.e., QOL impairment) associated with AC is established,<sup>3043</sup> AC is often underrecognized and undertreated except when severe.<sup>3031</sup> More than half of AR patients endorsed that red/itchy/watery eyes were moderately to extremely bothersome in the Allergies in America Survey.<sup>3044</sup> Another survey of allergic rhinoconjunctivitis patients ( $n = 2765$ ) ranked red/itchy eyes as the second most bothersome symptom after nasal obstruction.<sup>3045</sup>

Ocular allergy symptoms also contribute significantly to QOL impairment associated with AR. Ocular symptoms of allergic rhinoconjunctivitis are among the most common symptoms which cause patients to seek allergy treatment.<sup>3045</sup> When assessing AR patients, one should evaluate ocular symptoms and consider treatment specific to AC. AIT may have a role in AC management; however, most studies investigating AIT efficacy have studied

allergic rhinoconjunctivitis rather than AC alone.<sup>3046</sup> In a prospective study of patients with AC receiving SCIT or SLIT, both groups had similar rates of clinical improvement in terms of decreased symptoms, medications, tIgE and skin test wheal diameters after 1 year.<sup>3047</sup>

#### Associated conditions – allergic conjunctivitis

Aggregate grade of evidence: C (Level 2: 4 studies, level 3: 8 studies; Table XIII.C)

### XIII.D | Atopic dermatitis

AD is a chronic/relapsing, inflammatory skin disorder characterized by recurrent eczematous lesions and pruritis that affects all ages and ethnicities.<sup>3050</sup> AD is the leading cause of the global burden from skin disease.<sup>3051</sup> AD is associated with increased risk of multiple allergic comorbidities, including food allergy, asthma, and AR.<sup>1169,3050</sup> AD that starts in infancy usually precedes the development of other atopic diseases, and therefore, is considered the first step of the “atopic march,” or an early marker of the predisposition toward type I hypersensitivity.<sup>3052,3053</sup>

AD and AR are the most prevalent allergic diseases, but many epidemiological studies focus on asthma; only 15.7% and 24.5% of epidemiological studies provide data on AD and AR, respectively.<sup>1169</sup> Studying the epidemiology of AR and its comorbidities, in particular AD, is complicated by different disease definitions and reporting, and different testing to confirm diagnoses. In one study, for

**TABLE XIII.C** Evidence table – association between allergic rhinitis and allergic conjunctivitis

| Study                                    | Year | LOE            | Study design                  | Study groups  | Clinical endpoints  | Conclusions  |
|--|------|----------------|-------------------------------|---|---|--|
| Strachan et al. <sup>773</sup>           | 2022 | 2 <sup>a</sup> | Cross-sectional survey        | Adolescents ( <i>n</i> = 74,361) and 6–7-year-olds ( <i>n</i> = 45,434) from 27 centers in 14 countries                       | Prevalence of current RC using a standardized questionnaire in schoolchildren | RC prevalence slightly decreased since ISAAC Phase 3: –1.32% per 10 years (adolescent group), –0.44% per 10 years (younger children)       |
| Kim et al. <sup>3036</sup>               | 2016 | 2 <sup>a</sup> | Cross-sectional survey        | General population: 14,356 students, 2010–2014  | AR prevalence in children<br>Skin test positivity<br>Comorbid disease         | 34.5% comorbidity of AC in AR  |
| Han et al. <sup>3037</sup>               | 2015 | 2              | Prospective cohort            | 1020 children, 338 with AR  | Questionnaire<br>Skin prick test<br>Endoscopy                                 | History of AC is a risk factor for AR (OR 14.25; 95% CI 4.99–40.74)  |
| Singh et al. <sup>3033</sup>             | 2010 | 2 <sup>a</sup> | Cross-sectional survey        | NHANES III participants ( <i>n</i> = 33,994), 1988–1994   | Describe the epidemiology of AC in the United States                          | 40% of adults with AC<br>Isolated ocular symptoms reported by 6%<br>30% prevalence of concomitant AR and AC                                |
| Sanchez-Hernandez et al. <sup>3042</sup> | 2022 | 3              | Retrospective cohort analysis | Patients referred for allergy evaluation, <i>n</i> = 2914   | History<br>Skin test<br>sIgE<br>Provocation tests                             | 33% diagnosed with AC; AC associated with AR in 88% of cases<br>Duration and severity of AC associated with that of AR ( <i>p</i> < 0.001) |
| Alexandropoulos et al. <sup>3048</sup>   | 2013 | 3              | Retrospective cohort          | Adult patients referred to immunology clinic ( <i>n</i> = 1851), 2001–2007  | Questionnaire<br>Skin prick test<br>Serum sIgE                                | AR documented in 38.4%<br>AR associated with AC (OR 6.16; 95% CI 4.71–8.06, <i>p</i> < 0.001).   |
| Almaliotis et al. <sup>3049</sup>        | 2013 | 3              | Retrospective cohort          | Patients referred to clinic, confirmed AC diagnosis by ophthalmologist, <i>n</i> = 448  | Questionnaire<br>Skin prick test  | 70% of patients with AC also had a diagnosis of AR<br>Symptoms of ocular allergy are common in patients with AR and asthma                 |
| Williams et al. <sup>3040</sup>          | 2013 | 3              | Observational cohort study    | AR patients in Australia, <i>n</i> = 187  | History<br>Ocular antihistamine challenge                                     | 95% of patients with AR were diagnosed AC based on history and therapeutic antihistamine challenge   |
| Navarro et al. <sup>3034</sup>           | 2009 | 3              | Cross-sectional               | Patients referred for allergy evaluation ( <i>n</i> = 4991), Alergologica 2005  | Characteristics of patients with AR   | 55% of patients diagnosed with AR, 65% had associated AC   |
| Gradman and Wolthers <sup>3039</sup>     | 2006 | 3              | Retrospective survey          | Danish children from a secondary pediatric outpatient clinic ( <i>n</i> = 458), 5–15 years old with AC, asthma, AR, or eczema | Prevalence of AC in children with rhinitis, asthma, eczema                    | 316 children with rhinitis, 42% had concomitant AC<br>Of patients with AC, 97% also had AR   |

(Continues)

TABLE XIII.C (Continued)

| Study                                | Year | LOE | Study design         | Study groups  | Clinical endpoints                      | Conclusions  |
|--------------------------------------|------|-----|----------------------|---|---|--|
| Kosrirukvongs et al. <sup>3035</sup> | 2001 | 3   | Observational cohort | 445 patients (24.5 ± 16.3 years old), history of itching, foreign body sensation, lacrimation, red eyes | Physical examination<br>Skin prick test | 73.8% of patients with perennial AC had associated AR<br><br>Most common sensitization was house dust mite |
| Wuthrich et al. <sup>3041</sup>      | 1998 | 3   | Cross-sectional      | Swiss patients with AR symptoms, <i>n</i> = 509   | Clinical history                        | AR associated with AC in 85% of cases<br>AC symptoms were as severe as AR symptoms in 70%                  |

Abbreviations: AC, allergic conjunctivitis; AR, allergic rhinitis; CI, confidence interval; ISAAC, International Study of Asthma and Allergies in Childhood; LOE, level of evidence; NHANES, National Health and Nutrition Examination Survey; OR, odds ratio; RC, rhinoconjunctivitis; sIgE, specific immunoglobulin E.

<sup>a</sup>LOE upgraded due to very large sample size.

example, less than half of all patients reporting AR had a physician-confirmed diagnosis of AR.<sup>3054</sup> Therefore, the link between AR and AD remains poorly defined due to methodologic differences and limitations of the studies that have examined this association<sup>739,2854,3055–3065</sup> (Table XIII.D).

The largest study to assess the association between AR and AD was based on data collected in the ISAAC study, which started in 1991 and aimed to investigate the epidemiology and etiology of asthma, rhinitis, and AD in each country using standard questionnaires, SPT, and flexural dermatitis examination.<sup>3066</sup> The study involved 256,410 children age 6–7 years in 90 centers from 37 countries, and 458,623 children age 13–14 years in 153 centers from 56 countries, demonstrating a prevalence of AD between 5% and 20%.<sup>3066</sup> Several longitudinal studies show improvement or resolution of AD with age, but children often remain atopic for the rest of their lives with a prevalence of AR among those with AD ranging from 15% to 61%.<sup>3067–3070</sup>

Multiple studies performed in different countries and age groups, using a variety of methodologies, conclude that there is a disease association between AR and AD. The available evidence suggests that there is a two- to four-fold increase in AR among people with AD.<sup>739,2854,3055–3064,3071</sup> For example, in the cross-sectional multicenter study titled “Epidemiology of Allergic Diseases in Poland” conducted in children age 6–7 and 13–14 years and adults aged 20–44 years, allergic diseases were common in children and young adults. Single disease AR occurred in 29.3% and AD in 7.2%. A single disease (asthma, AR, or AD) was observed in 27.7% of the subjects and allergic multimorbidity was noted in 9.3%. Allergic multimorbidity was more common in children (10.7%–10.9%) than in adults. There was an increasing risk of multimorbidity depending on the number of positive SPTs.<sup>3064</sup>

High prevalences of AR and AD were also shown in an independent Phase 3 follow-up study of unselected 8th-grade school children in Denmark participating in the Odense Adolescence Cohort Study. The participating children were reassessed after reaching 28–30 years of age. The lifetime prevalence of atopic diseases increased significantly from adolescence (31%) to adulthood (57%), particularly AR (incidence 17.5/1000 person-years). The lifetime prevalence of AD was 34.1%. Childhood predictors for adult AR were AR, asthma, asymptomatic sensitization to pollen, and AD (OR 1.7; 95% CI 1.1–2.5, *p* = 0.021). Seven percent of subjects with AD developed AR.<sup>739</sup>

The Canadian Healthy Infant Longitudinal Development study recruited pregnant women from the general population across four Canadian provinces and followed them until their children were 5 years old. The authors defined five distinct classes of individuals: healthy (81.8%), AD (7.6%), inhalant sensitization (3.5%), transient sensitization (4.1%), and persistent sensitization (3.2%). Children in the AD groups were at increased risk of developing AR (OR 2.36; 95% CI 2.13–2.62).<sup>3060</sup>

The increased risk of AR in patients with AD has been seen in multiple studies using different research strategies (i.e., prospective, population-based, cross-sectional) in different age groups and in different continents (Asia, Europe). This supports the notion that AR and AD are related diseases.<sup>739,2854,3055–3064</sup>

#### Associated conditions – atopic dermatitis

*Aggregate grade of evidence:* C (Level 2: 16 studies, level 3: 12 studies, level 4: 3 studies; Table XIII.D)

**TABLE XIII.D** Evidence table – association between allergic rhinitis and atopic dermatitis

| Study                                   | Year | LOE | Study design                    | Study groups   | Clinical endpoints   | Conclusions  |
|---|------|-----|---------------------------------|--|--|--|
| Biagini et al. <sup>3062</sup>          | 2022 | 2   | Prospective longitudinal cohort | Children with AD/eczema in Cincinnati enrolled $\leq 2$ years old, $n = 601$ | SPT<br>Symptoms upon allergen exposure                         | AD associated with AR (-asthma) in White (3x risk) and Black (6x risk) children  |
| Schoos et al. <sup>3058</sup>           | 2022 | 2   | Prospective cohort              | Children with AD evaluated at age 6 and 12 years, $n = 368$                  | Comorbidities in relation to time of AD onset                  | Early onset ( $\leq 1$ year) and more severe AD associated with aeroallergen sensitization and AR in childhood   |
| Pedersen et al. <sup>2854</sup>         | 2020 | 2   | Cross-sectional                 | Individuals of all ages, $n = 2149$  | Prevalence, severity, and factors associated with AD           | Highest prevalence of AD at 2 years (18%), AR at 25–29 years (6.0%)<br>AD associated with AR (OR 3.68)   |
| Gonzalez-Mendoza et al. <sup>3055</sup> | 2019 | 2   | Cross-sectional                 | Mexican students aged 15–18 years, $n = 1992$                                | Diagnosis of AD and AR by ISAAC criteria                       | AR prevalence 9.0%<br>AD prevalence 5.2%<br>AR and AD more frequent in women<br>AR associated with AD (OR 2.98)  |
| Mortz et al. <sup>739</sup>             | 2019 | 2   | Observational cohort            | Follow-up cohort of 8th grade children, $n = 899$                            | Questionnaire<br>SPT, sIgE, spirometry                         | Lifetime prevalence of atopy increases from adolescence (31%) to adulthood (57%)<br>Lifetime prevalence of AD 34.1%<br>37.7% of AD subjects develop AR |
| Dharma et al. <sup>3060</sup>           | 2018 | 2   | Prospective longitudinal cohort | Birth cohort, $n = 2629$   | SPT to common food and inhalant allergens at age 1 and 3 years | 7.6% of children had AD<br>Children in AD group at risk for developing rhinitis (OR 2.36)  |
| Schneider et al. <sup>3070</sup>        | 2016 | 2   | Prospective longitudinal cohort | Infants with AD at ages 3 months and 18 months, $n = 1091$                   | Development of allergic comorbidities                          | 18.5% developed AR<br>11.9% developed allergic conjunctivitis<br>Comorbidities developed more often in infants with severe AD                          |
| Mortz et al. <sup>3071</sup>            | 2015 | 2   | Cohort                          | Follow-up cohort of 8th grade children, $n = 899$                            | Prevalence of AD and comorbidities                             | Lifetime prevalence of AD was 34.1%<br>Among those with AD, 60.8% reported AR  |
| Sybilski et al. <sup>3072</sup>         | 2015 | 2   | Cross-sectional                 | Polish subjects: 6–7 years, 13–14 years, 20–44 years ( $n = 18,617$ )        | Questionnaire  | AD in 3.91%<br>AR occurred in 26.17% of AD patients  |
| Bozek and Jarzab <sup>3073</sup>        | 2013 | 2   | Cross-sectional                 | Adult participants, mean age 66–67 years, $n = 7124$                         | Questionnaire<br>Physical exam<br>SPT<br>tIgE, sIgE            | AD/eczema in 1.6%<br>Seasonal AR in 12.6%<br>Perennial AR in 17.1%   |
| Lowe et al. <sup>3074</sup>             | 2007 | 2   | Birth cohort                    | Infants with family history of atopy, $n = 620$                              | SPT at 6, 12, 24 months<br>Interview at 6, 7 years             | Children with atopic AD by age 2 have greater risk of AR (OR 2.91)   |

(Continues)

TABLE XIII.D (Continued)

| Study                                  | Year | LOE | Study design                     | Study groups  | Clinical endpoints                              | Conclusions   |
|--|------|-----|----------------------------------|---|---|---|
| Karaman et al. <sup>3075</sup>         | 2006 | 2   | Cross-sectional                  | Students in 3rd, 4th, 5th grades in Turkey ( <i>n</i> = 1217)           | Physical exam<br>SPT                            | AR prevalence 17%,<br>physician-diagnosed<br>AD prevalence 4.9%,<br>physician-diagnosed<br>HDM sensitization most<br>frequent   |
| Kuyucu et al. <sup>896</sup>           | 2006 | 2   | Cross-sectional                  | Children aged 9–11 years, <i>n</i> = 2774                               | Questionnaire<br>SPT                            | Prevalence of ever AR<br>36.3%<br>Prevalence of current AR<br>30.6%<br>SPT positive in 20.4%<br>AD associated with<br>current AR  |
| Yemaneberhan et al. <sup>3076</sup>    | 2004 | 2   | Cross-sectional                  | All-age sample from urban and rural populations, <i>n</i> = 12,876      | Questionnaire<br>SPT                            | Lifetime cumulative prevalence of AD symptoms 1.2%<br>AD symptoms strongly associated with AR symptoms (OR 61.94)   |
| Min et al. <sup>3077</sup>             | 2001 | 2   | Cross-sectional                  | Otolaryngology patients in Korea, <i>n</i> = 71,120                     | Questionnaire<br>Rhinologic exam<br>SPT<br>sIgE | Prevalence of perennial AR 3.93%<br>AD associated with perennial AR in 20.9%  |
| Leung and Ho <sup>3078</sup>           | 1994 | 2   | Cross-sectional                  | School age children in Hong Kong, Malaysia, China ( <i>n</i> = 2208)    | Assess prevalence of asthma & allergic disease  | Prevalence of hay fever 2.1%–15.7%<br>Prevalence of eczema 7.2–20.1%  |
| Huang et al. <sup>3057</sup>           | 2020 | 3   | Population database              | Database registry in Taiwan, <i>n</i> = 26,525,074                      | Diagnosis of AD and AR                          | Crude prevalence of AD 4.7%<br>Increased risk of AD (RR 2.25) and AR (RR 1.23) if there is a family member with AD  |
| Wang and Chiang <sup>3059</sup>        | 2020 | 3   | Prospective observational cohort | Infants with AD (transient or persistent)<br>Controls ( <i>n</i> = 109) | Development of allergic comorbidities           | 42% with persistent AD<br>4.2% new diagnosis of AD in control group<br>Transient AD did not increase risk for AR or asthma<br>Early-onset persistent AD increased risk for AR and inhalant allergen sensitization (OR 2.83) |
| Huang et al. <sup>3061</sup>           | 2018 | 3   | Cross-sectional                  | Residents in a rural area of Beijing, <i>n</i> = 1084                   | Questionnaire<br>SPT                            | Prevalence of self-reported AR 46.80%, AD 3.69%<br>SPT confirmed AR 16.78%<br>Comorbid AD and AR 16.77%   |
| Batlles Garrido et al. <sup>3079</sup> | 2010 | 3   | Cross-sectional                  | Children aged 10–11 years, <i>n</i> = 1143                              | Questionnaire<br>Physical exam<br>SPT           | Prevalence of AD 11.4%<br>Severe AD is a risk factor for AR (OR 7.7)  |

(Continues)



TABLE XIII.D (Continued)

| Study                                  | Year | LOE | Study design             | Study groups   | Clinical endpoints   | Conclusions   |
|--|------|-----|--------------------------|--|--|---|
| Peroni et al. <sup>3080</sup>          | 2008 | 3   | Cross-sectional          | Preschool children aged 3–5 years, <i>n</i> = 1402                                   | ISAAC questionnaire<br>SPT                                       | AR symptoms in 32.2% of AD patients<br>Risk factors for AD: allergen sensitization, rhinitis, family history of atopy         |
| Kidon et al. <sup>3081</sup>           | 2005 | 3   | Cohort                   | Newly diagnosed AR patients, mean age 7.9 years, <i>n</i> = 175                      | Questionnaire<br>SPT   | 48% had AD<br>SPT positive for HDM in 85%; most significant factor associated with HMD sensitization was AD (OR 31.8)         |
| Kusel et al. <sup>3082</sup>           | 2005 | 3   | Prospective birth cohort | Longitudinal cohort, <i>n</i> = 263  | Evaluation at 6 months, 2 years, 5 years<br>Physical exam<br>SPT | Persistent AD associated with AR (OR 2.8)   |
| Peroni et al. <sup>3083</sup>          | 2003 | 3   | Cross-sectional          | Preschool children aged 3–5 years, <i>n</i> = 1402                                   | ISAAC questionnaire<br>SPT                                       | Prevalence of AR in 12 months 16.8%<br>AD significantly associated with AR (22.9%) versus non-AR (13.9%), <i>p</i> < 0.001    |
| Rhodes et al. <sup>3068</sup>          | 2002 | 3   | Longitudinal cohort      | Infants from atopic families in the UK followed for 22 years, <i>n</i> = 100         | Development of atopic comorbidities                              | AD prevalence peaked at 1 year of age (20%), then declined to 5%<br>Prevalence of AR increased over time to 15%               |
| Gustaffson et al. <sup>3069</sup>      | 2000 | 3   | Longitudinal cohort      | Children with AD followed for 8 years, <i>n</i> = 94                                 | SPT<br>Serum tIgE, sIgE  | AD improved in 91.3%<br>45% developed AR<br>AD severity was a risk factor for developing AR                                   |
| Ozdemir et al. <sup>3084</sup>         | 2000 | 3   | Cross-sectional          | College students in Turkey, <i>n</i> = 1603  | Physical exam<br>SPT   | Eczema in 5.4% of females, 6.3% of males<br>AR in 11.1% of females, 8.9% of males   |
| Garcia-Gonzalez et al. <sup>3085</sup> | 1998 | 3   | Cross-sectional          | Secondary school children in Spain, mean age 17.9 years, <i>n</i> = 365              | SPT<br>Serum tIgE, sIgE  | AR in 19.9%<br>AD in 0.8%   |
| Moreno-Lopez et al. <sup>3065</sup>    | 2021 | 4   | Cross-sectional          | Adolescents aged 13–14 years<br>Parents of children aged 6–7 years ( <i>n</i> = 261) | Questionnaire  | Prevalence of AR (11.49%), asthma (8.81%), AD (6.13%)<br>AR associated with female sex, asthma, AD, higher maternal education |

(Continues)

TABLE XIII.D (Continued)

| Study                        | Year | LOE | Study design                  | Study groups  | Clinical endpoints                                   | Conclusions   |
|------------------------------|------|-----|-------------------------------|---|--|---|
| Bekic et al. <sup>3056</sup> | 2020 | 4   | Case series                   | Primary care patients, <i>n</i> = 2056                | Physician diagnosis of AD and allergic comorbidities | AD identified in 10.53%<br>AR + AD identified in 41%  |
| Jeong et al. <sup>3063</sup> | 2020 | 4   | Retrospective cross-sectional | AR patients, primarily Korean adults, <i>n</i> = 1615 | Patient and history characteristics<br>SPT           | Rhinitis may be mono- or poly-sensitized, or non-sensitized<br>Eczema most common in polysensitized rhinitis patients (12.3%) |

Abbreviations: AD, atopic dermatitis; AR, allergic rhinitis; HDM, house dust mite; ISAAC, International Study of Asthma and Allergies in Childhood; LOE, level of evidence; OR, odds ratio; RR, relative risk; sIgE, allergen-specific immunoglobulin E; SPT, skin prick test; tIgE, total immunoglobulin E; UK, United Kingdom.

## XIII.E | Food allergy

### XIII.E.1 | Pollen food allergy syndrome

Immune responses to foods may produce a spectrum of symptoms and disorders including pollen food allergy syndrome (PFAS; also known as oral allergy syndrome [OAS]).<sup>3086,3087</sup> PFAS is an IgE-mediated allergy which localizes to the oral mucosa, leading to transient itching, perioral hives, angioedema, and rarely systemic symptoms. Patients with pollen allergies may have allergic reactions confined to the oral cavity after consuming specific fruits, vegetables, nuts, or spices. PFAS symptoms manifest as a result of cross-reactivity of IgE specific for an offending pollen with highly homologous proteins found in a variety of fruits, vegetables, and nuts. The most common example of this cross-reactivity in Western populations is birch pollen and apples, which is due to the high degree of sequence homology between Bet v 1 (major allergen of birch pollen) and Mal d 1 (major allergen of apple), leading to IgE-mediated cross-reactivity.<sup>3088</sup> Table XIII.E.1-1 lists common pollen allergens with plant-derived foods that may demonstrate cross-reactivity.<sup>3089</sup> A 2018 review by Carlson et al.<sup>3090</sup> reported PFAS prevalence ranged from 4.7% to over 20% among children and 13%–58% among adults, with prevalence varying widely by geographic region. A study conducted in 1360 Italian children with pollen-related AR noted that a longer duration of AR symptoms was related to developing PFAS, suggesting that individuals living in areas with more pollen seasons have a higher rate of PFAS, possibly reflecting the higher range of prevalence in adults.<sup>3091,3092</sup> Table XIII.E.1-2 summarizes the evidence link between PFAS and AR.

The diagnosis of PFAS is typically established by a detailed history and physical exam that explores a given patient's underlying allergy to pollen and raw foods with shared homologous proteins. As per the Joint Task Force Practice Parameters, sIgE testing to pollens is recommended in patients with a suggestive clinical history.<sup>223</sup> The estimated rates of systemic and anaphylactic reactions

TABLE XIII.E.1-1 Pollen-food allergy cross-reactivity<sup>3106</sup>

| Pollen                    | Food   |
|---------------------------|--|
| Birch                     | Fruits: apple, apricot, cherry, peach, pear, plum, kiwi<br>Vegetables: carrot, celery, parsley<br>Legumes: peanut, soybean<br>Nuts: almond, hazelnut |
| Timothy and orchard grass | Fruits: peach, watermelon, orange, tomato<br>Vegetables: white potato  |
| Ragweed                   | Fruits: cantaloupe, honeydew, watermelon, banana<br>Vegetables: cucumber, white potato, zucchini   |
| Mugwort                   | Vegetables: bell pepper, broccoli, cabbage, cauliflower, chard, garlic, onion, parsley<br>Spices: aniseed, caraway, coriander, fennel, black pepper  |

from a pollen-food allergy are 10% and 2%–10%,<sup>3093,3094</sup> respectively, and such a history must be thoroughly elicited. The gold standard for establishing a diagnosis of PFAS is a double-blind food challenge, but this can still be confounded by biases inherent to the appearance, texture, and taste of foods.<sup>3095</sup> It is important to note that skin testing using commercially available fruit or vegetable extracts may not be useful as the allergens are heat labile.<sup>3096</sup> Oral food challenge, SPT, and food sIgE levels have also been used to diagnose PFAS or food allergy.<sup>3090,3097–3099</sup> Another technique that has also shown promise in accurate diagnosis of PFAS and food allergy is CRD utilizing pure and potentially cross-reactive allergenic components in certain foods.<sup>3100</sup> This has been demonstrated in refining diagnosis of true peanut allergy, where the component Ara h 2 has been identified as a better predictor of clinical allergy.<sup>3101</sup>

The standard recommendation for the treatment of PFAS has been to identify and eliminate offending foods from the diet. There is no consensus on whether patients should be provided auto-injectable epinephrine.<sup>3094</sup> Some

**TABLE XIII.E.1.-2** Evidence table – association between allergic rhinitis and pollen-food allergy syndrome

| Study                                   | Year | LOE | Study design          | Study groups   | Clinical endpoints   | Conclusions  |
|---|------|-----|-----------------------|--|--|--|
| de Jong et al. <sup>3097</sup>          | 2021 | 3   | Cohort                | Patients with birch pollen allergy, <i>n</i> = 15                              | Allergic response to pear challenge                                | Selected patients with birch pollen related pear allergy can consume small doses of Cepuna pear following challenges   |
| Dondi et al. <sup>3091</sup>            | 2013 | 3   | Cohort                | Children with pollen-induced AR  | AR severity<br>Presence of comorbidities                           | 23.9% of children with AR also had PFAS<br>Longer duration of AR associated with development of PFAS   |
| Skamstrup Hansen et al. <sup>3095</sup> | 2001 | 3   | Cohort                | Patients with birch pollen allergy, <i>n</i> = 46                              | IgE reactivity to apple  | It is possible to perform double-blind placebo-controlled food challenges with apple in birch pollen-allergic individuals  |
| Cudowska et al. <sup>3107</sup>         | 2021 | 4   | Cross-sectional       | Pediatric patients with pollen and food allergies, <i>n</i> = 43               | Prevalence of AR<br>Association of food allergy with AR            | 65% of children with food allergies had AR, of which PFAS is most common   |
| Lee et al. <sup>3098</sup>              | 2019 | 4   | Cross-sectional       | Korean adults with suspected food allergy, including many PFAS, <i>n</i> = 812 | Clinical features and culprit food allergens                       | 77.8% food allergy patients had comorbid allergic diseases (AR was most common at 53.4% of all patients)<br>One-third of food allergy patients had accompanying PFAS<br>94.8% of PFAS patients had accompanying AR |
| Thong et al. <sup>3108</sup>            | 2018 | 4   | Retrospective series  | Adults referred to an allergy clinic for food allergy, <i>n</i> = 77           | Pattern of food allergy, symptomatic manifestations, and reactions | AR was the second most common (6%) atopic condition among individuals with shellfish/crustacean oral allergy   |
| Ortolani et al. <sup>3093</sup>         | 1993 | 4   | Limited meta-analysis | Adults with allergy to vegetable allergens                                     | Clinical features of vegetable and fresh fruit allergy             | Allergy to fresh fruits and vegetables is IgE-mediated<br>Clinical associations with AR due to cross-reactive pollens and foods allergens are frequent   |
| Ebner et al. <sup>3088</sup>            | 1991 | 4   | Case series           | Adults with birch-pollen allergy, <i>n</i> = 83                                | Comparing epitopes of birch pollen and apples                      | Antigens in birch pollen and apples share allergenic epitopes leading to IgE cross-reactivity  |

(Continues)

TABLE XIII.E.1.-2 (Continued)

| Study                               | Year | LOE | Study design           | Study groups                                    | Clinical endpoints                                | Conclusions   |
|-------------------------------------|------|-----|------------------------|---|---|---|
| Diaz-Cabrera et al. <sup>3109</sup> | 2021 | 5   | Narrative review       | Patients with atopy                             | Developing collection of comorbid conditions      | Optimal care of atopy requires recognition and treatment of all atopic comorbidities, which may include AR and PFAS   |
| Matsumoto et al. <sup>3110</sup>    | 2021 | 5   | Cross-sectional survey | First year university students, <i>n</i> = 2688 | Prevalence of PFAS and factors associated with it | 2.7% PFAS prevalence, significantly associated with AR (OR 3.8; 95% CI 2.7–5.5)   |
| Ota et al. <sup>3111</sup>          | 2020 | 5   | Cross-sectional survey | Children, aged 7–15 years, <i>n</i> = 3365      | Prevalence of seasonal AR and PFAS                | Prevalence: seasonal AR 38.1%, PFAS 15.6%<br>AR and PFAS highly correlated ( <i>R</i> = 0.848; OR 2.751; 95% CI 2.259–3.351)  |
| Carlson et al. <sup>3090</sup>      | 2019 | 5   | Narrative review       | Patients with PFAS                              | Symptoms, risks, treatments                       | Prevalence and implicated foods in PFAS depend on the location<br>Systemic or anaphylactic reactions are possible<br>Various diagnostic methods exist                                   |
| Katellaris <sup>3087</sup>          | 2010 | 5   | Narrative review       | Adults with PFAS                                | Diagnosis and management of PFAS                  | PFAS prevalence influenced by the rising prevalence of AR<br>In vitro screening of food allergic patients with large panels of allergens will help in accurate diagnosis and management |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; IgE, immunoglobulin E; LOE, level of evidence; OR, odds ratio; PFAS, pollen-food allergy syndrome.

pollen-associated foods may lose their cross-reactivity potential once the often-labile proteins are denatured by heat. In one study, food challenges were performed with apple, carrot, or celery in patients with AD and birch pollen allergy, who reported oral allergy symptoms and dermatologic symptoms upon ingestion of the raw foods.<sup>3102</sup> Cooked versions of the offending foods did not cause oral allergy symptoms.

Several studies have evaluated the effect of targeted AIT for pollen allergy at reducing PFAS symptoms with mixed results. There has been some published evidence of pollen-specific AIT resulting in increased tolerance to the PFAS-associated offending foods.<sup>3102–3105</sup> However, one RCT failed to demonstrate any improved tolerance to apple in birch allergic patients treated with birch specific AIT compared to placebo.<sup>3095</sup> One study evaluating the persistence of tolerance for apple after birch AIT demonstrated that AIT resulted in increased apple tolerance for some patients

up to 30 months; however, there was no difference between the AIT and control groups.<sup>3104</sup> Currently, AIT is not recommended for the sole purpose of treating PFAS, although patients receiving AIT should be counseled on the potential benefit of improved food tolerance (Table XIII.E.1.-3).

#### Associated conditions – pollen food allergy syndrome

*Aggregate grade of evidence:* C (Level 3: 3 studies, level 4: 5 studies, level 5: 5 studies; Table XIII.E.1.-2) for link between AR and PFAS, including cross-reactivity; C (Level 2: 2 studies, level 3: 2 studies; Table XIII.E.1.-3) for AIT in treatment of PFAS

**TABLE XIII.E.1.-3** Evidence table – allergen immunotherapy as a treatment for pollen-food allergy syndrome

| Study                          | Year | LOE | Study design | Study groups   | Clinical endpoints   | Conclusions   |
|--------------------------------|------|-----|--------------|--|--|---|
| Mauro et al. <sup>3105</sup>   | 2011 | 2   | RCT          | Patients with seasonal rhinitis and Bet v 1 birch allergen:<br>AIT, <i>n</i> = 40<br>Food challenge, <i>n</i> = 15 | Apple challenge and IgE to Bet v 1 and Mal d 1 allergen after AIT (1 year) | Different doses of birch extract needed to improve the associated apple allergy<br>Finer diagnostic work-up required to select patients with birch-apple syndrome who are candidates to respond to birch pollen AIT |
| Bolhaar et al. <sup>3102</sup> | 2004 | 2   | RCT          | Birch pollen and apple allergic patients, <i>n</i> = 25  | Effect of birch-pollen AIT on apple allergy                                | Birch pollen AIT decreases reactivity to foods containing Bet v 1-homologous allergens  |
| Inuo et al. <sup>3103</sup>    | 2015 | 3   | Cohort       | Children with Japanese cedar pollen allergy induced AR, <i>n</i> = 23  | Response to pollen SCIT  | Japanese cedar pollen SCIT efficacious in relieving and preventing PFAS symptoms in AR  |
| Asero <sup>3104</sup>          | 1998 | 3   | Cohort       | Birch pollen-sensitive with apple induced PFAS, <i>n</i> = 49  | Response to pollen-specific AIT  | Pollen-specific AIT with birch pollen extracts effectively reduces clinical apple sensitivity and skin reactivity in most cases   |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; LOE, level of evidence; PFAS, pollen-food allergy syndrome; RCT, randomized controlled trial; SCIT, subcutaneous immunotherapy.

## XIII.E.2 | Anaphylactic food allergy

Like AR, food allergy may be driven by an IgE-mediated response and as a result may sometimes lead to anaphylactic reactions.<sup>3112</sup> There is an abundance of consistent evidence, largely in the form of large sample cross-sectional and retrospective analyses, that the occurrence of food allergy is independently associated with AR<sup>766,855,857,3107,3110,3111,3113–3122</sup> (Table XIII.E.2). In an analysis of over 8000 families, Alm et al.<sup>857</sup> found a strong, independent association between the development of food allergy and AR (OR 10.21; 95% CI 4.22–24.73). A separate analysis of more than 300,000 children by Hill et al.<sup>3119</sup> found that a diagnosis of food allergy was highly associated with later development of AR (OR 2.72; 95% CI 2.45–3.03).

Peanut allergy is one of the most common and well-studied food allergies, and its prevalence has been linked to AR in the existing literature.<sup>3119,3123–3125</sup> Similarly, AR is a relatively more common atopic condition among people with allergies to shellfish,<sup>3108,3119,3126,3127</sup> and specifically shrimp.<sup>3108,3126,3128</sup> Identifying infants at high risk of peanut allergy and introducing peanuts to them early can significantly decrease the frequency of developing peanut allergy<sup>3129,3130</sup>; however, it is currently unclear whether

such measures can have a protective effect on developing AR in the future.<sup>3131</sup> There is reported low- to very low-certainty evidence that early fish introduction to the diet before age 6–12 months can be associated with reduced AR before age 14.<sup>902</sup>

Long-term management of food allergies mainly includes identification and avoidance of each food item and provision of counseling regarding food-related systemic or anaphylactic reactions; in some circumstances, oral immunotherapy may be an option. Epinephrine auto-injectors with associated instructions for use should be provided to patients who are at risk for anaphylactic reactions.<sup>3132,3133</sup> Finally, there are ongoing studies investigating several possible type 2 targeted biologics in treatment of food allergy.

It is suggested that AIT is perhaps the only possible disease-modifying treatment for allergic diseases by inducing long-term tolerance against specific allergens.<sup>3134</sup> AIT prompts the inhibition of early and late-phase allergic responses and induction of immunological tolerance of AR and food allergy via diverse mechanisms on T cells (e.g., Th1/2, Treg), regulatory B cells, innate lymphoid cells, dendritic cells, mast cells, eosinophils, and basophils.<sup>3134</sup> When studied separately, AIT treatment has



**TABLE XIII.E.2** Evidence table – association between allergic rhinitis and food allergy

| Study                                 | Year | LOE | Study design           | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------------|------|-----|------------------------|---|--|---|
| Ierodiakonou et al. <sup>902</sup>    | 2016 | 1   | SRMA                   | Infants at risk of allergic or autoimmune disease, <i>n</i> = 1915 across five trials                           | Food allergy, wheeze, eczema, AR, allergic sensitization, autoimmune disease                   | Low- to very low-certainty evidence that fish introduction before age 6–12 months was associated with reduced AR at age ≤4 years (OR 0.59; 95% CI 0.40–0.87) or at age 5–14 years (OR 0.68; 95% CI 0.47–0.98) |
| Blumchen et al. <sup>3124</sup>       | 2020 | 2   | Prospective cohort     | Adults or parents of patients with peanut allergy, <i>n</i> = 1846  | Prevalence of allergic comorbidities   | Patients with peanut allergy have AR (50%), asthma (42%), other food allergies (79%)  |
| Wang et al. <sup>3116</sup>           | 2020 | 2   | Cross-sectional survey | Nationally representative sample of US children, <i>n</i> = 38,408  | Prevalence of shellfish food allergy, associated factors                                       | History of AR independently associated with shellfish allergy (OR 2.0; 95% CI 1.4–2.9)  |
| Alm et al. <sup>857</sup>             | 2011 | 2   | Prospective cohort     | Approximately 25% of all children born in western Sweden in 2003, <i>n</i> = 4496                               | Prevalence of AR at age 4.5 years, factors associated with AR                                  | Prevalence of AR was 5.5%<br>Positive food allergy test independently associated with AR (OR 10.21; 95% CI 4.22–24.73)  |
| Diez et al. <sup>3128</sup>           | 2021 | 3   | Cross-sectional        | Patients with AR sensitized to HDM, <i>n</i> = 443  | Prevalence and clinical relevance of shrimp IgE sensitization in AR patients sensitized to HDM | Of HDM AR patients, 19% had shrimp sensitization, 27% had shrimp allergy  |
| Lyons et al. <sup>3122</sup>          | 2021 | 3   | Cross-sectional survey | 7–10-year-olds ( <i>n</i> = 670) and 20–54-year-olds ( <i>n</i> = 844) who self-reported adverse food reactions | Prevalence of true IgE-related food allergy, associated factors                                | Positive IgE detected in 25%<br>AR independently associated with this in adults (OR 4.44; 95% CI 2.52–8.26) and children (OR 3.13; 95% CI 1.87–5.33)  |
| Sultesz et al. <sup>855</sup>         | 2020 | 3   | Cross-sectional        | 6–12-year-old children, <i>n</i> = 3836   | Prevalence of AR, associated factors   | 29.3% prevalence of AR<br>Food allergies highly associated (OR 2.594; 95% CI 1.995–3.378)   |
| Bedolla-Pulido et al. <sup>3118</sup> | 2019 | 3   | Cross-sectional survey | Adolescents aged 15–18 years, <i>n</i> = 1992   | Prevalence of food hypersensitivity and probable food allergy, associated factors              | 10.6% prevalence of food hypersensitivity; AR independently associated (OR 2.60; 95% CI 1.75–3.87)<br>7.8% prevalence of probable food allergy; AR independently associated (OR 2.46; 95% CI 1.56–3.88)       |

(Continues)

TABLE XIII.E.2 (Continued)

| Study                                 | Year | LOE            | Study design              | Study groups   | Clinical endpoints   | Conclusions   |
|---------------------------------------|------|----------------|---------------------------|--|--|---|
| Scott et al. <sup>3125</sup>          | 2019 | 3              | Retrospective cohort      | Patients with peanut allergy versus controls, $n = 50,483$   | Incidence and prevalence of peanut allergy, atopic comorbidities, anaphylaxis                | Peanut allergy patient with had 8% prevalence of AR versus 3% AR in controls<br>RR of experiencing AR along with peanut allergy 2.6 (95% CI 2.4–3.0)  |
| Taylor-Black and Wang <sup>3127</sup> | 2012 | 3              | Retrospective cohort      | Children attending a pediatric clinic, $n = 313$   | Prevalence and characteristics of food allergy in an urban pediatric population              | Patients with shellfish allergy had significantly higher rates of AR (59% versus 44% in patients without shellfish allergy)   |
| Tong et al. <sup>3113</sup>           | 2022 | 4              | Cross-sectional survey    | Heterogenous group of children in China, $n = 10,757$  | Factors predicting AR  | Presence of food allergy independently associated with AR in children (OR 1.899; 95% CI 1.597–2.258)  |
| Bilaver et al. <sup>3115</sup>        | 2021 | 4              | Cross-sectional           | Children aged 0–19 years from a Medicaid claims database, $n = 23,825,160$                                     | Prevalence of food allergies, associated factors   | Prevalence of food allergies 0.6%<br>AR independently associated with food allergy (OR 4.06; 95% CI 4.01–4.11)  |
| Blaiss et al. <sup>3123</sup>         | 2021 | 4 <sup>a</sup> | Retrospective cohort      | US pediatric patients with ( $n = 4329$ ) or without ( $n = 43,290$ ) peanut allergy                           | Cost of care of peanut allergy among privately insured and Medicaid-insured                  | Children with peanut allergy had higher AR prevalence than peanut allergy-free children (66% versus 21%)  |
| Huang et al. <sup>3120</sup>          | 2021 | 4              | Retrospective study       | Chronic rhinitis patients presenting in/out of pollen season ( $n = 5174$ , 1772 with AR)                      | Developed a nomogram predicting which patients would have IgE sensitization test-verified AR | Food allergy independently associated with AR in pollen season (OR 1.803; 95% CI 1.430–2.676) and out of pollen season cohort (OR 1.849; 95% CI 1.380–2.767)  |
| Ruffner et al. <sup>3117</sup>        | 2020 | 4              | Retrospective case series | Children with food protein-induced enterocolitis syndrome (FPIES; a non-IgE-mediated food allergy; $n = 214$ ) | Prevalence of atopic comorbidities in patients with FPIES                                    | AR associated with FPIES (OR 1.9; 95% CI 1.4–2.6)<br>When it was a requirement that FPIES be diagnosed before AR the association went away, indicating FPIES does not lead to AR<br>Potential confounders |
| Tong et al. <sup>766</sup>            | 2020 | 4              | Cross-sectional survey    | Children aged 6–12 years, $n = 5550$   | Prevalence of AR and risk factors for it   | AR prevalence 28.6%<br>Food allergy was independently associated with AR (OR 1.590; 95% CI 1.302–1.942)   |

(Continues)

TABLE XIII.E.2 (Continued)

| Study                                  | Year | LOE | Study design              | Study groups  | Clinical endpoints  | Conclusions  |
|--|------|-----|---------------------------|---|---|--|
| Walter and Kalicinsky <sup>3121</sup>  | 2020 | 4   | Retrospective case series | Patients with adult-onset IgE-mediated food allergies, $n = 14$   | Factors associated with adult-onset IgE-mediated food allergies                           | Most common concomitant allergic disease was AR  |
| Hill et al. <sup>3119</sup>            | 2016 | 4   | Retrospective case series | All children with eczema, asthma, or AR treated at a hospital ( $n = 29,662$ in closed birth cohort; $n = 333,200$ in cross-sectional cohort) | Factors associated with AR  | Food allergies, most commonly to peanut, were associated with AR development (OR 2.72; 95% CI 2.45–3.03)<br>Multiple food allergies associated with greater risk of AR (OR 7.05 with four foods) |
| Celakovska and Bukac <sup>3114</sup>   | 2014 | 4   | Retrospective case series | Patients with atopic dermatitis, $n = 65$   | Prevalence of other allergic syndromes, associations among them                           | Among atopic dermatitis patients, those that also had food allergies were more likely to also have AR  |
| Bedolla-Barajas et al. <sup>3126</sup> | 2015 | 5   | Cross-sectional           | Adults in four metropolitan areas of Mexico, $n = 1126$   | Allergic reactions to various nuts and seafood, association with allergic disease history | AR had probable association with shrimp (OR 2.15) and crustacean (OR 2.27) allergy   |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; FPIES, food protein-induced enterocolitis syndrome; HDM, house dust mite; IgE, immunoglobulin E; LOE, level of evidence; OR, odds ratio; RR, relative risk; SRMA, systematic review and meta-analysis; US, United States.

<sup>a</sup>LOE downgraded due to peripheral focus of study.

been shown to lead to several years of symptomatic remission in AR<sup>1671,2676</sup> or sustained responsiveness for various food allergies.<sup>3135,3136</sup>

### Associated conditions – anaphylactic food allergy

*Aggregate grade of evidence:* C (Level 1: 1 study, level 2: 3 studies, level 3: 6 studies, level 4: 9 studies, level 5: 1 study; Table XIII.E.2)

## XIII.F | Adenoid hypertrophy

Children with AH and AR may exhibit similar symptoms including nasal obstruction and rhinorrhea. Adenoids commonly enlarge through the preschool years but typically involute with puberty.<sup>3137,3138</sup>

Literature evaluating the relationship between AH and allergic sensitization draws from two populations. The first is allergic children assessed for AH. Several studies assessing allergic children found an association with AH. In one study, the prevalence of AH in 1322 allergic children

(12.4%) was higher than in 100 age-matched non-allergic controls (3%),  $p < 0.0001$ .<sup>2830</sup> Similarly, Dogru et al.<sup>3139</sup> found a relatively high rate (21.2%) of AH amongst 566 children with AR. Modrynksi and Zawisza<sup>3140</sup> reported that seasonal adenoid enlargement in birch pollen allergic children was more frequent than in controls but the increased adenoid size resolved after pollen season. However, this study was small ( $n = 67$ ) and did not comment on blinding (Table XIII.F).

Three cohort studies have assessed the relationship of mold sensitivity and AH with mixed results. Atan Sahin et al.<sup>3141</sup> compared 242 children living in an arid environment to 142 children living on the coast and found no correlation between mold and pollen sensitization with AH. However, HDM-sensitive children in the coastal group had an increased prevalence of AH ( $p = 0.01$ ). Huang and Giovanni<sup>2831</sup> compared 315 children who had AH with AR to age-matched controls with AR alone and found a higher prevalence of mold sensitivity in AH with AR versus AR alone ( $p = 0.013$  to  $p < 0.0001$ ). Dogru et al.<sup>3139</sup> also reported an increased sensitization to *Alternaria* in the AH with AR group compared to AR alone ( $p = 0.032$ ).

The second population studied is children suspected of AH who are assessed for allergic sensitization; these studies also have mixed results. Cassano et al.<sup>3138</sup> reported

**TABLE XIII.F** Evidence table – association between allergic rhinitis and adenoid hypertrophy

| Study                                     | Year | LOE            | Study design                                   | Study groups   | Clinical endpoints  | Conclusions  |
|---|------|----------------|--|--|---|--|
| DeCorso et al. <sup>3158</sup>            | 2021 | 2 <sup>a</sup> | Systematic review                              | Allergy<br>Adenotonsillar disease  | Clinical evidence<br>Biomarkers   | Qualitative link between allergy and AH/ATH  |
| Karabulut et al. <sup>3146</sup>          | 2019 | 4              | Consecutive cohort                             | Children referred from pediatric allergy to otolaryngology                                   | Nasal endoscopy<br>SPT  | AH and allergen positivity have a negative association   |
| Dogru et al. <sup>3139</sup>              | 2017 | 4              | Retrospective, cross-sectional, non-randomized | AR<br>AR + AH  | Symptoms<br>Allergen sensitivities<br>Comorbidities                                       | AR+AH had more severe symptoms than AR alone   |
| Atan Sahin et al. <sup>3141</sup>         | 2016 | 4              | Case-control                                   | Children from humid locations<br>Children from arid locations                                | AH<br>SPT<br>IgE<br>Vitamin D   | High humidity group had higher AH, IgE levels, and association between AH and SPT for dust mite  |
| Eren et al. <sup>3145</sup>               | 2015 | 4              | Consecutive cohort                             | Children referred from pediatric allergy to otolaryngology                                   | Endoscopic adenoid size<br>SPT  | AH negatively correlated with (+) allergy testing  |
| Evcimik et al. <sup>2830</sup>            | 2015 | 4              | Retrospective, cross-sectional, non-randomized | AR<br>Non-allergic rhinitis  | AH<br>Cigarette exposure<br>Gender<br>Age<br>Family history of allergies<br>Asthma<br>SPT | AH increased in AR group<br>Cigarette smoke exposure associated with AH  |
| Pagella et al. <sup>3159</sup>            | 2015 | 4              | Retrospective case series                      | Referral to otolaryngology clinic for nasal symptoms, children aged 1–7 years and 8–14 years | Allergy testing, <i>n</i> = 169<br>Endoscopic adenoid size<br>Clinical symptoms           | AH and AR not associated at age 1–7 years<br>AH and AR associated at age 8–14 years  |
| Ameli et al. <sup>3143</sup>              | 2013 | 4              | Consecutive cohort                             | Children with persistent upper airway obstruction  | Endoscopic adenoid size<br>SPT  | Adenoid volume and % not associated with allergy   |
| Karaca et al. <sup>3142</sup>             | 2012 | 4              | Case series                                    | Children with upper airway obstruction, <i>n</i> = 82  | Radiographic AH<br>Clinical tonsillar hypertrophy<br>Allergen sensitivity                 | Negative correlation between SPT and tonsil hypertrophy<br>No correlation between SPT and AH   |
| Sadeghi-Shabestari et al. <sup>3144</sup> | 2011 | 4              | Retrospective cohort                           | ATH<br>No ATH  | SPT for food, inhalant, and latex   | ATH and positive SPT 70.3%<br>No ATH and positive SPT 10%  |
| Mordrzynski and Zawisza <sup>3140</sup>   | 2007 | 4              | Prospective, unblinded, controlled             | Tree-sensitive<br>Mugwort-sensitive<br>Non-atopic<br>Tree sensitive “treated”                | Acoustic rhinometry<br>Endoscopic adenoid size  | Increased adenoid size in birch-allergic children during pollen season<br>Decreased after pollen season and prevented by allergy pharmacotherapy |

(Continues)

TABLE XIII.F (Continued)

| Study                              | Year | LOE | Study design | Study groups                    | Clinical endpoints  | Conclusions  |
|------------------------------------|------|-----|--------------|---------------------------------|---|--|
| Cassano et al. <sup>3138</sup>     | 2003 | 4   | Cohort       | Children with nasal obstruction | Endoscopic adenoid size<br>AR diagnosed by SPT and RAST in 22 patients (20.9%)              | % with "allergy" decreased with increasing adenoid size<br>Statistical significance not reported |
| Huang and Giannoni <sup>2831</sup> | 2001 | 4   | Case-control | AR + AH<br>AR                   | SPT<br>Otitis media<br>Sinusitis<br>LTRI<br>Second-hand smoke<br>Sleep disordered breathing | Higher prevalence of mold SPT and LRTI (in some age groups) in AR + AH                           |

Abbreviations: AH, adenoid hypertrophy; AR, allergic rhinitis; ATH, adenotonsillar hypertrophy; IgE, immunoglobulin E; LOE, level of evidence; LRTI, lower respiratory tract infection; RAST, radioallergosorbent test; SPT, skin prick test.

<sup>a</sup>LOE downgraded due to low quality of included studies.

that inhalant allergen sensitization decreased as AH size increased. Karaca et al.<sup>3142</sup> compared allergy sensitization to radiographic adenoid size in 82 children and found no association. Ameli et al.<sup>3143</sup> assessed 205 children with nasal endoscopy and SPT and found a negative association between SPT positivity and adenoid volume ( $p < 0.0001$ ). Conversely, Sadeghi-Shabestari et al.<sup>3144</sup> compared SPT results and tIgE levels amongst 117 children with adenotonsillar hypertrophy (ATH) and 100 controls. Over 70% of the ATH group had a positive SPT versus 10% of the control group ( $p = 0.04$ ), but this study is limited by the inclusion of SPT for foods (highest positive allergen subgroup) and latex.

In two additional studies, children referred from allergy practices were assessed for both AH with nasal endoscopy and SPT sensitivity. Both studies excluded children on allergy medication and observed a significant negative correlation between AH and SPT positivity ( $r = -0.208$ ,  $p = 0.009$ )<sup>3145</sup> and ( $p = 0.04$ ).<sup>3146</sup> The variability in study population recruitment and age range may explain the mixed findings.

Several studies have found immunologic evidence of allergic physiology in adenoid tissue. Ni et al.<sup>3147</sup> found a higher Th17/Treg ratio in adenoid tissue from children with AR versus non-allergic controls. Masieri et al.<sup>3148</sup> reported Th1 gene expression in non-allergic adenoid tissue, Th1 and Th2 gene expression in adenoid tissue of children with AH and AR, and downregulation of Th1 and Th2 gene expression in adenoid tissue during SLIT. Zhu et al.<sup>3149</sup> found increased tissue eosinophilia and markers of Th2 inflammation in the adenoid tissue of children with AH with AR, compared to AH alone. Local allergy may also play a role. One cohort of 102 children with ATH showing 53.9% sero-atopy and 68.6% with sIgE detected in their adenotonsillar tissue. sIgE positive adenoid tissue was found in 36.2% of the sero-negative children.<sup>3150</sup> Independently,

Shin et al.<sup>3151,3152</sup> detected HDM and *Alternaria* local sIgE in adenoid tissue. Therefore, studies of allergic markers in adenoid tissue are present more often in atopic children, and there is some evidence of local allergic sensitization in children testing negative for sero-atopy.

The effect of INCS on reducing nasal obstruction in the setting of AH has been demonstrated in systematic reviews and is independent of allergy.<sup>3153,3154</sup> Whether INCS reduce adenoid size is unclear.<sup>3155</sup> One retrospective study ( $n = 47$ ) reported improvement in rhinitis symptoms in similar percentages of AR (86%) and non-allergic rhinitis (76%) after adenoidectomy.<sup>3156</sup> At least one study suggests that AR is a risk factor for refractory nasal symptoms after adenoidectomy.<sup>3157</sup>

In summary, AH occurs in allergic children more often than non-allergic controls.<sup>2830,3139,3140</sup> A recent systematic review concluded that clinical and biomarker evidence favored an association between allergy and AH.<sup>3158</sup> However, in children referred to otolaryngology for nasal obstruction, the association between allergic sensitivity and AH is inconsistent.<sup>3138,3142,3143,3145,3146</sup> One possible explanation for this discrepancy is that symptomatic AH peaks earlier in childhood than AR. This is supported in the literature by Pagella et al.,<sup>3159</sup> who reviewed records of children referred to otolaryngology for nasal symptoms ( $n = 795$ ) and found no association between AR and AH in children aged 1–7 years ( $p = 0.34$ ), but noted an association for children aged 8–14 years ( $p = 0.0043$ ).

#### Associated conditions – adenoid hypertrophy

Aggregate grade of evidence: C (Level 2: 1 study, level 4: 12 studies; Table XIII.F)



### XIII.G | Otologic conditions

#### XIII.G.1 | Eustachian tube dysfunction

The Eustachian tube (ET) is a bony and cartilaginous canal that connects the middle ear to the nasopharynx and functions to equalize pressure between the middle ear and the environment, protect the middle ear from harmful sounds and nasopharyngeal pathogens, and provide mucociliary clearance of middle ear secretions.<sup>3160,3161</sup> Obstructive ETD refers primarily to ventilatory dysfunction and is considered to have multifactorial etiologies including inflammation around the ET orifice (e.g., upper respiratory tract infection, rhinosinusitis, and reflux), pressure dysregulation (e.g., air travel, scuba diving), and obstructive lesions (e.g., nasopharyngeal tumor, AH). Evidence suggests a causal role of AR in the etiology of ETD due to allergic secretions, nasal mucosa edema, and hypersecretion of nasal cavity seromucous glands, all resulting in obstruction of the ET lumen.<sup>3162–3164</sup>

Data supporting a causal role of AR in the development of ETD comes from experimental studies using intranasal and transtympanic allergen challenges. Multiple studies have demonstrated transient ETD following allergen challenges in adult and pediatric subjects with<sup>3165–3168</sup> and without AR,<sup>3163</sup> as well as in animal models,<sup>3169–3171</sup> although ET responses have not been found to correlate with IgE levels<sup>3164</sup> (Table XIII.G.1).

In addition to experimental evidence suggesting a link between AR and ETD, observational data also supports this association. For example, ET obstruction is observed during natural exposure to allergens during pollen season, even without subjects being intranasally or transtympanically challenged.<sup>3172,3173</sup> Furthermore, in a representative adult cohort from the NHANES data, odds of reporting allergies was 1.71 times higher in subjects with ETD compared to those without ETD.<sup>3174</sup> Similarly, a pediatric population study found that significantly more children with AR had abnormal tympanograms compared to those without AR.<sup>3175</sup> Histologically, increased levels of allergic cytokines such as IL-4, IL-5, and eosinophils have been found at both ends of the ET,<sup>3161</sup> suggesting that an allergic response could be activated at the ET in sensitized patients.

However, despite both experimental and observational data supporting an association between allergy and ETD, studies have failed to consistently demonstrate improvement in ETD and its associated symptoms with allergy treatment. Gluth et al.<sup>3176</sup> found no significant normalization of abnormal tympanometric signs and no improvement in ETD symptoms between patients treated with INCS and those in placebo groups, and a clinical consensus statement found no role for systemic decongestants,

antihistamines, nasal topical decongestants, or INCS in the diagnosis or treatment of patients with ETD.<sup>3177</sup> On the other hand, Pollock et al.<sup>3178</sup> found that ETD could be prevented in sensitized rats when pre-treated with IL-4 receptor decoys, and Derebery et al.<sup>3179</sup> reported improvement in the ETD symptom of ear fullness in allergic patients treated with AIT in a retrospective case series (although the presence of reported food allergy in this group may confound the results).

Overall, there is experimental and observational evidence to support a causal role of allergy in the development of ETD. However, the exact pathophysiologic mechanism behind this association is unclear since not all patients with ETD have AR, and traditional allergy treatment has not consistently shown benefit in reducing symptoms of ETD.

#### Associated conditions – Eustachian tube dysfunction

*Aggregate grade of evidence:* C (Level 2: 1 study, level 3: 12 studies, level 4: 3 studies; Table XIII.G.1)

#### XIII.G.2 | Otitis media

OME is a common pediatric condition characterized by pressure changes and inflammation in the middle ear resulting in serous or mucoid fluid buildup behind the tympanic membrane.<sup>3181</sup> A relationship between middle ear effusion (MEE) and allergy and has long been a subject of epidemiologic study. The reported prevalence of allergy amongst patients with OME has varied widely, from essentially no difference compared to controls,<sup>3182,3183</sup> to varying degrees of difference,<sup>2827,3184–3190</sup> to a near universal association.<sup>3191–3196</sup> However, cross-sectional studies and one recent SRMA have reported that AR and atopy are independent risk factors for OME.<sup>3197–3199</sup> The inconsistencies of findings in these observational studies likely represent differences between highly selected populations and OME diagnostic criteria, variability of allergy testing methods, and sensitivities and the challenges of accounting for cofounders, such as age<sup>2828</sup> or OME phenotype<sup>3200</sup> (Table XIII.G.2).

Proposed pathogenic mechanisms of the development of OME center around Eustachian tube dysfunction;<sup>3201</sup> and theories regarding causal mechanisms that directly link allergy and otitis media without concurrent Eustachian tube dysfunction are controversial. (See Section XIII.G.1. Eustachian Tube Dysfunction for additional information

**TABLE XIII.G.1** Evidence table – association between allergic rhinitis and Eustachian tube dysfunction

| Study                          | Year | LOE            | Study design             | Study groups   | Clinical endpoints   | Conclusions  |
|--------------------------------|------|----------------|--------------------------|--|--|--|
| Gluth et al. <sup>3176</sup>   | 2011 | 2              | RDBPCT                   | 91 subjects, aged 6–96 years:<br>TAA-AQ nasal spray, <i>n</i> = 45<br>Control aqueous solution nasal spray, <i>n</i> = 46  | Resolution of abnormal tympanometry<br>Change in severity and frequency of ETD symptom scores  | No difference in normalization of tympanometry between the two groups per patient (19% versus 32%; <i>p</i> = 0.18) or per ear (22% versus 35%; <i>p</i> = 0.15)<br>No difference in symptom score between the two groups ( <i>p</i> = 0.27) |
| Ebert et al. <sup>3170</sup>   | 2002 | 3 <sup>a</sup> | Randomized observational | Rats randomly assigned to receive:<br>Intranasal histamine infusion, <i>n</i> = 24<br>PBS, <i>n</i> = 16   | Passive opening and closing pressures of the ET<br>Active clearance of positive and negative pressure MCTT                                       | Intranasal histamine elevated passive and active opening and closing ET pressures ( <i>p</i> < 0.001) versus controls<br>MCTTs were 2.4 times longer in histamine group versus control   |
| Pollock et al. <sup>3178</sup> | 2002 | 3 <sup>a</sup> | Randomized observational | Treatment groups:<br>sIL-4R/OVA sensitized rats injected with sIL-4R 1 h before OVA challenge, <i>n</i> = 7<br><br>Control groups: OVA or saline sensitization and/or challenge but no sIL-4R treatment, <i>n</i> = 7                          | Ventilatory and clearance functions of the ET<br>Histologic inflammatory changes in the ET mucosa  | sIL-4R-pretreated rats showed no significant changes in ventilatory or clearance functions of the ET or inflammatory changes in ET mucosa<br>sIL-4R was effective in treating ETD and subsequent OME during the late-phase allergic response |
| Downs et al. <sup>3169</sup>   | 2001 | 3 <sup>a</sup> | Randomized observational | Rats randomly assigned to receive:<br>Transtympanic histamine, <i>n</i> = 13<br>Intranasal histamine, <i>n</i> = 3<br>Transtympanic PBS, <i>n</i> = 3  | Passive opening and closing pressures of the ET (transtympanic and intranasal histamine groups)<br>MCTT (transtympanic histamine and PBS groups) | Increase in passive opening and closing pressures with transtympanic histamine versus intranasal histamine<br>Increase in MCTT after transtympanic histamine compared with transtympanic PBS control   |
| Hardy et al. <sup>3171</sup>   | 2001 | 3 <sup>a</sup> | Randomized observational | Rats randomly assigned to receive:<br>SC injection of OVA followed by transtympanic injection of OVA, <i>n</i> = 7<br>No SC injection of OVA followed by OVA in PBS, <i>n</i> = 5<br>No SC injection of OVA followed by PBS only, <i>n</i> = 5 | Passive opening and closing pressures of the ET<br>Active clearance of positive and negative pressure MCTT                                       | Sensitized rats had significant increases in passive and active opening pressures, decreased ability to actively clear middle ear pressure, and impaired MCTT  |

(Continues)

TABLE XIII.G.1 (Continued)

| Study                           | Year | LOE            | Study design           | Study groups   | Clinical endpoints  | Conclusions  |
|---------------------------------|------|----------------|------------------------|--|---|--|
| Knight et al. <sup>3173</sup>   | 1992 | 3              | Cohort                 | Seasonal AR patients ( <i>n</i> = 198 subjects, 396 ears)  | Middle ear pressure on tympanometry<br>ETD symptoms during pollen season  | Symptoms or tympanogram evidence of ETD in 24% of subjects<br>Increased to 48% in pollen season  |
| Doyle et al. <sup>3163</sup>    | 1990 | 3              | Cohort                 | Intranasal challenge of increasing doses of histamine, methacholine, bradykinin, PGD <sub>2</sub> , and PGE <sub>2</sub> in:<br>Adult male subjects with AR, <i>n</i> = 10<br>Adult male controls, <i>n</i> = 10 | Rhinomanometry for nasal patency<br>Sonotubometry for ET function<br>Tympanometry for middle ear pressure<br>Spirometry for pulmonary function<br>Subjective scoring for symptoms | Intranasal challenge with PGD <sub>2</sub> , histamine, and bradykinin provoked tubal dysfunction, although no changes in middle ear pressure were found<br>No significant differences between AR and control groups   |
| Osur et al. <sup>3172</sup>     | 1989 | 3              | Cohort                 | Children with ragweed sensitivity, <i>n</i> = 15   | 9-step tympanometric ET function test   | 60% of cases developed ET obstruction following natural pollen exposure  |
| Skoner et al. <sup>3164</sup>   | 1989 | 3              | Cohort                 | Intranasal challenge of increasing doses of ragweed and histamine in subjects with ragweed AR before, during, and after ragweed season; <i>n</i> = 8   | Rhinomanometry for nasal patency<br>Sonotubometry for ET function   | Mean ET obstruction dose for histamine decreased during and up to 6 weeks after ragweed season versus preseason and 3–5 months postseason doses<br>ET hyperresponsiveness to ragweed limited to the ragweed season<br>Responses did not correlate with serum IgE |
| Skoner et al. <sup>3167</sup>   | 1987 | 3 <sup>b</sup> | Double-blind crossover | Adults with AR, <i>n</i> = 5<br>Adults without AR, <i>n</i> = 5  | 9-step tympanometric ET function test   | All AR subjects had ET obstruction after histamine provocation (56% at 0.1 mg, 100% at 0.5 mg)<br>Two non-AR subjects developed ET obstruction following a much higher dose (20% at 5 mg)<br>Remainder did not develop ET obstruction (up to 10 mg)              |
| Skoner et al. <sup>3166</sup>   | 1986 | 3              | Cohort                 | Adults with AR sensitive to house dust mite, normal ET function ( <i>n</i> = 23 subjects, 40 ears)   | 9-step tympanometric ET function test   | 55% of ears developed ET obstruction after provocation   |
| O'Connor et al. <sup>3168</sup> | 1984 | 3              | Cohort                 | Children with AR, <i>n</i> = 37  | Middle ear pressure<br>Nasal airway resistance after pollen challenge   | 69% of children demonstrated negative middle ear pressure after allergen challenge   |

(Continues)

TABLE XIII.G.1 (Continued)

| Study                             | Year | LOE            | Study design              | Study groups  | Clinical endpoints  | Conclusions  |
|-----------------------------------|------|----------------|---------------------------|---|---|--|
| Friedman et al. <sup>3165</sup>   | 1983 | 3 <sup>b</sup> | Double-blind crossover    | Adult patients with AR sensitive to ragweed, grass pollen, or both; <i>n</i> = 8  | 9-step tympanometric ET function test   | All subjects experienced bilateral ET obstruction following pollen provocation   |
| Juszcak et al. <sup>3174</sup>    | 2019 | 4              | Cross sectional           | Participants with Type A tympanograms, no ETD, <i>n</i> = 1049<br>Participants with Type B or C tympanograms, with ETD, <i>n</i> = 204  | Participants with reported hay fever/AR   | Presence of ETD correlated with presence of hay fever/AR (OR 1.71, <i>p</i> = 0.039)   |
| Lazo-Sáenz et al. <sup>3175</sup> | 2005 | 4              | Case control              | Subjects with AR: adults ( <i>n</i> = 40), children ( <i>n</i> = 40)<br>Subjects without AR: adults ( <i>n</i> = 33), children ( <i>n</i> = 17)   | Type B or C tympanogram<br>Palmu criteria <sup>3180</sup> for children younger than 11 months | Adults with AR demonstrated a significant difference in tympanogram peak admittance versus controls<br>15.5% of children with AR and 0% of controls had abnormal tympanograms ( <i>p</i> = 0.03) |
| Derebery et al. <sup>3179</sup>   | 1997 | 4              | Retrospective case series | Patients with ETD and positive allergy testing (100% reactivity to inhalants and 92.3% positivity to one or more foods) who had undergone allergy treatment with immunotherapy and diet ( <i>n</i> = 151) | Ratings of fullness, allergy symptoms, and well-being as “improved,” “no change,” or “worse”  | Majority improved on all three symptoms – fullness 70.9%, allergy symptoms 82.8%, and well-being 80.2%   |

Abbreviations: AR, allergic rhinitis; ET, Eustachian tube; ETD, Eustachian tube dysfunction; IgE, immunoglobulin E; IL, interleukin; LOE, level of evidence; MCTT, mucociliary clearance time of the tubotympanum; OME, otitis media with effusion; OR, odds ratio; OVA, ovalbumin; PBS, phosphate buffered saline; PG, prostaglandin; RDBPCT, randomized double-blind placebo-controlled trial; SC, subcutaneous; TAA-AQ, triamcinolone acetonide aqueous.

<sup>a</sup>LOE downgraded due to animal study.

<sup>b</sup>LOE downgraded due to small sample size.

on this topic.) Some have proposed that the middle ear itself can be a site of targeted allergic reaction.<sup>3202</sup> Several cohort studies suggest that the middle ear is capable of developing a local IgE-mediated inflammatory reaction irrespective of a systemic inflammatory reaction.<sup>3203–3206</sup> Additionally, type 2 inflammatory patterns, such as eosinophil growth, mucus production, and mast cell presence, have been found in effusions of atopic patients when compared to non-atopic patients.<sup>3207–3209</sup> Furthermore, the chemoattractant cytokine RANTES, ECP, IL-4, IL-5, and MBP were found to be higher in effusions of atopic children than non-atopic children.<sup>3208,3210–3213</sup> Arguably the

strongest evidence to date directly establishing the middle ear as an allergic target and linking it with the upper airway is the presence of similar cytokine expression patterns from biopsies of middle ear and nasopharyngeal specimens in atopic patients with OME.<sup>3213</sup>

Despite evidence suggesting that the middle ear is a site of allergic inflammation in patients with OME, high quality evidence has failed to demonstrate significant improvement or resolution of effusions after traditional allergy treatments. Placebo-controlled RCTs have shown that INCS do not improve OME outcomes.<sup>3214,3215</sup> Two Cochrane reviews have demonstrated the statistical

**TABLE XIII.G.2** Evidence table – association between allergic rhinitis and otitis media

| Study                             | Year | LOE | Study design    | Study groups  | Clinical endpoints   | Conclusions   |
|-----------------------------------|------|-----|-----------------|---|--|---|
| Cheng et al. <sup>3198</sup>      | 2017 | 1   | SRMA            | Comparison of AR between:<br>OME patients, <i>n</i> = 630<br>Controls, <i>n</i> = 380<br>Comparison of allergy between:<br>OME patients, <i>n</i> = 1233<br>Controls, <i>n</i> = 4504 | Prevalence of AR<br>Prevalence of allergy  | OME patients are more likely to have AR (OR 3.06; 95% CI 2.01–4.66) and allergy (OR 3.94; 95% CI 1.60–9.72) than controls |
| Griffin and Flynn <sup>3216</sup> | 2011 | 1   | SRMA            | Children with OME, <i>n</i> = 1300  | Resolution of OME after oral or nasal decongestant and/or antihistamine compared to placebo  | No benefit of antihistamines or decongestants in resolution of fluid, hearing problems, or need to refer to a specialist  |
| Simpson et al. <sup>3217</sup>    | 2011 | 1   | SRMA            | Children with OME, <i>n</i> = 945   | Differences in hearing level<br>Degree of CHL after oral/intranasal steroids ± other treatments, compared to placebo or no treatment | Oral steroids impart short-term but not long-term resolution of OME<br>No short- or long-term benefit from INCS           |
| Norhafizah et al. <sup>3196</sup> | 2020 | 2   | Cross-sectional | Children with OME, <i>n</i> = 130   | Prevalence of AR at baseline<br>Prevalence of AR for patients with persistent OME after 3 months                                     | Prevalence of AR in OME children was 52.3% and 80.3% for those with persistent OME  |
| Byeon <sup>3199</sup>             | 2019 | 2   | Cross-sectional | Children, <i>n</i> = 472  | Prevalence of AR<br>Prevalence of OME  | Children with AR were at greater risk of OME (OR 2.04; 95% CI 1.30–3.18) versus children without AR                       |
| Roditi et al. <sup>2828</sup>     | 2016 | 2   | Cross-sectional | 1,491,045,375 pediatric visits  | Age<br>Prevalence of OME<br>Prevalence of AR   | AR increases odds of OME in children over 6 years (OR 2.65; 95% CI 1.02–6.85), but not under 6 years                      |
| Ertugay et al. <sup>3219</sup>    | 2013 | 2   | RCT             | Children with OME, <i>n</i> = 120   | Resolution of effusion after 1 month of montelukast or placebo   | Montelukast is no more effective than placebo in eliminating effusion   |
| Gultekin et al. <sup>3188</sup>   | 2010 | 2   | Cross-sectional | Primary school-aged children, <i>n</i> = 1740   | Prevalence of OME<br>Prevalence of OME risk factors  | 8.7% prevalence of OME<br>History of allergy was significant OME risk factor  |
| Schoem et al. <sup>3218</sup>     | 2010 | 2   | RCT             | Children with OME, <i>n</i> = 38  | Clearance of effusion at 1 month after montelukast or placebo  | Montelukast is no more effective than placebo in eliminating effusion   |

(Continues)



TABLE XIII.G.2 (Continued)

| Study                                 | Year | LOE | Study design | Study groups  | Clinical endpoints   | Conclusions   |
|---------------------------------------|------|-----|--------------|---|--|---|
| Williamson et al. <sup>3215</sup>     | 2009 | 2   | RCT          | Children with bilateral OME, <i>n</i> = 217   | Proportion of pts with resolution of effusion at 1, 3, and 9 months after INCS compared to placebo                     | INCS were no more effective than placebo for OME resolution   |
| Lindholdt & Kortholm <sup>3214</sup>  | 1982 | 2   | RCT          | 70 children (4–14 years old) with MEE   | Tympanometry<br>Hearing improvement after 1 month of intranasal beclomethasone spray versus placebo                    | Beclomethasone nasal spray is no more effective than placebo for MEE resolution   |
| Songu et al. <sup>3190</sup>          | 2020 | 3   | Cohort       | Children undergoing surgery for adenoid hypertrophy, <i>n</i> = 539                       | Prevalence of OME<br>Prevalence of risk factors for OME  | Prevalence of atopy or AR was greater in OME pts (34%) than those without OME (25%)   |
| Sharifian et al. <sup>3189</sup>      | 2019 | 4   | Case-control | Children with OME, <i>n</i> = 37<br>Controls, <i>n</i> = 52                               | AR prevalence<br>Serum tIgE<br>Eosinophil count<br>Nasal scraping cytology   | AR prevalence higher in OME (24.3%) than controls (5.8%)<br>No difference in serum tIgE and eosinophil count  |
| Torretta et al. <sup>3200</sup>       | 2018 | 4   | Case-control | Children with RAOM, 3–10 years old, <i>n</i> = 153  | Prevalence of OME after RAOM<br>Prevalence of allergy (by skin or in vitro test)<br>Prevalence of atopy (by serum IgE) | Prevalence of allergy and atopy were higher in children with OME after RAOM than without OME  |
| Kwon et al. <sup>2827</sup>           | 2013 | 4   | Case-control | Children with OME, <i>n</i> = 370<br>Controls, <i>n</i> = 100                             | History of allergy   | Incidence of AR higher in OME (33.8%) versus controls (16%)   |
| Kreiner-Moller et al. <sup>3197</sup> | 2012 | 4   | Cohort       | 6-year-old children, <i>n</i> = 262   | Prevalence of OME<br>Prevalence of AR  | 39% of cohort with OME<br>OR of 3.36 for AR and OME   |
| Hurst <sup>3195</sup>                 | 2008 | 4   | Cohort       | OME patients treated with AIT, <i>n</i> = 89<br>OME patients not given AIT, <i>n</i> = 21 | Resolution of effusion at 2–8-year follow-up   | 100% of OME with positive allergy tests<br>85% of AIT-treated patients cured  |
| Yeo et al. <sup>3183</sup>            | 2007 | 4   | Case-control | Children with OME, <i>n</i> = 123<br>Controls, <i>n</i> = 141                             | History of AR<br>Skin prick tests  | AR in 28% of OME group versus 24% of control  |
| Chantzi et al. <sup>3187</sup>        | 2006 | 4   | Case-control | Children with OME, <i>n</i> = 88<br>Controls, <i>n</i> = 80                               | Allergy history<br>Allergy tests   | IgE sensitization is independent risk factor for OME  |
| Nguyen et al. <sup>3213</sup>         | 2004 | 4   | Cohort       | Patients with OME undergoing tympanostomy tube and adenoidectomy, <i>n</i> = 45           | Skin prick test<br>Cellular and cytokine profiles of effusions and nasopharyngeal tissue                               | Effusions of atopic pts had higher levels of eosinophils and IL-4 mRNA cells than non-atopics<br>Nasopharyngeal biopsies had similar profiles to effusions in atopics |

(Continues)

TABLE XIII.G.2 (Continued)

| Study                             | Year | LOE | Study design  | Study groups   | Clinical endpoints  | Conclusions  |
|-----------------------------------|------|-----|---------------|--|---|--|
| Jang and Kim <sup>3212</sup>      | 2003 | 4   | Cohort        | OME patients:<br>With allergy, <i>n</i> = 25<br>Without allergy, <i>n</i> = 20                   | Allergy tests<br>Effusion levels of RANTES and ECP                            | Levels of RANTES and ECP were higher in effusions of OME pts with allergy than without   |
| Jang and Kim <sup>3211</sup>      | 2002 | 4   | Case- control | OME patients:<br>With allergy, <i>n</i> = 20<br>Without allergy, <i>n</i> = 15                   | Allergy tests<br>Effusion cytokine concentrations                             | Higher levels of IL-4, IL-6, and TNF- $\alpha$ in effusions of allergy positive group than allergy negative group  |
| Sobol et al. <sup>3208</sup>      | 2002 | 4   | Case series   | 26 OME patients  | Skin prick tests<br>Effusion immunocytochemistry                              | Higher levels of eosinophils and T lymphocytes in effusions of atopics than non-atopics  |
| Alles et al. <sup>3194</sup>      | 2001 | 4   | Cohort        | Children (3–8 years old) with OME  | Prevalence of AR<br>Skin prick tests  | 57% with positive skin prick test, almost all with rhinitis  |
| Hurst and Venge <sup>3207</sup>   | 2000 | 4   | Cohort        | Patients with OME, <i>n</i> = 97   | In vitro allergy tests<br>Effusion levels of ECP, MPO, tryptase<br>Serum tIgE | Atopic patients had higher levels of ECP, MPO and tryptase in effusions versus non-atopic<br>No difference in serum tIgE   |
| Wright et al. <sup>3210</sup>     | 2000 | 4   | Case-control  | Children with OME, <i>n</i> = 7<br>Controls, <i>n</i> = 7  | In vitro allergy testing<br>CD3, MBP, IL-5 expression in middle ear mucosa    | OME patients all tested positive to at least three allergens<br>Middle ear biopsies of OME patients had higher expression of T cells, eosinophils, and IL-5 mRNA versus controls |
| Hurst et al. <sup>3206</sup>      | 1999 | 4   | Cohort        | Children with OME, <i>n</i> = 18   | Effusion IgE levels<br>Serum sIgE levels                                      | No relation between serum and effusion sIgE levels   |
| Caffarelli et al. <sup>3182</sup> | 1998 | 4   | Case- control | Patients with OME, 4-14 years old, <i>n</i> = 172<br>Controls, <i>n</i> = 200                    | Skin prick tests  | Equal rates of sensitization between OME group and controls  |
| Hurst <sup>3193</sup>             | 1996 | 4   | Cohort        | Patients with OME, <i>n</i> = 73<br>Controls, <i>n</i> = 16                                      | Allergy tests<br>Effusion ECP   | Positive allergies in 97% of COME  |
| Corey et al. <sup>3186</sup>      | 1994 | 4   | Case- control | Children with OME, <i>n</i> = 89<br>Controls, <i>n</i> = 59                                      | RAST  | 61% positive RAST in OME group versus 41% in controls  |
| Tomonaga et al. <sup>3185</sup>   | 1988 | 4   | Cohort        | Children with OME, <i>n</i> = 259<br>Nasal allergies, <i>n</i> = 605<br>Controls, <i>n</i> = 104 | Allergy testing   | 50% of OME patients had nasal allergy versus 17% controls  |

(Continues)

TABLE XIII.G.2 (Continued)

| Study                            | Year | LOE | Study design | Study groups   | Clinical endpoints                                       | Conclusions   |
|----------------------------------|------|-----|--------------|--|--|---|
| Bernstein et al. <sup>3205</sup> | 1985 | 4   | Cohort       | Patients with OME and allergy, <i>n</i> = 35<br>Patients with OME, non-allergic, <i>n</i> = 65 | tIgE and sIgE in effusion<br>tIgE and sIgE in serum      | 23% of allergic OME patients had evidence of local IgE                      |
| Bernstein et al. <sup>3204</sup> | 1983 | 4   | Cohort       | Children with OME and history of myringotomy tubes, <i>n</i> = 77                              | Allergy evaluation<br>Serum tIgE<br>Nasal IgE<br>MEE IgE | Higher levels of IgE in MEE of allergic children than non-allergic children |
| Borge <sup>3184</sup>            | 1983 | 4   | Case-control | Patients with SOM, <i>n</i> = 89<br>Controls, <i>n</i> = 67                                    | Allergy history<br>Allergy testing                       | 41% of SOM patients had perennial rhinitis versus 11% of controls           |
| Bernstein et al. <sup>3203</sup> | 1981 | 4   | Cohort       | Patients with OME and allergy, <i>n</i> = 20<br>Patients with OME, non-allergic, <i>n</i> = 21 | Serum tIgE<br>Serum sIgE<br>MEE tIgE<br>MEE sIgE         | 15% of allergic OME cases had evidence of local IgE                         |
| McMahan et al. <sup>3191</sup>   | 1981 | 4   | Case series  | Patients with COME, <i>n</i> = 119   | RAST   | 93% of COME patients tested positive to inhalants                           |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; CD, cluster of differentiation; CHL, conductive hearing loss; CI, confidence interval; COME, chronic otitis media with effusion; ECP, eosinophil cationic protein; IgE, immunoglobulin E; IL, interleukin; INCS, intranasal corticosteroid; LOE, level of evidence; MBP, major basic protein; MEE, middle ear effusion; MPO, myeloperoxidase; OME, otitis media with effusion; OR, odds ratio; RANTES, regulated upon activation, normal T cell expressed and secreted; RAO, recurrent acute otitis media; RAST, radioallergen sorbent test; sIgE, specific immunoglobulin E; SOM, serous otitis media; SRMA, systematic review and meta-analysis; tIgE, total immunoglobulin E; TNF, tumor necrosis factor.

ineffectiveness of antihistamines, decongestants, antihistamine/decongestant combinations, and INCS in resolution of OME.<sup>3216,3217</sup> In two RCTs of children with OME, LTRAs provided no benefit over placebo in resolution of effusions.<sup>3218,3219</sup> Finally, though one prospective cohort demonstrated a significant improvement in OME after targeted SCIT compared to a group of controls self-selected to avoid AIT, some aspects of the study design are flawed, including significant selection bias and inclusion of a generally older population than that most affected by OME.<sup>3195</sup>

In summary, observational studies provide low grade evidence of an association between allergy and OME. Nevertheless, moderate grade evidence from histologic studies suggest that the middle ear could be a primary site of allergy. Additionally, a high level of evidence suggests that traditional allergy treatment is not effective in resolving OME.

#### Associated conditions – otitis media

Aggregate grade of evidence: C (Level 1: 3 studies, level 2: 8 studies, level 3: 1 study, level 4: 24 studies; Table XIII.G.2)

### XIII.G.3 | Meniere's and inner ear disease

Meniere's disease is a chronic condition that occurs almost exclusively in adults and is characterized by aural fullness, tinnitus, fluctuating sensorineural hearing loss (SNHL), and episodic vertigo. While the underlying pathophysiologic mechanism of Meniere's disease remains uncertain, it is associated with a dysregulation of inner ear fluid volume resulting in endolymphatic hydrops.<sup>3220</sup> Theories linking allergy to Meniere's disease have centered on the role of the endolymphatic sac in the development of hydrops and clinical symptoms through its release of allergic mediators or its susceptibility to circulating immune complexes and dormant viral antigens.<sup>3221</sup> A causal relationship between allergy and Meniere's disease is supported by limited studies, though there have been a number of observations of association between Meniere's disease and allergic conditions. Patient-reported and physician-reported data suggest that Meniere's disease patients have higher rates of concurrent AR than expected in the general population<sup>3222</sup> and have increased odds of allergies versus controls.<sup>3223</sup> Similar patient-reported data suggests higher rates of allergy and migraine in Meniere's disease patients.<sup>3224</sup> Overall, these studies generally provide low grade evidence (Table XIII.G.3).

**TABLE XIII.G.3** Evidence table – association between allergic rhinitis and Meniere's/inner ear disease

| Study                                   | Year | LOE | Study design    | Study groups  | Clinical endpoints  | Conclusions   |
|---|------|-----|-----------------|---|---|---|
| Tyrell et al. <sup>3223</sup>           | 2014 | 2   | Cross-sectional | MD patients, <i>n</i> = 1376<br>Controls, <i>n</i> = 501,306                              | OR of allergy<br>OR of rhinitis   | MD patients have increased odds of rhinitis but not allergy   |
| Derebery <sup>3232</sup>                | 2000 | 3   | Cohort          | MD patients treated with AIT + diet, <i>n</i> = 113<br>MD controls, <i>n</i> = 24         | Self-reported MD symptoms   | Allergy treatment reduced tinnitus and vertigo  |
| Ma et al. <sup>3227</sup>               | 2021 | 4   | Case-control    | Sudden SNHL patients, <i>n</i> = 127<br>Acute low frequency SNHL patients, <i>n</i> = 115 | Serum tIgE<br>Serum sIgE<br>ECOG SP/AP ratio  | Patients with acute low frequency SNHL have higher serum tIgE and sIgE<br>High IgE levels correlate with increased SP/AP amplitudes                 |
| Roomiani et al. <sup>3226</sup>         | 2021 | 4   | Case-control    | MD patients, <i>n</i> = 39<br>Controls, <i>n</i> = 41                                     | Serum tIgE<br>Serum immunoreactivity to inhalant allergens  | MD patients have higher serum tIgE<br>Association between MD and reactivity to inhalant allergens   |
| Singh et al. <sup>3234</sup>            | 2011 | 4   | Cohort          | Patients with AR, <i>n</i> = 30<br>Controls, <i>n</i> = 20                                | Audiometry<br>OAE<br>ABR  | AR subjects had evidence of inner ear dysfunction   |
| Sen et al. <sup>3224</sup>              | 2005 | 4   | Case-control    | MD patients, <i>n</i> = 180<br>Controls, <i>n</i> = 100                                   | Prevalence of self-reported migraines<br>Prevalence of self-reported allergy  | MD patients have higher prevalence of migraine and allergy than controls<br>Prevalence of allergy higher in MD patients with migraines than without |
| Keles et al. <sup>3225</sup>            | 2004 | 4   | Case-control    | MD patients, <i>n</i> = 46<br>Healthy controls, <i>n</i> = 46                             | Serum lymphocyte populations<br>Serum cytokine levels<br>sIgE levels<br>tIgE levels   | MD patients more likely to have positive allergy test<br>41% of MD patients had elevated tIgE   |
| Derebery and Berliner <sup>3222</sup>   | 2000 | 4   | Case-control    | MD patients, <i>n</i> = 734<br>Controls, <i>n</i> = 172                                   | Allergy symptoms<br>History questionnaire   | MD patients have more AR and food sensitivity   |
| Gibbs et al. <sup>3230</sup>            | 1999 | 4   | Case series     | Patients with MD and inhalant allergy, <i>n</i> = 7                                       | Change in ECOG after allergen challenge   | 57% of subjects had >15% change in SP/AP ratio after challenge  |
| Derebery and Valenzuela <sup>3231</sup> | 1992 | 4   | Cohort          | MD patients with suspected allergy, <i>n</i> = 93   | Allergy skin test<br>In vitro allergy tests<br>Serum IgE<br>Provocative food testing<br>AIT response                                      | 82% had normal serum IgE<br>AIT improved vertigo in 62%   |
| Viscomi and Bojrab <sup>3229</sup>      | 1992 | 4   | Case series     | Patients with MD and AR, <i>n</i> = 5   | Rate of having >15% change in SP/AP ratio on ECOG after allergen challenge<br>Rate of provocation of MD symptoms after allergen challenge | 6/27 intracutaneous food challenges with induction of aural symptoms and >15% change in SP/AP ratio   |
| Hsu et al. <sup>3228</sup>              | 1990 | 4   | Case-control    | MD patients, <i>n</i> = 42<br>Controls, <i>n</i> = 18                                     | Serum tIgE  | No difference in serum tIgE between groups  |

Abbreviations: ABR, auditory brainstem response; AIT, allergen immunotherapy; AR, allergic rhinitis; ECOG, electrocochleography; IgE, immunoglobulin E; LOE, level of evidence; MD, Meniere's disease; OAE, otoacoustic emissions; OR, odds ratio; sIgE, specific IgE; SNHL, sensorineural hearing loss; SP/AP, summation potential/action potential ratio; tIgE, total immunoglobulin E.

Objective evidence of heightened immunopathologic profiles and reactivity in Meniere's disease patients has been mixed. Higher rates of serum IgE levels were observed in Meniere's disease patients versus controls,<sup>3225,3226</sup> as well as in patients with acute low frequency SNHL compared to those with sudden SNHL.<sup>3227</sup> However, in another small study, there was no difference in serum tIgE levels between Meniere's disease and controls.<sup>3228</sup> In two small studies, electrocochleographic summation potential/action potential [SP/AP] ratios increased in response to allergen challenge in Meniere's disease patients,<sup>3229,3230</sup> suggesting that allergy may worsen endolymphatic hydrops. Likewise, serum IgE levels were found to correlate with elevated SP/AP ratios in patients with low frequency SNHL.<sup>3227</sup> Overall, studies on IgE levels and electrocochleography are of low-grade evidence with significant shortcomings in design.

Lastly, there have been two studies on the treatment of allergies in Meniere's disease patients, both of low-grade evidence, suggesting that AIT results in improvement of Meniere's disease symptoms in patients with concurrent allergies (although potentially confounded by inclusion of non-IgE-mediated food allergy).<sup>3231,3232</sup> However, a double-blind RCT, expected to conclude in April 2022, is being conducted to investigate the efficacy of a leukotriene inhibitor in reducing vertigo and hearing loss in Meniere's disease patients.<sup>3233</sup> In conclusion, though observational studies have found associations between Meniere's disease and allergy, no data to date supports reflexive allergy testing and treatment in Meniere's disease patients without a concurrent history of allergies.

#### Associated conditions – Meniere's and inner ear disease

*Aggregate grade of evidence:* C (Level 2: 1 study, level 3: 1 study, level 4: 10 studies; Table XIII.G.3)

### XIII.H | Cough

Cough clears the lower airways of irritants. Vagal afferent nerves regulate involuntary cough, yet there is cortical control of the overall visceral cough reflex.<sup>3235</sup> AR has been associated with cough. Allergens may stimulate the nasal mucosa, resulting in the rhinobronchial reflex and bronchospasm.<sup>3236</sup> Inflammation in the upper airways with eosinophil activation and cytokine release may also lead to inflammation of the lower airways and cough. There is a complex interplay between cells and inflamma-

tory cytokines, and the upper and lower airways can be considered a single functional unit.<sup>3236</sup> The exact pathways and mechanisms of this unified airway model continue to unfold.

Patients with AR and concomitant cough may have asthma and/or a nonspecific bronchial hyper-reactivity, and generalized inflammation of the upper and lower airways can be present.<sup>1004</sup> Patients with cough and AR may cough due to their underlying asthma. However, many patients with AR and cough do not have the diagnostic airflow obstruction or bronchodilator-associated FEV<sub>1</sub> reversibility that is necessary to meet asthma diagnostic criteria.<sup>1004</sup> Krzych-Falta et al.<sup>3237</sup> performed nasal allergen challenges in AR patients and noted extra-nasal symptoms, including cough and breathlessness, especially in those with perennial AR. Additionally, Chakir et al.<sup>3238</sup> showed increased lymphocytes, eosinophil recruitment, and IL-5 expression in the bronchial mucosa after exposure with natural pollen in patients with AR without current or prior asthma. The same group noted deposition of type I and III collagens and fibronectin by bronchial myofibroblasts in patients with AR in a previous study, suggesting structural remodeling of the lower airways in patients with AR which was similar to asthma, albeit less severe.<sup>3239</sup> In an animal model, HDM-sensitized guinea pigs had a significantly enhanced cough response compared to non-sensitized animals.<sup>3240</sup> These studies demonstrate that AR, independent of asthma, may result in bronchial inflammation, lower airway remodeling, and ultimately cough (Table XIII.H).

Several publications in 2016 reported results of relatively large studies evaluating the characteristics of respiratory diseases in the Asia Pacific region. In a 1000-person cross-sectional observational study, it was noted that patients with asthma and/or COPD present to physicians with a primary complaint of cough, whereas AR patients typically present with watery rhinorrhea and/or sneezing.<sup>1188,3241</sup> In addition, combined respiratory disease may be seen; this occurred in 33.5%, with the most common combination being AR and asthma.<sup>1188,3241</sup> A multi-country observational study of 5250 subjects reported that 47% of patients with AR reported cough; however, only 11% of these patients reported cough as the main reason for seeking medical care.<sup>3242</sup> Interestingly, for patients with asthma, 61% reported cough, and for 33% cough was the primary reason for seeing medical care. In a prospective study of 2713 patients with AR, He et al.<sup>3243</sup> found the prevalence of comorbidities, including cough, to gradually increase with increasing AR severity and frequency.

Publications from 2020 to 2021 provide additional evidence to support the association between cough and AR. In two RCTs that enrolled patients with either refractory or unexplained cough, concomitant AR was present in 15%



**TABLE XIII.H** Evidence table – association between allergic rhinitis and cough

| Study                                | Year | LOE            | Study design                | Study groups   | Clinical endpoints  | Conclusions  |
|--------------------------------------|------|----------------|-----------------------------|--|---|--|
| Hua et al. <sup>2397</sup>           | 2022 | 2              | RCT                         | Participants with AR:<br>Posterior nasal neurectomy and pharyngeal neurectomy, <i>n</i> = 25<br>Posterior nasal neurectomy alone, <i>n</i> = 27                | Cough severity on visual analog scale   | Postoperative cough severity significantly lower in both groups<br>Postoperative cough severity significantly lower with nasal+pharyngeal neurectomy versus nasal neurectomy alone |
| Dicpinigiatis et al. <sup>3244</sup> | 2021 | 2              | Secondary analysis of RCTs  | Patients ≥18 years with refractory/unexplained cough in COUGH-1 and COUGH-2 RCTs of the P2 × 3 receptor antagonist gefapixant, <i>n</i> = 2044                 | Concurrent AR   | AR was present in 20% of COUGH-1 and 15% in COUGH-2 participants   |
| Lin et al. <sup>2096</sup>           | 2017 | 2              | RCT                         | Patients with chronic cough, AR, elevated sIgE to HDM (aged 18–75 years):<br>Nasal saline irrigations, <i>n</i> = 23<br>Fluticasone nasal spray, <i>n</i> = 22 | Cough Symptom Score<br>Leicester Cough Questionnaire<br>Capsaicin cough threshold | All endpoints improved significantly in the nasal saline arm, but did not improve with fluticasone nasal spray   |
| Deot et al. <sup>3250</sup>          | 2019 | 3 <sup>a</sup> | SR                          | RCTs evaluating effect on INCS on secondary symptoms of AR, including cough  | Cough severity  | Two studies identified: one showed improvement on daytime cough, one showed no difference in cough   |
| He et al. <sup>3243</sup>            | 2016 | 3              | Prospective, non-randomized | Serum sIgE from patients with AR symptoms from 2011 to 2014, <i>n</i> = 2713   | Questionnaire<br>Allergen profile<br>Clinical features of AR                      | <i>D. pteronyssinus</i> most common allergen<br>Occurrence of co-morbidities, including cough, increased with AR severity  |
| Passali et al. <sup>3236</sup>       | 2011 | 3              | Cohort                      | Patients from otolaryngology and pulmonary centers, <i>n</i> = 159   | Analysis of rhino-bronchial syndrome signs and symptoms                           | Increased frequency of the rhino-bronchial-syndrome in allergic disease (37.9% versus 20.9%)<br>Cough in 96%   |
| Chen et al. <sup>3248</sup>          | 2021 | 4              | Case series                 | Consecutive chronic cough patients, 18–75 years old, <i>n</i> = 328:<br>CVA<br>Non-CVA   | FeNO<br>MMEF  | AR more common in CVA group<br>FeNO higher with concomitant AR<br>FeNO more accurate in differentiating CVA from non-CVA when AR present   |
| Nakajima et al. <sup>3247</sup>      | 2021 | 4              | Case series                 | Consecutive patients with cough >3 weeks and CVA or CPA, <i>n</i> = 99   | FeNO<br>Cough duration after initial evaluation                                   | FeNO higher and cough duration longer in those with AR versus non-AR   |

(Continues)

TABLE XIII.H (Continued)

| Study                               | Year | LOE | Study design | Study groups  | Clinical endpoints  | Conclusions   |
|-------------------------------------|------|-----|--------------|---|---|---|
| Kim et al. <sup>3245</sup>          | 2020 | 4   | Case series  | AR patients presenting to allergy clinic:<br>1990s cohort, <i>n</i> = 2722<br>2010s cohort, <i>n</i> = 4980 | Self-reported cough on questionnaire  | Proportion of patients with cough increased from 1990s (22%) to 2010s (27.9%)   |
| Liu et al. <sup>3249</sup>          | 2019 | 4   | Case series  | Consecutive patients with AR and chronic cough, <i>n</i> = 316  | FeNO<br>FEF <sub>25-75</sub>  | FeNO can differentiate chronic cough patients with CVA or NAEB from patients with UACS or GERC<br>Lower FEF <sub>25-75</sub> can then be used to identify CVA patients  |
| Tang et al. <sup>3246</sup>         | 2018 | 4   | Case series  | Consecutive newly diagnosed CVA patients, <i>n</i> = 99   | FeNO levels dichotomized as high ( $\geq 25$ ppb) and normal ( $< 25$ ppb)  | More patients with concurrent AR in the high FeNO group<br>Higher odds of having elevated FeNO with concurrent AR (OR 5.03; 95% CI 1.88–13.49)  |
| Cho et al. <sup>3242</sup>          | 2016 | 4   | Case series  | Adults with primary diagnosis of asthma, AR, COPD, or rhinosinusitis, <i>n</i> = 5250                       | Respiratory disease and demographics questionnaire completed by participants and physicians   | Cough symptoms in COPD (73%), asthma (61%), rhinosinusitis (59%), AR (47%)<br>Cough was the primary reason for medical visits with COPD (43%), asthma (33%), rhinosinusitis (13%), AR (11%)   |
| Ghoshal et al. <sup>1188</sup>      | 2016 | 4   | Case series  | Adults with primary diagnosis of asthma, AR, COPD, or rhinosinusitis, <i>n</i> = 1000                       | Respiratory disease questionnaire<br>Direct and indirect costs of treatment   | Asthma was the most frequent primary diagnosis<br>33.5% patients were diagnosed with combined respiratory diseases<br>Most frequent combinations were asthma/AR and rhinosinusitis/AR   |
| Lin et al. <sup>3241</sup>          | 2016 | 4   | Case series  | Adults with primary diagnosis of asthma, AR, COPD, or rhinosinusitis, <i>n</i> = 1001                       | Respiratory disease questionnaire completed by participants and physicians  | AR was the most frequent primary diagnosis (31.2%)<br>25% presented with a combination of respiratory diseases<br>Asthma/AR was the most frequent combination (14.1%)<br>Cough was the primary reason for medical visits for patients with asthma and COPD; nasal symptoms were the primary reasons for AR and rhinosinusitis |
| Krzych-Falta et al. <sup>3237</sup> | 2015 | 4   | Case-control | Patients with allergy to common environmental allergens, <i>n</i> = 30<br>Controls, <i>n</i> = 30           | Assess safety of nasal allergen challenge, and the use of certain parameters applied in assessing the condition of the respiratory system | Extra-nasal symptoms observed early in reaction, namely cough and breathlessness, and more common in those with perennial AR  |

(Continues)

TABLE XIII.H (Continued)

| Study                         | Year | LOE | Study design   | Study groups   | Clinical endpoints   | Conclusions  |
|-------------------------------|------|-----|----------------|--|--|--|
| Chakir et al. <sup>3238</sup> | 2000 | 4   | Case series    | Participants with recurrent seasonal pollen-induced rhinitis, no past or current history of asthma, aged 21–35 years, <i>n</i> = 12            | Bronchial biopsy immunohistochemistry<br>Cytokine expression, inflammatory cell numbers and activation during and out of pollen season   | Natural pollen exposure associated with increased lymphocytes, eosinophil recruitment, IL-5 expression in bronchial mucosa   |
| Chakir et al. <sup>3239</sup> | 1996 | 4   | Case-control   | Non-asthmatic subjects with seasonal AR, <i>n</i> = 8<br>Allergic asthmatics, <i>n</i> = 6<br>Controls, <i>n</i> = 5                           | Bronchial biopsy immunohistochemistry  | Content of type I and III collagens increased in rhinitic subjects<br>Suggests the presence of an active structural remodeling in the lower airways of AR patients               |
| Buday et al. <sup>3240</sup>  | 2016 | 5   | Bench research | 30 guinea pigs:<br>HDM group (sensitized by HDM aerosol, then challenged, sensitization confirmed via skin test)<br>OVA group<br>Control group | Symptoms of AR induced by intranasal application of 15 $\mu$ l 0.5 % HDM<br>Cough challenge with citric acid performed<br>Airway resistance measured in vivo by Pennock's method | HDM and OVA-sensitized groups showed a significantly enhanced nasal reactivity and cough response versus controls<br>Airway resistance data did not show significant differences |

Abbreviations: AR, allergic rhinitis; CI, confidence interval; COPD, chronic obstructive pulmonary disease; CPA, cough predominant asthma; CVA, cough variant asthma; FEF<sub>25–75</sub>, forced expiratory flow at 25% to 75% of pulmonary volume; FeNO, fractional exhaled nitric oxide; GERD, gastroesophageal reflux-related cough; HDM, house dust mite; IL, interleukin; INCS, intranasal corticosteroid; LOE, level of evidence; MMEF, maximum mid-expiratory flow; NAEB, non-asthmatic eosinophilic bronchitis; OR, odds ratio; OVA, ovalbumin; RCT, randomized controlled trial; sIgE, specific immunoglobulin E; UACS, upper airway cough syndrome.

<sup>a</sup>Downgraded due to low number of included studies, inconsistent results.

and 20% of patients.<sup>3244</sup> Kim et al.<sup>3245</sup> found that more patients presenting with AR for allergy testing reported cough in the 2010s (27.9%) compared to the 1990s (22%). Increasing evidence associates AR with cough or, more commonly, cough as a comorbidity of AR.<sup>3238–3240</sup> Therefore, diagnostic and treatment modalities for cough in patients with AR have an increasingly important role.

Recent studies have proposed FeNO as a tool to differentiate causes of cough in patients with AR. Elevated FeNO is associated with airway eosinophilia in asthma patients. Elevated FeNO may raise suspicion for AR in patients with cough variant asthma or cough predominant asthma.<sup>3246,3247</sup> When AR and chronic cough are both present, FeNO may be able to differentiate between chronic cough due to cough variant asthma or non-asthmatic eosinophilic bronchitis from other forms of chronic cough.<sup>3248,3249</sup>

It is not clear if treatment of AR with INCS improves the associated cough,<sup>3245,3250</sup> but an RCT by Kim et al.<sup>3245</sup> suggests that nasal saline irrigations decrease cough associ-

ated with AR. Posterior nasal neurectomy with or without pharyngeal neurectomy in patients with AR may decrease cough.<sup>2397</sup>

### Associated conditions – cough

*Aggregate grade of evidence:* C (Level 2: 3 studies, level 3: 3 studies, level 4: 11 studies, level 5: 1 study; Table XIII.H)

## XIII.I | Laryngeal disease

AR and inhalant allergy have been associated with laryngeal disease; however, understanding of their precise role in laryngeal disease is limited. This section evaluates studies that examine the relationship between inhalant

allergy and laryngeal disease, including allergic laryngitis. Allergic laryngitis is characterized by allergen-induced laryngeal inflammation and can present with dysphonia, coughing, throat clearing, and globus.<sup>3251</sup> Some studies have evaluated laryngeal symptoms in individuals with AR while others have evaluated the direct effects of allergen exposure on the larynx (Table XIII.I).

Establishing a causal relationship between AR and laryngeal disease has proven difficult, although associations have been reported. Lee et al.<sup>3252</sup> found an association between the diagnosis of chronic laryngitis and AR in a Korean nationwide cohort. Subsequently, Wang et al.<sup>3253</sup> identified a strong association between AR and developing laryngeal pathology in a Taiwanese nationwide cohort. Several studies have reported higher Voice Handicap Index (VHI) scores in AR patients versus controls.<sup>3254–3257</sup> Ohlsson et al.<sup>3258</sup> reported that vocal symptoms in those with AR worsen during the allergy season and may be associated with a decrease in speech fundamental frequency. Velickovic et al.<sup>3259</sup> found that overall AR is common and occurs in 44.2% of professional voice users presenting with dysphonia. Singers with self-perceived voice issues were 15% more likely to have AR than those without vocal complaints.<sup>3260</sup> The likelihood of AR increased as the number of vocal symptoms increased.<sup>3260</sup>

The adverse effects of AR on voice-related QOL have also been reported,<sup>3254,3256,3261</sup> and Turley et al.<sup>3261</sup> supported this association by showing that patients who reported poor rhinitis-related QOL also had poor voice-related QOL and increased severity of chronic laryngeal symptoms. Furthermore, increased allergen load was associated with greater severity of vocal symptoms.<sup>3257</sup> Overall, there is a higher than anticipated incidence of AR in patients with vocal dysfunction and vice versa.<sup>3257,3260–3262</sup>

Findings of laryngeal inflammation have largely been attributed to laryngopharyngeal reflux (LPR), but recent studies have questioned its role as the primary source of laryngeal dysfunction.<sup>3256,3263</sup> Allergic laryngitis associated with AR can be difficult to distinguish from other laryngeal inflammatory disorders, including LPR, due to limitations of current diagnostic methods including poor specificity and inter-rater reliability. Patients with clinically significant LPR may be more likely to report AR symptoms.<sup>3264</sup> However, the opposite may be true in professional voice users presenting with dysphonia.<sup>3259</sup> Randhawa et al.<sup>3263</sup> studied patients presenting with voice concerns and reported one-third were diagnosed with LPR, whereas two-thirds of patients were diagnosed with allergies. Laryngeal findings in LPR and allergic laryngitis and LPR may be similar; laryngeal edema, laryngeal erythema, and excessive thick mucus are often seen.<sup>3265,3266</sup> Eren et al.<sup>3266</sup> demonstrated no significant difference in laryn-

geal appearance between allergy-positive and LPR-positive subjects. However, thick endolaryngeal mucus may predict allergy.<sup>3267</sup>

Several studies have evaluated the direct effect of allergens on the larynx. Belafsky et al.<sup>3268</sup> and Mouadeb et al.<sup>3269</sup> examined *Dermatophagoides farinae* exposure to the laryngeal mucosa of guinea pigs and found an increase in eosinophilia compared to saline exposure, providing some support for allergens contributing to laryngeal disease. Two studies from the same voice laboratory evaluated direct laryngeal stimulation by nebulized *Dermatophagoides pteronyssinus* in allergic patients to assess laryngeal symptoms, appearance, and function.<sup>3251,3270</sup> In the first study, Reidy et al.<sup>3251</sup> did not identify a significant difference between antigen- and placebo-challenged subjects on any of the evaluated measures, such as VHI, Sinus Symptoms Questionnaire, laryngoscopy, and acoustic/aerodynamic testing. In a follow-up, Dworkin et al.<sup>3270</sup> used increased allergen concentration for the challenge and noted an increase in endolaryngeal mucus, throat clearing, and coughing. Roth et al.<sup>3271</sup> performed a similar study but isolated the larynx by utilizing a nose clip to ensure oral inhalation and eliminated patients with reactive airways based on methacholine challenge, thus demonstrating a causal relationship between allergen stimulation and impaired vocal function. Suzuki et al.<sup>3272</sup> also utilized a nose clip and found more laryngeal symptoms when patients were exposed to cypress pollen compared to placebo. However, there were no corresponding objective changes in acoustic analysis or flexible laryngoscopy.<sup>3272</sup> These studies suggest that in subjects with inhalant allergy there can be laryngeal dysfunction due to direct allergen stimulation of the larynx as well as possible symptoms secondary to the nasal congestion, inflammation, and drainage of AR.

There is increasing evidence suggesting a relationship between AR, inhalant allergy, and laryngeal disease. Although laryngeal findings specific to allergic laryngitis are not consistently demonstrated, thick endolaryngeal mucus should raise suspicion for underlying allergy. AR should be considered in the differential diagnosis of patients with vocal complaints. Additional studies are needed on the effect of AR treatment on associated laryngeal disease.<sup>3251</sup>

### Associated conditions – laryngeal disease

Aggregate grade of evidence: C (Level 2: 7 studies, level 3: 4 studies, level 4: 10 studies, level 5: 2 studies; Table XIII.I)

**TABLE XIII.I** Evidence table – association between allergic rhinitis and laryngeal disease

| Study                            | Year | LOE | Study design              | Study groups  | Clinical endpoints   | Conclusions   |
|----------------------------------|------|-----|---------------------------|---|--|---|
| Lee et al. <sup>3252</sup>       | 2019 | 2   | Cross-sectional           | Korea National Health and Nutrition Examination Survey; patients with nasal endoscopy and laryngoscopy data | Chronic laryngitis<br>Allergic laryngitis determined by serum IgE  | Chronic laryngitis associated with rhinitis<br>Allergic laryngitis had highest risk of concurrent rhinitis<br>All allergic laryngitis patients sensitive to <i>D. farinae</i>                             |
| Roth et al. <sup>3271</sup>      | 2013 | 2   | RCT                       | General public  | Effect of allergen on laryngeal findings   | Impaired vocal function related to allergen exposure is independent of asthma or nasal exposure   |
| Randhawa et al. <sup>3257</sup>  | 2010 | 2   | Cross sectional           | Rhinology clinic patients, no pre-reported voice-related symptoms   | Association between allergy and vocal dysfunction  | Degree of allergen load correlates with the severity of vocal symptoms on VHI   |
| Dworkin et al. <sup>3270</sup>   | 2009 | 2   | RCT                       | HDM-sensitive adults:<br><i>D. pteronyssinus</i> challenge<br>Placebo                                       | Effect of allergen on laryngeal findings   | Laryngeal abnormalities secondary to lower respiratory stimulation  |
| Simberg et al. <sup>3262</sup>   | 2009 | 2   | Cross sectional           | Allergy patients undergoing AIT<br>Non-allergic controls  | Symptom prevalence   | Allergic patients had more severe vocal symptoms<br>Patients on AIT >2 years had fewer vocal symptoms   |
| Krouse et al. <sup>3256</sup>    | 2008 | 2   | Prospective observational | HDM skin test:<br>Positive<br>Negative  | Effect of allergen on laryngeal findings   | More perceived vocal handicap in allergic individuals even in absence of physical/functional abnormalities<br>Findings present in subjects without LPR/GERD<br>VHI changes seen in HDM-sensitive patients |
| Reidy et al. <sup>3251</sup>     | 2003 | 2   | RCT                       | <i>D. pteronyssinus</i> challenge<br>Placebo challenge  | Effect of allergen on laryngeal findings   | No significant differences between allergen and placebo exposed subjects  |
| Wang et al. <sup>3253</sup>      | 2021 | 3   | Nationwide cohort         | AR patients, all ages<br>Patients without AR matched by gender, age, urbanized level, and income            | Occurrence of a laryngeal pathology ICD code (vocal cord polyps, edema of larynx, chronic laryngitis, other vocal cord diseases) | Individuals with AR had a 2.43 times higher risk of laryngeal pathology versus those without AR   |
| Alharethy et al. <sup>3264</sup> | 2018 | 3   | Cohort                    | Patients presenting to otolaryngology clinic with LPR symptoms  | SFAR in patients with positive and negative 24-h oropharyngeal pH monitoring   | LPR patients based on pH testing had higher SFAR scores<br>Higher Ryan score associated with higher SFAR score  |

(Continues)



TABLE XIII.I (Continued)

| Study                             | Year | LOE | Study design               | Study groups   | Clinical endpoints  | Conclusions  |
|-----------------------------------|------|-----|----------------------------|--|---|--|
| Velickovic et al. <sup>3259</sup> | 2017 | 3   | Cohort                     | Professional voice users with dysphonia presenting to an otolaryngology department   | Prevalence of AR based on ARIA guidelines<br>Prevalence of LPR based on RSI >13   | AR present in 44.2%<br>AR was less common in patients with LPR   |
| Suzuki et al. <sup>3272</sup>     | 2016 | 3   | Placebo-controlled trial   | Subjects with AR to cypress pollen, <i>n</i> = 25  | Subjective report of laryngeal symptoms during pollen/placebo exposure<br>Laryngeal symptom questionnaire<br>Acoustic analysis<br>Flexible laryngoscopy | More laryngeal symptoms were reported with pollen exposure, especially when nose plugged<br>No significant findings in acoustic analysis or laryngoscopy   |
| Brook et al. <sup>3273</sup>      | 2016 | 4   | Retrospective case series  | Patients undergoing in vitro allergy testing, 2006–2010  | Symptom prevalence  | Yield of in vitro allergy testing for laryngeal symptoms comparable to other common allergy testing indications  |
| Ohlsson et al. <sup>3258</sup>    | 2016 | 4   | Case–control               | Patients with AR from birch pollen, <i>n</i> = 30<br>Controls without AR, matched for gender and age, <i>n</i> = 30          | 4-question allergy questionnaire<br>Swedish questionnaire about voice symptoms<br>Acoustic analysis of voice recordings                                 | AR patients had more voice symptoms during allergy and non-allergy season, voice symptoms decreased during non-allergy season<br>Speech fundamental frequency was lower during both seasons in AR patients suggesting vocal fold edema |
| Brook et al. <sup>3274</sup>      | 2015 | 4   | Retrospective case–control | Atopic patients<br>Non-atopic patients   | Endoscopic findings in AR   | Findings within the nasopharynx, rather than larynx, are predictive of atopic status   |
| Eren et al. <sup>3266</sup>       | 2014 | 4   | Case series                | Patients referred from allergy clinic with SPT testing   | Laryngeal findings in AR and LPR  | Thick endolaryngeal mucus predicts allergy<br>No association between allergic sensitization and LPR<br>No difference in laryngeal appearance between allergy and LPR patients  |
| Koc et al. <sup>3255</sup>        | 2014 | 4   | Case–control               | Patients with AR by SPT<br>Healthy controls without AR selected from dental clinic   | Laryngeal findings in AR  | AR patients had higher incidence of dysphonia and mean VHI   |
| Turley et al. <sup>3261</sup>     | 2011 | 4   | Case–control               | Patients with rhinitis symptoms with (+) and (–) allergy tests<br>Patients without rhinitis recruited from orthopedic clinic | Prevalence of dysphonia   | Patients with AR or NAR had higher prevalence of dysphonia versus controls<br>Patients with worse rhinitis symptoms had worse voice-related QOL and more severe chronic laryngeal symptoms   |

(Continues)

TABLE XIII.I (Continued)

| Study                                  | Year | LOE | Study design               | Study groups  | Clinical endpoints   | Conclusions   |
|--|------|-----|----------------------------|---|--|---|
| Randhawa et al. <sup>3263</sup>        | 2010 | 4   | Case series                | Patients diagnosed with primary voice disorder or globus sensation  | Prevalence of AR and LPR   | Three times as many patients had allergies versus LPR, not statistically significant                      |
| Millqvist et al. <sup>3254</sup>       | 2008 | 4   | Case-control               | Patients with AR to birch pollen<br>Healthy controls  | Prevalence of vocal dysfunction  | Statistically significant differences in VHI between allergic patients and controls                       |
| Hamdan et al. <sup>3260</sup>          | 2006 | 4   | Retrospective case-control | Singers with no vocal symptoms<br>Singers with vocal symptoms   | Symptom prevalence   | Incidence of AR in singers is high<br>Occult allergies may affect professional voice                      |
| Jackson-Menaldi et al. <sup>3267</sup> | 1999 | 4   | Prospective observational  | Subjects referred to voice center with a voice problem  | Association between AR and LPR and laryngeal findings                                    | No causative relationship between allergy and vocal symptoms  |
| Belafsky et al. <sup>3268</sup>        | 2016 | 5   | Bench research             | Guinea pigs exposed to saline (allergen control) + filtered air (pollution control)<br>HDMA ( <i>Dermatophyoides farinae</i> ) + filtered air<br>Saline + combustion particulates<br>HDMA + combustion particulates | Mean eosinophilic profile in the glottic, subglottic, tracheal epithelium, and submucosa | Iron soot and HDMA resulted in eosinophilia in glottic, subglottic, and tracheal epithelium and submucosa |
| Mouadeb et al. <sup>3269</sup>         | 2009 | 5   | Bench research             | Guinea pigs exposed to intranasal HDMA for 9 consecutive weeks  | Histopathologic findings   | Twice as much eosinophilia in supraglottis in animals exposed to HDMA versus saline                       |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; ARIA, Allergic Rhinitis and its Impact on Asthma; GERD, gastroesophageal reflux disease; HDM, house dust mite; HDMA, house dust mite allergen; ICD, International Classification of Diseases; IgE, immunoglobulin E; LOE, level of evidence; LPR, laryngopharyngeal reflux; NAR, non-allergic rhinitis; RCT, randomized controlled trial; RSI, Reflux Symptom Index; SFAR, Score for Allergic Rhinitis; SPT, skin prick test; VHI, Voice Handicap Index.

### XIII.J | Eosinophilic esophagitis

EoE is a chronic inflammatory condition of the esophagus defined symptomatically by esophageal dysfunction and histologically by eosinophil-predominant inflammation. EoE is widely considered a type 2 inflammatory disease, and patients with EoE often have other comorbid atopic conditions such as AD, asthma, food allergies, and AR.<sup>3275</sup>

Several studies have examined the prevalence of clinician-diagnosed AR and aeroallergen sensitization in patients with EoE. Among both pediatric and adult patients with EoE, 50%–75% have consistently been found to have AR.<sup>3276–3292</sup> There is also evidence for a higher prevalence of AR among EoE patients compared with the general population.<sup>3275,3293,3294</sup> Although most studies

were case series, the consistency of findings strongly suggests that a majority of patients with EoE have comorbid AR and that the presence of AR in EoE patients may be higher compared with the general population (Table XIII.J).

While the above associations have been well documented, the pathophysiology underpinning the specific relationship between IgE sensitization and EoE remains unclear. Hill et al.<sup>3053</sup> demonstrated that the presence of AR was associated with subsequent EoE diagnosis, suggesting that sensitization to aeroallergens early in life may predispose to EoE development. Additionally, several case series noted an increase in EoE diagnosis, symptoms, and/or esophageal eosinophilia during pollen season, typically with peaks during spring and summer.<sup>3295–3302</sup> AIT

**TABLE XIII.J** Evidence table – association between allergic rhinitis and eosinophilic esophagitis

| Study                                   | Year | LOE | Study design               | Study groups  | Clinical endpoints                       | Conclusions   |
|---|------|-----|----------------------------|---|--|---|
| Allergic rhinitis prevalence in EoE     |      |     |                            |   |  |   |
| Benninger et al. <sup>3277</sup>        | 2017 | 3   | Population-based database  | Pediatric and adult EoE patients  | Demographic and clinical characteristics | 45% had AR  |
| Gonzalez-Cervera et al. <sup>3293</sup> | 2017 | 3   | Systematic review          | Pediatric and adult EoE patients  | Demographic and clinical characteristics | AR significantly more common among EoE patients versus controls (OR 5.09)                                 |
| Furuta et al. <sup>3276</sup>           | 2007 | 3   | Systematic review          | Pediatric and adult EoE patients  | Demographic and clinical characteristics | 50%–80% had AR and sensitization to aeroallergens   |
| Ancellin et al. <sup>3279</sup>         | 2020 | 4   | Case series                | Pediatric EoE patients, <i>n</i> = 49   | Demographic and clinical characteristics | 78% were atopic; 64% sensitized to aeroallergens  |
| Azzano et al. <sup>3278</sup>           | 2020 | 4   | Case series                | Pediatric EoE patients, <i>n</i> = 108  | Demographic and clinical characteristics | 63% sensitized to aeroallergens; 51% had AR   |
| Imamura et al. <sup>3294</sup>          | 2020 | 4   | Retrospective case-control | Pediatric and adult EoE patients ( <i>n</i> = 66); controls ( <i>n</i> = 186) | Demographic and clinical characteristics | Prevalence of AR was higher in EoE patients than controls (29% versus 11%)                                |
| Leigh and Spergel <sup>3275</sup>       | 2019 | 4   | Retrospective cohort       | Pediatric and adult EoE patients, <i>n</i> = 950                              | Demographic and clinical characteristics | 70% had AR; prevalence of AR higher in EoE patients than in general hospital population (70% versus 3.5%) |
| Alves Marcelino et al. <sup>3281</sup>  | 2017 | 4   | Case series                | Pediatric EoE patients, <i>n</i> = 25   | Demographic and clinical characteristics | 92% sensitized to aeroallergens   |
| Mohammad et al. <sup>3280</sup>         | 2017 | 4   | Case series                | Pediatric and adult EoE patients, <i>n</i> = 449                              | Demographic and clinical characteristics | 62% had AR  |
| Olson et al. <sup>3282</sup>            | 2016 | 4   | Case series                | Adult EoE patients, <i>n</i> = 257  | Demographic and clinical characteristics | 79% had AR  |
| Castro Jimenez et al. <sup>3285</sup>   | 2014 | 4   | Case series                | Pediatric and adult EoE patients, <i>n</i> = 43                               | Demographic and clinical characteristics | 84% were atopic; 74% sensitized to aeroallergens  |
| Chadha et al. <sup>3284</sup>           | 2014 | 4   | Case series                | Pediatric EoE patients, <i>n</i> = 311  | Demographic and clinical characteristics | 86% were atopic; 67% had AR   |
| Vernon et al. <sup>3283</sup>           | 2014 | 4   | Case series                | Pediatric and adult EoE patients, <i>n</i> = 100                              | Demographic and clinical characteristics | 65% had AR  |
| Spergel et al. <sup>3286</sup>          | 2009 | 4   | Case series                | Pediatric EoE patients, <i>n</i> = 562  | Demographic and clinical characteristics | 68% were atopic; 43% had AR   |
| Roy-Ghanta et al. <sup>3287</sup>       | 2008 | 4   | Case series                | Adult EoE patients, <i>n</i> = 23   | Demographic and clinical characteristics | 78% had AR; 86% sensitized to aeroallergens   |

(Continues)

TABLE XIII.J (Continued)

| Study                                     | Year | LOE | Study design                          | Study groups   | Clinical endpoints                        | Conclusions  |
|---|------|-----|---------------------------------------|--|---|--|
| Assa'ad et al. <sup>3288</sup>            | 2007 | 4   | Case series                           | Pediatric EoE patients, <i>n</i> = 89  | Demographic and clinical characteristics  | 79% sensitized to environmental allergens  |
| Plaza-Martin et al. <sup>3289</sup>       | 2007 | 4   | Case series                           | Pediatric EoE patients, <i>n</i> = 14  | Demographic and clinical characteristics  | 93% had AR and sensitization to aeroallergens  |
| Sugnanam et al. <sup>3290</sup>           | 2007 | 4   | Case series                           | Pediatric EoE patients, <i>n</i> = 45  | Demographic and clinical characteristics  | 93% had AR   |
| Remedios et al. <sup>3291</sup>           | 2006 | 4   | Case series                           | Adult EoE patients, <i>n</i> = 26  | Demographic and clinical characteristics  | 77% were atopic; 54% had AR  |
| Guajardo et al. <sup>3292</sup>           | 2002 | 4   | Case series                           | Pediatric and adult EoE patients, <i>n</i> = 39  | Demographic and clinical characteristics  | 64% had AR   |
| Role of aeroallergens in EoE pathogenesis |      |     |                                       |  |   |  |
| Armentia et al. <sup>3295</sup>           | 2019 | 3   | Prospective case-control              | Adult EoE patients, <i>n</i> = 129<br>Controls, <i>n</i> = 100   | Pollen allergens in esophageal biopsies   | Callose from pollen was found in 65.6% of esophageal biopsies from EoE patients, not controls    |
| Armentia et al. <sup>3303</sup>           | 2018 | 3   | Prospective longitudinal case-control | Pediatric and adult EoE patients, <i>n</i> = 129<br>Controls, <i>n</i> = 152   | Clinical improvement after IT             | EoE patients sensitized to pollens treated with AIT had greater EoE symptom improvement          |
| Lucendo et al. <sup>3306</sup>            | 2015 | 3   | Systematic review                     | Pediatric and adult EoE patients   | Season of EoE diagnosis or exacerbation   | No significant seasonal variation in EoE diagnosis or exacerbations                              |
| Iglesia et al. <sup>3304</sup>            | 2021 | 4   | Case report                           | Pediatric patients with EoE and multiple environmental allergies treated with AIT  | Clinicohistologic remission               | EoE remission observed after treatment with multiallergen SCIT as monotherapy                    |
| Reed et al. <sup>3296</sup>               | 2019 | 4   | Retrospective cohort                  | Pediatric and adult patients with seasonal exacerbations of EoE, <i>n</i> = 13<br>Patients without exacerbations, <i>n</i> = 769 | Demographic and clinical characteristics  | Most patients with a documented EoE exacerbation had AR; summer and fall flares were most common |
| Hill et al. <sup>3053</sup>               | 2018 | 4   | Retrospective case-control            | Pediatric EoE patients, <i>n</i> = 139<br>Controls, <i>n</i> = 22,272  | Rate of EoE diagnosis in patients with AR | AR diagnosis associated with an increased rate of subsequent EoE diagnosis                       |
| Fahey et al. <sup>3297</sup>              | 2017 | 4   | Case series                           | Pediatric EoE patients, <i>n</i> = 38  | Season of EoE diagnosis                   | Correlation between onset of EoE symptoms and peak grass pollen levels                           |

(Continues)

TABLE XIII.J (Continued)

| Study                               | Year | LOE | Study design         | Study groups   | Clinical endpoints   | Conclusions  |
|-------------------------------------|------|-----|----------------------|--|--|--|
| Elias et al. <sup>3307</sup>        | 2015 | 4   | Case series          | Adult EoE patients, <i>n</i> = 372                                   | Season of EoE diagnosis                                    | Increased presentation of EoE in winter months   |
| Ram et al. <sup>3298</sup>          | 2015 | 4   | Case series          | Pediatric patients with seasonal exacerbations of EoE, <i>n</i> = 32 | Seasonal biopsy findings                                   | Seasonal variation was observed in esophageal eosinophil counts, most biopsy-confirmed flares occurred during spring and summer            |
| Frederickson et al. <sup>3308</sup> | 2014 | 4   | Retrospective cohort | Pediatric and adult EoE patients                                     | Season of EoE diagnosis                                    | Incidence of EoE consistent across all seasons   |
| Ramirez & Jacobs <sup>3305</sup>    | 2013 | 4   | Case report          | Pediatric EoE patient with dust mite allergy treated with AIT        | Eosinophils on esophageal biopsies                         | Resolution of esophageal eosinophilia observed after dust mite AIT   |
| Moawad et al. <sup>3299</sup>       | 2010 | 4   | Case series          | Adult EoE patients, <i>n</i> = 127                                   | Season of EoE diagnosis and correlation with pollen counts | Highest percentage (33%) diagnosed in spring and lowest (16%) in winter, significant correlation with grass pollen counts                  |
| Almansa et al. <sup>3300</sup>      | 2009 | 4   | Case series          | Adult EoE patients, <i>n</i> = 41                                    | Season of EoE diagnosis                                    | 68% diagnosed in spring/summer versus 32% in fall/winter   |
| Wang et al. <sup>3301</sup>         | 2007 | 4   | Case series          | Pediatric EoE patients, <i>n</i> = 234                               | Season of EoE diagnosis and biopsy findings by season      | Significantly fewer patients diagnosed with EoE in winter versus spring, summer, and fall; least intense esophageal eosinophilia in winter |
| Fogg et al. <sup>3302</sup>         | 2003 | 4   | Case report          | Pediatric EoE patient  | Seasonal biopsy findings                                   | Increased esophageal eosinophilia during pollen seasons  |

Abbreviations: AIT, allergen immunotherapy; AR, allergic rhinitis; EoE, eosinophilic esophagitis; LOE, level of evidence; OR, odds ratio; SCIT, subcutaneous immunotherapy.

has also demonstrated efficacy in the treatment of EoE in one case-control study and two case reports.<sup>3303–3305</sup> Of note, several case reports described the development of EoE in patients undergoing SLIT and resolution with cessation, raising the possibility that repeated esophageal stimuli with offending allergens might elicit esophageal eosinophilia.<sup>2653</sup> However other studies, including a systematic review by Lucendo et al.,<sup>3306</sup> demonstrated no seasonal variation in EoE diagnosis or exacerbations, suggesting a limited role for aeroallergens as a relevant trigger for initiating or aggravating EoE.<sup>3306–3308</sup> Therefore, there is limited observational data suggesting a potential association between aeroallergens and EoE pathogenesis, with some conflicting data.

### Associated conditions – eosinophilic esophagitis

*Aggregate grade of evidence:* C (Level 3: 6 studies, level 4: 29 studies; Table XIII.J)

### XIII.K | Sleep disturbance and obstructive sleep apnea

AR negatively impacts sleep and is a risk factor for OSA.<sup>1122</sup> Various symptoms of AR may contribute to sleep dysfunction. However, nasal obstruction, which is



present in up to 90% of AR patients, seems to have the greatest impact and is a major independent contributor to poor sleep quality and SDB.<sup>268,1108,1116,1127,1133,3309–3315</sup> This may be due to increased nasal obstruction during the night with a peak in the early morning.<sup>3316</sup> The mechanisms underlying the association between AR and sleep disturbance include inflammatory cytokines causing fatigue, direct impact of AR symptoms, combination of recumbency and diurnal variation in turbinate size and pathophysiologic changes, and as sequelae of autonomic dysfunction in AR.<sup>1104,3317,3318</sup> Histamine plays a role in the regulation of the sleep-wake cycle and arousal, and cysteinyl leukotrienes are involved in sleep disruption.<sup>3319,3320</sup> Excessive histamine results in insomnia and inadequate amounts cause hypersomnolence.<sup>3319,3321</sup> Cytokines released in AR patients, such as IL-1 $\beta$  and IL-4, are thought to reduce sleep onset latency and increase the time to onset of rapid eye movement (REM) sleep.<sup>1113,3322,3323</sup> Patients with OSA also have increased mediators which activate Th2 cells, such as TNF, IL-1, and IL-6, further exacerbating symptoms of AR and potentiating the severity of OSA.<sup>3324</sup> Further, nasal airflow stimulates respiration and improves upper airway dilatory muscle tone via the nasal-ventilatory reflex and also stimulates the genioglossus muscle, resulting in tongue protrusion and improved airway patency via the trigemino-hypoglossal reflex.<sup>3325–3330</sup> Therefore, nasal obstruction may reduce the stimulation of these mechanoreceptors resulting in collapsibility of the downstream pharyngeal segment of the upper airway, thereby leading to OSA<sup>3331</sup> (Table XIII.K).

Sleep is critical for mood, cognitive function, immune function, and endocrine functions.<sup>1104</sup> OSA is associated with hypertension, coronary artery disease, cerebrovascular disease, arrhythmias, insulin resistance, congestive heart failure, pulmonary hypertension, and behavioral problems in children.<sup>3332–3337</sup> Further, in children, SDB may negatively impact brain development, impair psychomotor, and cognitive performance, and contribute to hyperactivity.<sup>3338–3340</sup> REM sleep is associated with memory, cognition, dreams, and restorative sleep.<sup>1119,1147</sup> As the nasal cycle is prolonged, worsening nasal obstruction, people with AR have impaired REM sleep.<sup>1119,1147,3341–3343</sup> However, as the diagnosis of SDB typically relies upon the measurement of all-night AHI and RDI via polysomnography, many patients with AR and SDB have normal indices by this method. By considering respiratory effort-related arousals, as well as AHI and RDI measured specifically in REM sleep (REM-AHI, REM-RDI), sleep disorders in AR patients will be detected more often.<sup>1121</sup>

CPAP treatment for OSA may present a non-allergic trigger to AR patients with OSA and worsen nasal symptoms.<sup>3344</sup> Further, persistent nasal symptoms are a

common reason for early CPAP non-compliance.<sup>3344–3346</sup> However, correction of nasal obstruction can improve CPAP compliance/tolerance,<sup>3347–3349</sup> though there is typically no direct impact on OSA severity.<sup>3350</sup>

It is important to assess AR patients for sleep disorders due to their negative impact on health. Numerous instruments are available to assess the impact of AR on sleep. These include the Stanford Sleepiness Score, Jenkins Questionnaire, Epworth Sleepiness Score, Pittsburgh Sleep Quality Index, University of Pennsylvania Functional Outcomes of Sleep, Sleep Scale from the Medical Outcome Study, Sleep Disorders Questionnaire, The Pediatric Sleep Questionnaire, and The Pediatric Daytime Sleepiness Scale.

Treatment of nasal congestion in AR patients improves sleep quality, daytime somnolence, and QOL.<sup>3351</sup> Numerous medical therapies have been investigated regarding the link between AR treatment and sleep quality. INCS and isolated nasal surgery have also been shown to improve sleep quality in AR patients, particularly those with moderate-to-severe pre-treatment obstruction.<sup>1106,1107,2295,3352,3353</sup> INCS may improve sleep in patients with AR due to improvement in nasal obstruction, but also due to reduction in local inflammatory cytokines.<sup>3319,3320</sup> A recent RCT and case series found significant improvements in sleep parameters following AR treatment with HDM SLIT.<sup>1095,3354</sup> First generation H<sub>1</sub> antihistamines cross the blood-brain barrier and cause sedation which may exacerbate daytime somnolence in patients with AR and SDB. Therefore, newer-generation H<sub>1</sub> antihistamines are favored, such as fexofenadine and loratadine, which are lipophobic and do not cross the blood-brain barrier.<sup>1771,3355,3356</sup> Although leukotriene antagonists have not demonstrated benefit when added to INCS in the treatment of AR, one RCT found that montelukast was more effective than cetirizine in improving sleep quality in children according to patient diaries.<sup>2186,3357</sup> Nasal decongestants may result in stimulatory effects causing insomnia.<sup>3318</sup> Nasal decongestant sprays do not significantly improve AHI.<sup>3358</sup> A crossover RCT comparing xylometazoline to placebo in patients with OSA and nasal congestion found that xylometazoline did not improve sleep quality and resulted in a transient improvement in AHI at the time of peak effectiveness only.<sup>3358</sup> As these sprays carry the potential for rhinitis medicamentosa, insomnia, and palpitations, they are not recommended for the treatment of AR in OSA patients.

Sleep disorders should be considered in any patient diagnosed with AR due to their significant association and the negative impact that SDB has on QOL. Changes in sleep parameters should also be considered when evaluating the impact of treatment of AR. (See Section IX.A.2. Allergic

**TABLE XIII.K** Evidence table – association between allergic rhinitis and sleep disturbance

| Study                         | Year | LOE            | Study design                          | Study groups   | Clinical endpoints  | Conclusions  |
|-------------------------------|------|----------------|---------------------------------------|--|---|--|
| Liu et al. <sup>1120</sup>    | 2020 | 2 <sup>a</sup> | SRMA (to August 2019)                 | Patients with AR, <i>n</i> = 19,444,043  | Association of AR with sleep duration and impairment  | No difference in sleep duration, AR versus controls<br>AR: higher sleep quality, sleep disturbance, sleep latency scores; more frequent sleep medication use; lower sleep efficiency<br>AR associated with nocturnal dysfunction (e.g., insomnia), daytime dysfunction (e.g., somnolence)<br>Quality of evidence low to very low |
| Jacobi et al. <sup>3354</sup> | 2019 | 2              | RCT, double blind, placebo-controlled | Moderate-severe HDM AR treated with SLIT, <i>n</i> = 656                                 | RQLQ  | SLIT resulted in improvement in sleep quality versus placebo   |
| Chen et al. <sup>3357</sup>   | 2006 | 2              | RCT, placebo-controlled               | Children with AR, aged 2–6 years, <i>n</i> = 60:<br>Montelukast<br>Cetirizine<br>Placebo | Pediatric RQLQ<br>TNSS<br>Serum IgE<br>Serum ECP<br>Blood and nasal smear eosinophil count<br>Nasal airway resistance | Montelukast superior to cetirizine for night sleep quality   |
| Liu et al. <sup>1104</sup>    | 2020 | 3 <sup>b</sup> | Cross-sectional                       | Children with snoring from adenotonsillar hypertrophy, aged 3–14 years, <i>n</i> = 660   | PSG<br>Sleep questionnaire  | Prevalence of AR in SDB (25.8%), OSA (19.4%)<br>Regardless of OSA status, AR children had more daytime hypersomnolence, behavioral symptoms, and shorter sleep time<br>Children with AR without OSA spent shorter time in REM<br>Children with AR had shorter sleep time   |
| Na et al. <sup>3359</sup>     | 2020 | 3              | Cohort                                | Adults with OSA and AR undergoing 3 months of CPAP treatment, <i>n</i> = 13              | SFAR<br>NOSE<br>SNOT-25   | SFAR intensity, NOSE scores, mean SNOT-25 scores significantly improved with CPAP  |
| Skirko et al. <sup>3344</sup> | 2020 | 3              | Prospective cohort                    | OSA patients using CPAP, <i>n</i> = 102  | NOSE<br>VAS   | NOSE and VAS scores improved in all groups after 3 months of CPAP<br>Significantly less improvement in AR group versus control   |
| Chuang et al. <sup>3360</sup> | 2019 | 3              | Controlled cohort                     | AR patients, age/sex-matched controls, <i>n</i> = 412,074                                | OSA   | Incidence of OSA significantly higher in AR patients versus controls<br>AR was significant risk factor for OSA   |

(Continues)

TABLE XIII.K (Continued)

| Study                                    | Year              | LOE            | Study design                  | Study groups   | Clinical endpoints                               | Conclusions   |
|--|-------------------|----------------|-------------------------------|--|--|---|
| Wongvilairat et al. <sup>3361</sup>      | 2022              | 4 <sup>h</sup> | Cohort                        | AR patients, <i>n</i> = 120  | STOP-BANG<br>VAS                                 | No relationship between severity of AR and OSA<br>Duration of AR symptoms related to risk of OSA  |
| Kim et al. <sup>2295</sup>               | 2021              | 4 <sup>c</sup> | Prospective cohort            | Patients with OSA undergoing septoplasty and IT reduction, <i>n</i> = 35               | NOSE<br>PSG<br>VAS<br>ESS<br>Acoustic rhinometry | Significant reduction in mean AHI and RDI post-operatively<br>AR patients and those with moderate-to-severe obstruction achieved the better results than non-AR   |
| Lee et al. <sup>1144</sup>               | 2021              | 4              | Cross-sectional survey        | Adolescents participating in national health survey, aged 12–18 years, <i>n</i> = 1936 | Questionnaire<br>Examination<br>Serum sIgE       | Higher prevalence of AR in inappropriate sleep duration group<br>Endoscopic findings of AR associated with inappropriate sleep duration in males  |
| Berson et al. <sup>1121</sup>            | 2020 <sup>d</sup> | 4 <sup>e</sup> | Retrospective case-control    | Patients with AR or SDB, <i>n</i> = 100  | STOP-BANG<br>ESS<br>PSG                          | HDM AR patients more likely to have REM-RDI and REM-AHI in moderate-severe range versus controls<br>AR patients more likely to have REM-AHI in moderate-severe range versus controls  |
| Bosnic-Anticevich et al. <sup>1065</sup> | 2020              | 4              | Cross-sectional survey        | Children with AR, aged 2–15 years, <i>n</i> = 1541                                     | Parent-reported data on sleep quality            | AR patients had significantly less duration of sleep and poorer sleep quality versus controls   |
| Giraldo-Cadavid et al. <sup>1145</sup>   | 2020              | 4 <sup>f</sup> | Prospective cohort            | Children with AR and OSA at high altitude, 4–15 years, <i>n</i> = 99                   | ESPRINT-15<br>PSQ<br>PSG                         | Significant association between severity of AR and severity of OSA<br>Weak positive correlation between AR severity and OSA severity  |
| Pace et al. <sup>1122</sup>              | 2020              | 4 <sup>g</sup> | Prospective controlled cohort | 60 participants: NARES<br>AR<br>Control  | Home sleep study<br>VAS<br>STOP-BANG<br>ESS      | OSA present in: NARES 60%, AR 35%, control 10%<br>No significant difference in OSA between NARES versus AR, or AR versus control<br>No difference in OSA severity across groups   |
| Berson et al. <sup>1119</sup>            | 2018              | 4 <sup>e</sup> | Retrospective case-control    | Patients with AR or SDB, <i>n</i> = 100  | STOP-BANG<br>ESS<br>PSG<br>SNOT-22               | AR patients had significantly longer time to REM and lower percentage of REM<br>Patients with moderate-severe REM-RDI range were 5.1 times more likely to have AR<br>AR patients had a 3.92 times greater chance of having REM-RDI in moderate-severe range, independent of BMI |

(Continues)

TABLE XIII.K (Continued)

| Study                           | Year | LOE | Study design            | Study groups   | Clinical endpoints | Conclusions  |
|---------------------------------|------|-----|-------------------------|--|--------------------|--|
| Novakova et al. <sup>1095</sup> | 2017 | 4   | Prospective case series | Patients with AR undergoing SLIT to HDM and grass pollen, <i>n</i> = 191 | RQLQ               | Significant improvement in sleep quality after 3 years of SLIT in both groups (greater in HDM group) |

Abbreviations: AHI, apnea-hypopnea index; AR, allergic rhinitis; CPAP, continuous positive airway pressure; ECP, eosinophil cationic protein; ESS, Epworth Sleepiness Scale; ESPRINT-15, validated health-related quality of life questionnaire for adults with AR; HDM, house dust mite; IgE, immunoglobulin E; IT, inferior turbinate; LOE, level of evidence; NARES, non-allergic rhinitis with eosinophilia syndrome; NOSE, Nasal Obstruction Symptom Evaluation; OSA, obstructive sleep apnea; PSG, polysomnography; PSQ, Pediatric Sleep Questionnaire; RCT, randomized controlled trial; RDI, respiratory disturbance index; REM, rapid eye movement; RQLQ, Rhinoconjunctivitis Quality of Life Questionnaire; SDB, sleep disordered breathing; SFAR, Score for Allergic Rhinitis; sIgE, allergen-specific immunoglobulin E; SLIT, sublingual immunotherapy; SNOT, Sinonasal Outcome Test; SRMA, systematic review and meta-analysis; STOP-BANG, Snoring, Tiredness, Observed breathing cessation, Pressure, BMI, Age, Neck circumference, Gender Questionnaire; TNSS, Total Nasal Symptoms Score; VAS, visual analog scale.

- <sup>a</sup>LOE downgraded; not an SRMA of RCTs.
- <sup>b</sup>LOE downgraded due to significant difference in group sizes.
- <sup>c</sup>LOE downgraded due to small number of AR patients (*n* = 8) and only one female patient included.
- <sup>d</sup>Same patient group as 2018 study
- <sup>e</sup>Diagnosis of AR based on skin prick or serum testing.
- <sup>f</sup>LOE downgraded as diagnosis of AR based on symptoms only.
- <sup>g</sup>LOE downgraded as OSA diagnosed on home sleep study and AHI values only.
- <sup>h</sup>LOE downgraded as OSA diagnosed on questionnaires, not PSG (probability of OSA calculated).

Rhinitis Disease Burden – Sleep Disturbance for additional information on this topic)

**Associated conditions – sleep disturbance and obstructive sleep apnea**

*Aggregate grade of evidence:* B (Level 2: studies, level 3: 4 studies, level 4: 9 studies; Table XIII.K)

## XIV | SPECIAL SECTION ON COVID-19

### XIV.A | COVID-19 effect on patient presentation for allergic rhinitis evaluation

The WHO declared COVID-19 a pandemic on March 11, 2020.<sup>3362</sup> With mounting evidence of rapid spread, high morbidity and mortality, and a push to maintain the healthcare system infrastructure, routine ambulatory care for conditions like AR was often reduced.<sup>3363</sup> As the pandemic endured, expert group consensus generally applied different recommendation strategies depending on case rates. When case rates were high, it was reasonable to suspend care temporarily, particularly if providers and healthcare facilities were redeployed.<sup>1213,3364</sup> However, as case rates fell, it was necessary to find ways to evaluate patients for AR.<sup>3365,3366</sup> Telemedicine, using phone or video where available, was rapidly implemented and provided significant access to specialty care while limiting exposure for patients and providers.<sup>1213,3363,3364,3367,3368</sup>

However, implementation of telemedicine practices may exacerbate gaps in access for populations already at risk for health disparities.<sup>3369</sup>

Another evident issue became the similarities in presentation between AR and COVID-19, and it was important to identify ways to differentiate the diseases.<sup>3363,3364</sup> AR was not a risk factor for severe COVID-19 infection.<sup>3370–3377</sup> The consensus from a survey distributed to members of the ARIA/EAACI study group was that AR presented with runny nose, sneezing, stuffy nose, nasal pruritus, ocular pruritus, and redness compared to COVID-19 which presented with more smell and taste dysfunction, dyspnea, and cough.<sup>3378</sup> Patients scored validated questionnaires like the SNOT-22 and mini-RQLQ differently.<sup>3379,3380</sup> SNOT-22 scores were higher in patients with COVID-19 infection (with more frequent cough, dizziness, loss of smell/taste, psychiatric, and sleep dysfunction) compared to patients with AR (with more frequent nose blowing and sneezing).<sup>3379</sup> In patients with allergic rhinoconjunctivitis with COVID-19 infection, mini-RQLQ scores were lower in COVID-19 infection compared to their allergies.<sup>3380</sup> They specifically reported less sneezing, runny nose, itchy eyes, sore eyes, and watery eyes and generally noted a difference in their symptoms with COVID-19 infection compared to typical allergies.

Changes in exposure associated with widespread lockdowns affected the clinical presentation of patients with AR. Visits for AR increased during the COVID pandemic, with patients reporting ongoing nasal symptoms as an impetus for seeking care.<sup>3381,3382</sup> However, in general, AR symptoms and medication use decreased.<sup>3383–3386</sup> The decrease in AR symptoms was attributed to reduced

outdoor exposures, use of face masks, and decreased pollution as a result of COVID-19 lockdowns.<sup>3363,3387</sup> However, changes in symptom presentation depended on sensitization pattern – patients with cypress pollen allergy reported decreased symptoms but those with dust mite allergy noted increased symptoms.<sup>3385,3388</sup> The COVID pandemic also led to increased exposure to indoor respiratory irritants such as tobacco, cooking smoke, and cleaning products.<sup>3389</sup> And although use of face masks were reliably associated with fewer nasal symptoms compared to no mask, the effect on ocular symptoms was mixed.<sup>3390,3391</sup> Finally, patients who discontinued their therapies for AR due to pandemic concerns expectedly reported loss of symptom control.<sup>3392</sup>

Comorbid mental health diagnoses including depression and anxiety are commonly reported in patients with AR and positively correlated with symptom scores.<sup>3393</sup> This correlation persisted during the pandemic with atopic patients reporting higher symptoms of post-traumatic stress disorder, higher depression risk scores, and higher hyperarousable subscale scores<sup>3384</sup> than non-atopic patients.<sup>3394</sup>

#### **XIV.B | Changes in allergic rhinitis diagnostic techniques related to COVID-19**

Although the initial clinical evaluation of patients often could be done through telemedicine, many diagnostic techniques for AR require a face-to-face encounter with potentially aerosol generating procedures (e.g., performing spirometry on an asthmatic patient prior to allergy skin testing). Because SARS-CoV-2 viral loads are highest in the upper airway, these procedures are particularly high risk.<sup>3366,3395</sup> In many cases, if in-person encounters were not appropriate, diagnostic testing was deferred. In vitro serum sIgE was an alternative option to evaluate for allergen sensitization, although phlebotomy still required healthcare contact.<sup>1213</sup> Additionally, there was often national, regional, and/or institutional guidance for in person visits and procedures.<sup>1210,1213,3366,3395–3399</sup> Policies to contain and reduce spread of COVID-19 are still evolving. At the time of this writing, available publications often stemmed from early pandemic practices and expert opinion. Adjustments to the recommendation with changing COVID-19 community transmission levels are ongoing but typically involved phased de-escalation of these recommendations.<sup>3365</sup>

For in-person encounters, general considerations included measures to screen for COVID-19 infection, enhance social distancing, and reduce transmission. Early in the COVID-19 pandemic, screening prior to healthcare facility encounters included survey screening of symptoms suggestive of COVID-19 for patients and staff<sup>3364,3365,3400</sup>

and, in some countries, body temperature screening and epidemiologic tracking via smartphone.<sup>3398,3400</sup> Social distancing of at least 6 feet was recommended when possible.<sup>3364,3398,3401</sup> This was important in clinical spaces and the waiting room. Visitor limitations (with one adult allowed for children and none for adult patients when possible) were enacted.<sup>3402,3403</sup> Clinical care modifications included asking patients to fill out health information prior to visits, using telemedicine to obtain history to minimize in person time, and adjusting clinic schedule templates to allow for social distancing and room ventilation.<sup>3365</sup> Finally, measures to reduce transmission included hand hygiene, appropriate personal protective equipment (generally including a mask), removing reading material to minimize indirect transmission, and enhanced cleaning of facilities.<sup>3364,3368,3395,3400,3401</sup>

For aerosol-generating procedures, additional action was recommended. There have not been clinical studies of COVID-19 transmission with any allergy or otolaryngologic procedures. As stated earlier in ICAR-Allergic Rhinitis 2023, nasal endoscopy is an option when evaluating the AR patient, used primarily to evaluate potential intranasal signs associated with allergy or to rule out alternate causes presenting symptoms. Studies of nasal endoscopy has provided conflicting reports on aerosol generation.<sup>3404,3405</sup> Initial studies by two research groups using cadaveric heads did not demonstrate aerosol generation during cold instrumentation<sup>3406,3407</sup> although further studies in live patients undergoing nasal endoscopy detected increased airborne particles.<sup>3408,3409</sup> Another study did not detect a significant change in particle concentration from pre-scope to scope, but there was a trend for increased particle concentrations in patients who required sinonasal debridement.<sup>3410</sup> There is also concern that nasal endoscopy can induce behaviors including sneezing, breathing, speaking, and possibly coughing that are aerosol generating.<sup>3406,3408,3411</sup> However, some modifications including nasal endoscopy using modified surgical or N95 masks could prevent aerosol generation,<sup>3406,3408,3409</sup> as well as repositioning at the back of the patient<sup>3412</sup> or using a tower with camera, screen, and light source.<sup>3366</sup> Local anesthetics and decongestants could be applied with actuated pump sprays or soaked pledgets rather than atomized forms to avoid aerosol generation.<sup>3397,3406,3411</sup> Immediate decontamination of equipment, especially the endoscope, was also recommended.<sup>3395</sup> Expert groups generally recommended against certain procedures including nasal provocation, nasal cytology, anterior rhinomanometry, and PNIF.<sup>3397,3413,3414</sup> If supplies were not constrained, rapid and accurate pre-procedural screening for SARS-CoV-2 was also recommended.<sup>3365</sup> For personal protective equipment, the WHO recommended an N95 face mask, full eye protection, and full body protective clothing.<sup>3364,3397,3413</sup> Techniques to improve donning



and doffing included one-step glove and gown removal, double-gloving, spoken instructions during doffing, and glove disinfection.<sup>3413</sup>

Aerosol clearance depends on ventilation and air exchange.<sup>3413</sup> The Centers for Disease Control (CDC) recommended at least 12 air changes per hour and controlled direction of airflow although the WHO recommends double this. After the patient leaves the room and 5 air exchanges occur, less than 1% of airborne contaminants will remain. With at least 12 air changes per hour, this would occur in 30 min. The COVID-19 pandemic led to changes in access to in-person healthcare and potentially aerosol-generating procedures. In making the diagnosis of AR, there were strategies employed to help contain and reduce spread of COVID-19.<sup>3415,3416</sup>

#### **XIV.C | Changes in allergic rhinitis management related to COVID-19**

Much of the standard management of AR was recommended by expert groups to be continued during the COVID-19 pandemic. There was specific motivation to control AR symptoms given concern that sneezing increased viral spreading and poorly controlled upper airway symptoms serve as a trigger for asthma exacerbations.<sup>1210,3366,3387,3414,3417</sup> In Beijing, providers made public efforts to develop pollen monitoring networks, television, and online lectures, and suggested over-the-counter drug recommendations for all patients with AR.<sup>3398</sup> In addition, AR is not a contraindication to receiving the COVID-19 vaccine. Patients with AR were able to tolerate COVID-19 vaccination without severe reactions.<sup>3418–3420</sup>

As always, the first step in management of AR remains allergen avoidance. The pandemic demonstrated that allergen avoidance could significantly improve symptoms. Practices like face masks and handwashing appear to be mutually beneficial for management of AR and COVID-19.<sup>3387</sup> Standard therapies for AR, including INCS, oral and topical antihistamines, montelukast, and AIT, were not identified as increasing susceptibility or severity of COVID-19 infection.<sup>3363,3364,3370,3414,3421</sup> Systemic corticosteroids may be a concern although this is not a standard therapy for AR.<sup>3422</sup> Patients on INCS were found to have a lower risk for COVID-19 related hospitalization, admission to the intensive care unit, and in-hospital mortality compared to patients who were not on INCS.<sup>3423</sup> Montelukast has also been associated with a reduction in COVID-infection in a small retrospective cohort study of elderly asthmatics.<sup>3424</sup>

AIT has been shown to improve symptom control with a decrease in respiratory infections and antibi-

otic use.<sup>3425</sup> Prior studies with viral infections including influenza, cytomegalovirus (CMV), and HIV have not shown changes in the efficacy or safety of AIT.<sup>3392</sup> When COVID-19 cases were high, initiating AIT was generally not recommended. However, consideration for continuing AIT includes lengthening the injection interval which minimizes healthcare visits.<sup>1210,1213,3402,3414</sup> Consensus from one expert panel recommended lengthening the interval to every 2 weeks during the build-up phase and every 6 weeks during maintenance. Therapy should be stopped if COVID-19 infection is suspected or diagnosed, until resolution.<sup>3364</sup> There was evidence that patients were more likely to be nonadherent and discontinue AIT during the pandemic leading to higher symptom scores, decreased QOL, and higher medication use than before the pandemic.<sup>3367,3426–3429</sup> Consideration for switching patients to or starting patients on SLIT, both tablet and aqueous forms, may be a preferred therapy since maintenance does not require in-person administration.<sup>1210,3368,3414</sup> In case of COVID-associated quarantine, an adequate supply of SLIT should be maintained at home.<sup>3366,3392</sup> Finally, home SCIT in selected patients was cost effective under pandemic considerations alone.<sup>3363,3430</sup> Of note, this is not currently approved and is not the standard of care.<sup>1213</sup>

Finally, anti-IgE therapy has been approved for severe cases of Japanese cedar pollinosis.<sup>3414</sup> There is no evidence of altered susceptibility or severity of COVID-19 infection with anti-IgE therapy. In fact, clinical studies have shown that pre-seasonal treatment with anti-IgE therapy decreases seasonal exacerbations of asthma related to viral infections.<sup>3431–3433</sup> IgE has been found to suppress the ability of dendritic cells to produce type I interferons and theorized to increase the susceptibility for respiratory viral infections.<sup>3434–3436</sup> However, as there is limited evidence, physician judgment is recommended.

#### **XV | SUMMARY OF KNOWLEDGE GAPS AND RESEARCH OPPORTUNITIES**

Through the ICAR-Allergic Rhinitis 2023 update process, we have seen an increased number of scientific publications in many areas. We are also encouraged to see additional high-quality studies, including many SRMAs, addressing numerous individual AR topics. As highlighted in previous ICAR documents, one of the most important aspects of this process is to identify knowledge gaps and key areas where future research may further advance our knowledge in AR. The sections that follow emphasize several important areas where additional research may further expand and solidify our understanding of AR.

**Epidemiology and risk factors.** Studies have been undertaken to understand the prevalence of AR around the world. These are limited by differing methodology and reporting. Since ICAR-Allergic Rhinitis 2018, the Aggregate Grades of Evidence remain largely unchanged. However, there has been significant work evaluating the hygiene hypothesis, SES, and in utero influences on AR development. Challenges of these studies are the retrospective nature of most work evaluating risk factors. Randomization is difficult in such studies, and the confounding effects of other risk factors are difficult to assess. Several gaps in knowledge exist and may be helpful to address. The following are areas where we suggest additional study:

- Improved understanding of the incidence of AR based on geographic location
- Evaluation of climate change effects on incidence and severity of AR
- Improved understanding of the relationship between genetics and environmental factors in the development of AR
- High quality longitudinal studies evaluating risk factors for development of AR

**Evaluation and diagnosis.** Diagnosis of AR begins with history and physical exam. Classic symptoms of AR (e.g., nasal/ocular pruritis, rhinorrhea, nasal congestion) are well documented. Since the early months of the COVID-19 pandemic, awareness of hyposmia and its association with nasal pathology has been heightened, but research on the association between hyposmia and AR remains limited. Studies have suggested that AR can affect smell during pollen season,<sup>1606</sup> but the cause of hyposmia in AR is unclear.<sup>3437,3438</sup> The effect of AR on olfaction will be important to understand in more detail in the future.

Beyond history and physical exam, skin testing or in vitro sIgE are used for further evaluation. Since ICAR-Allergic Rhinitis 2018, several new sections have been added, evaluating the use of additional diagnostic techniques for AR. In addition to BAT, mast cell activation testing is a new option for in vitro allergy testing.<sup>3439,3440</sup> The use of this test for AR specific evaluation is currently limited, reported techniques are time consuming, and human mast cells are heterogeneous. Additional understanding of mast cell activation testing and its application in AR is needed.

The following are areas in which AR evaluation and diagnosis may be improved in the future:

- Increased understanding of hyposmia as a symptom of AR or a marker of its severity
- Further evaluation and validation of nasal sIgE testing for AR diagnosis

- Further work evaluating the use of novel AR testing techniques, such as BAT and mast cell activation testing, provocation testing, and objective measures of nasal air flow
- Improvement of low-cost diagnostic tools

**Pediatrics.** The pediatrics section has been added for the ICAR-Allergic Rhinitis 2023 update. This section summarizes the existing literature on pediatric allergy diagnosis and treatment. We have identified areas in which more work is needed:

- Improved treatment options for young children
- Improved interpretation of skin testing results in young children
- Optimizing treatment strategies for children who are polysensitized
- Further work developing AIT delivery routes appropriate and safe for children

**Management.** There are several well documented strategies for AR management with high levels of evidence and effectiveness. Avoidance strategies are cost-effective, but high-level data is lacking. However, many pharmacotherapy and AIT options have been shown to be effective, and several of these treatment strategies are strongly recommended. Since ICAR-Allergic Rhinitis 2018, additional studies have been completed; however, all avoidance strategies other than reduction of occupational exposures remain as an “option” due to relatively low-quality evidence in assessment of clinical benefit. Pharmacotherapy and AIT treatment option aggregate grades of evidence remain largely stable since ICAR-Allergic Rhinitis 2018, although there are a few notable recommendation updates including strong recommendations against oral steroids and oral decongestants for routine use in the treatment of AR. Areas of future work in AR management include:

- Continued investigation of combination therapy options, including topical therapies
- Studies of comparative effectiveness and cost-effectiveness for AR treatments
- Further work directly comparing SCIT to SLIT in large-scale RCTs
- Standardization of rush and cluster SCIT protocols for aeroallergen immunotherapy

**Associated conditions.** The evidence supporting the relationship between AR and other conditions is often conflicting. Since ICAR-Allergic Rhinitis 2018, the relationship of asthma to AR has been extensively studied with an increase in the Aggregate Grades of Evidence. In addition, several new sections in ICAR-Allergic Rhini-

tis 2023 highlight the potential relationship of allergy to various subtypes/endotypes of CRS, however the evidence remains conflicting. More research is needed in the following domains:

- Improved understanding of treatment effects of AR on specific comorbid CRSwNP subtypes/endotypes
- Continued work to determine the relationship of AR to ear disease
- Investigation of treatment effect of AR on cough

**COVID-19.** One of the notable effects of the identification of the novel coronavirus disease in 2019 was a rapid expansion in research efforts, scientific publications, and dissemination of knowledge related to the transmission, health consequences, and risk to patients and health-care workers. The work on AR and COVID-19 continues to evolve. The following are topics of interest regarding COVID-19 and AR:

- Improved understanding of the aerosolization risk during nasal endoscopy
- Improved understanding of the risks of AR treatment, including AIT, during COVID infection
- A deeper understanding of the long-term effects of COVID on allergic diseases and their development

## XVI | CONCLUSION

In this document, we summarized the available literature for AR and created recommendations based on the highest levels of evidence. Through this, we have identified several areas with robust literature and a strong evidence base. There have been many advances in the field since the publication of ICAR-Allergic Rhinitis 2018, but notable knowledge gaps remain. There are several areas of AR research which will be limited based on inherent conditions of study design. For example, it is not feasible to blind or randomize for some AR treatments, and epidemiological studies to evaluate risk factors may be inherently limited by their retrospective nature and confounding variables. Therefore, for each major content area, we have suggested practical and feasible areas of study that we believe could advance our knowledge of AR in a productive manner.

## AUTHOR CONFLICT OF INTEREST DISCLOSURE

See table at the end document.

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## ICAR-Allergic Rhinitis 2023 Author Disclosure of Financial Relationships and Potential COI

### Authors:

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| 1  | Sarah K. Wise, MD, MSCR        |                     | Chitogel<br>Genentech<br>NeurENT<br>OptiNose  | Consultant/advisory board<br>Advisory board<br>Consultant/advisory board<br>Consultant/advisory board  |
| 2  | Cecelia Damask, DO             |                     | ALK<br>Audigy Medical<br>AstraZeneca<br><br>Glaxo Smith Kline<br><br>Lyra Therapeutics<br>OptiNose<br><br>Sanofi-Regeneron                  | Speaker<br>Consultant<br>Advisory board, contracted clinical research<br>Advisory board, contracted clinical research, speaker<br>Contracted clinical research<br>Advisory board, contracted clinical research, speaker<br>Advisory board, contracted clinical research, speaker |
| 3  | Lauren T. Roland, MD, MSCI     |                     | Glaxo Smith Kline   | Advisory board   |
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| 5  | Joshua Levy, MD, MPH, MSc      |                     | AstraZeneca<br>Glaxo Smith Kline<br>Honeywell<br>Sanofi-Regeneron   | Advisory board<br>Advisory board<br>Advisory board, research funding<br>Advisory board, research funding   |
| 6  | Sandra Lin, MD                 | XXX                 |   |  |
| 7  | Amber Luong, MD, PhD           |                     | Acclarent<br>Aclex<br>Aerin<br>AstraZeneca<br>ENTvantage Diagnostics<br>Glaxo Smith Kline<br>Lyra<br>Medtronic ENT<br>Sanofi<br>Stryker ENT | Consultant<br>Consultant<br>Speaker<br>Consultant<br>Stock<br>Consultant<br>Consultant<br>Consultant<br>Consultant, research funding<br>Consultant   |
| 8  | Kenneth Rodriguez, MD          | XXX                 |   |  |
| 9  | Ahmad R. Sedaghat, MD, PhD     | XXX                 |   |  |
| 10 | Elina Toskala, MD, PhD, MBA    |                     | Glaxo Smith Kline<br>Medtronic<br>Sanofi  | Speaker<br>Consultant<br>Research funding  |
| 11 | Jennifer A. Villwock, MD       |                     | National Institutes of Health   | Research funding   |
| 12 | Baharudin Abdullah, MBBS, MMED | XXX                 |   |  |

(Continues)

| 13 | AUTHOR          | NOTHING TO DISCLOSE | COMPANY                           | NATURE OF RELATIONSHIP             |
|----|-----------------|---------------------|-----------------------------------|------------------------------------|
|    | Cezmi Akdis, MD |                     | Allergy                           | Editor in Chief                    |
|    |                 |                     | Aventis                           | Advisory board                     |
|    |                 |                     | Bristol Myers Squibb              | Advisory board                     |
|    |                 |                     | EAACI Environment Guidelines      | Co-chair (non-financial)           |
|    |                 |                     | European Union                    | Research grant                     |
|    |                 |                     | Glaxo Smith Kline                 | Advisory board, research funding   |
|    |                 |                     | Novartis                          | Advisory board, research funding   |
|    |                 |                     | Regeneron                         | Advisory board                     |
|    |                 |                     | Sanofi                            | Advisory board                     |
|    |                 |                     | SciBase                           | Advisory board, research funding   |
|    |                 |                     | Swiss National Science Foundation | Research grant                     |
|    |                 |                     | University Zurich, SIAF           | Salary                             |
|    | 14              |                     | Jeremiah A. Alt, MD, PhD          | Cystic Fibrosis Foundation         |
|    |                 |                     | Glaxo Smith Kline                 | Research funding (co-investigator) |
|    |                 |                     | GlycoMira                         | Advisory board, consultant         |
|    |                 |                     | NIH R44 SBIR                      | Consultant                         |
|    |                 |                     | Medtronic                         | Research funding (co-investigator) |
|    |                 |                     | OptiNose                          | Consultant                         |
|    |                 |                     |                                   | Consultant, research site PI       |
|    | 15              |                     | Ignacio J. Ansotegui, MD, PhD     | Bayer                              |
|    |                 |                     | Faes Farma                        | Speaker                            |
|    |                 |                     | Hypera                            | Speaker                            |
|    |                 |                     | Organon                           | Speaker                            |
|    |                 |                     | Sanofi                            | Speaker, consultant                |
|    |                 |                     | UCB                               | Speaker                            |
|    | 16              |                     | Antoine Azar, MD                  | ADMA                               |
|    |                 |                     | Grifols                           | Consultant                         |
|    |                 |                     | OptiNose                          | Research grant, consultant         |
|    |                 |                     | Pfizer                            | Consultant                         |
|    |                 |                     | Takeda                            | Consultant                         |
|    |                 |                     | X4                                | Research grant, consultant         |
|    | 17              | XXX                 | Fuad Baroody, MD, FACS            |                                    |
|    | 18              |                     | Michael Benninger, MD             | Merck                              |
|    |                 |                     | Thermo Fisher Scientific          | Research funding                   |
|    |                 |                     | Shionogi                          | Consultant                         |
|    |                 |                     |                                   | Consultant, clinical trial         |
|    | 19              |                     | Jonathan Bernstein, MD            | ALK                                |
|    |                 |                     | Amgen                             | Speaker                            |
|    |                 |                     | AstraZeneca                       | PI, advisor                        |
|    |                 |                     | Biocryst                          | PI, advisor, speaker               |
|    |                 |                     | Biomarin                          | PI, advisor, speaker               |
|    |                 |                     | Blueprint Medicine                | PI, advisor                        |
|    |                 |                     | Celldex                           | PI, advisor                        |
|    |                 |                     | CSL Behring                       | PI, advisor                        |
|    |                 |                     | Cycle                             | PI, advisor, speaker               |
|    |                 |                     | Escient                           | PI, advisor                        |
|    |                 |                     | Genentech                         | PI, advisor, speaker               |

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|    |                                      | NOTHING TO DISCLOSE | COMPANY  | NATURE OF RELATIONSHIP                             |
|----|--------------------------------------|---------------------|--|--|
|    |                                      |                     | Glaxo Smith Kline                                    | PI, advisor, speaker                               |
|    |                                      |                     | Ionis  | PI, advisor  |
|    |                                      |                     | Kalvista   | PI, advisor  |
|    |                                      |                     | Merck  | PI, advisor  |
|    |                                      |                     | Novartis   | PI, advisor, speaker                               |
|    |                                      |                     | ONO  | PI, advisor  |
|    |                                      |                     | OptiNose   | Speaker  |
|    |                                      |                     | Pharming   | PI, advisor, speaker                               |
|    |                                      |                     | Pharvaris  | Consultant   |
|    |                                      |                     | Sanofi-Regeneron                                     | PI, advisor, speaker                               |
|    |                                      |                     | TLL  | PI, advisor  |
|    |                                      |                     | Takeda/Shire   | PI, advisor, speaker                               |
| 20 | Christopher Brook, MD                |                     | GI Reviewers   | Consultant   |
|    |                                      |                     | Radmetrx   | Consultant   |
| 21 | Raewyn Campbell, BMed (Hons), BAppSc |                     | Glaxo Smith Kline                                    | Honoraria  |
|    |                                      |                     | Karl Storz   | Equipment loan for research                        |
|    |                                      |                     | Medtronic  | Honoraria, consultant, equipment loan for research |
|    |                                      |                     | Novartis   | Advisory board                                     |
|    |                                      |                     | Seqirus  | Honoraria  |
|    |                                      |                     | Viatrix  | Honoraria  |
| 22 | Thomas Casale, MD                    |                     | Genentech  | Consultant   |
|    |                                      |                     | Glaxo Smith Kline                                    | Consultant   |
|    |                                      |                     | Novartis   | Consultant   |
|    |                                      |                     | Regeneron  | Consultant   |
|    |                                      |                     | Sanofi   | Consultant   |
| 23 | Mohamad Chaaban, MD, MBA, MSCR       |                     | OptiNose   | Advisory board                                     |
| 24 | Fook Tim Chew, PhD                   |                     | Agency for Science Technology and Research           | Research funding                                   |
|    |                                      |                     | Biomedical Research Council                          | Research funding                                   |
|    |                                      |                     | First Resources Ltd                                  | Consultant   |
|    |                                      |                     | Genting Plantation                                   | Consultant   |
|    |                                      |                     | National Medical Research Council                    | Research funding                                   |
|    |                                      |                     | National Research Foundation Singapore               | Research funding                                   |
|    |                                      |                     | Olam International                                   | Research funding                                   |
|    |                                      |                     | Sime Darby Technology Centre                         | Consultant   |
|    |                                      |                     | Singapore Food Story Grants                          | Research funding                                   |
|    |                                      |                     | Singapore Immunology Network                         | Research funding                                   |
|    |                                      |                     | Singapore Ministry of Education Academic Research    | Research funding                                   |
|    |                                      |                     | Sygenta  | Consultant   |
| 25 | Jeffrey Chambliss, MD                |                     | National Institute of Allergy and Infectious Disease | Research funding                                   |

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|    | <b>AUTHOR</b>                    | <b>NOTHING TO DISCLOSE</b> | <b>COMPANY</b>  | <b>NATURE OF RELATIONSHIP</b>  |
|----|----------------------------------|----------------------------|---|--|
| 26 | Antonella Cianferoni, MD, PhD    |                            | Aimmune<br>DBV Technologies<br>Sanofi   | Research grant<br>Research grant<br>Consultant   |
| 27 | Adnan Custovic, MD, PhD, FMedSci |                            | AstraZeneca<br>Glaxo Smith Kline<br>Stallergenes Greer<br>Worg Pharmaceuticals  | Speaker<br>Speaker<br>Speaker<br>Consultant  |
| 28 | Elizabeth Mahoney Davis, MD      | XXX                        |   |  |
| 29 | John DelGaudio, MD               |                            | Medtronic   | Consultant   |
| 30 | Anne K. Ellis, MD, MSc, FRCPC    |                            | ALK Abello<br><br>AstraZeneca<br><br>Aralez<br>Bausch Health<br>Bayer LLC<br>LEO Pharma<br>Merck<br>Miravo<br>Medexus<br>Mylan<br>Novartis<br>Pfizer<br>Regeneron | Advisory board, speaker, research grant<br><br>Advisory board, speaker, research grant<br><br>Advisory board, research grant<br>Advisory board<br>Research grant, consultant<br>Advisory board<br>Advisory board<br>Speaker<br>Speaker, research grant<br>Speaker<br>Advisory board, research grant<br>Advisory board<br>Research grant, consultant        |
| 31 | Carrie Flanagan, MD              | XXX                        |   |  |
| 32 | Prof. Dr. Wytse J. Fokkens       |                            | ALK<br>Allergy Therapeutics<br>Dianosic<br>Mylan  | Research funding<br>Research funding<br>Consultant<br>Research funding   |
| 33 | Christine Franzese, MD           |                            | ALK<br>AstraZeneca<br>Bellus<br>Biohaven<br>Glaxo Smith Kline<br><br>Lyra<br>Merck<br>Novartis<br>Optinose<br><br>Regeneron<br>Sanofi                             | Research funding<br>Research funding, speaker's bureau<br>Research funding<br>Research funding<br>Advisory board, research funding, speaker's bureau<br><br>Research funding<br>Research funding<br>Research funding<br>Advisory board, research funding, speaker's bureau<br><br>Research funding, speaker's bureau<br>Research funding, speaker's bureau |
| 34 | Matthew Greenhawt, MD, MBA, MSc  |                            | Allergy Therapeutics<br><br>ALK Abello<br>Annals of Allergy, Asthma, and Immunology<br>Aquestive  | Advisory board<br><br>Advisory board<br>Senior associate editor<br>Advisory board, consultant  |

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|    |                          | NOTHING TO DISCLOSE | COMPANY   | NATURE OF RELATIONSHIP                            |
|----|--------------------------|---------------------|---|---|
|    |                          |                     | AstraZeneca                                       | Advisory board                                    |
|    |                          |                     | Brighton Collaboration Criteria                   | Member of Vaccine Anaphylaxis 2.0 Workgroup       |
|    |                          |                     | DBV Technologies                                  | Advisory board                                    |
|    |                          |                     | ImSci   | Honorarium for CME lectures                       |
|    |                          |                     | International Food Protein Enterocolitis Syndrome | Scientific/medical advisory council               |
|    |                          |                     | MedLearning Group                                 | Honorarium for CME lectures                       |
|    |                          |                     | National Peanut Board                             | Scientific/medical advisory council               |
|    |                          |                     | Novartis  | Advisory board                                    |
|    |                          |                     | Nutricia  | Advisory board                                    |
|    |                          |                     | Prota   | Advisory board                                    |
|    |                          |                     | RMEI Medical Education                            | Honorarium for CME lectures                       |
|    |                          |                     | Sanofi/Regeneron                                  | Advisory board                                    |
|    |                          |                     | State/local allergy societies                     | Honorarium for CME lectures                       |
| 35 | Amarbir Gill, MD         | XXX                 |   |   |
| 36 | Ashleigh Halderman, MD   |                     | Acclarent   | Consultant  |
| 37 | Jens M. Hohlfeld, MD     |                     | AltaMira Pharma                                   | Research grant support                            |
|    |                          |                     | Astellas Pharma                                   | Research grant support                            |
|    |                          |                     | AstraZeneca                                       | Research grant support                            |
|    |                          |                     | Bayer   | Research grant support                            |
|    |                          |                     | Beiersdorf  | Research grant support                            |
|    |                          |                     | Boehringer Ingelheim Pharma                       | Research grant support, consultant, honoraria     |
|    |                          |                     | CSL Behring                                       | Research grant support, advisory board, honoraria |
|    |                          |                     | Desitin Arzneimittel                              | Research grant support                            |
|    |                          |                     | F. Hoffmann-La Roche                              | Research grant support                            |
|    |                          |                     | Genentech   | Research grant support                            |
|    |                          |                     | Glaxo Smith Kline                                 | Research grant support                            |
|    |                          |                     | Janssen Pharmaceutica                             | Research grant support                            |
|    |                          |                     | M&P Pharma  | Research grant support                            |
|    |                          |                     | Novartis  | Research grant support, speaker, honoraria        |
|    |                          |                     | Sanofi-Aventis Deutschland                        | Research grant support                            |
|    |                          |                     | UCB Pharma  | Research grant support                            |
|    |                          |                     | Roche   | Consultant, honoraria                             |
|    |                          |                     | Merck   | Consultant, honoraria                             |
|    |                          |                     | HAL Allergy Group                                 | Speaker, honoraria                                |
|    |                          |                     | Nocion  | Advisory board, honoraria                         |
| 38 | Cristoforo Incorvaia, MD |                     | Lopharma SPA                                      | Consultant  |
|    |                          |                     | Stallergenes Greer                                | Consultant  |
| 39 | Stephanie A. Joe, MD     | XXX                 |   |   |

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|    |                               | NOTHING TO DISCLOSE | COMPANY  | NATURE OF RELATIONSHIP                       |
|----|-------------------------------|---------------------|--|--|
| 40 | Shyam Joshi, MD               |                     | Biocryst   | Advisory board                               |
|    |                               |                     | Leo Pharma   | Advisory board                               |
|    |                               |                     | NoHo Allergy   | Advisory board, consultant, stock options    |
|    |                               |                     | Sanofi   | Advisory board                               |
|    |                               |                     | Takeda   | Advisory board                               |
| 41 | Merin Elizabeth Kuruville, MD | XXX*                |  |  |
| 42 | Jean Kim, MD, PhD             |                     | Genentech  | Research funding                             |
|    |                               |                     | Glaxo Smith Kline                                      | Consultant                                   |
| 43 | Adam M. Klein, MD, FACS       | XXX                 |  |  |
| 44 | Helene J. Krouse, PhD, RN     | XXX                 |  |  |
| 45 | Edward C. Kuan, MD, MBA       |                     | CoolTech   | Advisory board                               |
|    |                               |                     | OptiNose   | Advisory board                               |
|    |                               |                     | Stryker ENT  | Consultant                                   |
| 46 | David Lang, MD                |                     | AstraZeneca  | Consultant, honoraria                        |
|    |                               |                     | Genentech  | Consultant, honoraria                        |
|    |                               |                     | Journal of Allergy and Clinical Immunology in Practice | Associate editor                             |
|    |                               |                     | Novartis   | Consultant, clinical research                |
|    |                               |                     | Sanofi/Regeneron                                       | Clinical research, honoraria                 |
| 47 | Desiree Larenas-Linnemann, MD |                     | ALK  | Speaker, advisory board, safety board        |
|    |                               |                     | Allakos  | Speaker, advisory board, safety board        |
|    |                               |                     | Amstrong   | Speaker, advisory board, safety board        |
|    |                               |                     | AstraZeneca  | Speaker, advisory board, safety board, grant |
|    |                               |                     | Abbvie   | Grant  |
|    |                               |                     | Bayer  | Grant  |
|    |                               |                     | Carnot   | Speaker, advisory board, safety board        |
|    |                               |                     | Chiesi   | Speaker, advisory board, safety board        |
|    |                               |                     | Circassia  | Grant  |
|    |                               |                     | DBV Technologies                                       | Speaker, advisory board, safety board        |
|    |                               |                     | Grunenthal   | Speaker, advisory board, safety board        |
|    |                               |                     | Glaxo Smith Kline                                      | Speaker, advisory board, safety board, grant |
|    |                               |                     | Lilly  | Grant  |
|    |                               |                     | Mylan/Viatris  | Speaker, advisory board, safety board        |
|    |                               |                     | Menarini   | Speaker, advisory board, safety board        |
|    |                               |                     | MSD  | Speaker, advisory board, safety board        |
|    |                               |                     | Novartis   | Speaker, advisory board, safety board, grant |
|    |                               |                     | Pfizer   | Speaker, advisory board, safety board, grant |
|    |                               |                     | Purina   | Grant  |
|    |                               |                     | Sanofi   | Speaker, advisory board, safety board, grant |
|    |                               |                     | Siegfried  | Speaker, advisory board, safety board        |
|    |                               |                     | UCB  | Speaker, advisory board, safety board, grant |

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|    | AUTHOR                        | NOTHING TO DISCLOSE | COMPANY                                  | NATURE OF RELATIONSHIP                        |
|----|-------------------------------|---------------------|--|---|
| 48 | Adrienne Laury, MD            | XXX                 |  |   |
| 49 | Matt Lechner, MD, PhD         | XXX                 |  |   |
| 50 | Stella E. Lee, MD             |                     | AstraZeneca                              | Consultant, research funding                  |
|    |                               |                     | Genentech/Novartis                       | Consultant, research funding                  |
|    |                               |                     | Glaxo Smith Kline                        | Consultant, research funding                  |
|    |                               |                     | OptiNose                                 | Research funding                              |
|    |                               |                     | Sanofi Genzyme Regeneron                 | Consultant, research funding                  |
| 51 | Victoria S. Lee, MD           | XXX                 |  |   |
| 52 | Patricia Loftus, MD           | XXX                 |  |   |
| 53 | Sonya Marcus, MD              | XXX                 |  |   |
| 54 | Haidy Marzouk, MD, MBA        | XXX                 |  |   |
| 55 | Jose Mattos, MD, MPH          |                     | Glaxo Smith Kline                        | Consultant                                    |
|    |                               |                     | Sanofi/Regeneron                         | Consultant, research funding                  |
| 56 | Edward McCoul, MD, MPH        |                     | OptiNose                                 | Consultant                                    |
|    |                               |                     | Stryker ENT                              | Consultant                                    |
| 57 | Erik Melen, MD, PhD           |                     | ALK                                      | Consultant                                    |
|    |                               |                     | AstraZeneca                              | Consultant                                    |
|    |                               |                     | Novartis                                 | Consultant                                    |
|    |                               |                     | Sanofi                                   | Consultant                                    |
| 58 | James W. Mims, MD             |                     | Regeneron                                | Research funding                              |
|    |                               |                     | TEVA                                     | Stock   |
| 59 | Joaquim Mullol, MD, PhD       |                     | AstraZeneca                              | Speakers bureau                               |
|    |                               |                     | Glaxo Smith Kline                        | Speakers bureau                               |
|    |                               |                     | Noucor/Uriach Group                      | Consultant, research funding, speakers bureau |
|    |                               |                     | Sanofi-Regeneron                         | Consultant, speakers bureau                   |
|    |                               |                     | Viartis/Meda Pharma                      | Research funding, speakers bureau             |
| 60 | Jayakar Nayak, MD, PhD        |                     | Hydravascular LLC                        | Advisory board                                |
|    |                               |                     | SpirAir                                  | Consultant                                    |
| 61 | John Oppenheimer, MD          |                     | Abbvie                                   | Adjudication, DSMB                            |
|    |                               |                     | Aimunne                                  | Consultant                                    |
|    |                               |                     | Amgen                                    | Consultant                                    |
|    |                               |                     | Annals of Allergy, Asthma and Immunology | Executive editor                              |
|    |                               |                     | Aquestive                                | Consultant                                    |
|    |                               |                     | AstraZeneca                              | Adjudication, DSMB                            |
|    |                               |                     | Glaxo Smith Kline                        | Adjudication, DSMB, consultant                |
|    |                               |                     | Sanofi                                   | Adjudication, DSMB                            |
|    |                               |                     | Up to Date                               | Reviewer                                      |
| 62 | Richard R. Orlandi, MD        | XXX                 |  |   |
| 63 | Katie Phillips, MD            |                     | Sound Health                             | Stock   |
| 64 | Michael Platt, MD             |                     | GI Reviewers, LLC                        | Research consultant                           |
|    |                               |                     | Legal firms                              | Expert legal reviews                          |
| 65 | Murugappan Ramanathan, Jr, MD | XXX                 |  |   |
| 66 | Mallory Raymond, MD           | XXX                 |  |   |
| 67 | Chae-Seo Rhee, MD, PhD        | XXX                 |  |   |

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|    | AUTHOR                            | NOTHING TO DISCLOSE | COMPANY   | NATURE OF RELATIONSHIP   |
|----|-----------------------------------|---------------------|---|--|
| 68 | Sietze Reitsma, MD, PhD           |                     | ALK<br>Allergy Therapeutics<br>Chordate<br>EU<br>Glaxo Smith Kline<br>Mylan<br>Novartis<br>Sanofi<br>Zon-MW | Research grant<br>Research grant<br>Research grant<br>Research grant<br>Consultant, research grant<br>Research grant<br>Consultant, research grant<br>Consultant, research grant<br>Research grant |
| 69 | Matthew Ryan, MD                  |                     | OptiNose<br>Sanofi/Regeneron  | Advisory board<br>Advisory board   |
| 70 | Joaquin Sastre, MD, PhD           |                     | ALK<br>FaesFarma<br>Glaxo Smith Kline<br>Mundipharma<br>Novartis<br>Sanofi<br>ThermoFisher                  | Speaker<br>Speaker<br>Speaker<br>Speaker<br>Speaker, consultant<br>Speaker, consultant, research grant<br>Speaker  |
| 71 | Rodney J. Schlosser, MD           | XXX                 |   |  |
| 72 | Theodore A. Schuman, MD           | XXX                 |   |  |
| 73 | Marcus S. Shaker, MD, MSc         |                     | Annals of Allergy, Asthma and Immunology  | Associate editor   |
| 74 | Aziz Sheikh, BSc, MBBS, MSc, MD   | XXX                 |   |  |
| 75 | Kristine Smith, MD                |                     | Mylan<br>Sanofi-Genzyme   | Speaker<br>Consultant  |
| 76 | Michael B. Soyka, MD              |                     | Glaxo Smith Kline<br>Novartis<br>Sanofi<br>Syndermix  | Consultant, research funding<br>Consultant, research funding<br>Consultant, research funding<br>Research funding   |
| 77 | Masayoshi Takashima, MD           |                     | Acclarent<br>Aerin Medical<br>LivaNova<br>Lyra Therapeutics<br>Medtronic ENT                                | Consultant<br>Consultant<br>Consultant<br>Consultant<br>Consultant   |
| 78 | Monica Tang, MD                   | XXX                 |   |  |
| 79 | Pongsakorn Tantilipikorn, MD, PhD |                     | Abbott<br>ALK<br>AstraZeneca<br>Meda<br>Mylan<br>Sanofi<br>Viartis  | Research funding<br>Research funding<br>Research funding<br>Research funding<br>Research funding<br>Advisory board<br>Research funding   |
| 80 | Malcolm B. Taw, MD                | XXX                 |   |  |
| 81 | Jody Tversky, MD                  | XXX                 |   |  |
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| 86 | Andrew White, MD     |                     | ALK<br>Amgen<br>Astra Zeneca<br><br>Blueprint<br>Genentech<br>Glaxo Smith Kline<br>OptiNose<br>Regeneron/Sanofi | Advisory board<br>Speaker's bureau<br>Advisory board, research funding, speaker's bureau<br><br>Speaker's bureau<br>Advisory board<br>Advisory board, speaker's bureau<br>Advisory board, speaker's bureau<br>Advisory board, speaker's bureau |
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HNS=Head and Neck Surgery

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