Translational Studies of Protandim[®] as a Candidate Nutraceutical Approach to Treating Ovarian Cancer

A THESIS SUBMITTED TO THE FACULTY OF MAYO CLINIC COLLEGE OF MEDICINE MAYO GRADUATE SCHOOL

BY Naiyarat Prasongsook, M.D.

IN PARTIAL FULFILLMENT OF THE REQUIREMENTS
FOR THE DEGREE OF
MASTER'S IN BIOMEDICAL SCIENCESCLINICAL AND TRANSLATIONAL SCIENCE

March, 2014

UMI Number: 1555561

All rights reserved

INFORMATION TO ALL USERS

The quality of this reproduction is dependent upon the quality of the copy submitted.

In the unlikely event that the author did not send a complete manuscript and there are missing pages, these will be noted. Also, if material had to be removed, a note will indicate the deletion.



UMI 1555561

Published by ProQuest LLC (2014). Copyright in the Dissertation held by the Author.

Microform Edition © ProQuest LLC.
All rights reserved. This work is protected against unauthorized copying under Title 17, United States Code



ProQuest LLC.
789 East Eisenhower Parkway
P.O. Box 1346
Ann Arbor, MI 48106 - 1346



Acknowledgements

I would like to thank all of the people who made this dissertation possible, starting with my mentor in the Division of Medical Oncology, Keith C. Bible, M.D., Ph.D.. Dr. Bible proved an excellent sounding board for me from the beginning of my thesis work, and steered me toward thinking about translational and clinical research initiatives directed toward the ultimate goal of improving therapies for patients afflicted with cancer, especially nutraceutical therapeutic approaches to ovarian cancer.

All thesis advisory committee members; Dr. Prema P. Peethambaram, Dr. Vijayalakshmi (Viji) Shridhar, and Dr. Vera J. Suman, thank you very much for teaching me how to meticulously execute the experiments and to critically evaluate my data. Special thanks for their time devoted to my lengthy thesis committee meetings and for their continued support in all my endeavors.

In addition, I would like to thank Dr. Vijayalakshmi (Viji) Shridhar for providing many ovarian cancer cell lines (SKOV3ip, SKOV3TR, A2780, C200, OV2008, C13) used in my studies of the the cytotoxic effects and mechanism(s) of action of Protandim[®].

Furthermore, I would like to thank Ayoko Bossou, M.S. and Crescent Isham, B.S. for their mentorship and sage guidance, and advice related to my laboratory studies. It is no exaggeration to say that all experiments would not have been possible without their advise and encouragement.

Specifically, I would like to express my sincere gratitude to Dr. Thanyanan Reungwetwattana who encouraged me to further my education and experience this incredible journey at the Mayo Clinic. I gratefully thank her for her continued guidance and support in all my endeavors.

Lastly, I would like to thank you Phramongkutklao Hospital and the Royal Thai Army for their financial support throughout my time here.

This thesis was made possible by CTSA Grant Number **UL TROOO135** from the National Center for Advancing Translational Sciences (NCATS), a component of the National Institutes of Health (NIH). Its contents are solely the responsibility of the authors and do not necessarily represent the official view of NIH.



Dedication

I would like to dedicate my thesis to my beloved parents, and my aunt.



Abstract

<u>Background and Rationale</u>: Use of nutraceutical approaches are rapidly increasing in cancer patients. We encountered a recurrent ovarian cancer patient who incurred durable tumor regression and decreasing CA-125 coincident with initiation of the nutraceutical Protandim[®], a combination of five phytochemical extracts (ashwagandha, bacopa, green tea, milk thistle, turmeric). Preclinical studies were undertaken to investigate Protandim[®] and Protandim[®] constituent anticancer effects and underlying mechanism(s).

Methods: *In vitro* and *in vivo* ovarian cancer cell line models were used to assess Protandim[®] and Protandim[®] constituent effects. Colony forming assays, Hoechst nuclear and Trypan exclusion staining, immunoblotting, and flow cytometric methods were used to assess growth inhibitory, cytotoxic, apoptotic/necrotic effects as well as to preliminarily assess involved mechanism(s) of action. Combined synergistic/additive/antagonistic effects of Protandim[®] components were assessed by the methods of Chou and Talalay; an *ex vivo* myeloma patient model system was used to assess cancer selectivity. *In vivo* studies assessed Protandim[®] tolerability and toxicity over a wide oral dosage range.

Results: Anticancer effects of Protandim[®] and its constituent extracts were demonstrated, with induction of necrotic – not apoptotic - morphological cell death. *Ex vivo* assays demonstrated Protandim[®] to selectively kill freshly collected patient myeloma cells, relatively sparing paired patient normal bone marrow cells, indicating anticancer-selectivity. Immunoblotting and flow cytometric experiments indicated that Protandim[®] induced increased levels of cellular reactive oxygen species (ROS). Similar cytotoxic effects in wild-type- and Rho-MOLT4 cells (absence of mitochondria function) indicated non-mitochondrial mediated ROS induction by Protandim[®]. Assessment of the combined effects of Protandim[®] constituents primarily demonstrated antagonism or additivity. *In vivo* mouse studies demonstrated no Protandim[®] toxicities when compounded in diet during 43 days of feeding.

<u>Conclusions</u>: Protandim[®] and some of its ingredients (green tea and turmeric) have promising activity in ovarian cancer models, associated with induction of non-mitochondrial mediated-ROS and necrosis. Moreover, Protandim[®] is well-tolerated in mice, and has anti-cancer selectivity when assess in a myeloma/normal cell *ex vivo* model. Further investigations to more specifically assess molecular mechanism and *in vivo* efficacy are presently underway, in anticipation of eventual translation to therapeutic human clinical trials in ovarian cancer.



Table of Contents

Acknowledgments	i
Dedications	iii
Abstract	iv
Table of contents	vi
List of tables	vii
List of figures	viii
List of abbreviations	xi
Chapter 1: Introduction	1
Patient-based Anecdotal Protandim® Experience	
What is Protandim [®]	4
History of Protandim [®]	5
Summary of Published Protandim® Research	
Oxidative Stress and Cancer	7
Nutraceutical Approach for Cancer Treatment	8
Chapter 2: Protandim [®] as a Candidate Nutraceutical Approach	13
to Treating Ovarian Cancer	
Introduction	14
Specific Aims and Hypothesis	15
Materials and Methods	16
Statistical Analysis	23
Results	24
Discussion	49
Limitations	62
Conclusions	65
Chapter 3: Future directions and Summary	67
Future directions	68
Summary	70
References	72

List of Tables

List of Figures

Figure 1. Computed tomography (CT) scan of the upper abdomen	3
demonstrated response to Protandim® treatment in a recurrent	
fallopian tube cancer patient	
Figure 2. Changes of the serum tumor marker (CA-125) before and	3
after taking Protandim®	
Figure 3A-E. Five herbals ingredient in Protandim®	4
Figure 4. Line drawings and descriptions of body condition scoring (BCS)	22
Figure 5A. Protandim® reduced colony formation in	25
multiple ovarian cancer cell lines (24 h Protandim® exposures)	
Figure 5B. Cisplatin significantly reduced colony formation in	25
platin-sensitive ovarian cancer cell lines	
Figure 6. Protandim® reduced colony formation in both paclitaxel-sensitive	26
and paclitaxel-resistant ovarian cancer cell lines (24 h exposures).	
Figure 7. Paclitaxel significantly reduced colony formation in	26
paclitaxel-sensitive ovarian cancer cell line.	
Figure 8. Colony forming assay showed anticancer effect of	28
Protandim® constituents (turmeric, green tea) at 24 hours versus continuous	
exposures on SKOV3 ovarian cancer cells.	
Figure 9. Colony forming assay showed anticancer effect of	28
Protandim® constituents (Milk thistle, Ashwagandha, and Bacopa)	
at 24 hours versus continuous exposures on SKOV3 cells.	
Figure 10. Colony forming assay showed relationship between	29
anticancer effects and concentration-adjusted amounts of each extract	
contained in Protandim® on SKOV cells.	
Figure 11A. Morphology of untreated SKOV3 cells	30
by fluorescence microscopy using Hoechst 33342 staining.	
Figure 11B. Morphology of treated SKOV3 cells with 100 $\mu g/mL$	30
Protandim® by fluorescence microscopy using Hoechst 33342 staining.	

Figure 12. Quantitative assessment for cell death and apoptotic cells after	31
24 hours treatment of SKOV3 cells with 100 $\mu g/mL$ Protandim [®]	
Figure 13A-C. Levels of HO-1 and actin were assessed in SKOV3	32
PEO1 and PEO4 cancer cells by using immunoblotting.	
Figure 14A. FACS analyses assessing the proportion of ROS expression	34
among SKOV3 cells treated with DMSO, Protandim®, Bixin, Chaetocin,	
and Tert-butyl hydroperoxide using DHE and CM-H2DCFDA ROS	
probes.	
Figure 14B. FACS analyses assessing the proportion of ROS expression	34
among SKOV3 cells treated with Protandim® using DHE probe.	
Figure 14C-F. FACS assessment of ROS levels in response to increasing	35
concentrations of Protandim® constituents (Ashwagandha, Bacopa,	
Green tea, and Milk thistle) using DHE probe.	
Figure 14G. FACS assessment of ROS levels in response to increasing	35
concentrations of turmeric using DHE probe.	
Figure 14H. ROS induction of SKOV3 cells in response to treatment	36
with 25 $\mu g/mL$ of Protandim [®] and Protandim [®] constituent ingredient extracts	
using DHE as fluorescent FACS probe.	
Figure 15A-C. Protandim®, curcumin, and chaetocin –induced	38
cytotoxicity (assessed by trypan blue exclusion assay, 24 hours exposures)	
is unaltered in MOLT-4 rho cells lacking respiratory functional mitochondria.	
Figure 15D. Antimycin-induced cytotoxicity (assessed by trypan blue	38
exclusion assay, 24 hours exposures) was attenuated in MOLT-4 rho cells	
lacking respiratorily functional mitochondria.	
Figure 16A-C. Protandim [®] selectively kills collected and sorted <i>ex vivo</i> —	40
treated patient myeloma cells (CD138 +) cells assessed by	
counting trypan blue on day 1- day 3.	
Figure 17A-D. Combined effects of green tea and turmeric with respect to	42
Protandim [®] anticancer activity assessed in SKOV3 cell line.	

Figure 18. Median dose effect plot for Protandim®, turmeric, and	44
its combination was at (a 3.75 : 1 ratio for Protandim [®] : turmeric).	
Figure 19A-E. in vivo study showed the percentage of body weight	46
for 5 groups of mice during entire period of experiment (43 days).	
Figure 20. One-way analysis demonstrated comparisons the average	47
percentage of weight change among those mice in 5 groups	
during whole period of experiment.	
Figure 21. Signaling components potentially involved in	51
programmed necrosis	
Figure 22. The strategic approach to targeting cancer cells via	54
ROS-mediated mechanisms.	
Figure 23. Major sites of cellular reactive oxygen species	55
(ROS) generation	
Figure 24. NADPH oxidase (NOX) complex generated ROS	57

List of abbreviations

A2780 cell line, ovarian cancer cell line

Akt, protein kinase B (serine/threonine-specific protein kinase)

ALT, alanine- amino-transferase

ANOVA, analysis of variance

AST, aspartate-amino-transferase

BCA, bicinchoninic acid assay

BCS, body condition scoring

BUN, blood urea nitrogen

C13, ovarian cancer cell line with platin-resistant

C200, ovarian cancer cell line

CA-125, cancer antigen 125, or carcinoma antigen 125, or carbohydrate antigen 125

CD138, Syndecan 1; a protein which in human is encoded by the syndecan (SDC) 1 gene

CI, Combination Index

CMH2DCFDA, 5,6-chloromethyl-20, 70-dichlorodihydrofluorescein diacetate

Cr, creatinine

CT, computed tomography

D, dose

DHE, dihydroethidium

Dm, median dose effect

DMEM, Dulbecco's Modified Eagle's Medium

DMSO, dimethyl sulfoxide

DNA, deoxyribonucleic acid

DRI, dose reduction index

Duox, dual oxidases

ECs, endothelia cells

ED50, effective dose 50; minimum effective dose for 50% of the population

EGCG, (-)-epigallocatechin-3-gallate

ER, endoplasmic reticulum

fa, affected fraction

FACS, Fluorescence-Activated Cell Sorting analysis

FAD, Flavin Adenine Dinucleotide

FasR, Fas receptor

fu, unaffected fraction

FY, fiscal year

G1, gap 1

G2, gap 2

GCLC, glutamate-cysteine ligase catalytic

GCLM, glutamate-cysteine ligase modifier

GST, glutathione S-transferase

H₂O₂, hydrogen peroxide

HCAEC, human coronary artery endothelial cells

HO-1, heme oxidase 1

IACUC, Institutional Animal Care and Use Committee

IC₅₀, inhibit cellular proliferation by 50%

ip, intraperitoneal

IRB, international review board

m, the slope of the median-effect plot

M, mitosis

MAb, monoclonal antibody

MEM, Minimum Essential Medium

Mito-ETC, mitochondrial electron transport chain

NADPH oxidases (NOX), Nicotinamide Adenine Dinucleotide Phosphate Oxidase

NFE2L2 (Nrf2), Nuclear factor (erythroid-derived 2)-like 2

NOXO, NADPH oxidase organizer

Ngo1, nicotinamide adenine dinucleotide phosphate quinone oxidoreductase 1

O2*, superoxide anion

OV (202, 2008) cell line, ovarian cancer cell line

p22^{phox}, Neutrophil cytochrome b 22 kilo Dalton polypeptide, alpha polypeptide type of cytochrome b-245

p38MAPK, p38 mitogen-activated protein kinase

p40^{phox}, 40 kilo Dalton cytosolic subunit of neutrophil cytosolic factor 4 p47^{phox}, 47 kilo Dalton cytosolic subunit of neutrophil NADPH oxidase (Neutrophil cytosolic factor 1)

p53, phosphoprotein p53, or cellular tumor antigen p53, or tumor suppressor p53 p67^{phox}, 67 kilo Dalton cytosolic subunit of the multi-protein complex (Neutrophil cytosolic factor 2)

PARP1, poly (adenosine diphosphate ribose) polymerase-1

PBS, phosphate-buffered saline

PCD, program cell death

PEO cell line, estrogen receptor positive Human ovarian cancer cell line

PI3-kinase, phosphatidylinositide 3-kinase

PKCδ, protein kinase C delta type

platin, cisplatin

r, the correlation coefficient

Rac GTPases, Rho family of GTPases; a family of small (~21 kilo Dalton) signaling G protein and is a subfamily of the Ras superfamily

Rho-MOLT4 cell line, acute lymphoblastic leukemia cell line with mitochondrial respiratory chain nonfunctioning

RIP, receptor interacting protein

RMPI media, Roswell Park Memorial Institute media

ROS, reactive oxygen species

S, synthesis

SD, standard deviation

SDS, sodium dodecyl sulfate

SE, standard error

SEM, standard error of the mean

SKOV3 cell line, Sloan-Kettering HER2 3+ Ovarian Cancer cell line

SKOV3TR cell line, Sloan-Kettering HER2 3+ Ovarian Cancer cell line with Taxol resistant

SOD, superoxide dismutase

T1, the first stage of translational research

t-BHP, tert-butyl hydroperoxide

TNFR, tumor necrosis factor receptor

UGT, uridine diphosphate- glucuronosyltransferase

VEGF, vascular epithelial growth factor

WT-MOLT4 cell line, wild type of acute lymphoblastic leukemia cell line

Chapter 1: Introduction



Introduction

Epithelial ovarian cancer is the deadliest of all cancers of the female reproductive system and the fifth most common cause of cancer mortality in women (1). Every 24 minutes marks another diagnosis of ovarian cancer in the United States; a woman's lifetime risk of developing invasive ovarian cancer is 1 in 72 (1-3). In 2013, the American Cancer Society estimates that 22,240 new cases will be diagnosed and an 14,030 women will die of ovarian cancer in the United States alone (1). In spite of the National Cancer Institute's (NCI) investment of \$110.8 million in fiscal year (FY) 2011 for ovarian cancer research (4-5), treatment outcomes for ovarian cancer remain poor. In particular, overall 5-year survival is < 35% and "cure" rates have unfortunately not substantively improved in decades. Consequently, there is need for additional therapeutic innovation to further improve not only response durations and "cure" rates, but also to attain lessened adverse effects.

Patient-based Anecdotal Protandim® Experience

The genesis of this study stems from a 64 year-old women diagnosed with recurrent fallopian tube carcinoma with peritoneal metastasis and a rising of the serum tumor marker, CA-125. She declined standard salvage chemotherapy, and instead, on her own volition, initiated nutraceutical therapy with Protandim[®]. Interestingly, this patient incurred durable clinical improvement in terms reduction in the size of her peritoneal lesions, and declining CA-125 without apparent toxicity. This anecdotal experience triggered our interest in assessing the anticancer effects of Protandim[®] and raised the question of whether it might have clinical application beyond this anecdotal experience.

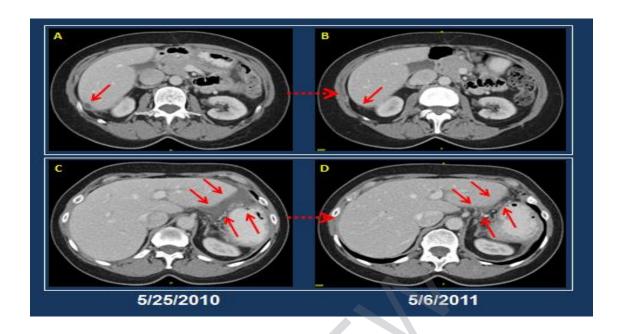


Figure 1: A 64 year-old female patient, treated for peritoneal metastasis: A and C: CT upper abdomen before taking Protandim[®] that showed 2 areas of peritoneal fluid/metastasis; B and D: CT upper abdomen 12 months after taking Protandim[®] that the two areas were significantly decreased in size (near complete response).

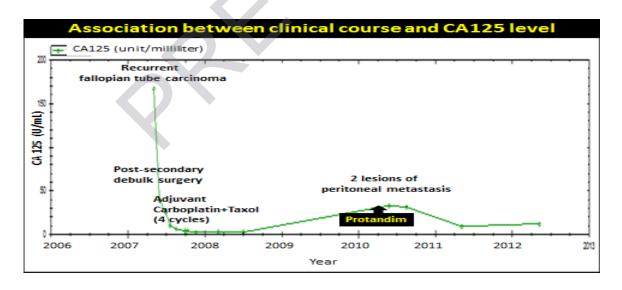


Figure 2. Changes of the serum CA-125 before and after taking Protandim[®]. CA-125 declined after Protandim[®] use for 3 months, attaining normal range (< 35 unit/milliliter) at 6 months of Protandim[®] use.