



# Compliance Bulletin

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## Currently Compliant Podcast

Last month the ERO Enterprise launched its new quarterly compliance podcast called [“Currently Compliant.”](#) The inaugural episode is focused on PRC-019-2 R1 and features Kimberly Griffith, Senior Compliance Engineer from NPCC.

“Currently Compliant” is hosted by ERO Enterprise subject matter experts (SMEs) and is intended to be a quick way to bring attention to frequently asked questions on which the SMEs have some clear insights to share. While there are multiple places to look for compliance material, “Currently Compliant” hopes to be viewed like a Q&A session after a workshop or catching up with the experts over an appetizer and beverage at a reception. The SMEs will always strive to share useful and correct insights, but the facts and circumstances of your situation may not fit their assumptions. It’s always best to reach out to compliance contacts for specific questions.

## CIP Evidence Request Tool (ERT) v5.0

NERC posted a revised ERO Enterprise CIP Evidence Request Tool (ERT), which is a common request for information tool for CIP Compliance monitoring engagements. The purpose of the CIP ERT is to help the ERO Enterprise with consistency and transparency in its audit approach. It will also help responsible entities (especially those that operate in multiple regions) fulfill these requests more efficiently, by understanding what types of evidence are useful in preparation for an audit.

The revised ERT v5.0, the updated ERT User Guide, and a list of changes from v4.5 to v5.0 can be found on the [NERC website](#). NPCC Audit Notification Packages sent after March 2021 will include the revised CIP ERT v5.0.



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### One-Stop Shop (Compliance Monitoring & Enforcement Program)

The One-Stop Shop provides a consolidated and sortable listing of the pages located on the left navigation and commonly used documents related to the Compliance Monitoring and Enforcement Program (CMEP).

[ERO Enterprise Guidance: Potential Noncompliance Related to Coronavirus Impacts](#) (January 6, 2021)

[COVID-19 Logging Spreadsheet - Template](#) (May 28, 2020)

[COVID-19 ORC and CMEP Frequently Asked Questions](#) (Updated June 25, 2020)

[FERC, NERC Provide Industry Guidance to Ensure Grid Reliability Amid Potential Coronavirus Impacts](#)

**One-Stop-Shop (CMEP, Compliance, and Enforcement) - Active**

Documents	Year	Category	Date
<input checked="" type="checkbox"/> <b>Compliance (28)</b>			
<input checked="" type="checkbox"/> CIP ERT & User Guide (3)			
<input type="checkbox"/> CIP Evidence Request Tool User Guide v5	2021	CIP ERT & User Guide	3/2/2021
<input type="checkbox"/> CIP Evidence Request Tool v5	2021	CIP ERT & User Guide	3/2/2021
<input type="checkbox"/> CIP Evidence Request Tool v5 Changes	2021	CIP ERT & User Guide	3/2/2021
<input type="checkbox"/> Compliance (9)			

## Upcoming Enforceable Standards<sup>1</sup>

As a reminder on April 1, 2021 two (2) new standards will become enforceable:

### PER-006-1 – Specific Training for Personnel

**Purpose:** To ensure that personnel are trained on specific topics essential to reliability to perform or support Real-time operations of the Bulk Electric System.

**Applicable Functional Entities:** Generator Operator (GOP)

<sup>1</sup> In the United States; For New Brunswick effective dates, please see: <https://nbeub.ca/reliability-standards>; For Québec effective dates, please see: [The Régie de l'énergie - Reliability Standards \(regie-energie.qc.ca\)](https://regie-energie.qc.ca)



**March 2021**

**PRC-027-1 — Coordination of Protection Systems for Performance During Faults**

**Purpose:** To maintain the coordination of Protection Systems installed to detect and isolate Faults on Bulk Electric System (BES) Elements, such that those Protection Systems operate in the intended sequence during Faults.

**Applicable Functional Entities:** Transmission Owner (TO), Generator Owner (GO), Distribution Provider (DP)

Additionally, the following standards will be enforceable on April 1, 2021.

- FAC-002-3
- INT-006-5
- INT-009-3
- IRO-002-7
- IRO-010-3
- MOD-031-3
- MOD-033-2
- NUC-001-4
- PRC-004-6
- PRC-006-4
- TOP-001-5
- TOP-003-4

For future planning, here is a list of upcoming enforceable standards.

Enforcement Date	Standard/Requirement
7/1/2021	TPL-007-4 R12, R13
1/1/2022	TPL-007-4 R6, R10; PRC-012-2 R9 (Initial Performance)
7/1/2022	100% compliant - PRC-002-2 R2 - R4, R6 - R11
7/1/2022	CIP-012-1 All requirements
10/1/2022	PRC-024-3 R1 - R4
1/1/2023	TPL-007-4 R3, R4, R8
7/1/2023	TPL-001-5.1 R1, R2, R4, excluding R2, Part 2.7 for non-redundant components of Protection System
1/1/2024	TPL-007-4 R7, R11
7/1/2024	100 compliant - MOD-026-1 R2; MOD-027-1 R2
7/1/2025 (UPDATED)	TPL-001.5.1 Requirement R2, Part 2.7 - Develop CAPs for failures to meet Table 1 performance requirements for the revised P5
1/1/2026	PRC-012-2 R4 (Initial Performance)
1/1/2027	PRC-012-2 R8 (Initial Performance)



March 2021

## GridSecCon 2021

NERC and Texas RE are co-hosting the 10<sup>th</sup> grid security conference, GridSecCon, on October 19–20, with training opportunities available on October 18. GridSecCon brings together cyber and physical security leaders from industry and government to deliver expert training sessions, share best practices, present lessons learned, and share effective threat mitigation programs.

The event will be held virtually due to the ongoing pandemic. More details will be made available on the [E-ISAC website](#), [NERC website](#), and [Texas RE website](#).

## GridEx VI Registration Reminder

The sixth grid security exercise, GridEx VI, is scheduled to take place November 16 –17, 2021. The exercise, which occurs every two years, is hosted by the North American Electric Reliability Corporation (NERC) and the Electricity Information Sharing and Analysis Center (E-ISAC).

The distributed play exercise allows participants to engage remotely and simulates a cyber and physical attack on the North American electricity grid and other critical infrastructure. GridEx also gives participants a forum to demonstrate how they would respond to and recover from coordinated cyber and physical security threats and incidents.

### Register for GridEx VI

[Registration](#) for GridEx VI is open for *Lead Planners and Planners*. Industry members and government partners participating in GridEx VI are encouraged to assign planners to coordinate each organization's planning process and exercise conduct.

- Smaller organizations may assign planning responsibilities to a single person.
- Larger organizations may choose to assign a Lead Planner who will coordinate with other Planners that represent business functions within the organization.

## Align Update

Align and the Secure Evidence Locker (SEL) are being released in three phases. Align Release 1 is scheduled to 'go-live' for the NPCC region on May 24, 2021. Release 1 is for enforcement activity, including self-reports, enforcement processing, and mitigation plans. Beginning on May 24, all self-reports and self-logs should be entered into Align.



**March 2021**

NPCC will provide training for Registered Entities before each release and separate training will be given for the SEL. Registered Entity training will be scheduled in March and April. More information on the training is forthcoming.

[Click here](#) for the latest Align newsletter for Registered Entities.

## Upcoming Events

### Spring 2021 Virtual Workshop

**Date:** Wednesday, May 19, 2021

**Time:** 9:00am – 12:30pm

**Registration Link:** [NPCC 2021 Spring Webinar Registration](#)

**Agenda:** Under Development

## Contact Information

Below is the contact information for the Compliance Monitoring and Enforcement Program Management.

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