

2021 Expanded ERO Periodic Data Submittal Schedule for NPCC Registered Entities (Includes Information on Reporting)

Reliability Standard	Requirement(s)	Text	Function	Submit To	Submittal Frequency	Due Dates	Notes
BAL-003-1.1	R1	Each Frequency Response Sharing Group (FRSG) or Balancing Authority that is not a member of a FRSG shall achieve an annual Frequency Response Measure (FRM) (as calculated and reported in accordance with Attachment A) that is equal to or more negative than its Frequency Response Obligation (FRO) to ensure that sufficient Frequency Response is provided by each FRSG or BA that is not a member of a FRSG to maintain Interconnection Frequency Response equal to or more negative than the Interconnection Frequency Response Obligation.	FRSG/BA	NERC	Annually	March 7 of each year, per BAL-003 1.1 Reliability Standard Attachment A's timeline	This is a true periodic submittal of data that occurs every year on the same date.
EOP-004-4	R2	Each Responsible Entity shall report events per their Operating Plan within 24 hours of recognition of meeting an event type threshold for reporting or by the end of the next business day if the event occurs on a weekend (which is recognized to be 4 PM local time on Friday to 8 AM Monday local time).	See Standard	NERC	Event driven as per R2	Event Driven	Entity submits EOP-004 form to NERC email address. The entity should self-report if it misses the reporting deadline to NERC.

EOP-008-2	R8	Each Reliability Coordinator, Balancing Authority, and Transmission Operator that has experienced a loss of its primary or backup functionality and that anticipates that the loss of primary or backup functionality will last for more than six calendar months shall provide a plan to its Regional Entity within six calendar months of the date when the functionality is lost, showing how it will re-establish primary or backup functionality.	RC/BA/TOP	NPCC	Event driven as per R8	Within six calendar months of the date when the functionality is lost	If the entity believes there is an issue, the entity contacts NPCC Staff to discuss whether the 6 month obligation was met and the means for providing the plan to NPCC.
FAC-003-4	C.1.4	The applicable Transmission Owner and applicable Generator Owner will submit a quarterly report to its Regional Entity, or the Regional Entity's designee, identifying all Sustained Outages of applicable lines operated within their Rating and all Rated Electrical Operating Conditions as determined by the applicable Transmission Owner or applicable Generator Owner to have been caused by vegetation, except as excluded in footnote 2, and including as a minimum the following:	TO/GO	NPCC	Quarterly, and only if there are qualifying FAC-003 events	Within 20 days after the end of the quarter AND only if there was a qualifying event in the previous quarter	Entity submits the "Add New FAC-003-4 Event" form into CDAA upon the event occurring or within 20 days after the expired quarter. The "Add New FAC-003-4 Event" form is open in CDAA 24 hours per day 7 days per week. NPCC does not require or desire emails from each entity to notify us that an FAC-003 event has not occurred for the expired quarter.
PRC-002-2	R12	Each Transmission Owner and Generator Owner shall, within 90-calendar days of the discovery of a failure of the recording capability for the SER, FR or DDR data, either: <ul style="list-style-type: none"> • Restore the recording capability, or • Submit a Corrective Action Plan (CAP) to the Regional Entity and implement it. 	TO/GO	NPCC	Event driven as per R12	Within 90 calendar days of the discovery of a failure of the recording capability for the SER, FR, or DDR data	If the entity believes that there is an issue to report, the entity contacts NPCC Staff to discuss further whether the 90 day obligation was met and the means for providing the data to NPCC.
PRC-023-4	R5	Each Transmission Owner, Generator Owner, and Distribution Provider that sets transmission line relays according to Requirement R1 criterion 12 shall provide an updated list of the circuits associated with those relays to its Regional Entity at least once each calendar year, with no more than 15 months between reports, to allow the ERO to compile a list of all circuits that have protective relay settings that limit circuit capability	TO/GO/DP	NPCC	Annually, and only if the entity chooses to set relays using Criterion 12 of R1	If the entity chooses to set relays on circuits according to Criterion 12 of R1, the entity must at least once each calendar year, with no more than 15 months between reports, provide the updated list to NPCC.	If the entity is not setting relays using Criterion 12 of R1, there are no reporting obligations to NPCC. If the entity chooses to set relays on circuits according to Criterion 12 of R1, the updated list of circuits must be reported to NPCC once each calendar year with no more than 15 months between reports; or else a self-report on R5 should be submitted into CDAA without first contacting NPCC.

PRC-023-4	R6.2	Provide the list of circuits to all Regional Entities, Reliability Coordinators, Transmission Owners, Generator Owners, and Distribution Providers within its Planning Coordinator are within 30 calendar days of the establishment of the initial list and within 30 calendar days of any changes to that list.	PC	NPCC	Event driven as per R6.2	Within 30 calendar days of the establishment of the initial list and within 30 days of any changes to list	If the entity can provide the list within 30 days of establishment or changes, the entity can contact NPCC to provide the list via Drive. This is not a self-report in this situation. If the entity exceeds 30 days after establishment or changes, they should self-report on R6.2 in CDAA without first contacting NPCC while contacting NPCC to discuss providing the list via Drive.
TPL-001-4		For certain P1, P2, P3 contingencies in Table 1, Non-Consequential Load Loss is allowed as an aspect of a Corrective Action Plan.	PC/TP	ERO/NPCC C	Event Driven. If the Non-Consequential Load Loss option will be utilized as an element of a Corrective Action Plan under footnote 12 and Attachment 1.	Bottom of Attachment 1: After the PC or TP receives assurance from their applicable regulatory authorities or governing bodies responsible for electric service issues do not object to the use of Non-Consequential Load Loss under footnote 12.	The Planning Coordinator or Transmission Planner must submit the information outlined in Attachment 1 items II.1 through II.8 to the ERO for a determination of whether there are any Adverse Reliability Impacts caused by the request to utilize footnote 12 for Non-Consequential Load Loss as part of a Corrective Action Plan.
TPL-007-4	R7.4	The Corrective Action Plan shall... Be submitted to the Compliance Enforcement Authority (CEA) with a request for extension of time if the responsible entity is unable to implement the CAP within the timetable provided in Part 7.3. The submitted CAP shall document the following: 7.4.1. Circumstances causing the delay for fully or partially implementing the selected actions in Part 7.1 and how those circumstances are beyond the control of the responsible entity; 7.4.2. Revisions to the selected actions in Part 7.1, if any, including utilization of Operating Procedures, if applicable; and 7.4.3. Updated timetable for implementing the selected actions in Part 7.1.	PC/TP	NPCC	Event Driven under a Corrective Action Plan	Within a timely manner following the identification of the responsible entity being unable to implement the CA within the timetable submitted for Part 7.3 and prior to the end date of the submitted timetable.	Entity shall contact NPCC to request an extension.

TPL-007-4	R11.4	<p>Regarding a Corrective Action Plan (CAP) addressing how the performance requirements will be met.</p> <p>11.4. Be submitted to the CEA with a request for extension of time if the responsible entity is unable to implement the CAP within the timetable provided in Part 11.3.</p> <p>The submitted CAP shall document the following:</p> <p>11.4.1. Circumstances causing the delay for fully or partially implementing the selected actions in Part 11.1 and how those circumstances are beyond the control of the responsible entity;</p> <p>11.4.2. Revisions to the selected actions in Part 11.1, if any, including utilization of Operating Procedures, if applicable; and</p> <p>11.4.3. Updated timetable for implementing the selected actions in Part 11.1</p>	PC/TP	NPCC	Event Driven under a Corrective Action Plan	<p>Within 1 year of completion of the supplemental GMD Vulnerability Assessment and in a timely manner after determining that the implementation of the CAP by the responsible entity will require an extension of the timetable submitted per R11.3.</p>	Entity shall contact NPCC to request an extension.
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