

# Matthew Martin, Investment Adviser

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*This brochure provides information about the qualifications and business practices of Matthew Martin, Investment Adviser. If you have any questions about the contents of this brochure, please contact us at mcm315@gmail.com or (504) 559-5092. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.*

*Additional information about Matthew Martin also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

*Matthew Martin is an SEC-registered investment adviser. Registration does not imply any level of skill or training.*

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## Advisory Business

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Matthew Martin, Investment Adviser entered the investment advisory business in 2022. Matthew Martin, Investment Adviser is a sole proprietorship wholly owned by Matthew Martin.

We offer personalized investment advice through our interactive website and software platform. We do not offer portfolio management or market timing services, and our investment advice is limited to stocks, bonds, and options.

Our advisory services are tailored to individual clients through our online software platform. Prospective client will complete an eligibility screening through our website which will determine if we are a fit to serve their needs. If the client is a fit for our services, the client will receive access to our online software platform that will provide portfolio recommendations based on their responses. As we do not offer portfolio management services, clients are not obligated to act on any recommendations provided.

We do not participate in wrap fee programs.

As of January 4, 2022, Matthew Martin, Investment Adviser manages \$0 in client assets across both discretionary and non-discretionary accounts.

## Fees and Compensation

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We receive fixed fees (other than subscription fees) for advisory services. The fee to use our interactive website's software model (past the initial screening questionnaire) is a one-time, \$450.00 payment. This fee is non-negotiable. This fee will grant you one time access to use our software model and the advice provided will not be update beyond the initial use. The advice provided will not be update or refreshed.

Due to the fixed nature of our cost, the fees that we charge may be excessive if the users investable capital is too low to cover the expensive with reasonable returns on the advice provided. With this in mind, our website will ask a series of questions to determine if our advisory services would be prudent for the potential client.

Our fees also may be excessive compared to other advisers that are providing similar services. Always practice due diligence in comparing and selecting an investment advisor prior to entering into any contract.

We bill clients for fees incurred. We bill clients once, when they initially purchase access to the model. Clients receive access to the model as soon as they pay the fee.

Clients may be required to pay custodian fees and mutual fund expenses in connection with our advisory services. Clients may also incur brokerage and other transaction costs. See the "Brokerage Practices" section of this brochure for more information.

Clients must pay for access to our comprehensive software model in advance. If the client is not satisfied with the results of the model, they may receive a full refund up to 90 days after their purchase.

Clients have the option to purchase investment products that we recommend through other brokers and agents that are not affiliated with us. We receive no compensation in connection with the purchase or sale of securities.

## Performance Based Fees

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We do not accept performance-based fees of any kind.

## Types of Clients

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We generally provide advice to individuals.

We have no minimum account size requirements.

## Methods of Analysis, Investment Strategies and Risk of Loss

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We believe in diversification and the power of compounding. Guided by this believe we develop a diversified portfolio of stocks, bonds and derivatives for our clients that will try and maximize returns based on the specific clients' risk tolerance, capital requirements and time horizon. Despite our best efforts, clients should be aware that any investment in securities involves risk of loss that the client should be prepared to incur.

## Disciplinary Information

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We have been involved in any legal or disciplinary events that are material to any existing clients or prospective client's evaluation of our advisory business or the integrity of our management team.

## Other Financial Industry Activities and Affiliations

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We have no other material financial industry affiliations, nor do we engage in any other financial industry activities that create a material conflict of interest.

## Code of Ethics, Participation, or Interest in Client Transactions and Personal Trading

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We are happy to provide a copy of our code of ethics to any client or prospective client upon request.

We do not participate in any client transactions.

We may buy or sell the same securities as those we recommend to our clients in personal accounts. This may create a conflict of interest if a client's transactions can affect the price of the security. To mitigate the conflict of interest, we do not allow anyone affiliated with us to transact in thinly traded, low-volume securities for thirty days after recommending it to a client other than regular, scheduled purchases or sales. Highly liquid securities in which price impact of client transactions are negligible are exempt from this restriction.

## Brokerage Practices

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We do not receive any compensation, research, or soft-dollar benefits from broker-dealers in connection with client securities transactions. We simply recommend to clients the broker-dealers which can provide our clients with the best execution at the lowest price, favoring brokerages with commission-free trading.

We do not aggregate orders for clients because we do not execute securities trades for our clients as part of our advisory services.

## Review of Accounts

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We do not periodically review client accounts or financial plans. We do not provide regular reports to clients regarding their accounts.

Advice provided by our interactive website is updated only when users manually update the inputs to our comprehensive software model.

## Client Referrals and Other Compensation

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We do not compensate for any client referrals.

## Custody

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We do not accept custody of any client funds or securities. We do not provide any statements to our clients. Clients may receive quarterly statements from the custodian of their choice. Clients should carefully review these statements when they are received.

## Investment Discretion

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We do not accept discretionary authority of manage securities accounts for clients.

## Voting Client Securities

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We do not accept authority to vote client securities.

## Financial Information

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We do not require prepayment of more than \$1,200.00 in fees per client six months or more in advanced. Clients only pay a one-time fee at the time of receiving access to our interactive software model. We do not have discretionary authority or custody of client funds or securities, and we have not been the subject of a bankruptcy petition at any time during the past ten years. As a result, we are not required to provide a balance sheet.