



# Railway traffic control room, and room for control How to get the best out of your transport network?

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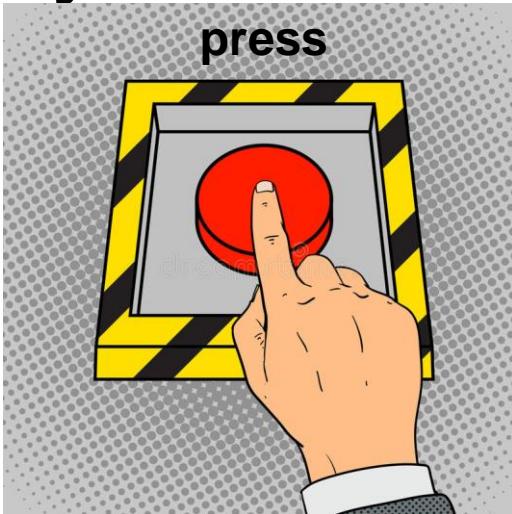
Professor for Transport Systems, ETH Zurich, Switzerland

# Not so much room for control (also no control room)



Maeklong market, Thailand  
[https://www.youtube.com/watch?v=Dsi\\_ItK-j30](https://www.youtube.com/watch?v=Dsi_ItK-j30)  
<https://slate.com/human-interest/2016/04/vendors-and-shoppers-must-periodically-make-way-for-trains-at-the-unusual-maeklong-railway-market-in-thailand.html>

# Room for control? What if control does nothing? If you can choose only one outcome, what is that for a control?

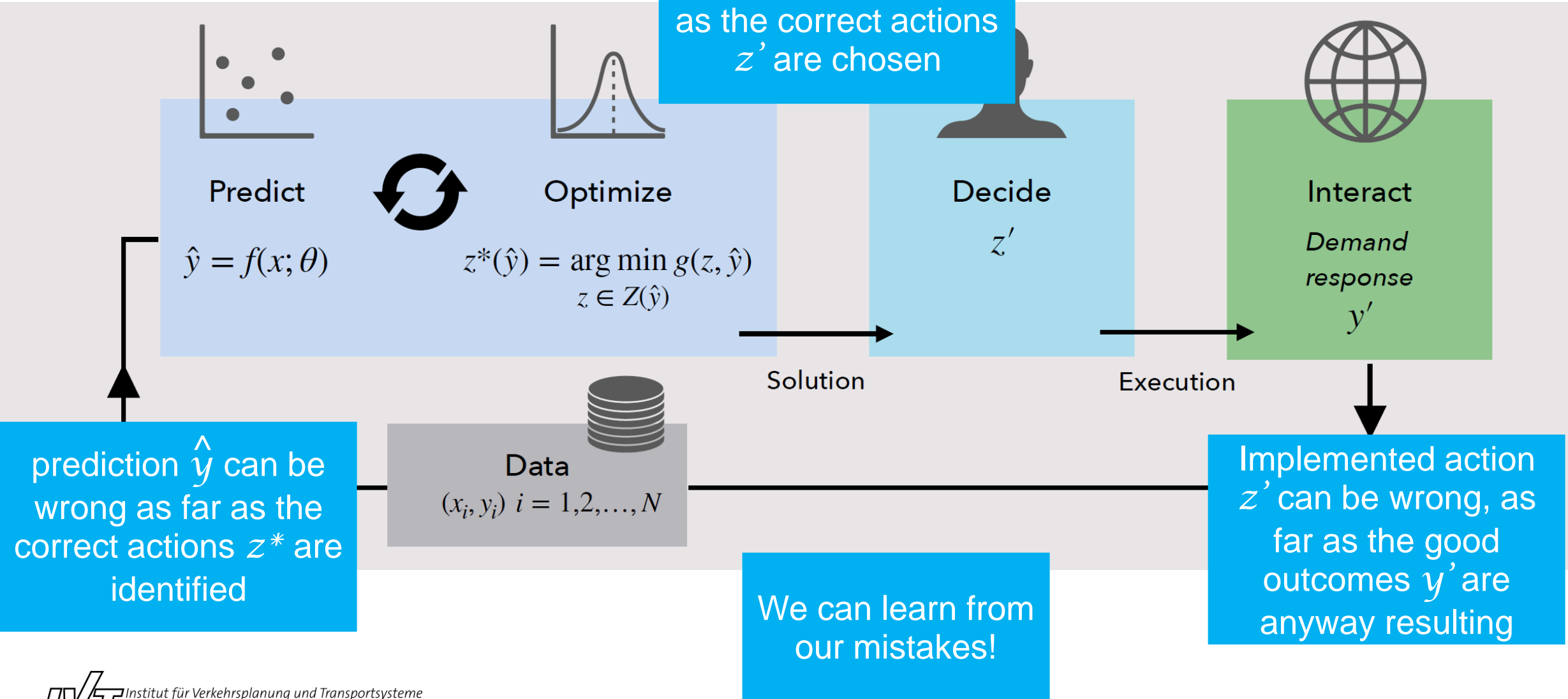


# Goal of control (rooms)

- To reach the best possible state of a system, by changing some aspects of it

# A formal definition of our system

Suggested action  $z^*$  can be wrong as far as the correct actions  $z'$  are chosen



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Not now; in  
the future

Best  
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Where is my system  
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Which aspects can I change  
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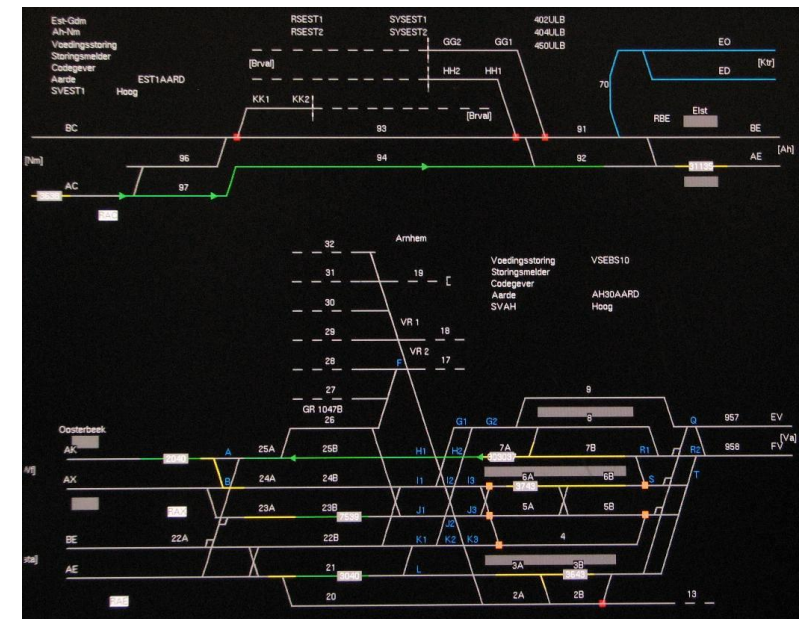
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# Predictions are needed

- Explicitly, or implicitly

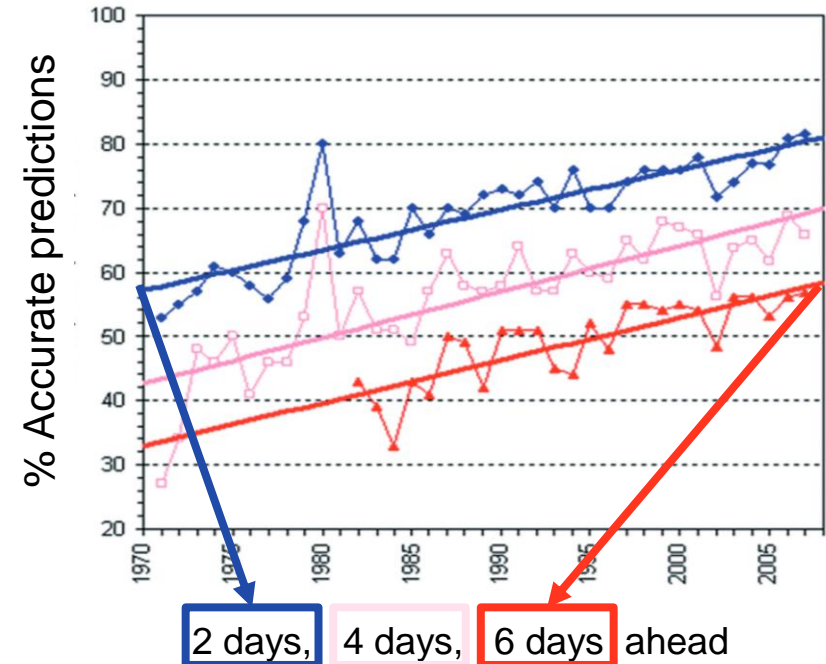




# Predictions are wrong

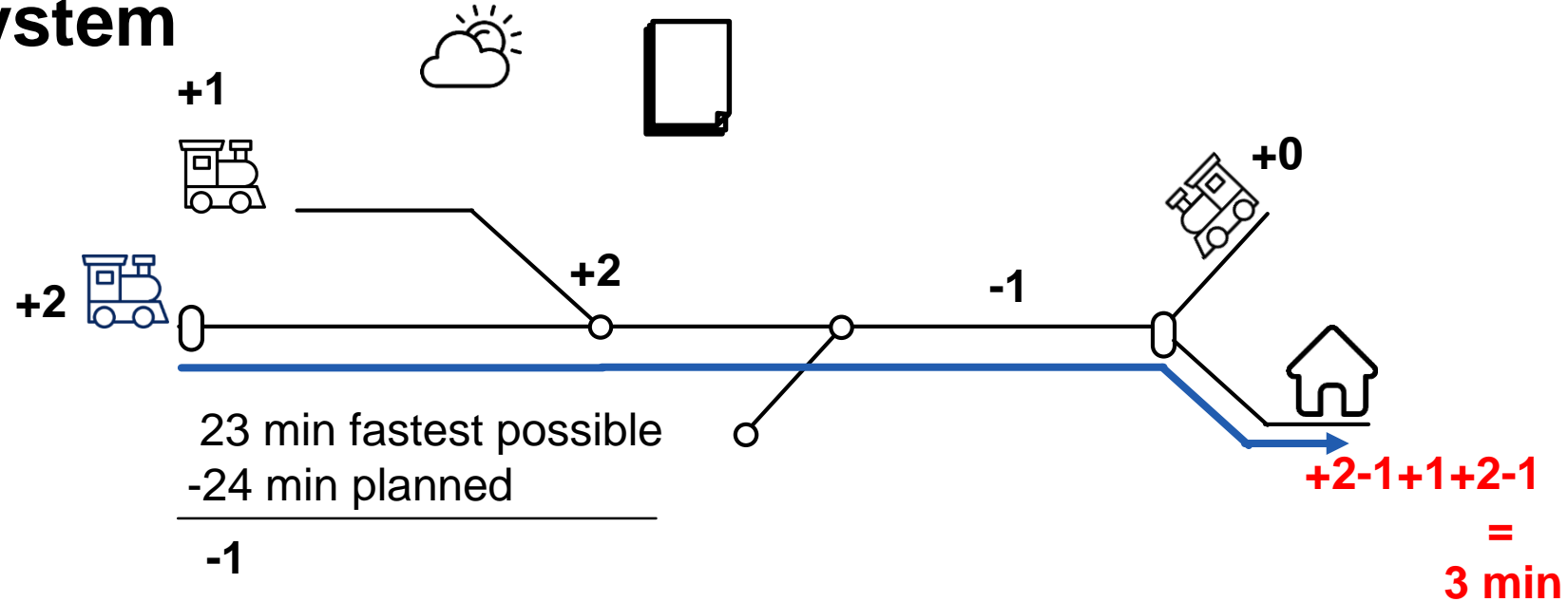
- We like predictions when they are **understandable / explainable**  
(we can forgive them because we understand why they were wrong)  
→ simple(r), or at least traceable
- We like predictions when they **know that they are wrong**  
(we know we are asking too much for them to be correct)  
→ Include uncertainty

# Current state of the art in prediction

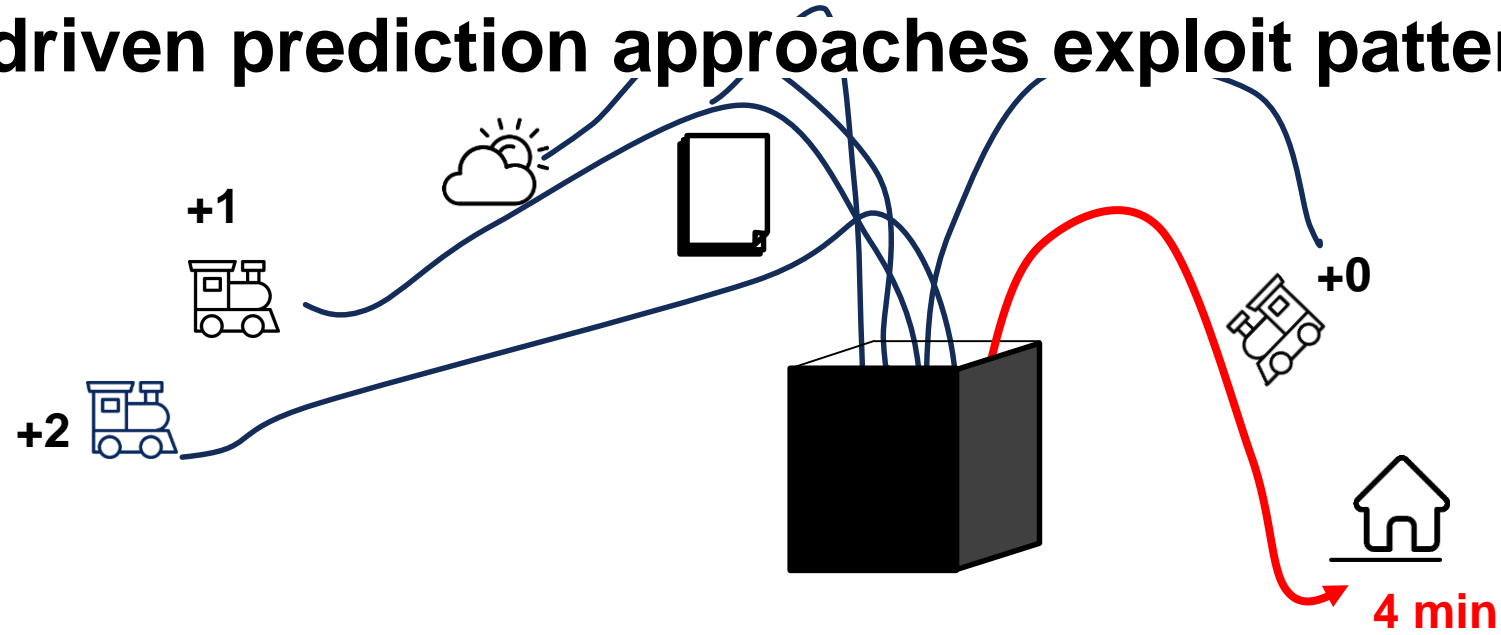


Accuracy of weather forecast 6 days in 2010, better than 2 days in 1970 [Deutscher Wetterdienst] an

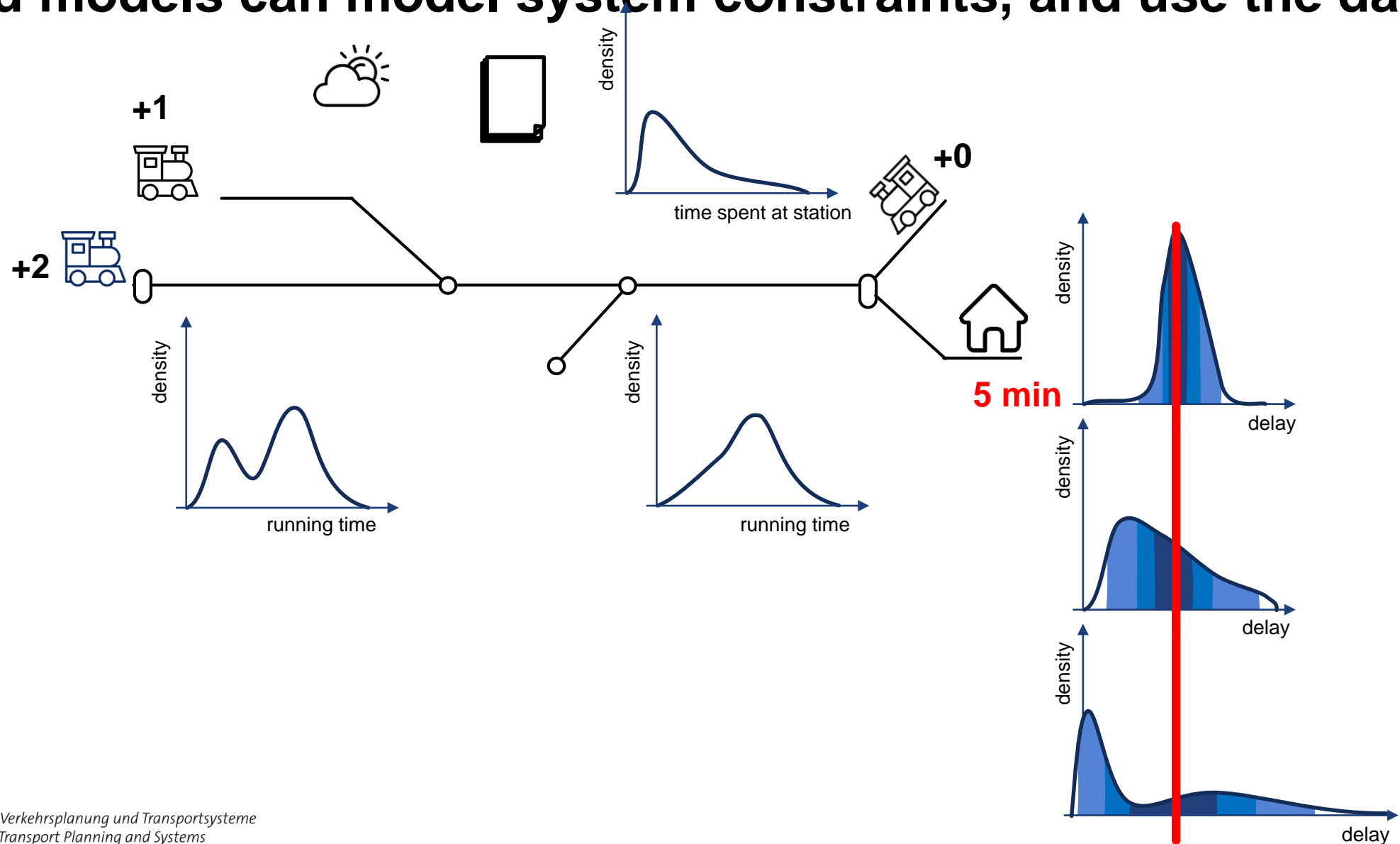
# Model-based prediction approaches represent the constraints of the system



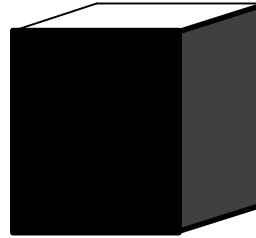
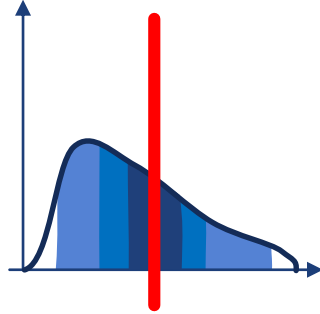
# Data-driven prediction approaches exploit patterns in the data



# Hybrid models can model system constraints, and use the data



# Our Bayesian network approach is precise, insightful, and describes its own uncertainty



$$+2-1+1+2-1$$

$$=$$

$$3 \text{ min}$$

**Bayesian networks**  
**1 min** prediction error  
 max error ~ 5 min

**Data-driven**  
**4 min** prediction error

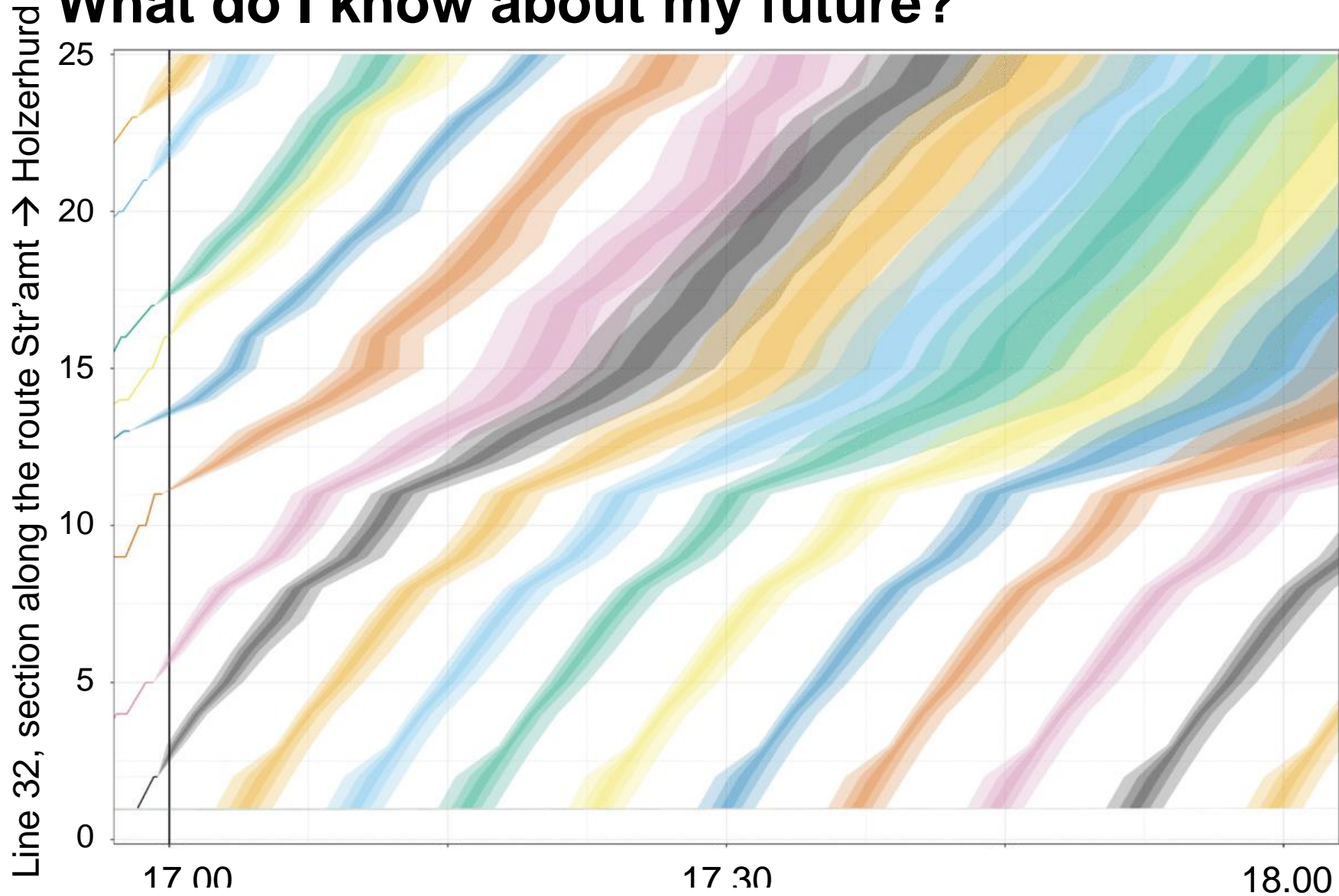
with additional predictors:  
**1.4 min** prediction error  
 max error ~ 12 min

**Model-based**  
**1.5 min** prediction error  
 max error ~ 8 min

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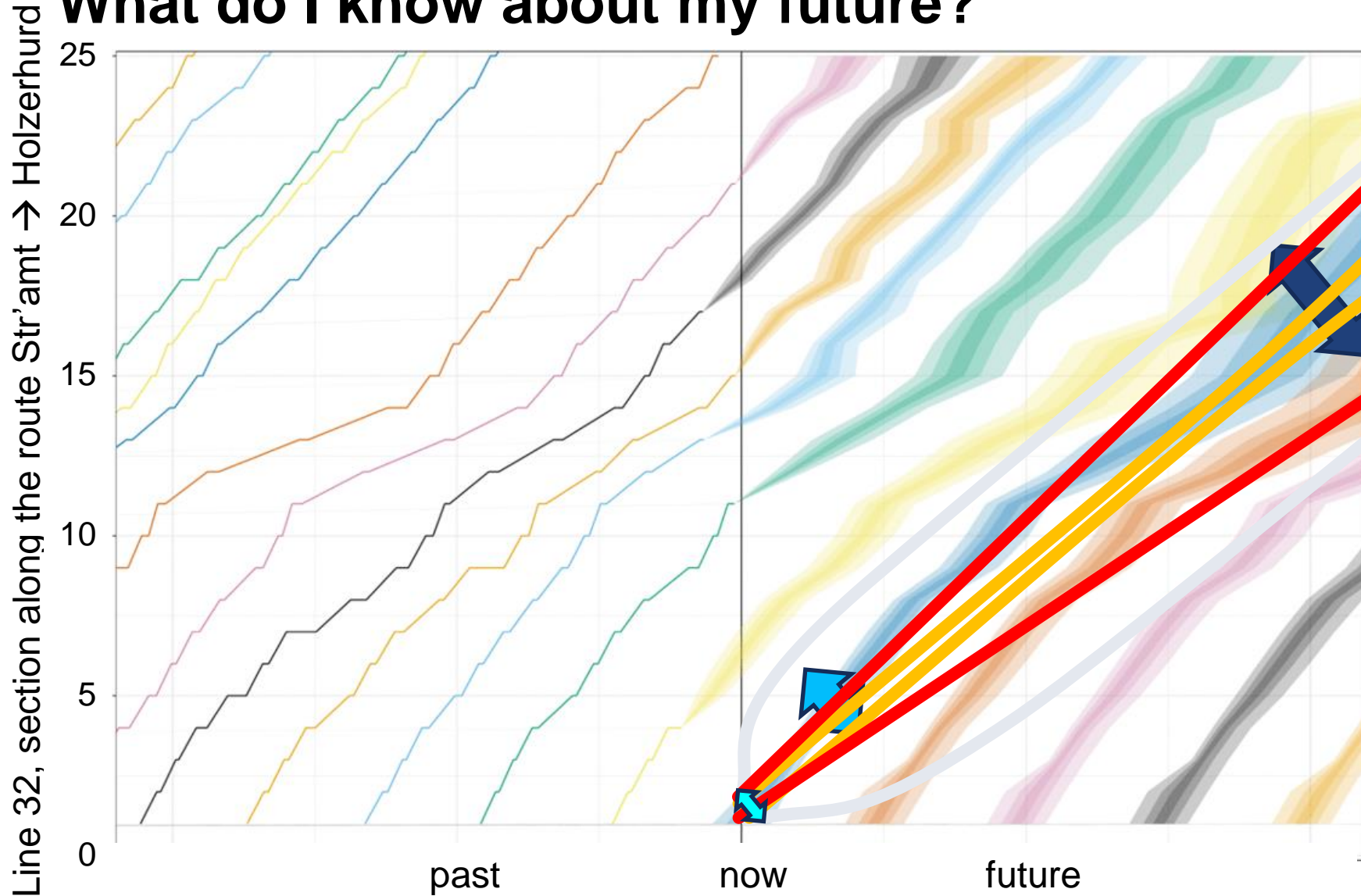
- Explainable and complex enough: Linear relations are too simple for much processes in this world. But can help understanding a lot of things
- Include uncertainty in output, and in input/training; Exploiting variation as a source of information of the hidden dynamics of your system
- Artificial intelligence / machine learning is able to determine relations in the data, we are not aware of
- Decrease of marginal effects; the wrong predictions now are rare phenomena; next big issue are badly estimated correlations and truly unpredictable things (at least, in deterministic sense)

# What do I know about my future?





# What do I know about my future?



Close events have less prediction variance than far future events

Convergence to an offline variance, and a residual variance, just before it happens

Transition between offline and residual variance can happen well ahead, later, at the last moment

Exponential decay model:  $v(T) = v_{long} - v_{short} e^{-\frac{t_{1/2}}{\ln(2)} \cdot T}$

# Prediction, and Room for Control

- Shortly ahead of actual event, very good prediction quality.  
But there is nothing left to change/ control
- Very ahead of time, very large room for control.  
But typically very bad prediction quality
- Where do we need resources to hedge against truly unpredictable things?
- Where do we need better information and know more of the system?

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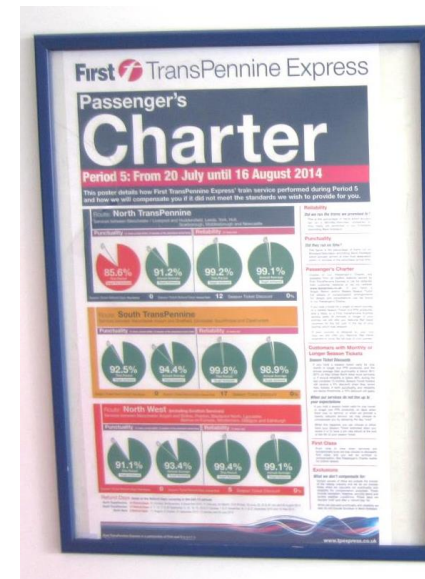
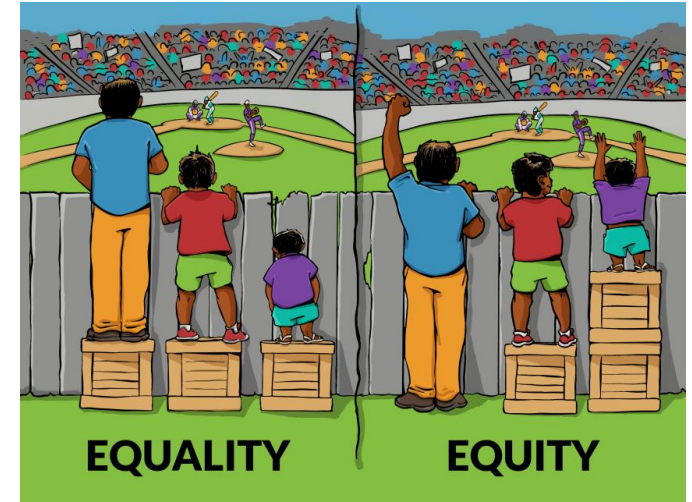
# The Goal.

*“What then is time? Provided that no one asks me, I know.  
If I want to explain it to an inquirer, I do not know” (St Augustine)*

# Conflicting objectives

A lot of competition in railway system for limited resources:

- **within service class**  
(economic competition in the market, non-discriminatory treatment)
- **across service class**  
(integration/ harmonization of regional and long distance traffic, freight traffic)
- **across service usage**  
(maintenance integration into train schedules)

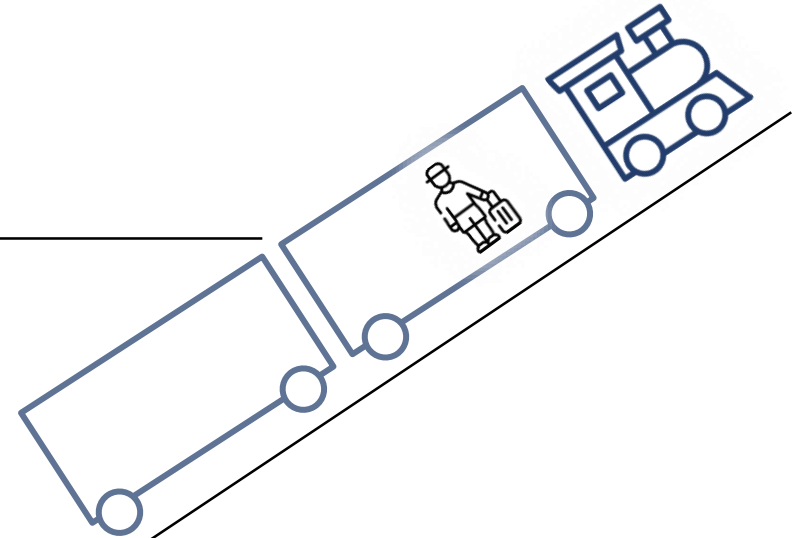
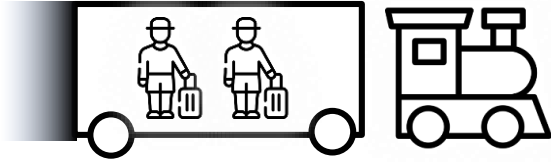


# Easy to agree goal

- Passengers (or in general, customers)



# Single objective versus multi objective: trains versus passengers



# How to (partially) solve dilemmas in multi objective

- Dominance
- Weighted sum
- Max
- Normalized
- Data Envelopment Analysis
- TOPSIS, ....
- Iterative interactive embedding of decision-maker in the process



# Needed research on multiple objective for passenger oriented control

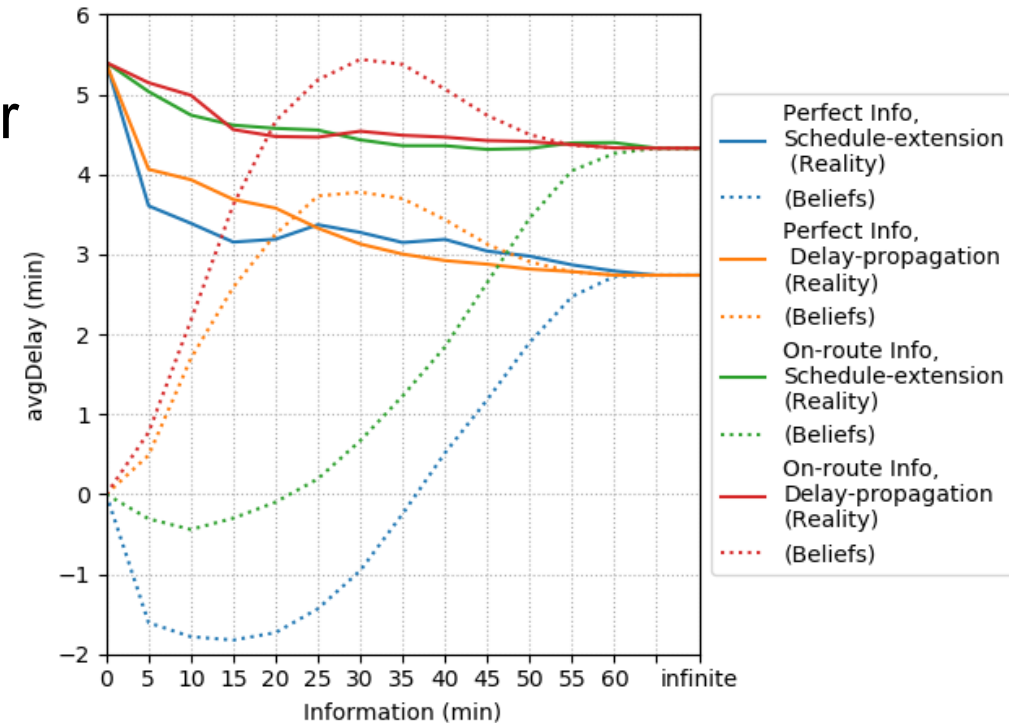
- Difference between **passenger delays** and **train delays**: more parameters required (capacity, peaks, max,...) to be evaluated together
- Reframe decisions based on simpler rules, thresholds for practical acceptability; nervousness of solutions
- **Connection management**: requires precise input and estimation of downstream effects, to avoid surprising or counteracting effects.
- Understand **sensitivity** of the results to the possible sources of error: passenger demand; second best decisions; robustness
- Understand to which extent **information** to passengers is needed to guide them (i.e. how different is from what was planned; and from what seems a “*logical reaction to the average traveler*”)

# Interactions in a complex system

- Amount of people  
     $\leftrightarrow$  Dwell time
- Impact of circulations, rolling stock rostering  
     $\leftrightarrow$  Delays
- Amount of people that can benefit from a transfer
  - $\leftrightarrow$  decision about keeping/ breaking transfer connection
  - $\leftrightarrow$  dispatching/disposition of traffic
  - $\leftrightarrow$  information dissemination
  - $\leftrightarrow$  amount of people that can benefit from a transfer

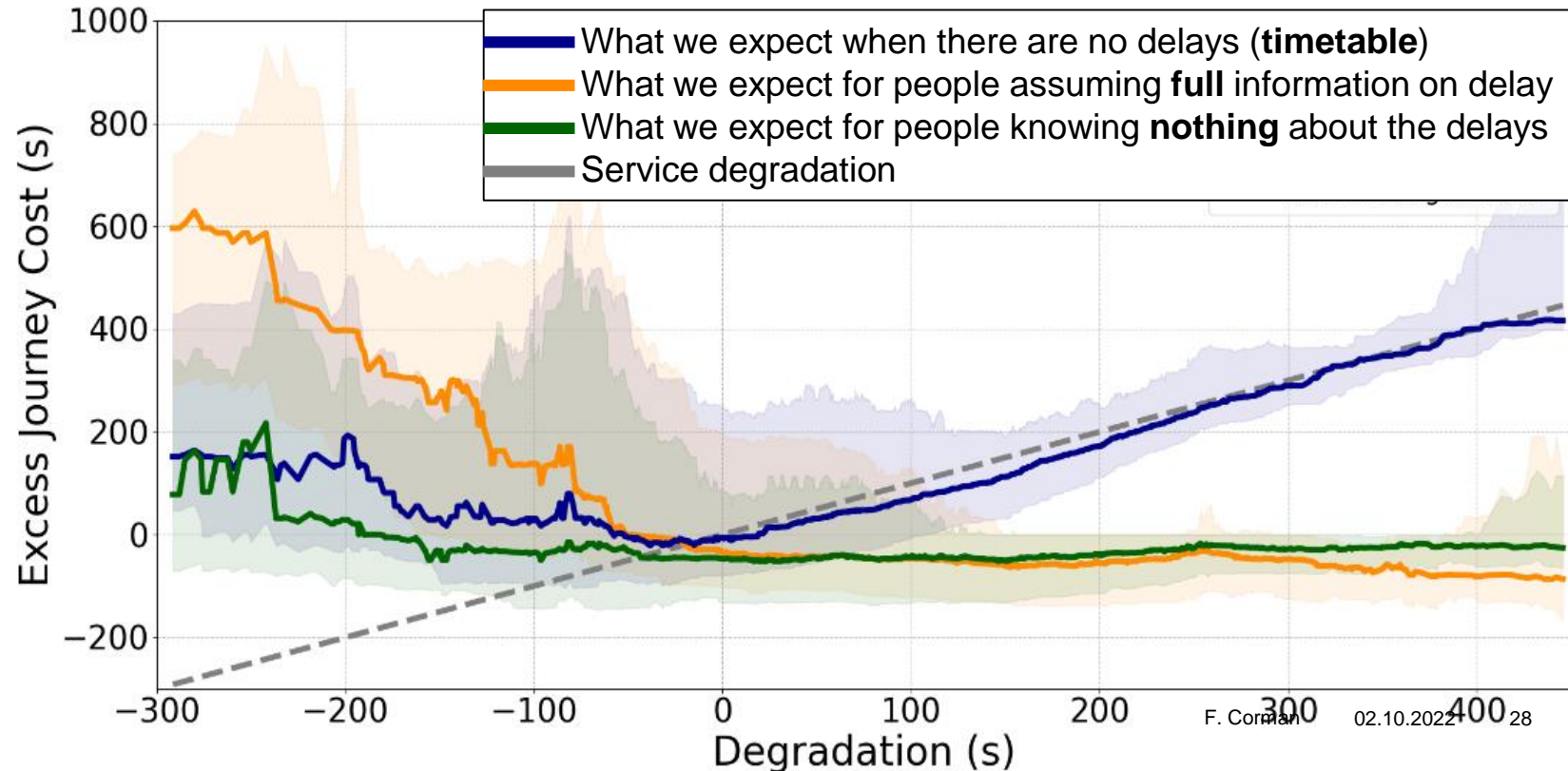
# What happens when not everybody has the right information?

- Influence of past & present (often known)
  - future reality (unknown)
  - implicit future forecast (Incorrect) of the operator
  - implicit future forecast (Incorrect) of the traveler
  - towards the actions taken in result by operator and traveler
- Assuming shortest path assignment, and perfect information might NOT have a single equilibrium point (flipping behavior)



# Understand complex effects in reality

- GPS Tracking data (~3000 trips), processed by AI methods
- For small delays, best response remains the same
- Inertia: replanning takes place after some delay
- “Good delays” are ignored



Marra A.D. (2021) Phd Thesis

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# Introduce room in your control (include space in your plan)

- If there is nothing to change, there is nothing to decide!
- Typically railway has a lot of control at any level, from planning all the way to the real-time. This results in a lot of rigidity of the system.
- Most of those boundaries are **artificial**, to simplify control.
  
- Give freedom downstream in planning!
- Example: promise what is required (e.g. start/end station, start/end time interval), but not more (route followed, precise minute of departure, platform..)
- Give freedom downstream in planning, **only if you can handle it in some smart way**

# Here I could talk about all research of the world on improved solution to complex problems

- To make sure that you can handle the flexibility you considered, when you need to!
- Operations Research, MILP, non linear optimization, RL, heuristics, ADP, data-driven optimization, ...

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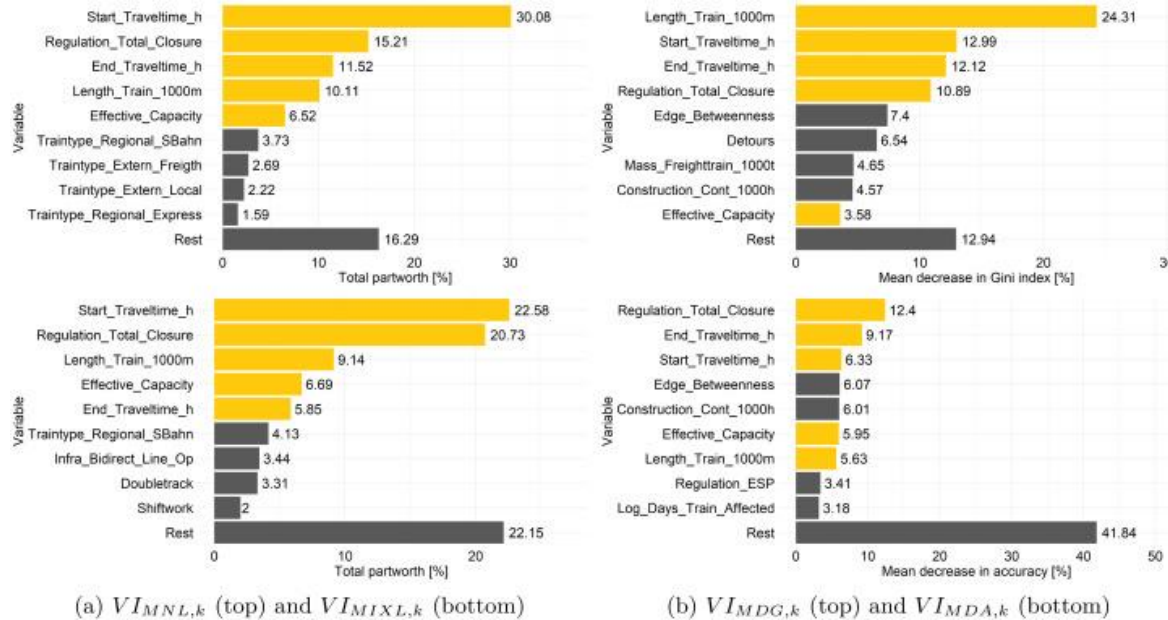


# A decision is useless if not take into account!

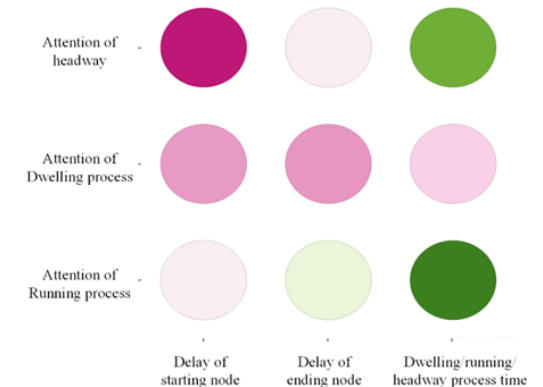
- Consider human factors when designing the control
- Separate fast simple control (possibly completely automated) from human control (control rooms, dashboard, complex tradeoffs)
- Understand what people did, and why. Otherwise they will not trust what you suggest them
- Management of the transitions – learning curve
- Control as an enabler for decision maker; not as a replacement for decision makers

# Modelling of current decisions

- Graph Attention Networks (GAT) discern the different importance of characteristics into actual decisions and or actual performance of traffic
- Discrete choice models to replicate decisions of possession planners



Spearman correlation between attention scores and train delays



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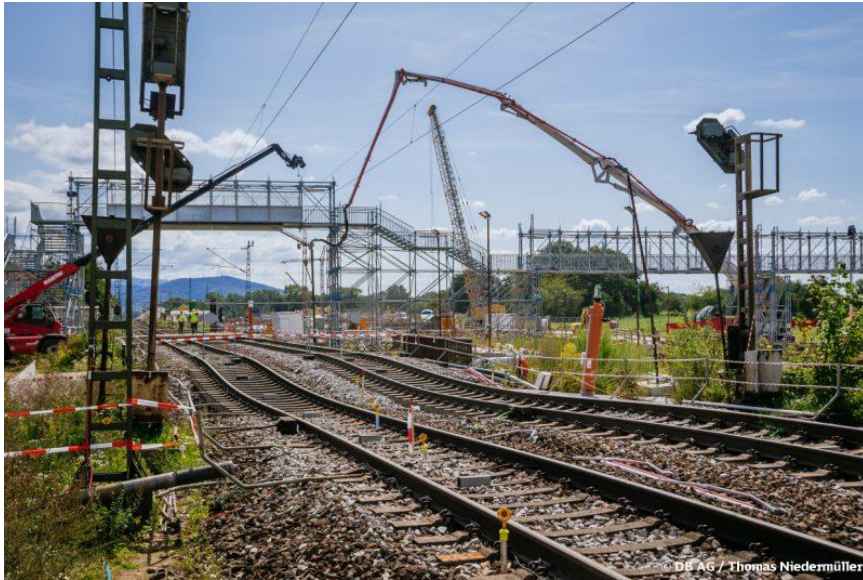
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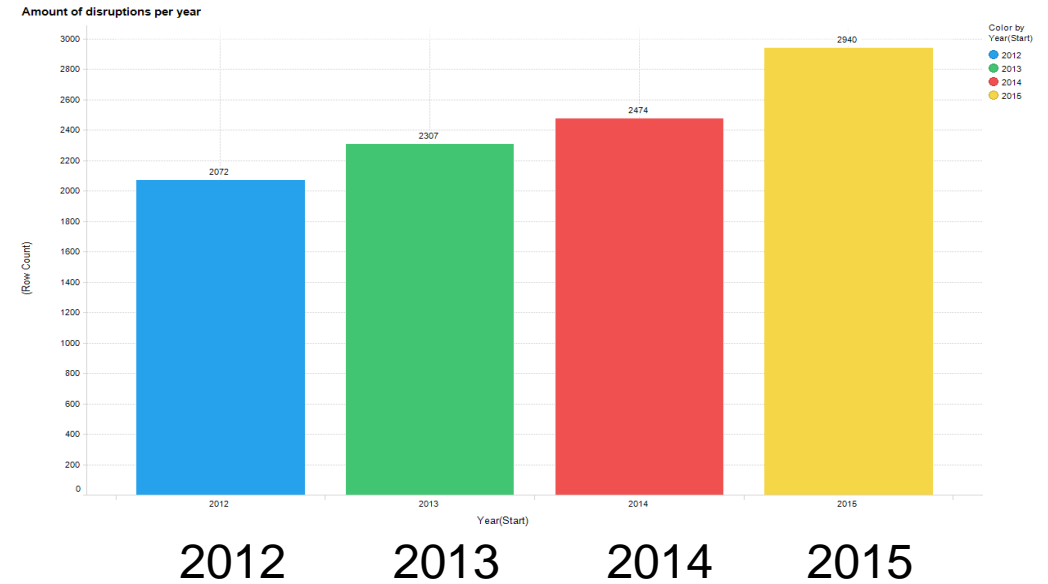
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# Disruptions can only increase! Interconnectedness can only increase! Need for decision support can only increase!



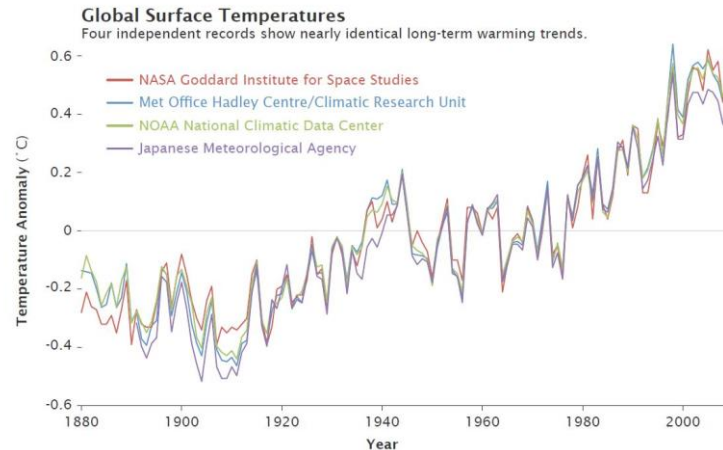
Rastatt Tunnel disruption, august-October 2017-DB AG



Amount of events classified as disruption, Dutch Network [Corman]



<https://www.euronews.com/2022/08/10/germany-drought-river-rhine-water-levels-could-fall-to-critical-low>



# Summarizing, controlling (railway)...

- ...requires to understand the most likely future. Data, and models, are useful.  
A lot of research is ongoing or available
- ...requires understanding what is a good decision, what is a worse one.  
It seems easier than it is
- ... requires to compute the action to suggest, especially when those are for complex situations or comprising multiple adjustment at the same time.  
Many ongoing challenges for academia
- ... requires to expand the scope of the problem when no useful actions can be found (room for control). Often, changes of paradigm for industry
- ... requires the decision maker to understand and accept the suggestion.  
Not always the priority of academics, often considered too little in industry



# Railway traffic control room, and room for control

## How to get the best out of your transport network?

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# Control is fun!

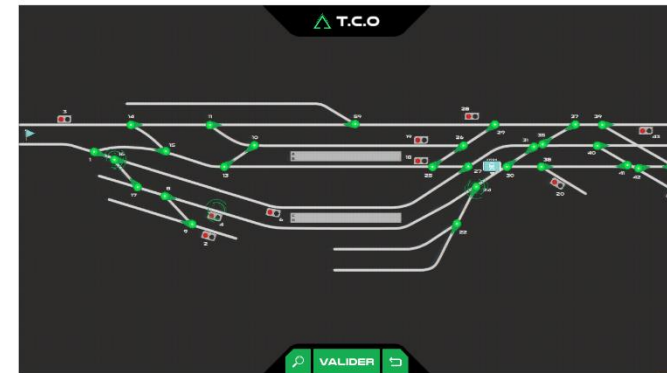
Game of trains, <http://gameoftrain.sncf-reseau.fr>

Railway Laboratory



SNCF Réseau recrute dans le métier de la Circulation  
Réalisé par Serious Factory

Game of Train



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Game of Train



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Game of Train

