

# Privacy Notice

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Fortune Financial Services, Inc. is committed to maintaining the confidentiality, integrity and security of personal information entrusted to us by our former, current, and potential financial service customers. As an integral part of our providing quality financial products and services to you, we collect certain non-public personal information about you from applications or other necessary forms you complete, such as your name, address, social security number, date of birth, assets, liabilities and income, employment status and spousal information. We do not sell this personal information to third parties, and we disclose this information only as necessary to provide our products and services to you. The confidentiality of your personal information is of the utmost importance to us. The Privacy Notice is provided solely for your information, and it is not necessary for you to take any action.

We maintain physical, electronic, and procedural safeguards that comply with federal and state regulations to secure your non-public information. Within our organization, we restrict access to nonpublic personal information about you to those employees who must know such information to provide you with the quality financial products and services you deserve. We use personal information for the purpose of offering or furnishing our services. We may also disclose all the information described above to non-affiliated third parties with whom we, or any of our operating subsidiaries, contract for services such as: third party administrators, investment companies, insurance companies, investment advisors and companies that perform marketing services on our behalf or other institutions with whom we have joint marketing agreements. All third-party service providers are required to maintain the confidentiality of your information. We may disclose your non-public personal information to self-regulatory authorities and other regulatory authorities, law enforcement or other governmental authorities as permitted or compelled to do so by law.

If your financial representative terminates his/her relationship with us and moves to another brokerage or investment advisory firm ("New Firm"), we or your financial representative may disclose your personal information to the New Firm, unless you instruct us not to. If you do not want us or your financial representative to disclose your personal information to the New Firm, and if you do not want your financial representative to retain copies of your personal information when your financial representative terminates his/her relationship with us, you may request that we and/or your financial representative limit the information that is shared with the New Firm by simply notifying us in writing. We will accept any manner of written notice (including email) as is convenient to you and will respond in writing to confirm receipt of your request.

If your primary address is in a state that requires your affirmative consent to share your personal information with the New Firm (such as California, Massachusetts, Maine, Alaska, New Mexico, North Dakota, or Vermont), then you must give your written consent before we will allow your financial representative to take any of your personal information to that New Firm.

Our privacy policies will continue to apply even after you cease to have any customer relationship with us or any of our operating subsidiaries. We employ safe and secure destruction policies when our recordkeeping requirements expire.

**We do not disclose your nonpublic personal information to third parties except as necessary to provide our products and services to you.**

You have the right to review the information that we have about you. You must make this request in writing and include your full name, address, and policy/account number. We may charge you a reasonable fee for the copies you request.

**You may contact us at:**  
Fortune Financial Services, Inc.  
3582 Brodhead Road Suite 202  
Monaca, PA. 15061  
724-846-2488

We appreciate the opportunity to be of service to you in meeting your financial needs and goals.