
Addendum to the 2023 Annual Report
Covering April 19, 2023 – December 31, 2023
Submitted: April 24, 2024



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INTRODUCTION

On March 18, 2024 IntegrAssure, the Independent Police Auditor for the City of Petaluma, presented its Annual Report to the City Council. As part of the process, comments were solicited and received from the public. As a result of those comments, the IPA is issuing this addendum to the report to in order to address a issues raised in that process and provide some additional detail to the original report.

USE OF FORCE

As noted in the Annual Report, in 2023, the officers responded to **61,753 Calls for Service**. This is an **8.4% increase** from 2022. Out of those 61,753 CFS, there were **4,803 police reports** taken by officers.

In 2023, the Department made **2,058** arrests. Arrests include felony and misdemeanor arrests and citations which are classified as arrests. This is a **6.1% increase** over 2022.

We noted in the Annual Report that in 2023, approximately 0.2% of calls for service or 119 total incidents involved an application or show of force by the involved officers. Of the 119 total incidents, 61 incidents involved an actual application as opposed to show of force. Thus, less than 0.1% of calls for service resulted in an actual application of force, with force being utilized in approximately 2.9% of arrests. While a reportable use of force (as opposed to a display of force) is force beyond mere handcuffing of a passive individual, it is notable that the level of actual force used by PPD in 2023 was minimal with no serious injuries occurring as a result of any use of force.

The charts contained in Appendix A show the different types of force used broken down by ethnicity and whether the subject of the force used was a Petaluma resident or not. The last two charts show the origin of the call in each case in which there was a display or use of force, one showing the breakdown for all incidents and one showing the breakdown for incidents in which the display or use of force was against a resident.

Of the total of 119 incidents with either a display or use of force, there were a total of 129 individuals against whom force was used or displayed. In many instances multiple types of force were used, such as a display of a firearm, followed by a control hold with a takedown. Therefore, the total of uses or displays of force in the charts below will significantly exceed 129.

DISPARITIES VERSUS BIAS

Regarding the breakdown of Uses of Force by ethnicity, it is crucial to distinguish between disparities and biases. Disparities refer to the unequal distribution of uses of force across different ethnic groups, which can be observed by comparing both inherently and by the frequency of these incidents against various benchmarks, such as the proportion of the population, rates of arrests, or levels of police contact for each group. However, the presence of such disparities does not and cannot be interrupted as indicative of bias. Bias implies a

prejudgment or unfair treatment based on race or ethnicity, which must be substantiated by evidence beyond mere statistical discrepancies.

The causes of disparities in police uses of force are likely multifaceted and complex, influenced by a range of socio-economic factors, community-police relationships, regional crime rates, and policing strategies. These factors can contribute to the observed statistical differences without necessarily reflecting any discriminatory practices or biases within the Petaluma Police Department. In order to understand any disparities fully, it would be imperative to conduct a thorough and contextual analysis of the data. Only through such detailed examination can effective strategies be formulated to address any underlying issues contributing to these disparities, whether they be systemic, procedural, or arising from broader societal challenges. It should be noted that the IPA in its 360-degree review of incidents is looking for any indications of biased policing. It has found none to date.

THE IPA 360-DEGREE REVIEW RUBRIC

The rubric used for the IPA's 360-degree review of incidents is contained in Appendix B. As noted in the Annual Report, the IPA examines not only whether the use or display of force or pursuit was within policy, but whether collateral aspects of the incident meet with operational best practice. Our review looks at pre-incident planning by officers, legal predicate for contact, de-escalation, communication with subjects, other implicated constitutional issues, tactics, officer conduct and professionalism, and officer's documentation. We also review the supervisor's investigations both in terms of completeness and conclusions. With respect to each assessment, we make relevant findings and recommendations and note any exceptional conduct. We also opine on whether anything could have been done differently to have potentially achieved a better outcome.

IPA RECOMMENDATIONS

In the Annual Report, we referred to the broad categories of recommendations that we have made in our first year of operation. As can be seen from the rubric attached as Appendix B, there are a significant number of areas which the IPA can flag as having an "issue or observation of note." This can be an area where officer performance can be improved and/or which calls for a policy, training, or equipment review. The list that follows are the detailed areas which have been discussed with command staff during our first eight months of operations. After discussion of these issues command staff crafts appropriate remediation of the issues through coaching, mentoring, and re-training and in some instances, policy revision. Significantly, these issues have often been identified by supervisors in their review process. The areas where recommendations have been made and steps taken by PPD to address the issues are:

1. Handcuff use during detention and reasonable suspicion stops and restrictions thereon.
2. Communication of arrest reasons before handcuff application.
3. Use of force protocols for missing minors.
4. Activation criteria for body-worn cameras including mandatory stand-by status.

5. Deployment procedures for spike strips.
6. Activation and operational guidelines for SAFE teams.
7. De-escalation strategies prior to force use.
8. Best practices for police pursuits.
9. Guidelines for conducting pre-textual stops.
10. Protocol for backup arrival before enforcement actions.
11. Prohibition of profanity in enforcement actions.
12. Compliance with Fourth Amendment protections.
13. Emergency equipment requirements for MRAPs.
14. Alternatives to the WRAP Restraint for leg restraint systems.
15. Transportation protocols for individuals in a WRAP Restraint.
16. Post-force application recovery positioning.
17. Use of Automatic Vehicle Location (AVL) data in pursuit evaluations.
18. Gas deployment tactics in occupied buildings.
19. Mandatory seat belt use for officers.
20. Miranda warnings prior to custodial interrogations.
21. High-risk stop tactics.
22. Use of Spanish speaking officers for more effective communication.
23. Interviewing of all witnesses in internal investigations.

CONCLUSION

This addendum supplements the IPA's first annual report. We will continue to report annually on our collaborative efforts with the City and PPD and the improvements achieved each year.

APPENDIX A – USE OF FORCE BREAKDOWN BY DEMOGRAPHICS

Chart 1: The following chart shows the demographic breakdown of the individuals who were subject to a display or use of force by ethnicity and residency

DEMOGRAPHIC OF ALL USES & DISPLAYS OF FORCE- NUMBER AND RESIDENCY

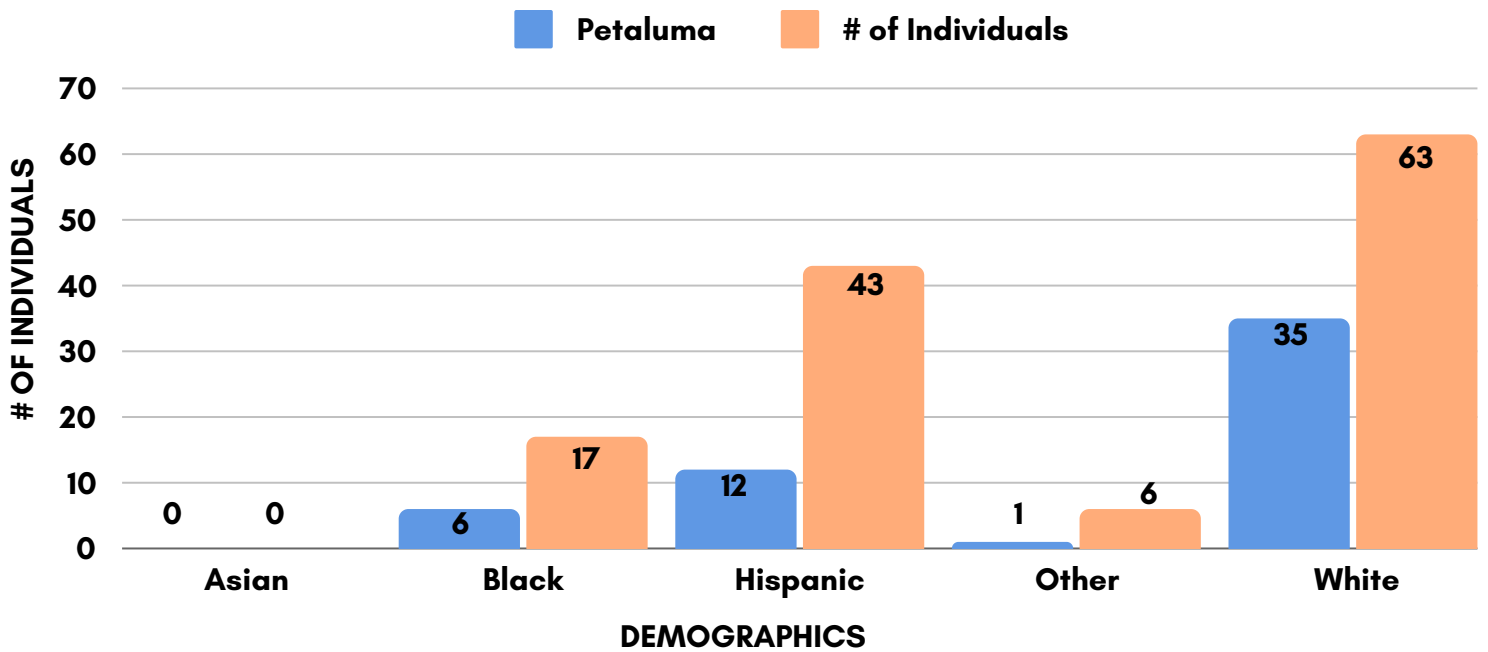


Chart 1a: The following Charts shows the demographic breakdown of the individuals who were subject to a display or use of force by ethnicity and gender

DEMOGRAPHIC OF ALL USES & DISPLAYS OF FORCE- GENDER

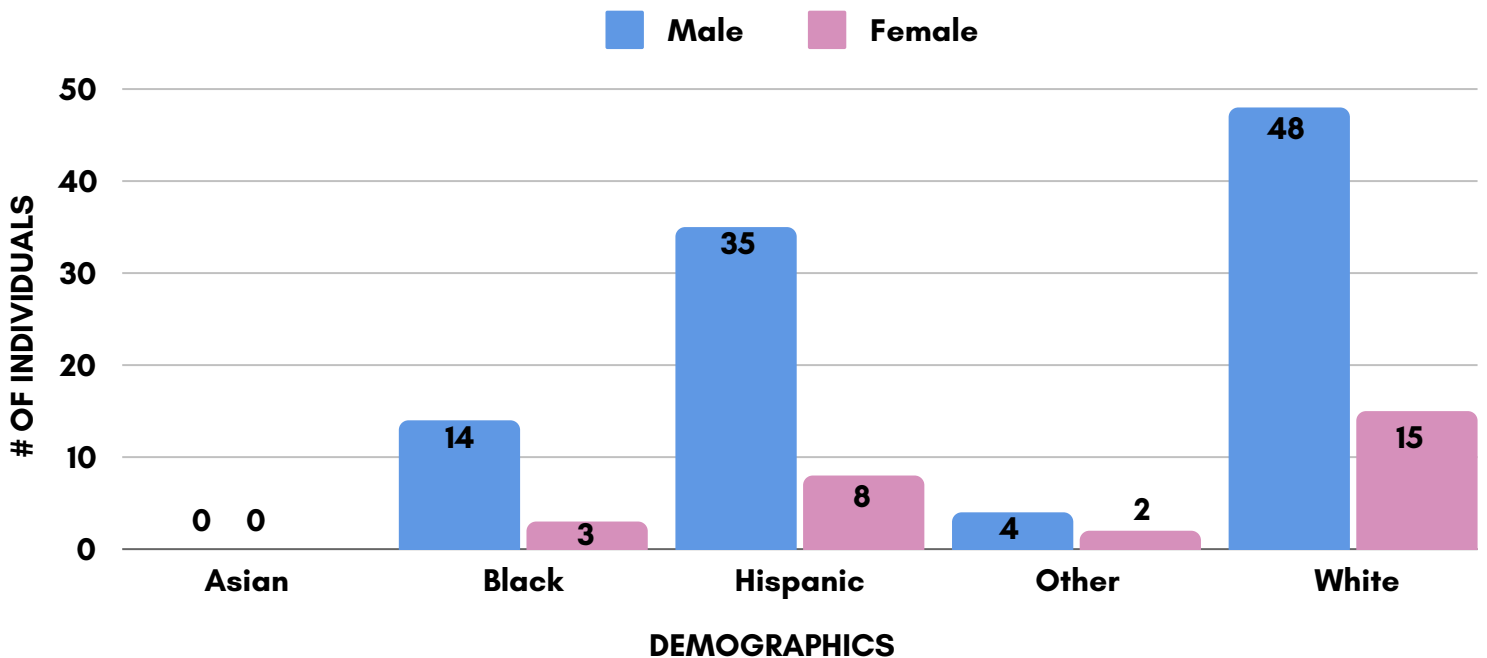


CHART 2:

DEMOGRAPHIC OF DISPLAYS OF FORCE ONLY- NUMBER AND RESIDENCY

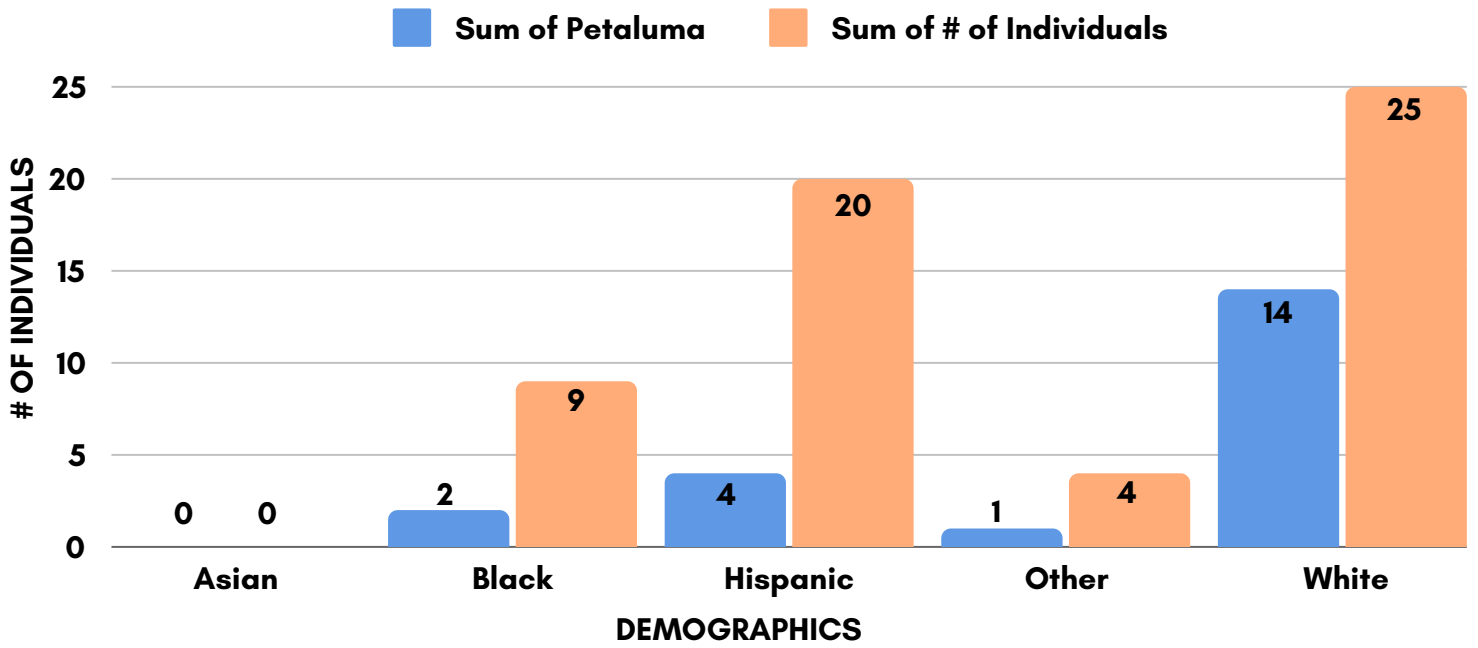


CHART 2A:

DEMOGRAPHIC OF DISPLAYS OF FORCE ONLY- GENDER

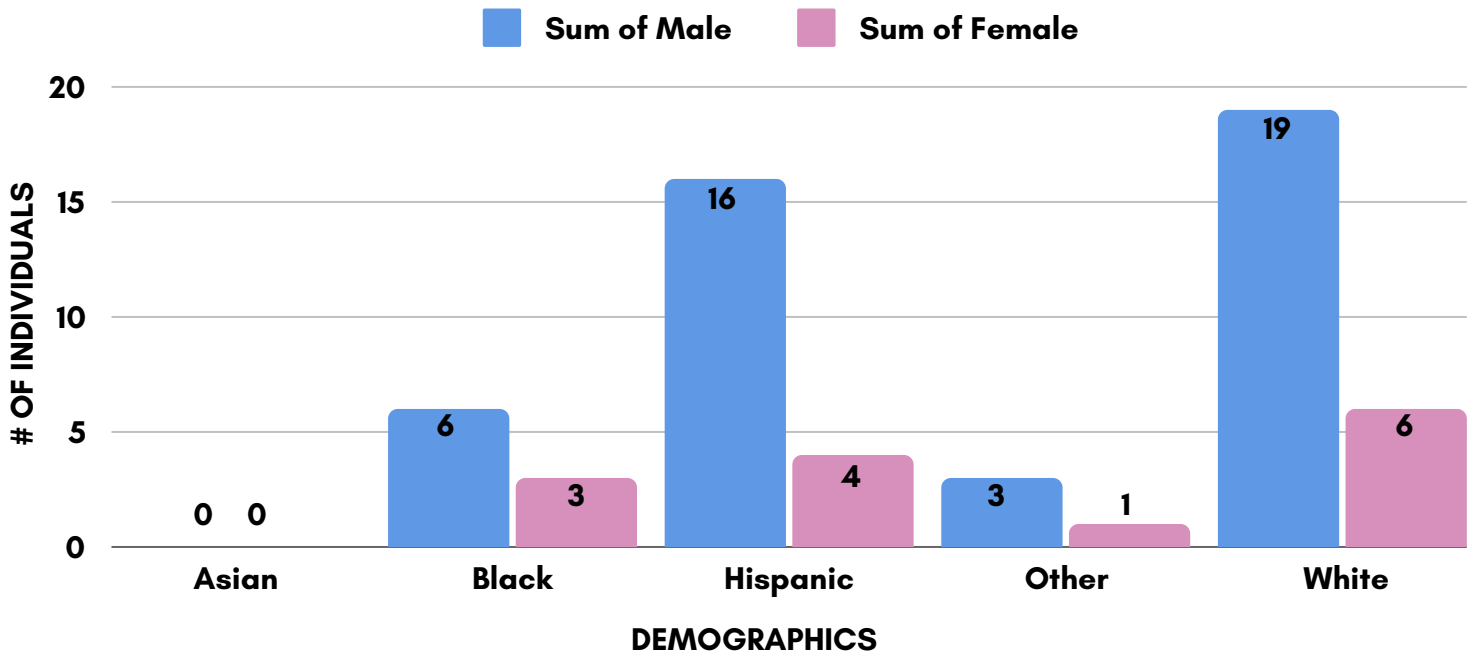


CHART 3: POLICE K-9 DEPLOYMENT

POLICE K9

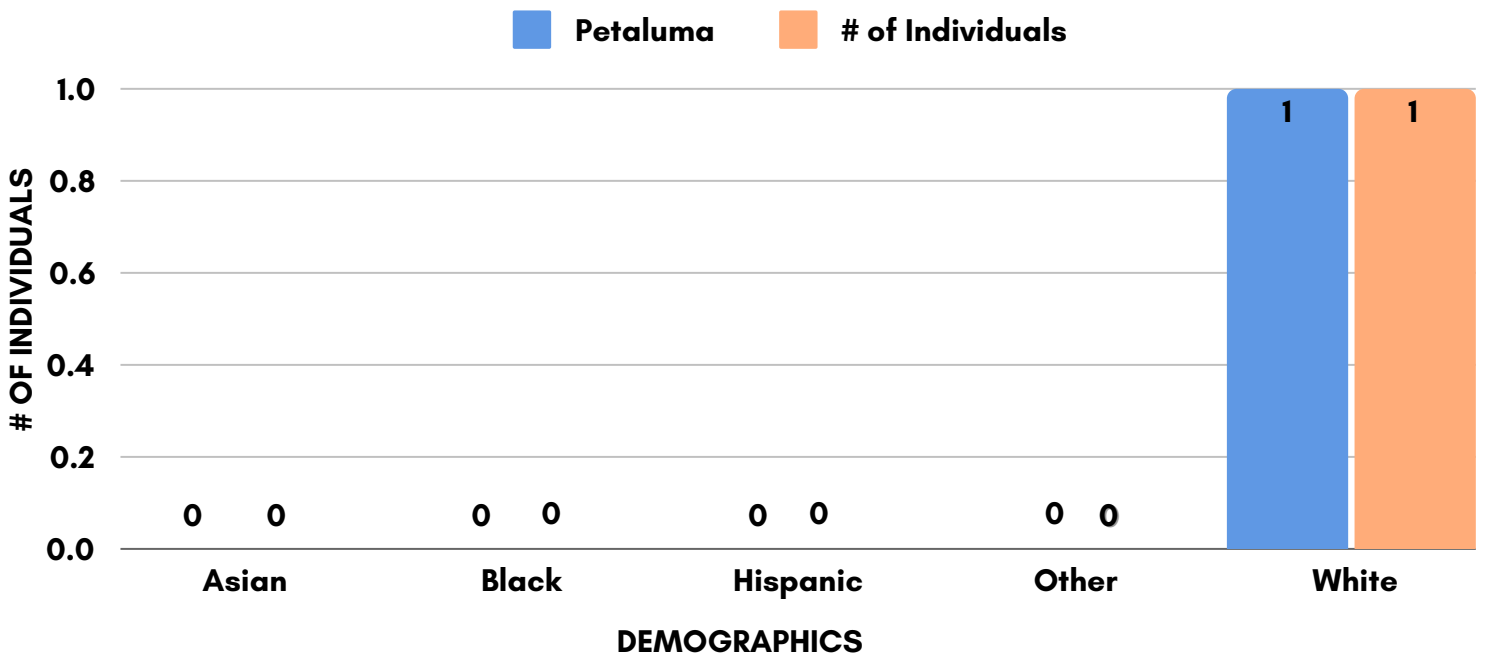


CHART 4:

TASER/CED DEPLOYMENT

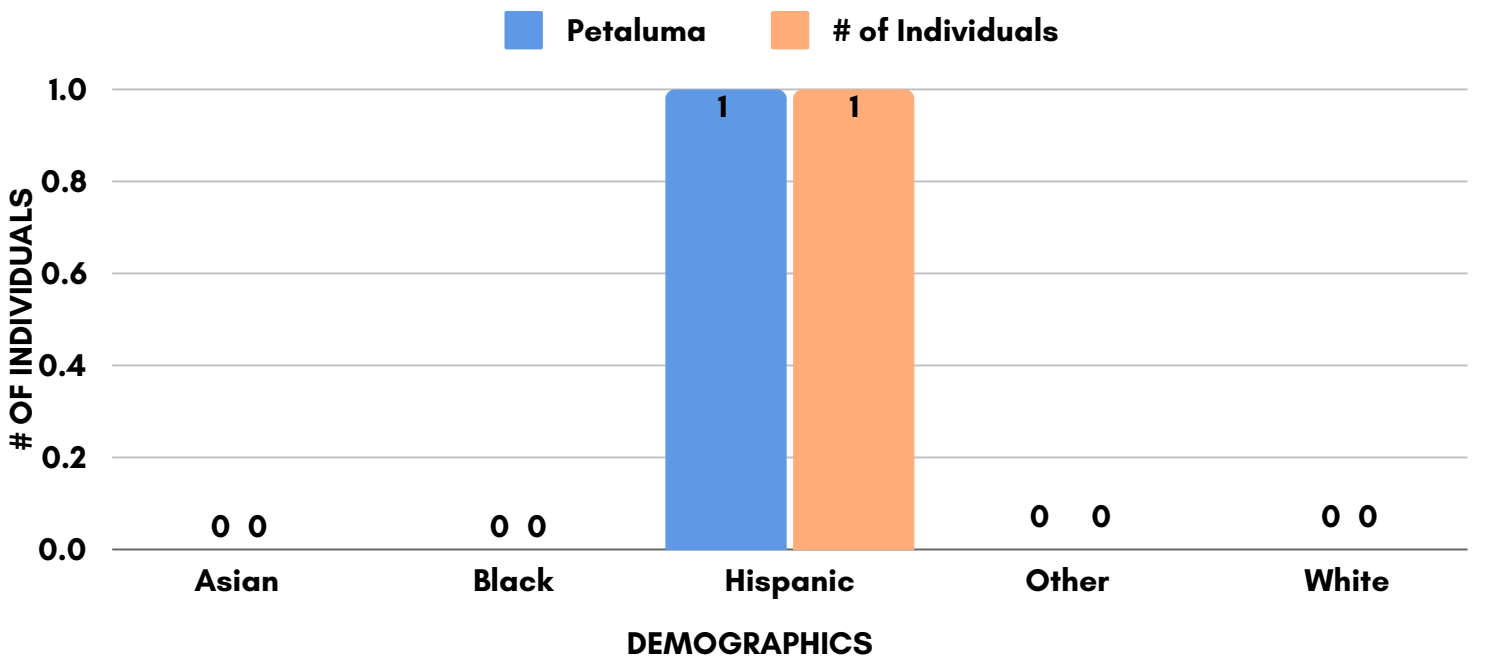


CHART 5: PERSONAL BODY WEAPON (FIST, ELBOWS, KNEES, OR FEET)

PERSONAL BODY WEAPON

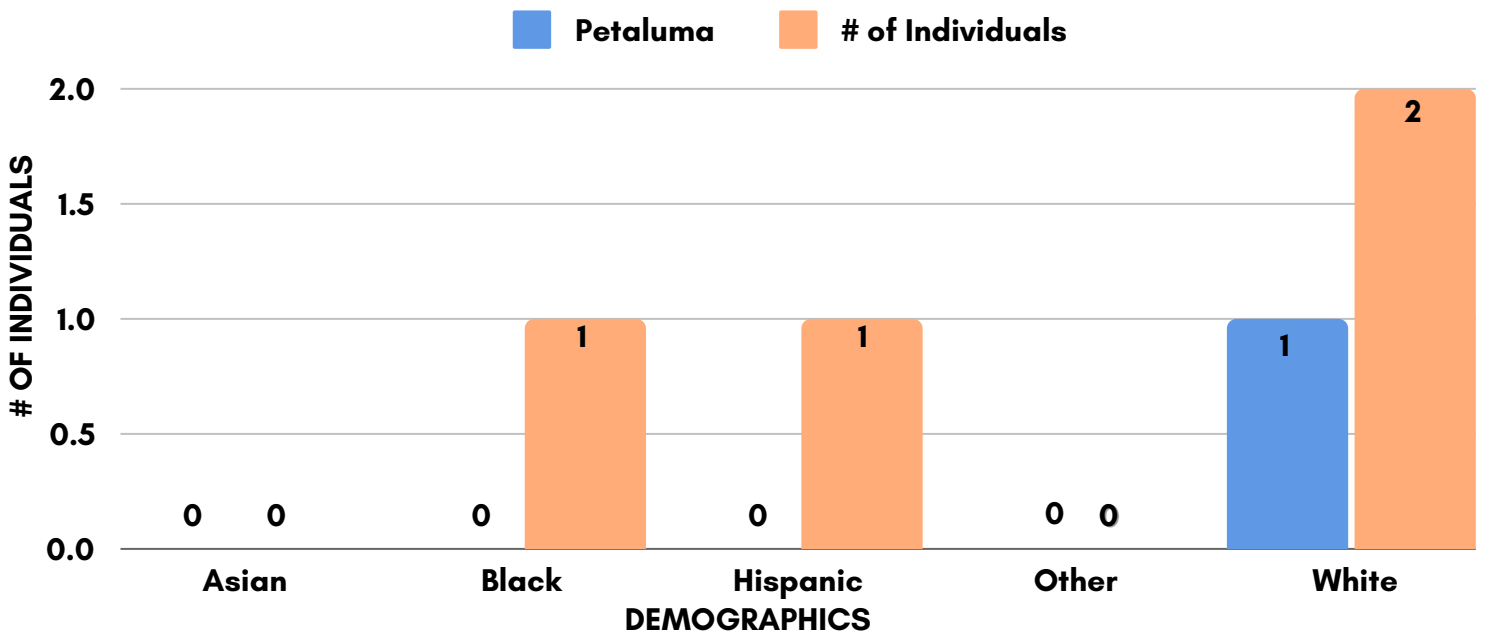


CHART 6: CONTROL HOLDS

CONTROL HOLDS

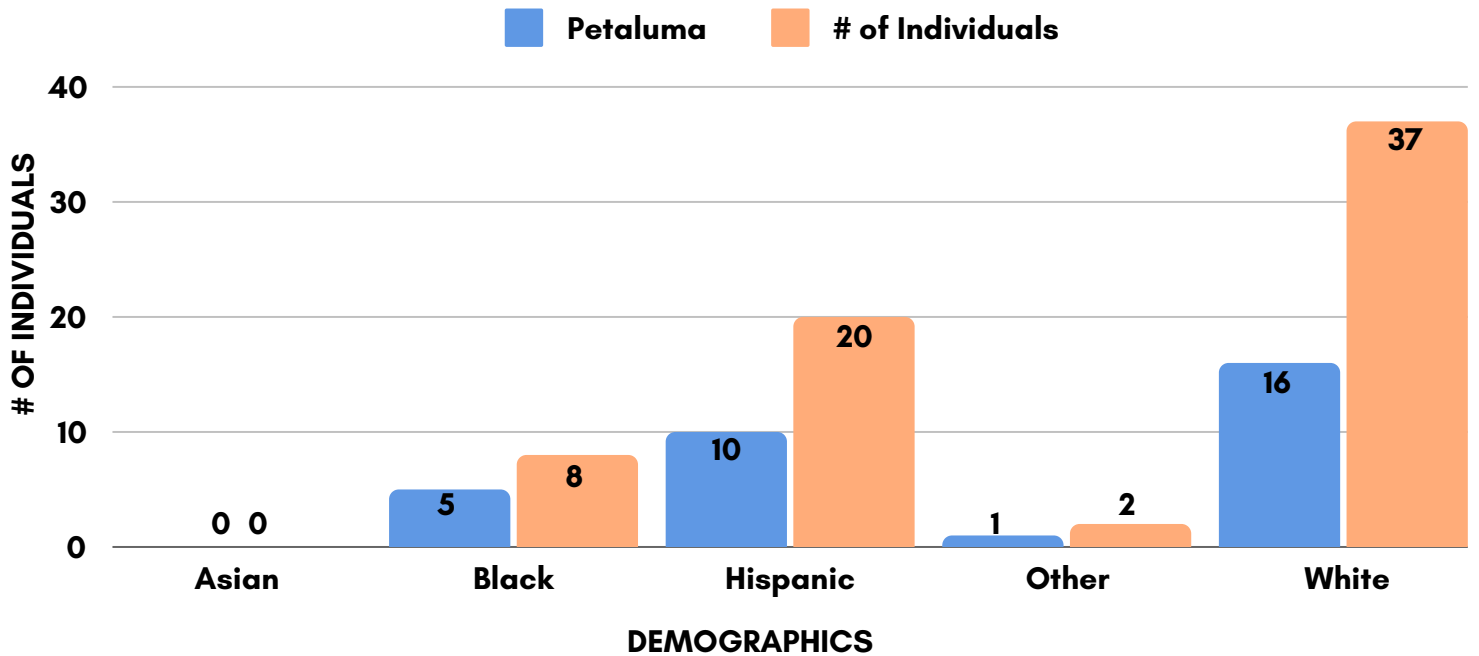


CHART 7: TAKE DOWNS

TAKEDOWNS

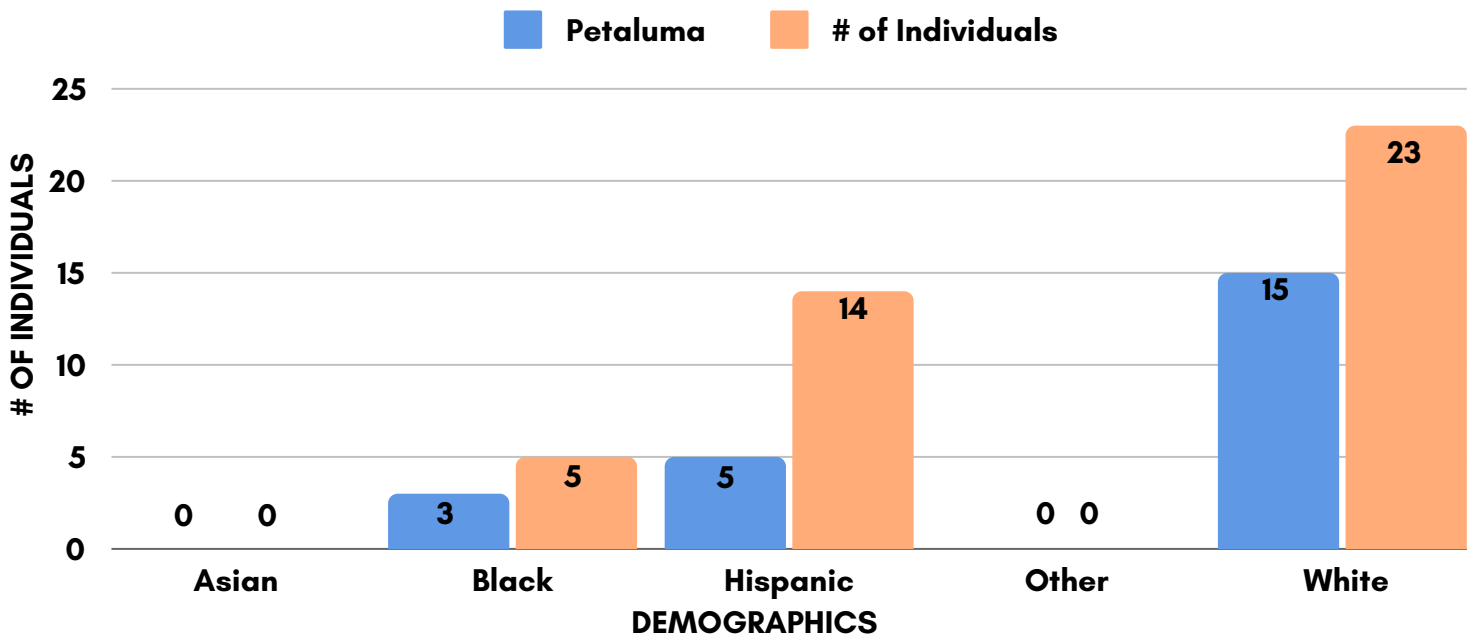


CHART 8: LEG RESTRAINTS (THE WRAP)

LEG RESTRAINTS

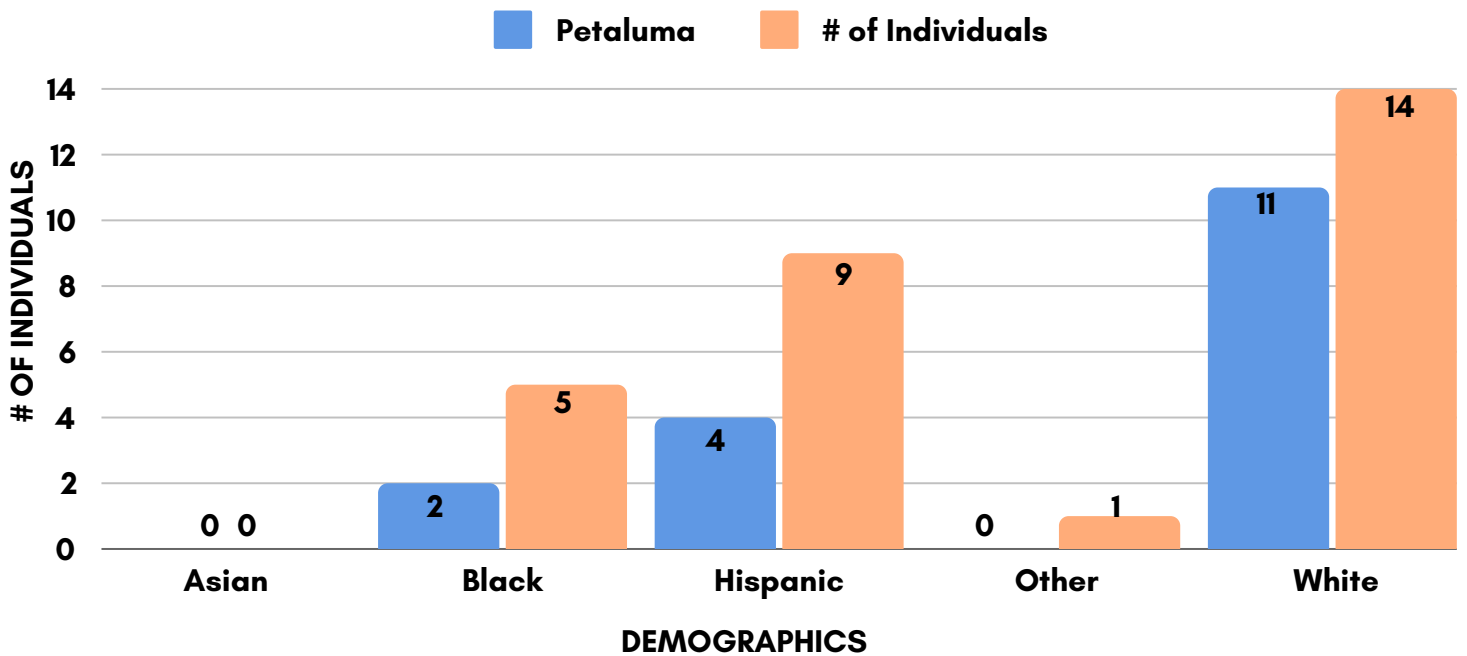


CHART 9: CHEMICAL AGENTS

CHEMICAL AGENTS

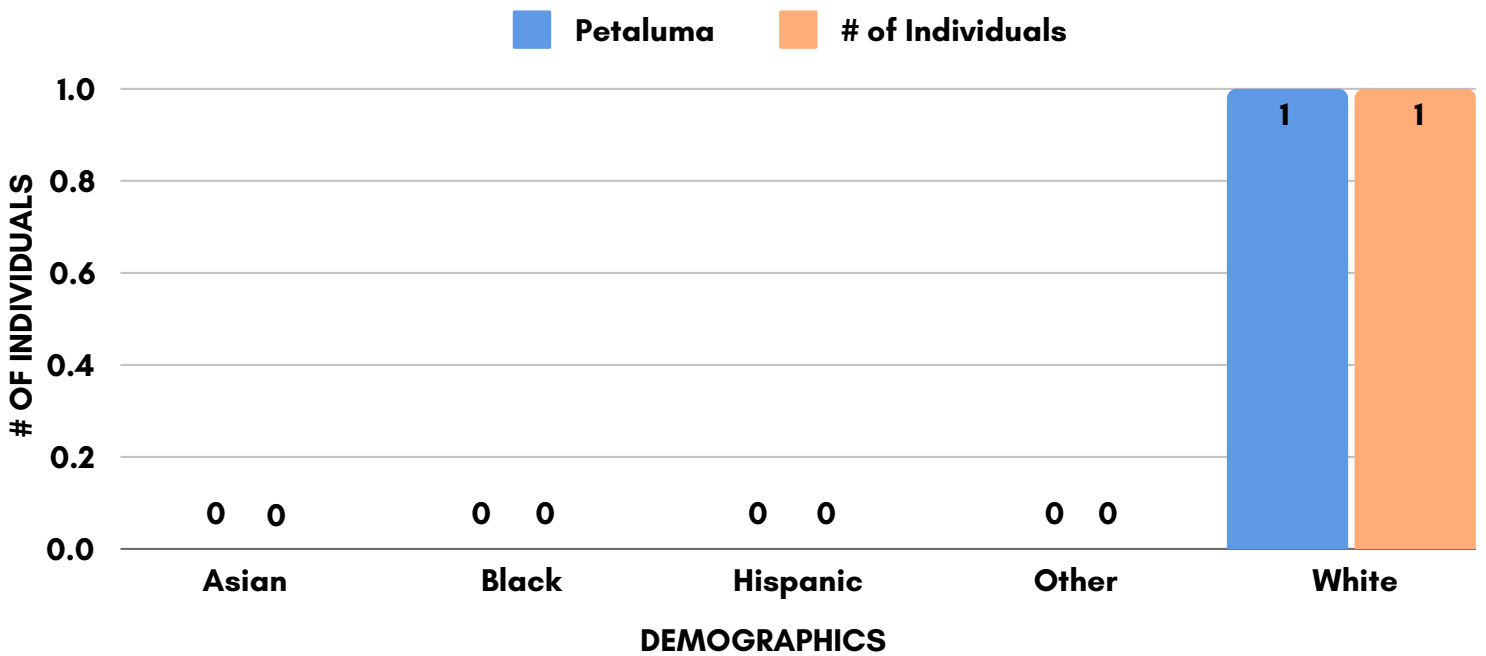


CHART 10: ORIGIN OF CALL (RESIDENT & NON RESIDENT)

Chart 10: The following Charts shows the demographic breakdown of the calls for service (officer initiated or community initiated in incidents which ultimately involved a Use of Force by ethnicity of both residents and non-residents.

ORIGIN OF CALL (RESIDENT & NON RESIDENT)

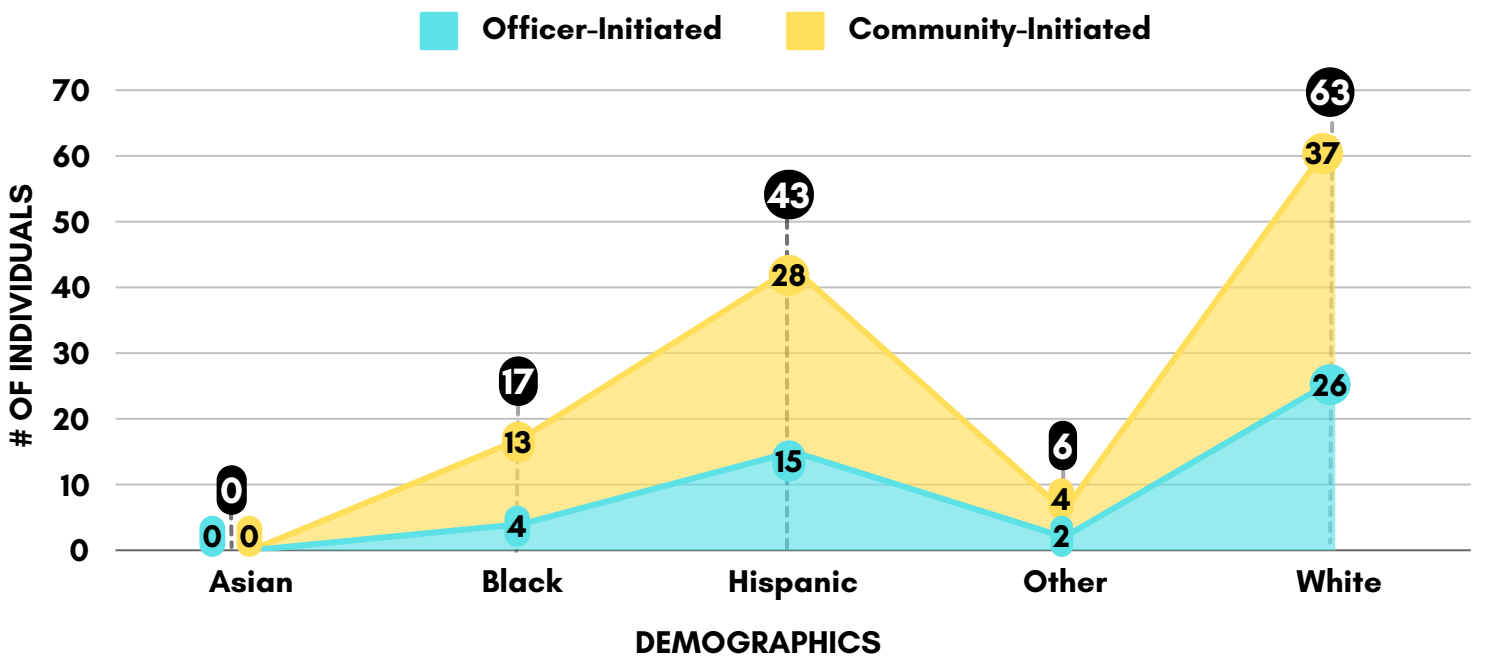
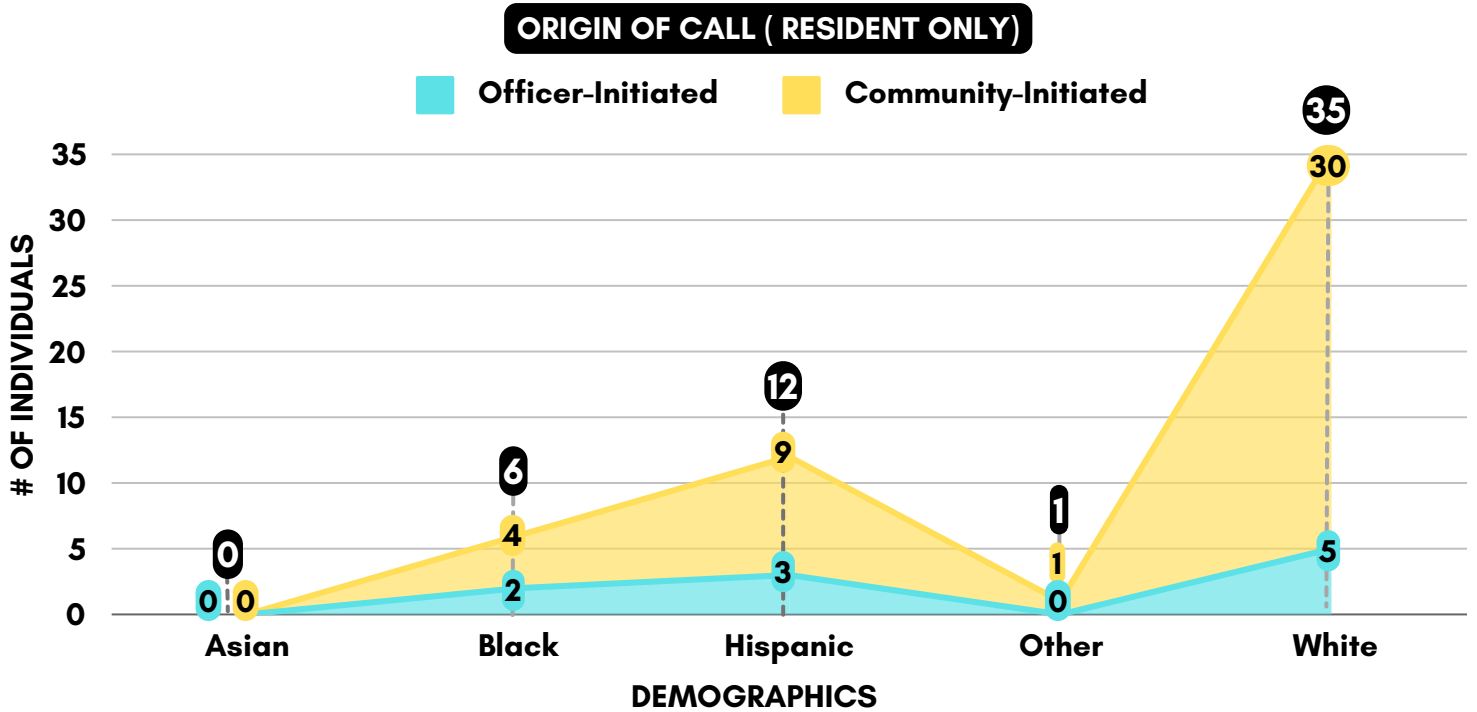


CHART 11: ORIGIN OF CALL (RESIDENT & NON RESIDENT)

Chart 10: The following Charts shows the demographic breakdown of the calls for service (officer initiated or community initiated in incidents which ultimately involved a Use of Force by ethnicity of both residents and non residents.



APPENDIX B – ASSESSMENT RUBRIC

THE INCIDENT

The following incident was reviewed by the IntegrAssure:

PPD Incident Number:	
PPD UOF Number:	
Command:	
Date of Incident:	
Time of Incident:	
Place of Incident:	
Incident Summary:	
Incident Initiated By:	

REVIEW DETAILS

The details of the review are as follows:

Date of Review:	
Reviewer(s):	
Reason For Review:	
Documents Reviewed:	
Stop/Contact Data Form Completed:	

INVOLVED OFFICER(S) AND SUBJECT(S)

The following were involved in this incident:

Involved Officer(s):	
Involved Subject(s):	

BODY WORN CAMERA ASSESSMENT

BWC was reviewed as follows: (Note: The listing of a review does not necessarily mean that the entire BWC video of that officer was reviewed.)

BWC Officers Reviewed:	
BWC Assessment:	
BWC Comment:	

IMPLICATED POLICIES

The following policies are implicated in this incident and review:

Applicable Policies Implicated:	
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PLANNING, INTERNAL COMMUNICATIONS AND DECISION-MAKING

The assessment of each of the following pre-incident (pre-UOF):

Pre-Incident Info Gathering and Planning Evaluation:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Pre-Incident Info Gathering and Planning Evaluation Comment:	

LEGAL PREDICATE FOR CONTACT WITH SUBJECT

The following is the assessment of the legal predicate for contact with the subject:

Level of Initial Contact:	
Assessment of Legal Predicate for Contact with Subject:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Legal Predicate for Contact with Subject Comment:	

COMMUNICATIONS WITH SUBJECT(S)

The following is an assessment of officer-subject communication:

Communications Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Communications Assessment Comment:	

DE-ESCALATION

The following is an assessment of de-escalation techniques utilized by officers:

De-escalation and Alternative Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
De-escalation and Alternative Comment:	

USE OF FORCE

The following areas involving any uses or displays of force were assessed as follows:

UOF Employed:	
UOF Other (if checked):	
UOF Description:	
Legal Justification of Use of Force:	
Legal Justification of Use of Force Comment:	
Duty to Intervene Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Duty to Intervene Comment:	
Medical Response Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Medical Response Comment:	
Relief Protocols Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Relief Protocols Comment:	

PURSUIITS

The following relates to the assessment of the pursuit:

Pursuit Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Pursuit Comment:	

COMPLAINT INVESTIGATION

The following areas are relative to the assessment of the complaint investigation:

Complaint ID:	
Complaint Date:	
Intake Method:	
Complainant Info:	
Complaint Investigation to IntegrAssure:	
Time from Receipt to IntegrAssure Review:	
Complaint Timeliness:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Timeliness Comment:	
Investigative Issues:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Investigative Issue Comments:	
Evidence Collection and Review:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Evidence Collection Comment:	
Other Investigative Issues:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION

Other Investigative Issues Comment:	
Report Issues:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Report Issues Comment:	
Preliminary Resolution of Complaint:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Preliminary Resolution Comment:	

CONSTITUTIONAL RIGHTS

The following assessment relates to whether there were any potential constitutional rights violations by the involved officers:

Constitutional Rights Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Constitutional Rights Comment:	

PROFESSIONALISM

The following assessment relates to the professionalism of the involved officers:

Professionalism Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Professionalism Comment:	

TACTICS

The following assessment relates to an assessment of tactics of involved officers:

Tactical Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Tactical Comment:	

EQUIPMENT ISSUES

The following relates to any equipment issues noted in the assessment of this incident:

Equipment Issue Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION	<input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Equipment Issues Comment:		

OFFICER(S) DOCUMENTATION

The following relates to any documentation issues noted by involved officers:

Assessment of Officer(s) Documentation:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION	<input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Assessment of Officer(s) Documentation Comment:		

POLICY AND RELATED TRAINING IMPLICATIONS

The following relates to any need for policy and related training review as brought to light by this incident:

Policy Issue(s) Assessment:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION	<input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Policy Issue(s) Comment:		

SUPERVISORY REVIEW

The following areas involving the supervisory review of any uses of force were assessed as follows:

Overall Assessment of Supervisory Review:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION	<input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Assessment of Supervisory Comment:		

CONTINUOUS IMPROVEMENT AFTER ACTION REVIEW

The following is our assessment of whether a different approach could have potentially and reasonably yielded a better outcome:

Different Approach/Better Outcome:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Different Approach/Better Outcome Comment:	

SUMMARY OF ASSESSMENT ISSUES FOR DISCUSSION AND RECOMMENDATIONS

The following section summarizes our assessment of this incident.

Summary Assessment and Observations and Issues:	<input type="radio"/> ISSUE OR NOTABLE OBSERVATION <input type="radio"/> NO ISSUE OR NOTABLE OBSERVATION
Recommendations Comment:	