

**CITY OF PETALUMA
PETALUMA, CALIFORNIA**

**CONTRACT DOCUMENTS FOR
TRANSIT CENTER PARKING & ADA
IMPROVEMENTS**

CITY PROJECT NO. C65102009

(Notice Inviting Bids, Instructions to Bidders, Bid Forms, General Conditions,
Special Provisions, Federal Certifications, Federal Clauses, Technical
Specifications, Construction Agreement,
Bond Forms, Project Drawings)

CITY OF PETALUMA - SONOMA COUNTY - CALIFORNIA

Questions concerning interpretation of improvement plans, special provisions,
contract documents and bid items shall be directed to:

*Department of Public Works and Utilities
202 N. McDowell Boulevard
Petaluma, CA. 94954
Phone: (707) 778-4546 Fax: (707) 778-4508*

Attention: Jonathan Sanglerat

Office Hours: Monday thru Thursday - 8:00 to 5:00 p.m.

Bid Opening: March 11, 2020 at 2:00 p.m.

CITY OF PETALUMA
PETALUMA, CALIFORNIA

**TRANSIT CENTER PARKING & ADA
IMPROVEMENTS**
CITY PROJECT NO. C65102009



CITY OF PETALUMA - SONOMA COUNTY - CALIFORNIA

Prepared by: Jonathan Sanglerat




Kenneth E. Eichstaedt, P.E. #C42058

2/20/20
Date

TABLE OF CONTENTS

SECTION	DESCRIPTION
	Notice Inviting Bids Instruction to Bidders
I.	BID FORMS (To Be Submitted With Bids) Non-Collusion Affidavit Bid Proposal Certificate (if Corporation/if Partnership/if Joint Venture) Proposal Bid Schedule List of Subcontractors List of Materials, Suppliers and Material Guarantee Questionnaire and Financial Assurance Statement Form Statement of Qualifications Site Visit Affidavit Bid Bond
II.	FEDERAL CERTIFICATIONS (To Be Submitted With Bids) Certification and Restrictions on Lobbying Buy America Certification Government-Wide Debarment and Suspension (Non-Procurement)
III.	FEDERAL CLAUSES Fly America Requirements Buy America Requirements Cargo Preference Seismic Safety Energy Conservation Clean Water Lobbying Access to Records and Reports Federal Changes Bonding Requirements Clean Air Recycled Products Davis-Bacon and Copeland Anti-Kickback Acts Contract Work Hours & Safety Standards Act No Government Obligation to Third Parties Program Fraud and False or Fraudulent Statements or Related Acts Termination Government-Wide Debarment and Suspension (Non-procurement) Contracts Involving Federal Privacy Act Requirements Civil Rights Requirements Breaches and Dispute Resolution

Disadvantaged Business Enterprise
Prompt Payment
Incorporation of Federal Transit Administration (FTA) Terms
Full and Open Competition
Prohibition Against Exclusionary or Discriminatory Specifications
Conformance with ITS National Architecture
Access Requirements for Persons with Disabilities
Notification of Federal Participation
Interest of Members or Delegates to Congress
Other Contract Requirements
Compliance with Federal Regulations
Real Property
Access to Services for Persons with Limited English Proficiency
Environmental Justice
Environmental Protections
Geographic Information and Related Spatial Data
Geographic Preference
Federal Single Audit Requirements
Veterans Preference
Safe Operation of Motor Vehicles
Catalog of Federal Domestic Assistance (CFDA) Identification Number
The CFDA Number for the Federal Transit Administration
Organizational Conflicts of Interest

IV. GENERAL CONDITIONS

Article 1: Definitions
Article 2: Preliminary Matters
Article 3: Intent and Use of Contract Documents
Article 4: Site of the Work
Article 5: Bonds and Insurance
Article 6: Contractor's Responsibilities
Article 7: Other Work
Article 8: City's Responsibilities
Article 9: Engineer's Status During Construction
Article 10: Changes in the Work
Article 11: Change of Contract Price
Article 12: Change of Contract Times
Article 13: Inspections and Tests; Correction, Removal or Acceptance of Defective Work
Article 14: Payments to Contractor and Completion
Article 15: Suspension of Work and Termination
Article 16: General Terms
Article 17: California State Requirements

V. SPECIAL PROVISIONS

3-1 Description of Work

- 3-2 Order of Precedence of Contract Documents
- 3-3 Cooperation
- 3-4 Obstructions
- 3-5 Order of Work
- 3-6 Project and Construction Area Signs
- 3-7 Maintaining Traffic
- 3-8 Traffic Control System for Lane Closure
- 3-9 Watering
- 3-10 Progress Schedule
- 3-11 Superintendence
- 3-12 Safety Requirements
- 3-13 Project Appearance
- 3-14 Responsibility for Damage
- 3-15 Guarantee of Work
- 3-16 Notice to Proceed, Beginning of Work, Contract Time, Time of Completion, and Liquidated Damages
- 3-17 Hours of Work
- 3-18 Construction Drawings and Record (“As-Built”) Drawings
- 3-19 Notice of Potential Claim
- 3-20 Payment for Materials on Hand
- 3-21 Access to Driveways

V. SPECIAL PROVISIONS (Continued)

- 3-22 Archaeological Monitoring
- 3-23 Storm Water Management, and Sediment and Erosion Control
- 3-24 Item Increases and Decreases
- 3-25 Existing Water Valves, Monuments and Manholes
- 3-26 Wage Rates
- 3-27 Staging Area

VI. TECHNICAL SPECIFICATIONS

Section Description

- 30 Mobilization/Demobilization
- 80 Earthwork
- 120 Signs and Striping
- 180 Final Cleanup
- 190 Preservation of Property
- 200 Handrails
- 210 Fencing
- 220 Aggregate Base
- 310 Asphalt Concrete
- 400 Minor Concrete
- 710 Storm Drain

VII. CONSTRUCTION AGREEMENT

Agreement

Faithful Performance Bond

Labor and Materials Bond

Maintenance Bond

VIII. PROJECT PLANS

VIV. SELECTED CITY STANDARDS

NOTICE INVITING BIDS

1. **RECEIPT OF BIDS:** Sealed Bids will be received at the office of the City Clerk of the City of Petaluma located at 11 English Street, Room 4, Petaluma, California, 94952-2610, until 2:00 PM (enter time) on March 11, 2020, for the Transit Center Parking & ADA Improvements Project (C65102009). Any Bids received after the specified time and date will not be considered. Fax and other electronically transmitted Bids will not be accepted.
2. **OPENING OF BIDS:** The Bids will be publicly opened and read at 2:00 PM (enter time) on March 11, 2020 at the above-mentioned office of the CITY. The CITY reserves the right to postpone the date and time for opening of Bids at any time prior to the aforesaid date and time.
3. **COMPLETION OF WORK:** The WORK must be completed within 40 working days after the commencement date stated in the Notice to Proceed.
4. **DESCRIPTION OF WORK:** The WORK includes demolition, site grading and construction for ADA compliant access to the Petaluma Transit Center. Project improvements include an ADA van parking space, curb ramp, access ramp, walkway and stairs, sidewalk, curb and gutters, retaining wall, site fence and gate, drain box and drain pipes, striping, and signage. These improvements will help to provide safe and convenient access with increased security for the Transit Center. working days after the commencement date stated in the Notice to Proceed.
5. **SITE OF WORK:** The site of the WORK is located: The Transit Center Parking & ADA Improvements Project (C65102009) is located at 555 N. McDowell Blvd. between Transport Way and Rainier Ave., Petaluma, CA.
6. **OBTAINING CONTRACT DOCUMENTS:** The Contract Documents are entitled "Transit Center Parking & ADA Improvements."

The Contract Documents may be obtained by 4:00 P.M., Monday through Thursday at the office of Public Work & Utilities, 202 North McDowell Boulevard, Petaluma, California 94954.

If you would like to receive the bid document via the CITY's website, at no cost, please go to:

- <https://cityofpetaluma.org/bid-opportunities-2/>
- Fill out the Plan Holder's form by clicking on the Plan Holder's form link
- Fill in all fields
- Click on the submit button at the end of the form

Submitting the Plan Holder's form on-line automatically puts you on the CITY's Bidders List and you will be notified of any Addendums or information pertaining to the bid by email.

If you would like to purchase bid documents, please call Phone No. (707) 778-4585 , Attention: Public Works & Utilities Secretary, upon payment of \$25 (non-refundable) for each set of Contract Documents (including technical specification and accompanying

reduced scale drawings). The scale of the reduced drawings is about one-half of the original scale. At the Bidder's request and expense, the Contract Documents may be sent by overnight mail.

Full-scale drawings are not available.

If full-scale drawings are available and desired, they may be purchased at reproduction cost from Digitex, 1340 Commerce St., Suite K, Petaluma, CA, 94954, (707) 769-0410.

7. **BID SECURITY:** Each Bid shall be accompanied by a certified or cashier's check or Bid Bond executed by an admitted surety in the amount of 10% percent of the Total Bid Price payable to the City of Petaluma as a guarantee that the Bidder, if its Bid is accepted, will promptly execute the Agreement. A Bid shall not be considered unless one of the forms of Bidder's security is enclosed with it. Upon acceptance of the Bid, if the Bidder refuses to or fails to promptly execute the Agreement the Bidder's security shall be forfeited to the CITY.
8. **CONTRACTOR'S LICENSE CLASSIFICATION:** In accordance with the provisions of California Public Contract Code Section 3300, the CITY has determined that the CONTRACTOR shall possess a valid Class A license at the time that the Contract is awarded. Failure to possess the specified license shall render the Bid as non-responsive and shall act as a bar to award of the Contract to any bidder not possessing said license at the time of award.
9. **PREFERENCE FOR MATERIAL:** Substitute products will be considered prior to award of the Contract in accordance with Section 3400 of the California Public Contract Code. The Bidder will submit data substantiating its request for a substitution of "an equal" item within 14 days following submission of its Bid. Substantiation date will conform to the requirements of the instructions for Proposed Substitutions of "or equal" items contained in the bid Forms. The ENGINEER will make a determination of approval or rejection of the proposed substitution prior to the award of the Contract. No request for substitution of "an equal" items will be considered by the ENGINEER after award of the Contract.
10. **REJECTION OF PROPOSALS:** The CITY reserves the right to reject all or any part of all bids submitted, waive informalities and irregularities, and will not, to the extent allowed by law, be bound to accept the lowest bid.
11. **BIDS TO REMAIN OPEN:** The Bidder shall guarantee the total bid price for a period of 90 calendar days from the date of bid opening.
12. **CALIFORNIA WAGE RATE REQUIREMENTS:** In accordance with the provisions of California Labor Code Sections 1770, 1773, 1773.1, and 1773.7 as amended, the Director of the Department of Industrial Relations has determined the general prevailing rate of per diem wages in accordance with the standards set forth in Section 1773 for the locality in which the WORK is to be performed. A copy of said wage rates is on file at the office of the City Clerk. It shall be mandatory upon the CONTRACTOR to whom the WORK is awarded and upon any subcontractor under the CONTRACTOR to pay not less than said specified rates to all workers employed by them in the execution of the WORK.

13. **LABOR COMPLIANCE PURSUANT TO CALIFORNIA LABOR CODE §1771.1:** A contractor of subcontractor shall not be qualified to bid on, be listed in a bid proposal, subject to the requirement of Section 4104 of the Public Contract Code or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Section 1725.5. It is not a violation of this section for unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time contract is awarded.
14. **RETAINAGE FROM PAYMENTS:** The CONTRACTOR may elect to receive 100 percent of payments due under the Contract Documents from time to time, without retention of any portion of the payment by the CITY, by depositing securities of equivalent value with the CITY in accordance with the provisions of Section 22300 of the Public Contract Code. Alternatively, the CONTRACTOR may request and the CITY shall make payment of retentions earned directly to the escrow agent at the expense of CONTRACTOR. At the expense of the CONTRACTOR, the CONTRACTOR may direct the investments of the payments into securities and the CONTRACTOR shall receive the interest earned on the investments upon the same terms as provided in Section 22300 of the Public Contract Code for securities deposited by the CONTRACTOR.
15. **PAYMENT BOND:** Pursuant to and in accordance with California Civil Code Section 3247, a payment (labor and materials) bond must be filed if the expenditure from the WORK is in excess of Twenty-Five Thousand Dollars (\$25,000).
16. **PRE-BID CONFERENCE VISITS:** [At least one box below MUST be checked]
- Check if no pre-bid conference/site is to be held: _____.
- Mandatory pre-bid conference/site visit to be held: Prospective bidders are required to attend a mandatory pre-bid conference/site visit at _____ (*enter time*) on _____, at the _____, offices at _____. Prospective bidders that fail to attend the mandatory pre-bid conference/site visit will be ineligible to bid on the project. Following the conference at City offices, City staff and prospective bidders will meet at the project Site. Transportation to the project site will be the responsibility of prospective bidders. The purposes of the conference/site visit are to discuss the scope of the project and bidding requirements and to acquaint bidders with Site conditions.
- No information communicated at the pre-bid conference/site visit may amend the project bidding requirements. Project bidding requirements may only be amended by addenda issued by authorized City officials. Following the pre-bid conference/site visit, prospective bidders may submit detailed technical questions in writing. If warranted, the City may respond to such questions by addenda.
- Non-Mandatory pre-bid conference/site visit to be held: Prospective bidders are invited to attend a non-mandatory pre-bid conference/site visit at 1:00 PM (*enter time*) on February 24, 2020, at the Petaluma Transit Center (address: 555 N. McDowell Blvd., Petaluma, CA, 94954). Following the conference at City offices, City staff and prospective bidders will meet at the project Site. Transportation to the project site

will be the responsibility of prospective bidders. The purposes of the conference/site visit are to discuss the scope of the project and bidding requirements, and to acquaint bidders with Site conditions.

No information communicated at the pre-bid conference/site visit may amend the project bidding requirements. Project bidding requirements may only be amended by addenda issued by authorized City officials. Following the pre-bid conference/site visit, prospective bidders may submit detailed technical questions in writing. If warranted, the City may respond to such questions by addenda.

17. **PROJECT ADMINISTRATION:** All communications relative to this WORK shall be directed to the ENGINEER prior to opening of the Bids.

NAME: Jonathan Sanglerat

ADDRESS: 202 N. McDowell Blvd. Petaluma, CA, 94954

PHONE: 707-778-4355

18. **CITY'S RIGHTS RESERVED:** The CITY reserves the right to reject any or all bids, to waive any minor irregularity in a bid, and to make awards to the lowest responsive, responsible bidder as it may best serve the interest of the CITY.

CITY: Clare Cooper
BY: City Clerk
DATE: 2/10/2020

END OF NOTICE INVITING BIDS

INSTRUCTIONS TO BIDDERS

1. **DEFINED TERMS.** Terms used in these Instructions to Bidders and the Notice Inviting Bids which are defined in the General Conditions have the meanings assigned to them in the General Conditions. The term “Bidder” means one who submits a Bid directly to CITY, as distinct from a sub-bidder, who submits a price or quote to a Bidder.
2. **LOCAL BUSINESS LICENSE.** All CONTRACTORS, including subcontractors, not already having a local business license for the work contemplated, will be required to secure the appropriate license before a Contract can be executed.
3. **INTERPRETATIONS AND ADDENDA.**
 - 3.1 All questions about the meaning or intent of the Contract Documents are to be directed to the ENGINEER. Additions, deletions, or revisions to the Contract Documents considered necessary by the ENGINEER in response to such questions will be issued by Addenda mailed or delivered to all parties recorded by the ENGINEER as having received the Contract Documents. Questions received less than 14 days prior to the date of Bids may not be answered. Only answers to such questions issued by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
 - 3.2 Addenda may also be issued to make other additions, deletions, or revisions to the Contract Documents.
 - 3.3 Bidders shall make no special interpretation or inference of intent from differing formats in the Technical Specifications.
4. **BIDDER’S EXAMINATION OF CONTRACT DOCUMENTS AND SITE.**
 - 4.1 It is the responsibility of each Bidder before submitting a Bid:
 - A. To examine thoroughly the Contract Documents and other related data identified in the Bidding Documents (including “technical” data referred to below);
 - B. To visit the site to become familiar with local conditions that may affect cost, progress, or performance of the WORK;
 - C. To consider federal, state, and local Laws and Regulations that may affect cost, progress, or performance of the WORK;
 - D. To study and carefully correlate the Bidder’s observations with the Contract Documents; and

- E. To notify the ENGINEER of all conflicts, errors, ambiguities, or discrepancies in or between the Contract Documents and such other related data.
- 4.2 Reference is made to the Supplementary General Conditions for identification of:
- A. Those reports of explorations and tests of subsurface conditions at the site which have been utilized by the ENGINEER in the preparation of the Contract Documents.
 - B. Those drawings of physical conditions in or relating to existing surface and subsurface conditions (except Underground Utilities) which are at or contiguous to the site which have been utilized by the ENGINEER in the preparation of the Contract Documents.
 - C. Those environmental reports or drawings relating to Asbestos, Hazardous Waste, PCBs, Petroleum, and/or Radioactive Materials identified at the site which have been utilized by the ENGINEER in the preparation of the Contract Documents.
 - D. The ENGINEER makes no representation as to the completeness of the reports or drawings referred to in Paragraphs 4.2A, 4.2B, and 4.2C. above or the accuracy of any data or information contained therein. The Bidder may rely upon the accuracy of the technical data contained in such reports and drawings. However, the Bidder may not rely upon any interpretation of such technical data, including any interpretation or extrapolation thereof, or any non-technical data, interpretations, and opinions contained therein.
- 4.3 Copies of reports and drawings referred to in Paragraph 4.2 will be made available by the CITY to any Bidder on request, if said reports and drawings are not bound herein. Those reports and drawings are not part of the Contract Documents, but the technical data contained therein upon which the Bidder is entitled to rely, are incorporated herein by reference.
- 4.4 Information and data reflected in the Contract Documents with respect to Underground Utilities at or contiguous to the site are based upon information and data furnished to the ENGINEER by the owners of such Underground Utilities or others, and the CITY does not assume responsibility for the accuracy or completeness thereof unless it is expressly provided otherwise in the Supplementary General Conditions.
- 4.5 Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders on subsurface conditions, Underground Utilities, and other physical conditions, and possible changes in the Contract Documents due to differing conditions appear in Paragraphs 4.2, 4.3, and 4.4 of the General Conditions.
- 4.6 Before submitting a Bid, each Bidder will, at Bidder's own expense, make or obtain any additional examinations, investigations, explorations, tests, and studies and obtain any additional information and data which pertain to the physical conditions (surface,

subsurface, and Underground Utilities) at or contiguous to the site or otherwise which may affect cost, progress, or performance of the WORK and which the Bidder deems necessary to determine its Bid for performing the WORK in accordance with the time, price, and other terms and conditions of the Contract Documents.

- 4.7 On request a minimum of 2 working days in advance, the ENGINEER will provide each Bidder access to the site to conduct such examinations, investigations, explorations, tests, and studies as each Bidder deems necessary for submission of a Bid. Location of any excavation or boring shall be subject to prior approval of ENGINEER and applicable agencies. Bidder shall fill all holes, restore all pavement to match existing structural section, and shall clean up and restore the site to its former condition upon completion of such explorations. ENGINEER reserves the right to require Bidder to execute an Access Agreement with the CITY prior to accessing the site.
- 4.8 The lands upon which the WORK is to be performed, rights-of-way, and easements for access thereto and other lands designated for use by the CONTRACTOR in performing the WORK are identified in the Contract Documents. All additional lands and access thereto required for temporary construction facilities or storage of materials and equipment are to be provided by the CONTRACTOR. Easements for permanent structures or permanent changes in existing structures are to be obtained and paid for by the CITY unless otherwise provided in the Contract Documents.
- 4.9 The submission of a Bid will constitute an incontrovertible representation by the Bidder that the Bidder has complied with every requirement of this Paragraph 4 and the following:
 - A. That the Bid is premised upon performing the WORK required by the Contract Documents without exception and such means, methods, techniques, sequences, or procedures of construction (if any) as may be required by the Contract Documents;
 - B. That Bidder has given the ENGINEER written notice of all conflicts, errors, ambiguities, and discrepancies in the Contract Documents and the written resolution thereof by the ENGINEER is acceptable to the Bidder; and
 - C. That the Contract Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance of the WORK.
5. **BID FORMS.** The Bid shall be submitted on the Bid Forms provided by the City. All blanks on the Bid Forms shall be completed in ink. All names must be printed below the signatures. The Bid shall be submitted in a sealed envelope which shall be plainly marked in the upper left hand corner with the name and address of the Bidder and shall bear the words "BID FOR" followed by the title of the Contract Documents for the WORK, the name of the CITY, the address where Bids are to be delivered or mailed to, and the date and hour of opening of Bids.

- 5.2 The Bid must set forth the name and location of the place of business of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the WORK, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the WORK according to detailed Drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or, in the case of bids or offers for the construction of streets and highways, including bridges, in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars (\$10,000), whichever is greater.
6. CERTIFICATES.
- 6.1 Bids by corporations must be executed in the corporate name by the president, a vice-president, or other corporate officer. Such Bid shall be accompanied by the enclosed Certificate of Authority to sign, attested by the secretary or assistant secretary, and with the corporate seal affixed. The corporate address and state of incorporation must appear below the signature.
- 6.2 Bids by partnerships must be executed in the partnership name and be signed by a managing partner, accompanied by the enclosed Certificate of Authority to sign, and his/her title must appear under the signature and the official address of the partnership must appear below the signature.
- 6.3 Bids by joint venture must be executed in the joint venture name and be signed by a joint venture managing partner, accompanied by the enclosed Certificate of Authority to sign, and his/her title must appear under the signature and the official address of the joint venture must appear below the signature.
7. DISQUALIFICATION OF BIDDERS. More than one Bid from an individual, firm, partnership, corporation, or association under the same or different names will not be considered. If the CITY believes that any Bidder is interested in more than one Bid for the WORK contemplated, all Bids in which such Bidder is interested will be rejected. If the CITY believes that collusion exists among the Bidders, all Bids will be rejected. A party who has quoted prices to a bidder is not hereby disqualified from quoting prices to other Bidders, or from submitting a Bid directly for the WORK. If a Bidder is not registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5 and Section 1771.1, then the Bid may be rejected as non-responsive.
8. QUANTITIES OF WORK. The quantities of work or material stated in unit price items of the Bid are supplied only to give an indication of the general scope of the WORK; the OWNER does not expressly or by implication agree that the actual amount of work or material will correspond therewith, and reserves the right after award to increase or decrease the quantity of any unit price item of the WORK by an amount up to and including 25 percent of any Bid item in its entirety, or to add additional Bid items up to and including an aggregate total amount not to exceed 25 percent of the Bid price.

9. **SUBSTITUTE OR “OR EQUAL” ITEMS.** Whenever materials or equipment are specified or described in the Contract Documents by using the name of a particular manufacturer and the name is followed by the words “or equal”, the Bidder may write the name of a substitute manufacturer (which the Bidder considers as an “or equal”) in the List of Proposed Substitutions in the Bid Forms. The ENGINEER will make a determination of approval or rejection of the proposed substitution prior to award of the Contract. No request for substitution of an “or equal” item will be considered by the ENGINEER after award of the Contract. The procedure for the submittal of substitute or “or equal” products is contained in the Bid Forms. The Bidder shall not be relieved of any obligations of the Contract Documents or be entitled to an adjustment in the Contract Price in the event any proposed substitution is not approved.
10. **COMPETENCY OF BIDDERS.** In selecting the lowest responsive, responsible Bidder, consideration will be given not only to the financial standing but also to the general competency of the Bidder for the performance of the WORK covered by the Bid. To this end, each Bid shall be supported by a statement of the Bidder’s experience as of recent date including: (a) all projects worked on by the Bidder over the past three (3) years including the contract amount for each project; (b) all complaints made against the Contractor’s license in the past ten (10) years; and (c) all claims and lawsuits presented or filed in the last five (5) years, regardless of the form, regarding any public works project.
11. **SUBMISSION OF BIDS.** The Bid shall be delivered by the time and to the place stipulated in the Notice Inviting Bids. It is the Bidder’s sole responsibility to see that its Bid is received in proper time and at the proper place.
12. **BID SECURITY, BONDS, AND INSURANCE.** Each Bid shall be accompanied by a certified or cashier’s check or approved Bid Bond in the amount stated in the Notice Inviting Bids. Said check or bond shall be made payable to the CITY and shall be given as a guarantee that the Bidder, if awarded the WORK, will enter into an Agreement with the CITY and will furnish the necessary insurance certificates, Payment Bond, and Performance Bond. In case of refusal or failure to enter into said Agreement, the check or Bid Bond, as the case may be, shall be forfeited to the CITY. If the Bidder elects to furnish a Bid Bond as its Bid security, the Bidder shall use the Bid Bond form bound herein. Bid Bonds shall comply with the requirements applicable to payment and performance bonds in the General Conditions.
- 12.1 **BIDDING CAPACITY.** Each Bid shall be accompanied by a list of the projects currently being worked on by Bidder, their size, contract price, scheduled completion date, location, and owner. Additionally, Bidder shall provide certified evidence of its current bonding capacity.
13. **DISCREPANCIES IN BIDS.** In the event there is more than one Bid item in a Bid Schedule, the Bidder shall furnish a price for all Bid Items in the Schedule, and failure to do so will render the Bid non-responsive and shall cause its rejection. In the event there are unit price Bid items in a Bidding schedule and the amount indicated for a unit price Bid item does not equal the product of the unit price and quantity, the unit price shall

govern and the amount will be corrected accordingly, and the BIDDER shall be bound by said correction. In the event there is more than one Bid item in a Bid Schedule and the total indicated for the Schedule does not agree with the sum of the prices Bid on the individual items, the prices Bid on the individual items shall govern and the total for the Schedule will be corrected accordingly, and the BIDDER shall be bound by said correction.

14. **MODIFICATIONS AND UNAUTHORIZED ALTERNATIVE BIDS.** Unauthorized conditions, limitations, or provisos attached to the Bid shall render it informal and may cause its rejection as being non-responsive. The Bid forms shall be completed without interlineations, alterations, or erasures in the printed text. Alternative Bids will not be considered unless called for. Oral, telegraphic, or telephonic Bids or modifications will not be considered.
15. **WITHDRAWAL OF BID.** The Bid may be withdrawn by the Bidder by means of a written request, signed by the Bidder or its properly authorized representative. Such written request must be delivered to the place stipulated in the Notice Inviting Bids for receipt of Bids prior to the scheduled closing time for receipt of Bids.
16. **BID PROTEST.** Any Bid protest must be submitted in writing to the City Manager before 5:00 p.m. on the fifth (5th) working day following Bid opening.
 - A. The initial protest document must contain a complete statement of the basis for the protest, and all supporting documentation.
 - B. The party filing the protest must have actually submitted a Bid for the WORK. A subcontractor of a party submitting a Bid for the WORK may not submit a Bid protest. A party may not rely on the Bid protest submitted by another Bidder, but must timely pursue its own protest.
 - C. The protest must refer to the specific portion of the bid document which forms the basis for the protest.
 - D. The protest must include the name, address and telephone number of the person representing the protesting party.
 - E. The party filing the protest must concurrently transmit a copy of the initial protest document and any attached documentation to all other parties with a direct financial interest which may be adversely affected by the outcome of the protest. Such parties shall include all other Bidders who appear to have a reasonable prospect of receiving an award depending upon the outcome of the protest.
 - F. The CITY will give the protested Bidder five (5) working days after the receipt of the protest to submit a written response. The responding Bidder shall transmit the response to the protesting Bidder concurrent with delivery to the CITY.

- G. The procedure and time limits set forth in this paragraph are mandatory and are the Bidder's sole and exclusive remedy in the event of Bid protest. The Bidder's failure to comply with these procedures shall constitute a waiver of any right to further pursue the Bid protest, including filing a Government Code Claim or legal proceedings. A Bidder may not rely on a protest submitted by another Bidder, but must timely pursue its own protest.
- H. If the CITY determines that a protest is frivolous, the protesting bidder may be determined to be non-responsible and that bidder may be determined to be ineligible for future contract awards.
17. **AWARD OF CONTRACT.** Award of the contract, if awarded, will be made to the lowest responsive, responsible Bidder whose Bid complies with the requirements of the Contract Documents. Unless otherwise specified, any such award will be made within the period stated in the Notice Inviting Bids that the bids are to remain open. Unless otherwise indicated, a single award will be made for all the Bid items in an individual Bid Schedule. In the event the WORK is contained in more than one Bid Schedule, the CITY may award Schedules individually or in combination. In the case of two Bid Schedules which are alternative to each other, only one of such alternative schedules will be awarded. The CITY may condition the award upon the Bidder's timely submission of all items required by the Contract Documents, including, but not limited to the executed Agreement, performance, labor and materials, and maintenance bonds, and required certificates of insurance and endorsements.
18. **RETURN OF BID SECURITY.** Within 14 days after award of the contract, the CITY will, if requested, return the Bid securities accompanying such Bids that are not being considered in making the award. All other Bid securities will be held until the Agreement has been finally executed. They will then be returned, if requested, to the respective Bidders whose Bids they accompany.
19. **EXECUTION OF AGREEMENT.** The Bidder to whom award is made shall execute a written Agreement with the CITY on the form of agreement provided, shall secure all insurance, and shall furnish all certificates and bonds required by the Contract Documents within five (5) working days after receipt of Notice of Award from the CITY. Failure or refusal to enter into an Agreement as herein provided or to conform to any of the stipulated requirements in connection therewith shall be just cause for annulment of the award and forfeiture of the Bid security. If the lowest responsive, responsible Bidder refuses or fails to execute the Agreement, the CITY may award the Contract to the second lowest responsive, responsible Bidder. If the second lowest responsive, responsible Bidder refuses or fails to execute the Agreement, the OWNER may award the contract to the third lowest responsive, responsible Bidder. On the failure or refusal of such second or third lowest Bidder to execute the Agreement, each such Bidder's Bid securities shall be likewise forfeited to the CITY.
20. **LIQUIDATED DAMAGES.** Provisions for liquidated damages, if any, are set forth in the Agreement.

21. **WORKERS' COMPENSATION REQUIREMENT.** The Bidder should be aware that in accordance with Section 3700 of the California Labor Code it will, if awarded the Contract, be required to secure the payment of compensation to its employees and execute the Workers' Compensation Certification in the form contained in these Contract Documents.
22. **NON-COLLUSION AFFIDAVIT.** Bidders must execute the following affidavit and submit the same with his/her bid:
23. **MATERIALS SUPPLIERS LIST.** Bidders and their subcontractors must complete the List of Materials Suppliers and Material Guarantee form provided with the Bid Forms and must submit the completed form with the Bid.

END OF INSTRUCTIONS TO BIDDERS

SECTION I

BID FORMS

(TO BE SUBMITTED WITH BIDS)

BIDDER'S AFFIDAVIT OF NON-COLLUSION SUBMITTED WITH BID

_____, *[Contractor]* hereby declares that:

He or she is _____ *[title/position]* of _____, *[company name]* the party making the foregoing bid; that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract or anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Dated: _____

Signature

Public Contract Code section 7106
Code of Civil Procedure section 2015.5

END OF BIDDER'S AFFIDAVIT OF NON-COLLUSION SUBMITTED WITH BID

BID PROPOSAL CERTIFICATE
(if Joint Venture)

STATE OF CALIFORNIA)
) ss:
COUNTY OF)

I HEREBY CERTIFY that a meeting of the Principals of the _____

a joint venture existing under the laws of the State of _____,
held on _____, 20____, the following resolution was duly passed and adopted:

“RESOLVED, that _____, as
_____ of the joint venture, be and is hereby authorized to
execute the Bid Proposal dated _____, 20____, for the _____
_____ project, in the City of Petaluma, and
that his/her execution thereof, attested by the _____ shall be the
official act and deed of this Joint Venture.”

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand this _____, day of
_____, 20____.

Managing Partner

(SEAL)

PROPOSAL

To the City Council of the City of Petaluma:

The undersigned declares that he/she has carefully examined the location of the proposed work, that he/she has examined the plans and specifications, and read the accompanying instructions to bidders, and hereby proposes to furnish all materials and do all the work required to complete the said work in accordance with said plans, specifications, and special provisions for the unit or lump sum prices set forth in the attached Bid Schedule.

It is understood and agreed that the undersigned shall complete the work of the contract within the time provided for in the Contract Documents and Specifications governing said work.

If awarded the contract, the undersigned hereby agrees to sign said contract and to furnish the necessary bonds, insurance certificates and agreements within five (5) working days after receipt of Notice of Award of said contract from the City.

The undersigned has examined the location of the proposed work and is familiar with the plans, specifications and other contract documents and the local conditions at the place where the work is to be done.

The undersigned has checked carefully all the figures on the attached Bid Schedule and understands that the City will not be responsible for any errors or omissions on the part of the undersigned in making up the bid.

Enclosed find bidder's bond, certified check, or cashier's check no. _____ of the _____ (Company) (Bank) for _____ Dollars (\$_____).

This project requires a Class A California State Contractor's License.

Contractor's License No. _____ License Class _____

Expiration Date of Contractor's License _____

This project requires registration with the California State Department of Industrial Relations.

Public Works Contractor Registration No. _____

Registration Date _____ Expiration Date _____

A bid submitted to a public agency by a contractor who is not licensed and not registered shall be considered non-responsive and shall be rejected by the public agency. The undersigned contractor declares that the contractor's license number, public work contractor registration number, and expiration dates stated herein are made under penalty of perjury under the laws of the State of California.

Contractor: _____

Signed by: _____

Title: _____

Address: _____

Phone: _____

Fax: _____

Email: _____

Dated this _____ day of _____, 20__.

END OF PROPOSAL

BID SCHEDULE

Item No.	Description	Estimated Quantity	Unit	Unit Price	Total Price
1	Mobilization / Demobilization	1	LS		
2	Earthwork	1	LS		
3	Remove & Replace Sidewalk & Walkway	952	SF		
4	Remove & Replace Curb and Gutter	117	LF		
5	Install ADA Parking Pad	538	SF		
6	Modified Case C Curb Ramp	1	LS		
7	Install Pedestrian Ramp	435	SF		
8	Remove & Replace Stairs	1	LS		
9	Handrails	145	LF		
10	Signs and Striping	1	LS		
11	Remove & Replace Fence and Gate	1	LS		
12	Remove & Replace Storm Drain	1	LS		
13	Install Retaining Wall	1	LS		

\$
Total Base Bid _____

*Note: In case of error in extension of price into the total price column, the unit price will govern.

Total Amount of Bid (written in words) is: _____ _____ Dollars and _____ Cents. In the event of discrepancy between words and figures, the words shall prevail. \$ _____ Figures

The award of the contract shall be awarded to the lowest price of the total of Base Bid.

Address of Bidder

Signature of Bidder

City

Name of Bidder (Print)

Telephone Number of Bidder

Fax Number of Bidder

Contractor's License Number

License's Expiration Date

Addendum Acknowledgement

Addendum No. 1 Signature Acknowledging Receipt: _____ Date: _____

Addendum No. 2 Signature Acknowledging Receipt: _____ Date: _____

Addendum No. 3 Signature Acknowledging Receipt: _____ Date: _____

Addendum No. 4 Signature Acknowledging Receipt: _____ Date: _____

LIST OF SUBCONTRACTORS

In accordance with the provisions of Section 4102 and 4108, inclusive, of the Government Code of the State of California, each bidder shall list below the name and location of place of business of each subcontractor who will perform a portion of the contract work in an amount in excess of one-half of one percent of the total contract price. In each such instance, the nature and extent of the work to be performed shall be described.

If a prime contractor fails to specify a subcontractor or if a prime contractor specifies more than one subcontractor for the same portion of work to be performed under the contract in excess of one-half of one percent of the prime contractor's total bid, the prime contractor agrees that he or she is fully qualified to perform that portion himself or herself, and that the prime contractor shall perform that portion himself or herself. The subcontracting of work for which no subcontractor was designated in the original bid and which is in excess of one-half of one percent of the total contract price, will be allowed only with the written consent of the City.

Name of Subcontractor	Address of Office, Mill, or Shop	Description of Work to be Performed (also show Bid Schedule Item Number)	Public Works Contractor Registration Number
-----------------------------	-------------------------------------	--	--

LIST OF MATERIAL SUPPLIERS AND MATERIAL GUARANTEE

The bidder is required to name the make and supplier of the material items listed below to be furnished under these specifications. The bidder shall name a manufacturer for each item and the supplier of the item if the supplier is not the manufacturer. The naming of more than one supplier for a single item or naming a supplier followed by the words “or equal” will not be acceptable. Substitution of any listed supplier following submission of this form with the Bid shall only be permitted as authorized by the Engineer pursuant to Section 6.3 of the General Conditions.

Failure to complete this form and submit it with the bid proposal may cause the proposal to be rejected as being incomplete and not responsive to the solicitation.

Item	Supplier & Manufacturer	Address
<u>Fence Gate</u>		
<u>Fence Pannels</u>		
<u>Parking Signs</u>		
<u>Handrails</u>		
<u>Drain Box & Grate</u>		

MATERIAL GUARANTEE

In addition to completion of the list of material suppliers on the Material Suppliers form, the bidder may be required to furnish prior to award of contract, a complete statement of the origin, composition and manufacturer of any or all materials to be used in the construction of the work, together with samples, which samples may be subjected to test, provided for in these specifications or in the Special Provisions to determine their quality and fitness for the work.

END OF

LIST OF MATERIAL SUPPLIERS AND MATERIAL GUARANTEE

QUESTIONNAIRE AND FINANCIAL ASSURANCE STATEMENT

The following statements as to experience and financial qualifications of the Bidder are submitted in conjunction with the proposal as a part thereof, and the truthfulness and accuracy of the information is guaranteed by the Bidder.

The Bidder has been engaged in the contracting business under the present business for _____ years. Experience in work of a nature similar to that covered in the proposal extends over a period of _____ years.

The Bidder, as a contractor, has never failed to satisfactorily complete a contract awarded to contractor, except as follows:

List all claims and lawsuits presented or filed in the last five (5) years, regardless of the form, regarding any public works project:

The following contracts for work have been completed in the last three (3) years for the persons, firm or authority indicated and to whom reference is made:

<u>Year</u>	<u>Type of Work-Size, Length and Contract Amount</u>	<u>Location and For Whom Performed</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

The following complaints have been made against the Bidder's contractor's license within the past ten (10) years:

Date: _____ Nature of Complaint _____

Reference is hereby made to the following bank or banks as to the financial responsibility of the bidder:

NAME OF BANK	ADDRESS

Reference is hereby made to the following surety companies as to the financial responsibility and general reliability of the bidder:

NAME OF SURETY COMPANY:

I, the undersigned, declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

SIGNATURE OF BIDDER

DATE

NAME OF BIDDER

END OF
QUESTIONNAIRE AND FINANCIAL STATEMENT FORM

STATEMENT OF QUALIFICATIONS

The apparent low Bidder shall submit a Statement of Qualifications as specified herein as a submittal to the City within 24 hours of the bid opening.

- A. The following are minimum requirements for the Bidder to be found responsible to perform the Work. Bidder's compliance with the minimum qualification requirements will be measured by the experience of the supervisory personnel who will have responsible charge of the various major components of the Work. If Bidder subcontracts portions of the Work, City, in its determination of whether the minimum qualification requirements have been met, will consider the qualifications of the Subcontractor's supervisory personnel.
 - 1. Five years experience as a continuously operating entity engaged in the performance of similar work.
 - 2. Experience on public works projects, with no history of default termination.
 - 3. Sufficient financial strength, stability and resources as measured by Bidder's equity, debt-to-assets ratio, and capability to finance the Work to be performed.

- B. Owner will notify Apparent Low Bidder in writing of any deficiencies found and will provide Bidder the opportunity to respond in writing with reasonable clarifications but will not allow any changes in the nature of Bidder as a business entity.

**SITE VISIT AFFIDAVIT
TO BE EXECUTED
BY BIDDER, NOTARIZED AND SUBMITTED WITH BID**

(To Accompany Bid)

State of California)
) ss.
County of)

_____, **being first duly sworn**, deposes and says that he or
(Contractor's Authorized Representative)

she is

_____ of _____, the party making the foregoing
(Title of Representative) (Contractor's Name)

bid, has visited the Site of the Work as described in the Contract and has examined and familiarized themselves with the existing conditions, as well as all other conditions relating to the construction which will be performed. The submitting of a bid shall be considered an acknowledgement on the part of the Bidder of familiarity with conditions at the site of Work. The Bidder further acknowledges that the site examination has provided adequate and sufficient information related to existing conditions which may affect cost, progress or performance of the Work.

Signature Name of Bidder

BID BOND

We, _____ as Principal, and _____ as Surety, jointly and severally, bind ourselves, our heirs, representatives, successors and assigns, as set forth herein, to the City of Petaluma (herein called "the Owner") for the payment of the penal sum of _____ Dollars (\$_____), lawful money of the United States, which is ten (10) percent of the total amount bid by bidder to the Owner. Principal has submitted the accompanying bid for the construction of the _____ project.

If the Principal is awarded the contract and enters into a written contract, in the form prescribed by the Owner, at the price designated by his bid, and files the bonds required by the Agreement with the Owner, and carries all insurance in type and amount which conforms to the contract documents and furnishes required certificates and endorsements thereof, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

Forfeiture of this bond, or any deposit made in lieu thereof, shall not preclude the Owner from seeking all other remedies provided by law to cover losses sustained as a result of the Principal's failure to do any of the foregoing.

Principal and Surety agree that if the Owner is required to engage the services of an attorney in connection with the enforcement of this bond, each shall pay the Owner's reasonable attorney's fees, witness fees and other costs incurred with or without suit.

Executed on _____, _____.

PRINCIPAL

By _____
Signature

Title

Any claims under this bond may be addressed to:

(Name and address of Surety's agent for service of process in California, if different from above)

(Telephone number of Surety's agent in California)

(Attach Acknowledgment)

SURETY

By _____
(Attorney-in-Fact)

NOTICE:

No substitution or revision to this bond form will be accepted. Be sure that all bonds submitted have a certified copy of the bonding agent's power of attorney attached. Also verify that Surety is an "Admitted Surety" (i.e., qualified to do business in California), and attach proof of verification (website printout from the California Department of Insurance website (<http://www.insurance.ca.gov/docs/index.html>) or certificate from County Clerk).

END OF BID BOND

SECTION II

**FEDERAL CERTIFICATIONS
(TO BE SUBMITTED WITH BIDS)**

Federal Certifications

CERTIFICATION AND RESTRICTIONS ON LOBBYING

I, _____, hereby certify (Name and title of official)

On behalf of _____ that: (Name of Bidder/Company Name)

- o No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- o If any funds other than federal appropriated funds have been paid or will be paid to any person influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- o The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The undersigned certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Section 3801, et seq., are applicable thereto.

Name of Bidder/Company Name _____

Type or print name _____

Signature of Authorized representative _____ Date ____/____/____

Signature of notary and SEAL _____

**BUY AMERICA CERTIFICATION
(STEEL OR MANUFACTURED PRODUCTS)
[61 FR 6302, Feb. 16, 1996, as amended at 74 FR 30239, June 25, 2009]**

General Requirement (as stated in 49 CFR 661.5)

- a. Except as provided in 49 CFR 661.7 and 49 CFR 661.11, no funds may be obligated by FTA for a grantee project unless all iron, steel, and manufactured products used in the project are produced in the United States.
- b. All steel and iron manufacturing processes must take place in the United States, except metallurgical processes involving refinement of steel additives.
- c. The steel and iron requirements apply to all construction materials made primarily of steel or iron and used in infrastructure projects such as, transit or maintenance facilities, rail lines, and bridges. These items include, but are not limited to, structural steel or iron, steel or iron beams and columns, running rail and contact rail. These requirements do not apply to steel or iron used as components or subcomponents of other manufactured products or rolling stock, or to bimetallic power rail incorporating steel or iron components.
- d. For a manufactured product to be considered produced in the United States:
 1. All of the manufacturing processes for the product must take place in the United States; and
 2. All of the components of the product must be of U.S. origin. A component is considered of U.S. origin if it is manufactured in the United States, regardless of the origin of its subcomponents.

If steel, iron, or manufactured products (as defined in 49 CFR 661.3 and 661.5) are being procured, the appropriate certificate as set forth below shall be completed and submitted by each bidder or offeror in accordance with the requirement contained in 49 CFR 661.13(b).

Certificate of Compliance with Buy America Requirements

The bidder or offeror hereby certifies that it will comply with the requirements of 49 U.S.C. 5323(j)(1), and the applicable regulations in 49 CFR part 661.

Company _____
Name _____ Title _____
Signature _____ Date _____

Certificate of Non-Compliance with Buy America Steel or Manufactured Products Requirements The bidder or offeror hereby certifies that it cannot comply with the requirements of 49 U.S.C. 5323(j), but it may qualify for an exception to the requirement pursuant to 49 U.S.C. 5323(j)(2), as amended, and the applicable regulations in 49 C.F.R. 661.7.

Company _____
Name _____ Title _____
Signature _____ Date _____

GOVERNMENT-WIDE DEBARMENT AND SUSPENSION (NONPROCUREMENT)

Instructions for Certification: By signing and submitting this bid or proposal, the prospective lower tier participant is providing the signed certification set out below.

1. It will comply and facilitate compliance with U.S. DOT regulations, "Nonprocurement Suspension and Debarment," 2 CFR part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 CFR part 180,
2. To the best of its knowledge and belief, that its Principals and Subrecipients at the first tier:
 - a. Are eligible to participate in covered transactions of any Federal department or agency and are not presently:
 1. Debarred
 2. Suspended
 3. Proposed for debarment
 4. Declared ineligible
 5. Voluntarily excluded
 6. Disqualified
 - b. Its management has not within a three-year period preceding its latest application or proposal been convicted of or had a civil judgment rendered against any of them for:
 1. Commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction, or contract under a public transaction,
 2. Violation of any Federal or State antitrust statute, or
 3. Proposed for debarment commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making any false statement, or receiving stolen property
 - c. It is not presently indicted for, or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses listed in the preceding subsection 2.b of this Certification,
 - d. It has not had one or more public transactions (Federal, State, or local) terminated for cause or default within a three-year period preceding this Certification,
 - e. If, at a later time, it receives any information that contradicts the statements of subsections 2.a – 2.d above, it will promptly provide that information to FTA,
 - f. It will treat each lower tier contract or lower tier subcontract under its Project as a covered lower tier contract for purposes of 2 CFR part 1200 and 2 CFR part 180 if it:
 1. Equals or exceeds \$25,000,
 2. Is for audit services, or
 3. Requires the consent of a Federal official, and
 - g. It will require that each covered lower tier contractor and subcontractor:
 1. Comply and facilitate compliance with the Federal requirements of 2 CFR parts 180 and 1200, and
 2. Assure that each lower tier participant in its Project is not presently declared by any Federal department or agency to be:
 - a. Debarred from participation in its federally funded Project,
 - b. Suspended from participation in its federally funded Project,
 - c. Proposed for debarment from participation in its federally funded Project,
 - d. Declared ineligible to participate in its federally funded Project,
 - e. Voluntarily excluded from participation in its federally funded Project, or
 - f. Disqualified from participation in its federally funded Project, and
3. It will provide a written explanation as indicated on a page attached in FTA's TrAMS-Web or the Signature Page if it or any of its principals, including any of its first tier Subrecipients or its Third Party Participants at a lower tier, is unable to certify compliance with the preceding statements in this Certification Group.

Certification

Contractor _____

Signature of Authorized Official _____ Date ___/___/___

Name and Title of Contractor's Authorized Official _____

SECTION III
FEDERAL CLAUSES

Federal Clauses

Fly America Requirements – Applicability – all contracts involving transportation of persons or property, by air between the U.S. and/or places outside the U.S. These requirements do not apply to micro-purchases (\$10,000 or less, except for construction contracts over \$2,000). Contractor shall comply with 49 USC 40118 (the "Fly America" Act) in accordance with General Services Administration regulations 41 CFR 301-10, stating that recipients and subrecipients of Federal funds and their contractors are required to use US Flag air carriers for US Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a US flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. Contractor shall include the requirements of this section in all subcontracts that may involve international air transportation.

Buy America Requirements – Applicability – Construction Contracts and Acquisition of Goods or Rolling Stock (valued at more than \$150,000) Contractor shall comply with 49 USC 5323(j) and 49 CFR 661.1, stating that Federal funds may not be obligated unless steel, iron, and manufactured products used in FTA-funded projects are produced in the United States, unless a waiver has been granted by FTA or the product is subject to a general waiver. General waivers are listed in 49 CFR 661.7, and include software, microcomputer equipment and small purchases (currently less than \$150,000) made with capital, operating, or planning funds. Separate requirements for rolling stock are stated at 5323(j)(2)(C) and 49 CFR 661.11. Rolling stock must be manufactured in the US and have a minimum 60% domestic content for FY2016 and FY2017, a minimum 65% domestic content for FY2018 and FY2019 and a minimum 70% domestic content for FY2020 and beyond. A bidder or offeror shall submit appropriate Buy America certification to the recipient with all bids on FTA-funded contracts, except those subject to a general waiver. Proposals not accompanied by a completed Buy America certification shall be rejected as nonresponsive. This requirement does not apply to lower tier subcontractors.

Cargo Preference - Use of US-Flag Vessels – Applicability – Contracts involving equipment, materials or commodities which may be transported by ocean vessels. These requirements do not apply to micro-purchases (\$10,000 or less, except for construction contracts over \$2,000). Contractor shall: a. use privately owned US-Flag commercial vessels to ship at least 50% of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to the underlying contract to the extent such vessels are available at fair and reasonable rates for US flag commercial vessels; b. furnish within 20 working days following the loading date of shipments originating within the US or within 30 working days following the loading date of shipments originating outside the US, a legible copy of a rated, "on-board" commercial bill-of-lading in English for each shipment of cargo described herein to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590 and to the recipient (through contractor in the case of a subcontractor's bill-of-lading.) c. include these requirements in all subcontracts issued pursuant to this contract when the subcontract involves the transport of equipment, material, or commodities by ocean vessel.

Seismic Safety – Applicability – Construction of new buildings or additions to existing buildings. These requirements do not apply to micro-purchases (\$10,000 or less, except for construction contracts over \$2,000). Contractor agrees that any new building or addition to an existing building shall be designed and constructed in accordance with the standards required in USDOT Seismic Safety Regulations 49 CFR 41 and shall certify compliance to the extent required by the regulation. Contractor shall also ensure that all work performed under this contract, including work performed by subcontractors, complies with the standards required by 49 CFR 41 and the certification of compliance issued on the project.

Energy Conservation – Applicability – All Contracts except micro-purchases (\$10,000 or less, except for construction contracts over \$2,000) Contractor shall comply with mandatory standards and policies relating to energy efficiency, stated in the state energy conservation plan issued in compliance with the Energy Policy & Conservation Act.

Clean Water – Applicability – All Contracts and Subcontracts over \$150,000. Contractor shall comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq. Contractor shall report each violation to the recipient and understands and agrees that the recipient shall, in turn, report each violation as required to FTA and the appropriate EPA Regional Office. Contractor shall include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with FTA assistance.

Lobbying – Applicability – Construction/Architectural and Engineering/Acquisition of Rolling Stock/Professional Service Contract/Operational Service Contract/Turnkey contracts over \$100,000 Byrd Anti-Lobbying Amendment, 31 U.S.C. 1352, as amended by the Lobbying Disclosure Act of 1995, P.L. 104-65 [to be codified at 2 U.S.C. § 1601, et seq.] - Contractors who apply or bid for an award of \$100,000 or more shall file the certification required by 49 CFR part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose the name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal contract, grant or award covered by 31 U.S.C. 1352. Such disclosures are forwarded from tier to tier up to the recipient.

Access to Records and Reports – Applicability – As shown below. These requirements do not apply to micro-purchases (\$10,000 or less, except for construction contracts over \$2,000) The following access to records requirements apply to this Contract:

1. Where the purchaser is not a State but a local government and is an FTA recipient or a subgrantee of FTA recipient in accordance with 49 CFR 18.36(i), contractor shall provide the purchaser, the FTA, the US Comptroller General or their authorized representatives access to any books, documents, papers and contractor records which are pertinent to this contract for the purposes of making audits, examinations, excerpts and transcriptions. Contractor shall also, pursuant to 49 CFR 633.17, provide authorized FTA representatives, including any PMO contractor, access to contractor's records and construction sites pertaining to a capital project, defined at 49 USC 5302(a)1, which is receiving FTA assistance through the programs described at 49 USC 5307, 5309 or 5311.
2. Where the purchaser is a State and is an FTA recipient or a subgrantee of FTA recipient in accordance with 49 CFR 633.17, contractor shall provide the purchaser, authorized FTA representatives, including any PMO Contractor, access to contractor's records and construction sites pertaining to a capital project, defined at 49 USC 5302(a)1, which receives FTA assistance through the programs described at 49 USC 5307, 5309 or 5311. By definition, a capital project excludes contracts of less than the simplified acquisition threshold currently set at \$250,000.
3. Where the purchaser enters into a negotiated contract for other than a small purchase or under the simplified acquisition threshold and is an institution of higher education, a hospital or other non-profit organization and is an FTA recipient or a subgrantee of FTA recipient in accordance with 49 CFR 19.48, contractor shall provide the purchaser, the FTA, the US Comptroller General or their authorized representatives, access to any books, documents, papers and record of the contractor which are directly pertinent to this contract for the purposes of making audits, examinations, excerpts and transcriptions.
4. Where a purchaser which is an FTA recipient or a subgrantee of FTA recipient in accordance with 49 USC 5325(a) enters into a contract for a capital project or improvement (defined at 49 USC 5302(a)1) through other than competitive bidding, contractor shall make available records related to the contract to the purchaser, the Secretary of USDOT and the US Comptroller General or any authorized officer or employee of any of them for the purposes of conducting an audit and inspection.
5. Contractor shall permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.
6. Contractor shall maintain all books, records, accounts and reports required under this contract for a period of not less than three (3) years after the date of termination or expiration of this contract, except in the event of litigation or settlement of claims arising from the performance of this contract, in

which case contractor agrees to maintain same until the recipient, FTA Administrator, US Comptroller General, or any of their authorized representatives, have disposed of all such litigation, appeals, claims or exceptions related thereto. Re: 49 CFR 18.39(i)(11).

FTA does not require the inclusion of these requirements in subcontracts.

Federal Changes – Applicability – All Contracts except micro-purchases (\$10,000 or less, except for construction contracts over \$2,000) Contractor shall comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Master Agreement between the recipient and FTA, as they may be amended or promulgated from time to time during the term of the contract. Contractor's failure to comply shall constitute a material breach of the contract.

Bonding Requirements – Applicability – For those construction or facility improvement contracts or subcontracts exceeding \$250,000, FTA may accept the bonding policy and requirements of the recipient, provided that they meet the minimum requirements for construction contracts as follows:

- a. A bid guarantee from each bidder equivalent to five (5) percent of the bid price. The "bid guarantees" shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.
- b. A performance bond on the part to the Contractor for 100 percent of the contract price. A "performance bond" is one executed in connection with a contract to secure fulfillment of all the contractor's obligations under such contract.
- c. A payment bond on the part of the contractor for 100 percent of the contract price. A "payment bond" is one executed in connection with a contract to assure payment, as required by law, of all persons supplying labor and material in the execution of the work provided for in the contract. Payment bond amounts required from Contractors are as follows:
 - (1) 50% of the contract price if the contract price is not more than \$1 million;
 - (2) 40% of the contract price if the contract price is more than \$1 million but not more than \$5 million; or
 - (3) \$2.5 million if the contract price is more than \$5 million.
- d. A cash deposit, certified check or other negotiable instrument may be accepted by a grantee in lieu of performance and payment bonds, provided the grantee has established a procedure to assure that the interest of FTA is adequately protected. An irrevocable letter of credit would also satisfy the requirement for a bond.

Bid Bond Requirements (Construction)

(a) Bid Security - A Bid Bond must be issued by a fully qualified surety company acceptable to (Recipient) and listed as a company currently authorized under 31 CFR, Part 223 as possessing a Certificate of Authority as described thereunder.

(b) Rights Reserved - In submitting this Bid, it is understood and agreed by bidder that the right is reserved by (Recipient) to reject any and all bids, or part of any bid, and it is agreed that the Bid may not be withdrawn for a period of [ninety (90)] days subsequent to the opening of bids, without the written consent of (Recipient). It is also understood and agreed that if the undersigned bidder should withdraw any part or all of his bid within [ninety (90)] days after the bid opening without the written consent of (Recipient), shall refuse or be unable to enter into this Contract, as provided above, or refuse or be unable to furnish adequate and acceptable Performance Bonds and Labor and Material Payments Bonds, as provided above, or refuse or be unable to furnish adequate and acceptable insurance, as provided above, he shall forfeit his bid security to the extent of (Recipient's) damages occasioned by such withdrawal, or refusal, or inability to enter into an agreement, or provide adequate security therefor. It is further understood and agreed that to the extent the defaulting bidder's Bid Bond, Certified Check, Cashier's Check, Treasurer's Check, and/or Official Bank Check (excluding any income generated thereby which has been retained by (Recipient) as provided in [Item x "Bid Security" of the Instructions to Bidders]) shall prove inadequate to fully recompense (Recipient) for the damages occasioned by default, then the undersigned bidder agrees to indemnify (Recipient) and pay over to (Recipient) the difference between the bid security and (Recipient's) total damages, so as to make (Recipient) whole. The undersigned understands that any material alteration of any of the above or any of the material contained on this form, other than that requested, will render the bid unresponsive.

Performance and Payment Bonding Requirements (Construction)

The Contractor shall be required to obtain performance and payment bonds as follows:

(a) Performance bonds

1. The penal amount of performance bonds shall be 100 percent of the original contract price, unless the (Recipient) determines that a lesser amount would be adequate for the protection of the (Recipient).
2. The (Recipient) may require additional performance bond protection when a contract price is increased. The increase in protection shall generally equal 100 percent of the increase in contract price. The (Recipient) may secure additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.

(b) Payment bonds

1. The penal amount of the payment bonds shall equal:

(i) Fifty percent of the contract price if the contract price is not more than \$1 million.

(ii) Forty percent of the contract price if the contract price is more than \$1 million but not more than \$5 million; or

(iii) Two and one half million if the contract price is more than \$5 million.

2. If the original contract price is \$5 million or less, the (Recipient) may require additional protection as required by subparagraph 1 if the contract price is increased.

Performance and Payment Bonding Requirements (Non-Construction) The Contractor may be required to obtain performance and payment bonds when necessary to protect the (Recipient's) interest.

(a) The following situations may warrant a performance bond:

1. (Recipient) property or funds are to be provided to the contractor for use in performing the contract or as partial compensation (as in retention of salvaged material).
2. A contractor sells assets to or merges with another concern, and the (Recipient), after recognizing the latter concern as the successor in interest, desires assurance that it is financially capable.
3. Substantial progress payments are made before delivery of end items starts.
4. Contracts are for dismantling, demolition, or removal of improvements.

(b) When it is determined that a performance bond is required, the Contractor shall be required to obtain performance bonds as follows:

1. The penal amount of performance bonds shall be 100 percent of the original contract price, unless the (Recipient) determines that a lesser amount would be adequate for the protection of the (Recipient).

2. The (Recipient) may require additional performance bond protection when a contract price is increased. The increase in protection shall generally equal 100 percent of the increase in contract price. The (Recipient) may secure additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.

(c) A payment bond is required only when a performance bond is required, and if the use of payment bond is in the (Recipient's) interest.

(d) When it is determined that a payment bond is required, the Contractor shall be required to obtain payment bonds as follows:

1. The penal amount of payment bonds shall equal:

(i) Fifty percent of the contract price if the contract price is not more than \$1 million;

(ii) Forty percent of the contract price if the contract price is more than \$1 million but not more than \$5 million; or

(iii) Two and one half million if the contract price is increased. Advance Payment Bonding Requirements The Contractor may be required to obtain an advance payment bond if the contract contains an advance payment provision and a performance bond is not furnished. The (recipient) shall determine the amount of the advance payment bond necessary to protect the (Recipient). Patent Infringement Bonding Requirements (Patent Indemnity) The Contractor may be required to obtain a patent indemnity bond if a performance bond is not furnished and the financial responsibility of the Contractor is unknown or doubtful. The (recipient) shall determine the amount of the patent indemnity to protect the (Recipient).

Warranty of the Work and Maintenance Bonds

1. The Contractor warrants to (Recipient), the Architect and/or Engineer that all materials and equipment furnished under this Contract will be of highest quality and new unless otherwise specified by (Recipient), free from faults and defects and in conformance with the Contract Documents. All work not so conforming to these standards shall be considered defective. If required by the [Project Manager], the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

2. The Work furnished must be of first quality and the workmanship must be the best obtainable in the various trades. The Work must be of safe, substantial and durable construction in all respects. The Contractor hereby guarantees the Work against defective materials or faulty workmanship for a minimum period of one (1) year after Final Payment by (Recipient) and shall replace or repair any defective materials or equipment or faulty workmanship during the period of the guarantee at no cost to (Recipient). As additional security for these guarantees, the Contractor shall, prior to the release of Final Payment [as provided in Item X below], furnish separate Maintenance (or Guarantee) Bonds in form acceptable to (Recipient) written by the same corporate surety that provides the Performance Bond and Labor and Material Payment Bond for this Contract. These bonds shall secure the Contractor's obligation to replace or repair defective materials and faulty workmanship for a minimum period of one (1) year after Final Payment and shall be written in an amount equal to ONE HUNDRED PERCENT (100%) of the CONTRACT SUM, as adjusted (if at all).

Clean Air – Applicability – All contracts over \$150,000. 1) Contractor shall comply with all applicable standards, orders or regulations pursuant to the Clean Air Act, 42 USC 7401 et seq. Contractor shall report each violation to the recipient and understands and agrees that the recipient will, in turn, report each violation as required to FTA and the appropriate EPA Regional Office. 2) Contractor shall include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with FTA assistance.

Recycled Products – Applicability – All contracts for items designated by the EPA, when the purchaser or contractor procures \$10,000 or more of one of these items during the current or previous fiscal year using Federal funds. The contractor agrees to comply with all the requirements of Section 6002 of the Resource Conservation and Recovery Act (RCRA), as amended (42 U.S.C. 6962), including but not limited to the regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 CFR Part 247.

Davis-Bacon and Copeland Anti-Kickback Acts – Applicability – Construction contracts and subcontracts, including actual construction, alteration and/or repair, including decorating and painting, over \$2,000

1. Minimum wages - (i) All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR Part 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. (ii)(A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met: (1) Except with respect to helpers as defined as 29 CFR 5.2(n)(4), the work to be performed by the classification requested is not performed by a classification in the wage determination; and (2) The classification is utilized in the area by the construction industry; and (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and (4) With respect to helpers as defined in 29 CFR 5.2(n)(4), such a classification prevails in the area in which the work is performed. (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30day period that additional time is necessary. (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof. (iv) If the contractor does not make payments to

- a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (v)(A) The contracting officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefor only when the following criteria have been met: (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and (2) The classification is utilized in the area by the construction industry; and (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination. (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary. (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(v) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
2. Withholding - The recipient shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract, the grantee may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.
 3. Payrolls and basic records - (i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs. (ii)(A) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the recipient for transmission to the Federal Transit Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under section 5.5(a)(3)(i) of Regulations, 29 CFR part 5. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, DC 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following: (1) That the payroll for the payroll period contains the information required to be maintained under section 5.5(a)(3)(i) of Regulations, 29 CFR part 5 and that such information is correct and complete; (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3; (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract. (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section. (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code. (iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the Federal Transit Administration or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
 4. Apprentices and trainees - (i) Apprentices - Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division of the U.S. Department of Labor determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved. (ii) Trainees - Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the

Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved. (iii) Equal employment opportunity - The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

5. Compliance with Copeland Act requirements - The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
6. Subcontracts - The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the Federal Transit Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
7. Contract termination: debarment - A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
8. Compliance with Davis-Bacon and Related Act requirements - All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
9. Disputes concerning labor standards - Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
10. Certification of Eligibility - (i) By entering into this contract, contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1). (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1). (iii) The penalty for making false statements is prescribed in 18 USC 1001.

Contract Work Hours & Safety Standards Act – Applicability – Contracts over \$250,000

(1) Overtime requirements - No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violation; liability for unpaid wages; liquidated damages - In the event of any violation of the clause set forth in para. (1) of this section, contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in para. (1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in para. (1) of this section.

(3) Withholding for unpaid wages and liquidated damages - the recipient shall upon its own action or upon written request of USDOL withhold or cause to be withheld, from any moneys payable on account of work performed by contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours & Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in para. (2) of this section.

(4) Subcontracts - Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. Prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this section.

No Government Obligation to Third Parties – Applicability – All contracts except micropurchases (\$10,000 or less, except for construction contracts over \$2,000)

(1) The recipient and contractor acknowledge and agree that, notwithstanding any concurrence by the US Government in or approval of the solicitation or award of the underlying contract, absent the express written consent by the US Government, the US Government is not a party to this contract and shall not be subject to any obligations or liabilities to the recipient, the contractor, or any other party (whether or not a party to that contract) pertaining to any matter resulting from the underlying contract.

(2) Contractor agrees to include the above clause in each subcontract financed in whole or in part with FTA assistance. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

Program Fraud and False or Fraudulent Statements or Related Acts – Applicability – All contracts except micro-purchases (\$10,000 or less, except for construction contracts over \$2,000)

(1) Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 USC 3801 et seq. and USDOT regulations, "Program Fraud Civil Remedies," 49 CFR 31, apply to its actions pertaining to this project. Upon execution of the underlying contract, contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submittal, or certification, the US Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act (1986) on contractor to the extent the US Government deems appropriate.

(2) If contractor makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submittal, or certification to the US Government under a contract connected with a project that is financed in whole or in part with FTA assistance under the authority of 49 USC 5307, the Government reserves the right to impose the penalties of 18 USC 1001 and 49 USC 5307(n)(1) on contractor, to the extent the US Government deems appropriate. (3) Contractor shall include the above two clauses in each subcontract financed in whole or in part with FTA assistance. The clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

Termination – Applicability – All Contracts over \$10,000, except contracts with nonprofit organizations and institutions of higher learning, where the threshold is \$250,000

- a. Termination for Convenience (General Provision) the recipient may terminate this contract, in whole or in part, at any time by written notice to contractor when it is in the recipient's best interest. Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. Contractor shall promptly submit its termination claim to the recipient. If contractor is in possession of any of the recipient's property, contractor shall account for same, and dispose of it as the recipient directs.
- b. Termination for Default [Breach or Cause] (General Provision) If contractor does not deliver items in accordance with the contract delivery schedule, or, if the contract is for services, and contractor fails to perform in the manner called for in the contract, or if contractor fails to comply with any other provisions of the contract, the recipient may terminate this contract for default. Termination shall be effected by serving a notice of termination to contractor setting forth the manner in which contractor is in default. Contractor shall only be paid the contract price for supplies delivered and accepted, or for services performed in accordance with the manner of performance set forth in the contract. If it is later determined by the recipient that contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of contractor, the recipient, after setting up a new delivery or performance schedule, may allow contractor to continue work, or treat the termination as a termination for convenience.
- c. Opportunity to Cure (General Provision) the recipient in its sole discretion may, in the case of a termination for breach or default, allow contractor an appropriately short period of time in which to cure the defect. In such case, the notice of termination shall state the time period in which cure is permitted and other appropriate conditions. If contractor fails to remedy to the recipient's satisfaction the breach or default or any of the terms, covenants, or conditions of this Contract within ten (10) days after receipt by contractor or written notice from the recipient setting forth the nature of said breach or default, the recipient shall have the right to terminate the Contract without any further obligation to contractor. Any such termination for default shall not in any way operate to preclude the recipient from also pursuing all available remedies against contractor and its sureties for said breach or default.
- d. Waiver of Remedies for any Breach In the event that the recipient elects to waive its remedies for any breach by contractor of any covenant, term or condition of this Contract, such waiver by the recipient shall not limit its remedies for any succeeding breach of that or of any other term, covenant, or condition of this Contract.
- e. Termination for Convenience (Professional or Transit Service Contracts) the recipient, by written notice, may terminate this contract, in whole or in part, when it is in the recipient's interest. If the contract is terminated, the recipient shall be liable only for payment under the payment provisions of this contract for services rendered before the effective date of termination.
- f. Termination for Default (Supplies and Service) If contractor fails to deliver supplies or to perform the services within the time specified in this contract or any extension or if the contractor fails to comply with any other provisions of this contract, the recipient may terminate this contract for default. The recipient shall terminate by delivering to contractor a notice of termination specifying the nature of default. Contractor shall only be paid the contract price for supplies delivered and accepted, or services performed in accordance with the manner or performance set forth in this contract. If, after termination for failure to fulfill contract obligations, it is determined that contractor was not in default, the rights and obligations of the parties shall be the same as if termination had been issued for the recipient's convenience.
- g. Termination for Default (Transportation Services) If contractor fails to pick up the commodities or to perform the services, including delivery services, within the time specified in this contract or any extension or if contractor fails to comply with any other provisions of this contract, the recipient may terminate this contract for default. The recipient shall terminate by delivering to contractor a notice of termination specifying the nature of default. Contractor shall only be paid the contract price for services performed in accordance with the manner of performance set forth in this contract. If this contract is terminated while contractor has possession of the recipient goods, contractor shall, as directed by the recipient, protect and preserve the goods until surrendered to the recipient or its agent. Contractor and the recipient shall agree on payment for the preservation and protection of goods. Failure to agree on an amount shall be resolved under the Dispute clause. If, after termination for failure to fulfill contract obligations, it is determined that contractor was not in default, the rights and obligations of the parties shall be the same as if termination had been issued for the recipient's convenience.
- h. Termination for Default (Construction) If contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified, or any extension, or fails to complete the work within this time, or if contractor fails to comply with any other provisions of this contract, the recipient may terminate this contract for default. The recipient shall terminate by delivering to contractor a notice of termination specifying the nature of default. In this event, the recipient may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. Contractor and its sureties shall be liable for any damage to the recipient resulting from contractor's refusal or failure to complete the work within specified time, whether or not contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the recipient in completing the work. Contractor's right to proceed shall not be terminated nor shall contractor be charged with damages under this clause if:
1. Delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of contractor. Examples of such causes include: acts of God, acts of the recipient, acts of another contractor in the performance of a contract with the recipient, epidemics, quarantine restrictions, strikes, freight embargoes; and
 2. Contractor, within 10 days from the beginning of any delay, notifies the recipient in writing of the causes of delay. If in the recipient's judgment, delay is excusable, the time for completing the work shall be extended. The recipient's judgment shall be final and conclusive on the parties, but subject to appeal under the Disputes clauses.
- If, after termination of contractor's right to proceed, it is determined that contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if termination had been issued for the recipient's convenience.
- i. Termination for Convenience or Default (Architect & Engineering) the recipient may terminate this contract in whole or in part, for the recipient's convenience or because of contractor's failure to fulfill contract obligations. The recipient shall terminate by delivering to contractor a notice of termination specifying the nature, extent, and effective date of termination. Upon receipt of the notice, contractor shall (1) immediately discontinue all services affected (unless the notice directs otherwise), and (2) deliver to the recipient all data, drawings, specifications, reports, estimates, summaries, and other information and materials accumulated in performing this contract, whether completed or in process. If termination is for the recipient's convenience, it shall make an equitable adjustment in the contract price but shall allow no anticipated profit on unperformed services. If termination is for contractor's failure to fulfill contract obligations, the recipient may complete the work by contract or otherwise and contractor shall be liable for any additional cost incurred by the recipient. If, after termination for failure to fulfill contract obligations, it is determined that contractor was not in default, the rights and obligations of the parties shall be the same as if termination had been issued for the recipient's convenience.
- j. Termination for Convenience or Default (Cost-Type Contracts) the recipient may terminate this contract, or any portion of it, by serving a notice of termination on contractor. The notice shall state whether termination is for convenience of the recipient or for default of contractor. If termination is for default, the notice shall state the manner in which contractor has failed to perform the requirements of the contract. Contractor shall account for any property in its possession paid for from funds received from the recipient, or property supplied to contractor by the recipient. If termination is for default, the recipient may fix the fee, if the contract provides for a fee, to be paid to contractor in proportion to the value, if any, of work performed up to the time of termination. Contractor shall promptly submit its termination claim to the recipient and the parties shall negotiate the termination settlement to be paid to contractor. If termination is for the recipient's convenience, contractor shall be paid its contract close-out costs, and a fee, if the contract provided for payment of a fee, in proportion to the work performed up to the time of termination. If, after serving a notice of termination for default, the recipient

determines that contractor has an excusable reason for not performing, such as strike, fire, flood, events which are not the fault of and are beyond the control of contractor, the recipient, after setting up a new work schedule, may allow contractor to continue work, or treat the termination as a termination for convenience.

Government-Wide Debarment and Suspension (Nonprocurement) – Applicability – Contracts over \$25,000 The Recipient agrees to the following:

(1) It will comply with the requirements of 2 C.F.R. part 180, subpart C, as adopted and supplemented by U.S. DOT regulations at 2 C.F.R. part 1200, which include the following: (a) It will not enter into any arrangement to participate in the development or implementation of the Project with any Third Party Participant that is debarred or suspended except as authorized by: 1 U.S. DOT regulations, "Nonprocurement Suspension and Debarment," 2 C.F.R. part 1200, 2 U.S. OMB, "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. part 180, including any amendments thereto, and 3 Executive Orders Nos. 12549 and 12689, "Debarment and Suspension," 31 U.S.C. § 6101 note, (b) It will review the U.S. GSA "System for Award Management," <https://www.sam.gov>, if required by U.S. DOT regulations, 2 C.F.R. part 1200, and (c) It will include, and require each of its Third Party Participants to include, a similar provision in each lower tier covered transaction, ensuring that each lower tier Third Party Participant: 1 Will comply with Federal debarment and suspension requirements, and 2 Reviews the "System for Award Management" at <https://www.sam.gov>, if necessary to comply with U.S. DOT regulations, 2 C.F.R. part 1200, and (2) If the Recipient suspends, debars, or takes any similar action against a Third Party Participant or individual, the Recipient will provide immediate written notice to the: (a) FTA Regional Counsel for the Region in which the Recipient is located or implements the Project, (b) FTA Project Manager if the Project is administered by an FTA Headquarters Office, or (c) FTA Chief Counsel,

Contracts Involving Federal Privacy Act Requirements – Applicability – When a grantee maintains files on drug and alcohol enforcement activities for FTA, and those files are organized so that information could be retrieved by personal identifier, the Privacy Act requirements apply to all contracts except micro-purchases (\$10,000 or less, except for construction contracts over \$2,000) The following requirements apply to the Contractor and its employees that administer any system of records on behalf of the Federal Government under any contract:

(1) The Contractor agrees to comply with, and assures the compliance of its employees with, the information restrictions and other applicable requirements of the Privacy Act of 1974, 5 U.S.C. § 552a. Among other things, the Contractor agrees to obtain the express consent of the Federal Government before the Contractor or its employees operate a system of records on behalf of the Federal Government. The Contractor understands that the requirements of the Privacy Act, including the civil and criminal penalties for violation of that Act, apply to those individuals involved, and that failure to comply with the terms of the Privacy Act may result in termination of the underlying contract.

(2) The Contractor also agrees to include these requirements in each subcontract to administer any system of records on behalf of the Federal Government financed in whole or in part with Federal assistance provided by FTA.

Civil Rights Requirements – Applicability – All contracts except micro-purchases (\$10,000 or less, except for construction contracts over \$2,000) The following requirements apply to the underlying contract:

The Recipient understands and agrees that it must comply with applicable Federal civil rights laws and regulations, and follow applicable Federal guidance, except as the Federal Government determines otherwise in writing. Therefore, unless a Recipient or Program, including an Indian Tribe or the Tribal Transit Program, is specifically exempted from a civil rights statute, FTA requires compliance with that civil rights statute, including compliance with equity in service:

a. Nondiscrimination in Federal Public Transportation Programs. The Recipient agrees to, and assures that each Third Party Participant will, comply with Federal transit law, 49 U.S.C. § 5332 (FTA's "Nondiscrimination" statute): (1) FTA's "Nondiscrimination" statute prohibits discrimination on the basis of: (a) Race, (b) Color, (c) Religion, (d) National origin, (e) Sex, (f) Disability, (g) Age, or (h) Gender identity and (2) The FTA "Nondiscrimination" statute's prohibition against discrimination includes: (a) Exclusion from participation, (b) Denial of program benefits, or (c) Discrimination, including discrimination in employment or business opportunity, (3) Except as FTA determines otherwise in writing: (a) General. Follow: 1 The most recent edition of FTA Circular 4702.1, "Title VI Requirements and Guidelines for Federal Transit Administration Recipients," to the extent consistent with applicable Federal laws, regulations, and guidance, and 2 Other applicable Federal guidance that may be issued, but (b) Exception for the Tribal Transit Program. FTA does not require an Indian Tribe to comply with FTA program-specific guidelines for Title VI when administering its projects funded under the Tribal Transit Program,

b. Nondiscrimination – Title VI of the Civil Rights Act. The Recipient agrees to, and assures that each Third Party Participant will: (1) Prohibit discrimination based on: (a) Race, (b) Color, or (c) National origin, (2) Comply with: (a) Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d et seq., (b) U.S. DOT regulations, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation – Effectuation of Title VI of the Civil Rights Act of 1964," 49 C.F.R. part 21, and (c) Federal transit law, specifically 49 U.S.C. § 5332, as stated in the preceding section a, and (3) Except as FTA determines otherwise in writing, follow: (a) The most recent edition of FTA Circular 4702.1, "Title VI and Title VI-Dependent Guidelines for Federal Transit Administration Recipients," to the extent consistent with applicable Federal laws, regulations, and guidance. (b) U.S. DOJ, "Guidelines for the enforcement of Title VI, Civil Rights Act of 1964," 28 C.F.R. § 50.3, and (c) Other applicable Federal guidance that may be issued,

c. Equal Employment Opportunity. (1) Federal Requirements and Guidance. The Recipient agrees to, and assures that each Third Party Participant will, prohibit discrimination on the basis of race, color, religion, sex, or national origin, and: (a) Comply with Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000e et seq., (b) Facilitate compliance with Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order No. 11246, Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note, (c) Comply with Federal transit law, specifically 49 U.S.C. § 5332, as stated in section a, and (d) Comply with FTA Circular 4704.1, other applicable EEO laws and regulations, as provided in Federal guidance, including laws and regulations prohibiting discrimination on the basis of disability, except as the Federal Government determines otherwise in writing, (2) General. The Recipient agrees to: (a) Ensure that applicants for employment are employed and employees are treated during employment without discrimination on the basis of their: 1 Race, 2 Color, 3 Religion, 4 Sex, 5 Disability, 6 Age, or 7 National origin, (b) Take affirmative action that includes, but is not limited to: 1 Recruitment advertising, 2 Recruitment, 3 Employment, 4 Rates of pay, 5 Other forms of compensation, 6 Selection for training, including apprenticeship, 7 Upgrading, 8 Transfers, 9 Demotions, 10 Layoffs, and 11 Terminations, but (b) Indian Tribe. Title VII of the Civil Rights Act of 1964, as amended, exempts Indian Tribes under the definition of "Employer". (3) Equal Employment Opportunity Requirements for Construction Activities. In addition to the foregoing, when undertaking "construction" as recognized by the U.S. Department of Labor (U.S. DOL), the Recipient agrees to comply, and assures the compliance of each Third Party Participant, with: (a) U.S. DOL regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. chapter 60, and (b) Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order No. 11246, Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note,

d. Disadvantaged Business Enterprise. To the extent authorized by applicable Federal law, the Recipient agrees to facilitate, and assures that each Third Party Participant will facilitate, participation by small business concerns owned and controlled by socially and economically disadvantaged individuals, also referred to as "Disadvantaged Business Enterprises" (DBEs), in the Project as follows: 1) Requirements. The Recipient agrees to comply with: (a) Section 1101(b) of Map-21, 23 U.S.C. § 101 note, (b) U.S. DOT regulations, "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs," 49 C.F.R. part 26, and (c) Federal transit law, specifically 49 U.S.C. § 5332, as stated in section a, (2) Assurance. As required by 49 C.F.R. § 26.13(a), (b) DBE Program Requirements. Recipients receiving planning, capital and/or operating

assistance that will award prime third party contracts exceeding \$250,000 in a Federal fiscal year must: 1 Have a DBE program meeting the requirements of 49 C.F.R. part 26, 2 Implement a DBE program approved by FTA, and 3 Establish an annual DBE participation goal, (c) Special Requirements for a Transit Vehicle Manufacturer. The Recipient understands and agrees that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, must certify that it has complied with the requirements of 49 C.F.R. part 26, (d) the Recipient provides assurance that: The Recipient shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT-assisted contract or in the administration of its DBE program or the requirements of 49 C.F.R. part 26. The Recipient shall take all necessary and reasonable steps under 49 C.F.R. part 26 to ensure nondiscrimination in the award and administration of DOT-assisted contracts. The Recipient's DBE program, as required by 49 C.F.R. part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Recipient of its failure to carry out its approved program, the Department may impose sanctions as provided for under 49 C.F.R. part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. § 1001 and/or the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq., (2) Exception for the Tribal Transit Program. FTA exempts Indian tribes from the Disadvantaged Business Enterprise regulations at 49 C.F.R. part 26 under Map-21and previous legislation,

e. Nondiscrimination on the Basis of Sex. The Recipient agrees to comply with Federal prohibitions against discrimination on the basis of sex, including: (1) Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. § 1681 et seq., (2) U.S. DOT regulations, "Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance," 49 C.F.R. part 25, and (3) Federal transit law, specifically 49 U.S.C. § 5332, as stated in section a,

f. Nondiscrimination on the Basis of Age. The Recipient agrees to comply with Federal prohibitions against discrimination on the basis of age, including: (1) The Age Discrimination in Employment Act (ADEA), 29 U.S.C. §§ 621 – 634, which prohibits discrimination on the basis of age, (2) U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, "Age Discrimination in Employment Act," 29 C.F.R. part 1625, which implements the ADEA, (3) The Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6101 et seq., which prohibits discrimination against individuals on the basis of age in the administration of programs or activities receiving Federal funds, (4) U.S. Health and Human Services regulations, "Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance," 45 C.F.R. part 90, which implements the Age Discrimination Act of 1975, and (5) Federal transit law, specifically 49 U.S.C. § 5332, as stated in section a,

g. Nondiscrimination on the Basis of Disability. The Recipient agrees to comply with the following Federal prohibitions pertaining to discrimination against seniors or individuals with disabilities: (1) Federal laws, including: (a) Section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, which prohibits discrimination on the basis of disability in the administration of federally funded programs or activities, (b) The Americans with Disabilities Act of 1990 (ADA), as amended, 42 U.S.C. § 12101 et seq., which requires that accessible facilities and services be made available to individuals with disabilities, 1 General. Titles I, II, and III of the ADA apply to FTA Recipients, but 2 Indian Tribes. While Titles II and III of the ADA apply to Indian Tribes, Title I of the ADA exempts Indian Tribes from the definition of "employer," (c) The Architectural Barriers Act of 1968, as amended, 42 U.S.C. § 4151 et seq., which requires that buildings and public accommodations be accessible to individuals with disabilities, (d) Federal transit law, specifically 49 U.S.C. § 5332, which now includes disability as a prohibited basis for discrimination, and (e) Other applicable laws and amendments pertaining to access for elderly individuals or individuals with disabilities, (2) Federal regulations, including: (a) U.S. DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 C.F.R. part 37, (b) U.S. DOT regulations, "Nondiscrimination on the Basis of Disability in Programs and Activities Receiving or Benefiting from Federal Financial Assistance," 49 C.F.R. part 27, (c) U.S. DOT regulations, "Transportation for Individuals with Disabilities: Passenger Vessels," 49 C.F.R. part 39, (d) Joint U.S. Architectural and Transportation Barriers Compliance Board (U.S. ATBCB) and U.S. DOT regulations, "Americans With Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 36 C.F.R. part 1192 and 49 C.F.R. part 38, (e) U.S. DOJ regulations, "Nondiscrimination on the Basis of Disability in State and Local Government Services," 28 C.F.R. part 35, (f) U.S. DOJ regulations, "Nondiscrimination on the Basis of Disability by Public Accommodations and in Commercial Facilities," 28 C.F.R. part 36, (g) U.S. EEOC, "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 C.F.R. part 1630, (h) U.S. Federal Communications Commission regulations, "Telecommunications Relay Services and Related Customer Premises Equipment for Persons with Disabilities," 47 C.F.R. part 64, Subpart F, (i) U.S. ATBCB regulations, "Electronic and Information Technology Accessibility Standards," 36 C.F.R. part 1194, and (j) FTA regulations, "Transportation for Elderly and Handicapped Persons," 49 C.F.R. part 609, and (3) Other applicable Federal civil rights and nondiscrimination guidance,

h. Drug or Alcohol Abuse - Confidentiality and Other Civil Rights Protections. The Recipient agrees to comply with the confidentiality and civil rights protections of: (1) The Drug Abuse Office and Treatment Act of 1972, as amended, 21 U.S.C. § 1101 et seq., (2) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, as amended, 42 U.S.C. § 4541 et seq., and (3) The Public Health Service Act, as amended, 42 U.S.C. §§ 290dd – 290dd-2,

i. Access to Services for People with Limited English Proficiency. Except as the Federal Government determines otherwise in writing, the Recipient agrees to promote accessibility of public transportation services to people whose understanding of English is limited by following: 1) Executive Order No. 13166, "Improving Access to Services for Persons with Limited English Proficiency," August 11, 2000, 42 U.S.C. § 2000d-1 note, and (2) U.S. DOT Notice, "DOT Policy Guidance Concerning Recipients' Responsibilities to Limited English Proficiency (LEP) Persons," 70 Fed. Reg. 74087, December 14, 2005,

j. Other Nondiscrimination Laws. Except as the Federal Government determines otherwise in writing, the Recipient agrees to: (1) Comply with other applicable Federal nondiscrimination laws and regulations, and (2) Follow Federal guidance prohibiting discrimination.

k. Remedies. Remedies for failure to comply with applicable Federal Civil Rights laws and Federal regulations may be enforced as provided in those Federal laws or Federal regulations.

Breaches and Dispute Resolution – Applicability – All contracts over \$250,000 Disputes arising in the performance of this contract which are not resolved by agreement of the parties shall be decided in writing by the recipient's authorized representative. This decision shall be final and conclusive unless within ten days from the date of receipt of its copy, contractor mails or otherwise furnishes a written appeal to the recipient's CEO. In connection with such appeal, contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the recipient's CEO shall be binding upon contractor and contractor shall abide by the decision. FTA has a vested interest in the settlement of any violation of Federal law including the False Claims Act, 31 U.S.C. § 3729.

Performance During Dispute - Unless otherwise directed by the recipient, contractor shall continue performance under this contract while matters in dispute are being resolved. Claims for Damages - Should either party to the contract suffer injury or damage to person or property because of any act or omission of the party or of any of his employees, agents or others for whose acts he is legally liable, a claim for damages therefore shall be made in writing to such other party within ten days after the first observance of such injury or damage.

Remedies - Unless this contract provides otherwise, all claims, counterclaims, disputes and other matters in question between the recipient and contractor

arising out of or relating to this agreement or its breach will be decided by arbitration if the parties mutually agree, or in a court of competent jurisdiction within the residing State.

Rights and Remedies - Duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by the recipient or contractor shall constitute a waiver of any right or duty afforded any of them under the contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

Disadvantaged Business Enterprise (DBE) – Applicability – Contracts over \$10,000 awarded on the basis of a bid or proposal offering to use DBEs

- a. This contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26, Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs. The national goal for participation of Disadvantaged Business Enterprises (DBE) is 10%. The recipient's overall goal for DBE participation is listed elsewhere. If a separate contract goal for DBE participation has been established for this procurement, it is listed elsewhere.
- b. The contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of this contract. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the municipal corporation deems appropriate. Each subcontract the contractor signs with a subcontractor must include the assurance in this paragraph (see 49 CFR 26.13(b)).
- c. If a separate contract goal has been established, Bidders/offerors are required to document sufficient DBE participation to meet these goals or, alternatively, document adequate good faith efforts to do so, as provided for in 49 CFR 26.53.
- d. If no separate contract goal has been established, the successful bidder/offeror will be required to report its DBE participation obtained through race-neutral means throughout the period of performance.
- e. The contractor is required to pay its subcontractors performing work related to this contract for satisfactory performance of that work no later than 30 days after the contractor's receipt of payment for that work from the recipient. In addition, the contractor may not hold retainage from its subcontractors or must return any retainage payments to those subcontractors within 30 days after the subcontractor's work related to this contract is satisfactorily completed or must return any retainage payments to those subcontractors within 30 days after incremental acceptance of the subcontractor's work by the recipient and contractor's receipt of the partial retainage payment related to the subcontractor's work.
- f. The contractor must promptly notify the recipient whenever a DBE subcontractor performing work related to this contract is terminated or fails to complete its work, and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of the recipient.

Prompt Payment – Applicability – All contracts except micropurchases \$10,000 or less, (except for construction contracts over \$2,000)

The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than 30 days from the receipt of each payment the prime contract receives from the Recipient. The prime contractor agrees further to return retainage payments to each subcontractor within 30 days after the subcontractors work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the Recipient. This clause applies to both DBE and non-DBE subcontracts.

Incorporation of Federal Transit Administration (FTA) Terms – Applicability – All contracts except micro-purchases (\$10,000 or less, except for construction contracts over \$2,000)

The preceding provisions include, in part, certain Standard Terms & Conditions required by USDOT, whether or not expressly stated in the preceding contract provisions. All USDOT required contractual provisions, as stated in FTA Circular 4220.1F, are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Agreement. The contractor shall not perform any act, fail to perform any act, or refuse to comply with any request that would cause the recipient to be in violation of FTA terms and conditions.

Other Federal Requirements:

Full and Open Competition - In accordance with 49 U.S.C. § 5325(h) all procurement transactions shall be conducted in a manner that provides full and open competition.

Prohibition Against Exclusionary or Discriminatory Specifications - Apart from inconsistent requirements imposed by Federal statute or regulations, the contractor shall comply with the requirements of 49 USC 5323(h)(2) by refraining from using any FTA assistance to support procurements using exclusionary or discriminatory specifications.

Conformance with ITS National Architecture - Contractor shall conform, to the extent applicable, to the National Intelligent Transportation Standards architecture as required by SAFETEA-LU Section 5307(c), 23 U.S.C. Section 512 note and follow the provisions of FTA Notice, "FTA National Architecture Policy on Transit Projects," 66 Fed. Reg. 1455 et seq., January 8, 2001, and any other implementing directives FTA may issue at a later date, except to the extent FTA determines otherwise in writing.

Access Requirements for Persons with Disabilities - Contractor shall comply with 49 USC 5301(d), stating Federal policy that the elderly and persons with disabilities have the same rights as other persons to use mass transportation services and facilities and that special efforts shall be made in planning and designing those services and facilities to implement that policy. Contractor shall also comply with all applicable requirements of Sec. 504 of the Rehabilitation Act (1973), as amended, 29 USC 794, which prohibits discrimination on the basis of handicaps, and the Americans with Disabilities Act of 1990 (ADA), as amended, 42 USC 12101 et seq., which requires that accessible facilities and services be made available to persons with disabilities, including any subsequent amendments thereto.

Notification of Federal Participation - To the extent required by law, in the announcement of any third party contract award for goods and services (including construction services) having an aggregate value of \$500,000 or more, contractor shall specify the amount of Federal assistance to be used in financing that acquisition of goods and services and to express that amount of Federal assistance as a percentage of the total cost of the third party contract.

Interest of Members or Delegates to Congress - No members of, or delegates to, the US Congress shall be admitted to any share or part of this contract nor to any benefit arising therefrom.

Ineligible Contractors and Subcontractors - Any name appearing upon the Comptroller General's list of ineligible contractors for federally-assisted contracts shall be ineligible to act as a subcontractor for contractor pursuant to this contract. If contractor is on the Comptroller General's list of ineligible contractors for federally financed or assisted construction, the recipient shall cancel, terminate or suspend this contract.

Other Contract Requirements - To the extent not inconsistent with the foregoing Federal requirements, this contract shall also include those standard clauses attached hereto, and shall comply with the recipient's Procurement Guidelines, available upon request from the recipient.

Compliance With Federal Regulations - Any contract entered pursuant to this solicitation shall contain the following provisions: All USDOT-required contractual provisions, as set forth in FTA Circular 4220.1F, are incorporated by reference. Anything to the contrary herein notwithstanding, FTA mandated terms shall control in the event of a conflict with other provisions contained in this Agreement. Contractor shall not perform any act, fail to perform any act, or refuse to comply with any grantee request that would cause the recipient to be in violation of FTA terms and conditions. Contractor shall comply with all applicable FTA regulations, policies, procedures and directives, including, without limitation, those listed directly or incorporated by reference in the Master Agreement between the recipient and FTA, as may be amended or promulgated from time to time during the term of this contract. Contractor's failure to so comply shall constitute a material breach of this contract.

Real Property - Any contract entered into shall contain the following provisions: Contractor shall at all times comply with all applicable statutes and USDOT regulations, policies, procedures and directives governing the acquisition, use and disposal of real property, including, but not limited to, 49 CFR 18.31-18.34, 49 CFR 19.30-19.37, 49 CFR Part 24, 49 CFR 5326 as amended by Map-21, 49 CFR part 18 or 19, 49 USC 5334, applicable FTA Circular 5010, and FTA Master Agreement, as they may be amended or promulgated during the term of this contract. Contractor's failure to so comply shall constitute a material breach of this contract.

Access to Services for Persons with Limited English Proficiency - To the extent applicable and except to the extent that FTA determines otherwise in writing, the Recipient agrees to comply with the policies of Executive Order No. 13166, "Improving Access to Services for Persons with Limited English Proficiency," 42 U.S.C. § 2000d 1 note, and with the provisions of U.S. DOT Notice, "DOT Guidance to Recipients on Special Language Services to Limited English Proficient (LEP) Beneficiaries," 70 Fed. Reg. 74087, December 14, 2005.

Environmental Justice - Except as the Federal Government determines otherwise in writing, the Recipient agrees to promote environmental justice by following: (1) Executive Order No. 12898, "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations," February 11, 1994, 42 U.S.C. § 4321 note, as well as facilitating compliance with that Executive Order, and (2) DOT Order 5610.2, "Department of Transportation Actions To Address Environmental Justice in Minority Populations and Low-Income Populations," 62 Fed. Reg. 18377, April 15, 1997, and (3) The most recent and applicable edition of FTA Circular 4703.1, "Environmental Justice Policy Guidance for Federal Transit Administration Recipients," August 15, 2012, to the extent consistent with applicable Federal laws, regulations, and guidance,

Environmental Protections - Compliance is required with any applicable Federal laws imposing environmental and resource conservation requirements for the project. Some, but not all, of the major Federal laws that may affect the project include: the National Environmental Policy Act of 1969; the Clean Air Act; the Resource Conservation and Recovery Act; the comprehensive Environmental response, Compensation and Liability Act; as well as environmental provisions with Title 23 U.S.C., and 49 U.C. chapter 53. The U.S. EPA, FHWA and other federal agencies may issue other federal regulations and directives that may affect the project. Compliance is required with any applicable Federal laws and regulations in effect now or that become effective in the future.

Geographic Information and Related Spatial Data - (NOT APPLICABLE TO THE TRIBAL TRANSIT PROGRAM) Any project activities involving spatial data or geographic information systems activities financed with Federal assistance are required to be consistent with the National Spatial Data Infrastructure promulgated by the Federal Geographic Data Committee, except to the extent that FTA determines otherwise in writing.

Geographic Preference - All project activities must be advertised without geographic preference, (except in A/E under certain circumstances, preference for hiring veterans on transit construction projects and geographic-based hiring preferences as proposed to be amended in 2 CFR Part 1201).

Federal Single Audit Requirements - For State Administered Federally Aid Funded Projects Only Non Federal entities that expend \$750,000 or more in a year in Federal awards from all sources are required to comply with the Federal Single Audit Act provisions contained in U.S. Office of Management and Budget (OMB) Circular No. A 133, "Audits of States, Local Governments, and Non Profit Organizations" (replaced with 2 CFR Part 200,"Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" effective December 26, 2014 as applicable). Non Federal entities that expend Federal awards from a single source may provide a program specific audit, as defined in the Circular. Non Federal entities that expend less than the amount above in a year in Federal awards from all sources are exempt from Federal audit requirements for that year, except as noted in Sec. 215 (a) of OMB Circular A-133 Subpart B--Audits, records must be available for review or audit by appropriate officials of the cognizant Federal agency the New York State Department of Transportation, the New York State Comptrollers Office and the U.S. Governmental Accountability Office (GAO). Non Federal entities are required to submit a copy of all audits, as described above, within 30 days of issuance of audit report, but no later than 9 months after the end of the entity's fiscal year, to the New York State Department of Transportation, Contract Audit Bureau, 50 Wolf Road, Albany, NY 12232. Unless a time extension has been granted by the cognizant Federal Agency and has been filed with the New York State Department of Transportation's Contract Audit Bureau, failure to comply with the requirements of OMB Circular A-133 may result in suspension or termination of Federal award payments. Catalog of Federal Domestic Assistance (CFDA) Identification Number The municipal project sponsor is required to identify in its accounts all Federal awards received and expended, and the Federal programs under which they were received. Federal program and award identification shall include, as applicable, the CFDA title and number, award number and year, name of the Federal agency, and name of the pass through entity.

Veterans Preference - As provided by 49 U.S.C. 5325(k), to the extent practicable, the Recipient agrees and assures that each of its Subrecipients: (1) Will give a hiring preference to veterans, as defined in 5 U.S.C. § 2108, who have the skills and abilities required to perform construction work required under a third party contract in connection with a Capital Project supported with federal assistance appropriated or made available for 49 U.S.C. chapter 53, and (2) Will not require an employer to give a preference to any veteran over any equally qualified applicant who is a member of any racial or ethnic minority, female, an individual with a disability, or a former employee.

Safe Operation of Motor Vehicles

a. Seat Belt Use. The Recipient agrees to implement Executive Order No. 13043, "Increasing Seat Belt Use in the United States," April 16, 1997, 23 U.S.C. § 402 note, (62 Fed. Reg. 19217), by: (1) Adopting and promoting on-the-job seat belt use policies and programs for its employees and other personnel that operate company-owned vehicles, company-rented vehicles, or personally operated vehicles, and (2) Including a "Seat Belt Use" provision in each third party agreement related to the Award. b. Distracted Driving, Including Text Messaging While Driving. The Recipient agrees to comply with: (1) Executive Order No. 13513, "Federal Leadership on Reducing Text Messaging While Driving," October 1, 2009, 23 U.S.C. § 402 note, (74 Fed. Reg. 51225), (2) U.S. DOT Order 3902.10, "Text Messaging While Driving," December 30, 2009, and (3) The following U.S. DOT Special Provision pertaining to Distracted Driving: (a) Safety. The Recipient agrees to adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Recipient owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the Award, or when performing any work for or on behalf of the Award, (b) Recipient Size. The Recipient agrees to conduct workplace safety initiatives in a manner commensurate with its size, such as establishing new rules and programs to prohibit text messaging while driving, re-evaluating the existing programs to prohibit text messaging while driving, and providing education, awareness, and other outreach to employees about the safety risks associated with texting while driving, and (c) Extension of Provision. The Recipient agrees to include the preceding Special Provision of section 34.b(3)(a) – (b) of this Master Agreement in its third party agreements, and encourage its Third Party Participants to comply with this Special Provision, and include this Special Provision in each third party subagreement at each tier supported with federal assistance.

Catalog of Federal Domestic Assistance (CFDA) Identification Number - The municipal project sponsor is required to identify in its accounts all Federal awards received and expended, and the Federal programs under which they were received. Federal program and award identification shall include, as applicable, the CFDA title and number, award number and year, name of the Federal agency, and name of the pass through entity.

The CFDA number for the Federal Transit Administration - Nonurbanized Area Formula (Section 5311) is 20.509. A Recipient covered by the Single Audit Act Amendments of 1996 and OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations," (replaced with 2 CFR Part 200,"Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" effective December 26, 2014 as applicable) agrees to separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by OMB Circular A-133. The Recipient agrees to accomplish this by identifying expenditures for Federal awards made under Recovery Act separately on the SEFA, and as separate rows under Item 9 of Part III on the SF-SAC by CFDA number, and inclusion of the prefix "ARRA" in identifying the name of the Federal program on the SEFA and as the first characters in Item 9d of Part III on the SF-SAC.

Organizational Conflicts of Interest - The Recipient agrees that it will not enter into a procurement that involves a real or apparent organizational conflict of interest described as follows: (1) When It Occurs. An organizational conflict of interest occurs when the Project work, without appropriate restrictions on certain future activities, results in an unfair competitive advantage: (a) To that Third Party Participant or another Third Party Participant performing the Project work, and (b) That impairs that Third Party Participant's objectivity in performing the Project work, or (2) Other. An organizational conflict of interest may involve other situations resulting in fundamentally unfair competitive conditions, (3) Disclosure Requirements. Consistent with FTA policies, the Recipient must disclose to FTA, and each of its Subrecipients must disclose to the Recipient: (a) Any instances of organizational conflict of interest, or (b) Violations of federal criminal law, involving fraud, bribery, or gratuity violations potentially affecting the federal award, and (4) Failure to Disclose. Failure to make required disclosures can result in remedies for noncompliance, including debarment or suspension.

SECTION IV
GENERAL CONDITIONS

CITY OF PETALUMA - GENERAL CONDITIONS

TABLE OF CONTENTS

ARTICLE 1 - DEFINITIONS 1

 Addenda..... 1

 Agreement 1

 Application for Payment..... 1

 Asbestos..... 1

 Bid 1

 Bonds 1

 Change Order..... 1

 CITY..... 1

 Clarification..... 1

 Contract Documents 1

 Contract Price 2

 Contract Times 2

 CONTRACTOR..... 2

 Day 2

 Defective Work 2

 Drawings..... 2

 Effective Date of the Agreement 2

 ENGINEER 2

 Field Order..... 2

 Hazardous Waste 2

 Laws and Regulations; Laws or Regulations 2

 Lien or Mechanic’s Lien..... 2

 Milestone 3

 Notice of Award 3

 Notice of Completion 3

 Notice to Proceed..... 3

 Partial Utilization..... 3

 Petroleum..... 3

 Project..... 3

 Record Drawings 3

 Resident Project Representative 3

 Samples..... 3

 Shop Drawings 3

 Site..... 3

 Special Provisions 4

 Specifications 4

 Stop Notice 4

 Subcontractor..... 4

Supplementary General Conditions.....	4
Supplier.....	4
Utilities	4
WORK.....	4
Working day.....	4
ARTICLE 2 - PRELIMINARY MATTERS	4
2.1 DELIVERY OF BONDS AND INSURANCE CERTIFICATES.....	4
2.2 COPIES OF DOCUMENTS.....	5
2.3 COMMENCEMENT OF CONTRACT TIMES; NOTICE TO PROCEED	5
2.4 STARTING THE WORK.....	5
2.5 PRECONSTRUCTION CONFERENCE	5
ARTICLE 3 - INTENT AND USE OF CONTRACT DOCUMENTS	5
3.1 INTENT	5
3.2 REFERENCE TO STANDARDS.....	6
3.3 REVIEW OF CONTRACT DOCUMENTS.....	6
3.4 ORDER OF PRECEDENCE OF CONTRACT DOCUMENTS.....	7
3.5 AMENDING CONTRACT DOCUMENTS.....	7
3.6 REUSE OF DOCUMENTS	7
ARTICLE 4 - SITE OF THE WORK.....	8
4.1 AVAILABILITY OF LANDS.....	8
4.2 REPORTS OF PHYSICAL CONDITIONS	8
4.3 PHYSICAL CONDITIONS - UNDERGROUND UTILITIES.....	9
4.4 DIFFERING SITE CONDITIONS	9
4.5 HAZARDOUS MATERIALS	10
4.6 REFERENCE POINTS.....	11
ARTICLE 5 - BONDS AND INSURANCE	11
5.1 BONDS	11
5.2 INSURANCE.....	12
ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES.....	15
6.1 COMMUNICATIONS.....	15
6.2 SUPERVISION AND SUPERINTENDENCE	15
6.3 LABOR, MATERIALS, AND EQUIPMENT.....	15
6.4 SCHEDULE	20
6.5 SUBSTITUTES OR "OR EQUAL" ITEMS	20
6.6 CONCERNING SUBCONTRACTORS, SUPPLIERS, AND OTHERS.....	20
6.7 PERMITS.....	20
6.8 PATENT FEES AND ROYALTIES	20
6.9 LAWS AND REGULATIONS.....	21
6.10 TAXES.....	21
6.11 USE OF PREMISES.....	21
6.12 SAFETY AND PROTECTION	22

6.13	EMERGENCIES.....	24
6.14	SUBMITTALS.....	24
6.15	CONTINUING THE WORK.....	27
6.16	CONTRACTOR’S GENERAL WARRANTY AND GUARANTEE	27
6.17	INDEMNIFICATION.....	29
6.18	CONTRACTOR’S DAILY REPORTS	31
6.19	CONTRACT DOCUMENTS AND RECORD DRAWINGS.....	31
6.20	CLEAN UP	32
6.21	STORM WATER POLLUTION PREVENTION	33
ARTICLE 7 - OTHER WORK.....		39
7.1	RELATED WORK AT SITE.....	39
7.2	COORDINATION	40
ARTICLE 8 - CITY’S RESPONSIBILITIES		40
8.1	COMMUNICATIONS.....	40
8.2	PAYMENTS	40
8.3	LANDS, EASEMENTS, AND SURVEYS.....	40
8.4	REPORTS AND DRAWINGS	40
8.5	CHANGE ORDERS	40
8.6	INSPECTIONS AND TESTS.....	40
8.7	SUSPENSION OF WORK	40
8.8	TERMINATION OF AGREEMENT	41
8.9	LIMITATION ON CITY’S RESPONSIBILITIES	41
8.10	UNDISCLOSED HAZARDOUS ENVIRONMENTAL CONDITIONS	41
ARTICLE 9 - ENGINEER’S STATUS DURING CONSTRUCTION		42
9.1	CITY’S REPRESENTATIVE	42
9.2	OBSERVATIONS ON THE SITE	42
9.3	PROJECT REPRESENTATION	42
9.4	CLARIFICATIONS.....	42
9.5	AUTHORIZED VARIATIONS IN WORK.....	42
9.6	REJECTING DEFECTIVE WORK	43
9.7	CONTRACTOR SUBMITTALS, CHANGE ORDERS, AND PAYMENTS.....	43
9.8	DECISIONS ON DISPUTES	43
9.9	LIMITATIONS ON ENGINEER’S RESPONSIBILITIES.....	43
ARTICLE 10 - CHANGES IN THE WORK		44
10.1	GENERAL	44
10.2	ALLOWABLE QUANTITY VARIATIONS.....	45
ARTICLE 11 - CHANGE OF CONTRACT PRICE.....		45
11.1	GENERAL	45
11.2	COSTS RELATING TO WEATHER	46
11.3	COST OF WORK (BASED ON TIME AND MATERIALS)	46
11.4	CONTRACTOR’S OVERHEAD AND PROFIT.....	50

11.5	EXCLUDED COSTS.....	50
11.6	CONTRACTOR’S EXTRA WORK REPORT	51
ARTICLE 12 - CHANGE OF CONTRACT TIMES		52
12.1	GENERAL	52
12.2	EXTENSIONS OF CONTRACT TIMES FOR DELAY DUE TO WEATHER. 53	
ARTICLE 13 - INSPECTIONS AND TESTS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK		53
13.1	NOTICE OF DEFECTIVE WORK	53
13.2	ACCESS TO WORK	53
13.3	INSPECTIONS AND TESTS.....	53
13.4	CITY MAY STOP THE WORK	55
13.5	CORRECTION OR REMOVAL OF DEFECTIVE WORK.....	55
13.6	ACCEPTANCE OF DEFECTIVE WORK	55
13.7	CITY MAY CORRECT DEFECTIVE WORK.....	56
13.8	CORRECTION PERIOD.....	56
ARTICLE 14 - PAYMENTS TO CONTRACTOR AND COMPLETION.....		57
14.1	SCHEDULE OF VALUES (LUMP SUM PRICE BREAKDOWN).....	57
14.2	UNIT PRICE BID SCHEDULE	57
14.3	APPLICATION FOR PROGRESS PAYMENT	57
14.4	CONTRACTOR’S WARRANTY OF TITLE.....	58
14.5	REVIEW OF APPLICATIONS FOR PROGRESS PAYMENT	58
14.6	COMPLETION.....	60
14.7	PARTIAL UTILIZATION.....	61
14.8	FINAL APPLICATION FOR PAYMENT.....	61
14.9	FINAL PAYMENT AND ACCEPTANCE.....	61
ARTICLE 15 - SUSPENSION OF WORK AND TERMINATION		63
15.1	SUSPENSION OF WORK BY CITY	63
15.2	TERMINATION OF AGREEMENT BY ENGINEER FOR DEFAULT.....	63
15.2	TERMINATION OF AGREEMENT BY CITY FOR CONVENIENCE	64
15.4	TERMINATION OF AGREEMENT BY CONTRACTOR.....	64
ARTICLE 16 - GENERAL TERMS		65
16.1	GIVING NOTICE.....	65
16.2	TITLE TO MATERIALS FOUND ON THE WORK.....	65
16.3	RIGHT TO AUDIT.....	65
16.4	SURVIVAL OF OBLIGATIONS.....	66
16.5	CONTROLLING LAW	66
16.6	SEVERABILITY	66
16.7	WAIVER.....	66
ARTICLE 17 - CALIFORNIA STATE REQUIREMENTS.....		67
17.1	STATE WAGE DETERMINATIONS	67

17.2	WORKERS' COMPENSATION.....	67
17.3	APPRENTICES ON PUBLIC WORKS.....	67
17.4	WORKING HOURS.....	68
17.5	CONTRACTOR NOT RESPONSIBLE FOR DAMAGE RESULTING FROM CERTAIN ACTS OF GOD.....	68
17.6	NOTICE OF COMPLETION.....	68
17.7	UNPAID CLAIMS.....	68
17.8	RETAINAGE FROM MONTHLY PAYMENTS.....	69
17.9	PUBLIC WORKS CONTRACTS; ASSIGNMENT TO AWARDING BODY...	69
17.10	PAYROLL RECORDS; RETENTION; INSPECTION, NONCOMPLIANCE PENALTIES; RULES AND REGULATIONS.....	70
17.11	CULTURAL RESOURCES.....	71
17.12	PROTECTION OF WORKERS IN TRENCH EXCAVATIONS.....	71
17.13	CONCRETE FORMS, FALSEWORK, AND SHORING.....	72
17.14	REMOVAL, RELOCATION, OR PROTECTION OF EXISTING UTILITIES.	72
17.15	CONTRACTOR LICENSE REQUIREMENTS.....	73
17.16	DIGGING TRENCHES OR EXCAVATIONS; NOTICE ON DISCOVERY OF HAZARDOUS WASTE OR OTHER UNUSUAL CONDITIONS; INVESTIGATIONS; CHANGE ORDERS' EFFECT ON CONTRACT.....	74
17.17	RETENTION PROCEEDS; WITHHOLDING; DISBURSEMENT.....	75
17.18	TIMELY PROGRESS PAYMENTS; INTEREST; PAYMENT REQUESTS	77
17.19	PREFERENCE FOR MATERIAL.....	77
17.20	RESOLUTION OF CONSTRUCTION CLAIMS.....	78

ARTICLE 1 - DEFINITIONS

Whenever used in these General Conditions or in the other Contract Documents, the following terms have the meanings indicated in this Article 1 which meanings are applicable to both the singular and plural thereof. If a word which is entirely in upper case in these definitions is found in lower case in the Contract Documents, then the lower case word will have its ordinary meaning.

Addenda - Written or graphic instruments issued prior to the opening of Bids which make additions, deletions, or revisions to the Contract Documents.

Agreement - The written contract between the CITY and the CONTRACTOR covering the WORK to be performed; other documents are attached to the Agreement and made a part thereof as provided therein.

Application for Payment - The form accepted by the ENGINEER which is to be used by the CONTRACTOR to request progress payments or final payment and which is to be accompanied by such supporting documentations as is required by the Contract Documents.

Asbestos - Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.

Bid - The offer or proposal of the bidder submitted on the prescribed form setting forth the price or prices for the WORK.

Bonds - Bid, Performance, and Labor and Materials, and Maintenance Bonds and other instruments of security.

Change Order - A document recommended by the ENGINEER, which is signed by the CONTRACTOR and the CITY, and authorizes an addition, deletion, or revision in the WORK, or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.

CITY - The City of Petaluma.

Clarification - A document issued by the ENGINEER to the CONTRACTOR that clarifies the requirements(s) and/or design intent of the Contract Documents, which may not represent an addition, deletion, or revision in the WORK or an adjustment in the Contract Price or the Contract Times.

Contract Documents - The Notice Inviting Bids, Instructions to Bidders, Bid Forms (including the Bid, Bid Schedule(s), Information Required of Bidder, Bid Bond, and all required certificates, affidavits and other documentation), Agreement, Performance Bond, Labor and Materials Bond, Maintenance Bond, General Conditions, any Supplementary General

Conditions, Special Provisions, Specifications, Drawings, all Addenda, and Change Orders executed pursuant to the provisions of the Contract Documents. Shop Drawings are not Contract Documents.

Contract Price - The total monies payable by the CITY to the CONTRACTOR under the terms and conditions of the Contract Documents.

Contract Times - The number or numbers of successive calendar days or dates stated in the Contract Documents for the completion of the WORK.

CONTRACTOR - The individual, partnership, corporation, joint-venture, or other legal entity with whom the CITY has executed the Agreement.

Day - A calendar day of 24 hours measured from midnight to the next midnight.

Defective Work - Work that is unsatisfactory, faulty, or deficient; or that does not conform to the Contract Documents; or that does not meet the requirements of any inspection, reference standard, test, or approval referred to in the Contract Documents; or work that has been damaged prior to the ENGINEER's recommendation of final payment.

Drawings - The drawings, plans, maps, profiles, diagrams, and other graphic representations which indicate the character, location, nature, extent, and scope of the WORK and which have been prepared by the ENGINEER and are included and/or referred to in the Contract Documents. Shop Drawings are not Drawings as so defined.

Effective Date of the Agreement - The date indicated in the Agreement on which it becomes effective, but if no such date is indicated it means the date which the Agreement is signed and delivered by the last of the two parties to sign and deliver.

ENGINEER - The City Manager or his/her designee.

Field Order - A written order issued by the ENGINEER which may or may not involve a change in the WORK.

Hazardous Waste - The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 U.S.C. Section 6906) as amended from time to time.

Laws and Regulations; Laws or Regulations - Any and all applicable laws, rules, regulations, ordinances, codes, and/or orders of any and all governmental bodies, agencies, authorities and courts having jurisdiction.

Lien or Mechanic's Lien - A form of security, an interest in real property, which is held to secure the payment of an obligation. When related to public works construction, Lien or Mechanic's Lien may be called Stop Notice.

Milestone - A principal event specified in the Contract Documents relating to an intermediate completion date of a separately identifiable part of the WORK or a period of time within which the separately identifiable part of the WORK should be performed prior to completion of all the WORK.

Notice of Award - The written notice by the CITY to the apparent successful bidder stating that upon compliance by the apparent successful bidder with the conditions precedent enumerated therein within the time specified, the CITY will enter into an Agreement.

Notice of Completion - A form signed by the ENGINEER and the CONTRACTOR recommending to the CITY that the WORK is Complete and fixing the date of completion. After acceptance of the WORK by the CITY Council, the form is signed by the CITY and filed with the County Recorder. This filing starts the 30 day lien filing period on the WORK.

Notice to Proceed - The written notice issued by the CITY to the CONTRACTOR authorizing the CONTRACTOR to proceed with the WORK for the purpose for which it is intended prior to completion of all the WORK.

Partial Utilization - Use by the CITY of a completed part of the WORK for the purpose for which it is intended prior to completion of all the WORK.

Petroleum - Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Wastes and crude oils.

Project - The total construction project of which the WORK to be provided under the Contract Documents may be the whole, or as part as indicated elsewhere in the Contract Documents.

Record Drawings - Drawings generated by marking a set of Drawings to reflect all of the changes that have occurred during construction of the Project.

Resident Project Representative - The authorized representative of the ENGINEER who is assigned to the Site or any part thereof.

Samples - Physical examples of materials, equipment, or workmanship that are representative of some portion of the WORK and which establish the standards by which such portion of the WORK will be judged.

Shop Drawings - All drawings, diagrams, illustrations, schedules, and other data which are specifically prepared by or for the CONTRACTOR and submitted by the CONTRACTOR to illustrate some portion of WORK.

Site - Lands or other areas designated in the Contract Documents as being furnished by the CITY for the performance of the construction, storage, or access.

Special Provisions - Specific clauses setting forth conditions or requirements peculiar to the work and supplementary to the Standard Specifications.

Specifications - The directions, provisions and requirements set forth in the Standard Specifications as supplemental and modified by the special provisions.

Stop Notice - A legal remedy for subcontractors and suppliers who contribute to public works, but who are not paid for their work, which secures payment from construction funds possessed by the CITY. In some states, for public property, the Stop Notice remedy is designed to substitute for a mechanic's lien.

Subcontractor - An individual, partnership, corporation, joint-venture, or other legal entity having a direct contract with the CONTRACTOR or with any other subcontractor for the performance of a part of the WORK at the Site.

Supplementary General Conditions - The part of the Contract Documents which make additions, deletions, or revisions to these General Conditions.

Supplier - A manufacturer, fabricator, distributor, materialman, or vendor having a direct contract with the CONTRACTOR or with any Subcontractor to furnish materials, equipment, or product to be incorporated in the WORK by the CONTRACTOR or any Subcontractor.

Utilities - All pipelines, conduits, ducts, cables, wires, tracks, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities which have been installed underground or above the ground to furnish any of the following services or materials; water, sewage, sludge, drainage, fluids, electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, traffic control, or other control systems.

WORK - The entire completed construction or the various separately identifiable parts thereof required to be furnished under the Contract Documents. WORK is the result of performing or furnishing labor and furnishing and incorporating materials and equipment into the construction, and performing or furnishing services and furnishing documents, all as required by the Contract Documents.

Working day - Any day except Saturdays, Sundays and CITY holidays.

ARTICLE 2 – PRELIMINARY MATTERS

2.1 DELIVERY OF BONDS AND INSURANCE CERTIFICATES

- A. When the CONTRACTOR delivers the signed Agreement to the CITY, the CONTRACTOR shall also deliver to the CITY such Bonds and insurance policies and certificates as the CONTRACTOR may be required to furnish in accordance with the Contract Documents.

2.2 COPIES OF DOCUMENTS

- A. The CITY will furnish to the CONTRACTOR the required number of copies of the Contract Documents specified in the Supplementary General Conditions.

2.3 COMMENCEMENT OF CONTRACT TIMES; NOTICE TO PROCEED

- A. The Contract Times will start to run on the commencement date stated in the Notice to Proceed.

2.4 STARTING THE WORK

- A. The CONTRACTOR shall begin to perform the WORK on the commencement date stated in the Notice to Proceed, but no work shall be done at the Site prior to said commencement date.
- B. Before undertaking each part of the WORK, the CONTRACTOR shall review the Contract Documents in accordance with Paragraph 3.3.

2.5 PRECONSTRUCTION CONFERENCE

- A. The CONTRACTOR is required to attend a preconstruction conference. This conference will be attended by the CITY, ENGINEER, and others as appropriate in order to discuss the WORK.
- B. The CONTRACTOR's initial schedule submittals for shop drawings, obtaining permits, and Plan of Operation and CPM Schedule will be reviewed and finalized. At a minimum, the CONTRACTOR's representatives shall include its project manager, project superintendent and schedule expert. If the submittals are not finalized at the end of the meeting, additional meetings will be held so that the submittals can be finalized prior to the submittal of the first Application for Payment. No Application for Payment will be processed prior to receiving acceptable initial submittals from the CONTRACTOR.

ARTICLE 3 – INTENT AND USE OF CONTRACT DOCUMENTS

3.1 INTENT

- A. The Contract Documents comprise the entire agreement between the CITY and the CONTRACTOR concerning the WORK. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the law of the State of California .
- B. It is the intent of the Contract Documents to describe the WORK, functionally complete, to be constructed in accordance with the Contract Documents. Any

labor, documentation, services, materials, or equipment that may reasonably be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the intended result will be provided whether or not called for specifically.

- C. When words or phrases which have a well-known technical or construction industry or trade meaning are used to describe work, materials, or equipment such words or phrases shall be interpreted in accordance with that meaning unless a definition has been provided in Article 1 of the General Conditions.

3.2 REFERENCE TO STANDARDS

- A. Reference to standard specifications, manuals, or codes of any technical society, organization, or association, or to the Laws or Regulations of any governmental authority, whether such reference be specific or by implication, shall mean the latest standard specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids, except as may be otherwise specifically stated. However, no provision of any referenced standard specification, manual or code shall be effective to change the duties and responsibilities of the CITY or the CONTRACTOR or any of their consultants, agents or employees, from those set forth in the CONTRACT Documents, nor shall it be effective to assign to CITY any duty or authority to direct the performance of the WORK or any duty or authority to undertake responsibility inconsistent with the provisions of the Contract Documents.

3.3 REVIEW OF CONTRACT DOCUMENTS

- A. If, during the performance of the WORK, CONTRACTOR discovers any conflict, error, ambiguity or discrepancy within the Contract Documents or between the Contract Documents and any provision of any such Law or Regulation applicable to the performance of the WORK or of any such standard, specification, manual, or code, or of any instruction of any Supplier, CONTRACTOR shall report it to ENGINEER in writing at once, and CONTRACTOR shall not proceed with the work affected thereby (except in an emergency as authorized by Paragraph 6.13 until a Clarification, Field Order, or Change Order to the Contract Documents has been issued.

3.4 ORDER OF PRECEDENCE OF CONTRACT DOCUMENTS

A. Unless otherwise noted herein, conflicts or inconsistencies between parts of the Contract will be resolved by the ENGINEER with a Change Order or an Addendum, if required. Addenda and Change Orders bearing the most recent date shall prevail over Addenda or Change Orders bearing earlier dates. Any reference to addenda-changed specifications or drawings shall be considered to have been changed accordingly. In resolving conflicts resulting from errors or discrepancies in any of the Contract Documents, the order of precedence shall be as follows:

1. Change Orders/Addenda (most recent in time take precedence)
2. Agreement and Bond Forms
3. Referenced Standard Specifications
4. Special Provisions
5. Drawings
6. General Conditions
7. Instructions to Bidders
8. Contractor's Bid (Bid Form)
9. Notice Inviting Bids
10. Supplementary General Conditions (if any)
11. Permits from other agencies as may be required by law

B. With reference to the Drawings the order of precedence is as follows:

1. Figures govern over scaled dimensions
2. Detail drawings govern over general drawings
3. Addenda/Change Order drawings govern over any other drawings
4. Drawings govern over standard drawings

3.5 AMENDING CONTRACT DOCUMENTS

A. The Contract Documents may be amended to provide for additions, deletions, and revisions in the WORK or to modify the terms and conditions thereof by a Change Order (pursuant to Article 10).

3.6 REUSE OF DOCUMENTS

A. Neither the CONTRACTOR, nor any Subcontractor or Supplier, nor any other person or organization performing any of the WORK under a contract with the CITY shall have or acquire any title to or ownership rights in any of the Drawings, Technical Specifications, or other documents used on the WORK, and they shall no reuse any of them on the extensions of the Project or any other project without written consent of CITY.

ARTICLE 4 – SITE OF THE WORK

4.1 AVAILABILITY OF LANDS

- A. The CITY will furnish, as indicated in the Contract Documents, the lands upon which the WORK is to be performed, rights-of-way and easements for access thereto, and such other lands which are designated for the use of the CONTRACTOR. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by the CITY, unless otherwise provided in the Contract Documents. Nothing contained in the Contract Documents shall be interpreted as giving the CONTRACTOR exclusive occupancy of the lands or rights-of-way provided. The CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment; provided, that the CONTRACTOR shall not enter upon nor use any property not under the control of the CITY until a written temporary construction easement agreement has been executed by the CONTRACTOR and the property owner, and a copy of said easement furnished to the ENGINEER prior to said use; and the CITY will not be liable for any claims or damages resulting from the CONTRACTOR's trespass on or use of any such properties. The CONTRACTOR shall provide the CITY with a signed release from the property owner confirming that the lands have been satisfactorily restored upon completion of the WORK.

4.2 REPORTS OF PHYSICAL CONDITIONS

- A. **Subsurface Explorations:** Reference is made to any Supplementary General Conditions for identification of those reports of explorations and tests of subsurface conditions at the Site that have been utilized by the ENGINEER in the preparation of the Contract Documents.
- B. **Existing Structures:** Reference is made to any Supplementary General Conditions for identification of those drawings of physical conditions in or relating to existing surface and subsurface structures (except underground Utilities referred to in Paragraph 4.3 herein) which are at or contiguous to the Site that have been utilized in the preparation of the Contract Documents.
- C. The CITY makes no representation as to the completeness of the reports or drawings referred to in Paragraph 4.2 A or B above or the accuracy of any data or information contained therein. The CONTRACTOR may rely upon the accuracy of the technical data contained in such reports and drawings. However, the CONTRACTOR may not rely upon any interpretation of such technical data, including any interpolation or extrapolation thereof, or any non-technical data, interpretations, and opinions contained therein.

4.3 PHYSICAL CONDITIONS - UNDERGROUND UTILITIES

- A. **Indicated:** The information and data indicated in the Contract Documents with respect to existing underground Utilities at or contiguous to the Site are based on information and data furnished to the CITY or the ENGINEER by the owners of such underground Utilities or by others. Unless it is expressly provided in any Supplementary General Conditions the CITY will not be responsible for the accuracy or completeness of any such information or data, and the CONTRACTOR shall have full responsibility for reviewing and checking all such information and data, for locating all underground Utilities indicated in the Contract Documents, for coordination of the WORK with the owners of such underground Utilities during construction, for the safety and protection thereof and repairing any damage thereto resulting from the WORK, the cost of all of which are deemed to have been included in the Contract Price.
- B. **Not Indicated:** If an underground Utility is uncovered or revealed at or contiguous to the Site which was not indicated in the Contract Documents and which the CONTRACTOR could not reasonably have been expected to be aware of, the CONTRACTOR shall identify the owner of such underground Utility and give written notice thereof to that owner and shall notify the ENGINEER.

4.4 DIFFERING SITE CONDITIONS

- A. The CONTRACTOR shall notify the ENGINEER, in writing, of the following unforeseen conditions, hereinafter called differing Site conditions, promptly upon their discovery (but in no event later than 14 days after their discovery) and before they are disturbed:
 - 1. Subsurface or latent physical conditions at the Site of the WORK differing materially from those indicated, described, or delineated in the Contract Documents, including those reports discussed in Paragraph 4.2, 4.3, and 4.5.
- B. The ENGINEER will review the pertinent conditions, determine the necessity of obtaining additional explorations or tests with respect thereto.
- C. If the ENGINEER concludes that because of newly discovered conditions a change in the Contract Documents is required, a Change Order will be issued as provided in Article 10 to reflect and document the consequences of the difference.
- D. In each such case, an increase or decrease in the Contract Price or an extension or shortening the Contract Times, or any combination thereof, will be allowable to the extent that they are attributable to any such difference. If the ENGINEER and the CONTRACTOR are unable to agree as to the amount or length thereof, a claim may be made therefor as provided in Articles 11 and 12.

- E. The CONTRACTOR's failure to give notice of differing Site conditions within 14 days of their discovery and before they are disturbed shall constitute a waiver of all claims in connection therewith, whether direct or consequential in nature.

4.5 HAZARDOUS MATERIALS

- A. CITY shall be responsible for any Asbestos, Hazardous Waste, Petroleum, or Radioactive Material uncovered or revealed at the Site which was not shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of the WORK and which may present a substantial danger to persons or property exposed thereto in connection with the WORK at the Site. CITY will not be responsible for any such material brought to the Site by CONTRACTOR, Subcontractors, Suppliers, or anyone else for whom CONTRACTOR is responsible.
 - 1. Upon discovery of any Asbestos, Hazardous Waste, Petroleum, or Radioactive Material, the CONTRACTOR shall immediately stop all work in any area affected thereby (except in an emergency as required by Paragraph 6.13) and notify ENGINEER (and therefore confirm such notice in writing). CONTRACTOR shall not be required to resume any work in any such affected area until after CITY has obtained any required permits related thereto and delivered to CONTRACTOR special written notice. Such written notice will specify that such condition and any affected area is or has been rendered safe for the resumption of the work or specify any special conditions under which the work may be resumed safely. If ENGINEER and CONTRACTOR cannot agree as to entitlement to or the amount or extent of adjustment, if any, in Contract Price or Contract Times as a result of such work stoppage or such special conditions under which work is agreed by CONTRACTOR to be resumed, either party may make a claim therefor as provided in Articles 11 and 12.
 - 2. If, after receipt of such special written notice, CONTRACTOR does not agree to resume such WORK based on a reasonable belief it is unsafe, or does not agree to resume such WORK under special conditions, ENGINEER may order such portion of the WORK that is in connection with such hazardous condition or in such affected area to be deleted from the WORK. If ENGINEER and CONTRACTOR cannot agree as to entitlement to or the amount or extent of an adjustment, if any, in Contract Price or Contract Times as a result of deleting such portion of the WORK then either party may make a claim therefor as provided in Articles 11 and 12. CITY may have such deleted portion of the WORK performed by CITY's own forces or others in accordance with Article 7.
- B. The provisions of Paragraphs 4.2, 4.3, and 4.4 are not intended to apply to Asbestos, Petroleum, Hazardous Waste, or Radioactive Material uncovered or revealed at the Site.

4.6 REFERENCE POINTS

- A. The ENGINEER will provide the location and elevation of one bench mark, near or on the Site of the WORK, for use by the CONTRACTOR for alignment and elevation control. Unless otherwise specified in any Supplementary General Conditions, the CONTRACTOR shall furnish all other lines, grades, and bench marks required for proper execution of the WORK.
- B. The CONTRACTOR shall preserve or replace any and all bench marks, section corners, witness corners, stakes, and other survey marks, and in case of their removal or destruction by any party, the CONTRACTOR shall be responsible for the accurate replacement of such reference points by surveyor licensed under the applicable state codes governing land surveyors.

ARTICLE 5 – BONDS AND INSURANCE

5.1 BONDS

- A. The CONTRACTOR shall furnish Performance and Labor and Materials Bonds, each in the amount of one hundred percent (100%) of the contract price, as security for the faithful performance and payment of all the CONTRACTOR's obligations under the Contract Documents. These Bonds shall remain in effect at least until one year after the date of completion, except as otherwise provided by Law or Regulation or by the Contract Documents. The CONTRACTOR shall also furnish such other Bonds as are required by the Supplementary General Conditions.
- B. The CONTRACTOR shall guarantee the WORK to be free of defects in material and workmanship for a period of one (1) year following the CITY's acceptance of the WORK. The CONTRACTOR shall agree to make, at the CONTRACTOR's own expense, any repairs or replacements made necessary by defects in material or workmanship which become evident within the one-year guarantee period. The CONTRACTOR's guarantee against defects required by this provision shall be secured by a Maintenance Bond, in the amount of ten percent (10%) of the contract price, which shall be delivered by the CONTRACTOR to the CITY prior to acceptance of the WORK. The Maintenance Bond shall remain in force for one (1) year from the date of acceptance of the contracted WORK. The CONTRACTOR shall make all repairs and replacements within the time required during the guarantee period upon receipt of written order from the ENGINEER. If the CONTRACTOR fails to make the repairs and replacements within the required time, the CITY may do the work and the CONTRACTOR and the CONTRACTOR's surety for the Maintenance Bond shall be liable to the CITY for the cost. The expiration of the Maintenance Bond during the one-year guarantee period does not operate to waive or void the one-year guarantee, as set forth herein and in paragraph 6.16 of these General Conditions.

- C. All Bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the current list of “Companies Holding Certificates of Authority as Acceptable Sureties on Federal bonds and as Acceptable Reinsuring Companies” as published in Circular 570 (amended) by the Audit Staff, Bureau of Government Financial Operations, U.S. Treasury Department. All Bonds signed by an agent must be accompanied by a certified copy of such agent’s authority to act.
- D. If the surety on any Bond furnished by the CONTRACTOR is declared a bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the WORK is located, the CONTRACTOR shall within 7 days thereafter substitute another Bond and surety, which must be acceptable to the CITY.
- E. All Bonds required by the Contract Documents to be purchased and maintained by CONTRACTOR shall be obtained from surety companies that are duly licensed or authorized in the State of California to issue Bonds for the limits so required. Such surety companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary General Conditions.

5.2 INSURANCE

Contractor and any subcontractor shall not commence work under this Agreement until Contractor shall have obtained all insurance required under this paragraph and such insurance shall have been approved by the City Attorney as to form and carrier and the City Manager as to sufficiency, nor shall Contractor allow any contractor or subcontractor to commence work on this contract or subcontract until all similar insurance required of the contractor and/or subcontractor shall have been so obtained and approved. All requirements herein provided shall appear either in the body of the insurance policies or as endorsements and shall specifically bind the insurance carrier.

CONTRACTOR shall procure and maintain for the duration of the contract all necessary insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, the Contractor’s agents, representatives, employees or subcontractors.

A. Minimum Scope of Insurance

Coverage shall be at least as broad as:

1. Insurance Services Office Commercial General Liability coverage.
2. Insurance Services Office form number CA covering Automobile Liability, code 1 (any auto).
3. Workers' Compensation insurance as required by the State of California and Employer's Liability Insurance.
4. [Optional] Such other insurance coverages and limits as may be required by the CITY as follows: _____.

B. Minimum Limits of Insurance

CONTRACTOR shall maintain limits no less than:

1. General Liability: \$2,000,000 per occurrence for bodily injury, personal injury and property damage. If Commercial General Liability Insurance or other form with a general aggregate liability is used, either the general aggregate limit shall apply separately to this project/location or the general aggregate limit shall be twice the required occurrence limit.
2. Automobile Liability: \$1,000,000 per accident for bodily injury and property damage.
3. Employer's Liability: Bodily Injury by Accident - \$1,000,000 each accident
Bodily Injury by Disease - \$1,000,000 policy limit
Bodily Injury by Disease - \$1,000,000 each employee

C. Deductibles and Self-Insured Retentions

Any deductibles or self-insured retentions must be declared to and approved by the CITY. At the option of the CITY, either: the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the CITY, its officers, officials, employees, and volunteers; or the CONTRACTOR shall procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses.

D. Other Insurance Provisions

The required general liability and automobile policies are to contain, or be endorsed to contain the following provisions:

1. The CITY, its officers, officials, employees, agents and volunteers are to be covered as insureds as respects: liability arising out of activities performed by or on behalf of the CONTRACTOR; products and completed operations of the CONTRACTOR; premises owned, occupied or used by the CONTRACTOR; or automobiles owned, leased, hired or borrowed by the CONTRACTOR. The coverage shall contain no special limitations on the scope of protection afforded to the CITY, its officers, officials, employees, agents or volunteers.
2. For any claims related to this project, the CONTRACTOR's insurance coverage shall be primary insurance as respects the CITY, its officers, officials, employees, agents and volunteers. Any insurance or self-insurance maintained by the CITY, its officers, officials, employees, agents or volunteers shall be excess of the CONTRACTOR's insurance and shall not contribute with it.
3. Any failure to comply with reporting or other provisions of the policies including breaches of warranties shall not affect coverage provided to the CITY, its officers, officials, employees, agents or volunteers.
4. The CONTRACTOR's insurance shall apply separately to each insured against whom claim is made or suit is brought except, with respect to the limits of the insurer's liability.
5. Each insurance policy required by this clause shall be endorsed to state that coverage shall not be suspended, voided, canceled by either party, reduced in coverage or in limits except after thirty (30) days' prior written notice by certified mail, return receipt requested, has been given to the CITY.

E. Acceptability of Insurers

Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A:VII.

F. Verification of Coverage

CONTRACTOR shall furnish the CITY with original endorsements effecting coverage required by this clause. The endorsements are to be signed by a person authorized by that insurer to bind coverage on its behalf. The endorsements are to be on forms provided by the CITY. All endorsements are to be received and

approved by the CITY before work commences. As an alternative to the CITY's forms, the CONTRACTOR's insurer may provide complete, certified copies of all required insurance policies, including endorsements effecting the coverage required by these specifications.

ARTICLE 6 – CONTRACTOR'S RESPONSIBILITIES

6.1 COMMUNICATIONS

- A. Written communications with the CITY shall be only through or as directed by the ENGINEER.

6.2 SUPERVISION AND SUPERINTENDENCE

- A. The CONTRACTOR shall supervise, inspect, and direct the WORK competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the WORK in accordance with the Contract Documents. The CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction and all safety precautions and programs incidental thereto. The CONTRACTOR shall be responsible to see that the completed WORK complies accurately with the Contract Documents.
- B. The CONTRACTOR shall designate in writing and keep on the Site at all times during the performance of the WORK a technically qualified, English-speaking superintendent, who is an employee of the CONTRACTOR and who shall not be replaced without written notice to the ENGINEER. The superintendent will be the CONTRACTOR's representative at the Site and shall have authority to act on behalf of the CONTRACTOR. All communications given to the superintendent shall be as binding as if given to the CONTRACTOR.
- C. The CONTRACTOR's superintendent shall be present at the Site at all times while work is in progress and shall be available by phone for emergencies 24 hours per day, 7 days per week. Failure to observe this requirement shall be considered suspension of the WORK by the CONTRACTOR until such time as such superintendent is again present at the Site.

6.3 LABOR, MATERIALS, AND EQUIPMENT

- A. The CONTRACTOR shall provide competent, suitably qualified personnel to survey and lay out the WORK and perform construction as required by the Contract Documents. The CONTRACTOR shall furnish, erect, maintain, and remove the construction plant and any required temporary works. The CONTRACTOR shall at all times maintain good discipline and order at the Site. Except in connection with the safety or protection of persons or the WORK or property at the Site or adjacent thereto, and except as otherwise indicated in the

Contract Documents, all work at the Site shall be performed during regular working hours, and the CONTRACTOR will not permit overtime work or the performance of work on Saturday, Sunday, or any federally observed holiday without the CITY's written consent. The CONTRACTOR shall apply for this consent through the ENGINEER in writing a minimum of 24 hours in advance.

- B. Except as otherwise provided in this Paragraph, the CONTRACTOR shall receive no additional compensation for overtime work, i.e., work in excess of 8 hours in any one calendar day or hours in any one calendar week, even though such overtime work may be required under emergency conditions and may be ordered by the ENGINEER in writing. Additional compensation will be paid to the CONTRACTOR for overtime work only in the event extra work is ordered by the ENGINEER and the Change Order specifically authorizes the use of overtime work and then only to such extent as overtime wages are regularly being paid by the CONTRACTOR for overtime work of a similar nature in the same locality.
- C. All increased costs of inspection and testing performed during overtime work by the CONTRACTOR which is allowed solely for the convenience of the CONTRACTOR shall be borne by the CONTRACTOR. The CITY has the authority to deduct the cost of all such inspection and testing from any partial payments otherwise due to the CONTRACTOR.
- D. Unless otherwise specified in the Contract Documents, the CONTRACTOR shall furnish and assume full responsibility for all materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, lubricants, power, light, heat, telephone, water, sanitary facilities, and all other facilities, consumables, and incidentals necessary for the furnishing, performance, testing, start-up, and completion of the WORK.
- E. All materials and equipment incorporated into the WORK shall be of specified quality and new, except as otherwise provided in the Contract Documents. All warranties and guarantees specifically called for by the Specifications shall expressly run to the benefit of the CITY. If required by the ENGINEER, the CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the source, kind and quality of materials and equipment. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with the instructions of the applicable Supplier except as otherwise provided in the Contract Documents; but no provisions of any such instructions will be effective to assign to the CITY or any of its consultants, agents, or employees, any duty or authority to supervise or direct the furnishing or performance of the WORK or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.9 C.
- F. The work, unless otherwise permitted or approved by the ENGINEER, shall be completed with the incorporated use of equipment, materials, and/or products where such are specified. Substitutions and equal alternatives will be permitted as

provided in this article; however, neither the request for substitution nor the offer of alternatives shall in any way by their submittal obligate the CITY to assent to any request or offer. Failure of the CONTRACTOR awarded the work to either submit requests for substitutions or to offer alternatives within the required times provided in this General Condition will be considered as evidence that the work shall be accomplished with trade-named equipment, materials, and/or products as identified in the Specifications and/or the Drawings.

- G. Unless otherwise provided elsewhere in the Contract, all equipment, materials, and/or products incorporated into the work shall be new and, where not specified, shall be of the highest quality of the respective kinds for the intended use, and all workmanship shall meet or exceed applicable construction industry standards and practices. If equipment, materials, and/or products are designated by listing named manufacturers of particular equipment, materials, and/or products followed by the words "or equal," then the CONTRACTOR may furnish the named equipment, materials, and/or products or any equal equipment, materials, and/or products. The first-named manufacturer of particular equipment, materials, and/or products is the basis for the design shown on the Project Drawings. A subsequently named manufacturer or particular equipment, materials, and/or products has been determined to be an acceptable substitution but may require modifications in the Project's design and its ultimate construction to accommodate its use. If such subsequently named items are selected by the CONTRACTOR for incorporation into the work, the CONTRACTOR shall assume all costs required for modifications to the equipment, materials, and/or products, and Project design and construction as may be required for said items' use. Substitutions for an unnamed "equal" item of material shall be permitted upon compliance of the procedures set forth in Paragraph I of this article. If a CONTRACTOR makes use of an unnamed "equal" product as a substitute for a specifically named material or product, the CONTRACTOR shall assume all costs required to make the necessary revisions or modifications to accommodate the use of said unnamed product.
- H. Before beginning the work and within thirty-five (35) calendar days after award of the Contract, the CONTRACTOR shall submit a List of Materials to the ENGINEER for review. The List shall include all items of equipment, materials, and/or products to be incorporated into the work and the names of suppliers with whom purchase orders have been placed. The names on the List shall be arranged in the same order as in the specifications, and shall contain sufficient data to identify precisely the items of equipment, materials, and/or products the CONTRACTOR proposes to furnish. The List shall include Specifications or Drawing references. Once the submission is determined to be acceptable to the ENGINEER, it shall be returned to the CONTRACTOR.
- I. Substitution for those equipment, materials, and/or products specified shall only be permitted when the proposed unnamed "equal" product or material to be furnished is both equal in quality and utility and after the CONTRACTOR has

complied with the following provisions: (1) All substitutions shall be reviewed by the ENGINEER. (2) The ENGINEER must approve such substitution in writing prior to its incorporation into the work. (3) Unless otherwise authorized in writing by the CITY, the CONTRACTOR shall, within thirty-five (35) calendar days of award and prior to placing any purchase orders, but at least thirty (30) calendar days before it requires approval of any such alternative item, submit to the CITY sufficient data, drawings, samples, literature, or other detailed information as will demonstrate to the ENGINEER that the proposed substitute is equal in quality and utility to the equipment, materials and/or products specified.

1. Within thirty (30) calendar days following receipt of all requested information from the CONTRACTOR, the ENGINEER will determine whether the proposed alternative is equal in quality and utility and meets the requirements of the Contract and will inform the CONTRACTOR in writing of such determination. The burden of substantiating the quality and utility of alternatives shall be upon the CONTRACTOR, and the CONTRACTOR shall furnish all necessary information requested and required by the ENGINEER. The ENGINEER will be the sole judge as to the quality and utility of alternative equipment, materials, and/or products, and the ENGINEER's decision shall be final. An acceptance by the ENGINEER of a substitution shall not relieve the CONTRACTOR from complying with the requirements of the Drawings and Specifications. Acceptance by the ENGINEER shall not relieve the CONTRACTOR from full responsibility for the efficiency, sufficiency, and quality and performance of the substitute equipment, materials, and/or products, in the same manner and degree as the equipment, materials, and/or products specified by name.
2. Failure of the CONTRACTOR to submit proposed substitutions for review in the manner described above and within the time prescribed shall be sufficient cause for rejection by the CITY of any other proposed substitutions.
3. In determining whether a proposed product is equal in quality and utility, the ENGINEER is not restricted to such basic issues as performance and durability, but may consider any other issues that the ENGINEER, in the discretion of the ENGINEER, deems appropriate. Said issues may, but are not required to include, nor are they limited to, such additional factors as comparable performance, reliability, efficiency of operation, ease of operation, adaptability, ease of maintenance, capital costs, life-cycle costs, operational characteristics, costs of training personnel, maintenance history, warranties, problems created by the resulting overall warranty system, availability of qualified service, availability of parts, the history of any supplier and compatibility with existing facilities.

4. No one factor or group of factors, including such issues as savings on capital costs, shall be determinative of whether the proposed product or material is equal in quality and utility. The decision of the ENGINEER shall be based on those factors deemed by the ENGINEER to be relevant and any data, drawings, samples, literature, or other detailed information furnished by the CONTRACTOR with respect to the proposed substitution. Each decision as to whether a product or material is equal in quality and utility shall be made by the ENGINEER on a case-by-case basis.
5. The CONTRACTOR shall be responsible for any and all costs, including consultant costs, incurred by the CITY with respect to the proposed substitution that exceed the costs inherent in the normal and reasonable review of drawings and other standard data, information, and documents concerning any proposed substitution. The CONTRACTOR shall be responsible for this cost, regardless of whether or not the substitution is approved by the ENGINEER.
- J. Unless otherwise provided in the Contract, the title and interest in the right to the use of all water, and the title to all soil, stone, gravel, sand, minerals, timber, and all other materials developed or obtained within the Project limits from operations by the CONTRACTOR or any of its subcontractors, of any of their representatives or employees, and the right to use or dispose of the same are hereby expressly reserved in the CITY; and neither the CONTRACTOR nor any of its subcontractors, nor any of their representatives or employees, shall have any right, title, or interest in or to any part thereof.
- K. All material used under the Contract after it has been attached or affixed to the work or soil and after partial payment has been made therefore shall become the property of the CITY.
- L. In the event that any Indian relics or items possessing archaeological or historical value are discovered by the CONTRACTOR or any of its subcontractors or any of their representatives or employees, the CONTRACTOR shall immediately notify the ENGINEER and await the ENGINEER's decision before proceeding with any work. The CONTRACTOR shall have no property right in such relics and items.
- M. The CONTRACTOR shall be satisfied as to the quantity of acceptable materials or products which may be produced or obtained at local sources, and the CITY will not assume any responsibility as to the quantities or quality of acceptable materials or products available.
- N. The CONTRACTOR, with the permission of the ENGINEER, may use in the proposed construction such stone, gravel, sand, or other material suitable in the opinion of the ENGINEER as may be found in excavation.

- O. Existing equipment, materials, and/or products to be salvaged shall remain the property of the CITY. Salvage to be reinstalled in the work shall be refurbished as required before reinstallation. Other work to be salvaged shall be carefully removed and handled in such a manner as to avoid damage and shall be delivered to storage at a location designated by the ENGINEER.

6.4 SCHEDULE

- A. The CONTRACTOR shall comply with the schedule requirements in the Special Provisions or as otherwise provided in the Contract Documents.

6.5 SUBSTITUTES OR “OR EQUAL” ITEMS

- A. The CONTRACTOR shall submit proposed substitutes or “or equal” items in accordance with the Bidding Requirements. No request for substitution of an “or equal” item will be considered by the ENGINEER after award of the Contract, except as provided in Paragraph 6.3I herein.

6.6 CONCERNING SUBCONTRACTORS, SUPPLIERS, AND OTHERS

- A. The CONTRACTOR shall be responsible to the CITY for the acts and omissions of its Subcontractors, Suppliers, and their employees to the same extent as CONTRACTOR is responsible for the acts and omissions of its own employees. Nothing contained in this Paragraph shall create any contractual relationship between any Subcontractor and the CITY nor relieve the CONTRACTOR of any liability or obligation under the Contract Documents. The CONTRACTOR shall include these General Conditions and the Supplementary General Conditions as part of all its subcontract and supply agreements.

6.7 PERMITS

- A. Unless otherwise provided in any Supplementary General Conditions, the CONTRACTOR shall obtain and pay for all construction permits and licenses from the agencies having jurisdiction, including the furnishing of insurance and bonds if required by such agencies. The enforcement of such requirements shall not be made the basis for claims for additional compensation by CONTRACTOR. When necessary, the CITY will assist the CONTRACTOR, in obtaining such permits and licenses. The CONTRACTOR shall pay all charges of utility owners for inspection or connections to the WORK.

6.8 PATENT FEES AND ROYALTIES

- A. The CONTRACTOR shall pay all license fees and royalties and assume all costs incident to the use in the performance of the WORK or the incorporation in the WORK of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design,

process, product, or device is specified in the Contract Documents for use in the performance of the WORK and if to the actual knowledge of the ENGINEER its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights will be disclosed by the ENGINEER in the Contract Documents. The CONTRACTOR's indemnification obligation under this Paragraph 6.8 A. for all claims and liabilities arising out of any infringement of patent rights or copyrights incident to the use in the performance of the WORK or resulting from the incorporation in the WORK of any invention, design, process, product or device not specified in the Contract Documents shall be in accordance with Paragraph 6.16 of these General Conditions.

6.9 LAWS AND REGULATIONS

- A. The CONTRACTOR shall observe and comply with all Laws and Regulations which in any manner affect those engaged or employed on the WORK, the materials used in the WORK, or the conduct of the WORK including, but not limited to, all applicable safety Laws and Regulations. If any discrepancy or inconsistency should be discovered between the Contract Documents and any such Laws or Regulations, the CONTRACTOR shall report the same in writing to the ENGINEER. Any particular Law or Regulation specified or referred to elsewhere in the Contract Documents shall not in any way limit the obligation of the CONTRACTOR to comply with all other provisions of federal, state, and local laws and regulations. The CONTRACTOR's indemnification obligations for all claims or liability arising from violation of any such law, ordinance, code, order, or regulation, whether by CONTRACTOR or by its employees, Subcontractors or Suppliers shall be in accordance with Paragraph 6.16 of these General Conditions.

6.10 TAXES

- A. The CONTRACTOR shall pay all sales, consumer, use, and other similar taxes required to be paid by the CONTRACTOR in accordance with the laws and regulations of the place of the Project which are applicable during the performance of the WORK.

6.11 USE OF PREMISES

- A. The CONTRACTOR shall confine construction equipment, the storage of materials and equipment, and the operations of workers to the Site, the land and areas identified in and permitted by the Contract Documents, and the other land and areas permitted by Laws and Regulations, rights-of-way, permits, and easements. The CONTRACTOR shall assume full liability and responsibility for any damage to any such land or area, or to the owner or occupant thereof or of any land or areas contiguous thereto, resulting from the performance of the WORK. Should any claim be made against the CITY by any such owner or occupant because of the performance of the WORK, the CONTRACTOR shall

promptly attempt to settle with such other party by agreement or otherwise resolve the claim through litigation at the CONTRACTOR's sole liability expense. The CONTRACTOR's indemnification obligations for all claims and liability, arising directly, indirectly, or consequentially out of any action, legal or equitable, brought by any such owner or occupant against the CITY, its consultants, subconsultants, and the officers, directors, employees and agents of each and any of them to the extent caused by or based upon the CONTRACTOR's performance of the WORK shall be in accordance with Paragraph 6.16 of these General Conditions.

6.12 SAFETY AND PROTECTION

- A. The CONTRACTOR shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the WORK. The CONTRACTOR shall be responsible for the direction and control of the work assigned and for assuring that all workers on the project understand the hazards of the work involved and the safe work procedures required for each job. The CONTRACTOR shall assure that its subcontractors of all tiers shall, without expense to the CITY, comply with this safety responsibility. No work shall proceed until each worker and subcontractor understands the scope of the work and all safety rules and work procedures to be followed. The CONTRACTOR shall not allow a new employee or new subcontractor to begin work on CITY projects without a full and proper safety orientation. The CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage to prevent damage, injury or loss to:
1. All persons at the Site and other persons and organizations who may be affected thereby;
 2. All the WORK and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
 3. Other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of the performance of the WORK.
- B. The CONTRACTOR shall comply with all applicable Laws and Regulations relating to the safety of persons or property or to the protection of persons or property from damage, injury, or loss and shall erect and maintain all necessary safeguards for such safety and protection. The CONTRACTOR shall notify owners of adjacent property and utilities when prosecution of the WORK may effect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property. CONTRACTOR'S duties and responsibilities for safety and for protection of the WORK shall continue until such time as all the

WORK is completed and ENGINEER has issued a notice to the CONTRACTOR in accordance with Paragraph 14.7 B. that the WORK is acceptable.

- C. The CONTRACTOR shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.
- D. Materials that contain hazardous substances or mixtures may be required on the WORK. A Material Safety Data Sheet shall be made available at the Site by the CONTRACTOR for every hazardous product used.
- E. Material usage shall strictly conform to OSHA safety requirements and all manufacturer's warnings and application instructions listed on the Material Safety Data Sheet and on the product container label.
- F. The CONTRACTOR shall be responsible for the exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.
- G. The CONTRACTOR shall notify the ENGINEER if it considers a specified product or its intended use to be unsafe. This notification must be given to the ENGINEER prior to the product being ordered, or if provided by some other party, prior to the product being incorporated in the WORK.
- H. Before starting work, the CONTRACTOR shall submit a written safety program to the CITY. The objective of the safety program shall be accident prevention. Such program shall include, but not be limited to, the following:
 - 1. An organization chart and accompanying narrative which describes the responsibility for employee and public safety of those individuals who control each phase of operations and set forth in writing the policies and procedures to be followed by all personnel. The chart shall also show the CONTRACTOR's internal lines of communication (including subcontractors) for the program.
 - 2. A specific program for communication between the CONTRACTOR and CITY on safety matters. The CONTRACTOR shall also designate one person with whom official contact can be made by the CITY on safety matters.
 - 3. Evidence that the CONTRACTOR has become thoroughly familiar with the potential hazards of the work and applicable federal and state regulations.

4. Specific safety procedures and guidelines for conduct of the Work.
5. The CITY's review, comment upon, and/or acceptance of the CONTRACTOR's safety program and/or plan does not in any way negate the responsibilities of the CONTRACTOR for safety or place any responsibility upon the CITY for such safety. Such review comment and/or acceptance shall not be construed as limiting in any manner the CONTRACTOR's obligation to undertake any action which may be necessary or required to establish and maintain safe working conditions at the site.

6.13 EMERGENCIES

- A. In emergencies affecting the safety or protection of persons or the WORK or property at the Site or adjacent thereto, CONTRACTOR, without special instruction or authorization from ENGINEER, is obligated to immediately act to prevent threatened damage, injury, or loss. CONTRACTOR shall give ENGINEER prompt written notice if CONTRACTOR believes that any significant changes in the WORK or variations from the Contract Documents have been caused thereby. If ENGINEER determines that a change in the Contract Documents have been caused thereby. If ENGINEER determines that a change in the Contract Documents is required because of the action taken by CONTRACTOR in response to such an emergency, a Change Order will be issued to document the consequences of such action.

6.14 SUBMITTALS

- A. After checking and verifying all field measurements and after complying with applicable procedures specified in the Special Provisions, the CONTRACTOR shall submit to the ENGINEER for review all Shop Drawings and details of all structural and reinforcing steel, equipment, electrical controls, structural fabrications, pipe, pipe joints, special pipe sections, and other appurtenances in accordance with the accepted schedule of Shop Drawing submittals specified in the Special Provisions or as otherwise provided in the Contract Documents.
- B. The ENGINEER'S review will be only to determine if the items covered by the submittals will, after installation or incorporation in the WORK, generally conform to the Contract Documents and with the design concept of the completed Project. The ENGINEER's favorable review shall be obtained before any such items are manufactured or used in the work. The favorable review of Drawings by the ENGINEER shall apply in general design only and shall in no way relieve the CONTRACTOR from responsibility for errors or omissions contained therein. Favorable review by the ENGINEER shall not relieve the CONTRACTOR of its obligation to meet safety requirements and all other requirements of law. The ENGINEER will start reviewing the CONTRACTOR's submittals only after the

Notice to Proceed is issued by the CITY with the exception of some unusual long lead items which may require submittals prior to issuing the Notice to Proceed.

- C. The CONTRACTOR shall also submit to the ENGINEER for review all Samples in accordance with the accepted schedule of Sample submittals specified in the Special Provisions or as otherwise provided in the Contract Documents.
- D. Before submittal of each Shop Drawing or Sample, the CONTRACTOR shall have determined and verified all quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers, and similar data with respect thereto and reviewed or coordinated each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the WORK and the Contract Documents. The CONTRACTOR shall provide submittals in accordance with the requirements of the Special Provisions or as otherwise provided in the Contract Documents.
- E. Shop-drawing submittal and coordination are the responsibility of the prime contractor; this responsibility shall not be delegated in whole or in part to subcontractors or suppliers. Any designation of work "by others," shown on Shop Drawings, shall mean that the work will be the responsibility of the CONTRACTOR rather than the subcontractor or supplier who has prepared the Shop Drawings.

Submittals shall be prepared in such form that data can be identified with the applicable Specification paragraph. The data shall demonstrate clearly compliance with the Drawings and Specifications and shall relate to the specific equipment to be furnished. Where manufacturer's standard drawings are employed, they shall be marked clearly to show what portions of the data are applicable to this Project.

- F. Review of shop-drawing submittals by the ENGINEER has as its primary objective the completion for the CITY of a Project in full conformance with the Drawings and Specifications, unmarred by field corrections, and within the time provided. In addition to this primary objective, shop-drawing review as a secondary objective will assist the CONTRACTOR in its procurement of equipment that will meet all requirements of the Drawings and Specifications, will fit the structures detailed on the Drawings, will be complete with respect to piping, electrical, and control connections, will have the proper functional characteristics, and will become an integral part of a complete operating facility. Acceptance of Shop Drawings and submittals does not constitute a change order to the Contract requirements.
- G. Where the CONTRACTOR is required by these Specifications to make submittals, they shall be submitted to the ENGINEER with a letter of transmittal and in sufficient number of copies to allow a distribution of at least one (1) copy to all parties needing a copy to carry out the provisions of the Specifications, including three (3) copies to be retained by the ENGINEER. The ENGINEER

shall determine the appropriate number of such copies required at the time of the preconstruction conference.

H. Within twenty-five (25) calendar days of receipt by the ENGINEER of each of the CONTRACTOR's submissions and all appurtenant data required for their review, the appropriate number of copies will be returned to the CONTRACTOR with one of the following notations:

1. Resubmittal not required; correction, if any, noted.
2. Correct and resubmit; corrections noted.

Returned copies of Drawings marked with Notation "1" authorize the CONTRACTOR to proceed with the operations covered by such returned copies, provided that such operations be subject to the comments, if any, shown on such returned copies. Returned copies of Drawings marked with Notation "2" shall be corrected, as necessary and required, and shall be submitted in the same manner as before.

I. When submittals are favorably reviewed, the ENGINEER will retain three (3) copies and will return all other copies to the CONTRACTOR. When submittals are not favorably reviewed, the ENGINEER will retain only two (2) copies and will return all others to the CONTRACTOR. It is considered reasonable that the CONTRACTOR shall make a complete and acceptable submission to the ENGINEER at least by the second submission of data. The CITY reserves the right to deduct monies from payments due the CONTRACTOR to cover additional costs of the ENGINEER's review beyond the second submission.

J. Favorable review by the ENGINEER will not constitute acceptance by the ENGINEER of any responsibility for the accuracy, coordination, and completeness of the Shop Drawings or the items of equipment represented on the Drawings. Accuracy, coordination, and completeness of Shop Drawings shall be the sole responsibility of the CONTRACTOR, including responsibility to back check comments, corrections, and modifications from the ENGINEER's review before fabrication. Supplemental, specific requirements for Shop Drawings and details are contained in the applicable technical sections of these Specifications.

K. Copies of schedules and Shop Drawings submitted to the ENGINEER for review shall be such as to provide three (3) copies for the ENGINEER's files, and such additional copies as the CONTRACTOR may desire for its own office files and/or for distribution by it to subcontractors or vendors. Exceptions will be noted in specific sections of Specifications. All Shop Drawings and supporting data, catalogs, and schedules shall be submitted as the instruments of the CONTRACTOR, who shall be responsible for their accuracy and completeness. These submittals may be prepared by the CONTRACTOR, subcontractors, or suppliers, but the CONTRACTOR shall ascertain that submittals meet all of the

requirements of the Contract, while conforming to structural, space, and access conditions at the point of installation. The CONTRACTOR shall check all submittals before submitting them to the ENGINEER.

- L. The ENGINEER shall check and review schedules, drawings, etc., submitted by the CONTRACTOR only for general design conformance with the concept of the Project and compliance with the Contract. Shop Drawings shall not be used to order products' fabrication or delivery for construction or installation unless submitted to and favorably reviewed by the ENGINEER. Acceptance by the ENGINEER of any drawings, method of work, or any information regarding materials and equipment the CONTRACTOR proposes to furnish shall not relieve the CONTRACTOR of its responsibility for any errors therein and shall not be regarded as an assumption of risks or liability by the Design ENGINEER or the CITY, or any officer or employee thereof, and the CONTRACTOR shall have no recourse against the CITY under the Contract on account of the failure or partial failure or inefficiency or insufficiency of any plan or method of work or material and equipment so accepted. Such acceptance shall be considered to mean merely that the ENGINEER has no objection to the CONTRACTOR using, upon its own full responsibility, the plan or method of work proposed or furnishing the materials and equipment proposed.

6.15 CONTINUING THE WORK

- A. The CONTRACTOR shall carry on the WORK and adhere to the progress schedule during all disputes or disagreements with the CITY. No WORK shall be delayed or postponed pending resolution of any disputes or disagreements, except as the CONTRACTOR and the CITY may otherwise agree in writing.

6.16 CONTRACTOR'S GENERAL WARRANTY AND GUARANTEE

- A. CONTRACTOR warrants and guarantees that all WORK will be in accordance with the Contract Documents and will not be defective. The CONTRACTOR represents that the WORK performed pursuant to the Contract shall be of the quality specified or of the highest quality if no quality is specified, and shall conform to the Contract Documents. The CONTRACTOR warrants all equipment, material, products, and workmanship furnished and all work performed under the Contract against defects for a period of one (1) year after final acceptance regardless of whether the same were furnished or performed by the CONTRACTOR or by any of its subcontractors or suppliers of any tier.
- B. The CONTRACTOR shall make, at its own expense, all repairs and/or replacements necessitated by defects in the equipment, materials, and/or products and in the workmanship provided by the CONTRACTOR or any of its subcontractors that become evident within the warranty period.

- C. Upon receipt of written notice from the CITY of any breach of warranty during the applicable warranty period, the affected item shall be redesigned, repaired, or replaced by the CONTRACTOR and the CONTRACTOR shall perform such tests as the CITY may require to verify that such redesign, repair, and replacement comply with the requirements of the Contract. The CITY shall have the right to operate and use such equipment, materials, and/or products until they can, without damage to the CITY, be taken out of service for correction or replacement by the CONTRACTOR. As to the redesigned, repaired, or replaced work, the CONTRACTOR warrants such redesigned, repaired, or replaced work against defective design, equipment, materials, products, and workmanship for a period of one (1) year from and after the date of satisfactory completion of such redesigned, repaired, or replaced work. The CITY reserves the right to require that the CONTRACTOR performs such repair or replacement work.
- D. The CITY also reserves the right to make such repairs or replacements, if, within seven (7) calendar days after the mailing of a notice in writing to the CONTRACTOR and Surety, the CONTRACTOR shall neglect to make or undertake with due diligence the aforesaid repairs or replacements and that Surety within seven (7) calendar days after mailing of a notice in writing of such negligence of the CONTRACTOR shall neglect to make or undertake with due diligence the aforesaid repairs or replacements itself, provided, however, that in the case of an emergency where in the opinion of the CITY delay would cause hazard to health or serious loss or damage, repair may be made without notice being sent to the CONTRACTOR or Surety, and the CONTRACTOR shall pay the cost thereof.
- E. All costs including workforce and materials incidental to such redesign, repair, replacement, and testing, including the removal, replacement, and reinstallation of equipment necessary to gain access and all other costs incurred as the result of a breach of warranty shall be borne by the CONTRACTOR whether performed by the CITY or the CONTRACTOR.
- F. Nothing in this section shall be construed to limit, relieve, or release the CONTRACTOR, subcontractor's, and equipment, materials, and/or products suppliers, and other service providers' liability to the CITY for damages sustained as the result of latent defects in the workmanship, equipment, materials, and/or products done and/or furnished by the CONTRACTOR, its subcontractors, suppliers and/or other service providers.
- G. The Performance Bond shall extend for a period of one (1) year after acceptance of the Contract by the CITY and shall cover the CONTRACTOR's obligations resulting from the warranty requirements herein specified.
- H. CONTRACTOR's warranty and guarantee hereunder excludes defects or damage caused by:

1. Abuse, modification, or improper maintenance or operation by persons other than CONTRACTOR, Subcontractors, or Suppliers, or other individual or entity for whom CONTRACTOR is responsible;
 2. Normal wear and tear under normal usage.
- I. CONTRACTOR's obligation to perform and complete the WORK in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of WORK that is not in accordance with the Contract Documents or a release of CONTRACTOR's obligation to perform the WORK in accordance with the Contract Documents:
1. Observations by ENGINEER;
 2. Recommendation by ENGINEER or payment by CITY of any progress or final payment;
 3. The issuance of a Certificate of Completion by the CITY;
 4. Use or occupancy of the WORK or any part thereof by the CITY;
 5. Any acceptance by CITY or any failure to do so;
 6. Any review and approval of a Shop Drawing or Sample submittal or the issuance of a notice or acceptability by ENGINEER pursuant to Paragraph 14.7 B.;
 7. Any inspection, test, or approval by others; or
 8. Any correction of Defective Work by CITY.

6.17 INDEMNIFICATION

- A. Contractor shall indemnify, defend with counsel acceptable to City, and hold harmless to the full extent permitted by law, City and its officers, officials, employees, agents and volunteers from and against any and all liability, loss, damage, claims, expenses and costs (including, without limitation, attorney fees and costs and fees of litigation) (collectively, "Liability") of every nature arising out of or in connection with Contractor's performance of the WORK or its failure to comply with any of its obligations contained in this Agreement, except such Liability caused by the active negligence, sole negligence or willful misconduct of the City. Such indemnification by the CONTRACTOR shall include, but not be limited to, the following:
1. Liability or claims resulting directly or indirectly from the negligence or carelessness of the CONTRACTOR, its subcontractors, employees, or

agents in the performance of the WORK, or in guarding or maintaining the same, or from any improper materials, implements, or appliances used in its construction, or by or on account of any act or omission of the CONTRACTOR, its employees, or agents;

2. Liability or claims arising directly or indirectly from bodily injury, occupational sickness or disease, or death of the CONTRACTOR's, or Supplier's own employees, or agents engaged in the WORK resulting in actions brought by or on behalf of such employees against the CITY and/or the ENGINEER;
3. Liability or claims arising directly or indirectly from or based on the violation of any Laws or Regulations, whether by the CONTRACTOR, its subcontractors, employees, or agents;
4. Liability or claims arising directly or indirectly from the use or manufacture by the CONTRACTOR, its subcontractors, employees, or agents in the performance of this Agreement of any copyrighted or uncopyrighted composition, secret process, patented or unpatented invention, article, or appliance, unless otherwise specified stipulated in this Agreement;
5. Liability or claims arising directly or indirectly from the breach of any warranties, whether express or implied, made to the CITY or any other parties by the CONTRACTOR, its subcontractors, employees, or agents;
6. Liability or claims arising directly or indirectly from the willful misconduct of the CONTRACTOR, its subcontractors, employees, or agents;
7. Liability or claims arising directly or indirectly from any breach of the obligations assumed in this Agreement by the CONTRACTOR;
8. Liability or claims arising directly or indirectly from, relating to, or resulting from a hazardous condition created by the CONTRACTOR, Subcontractors, Suppliers, or any of their employees or agents, and;
9. Liability or claims arising directly, or indirectly, or consequentially out of any action, legal or equitable, brought against the CITY, the ENGINEER, their consultants, subconsultants, and the officers, directors, employees and agents of each or any of them, to the extent caused by the CONTRACTOR's use of any premises acquired by permits, rights of way, or easements, the Site, or any land or area contiguous thereto or its performance of the WORK thereon.

- B. The CONTRACTOR shall reimburse the CITY for all costs and expenses, (including but not limited to fees and charges of engineers, architects, attorneys, and other professionals and court costs of appeal) incurred by said CITY in enforcing the provisions of this Paragraph.
- C. The indemnification obligation under this Article 11 shall not be limited in any way by any limitation on the amount or type of insurance carried by CONTRACTOR or by the amount or type of damages, compensation, or benefits payable by or for the CONTRACTOR or any Subcontractor or other person or organization under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- D. Pursuant to California Public Contract Code Section 9201, City shall timely notify Contractor of receipt of any third-party claim relating to this Agreement.

6.18 CONTRACTOR'S DAILY REPORTS

- A. The CONTRACTOR shall complete a daily report indicating location worked, total manpower for each construction trade, major equipment on Site, each Subcontractor's manpower and equipment, weather conditions, and other related information involved in the performance of the WORK. These components will be decided by the ENGINEER.

6.19 CONTRACT DOCUMENTS AND RECORD DRAWINGS

- A. The CONTRACTOR shall keep on the work site a copy of the Contract Documents and shall at all times give the ENGINEER access thereto. Any drawings included in the Specifications shall be regarded as part thereto and of the Contract. Anything mentioned in these Specifications and not shown on the Project Drawings, or shown on the Project Drawings and not mentioned in these Specifications, shall be of like effect as though shown or mentioned in both. The ENGINEER will furnish from time to time such detail drawings, plans, profiles, and information as he may consider necessary for the CONTRACTOR's guidance. It shall be the duty of the CONTRACTOR to see that the provisions of the Contract Documents are complied with in detail irrespective of the inspection given the work during its progress by the ENGINEER. Any failure on the part of the CONTRACTOR to observe the requirements contained in the Contract Documents will be sufficient cause for the rejection of the work at any time before its acceptance.
- B. The CONTRACTOR shall maintain, at the jobsite, one record set of Drawings in good order and clearly marked to show any deviations which have been made from the Drawings, including concealed construction and utility features which are revealed during the course of construction. Marked prints shall be updated at least once each week and shall be available to the ENGINEER for review as to

currency prior to developing partial payment estimates. Upon completion of the work, the marked set of prints shall be delivered to the ENGINEER.

- C. In the case of those drawings which depict the detail requirement for equipment to be assembled and wired in the factory, such as motor control centers and the like, the Record Drawings shall be updated by indicating those portions which are superseded by change order drawings or final shop drawings, and by including appropriate reference information describing the change orders by number and the shop drawings by manufacturer, drawing, and revision numbers.
- D. Requests for partial payments will not be approved if the updated set of Drawings is not in good order or is not kept current. Request for final payment will not be approved until the complete and correct Record Drawings are delivered to the ENGINEER.

6.20 CLEAN UP

The CONTRACTOR shall, at all times, keep the premises, occupied by it in relation to this Contract, in a neat, clean, and safe condition and at all times provide reasonable access thereto. The CONTRACTOR shall, as a minimum, conduct daily inspections to verify that requirements of this Article are being met.

- A. During the progress of the WORK, the CONTRACTOR shall:
 - 1. Retain all stored items in an orderly arrangement allowing maximum access, not impeding drainage or traffic, and providing the required protection of material.
 - 2. Provide adequate storage of all items awaiting removal from the jobsite, observing all requirements for fire protection and protection of the environment.
 - 3. Remove any accumulation of scrap, debris, waste material, and other items not required for construction of this work.
 - 4. Dispose of existing materials and equipment to be demolished and removed and all trash such as broken concrete, wood blocking, shipping containers, etc., resulting from the contract work off the premises occupied by the CONTRACTOR, including CITY property, at the CONTRACTOR's expense. CITY-leased dumpsters and other disposal containers on CITY's property, unless specifically provided by the CONTRACTOR, shall not be used by the CONTRACTOR.
 - 5. Maintain all excavation, embankments, haul roads, permanent access roads, Plant site, waste disposal areas, borrow areas, and all other work areas within contract work limits free from dust, as determined by the

ENGINEER. Industry-accepted methods of dust control suitable for the area involved, such as sprinkling, chemical treatment, light bituminous treatment, or similar methods, will be permitted. No separate payment will be made to the CONTRACTOR for dust control.

- B. If the CONTRACTOR fails to comply with any of the foregoing, the CITY will transmit written notification of noncompliance. If, within five (5) calendar days of the written notification, the CONTRACTOR fails to comply, cleanup may be undertaken by the CITY at the expense of the CONTRACTOR.
- C. Upon completion of any portion of any WORK, the CONTRACTOR shall promptly remove all of its equipment, temporary structures, and surplus construction and other materials not to be used at or near the same location during later stages of work. Upon completion of any WORK and before final inspection is made, the CONTRACTOR shall unless otherwise specifically directed by the ENGINEER:
 - 1. Remove from the job site all plant, buildings, tools, surplus materials, equipment, forms, rubbish, scrap, debris, and waste.
 - 2. Clean all paved areas on the site. Completely remove all resultant debris.
 - 3. Visually inspect all interior surfaces, and remove all traces of soil, waste material, smudges, and other foreign matter. Remove all traces of splashed materials from adjacent surfaces. Remove all paint droppings, spots, stains, and dirt from finished surfaces. Use only approved cleaning materials and equipment.
 - 4. Restore any improved area used for the CONTRACTOR's work or material storage to its condition at the time the CONTRACTOR moved onto the site or to the satisfaction of the ENGINEER.
 - 5. Schedule final cleaning and improvement restoration to enable the CITY to accept a completely clean and restored project.

6.21 STORM WATER POLLUTION PREVENTION

A. General

- 1. Prevention - The CONTRACTOR shall prevent the pollution of storm drain systems and creeks on or near the construction project site(s) resulting from the construction operation. The CONTRACTOR shall keep pollution out of storm drains by reducing the possibility of accidental discharge of materials and wastes, by reducing erosion and sedimentation, and by any action as required. The CONTRACTOR shall train all employees and subcontractors on the storm water pollution prevention

requirements contained in these Specifications and ensure that all employees and subcontractors are aware of the consequences as described in subsection A.3. below. The CONTRACTOR shall include appropriate subcontract provisions to ensure that these requirements are met by all subcontractors.

2. Notification - If the CONTRACTOR causes or permits the spillage or overflow of any sewage, oil, or petroleum product, hazardous substance, contaminant, or waste that may result in the fluid or substance being discharged directly or indirectly into any storm drains, creeks, wetlands, or other manmade or natural waterways the CONTRACTOR shall notify the CITY as soon as possible to the extent notification can be provided without substantially impeding cleanup or other emergency measures. In no event shall such notification be later than one hour after knowledge of the occurrence.
3. Cleanup - Immediately upon gaining knowledge of such spillage, overflow, or discharge, the CONTRACTOR shall eliminate the cause of the spillage, overflow, or discharge and take action to minimize any damages. The CONTRACTOR shall also immediately implement a cleanup program. The cleanup, including sampling and testing required by regulatory agencies to determine the nature and level of contamination shall be performed and completed to the satisfaction of the various regulatory agencies involved and the CITY, at the expense of the CONTRACTOR. Any fines, penalties, and/or subsequent actions imposed upon the CITY and/or the CONTRACTOR by regulatory agencies related to the spillage, overflow, or discharge and any subsequent monitoring, testing, and reporting, as required by regulatory agencies, shall also be at the expense of the CONTRACTOR. The CONTRACTOR shall keep a stockpile of spill cleanup materials, such as rags or absorbents, readily accessible on site. The quantity of cleanup materials shall be appropriate in consideration of the risk of an occurrence of a spill, overflow or discharge.

B. Management of Nonhazardous Material and/or Waste

1. Designated Area - The CONTRACTOR shall propose designated areas of the project site, for approval by the ENGINEER, suitable for material delivery, storage, and waste collection that to the maximum extent practicable are near construction entrances and away from catch basins, gutters, drainage courses, and creeks.
2. Backfill or Excavated Material - The CONTRACTOR shall not allow backfill or excavated material to enter the storm drains or creeks. When rain is forecast within 24 hours or during wet weather, the

CONTRACTOR may be required to cover such material with a tarpaulin and to surround the material with sand bags.

3. Street Sweeping - At least once per week or more frequently as directed by the ENGINEER, the CONTRACTOR shall clean and sweep roadways and on-site paved areas of all materials attributed to or involved in the work. The CONTRACTOR shall not use water to flush down streets in place of street sweeping.
4. Disposal - At the end of each working day, the CONTRACTOR shall collect all scrap, debris, and waste material, and dispose of such materials properly. The materials may be stored in the CONTRACTOR's yard in stockpiles or placed in dumpsters. The CONTRACTOR shall inspect dumpsters for leaks and replace or repair dumpsters that leak. The CONTRACTOR shall not discharge water from cleaning dumpsters on site. The CONTRACTOR shall arrange for regular waste collection before dumpsters overflow.

C. Management of Hazardous Material and/or Waste

1. Storage - The CONTRACTOR shall label and store all hazardous materials, such as pesticides, paints, thinners, solvents, and fuels, and all hazardous wastes, such as waste oil and antifreeze in accordance with all applicable state and federal regulations. The CONTRACTOR shall store all hazardous materials and all hazardous wastes in accordance with secondary containment regulations. All such materials and wastes shall be covered, as needed, to avoid rainwater becoming polluted with hazardous constituents which could result in potential management of collected rain water as a hazardous waste. The CONTRACTOR shall keep an accurate, up-to-date inventory, including Material Safety Data Sheets (MSDSs), of hazardous materials and hazardous wastes stored on site.
2. Usage - When rain is forecast within 24 hours or during wet weather, the CONTRACTOR shall refrain from applying chemicals in outside areas. The CONTRACTOR shall follow material manufacturer's instructions regarding uses, protective equipment, ventilation, flammability, and mixing of chemicals. The CONTRACTOR shall post warning signs in areas treated with chemicals.
3. Disposal - The CONTRACTOR shall arrange for regular hazardous waste collection to comply with time limits on storage of hazardous wastes. The CONTRACTOR shall dispose of hazardous waste in accordance with all applicable local, state and federal regulations. The CONTRACTOR shall not wash any spilled material into streets, gutters, storm drains, or creeks and shall not bury spilled hazardous materials. The CONTRACTOR shall

report any hazardous materials spill to the CITY in accordance with Section A.2 above.

D. Vehicle/Equipment Cleaning, Maintenance, and Fueling

1. General - The CONTRACTOR shall inspect vehicles and equipment arriving on site for leaking fluids and shall promptly repair leaking vehicles and equipment. Drip pans shall be used to catch leaks until repairs are made.

The CONTRACTOR shall comply with federal, state, and city requirements for aboveground storage tanks.

2. Cleaning - The CONTRACTOR shall perform vehicle or equipment cleaning with water only in a designated, bermed area that will not allow rinse water to run off site into streets, gutters, storm drains, or creeks. Soaps, solvents, degreasers, steam-cleaning equipment, or equivalent methods shall not be allowed.
3. Maintenance and Fueling - The CONTRACTOR shall perform maintenance and fueling of vehicles or equipment in areas that will not allow run-on of storm water or runoff of spills to storm drains and provide for confined clean-up. Examples are working in bermed areas or utilizing drip pans. The CONTRACTOR shall not contaminate the soils or groundwater with such maintenance and fueling activities.

The CONTRACTOR shall use secondary containment, such as a drip pan, to catch leaks or spills any time that vehicle or equipment fluids are dispensed, changed, or poured, and shall clean up leaks and spills of vehicle or equipment fluids immediately and dispose of the waste and cleanup materials as hazardous waste, as described in Section C.3 above.

E. Dewatering Operations

1. Sediment Control - The CONTRACTOR shall route water through a control measure, such as a sediment trap, sediment basin, or Baker tank, to remove settleable solids prior to discharge to the storm drain system. Straw bales shall be placed in front of storm drain inlets as required. Filtration of the water following the control measure may be required on a case-by-case basis. Approval of the control measure shall be obtained in advance from the ENGINEER. If the ENGINEER determines that the dewatering operation would not generate an appreciable amount of settleable solids, the control measure requirement above may be waived.
2. Contaminated Groundwater - If the project is within an area of known groundwater contamination or if contamination is found, water from

dewatering operations shall be tested prior to discharge. If the water quality meets Regional Water Quality Control Board (RWQCB) standards, it may be discharged to a storm drain or creek. Otherwise, the water shall be hauled off site for proper disposal.

F. Paving or Oiling Operations

1. When rain is forecast within 24 hours or during wet weather, the ENGINEER may prevent the CONTRACTOR from paving or oiling the street. The ENGINEER may direct the CONTRACTOR to protect drainage courses by using control measures, such as earth dike, straw bale, and sand bag, to divert runoff or trap and filter sediment.
2. The CONTRACTOR shall prevent saw-cut slurry from entering catch basins and storm drains by limiting the area over which the slurry may spread.
3. The CONTRACTOR shall cover catch basins and manholes when paving or applying seal coat, tack coat, slurry seal, or fog seal.
4. The CONTRACTOR shall not sweep or wash down excess sand (placed as part of a sand seal or to absorb excess oil) into gutters, storm drains, or creeks. The CONTRACTOR shall either collect the sand and return it to the stockpile or dispose of it in a trash container.

G. Concrete, Grout, and Mortar Waste Management

1. Concrete Truck/Equipment Washout - The CONTRACTOR shall not wash out concrete trucks or equipment into streets, gutters, storm drains, or creeks. The CONTRACTOR shall perform washout of concrete trucks or equipment off site or in a designated area on site where the water will flow onto dirt or into a temporary pit in a dirt area. The CONTRACTOR shall let the water percolate into the soil and dispose of the hardened concrete in a trash container. If a suitable dirt area is not available, the CONTRACTOR shall collect the wash water and remove it off site.
2. Exposed Aggregate Concrete Wash Water - The CONTRACTOR shall avoid creating runoff by draining water from washing of exposed aggregate concrete to a dirt area. If a suitable dirt area is not available, the CONTRACTOR shall filter the wash water through straw bales or equivalent material before discharging to a storm drain. The CONTRACTOR shall collect sweepings from exposed aggregate concrete for disposal.

H. Paint Disposal and Clean-up

1. Disposal of Unused Paint - The CONTRACTOR shall carefully use, store and dispose of paint, solvents, chemicals, and waste materials in compliance with all applicable state and federal regulations. The CONTRACTOR shall not dispose of paint to sanitary sewer systems or storm drains. The CONTRACTOR shall utilize other recycling and disposal services as follows:

- a. "Recycling Centers" and "Waste Disposals" as may be listed in the yellow pages.
- b. Local household hazardous waste facility if appropriate.

The CONTRACTOR may dispose of small amounts of leftover latex (water-based) paint by applying the paint to the surface of an item to be discarded and allowing it to dry thoroughly, then disposing of it in a dumpster.

The CONTRACTOR shall store these materials and conduct cleaning of painting equipment and tools in a designated area that will not allow run-on of storm water or runoff of spills. The CONTRACTOR shall not allow wash water from cleaning of painting equipment and tools into streets, gutters, storm drains, or creeks.

2. Disposal of Paint Clean-up Waste - The CONTRACTOR shall remove as much excess paint as possible from brushes, rollers, and equipment before starting cleanup.

- a. The CONTRACTOR shall not discharge cleaning wastes from oil-based paints, buckets, brushes or tools to the sanitary sewer system. The CONTRACTOR shall retain a certified waste hauler to recycle or to dispose of cleaning wastes from oil-based paints at the CONTRACTOR's expense.
- b. The CONTRACTOR may discharge very small amounts of cleaning wastes from brushes, rollers, buckets, and tools contaminated with latex (water-based) paints to the sanitary sewer system provided they do not contain additives with pollutants of concern (e.g., mercury, tributyltin). Brushes, rollers, and tools containing latex paints may be washed over a sink with plenty of water. Buckets containing latex paints shall first be emptied into the original can or discarded as specified in paragraph 1 above. Should excessive amounts of paint or solvent be found in the wastewater discharged, the CONTRACTOR may be subject to

enforcement action by the CITY in accordance with the City Codes.

- c. The CONTRACTOR shall not discharge any of these paint clean-up wastes to storm drains, streets, gutters, or creeks.
 - d. Waste Disposal - The CONTRACTOR shall dispose of waste thinner, solvent, and sludge from cleaning of equipment and tools as hazardous waste, as described in Section C.3 above. The CONTRACTOR shall dispose of excess thinners, solvents, and oil- and water-based paint as hazardous waste.
- I. Contaminated Soil - If the project is within an area of known soil contamination or evidence of soil contamination is found, the CONTRACTOR shall comply with the requirements of all applicable local, state and federal regulations.

ARTICLE 7 – OTHER WORK

7.1 RELATED WORK AT SITE

- A. The CITY may perform other work related to the Project at the Site by the CITY's own forces, have other work performed by utility owners, or let other direct contracts for such other work. If the fact that such other work is to be performed was not noted in the Contract Documents, written notice thereof will be given to the CONTRACTOR prior to starting any such other work.
- B. The CONTRACTOR shall afford each person who is performing the other work (including the CITY's employees) proper and safe access to the Site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work, and shall properly coordinate the WORK with theirs. The CONTRACTOR shall do all cutting, fitting, and patching of the WORK that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. The CONTRACTOR shall not endanger any work of others by cutting, excavating, or otherwise altering their work and will not only cut or alter their work with the written consent of the ENGINEER and the others whose work will be affected.
- C. If the proper execution or results of any part of the CONTRACTOR's work depends upon such other work by another, the CONTRACTOR shall inspect and report to the ENGINEER in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for such proper execution and results. The CONTRACTOR's failure to report such delays, defects, or deficiencies will constitute an acceptance of the other work as fit and proper for integration with the CONTRACTOR's work except for latent or nonapparent defects and deficiencies in the other work.

7.2 COORDINATION

- A. If the CITY contracts with others for the performance of other work at the Site, CITY will have sole authority and responsibility in respect of such coordination, unless otherwise provided in the Supplementary General Conditions.

ARTICLE 8 – CITY’S RESPONSIBILITIES

8.1 COMMUNICATIONS

- A. Except as may be otherwise provided in these General Conditions or the Supplementary General Conditions, the CITY will issue all its communications to the CONTRACTOR through the ENGINEER.

8.2 PAYMENTS

- A. The CITY will make payments to the CONTRACTOR as provided in Article 14.

8.3 LANDS, EASEMENTS, AND SURVEYS

- A. The CITY’s duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in Paragraphs 4.1 and 4.6.

8.4 REPORTS AND DRAWINGS

- A. The CITY will identify and make available to the CONTRACTOR copies of reports of physical conditions at the Site and drawings of existing structures which have been utilized in preparing the Contract Documents as set forth in Paragraph 4.2.

8.5 CHANGE ORDERS

- A. The CITY will execute Change Orders as indicated in Article 10.

8.6 INSPECTIONS AND TESTS

- A. The CITY’S responsibility for inspections and tests is set forth in Paragraph 13.3.

8.7 SUSPENSION OF WORK

- A. The CITY’s right to stop work or suspend work is set forth in Paragraphs 13.4 and 15.1.

8.8 TERMINATION OF AGREEMENT

- A. The CITY's right to terminate services of the CONTRACTOR is set forth in Paragraphs 15.2 and 15.3.

8.9 LIMITATION ON CITY'S RESPONSIBILITIES

- A. The CITY shall not supervise, direct or have control or authority over, nor be responsible for CONTRACTOR's means, methods, techniques, sequences, or procedures of construction or the safety precautions and programs incident thereto, or for any failure of CONTRACTOR to comply with Laws and Regulations applicable to the furnishing or performance of the WORK. CITY will not be responsible for CONTRACTOR's failure to perform or furnish the WORK in accordance with the Contract Documents.

8.10 UNDISCLOSED HAZARDOUS ENVIRONMENTAL CONDITIONS

- A. CITY's responsibility in respect to an undisclosed hazardous environmental condition is set forth in Paragraph 4.5.

ARTICLE 9 – ENGINEER’S STATUS DURING CONSTRUCTION

9.1 CITY’S REPRESENTATIVE

- A. The ENGINEER will be the CITY’S representative during the construction period. The ENGINEER shall decide any and all questions which may arise as to the quality or acceptability of materials furnished and work performed, and as to the manner of performance and rate of progress of the work; all questions which arise as to the interpretation of the plans and specifications, the proposal and the contract documents therefor; all questions as to the acceptable fulfillment of the contract on the part of the CONTRACTOR; and all questions as to claim and compensation.

9.2 OBSERVATIONS ON THE SITE

- A. The ENGINEER will make observations on the Site during construction to monitor the progress and quality of the WORK and to determine, in general, if the WORK is proceeding in accordance with the Contract Documents. The ENGINEER will not be required to make exhaustive or continuous inspections to check the quality or quantity of the WORK.

9.3 PROJECT REPRESENTATION

- A. The ENGINEER may furnish a Resident Project Representative to assist in observing the performance of the WORK. The duties, responsibilities, and limitations of authority of any such Resident Project Representative will be as provided in the Supplementary General Conditions.

9.4 CLARIFICATIONS

- A. The ENGINEER will issue with reasonable promptness such written Clarifications of the requirements of the Contract Documents as the ENGINEER may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents.

9.5 AUTHORIZED VARIATIONS IN WORK

- A. The ENGINEER may authorize variations in the WORK from the requirements of the Contract Documents. These may be accomplished by a Field Order and will require the CONTRACTOR to perform the WORK involved in a manner that minimizes the impact to the WORK and the Contract Times. If the CONTRACTOR believes that a Field Order justifies an increase in the Contract Price or an extension of the Contract Times, the CONTRACTOR may make a claim therefor as provided in Article 11 or 12.

9.6 REJECTING DEFECTIVE WORK

- A. The ENGINEER will have authority to reject Defective Work and will also have authority to require special inspection or testing of the WORK as provided in Article 13.

9.7 CONTRACTOR SUBMITTALS, CHANGE ORDERS, AND PAYMENTS

- A. In accordance with the procedures set forth in the General Requirements, the ENGINEER will review all CONTRACTOR submittals.
- B. The ENGINEER's responsibilities for Change Orders are set forth in Articles 10, 11, and 12.
- C. The ENGINEER's responsibilities for Applications for payment are set forth in Article 14.

9.8 DECISIONS ON DISPUTES

- A. The ENGINEER will be the initial interpreter of the requirements of the Contract Documents and of the acceptability of the WORK thereunder. Claims, disputes, and other matters relating to the acceptability of the WORK and interpretation of the requirements of the Contract Document pertaining to the performance of the work shall be determined by the ENGINEER. Any claims in respect to changes in the Contract Price or Contract Times shall be resolved in accordance with the requirements set forth in Articles 10, 11, and 12.

9.9 LIMITATIONS ON ENGINEER'S RESPONSIBILITIES

- A. Neither the ENGINEER's authority to act under this Article 9 or other provisions of the Contract Documents nor any decision made by the ENGINEER in good faith either to exercise or not exercise such authority shall give rise to any duty or responsibility of the ENGINEER to the CONTRACTOR, any Subcontractor, any Supplier, any surety for any of them, or any other person or organization performing any of the WORK.
- B. Whenever in the Contract Documents the terms "as ordered," "as directed," "as required," "as allowed," "as reviewed," "as approved," or terms of like effect or import are used, or the adjectives "reasonable," "suitable," "acceptable," "proper," or "satisfactory," or adjectives of like effect or import are used to describe a requirement, direction, review, or judgment will be solely to evaluate the WORK for compliance with the requirements of the Contract Documents, and conformance with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents, unless there is a specific statement indicating otherwise. The use of any such term or adjective shall not be effective to assign to the ENGINEER any duty or authority

to supervise or direct the performance of the WORK or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.9 C.

- C. The ENGINEER will not supervise, direct, control, or have authority over or be responsible for the CONTRACTOR's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of the CONTRACTOR to comply with Laws and Regulations applicable to the performance of the WORK. The ENGINEER will not be responsible for the CONTRACTOR's failure to perform the WORK in accordance with the Contract Documents. The ENGINEER will not be responsible for the acts or omissions of the CONTRACTOR nor of any Subcontractor, Supplier, or any other person or organization performing any of the WORK.

ARTICLE 10 – CHANGES IN THE WORK

10.1 GENERAL

- A. Without invalidating the Agreement and without notice to any surety, the CITY may at any time or from time to time, order additions, deletions, or revisions in the WORK. Such additions, deletions or revisions will be authorized by a Change Order or Field Order. Upon receipt of any such document, CONTRACTOR shall promptly proceed to implement the additions, deletions, or revisions in the WORK in accordance with the applicable conditions of the Contract Documents.
- B. The CONTRACTOR shall not be entitled to an increase in the contract Price nor an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents as amended, modified, or supplemented by Change Order, except in the case of an emergency and except in the case of uncovering work as provided in Paragraph 13.3.F and G.
- C. The CITY and the CONTRACTOR shall execute appropriate Change Orders covering:
 - 1. Changes in the WORK which are ordered by the CITY pursuant to Paragraph 10.1 A.;
 - 2. Changes required because of acceptance of Defective Work under Paragraph 13.6; and
 - 3. Changes in the Contract Price or Contract Times which are agreed to by the parties under Articles 11 and/or 12, respectively.
- D. If notice of any change in the WORK is required to be given to a surety, the giving of any such notice shall be the CONTRACTOR's responsibility. If the change in the WORK affects the Contract Price, the CITY may require an

adjustment to the amount of any applicable Bond and the amount of each applicable Bond shall be adjusted accordingly.

- E. If the CITY and CONTRACTOR agree as to the extent, if any, of an increase in the Contract Price or an extension or shortening of the Contract Times that should be allowed as a result of a Field Order, the CONTRACTOR shall proceed so as to minimize the impact on and delays to the WORK pending the issuance of a Change Order.
- F. If the CITY and the CONTRACTOR are unable to agree as to the extent, if any, of an increase in the Contract Price or an extension or shortening of the Contract Times that should be allowed as a result of a Field Order, the ENGINEER can direct the CONTRACTOR to proceed on the basis of time and materials so as to minimize the impact on and delays to the WORK, and the CONTRACTOR may make a claim as provided in Articles 11 and 12.

10.2 ALLOWABLE QUANTITY VARIATIONS

- A. In the event of an increase or decrease in the quantity of any bid item under a unit price contract, the total amount of work actually done or materials or equipment furnished will be paid for according to the unit price established for such work under the Contract Documents, wherever such unit price has been established; provided, that an adjustment in the Contract Price may be made for changes which result in an increase or decrease in excess of 25 percent of the estimated quantity of any unit price bid item of the WORK.
- B. In the event a part of the WORK is to be entirely eliminated and no lump sum or unit price is named in the Contract Documents to cover such eliminated work, the price of the eliminated work shall be agreed upon by the CITY and the CONTRACTOR by Change Order.

ARTICLE 11 – CHANGE OF CONTRACT PRICE

11.1 GENERAL

- A. The Contract Price constitutes the total compensation payable to the CONTRACTOR FOR PERFORMING THE work. All duties, responsibilities, and obligations assigned to or undertaken by the CONTRACTOR to complete the WORK shall be at its expense without change in the Contract Price.
- B. The Contract Price may only be changed by a Change Order. The value of any work covered by a Change Order or of any claim for an increase or decrease in the Contract Price shall be determined in one of the following ways:

1. Where the work involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of the items involved.
 2. By mutual acceptance of a lump sum, which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.4; or
 3. On the basis of the cost of work (determined as provided in Paragraph 11.3) plus the CONTRACTOR's overhead and profit (determined as provided in Paragraph 11.4).
- C. Any claim for an increase in the Contract Price shall be based on written notice delivered by the CONTRACTOR to the ENGINEER promptly (but in no event later than 10 days) after the start of the event giving rise to the claim and shall state the general nature of the claim. Notice of the amount of the claim with supporting data shall be delivered within 60 days after the start of such event (unless the ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by the CONTRACTOR's written statement that the amount claimed covers all known amounts (direct, indirect, and consequential) to which the CONTRACTOR is entitled as a result of such event. All claims for adjustment in the Contract Price will be determined by the ENGINEER. No claim for an adjustment in the Contract Price will be valid if not submitted in accordance with this Paragraph 11.1 C.

11.2 COSTS RELATING TO WEATHER

- A. The CONTRACTOR shall have no claims against the CITY for damages for any injury to work, materials, or equipment, resulting from the action of the elements. If, however, in the opinion of the ENGINEER, the CONTRACTOR has made all reasonable efforts to protect the materials, equipment, and work, the CONTRACTOR may be granted a reasonable extension of Contract Times to make proper repairs, renewals, and replacements of the work, materials, or equipment.

11.3 COST OF WORK (BASED ON TIME AND MATERIALS)

- A. **General:** The term "cost of work" means the sum of all costs necessarily incurred and paid by the CONTRACTOR for labor, materials, and equipment in the proper performance of extra work. Except as otherwise may be agreed to in writing by the CITY, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall include only the following items and shall not include any of the costs itemized in Paragraph 11.5.
- B. **Labor:** The costs of labor will be the actual cost for wages prevailing for each craft or type of workers performing the extra work at the time the extra work is

done, plus employer payments of payroll taxes, workers compensation insurance, liability insurance, health and welfare, pension, vacation, apprenticeship funds, and other direct costs resulting from federal, state or local laws, as well as assessments or benefits required by lawful collective bargaining agreements. Labor costs for equipment operators and helpers will be paid only when such costs are not included in the invoice for equipment rental. The labor costs for foremen shall be proportioned to all of their assigned work and only that applicable to extra work shall be paid. Nondirect labor costs including superintendence shall be considered part of the markup set out in Paragraph 11.4.

C. **Materials:** Materials must be specifically authorized by the ENGINEER. The cost of materials reported shall be at invoice or lowest current price at which materials are locally available and delivered to the Site in the quantities involved, plus the cost of freight, delivery and storage, subject to the following:

1. All trade discounts and rebaters shall accrue to the CITY, and the CONTRACTOR shall make provisions so that they may be obtained;
2. For materials secured by other than a direct purchase and direct billing to the purchaser, the cost shall be deemed to be the price paid to the actual supplier as determined by the ENGINEER. Except for actual costs incurred in the handling of such materials, markup will not be allowed;
3. Payment for materials from sources owned wholly or in part by the purchaser shall not exceed the price paid by the purchaser for similar materials from said sources on extra work items or the current wholesale price for such materials delivered to the Site, whichever price is lower; and
4. If in the opinion of the ENGINEER the cost of material is excessive, or the CONTRACTOR does not furnish satisfactory evidence of the cost of such material, then the cost shall be deemed to be the lowest current wholesale price for the quantity concerned delivered to the Site less trade discount. The CITY reserves the right to furnish materials for the extra work and no claim will be allowed by the CONTRACTOR for costs and profit on such materials.

D. **Equipment:** The CONTRACTOR will be paid for the use of equipment at the rental rate listed for such equipment specified in the current California Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates." Such rental rate will be used to compute payments for equipment whether the equipment is under the CONTRACTOR's control through direct ownership, leasing, renting, or another method of acquisition. The rental rate to be applied for use of each item of equipment will be the rate resulting in the least total cost to the CITY for the total period of use. If it is deemed necessary by the CONTRACTOR to use equipment not listed in the above-

referenced publication, an equitable rental rate for the equipment will be established by the ENGINEER. The CONTRACTOR may furnish cost data which might assist the ENGINEER in the establishment of the rental rate. Payment for equipment shall be subject to the following:

1. All equipment shall, in the opinion of the ENGINEER, be in good working condition and suitable for the purpose for which the equipment is to be used;
2. Before construction equipment is used on the extra work, the CONTRACTOR shall plainly stencil or stamp an identifying number thereon at a conspicuous location, and shall furnish to the ENGINEER, in duplicate, a description of the equipment and its identifying number;
3. Unless otherwise specified, manufacturer's ratings and manufacturer approved modifications shall be used to classify equipment for determination of applicable rental rates. Equipment which has no direct power unit shall be powered by a unit of at least the minimum rating recommended by the manufacturer;
4. Individual pieces of equipment or tools having a replacement value of \$500 or less, whether or not consumed by use, will be considered to be small tools and no payment will be made therefore.

E. **Equipment Rental Time:** The rental time to be paid for equipment on the Site will be the time the equipment is in productive operation on the extra work being performed and, in addition, will include the time required to move the equipment to the location of the extra work and return it to the original location or to another location requiring no more time than that required to return it to its original location; except, that moving time will not be paid if the equipment is used on other than the extra work, even though located at the Site of the extra work. Loading and transporting costs will be allowed, in lieu of moving time, when the equipment is moved by means other than its own power, except that no payment will be made for loading and transporting costs when the equipment is used at the Site of the extra work on other than the extra work. Rental time will not be allowed while equipment is inoperative due to breakdowns. The rental time of equipment on the work Site will be computed subject to the following:

1. When hourly rates are listed, any part of an hour less than 30 minutes of operation will be considered to be half-hour of operation, and any part of an hour in excess of 30 minutes will be considered one hour of operation;
2. When daily rates are listed, any part of a day less than 4 hours operation will be considered to be half-day of operation. When owner-operated equipment is used to perform extra work to be paid for on a time and

materials basis, the CONTRACTOR will be paid for the equipment and operator, as set forth in Paragraphs 3, 4, and 5, following;

3. Payment for the equipment will be made in accordance with the provisions in Paragraph 11.3 D., herein;
4. Payment for the cost of labor and subsistence or travel allowance will be made at the rates paid by the CONTRACTOR to other workers operating similar equipment already on the Site, or in the absence of such labor, established by collective bargaining agreements for the type of workmen and location of the extra work, whether or not the operator is actually covered by such an agreement. A labor surcharge will be added to the cost of labor described herein accordance with the provisions of Paragraph 11.3 B., herein, which surcharge shall constitute full compensation for payments imposed by state and federal laws and all other payments made to or on behalf of workers other than actual wages; and
5. To the direct cost of equipment rental and labor, computed as provided herein, will be added the allowances for equipment rental and labor as provided in Paragraph 11.4, herein.

F. **Special Services:** Special work or services are defined as that work characterized by extraordinary complexity, sophistication, innovation, or a combination of the foregoing attributes which are unique to the construction industry. The ENGINEER will make estimates for payment for special services and may consider the following:

1. When the ENGINEER and the CONTRACTOR, determine that a special service or work is required which cannot be performed by the forces of the CONTRACTOR or those of any of its Subcontractors, the special service or work may be performed by an entity especially skilled in the work to be performed. After validation of invoices and determination of market values by the ENGINEER, invoices for special services or work based upon the current fair market value thereof may be accepted without complete itemization of labor, material, and equipment rental costs;
2. When the CONTRACTOR is required to perform work necessitating special fabrication or matching process in a fabrication or a machine shop facility away from the Site, the charges for that portion of the work performed at the off-site facility may, by agreement, be accepted as a special service and accordingly, the invoices for the work may be accepted without detailed itemization; and
3. All invoices for special services will be adjusted by deducting all trade discounts. In lieu of the allowances for overhead and profit specified in

Paragraph 11.4, herein, an allowance of 15 percent will be added to invoices for special services.

- G. **Sureties;** All work performed hereunder shall be subject to all provisions of the Contract Documents and the CONTRACTOR's sureties shall be bound with reference thereto as under the original Agreement. Copies of all amendments to Bonds or supplemental Bonds shall be submitted to the CITY for review prior to the performance of any work hereunder.

11.4 CONTRACTOR'S OVERHEAD AND PROFIT

- A. Extra work ordered on the basis of time and materials will be paid for at the actual necessary cost as determined by the ENGINEER, plus allowances for overhead and profit. No additional mark-ups and/or surcharges will be added to the cost. The allowance for overhead and profit will include full compensation for superintendence, taxes, field office expense, extended overhead, home office overhead, and all other items of expense or cost not included in the cost of labor, materials, or equipment provided for under Paragraph 11.3. The allowance for overhead and profit will be made in accordance with the following schedule:

Overhead and Profit Allowance

Labor 20 percent
Materials 15 percent
Equipment ... 15 percent

To the sum of the costs and markups provided for in this Article, an additional 2 percent of the sum will be added as compensation for Bonds and insurance.

- B. It is understood that labor, materials, and equipment for extra work may be furnished by the CONTRACTOR or by the Subcontractor on behalf of the CONTRACTOR. When all or any part of the extra work is performed by a Subcontractor, the allowance specified herein will be applied to the labor, materials, and equipment costs of the Subcontractor, to which the CONTRACTOR may add 5 percent of the Subcontractor's total cost for the extra work. Regardless of the number of hierarchical tiers of Subcontractors, the 5 percent increase above the Subcontractor's total cost which includes the allowances for overhead and profit specified herein may be applied one time only.

11.5 EXCLUDED COSTS

- A. The term "cost of the work" shall not include any of the following:
 - 1. Payroll costs and other compensation of CONTRACTOR's officers, executives, proprietors, partners, principals, general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and

contracting agents, expeditors, timekeepers, clerks, and other personnel employed by CONTRACTOR whether at the Site or in CONTRACTOR's principal or a branch office for general administration of the WORK all of which are to be considered administrative costs covered by the CONTRACTOR's allowance for overhead and profit;

2. Non-direct labor costs, including superintendence, shall be considered part of the markup for overhead and profit, and no additional payment will be allowed for such;
3. Expenses of CONTRACTOR's principal and branch offices other than CONTRACTOR's office at the Site;
4. Any part of CONTRACTOR's capital expenses, including interest on CONTRACTOR's capital employed for the WORK and charges against CONTRACTOR for delinquent payments;
5. Cost of premiums for all Bonds and for all insurance whether or no CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except as provided by Paragraph 11.4 above);
6. Costs due to the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of Defective Work, disposal of materials or equipment wrongly supplied, and making good any damages to property; and
7. Other overhead or general expense costs of any kind and the cost of any item not specifically and expressly included in Paragraph 11.4.

11.6 CONTRACTOR'S EXTRA WORK REPORT

- A. In order to be paid for extra work, the CONTRACTOR must submit a daily extra work report on the form furnished by the ENGINEER. The form must be completely filled out based on the provisions of Paragraphs 11.3 through 11.5 and signed by the CONTRACTOR and ENGINEER at the end of each work day. Failure to complete the form and obtain appropriate signatures by the next working day after the extra work of the previous day was completed will result in CONTRACTOR's costs for extra work being disallowed.

ARTICLE 12 – CHANGE OF CONTRACT TIMES

12.1 GENERAL

- A. The Contract Times may only be changed by a Change Order. Any claim for an extension of the Contract Times shall be based on written notice delivered by the CONTRACTOR to the ENGINEER promptly (but in no event later than 10 days) after the start of the event giving rise to the claim and stating the general nature of the claim. Notice of the extent of the claim with supporting data shall be delivered within 30 days after the start of such event (unless the ENGINEER allows an additional period of time for the submission of additional or more accurate data in support of the claim) and shall be accompanied by the CONTRACTOR's written statement that the adjustment claimed is the entire adjustment to which the CONTRACTOR is entitled as a result of said event. All claims for adjustment in the Contract Times will be determined by the ENGINEER. No claim for an adjustment in the Contract Times will be valid if not submitted in accordance with the requirements of this Paragraph 12.1 A. An increase in Contract Times does not mean that the CONTRACTOR is due an increase in Contract Price. Only compensable time extensions will result in an increase in Contract Price.
- B. All time limits stated in the Contract Documents are of the essence of the Agreement.
- C. When CONTRACTOR is prevented from completing any part of the WORK within the Contract Times (or Milestones) due to delay beyond the control of CONTRACTOR, the Contract Times (or Milestones) will be extended in an amount equal to the time lost on the critical path of the WORK due to such delay, if a claim is made therefor as provided in Paragraph 12.1.A. Delays beyond the control of CONTRACTOR shall include, but not be limited to, acts or neglect by CITY; acts or neglect of those performing other work as contemplated by Article 7; and fires, floods, epidemics, abnormal weather conditions, or acts of God. Delays attributable to and within the control of any Subcontractor or Supplier shall be deemed to be delays within the control of the CONTRACTOR.
- D. In no event will CITY be liable to CONTRACTOR, any Subcontractor, any Supplier, any other person or organization, or to any surety for or employee or agent of any of them, for any increase in the Contract Price or other damages arising out of or resulting from the following:
1. Delays caused by or within the control of CONTRACTOR; or
 2. Delays beyond the control of both CITY and CONTRACTOR including but not limited to fires, floods, epidemics, abnormal weather conditions, acts of God, or acts or neglect by those performing other work as contemplated by Article 7.

12.2 EXTENSIONS OF CONTRACT TIMES FOR DELAY DUE TO WEATHER

- A. The CONTRACTOR's construction schedule shall anticipate delay due to unusually severe weather. The number of days of anticipated delay is set forth in the Supplementary General Conditions.
- B. Contract Times may be extended by the ENGINEER because of delays in excess of the anticipated delay. The CONTRACTOR shall, within 10 days of the beginning of any such delay, notify the ENGINEER in writing and request an extension of Contract Times. The ENGINEER will ascertain the facts and the extent of the delay and extend the Contract Times when, in its judgment, the findings of the fact justify such an extension.

ARTICLE 13 – INSPECTIONS AND TESTS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

13.1 NOTICE OF DEFECTIVE WORK

- A. Prompt notice of Defective Work known to the ENGINEER will be given to the CONTRACTOR. All Defective Work, whether or not in place, may be rejected, corrected, or accepted as provided in this Article 13. Defective Work may be rejected even if approved by prior inspection.

13.2 ACCESS TO WORK

- A. ENGINEER and other representatives and personnel of CITY, independent testing laboratories, and governmental agencies with jurisdictional interests shall have access to the WORK at reasonable times for their observation, inspecting, and testing. CONTRACTOR shall provide them proper and safe conditions for such access and advise them of CONTRACTOR's Site safety procedures and programs so that they may comply therewith as applicable.

13.3 INSPECTIONS AND TESTS

- A. The CONTRACTOR shall give the ENGINEER not less than 24 hours notice of readiness of the WORK for all required inspections, tests, or approvals, and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.
- B. The CITY shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents except:
 - 1. For inspection, tests, or approvals covered by Paragraphs 13.3C. and 13.3D. below;

2. That costs incurred in connection with tests or inspections conducted pursuant to Paragraph 13.3G. shall be paid as provided in said Paragraph 13.3G.; and
 3. As otherwise provided in the Contract Documents.
- C. If Laws and Regulations of any public body having jurisdiction require any WORK (or any part thereof) to be inspected, tested, or approved by an employee or other representative of such public body, CONTRACTOR shall assume full responsibility for arranging and obtaining such inspections, tests or approvals; pay all costs in connection therewith; and furnish the ENGINEER the required certificates of inspection or approval.
 - D. The CONTRACTOR shall be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests, or approvals required for the ENGINEER's acceptance of materials or equipment to be incorporated in the WORK or acceptance of materials, mix designs, or equipment submitted for approval prior to the CONTRACTOR's purchase thereof for incorporation in the WORK. Such inspections, tests, or approvals shall be performed by organizations acceptable to the ENGINEER.
 - E. The ENGINEER will make, or have made, such inspections and tests as the ENGINEER deems necessary to see that the WORK is being accomplished in accordance with the requirements of the Contract Documents. Unless otherwise specified in any Supplementary General Conditions, the cost of such inspection and testing will be borne by the CITY. In the event such inspections or tests reveal non-compliance with the requirements of the Contract Documents, the CONTRACTOR shall bear the cost of corrective measures deemed necessary by the ENGINEER, as well as the cost of subsequent reinspection and retesting. Neither observations by the ENGINEER nor inspections, tests, or approvals by others shall relieve the CONTRACTOR from the CONTRACTOR's obligation to perform the WORK in accordance with the Contract Documents.
 - F. If any WORK (including the work of others) that is to be inspected, tested, or approved is covered without written concurrence of the ENGINEER, it must, if requested by the ENGINEER, be uncovered for observation. Such uncovering shall be at the CONTRACTOR's expense unless the CONTRACTOR has given the ENGINEER not less than 24 hours notice of the CONTRACTOR's intention to perform such test or to cover the same and the ENGINEER has not acted with reasonable promptness in response to such notice.
 - G. If any WORK is covered contrary to the written request of the ENGINEER, it must, if requested by the ENGINEER, be uncovered for the ENGINEER's observation and recovered at the CONTRACTOR's expense.

- H. If the ENGINEER considers it necessary or advisable that covered WORK be observed by the ENGINEER or inspected or tested by others, the CONTRACTOR, at the ENGINEER's request shall uncover, expose, or otherwise make available for observation, inspection, or testing as the ENGINEER may require, that portion of the WORK in question, furnishing all necessary labor, material, and equipment. If it is found that such work is Defective Work, the CONTRACTOR shall bear all direct, indirect, and consequential costs and damages of such uncovering, exposure, observation, inspection, and testing and of satisfactory reconstruction, including but not limited to, fees and charges of engineers, architects, attorneys, and other professionals. However, if such work is not found to be Defective Work, the CONTRACTOR will be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, and reconstruction; and, if the parties are unable to agree as to the amount or extent thereof, the CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.
- I. No acceptance of equipment, materials, or work shall be construed to result from such inspections by the ENGINEER. Any inspections or tests or waivers thereof shall not relieve the CONTRACTOR of its responsibility for meeting the requirement of the Contract.

13.4 CITY MAY STOP THE WORK

- A. If Defective Work is identified, the ENGINEER may order the CONTRACTOR to stop performance of the WORK, or any portion thereof, until the cause for such order has been eliminated; however, this right of the ENGINEER to stop the WORK shall not give rise to any duty on the part of the ENGINEER to exercise this right for the benefit of the CONTRACTOR or any other party.

13.5 CORRECTION OR REMOVAL OF DEFECTIVE WORK

- A. If required by the ENGINEER, the CONTRACTOR shall promptly either correct all Defective Work, whether or not fabricated, installed, or completed, or, if the work has been rejected by the ENGINEER, remove it from the Site and replace it with non-defective WORK. The CONTRACTOR shall bear all direct, indirect, and consequential costs and damages of such correction or removal, including but not limited to fees and charges of engineers, architects, attorneys, and other professionals made necessary thereby.

13.6 ACCEPTANCE OF DEFECTIVE WORK

- A. If, instead of requiring correction or removal and replacement of Defective Work, the CITY prefers to accept the Defective Work, the CITY may do so. The CONTRACTOR shall bear all direct, indirect, and consequential costs attributable to the CITY's evaluation of and determination to accept such Defective Work. If

any such acceptance occurs prior to final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the WORK, and the CITY shall be entitled to an appropriate decrease in the Contract Price.

13.7 CITY MAY CORRECT DEFECTIVE WORK

- A. If the CONTRACTOR fails within a reasonable time after written notice from the ENGINEER to correct Defective Work, or to remove and replace Defective Work as required by the ENGINEER in accordance with Paragraph 13.5A., or if the CONTRACTOR fails to perform the WORK in accordance with the Contract Documents, or if the CONTRACTOR fails to comply with any other provision of the Contract Documents, the CITY may, after seven days written notice to the CONTRACTOR, correct and remedy any such deficiency.
- B. In exercising the rights and remedies under this paragraph, the CITY shall proceed with corrective and remedial action. In connection with such corrective and remedial action, the CITY may exclude the CONTRACTOR from all or part of the Site, take possession of all or part of the WORK, and suspend the CONTRACTOR's services related thereto and incorporate in the WORK all materials and equipment for which the CITY has paid the CONTRACTOR whether stored at the Site or elsewhere. The CONTRACTOR shall provide the CITY and its ENGINEER, access to the Site to enable CITY to exercise the rights and remedies under this paragraph.
- C. All direct, indirect, and consequential cost and damages incurred by the CITY in exercising the rights and remedies under this paragraph will be charged against the CONTRACTOR and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the WORK; and the CITY shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount of the adjustment, the CITY may make a claim therefor as provided in Article 11. Such claim will include, but not be limited to, all costs of repair or replacement of work of others, destroyed or damaged by correction, removal, or replacement of CONTRACTOR's Defective Work and all direct, indirect, and consequential damages associated therewith.
- D. The CONTRACTOR shall not be allowed an extension of Contract Times (or Milestones) because of any delay in the performance of the WORK attributable to the exercise by CITY of CITY's rights and remedies under this paragraph.

13.8 CORRECTION PERIOD

- A. The correction period for Defective Work shall be the longer of:
 - 1. One year after the date of final acceptance;

2. Such time as may be prescribed by Laws and Regulations;
 3. Such time as specified by the terms of any applicable special guarantee required by the Contract Documents; or
 4. Such time as specified by any specific provision of the Contract Documents.
- B. If, during the correction period as defined in Paragraph 13.8A above, any work is found to be Defective Work, the CITY shall have the same remedies as set forth in Paragraphs 13.5, 13.6, and 3.7 above.
- C. Where Defective Work (and damage to other work resulting therefrom) has been corrected, removed, or replaced under this paragraph, the correction period hereunder with respect to such work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

ARTICLE 14 – PAYMENTS TO CONTRACTOR AND COMPLETION

14.1 SCHEDULE OF VALUES (LUMP SUM PRICE BREAKDOWN)

- A. The schedule of values or lump sum price breakdown established as provided in the General Requirements shall serve as the basis for progress payments and shall be incorporated into a form of “Application for Payment acceptable to the ENGINEER.

14.2 UNIT PRICE BID SCHEDULE

- A. Progress payments on account of unit price work will be based on the number of units completed.

14.3 APPLICATION FOR PROGRESS PAYMENT

- A. Unless otherwise prescribed by law, on the 25th of each month, the CONTRACTOR shall submit to the ENGINEER for review, the Application for Payment filled out and signed by the CONTRACTOR covering the WORK completed as of the Application for Payment and accompanied by such supporting documentation as is required by the Contract Documents.
- B. The Application for Payment shall identify, as a subtotal, the amount of the CONTRACTOR total earnings to date; plus the value of materials stored at the Site which have not yet been incorporated in the WORK; and less a deductive adjustment for materials installed which were not previously incorporated in the WORK, but for which payment was allowed under the provisions for payment for materials stored at the Site, but not yet incorporated in the WORK.

- C. The net payment due the CONTRACTOR shall be the above-mentioned subtotal from which shall be deducted the amount of retainage specified in the Supplementary General Conditions and the total amount of all previous payments made to the CONTRACTOR.
- D. The value of materials stored at the Site shall be an amount equal to the specified percent of the value of such materials as set forth in any Supplementary General Conditions. Said amount shall be based upon the value of all acceptable materials and equipment not incorporated in the WORK but delivered and suitably stored at the Site or at another location agreed to in writing; provided, each such individual item has a value of more than \$5,000 and will become a permanent part of the WORK. The Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that the CONTRACTOR has received the materials and equipment free and clear of all Liens and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect the CITY's interest therein, all of which will be satisfactory to the CITY.
- E. A ten percent (10%) retention of payment amount shall be held by the CITY from the amount of each Application for Payment.
- F. **OPTIONAL:** Partial payments for mobilization/demobilization costs shall be as follows:
 - 1. Thirty-five percent (35%) of the amount bid for mobilization/demobilization or 1.75 percent of the original Contract Price, whichever is less, shall be paid in each of the first two progress payments.
 - 2. The balance of the amount bid for mobilization/demobilization shall be paid upon completion of all WORK on the project.

14.4 CONTRACTOR'S WARRANTY OF TITLE

- A. The CONTRACTOR warrants and guarantees that title to all WORK, materials, and equipment covered by an Application for Payment, whether incorporated in the WORK or not, will pass to the CITY no later than the time of payment, free and clear of all Liens.

14.5 REVIEW OF APPLICATIONS FOR PROGRESS PAYMENT

- A. The ENGINEER will, within 7 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the application to the CITY, or return the application to the CONTRACTOR indicating in writing the ENGINEER'S REASONS FOR REFUSING TO RECOMMEND PAYMENT. In the latter case, the CONTRACTOR may make

the necessary corrections and resubmit the application. If the ENGINEER still disagrees with a portion of the application, it will submit the application recommending the undisputed portion of the application to the CITY for payment and provide reasons for recommending non-payment of the disputed amount. Thirty days after presentation of the Application for Payment with the ENGINEER'S recommendation, the amount recommended will (subject to the provisions of Paragraph 14.5B.) become due and when due will be paid by the CITY to the CONTRACTOR.

- B. The ENGINEER, in its discretion, may refuse to recommend the whole or any part of any payment. ENGINEER may also refuse to recommend any such payment, or, because of subsequently discovered evidence or the results of subsequent inspections or tests, nullify any such payment previously recommended, to such extent as may be necessary in ENGINEER's opinion to protect CITY from loss because:
1. The work is Defective Work or the completed WORK has been damaged requiring correction or replacement.
 2. The Contract Price has been reduced by written amendment or Change Order.
 3. The CITY has been required to correct Defective Work or complete WORK in accordance with Paragraph 13.7.
 4. ENGINEER has actual knowledge of the occurrence of any of the events enumerated in Paragraph 15.1 through 15.4 inclusive.
 5. Third party claims filed or reasonable evidence indicating probable filing of such claims; or
 6. Failure of the Contractor to make payments properly to subcontractors or for labor, materials, or equipment; or
 7. Reasonable evidence that the work cannot be completed for the unpaid balance of the contract sum; or
 8. Failure of the Contractor to submit an acceptable construction schedule or failure to update the schedule; or
 9. Damage to the City or another contractor; or
 10. Reasonable evidence that the work will not be completed within the time provided for in the Contract; or

11. Contractor's failure or inability to obtain or maintain insurance coverage and bonds as required by the Contract throughout the course of the job; or
 12. Persistent failure to carry out the work in accordance with the Contract; or
 13. Failure to deliver copies of certified payrolls, as specified in Section 17.11, General Conditions.
 14. In addition, the City may deduct from any such payments due the Contractor any amounts the City may be currently or in the future authorized to retain pursuant to federal, state, or local laws or regulations, any amounts due the City from the Contractor, and any other amounts which the City is otherwise authorized to retain as specified in Special Provisions.
- C. The CITY may refuse to make payment of the full amount recommended by the ENGINEER because:
1. Claims have been made against CITY on account of CONTRACTOR's performance or furnishing of the WORK.
 2. Liens have been filed in connection with the WORK, except where CONTRACTOR has delivered a specific Bond satisfactory to CITY to secure the satisfaction and discharge of such Liens.
 3. There are other items entitling CITY to set-off against the amount recommended, or
 4. CITY has actual knowledge of the occurrence of any of the events enumerated in Paragraphs 14.5B. through 14.5C and 15.1 through 15.4 inclusive.

The CITY must give the CONTRACTOR immediate written notice stating the reasons for such action and promptly pay the CONTRACTOR the amount so withheld, or any adjustment thereto agreed to by CITY and CONTRACTOR, when CONTRACTOR corrects to CITY's satisfaction the reasons for such action.

14.6 COMPLETION

- A. When the CONTRACTOR considers the WORK ready for its intended use, the CONTRACTOR shall notify the ENGINEER in writing that the WORK is complete. The CONTRACTOR shall attach to this request a list of all work items that remain to be completed and a request that the ENGINEER prepare a Notice of Completion. Within a reasonable time thereafter, the CONTRACTOR, and the ENGINEER shall make an inspection of the WORK to determine the status of completion. If the ENGINEER considers the WORK complete, the ENGINEER

will prepare and execute and deliver for City Council approval and recordation the Notice of Completion signed by the ENGINEER and CONTRACTOR, which shall fix the date of completion.

14.7 PARTIAL UTILIZATION

- A. The CITY shall have the right to utilize or place into service any item of equipment or other usable portion of the WORK prior to completion of the WORK. Whenever the CITY plans to exercise said right, the CONTRACTOR will be notified in writing by the ENGINEER, identifying the specific portion or portions of the WORK to be so utilized or otherwise placed into service.
- B. It shall be understood by the CONTRACTOR that until such written notification is issued, all responsibility for care and maintenance of all of the WORK shall be borne by the CONTRACTOR. Upon issuance of said written notice of Partial Utilization, the CITY will accept responsibility for the protection and maintenance of all such items or portions of the WORK described in the written notice.
- C. The CONTRACTOR shall retain full responsibility for satisfactory completion of the WORK, regardless of whether a portion thereof has been partially utilized by the CITY prior to completion of the WORK.

14.8 FINAL APPLICATION FOR PAYMENT

- A. After the CONTRACTOR has completed all of the remaining work items referred to in Paragraph 14.6 and delivered all maintenance and operating instructions, schedules, guarantees, Bonds, certificates of inspection, marked-up record documents (as provided in the General Requirements), and other documents, all as required by the Contract Documents, and after the ENGINEER has indicated that the WORK is acceptable, the CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all documentation called for in the Contract Documents, together with complete and legally effective releases or waivers (satisfactory to the CITY) of all Liens arising out of or filed in connection with the WORK.

14.9 FINAL PAYMENT AND ACCEPTANCE

- A. If, on the basis of the ENGINEER's observation of the WORK during construction and final inspection, and the ENGINEER's review of the final Application for Payment and accompanying documentation, all as required by the Contract Documents, the ENGINEER is satisfied that the WORK has been completed and the CONTRACTOR's other obligations under the Contract Documents have been fulfilled, the ENGINEER will, within 14 days after receipt

of the final Application for Payment, indicate in writing the ENGINEER's recommendation of payment and present the application to the CITY for payment.

- B. After acceptance of the WORK by the City Council, the CITY will make final payment to the CONTRACTOR of the amount remaining after deducting all prior payments and all amounts to be kept or retained under the provisions of the Contract Documents, including the following items:
1. Liquidated damages, as applicable;
 2. Amounts withheld by CITY under Paragraph 14.5B. and C. which have not been released; and
 3. In accordance with Section 17.6, one-and-one-half times the value of outstanding items of correction work or punch list items yet uncompleted or uncorrected, as applicable. All such work shall be completed or corrected to the satisfaction of the ENGINEER as required by the Contract Documents, otherwise the CONTRACTOR does hereby waive any and all claims to all monies withheld by the CITY to cover the value of all such uncompleted or uncorrected items.
- C. Prior to final payment by the CITY, the CONTRACTOR must provide the CITY a fully-executed Conditional Waiver and Release Upon Final Payment in accordance with California Civil Code Section 3262.

ARTICLE 15 – SUSPENSION OF WORK AND TERMINATION

15.1 SUSPENSION OF WORK BY CITY

- A. The CITY may, at any time and without cause, suspend the WORK or any portion thereof for a period of not more than 90 days by notice in writing to the CONTRACTOR. The CONTRACTOR shall resume the WORK on receipt of a notice of resumption of work. The CONTRACTOR will be allowed an increase in the Contract Price or an extension of the Contract Time, or both directly attributable to any suspension if the CONTRACTOR makes an approval claim therefor as provided in Articles 11 and 12.

15.2 TERMINATION OF AGREEMENT BY ENGINEER FOR DEFAULT

- A. In the event of default by the CONTRACTOR, the ENGINEER may give seven days written notice to the CONTRACTOR and the CONTRACTOR's surety of CITY's intent to terminate the Agreement and provide the CONTRACTOR an opportunity to remedy the conditions constituting the default within a specified period of time. It will be considered a default by the CONTRACTOR whenever CONTRACTOR shall:
1. Declare bankruptcy, become insolvent, or assign its assets for the benefit of its creditors;
 2. Disregard or violate the Laws or Regulations of any public body having jurisdiction;
 3. Fail to provide materials or workmanship meeting the requirements of the Contract Documents;
 4. Disregard or violate provisions of the Contract Documents or ENGINEER's instructions;
 5. Fail to prosecute the WORK according to the approved progress schedule;
 6. Fail to provide a qualified superintendent, competent workmen, or materials or equipment meeting the requirements of the Contract Documents;
 7. Disregard the authority of the ENGINEER; or
 8. Assign or subcontract any part of the work without the ENGINEER's consent.
- B. If the CONTRACTOR fails to remedy the conditions constituting default within the time allowed, the ENGINEER may then issue the notice of termination.

- C. In the event the Agreement is terminated in accordance with Paragraph 15.2A., herein, the CITY may take possession of the WORK and may complete the WORK by whatever method or means the CITY may select. The cost of completing the WORK will be deducted from the balance which would have been due the CONTRACTOR had the Agreement not been terminated and the WORK completed in accordance with the Contract Documents. If such cost exceeds the balance which would have been due, the CONTRACTOR shall pay the excess amount to the CITY. If such cost is less than the balance which would have been due, the CONTRACTOR shall not have claim to the difference.

15.3 TERMINATION OF AGREEMENT BY CITY FOR CONVENIENCE

- A. Upon seven days' written notice to the CONTRACTOR, the CITY may, without cause and without prejudice to any other right or remedy of the CITY, elect to terminate the Agreement. In such case, the CONTRACTOR shall be paid (without duplication of any items):
 - 1. For completed and acceptable WORK executed in accordance with the Contract Documents, prior to the effective date of termination, including fair and reasonable sums for overhead and profit of such WORK;
 - 2. For expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted WORK, plus fair and reasonable sums or overhead and profit on such expenses;
 - 3. For all reasonable claims, costs, losses, and damages incurred in settlement of terminated contracts with Subcontractors, Suppliers, and others; and
 - 4. For reasonable expenses directly attributable to termination.

CONTRACTOR shall not be paid on account of loss of anticipated profits or revenue or other economic loss arising out of or resulting from such termination.

15.4 TERMINATION OF AGREEMENT BY CONTRACTOR

- A. The CONTRACTOR may terminate the Agreement upon 14 days written notice to the ENGINEER whenever:
 - 1. The WORK has been suspended under the provisions of Paragraph 15.1, herein, for more than 90 consecutive days through no fault or negligence of the CONTRACTOR, and notice to resume work or to terminate the

Agreement has not been received from the ENGINEER within this time period; or

2. The CITY should fail to pay the CONTRACTOR any monies due him in accordance with the terms of the Contract Documents and within 60 days after presentation to the ENGINEER by the CONTRACTOR of a request therefor, unless within said 14-day period the CITY shall have remedied the condition upon which the payment delay was based.
- B. In the event of such termination, the CONTRACTOR shall have no claims against the CITY except for those claims specifically enumerated in Paragraph 15.3, herein, and as determined in accordance with the requirements of said paragraph.

ARTICLE 16 – GENERAL TERMS

16.1 GIVING NOTICE

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or if delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

16.2 TITLE TO MATERIALS FOUND ON THE WORK

- A. The CITY reserves the right to retain title to all soils, stone, sand, gravel, and other materials developed and obtained from excavations and other operations connected with the WORK. Unless otherwise specified in the Contract Documents, neither the CONTRACTOR nor any Subcontractor shall have any right, title, or interest in or to any such materials. The CONTRACTOR will be permitted to use in the WORK, without charge, any such materials which meet the requirements of the Contract Documents.

16.3 RIGHT TO AUDIT

- A. If the CONTRACTOR submits a claim to the ENGINEER for additional compensation, the CITY shall have the right, as a condition to considering the claim, and as a basis for evaluation of the claim, and until the claim has been settled, to audit the CONTRACTOR's books to the extent they are relevant. This right shall include the right to examine books, records, documents, and other evidence and accounting procedures and practices, sufficient to discover and verify all direct and indirect costs of whatever nature claimed to have been incurred or anticipated to be incurred and for which the claim has been submitted. The right to audit shall include the right to inspect the CONTRACTOR's plant or such parts thereof, as may be or have been engaged in the performance of the WORK. The CONTRACTOR further agrees that the right to audit encompasses

all subcontracts and is binding upon Subcontractors. The rights to examine and inspect herein provided for shall be exercisable through such representatives as the CITY deems desirable during the CONTRACTOR's normal business hours at the office of the CONTRACTOR. The CONTRACTOR shall make available to the ENGINEER for auditing, all relevant accounting records and documents, and other financial data, and upon request, shall submit true copies of requested records to the ENGINEER.

16.4 SURVIVAL OF OBLIGATIONS

- A. All representations, indemnifications, warranties, and guaranties made in, required by or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion and acceptance of the WORK or termination or completion of the Agreement.

16.5 CONTROLLING LAW

- A. This Agreement is to be governed by the law of the state in which the Project is located.

16.6 SEVERABILITY

- A. If any term or provision of this Agreement is declared invalid or unenforceable by any court of lawful jurisdiction, the remaining terms and provisions of the Agreement shall not be affected thereby and shall remain in full force and effect.

16.7 WAIVER

- A. The waiver by the CITY of any breach or violation of any term, covenant or condition of this Agreement or of any provision, ordinance, or law shall not be deemed to be a waiver of any other term, covenant, condition, ordinance, or law or of any subsequent breach or violation of the same or of any other term, covenant, condition, ordinance, or law. The subsequent payment of any monies or fee by the CITY which may become due hereunder shall not be deemed to be a waiver of any preceding breach or violation by CONTRACTOR or any term, covenant, condition of this Agreement or of any applicable law or ordinance.

ARTICLE 17 – CALIFORNIA STATE REQUIREMENTS

17.1 STATE WAGE DETERMINATIONS

- A. As required by Section 1770 and following, of the California Labor Code, the CONTRACTOR shall pay not less than the prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations. Copies of such prevailing rate of per diem wages available file at the office of the City Clerk, which copies shall be made available to any interested party on request. The CONTRACTOR shall post a copy of such determination at each job site.
- B. In accordance with Section 1775 of the California Labor Code, the CONTRACTOR shall, as a penalty to the CITY, forfeit not more than **\$200.00** for each calendar day or portion thereof, for each worker paid less than the prevailing rates as determined by the Director for the work or craft in which the worker is employed for any public work done under the contract by him or her or by any subcontractor under him or her.

17.2 WORKERS' COMPENSATION

- A. In accordance with the provisions of Section 3700 of the California Labor Code, the CONTRACTOR shall secure the payment of compensation to its employees.
- B. Prior to beginning work under the Contract, the CONTRACTOR shall sign and file with the ENGINEER the following certification:

“I am aware of the provisions of Section 3700 of the Labor Code, which require every employer to be insured against liability for workers’ compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the WORK of this Contract.”
- C. Notwithstanding the foregoing provisions, before the Contract is executed on behalf of the CITY, a bidder to whom a contract has been awarded shall furnish satisfactory evidence that it has secured in the manner required and provided by law the payment of workers’ compensation.

17.3 APPRENTICES ON PUBLIC WORKS

- A. The CONTRACTOR shall comply with all applicable provisions of Section 1777.5 of the California Labor Code relating to employment of apprentices on public works.

17.4 WORKING HOURS

- A. The CONTRACTOR shall comply with all applicable provisions of Section 1810 to 1815, inclusive, of the California Labor Code relating to working hours. The CONTRACTOR shall, as a penalty to the CITY, forfeit \$25.00 for each worker employed in the execution of the Contract by the CONTRACTOR or by any subcontractor for each calendar day during which such worker is required or permitted to work more than 8 hours in any one calendar day and 40 hours in any one calendar week, unless such worker receives compensation for all hours worked in excess of 8 hours at not less than 1-1/2 times the basic rate of pay.

17.5 CONTRACTOR NOT RESPONSIBLE FOR DAMAGE RESULTING FROM CERTAIN ACTS OF GOD

- A. As provided in Section 7105 of the California Public Contract Code, the CONTRACTOR shall not be responsible for the cost of repairing or restoring damage to the WORK which damage is determined to have been proximately caused by an act of God, in excess of 5 percent of the contracted amount, provided, that the WORK damaged was built in accordance with accepted and applicable building standards and the plans and specifications of the CITY. The CONTRACTOR shall obtain insurance to indemnify the CITY for any damage to the WORK caused by an act of God if the insurance premium is a separate bid item in the bidding schedule for the WORK. For purposes of this Section, the term "acts of God" shall include only the following occurrences or conditions and effects: earthquakes in excess of a magnitude of 3.5 on the Richter Scale and tidal waves.

17.6 NOTICE OF COMPLETION

- A. In accordance with the Sections 3086 and 3093 of the California Civil Code, within 10 days after date of acceptance of the WORK BY THE City Council the ENGINEER will file, in the County Recorder's office, a Notice of Completion of the WORK.

17.7 UNPAID CLAIMS

- A. If, at any time prior to the expiration of the period for service of a stop notice, there is served upon the CITY a stop notice as provided in Sections 3179 and 3210 of the California Civil Code, the CITY shall, until the discharge thereof, withhold from the monies under its control so much of said monies due or to become due to the CONTRACTOR under this Contract as shall be sufficient to answer the claim stated in such stop notice and to provide for the reasonable cost of any litigation thereunder; provided, that if the ENGINEER shall, in its discretion, permit CONTRACTOR to file with the ENGINEER the bond referred to in Section 3196 of the Civil Code of the State of California, said monies shall not thereafter be withheld on account of such stop notice.

17.8 RETAINAGE FROM MONTHLY PAYMENTS

- A. Pursuant to Section 22300 of the California Public Contract Code, the CONTRACTOR may substitute securities for any money withheld by the CITY to insure performance under the Contract. At the request and expense of the CONTRACTOR, securities equivalent to the amount withheld shall be deposited with the CITY or with a state or federally chartered bank in California as to the escrow agent, who shall return such securities to the CONTRACTOR upon satisfactory completion of the Contract.
- B. Alternatively, the CONTRACTOR may request and the CITY shall make payment of retentions earned directly to the escrow agent at the expense of the CONTRACTOR. At the expense of the CONTRACTOR, the CONTRACTOR may direct the investment of the payments into securities and the CONTRACTOR shall receive the interest earned on the investments upon the same terms provided in Section 22300 of the Public Contract Code securities deposited by the CONTRACTOR. The CONTRACTOR shall be responsible for paying all fees for the expenses incurred by the escrow agent in administering the escrow account and all expenses of the CITY. These expenses and payment terms shall be determined by the CITY's Finance Director or his/her designee and the escrow agent. Upon satisfactory completion of the Contract, the CONTRACTOR shall receive from the escrow agent all securities, interest, and payments received by the escrow agent from the CITY, pursuant to the terms of Section 22300 of the Public Contract Code. The CONTRACTOR shall pay to each subcontractor, not later than 20 days of receipt of the payment, the respective amount of interest earned, net of costs attributed to retention withheld from each subcontractor, on the amount of retention withheld to insure the performance of the CONTRACTOR.
- C. Securities eligible for investment under Section 22300 shall be limited to those listed in Section 16430 of the Government Code and to bank or savings and loan certificates of deposit, interest bearing demand deposit accounts, standby letters of credit, or any other security mutually agreed to by the CONTRACTOR and the CITY.

17.9 PUBLIC WORKS CONTRACTS; ASSIGNMENT TO AWARDING BODY

- A. In accordance with Section 7103.5 of the California Public Contract Code, the CONTRACTOR and Subcontractors shall conform to the following requirements. In entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, the CONTRACTOR or subcontractor offers and agrees to assign to the CITY all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising

from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the awarding body tenders final payment to the CONTRACTOR, without further acknowledgment by the parties.

17.10 PAYROLL RECORDS; RETENTION; INSPECTION; NONCOMPLIANCE PENALTIES; RULES AND REGULATIONS

- A. In accordance with Section 1776 of the California Labor Code the CONTRACTOR and each Subcontractor shall keep an accurate payroll record, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by him or her in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:
1. The information contained in the payroll record is true and correct.
 2. The employer has complied with the requirements of Sections 1771, 1811, and 1815 for any work performed by his or her employees on the public works project.
- B. The payroll records shall be certified and shall be available for inspection at all reasonable hours at the principal office of the CONTRACTOR on the following basis:
1. A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or his or her authorized representative on request as well as submitted electronically online to the Department of Industrial Relations Labor Commissioner: <https://apps.dir.ca.gov/ecpr/DAS/AltLogin>.
 2. A certified copy of all payroll records shall be made available for inspection or furnished upon request to a representative of the body awarding the contract, the Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards of the Department of Industrial Relations.
 3. A certified copy of all payroll records shall be made available upon request by the public for inspection or copies thereof made; provided, however, that a request by the public shall be made through either the body awarding the contract, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement. If the requested payroll records have not been provided the requesting party shall, prior to being provided the records, reimburse the costs of preparation by the

CONTRACTOR, Subcontractors, and the entity through which the request was made. The public shall not be given access to the records at the principal office of the CONTRACTOR.

- C. The certified payroll records shall be on forms provided by the Division of Labor Standards Enforcement or shall contain the same information as the forms provided by the division.
- D. Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by the awarding body, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement shall be marked or obliterated in such a manner as to prevent disclosure of an individual's name, address, and social security number. The name and address of the CONTRACTOR awarded the contract or performing the contract shall not be marked or obliterated.
- E. The CONTRACTOR shall inform the ENGINEER of the location of the records including the street address, city and county, and shall, within 5 working days, provide a notice of change of location and address.
- F. The CONTRACTOR shall have 10 days in which to comply subsequent to receipt of written notice specifying in what respects the CONTRACTOR must comply with this Section. In the event that the CONTRACTOR fails to comply within the 10-day period, he or she shall, as a penalty to the state or political subdivision on whose behalf the contract is made or awarded, forfeit twenty-five dollars (\$25.00) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the Division of Apprenticeship Standards or the Division of Labor Standards Enforcement, these penalties shall be withheld from progress payments then due. A contractor is not subject to a penalty assessment pursuant to this section due to the failure of a subcontractor to comply with this section.

17.11 CULTURAL RESOURCES

- A. The CONTRACTOR's attention is directed to the provisions of the Clean Water Grant Program Bulletin 76A which augments the National Historic Preservation Act of 1966 (16 U.S.C. 470) as specified under Section 01560 - Temporary Environmental Controls, of the General Requirements.

17.12 PROTECTION OF WORKERS IN TRENCH EXCAVATIONS

- A. As required by Section 6705 of the California Labor Code and in addition thereto, whenever work under the Contract involves the excavation of any trench or trenches 5 feet or more in depth, the CONTRACTOR shall submit for acceptance by the ENGINEER, to whom authority to accept has been delegated, in advance of excavation, a detailed plan showing the design of shoring, bracing, sloping, or

other provisions to be made for worker protection from the hazard of caving ground during the excavation, of such trench or trenches. If such plan varies from the shoring system standards established by the Construction Safety Orders of the Division of Occupational Safety and Health, the plan shall be prepared by a registered civil or structural engineer employed by the CONTRACTOR, and all costs therefore shall be included in the price named in the Contract for completion of the WORK as set forth in the Contract Documents. Nothing in this Section shall be deemed to allow the use of a shoring, sloping, or other protective system less effective than that required by the Construction Safety Orders. Nothing in this Section shall be construed to impose tort liability on the CITY or any of its officers, agents, representatives, or employees.

- B. Excavation shall not start until the CONTRACTOR has obtained a permit from the California Division of Industrial Safety and has posted it at the site.

17.13 CONCRETE FORMS, FALSEWORK, AND SHORING

- A. The CONTRACTOR shall comply fully with the requirements of Section 1717 of the Construction Safety Orders, State of California, Department of Industrial Relations, regarding the design of concrete forms, falsework and shoring, and the inspection of same prior to placement of concrete. Where the said Section 1717 requires the services of a civil engineer registered in the State of California to approve design calculations and working drawings of the falsework or shoring system, or to inspect such system prior to placement of concrete, the CONTRACTOR shall employ a registered civil engineer for these purposes, and all costs therefore shall be included in the price named in the Contract for completion of the WORK as set forth in the Contract Documents.

17.14 REMOVAL, RELOCATION, OR PROTECTION OF EXISTING UTILITIES

- A. In accordance with the provisions with the provisions of Section 4215 of the California Government Code, the CITY shall assume the responsibility for the timely removal, relocation, or protection of existing main or trunkline utility facilities located on the site of any construction project that is a subject of the Contract, if such utilities are not identified by the CITY in the plans and specifications made a part of the invitation for bids. The CITY will compensate CONTRACTOR for the costs of locating, repairing damage not due to the failure of the CONTRACTOR to exercise reasonable care, and removing or relocating such utility facilities not indicated in the plans and specifications with reasonable accuracy, and for equipment on the project necessarily idled during such work.
- B. The CONTRACTOR shall not be assessed liquidated damages for delay in completion of the project, when such delay was caused by the failure of the public agency or the owner of the utility to provide for removal or relocation of such utility facilities.

- C. Nothing herein shall be deemed to require the public agency to indicate the presence of existing service laterals or appurtenances when the presence of such utilities on the site of the construction project can be inferred from the presence of other visible facilities, such as buildings, meter and junction boxes, on or adjacent to the site of construction; provided however, nothing herein shall relieve the public agency from identifying main or trunklines in the plans and specifications.
- D. If the CONTRACTOR while performing the Contract discovers utility facilities not identified by the public agency in the Contract Documents it shall immediately notify the public agency and utility in writing.
- E. The public utility, where they are the owner, shall have the sole discretion to perform such repairs or relocation work or permit the CONTRACTOR to do such repairs or relocation work at a reasonable price.

17.15 CONTRACTOR LICENSE REQUIREMENTS

- A. In accordance with Section 7028.15 of the California Business and Professions Code:
- B. It is a misdemeanor for any person to submit a bid to a public agency in order to engage in the business or act in the capacity of a contractor within this state without having a license therefor, except in any of the following cases:
 - 1. The person is particularly exempted from this chapter.
 - 2. The bid is submitted on a state project governed by Section 10164 of the Public Contract Code or any local agency project governed by Section 20103.5 of the Public Contract Code.
- C. If a person has previously been convicted of the offense described in this section, the court shall impose a fine of 20 percent of the price of the contract under which the unlicensed person performed contract work, or four thousand five hundred dollars (\$4,500), whichever is greater, or imprisonment in the county jail for not less than 10 days nor more than six months, or both.
- D. In the event the person performing the contracting work has agreed to furnish materials and labor on an hourly basis, “the price of the contract” for the purpose of this subdivision means the aggregate sum of the cost of materials and labor furnished and the cost of completing the work to be performed.
- E. This section shall not apply to a joint venture license, as required by Section 7029.1 of the California Business and Professions Code. However, at the time of making a bid as a joint venture, each person submitting the bid shall be subject to this section with respect to his or her individual licensure.

- F. This section shall not affect the right or ability of a licensed architect, land surveyor, or registered professional engineer to form joint ventures with licensed contractors to render services within the scope of their respective practices.
- G. Unless one of the foregoing exceptions applies, a bid submitted to a public agency by a contractor who is not licensed in accordance with this chapter shall be considered nonresponsive and shall be rejected by the public agency. Unless one of the foregoing exceptions applies, a local public agency shall, before awarding a contract or issuing a purchase order, verify that the contractor was properly licensed when the contractor submitted the bid. Notwithstanding any other provision of law, unless one of the foregoing exceptions applies, the registrar may issue a citation to any public officer or employee of a public entity who knowingly awards a contract or issues a purchase order to a contractor who is not licensed pursuant to this chapter. The amount of civil penalties, appeal, and finality of such citations shall be subject to Sections 7028.7 and 7028.13 inclusive of the California Business and Professions Code. Any contract awarded to, or any purchase order issued to, a contractor who is not licensed pursuant to this chapter is void.
- H. Any compliance or noncompliance with subdivision (G) of this paragraph shall not invalidate any contract or bid awarded by a public agency during which time that subdivision was in effect.
- I. A public employee or officer shall not be subject to a citation pursuant to this section if the public employee, officer, or employing agency made an inquiry to the board for the purposes of verifying the license status of any person or contractor and the board failed to respond to the inquiry within three business days. For the purposes of this section, a telephone response by the board shall be deemed sufficient.

17.16 DIGGING TRENCHES OR EXCAVATIONS; NOTICE ON DISCOVERY OF HAZARDOUS WASTE OR OTHER UNUSUAL CONDITIONS; INVESTIGATIONS; CHANGE ORDERS; EFFECT ON CONTRACT

- A. If this Contract involves digging trenches or other excavations that extend deeper than four feet below the surface, the following shall apply:
 - 1. The CONTRACTOR shall promptly, and before the following conditions are disturbed, notify the ENGINEER in writing, of any:
 - a. Material that the CONTRACTOR believes may be material that is hazardous waste, as defined in Section 25117 of the Health and Safety Code, that is required to be removed to a Class I, Class II, or Class III disposal site in accordance with provisions of existing law.

- b. Subsurface or latent physical conditions at the site differing from those indicated.
- c. Unknown physical conditions at the site of any unusual nature, different materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in the contract.
- d. The ENGINEER shall promptly investigate the conditions, and if it finds that the conditions do materially so differ, or do involve hazardous waste, and cause a decrease or increase in the CONTRACTOR'S cost of, or the time required for, performance of any part of the work shall issue a change order the procedures described in the Contract.
- e. In the event that a dispute arises between the ENGINEER and the CONTRACTOR whether the conditions materially differ, or involve hazardous waste, or cause a decrease or increase in the CONTRACTOR'S cost of, or time required for, performance of any part of the work, the CONTRACTOR shall not be excused from any scheduled completion date provided for by the Contract, but shall proceed with all work to be performed under the Contract. The CONTRACTOR shall retain any and all rights provided either by contract or by law which pertain to the resolution of disputes and protests between the contracting parties.

17.17 RETENTION PROCEEDS; WITHHOLDING; DISBURSEMENT

- A. In accordance with Section 7107 of the Public Contract Code with respects to all contracts entered into on or after January 1, 1993 relating to the construction of any public work of improvement the following shall apply:
 - 1. The retention proceeds withheld from any payment by the CITY from the original CONTRACTOR, or by the original CONTRACTOR from any subcontractor, shall be subject to this paragraph 17.18.
 - 2. Within 60 days after the date of completion of the WORK, including any punch-list WORK, the retention withheld by the CITY shall be released. In the event of a dispute between the ENGINEER and the original CONTRACTOR, the CITY may withhold from the final payment an amount not to exceed 150 percent of the disputed amount. For the purposes of this paragraph, "completion" means any of the following:
 - a. The occupation, beneficial use, and enjoyment of a work of improvement, excluding any operation only for testing, startup, or

commissioning, by the CITY, accompanied by cessation of labor on the work of improvement.

- b. The acceptance by the City Council of the work of improvement.
 - c. After the commencement of a work of improvement, a cessation of labor on the work of improvement for a continuous period of 100 days or more, due to factors beyond the control of the CONTRACTOR.
 - d. After the commencement of a work of improvement, a cessation of labor on the work of improvement for a continuous period of 30 days or more, if the ENGINEER files for record a notice of cessation or a notice of completion.
3. Subject to subparagraph 17.18 A.4, within 10 days from the time that all or any portion of the retention proceeds are received by the original CONTRACTOR, the original CONTRACTOR shall pay each of its subcontractors from whom retention has been withheld, each subcontractor's share of the retention received. However, if a retention payment received by the original CONTRACTOR is specifically designated for a particular subcontractor, payment of the retention shall be made to the designated subcontractor, if the payment is consistent with the terms of the subcontract.
 4. The original CONTRACTOR may withhold from a subcontractor its portion of the retention proceeds if a bona fide dispute exists between the subcontractor and the original CONTRACTOR. The amount withheld from the retention payment shall not exceed 150 percent of the estimated value of the disputed amount.
 5. In the event that retention payments are not made within the time periods required by this paragraph 17.18, the CITY or original CONTRACTOR shall be subject to a charge of 2 percent per month on the improperly withheld amount, in lieu of any interest otherwise due. Additionally, in any action for the collection of funds wrongfully withheld, the prevailing party shall be entitled to attorney's fees and costs.
 6. Any attempted waiver of the provisions of this section shall be void as against the public policy of this state.

17.18 TIMELY PROGRESS PAYMENTS; INTEREST; PAYMENT REQUESTS

- A. If the CITY fails to make any progress payment within 30 days after receipt of an undisputed and properly submitted payment request from the CONTRACTOR, the CITY shall pay interest to the CONTRACTOR equivalent to the legal rate set forth in subdivision (a) of Section 685.010 of the Code of Civil Procedure.
- B. Upon receipt of a payment request, the ENGINEER shall act in accordance with both of the following:
 - 1. Each payment request shall be reviewed by the ENGINEER as soon as practicable after receipt for the purpose of determining that the payment request is a proper payment request.
 - 2. Any payment request determined not to be a proper payment request suitable for payment shall be returned to the CONTRACTOR as soon as practicable, but not later than seven days, after receipt. A request returned pursuant to this paragraph shall be accompanied by a document setting forth in writing the reasons why the payment request is not proper.
- C. The number of days available to the CITY to make a payment without incurring interest pursuant to this paragraph shall be reduced by the number of days by which the CITY exceeds the seven-day requirement set forth above.
- D. For purposes of this paragraph:
 - 1. A “progress payment” includes all payments due the CONTRACTOR, except that portion of the final payment designated by the contract as retention earnings.
 - 2. A payment request shall be considered properly executed if funds are available for payment of the payment request, and payments is not delayed due to an audit inquiry by the financial officer of the CITY.

17.19 PREFERENCE FOR MATERIAL

- A. In accordance with Section 3400 of the California Public Contract Code, the CONTRACTOR will be provided a period prior to award of the contract for submission of data substantiating a request for a substitution of “as equal” item.

17.20 RESOLUTION OF CONSTRUCTION CLAIMS

- A. In accordance with Section 20104 et Seq. of the California Public Contract Code. This paragraph applies to all claims of \$375,000 or less which arise between the CONTRACTOR and the CITY under this Contract for:
1. A time extension;
 2. Payment of money or damages arising from work done by or on behalf of, the CONTRACTOR pursuant to this CONTRACT and payment of which is not otherwise expressly provided for or the CONTRACTOR is not otherwise entitled to; or
 3. An amount the payment of which is disputed by the ENGINEER.
- B. For any claim set out in Paragraphs A.1, 2, or 3 above, the following requirements apply:
1. The claim shall be in writing and include the documents necessary to substantiate the claim and be accompanied by the following certification:

“CONTRACT PROVISION REQUIRING PERSONAL CERTIFICATION OF ALL CLAIMS:

I, _____, BEING THE _____ (MUST BE AN OFFICER) OF _____ (GENERAL CONTRACTOR), DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA, AND DO PERSONALLY CERTIFY AND ATTEST THAT: I HAVE THOROUGHLY REVIEWED THE ATTACHED CLAIM FOR ADDITIONAL COMPENSATION AND/OR EXTENSION OF TIME, AND KNOW ITS CONTENTS, AND SAID CLAIM IS MADE IN GOOD FAITH; THE SUPPORTING DATA IS TRUTHFUL AND ACCURATE; THAT THE AMOUNT REQUESTED ACCURATELY REFLECTS THE CONTRACT ADJUSTMENT FOR WHICH THE CONTRACTOR BELIEVES THE CITY IS LIABLE; AND, FURTHER THAT I AM FAMILIAR WITH CALIFORNIA PENAL CODE SECTION 12650, ET SEQ. PERTAINING TO FALSE CLAIMS, AND FURTHER KNOW AND UNDERSTAND THAT SUBMISSION OR CERTIFICATION OF A FALSE CLAIM MAY LEAD TO FINES, IMPRISONMENT AND/OR OTHER SEVERE LEGAL CONSEQUENCES.”

Claims must be filed on or before the date of final payment. Nothing herein is intended to extend the time limit or supersede notice requirements otherwise provided by Contract for the filing of claims.

The claim must include an actual cost documentation, including hours of work performed, equipment operation costs, and labor and overhead costs, which should be established at a standard percentage. Any overhead costs listed when paid, shall provide full and complete payment for any and all overhead, including jobsite overhead, home office overhead, as well as additional costs arising from disruption, resequencing or acceleration. A notice of POTENTIAL CLAIM shall be submitted in advance of the performance of any work, regardless of type, in which the CONTRACTOR may claim an additional cost. CONTRACTOR shall provide prompt notification of any disagreement in quantities of work performed along with a detailed accounting by means of a schedule update demonstrating any delays incurred.

2. For claims of less than fifty thousand dollars (\$50,000), the ENGINEER shall respond in writing to any written claim within 45 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim, any additional documentation supporting the claim or relating to defenses to the claim the CITY may have against the CONTRACTOR.

If additional information is thereafter required, it shall be requested and provided upon mutual agreement of the ENGINEER and the CONTRACTOR.

The ENGINEER's written response to the claim, as further documented, shall be submitted to the CONTRACTOR within 15 days after receipt of further documentation or within a period of time no greater than that taken by the CONTRACTOR in producing the additional information, whichever is greater.

3. For claims of over fifty thousand dollars (\$50,000) and less than or equal to three hundred seventy-five thousand dollars (\$375,000), the ENGINEER shall respond in writing to all written claims within 60 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim, any additional documentation supporting the claim or relating to defenses to the claim the CITY may have against the CONTRACTOR.

If additional information is thereafter required, it shall be requested and provided upon mutual agreement of the ENGINEER and the CONTRACTOR.

The ENGINEER's written response to the claim, as further documented, shall be submitted to CONTRACTOR within 30 days after receipt of the further documentation, or within a period of time no greater than that taken by the CONTRACTOR in producing the additional information or requested documentation, whichever is greater.

4. If the CONTRACTOR disputes the ENGINEER's written response, or the ENGINEER fails to respond within the time prescribed, the CONTRACTOR may notify the ENGINEER, in writing, either within 15 days of receipt of the ENGINEER's response or within 15 days of the ENGINEER's failure to respond within the time prescribed, respectively, and demand an informal conference to meet and confer for settlement of the issues in dispute. Upon a demand, the ENGINEER shall schedule a meet and confer conference within 30 days for settlement of the dispute.
5. Following the meet and confer conference, if the claim or any portion remains in dispute, the CONTRACTOR may file a claim pursuant to Chapter 1 (commencing with Section 900) and Chapter 2 (commencing with Section 910) of Part 3 of Division 3.6 of Title 1 of the Government Code. For purposes of those provisions, the running of the period of time within which a claim must be filed shall be tolled from the time CONTRACTOR submits its written claim pursuant to subdivision (a) until the time the claim is denied as a result of the meet and confer process, including any period of time utilized by the meet and confer process.

C. The following procedures are established for all civil actions filed to resolve claims subject to this article:

1. Within 60 days, but no earlier than 30 days, following the filing or responsive pleadings, the court shall submit the matter to nonbinding mediation unless waived by mutual stipulation of both parties. The mediation process shall provide for the selection within 15 days by both parties of a disinterested third person as mediator, shall be commenced within 30 days of the submittal, and shall be concluded within 15 days from the commencement of the mediation unless a time requirement is extended upon a good cause showing to the court or by stipulation of both parties. If the parties fail to select a mediator within the 15-day period, any party may petition the court to appoint the mediator.
2. If the matter remains in dispute, the case shall be submitted to judicial arbitration pursuant to Chapter 2.5 (commencing with Section 1141.10) of Title 3 of Part 3 of the Code of Civil Procedure, notwithstanding Section 1141.11 of that code. The Civil Discovery Act of 1986 (Article 3 (commencing with Section 2016) of Chapter 3 of Title 3 of Part 4 of the Code of Civil Procedure) shall apply to any proceeding brought under this subdivision consistent with the rules pertaining to judicial arbitration.

Notwithstanding any other provision of law, upon stipulation of the parties, arbitrators appointed for purposes of Article 1.5 of Chapter 1 of Part 3 of Division 2 of the California Public Contract Code shall be experienced in construction law, and, upon stipulation of the parties, mediators and arbitrators shall be paid necessary and reasonable hourly rates of pay not to exceed their customary rate, and such fees and expenses shall be paid equally by the parties, except in the case of arbitration where the arbitrator, for good cause, determines a different division. In no event shall these fees or expenses be paid by state or county funds.

In addition to Chapter 2.5 (commencing with Section 1141.10 of Title 3 of Part 3 of the Code of Civil Procedure) any party who after receiving an arbitration award requests a trial de novo but does not obtain a more favorable judgment shall, in addition to payment of costs and fees under that chapter, also pay the attorney's fees of the other party arising out of the trial de novo .

3. The CITY shall not fail to pay money as to any portion of a claim which is undisputed except as otherwise provided in this Contract.
4. In any suit filed under Section 20104.4 of the California Public Contract Code, the CITY shall pay interest at the legal rate on any arbitration award or judgment. The interest shall begin to accrue on the date the suit is filed in a court of law.

END OF GENERAL CONDITIONS

file name:

SECTION V
SPECIAL PROVISIONS

SECTION V.

SPECIAL PROVISIONS

5-1. DESCRIPTION OF WORK – The intent of this project is to provide increased, ADA compliant, access to the Petaluma Transit Center. Project improvements include an ADA van parking space, curb ramp, access ramp, walkway and stairs, sidewalk, curb and gutters, retaining wall, site fence and gate, drain box and drain pipes, striping, and signage. These improvements will help to provide better access and increased security for the Transit Center. In addition, demolition and site grading will be part of this project.

5-2. ORDER OF PRECEDENCE OF CONTRACT DOCUMENTS – If the CONTRACTOR discovers any errors, omissions, discrepancies, or conflicts in the Contract, he/she shall immediately inform the ENGINEER in writing. The ENGINEER will promptly resolve such matters by issuing addenda or change orders. Failure or delay to act on the part of the ENGINEER shall not constitute a waiver of any right afforded the CITY or the ENGINEER by the Contract or constitute an implied approval. Any work affected by such discoveries that is performed by the CONTRACTOR prior to authorization by the CITY shall be at the CONTRACTOR’S risk.

Unless otherwise noted below, conflicts or inconsistencies between parts of the Contract will be resolved by the ENGINEER with a change order or an addendum, if required. Addenda and change orders bearing the most recent date shall prevail over addenda or change orders bearing earlier dates. Any reference to addenda-changed specifications or drawings shall be considered to have been changed accordingly.

In resolving conflicts, errors, or discrepancies, the order of precedence shall be as follows:

- 1) Change Orders/Addenda (most recent in time takes precedence)
- 2) Agreement and Bond Forms
- 3) Special Provisions
- 4) Technical Specifications
- 5) Drawings
- 6) Standard Specifications (Current Caltrans Standard Specifications)
- 7) General Conditions
- 8) Instructions to Bidders
- 9) CONTRACTOR’S Bid (Bid Form)
- 10) Notice Inviting Bids
- 11) Permits from other agencies as may be required by law.

5-3. COOPERATION - Attention is directed to Sections 5-1.20, "Coordination with Other Entities", and 5-1.36D, "Non-highway Facilities", of the Standard Specifications and these special provisions.

The CONTRACTOR shall not adjust gas, electric, television cable, telephone, Petaluma structures and Sonoma County structures. The CONTRACTOR will notify each agency who will be in turn adjust their own structures at least seven (7) working days prior to covering/burying these facilities at no cost to the CITY. Failure to do so shall result in the CONTRACTOR being liable for the utility agencies' claims. If the adjusted utilities will be embedded in the new sidewalk, the new or adjusted utility boxes shall be concrete. The contractor shall coordinate with the City and utility companies to determine who will provide the concrete boxes if required.

5-4. OBSTRUCTIONS - Attention is directed to Sections 5-1.36D, "Non-highway Facilities", and 15, "Existing Facilities", of the Standard Specifications and these special provisions.

The CONTRACTOR's attention is directed to the existence of certain underground facilities that may require special precautions be taken by the CONTRACTOR to protect the health, safety and welfare of workmen and of the public. Facilities requiring special precautions include, but are not limited to: conductors of petroleum products, oxygen, chlorine and toxic or flammable gases; natural gas in pipelines greater than 6 inches in diameter or pipelines operating at pressures greater than 60 psi (gage); underground electric supply system conductors or cables either directly buried or in duct or conduit which do not have concentric neutral conductors or other effectively grounded metal shields or sheaths; and underground electrical conductors with potential to ground of more than 300 volts.

The CONTRACTOR shall notify the ENGINEER and the appropriate regional notification center for operators of subsurface installations at least 5 working days prior to performing any excavation or other work close to any underground pipeline, conduit, duct, wire or other structure. Regional notification centers include but are not limited to the following:

Underground Service Alert
Northern California (USA)
Telephone: 1 (800) 227-2600

If the CONTRACTOR's certain operation is delayed, in the opinion of the ENGINEER, by the discovery of an underground utility not indicated on the plans or not marked by USA, the CONTRACTOR shall be paid a fair and reasonable compensation for the actual loss. Actual loss shall be understood to include no items of expense other than idle time of equipment exclusively used in such operation and necessary payments for idle time of labor exclusively required for such operation only, determined as follows:

- 1) Compensation for idle equipment shall be applied at the reduced Caltrans' Equipment Rental Rates where the right of way delay factor for each classification of equipment shall be applied to such equipment rental rate. No markup shall be applied for overhead or profit.

- 2) Compensation for idle time of labor shall be actual wages paid to the workers. No markup shall be added for overhead and profit.
- 3) The time for which such compensation will be paid will not exceed eight (8) hours for each incident.
- 4) The CONTRACTOR shall be granted an extension of time for the delay.
- 5) No monetary compensation will be allowed for delays due to utilities indicated on the plans or marked by USA.

5-5. ORDER OF WORK – The CONTRACTOR shall submit a work plan to the City for review and shall identify proposed order of work to maximize efficiency of construction, minimize impact to the community and maintain safety. Note, after demolition of the existing site fence, the new site fence should be immediately installed. If the new site fence will not be erected before the end of business day, a temporary chain link fence shall be erected in its place until the new fence is completed. The temporary fence should provide minimum security to prevent people from entering the Petaluma Transit Center after business hours.

5-6. PROJECT AND CONSTRUCTION AREA SIGNS – Project sign and construction area signs shall be furnished, installed, maintained, and removed when no longer required in accordance with the provisions in Section 12, “Construction Area Traffic Control Devices”, of the Standard Specifications.

One (1) project sign with a minimum dimension of 3’X4’X3/4” plywood bolted to an A-frame barricade shall be furnished, installed and moved from site to site by the Contractor. Letters and numbers shall be black on a white background. The sign information shall be as shown below:

CITY OF PETALUMA (4” LETTERS)

**PROJECT: TRANSIT CENTER PARKING & ADA
IMPROVEMENTS**

FUNDING: CITY FUNDS (3” LETTERS)

**PROJECT MANAGER: JONATHAN SANGLERAT
(3” LETTERS)**

PHONE: 707-778-4355

The signs shall be approved prior to fabrication and posted as directed by the Engineer.

Construction area signs will be installed prior to start of construction and maintained in place for the duration of the project by the CONTRACTOR. When installed, the signs shall not extend beyond the street curb alignment into the travel way. Signs shall be

repaired or replaced at no cost to the City of Petaluma, if damaged or stolen. The CONTRACTOR shall remove the signs and posts at the completion of the project and with prior approval of the ENGINEER.

All costs involved in purchasing and installing construction area and project signs shall be considered as included in the Lump Sum price paid for Traffic Control.

5-7. MAINTAINING TRAFFIC – Attention is directed to Sections 7-1.03, “Public Convenience”, 7-1.04, “Public Safety”, and 12, “Temporary Traffic Control”, of the Standard Specifications and the City of Petaluma Traffic Control Design and Construction Standards Series 700. Nothing in these special provisions shall be construed as relieving the CONTRACTOR from his/her responsibility as provided in said Section 7-1.04. In addition, Traffic Control shall be performed in accordance with Section 50 (Traffic Control) of the project’s technical specifications.

The Contractor will minimize disruption to all traffic (vehicular, transit, bicycle, and pedestrians) during the allowed work window. During construction, bicyclists will either share the road with vehicular traffic in a signed detour or be provided separate access. In addition, pedestrian access shall always be maintained during construction. In addition, pedestrian access to the Petaluma Transit Center will need to be maintained. The Contractor will need to divert pedestrian traffic away from the building entrance along Transport Way and into the Transit Center site through the main bus entrance along North McDowell Blvd. By doing so, pedestrian traffic will share the bus entrance with Petaluma Transit vehicles and will require appropriate signage and markings. The Contractor shall provide temporary pedestrian curb ramps and clearly mark the temporary crosswalks. The pedestrian path shall be clear of any debris and meet ADA requirements. Driveway access to schools, residents, and businesses will need to be maintained at all times.

Lane closures shall conform to the provisions in the section of these special provisions entitled, “Traffic Control System for Lane Closure”.

At least five (5) working days prior to beginning of each phase of construction (i.e., piping installation, paving, pavement repair, concrete construction, etc.), the CONTRACTOR shall:

- A. Notify all adjacent residents, businesses, City of Petaluma Police and Fire, Green Waste Recovery (residential refuse service company), Waste Management Company (industrial refuse service company), and Petaluma Transit by written notices detailing the type, limits, date and the hours of work. Details of the notice shall be submitted to the ENGINEER for review and approval at least five (5) days prior to delivering these notices.
- B. Where required, post streets with temporary "No Parking/Tow Away" signs at 100-foot intervals at least 72 hours in advance in residential districts, and not more than 24 hours in business districts. These signs shall be furnished by the CONTRACTOR and shall state the date; day of week and hour parking is prohibited.

Illuminated traffic cones when used during the hours of darkness shall be affixed or covered with reflective cone sleeves as specified in Section 12-3.10, "Traffic Cones", of the Standard Specifications.

Full compensation for temporary delineation shall be considered as included in the prices paid for the contract in terms of work which obliterated the existing delineation and no separate payment will be made therefore.

When working in or blocking any intersection, the CONTRACTOR shall provide flag persons to direct traffic at that intersection. This is in addition to other required flag persons.

Personal vehicles of the CONTRACTOR's employees shall not be parked on the traveled way, including any section closed to public traffic. The CONTRACTOR, at all times, shall provide flag person(s) to direct delivery trucks and CONTRACTOR's vehicles entering or leaving the public traffic.

The CONTRACTOR shall notify the City of Petaluma of his/her intent to begin work at least 5 days before work is begun. The CONTRACTOR shall cooperate with local authorities relative to handling traffic through the area and shall make his/her own arrangements relative to keeping the working area clear of parked vehicles.

Whenever vehicles or equipment are parked on the shoulder within 6 feet of a traffic lane, the shoulder area shall be closed with fluorescent traffic cones or portable delineators placed on a taper in advance of the parked vehicles or equipment and along the edge of the pavement at 25-foot intervals to a point not less than 25 feet past the last vehicle or piece of equipment. A minimum of 9 cones or portable delineators shall be used for the taper. A C23 (Road Work Ahead) or C24 (Shoulder Work Ahead) sign shall be mounted on a telescoping flag tree with flags. The flag tree shall be placed where directed by the ENGINEER.

A minimum of one (paved) reversible traffic lane, not less than 10 feet wide, shall be open for use by public traffic in with minimal delays, flaggers, adequate traffic control, and signing. ***Flashing arrow boards shall be required for any lane closures.***

Weekdays: Weekday (Monday through Friday) hours shall be from 7:00 a.m. and 7:00 p.m. unless approved by the ENGINEER in writing, except work required under said Sections 7-1.03 and 7-1.04 of the Standard Specifications or specified elsewhere in the special provisions.

Night work: No work and/or preparation of work shall be performed between 7:00 p.m. and 7:00 a.m. unless approved by the ENGINEER in writing, except work required under said Sections 7-1.03 and 7-1.04 of the Standard Specifications or specified elsewhere in the special provisions.

Except as otherwise provided, the full width of the traveled way shall be open for use by public traffic on Saturdays, Sundays, after 4:00 p.m. on Fridays, on designated legal

holidays, during the holiday shutdown period (in applicable areas), and when construction operations are not actively in progress.

Designated legal holidays and the holiday shutdown period are outlined in “Hours of Work” of these Special Provisions.

Minor deviations from the requirements of this section concerning hours of work which do not significantly change the cost of the work may be permitted upon the written request of the CONTRACTOR if in the opinion of the ENGINEER public traffic will be better served and the work expedited. Such deviations shall not be adopted until the ENGINEER has indicated his/her written approval. All other modifications will be made by contract change order.

Ten (10) working days prior to commencing construction which will affect existing traffic, the contractor shall submit for review by the Engineer, a Traffic Control Plan on 11”x17” or 22”x34” sheet(s) of paper which contains only information specially related to work zone traffic control. If the Contractor proposes to use the latest edition of California Department of Transportation Manual of Traffic Controls for Construction and Maintenance of Work Zones in lieu of a traffic control plan, in specific work operations, he/she shall submit in writing for consideration which Typical Application Diagram will be used for each work operation. No work shall commence on Public / County / State right of way until a traffic control plan is approved and implemented.

In addition to the traffic control plan, the Contractor shall submit a haul route for approval by the Engineer. The route must minimize traffic on residential streets that are not part of the project. Temporary staging of construction materials shall not occur on streets or areas that are not within the immediate limits of the project.

The Traffic Control Plan shall contain a title block which contains the contractor’s name, address, phone number, project superintendent’s name, contract name, dates and hours traffic control will be in effect, and a space for review acknowledgement by the City.

The content of the Traffic Control Plan shall include, but not limited to, the following:

- A. Show location and limits of the work zone for each phase or specific operation of construction if requiring different traffic control.
- B. Give dimensions of lanes affected by traffic control that will be open to traffic.
- C. Indicate signing with MUTCD designation, cone placement (including spacing), changeable message signs, flashing arrow boards, pavement markings, and other methods of delineation and reference to appropriate standards and sign designations.
- D. Dimension location of signs and cone tapers.
- E. Location of any and all flagmen, if applicable.
- F. Identify side streets and driveways affected by construction and show how they will be handled.
- G. Show how pedestrian and bicycle traffic will be handled through the construction site during all hours including edge grinding operation.

- H. Show locations of night time lighting if applicable.
- I. Modification to Traffic Signal operations in the vicinity of the project. Contractor shall be responsible for making arrangements with the City's Traffic Signal Technician at least 48 hours in advance before starting any work in or nearby a signalized intersection if any signal operations need to be modified.
- J. Separate Traffic Control Plans shall be prepared for each phase of a construction project and shall be submitted for City's review and approval.

No work except for installation of project identification signs will be allowed to commence prior to approval of the Traffic Control Plan.

Residents, businesses, delivery to businesses, and customer parking shall be notified in writing by the Contractor at least five (5) calendar days prior to any activity that will impact access to their property.

The City of Petaluma Traffic Control Design and Construction Standards (Series 700) shown elsewhere in these specifications are guidelines only. The CONTRACTOR is not relieved from his/her responsibility for submitting his/her own traffic control plan.

The CONTRACTOR's failure to comply with the requirements of this section will be sufficient cause for the ENGINEER to suspend work at no cost to the City.

All costs involved with completing all work described in this section shall be considered included in the contract price paid for Traffic Control System and no additional compensation shall be allowed therefore.

- 5-8. TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE - A traffic control system shall consist of closing traffic lanes in accordance with the details shown on the plans, the City of Petaluma Traffic Control Design and Construction Standards Series 700, the provisions of Section 12, "Temporary Traffic Control", of the Standard Specifications, and the provisions under "Maintaining Traffic" elsewhere in these supplementary general conditions.

The provisions in this section will not relieve the CONTRACTOR from his/her responsibility to provide such additional devices or take such measures as may be necessary to comply with the provisions in Section 7-1.04, "Public Safety", of the Standard Specifications.

During the hours of darkness, as defined in Division 1, Section 280, of the Vehicle Code, portable signs shown on the plans to be illuminated shall be, at the option of the CONTRACTOR, either; illuminated signs in conformance with the provisions in Section 12-3.06B(3), "Portable Signs", of the Standard Specifications; or Reflexite vinyl microprism reflective sheeting signs; or 3M high intensity reflectorized sheeting on aluminum substrate signs or Seibulite Brand Ultralite Grade Series, encapsulated lens retroreflective sheeting signs; or equal.

Each vehicle used to place, maintain and remove components of a traffic control system on arterials and collectors shall be equipped with a Type II flashing arrow sign which shall be in operation when the vehicle is being used for placing, maintaining, or removing said components. The sign shall be controllable by the operator of the vehicle while the vehicle is in motion. The flashing arrow sign shown on the plans shall not be used on the vehicles which are doing the placing, maintaining and removing of components of a traffic control system, and shall be in place before a lane closure requiring its use is completed.

If any component in the traffic control system is displaced, or ceases to operate or function as specified, from any cause, during the progress of the work, the CONTRACTOR shall immediately repair said component to its original condition or replace said component and shall restore the component to its original location.

When lane closures are made for work periods only, at the end of each work period, all components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way shall be removed from the traveled way and shoulder. If the CONTRACTOR so elects, said components may be stored at selected central locations, approved by the ENGINEER, within the limits of the City right-of-way.

When traffic is shifted across the centerline, the CONTRACTOR shall provide W57 signs at 300-foot intervals and on both sides of intersections to direct traffic in proper lanes. Flashing arrow boards shall be required for any lane closures on any streets.

The adjustment provisions in Section 4-1.05, "Changes and Extra Work", of the Standard Specifications, shall not apply to the item of traffic control system. Adjustments in compensation for traffic control system will be made only for increased or decreased traffic control system required by changes ordered by the ENGINEER and will be made on the basis of the cost of the increased or decreased traffic control necessary. Such adjustment will be made on a force account basis as provided in Section 9-1.04, "Force Account", of the Standard Specifications for increased work, and estimated on the same basis in the case of decreased work.

Traffic control system required by work which is classed as extra work, as provided in Section 4-1.05 of the Standard Specifications, will be paid for as a part of said extra work.

The Traffic Control System shall incorporate the following: furnishing all labor (including flagging costs), materials, signs, tools, equipment and incidentals, and for doing all the work involved in furnishing, placing, operating, maintaining, repairing, replacing, moving and removing the components of the traffic control system as shown on the plans, as specified in the Standard Specifications and these Special Provisions, and as directed by the Engineer.

5-9. WATERING - Watering shall conform to the provisions in Section 17, "Watering", of the Standard Specifications except that full compensation for developing water supply shall be considered as included in the prices paid for various contract items for work involving

the use of water and no separate payment will be made therefore. The application of water for dust control will not be considered as extra work under any circumstances. Water can be purchased from the City at current rates provided that the CONTRACTOR meters the water so used with a City furnished meter (a deposit will be required) and a CONTRACTOR furnished valve assembly.

- 5-10. PROGRESS SCHEDULE - The CONTRACTOR shall submit a schedule which includes all major tasks and milestones to the City of Petaluma, Public Works and Utilities Department for review **at least** ten (10) working days prior to start of work.

After beginning of work, updated schedules shall be submitted. No progress payments will be processed without accepted updated schedules.

Payment for the original schedule and updated, weekly schedules shall be considered to be included in the various items of work and no additional compensation will be allowed therefore.

- 5-11. SUPERINTENDENCE - The CONTRACTOR shall designate in writing and submit to the Project Engineer two (2) working days before starting work, an authorized representative who shall have the authority to represent and act for the CONTRACTOR for the duration of the contract. Any change in the designation shall require prior approval of the ENGINEER.

When the CONTRACTOR is comprised of two (2) or more persons, firms, partnerships or corporations functioning on a joint venture basis, said CONTRACTOR shall designate in writing before starting work, the name of one authorized representative who shall have the authority to represent and act for the CONTRACTOR.

Said authorized representative shall be present, at all times, at the site of work, while work is actually in progress on the contract. When work is not in progress and during periods when work is suspended, arrangements acceptable to the ENGINEER shall be made for any emergency work, which may be required.

If work is in progress and the authorized representative is not on site, the City reserves the right to stop the work at no cost to the City.

Once the work begins, the Superintendent shall keep the ENGINEER informed of the CONTRACTOR's daily schedule. The ENGINEER shall have at least twenty-four (24) hour advance notice of all work, on a daily basis, including SUBCONTRACTOR's work. If the CONTRACTOR fails to notify the ENGINEER, the ENGINEER reserves the right to stop the work at no cost to the City.

In the case of urgency or emergency where the CONTRACTOR's authorized representative is not present on any particular part of the work and where the ENGINEER wishes to give notification or direction, it will be given to and be obeyed by the superintendent or foreperson who may have charge of the particular work or it will be given to and be obeyed by any worker in the area should the superintendent or foreperson not be immediately available.

All costs involved in superintendence shall be included in the contract prices paid for various items of work and no additional payment will be allowed therefore.

- 5-12. SAFETY REQUIREMENT - The CONTRACTOR shall comply with all CAL/OSHA safety requirements. It shall be the CONTRACTOR's sole responsibility for making sure these safety requirements are met and the CONTRACTOR shall fully assume all liabilities for any damages and/or injuries resulting from his or her failure to comply with the safety requirements. Failure on the City's part to stop unsafe practices shall, in no way, relieve the CONTRACTOR of his/her responsibility.

The CONTRACTOR shall first call City of Petaluma Emergency Center at 911, from a regular telephone, and (707) 762-2727 or from a cellular phone (707) 762-4545, if any gas lines or electrical power lines are broken or damaged.

- 5-13. PROJECT APPEARANCE – The CONTRACTOR shall maintain a neat appearance to the work area.

When practicable, debris developed during construction shall be disposed of concurrently with its removal. Stockpiling on the street shall not be allowed. The CONTRACTOR shall apply for a “stockpiling” permit from the City’s Community Development Department prior to stockpiling more than fifty (50) cubic yards of materials on private property. The CONTRACTOR shall solely be responsible for securing staging and/or stockpiling areas.

The CONTRACTOR shall provide dust control as often as required during the construction and shall clean the roads/streets with street sweepers at least once a day at the end of each working day or more often if safety or appearance conditions warrant. Failure to maintain dust control, street cleaning and/or any required work specified in this section shall result in the City performing the work with other forces and back charge the CONTRACTOR for the costs.

Full compensation for conforming to the provisions in this section, not otherwise provided for, shall be considered as included in prices paid for the various contract items of work involved and no additional compensation will be allowed therefore.

- 5-14. RESPONSIBILITY FOR DAMAGE - The CONTRACTOR shall indemnify, hold harmless, release and defend the City of Petaluma, its officers, officials, employees and agents from and against any and all liabilities, claims, demands, losses, damages, expenses, costs (including without limitation costs and fees of litigation) of every nature arising out of or in connection with the activities of the CONTRACTOR, his/her subcontractors, employees and agents, except such loss or damage which was caused by the sole negligence or willful misconduct of the CITY, its employees or agents. The CITY may retain so much of the money due the CONTRACTOR as shall be considered necessary, until disposition has been made of claims or suits for damages as aforesaid.

- 5-15. GUARANTEE OF WORK - Neither the final certificate of payment nor any provision in the contract nor partial or entire use of the improvements embraced in this contract by the

City or the public shall constitute an acceptance of work not done in accordance with the contract or relieve the CONTRACTOR of liability in respect to any warranties or responsibility for faulty materials or workmanship. The CONTRACTOR's attention is directed to Article 5, "Bonds and Insurance", of the General Conditions.

- 5-16. NOTICE TO PROCEED, BEGINNING OF WORK, CONTRACT TIME, TIME OF COMPLETION, AND LIQUIDATED DAMAGES – Article 2.3, "Commencement of Contract Times; Notice To Proceed" of the General Conditions is amended to read:

The CONTRACTOR shall begin work within ten (10) working days from the date of Notice To Proceed (NTP) and shall diligently prosecute the same to completion before the expiration of total allocated working days as specified in the Construction Agreement and/or Invitation to Bid, from the date of starting work. The CONTRACTOR shall complete all of the work directed by the ENGINEER in all parts and requirements within the time set forth. A working day is defined in these specifications.

The CONTRACTOR is on notice that it may take approximately eight (8) weeks from the bid opening to obtain the City Council's award of the contract, to process the construction agreement, and to issue the Notice to Proceed.

The CONTRACTOR shall pay to the City of Petaluma the sum of \$250 per day for each and every *calendar day's* delay in finishing the work in excess of the number of days prescribed above (and/or in excess of the number of days prescribed for any scheduled operations or works described in the Special Provisions).

A working day is defined as any day, except as follows:

- a. Saturdays, Sundays, and legal holidays
- b. Days on which the CONTRACTOR is prevented by inclement weather or conditions resulting immediately therefrom adverse to the current controlling operation or operations, as determined by the ENGINEER, from proceeding with at least 75 percent of the normal labor and equipment force engaged on that operation or operations for at least 60 percent of the total daily time being currently spent on the controlling operation or operations.

Should the CONTRACTOR prepare to begin work at the regular starting time of any day on which inclement weather, or the conditions resulting from the weather, or the condition of the work, prevents the work from beginning at the usual starting time and the crew is dismissed as a result thereof and the CONTRACTOR does not proceed with at least 75 percent of the normal labor and equipment force engaged in the current controlling operation or operations for at least 60 percent of the total daily time being currently spent on the controlling operation or operations, the CONTRACTOR will not be charged for a working day whether or not conditions should change thereafter during that day and the major portion of the day could be considered to be suitable for those construction operations.

Determination that a day is a non-working day by reason of inclement weather or conditions resulting immediately therefrom shall be made by the ENGINEER. The

CONTRACTOR will be allowed 10 days from the issuance of the weekly statement of working days in which to file a written protest setting forth in what respects the CONTRACTOR differs from the ENGINEER; otherwise, the decision of the ENGINEER shall be deemed to have been accepted by the CONTRACTOR as correct. The ENGINEER will furnish the CONTRACTOR a weekly statement showing the number of working days charged to the contract for the preceding week, the number of working days of time extensions being considered or approved, the number of working days originally specified for the completion of the contract, and the number of working days remaining to complete the contract and any time extensions thereof.

5-17. HOURS OF WORK

Weekdays – Weekdays (Monday through Friday) hours shall be from 7:00 a.m. to 7:00 p.m. for all required work except those hours approved by the City of Petaluma or specified in “Order of Work” Section of these special provisions. No work and/or preparation of work shall be performed between 7:00 p.m. and 7:00 a.m. except work required under said Sections 7-1.03 and 7-1.04 of the Standard Specifications or as approved in writing by the Engineer.

Night Hours – Generally, other than emergency work, there will be no night hours allowed for work on this project.

Liquidated Damages in the sum of Fifteen Hundred Dollars (\$250) per day will be assessed against the CONTRACTOR if he fails to comply with any of the daily conditions or operations such as maintaining erosion control facilities, job site/street cleanliness and daily cleanup and traffic control and flagging, as described in the General Conditions, these Special Provisions, and the Technical Specifications.

If the CONTRACTOR closes a street or sidewalk without prior notice and approval of the ENGINEER within 24 hours, the associated operation will be shutdown at the CONTRACTOR’s expense.

Holidays - Designated legal holidays are: January 1st, the third Monday in January, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, the second Monday in October, November 11th, Thanksgiving Day, the day after Thanksgiving, December 24th and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday. When November 11th falls on a Saturday, the preceding Friday shall be a designated legal holiday. The Contractor shall not work on the legal holidays unless approved in writing by the Engineer.

5-18. CONSTRUCTION DRAWINGS AND RECORD ("AS-BUILT") DRAWINGS – The CONTRACTOR shall furnish Record Drawings of the complete project and procure from the Director of Public Works a full sized set of Contract Drawings. Construction drawings shall be on the construction site at all times while the work is in progress. Drawings shall show approved substitutions, if any, of material including manufacturer's name and catalog number. The Drawings shall be to scale, and all indications shall be neat and legible. All information noted on the CONTRACTOR's job-site print shall be

transferred to the Record Drawings by CONTRACTOR and all indications shall be recorded in a neat, legible and orderly way. The Record Drawings shall be signed by the CONTRACTOR and turned over to the Director of Public Works before the final acceptance of the project. If the CONTRACTOR fails to provide the City with an acceptable "Record Drawings", the City shall deduct \$5,000 from the amount due CONTRACTOR.

- 5-19. NOTICE OF POTENTIAL CLAIM - If for any reason the CONTRACTOR deems that additional compensation is due him/her for work or materials not clearly provided for in the contract, plans, or specifications or previously authorized extra work, a Notice of Potential Claim shall be made. The CONTRACTOR shall give the ENGINEER a written Notice of Potential Claim for such additional compensation before work begins on the items on which the claim is based. The notice shall set forth the reasons for which the CONTRACTOR believes additional compensation will or may be due and the nature of the costs involved. The CONTRACTOR shall afford the ENGINEER every opportunity and facility for keeping records of the actual cost of the work. The CONTRACTOR shall keep records of the disputed work in accordance with Contract General Conditions, Section 11.3, "Cost of Work (Based on Time and Materials)."

If such notification is not given or the ENGINEER is not afforded proper opportunity by the CONTRACTOR for keeping strict account of actual cost as required, then the CONTRACTOR hereby agrees to waive any claim for such additional compensation. Such notice by the CONTRACTOR and the fact that the ENGINEER has kept account of the cost of the work shall not in any way be construed as proving or substantiating the validity of the claim. When the work on which the claim for additional compensation is based has been completed, the CONTRACTOR shall, within 10 calendar days, submit his/her written claim to the ENGINEER who will present it to the City for consideration in accordance with local laws or ordinances. The CONTRACTOR is directed to Section 17.20 "Resolution of Construction Claims" of the General Conditions.

Any claim for overhead type expenses or costs, in addition to being certified as stated above, shall be supported by an audit report of an independent Certified Public Accountant. Any claim for overhead shall also be subject to audit by the City at its discretion.

Any costs or expenses incurred by the City in reviewing or auditing any claims that are not supported by the CONTRACTOR's cost accounting or other records shall be deemed to be damages incurred by the City within the meaning of the California False Claims Act.

Nothing in this subsection shall be construed as a waiver of the CONTRACTOR's right to dispute final payment based on differences in in-place quantity measurements or computations of unit priced pay items.

- 5-20. PAYMENT FOR MATERIALS ON HAND - At the discretion of the ENGINEER, partial payments may be made to the extent of the delivered cost of materials to be incorporated in the work, provided that such materials meet the requirements of the

contract, plans, and specifications. Such delivered costs of stored or stockpile materials may be included in the next partial payment after the following conditions are met:

1. The material has been stored or stockpiled and protected at the sole expense of the CONTRACTOR at a location acceptable to the City and in a manner acceptable to the ENGINEER.
2. The CONTRACTOR has furnished the ENGINEER with acceptable evidence of the quantity and quality of such stored or stockpiled materials.
3. The CONTRACTOR has furnished the ENGINEER with satisfactory evidence that the material and transportation costs have been paid.
4. The CONTRACTOR has furnished the City legal title (free of liens or encumbrances of any kind) to the material so stored or stockpiled.
5. The CONTRACTOR has furnished the City evidence that the material so stored or stockpiled is insured against loss by damage to or disappearance of such materials at anytime prior to use in the work.
6. The CONTRACTOR shall bear all costs associated with the partial payment of stored or stockpiled materials in accordance with the provisions of this subsection.

It is understood and agreed that the transfer of title and the City's payment for such stored or stockpiled materials shall in no way relieve the CONTRACTOR of his/her responsibility for furnishing and placing such materials in accordance with the requirements of the contract, plans, and specifications. In no case will the amount of partial payments for materials on hand exceed 70% of the contract price for the contract items in which the material is intended to be used.

- 5-21. ACCESS TO DRIVEWAYS – All accesses for local businesses and residents shall be maintained at all times. Temporary ramps will be required each night for access to driveways for residences and commercial access. The Contractor shall coordinate with each driveway user as needed.
- 5-22. ARCHAEOLOGICAL MONITORING – In the event that archaeological materials are found during construction, CONTRACTOR shall notify the ENGINEER immediately and shall temporarily cease work in the area until a determination or investigation of the site can be made by a qualified archaeologist. Archaeologist services shall be provided by the City at no cost to the CONTRACTOR.
- 5-23. STORM WATER MANAGEMENT, AND SEDIMENT AND EROSION CONTROL – CONTRACTOR shall prepare storm water management, and sediment and erosion control measures for implementation and shall maintain these measures during the construction period as required by the Regional Water Quality Control Board (RWQCB) permit.

If the area to be disturbed by construction activities is more than one acre, the CONTRACTOR shall be required to file a Notice of Intention (NOI), pay the fee, prepare the SWPPP, BMP, etc. as required by RWQCB permit.

Storm water management, and sediment and erosion control shall include, but not be limited to fiber rolls (sediment logs or wattles), straw bales, drain rock, check dams, silt fencing, siltation basins and as required for construction conditions. Measures shall be submitted to the ENGINEER for review seven (7) days prior to start of construction. The CONTRACTOR shall be responsible for providing the measures that would comply with the RWQCB.

The CONTRACTOR shall also place drain rock bags around storm drain inlets/catch basins and install drain rock check dams at 50-foot intervals within 100 feet upstream from the inlets/catch basins.

The CONTRACTOR shall comply with all Federal, State and local regulations and ordinances governing storm water pollution prevention.

If required, the CONTRACTOR shall file a Notice of Intent (NOI) with the RWQCB and shall comply with the National Pollution Discharge Elimination System (NPDES) General Permit for Storm Water Discharges Association with Construction Activity requirements. The CONTRACTOR shall prepare and implement a Storm Water Pollution Plan (SWPPP). Resources used in developing the SWPPP shall include the "California Storm Water Best Management Practice Handbook for Construction Activity," and the San Francisco Bay Regional Water Quality Control Board's "Information on Erosion and Sediment Controls for Construction Projects." The SWPPP shall be submitted for review and acceptance prior to start of work. The CONTRACTOR shall have an accepted and implemented SWPPP as part of Mobilization. The SWPPP shall, at a minimum, include Best Management Practices (BMPs), acceptable to the City, to address the following:

1. Housekeeping
2. Waste Containment and Control.
3. Minimizing Disturbed Areas.
4. Stabilize Disturbed Areas.
5. Protect Slopes and Channels.
6. Control Site Perimeter.
7. Control of Internal Erosion.
8. Disposal of Storm Water and Ground Water
9. Sediment Control.

10. Liquid Waste Management.
11. Concrete Waste Management.
12. Hazardous Waste Management.
13. Employee and SUBCONTRACTOR Training.
14. Vehicle and Equipment Fueling and Maintenance.
15. Spill Prevention and Control.
16. Contaminated Soil Management.
17. Sawcutting.
18. Paving and Asphalt Work.
19. Street Cleaning.

Employ and utilize environmental protection methods, obtain all necessary permits, and fully observe all local, state, and federal regulations.

All costs involved for completing all work described in this section shall be considered to be included in the contract price paid for Storm Water Management and Sedimentation/Erosion Control and no additional compensation shall be allowed therefore.

5-24. ITEM INCREASES AND DECREASES -

Increased or Decreased Quantities

Increases or decreases in the quantity of a contract item of work will be determined by comparing the total pay quantity of that item of work with the ENGINEER's Estimate therefor.

If the total pay quantity of any item of work required under the contract varies from the ENGINEER's Estimate therefore by 25 percent or less for increases and 25 percent or less for decreases, payment will be made for the quantity of work of the item performed at the contract unit price.

If the total pay quantity of any item of work required under the contract varies from the ENGINEER's Estimate therefor by more than 25 percent for increases and 25 percent for decreases, in the absence of an executed contract change order specifying the compensation to be paid, the compensation payable to the CONTRACTOR will be determined in accordance with the following sections.

Increases of More Than 25 Percent

Should the total pay quantity of any item of work required under the contract exceed the ENGINEER's Estimate therefore by more than 25 percent, the work in excess of 125 percent of the estimate and not covered by an executed contract change order specifying the compensation to be paid therefor will be paid for by adjusting the contract unit price based upon a force account analysis.

The adjustment of the contract unit price will be the difference between the contract unit price and the actual unit cost which will be determined as hereinafter provided, of the total pay quantity of the item. If the costs applicable to the item of work include fixed costs, the fixed costs will be deemed to have been recovered by the CONTRACTOR by the payments made for 125 percent of the ENGINEER's Estimate of the quantity for the item, and in computing the actual unit cost, the fixed costs will be excluded. Subject to the above provisions, the actual unit cost will be determined by the ENGINEER in the same manner as if the work were to be paid for on a force account basis.

When the compensation payable for the number of units of an item of work performed in excess of 125 percent of the ENGINEER's Estimate is less than \$5,000 at the applicable contract unit price, the ENGINEER reserves the right to make no adjustment in the contract unit price if the ENGINEER so elects, except that an adjustment will be made if requested in writing by the CONTRACTOR.

Decreases of More Than 25 Percent

Should the total pay quantity of any item of work required under the contract be less than 25 percent of the ENGINEER's Estimate therefore, an adjustment in compensation pursuant to this Section will not be made unless the CONTRACTOR so requests in writing. If the CONTRACTOR so requests, the quantity of the item performed, unless covered by an executed contract change order specifying the compensation payable therefor, will be paid for by adjusting the contract unit price based upon a force account analysis. In no case shall the payment for that work be less than that which would be made at the contract unit price.

The adjustment of the contract unit price will be the difference between the contract unit price and the actual unit cost, which will be determined as hereinafter provided, of the total pay quantity of the item, including fixed costs. The actual unit cost will be determined by the ENGINEER in the same manner as if the work were to be paid for on a force account basis; or the adjustment will be as agreed to by the CONTRACTOR and the ENGINEER.

The payment for the total pay quantity of the item of work will in no case exceed the payment which would be made for the performance of 25 percent of the ENGINEER's Estimate of the quantity for the item at the original contract unit price.

- 5-25. EXISTING WATER VALVES, MONUMENTS AND MANHOLES – The City shall have access at all times to water valves, monuments, and manholes except immediately following a construction operation as noted below.

Prior to placement of paving, all manholes, monuments, and valves covered by paving, shall be clearly marked in white paint before the close of that work day. Throughout the construction process, the CITY shall have access to manholes, monuments, and valves within 48 hours of any operation affecting the manholes, monuments and valves.

A penalty of Fifty Dollars (\$50) per each valve, monument, and manhole that is not raised, or that the CITY is not provided easy access to, will be assessed against the contractor for each calendar day.

- 5-26. WAGE RATES - The General Prevailing Wage Determination Made by the Director of Industrial Relations Pursuant to California Labor Code Part 7, Chapter 1, Article 2, Sections 1770, 1773 and 1773.2. The CONTRACTOR can download this information from the web site: <http://www.dir.ca.gov/dlsr/PWD/>

The most current prevailing wage rates available at the time of bid opening shall be used.

- 5-27. STAGING AREA – The contractor will be allowed to stage a small amount of equipment, and materials at the site and along the street provided appropriate signage and delineation of the work zone is in place. Details about the staging area will be discussed at the Pre-Construction meeting with the Transit Manager (Jared Hall). If additional space is needed, it is the responsibility of the Contractor to provide a staging area for excess equipment and materials. The site and hauling route shall be submitted to the City for approval prior to the commencement of work. The Contractor shall obtain written confirmation from property owners (Petaluma Transit Center) for use of the site.

SECTION VI
TECHNICAL SPECIFICATIONS

SECTION 30
MOBILIZATION/DEMobilIZATION

30A. GENERAL

Mobilization shall consist of obtaining all required insurance, bonds, and permits; preparatory work and operations necessary for the movement of personnel, equipment, supplies, and incidentals to the project site; preparation of all submittals including traffic control plans; preparation of a construction schedule; badging and training of flaggers, and other employees; and all other work which must be performed, or cost incurred prior to beginning work on the various contract items at the project site.

The CONTRACTOR with CITY approval, will be allowed to stage a small amount of equipment, and materials at the site and along the street provided appropriate signage and delineation of the work zone is in place. Further details about the staging area should be discussed with Jared Hall (City of Petaluma Transit Manager). If additional space is needed, it is the responsibility of the Contractor to provide a staging area for excess equipment and materials. The site and hauling route shall be submitted to the City for approval prior to the commencement of work. The Contractor shall obtain written confirmation from the CITY for use of the fenced portion inside the Petaluma Transit Center.

The CONTRACTOR shall prepare storm water management, sediment and erosion control measures for implementation and shall maintain these measures during the construction period.

The minimum storm water management, and sediment and erosion control for the project shall include, but not be limited use of Best Management Practices (BMPs) including fiber rolls (sediment logs or wattles), straw bales, drain rock, check dams, silt fencing, siltation basins and as required for construction conditions. The CONTRACTOR shall be responsible for providing the measures that would comply with the Regional Water Quality Control Board (RWQCB) requirements.

The CONTRACTOR shall also place drain rock bags around storm drain inlets/catch basins and install drain rock check dams at 50-foot intervals within 100 feet upstream from the inlets/catch basins. The CONTRACTOR shall comply with all Federal, State and local regulations and ordinances governing storm water pollution prevention.

30B. SUBMITTALS

Not required

30C. MATERIALS

N/A

30D. CONSTRUCTION

N/A

30E. QUALITY CONTROL

N/A

30F. MEASUREMENT AND PAYMENT

Mobilization/Demobilization will be paid for at the contract LUMP SUM (LS) price, which shall include full compensation for conforming to the provisions in this section, furnishing all labor, materials, tools and equipment, submittals (including traffic control plans), permits, and doing all work involved in mobilization and Stormwater Management and Erosion Control including but not limited to implementation and maintenance of all stormwater, sediment, and erosion control measures, following Best Management Practices, and all incidentals necessary to control stormwater pollution, sedimentation, and erosion, and no additional allowance will be made therefor.

Payment will be made in two installments: 80% in Progress Payment after substantial mobilization has been completed and 20% in the final Progress Payment after Demobilization.

END OF SECTION

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SECTION 80 EARTHWORK

80A. GENERAL

The CONTRACTOR shall furnish all labor, materials, equipment, and incidentals necessary to perform all earthwork required for construction. Such earthwork shall include, but not be limited to the loosening, removing, loading, transporting, depositing, and compacting in its final location of all materials wet and dry, as required for the purposes of completing the Work specified in the Contract Documents. The Work shall also include, but not be limited to, clearing and grubbing the project area outside of the roadway in preparation for the construction furnishing, phasing, and removing of shoring, dewatering, supporting of structures and utilities above and below the ground; all backfilling around structures and utilities and all backfilling of trenches and pits; the disposal of excess excavated materials; borrowing materials for fills; and all other incidental earthwork; all in accordance with the requirements of the Contract Documents.

Earthwork / Roadway excavation shall conform to the applicable provisions of Section 19 of the Standard Specifications. Earthwork shall include all excavation for the retaining wall foundation, storm drain, ADA ramp, curb ramp and sidewalk. Roadway excavation shall consist of excavation of the roadway prism within the limits for the subjected streets reconstruction and the roadway excavation and embankment construction, for the utility improvements, as shown on the plans, and disposal of excess material, as specified herein.

80A.1 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

Without limiting the generality of other requirements of these Specifications, all work specified herein shall conform to or exceed the requirements of the Building Code and the applicable requirements of the following documents to the extent that the provisions of such documents are not in conflict with the requirements of this Section; provided, that for Building Codes, the latest edition of the code, as adopted as of the date of award by the agency having jurisdiction, shall apply to the Work.

Specifications, Codes and Regulations:

1. California Department of Transportation Test Method Nos. 301 and 217.
2. Caltrans Standard Specifications, Latest Edition
3. U.S. Army Corps of Engineers, Specification CW-002215

This Section contains references to the following documents. In case of a conflict between the requirements of this Section and those of the listed documents, the requirements of this Section shall prevail.

80B. SUBMITTALS

Submittals shall be provided to confirm that material to be used comply with information specified herein.

80C. MATERIALS

All materials to be used i.e. Class 2 Aggregate Base, Aggregate Base, Coarse Bedding material, Drain Rock etc. shall comply with the quality requirements of Standard Specifications Section 19 and other applicable specifications.

80D. CONSTRUCTION

The CONTRACTOR shall submit an excavation plan for review before any excavation commences. Excavations in areas of pedestrian or vehicular traffic shall be open the minimum time necessary.

80D.1 GENERAL

Except when specifically provided to the contrary, excavation shall include the removal of all materials of whatever nature encountered, including all obstructions of any nature that would interfere with the proper execution and completion of the Work. The removal of said materials shall conform to the lines and grades shown or ordered. Unless otherwise provided, the entire construction site shall be stripped of all vegetation and debris, and such material shall be removed from the site prior to performing any excavation or placing any fill.

Excavations shall be free from standing or flowing water that may be due to groundwater, surface water, storm water, precipitation, recycled water, or wastewater. The CONTRACTOR shall maintain a dry working area for excavations.

Excavations shall be free from debris as well as from loose, disturbed or soft soils.

Excavations shall be sloped or otherwise supported in a safe manner in accordance with applicable State safety requirements and the requirements of OSHA Safety and Health Standards for Construction (29CFR1926).

80D.2 ROADWAY EXCAVATION

Roadway excavation shall be performed by sawcutting, grinding and excavating the subgrade for to the depth indicated on the plans or as directed by the Engineer.

The subgrade and exposed aggregate base rock shall be moisture conditioned to slightly over optimum moisture content and compacted to a minimum of 95 percent relative compaction (ASTM D1557).

Excess materials from the excavation shall become the property of the CONTRACTOR and shall be disposed of suitably. All costs incurred for at his expense.

80D.3 DUST CONTROL

Dust control shall conform to the provisions in Section 14, "Dust Control," of the Standard Caltrans Specifications and these technical specifications.

The following on-site mitigation measures shall be implemented for the duration of this project:

- All dust-producing work and unpaved construction sites shall require at a minimum watering in the late morning and at the end of the workday; the frequency of watering shall be increased if dust is mobilized by wind or traffic.
- Contractor shall maintain dust control to the satisfaction of the City Engineer, seven (7) days a week, 24 hours per day.
- The Engineer at his discretion may require sprinkling at any time or place.
- At the end of each work week, the Contractor shall sweep all streets in the work zone with a commercial grade street sweeping machine.

80E. QUALITY ASSURANCE

All soils quality control testing will be done by a testing laboratory of the CITY's choice. The CONTRACTOR shall provide the ENGINEER at least 24 hours' notice in advance of required tests (including but not limited to compaction testing).

In case the tests of the fill or backfill show non-compliance with the required density, the CONTRACTOR shall accomplish such remedy as may be required to ensure compliance. Subsequent testing to show compliance shall be by a testing laboratory selected by the CITY and shall be at the CONTRACTOR's expense.

All materials to be used shall be natural materials. The requirements to use recycled materials shall only be approved by the ENGINEER.

The CONTRACTOR shall provide safe access in the trench for the CITY's soil compaction testing technician or inspector. This shall include providing and properly installing safety equipment and temporary shoring to enable compaction testing at SEVERAL LEVELS in the trench. Should the CONTRACTOR have backfilled to an elevation above that required to be tested, then the backfill shall be excavated down to the necessary level for testing and provided at the CONTRACTOR's cost. Subsequent backfilling shall also be at the CONTRACTOR's cost.

All authorized observation and testing will be paid for by the CITY. Retesting due to failed tests will be performed at the CONTRACTOR's expense.

80F. MEASUREMENT AND PAYMENT

Earthwork will be paid for at the contract **LUMP SUM (LS) price**, which shall include full compensation for furnishing all labor, materials, tools and equipment, surveying, construction staking, excavation, backfill where required, compaction, grading, and doing all of the work involved: including all potholing activities and any other items necessary for performing this work not specifically enumerated in the plans and specifications, and no additional allowance will be made therefor.

END OF SECTION

SECTION 120 SIGNS AND STRIPING

120A. GENERAL

All signage shall conform to section 56, "Signs," of the Standard Specifications and in accordance with the details shown on the plans and the City of Petaluma Design and Construction Standards and Specifications.

Traffic stripes and pavement markings shall conform to the applicable provisions of Section 84 of the Standard Specifications and the City of Petaluma Design and Construction Standards and Specifications.

All pavement markings and traffic stripes shall be applied in thermoplastic unless otherwise noted.

Existing signage, stripes and pavement markings which are damaged by the work shall be replaced.

The Contractor shall furnish and apply traffic stripes, characters, arrows, pavement markers, and other delineations and markings. These shall be applied as shown on the plans, as specified herein, or as directed by the Engineer. Contractor shall install traffic striping and markers in accordance with Sections 84 and 85, "Traffic Stripes and Pavement Markings" and "Pavement Markers".

120B. SUBMITTALS

The contractor shall furnish the Engineer a certificate of Compliance in accordance with Section 6-3.05E of the Standard Specifications for each material used. In addition, the Contractor shall furnish Materials Engineering and Testing Services (METS) certificates that materials are authorized for use by Caltrans as well as provide Safety Data Sheets (SDS).

120B.1 STANDARD CALIFORNIA SIGNS

Contractor shall submit to the City, for approval, all sign specifications for standard California signs, as shown in the plans and these technical specifications, prior to installation. All sign references shall be based upon the 2014 California Manual on Uniform Traffic Control Devices (MUTCD).

120B.2 CUSTOM SIGNS

Contractor shall submit to the City, for approval, all sign specifications for custom signs, as shown in the plans and these technical specifications, prior to installation.

120C. MATERIALS

120C.1 THERMOPLASTIC TRAFFIC STRIPES AND PAVEMENT MARKINGS

Thermoplastic traffic stripes (traffic lines) and pavement markings of various types and sizes shall conform to the provisions in Sections 84-1, "General," and 84-2, "Thermoplastic Traffic Stripes and Pavement Markings," of the Standard Traffic Striping and Pavement Markings Section 130 – Technical Specifications, and these Technical Specifications. Section 84-2.02, "Materials," of the Standard Specifications is deleted.

Thermoplastic material shall be free of lead and chromium and shall conform to the requirements in State Specification PTH-02ALKYD.

Thermoplastic material shall also conform to State Specifications 8010-41G-21. Glass beads to be applied to the surface of the molten thermoplastic material shall conform to the requirements of State Specification 8010-22L-22 (Type II), or AASJTP Designation: M 247 (Type 1).

State Specifications for thermoplastic material and glass beads may be obtained from the Transportation Laboratory, P.O. Box 19128, Sacramento, CA, 95819. Telephone number (916) 739-2400.

Retroreflectivity of the thermoplastic traffic stripes and pavement markings shall conform to the requirements in ASTM Designation D 6359-99. White thermoplastic traffic stripes and pavement markings shall have a minimum initial Retroreflectivity of 250 mcd m⁻² lx⁻¹. Yellow thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 150 mcd m⁻² lx⁻¹.

120D. CONSTRUCTION

Contractor shall confirm final location of all signs, stripes and stencils with the City prior to installation.

Where striping joins existing striping, the contractor shall begin and end the transition from the existing striping pattern into or from the new striping pattern a sufficient distance to ensure continuity of the striping pattern. The entire striping pattern shall be replaced.

Thermoplastic traffic stripes and pavement markings shall be applied at a minimum thickness of 0.125 to 0.150 inches. Thermoplastic traffic stripes and pavement markings shall be free of runs, bubbles, craters, drag marks, stretch marks, and debris.

When applying thermoplastic to concrete surface, the surface preparation shall be per section 84-2.03B of Standard Specification and a primer shall be used per the manufacturer's instruction.

120E. QUALITY CONTROL

N/A

120F. MEASUREMENT AND PAYMENT

Payment for **Signs and Striping** will be made at the contract price LUMP SUM (LS) which shall include full compensation for conforming to the provisions in this section, as shown in the contract plans for furnishing all labor, materials, tools, equipment and doing all work involved, including: removal of existing signage, installing sign foundations, installing sign poles, attaching signs, installing all striping and pavement markings, striping layout and measurements, stencils, performing all other work incidental to it, and no additional compensation will be allowed therefor.

END OF SECTION

**SECTION 180
FINAL CLEANUP**

180A. GENERAL

Before final inspection of the work, the Contractor shall clean the work and all ground occupied by him in connection with the work, of all rubbish, excess materials (including liquid asphalt), and equipment. The sidewalks and curbs shall be thoroughly swept clean of all dirt, dust and foreign material. All parts of the work shall be left in neat and presentable condition.

180B. SUBMITTALS

N/A

180C. MATERIALS

N/A

180D. CONSTRUCTION

N/A

180E. QUALITY CONTROL

N/A

180F. MEASUREMENT AND PAYMENT

Payment for cleaning of sidewalks and curbs, and clean up in general, shall be considered as included in the unit bid price for other contract items and no additional payment shall be made therefor.

END OF SECTION

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SECTION 190
PRESERVATION OF PROPERTY

190A. GENERAL

Attention is directed to the provisions in Section 5-1.36, "Property and Facility Preservation," of the Standard Specifications and these Special Provisions.

190B. SUBMITTALS

N/A

190C. MATERIALS

N/A

190D. CONSTRUCTION

Existing trees, shrubs, and other plants, that are not to be removed and are injured or damaged by reason of the Contractor's operations, shall be replaced by the Contractor in accordance with the requirements in Section 20-2.03B, "Replacement," of the Standard Specifications and in accordance with the requirements contained herein.

Roadside mailboxes shall be protected from injury or damage during construction.

Existing sidewalks, retaining walls, roadways, and driveways, that are not to be removed and are injured or damaged by reason of the Contractor's operations, shall be replaced by the Contractor in accordance with the requirements in Section 20-2.03B "Replacement," of the Standard Specifications and in accordance with the requirements contained here in.

190E. QUALITY CONTROL

N/A

190F. MEASUREMENT AND PAYMENT

Full compensation for conforming to the provisions in this section, not otherwise provided for, shall be considered as included in prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

END OF SECTION

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SECTION 200

Handrails

200 A. GENERAL

All handrails, guardrails, and guiderails shall conform with the California Building Code (CBC), the Americans with Disabilities Act (ADA), and the details shown on the drawings.

The work of this section shall consist of furnishing and erecting steel handrails, guardrails, and guiderails on concrete stairs and ramps as well as furnishing and erecting steel hand railings on concrete retaining walls, or at other locations shown on the drawings, in accordance with the designs, dimensions instructions by City, and these specifications.

200 B. SUBMITTALS

Contractor shall submit to the City, for approval, all handrail, guardrail, and guiderail specifications (based on field measurements), as shown in the plans and these technical specifications, prior to installation.

The manufacturer's submittal package shall be provided, and approved by the City, prior to installation.

As part of the submittal, include a letter listing the brand and quality of each different material for use on the project. Materials listed shall be accepted by the City before ordering materials.

If the contractor plans to fabricate the handrail, guardrail, and guiderails themselves, a demo panel of the intended railings must be field fabricated and approved by the City for use.

200 C. MATERIALS

200 C.1 STEEL RAILINGS AND STEEL SLEEVES

1. Pipe shall be standard weight pipe conforming to ASTM A53/A53M. Steel tubing shall conform to ASTM A501 or as designated on the drawings.
2. The steel pipe and tubing shall be hot-dip galvanized to meet the requirements of ASTM A653/A653M with a minimum zinc coating weight of 0.90 oz/ft² (276 g/m²), Coating Designation G-90.
3. Sleeves shall be galvanized on all surfaces.

200 C.2 FASTENERS

1. Acceptable expansion bolts are as follows:
 - a. Hilti Corp; Kwik Bolt.

- b. Wej-it Corp; Standard Wej-its.
 - c. USE; Taper-Bolt.
 - d. Olin Corp; Trubolt.
 - e. Phillips; Red Head Wedge Anchors.
2. Other brands of expansion bolts will be considered upon submission to the City of data sheets describing capacities and installation procedures of bolts.
 3. The bolts, nuts, and washers shall be galvanized.

200 C.3 MISCELLANEOUS

Contractor shall fabricate plates or structural shapes as indicated on the drawings. Include welded anchors where detailed and furnish all items to be embedded in concrete or masonry.

200 D. FABRICATION

200 D.1 GENERAL

Field measure the retaining wall, stairs, ramp, or location where the railing is to be installed, compare to the drawings, and review with the City prior to fabrication.

200 D.2 WORKMANSHIP

Construct all items of sizes, shape, and materials as contractor shall indicate and specify. Fabricate structural steel in accordance with AISC Specifications and additional requirements specified hereafter; perform fabrication and assembly in the shop to the greatest extent possible; form materials well, with sharp angles or lines, free from bends, twists, or open joints; shear and punch clean, true lines and surfaces; thickness of metal and details of assembly and supports shall provide ample strength and rigidity.

200 D.3 WELDING

Contractor shall perform welding with welders qualified under the AWS D1.1/D1.1M welding code for class of work employed; protect adjacent construction and materials against damage; neatly and symmetrically make all welds; fill or grind to a uniform, smooth shape; where required to present uniform appearance, fill space between welds and weld irregularities with suitable metal putty or compound made for this purpose.

200 D.4 FASTENING

Contractor shall provide concealed fastenings wherever possible; do not use screws or bolts where they can be avoided; where used, heads shall be

countersunk, screwed up tight and threads nicked to prevent loosening; and make threaded connections tight so that threads shall be entirely concealed by fittings.

200 E. CONSTRUCTION

Contractor shall erect structural steel in accordance with the AISC Specifications with modifications and additional requirements specified hereafter.

Erect all work true to lines and planes, with vertical lines plumb and horizontal lines level.

Weld or bolt all permanent connections.

Miscellaneous angles, lintels, plates, and embedded items:

All welding procedures shall conform to AWS D1.1/D1.1M. All welds shall develop capacity of members being joined, unless specific length or extent is noted on the drawings.

Finish all cut ends neatly without irregular torch marks or sharp fins. Grind all cut surfaces to a smooth surface. Clean of all loose mill scale, rust, and foreign matter.

The expansion bolts shall be installed per manufacturer's recommendations.

All steelwork shall be in accordance with ASTM A123/A123M. Touch up abraded surfaces and cut ends of galvanized members with zinc-dust, zinc-oxide primer, or an approved galvanizing repair compound.

200 F. QUALITY CONTROL

Prior to completion the City shall inspect the installed railings for conformance with the building code, ADA, and the project plans and specifications.

200 G. TOUCH-UP PAINTING

CONTRACTOR shall, after erection of steel, apply touch-up paint to field bolt heads and nuts, field welds, and abrasions. When zinc coating has been scratched or removed from surfaces, three (3) coats of zinc rich paint shall be applied over the areas where the coating has been disturbed. Where excessive removal or damage to the zinc coating has occurred, replacement of zinc coating by hot dipping in a zinc bath may be necessary at the direction of ENGINEER.

200 H. MEASUREMENT AND PAYMENT

Handrails shall be paid for at the contract unit price per **Linear Foot (LF)**. Payment shall be full compensation for handrail railing and handrail foundation installation, where

needed, and shall include, but not limited to, excavation for placement of aggregate base and foundations, steel sleeve handrail inserts into new walkways and ramps, subgrade preparation; furnish and place 6" thick aggregate base; dowels into existing concrete; reinforcing bars and wire mesh where required; furnish and place concrete and grout; protection from vandalism, and all other work required to complete the work in place.

The actual quantity for this item of work may vary from the quantity listed on the bid schedule. Provisions for increased or decreased quantity elsewhere in the specifications shall not apply and no adjustments of the contract unit price shall be made therefor.

END OF SECTION

SECTION 210

Fencing

210 A. GENERAL

All fencing and gates shall conform with the California Building Code (CBC), the Americans with Disabilities Act (ADA), and the details shown on the drawings.

The work of this section shall consist of furnishing and erecting a 6-foot-tall steel fence gate and fencing (with appropriate foundation system) installed by the Contractor, at the location shown on the drawings, in accordance with the designs, dimensions instructions by City, and these specifications.

The manufacturer shall supply a total industrial ornamental steel fence system that is a Ameristar® Aegis II® (Invincible™) design or approved equivalent. The system shall include all components (i.e., pickets, rails, posts, gates and hardware) required by the manufacturer. (<https://www.ameristarfence.com/en/products/fence-systems/>)

210 B. QUALITY ASSURANCE

The contractor shall provide laborers and supervisors who are thoroughly familiar with the type of construction involved and materials and techniques specified.

210 C. REFERENCES

- ASTM A653/A653M - Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy Coated (Galvannealed) by the Hot-Dip Process.
- ASTM B117 - Practice for Operating Salt-Spray (Fog) Apparatus.
- ASTM D523 - Test Method for Specular Gloss.
- ASTM D714 - Test Method for Evaluating Degree of Blistering in Paint.
- ASTM D822 - Practice for Conducting Tests on Paint and Related Coatings and Materials using Filtered Open-Flame Carbon-Arc Light and Water Exposure Apparatus.
- ASTM D1654 - Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments.
- ASTM D2244 - Test Method for Calculation of Color Differences from Instrumentally Measured Color Coordinates.
- ASTM D2794 - Test Method for Resistance of Organic Coatings to the Effects of Rapid Deformation (Impact).
- ASTM D3359 - Test Method for Measuring Adhesion by Tape Test.
- ASTM F2408 – Ornamental Fences Employing Galvanized Steel Tubular Pickets.

210 D. SUBMITTALS

Contractor shall submit to the City, for approval, the anticipated fence system, as well as all fence gate and fencing specifications (based on field measurements), as shown in the plans and these technical specifications, prior to installation.

Material List: As part of the submittal, include a letter listing the brand and quality of each different material for use on the project. Materials listed shall be accepted by the City before ordering materials.

210 E. PRODUCT HANDLING AND STORAGE

Upon receipt at the job site, all materials shall be checked to ensure that no damages occurred during shipping or handling. Materials shall be stored in such a manner to ensure proper ventilation and drainage, and to protect against damage, weather, vandalism and theft.

210 F. PRODUCT WARRANTY

1. All structural fence components (i.e. rails, pickets, and posts) shall be warranted within specified limitations, by the manufacturer for a period of 10 years from date of original purchase. Warranty shall cover any defects in material finish, including cracking, peeling, chipping, blistering or corroding.
2. Reimbursement for labor necessary to restore or replace components that have been found to be defective under the terms of manufacturer's warranty shall be guaranteed for five (5) years from date of original purchase.

210 G. MATERIALS

Steel material for fence framework (i.e. tubular pickets, rails and posts), shall be galvanized prior to forming in accordance with the requirements of ASTM A653/A653M, with minimum yield strength of 45,000 psi (310 MPa). The steel shall be hot-dip galvanized to meet the requirements of ASTM A653/A653M with a minimum zinc coating weight of 0.90 oz/ft² (276 g/m²), Coating Designation G-90.

Material for pickets shall be 1" square x 14 Ga. tubing. The cross-sectional shape of the rails shall conform to the manufacturer's ForeRunner™ double wall design with outside cross-section dimensions of 1.75" square and a minimum thickness of 14 Ga. Picket holes in the ForeRunner rail shall be spaced 4.715" o.c., except for Invincible style 6' long, which shall be, spaced 4.98" o.c. Picket retaining rods shall be 0.125" diameter galvanized steel. High quality PVC grommets shall be supplied to seal all picket-to-rail intersections. Fence posts and gateposts shall meet the minimum size requirements of Table 1.

Final fencing and gate color shall be black.

210 H. FABRICATION

Field measure the location where the fencing and fence gate are to be installed, compare to the drawings, and review with the City prior to fabrication.

Pickets, rails and posts shall be precut to specified lengths. ForeRunner rails shall be prepunched to accept pickets. Pickets shall be predrilled to accept retaining rods.

Grommets shall be inserted into the prepunched holes in the rails and pickets shall be inserted through the grommets so that predrilled picket holes align with the internal upper raceway of the ForeRunner rails (Note: This can best be accomplished by making an alignment jig). Retaining rods shall be inserted into each ForeRunner rail so that they pass through the predrilled holes in each picket.

The manufactured galvanized framework shall be subjected to the PermaCoat® thermal stratification coating process (high-temperature, in-line, multi-stage, multi-layer) including, as a minimum, a six-stage pretreatment/wash, an electrostatic spray application of an epoxy base, and a separate electrostatic spray application of a polyester finish. The base coat shall be a thermosetting epoxy powder coating (gray in color) with a minimum thickness of 2 mils (0.0508mm). The topcoat shall be a “no-mar” TGIC polyester powder coat finish with a minimum thickness of 2 mils (0.0508mm). The color shall be Black. The stratification-coated framework shall be capable of meeting the performance requirements for each quality characteristic shown in Table 2.

Completed sections (i.e., panels) shall be capable of supporting a 600 lb. load applied at midspan without permanent deformation. Panels shall be biasable to a 25% change in grade.

Pedestrian swing gate will remain open and latched during normal business hours, having a gate leaf no larger than 60” width and no less than 32” in width. The pedestrian swing gate shall open towards the Transit Center and be fitted with a heavy-duty lock with keys matching the current transit center keys. The gate shall include a retention latch that allows the gate to remain propped open during business hours without use of any other device.

210 I. CONSTRUCTION

All new installation shall be laid out by the contractor in accordance with the construction plans.

Fence post shall be spaced according to Table 3, plus or minus ½”. For installations that must be raked to follow sloping grades, the post spacing dimension must be measured along the grade. Fence panels shall be attached to posts with brackets supplied by the manufacturer. Posts shall be set in concrete footers having a minimum depth of 36” (Note: In some cases, local restrictions of freezing weather conditions may require a greater depth). The “Earthwork” and “Concrete” sections of this specification shall govern material requirements for the concrete footer. Posts setting by other methods such as plated

posts or grouted core-drilled footers are permissible only if shown by engineering analysis to be sufficient in strength for the intended application.

When cutting/drilling rails or posts adhere to the following steps to seal the exposed steel surfaces; 1) Remove all metal shavings from cut area. 2) Apply zinc-rich primer to thoroughly cover cut edge and/or drilled hole; let dry. 3) Apply 2 coats of custom finish paint matching fence color. Failure to seal exposed surfaces per steps 1-3 above will negate warranty. Ameristar spray cans or paint pens shall be used to prime and finish exposed surfaces; it is recommended that paint pens be used to prevent overspray. Use of non-Ameristar parts or components will negate the manufactures' warranty.

Gate posts shall be spaced according to the manufacturers' gate drawings, dependent on standard out-to-out gate leaf dimensions and gate hardware selected. Type and quantity of gate hinges shall be based on the application; weight, height, and number of gate cycles. The manufacturers' gate drawings shall identify the necessary gate hardware required for the application. Gate hardware shall be provided by the manufacture of the gate and shall be installed per manufacturer's recommendations.

The contractor shall clean the jobsite of excess materials; post-hole excavations shall be scattered uniformly away from posts.

210 J. QUALITY CONTROL

Prior to completion the City shall inspect the installed fencing and fence gate(s) for conformance with the building code, ADA, and the project plans and specifications.

210 K. MEASUREMENT AND PAYMENT

Remove & Replace Fence and Gate will be paid for at the contract **LUMP SUM (LS)** price, which will include full compensation for fencing, fence gate, and hardware installation, where needed, and shall include, but not limited to, excavation for placement of foundations, subgrade preparation, removal of existing site fence, fence gate and foundations as well as a portion an existing wood retaining wall (shown on the project plans), protection from vandalism, and all other work required to complete the work in place.

The actual quantity for this item of work may vary from the quantity listed on the bid schedule. Provisions for increased or decreased quantity elsewhere in the specifications shall not apply and no adjustments of the contract unit price shall be made therefor.

Table 1 – Minimum Sizes for Aegis II Posts				
Fence Posts		Panel Height		
2-1/2" x 12 Ga.		Up to & Including 6' Height		
3" x 12 Ga.		Over 6' Up to & Including 10' Height		
4" x 11 Ga.		Over 10' Height		
Gate Leaf	Gate Height			
	Up to & Including 6'	Over 6' Up to & Including 8'	Over 8' Up to & Including 10'	Over 12'
Up to 4'	3" x 12Ga.	3" x 12 Ga.	4" x 11 Ga.	4" x 11 Ga.
4'1" to 6'	3" x 12Ga.	3" x 12 Ga.	4" x 11 Ga.	4" x 11 Ga.
6'1" to 8'	4" x 11 Ga.	6" x 3/16"	6" x 3/16"	6" x 3/16"
8'1" to 10'	4" x 11 Ga.	6" x 3/16"	6" x 3/16"	6" x 3/16"
10'1" to 12'	6" x 3/16"	6" x 3/16"	6" x 3/16"	8" x 1/4"
12'1" to 16'	6" x 3/16"	6" x 3/16"	8" x 1/4"	8" x 1/4"

Table 2 – Coating Performance Requirements		
Quality Characteristics	ASTM Test Method	Performance Requirements
Adhesion	D3359 – Method B	Adhesion (Retention of Coating) over 90% of test area (Tape and knife test).
Corrosion Resistance	B117, D714 & D1654	Corrosion Resistance over 3,500 hours (Scribed per D1654; failure mode is accumulation of 1/8" coating loss from scribe or medium #8 blisters).
Impact Resistance	D2794	Impact Resistance over 60 inch lb. (Forward impact using 0.625" ball).
Weathering Resistance	D822 D2244, D523 (60° Method)	Weathering Resistance over 1,000 hours (Failure mode is 60% loss of gloss or color variance of more than 3 delta-E color units).

Table 3 – Aegis II – Post Spacing By Bracket Type								
Span	For INVINCIBLE® 8' Nominal (91.25" Rail)		For CLASSIC, GENESIS, & MAJESTIC 8' Nominal (92.625" Rail)					
	2-1/2"	3"	2-1/2"	3"	2-1/2"	3"	2-1/2"	3"
Bracket Type	Industrial Flat Mount (BB301)		Industrial Universal (BB302)	Industrial Universal (BB303)	Industrial Flat Mount (BB301)		Industrial Swivel (BB304)*	
Post Settings ± 1/2" O.C.	94-1/2"	95"	96"	96.5"	96"	96-1/2"	*97-1/2"	*98"
Span	For INVINCIBLE® 6' Nominal (67.75" Rail)		For CLASSIC, GENESIS, & MAJESTIC 6' Nominal (71.375" Rail)					
	2-1/2"	3"	2-1/2"	3"	2-1/2"	3"	2-1/2"	3"
Bracket Type	Industrial Flat Mount (BB301)		Industrial Universal (BB302)	Industrial Universal (BB303)	Industrial Flat Mount (BB301)		Industrial Swivel (BB304)*	
Post Settings ± 1/2" O.C.	75"	75.5"	71.5"	72"	71.5"	72"	*73"	*73.5"

*Note: When using BB304 swivel brackets on either or both ends of a panel installation, care must be taken to ensure the spacing between post and adjoining pickets meets applicable codes. This will require trimming one or both ends of the panel.

END OF SECTION

**SECTION 220
AGGREGATE BASE**

220A. GENERAL

Aggregate base shall conform to Section 26, “Aggregate Bases,” of the Caltrans Standard Specifications, the City of Petaluma's Design and Construction Standards and Specifications, the plans, and these Technical Specifications.

220B. SUBMITTALS

Contractor shall submit to the City the typical gradation document of the specified aggregate base in the plans and/or these technical specifications.

220C. MATERIALS

Backfill material shall be Caltrans Class 2 aggregate base three-quarter (3/4) inch maximum conforming to the Caltrans Standard Specifications.

Controlled Density Fill (CDF) shall be mix design No. 1500 manufactured by Shamrock Materials Inc. or approved equal.

220D. CONSTRUCTION

220D.1 EARTHWORK

See Section 80 “Earthwork”.

220D.2 AGGREGATE BASE

The constructed thickness of the aggregate base layer shall not be less than the design thickness minus 0.04’ (1/2 inch). Aggregate base shall be Class 2, 3/4 inch maximum, and shall be firm and unyielding. The minimum sand equivalent shall be 31 for any individual test. The requirements to use recycled Class II Aggregate base shall only be approved by the ENGINEER.

220D.3 BACKFILL

Aggregate base should be compacted to a minimum of 90 percent relative compaction or the value shown on the project plans whichever is higher.

220E. QUALITY CONTROL

Aggregate must comply with the grading requirements as shown in Section 26 of the Standard Specifications

220F. MEASUREMENT AND PAYMENT

Full compensation for Aggregate Base used shall be considered as included in prices paid for the various contract items of work involved and includes but not limited to, sidewalks, curbs, gutters, ramps, stairs, retaining walls, and curb ramps. No additional compensation will be allowed therefor.

END OF SECTION

SECTION 310
ASPHALT CONCRETE

310A. GENERAL

All Asphalt concrete (AC) work shall be as specified in Section 39, "Asphalt Concrete", of the Standard Specifications; these Technical Specifications; and the plans and typical sections.

310B. SUBMITTALS

The CONTRACTOR will be required to furnish the Engineer with a mix design for each type of asphalt concrete to be used on the project. The mix design shall include a list of material sources and a Certificate of Compliance signed by the material supplier or his representative indicating that the materials to be incorporated in the work fulfill the requirements of these specifications. This submission will be provided ten (10) working days prior to the start of work. If requested, the CONTRACTOR will also provide aggregate and binder samples, or a plant produced mix sample for mix design verification.

310C. MATERIALS

310C.1 MIX TYPES

The asphalt concrete mix to be used will be as follows unless modified by the Engineer:

- A. Full Depth AC Plug: 1/2 inch Maximum, Type A, Medium – PG 64-16.
- B. Leveling Courses: 1/2 inch Maximum, Type A, Medium – PG 64-16.
- C. AC Overlay or AC Surface Courses: 1/2 inch Maximum, Type A, Medium – PG 64-16

310C.2 BINDER TYPES

The asphalt grade shall be PG 64-16 conforming to Section 92, "Asphalts", of the Standard Specifications. The bitumen ratio shall not vary by more than 0.3% above or 0.3% below the value specified in the approved mix design.

310C.3 TACK COAT

Tack coat shall be utilized and shall be emulsified asphalt Grade RS-1h or SS-1h and shall conform to Section 94, "Asphaltic Emulsions", of the Standard Specifications and section 4-302 "Tack Coat" of these Technical Specifications.

310C.4 CONTRACTOR SUPPLIED MIX DESIGNS

The mix designs shall be prepared by laboratories and personnel with current Caltrans certification. The asphalt concrete mixtures shall conform to all of the provisions of Section 39 of the Standard Specifications and to the following requirements:

- A. The asphalt concrete air void content shall be between 3% minimum and 3.5% maximum and the S-value shall be 37 or greater.
- B. Up to 10% recycled asphalt pavement may be incorporated into the mix.
- C. In no event shall there be less than two percent passing the No. 200 sieve.

As a minimum, the mix design shall indicate the percentage passing for each specified sieve, the percent of asphalt, the laboratory compacted unit weight, the theoretical unit weight (Rice Gravity), and the stability of the mix to be used for each asphalt concrete mixture to be incorporated on the project. The mix design, with allowable tolerance for a single test, shall be used for job control.

310C.5 DELIVERY TICKETS

Each delivery ticket shall include information on the material type, binder type, oil content, and the mix design number. Material delivered to the project without such annotations shall be subject to rejection. No payments shall be made without load tickets and the acceptance of those tickets by the Engineer at the end of the day of delivery.

310D. CONSTRUCTION

310D.1 PAVING

A. SURFACE PREPARATION

The work shall consist of preparing the existing street surfaces prior to the commencement of paving. Such work shall include, but not limited to, removing raised pavement markers, removing thermoplastic traffic markings and legends, controlling nuisance water, sweeping, watering, and removing loose and broken asphalt concrete pavement and foreign material as specified in the Standard Specifications and these Technical Specifications, and as directed by the Engineer.

Existing concrete or asphalt concrete shall be cut in a neat line along the lip of gutter and in locations as designated on the plans or as indicated by the Engineer to a minimum depth of 0.50 foot with a power driven saw before the concrete or asphalt concrete is removed.

The CONTRACTOR shall locate all surface facilities by triangulation measurement in advance of paving operations.

B. PRIME COAT

No prime coat is required.

C. TACK COAT

Tack coat shall be applied to all existing asphalt concrete or Portland cement concrete surface (vertical and horizontal) to be paved over. The tack coat shall conform to the requirements in Section 39 of the Standard Specifications and Section 11-1.09 herein.

All vertical edges to be paved against shall be tack coated. These include, but are not limited to, curb faces, gutter lips, gutter pans, curb faces, swale edges, cross gutter edges, and asphalt concrete edges.

The rate of tack coat shall be 0.15 gal/sy (80% emulsified asphalt and 20% water) approximately. The application of tack coat shall be approved by the Engineer prior to placing the mix. Tack coat shall be applied prior to placing the subsequent layer unless placed in the same day.

D. COLD JOINTS

All cold joints, both longitudinal and transverse, shall be heated with a torch immediately prior to paving. Cold joints include previous passes placed more than three hours prior. All cold joints shall be tack coated.

E. ASPHALT CONCRETE FILLS

Digout areas to receive full-depth AC stabilization shall be completed prior to performing paving work.

F. LAYOUT

The CONTRACTOR shall layout and mark the location of the edges of the surface course paving passes. The layout shall be made at least 2 hours prior to paving. The layout shall be approved by the Engineer prior to paving.

In all cases where practical, each lane shall be paved in a single pass. In tapered transition areas, the shoulder areas shall be paved first, and then the through lane shall be hotlapped immediately after the shoulder paving.

For paving which incorporates new quarter-points or grade breaks due to keycuts or other conditions, the CONTRACTOR shall provide equipment capable of adjusting to the new surface profile at the appropriate locations. The profile adjustments shall be within twelve inches of the actual quarter-point or grade break.

The CONTRACTOR shall take sufficient measurements during laydown to assure that the full design asphalt concrete layer depth is provided at each quarter-point, grade break, or transition. Failure to provide the design depth at these areas shall

result in rejection of the work. Correction of this rejected work will include milling out the new asphalt concrete from the road edge to the centerline or nearest inside lane line and repaving. The minimum length of the milled and corrected area shall be fifty feet.

The minimum ambient temperature to begin paving shall be 55 degrees F and rising.

G. ROLLING, COMPACTION AND PAYMENT REDUCTION

In addition to the requirements of Subsection 39-3.03, "Spreading and Compacting Equipment," of the Standard Specifications, the number of rollers required for each paving operation shall be such that all rolling for density can be completed before the temperature of the asphalt concrete mixture drops below 240 degrees Fahrenheit. Breakdown rolling shall commence when the asphalt concrete is placed. Rolling shall be accomplished with the drive wheel forward and with the advance and return passes in the same line.

The CONTRACTOR shall compact the asphalt concrete to meet the compaction requirements between 91-97% of maximum theoretical density as shown in subsection 39-2.03 "Acceptance Criteria" of the Standard Specifications 2010. The compaction shall be computed for each lot, with a maximum lot size of 500 tons. Each street segment of less than 500 tons shall be its own unique lot.

Core density/nuclear gauge shall be done per CTM 375, "Determining the In-Place Density and Relative Compaction of Asphalt concrete Pavement Using Nuclear Gages".

310E. QUALITY CONTROL

310E.1 TOLERANCES

The finished asphalt concrete surface shall be flush with, to 1/4 inch (0.020 feet or 6 mm) above, the gutter lips.

The average pavement thickness shall be a minimum of 4 inches for the project.

For total pavement thicknesses of less than four inches, the minimum allowable thickness will be 1/4 inch less than that specified.

Core densities shall be taken at a rate of no less than one core per 500 tons of mix.

If compaction fails by nuclear methods, then core density/nuclear gauge correlation and/or core densities shall be used to establish compaction.

When core density is used to determine compaction, all cores shall be paid for by the CONTRACTOR.

Compaction failing to meet the above criteria shall be subject to the payment reductions as shown in (Standard Specifications 2010) subsection 39-2.03, "Acceptance Criteria."

The CONTRACTOR shall have hand-compaction equipment immediately available for compacting all areas inaccessible to rollers. Hand-compaction shall be performed concurrently with breakdown rolling. If for any reason hand-compaction falls behind breakdown rolling, further placement of asphalt concrete shall be suspended until hand-compaction is caught up. Hand-compaction includes vibratory plates and hand tampers. Hand torches shall be available for rework of areas which have cooled.

After compaction, the surface texture of all hand work areas shall match the surface texture of the machine placed mat. Any course or segregated areas shall be corrected immediately upon discovery. Failure to immediately address these areas shall cause suspension of asphalt concrete placement until the areas are satisfactorily addressed, unless otherwise allowed by the Engineer.

310E.2 SAMPLING

The Engineer may sample the asphalt concrete from truck beds at the plant, from the hopper of the spreading machine, or from the completed mat at the discretion of the Engineer. The CONTRACTOR shall facilitate the sampling process.

310F. MEASUREMENT AND PAYMENT

Full compensation for Asphalt Concrete used shall be considered as included in prices paid for the various contract items of work involved and includes but not limited to, gutters, curb ramps, and ADA Parking pad. No additional compensation will be allowed therefor.

END OF SECTION

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SECTION 400 MINOR CONCRETE

400A. GENERAL

New Portland cement concrete facilities including curbs, curbs and gutters, sidewalks, ADA curb ramps, ADA parking pads, access ramps, concrete stairs, walkways and sidewalks, concrete retaining walls, foundations, roadways, pads, thrust blocks, and shallow utility backfill shall be constructed per the plans, Construction Standard 200 Series, and these specifications at the locations indicated on the plans or as directed by the Engineer.

The CONTRACTOR shall furnish all materials for concrete and grout work in accordance with the provisions of this Section and shall form, mix, place, cure, repair, finish, and do all other work as required to produce finished concrete and grout in accordance with the requirements of the Contract Documents.

400B. SUBMITTALS

Submittals shall be provided to confirm that material to be used comply with information specified herein. The CONTRACTOR shall furnish a concrete mix design to the Engineer at least ten working days prior to the start of the work.

Mix Designs: Prior to beginning the Work, the CONTRACTOR shall submit to the ENGINEER, for review, preliminary concrete mix designs which shall show the proportions and graduations of all materials proposed for each class and type of concrete specified. The mix designs shall be designed by an independent testing laboratory acceptable to the ENGINEER. All costs related to such mix design shall be borne by the CONTRACTOR. This Specification sets the minimum requirements for mix design that meets all requirements specified herein.

If deviations from the specifications are indicated, and therefore requested by the CONTRACTOR, each deviation shall be underlined and denoted by a number in the margin to the right of the identified paragraph. The remaining portions of the paragraph not underlined will signify compliance on the part of the CONTRACTOR with the specifications. The submittal shall be accompanied by a detailed, written justification for each deviation. Failure to include a copy of the marked-up specification sections, along with justification(s) for any requested deviations to the specification requirements, with the submittal shall be sufficient cause for rejection of the entire submittal with no further consideration.

400C. MATERIALS

400C.1 GENERAL

Concrete shall conform to the provisions of Section 90 of the Standard Specifications and these special provisions.

Where new concrete is placed adjoining existing concrete, steel dowels (No. 4 x 20 inch) shall be installed at 24 inches o.c. into existing concrete with six-inch embedment. The dowels shall be epoxy grouted.

Concrete shall contain one (1) pound of lampblack per cubic yard of concrete to match existing concrete

General Concrete Facilities including curbs, gutters, concrete stairs, sidewalks and walkways, and ADA curb ramps shall meet the following requirements:

- Compressive Strength: 3,000 psi @ 28 days
Polypropylene Fiber
Reinforcement: 1.5 lbs/cy (0.01% by volume), ¾ inch or 1-1/2 inch length
- Maximum Slump: 4 inches

Heavy Vehicular Facilities including valley gutters, ADA parking pads, concrete retaining walls, foundations, driveways, and alley entrances and shallow utility backfill shall meet the following requirements:

- Compressive Strength: 2000 psi @ 3 days,
4000 psi @ 28 days
Polypropylene Fiber
Reinforcement: 3.0 lbs/cy (0.02% by volume), 1-1/2 inch minimum length
- Maximum Slump: 4 inches
- Steel Reinforcement: 60 ksi

Lean concrete shall have a minimum 28-day compressive strength of 2,000 psi, a maximum aggregate of 3/4 inches, a minimum of 4 sacks of cement per cubic yard, and a maximum water to cement ratio of 0.60 by weight.

400C.2 FORMS

Concrete forms shall be metal, wood, plywood, or other approved material that will not adversely affect the concrete and will facilitate placement of concrete to the shape, form, line, and grade indicated. Metal forms shall be an approved type that will accomplish such results.

Plywood for concrete formwork shall be new, waterproof, synthetic resin bonded, exterior type Douglas Fir or Southern Pine plywood manufactured especially for concrete formwork.

Lumber shall be Douglas Fir or Southern Pine, construction grade or better.

400C.3 REINFORCEMENT STEEL

Reinforcement steel shall be deformed bars conforming to ASTM A615 Grade 60 or ASTM A706. Welded wire fabric shall conform to latest edition of CRSI Manual of Standard Practice.

400C.4 CONCRETE

Cement: Portland Cement Type II conforming to ASTM C150.

Water: Clean and free from oil, acid, alkali, organic matter, or other deleterious substances and shall contain not more than 50 ppm chlorides as Cl no more than 50 ppm sulfates as SO_4^{-2} .

Aggregates:

Natural aggregates shall be free from deleterious coatings, conforming to ASTM C33, together with all referenced ASTM Standard Specifications, except as modified herein. Aggregates shall not be potentially reactive as defined in Appendix XI of ASTM C33. Aggregates shall be thoroughly and uniformly washed before use.

Fine aggregates shall conform to ASTM C33. Materials finer than the 200 sieve shall not exceed 4 percent. Use only clean, sharp, natural sand.

Coarse aggregate shall be: 1) natural gravels, 2) a combination of gravels and crushed gravels, 3) crushed stone, or 4) a combination of these materials containing no more than 15 percent flat or elongated particles (long dimension more than five times the short dimension). Materials finer than the 200 sieve shall not exceed 0.5 percent.

Ready-Mixed Concrete: Ready-mixed concrete shall conform to the requirements of ASTM C94.

400C.5 CURING COMPOUND

Liquid membrane-forming curing compound shall be clear or translucent, suitable for spray application and shall conform to ASTM C309, Type 1.

400C.6 EXPANSION JOINT FILLER

Expansion joint filler shall be a maximum of ½-inch thick, preferred asphalt-impregnated, expansion joint material, conforming to ASTM D994.

400C.7 GROUTS

Epoxy Grout. Epoxy grout for bonding reinforcing bars to existing concrete structures shall be manufactured by, or approved equal:

- A. Adhesive Engineering, Concrete No. 1001
- B. Sika Chemical Corp., Sikastix 350, 370, or 390, as applicable

Ordinary Type Grout (Dry Pack). One part Portland cement to 2 parts fine sand. Add sufficient water to form a damp formable consistency.

Non-Shrink Grout. Non-shrink grout shall be nonmetallic, nongas-liberating type, as manufactured by, or approved equal:

- A. Master Builders, Master Flow 713
- B. UPCO Company, UPCON, High Flow

Cement Grout. Cement grout shall be composed of one part cement, two parts sand, and the minimum amount of water necessary to obtain the desired consistency. Where needed to match the color of adjacent concrete, white Portland cement shall be blended with regular cement as needed. The minimum compressive strength at 28 days shall be 4000 psi.

400C.8 BONDING AGENT

Bonding agent shall be manufactured by, or approved equal:

- A. W. R. Grace and Company, Cambridge, MA
- B. Sika Chemical Corp., Lyndhurst, NJ
- C. Adhesive Engineering Company, San Carlos, CA

Product shall be recommended by manufacturer as suitable to meet job requirements with regard to surface, pot life, set time, vertical or horizontal application, forming restrictions, etc. Furnish manufacturer's specific instructions for this job application and obtain ENGINEER's approval prior to application.

400C.8 TRUNCATED DOMES

Prefabricated truncated dome panels shall be used. The panels shall be “**yellow**” (unless specified otherwise on the plans) and shall be cast-in-place (“wet set”) style at all locations. The CONTRACTOR shall submit for review the manufacturer’s information at least 14 days prior to placement of the panels for approval by the City.

400D. CONSTRUCTION

400D.1 EARTHWORK

Excavation, backfill and compaction shall be in accordance with Section 80, Earthwork.

400D.2 EXISTING CURB & GUTTER, SIDEWALK, AND ASPHALT REMOVAL

The CONTRACTOR shall only be permitted to remove concrete and/or asphalt on one side of the street at a time. The other side of the street may be removed only after the concrete and asphalt have been installed and opened to the public.

All concrete which is to be removed from curb and gutter areas shall be removed to the nearest construction joint or as directed by the Engineer.

All concrete which is to be removed from sidewalk areas shall be removed to the nearest transverse score mark across the full width of sidewalk or construction joint as directed by the Engineer.

Burying of broken concrete within the limits of the project will not be allowed.

Reinforcing steel may be encountered in portions of the concrete to be removed and no additional allowance will be made for the removal of such steel.

400D.3 SUBGRADE

After the subgrade is prepared, moisture conditioned, and compacted to 90% relative compaction at zero to three percent over optimum, the CONTRACTOR shall continuously maintain the subgrade in a uniform condition at the moisture content obtained during subgrade compaction until the concrete is placed. If the project plans note a higher relative compaction should be used the more stringent (higher) relative compaction shall be used.

Subgrade shall be free of standing water, loose material and debris prior to the placement of concrete.

400D.4 FORMING

Construct all form work in accordance with ACI 347. Before placing the concrete, the contact surfaces of forms shall be coated with a suitable non-staining form coating compound or shall be given two coats of nitrocellulose lacquer. All excess coating shall be removed by wiping with cloths.

400D.5 TOLERANCES

The maximum variation from design elevation shall not exceed +/- 0.02 feet. In some instances, particularly in critical drainage areas, tolerances may be reduced to zero. Concrete facilities shall be installed to maintain or provide positive drainage. Questions regarding applicable tolerances shall be directed to the Engineer forty-eight hours in advance of the work.

When shown on the drawings, the concrete shall be set at the design elevations. When existing facilities are to be removed and replaced, they shall conform to the existing elevations and grades. Generally, this will be at a straight line between the start and end points of the removal, unless otherwise noted on the plans.

400D.6 REINFORCEMENT

All reinforcement shall be provided as indicated together with all necessary ties, spacers, supports, and other devices necessary to install and secure the reinforcement properly. All reinforcement, when placed, shall be free from loose rust, scale, oil, grease, and other coatings and foreign substances that would reduce or destroy the bond.

Additional reinforcement requirements can be found in the project plans and in the City of Petaluma Standard Plans.

400D.7 MIXING

Concrete mixing shall conform to ACI 304, current edition, and to the other requirements specified herein.

Concrete shall be discharged at the job within 1-1/2 hours after the water has been added to the cement and aggregates mixture. Concrete may be machine mixed at the job site or ready mixed at the CONTRACTOR's option, and shall conform to the following requirements:

1. Site Mixing: Concrete produced at the site shall be mixed in a batch mixer with a capacity of not less than 1/2 cy. The minimum mixing time for each batch (from the time when all solid materials and water are in the drum) shall be 1-1/2 minutes for mixers of 1 cy capacity or less; for mixers of larger capacity, the mixing time shall be increased 30 seconds for each additional 1/2 cy or fraction

thereof. The mixer shall revolve at a uniform peripheral speed of about 200 rpm. The entire batch shall be discharged before the mixer is recharged.

2. Ready Mixed Concrete: All concrete constituents for ready mixed concrete shall be batched at the central plant. All central plant and rolling stock equipment and methods shall conform to the requirements of ASTM C94, as applicable.

400D.8 SCHEDULING

The CONTRACTOR shall schedule the work so that the replacement of sidewalks and curbs and gutters at driveways shall be completed within three (3) days of demolition operation start. The CONTRACTOR may use seven (7) sack mixes (Class D) with no more than one (1) percent calcium chloride to speed up the concrete set.

The CONTRACTOR shall notify the affected business owners or residents in writing at least forty-eight (48) hours prior to starting the work. All local business and residents shall be provided access at all times.

The CONTRACTOR shall schedule his operation so that all other concrete shall be poured within five (5) days after excavation or by the weekend, whichever is earlier. Failure to do so will result in a liquidated damage of \$500 per location per occurrence.

400D.9 PLACING

No concrete shall be placed after there is evidence of initial set. Concrete placement will not be permitted when weather conditions prevent proper placement and consolidation. Consolidation of concrete shall be with internal concrete vibrators supplemented by handspading, rodding, and tamping. Vibrating equipment shall be adequate to thoroughly compact the concrete. Concrete shall be compacted, screeded to grade, and prepared for the specified finish.

In general, adding water to the surface of the concrete to assist in finishing operations shall not be permitted.

Before final finishing is completed and before the concrete has taken its initial set, the edges shall be carefully finished with the radius shown on the plans or a radius to match the existing construction.

Concrete shall be thoroughly consolidated against and along the faces of all forms and adjacent concrete. After the forms are removed, excess concrete below the form surface shall be removed to be flush with the form face.

400D.10 CURING

Curing shall begin as soon as free water has disappeared from concrete surfaces after placing and finishing. Curing materials shall be applied and maintained so as to protect the concrete from moisture loss. Water used in curing shall be potable. Curing shall be accomplished by moist curing method. Unformed surfaces shall be covered with absorptive materials wetted before placing. Absorptive materials or forms used in curing shall be kept continually wet.

400D.11 CLEANUP AND BACKFILL

After the concrete is placed, cured, and the forms have been removed, the CONTRACTOR shall clean the site of all concrete and forming debris.

After curing has been completed and the forms have been removed from the driveway, sidewalk, or ADA curb ramp, the void between the new concrete and the existing parkway shall be filled with clean native material and the entire parkway left in a clean and orderly condition.

For concrete removed but not replaced, the resulting void after excavation shall be backfilled with clean native material or topsoil.

400E. QUALITY CONTROL

Without limiting the generality of other requirements of these Specifications, all work specified herein shall conform to or exceed the requirements of the Building Code and the applicable requirements of the following documents to the extent that the provisions of such documents are not in conflict with the requirements of this Section; provided, that for Building Codes, the latest edition of the code, as adopted as of the date of award by the agency having jurisdiction, shall apply to the Work.

ACI 304R Guide for Measuring, Mixing, Transporting and Placing Concrete

ACI 347R Guide to Formwork for Concrete

ASTM A 185 Steel Welded Wire, Fabric, Plain, for Concrete Reinforcement

ASTM A615 Deformed and Plain Billet-Steel Bars for Concrete Reinforcement

ASTM C33 Concrete Aggregates

400E.1 FIELD SAMPLING AND TESTS

The CONTRACTOR shall be responsible for the quality of the materials and workmanship of the placement of the concrete. Sampling, preparation of test specimens, and testing will be the ENGINEER's responsibility.

400E.2 SLUMP

Concrete consistency shall be determined by slump tests in accordance with ASTM C143. At least one test shall be made at the commencement of the concrete placement and at the time standard test cylinders are molded.

Tests will be performed by the ENGINEER.

400F. MEASUREMENT AND PAYMENT

Remove and Replace Curb and Gutter shall be paid for at the contract unit price per **Linear Foot (LF)**. Payment shall be full compensation for removing and placing curb & gutter complete, in place, and shall include, but not limited to, demolition, saw cutting excavation for placement of aggregate base, subgrade preparation; furnish and place 6” thick aggregate base; dowels into existing concrete; reinforcing bars and wire mesh where required; furnish and place concrete; weakened plane, embedment of galvanized steel drainage pipes where necessary, construction joints and scoring; protection from vandalism, two (2) foot wide asphalt conforms (per project plans), removal and disposal of existing concrete, and all other work required to complete the work in place.

The actual quantity for this item of work may vary from the quantity listed on the bid schedule. Provisions for increased or decreased quantity elsewhere in the specifications shall not apply and no adjustments of the contract unit price shall be made therefor.

Remove and Replace Sidewalk and Walkway shall be paid for at the contract unit price per **Square Foot (SF)**. Payment shall be full compensation for removing (includes existing sidewalk, and walkways) and placing sidewalk and walkways complete, in place, and shall include, but not limited to, demolition, saw cutting excavation for placement of aggregate base, subgrade preparation; furnish and place 4” thick aggregate base; doweling into existing concrete; reinforcing bars and wire mesh where required; furnish and place concrete; weakened plane, embedment of galvanized steel drainage pipes where necessary, relocation and adjustment of utility boxes where required, construction joints and scoring; protection from vandalism, two (2) foot wide asphalt conforms (per project plans) where required, removal and disposal of existing sidewalks and walkways, and all other work required to complete the work in place.

The actual quantity for this item of work may vary from the quantity listed on the bid schedule. Provisions for increased or decreased quantity elsewhere in the specifications shall not apply and no adjustments of the contract unit price shall be made therefor.

Install ADA Parking Pad will be paid for at the contract **LUMP SUM (LS)** price. Payment shall be full compensation for the placement of the ADA Parking Pad once complete and in place, and shall include, but not limited to, removal and disposal of existing asphalt, pad layout, staking, necessary grade adjustments to conform to existing conditions and ADA requirements, saw cutting excavation for placement of aggregate base, subgrade preparation; furnish and place 6” thick aggregate base; reinforcing steel; furnish and place concrete; weakened plane, valley gutter drainage, construction joints and scoring;

protection from vandalism, two (2) foot wide asphalt conforms (per project plans), and all other work required to complete the work in place.

Install Retaining Wall will be paid for at the contract **LUMP SUM (LS)** price. Payment shall be full compensation for the placement of the Retaining Wall once complete and in place, and shall include, but not limited to, wall and foundation layout, staking, necessary grade adjustments to conform to existing conditions and ADA requirements, handrail inserts in wall, subgrade preparation; furnish and place 6" thick aggregate base; reinforcing steel; furnish and place concrete; wall drainage, connection of wall drainage to existing drain pipe, expansion joints; protection from vandalism, and all other work required to complete the work in place.

Install Pedestrian Ramp shall be paid for at the contract unit price per **Square Foot (SF)**. Payment shall be full compensation for the placement of the Pedestrian Ramp once complete and in place, and shall include, but not limited to, ramp layout, form work, staking, necessary grade adjustments to conform to existing conditions and ADA requirements, handrail inserts in ramp, subgrade preparation; furnish and place 6" thick aggregate base; reinforcing bars; furnish and place concrete; weakened plane, drainage, expansion joints; curbs and retaining curbs where required; protection from vandalism, and all other work required to complete the work in place.

Remove and Replace Stairs will be paid for at the contract **LUMP SUM (LS)** price. Payment shall be full compensation for the placement of the Stairs once complete and in place, and shall include, but not limited to, removal and disposal of existing concrete stairs and handrail, stair layout, staking, necessary grade adjustments to conform to existing conditions and ADA requirements, handrail inserts in stairs, subgrade preparation; furnish and place 6" thick aggregate base; reinforcing steel; furnish and place concrete; weakened plane, drainage, expansion joints; protection from vandalism, and all other work required to complete the work in place.

Modified Case C Curb Ramp will be paid for at the contract **LUMP SUM (LS)** price. Payment shall be full compensation for the installation of a concrete curb ramp, and shall include, but not limited to, site preparation and site clean-up, sawcutting, removal and disposal of existing concrete, base rock and asphalt within the ramp location and entire curb return, including curb and gutter, ramp lay out, staking, necessary grade adjustments to conform to existing conditions and ADA requirements, excavation for placement of aggregate base, subgrade preparation, furnish and place 4" thick aggregate base, reinforcing steel, furnish and place concrete, furnish and place truncated domes, wet setting of truncated domes (3'x5') with minimum 4-inch concrete band, weakened plane, construction joints and scoring, protection from vandalism and all other labor, materials, tools, equipment and incidentals required to complete the work in place. The limit of work as shown in the contract drawings and shall extend to the back of sidewalk regardless of the width of the existing sidewalk and shall include the curb and gutter in front of the ramp on the entire curb return, concrete conform (match new ADA Parking Pad), the integral/retaining curb at ramps (where needed), ramp wings, the landing behind the ramp to the back of sidewalk (to the grade break), retaining curbs on the back of ramp and sidewalk, and all of the concrete to be replaced that is damaged or removed during the curb

ramp installation. In addition, curb ramp limits shall also extend to the closest extension score marks or expansion joints at the grade break beyond the landing.

Minor Concrete, grout, concrete foundation pads, replacement sidewalk / curb and gutter damaged during construction etc. which are not covered separately in a specific bid item will be included in various items of work and shall be full compensation for conforming to the provisions in this section, furnishing all labor, materials, tools, and equipment, and doing all work involved, including any other items necessary for performing this work not specifically enumerated in the plans and specifications, and no additional allowance will be made therefor.

END OF SECTION

SECTION 710 STORM DRAIN

710A. GENERAL

The work shall, in general, consist of installation of two 3-inch-diameter galvanized steel pipes (under sidewalk drains) as well as replacing the existing drain outlet and catch basin top with a new concrete catch basin with drainage grate top. Work shall conform to the plans, the City Storm Drain Design and Construction Standards and Specifications, these Technical Specifications, and as directed by the Engineer.

710B. MATERIALS

710B.1 STORM DRAIN PIPE

Storm drain pipe shall conform to the City Storm Drain Design and Construction Standards and Specifications, and these Special Provisions.

Storm drain pipe shall consist of 3-inch-diameter galvanized steel pipes.

710C. MEASUREMENT AND PAYMENT

Payment for the **Storm Drain** shall be paid for at the contract unit price **per Lump Sum (LS)** and will be full compensation for furnishing and installing all labor, materials, tools, equipment, and doing all work involved in excavating, removal of the existing drain and catch basin top, installation of new catch basin (with drainage grate top) and setting it to the proper elevation, connection of onsite pipe to new drain box, and any necessary concrete work, as required at the location shown on the plans and doing all work involved in installing the under sidewalk drain, as required at the location shown on the plans, and no additional allowance will be made therefor.

END OF SECTION

SECTION VII
CONSTRUCTION AGREEMENT

CONSTRUCTION AGREEMENT

FY ____ Fund ____ Cost Center ____ Object Code ____ Project # ____ Amount \$ ____

For multi-year contracts or contracts with multiple accounts:

FY ____ Fund ____ Cost Center ____ Object Code ____ Project # ____ Amount \$ ____

FY ____ Fund ____ Cost Center ____ Object Code ____ Project # ____ Amount \$ ____

FY ____ Fund ____ Cost Center ____ Object Code ____ Project # ____ Amount \$ ____

THIS AGREEMENT is dated as of the ____ day of _____ in the year 20 ____, by
(city use only)

and between CITY OF PETALUMA (hereinafter called "CITY") and ____ (hereinafter called "CONTRACTOR").

CITY and CONTRACTOR, in consideration of the mutual covenants hereinafter set forth, agree as follows:

ARTICLE 1. WORK

CONTRACTOR shall complete the WORK as specified or indicated in the CITY'S Contract Documents entitled _____.

ARTICLE 2. COMPLETION OF WORK

The WORK shall be completed to the satisfaction of CITY within ____ (____) working days from the commencement date stated in the Notice to Proceed. In no event, however, shall the WORK to be performed under this contract be considered to be complete until all construction items called for on the drawings, and specifications have been completed and the contract price paid in full.

ARTICLE 3. LIQUIDATED DAMAGES

A. CITY and the CONTRACTOR recognize that time is of the essence of this Agreement and that the CITY will suffer financial loss if the WORK is not completed within the time specified in Article 2 herein, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. It is hereby understood and agreed that it is and will be difficult and/or impossible to ascertain and determine the actual damage which the CITY will sustain in the event of and by reason of the CONTRACTOR's failure to fully perform the WORK or to fully perform all of its contract obligations that have accrued by the time for completion as specified in Article 2 herein and/or as specified for completion of any scheduled operations or works described in the Special Provisions. It is, therefore, agreed in accordance with California Government Code Section 53069.85 that the CONTRACTOR will forfeit and pay to the CITY liquidated damages in the sum of ____ Dollars (\$____) per day for each and every calendar day that expires after the time for completion specified in Article 2 herein and/or as specified for completion of any scheduled operations or works described in the Special Provisions except as

otherwise provided by extension of time pursuant to Article 12 of the General Conditions. It is further understood and agreed in accordance with California Government Code Section 53069.85 that the liquidated damages sum specified in this provision is not manifestly unreasonable under the circumstances existing at the time this contract was made, and that the CITY may deduct liquidated damages sums in accordance with this provision from any payments due or that may become due the CONTRACTOR.

- B. Liquidated damages will continue to accrue at the stated rate until final completion of the WORK. Accrued liquidated damages may be deducted by the CITY from amounts due or that become due to the CONTRACTOR for performance of the WORK. Liquidated damages may not be waived or reduced by CITY unless expressly waived or reduced in writing by the ENGINEER.

ARTICLE 4. PREVAILING WAGES

- A. Pursuant to California Labor Code Section 1771, CONTRACTOR and any subcontractor shall pay all workers employed in execution of the WORK in accordance with the general rate of per diem wages specified for each craft, classification, or type of worker needed to execute the WORK. Copies of the prevailing rates of per diem wages are on file at the City Clerk's office and shall be made available to any interested party on request.
- B. CONTRACTOR is required to pay all applicable penalties and back wages in the event of violation of prevailing wage law, and CONTRACTOR and any subcontractor shall fully comply with California Labor Code Section 1775, which is incorporated by this reference as though fully set forth herein.
- C. CONTRACTOR and any subcontractor shall maintain and make available for inspection payroll records as required by California Labor Code Section 1776, which is incorporated by this reference as though fully set forth herein. CONTRACTOR is responsible for ensuring compliance with this section. CONTRACTOR and any subcontractor shall maintain and make available for inspection payroll records as required by California Labor Code Section 1776, which is incorporated by this reference as though fully set forth herein. CONTRACTOR is responsible for ensuring compliance with this section. In addition, CONTRACTOR and any subcontractor shall submit certified payroll records to the Labor Commissioner online: <http://www.dir.ca.gov/Public-Works/Certified-Payroll-Reporting.html>.
- D. CONTRACTOR and any subcontractor shall fully comply with California Labor Code Section 1777.5, concerning apprentices, which is incorporated by this reference as though fully set forth herein. CONTRACTOR is responsible for ensuring compliance with this section.
- E. In accordance with California Labor Code Section 1810, eight (8) hours of labor in performance of the WORK shall constitute a legal day's work under this Agreement. CONTRACTOR and any subcontractor shall pay workers overtime pay as required by California Labor Code Section 1815. CONTRACTOR and any subcontractor shall, as a penalty to the CITY, forfeit twenty-five dollars (\$25) for each worker employed in the

execution of the contract by the respective contractor or subcontractor for each calendar day during which the worker is required or permitted to work more than 8 hours in any one calendar day and 40 hours in any one calendar week in violation so the provisions of Article 3 of Chapter 1 of Part 7, Division 2 of the California Labor Code, which is incorporated by this reference as though fully set forth herein.

ARTICLE 5. CONTRACT PRICE

- A. CITY shall pay CONTRACTOR for completion of the WORK the sum of _____ Dollars (\$_____), based on the bid price of same and in accordance with the Contract Documents.
- B. Notwithstanding any provisions herein, CONTRACTOR shall not be paid any compensation until such time as CONTRACTOR has on file with the City Finance Department a current W-9 form available from the IRS website (www.irs.gov) and has obtained a currently valid Petaluma business license pursuant to the Petaluma Municipal Code.
- C. In no case shall the total contract compensation exceed _____ Dollars (\$_____) without the prior written authorization by the City Manager. Further, no compensation for a section or work program component attached with a specific budget shall be exceeded without the prior written authorization of the City Manager.

ARTICLE 6. BONDS

- A. Before entering upon the performance of the WORK, the CONTRACTOR shall furnish Performance and Labor and Materials Bonds, each in the amount of one hundred percent (100%) of the contract price, as security for the faithful performance and payment of all the CONTRACTOR's obligations under the Contract Documents. These Bonds shall remain in effect at least until one year after the date of Completion, except as otherwise provided by Law or Regulation or by the Contract Documents. The CONTRACTOR shall also furnish such other Bonds as are required by the Supplementary General Conditions.
- B. The CONTRACTOR shall guarantee the WORK to be free of defects in material and workmanship for a period of one (1) year following the CITY's acceptance of the WORK. The CONTRACTOR shall agree to make, at the CONTRACTOR's own expense, any repairs or replacements made necessary by defects in material or workmanship which become evident within the one-year guarantee period. The CONTRACTOR's guarantee against defects required by this provision shall be secured by a Maintenance Bond, in the amount of ten percent (10%) of the contract price, which shall be delivered by the CONTRACTOR to the CITY prior to acceptance of the WORK. The Maintenance Bond shall remain in force for one (1) year from the date of acceptance of the contracted WORK. The CONTRACTOR shall make all repairs and replacements within the time required during the guarantee period upon receipt of written order from the ENGINEER. If the CONTRACTOR fails to make the repairs and replacements within the required time, the CITY may do the work and the CONTRACTOR and the

CONTRACTOR's surety for the Maintenance Bond shall be liable to the CITY for the cost. The expiration of the Maintenance Bond during the one-year guarantee period does not operate to waive or void the one-year guarantee, as set forth herein.

- C. The form of the Performance, Labor and Materials, and Maintenance Bonds are provided by the CITY as part of the Contract Documents. Only such bond forms provided by the CITY are acceptable and shall be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Audit Staff, Bureau of Government Financial Operations, U.S. Treasury Department. All Bonds signed by an agent must be accompanied by a certified copy of such agent's authority to act.
- D. If the surety on any Bond furnished by the CONTRACTOR is declared a bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the WORK is located, the CONTRACTOR shall within 7 days thereafter substitute another Bond and surety, which must be acceptable to the CITY.
- E. All Bonds required by the Contract Documents to be purchased and maintained by CONTRACTOR shall be obtained from surety companies that are duly licensed or authorized in the State of California to issue Bonds for the limits so required. Such surety companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary General Conditions.

ARTICLE 7. PAYMENT PROCEDURES

CONTRACTOR shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by ENGINEER as provided in the General Conditions.

ARTICLE 8. RETENTION

- A. Pursuant to Section 22300 of the California Public Contract Code, the CONTRACTOR may substitute securities for any money withheld by the CITY to ensure performance under the Contract. At the request and expense of the CONTRACTOR, securities equivalent to the amount withheld shall be deposited with the CITY or with a state or federally chartered bank in California as to the escrow agent, who shall return such securities to the CONTRACTOR upon satisfactory completion of the Contract.
- B. Alternatively, the CONTRACTOR may request and the CITY shall make payment of retentions earned directly to the escrow agent at the expense of the CONTRACTOR. At the expense of the CONTRACTOR, the CONTRACTOR may direct the investment of the payments into securities and the CONTRACTOR shall receive the interest earned on the investments upon the same terms provided for in this section for securities deposited by the CONTRACTOR. The CONTRACTOR shall be responsible for paying all fees for the expenses incurred by the escrow account and all expenses of the CITY. These expenses and payment terms shall be determined by the CITY's Finance Director or his/her designee and the escrow agent. Upon satisfactory completion of the Contract, the

CONTRACTOR shall receive from the escrow agent all securities, interest, and payments received by the escrow agent from the CITY, pursuant to the terms of this section. The CONTRACTOR shall pay to each subcontractor, not later than 20 days of receipt of the payment, the respective amount of interest earned, net of costs attributed to retention withheld from each subcontractor, on the amount of retention withheld to ensure the performance of the CONTRACTOR.

- C. Securities eligible for investment under Section 22300 shall be limited to those listed in Section 16430 of the Government Code and to bank or savings and loan certificates of deposit, interest-bearing demand deposit accounts, standby letters of credit, or any other security mutually agreed to by the CONTRACTOR and the CITY.

ARTICLE 9. CONTRACT DOCUMENTS

The Contract Documents which comprise the entire agreement between the CITY and the CONTRACTOR concerning the WORK consist of this Agreement and the following attachments to this Agreement:

- Notice Inviting Bids
- Instructions to Bidders
- Bid Forms including the Bid, Bid Schedule(s), Information Required of Bidder, Bid Bond, and all required certificates and affidavits
- Labor and Materials Bond
- Performance Bond
- Maintenance Bond
- General Conditions
- Supplementary General Conditions (if any)
- Specifications
- Special Provisions
- Drawings
- Federal Wage Rates dated _____ (if applicable)
- Form FHWA-1273 (if applicable)
- Addenda (if any)
- Change Orders which may be delivered or issued after Effective Date of the Agreement and are not attached hereto.

There are no Contract Documents other than those listed in this Article 9. The Contract Documents may only be amended by Change Order as provided in Paragraph 3.5 of the General Conditions.

ARTICLE 10. INSURANCE

The applicable insurance requirements, as approved by the City's Risk Manager, are set forth in **Exhibit B**, attached hereto and incorporated by reference herein. *[City use: check one.]*

ARTICLE 11. INDEMNIFICATION

- A. CONTRACTOR shall indemnify, defend with counsel acceptable to CITY, and hold harmless to the full extent permitted by law, CITY and its officers, officials, employees, agents and volunteers from and against any and all alleged liability, loss, damage, claims, expenses and costs (including, without limitation, attorney fees and costs and fees of litigation) (collectively, "Liability") of every nature arising out of or in connection with CONTRACTOR's performance of the WORK or its failure to comply with any of its obligations contained in this Agreement, except such Liability caused by the active negligence, sole negligence or willful misconduct of the CITY. Such indemnification by the CONTRACTOR shall include, but not be limited to, the following:
1. Liability or claims resulting directly or indirectly from the negligence or carelessness of the CONTRACTOR, its subcontractors, employees, or agents in the performance of the WORK, or in guarding or maintaining the same, or from any improper materials, implements, or appliances used in its construction, or by or on account of any act or omission of the CONTRACTOR, its employees, or agents;
 2. Liability or claims arising directly or indirectly from bodily injury, occupational sickness or disease, or death of the CONTRACTOR's, or Supplier's own employees, or agents engaged in the WORK resulting in actions brought by or on behalf of such employees against the CITY and/or the ENGINEER;
 3. Liability or claims arising directly or indirectly from or based on the violation of any Laws or Regulations, whether by the CONTRACTOR, its subcontractors, employees, or agents;
 4. Liability or claims arising directly or indirectly from the use or manufacture by the CONTRACTOR, its subcontractors, employees, or agents in the performance of this Agreement of any copyrighted or uncopyrighted composition, secret process, patented or unpatented invention, article, or appliance, unless otherwise specified stipulated in this Agreement;
 5. Liability or claims arising directly or indirectly from the breach of any warranties, whether express or implied, made to the CITY or any other parties by the CONTRACTOR, its subcontractors, employees, or agents;
 6. Liability or claims arising directly or indirectly from the willful misconduct of the CONTRACTOR, its subcontractors, employees, or agents;
 7. Liability or claims arising directly or indirectly from any breach of the obligations assumed in this Agreement by the CONTRACTOR;
 8. Liability or claims arising directly or indirectly from, relating to, or resulting from a hazardous condition created by the CONTRACTOR, Subcontractors, Suppliers, or any of their employees or agents, and;
 9. Liability or claims arising directly, or indirectly, or consequentially out of any action, legal or equitable, brought against the CITY, the ENGINEER, their consultants, subconsultants, and the officers, directors, employees and agents of each or any of them, to the extent caused by the CONTRACTOR's use of any premises acquired by permits, rights of way, or easements, the Site, or any land or area contiguous thereto or its performance of the WORK thereon.

- B. The CONTRACTOR shall reimburse the CITY for all costs and expenses, (including but not limited to fees and charges of engineers, architects, attorneys, and other professionals and court costs of appeal) incurred by said CITY in enforcing the provisions of this Paragraph.
- C. The indemnification obligation under this Article 11 shall be in addition to, and shall not be limited in any way by any limitation on the amount or type of insurance carried by CONTRACTOR or by the amount or type of damages, compensation, or benefits payable by or for the CONTRACTOR or any Subcontractor or other person or organization under workers' compensation acts, disability benefit acts, or other employee benefit acts. The CONTRACTOR's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law.
- D. Pursuant to California Public Contract Code Section 9201, City shall timely notify Contractor of receipt of any third-party claim relating to this Agreement.

ARTICLE 12. DISCLAIMER AND INDEMNITY
CONCERNING LABOR CODE SECTION 6400

By executing this agreement the CONTRACTOR understands and agrees that with respect to the WORK, and notwithstanding any provision in this contract to the contrary, the CONTRACTOR, and/or its privities, including, without limitation, subcontractors, suppliers and other engaged by the CONTRACTOR in the performance of the WORK shall be "employers" for purposes of California Labor Code Section 6400 and related provisions of law, and that neither CITY nor its officials, officers, employees, agents, volunteers or consultants shall be "employers" pursuant to California Labor Code Section 6400 with respect to the performance of the WORK by the CONTRACTOR and/or its privities.

The CONTRACTOR shall take all responsibility for the WORK, shall bear all losses and damages directly or indirectly resulting to the CONTRACTOR, any subcontractors, the CITY, its officials, officers, employees, agents, volunteers and consultants, on account of the performance or character of the WORK, unforeseen difficulties, accidents, or occurrences of other causes predicated on active or passive negligence of the CONTRACTOR or of any subcontractor, including, without limitation, all losses, damages or penalties directly or indirectly resulting from exposure to hazards in performance of the WORK in violation of the California Labor Code. The CONTRACTOR shall indemnify, defend and hold harmless the CITY, its officials, officers, employees, agents, volunteers and consultants from and against any or all losses, liability, expense, claim costs (including costs of defense), suits, damages and penalties (including, without limitation, penalties pursuant to the California Labor Code) directly or indirectly resulting from exposure to hazards in performance of the WORK in violation of the California Labor Code, except such liability or costs caused by the active negligence, sole negligence or willful misconduct of the CITY.

ARTICLE 13. INDEPENDENT CONTRACTOR

It is understood and agreed that in the performance of this Agreement, CONTRACTOR (including its employees and agents) is acting in the capacity of an independent contractor, and not as an agent or employee of the CITY. CONTRACTOR has full control over the means and methods of performing said services and is solely responsible for its acts and omissions, including the acts and omissions of its employees and agents.

ARTICLE 14. SUBCONTRACTORS

CONTRACTOR must obtain the CITY’s prior written consent for subcontracting any WORK pursuant to this Agreement. Any such subcontractor shall comply, to the extent applicable, with the terms and conditions of this Agreement. Any agreement between CONTRACTOR and a subcontractor pursuant to this Agreement shall provide that the subcontractor procure and maintain insurance coverage as required herein and which shall name CITY as an additional insured.

ARTICLE 15. COMPLIANCE WITH LAWS/NON-DISCRIMINATION

CONTRACTOR shall comply with all applicable local, state and federal laws, regulations and ordinances in the performance of this Agreement. CONTRACTOR shall not discriminate in the provision of service or in the employment of persons engaged in the performance of this Agreement on account of race, color, national origin, ancestry, religion, gender, marital status, sexual orientation, age, physical or mental disability in violation of any applicable local, state or federal laws or regulations.

ARTICLE 16. NOTICES

All notices required or permitted by this Agreement, including notice of change of address, shall be in writing and given by personal delivery or sent postage prepaid and addressed to the parties intended to be notified, as set forth herein. Notice shall be deemed given as of the date of delivery in person or as of the date deposited in any post office or post office box regularly maintained by the United States Postal Service, unless otherwise stated herein. Notice shall be given as follows:

CITY: City Clerk
City of Petaluma
Post Office Box 61
Petaluma, California 94953
Telephone: (707) 778-4360

CONTRACTOR: _____
(Contact Name)

(Business Name)

(Address)

(City, State, Zip)

(Telephone)

(E-mail)

ARTICLE 17. GOVERNING LAW/VENUE

This Agreement shall be construed and its performance enforced under California law. Venue shall be in the Superior Court of the State of California in the County of Sonoma.

ARTICLE 18. NON-WAIVER

The CITY's failure to enforce any provision of this Agreement or the waiver of any provision in a particular instance shall not be construed as a general waiver of any part of such provision. The provision shall remain in full force and effect.

ARTICLE 19. THIRD PARTY BENEFICIARIES

The Parties do not intend, by any provision of this Agreement, to create in any third party any benefit or right owed by one party, under the terms and conditions of this Agreement, to the other party.

ARTICLE 20. ASSIGNMENT

No assignment by a party hereto of any rights under or interests in the Contract Documents will be binding on another party hereto without the written consent of the party sought to be bound; and specifically but without limitation monies that may become due and monies that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

CITY and CONTRACTOR each binds itself, its partners, successors, assigns and legal representatives to the other party hereto, its partners, successors, assigns and legal representatives in respect of all covenants, agreements and obligations contained in the Contract Documents.

ARTICLE 21. SEVERABILITY

If any term or portion of this Agreement is held to be invalid, illegal, or otherwise enforceable by a court of competent jurisdiction, the remaining provisions of this Agreement shall continue in full force and effect.

IN WITNESS WHEREOF, CITY and CONTRACTOR have caused this Agreement to be executed the day and year first above written.

CITY

CONTRACTOR _____

City Manager

By _____
(CORPORATE SEAL)

ATTEST:

Attest: _____

City Clerk

Address for giving notices:

APPROVED AS TO FORM:

City Attorney

Agent for service of process:

License Number

Taxpayer I.D. Number

Petaluma Business Tax Certificate Number

file name:

END OF AGREEMENT

AGREEMENT CERTIFICATE
(if Corporation)

STATE OF CALIFORNIA)
) ss:
COUNTY OF)

I HEREBY CERTIFY that a meeting of the Board of Directors of the _____
_____ a
corporation existing under the laws of the State of _____, held on
_____, 20____, the following resolution was duly passed and adopted:

“RESOLVED, that _____, as _____
President of the Corporation, be and is hereby authorized to execute the
Agreement dated _____, 20____, by and between
this Corporation and _____ and that his/her execution
thereof, attested by the Secretary of the Corporation, and with the Corporate Seal
affixed, shall be the official act and deed of this Corporation.”

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of
the corporation this _____, day of _____, 20_____.

Secretary

(SEAL)

FAITHFUL PERFORMANCE BOND

WHEREAS, the City Council of the City of Petaluma, State of California, and _____ (hereinafter designated as "Principal") have entered into an agreement whereby Principal agrees to install and complete certain designated public improvements, which said agreement, dated _____, 2020, and identified as project Transit Center Parking & ADA Improvements, is hereby referred to and made a part hereof; and,

WHEREAS, said Principal is required under the terms of said agreement to furnish a bond for the faithful performance of said agreement.

NOW, THEREFORE, WE, the Principal and _____, duly authorized to transact business under the laws of the State of California, as Surety, are held and firmly bound unto the City of Petaluma, hereinafter called "City," in the penal sum of _____ Dollars (\$_____) lawful money of the United States, for payment of which sum well and truly to be made, we bind ourselves, our heirs, successors, executors, and administrators, jointly and severally, firmly by these present. The conditions of this obligation are such that if the above-bound Principal, the Principal's heirs, executors, administrators, successors or assigns, shall in all things stand to and abide by, and well and truly keep and perform the covenants, conditions and provisions in the said agreement and any alteration thereof made as therein provided, on his or their part, to be kept and performed at the time and in the manner therein specified, and in all respects according to their true intent and meaning, and shall indemnify and save harmless the City of Petaluma, its officers, agents, employees, and volunteers, as therein stipulated, then this obligation shall become null and void; otherwise it shall be and remain in full force and effect.

As a part of this obligation secured hereby and in addition to the face amount specified therefore, there shall be included costs and reasonable expenses and fees, including reasonable attorney's fees, incurred by the City in successfully enforcing such obligation, all to be taxed as costs and included in any judgment rendered.

The Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of this agreement or to the work to be performed thereunder or the specifications accompanying the same shall in anywise affect its obligations on this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the agreement or to the work or to the specifications.

And the said Surety, for value received, hereby stipulates and agrees that upon termination of the Contract for cause, the Obligee reserves the right to refuse tender of the Principal by the Surety to complete the Contract work.

IN WITNESS WHEREOF, this instrument has been duly executed by the Principal and Surety above named, on _____, 2020.

PRINCIPAL

SURETY

By _____

By _____

Name and Title

Name and Title

Address

City State Zip

Phone Number

###

NOTE: No substitution or revision to this bond form will be accepted. Be sure that all bonds submitted have a certified copy of the bonding agent's power of attorney attached. Also verify that Surety is an "Admitted Surety" (i.e., qualified to do business in California), and attach proof of verification (website printout from the California Department of Insurance website (<http://www.insurance.ca.gov/docs/index.html>) or certificate from County Clerk).

APPROVED AS TO AMOUNT:

APPROVED AS TO FORM:

City Manager

City Attorney

END OF FAITHFUL PERFORMANCE BOND

LABOR AND MATERIALS BOND

WHEREAS, the City of Petaluma, State of California, and _____ (hereinafter designated as “Principal”) have entered into an agreement whereby the Principal agrees to install and complete certain designated public improvements, which said agreements, dated _____, 2020, and identified as project Transit Center Parking & ADA Improvements, is hereby referred to and made a part hereof; and,

WHEREAS, under the terms of said agreement Principal is required before entering upon the performance of the work, to file a good and sufficient payment bond with the City of Petaluma, to secure the claims to which reference is made in Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code of the State of California.

NOW, THEREFORE, said Principal and the undersigned, duly authorized to transact business under the laws of the State of California, as corporate surety, are held firmly bound unto the City of Petaluma, and all contractors, subcontractors, laborers, materialmen and other persons employed in the performance of the aforesaid agreement and referred to in the aforesaid Civil Code of the State of California, in the sum of _____ Dollars (\$_____) for materials furnished or labor thereon of any kind, or for amounts due under the Unemployment Insurance Act with respect to such work or labor, that said surety will pay the same in an amount not exceeding the amount hereinabove set forth, and also in case suit is brought upon this bond, will pay, in addition to the face amount thereof, costs and reasonable expenses and fees, including reasonable attorney's fees, incurred by City in successfully enforcing such obligation, to be awarded and fixed by the Court, and to be taxed as costs and to be included in the judgment therein rendered.

It is hereby expressly stipulated and agreed that this bond shall inure to the benefit of any and all persons, companies and corporations entitled to file claims under Title 15 (commencing with section 3082) of Part 4 of Division 3 of the Civil Code, so as to give a right of action to them or their assigns in any suit brought upon this bond.

Should the condition of this bond be fully performed, then this obligation shall become null and void, otherwise it shall be and remain in full force and effect.

THE SURETY hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of said agreement or the specifications accompanying the same shall in any

manner affect its obligations on this bond, and it does hereby waive notice of any such change, extension, alteration or addition.

IN WITNESS WHEREOF, this instrument has been duly executed by the Principal and surety above named, on _____, 2020.

PRINCIPAL

SURETY

By _____

By _____

Name and Title

Name and Title

Address

City State Zip

Phone

###

NOTE: No substitution or revision to this bond form will be accepted. Be sure that all bonds submitted have a certified copy of the bonding agent's power of attorney attached. Also verify that Surety is an "Admitted Surety" (i.e., qualified to do business in California), and attach proof of verification (website printout from the California Department of Insurance website (<http://www.insurance.ca.gov/docs/index.html>) or certificate from County Clerk)..

APPROVED AS TO AMOUNT:

APPROVED AS TO FORM:

City Manager

City Attorney

END OF LABOR AND MATERIALS BOND

MAINTENANCE BOND

WHEREAS, the City Council of the City of Petaluma (“City”) and _____, (hereinafter designated as “Principal”) have entered into an agreement whereby Principal agrees to install and complete certain designated public improvements, which said agreement, dated _____, 2020, and identified as project Transit Center Parking & ADA Improvements, is hereby referred to and made a part hereof; and,

WHEREAS, said Principal is required under the terms of said contract to furnish a maintenance bond for the correction of any defects due to defective materials or workmanship in the work performed under said agreement.

NOW, THEREFORE, we the Principal and _____ as Surety, are held and firmly bound unto the City of Petaluma in the penal sum of _____ Dollars (\$_____), lawful money of the United States for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns jointly and severally, firmly by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH that if, during a maintenance period of one (1) year from the date of acceptance of the contracted work, the Principal upon receiving written notice of a need for repairs which are directly attributable to defective materials or workmanship, shall diligently take the necessary steps to correct said defects within seven (7) days from the date of said notice, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

As part of this obligation secured hereby and in addition to the face amount specified therefor, there shall be included costs and reasonable expenses and fees, including reasonable attorney’s fees, incurred by the City in successfully enforcing such obligation, all to be taxed as costs and included in any judgment rendered.

The Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of this agreement or to the work to be performed thereunder or the specifications accompanying the same shall in anywise affect its obligations on this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the agreement or to the work or to the specifications.

IN WITNESS WHEREOF, this instrument has been duly executed by the Principal and Surety above named, on _____, 2020.

PRINCIPAL

SURETY

By _____

By _____

Name and Title

Name and Title

Address

City

State

Zip

Phone Number

###

NOTE: No substitution or revision to this bond form will be accepted. Be sure that all bonds submitted have a certified copy of the bonding agent's power of attorney attached. Also verify that Surety is an "Admitted Surety" (i.e., qualified to do business in California), and attach proof of verification (website printout from the California Department of Insurance website (<http://www.insurance.ca.gov/docs/index.html>) or certificate from County Clerk).

APPROVED AS TO AMOUNT:

APPROVED AS TO FORM:

City Manager

City Attorney

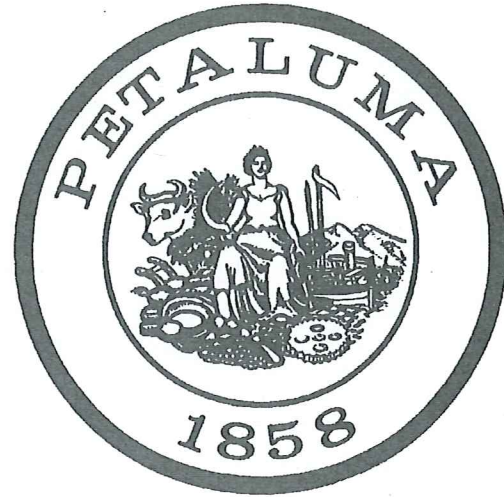
END OF MAINTENANCE BOND

SECTION VIII

PLANS

City of Petaluma, California

TRANSIT CENTER PARKING AND ADA IMPROVEMENTS 555 NORTH MCDOWELL BLVD



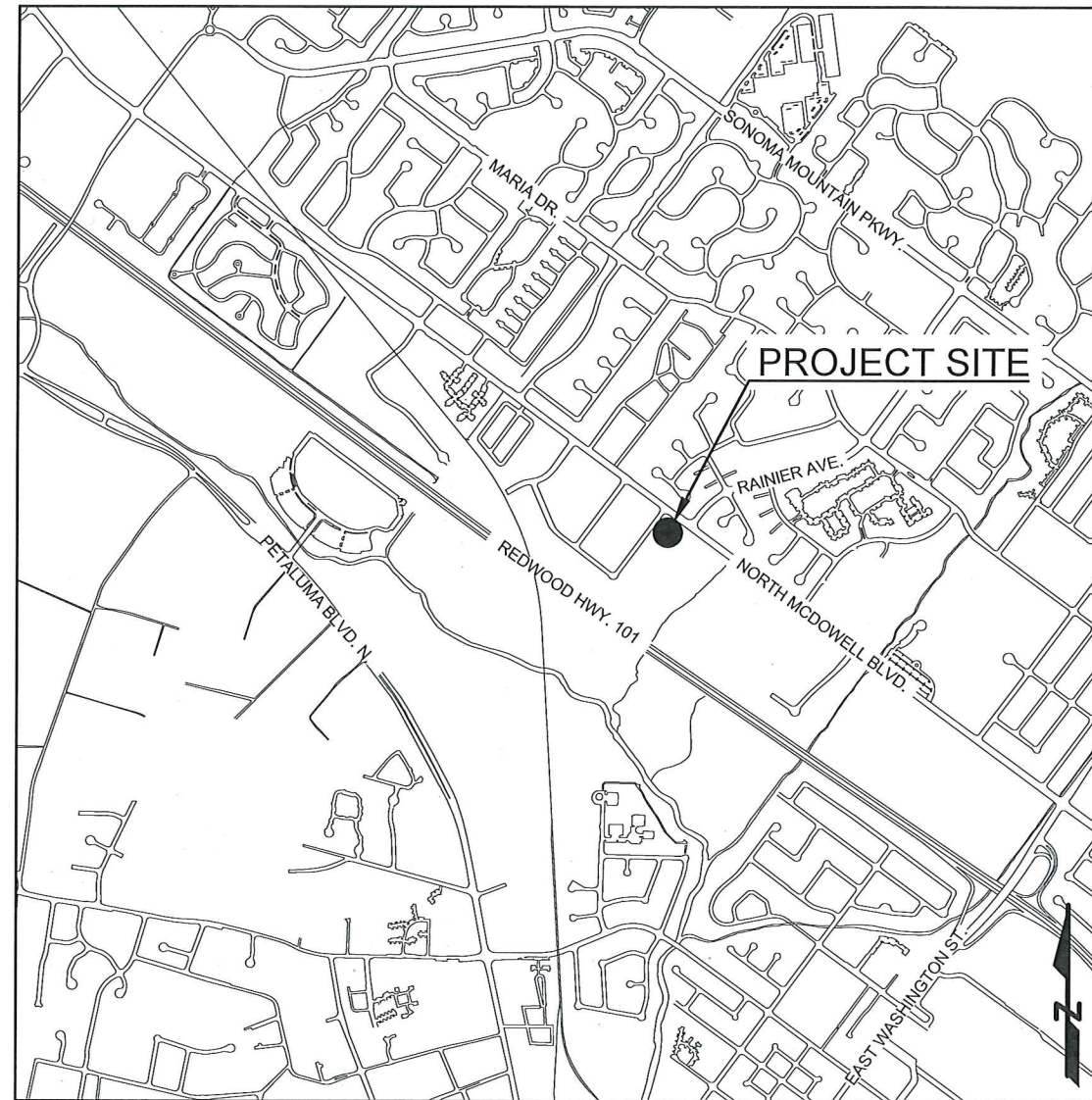
MAYOR
Teresa Barrett

COUNCIL MEMBERS
D'Lynda Fischer
Mike Healy
Gabe Kearney
Dave King
Kevin McDonnell
Kathy Miller

CITY MANAGER
Peggy Flynn

INTERIM DIRECTOR OF PUBLIC WORKS & UTILITIES
Jason Beatty

C65102009



LOCATION MAP
SCALE: N.T.S.

RECORD PLAN

I _____ HEREBY STATE THAT THESE RECORD PLAN CHANGES ARE COMPLETE FROM INFORMATION FURNISHED BY THE PROJECT CONTRACTOR, SOILS ENGINEER AND MY OFFICE. I HEREBY STATE THAT TO THE BEST OF MY KNOWLEDGE THE THE WORK WAS DONE IN ACCORDANCE WITH THE FINAL APPROVED PLANS. THE ENGINEER AND THE CITY WILL NOT BE RESPONSIBLE FOR ANY ERRORS OR OMISSIONS WHICH HAVE BEEN INCORPORATED INTO THIS DOCUMENT AS A RESULT. FIELD VERIFICATION OF CRITICAL FACTS AND DATA SHOULD BE MADE IF THESE DOCUMENTS ARE TO BE USED AS A BASIS FOR FUTURE WORK. ENGINEER'S SIGNATURE _____ DATE: _____

SHEET INDEX

- G1 COVER SHEET
- G2 GENERAL NOTES AND PROJECT PLAN
- G3 ABBREVIATIONS, SYMBOLS, AND REVISIONS
- G4 EXISTING SITE SURVEY
- C1 DEMOLITION AND IMPROVEMENTS PLAN
- C2 GRADING AND SITE PLAN
- C3 ADA RAMP PLAN AND PROFILE
- D1 SECTIONS AND DETAILS #1
- D2 SECTIONS AND DETAILS #2
- D3 SECTIONS AND DETAILS #3
- D4 SECTIONS AND DETAILS #4

ALL PROJECT PLANS HAVE BEEN PREPARED AND REVIEWED TO COMPLY WITH CURRENT AMERICANS WITH DISABILITIES ACT (ADA) REQUIREMENTS AND/OR THE CALIFORNIA BUILDING STANDARDS CODE (CBCS).

THESE PROJECT PLANS CONTAIN ELEMENT(S) THAT ARE NOT "TECHNICALLY FEASIBLE" AND/OR CAN'T MEET THE APPLICABLE CBCS BECAUSE IT WOULD CREATE AN "UNREASONABLE HARDSHIP." PLEASE SEE THE WRITTEN ANALYSIS SUPPORTING THIS DETERMINATION FILED UNDER THE PROJECT FILE.

DESIGNED BY: Kenneth E. Eichstaedt 1/30/20
SIGNATURE DATE

APPROVED BY: Kenneth E. Eichstaedt 1/30/20
Kenneth E. Eichstaedt P.E. C42058
(Senior Civil Engineer)

DESIGNED BY: Jonathan L. Sanglerat 1/30/20
Jonathan L. Sanglerat
(Assistant Engineer II)

	SIGNATURE	DATE
CITY ENGINEER		
ENGINEERING MANAGER		
FIRE MARSHAL		
PARKS		
PLANNING		
POLICE		
UTILITY MANAGER		

DATE: JANUARY 2020
DESIGNED BY: JLS
DRAWN BY: JLS
CHECKED BY: KE

PROJECT NO.
C65102009



CITY OF PETALUMA
PUBLIC WORKS & UTILITIES
202 N. McDowell Blvd., PETALUMA, CALIFORNIA, 94954
PH. 707-778-4546 FAX. 707-778-4508



TRANSIT CENTER ADA IMPROVEMENTS
555 NORTH MCDOWELL BLVD

SHEET
G1
1 of 11

BRIEF DESCRIPTION OF WORK

THE INTENT OF THIS PROJECT IS TO PROVIDE INCREASED, ADA COMPLIANT, ACCESS TO THE PETALUMA TRANSIT CENTER. PROJECT IMPROVEMENTS INCLUDE AN ADA VAN PARKING SPACE, CURB RAMP, ACCESS RAMP, WALKWAY AND STAIRS, SIDEWALK, CURB AND GUTTERS, RETAINING WALL, SITE FENCE AND GATE, DRAIN BOX AND DRAIN PIPES, STRIPING, AND SIGNAGE. THESE IMPROVEMENTS WILL HELP TO PROVIDE BETTER ACCESS AND INCREASED SECURITY FOR THE TRANSIT CENTER. IN ADDITION, DEMOLITION AND SITE GRADING WILL BE A PART OF THIS PROJECT.

GENERAL NOTES

1. CONTRACTOR SHALL FIELD VERIFY THE HORIZONTAL AND VERTICAL LOCATION OF ALL EXISTING UTILITIES BY POT-HOLING OR OTHER DIRECT INSPECTION METHOD PRIOR TO COMMENCING WORK.
2. UNDERGROUND UTILITIES MAY NOT BE SHOWN ON THE PLANS AND MUST BE LOCATED BY THE CONTRACTOR PRIOR START OF PROPOSED WORK.
3. ALL FRAMES AND COVERS MUST BE ADJUSTED TO FINISH GRADE WITHIN 2 DAYS AFTER COMPLETION OF WORK.
4. THE CONTRACTOR SHALL NOTE ALL APPROVED FIELD CHANGES AND OTHER OCCURRENCES AND SUBMIT A FULL SIZE COMPLETE CONSTRUCTION "RECORD DRAWING" SET NOTED AND DATED ON THE DRAWINGS TO THE PROJECT ENGINEER PRIOR TO ACCEPTANCE OF THE WORK.
5. IT IS THE RESPONSIBILITY OF THE CONTRACTOR TO ENSURE ALL MATERIAL AND WORKMANSHIP FULLY CONFORMS TO THE SPECIFICATIONS, STANDARDS AND ORDINANCES OF THE CITY OF PETALUMA, THE AMERICANS WITH DISABILITIES ACT (ADA) AND THE STATE OF CALIFORNIA.
6. ALL EROSION AND SEDIMENT CONTROL MATERIALS AND METHODS SHALL COMPLY WITH THE CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD, SAN FRANCISCO BAY REGION, EROSION AND SEDIMENT CONTROL MANUAL.
7. THE CONTRACTOR SHALL CONTACT UNDERGROUND SERVICE ALERT (USA) AND VERIFY UTILITY MARKINGS AT LEAST 72 HOURS PRIOR TO THE START OF ANY EXCAVATION. 1-800-227-2600
8. THE EXISTING CONDITIONS SURVEY (USED AS A BASE IMAGE ON SEVERAL PLAN SHEETS) WAS PREPARED BY WILLIS LAND SURVEYING, DATED 30 OCTOBER 2018.
 - 8.1. ALL DISTANCES INDICATED ON THE BASE SURVEY ARE SHOWN IN DECIMAL FEET.
 - 8.2. THE VERTICAL DATUM FOR THE SURVEY IS BASED UPON THE LOCAL CITY BENCHMARK - MONUMENT DISC IN MONUMENT WELL LOCATED ON MCDOWELL BOULEVARD NORTH AT THE INTERSECTION OF TRANSPORT WAY. ELEVATION OF SAID BENCHMARK IS ASSUMED TO BE 33.54 FEET NGVD29 AND ADJUSTED BY 2.70 FEET UP TO 36.24 FEET NAVD 88.
9. FINAL SIGN LOCATIONS SHALL BE VERIFIED WITH THE CITY IN THE FIELD PRIOR TO INSTALLATION.

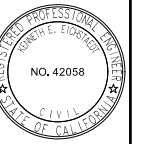


SURVEY CONTROL DATA

1. THESE PLANS WERE PREPARED USING COMPUTER ASSISTED, AERIAL PHOTOGRAMETRIC MAPPING. THE MERIDIAN AND GRID IS BASED ON THE CALIFORNIA COORDINATE SYSTEM ZONE II, NAD 1983.
2. VERTICAL DATUM: NAVD 1988.

DATE: JANUARY 2020
 DESIGNED BY: JLS
 DRAWN BY: JLS
 CHECKED BY: KE

PROJECT NO.
 C65102009



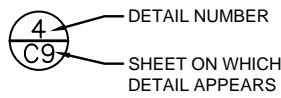
CITY OF PETALUMA
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 202 N. McDowell Blvd., PETALUMA, CALIFORNIA, 94954
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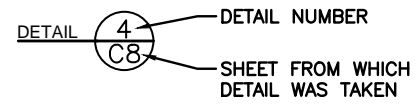
TRANSIT CENTER ADA IMPROVEMENTS
 555 NORTH MCDOWELL BLVD
 GENERAL NOTES AND PROJECT PLAN

DETAIL NUMBERING

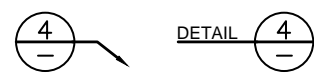
DWG. WHERE DETAIL IS TAKEN:



DWG. WHERE DETAIL APPEARS:

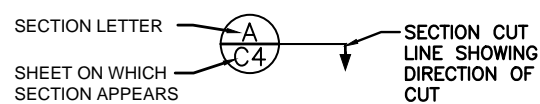


IF DETAIL APPEARS ON THE SAME DWG. IT IS TAKEN FROM:

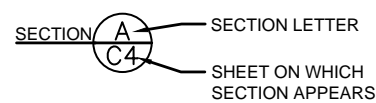


SECTION NUMBERING

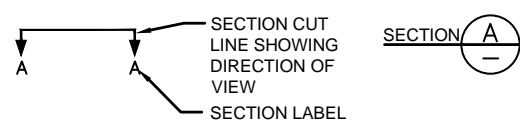
DWG. WHERE SECTION IS TAKEN:



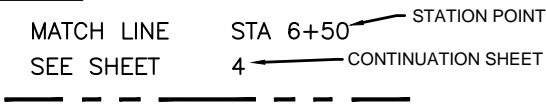
DWG. WHERE SECTION APPEARS:



IF SECTION APPEARS ON THE SAME DWG. IT IS TAKEN FROM:



MATCH LINE



SYMBOLS

PROPOSED	EXISTING	
		AIR RELIEF VALVE
		BLOWOFF
		FIRE HYDRANT
		VALVE
		ZONE VALVE
		REDUCER
		CLEAN OUT
		MANHOLE
		WATER METER
		CATCH BASIN
		CATCH BASIN W/ GALLERY
		INLET
		END CAP
		UTILITY POLE
		UTILITY BOX
		MONUMENT
		ADDRESS
		SEWER MAIN AND LATERAL
		SEWER LATERAL TAP ACTIVE
		SEWER LATERAL TAP CAPPED
		WATER MAIN
		STORM DRAIN
		ELECTRIC CABLE
		GAS MAIN
		TELEPHONE CABLE
		TV CABLE
		STREET LIGHT AND CABLE
		CONSTRUCTION SIGN
		RIGHT OF WAY LINE
		TO BE ABANDONED
		TO BE REMOVED
		BORE LOCATION
		GRADE LINES AND DIRECTION
		SIGN
		HANDRAIL
		TREE

RECORD DRAWING REFERENCE

NO.	DATE	DESCRIPTION	BY

ABBREVIATIONS

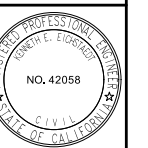
AB	AGGREGATE BASE	INV	INVERT
ABND	ABANDONED	IRR	IRRIGATION
AC	ASPHALTIC CONCRETE	ICV	IRRIGATION CONTROL VALVE
ACP	ASBESTOS CEMENT PIPE	JT	JOINT TRENCH
ADA	AMERICANS WITH DISABILITIES ACT	LIP	LIP OF GUTTER
BS	BOTTOM OF STAIRS	MH	MANHOLE
BW	BACK OF SIDEWALK	MON	MONUMENT
CDF	CONTROL DENSITY FILL	(N)	NEW
CI	CAST IRON	NTS	NOT TO SCALE
CIPP	CURED IN PLACE PIPE	OC	ON CENTER
CL	CENTERLINE	PCC	PORTLAND CEMENT CONCRETE
CLR	CLEARANCE	PL	PLASTIC
CMP	CORRUGATED METAL PIPE	PVC	POLYVINYL CHLORIDE
CO	CLEAN OUT	RC	RELATIVE COMPACTION
COM	COMMUNICATION BOX	RCP	REINFORCED CONCRETE PIPE
CR	CURB RETURN	SD	STORM DRAIN
DIP	DUCTILE IRON PIPE	SPECS	SPECIFICATIONS
EG	EXISTING GRADE	SL	STREET LIGHT
EL	ELEVATION	SQFT	SQUARE FEET
ELEC	ELECTRIC	SS	SANITARY SEWER
EP	EDGE OF PAVEMENT	STA	STATION
(E)	EXISTING	STL	STEEL
FC	FACE OF CURB	TEL	TELEPHONE
FDC	FIRE DEPT CONNECTION	TC	TOP OF CURB
FG	FINISH GRADE	TP	TOP OF PIPE
FH	FIRE HYDRANT	TS	TOP OF STAIRS
FL	FLOW LINE	TV	TV CABLE
FO	FIBER OPTIC CABLE	TYP	TYPICAL
G	GAS	UTILP	UTILITY POLE
GALV	GALVANIZED	VCP	VITRIFIED CLAY PIPE
GM	GAS METER	W	WATER
GS	GROUND SURFACE	WS	WATER SERVICE
HDPE	HIGH DENSITY POLYETHYLENE		

PROJECT RESOURCE DATA (FOR CITY USE)

PROJECT START	
PROJECT END	
PROJECT CONTRACTOR	
CONTRACTOR'S SUPER.	
UTILITY CONT.	
UTILITY CONT.	
UTILITY CONT.	
SEWER PIPE, MH, CO	
STORM DRAIN PIPE, INLET	
WATER PIPE, VALVES, HDY.	
PROJECT MANAGER	
PROJECT INSPECTOR	
OTHER	

DATE: JANUARY 2020
DESIGNED BY: JLS
DRAWN BY: JLS
CHECKED BY: KE

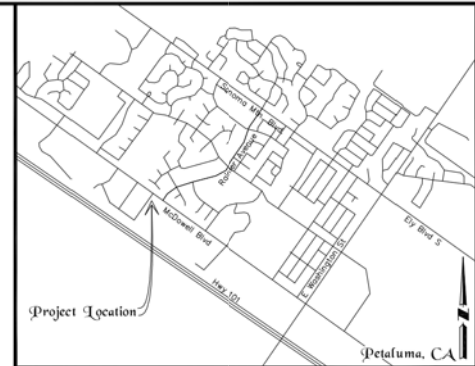
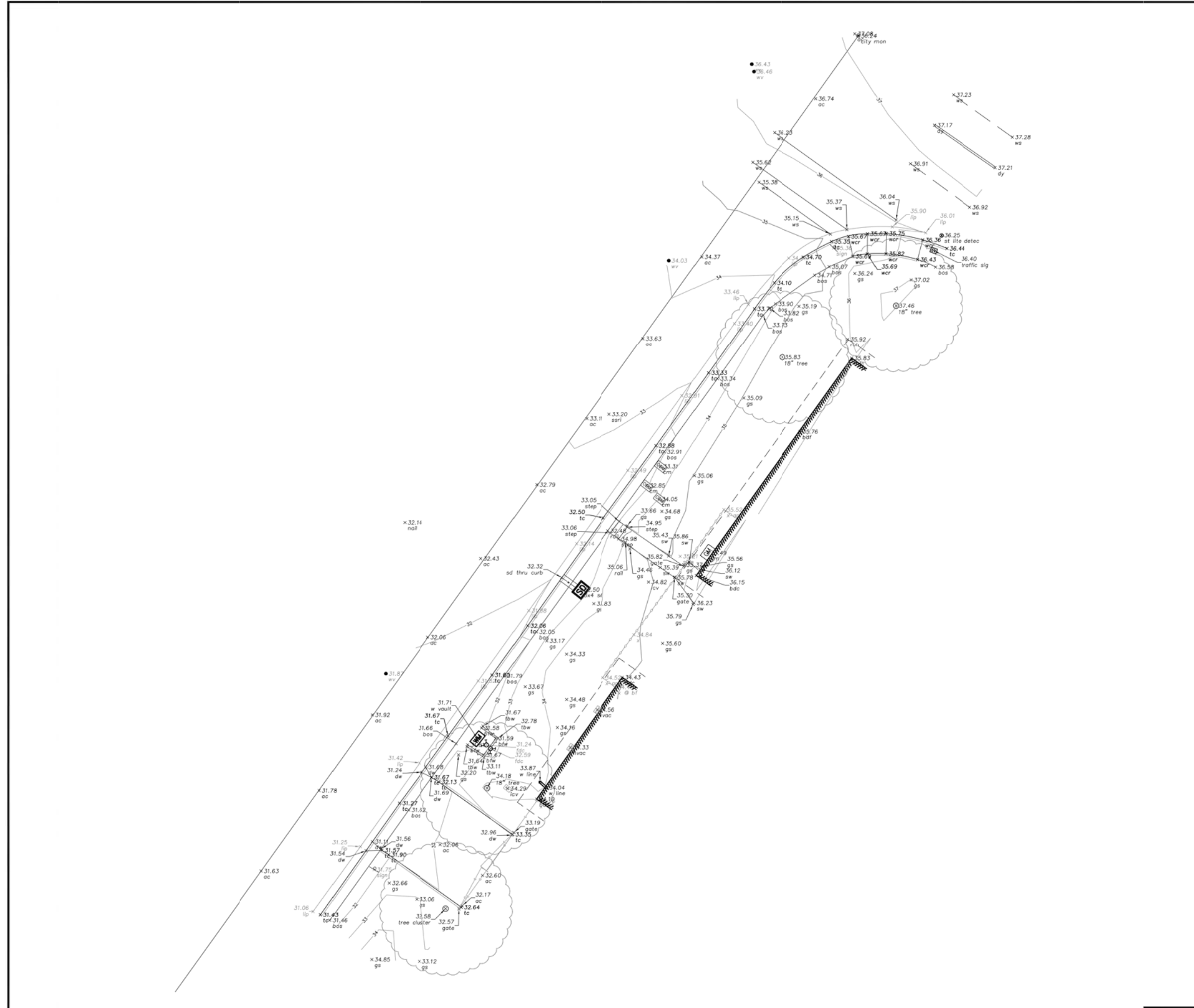
PROJECT NO.
C65102009



CITY OF PETALUMA
PUBLIC WORKS & UTILITIES
202 N. McDowell Blvd., PETALUMA, CALIFORNIA, 94954
PH. 707-778-4546 FAX. 707-778-4508



TRANSIT CENTER ADA IMPROVEMENTS
555 NORTH MCDOWELL BLVD
ABBREVIATIONS, SYMBOLS, AND REVISIONS



Basis of Bearing
 Basis of Bearing is N35° 34' 49"E 819.28' between found monuments in Transport Way as shown on that certain Recorded Map Filed in Book 308 at pages 34 & 35, Sonoma County Records.

Notes
 1) All distances and dimensions shown are in feet and decimals thereof.
 2) This is not a boundary survey. The line work shown was compiled from record information only and as such it should not be represented or construed as actual entitlement.

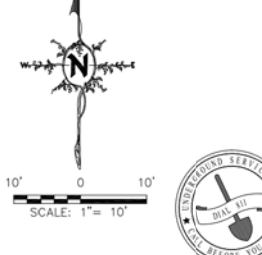
Vertical Datum:
 The Vertical Datum for this project is based upon the local City Benchmark - Monument disc in monument well located on McDowell Boulevard North at the intersection of Transport Way. Elevation of said Benchmark is assumed 33.54 feet NGVD29 and adjusted 2.700 Feet up to 36.24 NAVD 88.

Legend

	Fence
	Flow Line
	Gas Line
	Storm Drain Line
	Drop Inlet
	Sanitary Sewer Line
	Water Line
	Electric Line
	Manhole
	STREET LIGHT
	STREET LIGHT BOX
	PG&E BOX
	TRANSFORMER
	WATER METER
	WATER VALVE
	COMMUNICATION BOX
	COMM MANHOLE
	SANITARY SEWER MANHOLE
	SIGN
	RAILINGS
	TREE & SHRUB

Abbreviations

BLDG	Building
BM	Benchmark
CB	Catch Basin
DI	Drop Inlet
(E)	East
EL	Elevation
EX	Existing
FL	Flowline
OND	Ground
HYD	Hydrant
INV	Invert
JT	Joint
(N)	North
RCP	Reinforced Concrete Pipe
(S)	South
SDMH	Storm Drain Manhole
SMH	Sanitary Sewer Manhole
SSCO	Sanitary Sewer Clean-out
STRC	Structure
TC	Top of curb
TW	Top Of Wall
UTIL	Utility
VCP	Vitrified Clay Pipe
(W)	West
WV	Water Valve



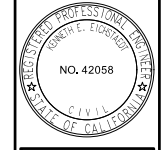
131 Transport Way
 Partial
 Existing Conditions

Willis Land Surveying
 1656 Acapulco Court Petaluma, Ca 94954
 (707) 528-5079 (415) 382-4879
 williswps@gmail.com

Sheet
 1
 of 1 Sheets

DATE: JANUARY 2020
 DESIGNED BY: JLS
 DRAWN BY: JLS
 CHECKED BY: KE

PROJECT NO.
 C65102009

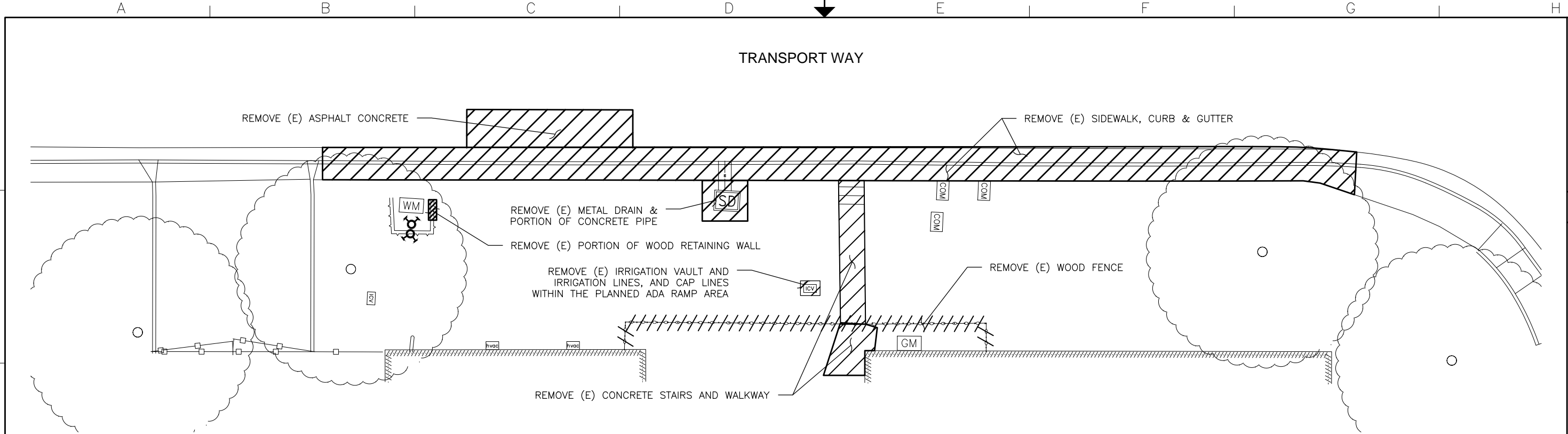


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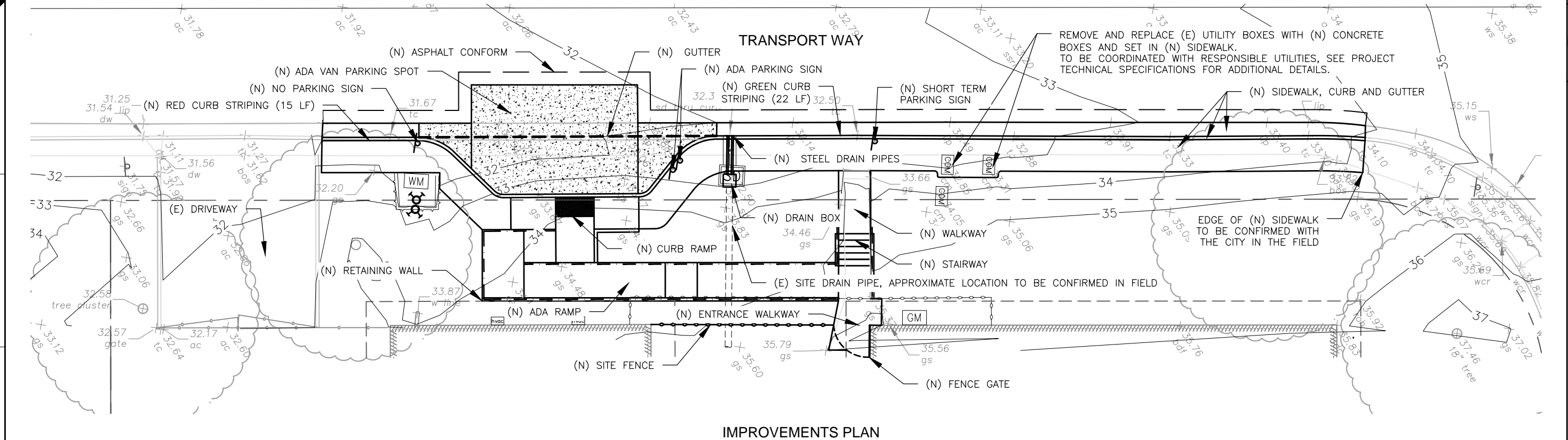


TRANSIT CENTER ADA IMPROVEMENTS
 555 NORTH MCDOWELL BLVD
 EXISTING SITE SURVEY

SHEET
G4
 4 of 11



DEMOLITION PLAN
NO SCALE



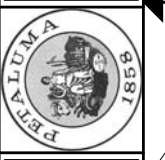
IMPROVEMENTS PLAN
NO SCALE

DATE: JANUARY 2020
DESIGNED BY: JLS
DRAWN BY: JLS
CHECKED BY: KE

PROJECT NO.
C65102009

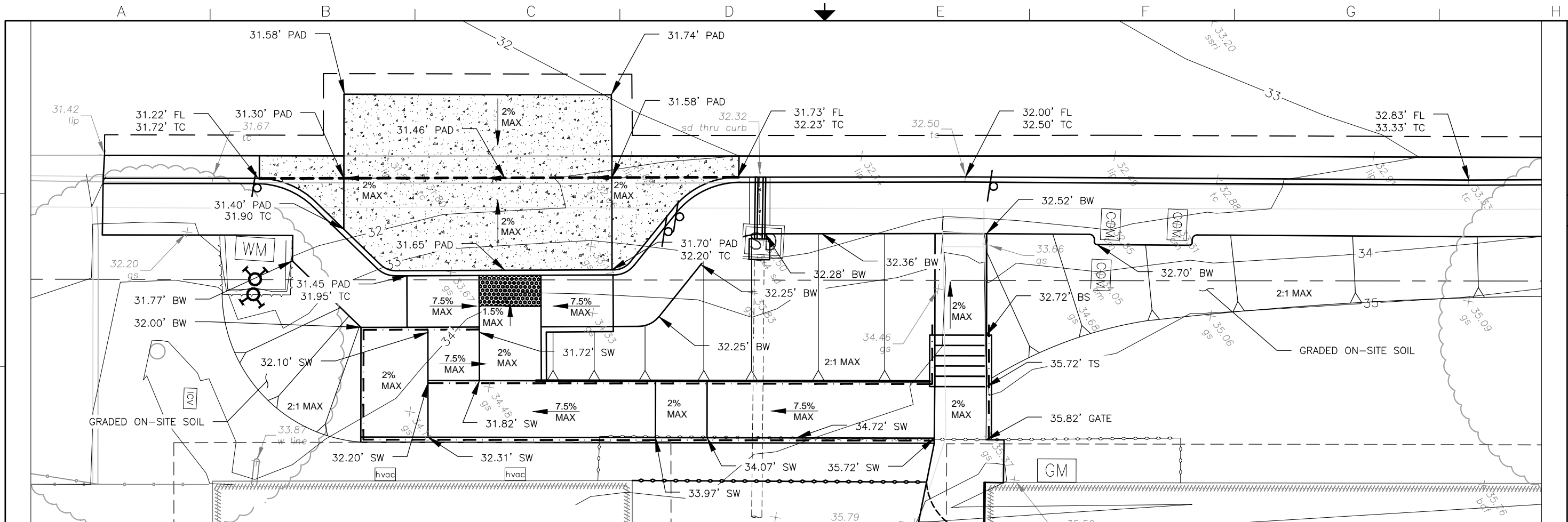


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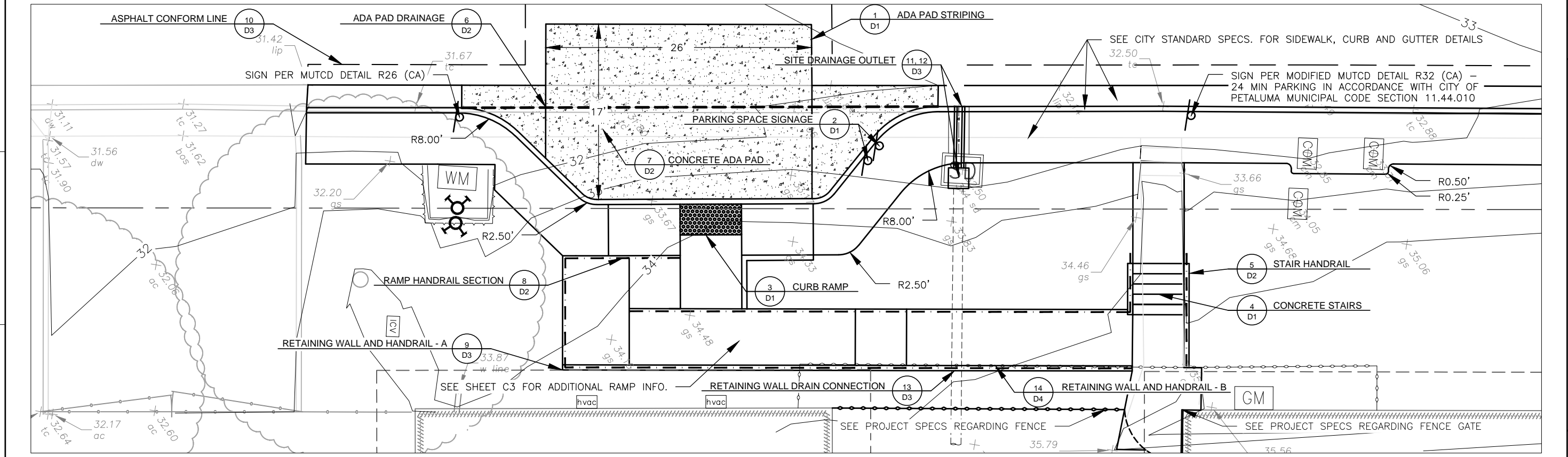
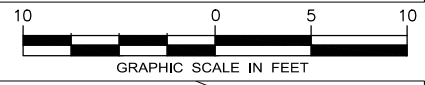
**TRANSIT CENTER ADA IMPROVEMENTS
555 NORTH MCDOWELL BLVD
DEMOLITION AND IMPROVEMENTS PLAN**

SHEET
C1
5 of 11



GRADING PLAN

APPROXIMATE SCALE 1 INCH = 10 FEET



DETAILS & SITE PLAN

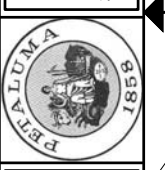
APPROXIMATE SCALE 1 INCH = 10 FEET

DATE: JANUARY 2020
 DESIGNED BY: JLS
 DRAWN BY: JLS
 CHECKED BY: KE

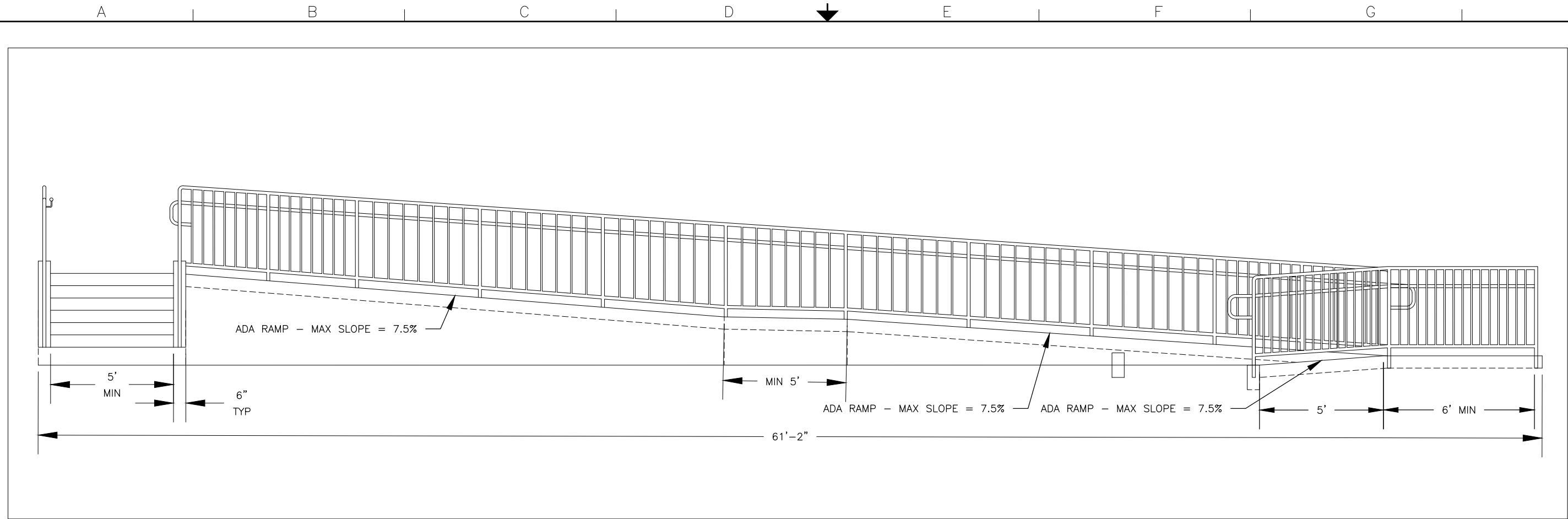
PROJECT NO.
 C65102009



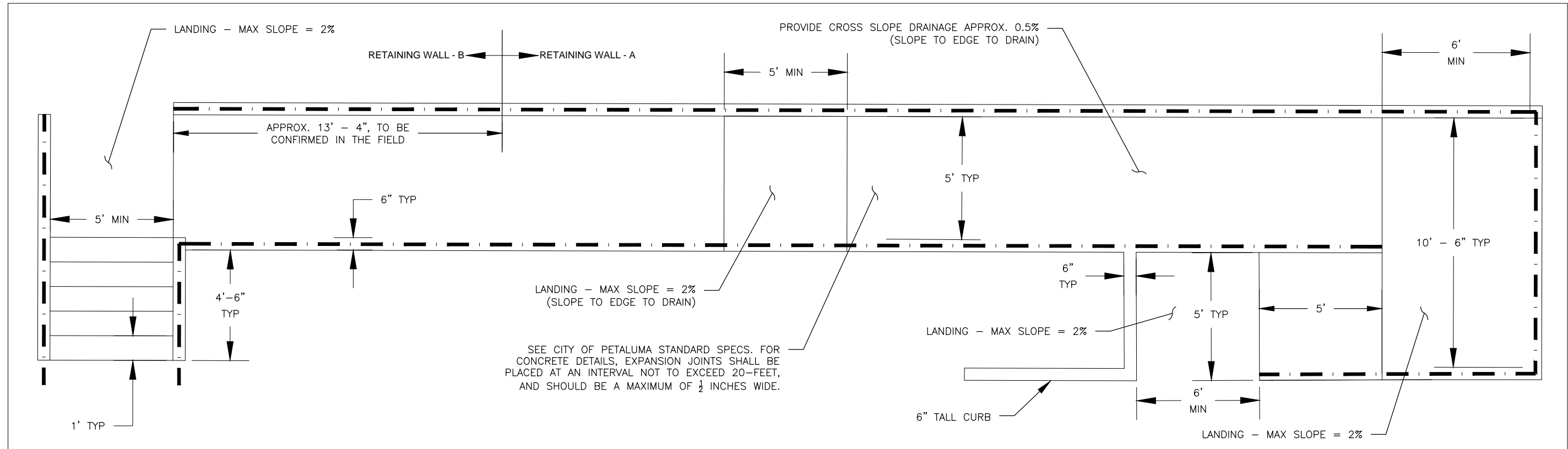
CITY OF PETALUMA
 PUBLIC WORKS & UTILITIES
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TRANSIT CENTER ADA IMPROVEMENTS
 555 NORTH MCDOWELL BLVD
 GRADING AND SITE PLAN



RAMP AND HANDRAIL SECTION (PROFILE)
NO SCALE



RAMP SECTION (PLAN)
NO SCALE

DATE: JANUARY 2020
DESIGNED BY: JLS
DRAWN BY: JLS
CHECKED BY: KE

PROJECT NO.
C65102009

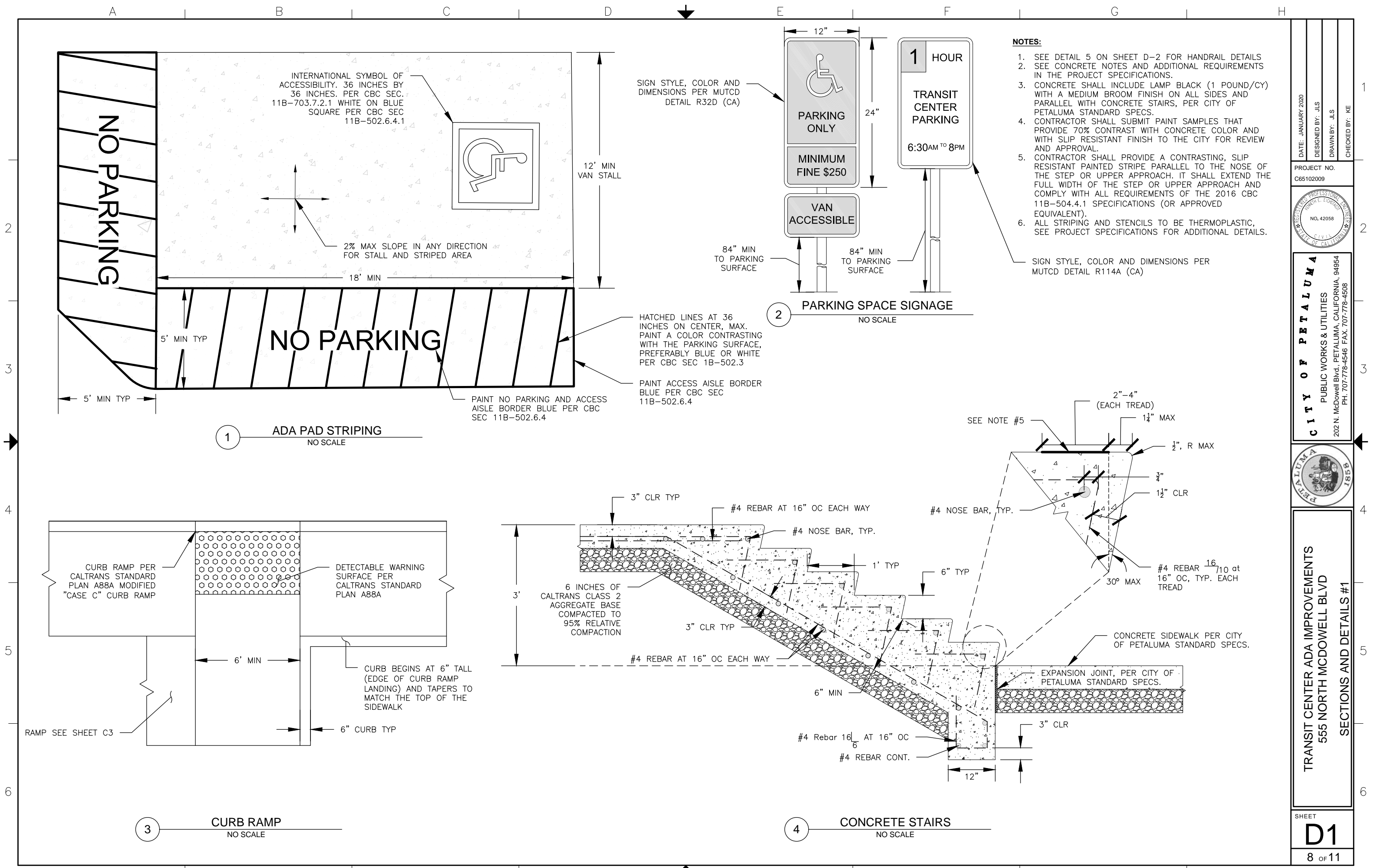


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TRANSIT CENTER ADA IMPROVEMENTS
555 NORTH MCDOWELL BLVD
ADA RAMP PLAN AND PROFILE

SHEET
C3
7 of 11



DATE: JANUARY 2020
 DESIGNED BY: JLS
 DRAWN BY: JLS
 CHECKED BY: KE

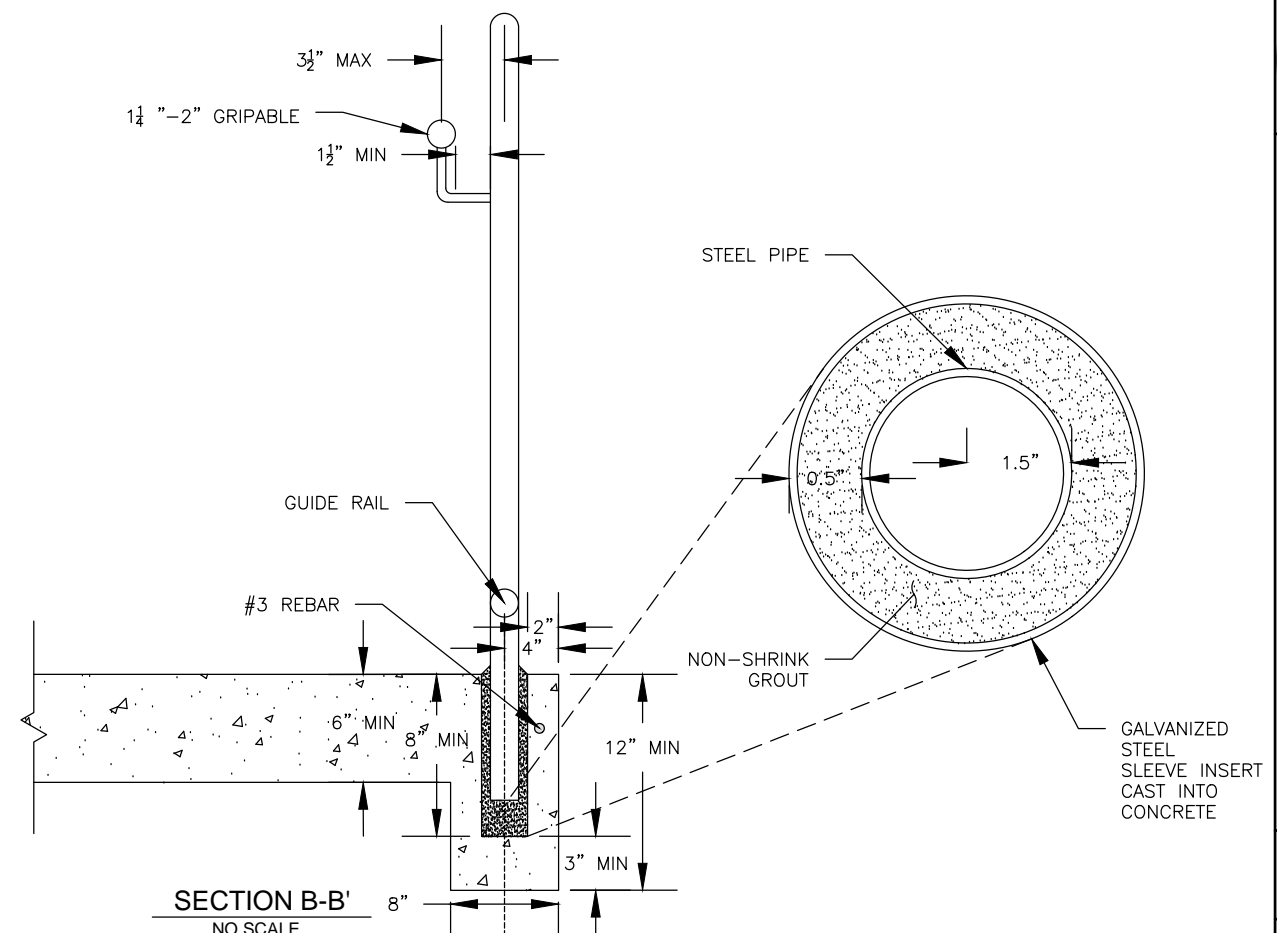
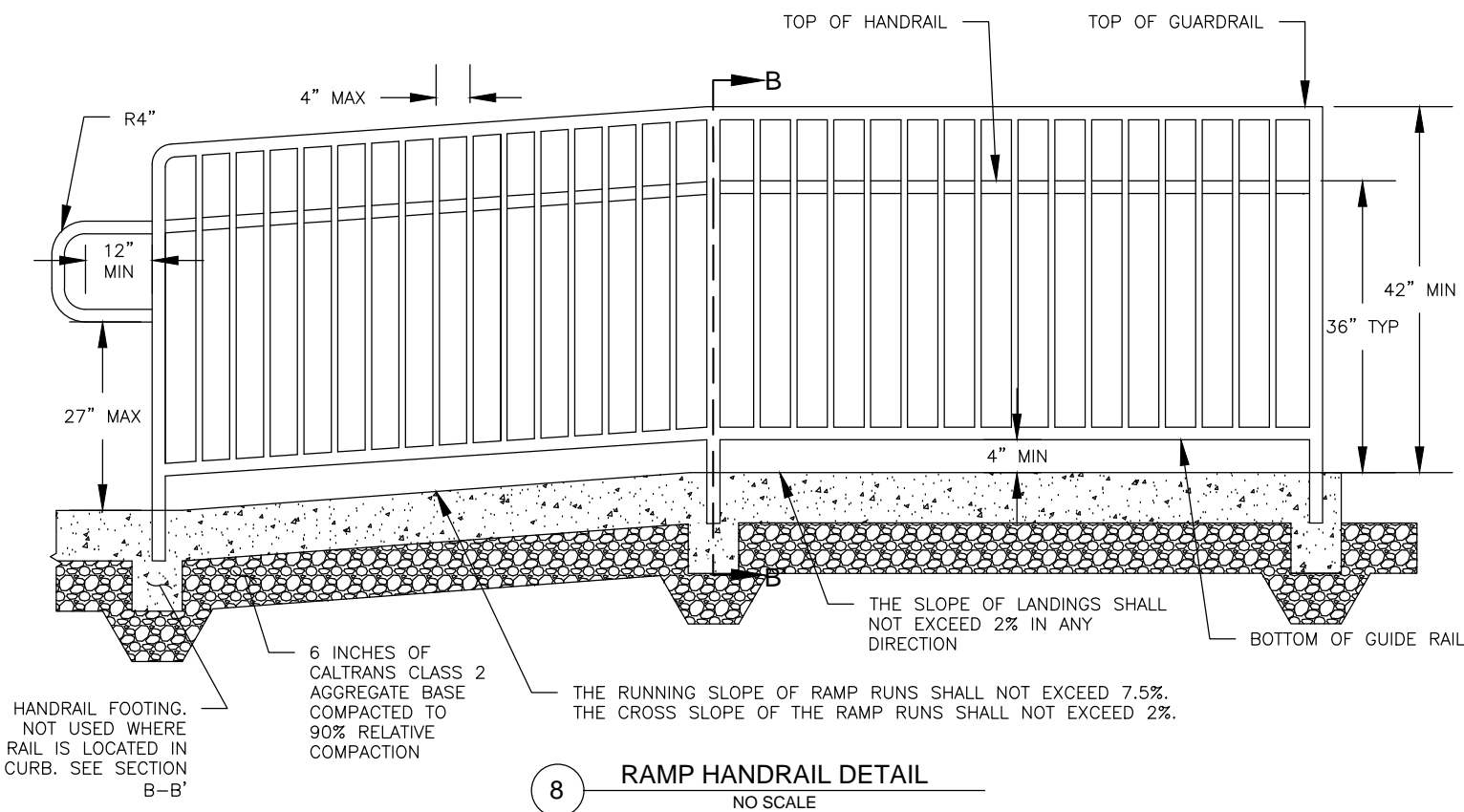
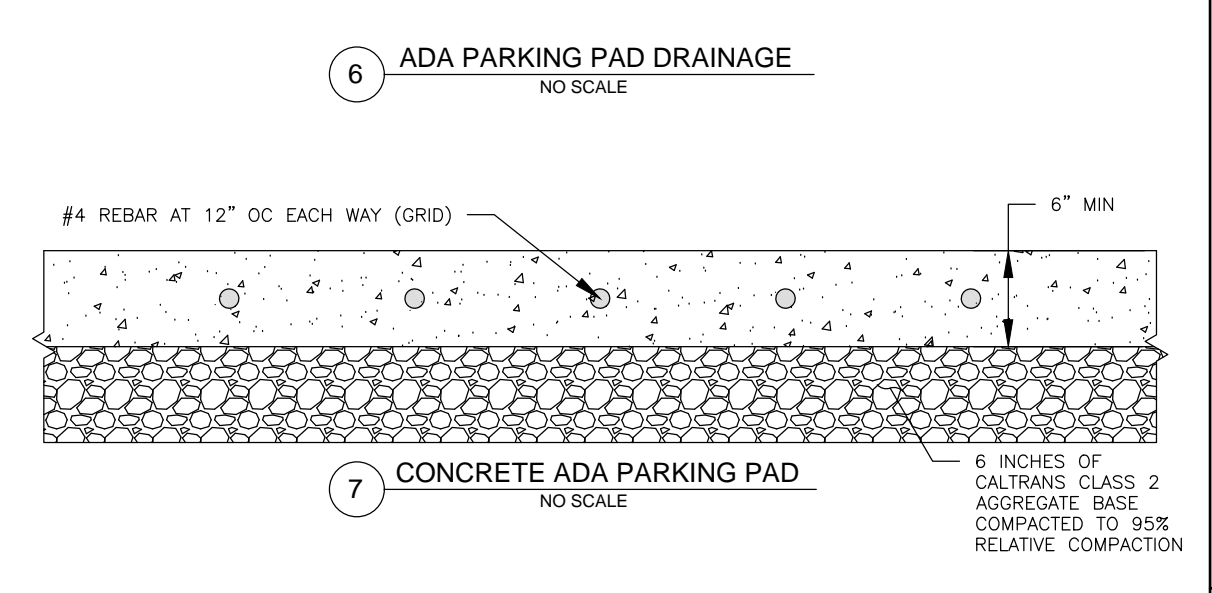
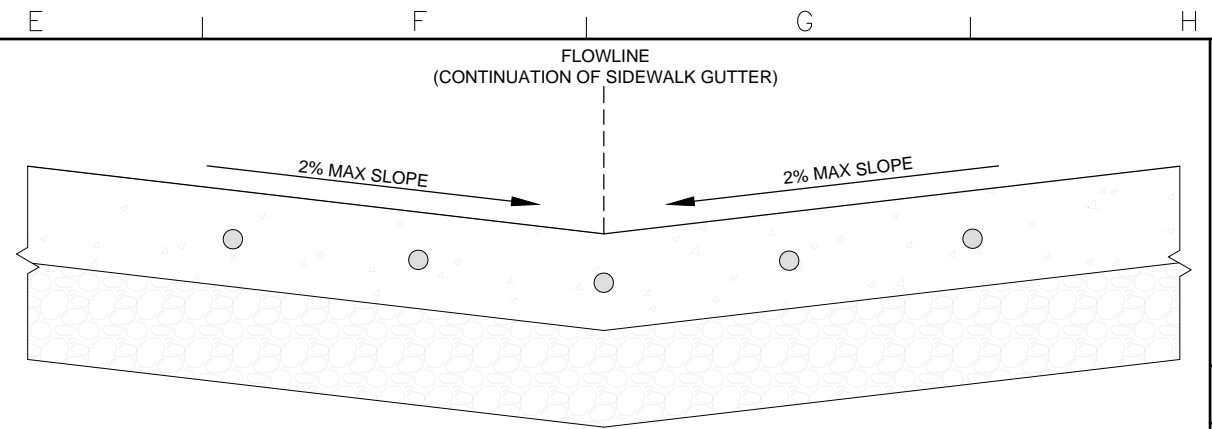
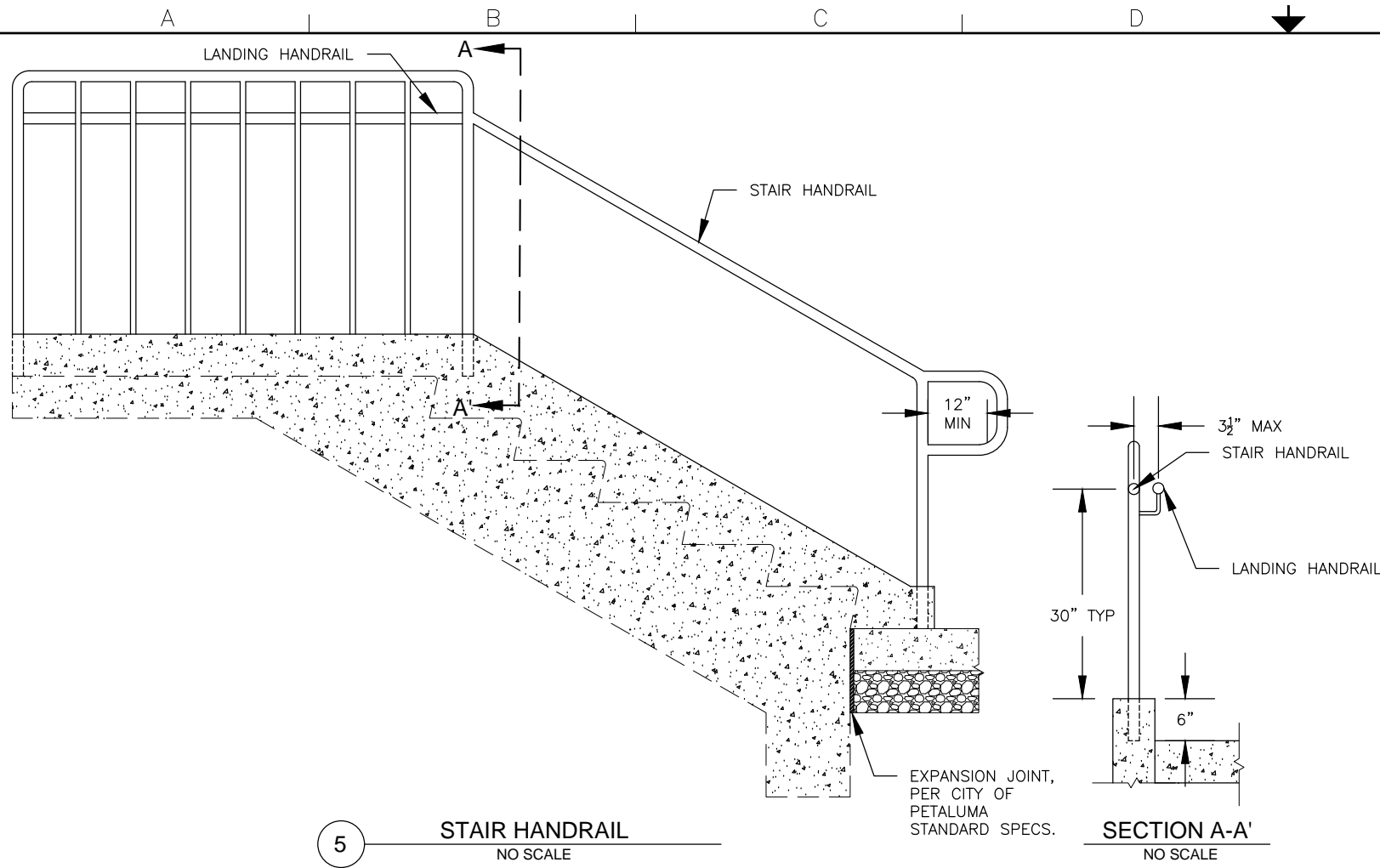
PROJECT NO.
 C65102009



CITY OF PETALUMA
 PUBLIC WORKS & UTILITIES
 202 N. McDowell Blvd., PETALUMA, CALIFORNIA, 94954
 PH. 707-778-4546 FAX. 707-778-4508



TRANSIT CENTER ADA IMPROVEMENTS
 555 NORTH MCDOWELL BLVD
SECTIONS AND DETAILS #1



DATE: JANUARY 2020
DESIGNED BY: JLS
DRAWN BY: JLS
CHECKED BY: KE

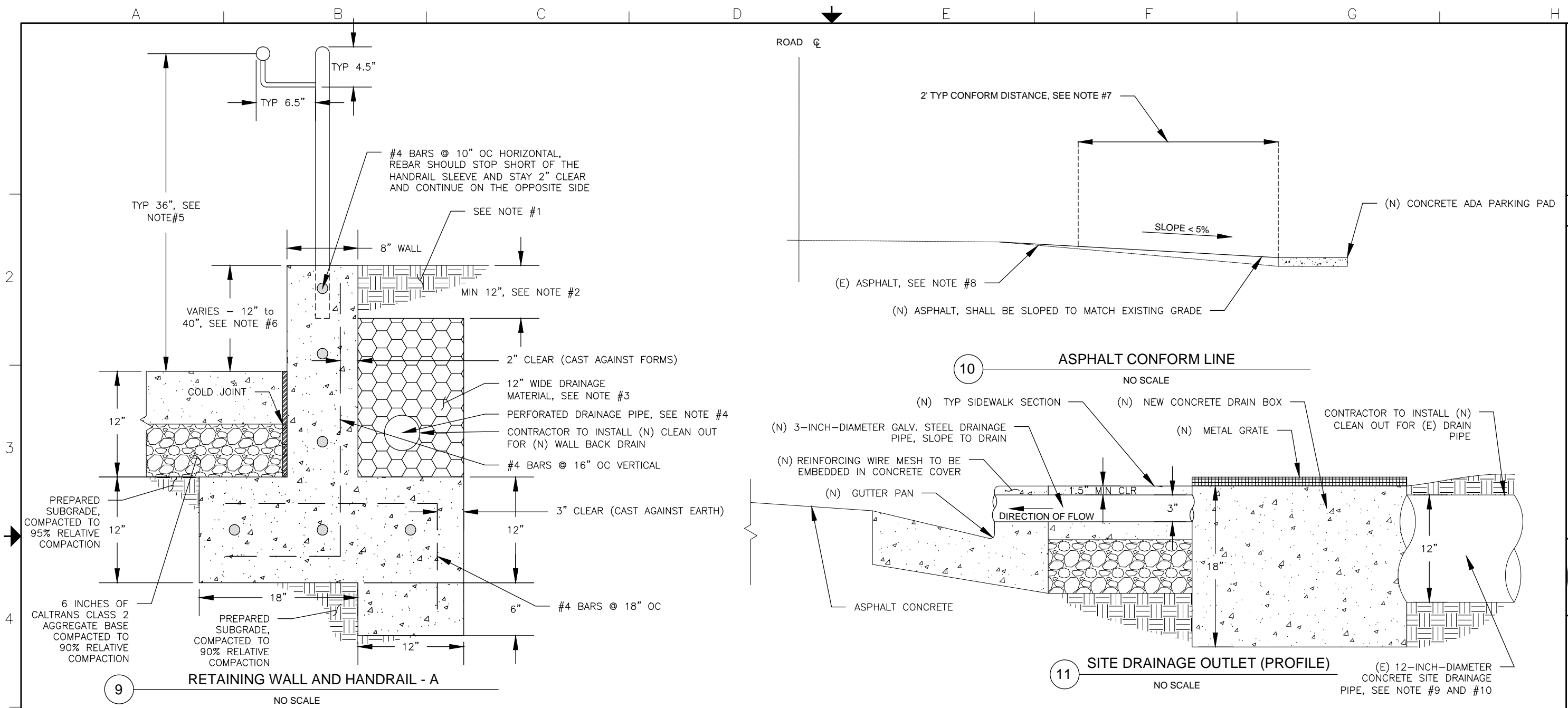
PROJECT NO.
C65102009

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PETALUMA
1858

TRANSIT CENTER ADA IMPROVEMENTS
555 NORTH MCDOWELL BLVD
SECTIONS AND DETAILS #2

SHEET
D2
9 of 11



- NOTES:**
- PER CBC 1803.3 THE GROUND ADJACENT TO A BUILDING FOUNDATION MUST SLOPE AWAY FROM THE BUILDING AT 5% MINIMUM FOR AT LEAST 10 FEET, OR TO APPROVED ALTERNATIVE METHOD FOR DIVERTING WATER AWAY. IMPERVIOUS SURFACE WITHIN 10' OF FOUNDATION MUST BE SLOPED 2% MINIMUM.
 - 1' COMPACTED FILL (90% RELATIVE COMPACTION) SOIL CAP SHALL BE MOISTURE CONDITIONED TO NEAR OPTIMUM MOISTURE CONTENT AND COMPACTED IN 8" MAXIMUM LIFTS TO PROVIDE AN IMPERMEABLE BARRIER TO SURFACE WATER INFILTRATION.
 - MINIMUM 12" WIDE, CRUSHED ROCK OR DRAIN ROCK WRAPPED IN AN APPROVED NON-WOVEN GEOTEXTILE. A MINIMUM OF 2" MUST BE BELOW THE DRAINAGE PIPE AND 6" MINIMUM ABOVE THE PIPE. DRAIN ROCK SHALL BE CALTRANS CLASS 1 TYPE A PERMEABLE MATERIAL.
 - MINIMUM 4" SCHEDULE 40 PVC PERFORATED PIPE, HOLES PLACED DOWN, SLOPED AT 2% MINIMUM TO AN APPROVED OUTLET LOCATION. CLEANOUTS SHALL BE PROVIDED AS NECESSARY. ALL 90° BENDS SHALL BE MADE WITH (2) 40° PIECES OR ADDITIONAL CLEANOUTS MAY BE REQUIRED.
 - WHERE THE WALL HEIGHT EXCEEDS THE HEIGHT OF THE HANDRAIL, THE HANDRAIL MAY BE FIXED ON THE WALL.
 - WHERE A RETAINED SOIL HEIGHT OF LESS THAN 12" TAKES PLACE THE DETAIL ABOVE CAN BE SUPPORTED BY A MODIFIED FOOTING.
 - CONTRACTOR TO CONFIRM CONFORM DISTANCE WITH THE CITY.
 - CONTRACTOR TO GRIND/REMOVE FULL DEPTH OF AC WITHIN CONFORM AREA, EXISTING AC SHALL BE SAW CUT AT THE EDGE OF THE NEW CONFORM.
 - CONTRACTOR TO CONFIRM EXISTING DRAIN PIPE LOCATION, SIZE AND MATERIAL PRIOR TO CONNECTION AND CONFIRM WITH CITY.
 - DURING INSTALLATION OF NEW CONCRETE DRAIN BOX, CONTRACTOR TO CUT EXISTING PIPE AND GROUT SEAL CONNECTION OF EXISTING PIPE TO THE NEW DRAIN BOX.
 - CONTRACTOR WILL NEED TO DRILL A HOLE INTO THE EXISTING CONCRETE DRAIN PIPE TO ACCEPT NEW WALL DRAIN LINE.
 - GALVANIZED STEEL PIPES SHALL BE WRAPPED IN PVC 10 MIL. TAPE. NO PORTION OF THE PIPES SHALL BE EXPOSED TO CONCRETE.

DATE: JANUARY 2020	DESIGNED BY: JLS	DRAWN BY: JLS	CHECKED BY: KE
PROJECT NO. C65102009			
CITY OF PETALUMA PUBLIC WORKS & UTILITIES 202 N. McDowell Blvd., PETALUMA, CALIFORNIA, 94954 PH. 707-778-4546 FAX. 707-778-4508			
TRANSIT CENTER ADA IMPROVEMENTS 555 NORTH MCDOWELL BLVD SECTIONS AND DETAILS #3			
SHEET D3			
10 of 11			

A B C D E F G H

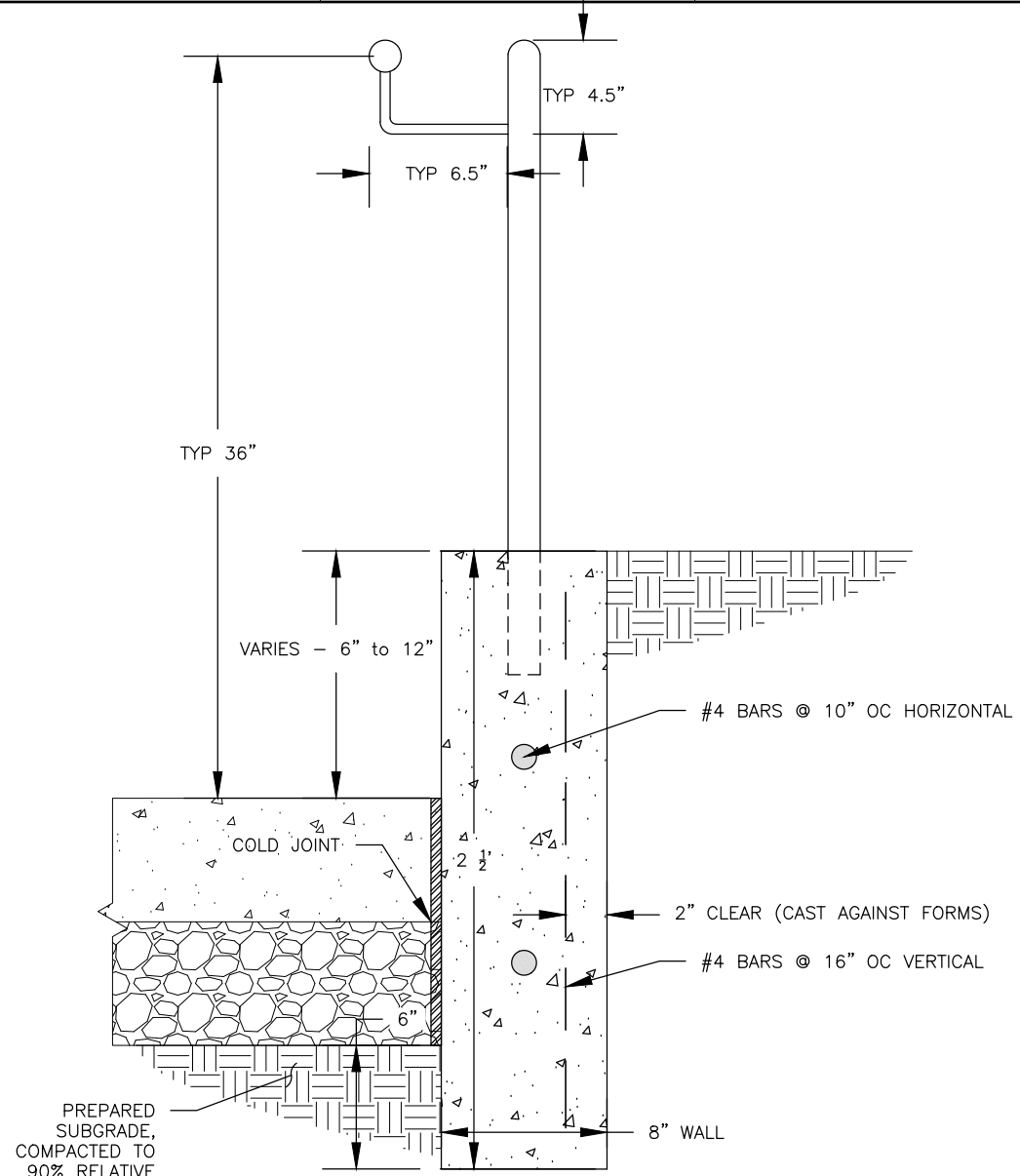
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3

4

5

6



14

RETAINING WALL AND HANDRAIL - B

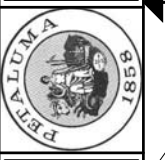
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DATE: JANUARY 2020
 DESIGNED BY: JLS
 DRAWN BY: JLS
 CHECKED BY: KE

PROJECT NO.
 C65102009



CITY OF PETALUMA
 PUBLIC WORKS & UTILITIES
 202 N. McDowell Blvd., PETALUMA, CALIFORNIA, 94954
 PH. 707-778-4546 FAX. 707-778-4508



TRANSIT CENTER ADA IMPROVEMENTS
 555 NORTH MCDOWELL BLVD
 SECTIONS AND DETAILS #4

SHEET
D4
 11 of 11

1

2

3

4

5

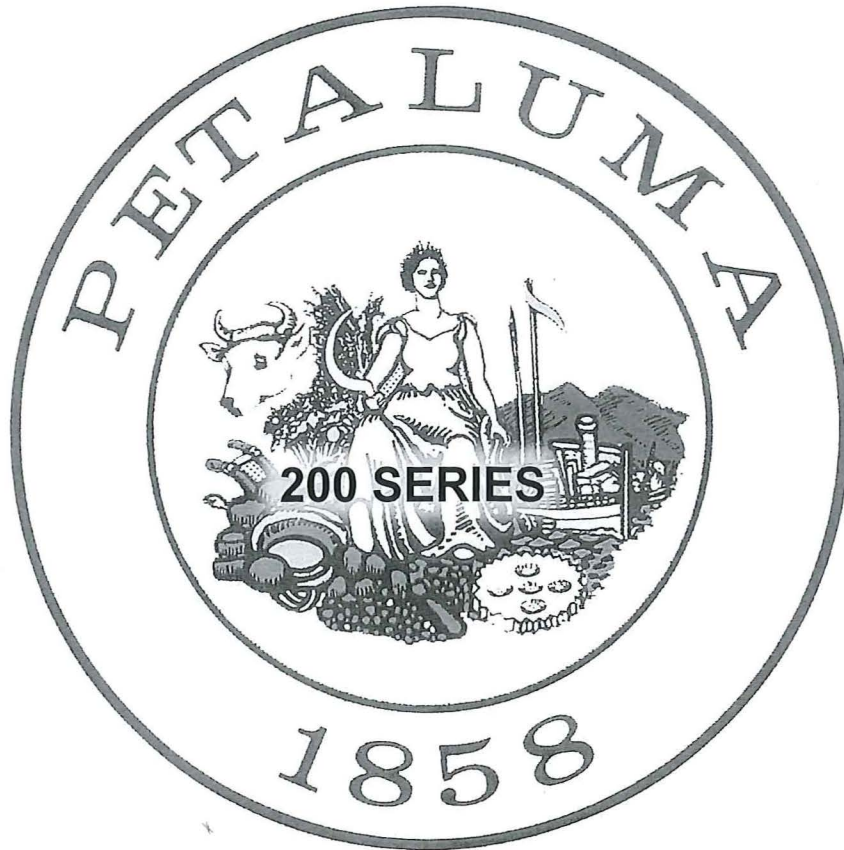
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A B C D E F G H

SECTION VIV
SELECTED CITY STANDARDS

Streets

- Design and Construction Standards
- Street Construction Detail
Specification No. 41



City of Petaluma - Sonoma County - California
Public Works & Utilities
202 North McDowell Boulevard
Petaluma, CA 94954

APPROVED BY: _____

Kent R. Carothers, P.E., Operations Manager

Date

1/8/19

City of Petaluma

Department of Engineering

Street Standards

Design and Application Guidelines

DESIGN FEATURE	ARTERIAL	COLLECTOR	RESIDENTIAL	MINOR (5) RESIDENTIAL	REDUCED (5) MINOR RESIDENTIAL
Lanes					
Number	4	2	2	2	2
Lane Width	12'-0"	12'-0"	10'-0"	9'-0"	8'-0"
Median Width	10'-0" - 20'-0"	8'-0" - 16'-0"	8'-0" - 12'-0"	6'-0" - 9'-0"	6'-0" - 9'-0"
Left Turn Lane	YES	NO (1)	NO	NO	NO
Right Turn Lane	YES	NO	NO	NO	NO
Bicycle Lane	YES	NO (2)	NO	NO	NO
Parking	YES	NO	YES	YES	NO
Design Speed (M.P.H.)	45	35	25	20	15
Center Line Radius	1000'-0"	500'-0"	250'-0"	125'-0"	(3)
Intersection Spacing (4)	350'-0"	200'-0"	150'-0"	125'-0"	N/A
Sidewalks	YES	YES	YES	YES	YES
Width	6'-0"	5'-0"	5'-0"	5'-0"	5'-0"
Planter	YES	YES	YES	YES	YES
Width	8'-0"	7'-0"	6'-0"	6'-0"	6'-0"
Access	LIMITED	YES	YES	YES	YES
Dwellings Served	N.A.	400	200	100	20
Traffic Volumes Average Daily Traffic (A.D.T.)	6,000 - 25,000	2,000 - 6,000	Less than 2,000	Less than 1,000	Less than 200
Continuity	1-Mile	1-2-Mile	N.A.	N.A.	N.A.

NOTES

1. Left turn lanes may be considered at intersections to Arterial Streets.
2. Bicycle Lanes may be considered near schools and Connectors to other Bicycle Elements.
3. Centerline Radius to be considered at time of Design
4. Minimum Acceptable
5. Driveway locations to be staggered with driveways on opposite side of the Street

CITY OF PETALUMA Department of Engineering

22 Bassett Street - Petaluma California 94952
707 778 4304 - Fax 707 778 4437

Approved By

Thomas S. Haugs
Thomas S. Haugs - R.C.E. 2252

STREET STANDARDS

Design and Application
Guidelines

Drawn By

Butch Smith

Scale

N T S

Date

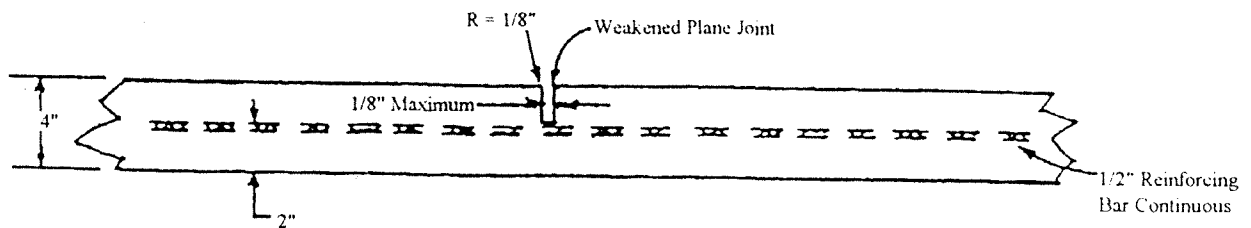
March 28th 1997

File Name


SSD 00000 201 1 1 1 1

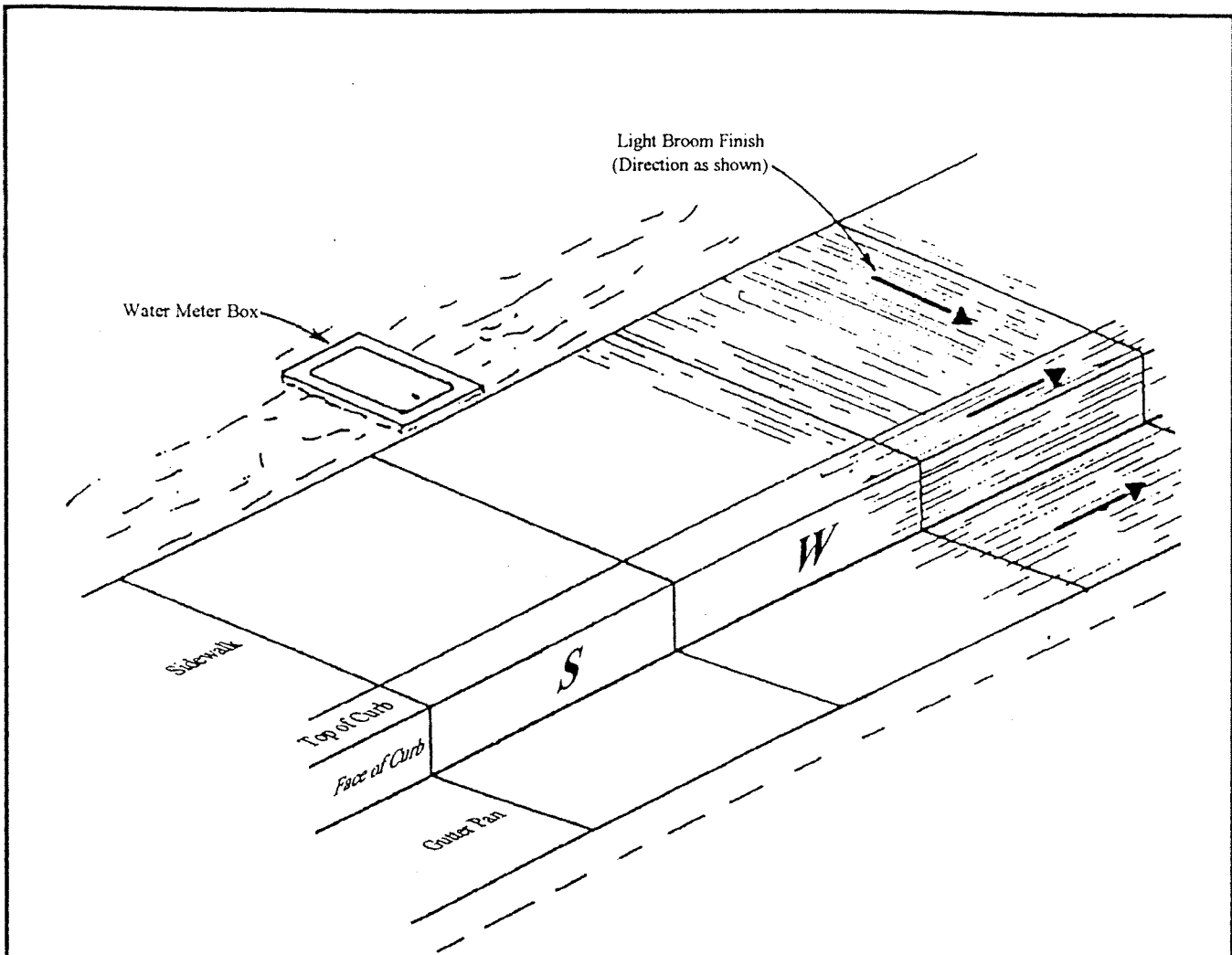


1. Compact all Subgrade to Minimum of 92% at 4 to 6 Percentage Points over opt. Moisture. (See STANDARD DETAIL SPECIFICATION NO. 41, Section 202.2)
2. Flowline of Gutter is 2" below the Lip of Gutter and 2-1/4" below the Edge of Pavement.
3. Top of Curb is 6" above Flowline; i.e. 6" Curb Height.
4. Concrete to be Class "B" (5-Sack per Cubic Yard) with 2" to 4" Slump. If placed by *Extrusion Machine*, concrete to be Class "B" (5-1/2-Sack per Cubic Yard) (See STANDARD DETAIL SPECIFICATION NO.41 Section 202.8)
5. Monolithic Curb, Gutter and Sidewalk require 1/4" *Premold Joint Filler* and 4-1/2" x 20" Smooth Steel Dowels every 60'-0", 4-1/2" rebars between Expansion Joints are required where soil is expansive (Adobe-Clay). If placed by *Extrusion Machine*, *Premold Joint filler* not required. Machine pours require 4-1/2" Smooth Steel Dowels at Cold Joints. **NO** other Steel required.
6. Use 1/2 Pound of *Lampblack* per Cubic Yard of Mix.
7. Weakened Plane Joints in Curb, Gutter and Sidewalk shall be placed of intervals not to exceed 20-Fect, the Removable Joint forming Plates shall extend through not less than the upper 1/2" (1-3/4") of Sidewalks and completely through the Curb to at least 2-3/4" below the top of the Gutter.
8. Aggregate under Curb, Gutter, Sidewalk and Driveways shall be 3/4" Maximum size Class 2 Aggregate Sub-Base or 3/4" maximum size Class 2 Aggregate Base.
9. If Calcium Chloride is to be added to the Concrete (**On Approval Only**), 1/2 Sack Cement per Cubic Yard shall be added for each 1% of Calcium Chloride (CC) not to exceed 2%.



PROFILE - WEAKENED PLANE JOINT

<p>CITY OF PETALUMA Department of Engineering 22 Bassett Street - Petaluma California 94952 707 778-4304 - Fax 707 778-4317</p> <p>Approved By: <i>Thomas S. Hurgis</i> Thomas S. Hurgis - E.C.E. 22366</p>	<p>Standard Details CURB, GUTTER SIDEWALK General Notes</p>	<p>Drawn By Butch Smith Scale N T S Date September 4th 1996 File Number Std Det SSD0000 202 1 of 2</p>	 <p>Page 202</p>
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NOTE:

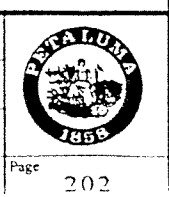
1. The Face of Curb shall be stamped with the appropriate letter, directly over the service lateral.
 "S" = Sanitary Sewer Lateral
 "W" = Water Service Lateral
 "D" = Utility Duct Crossing
 "B" = Water Blow-off behind Sidewalk.
2. Stamps shall be constructed such that they will leave a clear, clean impression at least 3/16" in depth. Letters shall be a minimum of 3-1/2" high but no more than 4" Scratching in letters with sticks or other object will not be allowed.
3. It will be the responsibility of the Underground Contractor to visibly reference all service laterals prior to pouring curb, gutter, and sidewalk.

CITY OF PETALUMA
 Department of Engineering
 22 Bassett Street - Petaluma California 94952
 707 778 4304 - Fax 707 778 4437

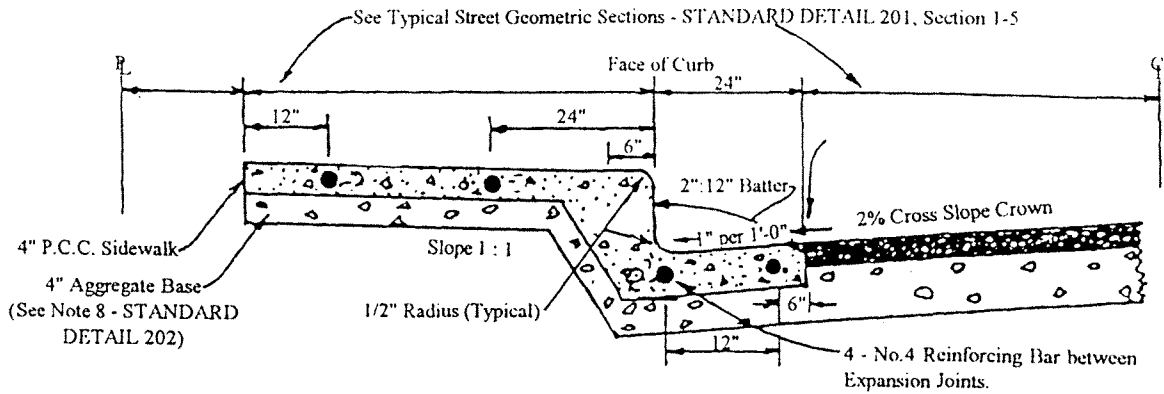
Approved By
Thomas S. Harts
 Thomas S. Harts R.C.E. 22366

Standard Details
CURB MARKINGS
 FOR
 Service Laterals

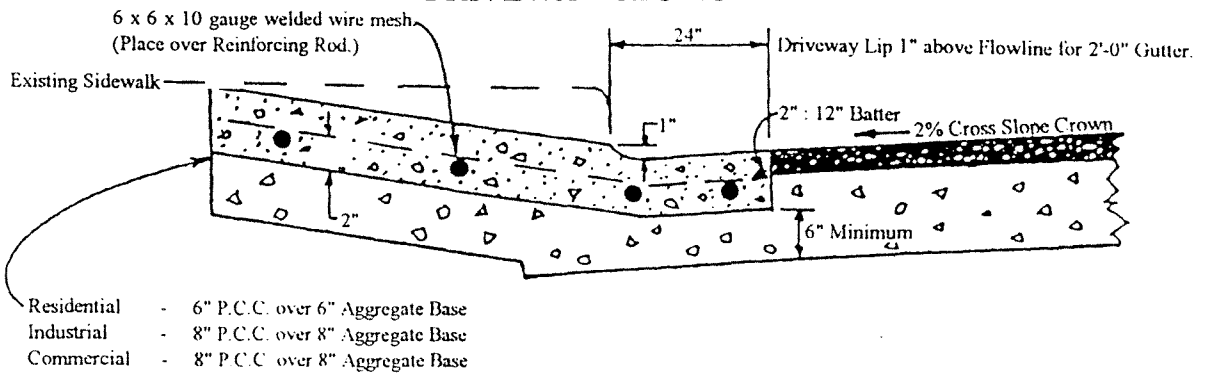
Drawn By
 Butch Smith
 Scale
 N T S
 Date
 October 30th 1996
 File Number
 Std Det SSD0000 202 2 of 2



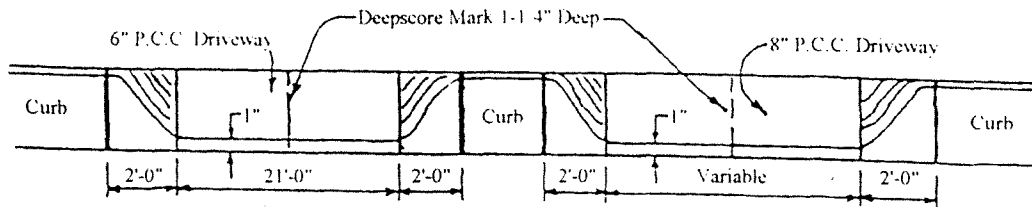
SIDEWALK SECTION



DRIVEWAY SECTION



RESIDENTIAL DRIVEWAY



1/4" Expansion Joint each end of Driveway
(See STANDARD DETAIL 202 Note 5)

COMMERCIAL DRIVEWAY

CITY OF PETALUMA
Department of Engineering

22 Bassett Street - Petaluma California 94952
707 778 4304 - Fax 707 778 4433

Approved By

Thomas S. Harris

Thomas S. Harris - R.C.E. 2236

Standard Details
**CURB, GUTTER
SIDEWALK
DRIVEWAY**
X-Section

Drawn by

Butch Smith

Scale:
N T S

Date

February 9th 1997 (Rev)

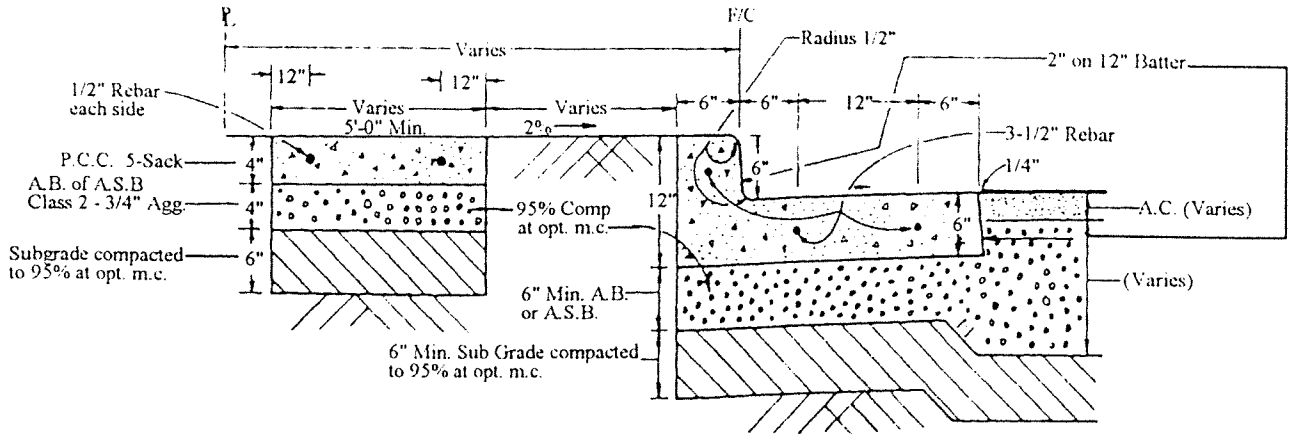
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SEE STANDARD DETAIL 201, SECTION 1-5



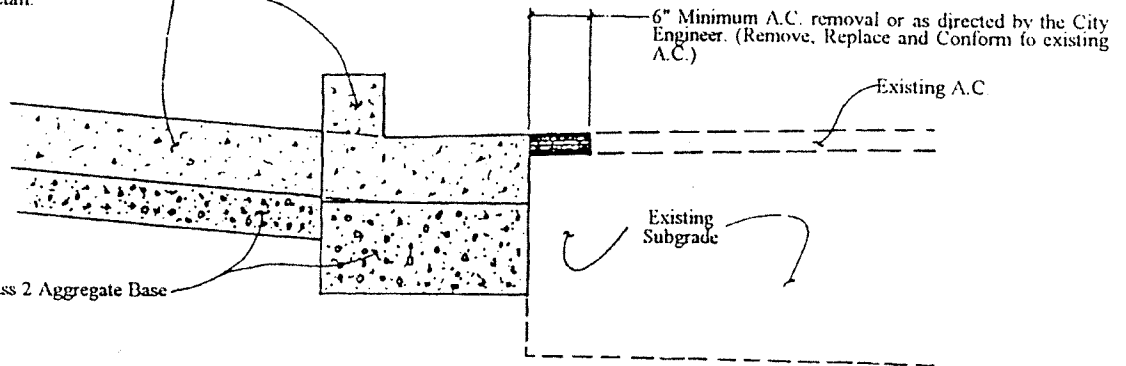
Page

203



**SIDEWALK, CURB AND GUTTER SECTION
WITH PLANTER STRIP "NEW"**

"New" curb and gutter and or driveway approach as per City Standard Detail.



**STREET CONFORM DETAIL
AT NEW CURB AND GUTTER OR DRIVEWAYS**

NOTES:

LANDSCAPED AREAS

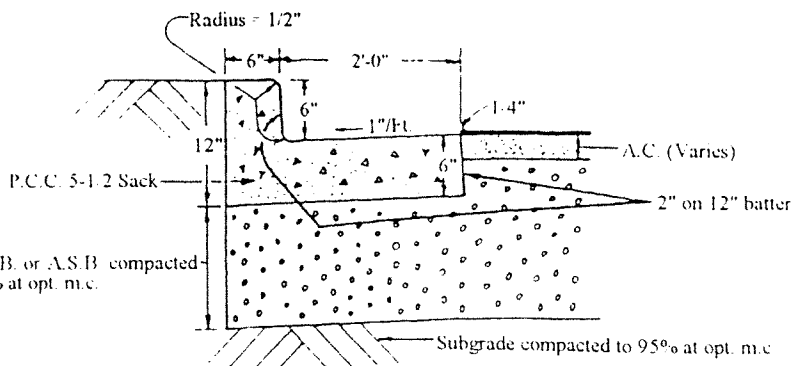
Try to save all plants, plants damaged or removed by the contractor shall be replaced "in Kind" at the contractor's expense.

For additional details, See 1984 CALTRANS Standard Plans, Page 85 and 86, drawings N8B and N8C.


1. Expansion joints are required at 60'-0" intervals with 3 each 1/2" diameter Rebar Dowels.
2. Scarify all subgrade and compact to 95% at opt. m.c.
3. Compact A.S.B. or A.B. to 95% at optimum moisture content.
4. Refer to Sidewalk Section.

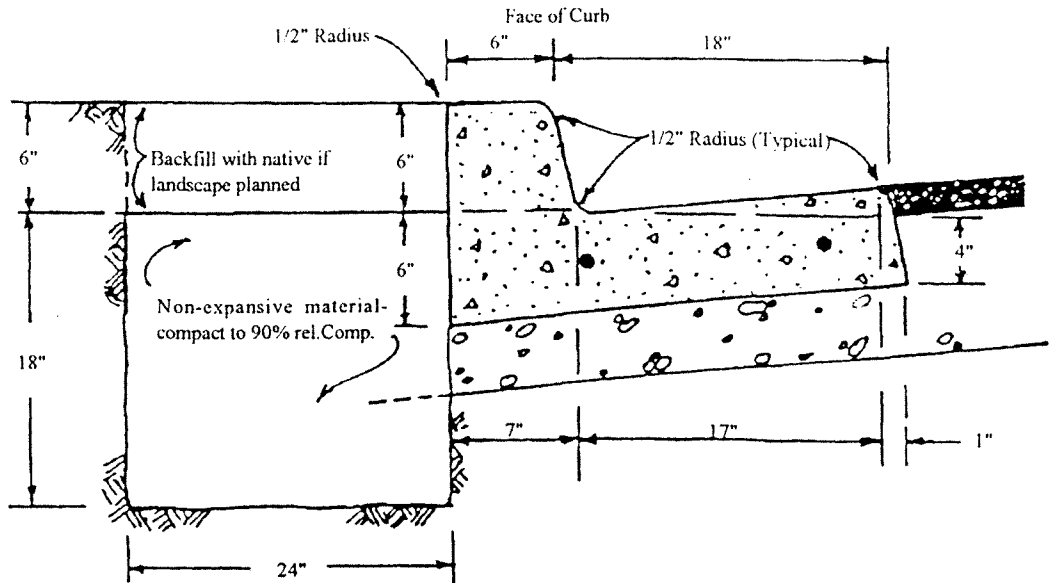
For expansive soils See City Standard Detail Specification No. 41 paragraph 4102.2 relative compaction

Contractor must remove a minimum of 6" of A.C. or more at the discretion of the Director of Engineering to form for curb and gutter or Driveway approach.

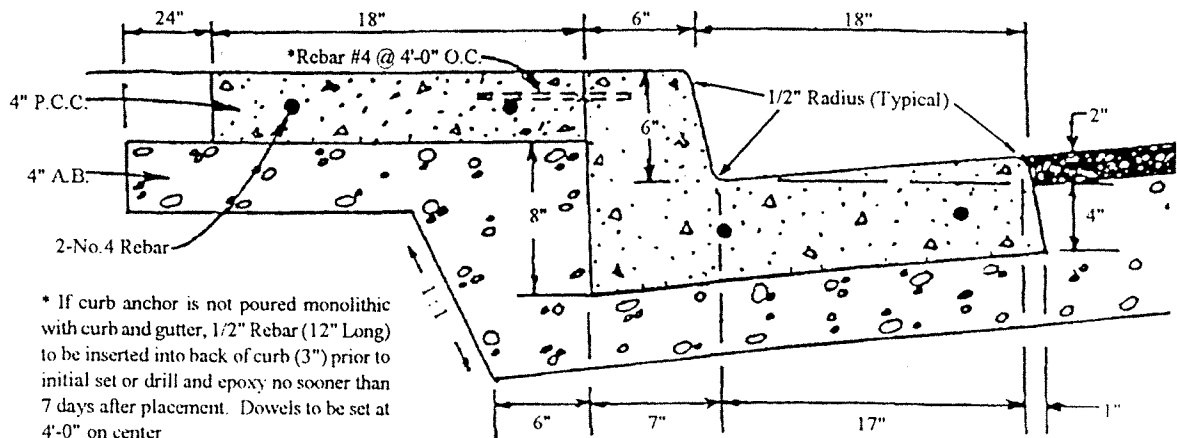


P.C.C. PLACEMENT BY EXTRUSION MACHINE

<p>CITY OF PETALUMA Department of Engineering 22 Bassett Street Petaluma California 94952 707 778 4304 Fax 707 778 4437</p> <p>Approved By <i>Thomas A. Haggis</i> Thomas A. Haggis R.C.E. 62566</p>	<p>Standard Details MISC. "NEW" CONSTRUCTION Sections</p>	<p>Drawn By Butch Smith Scale N. T. S. Date February 9th 1998 (Rev.) File Number Std Det SSD00000 201 2 61 2</p>	<p> Page 2 of 3</p>
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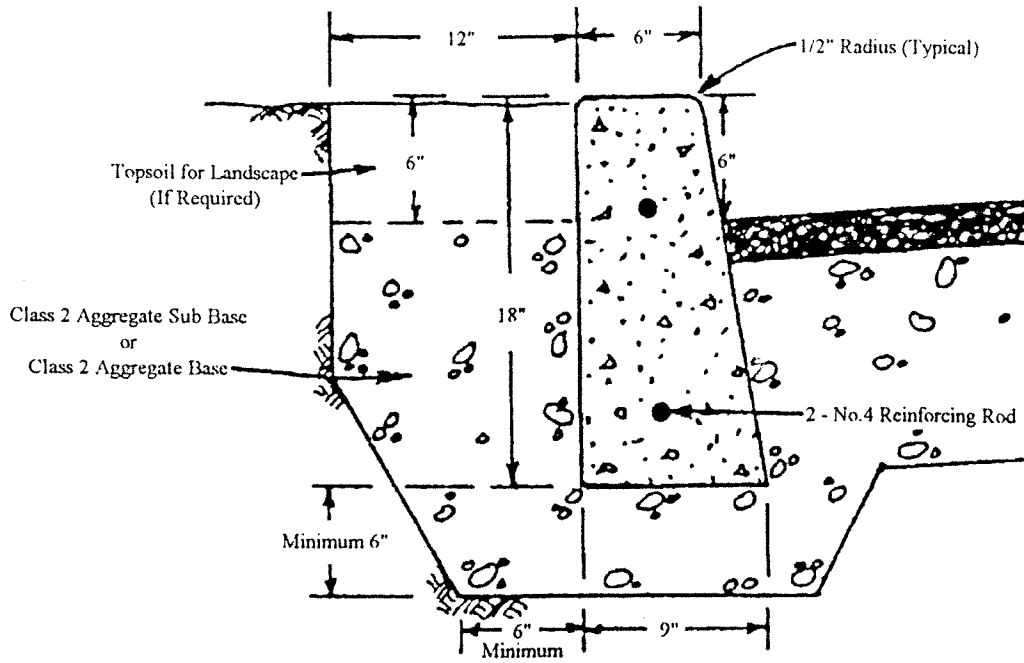
STANDARD CURB AND CUTTER
with Non-expansive Backing



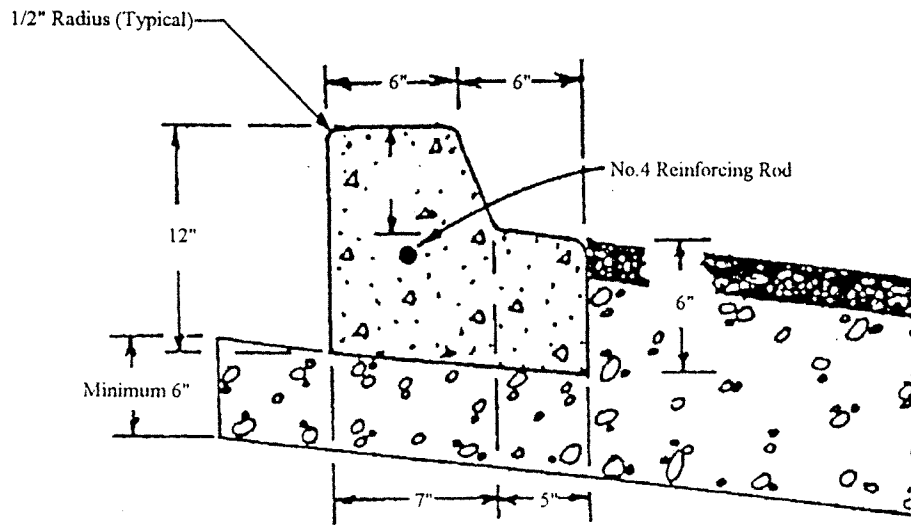
STANDARD CURB AND CUTTER
with Curb Anchor

NOTE:

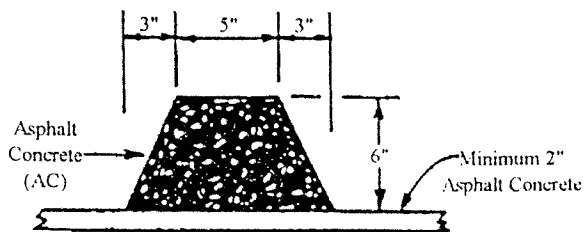
1. Curb and gutter with non-expansive backing or with curb anchor are optional or as directed by the Engineer.
2. Placement of Curb Anchor shall conform to STANDARD DETAIL 203 and DETAIL SPECIFICATION 41, Section 202.8.
3. If non-expansive material is to be used, the Engineer may require testing by an accredited soils lab prior to placement. Sand or pea gravel will not be allowed



VERTICAL CURB



CURB AND FALL AWAY GUTTER



ASPHALT CONCRETE CURB

NOTE:

Asphalt concrete curb to be placed by an acceptable extrusion machine only.

CITY OF PETALUMA

Department of Engineering

22 Bassett Street - Petaluma California 94952
707 778 4304 - Fax 707 778 4437

Approved By

Thomas S. Hargis

Thomas S. Hargis R.C.E. 22366

Standard Details
CURB
&
GUTTER

Drawn By

Butch Smith

Scale

N.T.S.

Date

September 4th 1996

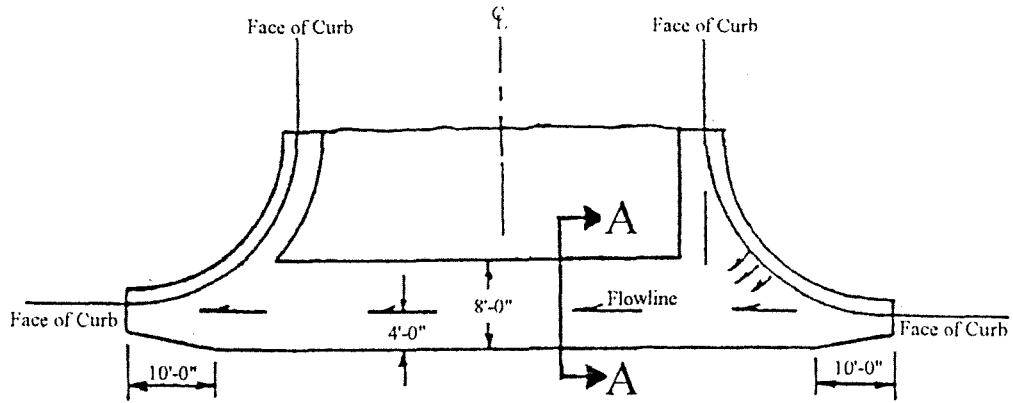
File Number

Std Det SSS0000 204 2 of 2

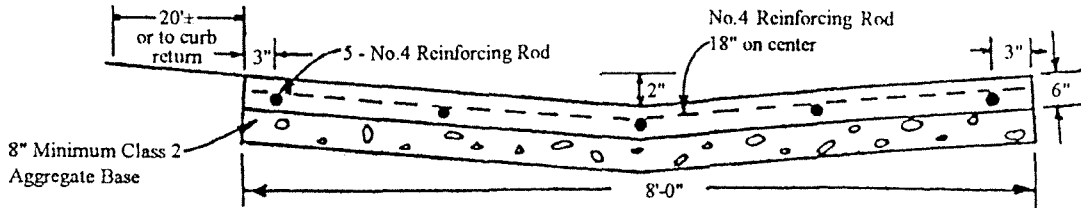


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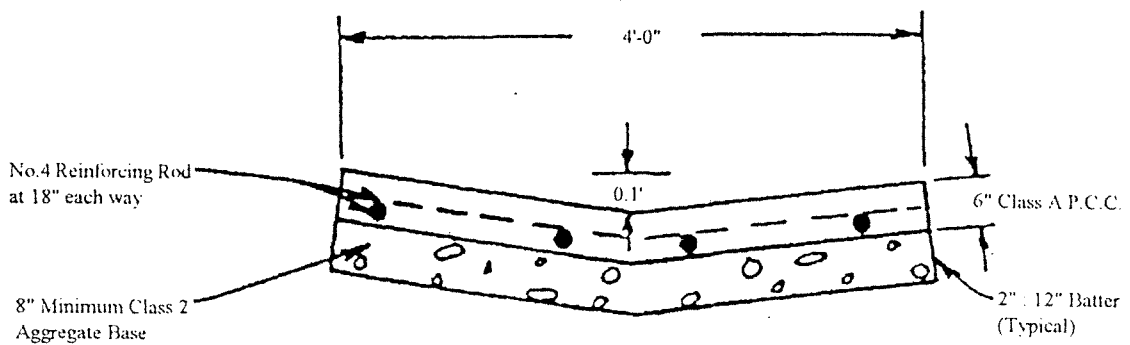
204



VALLEY GUTTER DETAIL



SECTION A-A
STANDARD VALLEY GUTTER DETAIL



VALLEY GUTTER MINOR CUL-DE-SAC

CITY OF PETALUMA
Department of Engineering

22 Bassett Street - Petaluma California 94952
707 778 4304 - Fax 707 778 4437

Approved By

Tom Harris

Thomas S. Harris - C.E. 22366

Standard Details
VALLEY
GUTTER

Drawn by
Butch Smith

Scale

N. T. S.

Date

September 4th 1996

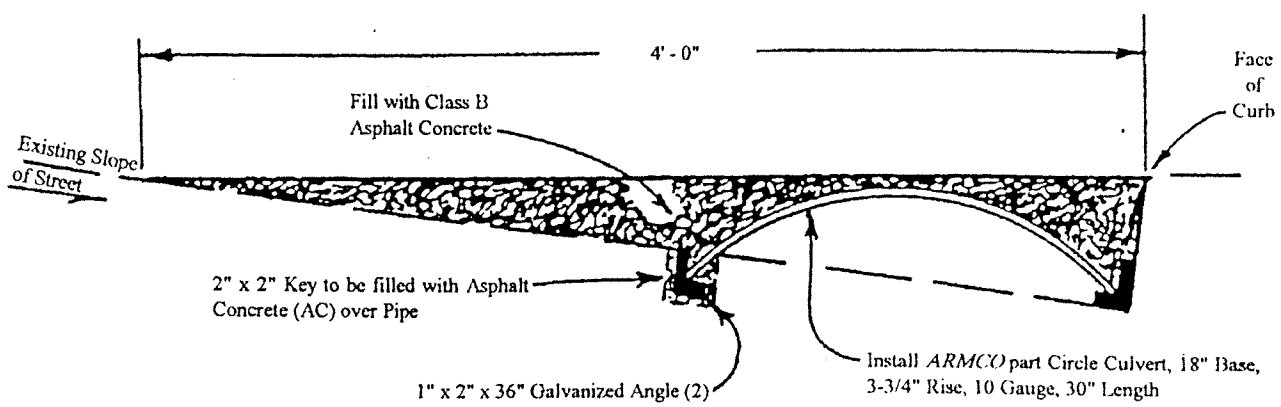
File Number

Std. Det. SSS0000 205



Page

205



PLAN VIEW

CITY OF PETALUMA
 Department of Engineering
 22 Bassett Street - Petaluma California 94952
 707 778 4304 Fax 707 778 4437

Approved By
John Hargis
 Thomas S. Hargis R.C.E. 22366

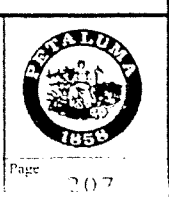
Standard Details
**DRIVEWAY
 CULVERT**
 High Crown Streets

Drawn By
Butch Smith

Scale
N.T.S.

Date
September 4th 1996

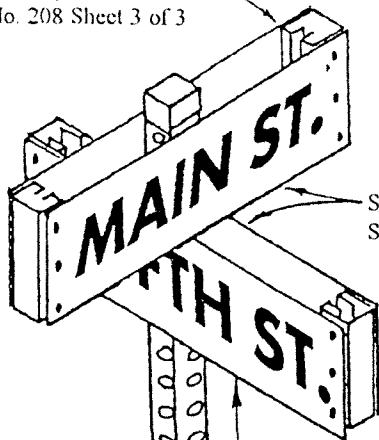
File Number
 Std. Det. 558-01-007



NOTE:

Use a Standard 30" STOP Sign with 8" Minimum letters
 Use "SCOTCHLITE" reflective State Hwy. Sign R1

See City Standard Detail
 No. 208 Sheet 3 of 3

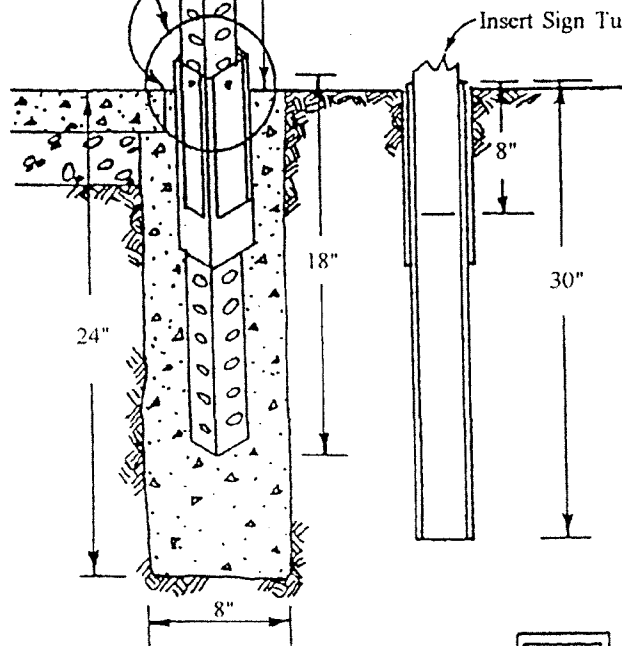


Street Names Sign to have 4" Letters.
 Sign Dimensions to be 6" x 24" Minimum

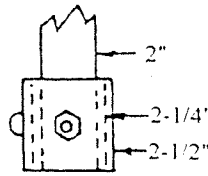
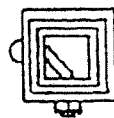
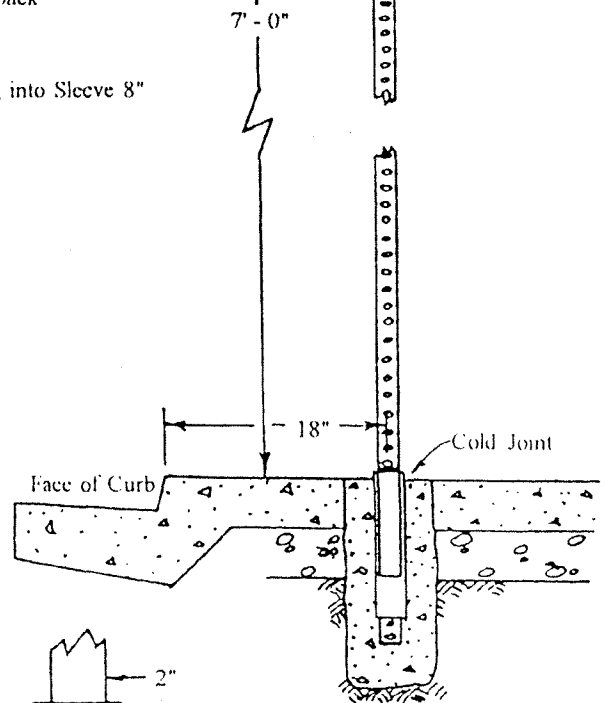


Standard STOP Sign and combination
 STOP and Street Sign to be located 18"
 from Face of Curb.

Standard Street Sign to be located of back
 edge of Sidewalk.



Insert Sign Tubing into Sleeve 8"



See City Standard Detail No. 208,
 Sheet 2 of 3 for Specific Details
 and Notes.

DETAIL "A"

CITY OF PETALUMA
 Department of Engineering

22 Bassett Street - Petaluma California 94952
 707 778 4304 - Fax 707 778 4413

Approved By

John Hargin
 Thomas S. Hargin, P.E., C.E.

Standard Details
STREET
 &
STOP SIGNS

Drawn By
Butch Smith

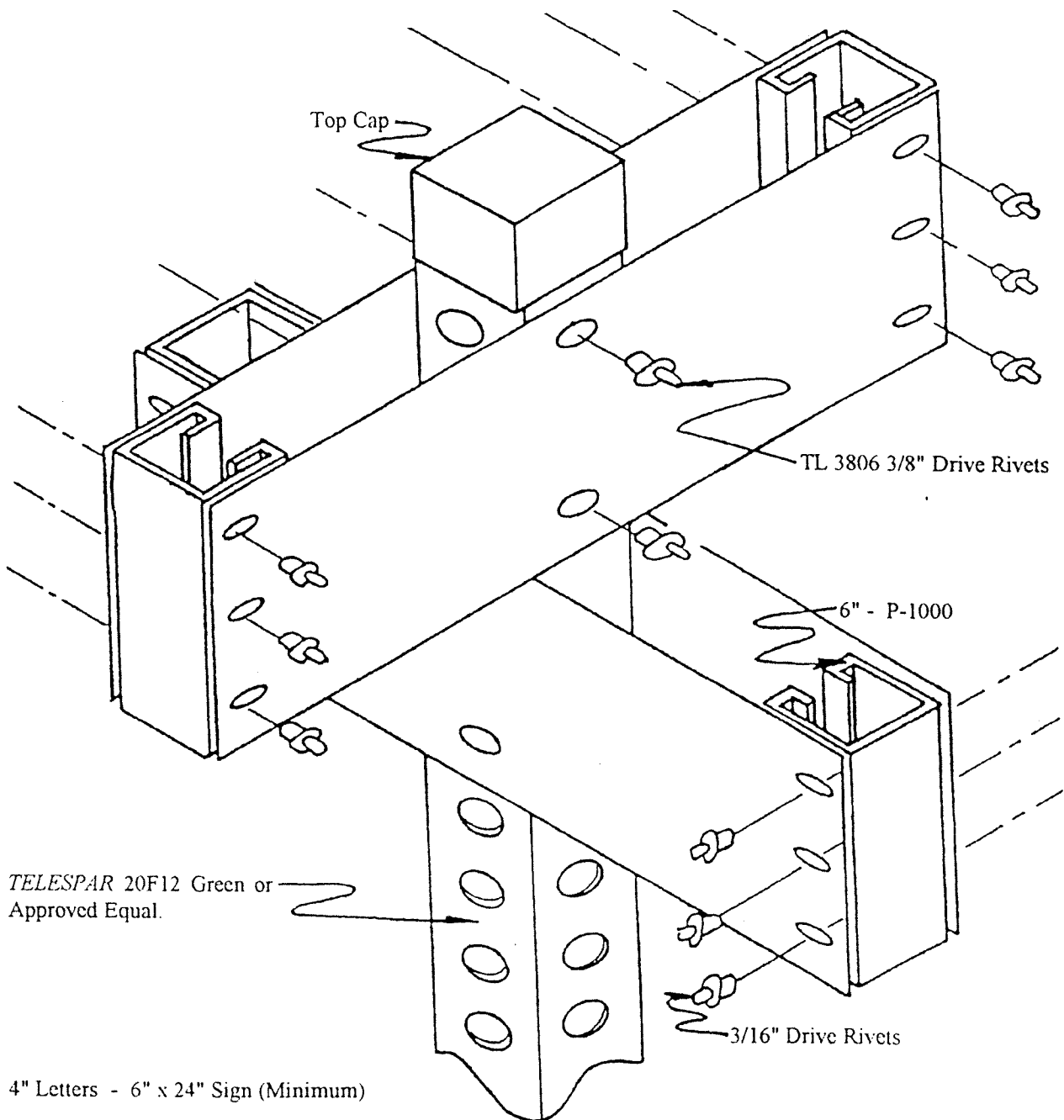
Scale
N T S

Date
September 4th 1996

File Number
20100-SSS0000 208



Date 1996



All Signs shall be *SCOTCHLITE* Reflective or equal mounted on Aluminum, Minimum thickness 0.080" Letters shall be Silver mounted on Green background

(Revised 7/27/98)

City of Petaluma
Petaluma, California

STREET CONSTRUCTION
DETAIL SPECIFICATION NO. 41

Description

- 4101.1 Description. The work to be done consists of furnishing machinery and materials, except as otherwise specified, which are required to construct and complete the work in a good and workmanlike manner, including the removal of any abandoned underground facilities, maintenance of any existing underground utilities, the disposal of excess excavation and final clean-up, to the satisfaction of the Engineer. Reference to the Standard Specifications shall mean the California Department of Transportation, (CALTRANS), July 1992, (or current revision) Standard Specifications.

Construction Methods

- 4102.1 Clearing and Grubbing and Concrete Removal. Clearing and grubbing shall consist of removing brush, trees and stumps, fences and all other obstructions to be removed for which a pay item has not been included in the proposal, within the construction limits indicated on the plans, and shall conform to the Division of Highways Standard Specifications, Section 15 and 16, as herein modified.

Attention is directed to Sections 7-1.11, 7-1.12 and 7-1.13, "Preservation of Property," "Responsibility for Damages," and "Disposal of Materials," of the Standard Specifications. Existing improvements, facilities, adjacent property, and trees and shrubbery that are not to be removed shall be protected from injury by the Contractor's operations. The Contractor shall give ample notification to and cooperate during clearing and grubbing operations with public utility companies or others having overhead and/or underground facilities within the limits of work. Existing traffic, directional, and street signs shall be maintained until final relocation after sidewalks are constructed.

Fencing, mail boxes, and signs not removed by property owners prior to this work shall be carefully removed and left for the re-use of the owner. All other materials cleared and/or grubbed shall become the property of the Contractor and shall be disposed of outside the limits of the work at a location to be provided by the Contractor and satisfactory to the Engineer.

Mail boxes that are designated for relocation on the plans shall be moved to the new locations as shown on the plans or as designated by the Engineer. Access, satisfactory to the Post Office Department, shall be maintained at all times to the new locations and to those mail boxes that are not moved.

Property fences which are designated for replacement or relocation shall be constructed at the new locations as designated on the plans or as directed by the

Engineer. Replacement fences shall be of the same quality and design as the original fence fronting the property.

The contract lump sum price for clearing and grubbing and concrete removal shall be full compensation for all costs necessary and incidental to clearing, grubbing and concrete removal, all as specified herein and as shown on the plans. Watering required for processing the work or mixing materials shall be furnished by the Contractor. The cost of water and watering shall be considered included in the various other items of work and no additional allowance will be made therefor.

If required by the Engineer, a dust palliative conforming to Section 18 of the Standard Specifications shall be used for the prevention of dust nuisance. Payment for the binder for dust palliative and all of the work involved in the application shall be considered included in the various other items of work and no additional allowance will be made therefor.

4102.2 Earthwork and Subgrade Preparation for Roadbed. Earthwork and subgrade preparation for roadbed shall consist of performing all operations necessary to prepare a suitable subgrade for roadbed conforming to the applicable provisions of Section 19 of the Standard Specifications, except as modified herein; to excavate all materials from the street right-of-way, roadway prism, or adjacent thereto when shown on the plans or ordered by the Engineer; to excavate all material of whatever nature necessary for construction of foundations for structures and drainage facilities; to excavate trenches for sewers, drainage pipes, water facilities, and electrical facilities; to place backfill around structures and drainage facilities and over underground pipes; to backfill ditches and depressions resulting from the removal of obstructions; to backfill holes, pits and other depressions within the roadway area; to remove unsuitable roadway material and replace with suitable material; to excavate and grade driveway approaches and connections; all as shown on the plans and typical cross sections or directed by the Engineer and as specified; and to furnish all labor, materials, tools and equipment, and do all the work of whatsoever nature which may be required to grade the roadway, curbs, gutters, sidewalks, prepare the roadbed subgrade and maintain them in the form specified until the acceptance of the contract.

All work shall conform to the applicable provisions of Section 19 of the Standard Specifications except as modified herein:

Relative Compaction. Shall conform with Section 19 of the State Standard Specification except as modified herein.

Relative compaction of subgrade in expansive soils shall be a minimum of 92 percent and be between 4 and 6 percentage points above optimum moisture content. Relative compaction of non-expansive soils shall be 95 percent at optimum moisture content.

Expansive soils are herein defined as soils with a "free-swell" of 50 or greater - non-expansive soils 50 or less.

Street Proof Rolling. After the street subgrade has been brought to the proper moisture content, compacted and fine graded, the Contractor shall furnish

subgrade "Proof Rolling" equipment equivalent to an "H-20" wheel load, subject to the approval of the Engineer, and thoroughly "proof-roll" the street subgrade in the presence of and to the satisfaction of the Engineer. Soft or yielding local subgrade spots shall be marked by the Engineer for repair by the Contractor. Subgrade in non-expansive soils shall be "unyielding." Subgrade in expansive soils may have a "temporary blanket yielding" not to exceed one-half (1/2) inch. Subgrade cracking or permanent indentation of wheel tracks shall be unacceptable.

Order of Subgrade Work. Unless otherwise specified in the special provisions, or on the plans, the street subgrade shall be completed and approved within the City right-of-way property line to property line, prior to placement of any aggregate on the subgrade.

Maintenance of Street Subgrade. The specified geometric shape, relative compaction and moisture content of the street subgrade shall be continuously maintained by the contractor and is subject to testing by the Engineer at any time prior to final acceptance of work by the City.

All rocks or solid lumps of material over four (4) inches in greatest dimension shall be broken up and removed from the upper six (6) inches of the graded roadbed and the resulting spaces refilled with approved material.

The cost of excavation, backfill, and subgrade preparation for structures and underground facilities shall be considered included in the contract price paid for the appropriate items of work.

The contract lump sum price for earthwork and subgrade preparation for roadbed shall be full compensation for all costs necessary and incidental to excavating and compacting the roadway prism and preparing the roadbed subgrade all as specified herein and as shown on the plans.

Watering and dust palliative, as specified in Section 4102.1 of these specifications, shall be considered included in the various other items of work and no additional allowance will be made therefore. (For the purpose of these "Detail Specifications," the term "roadbed" is used as defined in Section 1-1.34 of the Standard Specifications.)

4102.3

Aggregate Subbase. Aggregate subbase shall be Class 2 aggregate subbase conforming to the applicable provision of Section 25 of the Standard Specifications, and these special provisions. Test samples of the proposed material shall be taken by the Engineer. Sufficient time shall be provided to permit evaluation of the samples. No material may be placed without approval by the Engineer.

Measurement and Payment. Quantities of aggregate subbase shall be measured and paid for by the square foot unless specified otherwise in the Special Provisions or Bid Schedule.

The contract unit price for aggregate subbase, in place, shall include full compensation for furnishing all materials, labor, equipment, and performing all work necessary, including water and watering, to complete the road subbase as

specified and where shown on the plans, and no additional allowance will be made.

- 4102.4 Aggregate Base. Aggregate base shall be Class 2, three-quarter (3/4) inch maximum, aggregate base conforming to the applicable provisions of Section 26 of the Standard Specifications, and these Detail Specifications.

Measurement and Payment. Quantities of aggregate base shall be measured and paid for by the square foot unless specified otherwise in the Special Provisions or Bid Schedule.

The contract unit price for aggregate base, in place, shall include full compensation for furnishing all materials, labor, equipment, and performing all work necessary including water and watering, to complete the aggregate base as specified and where shown on the plans, and no additional allowance will be made.

- 4102.5 Plant Mixed Cement Treated Base. Plant mixed cement treated base shall be Class A cement treated base conforming to the applicable provisions of Section 27 of the Standard Specifications.

Test samples of the proposed material shall be taken by the Engineer. Sufficient time shall be provided to permit evaluation of the samples. No material may be placed without approval by the Engineer.

Measurement and Payment. Quantities of plant mixed cement treated base will be measured and paid for at the contract price per square foot.

- 4102.6 Asphalt Concrete. Asphalt concrete shall be Type A, 1/2-inch maximum, medium and shall conform to the provisions in Section 39, "Asphalt Concrete", of the Standard Specifications and these special provisions.

Asphalt concrete shall be placed only when the atmospheric temperature is above 50⁰F.

The Contractor shall submit the asphalt concrete mix design for the Engineer's approval not less than ten (10) working days prior to commencing paving operations. Asphalt windrow pickup machine is not allowed to be used for paving. The amount of asphalt binder to be mixed with the aggregate shall be approximately 5.6 percent by weight of the dry aggregate. Exact rate shall be determined by the Engineer and shall not vary by more than 0.3 percent above or 0.3 percent below the amount designated by the Engineer. Asphalt concrete shall be obtained from a source located no more than 30 miles from the job site.

All costs involved in placing asphalt concrete used for trench resurfacing shall be included in the prices paid for the various contract items of work and no additional payments will be allowed therefore.

Tack coat of 70 percent SS-1 and 30 percent water shall be furnished and applied uniformly to a pavement to be surfaced and to contact surfaces of all cold pavement joints, curbs, gutters and to other surfaces designated by the Engineer. Tack coat shall be applied at the rate of 0.10 gallons per square yard. A prime coat of liquid asphalt SC-70 shall be applied at the rate of 0.25 gallons per square yard when required by the plans or specifications.

Tack coat and prime coat will not be measured for payment and full compensation therefore will be considered as included in the prices paid for the various contact items of work and no additional payments will be allowed.

Relative compaction will be determined by California Test 375. Laboratory specimens will be compacted in conformance with California Test 304. If the test results for any lot of asphalt concrete indicate that the relative compaction is less than 93.0 percent, the asphalt concrete represented by that lot shall be removed and replaced at Contractor's expense. Asphalt concrete spreading operations shall not continue until the Contractor makes significant adjustments to his/her materials or procedures or both in order to meet the required compaction. The adjustments shall be as agreed to by the Engineer.

All existing water valves, sewer clean-outs, monuments, and manhole castings shall be adjusted to the finished grade by the Contractor within 48 hours after paving over each structure.

A Certificate of Compliance shall be furnished to the Engineer in accordance with the provisions in Section 6-1.07, "Certificate of Compliances", of the Standard Specifications. The Contractor shall be charged for the costs of all tests where the test results do not meet the specifications.

Measurement and Payment: Asphalt concrete shall be measured and paid for by the tonnage unless specified otherwise in the Special Provisions or Bid Schedule. The contract prices and payments for asphalt concrete shall include full compensation for furnishing all labor, materials, tools, equipment, incidentals, and for doing all the work involved in constructing asphalt concrete, complete in place, as shown on the plans and as specified in these specifications and these special provisions, and as directed by the Engineer.

4102.7 Fog Seal Coat. A fog seal coat as specified in Section 37 of the Standard Specifications shall be applied to the finished pavement surface.

The contract lump sum price for mixing type asphaltic emulsion shall be full compensation for all costs necessary and incidental to placing the fog seal coat, including material, labor and equipment, all as specified herein and as shown on the plans.

4102.8 PCC Concrete Curbs, Gutters, Sidewalks, Driveways, Islands, Valley Gutters, and Curb Ramps. Concrete curbs, gutters, sidewalks, driveways, islands, valley

gutters and curb ramps shall conform with Section 73 of the Caltrans Standard Specifications except as modified herein and shall be as shown on Standard Plans 202, 203, 204, and 205 of the City of Petaluma Street Standard Details 200 Series.

The Contractor shall adjust all existing and new water meter boxes and any other service castings falling within the limits of work (except existing structures belonging to PG&E and Pacific Bell) to exact grade at the same time the concrete improvements are being constructed and shall maintain these appurtenances to true and exact grade until concrete is thoroughly set. The Contractor shall mark on the face of the curb and location of each sewer lateral with an "S" and each water service with a "W". Letters shall be approximately two and one-half (2-1/2) inches high, neatly stamped while the concrete is still green, and to the Engineer's satisfaction.

PCC - Placement by Extrusion Machine.

Portland Cement Concrete curbs, gutters, sidewalks and driveway approaches may, at the option of the Contractor, be placed using an approved extrusion machine provided:

1. All work shall conform with Section 73 of the State Standard Specifications as modified herein.
2. The PCC curb, gutter, sidewalk and driveway approaches shall conform with "City of Petaluma, Department of Public Works, Standard Street Details," Sheet 1 of 1, Current Revision, plans except as herein modified.
3. The aggregate base or subbase under the curb, gutter, sidewalk and driveway approaches shall be extended to the back of the sidewalk with a minimum thickness of 12 inches, under the sidewalk or driveway approach, compacted to a minimum of 90% relative compaction.
4. Subgrade shall have 95% compaction.
5. No expansion joints will be required.
6. Deep (1-1/2" minimum) transverse score marks shall be made at ends and center of driveways and at a maximum of 10-foot intervals along the sidewalk.
7. Four #4 x 20" reinforcing bar dowels and 4 - #4 bars shall be installed at drop inlet or other "block-out" locations.
8. Four #4 x 20" reinforcing steel dowels shall be placed at the location each placement "cut-off" where placement of PCC curb, gutter and sidewalk is to continue at a later date.
9. Other than as required above, no reinforcing steel, wire mesh or dowels will be required.
10. Concrete shall be 5 1/2 sack 3/4 maximum aggregate graded as required in Paragraph 73-1.01 of the 1984 State Standard Specifications.

Measurement and Payment - Curb and Gutter. Payment for PCC curb and gutter including island curb and gutter measured along and at the face of curb shall be made at the contract unit price per linear foot, in place, and shall be full compensation for furnishing and placing concrete gutter and integral curb, including reinforcing steel (where required) weakened plane and construction joints, "S" and "W" letters and subgrade preparation. Unless stipulated elsewhere in the contract documents, payment for curb and gutter shall also include furnishing, placing and compacting six (6) inches of aggregate base material under the curb and gutter.

Sidewalk, Driveway, PCC Island and Valley Gutter. Payment for sidewalks, driveways, island PCC paving and valley gutters shall be made at the contract unit price per square foot measured six (6) inches behind face of curb for all but valley gutters which shall be measured from lip of gutter to lip of gutter. Payment shall be full compensation for furnishing and placing PCC sidewalk, driveways, islands and valley gutters complete, in place, and shall include subgrade preparation, reinforcing bars and wire mesh where required, weakened place and construction joints and scoring. Unless stipulated elsewhere in the contract documents, payment for PCC sidewalk, driveways, islands and valley gutters shall also include furnishing, placing and compacting Class 2 aggregate base material under the facility.

4102.9 Redwood Headerboards. Headers shall be installed in locations indicated on drawings, and where specified. All headers shall be held in place with two (2) inch by three (3) inch stakes of lengths necessary to extend a minimum of twelve (12) inches into solid ground. All stakes shall be of sound material, neatly pointed, driven vertically and securely nailed to the headers.

Headers shall have a continuous bearing on undisturbed earth or compacted base rock. The backfill on the unimproved side of the header shall be compacted to the density of the undisturbed adjoining earth.

All headers and stakes shall be of heart structural redwood or dense structural redwood.

Additional stakes and anchorage required to hold the headers in place to true line and grade during construction shall be provided and placed by the Contractor at no extra cost.

The contract unit price per lineal foot for redwood headers, in place, shall include full compensation for furnishing all materials, labor, equipment, and performing all work necessary to complete the headerboards as shown on the plans and as herein specified.

4102.10 Monuments. Standard City monuments shall conform with the "City of Petaluma, Department of Public Works, Standard Street Details" Drawing Sheet 1 of 1, current revision and shall be constructed where shown on the plans and located by the Engineer for the Contractor.

Concrete shall be Class "A" conforming to the provisions of Section 90 of the Standard Specifications. The monument shall be constructed after placing of the asphalt concrete street surface.

The solid brass monument marker, as shown on the plans, shall be set in the concrete before the concrete begins to set. The Engineer shall stamp the marker for the Contractor.

The contract unit price for each monument, in place, shall include full compensation for furnishing all materials, labor, equipment, and performing all work necessary to complete the monuments, but not including locating and stamping the marker, and no additional allowance will be made.

4102.11 Standard City Street Barricade. Standard City Street Barricade shall be constructed as shown on "City of Petaluma, Department of Public Works, Standard Street Details" Drawing Sheet 1 of 1 current revision.

The contract unit price per lineal foot measured horizontally from end of railing to end of railing shall be full compensation for furnishing and placing the barricade complete in place including the redwood rails, posts, post holes, concrete, reflectors, paint, bolts, nuts, and traffic signs.

4102.12 Signing. Under this item the Contractor shall provide all the necessary equipment, labor and materials required to salvage, relocate and install new street signs and directional signs, complete in accordance with the Plans and as specified herein.

1. Traffic Sign Material

The base metal of all signs shall be new sheet aluminum of alloys 6061-T6 or 5052-H38 conforming to the requirements of ASTM Designation B 209.

Unless otherwise specified by the Engineer, the thickness of all signs shall be .080 inches, except for mast-arm mounted signs which shall be 0.125 inches.

All regulatory and warning signs shall be constructed to the standard size and specifications of the State of California, Department of Transportation. Signs larger than the standard sign may be required or may be granted approval by the Engineer.

All mast-arm mounted street name signs, advance street name signs and street name signs shall be constructed to Caltrans dimensions and specifications or as specified by the Engineer.

The following signs shall be constructed using High Intensity encapsulated lens sheeting and lettering: Stop signs (R1), yield signs (R1-2), keep right signs (R7), no u-turn (R34), stop ahead signs (W17), chevron signs (W81), mast-arm mounted street name signs, advanced street name signs, street name signs and Type N markers. This sheeting

and lettering shall hold a minimum warranty of ten (10) years. All other traffic signs shall be high intensity sheeting and lettering.

2. Traffic Signs Installation

Signs shall be placed in a concrete foundation in a fashion as shown on the City Standard Plans. Foundation concrete shall be Class "A". The sign posts sleeves shall have a minimum of two (2) inch clear space between post and native earth to be filled with concrete. Selected signs shall be placed on the street light standards at the designed height.

Signs shall be installed as per these specifications and facing traffic in the lane adjacent to which the sign is installed. "No Parking" signs shall be installed at a 30° angle toward the traveled way. All other signs shall be installed at an angle toward the traveled way. All other signs shall be installed at an angle toward the traveled way per the sign manufacturer's reflective requirements.

Signs in the median area shall be placed midway between curbs. These signs shall be mounted no closer than six (6) inches to, and no farther than six (6) feet from, the edge of the traveled way which the sign faces.

The minimum mounting height for signs shall be seven (7) feet measured from the bottom of the sign to the near edge of the pavement, except as otherwise noted below, or as specifically approved by the Engineer.

The height to the bottom of a secondary sign mounted below a primary sign shall be a minimum of six (6) feet measured from the bottom of the sign to the near edge of the pavement.

In areas not subject to pedestrian traffic, the Chevron (W81) and one way signs (R10) shall be mounted at a height of three (3) feet, measured from the bottom of the sign to the near edge of the pavement.

4102.13 Quality Test. The Contractor will be charged for the cost of all Quality Control Tests - i.e., compaction, sand equivalent, R. value, gradation, etc. - where the test results do not meet the required specifications.

Testing of aggregate bases shall be performed for every 500 cubic yards or one day's production, whichever is smaller. Testing of asphalt concrete shall be performed for every 500 tons or one day's production, whichever is smaller. Testing of Portland Cement Concrete shall be performed for every 300 cubic yards or one day's production, whichever is smaller.

4102.14 Adjusting Utility Structures to Grade. Work includes adjusting to finish grade any and all new or existing manholes, sewer cleanouts, water valve boxes, survey monument boxes, etc., which are included in the contract.

The Contractor shall mark the location of all structures to be adjusted to grade and shall be responsible for the location after paving operations are completed.

After surfacing or resurfacing is completed, the Contractor shall construct or reconstruct the structures to grade as shown on the plans.

Payment for adjusting structures to grade shall be by the unit price bid per each and shall be full compensation for adjusting and furnishing new or salvaging existing manhole frames and covers, water valve boxes, sewer clean out frames and cover, and monument covers.

4102.15 Permanent Surfacing. Permanent surfacing shall not be constructed until the compaction requirements are satisfied. The wearing surface for permanent surfacing shall be replaced "in kind", but in no case shall the new surfacing be less than two (2") inches thick for asphalt concrete or less than six (6") inches thick for Portland cement concrete. A permanent surface shall be installed no later than ten (10) calendar days from completion of backfill.

4102.16 Slurry Seal. Slurry seal shall be Type II and shall conform to the provisions in Section 37-2, "Slurry Seal", of the Standard Specifications and these special provisions.

At least five (5) days prior to the slurry seal operation, the Contractor shall hand out written notices to all local residents and/or businesses advising them of the operation and road and/or lane closures. The notices shall include the type of work, limits, date and the time period of road closure and/or no parking. Details of the notice shall be reviewed and approved by Director of Engineering at least five (5) working days prior to posting and/or delivery. "No parking" signs shall be placed behind the curbs at least 48 hours in advance of work and shall include date and time.

The Contractor's failure to comply with the requirements of this section will be sufficient cause for the Engineer to suspend work at no costs to the City.

In addition to providing the mix design, the Contractor shall provide the Engineer with a Certificate of Compliance certifying that the materials meet the specifications.

The contractor shall replace existing striping, pavement markings and pavement markers within the slurry seal areas. Pavement markings shall be thermoplastic applied at a minimum thickness of 0.125-inch. Pavement markers conforming to Section 85, "Pavement Markers", of the Standard Specifications shall be used for traffic stripes. Permanent striping, pavement markings and pavement markers shall not be placed until the new surface has been opened to traffic for a period of not less than seven (7) days.

Temporary pavement delineation, crosswalks and limit lines consisting of traffic paint conforming to Section 84-3, "Painted Traffic Stripes and Pavement Markings", of the Caltrans Standard Specifications, shall be in place at the end of the first day of slurry seal and shall be maintained until replaced by the permanent delineation and pavement markings.

Slurry seal shall be measured by and paid for per square yard.

Slurry seal shall not be placed when the atmospheric temperature is below 70 degrees F. (between placement and curing), during unsuitable weather, after 1:00 p.m., or between November 15th and April 30th. Due to seasonal conditions, the slurry seal work may have to wait until late spring. Under these circumstances, the Contractor shall continue to maintain insurance and bond requirements. The retention money will be held until all work is complete and no partial release of the retention will be allowed.

Before placing the slurry seal, the pavement surface shall be cleaned by sweeping, flushing or other means necessary to remove all loose particles of paving, all dirt and all other extraneous material.

Immediately prior to commencing the slurry seal operations, all surface metal utility covers (including survey monuments) and pavement markers shall be protected with appropriate adhesive and oiled or plastic paper. No adhesive material shall be permitted to cover, seal or fill the joint between the frame and cover of the structure. Covers and markers are to be uncovered and cleaned of slurry material by the end of the same work day.

If necessary, the Contractor may pre-wet the existing surface immediately prior to the application of the slurry seal with water at the rate of 0.05 to 0.10 gallon per square yard of surface as approved by the Engineer.

4102.17

Preservation of Existing Cobblestone Curbs and Gutters. Existing cobblestone curbs and gutters shall be preserved "in place" and shall not be disturbed unless otherwise directed, in writing, by the Director of Engineering and/or Director of Public Works.

A. PRESERVATION OF EXISTING COBBLESTONE CURBS AND/OR GUTTERS

In City street areas of reconstruction involving existing City street curbs and/or gutters where existing curbs and/or gutters are constructed of cobblestone, the cobblestone structures shall be retained as described herein:

1. Conditions and Tolerances for Existing Cobblestone: If the existing "exposed" curb and/or gutter face and/or top of curb is true to line and grade within one-fourth (1/4") inch in ten (10') linear feet, relative to the existing structure, and if there are no visible gaps between the stones that exceed one-fourth (1/4") inch and further if the stones are stable, i.e., can withstand a 200 pound concentrated load directed against any one stone at any horizontal or downward angle for a period of no less than five minutes, and if there is no unevenness from stone, exposed, surface to adjacent stone, exposed, surface that exceeds one-fourth (1/4") then the cobblestone curb and/or gutter is to be retained "as is", undisturbed, "in place" except that cobblestones may be removed

and salvaged and delivered to the City where new driveways or wheelchair ramps are to be constructed.

2. Where the existing cobblestone does not meet the above tolerances and where minor, less than one-half inch (1/2") in any direction, adjustments of the stones will correct the alignment and where the existing visible gaps between adjacent stones do not exceed one (1") inch and the exposed surface unevenness between adjacent stones does not exceed one-half (1/2") inch and further, if no more than three adjacent stones require adjustment, then the structure may be corrected in accordance with the Street Standard Details Drawing No. 216 entitled, "Retention of Cobblestone Curbs and/or Gutters, Minor Reconstruction".
3. Where the existing cobblestone does not meet the tolerances in Items 1 and 2 above, then the structure shall be corrected in accordance with these specifications and Street Standard Details Drawing No. 216 entitled, "Retention of Cobblestone Curbs and/or Gutter, Major Reconstruction".

Where existing cobblestone gutter is overlaid with asphalt concrete the contractor shall remove the asphalt, salvage and deliver the gutter stone to the City and replace the gutter with standard City P.C.C. gutter and overlay with asphalt "in kind".

4. Removing & Salvaging Cobblestones: This work shall conform with the applicable portions of Section 15, "Existing Highway Facilities" of the State Standard Specifications as modified herein:
 - a. Cobblestone Curb: Prior to disturbing any curb cobblestone, the curb stones to be removed and salvaged shall be consecutively numbered on the stone with crayon. All curb stones shall be hand removed. No metallic, Portland cement concrete, asphalt concrete, stone or gravel material shall come in contact with the curb stone. No metal tool, equipment or implements shall be used to pry, loosen, move or lift the curb stones. All curb stone removal and salvaging shall be done by hand and with wooden or hard rubber tools. All removable marks of any kind imposed on the curb stone exposed face or top by the contractor shall be removed by soft cloth and water, hand rubbing. All earth shall be hand-water-washed from the curb stone. All mortar shall be hand removed by wooden or hard, rubberized tools. Recrayon the number on exact stone after washing, if required.

- b. Cobblestone Gutter: The contractor may remove and salvage the cobblestone gutter in any convenient manner that results in the saving of the cobblestone undamaged. Gutter stone need not be washed or cleaned. Any type equipment and tools may be used provided no damage is done to the gutter stone except that the adjacent gutter stone in contact with cobblestone curb shall be removed as described in 4-A, "Cobblestone Curb", above, except gutter stone does not require numbering.

5. Temporary or Permanent Storing of Cobblestone:

- a. Curb Stone: Curb stone shall be hand lifted and placed flatwise on three-quarter (3/4") inch maximum thickness plywood. No curb stone shall be on contact with any substance other than plywood. The curb stone shall have a minimum of three (3") inches and a maximum of six (6") inches horizontal clearance between stored stone. Curb stone may be stored in progressive vertical layers with three-quarter (3/4") inch plywood sandwiched between each layer up to and including four (4') of vertical feet height. The contractor shall be responsible for all stored cobblestone until delivery and permanent storage at the City Corporation Yard.
- b. Gutter Stone: Gutter stone may be temporarily, equipment-piled on approved City right-of-way out of the traveled way on any approved surface convenient to the contractor which is made safe for pedestrians and vehicular traffic with approved barricades. Permanent storage shall be in piles on the ground as designated by the Engineer at the City, Street, Corporation Yard. Gutter stone may be lifted and piled with any equipment convenient to the contractor which will not damage City streets or gutter stone.

6. Loading, Transporting & Unloading of Cobblestone:

- a. Curb Stone: Curb stone to be delivered to the City Corporation Yard shall be hand lifted, loaded and placed in layers, flatwise on three-quarter (3/4") inch minimum plywood mats between the stone on the bed of a flatbed truck. Dump trucks shall not be used to transport cobblestone curb.

The stone shall not be in contact with other stones or any metal portion of the truck. The stone may be sandwiched

in layers of plywood up to but not to exceed the legal weight limit of the vehicle or in any case not above four (4) horizontal layers of stone. Curb stone shall be hand lifted, unloaded and placed on plywood as detailed on "storing curb stone". Curb stone shall not be chipped, dropped, scratched or damaged by the contractor.

- b. Gutter Stone: The contractor may load, haul and unload gutter stone in any convenient manner that will not damage the stone.
7. Clear, Grub & Concrete Removal: Shall conform with Clause 4102.1 of the City of Petaluma Street Construction Detail Specification No. 41. The contractor shall not clear, grub or remove PCC gutter until all cobblestone is removed.
- a. Tree Root Treatment: Where tree roots have displaced cobblestone curb and/or gutter, the contractor shall remove and seal the roots and install a root barrier as shown on the Street Standard Details Drawing No. 216 entitled, "Treatment for Tree Roots".
 - b. Existing PCC Gutter Removal is included in this item.
8. Excavation for Cobblestone Curb & Gutter: Shall conform with Clause 4102.2 of the City of Petaluma Street Construction Detail Specification No. 41. All excavation shall be loaded directly onto trucks and disposed of by the contractor. The contractor shall not excavate until all cobblestone is removed.
9. Aggregate Base: Base rock shall conform with Clause 4102.4 of the Detail No. 41 Specifications. All aggregate base shall be Class 2, three-quarter (3/4") inch minus compacted to ninety-five (95%) percent at optimum moisture content.
10. Portland Cement Concrete Gutter: Shall conform with Clause 4102.8 of the No. 41 Detail Specifications. PCC gutter shall not be placed until all cobblestone gutter is reset and the concrete foundation has cured seven (7) days.
11. Cement Mortar: Shall conform with Paragraph 51-1.135 Mortar of the State of California Standard Specifications dated July 1984, except that mortar exposed to view shall have one (1 oz.) ounce of lamp black added to each cubic foot of mortar.

B. RESETTING COBBLESTONE:

1. Tolerances: Reset cobblestone shall be within the following tolerances:
 - a. Reset Cobblestone Curb: Horizontal and vertical within one-eighth (1/8") inch of line and grade in ten (10') linear feet. Individual stone face or top must not vary beyond one-sixteenth (1/16") inch from the adjacent stone face or top, respectively. Individual curb stone shall not vary more than one-sixteenth (1/16") inch per foot from plumb or vertical.
 - b. Cobblestone Gutter: Gutterstone shall be set flat and true and not vary from line and grade more than one-fourth (1/4") inch in ten (10') linear feet. Individual stones surfaced shall not vary more than one-eighth (1/8") inch from any adjacent gutter stone surface.
2. Reset Curb Stone: Stone shall be reset in accordance with the plans and specifications. Each curb stone shall be reset in the same numerical order and to the same face and top orientation as the original curb. Each stone shall be set in a concrete foundation as shown on the Street Standard Details Drawing No. 216 entitled, "Retention of Cobblestone Curbs and/or Gutters, Major Reconstruction". The concrete foundation shall be five sack Portland cement concrete in conformance with Section 90-10 Minor Concrete of the State Standard Specifications. The aggregate size for the foundation shall not exceed three-quarters (3/4") inch. Slump for foundation concrete shall not exceed two and one-half (2 1/2") inches. Curing of concrete foundation shall be in accordance with Paragraph 90-7.01 Curing Compound Method of the State Specifications. Water shall not be used to cure the foundation concrete due to the possibility of supersaturation and softening of the subgrade. Concrete foundation shall cure for seven (7) days prior to installing the gutter if the foundation and gutter are placed separately. All joints of reset curbstone shall be mortared.
3. Reset Gutter Stone: Stone shall be reset in accordance with the plans and specifications. Gutter stone shall be bedded a minimum of two (2") inches deep and a maximum of four (4") inches deep in a one (1) part cement to two (2) parts sand. Installation of mortar shall conform with Paragraph 51-1.135 Mortar of the State Standard Specifications. Gutter stone mortar shall be water cured using continuously wetter cotton mats, burlap sack or other approved wetted material all in conformance with Paragraph 90-

7.01A Water Method of the State Standard Specifications except that earth blankets shall not be used. Curing compound shall not be used to cure mortar in cobblestone gutter due to the possibility of discoloration of the cobble. Gutter mortar shall cure seven (7) days prior to opening gutter to traffic.

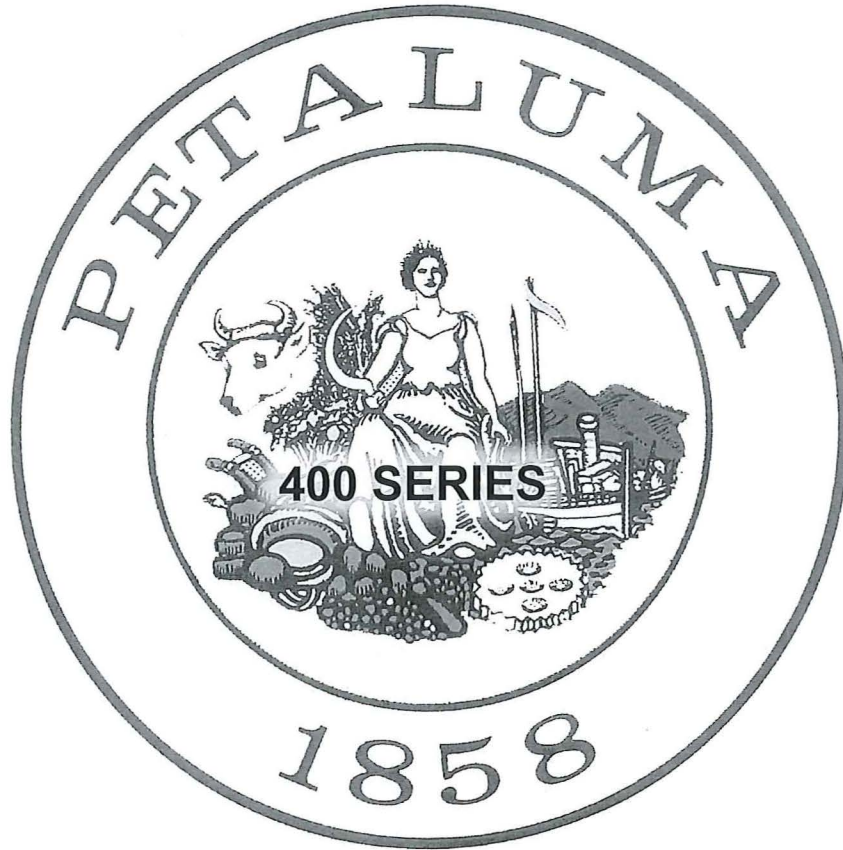
Subgutter concrete shall be five (5) sack, one (1") inch maximum aggregate conforming with Section 90-10 Minor Concrete of the State Standard Specification. Subgutter concrete, i.e., four (4") inch concrete slab under cobblestone paved gutter shall a four (4") inch maximum slump, shall be compound cured in conformance with Paragraph 90-7.01B Curing Compound Methods of the State Standard Specifications.

Water shall not be used to cure subgutter concrete.

Detail41

Storm Drain System

- Design and Construction Standards
- Storm Drain Installation Detail Specifications No. 31



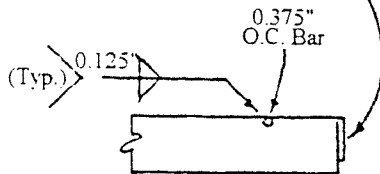
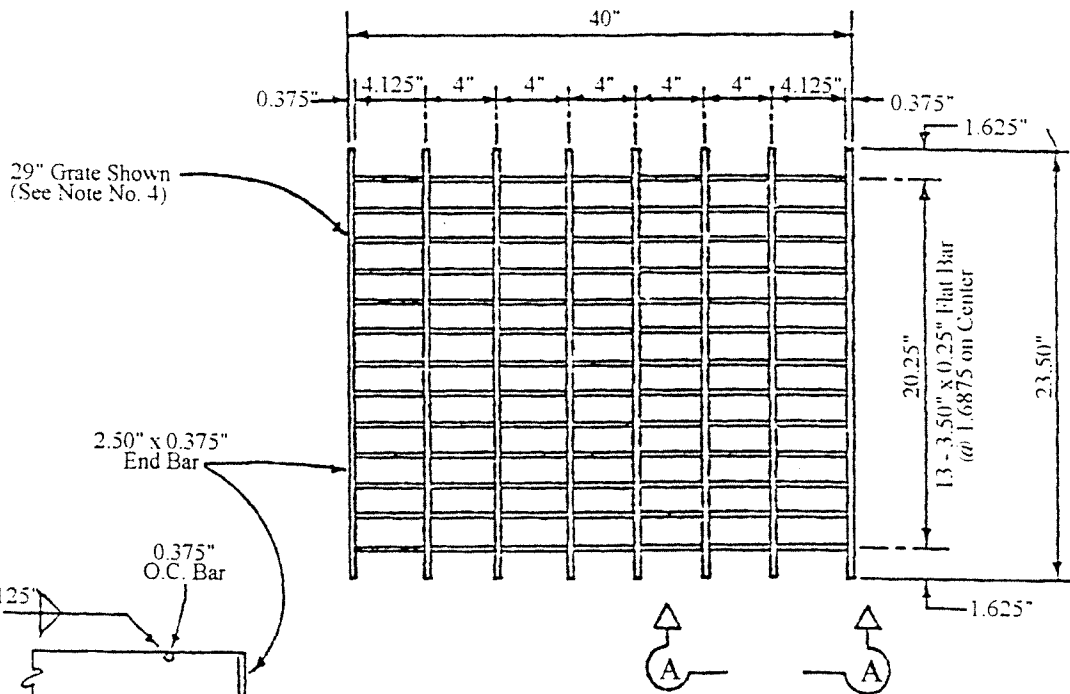
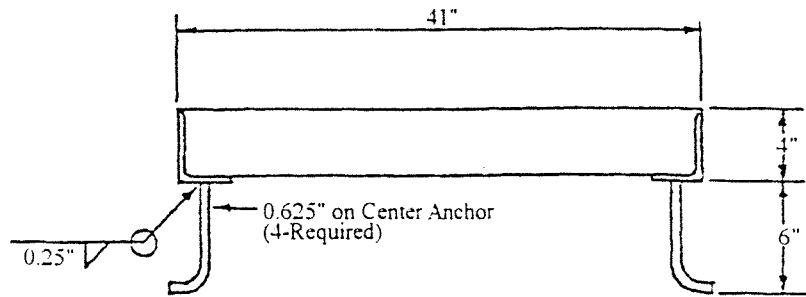
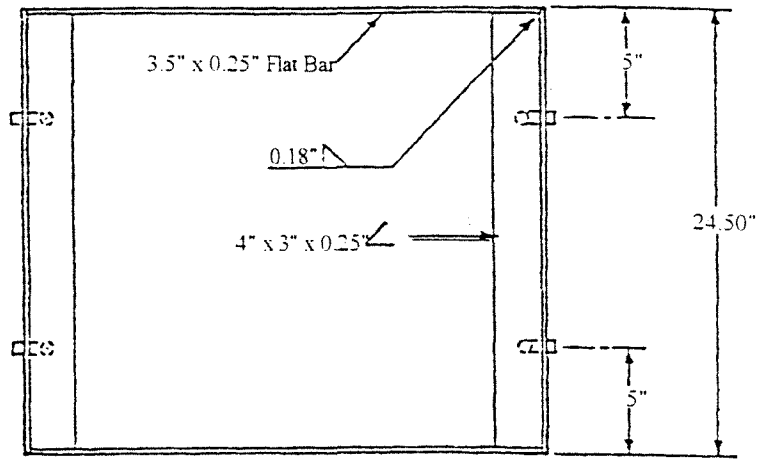
City of Petaluma - Sonoma County - California
Public Works & Utilities
202 North McDowell Boulevard
Petaluma, CA 94954

APPROVED BY:

Kent R. Carothers, P.E., Operations Manager

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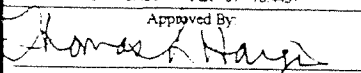
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SECTION A-A

- NOTE:
1. All steel shall be structural grade.
 2. All steel shall be "Hot Dipped Galvanized" after fabrication.
 3. Top and Bottom surfaces of grate shall be ground flush after welding.
 4. For 40" Grate use *CALTRANS Standard Grate 24-12X or 24-10S*.

CITY OF PETALUMA
 Department of Engineering
 22 Bassett Street - Petaluma California 94952
 707-784304 - Fax 707-784437

Approved By:

 Thomas S. Hargis - R.C.E. 22366


Standard
Type "E"
CATCH BASIN
GRATE
DETAIL

Drawn By:
Butch Smith

Scale:
N. T. S.

Date:
December 2nd 1998

File Number:
Std. Det. SDS0000.401-C

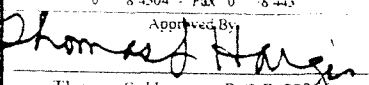



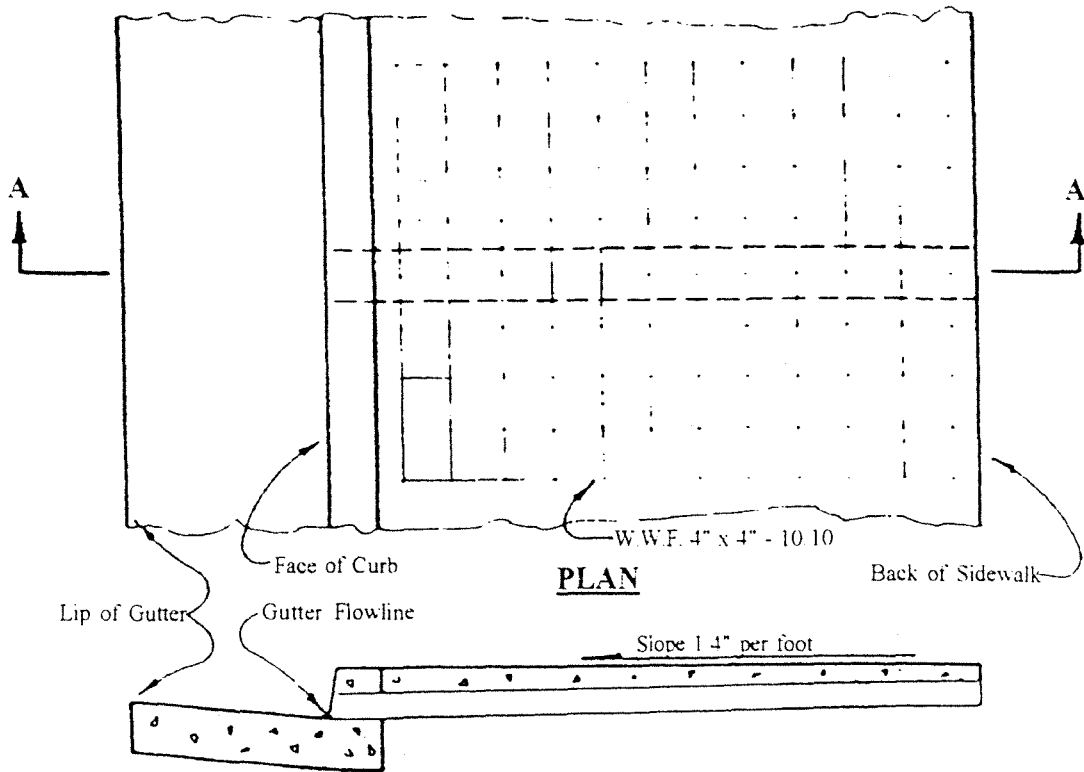
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401-C

CATCH BASIN AND DROP INLET NOTES

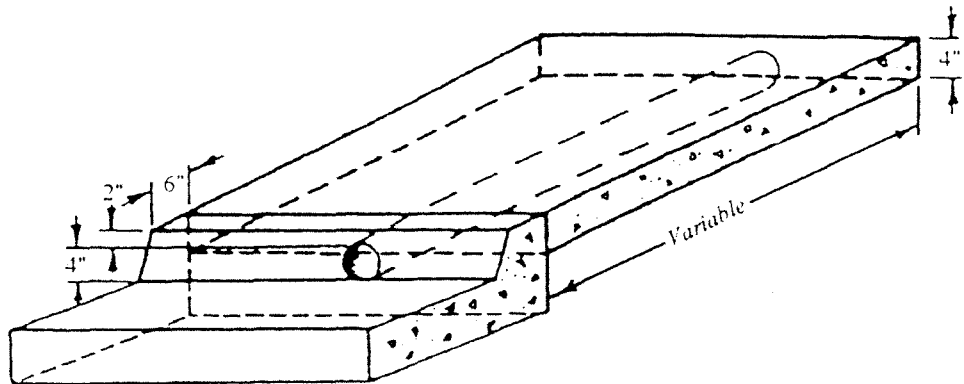
1. All concrete shall be *Class "A"* (6-sack mix) unless otherwise noted.
2. Base shall be placed against undisturbed earth. Sides may be formed or place against undisturbed earth.
3. Where conduits are encountered larger in diameter than the width of the wall through which they pass, the inside dimension of the walls normal to the direction of the pipe shall be increased to the outside diameter of the pipe.
4. Expansion joints shall be placed thru curb and sidewalk at both sides of catch basins and shall be limit of payment for curb and gutter. Unit prices for drainage structures shall include curb, gutter and sidewalk poured with drainage structure.
5. No concrete shall be placed prior to form and steel approval by the City Engineer.
6. See Standard Drawing Number 401-C for *Type "E" Catch Basin Grate Detail*.
7. Wall thickness and reinforcing shall be determined from the table below.
8. Place 0.75" weep holes as required by the *City Engineer*.
9. Equivalent precast structures may be substituted as approved by the *City Engineer*.

DEPTH	WALL THICKNESS	WALL REINFORCEMENT
Less than or equal to 8.00'	0.50'	No. 4 Rebar at 1.00' both ways
Over 8.0'	0.66'	No. 4 Rebar at 1.00' both ways

<p>CITY OF PETALUMA Department of Engineering 22 Bassett Street - Petaluma California 94952 Phone 707 764-5004 - Fax 707 764-4437</p> <p>Approved By  Thomas S. Hargis - R.C.E. 22568</p>	<p>CATCH BASIN AND DROP INLET NOTES</p>	<p>Drawn by Butch Smith Scale N. T. S. Date August 13th 1999 (Rev.) File Number Std. Det. SDS0000.401-D</p>
		 Page 401-D



SECTION A-A



CURB OPENING DETAIL

NOTE:

1. Wire mesh shall be 4'-0" wide (minimum). Length shall equal sidewalk with minus 4".
2. On site drainage and location of curb outlets shall be by owner to the satisfaction of the City Engineer.
3. Drain pipe shall be installed so that top of pipe is 3" minimum below finish grade at back of sidewalk.
4. Sidewalk drain to be 3" schedule 40 heavy wall rigid polyvinyl chloride pipe or approved equal.

CITY OF PETALUMA
 Department of Engineering
 22 Bassett Street - Petaluma California 94952
 707 778 4304 - Fax 707 778 4437

Approved By:
Thomas S. Hargis
 Thomas S. Hargis - R.C.E. 22566


Standard
**SIDEWALK
 UNDERDRAIN**
 Storm Drain

Drawn by
 Butch Smith

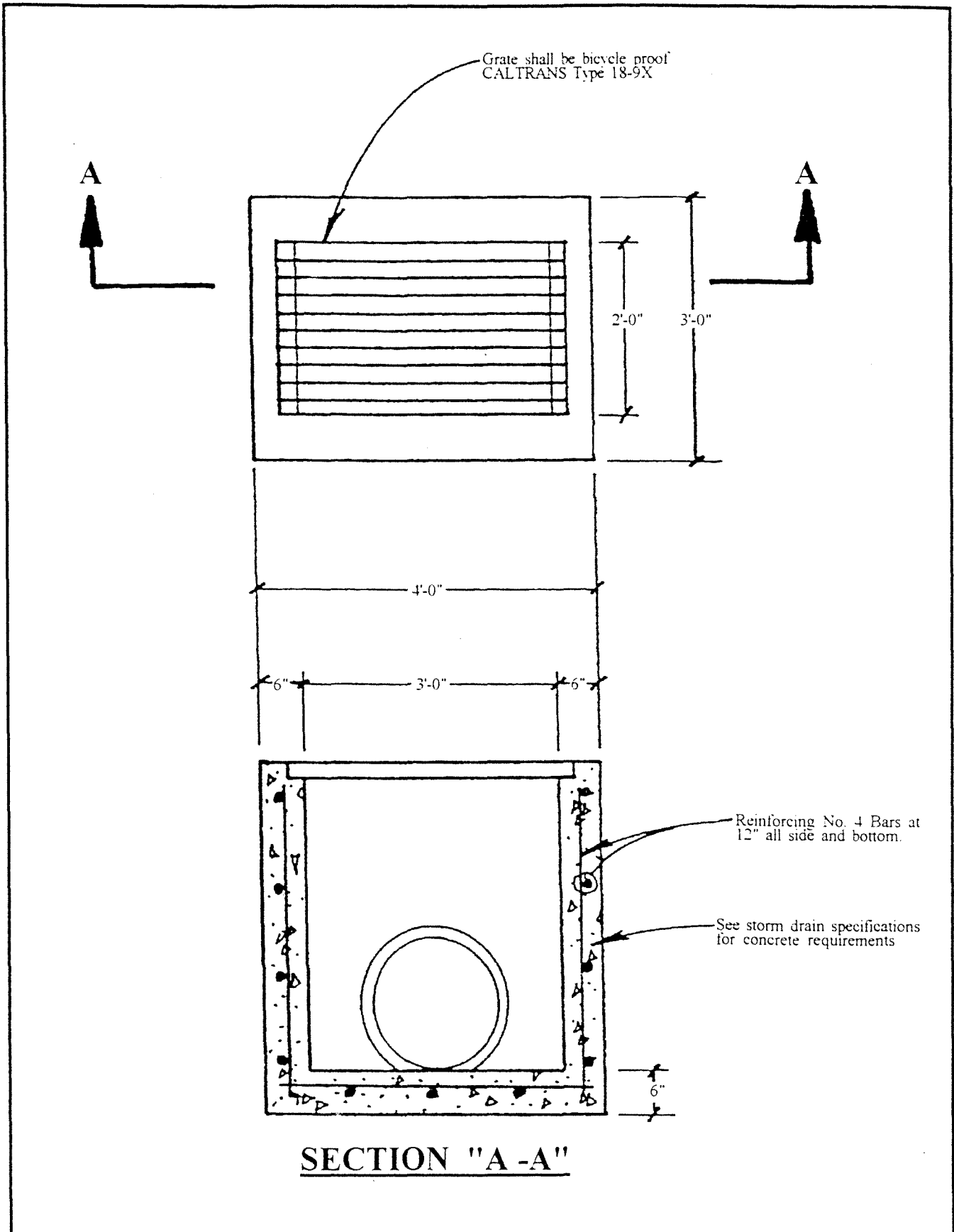
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Date
 February 9th 1998 (Rev)

File Number
 Std. Det. SDS0000.404



Page
 404



CITY OF PETALUMA
 Department of Engineering
 22 Bassett Street - Petaluma California 94952
 707 778 4304 - Fax 707 778 4437

Approved By:
Thomas S. Hargis
 Thomas S. Hargis - R.C.E. 22706

Standard
**DROP
 INLET**
 Storm Drain

Drawn by:
 Butch Smith
 Scale:
 N. T. S.
 Date:
 February 9th 1998 (Rev.)
 File Number:
 Std Det. SDS0000 407

Page
 407

City of Petaluma
Petaluma, California

STORM DRAIN INSTALLATION
DETAIL SPECIFICATIONS NO. 31

Description

- 3101.1 Description. The work shall include the furnishing of all material, labor, tools, implements, and equipment necessary to construct the storm drains, drop inlets, and manholes, complete and ready to operate; all construction to be in accordance with the details shown on the plans and with these specifications.

Materials

- 3102.1 Portland Cement Concrete. Portland Cement Concrete shall conform to the requirements of Section 90 of the Standard Specifications and as herein specified. The concrete shall be Class "A" containing size (6) sacks of Portland Cement per cubic yard of concrete. The grading of the combined aggregate shall conform with the requirements for one and one-half (1-1/2) maximum. The consistency of the fresh concrete shall be such that the slump does not exceed four (4") inches as determined by Test Method No. California 519A.
- 3102.2 Portland Cement. Portland Cement shall conform to ASTM Designation C150.67, Type II, and shall be delivered in the original package with the brand name of the manufacturer plainly marked thereon.
- 3102.3 Reinforcing Steel. Reinforcing Steel shall be intermediate grade steel of the sizes and spacings called for on the plans. Steel shall meet the requirements of the ASTM Designation A-15-65.
- 3102.4A Reinforced Concrete Culvert Pipe. The storm sewer shall be constructed in accordance with Section 65-102A of the State Specifications or where called for on the plans shall be centrifugally spun reinforced concrete pipe with self-centering type joints as manufactured by the American Pipe & Construction Company or approved equal. The pipe shall be manufactured in accordance with the design requirements for Class III (unless otherwise indicated on the plans) reinforced concrete pipe, ASTM Designation C76-66T. The wall design shall be at the option of the manufacturer.
- 3102.4B Cast in Place Concrete Pipe. Cast in place concrete pipe shall conform with Section 63 of the Standard Specifications. After the pipeline has been completed and protected for at least forty-eighty (48) hours and/or the concrete strength reaches one thousand (1000) psi, the subsequent backfill may be installed in accordance with Section 3103.8B of this specifications.

In all cases, the contractor shall be responsible for correcting any damage to cast-in-place concrete pipe caused by premature or excessive loading.

At the option of the contractor, Class III reinforced concrete pipe, conforming to Section 3102.4, may be substituted for cast-in-place concrete pipe. Pipe and installation shall conform to Section 65, "Reinforced Concrete Pipe", of the Standard Specifications, except that backfill shall conform to the provisions under Section 3103.8, "Backfilling", of these specifications. Regardless of which optional material the contractor selects, pipe laid at the locations shown on the plans for cast-in-place concrete pipe will be paid for at the contract price per linear foot for cast-in-place concrete pipe, which includes payment for excavation and backfill, as provided under Section 3103.13A, "Payment", of these provisions.

3102.4C Abestos Cement Pipe. The storm sewer shall be constructed with asbestos cement pipe culvert conforming to Section 64-1.02 and 64-1.03 of the January 1973 Standard Specifications of the Department of Public Works Division of Highways, State of California. The asbestos cement pipe shall be Class III, unless shown otherwise on the plans or specified in the Special Provisions and designated in the contract item. Section 3103.7 of these specifications shall not apply when asbestos cement pipe is used in a storm drain culvert.

3102.4D Corrugated Aluminum Pipe. The storm sewer shall be constructed with corrugated aluminum pipe conforming to Section 66-2 of the January, 1973, Standard Specifications of the Department of Public Works, Division of Highways, State of California. The pipe shall be either riveted or spirally corrugated.

The gauges shall be in accordance with the U.S. Department of Transportation, Federal Highway Administration, Bureau of Public Roads, 1970 revision, titled, "Corrugated Metal Pipe-Structure Design Criteria & Recommended Installation Practice". Fill Height Table 8 for circular pipe and Fill Height Table 14 for arch pipe.

3102.4E Corrugated Polyethylene Pipe. The storm sewer pipe shall be constructed of high density polyethylene (HDPE) in conformance with AASHTO Specifications M-294 latest addition, nominal diameters 15" through 36".

Minimum pipe stiffness at 5% deflection per ASTM D-2412 shall be as follows:

<u>Diameter</u>	<u>Stiffness</u>
15"	42
18"	40
24"	34
30"	28
36"	22

The HDPE storm pipe shall have outer corrugation with smooth inner liner. The Mannings "n" factor for the interior of the pipe shall be a minimum of 0.012.

Minimum allowable cover shall be 24" to finish grade. Maximum cover shall be in accordance with the HDPE pipe manufacturer's recommendations. Pipe shall be N-12 as manufactured by Advanced Drainage Systems, Inc., Hancor, Inc., or equal.

Joints

Pipe shall be joined with the bell-and spigot joint and shall provide a minimum pull-apart strength of 400 lbs. The bell shall be an integral part of the pipe.

The joint shall use a gasket for form a silt-tight connection. Gaskets shall be installed in the bell by the pipe manufacturer. Joints shall remain silt-tight when subjected to a 1.5° axial misalignment.

3102.5 Reinforced Concrete Manhole Sections. These sections shall conform to size, shape and details shown on the plans. Pipe sections shall conform to ASTM Specification C76-66T, Class II. A minimum of one cage of reinforcing is required, the cross-sectional area of which is equal to that specified for the inner cage of the above ASTM Specification.

3102.6 Castings. Castings for manhole rings, cover and other purposes, shall conform accurately to the form and dimensions shown on the detailed drawings. Castings must be of workmanlike finish, free from blow and sandholes or defects of any kind, and shall be made from a superior quality of tough even-grained gray iron, and shall possess a tensile strength of not less than twenty thousand (20,000) pounds per square inch.

Before leaving the foundry, they shall be thoroughly cleaned and coated by dipping in asphalt applied at a temperature of three hundred (300) degrees Fahrenheit in such a manner as to provide a firm, durable, tenacious coating.

3102.7 Mortar. All mortar used in the construction of pipe joints and manholes shall consist of one (1) part by volume of Portland Cement and two (2) parts by volume of clean sand, and shall otherwise conform to Section 65-1.06A of the Standard Specifications.

3102.8 Select Backfill Material. Select backfill shall be granular material of the quality herein specified. Select backfill material shall have a size and gradation falling within the following limits:

<u>Sieve Size</u>	<u>% Passing Sieve</u>
1"	100
3/4"	90 to 100
No. 4	35 to 55
No. 30	10 to 30
No. 200	2 to 9

The material shall compact to a relative compaction of ninety (90) percent. The relative compaction is that determined by Test Method No. California 216 Materials and Research Department, California Division of Highways. The material shall have a minimum sand equivalent value of twenty-five (25) as

determined by the test method currently in use by the California Division of Highways.

The in-place density and moisture of solid and aggregates may be determined by the use of nuclear methods and the area concept as per Test Method No. California 231 with the following conditions. The test maximum density shall be determined as specified in Part II of Test Method No. California 216. A minimum of one in-place density test using the sand volume method as prescribed in Part I of Test Method No. California 216 shall be taken to standardize the nuclear gauge for each type of soil or aggregate. After correlation is assured and the equipment standardized then the nuclear gauge may be used as directed by the Engineer.

Construction Methods

- 3103.1 Trench Excavation. Trench excavation shall include the removal of all materials or obstructions of any nature, the installation and removal of all sheeting and bracing and the control of water, necessary to construct the work as shown. Unless otherwise indicated on the drawings or permitted by the Engineer, excavation for storm drains shall be by open cut. Trenching machines may be used, except where their use will result in damage to existing facilities. Trenches shall be excavated to the line and grade shown on the plans.
- 3103.2 Trench Width. The maximum allowable width of trench measured at the top of the pipe shall be the outside diameter of the pipe exclusive of bells and collars, plus twenty-four (24) inches, and such maximum width shall be inclusive of all trench timbers. Minimum width of trench shall be outside diameter, plus eighteen (18) inches. Whenever the maximum allowable trench width is exceeded for any reason, the contractor shall, at his expense, embed or cradle the pipe in concrete in a manner satisfactory to the Engineer.
- 3103.3 Bracing & Shoring. The contractor's attention is directed to Section 3(f), "Excavation and Trenching Safety", of the General Provisions. Excavation shall be supported as set forth in the rules, orders and regulations of the California Industrial Accident Commission. Failure to comply with any of these rules, orders and regulations shall be sufficient cause for the Engineer to immediately suspend all work. Compensation for losses incurred by the contractor by such an emergency suspension shall not be allowed. During backfilling the bottom of the shoring shall be kept above the level of the backfill at all times.
- 3103.4 Control of Water. The contractor shall furnish, install and operate all necessary machinery, pumps and equipment to keep excavations reasonably free from water during construction, and shall dispose of the water so as not to cause injury to public or private property, or to cause a nuisance or a menace to the public. He shall at all times have on hand sufficient pumping equipment and machinery in good working condition for all ordinary emergencies and shall have available at all times competent mechanics for the operation of all pumping equipment. During pouring of concrete and until concrete has set hard, excavations shall be kept free of water.
- 3103.4A Trench Bottom Drainage & Stabilization. When additional gravel or crushed rock are required to stabilize a soft, wet or spongy foundation caused by the operations

of the contractor, such gravel or crushed rock shall be furnished at the contractor's expense.

The Engineer shall be the sole judge of the suitability of the trench bottom and as to the amount of gravel required to stabilize a soft foundation. The contractor shall remove any soft material and replace it with gravel or crushed rock when ordered to do so by the Engineer.

Gravel or crushed rock shall have a size and gradation falling within the following limits:

<u>Sieve Size</u>	<u>% Passing Sieve</u>
2"	100
1 1/2"	90 to 100
3/4"	5 to 30
3/8"	5 to 20
No. 200	0 to 4

Payment for trench bottom drainage and stabilization shall be made at the contract unit price bid per ton of gravel or crushed rock in place, complete including excavation and disposal of soft material and dewatering the trench.

3103.5 Disposal of Excess Excavated Material. Arrangements for disposing of excess excavated material shall be made by the contractor. Excavated material suitable for backfilling shall be stored temporarily in such a manner as will facilitate work under the contract and not cause undue inconveniences to property owners along the sewer route.

3103.6 Pipe Laying. No pipe shall be laid until the Engineer inspects and approves the condition of the bottom of the trench. Pipe laying shall proceed upgrade with the tongue ends of tongue and groove pipe pointing in the direction of flow. Each piece shall be laid true to line and grade and in such a manner as to form a close concentric joint with the adjoining pipe and to prevent sudden offsets in the flow line.

As the work progresses, the interior of the storm drain shall be cleared of all dirt and debris of every description. Where clearing after laying is difficult because of small pipe size, a suitable swab or squeegee shall be kept in the pipe and pulled forward past each joint immediately after jointing has been completed. Pipe shall not be laid when the condition of the trench or the weather is unsuitable. At time when work is not in progress, open ends of pipe and fittings shall be closed.

Pipe shall be placed on prepared subgrade of imported material at least four (4) inches deep below the barrel of the pipe. The imported material shall meet the requirements specified herein for "initial backfill" and be thoroughly compacted to obtain a final density of at least ninety (90) percent of maximum at optimum moisture as determined by Test Method No. California 216. After compaction, the bottom of the trench shall be shaped so the pipe, when laid, will have a uniform bearing under the full length of the pipe.

3103.7 Pipe Jointing. Joints in pipes eighteen (18) inches in diameter and smaller shall be made prior to closure by buttering with mortar the joint space of the bell end of

the pipe section previously laid. After inserting the spigot, the excess mortar squeezed from the joint shall be removed by an inflated swab or squeegee. Joints in pipe twenty-one (21) inches in diameter and larger shall be made by partially filling the inside joint with mortar after the pipe has been laid and before the initial backfill has been placed. No mortar will be required in the outside joints of tongue and groove pipe. After the final backfill has been placed and completely compacted by jetting, joints in pipe twenty-one (21) inches in diameter and larger shall be finished by completely filling the inside joint with mortar. Before final acceptance, the joints shall be left smooth without any abrupt rise or drop in the flow line and without any cracks which will permit leakage.

The connecting bands for corrugated aluminum pipe shall conform to the requirements of AASHO M-106.

3103.8 Backfilling.

3103.8A Initial Backfill. "Select Backfill Material" as specified in Section 3102.8 of these specifications shall be used for initial backfill. After the pipe has been properly laid and inspected, select backfill material shall be placed on both sides and over the pipe to such a depth that after thorough compaction, the final depth shall be at least twelve (12) inches above the top of the pipe. The contractor shall be wholly responsible for damage to the pipe.

The initial backfill shall be compacted by hand tamping. The use of machine tampers will not be permitted. The initial backfill material shall be hand tamped in layers not exceeding four (4) inches in uncompacted depth. The final depth of compacted initial backfill shall be at least twelve (12) inches above the top of the pipe.

After handtamping, the relative compaction of the initial backfill material shall be not less than ninety (90) percent as determined by Test Method California No. 216.

3103.8B Subsequent Backfill. Above the level of the initial backfill, the trench shall be backfilled with structural backfill (excluding pea gravel) as specified in Paragraph 19-3.06 Structure Backfill of the State of California, Department of Transportation, Standard Specifications, dated January 1988. Unless otherwise specified in the special provisions or certified by an approved soil testing laboratory that the native trench excavated material meets the requirements of structural backfill as stated above - native excavated trench material shall not be used for backfill in any portion of the trench.

The contractor shall compact by tamping and/or rolling, the backfill material in layers not exceeding eight (8) inches in loose depth, each layer being thoroughly compacted by tamping and/or rolling before succeeding layers are placed. "Stomper" type equipment for compaction shall not be permitted. Vibrating equipment that does not damage the pipe or adjacent facilities may be used for compaction.

Subsequent backfill compacted by tamping and/or rollings shall be free from stones or lumps exceeding three (3) inches in greatest dimension, vegetable matter, or other unsatisfactory material, and shall be compacted to a relative compaction of not less than ninety (90) percent as determined by Test Method No. California 216, except that within two and one-half (2 1/2) feet of finished

permanent surfacing grade the relative compaction shall not be less than ninety-five (95) percent. The contractor will be charged for the cost of all compaction tests where the test results do not meet the above specifications.

3103.8C Re-excavation. If the compaction requirements as specified above are not met, the trench shall be re-excavated. Backfill material shall then be compacted by tamping and/or rolling as specified above until the compaction requirements are satisfied.

3103.8D Restoration of Existing Facilities. Whenever existing improvements, such as pavements, curbs, gutters, sidewalks, driveways, storm drains, sanitary sewers, laterals, utilities, utility services, etc., have been cut or damaged in order to construct storm drains and appurtenances, the backfill shall be thoroughly compacted and all improvements restored to their original conditions. The cost of restoring all original improvements shall be included in the unit bid price for storm sewer pipe, or appurtenances, and no additional allowance shall be made therefor.

3103.9 Subgrade Preparation. The finished subgrade immediately prior to placing base material thereon shall have a relative compaction of not less than ninety-five (95) percent, for a depth of two and one-half (2 1/2) feet below finished permanent surfacing grade, as determined by Test Method No. California 216. Mud or other soft or spongy material shall be removed and the space filled with select backfill material and rolled or tamped in layers not exceeding eight (8) inches in thickness until the above relative compaction requirement is satisfied. Subgrade preparation is not required in unimproved areas where trench surfacing is not required.

3103.10 Trench Surfacing.

3103.10A General. Where an unimproved surface is encountered the trench shall be restored to its original surface.

Where a gravel surface is encountered, it shall be replaced over the width of the trench with Class 2 Aggregate Base six (6) inches in depth as specified in Section 26 of the Standard Specifications. Where the existing surface is some type of asphalt concrete, it shall be restored with a temporary surface followed by a permanent surface as specified herein.

3103.10B Temporary Surfacing. The temporary surfacing shall be Class 2 Aggregate Base as specified in Section 26 of the Standard Specifications. The aggregate base shall be equal in depth to the existing pavement structural section, but in any case not less than fourteen (14) inches in depth.

The aggregate base shall be given a penetration treatment as specified in Section 36 of the Standard Specifications. Liquid asphalt used for the treatment shall be grade MC-70 or SC-70. The rate of application of the liquid asphalt shall be the maximum that will, under favorably weather conditions, be completely absorbed by the base material within twenty-four (24) hours from the time of application. A sufficient amount of liquid asphalt shall be applied to bind the aggregate base and prevent raveling. Care shall be taken that no liquid asphalt is applied to the adjoining pavement surface.

All temporary surfacing shall be laid within two (2) days after backfilling. Before the street is opened for traffic, all excess dirt, rock and debris shall be removed and the street surface shall be swept clean. Temporary surfacing shall be maintained constantly so that at no time will there be any mudholes nor shall the surface settle below one (1) inch nor be raised more than one (1) inch from the existing pavement. All temporary asphalt shall comply fully with the Bay Area Air Quality Management District's Regulation 8, Rule 15.

Section 302 of Rule 15 prohibits the use of "cut back" asphalt (including MC-70) during the months of April through October in paving material or in paving and maintenance operations. The contractor shall use only slow-cure (SC) liquid asphalts for temporary trench paving during April through October.

3103.10C Permanent Surfacing. Permanent surfacing shall not be constructed until the compaction requirements of Section 3103.8 of these specifications are satisfied. The wearing surface for permanent surfacing shall be replaced "in kind", but in no case shall the new surfacing be less than two (2) inches thick for asphalt concrete or less than six (6) inches thick for Portland Cement Concrete. A permanent surface shall be installed no later than ten (10) calendar days from completion of backfill.

3103.10C1 Asphalt Concrete. The existing pavement shall be neatly cut to a depth of two (2) inches and removed to at least five (5) inches outside each side line of the pipe trench to permit proper keying in the restored pavement. The existing pavement cut shall be straight, vertical and with no ragged edges.

The base course for permanent surfacing shall be Class 2 aggregate base as specified in Section 26 of the Standard Specifications. The aggregate base shall be equal in depth to the existing pavement structural section, but in any case not less than twelve (12) inches in depth.

The wearing surface for permanent surfacing shall be asphalt concrete two (2) inches minimum in depth. The asphalt concrete shall be "Type B Asphalt Concrete" with one-half (1/2) inch maximum, medium grading aggregate conforming to the requirements of Section 39 of the Standard Specifications.

3103.10C2 Portland Cement Concrete Paving. The existing pavement shall be neatly sawcut to a minimum depth of two (2) inches and at least five (5) inches outside each side line of the pipe trench to permit proper keying in the restored pavement. The contractor shall chip along the edge of the existing concrete pavement and remove all loose pieces prior to replacing the wearing surface for permanent surfacing.

The base course for permanent surfacing shall be Class 2 aggregate base as specified in Section 26 of the Standard Specifications. The aggregate base shall be equal in depth to the existing pavement structural section less six (6) inches, but in any case not less than six (6) inches in depth.

The wearing surface for permanent surfacing shall be Portland Cement Concrete in conformance with Section 90 of the Standard Specifications.

3103.11 Storm Sewer Manholes. Storm manholes shall be reinforced concrete, constructed at the locations shown on the plans and to the form and dimensions shown on the detailed plans.

In the construction of reinforced concrete manholes, joints shall be made in the same manner and sequence as heretofore specified for reinforced concrete pipe, tongue and groove jointed.

The storm sewer pipe shall be carried through the manhole structure and the concrete base of the manhole shall be constructed around the pipe. The top of the pipe shall be broken out flush with the inside of the manhole wall and top of platform. Pipe stubs for main and lateral sewers shall be built into the structure as shown on the plans.

- 3103.12 Drop Inlets. Drop inlets shall be constructed to the lines and grades shown on the plans and in accordance with the provisions of Sections 51 and 70 of the Standard Specifications as herein modified. Inlet boxes shall conform to "Type A Inlets" as detailed on the plans, or as otherwise specified.

The floor and the walls of the inlet box may be poured monolithically using Class "A" Concrete. "Ordinary Surface Finish" shall be applied to all the inside surfaces of the box. No concrete shall be poured when subgrade is excessively wet. The interior of the box shall be kept free of dirt, excess mortar and other foreign materials and shall be left clean at the completion of the inlet lateral.

Backfill around the completed drop inlet shall be thoroughly tamped into place by use of pneumatic tamper where possible, or other means approved by the Engineer. The relative compaction shall be ninety-five (95) percent.

- 3103.13 Payment.

- 3103.13A Storm Sewer Pipe. Quantities for payment shall be made by measuring horizontally along the centerline of the storm drain less the design distance between the ends of the pipe in manholes through which the pipe does not pass. Whenever split pipe is required through a manhole, such pipe shall be included in the measurement.

The contract unit price per linear foot for reinforced concrete pipe or cast-in-place concrete pipe shall include full compensation for all costs necessary and incidental to the complete installation of the concrete pipe storm sewer of the designated size and class, as specified herein and as designated on the plans.

- 3103.13B Storm Sewer Manholes. The contract unit price per each for "Storm Sewer Manholes" of the applicable diameter, four (4) feet or five (5) feet shall include full compensation for all costs necessary and incidental to furnishing and installing a storm sewer manhole complete including excavation, backfill, ring and cover, as herein specified and detailed on the plans. The cost of setting the manhole cover to grade after the asphalt concrete pavement is placed shall not be included in the contract unit price per each for storm sewer manhole, but paid for under asphalt concrete paving.

- 3103.13C Drop Inlets. The contract unit price for each "Drop Inlet" in place shall include full compensation for furnishing all labor, materials, tools, equipment and performing all work necessary to complete the drop inlet, including backfilling, and no additional allowance will be made.

3103.13D Cast In Place Payment. The contract unit price per linear foot measured along the centerline of the pipe shall include full compensation for the pipe in place including excavation, curing and backfill.

detail31