

RESOLUTION NO. 2021-014

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SANTA ANA AMENDING AND RESTATING THE CONFLICT OF INTEREST CODES OF CERTAIN CITY AGENCIES AND DEPARTMENTS

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SANTA ANA AS FOLLOWS

Section 1. The City Council of the City of Santa Ana hereby finds determines and declares as follows:

- A. The various offices, agencies, and departments of the City of Santa Ana have maintained Conflict of Interest Codes pursuant to the Political Reform Act of 1974 (California Government Code sections 81000 et seq.) since the enactment of that law.
- B. The last comprehensive revision of the Conflict of Interest Code of the various offices, agencies, and departments of the City is set forth in City Council Resolution No. 2020-070 adopted September 15, 2020.
- C. Certain agencies and departments of the City now desire to amend the list of designated employees in the Conflict of Interest Code set forth in Resolution No. 2020-070.

Section 2. The persons holding designated positions defined in Exhibit A attached to and made part of this resolution shall file statements of economic interest pursuant to the requirements of the City's Conflict of Interest Code.

Section 3. The disclosure categories as defined in Exhibit B are attached to and made a part of this resolution.

Section 4. This resolution shall supersede and replace Resolution No. 2020-070.

Section 5. This Resolution shall take effect immediately upon its adoption by the City Council, and the Clerk of the Council shall attest to and certify the vote adopting this Resolution.

ADOPTED this 6th day of April 2021.



Vicente Sarmiento
Mayor

APPROVED AS TO FORM:
SONIA R. CARVALHO, City Attorney

By: John M. Frank for
Laura A. Rossini
Chief Assistant City Attorney

AYES: Councilmembers: Bacerra, Hernandez, Lopez, Mendoza, Phan, Penaloza, Sarmiento (7)

NOES: Councilmembers: None (0)

ABSTAIN: Councilmembers: None (0)

NOT PRESENT: Councilmembers: None (0)

CERTIFICATE OF ATTESTATION AND ORIGINALITY

I, Daisy Gomez, Clerk of the Council, do hereby attest to and certify that the attached Resolution No. 2021-014 to be the original resolution adopted by the City Council of the City of Santa Ana on April 6, 2021.

Date: 04/08/2021



Daisy Gomez,
Clerk of the Council
City of Santa Ana



**CITY OF SANTA ANA
CONFLICT OF INTEREST CODE
DESIGNATED POSITIONS
2021-2022**

This Conflict of Interest Code is adopted for the City of Santa Ana California pursuant to the Political Reform Act of 1974 (California Government Code, Sections 81000 g.). It consists of the model code set forth in Regulation 18730 of the Fair Political Practices Commission, incorporated herein by reference, together with the following Appendix:

| DESIGNATED EMPLOYEES / FILERS | DISCLOSURE CATEGORY |
|--------------------------------------|--------------------------------|
| <u>Elected Officials</u> | |
| Mayor ¹ | 1 |
| Councilmembers ¹ | 1 |
| Successor Agency Members | 1 |
| <u>City Manager</u> | |
| City Manager ¹ | 1 |
| Assistant City Manager | 1 |
| Assistant to the City Manager | 1 |
| Management Assistant | 1 |
| Senior Management Assistant | 1 |
| Public Affairs Information Officer | 1 |
| Consultants ² | 1 |
| <u>Clerk of the Council</u> | |
| Clerk of the Council | 1 |
| Assistant Clerk of the Council | 1 |
| <u>City Attorney</u> | |
| City Attorney ¹ | 1 |
| Chief Assistant City Attorney | 1 |
| Assistant City Attorney | 1 |
| Deputy City Attorney | 1 |
| Senior Assistant City Attorney | 1 |
| Senior Legal Management Assistant | 4 |

DESIGNATED EMPLOYEES / FILERS**DISCLOSURE
CATEGORY****Community Development Agency**

| | |
|---|------|
| Executive Director of Community Development | 1 |
| Arts and Culture Specialist | 2, 4 |
| Community Development Analyst | 2, 4 |
| Economic Development Manager | 1 |
| Economic Development Specialist II | 2, 4 |
| Economic Development Specialist III | 2, 4 |
| Homeless Services Manager | 1 |
| Housing Authority Analyst | 2, 4 |
| Housing Authority Operations Supervisor | 4 |
| Housing Division Manager | 1 |
| Housing Programs Analyst | 2, 4 |
| Housing Specialist I | 2, 6 |
| Housing Specialist II | 2, 6 |
| Loan Specialist | 2 |
| Management Analyst | 2, 4 |
| Principal Management Analyst | 1 |
| Residential Construction Specialist | 2, 5 |
| Senior Community Development Analyst | 2, 4 |
| Senior Housing Specialist | 2 |
| Senior Residential Construction Specialist | 2, 5 |
| Workforce Specialist III | 2, 4 |
| Workforce Specialist IV | 2, 4 |
| ** Consultants (Grants) | 2, 4 |

Finance Management Services Agency

| | |
|---|---|
| Executive Director ¹ | 1 |
| Accounting Manager | 1 |
| Assistant Director of Finance Management Services | 1 |
| Budget Analyst | 1 |
| Budget Supervisor | 1 |
| Administrative Services Manager | 1 |
| Management Analyst | 1 |
| Payroll Manager | 1 |
| Payroll Systems Analyst | 1 |
| Principal Management Analyst | 1 |
| Senior Budget Analyst | 1 |
| Senior Financial Analyst | 1 |
| Supervising Accountant | 1 |
| Treasury and Customer Services Manager | 1 |
| Treasury Services Supervisor | 1 |
| Budget and Research Manager | 1 |

DESIGNATED EMPLOYEES / FILERS**DISCLOSURE
CATEGORY****Information Technology Department**

| | |
|--|---|
| Chief Technology Innovations Officer | 1 |
| Information Services and Network Manager | 1 |
| Applications/Tech Support Manager | 1 |

Library Services Agency

| | |
|----------------------------|---|
| Executive Director | 1 |
| Library Operations Manager | 1 |
| Principal Librarian | 1 |

Human Resources Department

| | |
|---------------------------------------|---|
| Executive Director | 1 |
| Assistant Director of Human Resources | 1 |
| Benefits & Compensation Supervisor | 1 |
| Payroll Systems Analyst | 1 |
| Principal Human Resources Analyst | 1 |
| Risk Manager | 1 |
| Senior Human Resources Analyst | 1 |
| Worker's Compensation Administrator | 4 |

Parks Recreation and Community Services

| | |
|--|---|
| Executive Director | 1 |
| Administrative Services Manager | 1 |
| Recreation and Community Events Supervisor | 1 |
| Recreation and Community Services Manager | 1 |
| Recreation and Community Services Supervisor | 1 |
| General Maintenance Supervisor | 1 |
| Management Analyst | 1 |
| Park Services Inspection Supervisor | 1 |
| Park Services Superintendent | 1 |
| Senior Management Analyst | 1 |
| Zoo Manager | 1 |
| Zoo Curator | 1 |
| Zoo Curator of Education | 1 |
| Zoo Curator of Health | 1 |

DESIGNATED EMPLOYEES / FILERS**DISCLOSURE
CATEGORY****Planning and Building Agency**

| | |
|---|--------------|
| Executive Director | 1 |
| Administrative Services Manager | 1 |
| Assistant Director of Planning and Building | 1 |
| Assistant Plan Check Engineer I | 2, 7 |
| Assistant Plan Check Engineer II | 2, 7 |
| Assistant Planner I | 2, 6, 7* |
| Assistant Planner II | 2, 6, 7* |
| Associate Plan Check Engineer | 2, 7 |
| Associate Planner | 2, 6, 7* |
| Building Safety Manager | 1 |
| Building Technician | 6 |
| Code Enforcement Associate | 6 |
| Code Enforcement Manager | 1 |
| Code Enforcement Officer | 6 |
| Code Enforcement Principal | 2, 6 |
| Code Enforcement Supervisor | 2, 6 |
| Combination Building Inspector | 6 |
| Management Aide | 2, |
| Management Analyst | 2, 4 |
| Part-time Permit Services Technician | 6 |
| Part-time Planning Technician | 2, 6, 7 |
| Permit Services Processor | 6 |
| Permit Services Supervisor | 2, 6, 7** |
| Permit Services Technician | 6 |
| Planning Assistant | 2, 6, 7 |
| Planning Manager | 1 |
| Planning Technician | 2, 6, 7 |
| Plans Examiner Electrical | 2, 6 |
| Plans Examiner Plumbing/Mechanical | 2, 6 |
| Principal Planner | 2, 6, 7** |
| Senior Accounting Assistant | 4 |
| Senior Code Enforcement Officer | 6 |
| Senior Combination Building Inspector | 6 |
| Senior Community Planner | 1 |
| Senior Electrical Inspector | 6 |
| Senior Electrical Systems Specialist | 2, 6 *** |
| Senior Plan Check Engineer | 2, 7 |
| Senior Planner | 2, 6, 7 **** |
| Senior Plumbing / Mechanical Systems Specialist | 2, 6 *** |
| Supervisor of Inspections | 2, 6, 7 |

DESIGNATED EMPLOYEES / FILERS**DISCLOSURE
CATEGORY****Police Department**

| | |
|--------------------------------------|---|
| Police Chief | 1 |
| Correctional Manager | 1 |
| Police Administrative Manager | 1 |
| Police Captain / Deputy Chief | 1 |
| Police Communications Manager | 4 |
| Police Lieutenant /Commander | 1 |
| Police Systems Manager | 1 |
| Police Administrative Budget Manager | 1 |

Public Works Agency

| | |
|---|---------|
| Executive Director | 1 |
| Administrative Services Manager | 1 |
| NPDES Manager | 2, 5, 7 |
| Assistant Public Works Maintenance Manager | 1 |
| Deputy Public Works Director/City Engineer | 1 |
| Senior Construction Inspector | 5 |
| Construction Inspector | 5 |
| Contracts Administrator | 5 |
| Deputy City Engineer | 1 |
| Principal Civil Engineer | 2, 5, 7 |
| Projects Manager | 1 |
| Deputy Public Works Director/ Maintenance Services Manager | 1 |
| Senior Civil Engineer | 2, 5, 7 |
| Senior Engineer | 2, 5, 7 |
| Associate Engineer | 2, 5, 7 |
| Civil Engineer | 2, 5, 7 |
| Stores & City Yard Property Specialist | 2, 5, 7 |
| Street Maintenance Supervisor | 1 |
| Fleet Supervisor | 2, 5, 7 |
| Building Maintenance Supervisor | 2, 5, 7 |
| Tree Maintenance Supervisor | 1 |
| Deputy Public Works Director/ Water Resources Manager | 1 |
| Water Services Supervisor | 1 |
| Deputy Public Works Director/ Construction Services Manager | 1 |
| Senior Management Analyst | 1 |

Board / Commission Members

| | |
|--|---|
| Arts and Culture Commission | 1 |
| Community Development Commission | 1 |
| Environmental and Transportation Advisory Commission | 1 |
| Historic Resources Commission | 1 |
| Personnel Board | 1 |
| Parks, Recreation and Community Services Commission | 1 |

DESIGNATED EMPLOYEES / FILERS

**DISCLOSURE
CATEGORY**

| | |
|----------------------------------|---|
| Planning Commission ¹ | 1 |
| Workforce Development Board | 1 |
| Successor Agency | 1 |
| Housing Authority | 1 |

¹ The Mayor, City Council, City Manager, City Attorney, Executive Director of Finance Management Services Agency, and Planning Commissioners file Economic Disclosure Form 700 with the Fair Political Practices Commission per Government Code Section 87200.

² City Consultants shall be included when the City Manager determines in writing that a particular consultant is hired to perform duties that would constitute a “designated position”. Such written determination shall include a description of the consultant’s duties and, based upon the description, a statement of the extent of disclosure requirements. The determination by the City Manager is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

**CITY OF SANTA ANA
CONFLICT OF INTEREST CODE
DISCLOSURE CATEGORIES**

Required filers must review Form 700 Schedules and complete forms as mandated by the specific Disclosure Category designated.

Disclosure Category 1: Full Disclosure

Persons in this category shall disclose all applicable investments, business positions, income, any interests in real property located in the City or *within a two-mile radius outside of the City limits*, and, any interests in property owned or used by the City.

Disclosure Category 2: Decision Making Authority Affecting Real Property

Persons in this category shall disclose all applicable investments, business positions, and income, including loans and gifts, from sources which engage in land development, construction, or the acquisition or sale of real property; also reportable are all interests in real property located in the City and *within a two-mile radius outside of the City limits* or of any property owned or used by the City.

Disclosure Category 3: City-wide Purchasing Responsibilities

Persons in this category shall disclose applicable investments, business positions, and income, including loans and gifts, from sources which provide services, supplies, materials, machinery, or equipment of the type utilized by the City

Disclosure Category 4: Department/Division Purchasing Responsibilities

Persons in this category shall disclose all applicable investments, business positions, and income, including loans and gifts, from sources which provide services, supplies materials, machinery, or equipment of the type utilized by the designated employee s agency, department, or division.

Disclosure Category 5: Public Works/Construction Projects Responsibilities

Persons in this category shall disclose all interests in and income from businesses engaged in construction, landscaping, street repair, traffic signal installation or modification or the supply of materials for any of the above.

Disclosure Category 6: Regulatory Power

Persons in this category shall disclose all applicable investments, business positions, and income, including loans and gifts, from any source which is subject to the regulatory, permit, or licensing authority of the designated employee's agency, department, or division.

Disclosure Category 7: Planning and Engineering

Persons in this category shall disclose all applicable investment, business positions, and income, including loans and gifts, from any source engaged in engineering, planning, and design services.