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BOARD NOTICE 152 OF 2008

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

AMENDMENT NOTICE ON THE GENERAL CODE OF CONDUCT FOR AUTHORISED FINANCIAL SERVICES PROVIDERS AND REPRESENTATIVES, 2008

I, Dube Phineas Tshidi, Registrar of Financial Services Providers, hereby, after consultation with the Advisory Committee on Financial Services Providers, under section 15 of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), amend Part VI in the Schedule to the Notice on the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003, as set out in the Schedule to this Notice.

DP TSHIDI

D P Tshidi,
Registrar of Financial Services Providers

SCHEDULE

AMENDMENT OF GENERAL CODE OF CONDUCT FOR AUTHORISED FINANCIAL SERVICES PROVIDERS AND REPRESENTATIVES

Definitions

1. In this Schedule "the Notice" means the Notice on the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003.

Amendment of Part VI of Schedule to Notice

2. Part VI of the Schedule to the Notice is hereby amended by the substitution for paragraph (xiii) of section 7(1)(c) of the following paragraph:

   "(xiii) any material investment or other risks associated with the product, including any risk of loss of any capital amount(s) invested due to market fluctuations; and".

Short title and commencement

3. This Notice is called the Amendment Notice on the General Code of Conduct for Authorised Financial Services Providers and Representatives, No. 2 of 2008, and comes into operation on a date three months after the date of publication of this Notice in the *Gazette*.