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BOARD NOTICES

BOARD NOTICE 275 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

REINSTATEMENT OF WITHDRAWN LICENCES

I, Caroline Dey da Silva, the Deputy Registrar of Financial Services Providers, hereby make known that the licences of the following licensees have been reinstated with effect from the respective dates referred to hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE
Abercrombie Asset Management (Pty) Ltd	29157	19 November 2013
Alten Wilberforce Nortje t/a AW Nortje Life Insurance	14128	28 November 2013
Autumn Star Trading 274 (Pty) Ltd t/a Autumn Star	28893	9 December 2013
Benjamin Nkunswini Ntshangase t/a Ntshangase Brokers	26783	20 November 2013
Imnkanyezi Yesizwe Trading and Projects (Pty) Ltd	43542	19 November 2013
Linda Thokozile Ntoni t/a L Conco and Associates	6383	29 November 2013
Michael Lawrence Smith	39339	12 December 2013

This Notice is called the Notice on Reinstatement of Withdrawn Licences, No 10 of 2013.



C D DA SILVA

Deputy Registrar of Financial Services Providers

BOARD NOTICE 276 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****SUSPENSION OF AUTHORISATION**

I, Caroline Dey da Silva, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that the licences of the following licensees have been suspended with effect from the dates referred to hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
Surecare Brokers CC	9612	5 December 2013	Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008 ("Fit and Proper Requirements")
Fred Lovelock & Associates (Newcastle) CC t/a MSB	22405	5 December 2013	Contravention of section 10 of the Fit and Proper Requirements
Rio De La Platta CC	35687	5 December 2013	Contravention of section 10 of the Fit and Proper Requirements
2Gether 4Gud Trading and Projects CC	43259	18 October 2013	Contravention of section 10 of the Fit and Proper Requirements
Mudzi Driving School CC t/a Mudzi Insurance Brokers	44066	6 November 2013	Contravention of section 10 of the Fit and Proper Requirements
PAL Life CC	43259	25 November 2013	Contravention of section 10 of the Fit and Proper Requirements
Sipho Simon Ngidi t/a Isipho Broker	44070	4 November 2013	Contravention of section 10 of the Fit and Proper Requirements

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 11 of 2013.



C D DA SILVA

Deputy Registrar of Financial Services Providers

BOARD NOTICE 277 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, Caroline Dey da Silva, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that the licences of the following licensees have been withdrawn with effect from the respective dates referred to hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
Beck Versekerings en Beleggings Makelaars BK	8943	22 November 2013	Contravention of 19(2)(b)(iii) of the FAIS Act
Donald Ian Hain t/a Banks Hain And Company	16657	5 December 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Guillame Nothnagel t/a GN Makelaars	16466	5 December 2013	Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008 ("Fit and Proper Requirements")
Kapungu Consulting (Pty) Ltd	42873	5 December 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shiloh Insurance BK t/a Autumn Risk	33073	5 December 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Terence Richard Vermeulen t/a T Vermeulen Makelaars	11714	26 November 2013	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act") and sections 17 (4) and 19(2)(b)(iii) of the FAIS Act
Trustkor Financial Services (Pty) Ltd	36761	5 December 2013	Contravention of section 8(1)(a) of the FAIS Act
Ubuntu Bam Trading Enterprise CC	39549	6 December 2013	Contravention of section 15A of the FSB Act, 1990 ("FSB Act")
Zoomvent Trading CC t/a PE Auto Lot	22198	5 December 2013	Contravention of section 15A of the FSB Act and section 10 of the Fit and Proper Requirements

This Notice is called the Notice on Withdrawal of Authorisation, No 10 of 2013.



C D DA SILVA

Deputy Registrar of Financial Services Providers

BOARD NOTICE 278 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****LIFTING OF SUSPENSION**

I, Caroline Dey da Silva, the Deputy Registrar of Financial Services Providers, hereby make known that the suspensions of the following licensees have been lifted with effect from the dates referred to hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE
Bongani Clifford Dladla	41183	28 November 2013
C & H Trust t/a Auto Traders	7294	19 November 2013
Elizabeth Mamaregane t/a MT Insurance Brokers	16963	5 December 2013
HV Makelaars BK	37265	28 November 2013
Legal Protection Services (Pty) Ltd	3887	5 December 2013
Lehlohonolo Elias Motaung t/a Lehlohonolo Brokers	17719	11 December 2013
Matorokisi Financial Services CC	37667	28 November 2013
Sivananda Chetty t/a Finsure Financial Services	12935	12 December 2013
Vuwa Investments (Pty) Ltd	29450	12 December 2013

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 10 of 2013.



C D DA SILVA

Deputy Registrar of Financial Services Providers

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