



Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 582

Pretoria, 30 December
Desember 2013

No. 37211

*N.B. The Government Printing Works will
not be held responsible for the quality of
"Hard Copies" or "Electronic Files"
submitted for publication purposes*



AIDS HELPLINE: 0800-0123-22 Prevention is the cure

IMPORTANT NOTICE

The Government Printing Works will not be held responsible for faxed documents not received due to errors on the fax machine or faxes received which are unclear or incomplete. Please be advised that an "OK" slip, received from a fax machine, will not be accepted as proof that documents were received by the GPW for printing. If documents are faxed to the GPW it will be the sender's responsibility to phone and confirm that the documents were received in good order.

Furthermore the Government Printing Works will also not be held responsible for cancellations and amendments which have not been done on original documents received from clients.

CONTENTS • INHOUD

<i>No.</i>		<i>Page No.</i>	<i>Gazette No.</i>
BOARD NOTICES			
279	Financial Advisory and Intermediary Services Act (37/2002): Financial Services Board: Exemption of Alan Fisher and Associates CC (FSP No. 11841)	3	37211
280	do.: do.: Exemption of particular persons from the Level 1 Regulatory Examination, No. 11 of 2013.....	5	37211
281	do.: do.: Exemption of particular persons from the qualification requirements, No. 5 of 2013	8	37211
282	do.: do.: Exemption of particular persons from qualification requirements, No. 6 of 2013	10	37211
283	do.: do.: Particular exemption from fees payable to registrar, No. 8 of 2013.....	13	37211
284	do.: do.: Exemption of particular persons from qualification requirements, No. 8 of 2013	14	37211
285	do.: do.: Exemption of particular persons from qualification requirements, No. 7 of 2013	17	37211
286	do.: do.: Exemption of particular persons from the Level 1 Regulatory Examination, No. 12 of 2013	20	37211

BOARD NOTICES

BOARD NOTICE 279 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

EXEMPTION OF ALAN FISHER AND ASSOCIATES CC (FSP NO. 11841)

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), Alan Fischer and Associates CC to the extent and subject to the conditions set out in the Schedule.



CD Da Silva,
Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF ALAN FISHER AND ASSOCIATES CC (FSP NO. 11841)

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008; and

"AFA", means Alan Fischer and Associates CC, an authorised financial services provider.

Extent of exemption and conditions

2. (1) AFA is exempted from paragraph 9(2) of the Determination of Fit and Proper Requirements provided-
 - (a) the Memorandum of Agreement entered into between AFA and Mr Alan Fischer dated 15 October 2013 remains unaltered and in force;
 - (b) it on a quarterly basis, commencing on 1 January 2014, and within 14 days after quarter-end submits to the Registrar its latest financial management accounts;
 - (c) it does not hold, receive or otherwise deal with client funds;

- (d) it immediately informs the Registrar of any matter that may impact on AFA's ability to comply with paragraph 9(2) of the Determination of Fit and Proper Requirements on expiry of this Exemption; and
- (e) it immediately advises the Registrar of any change to the particulars furnished in and relating to AFA's exemption application.

(2) This Exemption shall, subject to paragraph 3, be valid until 28 February 2015.

Amendment and withdrawal of exemption and conditions

3. The exemption and conditions mentioned in paragraph 2 are subject to-

- (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Mutual Interest Financial Services (Pty) Ltd, 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 280 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 11 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 11 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Albertus Hendrik Adriaan du Plessis	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Nkosana Korie	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
David Robert Schulman	Must successfully complete the relevant first level regulatory

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
	examination by 31 July 2014.
Zodwa Jeannette Ndaba	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Hendrik Lindenberg van Coppenhagen	Must successfully complete the relevant first level regulatory examination by 31 January 2016.
Hugo Adriaan Bornman	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Sihle Wiseman Nzama	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Phehlane Albert Mosoane	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Malose Stephen Mbewe	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Lelanie Hatting	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Mark Anthony Muttitt	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Motlhaodi William Malgas	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Benedict Hlophelo Mdlazi	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Nyameka Patience Nonxa	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Norah Thoko Mahlangu	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Motepele Boniface Malebana	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Conneluis Wiese Barnard	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Marie-Euphemie Murgatroyd	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Johannes Tromp Venter	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Hugo Adriaan Bornman	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Magadani Abel Mudzanai	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Sindile Kevin Khumalo	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Lily Anne Reddy	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Deon Abraham Richard	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Clint Donkin	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Elsie Susanna Da Silva	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Gary Colin Mostert	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Dirk Hercules Coetzee	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Melena Rampersad	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Errol Lai King	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Rosanne Smalberger	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Rashmi Mathuradas	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Elizabeth Catharina Pelser	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Colin John Martyn	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Mandla Hudson Mahlalela	Must successfully complete the relevant first level regulatory examination by 31 July 2014.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Picol Mbango Thobela	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
David Mhlanhla Ngwenya	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
David Welcome Mhawule	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Bhekinkosi Sidney Shongwe	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Caswell Nhlanhla Shube	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Siswe Desmond Terrence Chonco	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Jean-Pierre Robertse	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Heinrich Helgaard Henning	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Andre Johannes Robberts	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Steve Jaun Pierre Bothma	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Corne Frederick Willmot	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Elizabeth Johanna Henning	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Wendy Kriel	Must successfully complete the relevant first level regulatory examination by 31 July 2014.
Jonathan Humphery Levine	Must successfully complete the relevant first level regulatory examination by 31 July 2014.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 11 of 2013, and comes into operation on publication in the *Government Gazette*.

BOARD NOTICE 281 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
NO. 5 OF 2013**

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


CD da Silva*Deputy Registrar of Financial Services Providers***SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, NO. 5 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

"qualification requirements" means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Darryn Arlin Pienaar	Must comply with the qualification requirements by 31 January 2015.
Daan Wessels	Must comply with the qualification requirements by 30 April 2014.
Hendrik Lindenberg van Coppenhagen	Must comply with the qualification requirements by 31 January 2016.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 5 of 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 282 OF 2013

**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
NO. 6 OF 2013**

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, NO. 6 OF 2013**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

"Qualification requirements" means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

Extent and duration of Exemption

2. (a) The applicants for exemption referred to in Table A hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2015**.

TABLE A	
Applicant	
Dean Mark Kantor	Lungephi David Rasmeni
Albertus Hendrik Adriaan du Plessis	Divan James Batt
John Siyambonga Mbewana	Thabo Stoffel Latakgomo
Thulani Ricardo Ngubane	Sharon Dhanalutchmee Chetty

TABLE A	
Applicant	
Basil Zukile Joka	Ryan van der Merwe
Petro van Biljoen	Magda Prinsloo
Magda Prinsloo	Cindy Tessa Alfino
Sandra Louw	Santho Itekeng Mohapeloa
Antoinette Alkdien Catrina Conradie	Waseem Hoosen
Desiree Dawn Coetzee	Linesh Sookdhew
Denise Muller	Kriban Sadasivan Moonsamy
Ashley Bennett	Mandy Sechogela
Brendan Fillies	Harold Brain Hopking
Bjorn Johnson	Brent Murray Robinson
Lyle Williams	Barend James Meyer
Marco Mentor	Thereza De Wet
Wendell October	Ayanda Nomusa Uche
Given Samuel Montsioa	Felicia Elizabeth Gounder
Ashley Bennett	Rubin Louis Blom
Bjorn Johnson	Robert Linkmeyer
Lyle Williams	Jacques Van Vuuren
Marco Mentor	Allister Grant Montgomery
Wendell October	Conrad Cadle
Brendan Fillies	Eugene Gerrard Fick
Clifford Chetty	Carlo Cronje
Jean-Pierre Robertse	

- (b) The applicants for exemption referred to in Table B hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2016**.

TABLE B	
Applicant	
Sihle Wiseman Nzama	Jacques Visser
Ashley Singh	Nkosana Korie
Vanashree Munian	David Robert Schulman
Adrian Timothy Serfontein	Zodwa Jeannette Ndaba
Sulochana Saayman	Mohomed Waseem Ramjan
Elizabeth Maria Le Feure	Corneluis Wiese Barnard
Andries Sekgome Boshielo	Oageng Moeketsi
Colin Ricardo Wood	Donovan Leigh Scowby
Busisiwe Lizz Zondo	Noxolo Nkabinde
Keneilwe Alfred Molefe	Zinhle Nkosi

Conditions of Exemption

3. (a) This Exemption is subject to the conditions that an applicant referred to in Table A above must-
- (i) on or before 28 February 2014 furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
 - (ii) on or before 28 February 2015 furnish the Registrar with his/her statement of results;
 - (iii) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and

- (iv) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

Amendment and withdrawal of Exemption and Conditions

- 4. This Exemption is subject to-
 - (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

- 4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 6 of 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 283

FINANCIAL SERVICES BOARD FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, NO. 8 OF 2013

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

Albertus Hendrik Adriaan du Plessis	Marie-Euphemie Murgatroyd
Elizabeth Maria Le Feure	Johannes Tromp Venter
Jacques Visser	Hugo Adriaan Bornman
Nkosana Korie	Magadani Abel Mudzanai
John Siyambonga Mbewana	Sindile Kevin Khumalo
Zodwa Jeannette Ndaba	Lily Anne Reddy
Warren Vaughn Leslie Jefftha	Deon Abraham Richard
Mohomed Waseem Ramjan	Linesh Sookdhew
Ashley Singh	Clint Donkin
Petro van Biljoen	Elsie Susanna Da Silva
Vanahree Munian	Gary Colin Mostert
Oageng Moeketsi	Dirk Hercules Coetzee
Magda Prinsloo	Melena Rampersad
Sandra Louw	Rosanne Smalberger
Antoinette Alkdien Catrina Conradie	Rashmi Mathuradas
Desiree Dawn Coetzee	Elizabeth Catharina Pelsner
Denise Muller	Mandla Hudson Mahlalela
Hendrik Lindenberg van Coppenhagen	Picol Mbango Thobela
Given Samuel Montsioa	David Mhlanhla Ngwenya
Hugo Adriaan Bornman	David Welcome Mhawule
Sihle Wiseman Nzama	Bhekinkosi Sidney Shongwe
Barend James Meyer	Caswell Nhlanhla Shube
Mandy Sechogela	Sizwe Desmond Terrence Chonco
Lelanie Hatting	Donovan Leigh Scowby
Mark Anthony Muttitt	Brent Murray Robinson
Motlhaodi William Malgas	Wendy Kriel
Benedict Hlophelo Mdlazi	Heinrich Helgaard Henning
Nyameka Patience Nonxa	Andre Johannes Robberts
Norah Thoko Mahlangu	Steve Jaun Pierre Bothma
Motepele Boniface Malebana	Corne Frederick Willmot
Lungephi David Rasmeni	Elizabeth Johanna Henning
Magda Prinsloo	Waseem Hoosen

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 8 of 2013, and comes into operation on the date of publication in the *Gazette*.



CD Da Silva

Deputy Registrar of Financial Services Providers

BOARD NOTICE 284 OF 2013**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
NO. 8 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.

**CD Da Silva***Deputy Registrar of Financial Services Providers***SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, NO. 8 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

"Qualification requirements" means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

Extent and duration of Exemption

2. The applicants for exemption referred to in the Table hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2016**.

APPLICANTS	
Kasthurie Sookoo	Yunus,Ishaad
Sharmane Chetty	Morgan, Melissa
Logandri Naidoo	Mgwaba,Ayanda

APPLICANTS	
Jamie Gangaparsad	Raidass, Meshica
Preshen Rampersadh	Precious Nomzamo Langa
Lutchmenan Narainan	Vhonani Sharllote Sigebe
Nosabelo Langa	Thabo Mathe
Mfundiso Mlungwana	Nhlakanipho Pentecost Khumalo
Julian Naidoo	Khutso Clarence Rasebotsa
Francois Grobbelaar	Mxolisi Mlangeni
Warren Ebertsohn	Thandi Ntshingila
Naidoo, Kathreen	Phakamani Sethole
Khan, Yasmin	Nthandoyenkosi Bophela
Teladia, Ozyer	Lebohang Mpotwane
Joseph, Joleen	Samantha Arison
Dawood, Femida	Rameez Jakoet
Govenden, Lutchmee Melanie	Thulani Given Mcaba
Pillay, Sindy	Delwin Patrickj Danny Pieterse
Kalian, Varasha	Abongile Delo
Chetty, Kershnee	Muchena Phillip
Sandile Molefe	Palesa Letshela
Veraun Budhai	Linah Mamabolo
Brenden Perumal	Sibongile Masanabo
Marcus Moodley	Phumela Matholengwe
Anthony, Odell Natlie	Paulos Mokhethi
Naicker, Navashni	Chris Mabogo
Nair, Thavaloshnan	Sthembisio Mseleku
Devnarain, Trisha	Ntokozo Reginag Mkhize
Williams, Jody Ann	Simamgele Edith Ngcobo
Venketswamy, Leonard	Anele Veronica Mijima
Wilson, Leah	David Thulane Phiri
Kanni, Kassandra	Thato Mokoena
Fiona Xulu	Gloria Ramabele
Lebohang Masiza	Thabang Nzama
Rowan Pieters	Sinobuhle Mkaza
Zilungile Nxumalo	Nhlanhla Lydia Tsolo
Ruwaida Hassan	Lebogang Nathaniel
Adumodwa Roboji	Helen Mpho Mosehla
Zandile Nhlabathi	Nthavhiseni Tshivule
Mhlawenkosi Kondleka	Linda Ntshikaniso
Garth Roy Stapleton	Atamba Boyana
Jonathan Young	Abel M Mbatha
Marelyn Naicker	Bophelo Mccamel
Jeffrey Narainsamy	Martin Sabole
Jacqueline Dupraj	Sikelela Hlofela
Salome Govender	Bareng Ingrid Segale
Dimple Rabbikissoon	Banele Bruce Mafikeng
Natasha Pillay	Phumzile Vilakazi
Virginia Shireen Govender	Sipho Strato
Colin Ricardo Wood	Lyle Snyders
Busisiwe Lizz Zondo	Ntokozo Reginah Mkhize
Keneilwe Alfred Molefe	Simamgele Edith Ngcobo
Zinhle Nkosi	Anele Veronica Mijima
Ralvin Damentry Richards	Hlengani Sandile Biyela

APPLICANTS	
Premraj Suerekha Ramesh	Ntokozo Lindokuhle Sokhweba
Gina Zakhona Mapha	Kalumba Joseph Chiba
Shabalala, Lebonhang Sylvia	Lunga Ncivitwa
Ndlovu, Petronella Snenhlanhla	Laila Mabuza
Mogotsi, Lehlohonolo Senzeni Precious	Thembisa Mdlokovana
Hlengani Sandile Biyela	Vernon Govender
Virginia Shireen Govender	Randheev Maharaj
Natasha Meintjies	Rosalind Naidoo
Merlin Trishia Govender	Roland Nariansamy
Jeewan Harribanse	Chantelle Haricumar
Vishen Juthnanan	Donheaven Perumal
Retesh Sewpaul	Albert Joseph Duncan Smith
Lucille Soobramodey	Stanley Livingstone
Brett Ricky Kinder	Trevor Nair
Nosihle Buthelezi	Londiwe Ednah Manana
Kashnie Govender	Michael Khumalo
Stephen Marathamuthu	Vernon Govender
Hudivhannyi Ramugondo	Matlhodi Sithole
Nobuhle Tshabalala	Thoko Mdaka
Lucia Mthethwa	Elizabeth Ledwaba
Bongani Mashali	Thobile Mhlanga
Kehilwe Ralikhomo	Edwina Thys
Aabied Levy	Unathi Mandita
Darren Faure	Ashlyn Jutee
Mohamed Yacoob	

Conditions of Exemption

3. This Exemption is subject to the conditions that an applicant must-
- on or before **28 February 2014** furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
 - on or before **28 February 2015** furnish the Registrar with his/her statement of results;
 - immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and
 - immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

Amendment and withdrawal of Exemption and Conditions

4. This Exemption and Conditions are subject to-
- amendment thereof published by the registrar by notice in the *Gazette*; and
 - withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 8 of 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 285 OF 2013

**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
NO. 7 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, NO. 7 OF 2013**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

"Qualification requirements" means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

Extent and duration of Exemption

2. The applicants for exemption referred to in the Table hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2015**.

APPLICANTS	
Maria Esther Maphosa	Sifiso Absalom Dladla
Letty Rivombo	Simphiwe Elvis Tsala
Moses Charo Maseko	Sindiswa Hazel Mpetsheni
Abednigo Ntate Moyo	Sithembile Nkume
Anastasia Bomkazi Dliwako	Sontaga Mary Ledwaba
Andiswa Hanjana	Stephenis Bhékifa David Mngomezulu

APPLICANTS	
Angel Carrie Mabuza	Thandazile Sithembile Gina
Ausi Belsi Mokati	Thembekile Mqgaha
Babalwa Yvonne Dlephu	Thembele Thelma Mashiqi
Bandlakazi Kana	Thokozile Tshabalala
Banele Tutuka	Nombuyiselo Vinolia Boyana
Buntu Memani	Violet Matlakala Modisaesi
Daphney Bongumusa Mbongwa	Letlhogonolo Virginia Modise
Delisile Lubisi	Vumile Londiwe Zuma
Dorothy Keneuwe Makhoathi	Vuyokazi Sweetness Majali
Dudu Pretty Mqadi	Winfred Mapule Molokele
Ellen Maruping	Magoletsa Susan Mashiane
Gcobisa Hambaze	Zinziswa Buso
Tsakane Gladys Maluleke	Ziphezinhle Thandeka Sitole
Gobusamang Ricardo Sefora	Nthoto Confidence Mabina
Jan Titi Raseale	Thomas Japhta Ngonyama
Kedibone Suzan Moloto	Ntloheleng Aaron Xaba
Kelebogile Annah Mabalane	Bulelwa Cengani
Khanyisa Nqeno	Vuyokazi Veronica Diko
Khanyisa Ludaka	Lucky Marcus Dube
Khungeka Mcapukisi	Prince Nkosana Gungqa
Lathiswa Jeanette Sonjica	Thabisa Hlomendlini
Lebohang Letticia Mataboge	Nonhlanhla Thulisile Lembethe
Lebusa Christian Radebe	Pumeza Luzipho
Lenox Mnikeli Mbalane	Thandiswa Patience Mabokela
Lindiwe Ndlovu	Kefliwe Annah Molotsi
Mantshane Marcus Thosago	Wilfredrick Mitengo Maringa
Kehiloe Letshego Mokhotho	Buile Sarah Mashiane
Busisiwe Mkhlenkcele	Johanna Mpho Matabane
Mbuyiselo Zicina	Philani Sheperd Mbambo
Phumla Venus Maneli	Sibukangamehlo Mjabuliseni Mbatha
Mkhethi Bawinile Magwaza	Samkelo Mdingane
Matsiliso Ledimo	Annastacia Bonisile Mkhize
Mzukisi Wellington Ntsendwana	Tshipinkwe Phillimon Motlathledi
Mzwamandla Dadasi	Nomvula Msi
Nare Ramokone Moloto	Joyina Noah Mthethwa
Njabulo Radebe	Bekabantu Mzizi
Nolusindiso Samantha Boli	Thobeka Nduku
Nonzame Margaret Madikane	Angeline Ngema
Nosipho Nobongoza	Thabani Msawenkosi Ngema
Nosisa Beanca Mazaleni	Princess Mmathapelo Ntswe
Noxolo Thabisa Nell	Sinenhlanhla Revival Phakathi
Ntombikhona Gladys Gazu	Lerutle Annanias Peter Sepeng
Patricia Mpho Nte	Nonhlanhla Pearl Shange
Patricia Angela Kekana	David Daniel Shongwe
Patrick Philani Zikhali	Babalwa Tokwe
Petrus Johannes Coetzer	Zinhle Nompumelelo Vilakazi
Phenyo Pauline Rakomane	Simon Justice Xego
Phillis Nokuthula Mambane	Thembisizwe Efrem Nonjuzana
Phumudzo Victoria Mufamadi	Sithulile Simbalne Simelane (Sithole)
Pumza Edith Galoshe	Precious Kunene
Phumzile Promise Ndlazi	Zanele Mlotshwa

APPLICANTS	
Portia Pamela Mtokwana	Lungile Magwebu
Rachel Mathope	Babalwa Mani
Ramoepi Peter Makgopela	Sipho Mzaza
Sandiso Wilfred Ntshongo	Kelebogile Jane Seleke
Malibongwe Mazinyo	Sherpard Buyani Ngwane
John Siyambonga Mbewana	Ayub Khan
Johannes Laubscher Goosen	Deon Myburgh

Conditions of Exemption

3. This Exemption is subject to the conditions that an applicant must-
- (a) on or before **28 February 2014** furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
 - (b) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirements on expiry of the Exemption; and
 - (c) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

Amendment and withdrawal of Exemption and Conditions

4. This Exemption and Conditions are subject to-
- (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 7 of 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 286 OF 2013

FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, NO. 12 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva
Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, NO. 12 OF 2013**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in the Table hereunder are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the condition that it must be successfully completed by 31 July 2014:

APPLICANTS	
Palesa Antoinette Moleli	Prabhu Subramoney
Luthando Ntamo	Boitemelo Kgomoitso Salaminah Dywile
Edid Erica Lunnis	Mpjo Elias Mokoka
Sabiena Johanna Williams	Simynton Munyangu Phiri
Matlala David Mongatane	Gracious Hlengiwe Lekhuleni
Sehle Victor Makhanya Makhany	Ntomizodwa Sabeth MkhaliPhi

APPLICANTS	
Bernadette Sharon Horne	Nomthandazo Sarah Mkhwebane
Lynne Sbongile Buthelezi	Nonkululeko Maphanga
Bongiwe Prudence Mosamai	Bareng Solomon Phasha
Merriam Ramasela Shotholo	Mosebatho Elsie Mokoena
Wendreth Gava Sebola	Monica Khoza
Ndileka Queen Sampson	Willfred Sylvester Maxiya
Nadia Nathelie Bailey	Deborah Wilma Ryan
Fortunate Sibiya	Lungile Happiness Dube
Rika Patricia Singh	Nosicelo Beville
Nelisiwe Margaret Joy Lichaba	Elizabeth Mwaka Haaketa
Tsholkolo Geoffrey Jonas	Babalwa Sybill
Jabulani Mthethwa	Mokgadi Emelia Legodi
Moetsuo Cherytann Letsela	Shoba Sandra Molepo
Thando Goba	Shoba Sandra Nyakynga
Thandi Ngubeni	Edith Nompumelelo
Nandiswa Loraine Mbobo	Lindiwe Elsie Shongwe
Elelwani Parausia Tambani	Kelly Cindy Minnaar
Virginia Mohale	Thuthukile Mnyandu
Mokgadi Emelia Seema	Skhumbuzo Sydney Mthetwa
Marriam Kediemetse Tshwane	Sylvester Lloyd Kilian
Mafulatsha Piet Ratheko	Masibulele Madikezela
Tsholofelo Maris Morebudi	Sedrick Celani Msomi
Siyanga Godlo	Sibusisiwe Siyoko
Anastasia Machuene Morakaladi	Mphoi Elias Mokoka
Phathutshedzo Constance Netshivhera	Herikus Johannes Fischer
Illon Shalati Khosa	Paballo Mapilo
Dipetogo letty Smous	Siksha Dhoorgapersadh
Moipone Mosoeu	Isabella Frederika Anker
Makgetwa Lekgala Mankge	Priscilla Govender
Kgomotso Lucia Ngesi	Mokgamoi Welheminsah Mashiloane
Phakamani Siphamandla Ndaba	Bafana Sizwe Zikalala
Stacy-lee Lucille Sago	Naia Nathelie Kortje
Magdeline Matlala Toto	Tshibiso Katusi Amos Marumo
Odwa Mata	Ntombi Maria Matlou
Vivian Chineye Ofili Atagana	Zinhle Shabalala
Ntombizodwa Sabeth Xakaliva	Mbalenhle Primrose Shabalala
Geraldine Nicolene Stols	Phinduwe Portia Msimanga
Masoja Madonsela	Ramasela Winnie Mashasha
Taole Enicca Hlakudi	Phumelele Archibald Msomi
Yvone Thokozile Mahlangu	Sedrick Celani Msomu
Kgomokeng Jane Seroka	Nelisiwe Ruby
Malefane Simon Seabola	Dumisile Witness Zondo
Mpeyane Francinah Ramolotja	Amanda Mgijima
Virginia Nchakga Malahlela	Ondela Budaza
Phemelo Kagisho Mogotsi	Retasha Dalipchand Silochan
Mahlako Kgaogelo Masoga	Phumlani Innocent Ncane
Theodora Nomumelelo Malema	Nicolette Moonsamy
Khanyisile Zwane	Vuyolwethu Nondabula
Khanyisile Zwane	Lebogang Aubrey Molotlhanyi
Jacob Rapule Leboe	Lucy Victoria Jacobs
Sylvester Lloyd Kilian	Thembalihle Wiseman Memela

APPLICANTS	
Zinhle Yovette Mbatha	Mpilo Handsome Ngubane
Mojalefa John Leope	Nkululeko Ngcobo
Lekgeto Phillemon Segola	Lwazi S'phiwe Ngcobo
Elvis Gabriel Khoza	Sandra Khosi Mabizela
Kgosi Colin Stona	Frank Mnisi
Tebogo Gregory Bonani	Jeanette Ntombekhaya Nteta
Rebecca Dithsego	Bradley David Forman
Dikeledi Portia Mohlala	Chihida Roshen Jevan
Shoba Sandra Molepo	Arthur Moratehi Mbele
Thabo Thomas Senye	Mandy Tshabalala
Amien Davids	Nokuthula Seritsane
Puleng Mary Galehole	Itumeleng Tshabalala
Itumeleng Kagiso Mmutlawane	Putsoe Vincent Caxton Moholobela
Mankone Linah Mathobela	Althea Elaine Moodley
Charne Vodia Sodom	Mantienyane Jane Mofokeng
Matitso Knaemang Mogoshadi	Emmanuel Johnny Louw
Ntsomo Debra Lebakeng	Malose Rodney Shikoane
Hilton Everet Abrahams	Anna Machoane Mphalele
Constance Lorato Tawane	Sibona-Kwenxeka-Menzi Ndlovu
Neo Innocentia Lepholletsa	Neo Carol Motsieloa
Avhashoni Tshilande	Shoba Sandra Nyakynga
Haillicia Ann Van Wyk	Bridget Nonkanyiso Ngcece
Bethvine Sandile Fundama	Kgomokeng Jane Serokoa
Mmamotse Evelyn Malao	Mokgadi Emelia legodi
Gallice Tsholofelo Mototo	Yunus,Ishaad
Kasthurie Sookoo	Morgan, Melissa
Sharmane Chetty	Mgwaba,Ayanda
Logandri Naidoo	Raidass,Meshica
Jamie Gangaparsad	Precious Nomzamo Langa
Preshen Rampersadh	Vhonani Sharllote Sigebe
Lutchmenan Narainan	Thabo Mathe
Nosabelo Langa	Nhlakanipho Pentecost Khumalo
Mfundiso Mlungwana	Khutso Clarence Rasebotsa
Julian Naidoo	Mxolisi Mlangeni
Francois Grobbelaar	Thandi Ntshingila
Warren Ebertsohn	Phakamani Sethole
Naidoo, Kathreen	Nthandoyenkosi Bophela
Khan, Yasmin	Lebohlang Mpotwane
Teladia, Ozyer	Samantha Arison
Joseph, Joleen	Rameez Jakoet
Dawood, Femida	Thulani Given Mcaba
Govenden, Lutchmee Melanie	Delwin Patrickj Danny Pieterse
Pillay, Sindy	Abongile Delo
Kalian, Varasha	Muchena Phillip
Chetty, Kershnee	Palesa Letshela
Sandile Molefe	Linah Mamabolo
Veraun Budhai	Sibongile Masanabo
Brenden Perumal	Phumela Matholengwe
Marcus Moodley	Paulos Mokhethi
Anthony, Odell Natlie	Chris Mabogo
Naicker, Navashni	Sthembiso Mseleku

APPLICANTS	
Nair, Thavaloshnan	Ntokozo Reginag Mkhize
Devnarain, Trisha	Simamgele Edith Ngcobo
Williams, Jody Ann	Anele Veronica Mijima
Venketswamy, Leonard	David Thulane Phiri
Wilson, Leah	Thato Mokoena
Kanni, Kassandra	Gloria Ramabele
Fiona Xulu	Thabang Nzama
Lebohang Masiza	Sinobuhle Mkaza
Rowan Pieters	Nhlanhla Lydia Tsolo
Zilungile Nxumalo	Lebogang Nathaniel
Ruwaida Hassan	Helen Mpho Mosehla
Adumodwa Roboji	Nthavhiseni Tshivule
Zandile Nhlabathi	Linda Ntshikaniso
Mhlawenkosi Kondleka	Atamba Boyana
Garth Roy Stapleton	Abel M Mbatha
Jonathan Young	Bophelo Mccamel
Marelyn Naicker	Martin Sabole
Jeffrey Narainsamy	Sikelela Hlofela
Jacqueline Dupraj	Bareng Ingrid Segale
Salome Govender	Banele Bruce Mafikeng
Dimple Rabbikissoon	Phumzile Vilakazi
Natasha Pillay	Sipho Strato
Virginia Shireen Govender	Lyle Snyders
Colin Ricardo Wood	Ntokozo Reginah Mkhize
Busisiwe Lizz Zondo	Simamgele Edith Ngcobo
Keneilwe Alfred Molefe	Anele Veronica Mgijima
Zinhle Nkosi	Hlengani Sandile Biyela
Ralvin Damentry Richards	Ntokozo Lindokuhle Sokhweba
Premraj Suerekha Ramesh	Kalumba Joseph Chiba
Gina Zakhona Mappha	Lunga Ncivitwa
Shabalala, Lebonhang Sylvia	Laila Mabuza
Ndlovu, Petronella Snenhlanhla	Thembisa Mdlovokovana
Mogotsi, Lehlohonolo Senzeni Precious	Hlengani Sandile Biyela

Amendment and withdrawal of Exemption

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 12 of 2013, and comes into operation on publication in the *Government Gazette*.

Printed by and obtainable from the Government Printer, Bosman Street, Private Bag X85, Pretoria, 0001
Publications: Tel: (012) 334-4508, 334-4509, 334-4510
Advertisements: Tel: (012) 334-4673, 334-4674, 334-4504
Subscriptions: Tel: (012) 334-4735, 334-4736, 334-4737
Cape Town Branch: Tel: (021) 465-7531

Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001
Publikasies: Tel: (012) 334-4508, 334-4509, 334-4510
Advertensies: Tel: (012) 334-4673, 334-4674, 334-4504
Subskripsies: Tel: (012) 334-4735, 334-4736, 334-4737
Kaapstad-tak: Tel: (021) 465-7531