

W-8BEN-E Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)

Wenn erforderliche Felder nicht korrekt ausgefüllt werden, wird das W-8BEN-E-Formular als ungültig erachtet und damit unwirksam.

Das W-8BEN-E-Formular muss auf Englisch ausgefüllt werden.

Form **W-8BEN-E**  
(Rev. October 2021)  
Department of the Treasury  
Internal Revenue Service

**Certificate of Status of Beneficial Owner for  
United States Tax Withholding and Reporting (Entities)**  
► For use by entities. Individuals must use Form W-8BEN. ► Section references are to the Internal Revenue Code.  
► Go to [www.irs.gov/FormW8BENE](http://www.irs.gov/FormW8BENE) for instructions and the latest information.  
► Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do NOT use this form for:

- U.S. entity or U.S. citizen or resident . . . . . W-9
- A foreign individual . . . . . W-8BEN (Individual) or Form 8233
- A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the United States (unless claiming treaty benefits) . . . . . W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) . . . W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) . . . . . W-8ECI or W-8EXP
- Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) . . . . . W-8IMY

Instead use Form:

**Part I Identification of Beneficial Owner**

1 Name of organization that is the beneficial owner

2 Country of incorporation or organization

3 Name of disregarded entity receiving the payment (if applicable, see instructions)

4 Chapter 3 Status (entity type) (Must check one box only):

☐ Simple trust

☐ Central Bank of Issue

☐ Grantor trust

☐ Tax-exempt organization

☐ Private foundation

☐ Disregarded entity

☐ Corporation

☐ Complex trust

☐ Estate

☐ International organization

☐ Partnership

☐ Foreign Government - Controlled Entity

☐ Foreign Government - Integral Part

If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. ☐ Yes ☐ No

5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)

☐ Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).

☐ Participating FFI.

☐ Reporting Model 1 FFI.

☐ Reporting Model 2 FFI.

☐ Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.

☐ Sponsored FFI. Complete Part IV.

☐ Certified deemed-compliant nonregistering local bank. Complete Part V.

☐ Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.

☐ Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.

☐ Certified deemed-compliant limited life debt investment entity. Complete Part VIII.

☐ Certain investment entities that do not maintain financial accounts. Complete Part IX.

☐ Owner-documented FFI. Complete Part X.

☐ Restricted distributor. Complete Part XI.

☐ Nonreporting IGA FFI. Complete Part XII.

☐ Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.

☐ International organization. Complete Part XIV.

☐ Exempt retirement plans. Complete Part XV.

☐ Entity wholly owned by exempt beneficial owners. Complete Part XVI.

☐ Territory financial institution. Complete Part XVII.

☐ Excepted nonfinancial group entity. Complete Part XVIII.

☐ Excepted nonfinancial start-up company. Complete Part XIX.

☐ Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX.

☐ 501(c) organization. Complete Part XXI.

☐ Nonprofit organization. Complete Part XXII.

☐ Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.

☐ Excepted territory NFFE. Complete Part XXIV.

☐ Active NFFE. Complete Part XXV.

☐ Passive NFFE. Complete Part XXVI.

☐ Excepted inter-affiliate FFI. Complete Part XXVII.

☐ Direct reporting NFFE.

☐ Sponsored direct reporting NFFE. Complete Part XXVIII.

☐ Account that is not a financial account.

6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).

City or town, state or province. Include postal code where appropriate.

Country

7 Mailing address (if different from above)

City or town, state or province. Include postal code where appropriate.

Country

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 59689N

Form **W-8BEN-E** (Rev. 10-2021)

Form W-8BEN-E (Rev. 10-2021)

Page **2**

**Part I Identification of Beneficial Owner (continued)**

8 U.S. taxpayer identification number (TIN), if required

9a GIIN

b Foreign TIN

c Check if FTIN not legally required. . . . . ► ☐

10 Reference number(s) (see instructions)

Note: Please complete remainder of the form including signing the form in Part XXX.

A. Bitte lies dir diesen Abschnitt und die zugehörige Anleitung durch, um ein korrektes Ausfüllen des Formulars zu gewährleisten.

B. PART I (Identification of Beneficial Owner) [TEIL I (Identifikation des wirtschaftlich Berechtigten)]

Zeile 1: Name deiner Organisation.

Zeile 2: Land, in dem deine Organisation rechtsgültig registriert/gegründet wurde.

Zeile 3: Für US-Steuerzwecke können bestimmte Unternehmen nicht mehr als eigenständige juristische Person anerkannt werden. Eine steuerlich nicht anerkannte juristische Person sollte dieses Formular (W-8BEN-E) nicht im eigenen Namen an eine zur Einbehaltung und Abführung einer Abzugsteuer verpflichtete Person senden. Stattdessen sollte der Eigentümer des Unternehmens die entsprechenden Dokumente bereitstellen. Der Name der nicht anerkannten juristischen Person, die die Zahlung erhält, müsste in dem Fall in Zeile 3 eingefügt werden.

Zeile 4: Organisationsstatus (Kapitel 3): Wähle den zutreffenden Status der juristischen Person aus (z. B. Kapitalgesellschaft, Personengesellschaft usw.)

Zeile 5: Organisationsstatus (Kapitel 4): Wähle den zutreffenden Status hinsichtlich des FATCA (Foreign Account Tax Compliance Act) aus.

Zeile 6: Ständige Wohnanschrift in dem Land, in dem deine Organisation ihren Steuerwohnsitz hat. Du darfst kein Postfach und keine Care-of-Adresse verwenden.

Zeile 7: Postanschrift, wenn von Zeile 6 abweichend.

Zeile 8: US-Steuer-Identifikationsnummer (TIN), wenn die juristische Person über eine solche verfügt. Wenn du keine US-TIN hast, kannst du Zeile 8 leer lassen. Gib in dem Fall bitte in Zeile 9b deine Nicht-US-TIN an.

Zeile 9a: Deine Global Intermediary Identification Number (GIIN), wenn du eine hast.

Zeile 9b: Deine Nicht-US-TIN in dem Land, in dem deine Organisation gegründet wurde oder geführt wird.

Zeile 9c: Wenn du die Bedingungen für das Ankreuzen des Kästchens in Zeile 9c erfüllst und es ankreuzt, musst du in Zeile 9b keine Nicht-US-TIN angeben.

Zeile 10: Leer lassen.