

Rosetta Primary School



Complaints Procedure

April 2017

Review date: April 2020

Rosetta Primary School Complaints Procedure

At Rosetta Primary School we are committed to listening to views about our service. We will use this information, wherever possible, to help maintain and improve our service. We encourage and welcome all comments and views, both positive and negative.

This policy is designed to establish a clear mechanism for the resolution of complaints which can be verbal, written, taped or E-mailed. Our comments / complaints policy is outlined below.

Aims

Our Complaints Procedure aims to:

- provide an efficient and thorough system through which issues are effectively addressed
- facilitate the school in providing the best possible service for its pupils and the local community
- provide a simple and accessible service that respects confidentiality
- be courteous and respectful
- address issues arising from complaints in a fair and honest manner within the timescales set out
- treat individuals and groups with openness, equality and inclusiveness
- keep people informed of progress and the final outcome of the issues raised
- be simple, easily accessible and easy to use.

These procedures do not replace or supplement other established procedures and/or appeals mechanisms in such areas as Child Protection, Special Education, Admissions, Suspensions and Expulsions etc.

In addition, where it becomes evident at an early stage that the nature of your complaint may give rise to future disciplinary action, these procedures will be set aside in favour of other established Disciplinary Procedures.

We are not able to deal with anonymous complaints and therefore these procedures do not provide for a resolution of anonymous complaints except for the referral of child protection concerns to the appropriate Child Protection Procedures and Guidelines.

What to Expect under these Procedures

Your rights as a person making a complaint

In dealing with your complaint we will ensure that you receive:

- fair treatment;
- courtesy;
- a timely response;
- accurate advice;
- respect for your privacy - concerns will be treated as confidentially as possible allowing for the possibility that we may have to consult with other appropriate Agencies about your complaint;
- reasons for our decisions.

Where there are grounds to your complaint we will acknowledge this and address the issues you have raised. Similarly, we will ensure that you are clearly advised where we believe that there are no grounds to your complaint.

Your Responsibilities as a person making a complaint

In raising an issue we would expect that you:

- raise issues in a timely manner;
- treat our staff as professionals, in a non-threatening manner and with respect and courtesy;
- provide accurate and concise information in relation to the issue you raise;
- use these procedures fully and to engage in them at the appropriate levels.

In addition, we would expect that you have reasonable grounds for making a complaint and are not seeking to invoke these or other procedures as a means of dealing with issues that are more appropriately dealt with in other ways.

Making a Complaint

Stage 1 - Informal - Speaking with the Teaching or non-teaching staff concerned

In the first instance a complaint or concern should normally be referred verbally to the staff member concerned, so that they may be allowed an opportunity to address the issue, as in many instances, these can arise through a simple misunderstanding. You should observe the school's existing protocols for arranging and conducting such approaches or meetings, i.e. meetings with staff are arranged via the office.

This approach would not prevent you from choosing to enter the process at a later stage, if you believe that to be an appropriate course of action.

Stage 2 - Informal - Speaking with the Principal

If your complaint remains unresolved you should arrange a meeting with the Principal to discuss the issue with him/her. You should let the Principal know in advance the nature of your concerns so that he/she can prepare for the meeting.

If you have concerns relating to the Principal, you should arrange a meeting with him/her to discuss the issues as at Stage 1.

In some circumstances the Principal will not be able to deal effectively with your concerns immediately and he/she will require some time over which to investigate and prepare a proper response. If further time is required you will be told of this.

Stage 3 - Formal - Writing to the Principal

Sometimes it will not be possible for you to have your complaint resolved at source through the informal routes proposed at Stages 1 and 2 or indeed it might be more appropriate to initiate the procedures at this stage. Your written complaint should be as concise as possible and address specifically the issue or issues that are of immediate concern to you.

You will receive a written acknowledgement of your letter within 10 working days. This will confirm that your letter has been received, and either;

- Provide a response to the issues you raised;
- **or,**
- Indicate that your concerns are being fully investigated and the timeframe - a maximum of 20 working days from the date on which your letter was received - against which you can expect a response to be issued.

Stage 4 - Formal - In writing to the Board of Governors

If you still believe that your complaint has not been dealt with in a satisfactory manner, you may write to the Chairperson of Board of Governors, who may be contacted as follows:

Ms E Campbell,
C/O Rosetta Primary School,
21-23 Knockbreda Rd.,
Belfast BT6 OJA

The Chairperson will be responsible for bringing your complaint to a Governors' Sub-Committee, which will investigate and respond to your complaint. Your written

complaint should be as concise as possible and address specifically the issue or issues that are of immediate concern to you.

However, where it is evident that the nature of your complaint may give rise to future disciplinary action, these procedures will be set aside in favour of other established Disciplinary Procedures which may subsequently involve Governors at another level.

You will receive a written acknowledgement of your letter within 10 working days. This will confirm that your letter has been received, and;

- Provide a response to the issues you raised;
or,
- Indicate that your concerns are being fully investigated and the timeframe - a maximum of 25 working days from the date on which your written complaint was received - against which you can expect a response to be issued;
or,
- Indicate a date, time and place for you to attend a meeting with this Sub-Committee at which your concerns will be discussed in full. If this meeting is required, it will take place within 20 working days from the date on which your written complaint was received and you should expect a written response within a further 10 working days of the meeting having been held.

Appeals Process - Full Board of Governors

If you still believe that your complaint has not been addressed, you may write to the Chairperson of Board of Governors requesting that you be allowed to meet with the full Board of Governors to appeal the outcomes. The Chairperson will be responsible for ensuring that you are invited to the next suitable scheduled meeting of Governors where your appeal will be heard. Your written request should be as concise as possible and set out specifically the grounds for your Appeal.

Again, where it is evident that the nature of your complaint may give rise to future disciplinary action, these procedures will be set aside in favour of other established Disciplinary Procedures which may subsequently involve Governors at another level.

You will receive a written acknowledgement of your letter within 10 working days. This will confirm that your letter has been received and provide you with the date and time of the meeting at which you will have an opportunity to discuss your concerns. Since this process is consultative in nature and not adversarial, a complainant will not have the right to be accompanied or represented by any other person, unless it is deemed appropriate by the Board of Governors. This meeting will normally take place within 30 working days of your Appeal request having been received.

Following this meeting, and within 10 working days of that meeting, you should expect a final written response. This will indicate the Governors' findings, their recommendations and the reasons supporting their decisions.

You have now exhausted our internal complaints procedure. If you still believe your complaint has not been addressed you may now refer your complaint to the Northern Ireland Public Services Ombudsman.

From 1 April 2017 NIPSO has had the power to investigate complaints about publicly funded schools. You must make your complaint to the Ombudsman within 6 months of the date of the letter which followed the full Governors' meeting.

The NIPSO contact details are

Northern Ireland Public Services Ombudsman
Progressive House
33 Wellington Place
BELFAST
BT1 6HN

Freepost: Freepost NIPSO

Telephone: 028 9023 3821 or Freephone: 0800 34 34 24

Text Phone: 028 9089 7789

Email: nipso@nipso.org.uk Website: www.nipso.org.uk.

Some examples that the Ombudsman may regard as maladministration include:

Avoidable delay

Faulty procedures or failing to follow the correct procedures

Not informing a complainant about any rights of appeal

Unfairness, bias or prejudice

Giving advice that is misleading or inadequate

Refusing to answer reasonable questions

Discourtesy and failure to apologise

Mistakes in complaint handling

Some examples of complaints the Ombudsman might be able to consider include:

Failure to follow guidance in examinations

Failure to provide information on the awarding of grades

Difficulties or delays in getting Special Educational Needs Assessment

How the school handled a complaint about bullying
Failure to take account of information for school admissions
Complaints handling

Record Keeping

The School Principal shall maintain a record of all correspondence, conversations and meetings concerning your complaint. These records shall be held confidentially in the school and shall be kept apart from pupil records. All such records will be destroyed five years after the date of the last correspondence on the issue.

Our responses will be in plain English and we will attempt to address all the points and issues raised.

If you do not respond within 30 days to the outcome of an investigation into your comment / complaint we will assume that you are satisfied and do not require us to take further action.

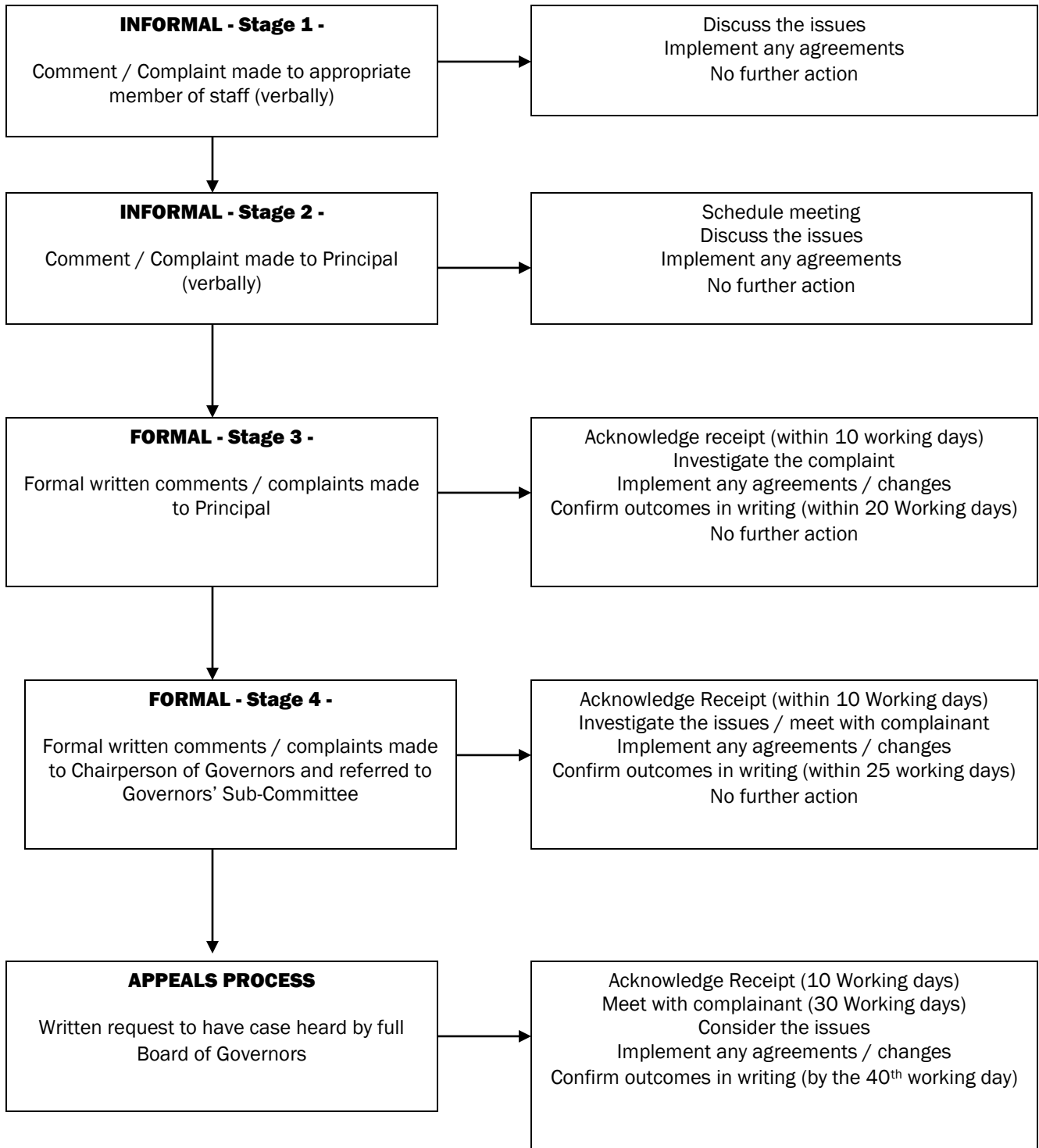
This procedure does not provide a role for any other statutory or non-statutory body.

Chair of *Governors*

Principal

HANDLING COMPLAINTS IN ROSETTA PRIMARY SCHOOL

FLOW CHART



HANDLING COMPLAINTS IN ROSETTA PRIMARY SCHOOL

SAMPLE LETTERS

Formal - Stage 3

Complaint made in Writing to Principal - Acknowledgement

Dear

Thank you for your letter of **1 January 2004** in which you outlined your concerns regarding

Option A

I have investigated the various aspects of your complaint and would respond as follows

or

Option B

I hope that you will appreciate that I need some time in order to investigate your concerns to respond as fully as possible to the issues you have raised. I will write to you again within the next 10 working days.

or

Option C

It would be extremely helpful if you could contact the school in order to arrange a suitable time for a meeting to discuss your complaint. This will help me to investigate your concerns and to respond as fully as possible to the issues you have raised. I will write to you again within 10 working days of that meeting.

Yours sincerely

Mr R Irvine,
Principal

Formal Stage 3

Complaint made in Writing to Principal - Response following meeting

Dear

Thank you for attending our meeting on **15 January 2004** in which we discussed your concerns regarding

Following that meeting and my own investigations into the various aspects of your complaint, I would respond as follows:

Finally, I would like to take this opportunity to thank you for bringing your concerns to my attention and to assure you that the school always welcomes your contribution.

Yours sincerely
Mr R Irvine
Principal

Formal - Stage 4

Complaint made in Writing to Chairperson of Governors - Acknowledgement

Dear

Thank you for your letter of **1 January 2004** in which you outlined your concerns regarding

I have referred your complaint to a Sub-Committee of the Board of Governors for investigation and response to the various aspects of your complaint.

Option A

I hope that you will appreciate that the Sub-Committee will need some time in order to investigate your concerns to respond as fully as possible to the issues you have raised. The Sub-Committee will write to you with their response within the next 25 working days.

Or

Option B

I hope that you will appreciate that the Sub-Committee will need some time in order to investigate your concerns. Indeed, it would be extremely helpful if you could attend a meeting of the Sub-Committee to discuss your complaint on **15 January 2004 at 6.30pm in Rosetta Primary School**. This will help the Sub-Committee to investigate your concerns and to respond as fully as possible to the issues you have raised. The Sub-Committee will write to you with their response within 10 working days of that meeting.

Yours sincerely

Mr/Mrs

Chairperson of the Board of Governors

Formal - Stage 4
Outcome of Sub-Committee Investigation / Meeting

Dear

OPTION A - No Meeting Needed

Thank you for your letter of 1 January 2004 in which you outlined your concerns regarding

_____.

I have investigated the various aspects of your complaint and would respond as follows

_____.

OPTION B - Meeting with Sub-Committee

Thank you for attending our meeting on 15 January 2004 in which we discussed your concerns regarding

_____.

Following that meeting and the Sub-Committee's own investigations into the various aspects of your complaint, I would respond as follows

_____.

Both Options

Our reasons for coming to our decision are

_____.

Finally, on behalf of the Governors' Sub-Committee, I would like to take this opportunity to thank you for bringing your concerns to our attention and to assure you that the school continues to welcome your contribution.

Yours sincerely

Mr/Mrs

Chairperson of the Board of Governors Sub-Committee for Complaints

Appeal
Request to Appeal - Acknowledgement

Dear

Thank you for your letter of **1 January 2004** in which you set out the grounds for appealing the previous outcomes to your complaint regarding

I have referred your complaint to the Board of Governors for them to investigate and respond to the various aspects of your complaint. To this end I have arranged for your case to be considered at the next meeting of the Board of Governors, which takes place on **15 January 2004 at 6.30pm in Rosetta Primary School**. You should attend this meeting so that you can have an opportunity to put forward your case in detail.

This will help the Board of Governors to consider all aspects of your concerns and then to respond as fully as possible to the issues you have raised. You will receive a full written response within 10 working days of that meeting.

Yours sincerely
Mr / Mrs
Chairperson of the Board of Governors

Appeal
Outcome of Full Board of Governor Meeting

Dear

Thank you for attending the Board of Governor meeting on **15 January 2004** in which you outlined your concerns in respect of

Following that meeting and our own investigations into the various aspects of your complaint, I would respond as follows

Our reasons for coming to our decision are

In relation to the general handling of your complaint I would comment as follows

Finally, I would like to take this opportunity to thank you for bringing your concerns to our attention and to assure you that the Board of Governors and school staff continue to welcome your contribution to school life.

Yours sincerely

Mr/Mrs
Chairperson of the Board of Governors

Discourteous or Threatening Behaviour Towards a Member of Staff

Dear

I have been informed of a regrettable incident when you attended the school on **1 January 2004** to discuss your concerns with a member of staff.

Whilst I understand that that your visit was prompted by a perceived complaint in relation to the member of staff with whom you spoke, I regret that I must insist that you do not re-enter school premises for any reason without first contacting myself or my Vice-Principal to arrange your visit. This decision has been taken so as to protect the pupils and staff in the school and to avoid the potential for a further similar incident, which could have other more serious consequences.

In the meantime, I would encourage you to read the enclosed school's Complaints Procedure in relation to your original issue. I have also enclosed a copy of the school's policy on Parental visits and encourage you to read this also.

Yours sincerely

Mr R Irvine
Principal